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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation HETTINGER FOUNDATION		A Employer identification number 13-6097726
Number and street (or P.O. box number if mail is not delivered to street address) C/O OBERFEST, P.O. BOX 318		B Telephone number 914-238-3800
City or town, state or province, country, and ZIP or foreign postal code CHAPPAQUA, NY 10514		C If exemption application is pending check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 23,929,452.	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		62.	62.		STATEMENT 1
4 Dividends and interest from securities		413,055.	413,055.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		643,234.			
b Gross sales price for all assets on line 6a		3,292,977.			
7 Capital gain net income (from Part IV, line 2)			643,234.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11		1,056,351.	1,056,351.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees STMT 3		62,098.	62,098.		0.
17 Interest					
18 Taxes STMT 4		786.	786.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		858.	286.		572.
24 Total operating and administrative expenses. Add lines 13 through 23		63,742.	63,170.		572.
25 Contributions, gifts, grants paid		900,000.			900,000.
26 Total expenses and disbursements Add lines 24 and 25		963,742.	63,170.		900,572.
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements		92,609.			
b Net investment income (if negative enter -0-)			993,181.		
c Adjusted net income (if negative enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	181,449.	318,025.	318,025.
	2 Savings and temporary cash investments	361,809.	1,009,906.	1,009,906.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	14,067,745.	13,375,687.	22,442,708.
	c Investments - corporate bonds STMT 7	116,944.	116,944.	158,813.
	11 Investments - land, buildings, and equipment basis ▶			
Liabilities	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶ ACCRUED DIVIDENDS)	6.	0.	0.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	14,727,953.	14,820,562.	23,929,452.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	2,017,591.	2,017,591.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	12,710,362.	12,802,971.	
	30 Total net assets or fund balances	14,727,953.	14,820,562.	
	31 Total liabilities and net assets/fund balances	14,727,953.	14,820,562.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	14,727,953.
2 Enter amount from Part I, line 27a	2	92,609.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	14,820,562.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	14,820,562.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 3,292,977.		2,649,743.	643,234.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			643,234.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	643,234.
	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	880,583.	18,673,553.	.047157
2011	740,712.	17,864,893.	.041462
2010	785,674.	16,683,314.	.047093
2009	911,653.	14,986,526.	.060832
2008	941,425.	19,075,983.	.049351

2 Total of line 1, column (d)	2	.245895
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049179
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	21,307,372.
5 Multiply line 4 by line 3	5	1,047,875.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	9,932.
7 Add lines 5 and 6	7	1,057,807.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	900,572.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	19,864.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	19,864.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	19,864.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	17,283.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	19,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	36,283.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	32.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	16,387.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> 16,387. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes" attach a schedule listing their names and addresses	10	X

N/A

Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► BRUCE D. OBERFEST & ASSOCIATES Telephone no. ► 914-238-3800 Located at ► 287 KING STREET, CHAPPAQUA, NEW YORK, CHAPPAQUA, ZIP+4 ► 10514			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TDF 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM R. HETTINGER	TRUSTEE			
54 ADALIA AVENUE				
TAMPA, FL 33606	1.00	0.	0.	0.
BETTY HETTINGER	TRUSTEE			
100 WORTH AVE.				
PALM BEACH, FL 33480	1.00	0.	0.	0.
CORINNA HETTINGER	TRUSTEE			
54 ADALIA AVENUE				
TAMPA, FL 33606	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2013)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	20,645,352.
b	Average of monthly cash balances	1b	986,498.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	21,631,850.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	21,631,850.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	324,478.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	21,307,372.
6	Minimum investment return. Enter 5% of line 5	6	1,065,369.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,065,369.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	19,864.
b	Income tax for 2013 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	19,864.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,045,505.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,045,505.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,045,505.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	900,572.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	900,572.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	900,572.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2013)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,045,505.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			899,672.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 900,572.				
a Applied to 2012, but not more than line 2a			899,672.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				900.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				1,044,605.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BOSTON RESCUE MISSION PO BOX 120069 BOSTON, MA 02112		PUBLIC CHARITY	UNRESTRICTED	5,000.
CHAIRSCHOLARS FOUNDATION INC SILVER DOLLAR RANCH #38 C/O KIEM, 1700 PATTERSON ROAD ODESSA, FL 33556		PUBLIC CHARITY	UNRESTRICTED	15,000.
COMMUNITY RESOURCE & SERVICE CORP 37 SAW MILL RIVER RD HAWTHORNE, NY 10532		PUBLIC CHARITY	UNRESTRICTED	15,000.
DAMON RUNYON RESEARCH FOUNDATION ONE EXCHANGE PLAZA, 55 BROADWAY SUITE 302 NEW YORK, NY 10036		PUBLIC CHARITY	UNRESTRICTED	35,000.
DUCHESS LAND CONSERVANCY 2908 ROUTE 44 MILLBROOK, NY 12545		PUBLIC CHARITY	UNRESTRICTED	5,000.
Total	SEE CONTINUATION SHEET(S)			900,000.
b Approved for future payment				
NONE				
Total				0.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST - CITIBANK	2.	2.	
INTEREST - KEYBANK	60.	60.	
TOTAL TO PART I, LINE 3	62.	62.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS - LAZARD NOMINEE	275,661.	5,898.	269,763.	269,763.	
INTEREST - CORPORATE BONDS-LAZARD PERSHING	11,550. 184,759.	0. 53,017.	11,550. 131,742.	11,550. 131,742.	
TO PART I, LINE 4	471,970.	58,915.	413,055.	413,055.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	62,098.	62,098.		0.
TO FORM 990-PF, PG 1, LN 16C	62,098.	62,098.		0.

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	786.	786.		0.
TO FORM 990-PF, PG 1, LN 18	786.	786.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	85.	28.		57.
FEES	750.	250.		500.
PUBLICATION FEE	23.	8.		15.
TO FORM 990-PF, PG 1, LN 23	858.	286.		572.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	13,375,687.	22,442,708.
TOTAL TO FORM 990-PF, PART II, LINE 10B	13,375,687.	22,442,708.

FORM 990-PF	CORPORATE BONDS	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	116,944.	158,813.
TOTAL TO FORM 990-PF, PART II, LINE 10C	116,944.	158,813.

Part IV. Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PERSHING-NOMINEE-SEE ATTACHED	P		
b	PERSHING-NOMINEE-SEE ATTACHED	P		
c	PERSHING-NOMINEE-SEE ATTACHED	P		
d	LAZARD-NOMINEE-SEE ATTACHED	P		
e	LAZARD-NOMINEE-SEE ATTACHED	P		
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	152,985.	152,872.	113.
b	345,525.	153,535.	191,990.
c	170,359.	120,585.	49,774.
d	285,036.	298,394.	<13,358.>
e	2,280,157.	1,924,357.	355,800.
f	58,915.		58,915.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			113.
b			191,990.
c			49,774.
d			<13,358.>
e			355,800.
f			58,915.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	643,234.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INSTITUTE OF CLASSICAL ARCHITECTURE & CLASSICAL AMERICA 20 W 44TH STREET NEW YORK, NY 10036		PUBLIC CHARITY	UNRESTRICTED	5,000.
LSA FAMILY HEALTH SERVICE 426 EAST 119 STREET NEW YORK, NY 10035		PUBLIC CHARITY	UNRESTRICTED	10,000.
MEDICAL FOUNDATION OF NORTH CAROLINA 888 MARTIN LUTHER KING BLVD CHAPEL HILL, NC 27514		PUBLIC CHARITY	UNRESTRICTED	10,000.
METROPOLITAN MINISTRIES INC 2002 NORTH FLORIDA AVE TAMPA, FL 33602		PUBLIC CHARITY	UNRESTRICTED	10,000.
MILLBROOK SCHOOL 131 MILLBROOK SCHOOL RD MILLBROOK, NY 12545		PUBLIC CHARITY	UNRESTRICTED	260,000.
NORTH BENNET STREET SCHOOL 30 N BENNET STREET BOSTON, MA 02113		PUBLIC CHARITY	UNRESTRICTED	375,000.
PAWLING FIRE DEPARTMENT PO BOX 331 126 EAST MAIN ST PAWLING, NY 12564		PUBLIC CHARITY	UNRESTRICTED	10,000.
PEABODY ESSEX MUSEUM E INDIA SQUARE SALEM, MA 01970		PUBLIC CHARITY	UNRESTRICTED	5,000.
PINE STREET INN 444 HARRISON AVENUE BOSTON, MA 02118		PUBLIC CHARITY	UNRESTRICTED	5,000.
PREP FOR PREP PROJECT 101 WEST 91 STREET NEW YORK, NY 10024		PUBLIC CHARITY	UNRESTRICTED	50,000.
Total from continuation sheets				825,000.

13-6097726

3 Grants and Contributions Paid During the Year (Continuation)Total from continuation sheets

PRINCIPAL BALANCE ON HAND
HETTINGER FOUNDATION
As of 12/31/2013

Page 1

	Current Value	Carrying Value
Checking Accounts		
CITIBANK CHECKING ACCT # 95459681	\$ 53 95	\$ 53 95
KEY BANK ACCT CHECKING ACCT	315,460 43	315,460.43
PERSHING ACCT # Q2M-30024	2,509.50	2,509 50
Money Market Accounts		
CITIBANK MMKT ACCT # 19484965	267.55	267.55
DREYFUS GOVT CASH MNGT INV SH	1,009,638.78	1,009,638.78
Common Stocks- Pershing Acct # Q2M-30024		
AMERICAN EXPRESS COMPANY 6,925 Units	628,305.25	313,887.63
AMERIPRISE FINL INC 4,190 Units	482,059.50	212,185.37
AMGEN INC 3,515 Units	401,272.40	173,484 83
APPLE INC 1,415 Units	793,970 65	362,544 49
AT & T INC 5,000 Units	175,800 00	128,934 00
AUTOZONE INC 230 Units	109,926.20	62,964 51
BANK OF AMERICA CORP 12,600 Units	196,182 00	155,846 88
BERKSHIRE HATHAWAY INC DEL CL B NEW 13,250 Units	1,570,920.00	8,570.21
BOEING CO 1,400 Units	191,086 00	93,539 18
BRISTOL MYERS SQUIBB CO 4,750 Units	252,462.50	132,984.33
CAPITAL ONE FINANCIAL CORP 2,675 Units	204,931.75	146,541 85
CATERPILLAR INC 1,700 Units	154,377 00	141,382.20
CHEVRON CORP 4,000 Units	499,640.00	316,692 40

PRINCIPAL BALANCE ON HAND
HETTINGER FOUNDATION
As of 12/31/2013

Page 2

	Current Value	Carrying Value
CISCO SYSTEMS INC 21,500 Units	\$ 482,675.00	\$ 535,741 80
CITIGROUP INC COM NEW 18,860 Units	982,794.60	585,497.05
COMCAST CORP NEW SPL CL A 14,100 Units	703,308.00	213,465 51
CONOCOPHILLIPS 2,350 Units	166,027.50	80,325 34
CONSOL ENERGY INC 4,575 Units	174,033.00	159,620.38
CVS CAREMARK CORP 6,445 Units	461,268 65	248,969.28
DOVER CORP 2,150 Units	207,561.00	86,350 67
EMC CORP- MASS 13,865 Units	348,704.75	229,943 04
EXXON MOBIL CORP 4,250 Units	430,100 00	39,927.29
GILEAD SCIENCES INC 4,300 Units	323,145.00	99,721.30
GOOGLE INC CL A 500 Units	560,355.00	279,689 00
HALLIBURTON CO 3,870 Units	196,402 50	121,620.72
HONEYWELL INTL INC 6,300 Units	575,631 00	218,884.37
IBM CORP 1,570 Units	294,484.90	201,219 43
INTEL CORP 6,770 Units	175,749.20	145,345 20
JOHNSON & JOHNSON 4,100 Units	375,519 00	249,855 64

PRINCIPAL BALANCE ON HAND
HETTINGER FOUNDATION
As of 12/31/2013

Page 3

	Current Value	Carrying Value
LOWES COMPANIES INC 5,500 Units	\$ 272,525 00	\$ 134,677.95
MACYS INC 5,750 Units	307,050 00	205,537.78
MASTERCARD INC 650 Units	543,049.00	108,433 00
MICROSOFT CORP 5,450 Units	203,993 50	166,279.50
MONSANTO CO NEW 1,935 Units	225,524 25	126,137 04
MORGAN STANLEY 10,750 Units	337,120 00	238,908 00
MOSAIC COMPANY NEW 2,650 Units	125,265 50	131,190 33
OCCIDENTAL PETE CORP 2,700 Units	256,770.00	275,383.00
PFIZER INC 23,100 Units	707,553.00	387,579.50
QUALCOMM INC 7,570 Units	562,072 50	412,638.90
REPUBLIC SERVICES INC 5,415 Units	179,778 00	153,873.18
ROSS STORES INC 3,450 Units	258,508 50	139,389.49
SCHLUMBERGER LTD 3,855 Units	347,374.05	263,007 33
SYSCO CORP 4,400 Units	158,840.00	141,497 84
TEXAS INSTRUMENTS INCORPORATED 4,200 Units	184,422.00	137,410 14
TJX COMPANIES INC NEW 4,080 Units	260,018.40	104,929 74

PRINCIPAL BALANCE ON HAND
HETTINGER FOUNDATION
As of 12/31/2013

Page 4

	Current Value	Carrying Value
UNITED TECHNOLOGIES CORP 5,200 Units	\$ 591,760.00	\$ 351,497.12
VERTEX PHARMACEUTICALS INC 2,540 Units	188,722.00	138,021.47
VIACOM INC NEW CLASS B 4,265 Units	372,505.10	203,462.25
WAL-MART STORES INC 6,065 Units	477,254.85	324,866.82
MARATHON OIL CORP 4,650 Units	164,145.00	142,925.66
MCKESSON CORP 1,533 Units	247,426.20	151,552.38
JOY GLOBAL INC 2,180 Units	127,508.20	147,890.98
ZOETIS INC CL A 8,770 Units	286,691.30	271,568.70
INTERCONTINENTAL EXCHANGE INC 990 Units	222,670.80	154,333.43
PEABODY ENERGY CORPORATION 7,350 Units	143,545.50	152,595.56
TRANSOCEAN LTD REG SHS 3,640 Units	179,888.80	189,235.96
Mutual Funds- Pershing Acct # Q2M-30024		
LAZARD FDS INC INTL STRATEGIC EQUITY PORT 58,044.314 Units	839,320.75	660,543.70
LAZARD US CORP INCOME PORT INST 140,207.527 Units	702,439.73	774,183.08
LAZARD U S SMALL MID CAP EQUITY TRUST 53,241.933 Units	850,273.67	840,402.96

PRINCIPAL BALANCE ON HAND
HETTINGER FOUNDATION
As of 12/31/2013

Page 5

	Current Value	Carrying Value
Corporate Bonds- Lazard Acct # 306-34149 AMERICAN & FOREING PWR DEB-REG 231,000 Units	\$ 158,812.50	\$ 116,943 75
	<u>\$23,929,450 66</u>	<u>\$14,820,560 65</u>
Less: Income balance on hand	(3,554,648 37)	(3,554,648 37)
PRINCIPAL BALANCE ON HAND	<u>\$27,484,099 03</u>	<u>\$18,375,209 02</u>

Short-Term Capital Gains and Losses
HETTINGER FOUNDATION
For Period 01/01/2013 Through 09/30/2013

Page 1

Description of property	Date acquired	Date sold	Sales price	Cost or other basis	Gain or (loss)
ABBOTT LABORATORIES 2,120 units	10/12/2012	1/14/2013	70,511 73	71,914 16	(1,402 43)
ABBVIE INC 2,120 units	01/08/2013	1/14/2013	71,855 78	78,385 15	(6,529 37)
LAZARD CAP ALLOCATOR SER OPPORTNSTC STRTEG 342 937 units	12/27/2012	6/18/2013	3,491 09	3,419 08	72 01
ORACLE CORP 4,600 units	10/11/2012	6/26/2013	139,177 47	144,675 98	(5,498 51)
Totals			285,036 07	298,394 37	(13,358 30)

Long-Term Capital Gains and Losses
HETTINGER FOUNDATION
For Period 01/01/2013 Through 09/30/2013

Page 1

Description of property	Date acquired	Date sold	Sales price	Cost or other basis	Gain or (loss)
EXXON MOBIL CORP 550 units	07/24/2001	2/20/2013	48,648 82	5,167 06	43,481 76
PFIZER INC 2,100 units	12/10/2002	2/20/2013	56,809 18	65,782 50	(8,973 32)
WAL-MART STORES INC 650 units	08/07/2009	2/20/2013	46,038 46	32,340 55	13,697 91
COMCAST CORP NEW SPL CL A 1,000 units	06/09/2009	3/25/2013	38,776 23	13,661 10	25,115 13
MASTERCARD INC 100 units	06/17/2009	3/25/2013	51,564 34	16,682 00	34,882 34
PARKER HANNIFIN CORP 202 units	02/22/2012	3/25/2013	19,188 86	18,036 83	1,152 03
PARKER HANNIFIN CORP 973 units	02/24/2012	3/25/2013	92,429 52	87,891.38	4,538 14
PFIZER INC 1,300 units	12/10/2002	3/25/2013	36,662 16	40,722 50	(4,060 34)
PARKER HANNIFIN CORP 588 units	02/24/2012	3/26/2013	54,575 34	53,114 22	1,461 12
PARKER HANNIFIN CORP 294 units	02/24/2012	3/27/2013	27,050 62	26,557 11	493 51
FREEPORT MCMORAN COPPER & GOLD INC 2,285 units	10/11/2011	3/28/2013	74,252 38	75,060 65	(808 27)
PARKER HANNIFIN CORP 147 units	02/24/2012	3/28/2013	13,393 47	13,278 55	114 92
UNION PACIFIC CORP 2,150 units	10/20/2011	3/28/2013	295,591 93	192,252 79	103,339 14
PARKER HANNIFIN CORP 146 units	02/24/2012	4/2/2013	13,058 48	13,188 22	(129 74)
PNC FINANCIAL SVCS GROUP INC 1,750 units	03/20/2012	5/7/2013	117,631 14	110,415 90	7,215 24
IBM CORP 290 units	11/30/2009	5/8/2013	59,314 98	37,143 20	22,171 78
IBM CORP 230 units	05/17/2010	5/8/2013	47,042 91	29,873 11	17,169 80
PNC FINANCIAL SVCS GROUP INC 875 units	03/20/2012	5/8/2013	59,658 26	55,207 95	4,450 31
WAL-MART STORES INC 800 units	08/07/2009	5/8/2013	63,330 58	39,803 76	23,526 82
WAL-MART SOTRES INC 1,850 units	10/19/2010	5/8/2013	146,451.97	98,522 68	47,929 29

Long-Term Capital Gains and Losses
HETTINGER FOUNDATION
For Period 01/01/2013 Through 09/30/2013

Page 2

Description of property	Date acquired	Date sold	Sales price	Cost or other basis	Gain or (loss)
WAL-MART STORES INC 535 units	12/11/2009	5/8/2013	42,352 33	29,351 76	13,000 57
PNC FINANCIAL SVCS GROUP INC 875 units	03/20/2012	5/9/2013	59,735 61	55,207 95	4,527 66
LAZARD CAP ALLOCATOR SER OPPORTNSTC STRTEG 39,603 96 units	04/02/2008	6/18/2013	403,168 31	400,000 00	3,168 31
LAZARD CAP ALLOCATOR SER OPPORTNSTC STRTEG 1,486 529 units	12/24/2008	6/18/2013	15,132 87	10,450 30	4,682 57
LAZARD CAP ALLOCATOR SER OPPORTNSTC STRTEG 564 099 units	12/31/2009	6/18/2013	5,742 53	4,980 99	761 54
LAZARD CAP ALLOCATOR SER OPPORTNSTC STRTEG 11 921 units	08/11/2010	6/18/2013	121 36	107.05	14 31
LAZARD CAP ALLOCATOR SER OPPORTNSTC STRTEG 501 009 units	12/30/2010	6/18/2013	5,100 27	4,954 98	145 29
LAZARD CAP ALLOCATOR SER OPPORTNSTC STRTEG 21 128 units	08/11/2011	6/18/2013	215 08	201.56	13 52
LAZARD CAP ALLOCATOR SER OPPORTNSTC STRTEG 565 714 units	12/30/2011	6/18/2013	5,758 97	5,198 91	560 06
LAZARD CAP ALLOCATOR SER OPPORTNSTC STRTEG 1,113 753 units	12/30/2011	6/18/2013	11,338 01	10,235 39	1,102 62
NEWMONT MINING CORP HOLDING CO 2,600 units	04/05/2011	6/26/2013	77,749 82	142,468 82	(64,719 00)
ORACLE CORP 3,825 units	05/30/2006	6/26/2013	115,729 10	51,909 59	63,819 51
ORACLE CORP 1,200 units	03/31/2011	6/26/2013	36,307 17	39,182 64	(2,875 47)
ORACLE CORP 4,635 units	07/26/2011	6/26/2013	140,236 43	150,695 90	(10,459 47)
Totals			2,280,157 49	1,929,647 90	350,509 59

Short-Term Capital Gains and Losses
HETTINGER FOUNDATION
For Period 10/01/2013 Through 12/31/2013

Page 1

Description of property	Date acquired	Date sold	Sales price	Cost or other basis	Gain or (loss)
BAXTER INTERNATIONAL INC 2.260 units	12/26/2012	11/27/2013	152,985 32	152,872 05	113 27
Totals			152,985 32	152,872 05	113 27

Long-Term Capital Gains and Losses
HETTINGER FOUNDATION
For Period 10/01/2013 Through 12/31/2013

Page 1

Description of property	Date acquired	Date sold	Sales price	Cost or other basis	Gain or (loss)
BOEING CO 2,050 units	08/12/2011	11/21/2013	283,330 28	123,469 04	159,861.24
BOEING CO 450 units	09/07/2011	11/21/2013	62,194 45	30,066 17	32,128 28
GENERAL MILLS INC 3,400 units	08/26/2010	11/27/2013	170,358 79	120,584 74	49,774 05
Totals			515,883 52	274,119 95	241,763 57