Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter Social Security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

For calendar year 2013, or tax year beginning , 2013, and ending ,

Name of foundation: ROYCE FAMILY FOUNDATION, INC.	A Employer identification number: 13-6124271
Number and street (or P O box number if mail is not delivered to street address): C/O MR. R. RICHARD ROYCE 10 BARCLAY STREET — Room/suite: APT ~~30A~~ 42B	B Telephone number (see the instructions): (516) 282-7070
City or town, state or province country, and ZIP or foreign postal code: NEW YORK NY 10007	C If exemption application is pending, check here ► ☐
G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	D 1 Foreign organizations, check here ► ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐
H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐
I Fair market value of all assets at end of year (*from Part II, column (c), line 16*) ► $ 1,171,620. — J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (*Part I, column (d) must be on cash basis*)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

Part I Analysis of Revenue and Expenses (*The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)*)	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE 1 Contributions, gifts, grants, etc, received (att sch)				
2 Ck ► [X] if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	25.	25.		
4 Dividends and interest from securities	9,496.	9,496.		
5 a Gross rents				
b Net rental income or (loss)				
6 a Net gain/(loss) from sale of assets not on line 10	144,775.	L-6a Stmt		
b Gross sales price for all assets on line 6a 2,299,088.				
7 Capital gain net income (from Part IV, line 2)		144,775.		
8 Net short-term capital gain			127,054.	
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule) See Line 11 Stmt	1,553.	1,553.		
12 **Total.** Add lines 1 through 11	155,849.	155,849.	127,054.	
OPERATING AND ADMINISTRATIVE EXPENSES 13 Compensation of officers, directors, trustees, etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits	11,462.			11,462.
16 a Legal fees (attach schedule)				
b Accounting fees (attach sch) L-16b Stmt	4,650.			4,650.
c Other prof fees (attach sch) L-16c Stmt.	20,088.	20,088.		
17 Interest				
18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	5,643.	155.		
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) See Line 23 Stmt	1,414.	1,029.		385.
24 **Total operating and administrative expenses.** Add lines 13 through 23	43,257.	21,272.		16,497.
25 Contributions, gifts, grants paid	43,080.			43,080.
26 **Total expenses and disbursements.** Add lines 24 and 25	86,337.	21,272.		59,577.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	69,512.			
b Net investment income (if negative, enter -0-)		134,577.		
c Adjusted net income (if negative, enter -0-)			127,054.	

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Part II Balance Sheets

	Line	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
ASSETS	1	Cash – non-interest-bearing	222,544.	504,719.	504,719.
	2	Savings and temporary cash investments	0.		
	3	Accounts receivable ►			
		Less allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) L-10b Stmt	785,908.	641,348.	625,409.
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule) L-13 Stmt		41,492.	41,492.
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ►)			
	16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	1,008,452.	1,187,559.	1,171,620.
LIABILITIES	17	Accounts payable and accrued expenses	12.	147.	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ► L-22 Stmt)	0.	109,460.	
	23	**Total liabilities** (add lines 17 through 22)	12.	109,607.	
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► X and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	94,974.	94,974.	
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	913,466.	982,978.	
	30	**Total net assets or fund balances** (see instructions)	1,008,440.	1,077,952.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,008,452.	1,187,559.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,008,440.
2	Enter amount from Part I, line 27a	2	69,512.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	1,077,952.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	1,077,952

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	SECURITIES CAPITAL GAIN AND LOSSES SEE SCHEDULE	P	01/01/13	12/31/13
b	CAPITAL GAIN DIVIDEND	P	01/01/12	12/31/13
c	SECURITIES CAPITAL GAIN AND LOSSES SEE SCHEDULE	P	01/01/12	12/31/13
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,156,855.		2,029,801.	127,054.
b	101.		0.	101.
c	142,132.		124,512.	17,620.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				127,054.
b				101.
c				17,620.
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	144,775.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	127,054.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	70,178.	1,031,302.	0.068048
2011	53,532.	891,066.	0.060076
2010	34,350.	850,116.	0.040406
2009	37,350.	735,078.	0.050811
2008	32,300.	910,748.	0.035465

2	Total of line 1, column (d)	2	0.254806
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.050961
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,044,159.
5	Multiply line 4 by line 3	5	53,211.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,346.
7	Add lines 5 and 6	7	54,557.
8	Enter qualifying distributions from Part XII, line 4	8	59,577.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary – see instrs)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b	1	1,346.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	1,346.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,346.
6	Credits/Payments		
a	2013 estimated tax pmts and 2012 overpayment credited to 2013 — 6 a: 3,975.		
b	Exempt foreign organizations – tax withheld at source — 6 b		
c	Tax paid with application for extension of time to file (Form 8868) — 6 c		
d	Backup withholding erroneously withheld — 6 d		
7	Total credits and payments. Add lines 6a through 6d	7	3,975.
8	Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached	8	0.
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	2,629.
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ► 2,629. **Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1 c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► $ ________ (2) On foundation managers ► $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► NY - New York			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities (*continued*)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► FOUNDATION Telephone no ► (516) 282-7070

Located at ► 10 BARCLAY STREET NY NY ZIP + 4 ► 10007

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► | 15 |

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Line	Yes	No
1 a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1 b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)		2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*		3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5 b**

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6 b** No: X

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7 b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
R. RICHARD ROYCE 10 BARCLAY STREET, APT. 38A NY NY 10007	PRES. 2.00	0.	0.	0.
JOSEPH P. KRAMER C/O BOYCE ETAL 30 JERICHO EXEC PLZ STE 200W JERICHO NY 11753	TREAS 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions) If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0.
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	None

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1 a	751,691
b	Average of monthly cash balances	1 b	308,369.
c	Fair market value of all other assets (see instructions)	1 c	
d	**Total** (add lines 1a, b, and c)	1 d	1,060,060.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1 e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,060,060.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	15,901.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,044,159.
6	**Minimum investment return.** Enter 5% of line 5	6	52,208.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	52,208.
2 a	Tax on investment income for 2013 from Part VI, line 5	2a	1,346.		
b	Income tax for 2013. (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,346.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	50,862.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	50,862.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	50,862.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1 a	59,577.
b	Program-related investments — total from Part IX-B	1 b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	59,577.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,346.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	58,231.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					50,862.
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only				0.	
b Total for prior years 20 __, 20 __, 20 __					
3 Excess distributions carryover, if any, to 2013					
a From 2008	0.				
b From 2009	0.				
c From 2010	0.				
d From 2011	8,861.				
e From 2012	21,249.				
f **Total** of lines 3a through e		30,110.			
4 Qualifying distributions for 2013 from Part XII, line 4 ► $ 59,577.					
a Applied to 2012, but not more than line 2a					
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2013 distributable amount					50,862.
e Remaining amount distributed out of corpus		8,715.			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		38,825.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount – see instructions			0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions				0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		0.			
9 **Excess distributions carryover to 2014** Subtract lines 7 and 8 from line 6a		38,825.			
10 Analysis of line 9					
a Excess from 2009	0.				
b Excess from 2010	0.				
c Excess from 2011	8,861.				
d Excess from 2012	21,249.				
e Excess from 2013	8,715.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test — enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year — see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE SCHEDULE FOR NAMES AND ADDRESSES NY 11753		–	–	43,080.
Total . . . . . . ► 3a				43,080.
b *Approved for future payment*				
Total . . . . . . ► 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	25.	
4 Dividends and interest from securities			14	9,496.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	144,775.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				154,296.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 154,296.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
–	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Joseph P Kramer | 04/25/14 | OFFICER Secty

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see Instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
Robert J. Coburn, CPA	Robert J. Coburn, CPA	5-6-14		P00132796

Firm's name ► BOYCE, HUGHES & ASSOCIATES, LLP — Firm's EIN ► 13-4966910

Firm's address ► 30 JERICHO EXECUTIVE PLAZA - STE 200W JERICHO NY 11753-1028 — Phone no (516) 282-7070

TEEA0503 07/11/13

Royce Family Foundation, Inc 2013 Fed EIN: 13-6124271

Recepient	Amount	check #	
SmileTrain Foundation	750	150	
NY Presbyterian Hospital	4,000	152	
Weill Cornell Medical College	4,475	153	175
LA Team Mentoring	1,000	154	
Japan Society	3,000	155	
Children's Brain Tumor Foundation	2,000	156	
Sequenom Center for Molecular Medicine	235	157	
Ira Sohn Foundation	10,000	158	
Rodney Fox Shark Foundation	1,020	160	
The Brownstone School	100	159	
St. George's Society of NY	1,000	162	
Wikimedia Foundation	1,000	163	
Eaglebrook School	500	164	
Georgetown University	500	165	
Manhattan Institute	1,000	166	
Columbia University	1,500	167	
WNET / Thirteen	200	168	
Newark Public Radio	300	169	
Deerfield Academy	2,500	170	
Metropolitan Museum of Art	1,750	172	173
NY Downtown Hospital	4,000	174	
Lustgarten Foundation	500	176	
Metropolitan Opera	250	177	
NY Philharmonic	1,500	178	
Total	43,080		

ROYCE FAMILY FOUNDATION, INC

INVESTMENTS IN MARKETABLE SECURITIES (Note 2)

calendar year ended December 31, 2013

				PURCHASES					SALES				ENDING					DIV/INTEREST		
Principal - Corporate stock	Date Acquired	No of Shares	Cost or Acquistion Value	Date Acquired	No of Shares	Cost or Acquistion Value	Date Sold	No of Shares	Proceeds	cost of sale	gain or loss	Date Acquired	No of Shares	Cost or Acquistion Value	Market Value	Jan-March 1Q	April-June 2Q	July-Sept 3Q	Oct-Dec 4Q	TOTAL
											-									-
SEARS HOLDING CORP				6/4/2013	100	4,850 99	9/6/2013	30 00	1 337 30	1,455 30	(118 00)									-
				6/4/2013	300	14,552 93	9/6/2013	200 00	8,921 28	9,701 96	(780 68)									-
				6/10/2013	100	4 813 44	9/6/2013	200 00	8 911 28	9 690 69	(779 41)									-
				6/14/2013	100	4,680 64	9/6/2013	30 00	1,337 60	1,444 03	(106 43)									-
				8/20/2013	100	4,198 95	9/6/2013	200 00	8,911.28	9,516 63	(605 35)									
							9/6/2013	40 00	1,781 86	1,288 34	493 52									
											-									
											-									
SLM CORP				1/18/2013	2000	33,605 95	4/18/2013	200 00	3,960 36	3,360 60	599 76	1/18/2013	1,300 00	21,843.87	42,048 00	345 00	285 00			630 00
				1/25/2013	200	3,367 75	4/23/2013	100 00	1 999 08	1 680 30	318 78	1/25/2013	200 00	3,367 75						-
				1/30/2013	100	1 681 85	4/23/2013	100 00	1,999 08	1 680 30	318 78	1/30/2013	100 00	1,681 85						-
							7/12/2013	300 00	6,964 23	5 040 00	1,924 23									
											-									-
STARWOOD HTLS & RSTS NEW	10/26/2012	300 00	15,564 75				2/7/2013	100 00	6,135 31	5,188 25	947 06									-
	10/31/2012	200 00	10,349 55				6/20/2013	200 00	12,535 93	10,376 50	2,159 43									-
	11/8/2012	200 00	10 278 75				6/20/2013	200 00	12,535 93	10,349 55	2,186 38									-
							6/20/2013	200 00	12 535 93	10 278 75	2,257 18									-
											-									-
STIFEL FINANCIAL CORP	12/13/2012	100 00	2,996 48				2/20/2013	100 00	3 850 56	2 996 88	853.68									-
	12/13/2012	100 00	2,996 88				4/17/2013	100 00	3,173 33	2 996 48	176 85									
	12/13/2012	100 00	2,996 88				4/17/2013	100 00	3,173 33	2,996 48	176 85									
	12/13/2012	300 00	8,987 65				4/17/2013	100 00	3,173 94	2 996 49	177 45									-
	12/13/2012	300 00	8,989 46				4/17/2013	100 00	3,173 04	2,996 49	176 55									
							4/17/2013	100 00	3,173 42	2,996 88	176 54									
							4/17/2013	300 00	9,520 00	8,987 65	532 35									
											-									
TEVA PHARM INDS				11/5/2013	600	22,438 77	11/27/2013	300 00	12,186 44	11,219 39	967 05	11/5/2013	300 00	11,219 38	34 068 00					
				11/5/2013	400	14,958 78					-	11/5/2013	400	14,958 78						
				11/27/2013	150	6,103 15					-	11/27/2013	150	6,103 15						
											-									-
T F S FINANCIAL CORP	12/18/2012	300 00	2,610 71								-	12/18/2012	300 00	2,610 71	24 230 00					-
	12/18/2012	800 00	6,961 58								-	12/18/2012	800 00	6,961 58						-
	12/18/2012	900 00	7,832 68								-	12/18/2012	900 00	7,832 68						-
											-									
UNITED CONTL HOLDINGS INC				8/28/2013	300	8,914 19	11/4/2013	200 00	6,641 73	5 943 57	698 16	8/28/2013	100 00	2,971 40	37,830 00					
				8/28/2013	100	2,971 80	11/7/2013	300 00	10 846 06	8,915 32	1,930 74	8/28/2013	400	11,885 58						
				8/28/2013	200	5 942 79					-	8/30/2013	300	8,681 05						
				8/28/2013	400	11,887 09					-	8/30/2013	200	5 538 35						
				8/30/2013	300	8 681 05					-									
				8/30/2013	200	5 538 35					-									
											-									
URBAN OUTFITTERS				9/13/2013	700 00	27,028 25					-	9/13/2013	700 00	27 028 25	51,940 00					
				9/25/2013	200 00	7 642 75					-	9/25/2013	200 00	7,642 75						
				12/9/2013	200 00	7,348 75						12/9/2013	200 00	7,348 75						
				12/19/2013	300 00	10 883 95						12/19/2013	300 00	10 883.95						
VANDA PHARMACEUTICALS				12/9/2013	1 000 00	10 028 95						12/9/2013	1,000 00	10,028 95	12,410 00					
											-									
VODAFONE GROUP						short sale	11/12/2013	800	29,736 93		29 736 93				(35 379 00)					
						short sale	11/22/2013	100	3,723 29		3 723 29									
											-									
WISDOMTREE JPN HDGD EQTY						short sale	10/21/2013	700	33 669 56		33 669 56				(35,588 00)					
											-									
YOUKU TUDOU INC ADR				4/26/2013	700	13 268 58	5/14/2013	1,300 00	28 805 25	24,639 85	4,165 40									-
				4/26/2013	600	11,371 27					-									-
																				-
																				-

ROYCE FAMILY FOUNDATION, INC

INVESTMENTS IN MARKETABLE SECURITIES (Note 2)

calendar year ended December 31, 2013

				PURCHASES			SALES					ENDING						DIV/INTEREST		
Principal - Corporate stock	Date Acquired	No of Shares	Cost or Acquistion Value	Date Acquired	No of Shares	Cost or Acquistion Value	Date Sold	No of Shares	Proceeds	cost of sale	gain or loss	Date Acquired	No of Shares	Cost or Acquistion Value	Market Value	Jan-March 1Q	April-June 2Q	July Sept 3Q	Oct-Dec 4Q	TOTAL
				9/16/2013	100 00	2 096 90					-									
				8/16/2013	100 00	2,096 68					-									
				8/16/2013	100 00	2,096 87					-									
											-									
QLIK TECHNOLOGIES INC				11/19/2013	1,400 00	36,030 95					-	11/19/2013	1 400 00	36,030 95	42,608 00					
				12/3/2013	200 00	4,950 75						12/3/2013	200 00	4 950 75						
											-									
REDHAT INC				3/5/2013	800	41,806 35	5/17/2013	200 00	10,996 40	10 451 59	544 81									-
				3/11/2013	100	5 100 75	9/17/2013	100 00	5,342 56	5,225 79	116 77									-
				3/15/2013	100	5,050 65	9/20/2013	100 00	5,385 56	5,225 79	159 77									
				5/23/2013	200	9,882 75	12/23/2013	150 00	7,238 32	7,838 69	(600 37)									
				6/3/2013	100	4,828 95	12/23/2013	150 00	7,266 82	7,838 69	(571 87)									-
				10/1/2013	200	9,420 55	12/24/2013	200 00	9,781 88	10,326 54	(544 66)									
				10/29/2013	500	21 817 45	12/24/2013	300 00	16,265 77	14,933 40	1,332 37									
							12/26/2013	300 00	17,441 64	14,249 50	3 192 14									
							12/26/2013	500 00	29,073 40	21,817 45	7,255 95									
											-									-
RESEARCH IN MOTION LTD				2/22/2013	1500	19,729 95	3/11/2013	1,500 00	21,267 10	19,729 95	1,537 15									-
				3/4/2013	400	5,219 15	3/11/2013	400 00	5,671 23	5,219 15	452 08									-
				3/5/2013	300	3,874 35	3/11/2013	300 00	4,253 42	3,874 35	379 07									-
											-									-
ROYAL DUTCH SHELL A ADRF	4/12/2012	400 00	27,032 76	2/14/2013	100	6,615 55	6/20/2013	100 00	6,418 95	6,729 75	(310 80)					690 18	630 00	77 51		1,397 69
	6/12/2012	100 00	6,354 75	8/6/2013	400	25,791 54	6/20/2013	100 00	6,418 95	6,615 55	(196 60)									-
	7/26/2012	100 00	6,729 75	8/6/2016	500	32,239 47	6/20/2013	400 00	25,675 81	27,032 76	(1 356 95)									-
							6/20/2013	100 00	6,418 96	6,354 75	64 21									-
							8/30/2013	100 00	6,488 04	6,447 89	40 15									
							9/4/2013	100 00	6,538 06	6,447 89	90 17									
							9/4/2013	200 00	13,057 02	12,895 77	161 25									
							9/16/2013	500 00	32,701 48	32,239 47	462 01									
											-									
SAPIENT CORPORATION	1/31/2012	100 00	1 282 32	2/14/2013	600	6,595 95	1/2/2013	100 00	1 090 53	1,282 32	(191 79)									-
	1/31/2012	400 00	5 127 27	5/9/2013	250	2,791 23	1/2/2013	200 00	2,181 05	2,564 64	(383 59)									
	1/31/2012	400 00	5 128 87	5/9/2013	100	1,116 09	1/9/2013	200 00	2 242 20	2,564 64	(322 44)									
	1/31/2012	1 600 00	20,517 09	5/9/2013	150	1,674 73	2/5/2013	200 00	2,496 19	2,549 39	(53 20)									
	3/1/2012	100 00	1,274 63				3/13/2013	100 00	1 218 31	1,282 32	(64 01)									
	3/1/2012	900 00	11,472 25				3/13/2013	200 00	2,436 56	2,564 64	(128 08)									
	6/14/2012	600 00	6 229 35				3/13/2013	700 00	8,528 65	8,976 22	(447 57)									-
	6/26/2012	200 00	1 903.75				7/2/2013	500 00	6,577 44	6,411 59	165 85									
							7/2/2013	100 00	1,348 43	1,282 32	66 11									
							7/2/2013	100 00	1,348 53	1,282 32	66 21									
							7/2/2013	100 00	1,348 43	1,282 32	66 11									
							7/29/2013	300 00	4,062 27	3,824 02	238 25									
							7/29/2013	100 00	1,353 99	1,274 69	79 30									
							8/5/2013	300 00	4,113 28	3 655 49	457 79									
							8/12/2013	100 00	1,492 76	1,274 69	218 07									-
							8/12/2013	100 00	1,491 56	1 274 69	216 87									
							8/12/2013	171 00	2,552 63	2 179 73	372 90									
							8/12/2013	100 00	1,491 56	1 274 69	216 87									
							8/12/2013	703 00	10 471 67	7,603 77	2 867 90									
							8/12/2013	200 00	2 977 14	1 908 93	1,068 21									
							8/12/2013	600 00	8 920 00	6,587 10	2 332 90									
							8/12/2013	226 00	3,359 64	2,213 01	1,146 63									
											-									
SEACHANGE INTL				12/3/2013	200	2,967 18	12/11/2013	1,000 00	11 971 84	14,835 09	(2 863 25)	12/3/2013	300 00	4,450 46	29,184 00					
				12/3/2013	1100	16,318 37					-	12/11/2013	200	2,402 99						
				12/11/2013	200	2,402 99					-	12/11/2013	200	2 403.19						
				12/11/2013	200	2,403 19					-	12/11/2013	1100	13 250 57						
				12/11/2013	1100	13 250 57					-	12/19/2013	300	3 436 18						
				12/19/2013	300	3 436 18					-	12/19/2013	300	3,436 47						
				12/19/2013	300	3,436 47					-									

ROYCE FAMILY FOUNDATION, INC

INVESTMENTS IN MARKETABLE SECURITIES (Note 2)

calendar year ended December 31, 2013

				PURCHASES					SALES				ENDING					DIV/INTEREST		
Principal - Corporate stock	Date Acquired	No of Shares	Cost or Acquistion Value	Date Acquired	No of Shares	Cost or Acquistion Value	Date Sold	No of Shares	Proceeds	cost of sale	gain or loss	Date Acquired	No of Shares	Cost or Acquistion Value	Market Value	Jan March 1Q	April-June 2Q	July-Sept 3Q	Oct Dec 4Q	TOTAL
											-									
MRC GLOBAL INC				8/8/2013	1000	24 806 95	11/14/2013	200 00	6 200 32	4 961 39	1 238 93									
							11/14/2013	100 00	3,100 07	2,480 70	619 37									
							11/21/2013	700 00	21,216 08	17,364 89	3,851 19									
											-									
NETGEAR INC				1/31/2013	200	7 132 25	6/4/2013	100 00	3,355 79	3 566 62	(210 83)									-
				1/31/2013	100	3,566 62	10/4/2013	900 00	28,111 06	32,095 61	(3,984 55)									-
				1/31/2013	800	28,528 98	10/16/2013	300 00	9,294 19	10,185 50	(891 31)									-
				2/13/2013	500	16 548 42	10/17/2013	200 00	6 247 14	6 619 37	(372 23)									-
				2/13/2013	100	3 309 78	10/21/2013	100 00	3,141 07	3,309 69	(168 62)									-
				2/13/2013	100	3,309 68	10/21/2013	200 00	6,290 92	6 619 46	(328 54)									-
				2/13/2013	25	827 45	10/23/2013	300 00	9,612 78	9,476 39	136 39									-
				2/13/2013	75	2 482 26	12/3/2013	300 00	9 678 70	8,570 41	1,108 29									-
				2/13/2013	100	3 309 79	12/3/2013	1 00	32 29	31 15	1 14									-
				4/26/2013	400	11,427 55	12/3/2013	100 00	3 226 51	3 115 35	111 16									-
				7/1/2013	300	9 346 05	12/3/2013	150 00	4,839 41	4 672 50	166 91									-
				7/31/2013	300	8,978 66	12/3/2013	50 00	1,613 25	1,556 28	56 97									
				7/31/2013	100	2,992 35	12/3/2013	200 00	6,454 46	5,985 76	468 70									
				8/9/2013	100	3 014 55	12/3/2013	200 00	6,458 26	5,985 45	472 81									
							12/3/2013	99 00	3 195 84	2,984 85	210 99									
											-									-
NEWS CORP NEW CL				7/5/2013	1100	17 004 85	8/6/2013	500 00	8 101 41	7,729 47	371 94									
				7/5/2013	400	6 140 15	8/9/2013	2,500 00	40 567 84	37,804 93	2,762 91									
				7/17/2013	1000	14 946 95					-									-
				7/18/2013	500	7 442 45					-									
NOBLE ENERGY INC				12/23/2013	600	40,475 35						12/23/2013	600	40 475 35	40,866 00					
											-									
NVIDIA CORP	9/7/2012	300 00	3,952 19	1/4/2013	100	1,216 75	3/1/2013	100 00	1,261 22	1,322 75	(61 53)					195 00				195 00
	9/7/2012	1,700 00	22,394 06				4/12/2013	100 00	1 281 48	1 317 40	(35 92)									
	9/27/2012	400 00	5 279 15				4/12/2013	400 00	5 125 93	5,279 15	(153 22)									-
	10/3/2012	100 00	1,322 75				4/15/2013	200 00	2,601 75	2 634 79	(33 04)									-
							4/15/2013	1,700 00	22,114 85	22 394 06	(279 21)									-
							4/15/2013	100 00	1,300 87	1 216 75	84 12									-
											-									-
OCCIDENTAL PETE CORP	4/17/2012	200 00	17,661 35	2/28/2013	100	8,232 75	1/3/2013	100 00	7,885 47	8,830 68	(945 21)				47,550 00		384 00	384 00		768 00
	4/18/2012	100 00	8 815 85	2/28/2013	100	8,243.95	1/16/2013	100 00	8,220 07	8,830 67	(610 60)	9/27/2012	200 00	17 027 75						-
	5/7/2012	100 00	8,733 15	3/20/2013	50	3,950 85	2/7/2013	100 00	8,817 05	8,815 85	1 20	2/28/2013	100	8 232 75						-
	5/11/2012	100 00	8 441 45	4/23/2013	50	4,001 95	6/5/2013	100 00	9,311 39	8,733 15	578 24	2/28/2013	100	8 243 95						-
	9/27/2012	200 00	17,027 75				7/1/2013	100 00	8 915 09	8,441 45	473 64	3/20/2013	50	3 950 85						-
											-	4/23/2013	50	4,001 95						
											-									-
PALO ALTO NETWORKS INC				5/8/2013	500	25545 45	7/26/2013	900 00	45,399 86	44,116 80	1,283 06	10/2/2013	100	4,658 89	40 229 00					
				5/8/2013	100	5094 55	10/21/2013	300 00	12,743 81	13 978 08	(1 234 27)	10/4/2013	150	6 769 15						-
				6/5/2013	100	4 894 85	12/3/2013	200 00	9,981 08	9,318 79	662 29	10/16/2013	150	6 762 55						-
				6/21/2013	200	8,581 95					-	10/22/2013	300	12,992 95						-
				10/2/2013	100	4,659 29					-									-
				10/2/2013	400	18 637 57					-									
				10/2/2013	100	4 658 89					-									
				10/4/2013	150	6 769 15														
				10/16/2013	150	6,762 55														
				10/22/2013	300	12,992 95														
											-									
											-									
											-									
PBF ENERGY INC				9/16/2013	100 00	2,096 40	10/16/2013	600 00	14,169 38	12 580 88	1 588 50									
				9/16/2013	500 00	10 484 48	10/16/2013	400 00	9,440 26	8 387 08	1 053 18									
				9/16/2013	100 00	2 096 63					-									

ROYCE FAMILY FOUNDATION, INC

INVESTMENTS IN MARKETABLE SECURITIES (Note 2)

calendar year ended December 31, 2013

				PURCHASES			SALES					ENDING				DIV/INTEREST				
Principal - Corporate stock	Date Acquired	No of Shares	Cost or Acquistion Value	Date Acquired	No of Shares	Cost or Acquistion Value	Date Sold	No of Shares	Proceeds	cost of sale	gain or loss	Date Acquired	No of Shares	Cost or Acquistion Value	Market Value	Jan-March 1Q	April June 2Q	July-Sept 3Q	Oct-Dec 4Q	TOTAL
	6/21/2012	100 00	9 817 95	3/1/2013	100	9,370 67	1/24/2013	300 00	31,423 43	30,006 56	1 416 87									-
	10/11/2012	50 00	4,913 95	3/13/2013	100	9 281 15	1/24/2013	100 00	10,474 48	9,817 95	656 53									-
	10/17/2012	50 00	4,876 95	3/13/2013	100	9 253 85	1/24/2013	50 00	5 237 24	4,913 95	323 29									-
				4/22/2013	100	7,340 15	1/24/2013	50 00	5,237 23	4,876 95	360 28									-
				6/6/2013	100	7,849 15	7/18/2013	100 00	8,132 91	9,369 78	(1 236 87)									
							7/29/2013	500 00	40,849 34	43,094 97	(2,245 63)									
											-									
											-									
GAP INC						short sale	9/12/2013	300 00	12,366 92	11,849 01	517 91									
						short sale	9/12/2013	500 00	20,610 55	19,748 48	862 07									
						short sale	9/19/2013	200 00	8,495 50	7,899 39	596 11									
			cover	10/15/2013	1000	39 496 95					-									
											-									
											-									
											-									-
GREEN MTN COFFEE ROASTER				7/2/2013	400	29 471 55	8/8/2013	100 00	7 756 71	7,367 75	388 96									
				7/12/2013	100	7 302 55	9/12/2013	100 00	8 618 10	7,367 75	1,250 35									
				7/12/2013	100	7,315 95	11/26/2013	200 00	14 041 01	14 735 50	(694 49)									
				7/15/2013	100	6,861 55	12/11/2013	200 00	14,141 00	14 618 50	(477 50)									
				10/16/2013	100	6,714 48	12/11/2013	400 00	28,454 55	26,276 56	2 177 99									-
				10/16/2013	100	6,714 46					-									-
				11/12/2013	82	4,908 07					-									
				11/12/2013	18	1,077 45					-									
											-									
											-									-
HERBALIFE LTD				4/25/2013	512	18,428 03	5/3/2013	88 00	3,608 16	3,167 06	441 10									-
				4/25/2013	88	3,187 06	5/3/2013	512 00	20 992 94	18,428 03	2,564 91									-
											-									
ISHARES CHINA						short sale	10/21/2013	700	26 753 68		26,753 68				(42 207 00)					-
						short sale	11/20/2013	200	7,607 32		7,607 32									
						short sale	11/22/2013	200 00	7 969.31		7,969 31									
											-									-
KB HOME				7/30/2013	200	3,343 22	9/27/2013	300 00	5 355 66	5 015 63	340 23	11/14/2013	1000	15 873 48	40,216 00					
				7/30/2013	1100	18,396 53	9/30/2013	1 500 00	27 053 58	25 041 32	2 012 26	11/14/2013	100	1,587 25						
				8/9/2013	300	5,042 65					-	11/14/2013	300	4,762 04						
				8/22/2013	200	3 274 55						11/14/2013	600	9,523 48						
				11/14/2013	1000	15,873 48						11/14/2013	200	3,148 55						
				11/14/2013	100	1,587 25					-									
				11/14/2013	300	4 762 04					-									
				11/14/2013	600	9 523 48					-									
				11/14/2013	200	3 148 55					-									
											-									
KIRBY CORP				6/7/2013	400	31,138 35	7/16/2013	100 00	8 255 91	7 784 59	471 32	6/7/2013	300 00	23 353 76	29,775 00					-
LINN ENERGY-see last page																				
																				-
LIVEPERSON INC				1/4/2013	200	2 583 06	8/6/2013	1,600 00	17,016 35	20,627 40	(3 611 05)									
				1/4/2013	1300	16,788 59					-									
				4/24/2013	100	1 255 75					-									
											-									
MOSAIC CO NEW	11/23/2012	800 00	42,063 87	7/24/2013	900	48 483.75	1/23/2013	100 00	5 950 93	5,257 98	692 95					150 00				150 00
							2/1/2013	100 00	6 123 92	5,257 98	865 94									-
							2/21/2013	100 00	5 675 55	5,257 99	417 56									-
							2/21/2013	200 00	11 352 76	10,515 97	836 79									-
							2/21/2013	300 00	17 026 67	15,773 95	1,252 72									-
							8/6/2013	200 00	8 216 07	10 774 17	(2,558 10)									
							8/6/2013	20 00	821 63	1 077 42	(255 79)									
							8/6/2013	80 00	3,286 34	4,309 66	(1,023 32)									
							8/6/2013	600 00	24 648 81	32 322 50	(7,673 69)									

ROYCE FAMILY FOUNDATION, INC.																				
INVESTMENTS IN MARKETABLE SECURITIES (Note 2)																				
calendar year ended December 31, 2013																				
					PURCHASES				SALES				ENDING					DIV/INTEREST		
			Cost or			Cost or								Cost or						
	Date	No of	Acquistion	Date	No of	Acquistion	Date	No of		cost of	gain or	Date	No of	Acquistion	Market	Jan-March	April-June	July-Sept	Oct-Dec	
Principal - Corporate stock	Acquired	Shares	Value	Acquired	Shares	Value	Sold	Shares	Proceeds	sale	loss	Acquired	Shares	Value	Value	1Q	2Q	3Q	4Q	TOTAL
				7/25/2013	2800	6,977 57					-									
				7/25/2013	414	1,031 67					-									
				8/5/2013	2000	4 846 95					-									-
				11/14/2013	1500	3,157 45					-									
											-									
DELL				3/19/2013	1000	short sale	3/19/2013	short sale	14,293 73	13,207 02	1,086 71						(160 00)	(120 00)		(280 00)
				3/20/2013	500	short sale	3/20/2013	short sale	7,147 89	6,406 11	741 78									-
				3/25/2012	500	short sale	3/25/2012	short sale	7,257 89	6,406 11	851 78									-
			cover	4/22/2013	500	6,651 45					-									-
			cover	7/18/2013	400	5,274 35														
			cover	7/22/2013	1100	14 093 45					-									
											-									
											-									
											-									-
DICKS SPORTING GOODS INC				5/24/2013	800	41,219 15	9/23/2013	100 00	5,200 16	5,152 39	47 77						112 50			112 50
				6/3/2013	100	5 140 35	9/24/2013	900 00	48 107 81	45 842 76	2,265 05									-
				8/28/2013	100	4 635 65					-									
											-									-
											-									-
											-									-
EBAY				4/30/2013	700	36,588 55	7/11/2013	200 00	10 802 26	10 453 87	348 39	12/3/2013	800 00	39,443 96	43,892 00					-
				6/11/2013	100	5 120 45	7/15/2013	700 00	38 508 18	36 328 88	2,179 30									-
				6/25/2013	100	5 073 75	12/23/2013	100 00	5 233 26	4 930 50	302 76									-
				12/3/2013	1000	49 304 95	12/23/2013	100 00	5 303 26	4 930 50	372 76									
											-									-
EBIX INC	11/12/2012	500 00	8 277 56	1/2/2013	100	1 605 85	2/13/2013	100 00	1 963 06	1 655 21	307 85					195 00				195 00
	11/12/2012	800 00	13,241 69	1/3/2013	100	1 591 95	2/13/2013	500 00	8 923.17	8 277 56	645 61									
	11/21/2012	400	6 584 75	1/4/2013	100	1 584 65	2/13/2013	700 00	13 717 61	11 586 48	2,131 13									-
	11/28/2012	100	1,622 95	1/22/2013	100	1 598 95	2/13/2013	400 00	7 838 63	6 584 75	1,253 88									-
	12/4/2012	100	1,661 75	2/5/2013	100	1 585 45	2/13/2013	100 00	1 959 66	1,622 95	336 71									
	12/6/2012	100	1,596 85	2/26/2013	700	9 508 78	2/13/2013	100 00	1 784 64	1 661 75	122 89									
	12/7/2012	100	1,609 55	2/26/2013	900	12 210 27	2/13/2013	100 00	1 959 66	1 596 85	362 81									-
				6/28/2013	1400	13,040 55	2/13/2013	100 00	1,960 26	1,609 55	350 71									-
				7/3/2013	500	4,666 95	2/13/2013	100 00	1,960 26	1,605 85	354 41									
				8/9/2013	100	876 40	2/13/2013	100 00	1,959 66	1,591 95	387 71									-
				8/9/2013	900	7,891 15	2/13/2013	100 00	1,959 65	1,584 65	375 00									-
							2/13/2013	100 00	1,960 26	1,598 95	361 31									-
							2/13/2013	100 00	1,959 66	1 585 45	374 21									-
							8/5/2013	400 00	4,574 97	5,432 00	(857 03)									-
							10/28/2013	500 00	6,293 94	6 790 78	(496 84)									
							10/28/2013	500 00	5,873 45	6 785 00	(911 55)									
							11/8/2013	600 00	7,250 89	6,438 00	812 89									
							11/8/2013	900 00	10 880 84	8,379 00	2,501 84									
							12/31/2013	1,600 00	32,474 88	14,369 32	18,105 56									
																				-
											-									
E-TRADE FINANCIAL CP				8/12/2013	1600	23 431 35					-	8/12/2013	1600	23 431 35	37 316 00					
				8/22/2013	300	4,355 20					-	8/22/2013	300	4 355 20						
											-									-
											-									-
EVERCORE PARTNERS INC	8/28/2012	100	2 520 94				1/22/2013	100 00	3,432 08	2 522 66	909 42					242 00				242 00
	8/28/2012	100	2,521 56				2/20/2013	100 00	3 984 16	2 522 56	1,461 60									-
	8/28/2012	100	2 521 66				2/28/2013	100 00	4 065 16	2 522 56	1 542 60									
	8/28/2012	100	2,522 66				3/22/2013	100 00	4 143 43	2 520 94	1 622 49									
	8/28/2012	800	20 180 51				3/22/2013	100 00	4 143 42	2 521 56	1 621.86									
							3/22/2013	100 00	4,143.42	2,521 66	1 621 76									-
							3/22/2001	600 00	24,860 54	15,135 39	9 725 15									-
											-									-
F5 NETWORKS INC	5/31/2012	400 00	40 008 75	3/1/2013	100	9 369 78	1/3/2013	100 00	10,028 43	10,002 19	26 24									-

ROYCE FAMILY FOUNDATION, INC

INVESTMENTS IN MARKETABLE SECURITIES (Note 2)

calendar year ended December 31, 2013

				PURCHASES			SALES					ENDING				DIV/INTEREST				
Principal - Corporate stock	Date Acquired	No of Shares	Cost or Acquistion Value	Date Acquired	No of Shares	Cost or Acquistion Value	Date Sold	No of Shares	Proceeds	cost of sale	gain or loss	Date Acquired	No of Shares	Cost or Acquistion Value	Market Value	Jan-March 1Q	April-June 2Q	July-Sept 3Q	Oct-Dec 4Q	TOTAL
											-									-
											-									-
											-									-
											-									-
BAIDU INC ADR	8/29/2012	100 00	11,641 19	2/5/2013	50	4880 95	5/22/2013	100 00	9 474 94	11,641 19	(2,166 25)									
	8/29/2012	300 00	34,925 66	2/8/2013	100	9642 55	5/28/2013	100 00	9,672 48	11,641 89	(1,969 41)									-
	9/11/2012	50 00	5 464 45	2/19/2013	100	9307 35	6/7/2013	100 00	10,139 67	11,641 89	(1,502 22)									-
	10/1/2012	50 00	5,640 45				7/19/2013	100 00	10 612 37	11,641 89	(1,029 52)									-
	12/7/2012	50 00	4,473 45				7/23/2013	100 00	10,924 86	11,104 90	(180 04)									-
							7/24/2013	100 00	11,098 68	9,354 40	1,744 28									-
							7/24/2013	100 00	11,098 26	9,642 55	1,455 71									-
							7/24/2013	100 00	11,099 36	9,307 55	1,791 81									
BOEING CO				1/16/2013	100	7,409 09	3/13/2013	100 00	8 407 46	7,409 29	998 17					291 00	194 00			485 00
				1/16/2013	400	29 637 16	4/25/2013	100 00	9 187 14	7,409 29	1,777 85									-
				1/17/2013	100	7 356 65	7/29/2013	300 00	32,574 18	22 227 67	10,346 51									-
							7/29/2013	100 00	10,483 07	7,356 65	3126 42									
											-									
BROADCOM CORP				7/26/2013	900	28 840 35	12/12/2013	300 00	8,329 10	9,613 45	(1 284 35)									
				7/30/2013	300	8 193 75	12/17/2013	1,250 00	35 041 69	36,745 30	(1,703 61)									
				9/10/2013	100	2 683 98					-									
				9/10/2013	100	2 684 17					-									
				9/17/2013	150	3 956 50					-									
											-									-
BROOKFIELD PROP PART				8/7/2013	400	8 238 36	12/24/2013	2,100 00	journaled shares											
				8/7/2013	400	8 238 35					-									
				8/7/2013	500	10 298 44					-									
				10/21/2013	300	5 771 65					-									
				11/14/2013	405	7 702 25					-									
				11/14/2013	95	1 807 46					-									
											-									
CHESAPEAKE ENERGY CORP				1/4/2013	800	14,779 46	1/30/2013	300 00	6,020 06	4 927 39	1,092 67					122 50				122 50
				1/4/2013	300	4,927 39	1/30/2013	900 00	18,060 10	14 779 46	3,280 64									-
				1/10/2013	200	3,295 35	1/30/2013	200 00	4,013 37	3 295 35	718 02									
CISCO SYSTEMS INC				12/19/2013	400	8,280 56						12/19/2013	400 00	8 280 56	31,402 00					
				12/19/2013	1000	20,704 39						12/19/2013	1 000 00	20 704 39						
CITIGROUP INC				10/4/2013	700 00	34,045 16					-	10/4/2012	700 00	34 045 16	46,899 00					
				10/4/2013	200 00	9 727 39					-	10/4/2013	200 00	9 727 39						
											-									
COHEN & STEERS INC				6/27/2013	100	3 300 68	11/19/2013	200 00	8 035 31	6 602 68	1,432 63	6/27/2013	200 00	6,603 35	52 078 00					
				6/27/2013	300	9 905 35						6/27/2013	500	16,500 42						-
				6/27/2013	500	16,500 42					-	7/5/2013	100	3,371 98						-
				7/5/2013	100	3 371 98						7/5/2013	100	3 372 47						
				7/5/2013	100	3,372 47					-	7/10/2013	100	3 283 58						
				7/10/2013	100	3,283.58					-	7/10/2013	100	3 283 17						
				7/10/2013	100	3 283 17					-	10/11/2013	200	6 454 95						
				10/11/2013	200	6 454 95					-									
											-									-
CYTRX CORP NEW				7/25/2013	100	247 20	12/16/2013	100 00	411 37	247 20	164 17									
				7/25/2013	286	709 85	12/16/2013	2 000 00	8 219 47	4,981 12	3,238 35									
				7/25/2013	100	249 20	12/16/2013	5 400 00	22,187 17	12,739 17	9 448 00									
				7/25/2013	200	498 40					-									
				7/25/2013	100	249 20					-									

ROYCE FAMILY FOUNDATION, INC

INVESTMENTS IN MARKETABLE SECURITIES (Note 2)

calendar year ended December 31, 2013

				PURCHASES			SALES					ENDING				DIV/INTEREST				
			Cost or			Cost or								Cost or						
	Date	No of	Acquistion	Date	No of	Acquistion	Date	No of		cost of	gain or	Date	No of	Acquistion	Market	Jan-March	April-June	July-Sept	Oct-Dec	
Principal - Corporate stock	Acquired	Shares	Value	Acquired	Shares	Value	Sold	Shares	Proceeds	sale	loss	Acquired	Shares	Value	Value	1Q	2Q	3Q	4Q	TOTAL
											-									
21ST CENTURY FOX				7/5/2013	900	24,826 15	7/16/2013	1,100	33,468 87	30,345 90	3,122 97									
				7/5/2013	200	5,519 75					-									
ABERCROMBIE & FITCH				12/26/2013	1,000	32,665 95					-	12/26/2013	1,000 00	32,665 95	32,910 00					
											-									
AGLIENT TECHNOLOGIES	8/16/2012	900 00	33,423 15				1/7/2013	100 00	4,146 06	3 713 68	432 38					105 00	114 00	102 00		321 00
	8/21/2012	150 00	5,515 50				4/12/2013	100 00	4 362 25	3,713 68	648 57									-
							10/11/2013	850 00	43 077 65	31,511 28	11 566 37									
											-									-
ALTERA CORP	11/5/2012	1,100 00	33,973 75				1/3/2013	100 00	3 529 97	3 088 52	441 45									-
	11/8/2012	200 00	6,125 75				1/16/2013	100 00	3,513 17	3 088 52	424 65									-
							1/17/2013	100 00	3,563 07	3,088 52	494 55									-
							1/23/2013	300 00	10 621 87	9,265 57	1 356 30									-
							1/23/2013	500 00	17,701 63	15,442 62	2,259 01									-
							1/23/2013	200 00	7,080 65	6 125 75	954 90									-
											-									-
AMERICAN INTL GROUP NEW	9/10/2012	600 00	19,952 97				1/7/2013	100 00	3,600 27	3 325 50	274 77									-
	9/11/2012	500 00	16 461 95				2/15/2013	500 00	19,497 09	16 627 47	2,869 62									-
							2/15/2013	500 00	19,497 09	16,461 95	3 035.14									-
											-									-
APACHECORP	10/3/2012	100 00	8,597 77	2/19/2013	50	3,853 90	4/18/2013	100 00	7,071 69	8,598 49	(1,526 80)					85 00	220 00			305 00
	10/3/2012	200 00	17,195 98				4/30/2013	50 00	3,657 59	4,298 88	(641 29)									-
	10/3/2012	200 00	17,196 98				4/30/2013	50 00	3,657 73	4,298 89	(641 16)									-
							4/30/2013	50 00	3 657 58	4,299 00	(641 42)									-
							4/30/2013	100 00	7 315 17	8,598 40	(1,283.23)									-
							4/30/2013	150 00	10 973 21	12,896 98	(1 923 77)									-
							4/30/2013	50 00	3 657 58	3,853 90	(196 32)									-
											-									
APPLE INC	12/13/2012	1	527 66	1/14/2013	10	5,179 80	4/25/2013	20 00	8,144 27	10 577 79	(2 433 52)					450 50	610 00			1,060 50
	12/13/2012	2	1,055 48	1/14/2013	10	5,042 85	4/26/2013	20 00	8,254 86	10,577 79	(2 322 93)									-
	12/13/2012	17	8,971 54	1/25/2013	10	4,498 05	5/3/2013	6 00	2,703 75	3,173 34	(469 59)									-
	12/13/2012	100 00	52,888 95	1/25/2013	10	4 459 25	5/3/2013	24 00	10,815 00	12,693 35	(1,878 35)									-
	12/26/2012	10 00	5,156 05	8/18/2013	110	51 514 14	5/28/2013	1 00	444 96	527 66	(82 70)									-
							5/28/2013	2 00	889 92	1,055 48	(165 56)									-
							5/28/2013	17 00	7 564 30	8,971 54	(1,407 24)									
							5/28/2013	30 00	13 348 76	15 866 68	(2,517 92)									-
							5/28/2013	10 00	4 449 59	5,156 05	(706 46)									-
							5/28/2013	10 00	4 449 59	5 179 80	(730 21)									-
							5/28/2013	10 00	4,449 59	5 042 85	(593 26)									-
							5/28/2013	10 00	4,449 59	4 459 25	(9 66)									-
							5/28/2013	10 00	4,449 59	4 498 05	(48 46)									-
							10/17/2013	20 00	9 839 68	9 366 20	473 48									
							10/17/2013	90 00	44,734 67	42,147 93	2,586 74									
ARUBA NETWORKS INC				5/8/2013	2000	34,567 95	7/18/2013	300 00	5,196 96	5 185 19	11 77									
				5/17/2013	500	6 422 45	10/16/2013	400 00	7 611 32	6 913 59	697 73									
							10/17/2013	600 00	11 673 45	10 370 39	1,303 06									-
							11/4/2013	200 00	3 848 44	3 456 80	391 64									
							11/4/2013	600 00	11,539 92	9 926 48	1,613 44									
							11/4/2013	400 00	7,692 89	5,137 95	2,554 94									
											-									
											-									-
AVAGO TECHNOLOGIES	9/24/2012	800 00	27,431 95	2/21/2013	100	3362 85	2/5/2013	100 00	3 536 95	3,433 87	103 08						499 00			499 00
	10/9/2012	100 00	3 335 85	4/23/2013	100	3249 85	2/5/2013	100 00	3 537 44	3,433 88	103 56									
	10/10/2012	100 00	3,305 48				6/5/2013	200 00	7 408 72	6 857 99	550 73									-
	10/10/2012	100 00	3,305 97				7/5/2013	100 00	3 739 18	3 428 99	310 19									-
	10/26/2012	100 00	3,394 85				7/10/2013	100 00	3 851 18	3 428 99	422 19									
	12/7/2012	200 00	6,867 75				7/16/2013	1 000 00	38,186 39	33 670 83	4,515 56									-
											-									-

RH 4/25/14

ROYCE FAMILY FOUNDATION, INC

INVESTMENTS IN MARKETABLE SECURITIES (Note 2)

calendar year ended December 31, 2013

				PURCHASES			SALES					ENDING				DIV/INTEREST				
Principal - Corporate stock	Date Acquired	No of Shares	Cost or Acquistion Value	Date Acquired	No of Shares	Cost or Acquistion Value	Date Sold	No of Shares	Proceeds	cost of sale	gain or loss	Date Acquired	No of Shares	Cost or Acquistion Value	Market Value	Jan March 1Q	April-June 2Q	July-Sept 3Q	Oct-Dec 4Q	TOTAL
TOTAL EQUITIES			$ 770,620 29			$ 2,007,501 02			$ 2,369,832 56	$ 2,110,225 07	$ 259,607 49	@		$ 625,838 92	$ 604,277 00					-
											-					2,871 18	2 888 50	443 51	-	6,203 19
FUNDS																				
MATTHEW 25 FUND		686 9710	15 287 92	12/26/2013	3 343	101 40							694 23	15 508 06	21,132 30					
				12/26/2013	1 985	60 22														
				12/26/2013	1 929	58 52														
														641 346 99	625,409 30					
			$ 15 287 92																	
TOTAL FUNDS																				
linn partnership				7/24/2013	1,200 00	33,451 95	8/20/2013	1,600 00	38,614 38	44,235 90	(5,621 52)			-						
				7/29/2013	400 00	10,783 95														
BROOKFIELD PROP PARTNERS						(42 056 51)														
GRAND TOTALS			785 908 21		-	2,009 900 56			$ 2,408,446 94	$ 2,154,460 97	$ 253,985 97			641,347 80	✓					
cash receipts reconciliation																				
				2013 purchase		2,009 900 56	1099 reconciliation		2 408 446 94	2,154,460 97	253 985 97									
sales proceeds		2 408 446 94		Linn Partnership		(44 235 90)	ishares china		(42 330 31)		(42,330 31)									
2013 purchases		(2,009 900 56)					vodfone		(33 460 22)		(33,460 22)									
purchases of Brookfield prop part		(42 056 44)		t/b postings		1,965,664 66	wisdomtree		(33,669 56)		(33,669 56)									
total		356 489 94							2 298 988 85	2,154,460 97	144 525 88									
amount per cash rec summary		(324 015 06)																		
amount per cash rec summary		(32 474 88)					open stock positions		✓		(109 460 09)									
difference		0 00																		

2369832.56
(109,460.09)
2260,372.47

259 607.49 @
(109460.09)
150.147.40

Royce Family Foundation
Gain summary
12/31/2013

	proceeds	cost basis	gain
SCHWAB A/C # 4075	1 2,227,898	2,088,506 **	139,392
SCHWAB A/C # 6177	32,475	21,719	10,756
stmt totals	2,260,373	2,110,225	150,148
Sale of Linn Partnership	1 38,614	44,236	-5,622
	2,298,987	2,154,461	144,526
ord gain adjust			-877
cum basis adjustment	0	-1,025	1,025
	2,298,987	2,153,436	144,674
CAPITAL GAIN DIVIDEND	101	0	101
	2,299,088	2,153,436	144,775
long term	142,132	124,512	17,620
short term	2,156,956	2,028,924	127,155

** Cost basis is different from the schwab provided amounts on the 1099
amounts from BH&A LLP schedule where used when differences in cost basis were noted

1 = 2266,512

charles SCHWAB

Schwab One® Account of
ROYCE FAMILY FOUNDATION, INC.

Account Number
7502-4075

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Prepared on January 18, 2014

18/01-CG1K2601-000707-MED-117531028 48363 *
ROYCE FAMILY FOUNDATION, INC.
30 JERICHO EXEC PLZ STE 2
ATTN R COBURN
JERICHO NY 11753

000707

Message Center

Your gain/loss report includes a summarized list of your realized gains/losses for 2013. You can also log in to www.schwab.com/reports to view your documents securely online and visit www.schwab.com/paperless_services to manage your delivery preferences.

Your Schwab Consultant

Jonathan Geiger
VP - Financial Consultant

tel: 1(212)362-4543
email: Jonathan.Geiger@schwab.com

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Schwab by Phone ™ Automated Services:
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To track cost basis and gain/loss information online, please go to the gain/loss tab after logging into your account.

0000007070117

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

CG1K2601-000707 48363

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method
Mutual Funds: Average
All Other Investments High Cost

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
AGILENT TECHNOLOGIES INC A	100.0000	08/16/12	01/02/13	$4,146.06	$3,713 68	$432 38
AGILENT TECHNOLOGIES INC A	100.0000	08/16/12	04/12/13	$4,362.25	$3,713 68	$648 57
Security Subtotal				**$8,508.31**	**$7,427.36**	**$1,080.95**
ALTERA CORPORATION ALTR	100.0000	11/05/12	01/03/13	$3,529 97	$3,088 52	$441 45
ALTERA CORPORATION. ALTR	100.0000	11/05/12	01/16/13	$3,513 17	$3,088 52	$424 65
ALTERA CORPORATION· ALTR	100.0000	11/05/12	01/17/13	$3,583.07	$3,088 52	$494 55
ALTERA CORPORATION ALTR	300.0000	11/05/12	01/23/13	$10,621 87	$9,265 57	$1,356 30
ALTERA CORPORATION ALTR	500.0000	11/05/12	01/23/13	$17,701.63	$15,442.62	$2,259.01
ALTERA CORPORATION ALTR	200 0000	11/08/12	01/23/13	$7,080 65	$6,125 75	$954.90
Security Subtotal				**$46,030.36**	**$40,099.50**	**$5,930.86**
AMERICAN INTL GROUP NEW AIG	100 0000	09/10/12	01/02/13	$3,600 27	$3,325 50	$274.77
AMERICAN INTL GROUP NEW: AIG	500.0000	09/10/12	02/15/13	$19,497.09	$16,627 47	$2,869 62

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1K2601-000707 48364

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
AMERICAN INTL GROUP NEW AIG	500 0000	09/11/12	02/15/13	$19,497.09	$16,461 95	$3,035 14
Security Subtotal				**$42,594.45**	**$36,414.92**	**$6,179.53**
APACHE CORP. APA	100.0000	10/03/12	04/18/13	$7,071 69	$8,598 49	($1,526 80)
APACHE CORP APA	50.0000	10/03/12	04/30/13	$3,657.59	$4,298 88	($641.29)
APACHE CORP APA	50 0000	10/03/12	04/30/13	$3,657.73	$4,298 89	($641 16)
APACHE CORP APA	50 0000	10/03/12	04/30/13	$3,657 58	$4,299 00	($641 42)
APACHE CORP. APA	100.0000	10/03/12	04/30/13	$7,315 17	$8,598 49	($1,283.32)
APACHE CORP: APA	150.0000	10/03/12	04/30/13	$10,973 21	$12,896.98	($1,923 77)
APACHE CORP. APA	50.0000	02/19/13	04/30/13	$3,657.58	$3,853.90	($196.32)
Security Subtotal				**$39,990.55**	**$46,844.63**	**($6,854.08)**
APPLE INC· AAPL	20 0000	12/13/12	04/25/13	$8,144 27	$10,577 79	($2,433 52)
APPLE INC: AAPL	20 0000	12/13/12	04/26/13	$8,254.86	$10,577.79	($2,322 93)
APPLE INC. AAPL	6.0000	12/13/12	05/03/13	$2,703 75	$3,173 34	($469 59)

G000007070217

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

CG1K2601-000707 48365

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
APPLE INC· AAPL	24 0000	12/13/12	05/03/13	$10,815.00	$12,693 35	($1,878 35)
APPLE INC. AAPL	1 0000	12/13/12	05/28/13	$444.96	$527 66	($82 70)
APPLE INC: AAPL	2.0000	12/13/12	05/28/13	$889.92	$1,055 48	($165 56)
APPLE INC: AAPL	17 0000	12/13/12	05/28/13	$7,564.30	$8,971 54	($1,407 24)
APPLE INC AAPL	30.0000	12/13/12	05/28/13	$13,348.76	$15,866 68	($2,517 92)
APPLE INC AAPL	10.0000	12/26/12	05/28/13	$4,449.59	$5,156.05	($706.46)
APPLE INC AAPL	10 0000	01/09/13	05/28/13	$4,449 59	$5,179.80	($730.21)
APPLE INC· AAPL	10.0000	01/14/13	05/28/13	$4,449 59	$5,042.85	($593 26)
APPLE INC AAPL	10 0000	01/25/13	05/28/13	$4,449 58	$4,459 25	($9 67)
APPLE INC AAPL	10 0000	01/25/13	05/28/13	$4,449 59	$4,498 05	($48 46)
APPLE INC AAPL	20 0000	09/13/13	10/11/13	$9,839.68	$9,366.21	$473.47
APPLE INC AAPL	90 0000	09/13/13	10/14/13	$44,734 67	$42,147 93	$2,586 74
Security Subtotal				**$128,988.11**	**$139,293.77**	**($10,305.66)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1K2601-000707 48366

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments. High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ARUBA NETWORKS INC ARUN	300.0000	05/08/13	07/15/13	✓ $5,196.96	$5,185 19	$11 77
ARUBA NETWORKS INC ARUN	400 0000	05/08/13	10/10/13	✓ $7,611 32	$6,913 59	$697 73
ARUBA NETWORKS INC ARUN	600.0000	05/08/13	10/11/13	✓ $11,673 45	$10,370 39	$1,303 06
ARUBA NETWORKS INC· ARUN	200 0000	05/08/13	10/30/13	✓ $3,848 44	$3,456.79	$391 65
ARUBA NETWORKS INC. ARUN	500 0000	05/08/13	10/30/13	✓ $9,616.60	$8,641 99	$974 61
ARUBA NETWORKS INC: ARUN	100 0000	05/17/13	10/30/13	✓ $1,923 32	$1,284.49	$638.83
ARUBA NETWORKS INC. ARUN	400 0000	05/17/13	10/30/13	✓ $7,692.89	$5,137 96	$2,554.93
Security Subtotal				**$47,562.98**	**$40,990.40**	**$6,572.58**
AVAGO TECHNOLOGIES F· AVGO	100 0000	12/07/12	02/05/13	✓ $3,536.95	$3,433 87	$103.08
AVAGO TECHNOLOGIES F· AVGO	100.0000	12/07/12	02/05/13	✓ $3,537.44	$3,433.88	$103 56
AVAGO TECHNOLOGIES F: AVGO	200.0000	09/24/12	06/05/13	✓ $7,408.72	$6,857.99	$550 73
AVAGO TECHNOLOGIES F. AVGO	100 0000	09/24/12	07/01/13	✓ $3,739.18	$3,428 99	$310 19
AVAGO TECHNOLOGIES F. AVGO	100.0000	09/24/12	07/05/13	✓ $3,851.18	$3,428.99	$422.19

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1K2601-000707 48367

G000007070317

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments High Cost

Short-Term (continued)		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
AVAGO TECHNOLOGIES	F: AVGO	400 0000	09/24/12	07/11/13	$15,274.56	$13,715 98	$1,558 58
AVAGO TECHNOLOGIES	F· AVGO	100 0000	10/09/12	07/11/13	$3,818 64	$3,335.85	$482 79
AVAGO TECHNOLOGIES	F AVGO	100.0000	10/10/12	07/11/13	$3,818.64	$3,305 48	$513 16
AVAGO TECHNOLOGIES	F· AVGO	100 0000	10/10/12	07/11/13	$3,818.64	$3,305 97	$512 67
AVAGO TECHNOLOGIES	F. AVGO	100 0000	10/26/12	07/11/13	$3,818.64	$3,394 85	$423 79
AVAGO TECHNOLOGIES	F· AVGO	100 0000	02/21/13	07/11/13	$3,818.64	$3,362 85	$455 79
AVAGO TECHNOLOGIES	F· AVGO	100.0000	04/18/13	07/11/13	$3,818 63	$3,249 85	$568 78
Security Subtotal					**$60,259.86**	**$54,254.55**	**$6,005.31**
BAIDU INC ADR 1 ADR REPS 1· BIDU	FSPONSORED ADR	100.0000	08/29/12	05/17/13	$9,474.94	$11,641.89	($2,166.95)
BAIDU INC ADR 1 ADR REPS 1· BIDU	FSPONSORED ADR	100.0000	08/29/12	05/28/13	$9,672.48	$11,641 89	($1,969 41)
BAIDU INC ADR 1 ADR REPS 1· BIDU	FSPONSORED ADR	100 0000	08/29/12	06/07/13	$10,139.67	$11,641 88	($1,502 21)
BAIDU INC ADR 1 ADR REPS 1 BIDU	FSPONSORED ADR	100.0000	08/29/12	07/16/13	$10,612.37	$11,641.19	($1,028.82)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1K2601-000707 48368

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments High Cost

Short-Term (continued)		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
BAIDU INC ADR 1 ADR REPS 1 BIDU	FSPONSORED ADR	50 0000	09/11/12	07/18/13	$5,462.43	$5,464 45	($2 02)
BAIDU INC ADR 1 ADR REPS 1: BIDU	FSPONSORED ADR	50 0000	10/01/12	07/18/13	$5,462.43	$5,640.45	($178.02)
BAIDU INC ADR 1 ADR REPS 1 BIDU	FSPONSORED ADR	50 0000	12/07/12	07/19/13	$5,549 68	$4,473 45	$1,076.23
BAIDU INC ADR 1 ADR REPS 1: BIDU	FSPONSORED ADR	50.0000	02/05/13	07/19/13	$5,549 34	$4,880 95	$668.39
BAIDU INC ADR 1 ADR REPS 1: BIDU	FSPONSORED ADR	50.0000	02/08/13	07/19/13	$5,549.13	$4,821 27	$727 86
BAIDU INC ADR 1 ADR REPS 1: BIDU	FSPONSORED ADR	50 0000	02/08/13	07/19/13	$5,549.34	$4,821.28	$728 06
BAIDU INC ADR 1 ADR REPS 1: BIDU	FSPONSORED ADR	50 0000	02/19/13	07/19/13	$5,549.68	$4,653 67	$896 01
BAIDU INC ADR 1 ADR REPS 1 BIDU	FSPONSORED ADR	50.0000	02/19/13	07/19/13	$5,549.13	$4,653 68	$895 45
Security Subtotal					**$84,120.62**	**$85,976.05**	**($1,855.43)**
BOEING CO. BA		100 0000	01/16/13	03/13/13	$8,407.46	$7,409 29	$998.17

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments High Cost

Short-Term (continued)		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
BOEING CO BA		100 0000	01/16/13	04/25/13	✓ $9,187.14	$7,409 29	$1,777 85
BOEING CO BA		100 0000	01/16/13	07/09/13	✓ $10,483.07	$7,409.29	$3,073 78
BOEING CO BA		100.0000	01/16/13	07/24/13	✓ $10,858 06	$7,409.09	$3,448 97
BOEING CO BA		100.0000	01/16/13	07/24/13	✓ $10,858.06	$7,409 29	$3,448 77
BOEING CO BA		100.0000	01/17/13	07/24/13	✓ $10,858 06	$7,356.65	$3,501.41
Security Subtotal					**$60,651.85**	**$44,402.90**	**$16,248.95**
BROADCOM CORP CL A	CLASS A BRCM	300 0000	07/23/13	12/09/13	✓ $8,329.10	$9,613 45	($1,284.35)
BROADCOM CORP CL A	CLASS A. BRCM	600.0000	07/23/13	12/12/13	✓ $16,820 01	$19,226 90	($2,406 89)
BROADCOM CORP CL A	CLASS A. BRCM	300 0000	07/25/13	12/12/13	✓ $8,410.01	$8,193 75	$216.26
BROADCOM CORP CL A	CLASS A. BRCM	100 0000	09/05/13	12/12/13	✓ $2,803.34	$2,683 98	$119 36
BROADCOM CORP CL A	CLASS A· BRCM	100 0000	09/05/13	12/12/13	✓ $2,803.34	$2,684 17	$119 17
BROADCOM CORP CL A	CLASS A BRCM	150.0000	09/12/13	12/12/13	✓ $4,204.99	$3,956 50	$248.49
Security Subtotal					**$43,370.79**	**$46,358.75**	**($2,987.96)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds: Average
All Other Investments High Cost

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
CHESAPEAKE ENERGY CORP CHK	300 0000	12/31/12	01/30/13	✓ $6,020 06	$4,927 39	$1,092 67
CHESAPEAKE ENERGY CORP. CHK	900.0000	12/31/12	01/30/13	✓ $18,060.19	$14,779 46	$3,280.73
CHESAPEAKE ENERGY CORP CHK	200.0000	01/10/13	01/30/13	✓ $4,013.37	$3,295 35	$718.02
Security Subtotal				**$28,093.62**	**$23,002.20**	**$5,091.42**
COHEN & STEERS INC CNS	100 0000	07/01/13	11/14/13	✓ $4,017 65	$3,371 98	$645.67
COHEN & STEERS INC. CNS	100 0000	07/01/13	11/14/13	✓ $4,017 66	$3,372 47	$645.19
Security Subtotal				**$8,035.31**	**$6,744.45**	**$1,290.86**
CYTRX CORP NEW CYTR	100 0000	07/22/13	12/11/13	✓ $410 87	$247 20	$163.67
CYTRX CORP NEW CYTR	100 0000	07/22/13	12/11/13	✓ $410.97	$249.20	$161 77
CYTRX CORP NEW· CYTR	100.0000	07/22/13	12/11/13	✓ $411 37	$249 20	$162 17
CYTRX CORP NEW· CYTR	200 0000	07/22/13	12/11/13	✓ $821.95	$498.40	$323 55
CYTRX CORP NEW CYTR	286 0000	07/22/13	12/11/13	✓ $1,175.10	$709 85	$465.25
CYTRX CORP NEW. CYTR	414 0000	07/22/13	12/11/13	✓ $1,701.02	$1,031.67	$669 35

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments. High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
CYTRX CORP NEW CYTR	1,100.0000	07/22/13	12/11/13	✓$4,519 61	$2,741 19	$1,778 42
CYTRX CORP NEW CYTR	1,700.0000	07/22/13	12/11/13	✓$6,986.55	$4,236 38	$2,750.17
CYTRX CORP.NEW CYTR	2,000 0000	07/31/13	12/11/13	✓$8,217.47	$4,846 95	$3,370 52
CYTRX CORP NEW CYTR	1,500 0000	11/11/13	12/11/13	✓$6,163.10	$3,157.45	$3,005 65
Security Subtotal				**$30,818.01**	**$17,967.49**	**$12,850.52**
DELL INC DELL	500.0000 S	04/25/13	04/25/13	✓$7,146.87	$6,651 45	$495 42
DELL INC DELL	400 0000 S	07/18/13	07/18/13	✓$5,717.49	$5,274 35	$443 14
DELL INC DELL	100 0000 S	07/22/13	07/22/13	✓$1,429.37	$1,281 22	$148.15
DELL INC DELL	500 0000 S	07/22/13	07/22/13	✓$7,147 89	$6,406 11	$741 78
DELL INC DELL	500.0000 S	07/22/13	07/22/13	✓$7,257.89	$6,406.12	$851 77
Security Subtotal				**$28,699.51**	**$26,019.25**	**$2,680.26**
DICKS SPORTING GOODS INC: DKS	100.0000	05/24/13	09/18/13	✓$5,200 16	$5,152 39	$47 77
DICKS SPORTING GOODS INC DKS	700 0000	05/24/13	09/19/13	✓$37,417.19	$36,066 76	$1,350 43

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments. High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
DICKS SPORTING GOODS INC DKS	100 0000	05/29/13	09/19/13	$5,345.31	$5,140 35	$204 96
DICKS SPORTING GOODS INC: DKS	100.0000	08/23/13	09/19/13	$5,345 31	$4,635 65	$709.66
Security Subtotal				**$53,307.97**	**$50,995.15**	**$2,312.82**
EBAY INC: EBAY	200.0000	04/25/13	07/08/13	$10,802 26	$10,453.87	$348 39
EBAY INC. EBAY	500.0000	04/25/13	07/10/13	$27,505.84	$26,134 68	$1,371.16
EBAY INC· EBAY	100 0000	06/06/13	07/10/13	$5,501.17	$5,120 45	$380.72
EBAY INC. EBAY	100 0000	06/20/13	07/10/13	$5,501.17	$5,073.75	$427 42
EBAY INC. EBAY	100.0000	11/27/13	12/18/13	$5,303.26	$4,930.49	$372.77
EBAY INC EBAY	100 0000	11/27/13	12/18/13	$5,233.26	$4,930 50	$302 76
Security Subtotal				**$59,846.96**	**$56,643.74**	**$3,203.22**
EBIX INC EBIX	100.0000	11/12/12	02/13/13	$1,963 06	$1,655.21	$307 85
EBIX INC EBIX	500.0000	11/12/12	02/13/13	$8,923 17	$8,277 56	$645.61
EBIX INC EBIX	700.0000	11/12/12	02/13/13	$13,717.61	$11,586 48	$2,131.13

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

G000007070617

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
EBIX INC EBIX	400.0000	11/21/12	02/13/13	$7,838.63	$6,584 75	$1,253.88
EBIX INC EBIX	100 0000	11/28/12	02/13/13	$1,959 66	$1,622 95	$336 71
EBIX INC. EBIX	100 0000	12/04/12	02/13/13	$1,784.64	$1,661 75	$122 89
EBIX INC EBIX	100.0000	12/06/12	02/13/13	$1,959.66	$1,596 85	$362 81
EBIX INC. EBIX	100.0000	12/07/12	02/13/13	$1,960 26	$1,609.55	$350 71
EBIX INC: EBIX	100 0000	12/27/12	02/13/13	$1,960.26	$1,605.85	$354 41
EBIX INC: EBIX	100 0000	12/28/12	02/13/13	$1,959 66	$1,591 95	$367 71
EBIX INC: EBIX	100.0000	12/31/12	02/13/13	$1,959 65	$1,584 65	$375 00
EBIX INC EBIX	100 0000	01/22/13	02/13/13	$1,960.26	$1,598 95	$361 31
EBIX INC· EBIX	100 0000	02/05/13	02/13/13	$1,959.66	$1,585 45	$374 21
EBIX INC EBIX	400 0000	06/28/13	07/31/13	$4,574 97	$3,733 56	$841 41
EBIX INC EBIX	400 0000	06/25/13	10/23/13	$5,035.15	$3,725 87	$1,309.28
EBIX INC. EBIX	500.0000	06/25/13	10/23/13	$5,873.45	$4,657 34	$1,216.11

2013 Year-End Schwab Gain/Loss Report

G000007070717

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
EBIX INC EBIX	100 0000	06/28/13	10/23/13	$1,258 79	$933.39	$325.40
EBIX INC EBIX	500 0000	06/25/13	11/05/13	$6,042 41	$4,657 34	$1,385.07
EBIX INC EBIX	100.0000	08/06/13	11/05/13	$1,208 98	$876 40	$332.58
EBIX INC EBIX	100 0000	08/06/13	11/05/13	$1,208 48	$876 79	$331 69
EBIX INC EBIX	800.0000	08/06/13	11/05/13	$9,671.86	$7,014 36 71390 ST	$2,657.50
Security Subtotal				**$84,780.27**	**$69,037.00**	**$15,743.27**
EVERCORE PARTNERS INC A CLASS A. EVR	100 0000	08/28/12	01/22/13	$3,432.07	$2,522 66	$909.41
EVERCORE PARTNERS INC A CLASS A· EVR	100 0000	08/28/12	02/20/13	$3,984.16	$2,522 56	$1,461 60
EVERCORE PARTNERS INC A CLASS A: EVR	100.0000	08/28/12	02/28/13	$4,065 16	$2,522 56	$1,542 60
EVERCORE PARTNERS INC A CLASS A: EVR	100 0000	08/28/12	03/22/13	$4,143 43	$2,520 94	$1,622.49
EVERCORE PARTNERS INC A CLASS A. EVR	100 0000	08/28/12	03/22/13	$4,143 42	$2,521 56	$1,621 86
EVERCORE PARTNERS INC A CLASS A. EVR	100.0000	08/28/12	03/22/13	$4,143.42	$2,521 66	$1,621.76

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
EVERCORE PARTNERS INC A CLASS A· EVR	600 0000	08/28/12	03/22/13	✓$24,860 54	$15,135.39	$9,725 15
Security Subtotal				**$48,772.20**	**$30,267.33**	**$18,504.87**
F5 NETWORKS INC: FFIV	100.0000	05/31/12	01/03/13	✓$10,028 43	$10,002 19	$26 24
F5 NETWORKS INC. FFIV	300 0000	05/31/12	01/24/13	✓$31,423.43	$30,006.56	$1,416.87
F5 NETWORKS INC· FFIV	100 0000	06/21/12	01/24/13	✓$10,474 48	$9,817 95	$656.53
F5 NETWORKS INC FFIV	50.0000	10/11/12	01/24/13	✓$5,237.24	$4,913 95	$323 29
F5 NETWORKS INC FFIV	50 0000	10/17/12	01/24/13	✓$5,237 23	$4,876 95	$360.28
F5 NETWORKS INC. FFIV	100 0000	03/01/13	07/15/13	✓$8,132 91	$9,370.67	($1,237 76)
F5 NETWORKS INC. FFIV	100 0000	03/01/13	07/24/13	✓$8,169 87	$9,369.78	($1,199 91)
F5 NETWORKS INC· FFIV	100.0000	03/13/13	07/24/13	✓$8,169 87	$9,253 85	($1,083 98)
F5 NETWORKS INC: FFIV	100.0000	03/13/13	07/24/13	✓$8,169 87	$9,281.15	($1,111 28)
F5 NETWORKS INC: FFIV	100.0000	04/17/13	07/24/13	✓$8,169 86	$7,340 15	$829.71

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

CG1K2601-000707 48376

2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds: Average
All Other Investments High Cost

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
F5 NETWORKS INC FFIV	100 0000	06/03/13	07/24/13	$8,169 87	$7,849 15	$320.72
Security Subtotal				**$111,383.06**	**$112,082.35**	**($699.29)**
GAP INC GPS	200.0000 S	10/15/13	10/15/13	$8,495 50	$7,899.38	$596 12
GAP INC GPS	300 0000 S	10/15/13	10/15/13	$12,366 92	$11,849 09	$517.83
GAP INC GPS	500.0000 S	10/15/13	10/15/13	$20,610.55	$19,748.48	$862.07
Security Subtotal				**$41,472.97**	**$39,496.95**	**$1,976.02**
GREEN MTN COFFEE ROASTER GMCR	100.0000	06/27/13	08/01/13	$7,756.71	$7,367.89	$388.82
GREEN MTN COFFEE ROASTER GMCR	100.0000	06/27/13	09/09/13	$8,618 10	$7,367.89	$1,250 21
GREEN MTN COFFEE ROASTER GMCR	200 0000	06/27/13	11/21/13	$14,041 01	$14,735 77	([illegible]) (~~$347.38~~)
GREEN MTN COFFEE ROASTER GMCR	18 0000	06/27/13	12/06/13	$1,280.45	$1,139.98	$140.47
GREEN MTN COFFEE ROASTER: GMCR	82.0000	06/27/13	12/06/13	$5,833.18	$5,192.92	$640.26
GREEN MTN COFFEE ROASTER GMCR	100 0000	07/09/13	12/06/13	$7,070.50	$7,302 55	($232 05)
GREEN MTN COFFEE ROASTER GMCR	100 0000	07/09/13	12/06/13	$7,070.50	$7,315 95	($245.45)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

CG1K2601-000707 48377

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments High Cost

Short-Term (continued)		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
GREEN MTN COFFEE ROASTER: GMCR		100.0000	07/10/13	12/06/13	$7,113 64	$6,861.55	$252 09
GREEN MTN COFFEE ROASTER: GMCR		100.0000	10/10/13	12/06/13	$7,113.64	$6,714 46	$399 18
GREEN MTN COFFEE ROASTER GMCR		100 0000	10/10/13	12/06/13	$7,113.64	$6,714 48	$399.16
Security Subtotal					**$73,011.37**	**$70,713.44**	**~~$2,645.31~~** 229193
HERBALIFE LTD	F. HLF	88 0000	04/22/13	05/03/13	$3,608.16	$3,167 06	$441 10
HERBALIFE LTD	F: HLF	512 0000	04/22/13	05/03/13	$20,992.94	$18,428 03	$2,564 91
Security Subtotal					**$24,601.10**	**$21,595.09**	**$3,006.01**
KB HOME KBH		300.0000	08/06/13	09/24/13	$5,355.86	$5,042 65	$313 21
KB HOME. KBH		200 0000	07/25/13	09/25/13	$3,607.14	$3,343.22	$263 92
KB HOME: KBH		1,100 0000	07/25/13	09/25/13	$19,839.29	$18,396.53	$1,442.76
KB HOME KBH		200.0000	08/19/13	09/25/13	$3,607.15	$3,274.55	$332.60
Security Subtotal					**$32,409.44**	**$30,056.95**	**$2,352.49**
KIRBY CORPORATION KEX		100.0000	06/04/13	07/11/13	$8,255.91	$7,784 59	$471.32
Security Subtotal					**$8,255.91**	**$7,784.59**	**$471.32**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1K2601-000707 48378

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds. Average
All Other Investments High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
LINN ENERGY LINE	1,200.0000	07/19/13	08/15/13	$28,960 79	$33,451 95	($4,491.16)
LINN ENERGY. LINE	400 0000	07/24/13	08/15/13	$9,653.59	$10,783.95	($1,130.36)
Security Subtotal				**$38,614.38**	**$44,235.90**	**($5,621.52)**
LIVEPERSON INC LPSN	200 0000	12/31/12	08/01/13	$2,127.04	$2,583 06	($456.02)
LIVEPERSON INC LPSN	1,300 0000	12/31/12	08/01/13	$13,825 78	$16,788 59	($2,962.81)
LIVEPERSON INC LPSN	100.0000	04/19/13	08/01/13	$1,063.53	$1,255 75	($192 22)
Security Subtotal				**$17,016.35**	**$20,627.40**	**($3,611.05)**
MOSAIC CO NEW. MOS	100 0000	11/23/12	01/23/13	$5,950 93	$5,257 98	$692.95
MOSAIC CO NEW MOS	100 0000	11/23/12	02/01/13	$6,123 92	$5,257 98	$865.94
MOSAIC CO NEW MOS	100.0000	11/23/12	02/21/13	$5,675 55	$5,257 99	$417 56
MOSAIC CO NEW MOS	200 0000	11/23/12	02/21/13	$11,352 76	$10,515 97	$836.79
MOSAIC CO NEW. MOS	300.0000	11/23/12	02/21/13	$17,026.67	$15,773.95	$1,252.72
MOSAIC CO NEW MOS	20.0000	07/19/13	08/01/13	$821.63	$1,077 42	($255 79)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

CG1K2601-000707 48379

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds. Average
All Other Investments High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
MOSAIC CO NEW· MOS	80 0000	07/19/13	08/01/13	$3,286 34	$4,309 67	($1,023 33)
MOSAIC CO NEW MOS	200.0000	07/19/13	08/01/13	$8,216.07	$10,774 17	($2,558.10)
MOSAIC CO NEW. MOS	600 0000	07/19/13	08/01/13	$24,648.81	$32,322 49	($7,673 68)
Security Subtotal				**$83,102.68**	**$90,547.62**	**($7,444.94)**
MRC GLOBAL INC MRC	100 0000	08/05/13	11/08/13	$3,100.07	$2,480 70	$619 37
MRC GLOBAL INC. MRC	200.0000	08/05/13	11/08/13	$6,200.32	$4,961 39	$1,238 93
MRC GLOBAL INC: MRC	700.0000	08/05/13	11/18/13	$21,216.08	$17,364.86	$3,851 22
Security Subtotal				**$30,516.47**	**$24,806.95**	**$5,709.52**
NETGEAR INC: NTGR	100 0000	01/31/13	06/04/13	$3,355 79	$3,566 62	(210 83) ~~$0.00~~
NETGEAR INC· NTGR	200 0000	01/31/13	10/01/13	$6,246.90	$7,132 25	($885 35)
NETGEAR INC: NTGR	700 0000	01/31/13	10/01/13	$21,864 16	$24,962 86	($3,098.70)
NETGEAR INC: NTGR	100.0000	01/31/13	10/10/13	$3,098.06	$3,566 12	($468 06)
NETGEAR INC NTGR	25 0000	02/13/13	10/10/13	$774 52	$827 45	($52 93)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1K2601-000707 48380

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
NETGEAR INC: NTGR	75.0000	02/13/13	10/10/13	$2,323.55	$2,482.34	($158 79)
NETGEAR INC NTGR	100.0000	02/22/13	10/10/13	$3,098 06	$3,326 18	($228.12)
NETGEAR INC. NTGR	25.0000	02/13/13	10/11/13	$780.89	$827.45	($46 56)
NETGEAR INC. NTGR	75.0000	02/13/13	10/11/13	$2,342 68	$2,482 26	($139.58)
NETGEAR INC NTGR	100 0000	02/13/13	10/11/13	$3,123.57	$3,309 78	($186.21)
NETGEAR INC NTGR	100.0000	02/13/13	10/16/13	$3,141 07	$3,309 68	($168.61)
NETGEAR INC: NTGR	200 0000	02/13/13	10/16/13	$6,290.92	$6,619 37	($328 45)
NETGEAR INC. NTGR	75.0000	02/13/13	10/18/13	$2,403 20	$2,482 26	($79 06)
NETGEAR INC. NTGR	100.0000	02/13/13	10/18/13	$3,204 25	$3,309.68	($105 43)
NETGEAR INC. NTGR	125.0000	02/13/13	10/18/13	$4,005.33	$4,137.11	($131.78)
NETGEAR INC: NTGR	99.0000	04/26/13	11/27/13	$3,195.84	$2,828 32	$367 52
NETGEAR INC NTGR	101.0000	04/26/13	11/27/13	$3,259.50	$2,885.46	$374.04
NETGEAR INC. NTGR	200.0000	04/26/13	11/27/13	$6,458.26	$5,713 77	$744 49

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments: High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
NETGEAR INC· NTGR	200 0000	06/26/13	11/27/13	$6,452.47	$6,230 70	$221 77
NETGEAR INC· NTGR	1 0000	07/26/13	11/27/13	$32.26	$29 92	$2.34
NETGEAR INC: NTGR	1.0000	07/26/13	11/27/13	$32.29	$29 93	$2 36
NETGEAR INC· NTGR	49 0000	07/26/13	11/27/13	$1,580.99	$1,466.51	$114.48
NETGEAR INC NTGR	99.0000	07/26/13	11/27/13	$3,194 96	$2,962 43	$232.53
NETGEAR INC: NTGR	100 0000	07/26/13	11/27/13	$3,226.51	$2,992.89	$233.62
NETGEAR INC NTGR	150 0000	07/26/13	11/27/13	$4,839 41	$4,489.33	$350 08
NETGEAR INC NTGR	100.0000	08/07/13	11/27/13	$3,226 23	$3,014 55	$211 68
Security Subtotal				**$101,551.67**	**$104,985.22**	(343355) ~~$3,222.72~~
NEWS CORP NEW CL A· NWSA	500.0000	06/26/13	08/01/13	$8,101 41	$7,729.48	$371 93
NEWS CORP NEW CL A: NWSA	600 0000	06/26/13	08/06/13	$9,736.28	$9,275.37	$460 91
NEWS CORP NEW CL A· NWSA	400.0000	06/27/13	08/06/13	$6,490.85	$6,140 15	$350 70
NEWS CORP NEW CL A· NWSA	1,000.0000	07/12/13	08/06/13	$16,227.14	$14,946 95	$1,280 19

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

CG1K2601-000707 48382

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds. Average
All Other Investments. High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
NEWS CORP NEW CL A NWSA	500.0000	07/15/13	08/06/13	$8,113.57	$7,442 45	$671.12
Security Subtotal				**$48,669.25**	**$45,534.40**	**$3,134.85**
NVIDIA CORP: NVDA	100.0000	10/03/12	03/01/13	$1,261 22	$1,322 75	($61.53)
NVIDIA CORP: NVDA	100.0000	09/07/12	04/12/13	$1,281 48	$1,317 40	($35 92)
NVIDIA CORP NVDA	400.0000	09/27/12	04/12/13	$5,125.93	$5,279.15	($153.22)
NVIDIA CORP NVDA	200.0000	09/07/12	04/15/13	$2,601.75	$2,634 79	($33.04)
NVIDIA CORP NVDA	1,700.0000	09/07/12	04/15/13	$22,114.85	$22,394.06	($279 21)
NVIDIA CORP NVDA	100 0000	12/31/12	04/15/13	$1,300.87	$1,216 75	$84.12
Security Subtotal				**$33,686.10**	**$34,164.90**	**($478.80)**
OCCIDENTAL PETE CORP. OXY	100 0000	04/17/12	01/03/13	$7,885.47	$8,830 68	($945 21)
OCCIDENTAL PETE CORP. OXY	100 0000	04/17/12	01/16/13	$8,220.07	$8,830 67	($610 60)
OCCIDENTAL PETE CORP. OXY	100.0000	04/18/12	02/07/13	$8,817.05	$8,815 85	$1.20
OCCIDENTAL PETE CORP OXY	100.0000	09/27/12	06/26/13	$8,915.09	$8,513.88	$401 21
Security Subtotal				**$33,837.68**	**$34,991.08**	**($1,153.40)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1K2601-000707 48383

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
PALO ALTO NETWORKS INC PANW	100 0000	05/08/13	07/23/13	$5,044.43	$5,094.55	($50.12)
PALO ALTO NETWORKS INC: PANW	500 0000	05/08/13	07/23/13	$25,222.14	$25,545 45	($323 31)
PALO ALTO NETWORKS INC PANW	100 0000	05/31/13	07/23/13	$5,044.43	$4,894.85	$149.58
PALO ALTO NETWORKS INC PANW	200.0000	06/18/13	07/23/13	$10,088.86	$8,581 95	$1,506 91
PALO ALTO NETWORKS INC PANW	2.0000	09/27/13	10/16/13	$84.94	$98.48	(13.54) ~~$0.00~~
PALO ALTO NETWORKS INC. PANW	298.0000	09/27/13	10/16/13	$12,658 87	$13,884 99	(1226.12) ~~$0.00~~
PALO ALTO NETWORKS INC: PANW	2 0000	09/27/13	11/27/13	$99 81	$106 73	($6 92) ✓
PALO ALTO NETWORKS INC: PANW	50 0000	09/27/13	11/27/13	$2,495.27	$2,459 91	$35 36 ✓
PALO ALTO NETWORKS INC: PANW	148 0000	09/27/13	11/27/13	$7,386.00	$7,287.84	$98.16 ✓
Security Subtotal				**$68,124.75**	**$67,954.75**	170.— ~~$1,409.66~~
PBF ENERGY INC PBF	100.0000	09/11/13	10/10/13	$2,360.05	$2,096.40	$263.65
PBF ENERGY INC: PBF	100.0000	09/11/13	10/10/13	$2,360.07	$2,096 63	$263 44
PBF ENERGY INC: PBF	100.0000	09/11/13	10/10/13	$2,360 07	$2,096.68	$263.39

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1K2601-000707 48384

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
PBF ENERGY INC PBF	100.0000	09/11/13	10/10/13	$2,360 07	$2,096 87	$263 20
PBF ENERGY INC. PBF	100 0000	09/11/13	10/10/13	$2,361.56	$2,096.90	$264 66
PBF ENERGY INC. PBF	500.0000	09/11/13	10/10/13	$11,807.82	$10,484 48	$1,323 34
Security Subtotal				**$23,609.64**	**$20,967.96**	**$2,641.68**
REDHAT INC: RHT	200 0000	03/05/13	05/17/13	$10,996 40	$10,451 59	$544 81
REDHAT INC: RHT	100.0000	03/05/13	09/12/13	$5,342.56	$5,225 79	$116 77
REDHAT INC: RHT	100 0000	03/05/13	09/20/13	$5,385 56	$5,225 79	$159 77
REDHAT INC: RHT	150 0000	03/05/13	12/18/13	$7,266 82	$7,838.69	($571 87)
REDHAT INC· RHT	150.0000	03/05/13	12/18/13	$7,238 32	$7,838.69	($600 37)
REDHAT INC: RHT	100 0000	03/05/13	12/19/13	$4,890.94	$5,225 80	($334 86)
REDHAT INC: RHT	100.0000	03/12/13	12/19/13	$4,890 94	$5,100.75	($209.81)
REDHAT INC RHT	100.0000	03/15/13	12/19/13	$5,421.92	$5,050.65	$371.27
REDHAT INC· RHT	200.0000	05/23/13	12/19/13	$10,843.85	$9,882 75	$961.10

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

CG1K2601-000707 48385

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
REDHAT INC RHT	100 0000	05/29/13	12/20/13	$5,813.88	$4,828 95	$984 93
REDHAT INC RHT	200 0000	09/26/13	12/20/13	$11,627 76	$9,420 55	$2,207 21
REDHAT INC RHT	500 0000	10/24/13	12/20/13	$29,073 40	$21,817 45	$7,255.95
Security Subtotal				**$108,792.35**	**$97,907.45**	**$10,884.90**
RESEARCH IN MOTION LTD F. BBRY	1,500 0000	02/22/13	03/11/13	$21,267 10	$19,729 95	$1,537 15
RESEARCH IN MOTION LTD F· BBRY	400.0000	03/04/13	03/11/13	$5,671 23	$5,219 15	$452.08
RESEARCH IN MOTION LTD F BBRY	300.0000	03/05/13	03/11/13	$4,253.42	$3,874 35	$379 07
Security Subtotal				**$31,191.75**	**$28,823.45**	**$2,368.30**
ROYAL DUTCH SHELL A ADRFSPONSORED ADR 1 ADR REPS 2 RDSA	100.0000	07/26/12	06/20/13	$6,418.95	$6,729 75	($310.80)
ROYAL DUTCH SHELL A ADRFSPONSORED ADR 1 ADR REPS 2 RDSA	100 0000	02/14/13	06/20/13	$6,418.95	$6,615 55	($196 60)
ROYAL DUTCH SHELL A ADRFSPONSORED ADR 1 ADR REPS 2. RDSA	100 0000	08/01/13	08/27/13	$6,488.04	$6,447.89	$40 15
ROYAL DUTCH SHELL A ADRFSPONSORED ADR 1 ADR REPS 2 RDSA	100.0000	08/01/13	08/29/13	$6,538.06	$6,447 90	$90 16

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds. Average
All Other Investments High Cost

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ROYAL DUTCH SHELL A ADRFSPONSORED ADR 1 ADR REPS 2: RDSA	200 0000	08/01/13	08/29/13	$13,057.02	$12,895 79	$161.23
ROYAL DUTCH SHELL A ADRFSPONSORED ADR 1 ADR REPS 2 RDSA	100.0000	08/01/13	09/11/13	$6,540.30	$6,447.89	$92 41
ROYAL DUTCH SHELL A ADRFSPONSORED ADR 1 ADR REPS 2. RDSA	400 0000	08/01/13	09/11/13	$26,161.18	$25,791 54	$369.64
Security Subtotal				**$71,622.50**	**$71,376.31**	**$246.19**
SAPIENT CORPORATION SAPE	100 0000	01/31/12	01/02/13	$1,090.53	$1,282 32	($191.79)
SAPIENT CORPORATION SAPE	200 0000	01/31/12	01/02/13	$2,181.05	$2,564 64	($383 59)
SAPIENT CORPORATION SAPE	200 0000	01/31/12	01/09/13	$2,242.20	$2,564 64	($322 44)
SAPIENT CORPORATION SAPE	200 0000	03/01/12	02/05/13	$2,496.19	$2,549 39	(53.20) ~~$0 00~~
SAPIENT CORPORATION. SAPE	24.0000	05/09/13	08/07/13	$357.49	$267 96	$89 53
SAPIENT CORPORATION SAPE	26.0000	05/09/13	08/07/13	$386.53	$290.18	$96.35
SAPIENT CORPORATION SAPE	74 0000	05/09/13	08/07/13	$1,101.54	$825.91	$275 63
SAPIENT CORPORATION SAPE	126.0000	05/09/13	08/07/13	$1,875 60	$1,406 77	$468 83

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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Schwab One® Account of
ROYCE FAMILY FOUNDATION, INC.

Account Number
7502-4075

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds: Average
All Other Investments High Cost

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
SAPIENT CORPORATION: SAPE	250 0000	05/09/13	08/07/13	$3,723 92	$2,791.23	$932 69
Security Subtotal				**$15,455.05**	**$14,543.04**	912.01 ~~$965.21~~
SEACHANGE INTL INC· SEAC	200.0000	11/27/13	12/06/13	$2,394.37	$2,967 18	(572.81) ~~$0.00~~
SEACHANGE INTL INC SEAC	800 0000	11/27/13	12/06/13	$9,577 47	$11,867.91	(2290.44) ~~$0.00~~
Security Subtotal				**$11,971.84**	**$14,835.09**	(2863.25) ~~$0.00~~
SEARS HOLDINGS CORP. SHLD	30.0000	05/30/13	09/03/13	$1,336 69	$1,376.79	($40.10)
SEARS HOLDINGS CORP· SHLD	30.0000	05/30/13	09/03/13	$1,336 69	$1,377.69	($41 00)
SEARS HOLDINGS CORP· SHLD	30.0000	05/30/13	09/03/13	$1,337.30	$1,455 30	(118.00) ~~$0.00~~
SEARS HOLDINGS CORP SHLD	40 0000	05/30/13	09/03/13	$1,781.86	$1,835 71	($53.85)
SEARS HOLDINGS CORP: SHLD	70.0000	05/30/13	09/03/13	$3,122.45	$3,395 69	(273.24) ~~$0.00~~
SEARS HOLDINGS CORP· SHLD	130.0000	05/30/13	09/03/13	$5,798 83	$6,306 27	($507 44)
SEARS HOLDINGS CORP SHLD	170.0000	05/30/13	09/03/13	$7,574.59	$8,246 66	($672.07)
SEARS HOLDINGS CORP: SHLD	30.0000	06/05/13	09/03/13	$1,337.60	$1,444 03	($106.43)

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2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments High Cost

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
SEARS HOLDINGS CORP SHLD	30 0000	06/05/13	09/03/13	$1,336 69	$1,444 03	($107 34)
SEARS HOLDINGS CORP SHLD	40 0000	06/05/13	09/03/13	$1,782.26	$1,925 38	($143.12)
SEARS HOLDINGS CORP SHLD	100.0000	06/11/13	09/03/13	$4,455.64	$4,680 64	($225 00)
Security Subtotal				**$31,200.60**	**$33,488.19**	(2287.59) ~~($1,890.35)~~
SLM CORPORATION: SLM	200.0000	01/25/13	04/18/13	$3,960 36	$3,367 75	$592.61
SLM CORPORATION. SLM	100 0000	01/18/13	04/23/13	$1,999 08	$1,680.30	$318 78
SLM CORPORATION. SLM	100 0000	01/30/13	04/23/13	$1,999.08	$1,681 85	$317 23
SLM CORPORATION· SLM	300 0000	01/18/13	07/09/13	$6,964 23	$5,040 89	$1,923.34
Security Subtotal				**$14,922.75**	**$11,770.79**	**$3,151.96**
STARWOOD HTLS & RSTS NEW· HOT	100.0000	10/26/12	02/07/13	$6,135.31	$5,188 25	$947 06
STARWOOD HTLS & RSTS NEW: HOT	200 0000	10/26/12	06/20/13	$12,535.93	$10,376.50	$2,159 43
STARWOOD HTLS & RSTS NEW· HOT	200 0000	10/31/12	06/20/13	$12,535.93	$10,349.55	$2,186 38
STARWOOD HTLS & RSTS NEW· HOT	200.0000	11/08/12	06/20/13	$12,535.94	$10,278.75	$2,257.19
Security Subtotal				**$43,743.11**	**$36,193.05**	**$7,550.06**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1K2601-000707 48389

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
STIFEL FINANCIAL CORP SF	100.0000	12/13/12	02/20/13	$3,850 56	$2,996 88	$853.68
STIFEL FINANCIAL CORP SF	100 0000	12/13/12	04/17/13	$3,173 33	$2,996 48	$176 85
STIFEL FINANCIAL CORP. SF	100 0000	12/13/12	04/17/13	$3,173.33	$2,996 48	$176 85
STIFEL FINANCIAL CORP SF	100 0000	12/13/12	04/17/13	$3,173 94	$2,996 49	$177.45
STIFEL FINANCIAL CORP SF	100 0000	12/13/12	04/17/13	$3,173.04	$2,996 49	$176 55
STIFEL FINANCIAL CORP. SF	100.0000	12/13/12	04/17/13	$3,173.42	$2,996 88	$176 54
STIFEL FINANCIAL CORP SF	300 0000	12/13/12	04/17/13	$9,520.00	$8,987.65	$532.35
Security Subtotal				**$29,237.62**	**$26,967.35**	**$2,270.27**
TEVA PHARM INDS LTD ADRFSPONSORED ADR 1 ADR REP 10. TEVA	150 0000	10/31/13	11/22/13	$6,093.22	$5,609.69	$483.53
TEVA PHARM INDS LTD ADRFSPONSORED ADR 1 ADR REP 10 TEVA	150 0000	11/22/13	11/22/13	$6,093 22	$6,103 15	(9.93) ~~$0.00~~
Security Subtotal				**$12,186.44**	**$11,712.84**	473.60 ~~**$483.53**~~
UNITED CONTL HLDGS INC: UAL	100.0000	08/23/13	10/30/13	$3,320.86	$2,971.77	$349.09
UNITED CONTL HLDGS INC· UAL	100.0000	08/23/13	10/30/13	$3,320.87	$2,971.80	$349 07

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
UNITED CONTL HLDGS INC UAL	300 0000	08/23/13	11/04/13	$10,846.06	$8,915 32	$1,930 74
Security Subtotal				**$17,487.79**	**$14,858.89**	**$2,628.90**
YOUKU TUDOU INC ADR FSPONSORED ADR 1 ADR REPS 1 YOKU	600.0000	04/26/13	05/16/13	$13,294 73	$11,371 27	$1,923.46
YOUKU TUDOU INC ADR FSPONSORED ADR 1 ADR REPS 1. YOKU	700 0000	04/26/13	05/16/13	$15,510.52	$13,268 58	$2,241 94
Security Subtotal				**$28,805.25**	**$24,639.85**	**$4,165.40**
21ST CENT FOX CL A FOXA	900.0000	06/20/13	07/11/13	$27,383 62	$24,826.15	$2,557 47
21ST CENT FOX CL A FOXA	200 0000	06/21/13	07/11/13	$6,085 25	$5,519.75	$565.50
Security Subtotal				**$33,468.87**	**$30,345.90**	**$3,122.97**
Total Short-Term				**$2,124,380.47**	**$2,010,747.19**	**$~~118,748.77~~** 113,633.28

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
AGILENT TECHNOLOGIES INC. A	700.0000	08/16/12	10/08/13	✓ $35,475.71	$25,995 79	$9,479.92

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

CG1K2601-000707 48391

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
AGILENT TECHNOLOGIES INC: A	150 0000	08/21/12	10/08/13	✓ $7,601.94	$5,515 50	$2,086 44
Security Subtotal				✓ **$43,077.65**	**$31,511.29**	**$11,566.36**
OCCIDENTAL PETE CORP. OXY	100 0000	05/07/12	06/05/13	$9,311.39	$8,733.15	$578 24
Security Subtotal				**$9,311.39**	**$8,733.15**	**$578.24**
ROYAL DUTCH SHELL A ADRFSPONSORED ADR 1 ADR REPS 2. RDSA	400 0000	04/12/12	06/20/13	$25,675 81	$27,032 76	($1,356 95)
ROYAL DUTCH SHELL A ADRFSPONSORED ADR 1 ADR REPS 2 RDSA	100 0000	06/12/12	06/20/13	$6,418.96	$6,354.75	$64 21
Security Subtotal				**$32,094.77**	**$33,387.51**	**($1,292.74)**
SAPIENT CORPORATION: SAPE	100 0000	01/31/12	03/13/13	$1,218.31	$1,282 32	(64.01) ~~$0.00~~
SAPIENT CORPORATION: SAPE	200.0000	01/31/12	03/13/13	$2,436.56	$2,564.64	(128.08) $0.00
SAPIENT CORPORATION: SAPE	700.0000	01/31/12	03/13/13	$8,528 65	$8,976 22	(447 57) ~~($383 63)~~
SAPIENT CORPORATION: SAPE	100.0000	01/31/12	06/27/13	$1,348.43	$1,281 82	$66 61
SAPIENT CORPORATION: SAPE	100 0000	01/31/12	06/27/13	$1,348.53	$1,281.82	$66 71
SAPIENT CORPORATION SAPE	100 0000	01/31/12	06/27/13	$1,348 43	$1,282 22	$66 21

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1K2601-000707 48392

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
SAPIENT CORPORATION SAPE	200 0000	01/31/12	06/27/13	$2,630.98	$2,564 63	$66.35
SAPIENT CORPORATION SAPE	300 0000	01/31/12	06/27/13	$3,946 46	$3,846 65	$99.81
SAPIENT CORPORATION SAPE	200 0000	01/31/12	07/24/13	$2,708.18	$2,563.63	$144.55
SAPIENT CORPORATION SAPE	100 0000	03/01/12	07/24/13	$1,354.09	$1,274 69	$79 40
SAPIENT CORPORATION. SAPE	100 0000	03/01/12	07/24/13	$1,353 99	$1,274 70	$79 29
SAPIENT CORPORATION SAPE	300 0000	03/01/12	07/31/13	$4,113 28	$3,824 08	$289.20
SAPIENT CORPORATION. SAPE	29 0000	01/31/12	08/07/13	$431.98	$337 38	$94 60
SAPIENT CORPORATION SAPE	71.0000	01/31/12	08/07/13	$1,059 86	$825 99	$233.87
SAPIENT CORPORATION SAPE	100.0000	01/31/12	08/07/13	$1,489.57	$1,163 26	$326 31
SAPIENT CORPORATION SAPE	100 0000	01/31/12	08/07/13	$1,489.57	$1,163.34	$326.23
SAPIENT CORPORATION SAPE	100 0000	01/31/12	08/07/13	$1,491.56	$1,163 36	$328.20
SAPIENT CORPORATION. SAPE	100 0000	03/01/12	08/07/13	$1,492.77	$1,274.63	$218 14
SAPIENT CORPORATION. SAPE	100.0000	03/01/12	08/07/13	$1,491.56	$1,274 69	$216.87

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1K2601-000707 48393

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments. High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
SAPIENT CORPORATION SAPE	100 0000	03/01/12	08/07/13	$1,492 76	$1,274 70	$218 06
SAPIENT CORPORATION SAPE	200.0000	03/10/12	08/07/13	$2,979 14	$2,251 85	$727 29
SAPIENT CORPORATION: SAPE	26 0000	06/14/12	08/07/13	$386.51	$269 94	$116 57
SAPIENT CORPORATION: SAPE	574.0000	06/14/12	08/07/13	$8,533.47	$5,959.41	$2,574 06
SAPIENT CORPORATION· SAPE	200 0000	06/26/12	08/07/13	$2,973 13	$1,903.75	$1,069.38
Security Subtotal				**$57,647.77**	**$50,879.72**	6768.05 **$7,024.08**
Total Long-Term				**$142,131.58**	**$124,511.67**	17619.91 ~~$17,875.94~~
Total Realized Gain or (Loss)				**$2,266,512.05**	**$2,135,258.86**	$ 131,253.19 $~~136,624.71~~

Schwab has provided realized gain/loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Conditions
Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options.

Endnotes For Your Account

Symbol	Endnote Legend
S	Short sale

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

CG1K2601-000707 48394

Understanding Your Year-End Schwab Gain/Loss Report

This page provides an explanation of the terms used in the Year-End Schwab Gain/Loss Report in the order in which they appear.

Accounting Method: The accounting method noted on the report is the one in effect on the last day of the rep calendar year. If you change your accounting method in the middle of a report period, you actually may have a mixed accounting method, however, the accounting method in effect at year-end will be the only method displayed FIFO accounting (see definition below) is the default method for the purpose of this report.
Single Category Average Cost: The average cost of all shares held in a mutual fund regardless of how long they are owned This includes shares acquired with reinvested dividends. This method is available for mutual funds and is the method used for open-end funds in this report.
First In First Out (FIFO): The first investments acquired are the first investments sold. This is the "default" method
Last In First Out (LIFO): The last investments acquired are the first investments sold
High Cost Sell lots in order of highest unit cost to lowest unit cost. This will minimize gains and maximize losses
Low Cost: Sell lots in order of lowest unit cost to highest unit cost. This will maximize gains and minimize losses.
Tax Lot Optimizer: A tax lot consists of one or more shares of a security purchased at the same price on the same day Lots sold are selected in the following order.
1. *Short-Term Losses:* Lots that reflect a short-term loss are sold, beginning with lots that generate the greatest short-term loss down to the least short-term loss.
2. *Long-Term Losses:* Lots that reflect a long-term loss are sold, beginning with lots that generate the greatest long-term loss down to the least long-term loss.
3. *Short-Term No Gains or Losses:* Short-term lots are sold that reflect no gain or loss.
4. *Long-Term No Gains or Losses:* Long-term lots are sold that reflect no gain or loss.
5. *Long-Term Gains:* Lots that reflect a long-term gain are sold, beginning with lots that generate the least long-term gain up to the greatest long-term gain
6. *Short-Term Gains.* Lots that reflect a short-term gain, are sold, beginning with lots that generate the least short-term gain up to the greatest short-term gain.
Specific Lot: The IRS allows taxpayers to specifically identify lots sold. Such identification can be made at the time of trade up until settlement date. An "m" on this report indicates that the account holder has used Specific Lot and matched a sale against a particular lot held at the time of trade.

Closing Transaction: The fulfillment of a contract causing an existing investment to end. A sale could be closing transaction for a long position, and a purchase could be a closing position for a short position

Short-Term/Long-Term: Gain or (loss) on the sale of a capital asset is labeled long-term if the property has been held for more than one year, it is labeled **short-term** if the property has been held for one year or less. Investors need to provide the appropriate purchase date on some investments for the system generating this report to properly determine the holding period. For instance, absent notification to the contrary, the report assumes the purchase date is the date of transfer for investments transferred from another brokerage account All transactions are displayed at the lot level A **lot** is a single unit of shares of an investment that was acquired or opened on a specific trade date and at a specific trade time

Quantity/Par: The number of shares for each lot within each investment position in the account. This is the number of shares for stocks and mutual funds; it is the number of contracts for options; and it is the face value bonds or notes. Fractional shares are rounded for display purposes on this report

Acquired/Opened: The trade date, effective date or the date provided by the account holder for a particular lot. This date generally establishes the holding period of the lot For short positions, the opening date is the date the short position is established. If no date is available, the field will be left blank.

Sold/Closed: The trade date, effective date or the date provided by the account holder. For long positions, the closing date is the date on which the long position is disposed. For short positions, the closing date is the date on which the short position is covered.

Total Proceeds: The amount received upon disposition of the holding less commissions and applicable fees.

Cost Basis: The amount paid for the lot including applicable commissions, fees and adjustments for corporate actions and return of capital payments. For Short Sales, while the position remains open, the proceeds appear in the Cost Basis column with a negative value. In the Realized Gain or (Loss) section, the Cost Basis is the amount paid to close the transaction and appears in the Cost Basis column The proceeds will appear in the Total Proceeds column. For cash in your account, Cost Basis includes total cash less margin loan(s) outstanding at the time this report is printed. Because it may include transactions which have not yet settled, please refer to your account statement for details

Adjusted Cost Basis: The amortized cost basis (for bonds bought at a premium) or the accreted cost basis (for bonds bought at a discount)

Realized Gain or (Loss): A realized gain or (loss) is shown when a closing transaction occurs in your account that requires recognition of a gain or loss To determine the Realized Gain or (Loss) for a lot, the Cost Basis is subtracted from the Total Proceeds

Adjusted Realized Gain or (Loss): The realized gain or (loss) that is calculated based on the Adjusted Cost Basis

Endnotes: Lettered footnotes are placed next to items that required additional explanation Footnotes are explained on the last page of the report
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m - A sale was matched against a particular lot held at the time of trade.
S - Short sale.

Disclaimer at bottom of each page of report
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Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options

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This data may have been provided to Schwab by vendors of market prices and other data, or by other third parties. Although efforts have been made to ensure the quality of the information provided on this report, data may be inaccurate or incomplete and is subject to change. Schwab accepts no responsibility for its accuracy, completeness or timely updating Taxpayers should verify such information against their own records

Currency: All figures are in U.S dollars

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Schwab One® Account of
ROYCE FAMILY FOUNDATION, INC.
SLFP LOANED SEC & COLLAT A/C

Account Number
6873-6177

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Prepared on January 18, 2014

Your Schwab Consultant

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VP - Financial Consultant

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ROYCE FAMILY FOUNDATION, INC
SLFP LOANED SEC & COLLAT A/C
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NEW YORK NY 10007

Message Center

Your gain/loss report includes a summarized list of your realized gains/losses for 2013 You can also log in to www schwab com/reports to view your documents securely online and visit www schwab com/paperless_services to manage your delivery preferences.

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method
Mutual Funds. Average
All Other Investments High Cost

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
EBIX INC EBIX	100.0000	02/26/13	04/26/13	$1,878 97	$1,358 40	$520 57
EBIX INC. EBIX	100.0000	02/26/13	04/26/13	$1,879 07	$1,358 40	$520 67
EBIX INC· EBIX	200 0000	02/26/13	04/26/13	7516.79 $3,758.75	5433.59 $2,716 79	2083 $1,041.96
EBIX INC: EBIX	100.0000	02/26/13	05/01/13	$2,079.69	$1,358.40	$721.29
EBIX INC: EBIX	200.0000	02/26/13	05/01/13	$4,158.79	$2,716 79	$1,442.00
EBIX INC· EBIX	1200 300 0000	02/26/13	05/01/13	24,918.09 $6,239 07	16285.46 $4,070.09	8673 $2,168 98
EBIX INC. EBIX	600 0000	02/26/13	05/01/13	$12,480.54	$8,140 18	$4,340.36
Security Subtotal	1600			**$32,474.88**	**$21,719.05**	**$10,755.83**
Total Short-Term				**$32,474.88**	**$21,719.05**	**$10,755.83**
Total Realized Gain or (Loss)				**$32,474.88**	**$21,719.05**	**$10,755.83**

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Understanding Your Year-End Schwab Gain/Loss Report

This page provides an explanation of the terms used in the Year-End Schwab Gain/Loss Report in the order in which they appear

Accounting Method: The accounting method noted on the report is the one in effect on the last day of the rep calendar year If you change your accounting method in the middle of a report period, you actually may have a mixed accounting method, however, the accounting method in effect at year-end will be the only method displayed FIFO accounting (see definition below) is the default method for the purpose of this report
Single Category Average Cost The average cost of all shares held in a mutual fund regardless of how long they are owned This includes shares acquired with reinvested dividends This method is available for mutual funds and is the method used for open-end funds in this report
First In First Out (FIFO) The first investments acquired are the first investments sold. This is the "default" method
Last In First Out (LIFO)· The last investments acquired are the first investments sold
High Cost Sell lots in order of highest unit cost to lowest unit cost This will minimize gains and maximize losses
Low Cost Sell lots in order of lowest unit cost to highest unit cost This will maximize gains and minimize losses
Tax Lot Optimizer A tax lot consists of one or more shares of a security purchased at the same price on the same day Lots sold are selected in the following order.
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