Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

**▶ Do not enter Social Security numbers on this form as it may be made public.**

**▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

**2013**

**Open to Public Inspection**

**For calendar year 2013 or tax year beginning , 2013, and ending , 20**

Name of foundation THE RUTH M. KNIGHT FOUNDATION, INC
C/O ERIC NAUMBURG, MD

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
6163 DEVON DRIVE

City or town, state or province, country, and ZIP or foreign postal code
COLUMBIA, MD 21044

**A** Employer identification number 13-6093663

**B** Telephone number (see instructions) (410) 992-0461

**C** If exemption application is pending, check here ▶ ☐

**D** 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 2,275,903.

**J** Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) ________________
*(Part I, column (d) must be on cash basis )*

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc , received (attach schedule) | | | | |
| | 2 Check ▶ ☐ if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 48,715. | 48,715. | 48,715. | ATCH 1 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 38,352. | | | |
| | b Gross sales price for all assets on line 6a 544,654. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 38,352. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | | | | |
| | 12 **Total.** Add lines 1 through 11 | 87,067. | 87,067. | 48,715. | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0 | | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) ATCH 2 | 4,250. | 4,250. | 4,250. | |
| | c Other professional fees (attach schedule) | | | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) | | | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) ATCH 3 | 10,993. | 10,993. | 10,993. | |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 15,243. | 15,243. | 15,243. | |
| | 25 Contributions, gifts, grants paid | 75,000. | | | 75,000. |
| | 26 **Total expenses and disbursements** Add lines 24 and 25 | 90,243. | 15,243. | 15,243. | 75,000. |
| | 27 Subtract line 26 from line 12 | | | | |
| | a **Excess of revenue over expenses and disbursements** | -3,176. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 71,824. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | 33,472. | |

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| | | **Part II** **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions ) | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | **(a)** Book Value | **(b)** Book Value | **(c)** Fair Market Value |
| Assets | 1 | Cash - non-interest-bearing | 184. | 681. | 681. |
| | 2 | Savings and temporary cash investments | 200,093. | 71,316. | 71,316. |
| | 3 | Accounts receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10 a | Investments - U S and state government obligations (attach schedule) | | | |
| | b | Investments - corporate stock (attach schedule) ATCH 4 | 1,482,199. | 1,607,303. | 2,203,906. |
| | c | Investments - corporate bonds (attach schedule) | | | |
| | 11 | Investments - land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other (attach schedule) | | | |
| | 14 | Land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 1,682,476. | 1,679,300. | 2,275,903. |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | 0 | 0 | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here** ▶ ☒ **and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | 1,682,476. | 1,679,300. | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117,** ▶ ☐ **check here and complete lines 27 through 31.** | | | |
| | 27 | Capital stock, trust principal, or current funds | | | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | **Total net assets or fund balances** (see instructions) | 1,682,476. | 1,679,300. | |
| | 31 | **Total liabilities and net assets/fund balances** (see instructions) | 1,682,476. | 1,679,300. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,682,476. |
| 2 | Enter amount from Part I, line 27a | 2 | -3,176. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 1,679,300. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 1,679,300. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | SEE PART IV SCHEDULE | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 38,352.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . 3 0

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2012 | 50,000. | 1,916,368. | 0.026091 |
| 2011 | 57,500. | 1,853,762. | 0.031018 |
| 2010 | 95,271. | 1,749,028. | 0.054471 |
| 2009 | 56,000. | 1,620,184. | 0.034564 |
| 2008 | 109,563. | 1,876,561. | 0.058385 |

| | | | |
|---|---|---|---|
| 2 | Total of line 1, column (d) . . . . . | 2 | 0.204529 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . . | 3 | 0.040906 |
| 4 | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 . . . . . | 4 | 2,103,945. |
| 5 | Multiply line 4 by line 3 . . . . . | 5 | 86,064. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) . . . . . | 6 | 718. |
| 7 | Add lines 5 and 6 . . . . . | 7 | 86,782. |
| 8 | Enter qualifying distributions from Part XII, line 4 . . . . . | 8 | 75,000. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1<br>Date of ruling or determination letter __________ (attach copy of letter if necessary - see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | 1 | 1,436. |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 1,436. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | 0 |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 1,436. |
| 6 | Credits/Payments | | |
| a | 2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a — 227. | | |
| b | Exempt foreign organizations - tax withheld at source — 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c — 3,000. | | |
| d | Backup withholding erroneously withheld — 6d | | |
| 7 | Total credits and payments Add lines 6a through 6d | 7 | 3,227. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | 1,791. |
| 11 | Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 1,791. **Refunded** ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? | 1b | | X |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>**(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? | 2 | | X |
| | *If "Yes," attach a detailed description of the activities* | | | |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? | 5 | | X |
| | *If "Yes," attach the statement required by General Instruction T* | | | |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either.<br>● By language in the governing instrument, or<br>● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶<br>NY, | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation.* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV.* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | X |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ TAXPAYER Telephone no ▶ 410-992-0461

Located at ▶ 6163 DEVON DRIVE COLUMBIA, MD ZIP+4 ▶ 21044

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No<br>If "Yes," list the years ▶ ________ , ________ , ________ , ________ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions ) | 2b | | X |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here<br>▶ ________ , ________ , ________ , ________ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013 )* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

**5a** During the year did the foundation pay or incur any amount to

**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

**(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53 4945-5(d)*

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

*If "Yes" to 6b, file Form 8870*

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| ATCH 5 | | 0 | 0 | 0 |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | 0 |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services ▶

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See instructions<br>3 NONE | |
| **Total.** Add lines 1 through 3 ▶ | |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions )

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities | 1a | 2,065,323. |
| b | Average of monthly cash balances | 1b | 70,662. |
| c | Fair market value of all other assets (see instructions) | 1c | |
| d | **Total** (add lines 1a, b, and c) | 1d | 2,135,985. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 2,135,985. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions) | 4 | 32,040. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 2,103,945. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 105,197. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part )

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 105,197. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a | 1,436. | | |
| b | Income tax for 2013 (This does not include the tax from Part VI ) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 1,436. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 103,761. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 103,761. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 103,761. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 | 1a | 75,000. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 75,000. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5 | 0 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 75,000. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

## Part XIII Undistributed Income (see instructions)

| | | | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|---|---|---|---|---|---|---|
| 1 | Distributable amount for 2013 from Part XI, line 7 | | | | | 103,761. |
| 2 | Undistributed income, if any, as of the end of 2013 | | | | | |
| a | Enter amount for 2012 only | | | | 51,930. | |
| b | Total for prior years 20 11 ,20 10 ,20 09 | | | | | |
| 3 | Excess distributions carryover, if any, to 2013 | | | | | |
| a | From 2008 | | | | | |
| b | From 2009 | | | | | |
| c | From 2010 | | | | | |
| d | From 2011 | | | | | |
| e | From 2012 | | | | | |
| f | **Total** of lines 3a through e | | 0 | | | |
| 4 | Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 75,000. | | | | | |
| a | Applied to 2012, but not more than line 2a | | | | 51,930. | |
| b | Applied to undistributed income of prior years (Election required - see instructions) | | | | | |
| c | Treated as distributions out of corpus (Election required - see instructions) | | | | | |
| d | Applied to 2013 distributable amount | | | | | 23,070. |
| e | Remaining amount distributed out of corpus | | | | | |
| 5 | Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a) )* | | | | | |
| 6 | **Enter the net total of each column as indicated below:** | | | | | |
| a | Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | | | | |
| b | Prior years' undistributed income Subtract line 4b from line 2b | | | | | |
| c | Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | | |
| d | Subtract line 6c from line 6b Taxable amount - see instructions | | | | | |
| e | Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions | | | | | |
| f | Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 | | | | | 80,691. |
| 7 | Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | | | | | |
| 8 | Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) | | | | | |
| 9 | **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a | | 0 | | | |
| 10 | Analysis of line 9. | | | | | |
| a | Excess from 2009 | | | | | |
| b | Excess from 2010 | | | | | |
| c | Excess from 2011 | | | | | |
| d | Excess from 2012 | | | | | |
| e | Excess from 2013 | | | | | |

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . . . . . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . . . | | | | | |
| b 85% of line 2a . . . . . | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed . | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . . | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . . . | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon<br>a "Assets" alternative test - enter<br>(1) Value of all assets . . . | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . . | | | | | |
| b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . | | | | | |
| c "Support" alternative test - enter<br>(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . . | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) . . . . . . | | | | | |
| (3) Largest amount of support from an exempt organization . . . . . . | | | | | |
| (4) Gross investment income . | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ATCH 6 | | | | |
| **Total** . . . . . . . . . . ▶ **3a** | | | | 75,000. |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions ) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 48,715. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 38,352. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | 87,067. | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . . . . . . . . . . . . 13 87,067.

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

**Line No.** ▼ Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions )

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | N/A | | N/A |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Signature of officer or trustee | 7/24/14 Date | ▶ President Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| | | | |
|---|---|---|---|
| Print/Type preparer's name<br>DAVID DORFMAN | Preparer's signature | Date<br>7/8/14 | Check ☐ if self-employed |
| PTIN | P01350299 | | |
| Firm's name ▶ DORFMAN MIZRACH & THALER, LLP | | Firm's EIN ▶ 13-1790992 | |
| Firm's address ▶ 555 ROUTE ONE SOUTH<br>ISELIN, NJ 08830 | | Phone no 732-404-1860 | |

THE RUTH M. KNIGHT FOUNDATION, INC 13-6093663

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | | | | 624. | |
| | | SEE SCHEDULE ATTACHED | | | | P | VARIOUS | VARIOUS |
| 485,482. | | 443,814. | | | | | 41,668. | |
| | | SEE SCHEDULE ATTACHED | | | | P | VARIOUS | VARIOUS |
| 58,548. | | 62,488. | | | | | -3,940. | |
| TOTAL GAIN(LOSS) ........................................... | | | | | | | 38,352. | |

ATTACHMENT 1

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME |
|---|---|---|---|---|
| THRU CHARLES SCHWAB | | 48,715. | 48,715. | 48,715. |
| | TOTAL | 48,715. | 48,715. | 48,715. |

ATTACHMENT 2

## FORM 990PF, PART I - ACCOUNTING FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| ACCOUNTING FEES | 4,250. | 4,250. | 4,250. | |
| TOTALS | 4,250. | 4,250. | 4,250. | |

ATTACHMENT 3

## FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME |
|---|---|---|---|---|
| INVESTMENT FEES | | 10,743. | 10,743. | 10,743. |
| FILING FEES | | 250. | 250. | 250. |
| | TOTALS | 10,993. | 10,993. | 10,993. |

ATTACHMENT 4

## FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| SEE SCHEDULE | 1,607,303. | 2,203,906. |
| TOTALS | 1,607,303. | 2,203,906. |

THE RUTH M. KNIGHT FOUNDATION, INC 13-6093663

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES ATTACHMENT 5

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION |
|---|---|
| MICHELE NAUMBURG<br>441 HARDSCRABBLE ROAD<br>NORTH SALEM, NY 10560 | VICE PRESIDENT |
| ELIZABETH H. NAUMBURG<br>99 CROSMAN TERRACE<br>ROCHESTER, NY 14620 | DIRECTOR |
| ERIC NAUMBURG<br>6163 DEVON DRIVE<br>COLUMBIA, MD 21044-3821 | PRESIDENT |
| JUDITH ELLEN NAUMBURG<br>1330 CERRO GORDON ROAD<br>SANTA FE, NM 87501-6167 | TREASURER |
| CHRISTOPHER LONDON<br>300 CENTRAL PARK W, APT 18H<br>NEW YORK, NY 10024-1584 | DIRECTOR |
| CARLA NAUMBURG<br>53 ABERDEEN STREET<br>NEWTON, MA 02461 | SECRETARY |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 5 (CONT'D)

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION |
|---|---|
| MICHAEL GRAVITZ<br>4302 CURTIS ROAD<br>CHEVY CHASE, MD 20815 | DIRECTOR |
| TAHLIA SAYERS<br>7667 HAWKS NEST TRAIL<br>LITTLETON, CO 80125 | DIRECTOR |
| GEORGE W. NAUMBURG, JR.<br>441 HARDSCRABBLE RD.<br>NORTH SALEM, NY 10560 | DIRECTOR |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 6

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| MANHATTAN SCHOOL OF MUSIC<br>120 CLAREMONT AVENUE<br>NEW YORK, NY 10027-4698 | | GENERAL | 10,000. |
| GIRLS INC. OF WESTCHESTER<br>52 NORTH BROADWAY<br>WHITE PLAINS, NY 10603 | | GENERAL | 15,000. |
| SHELBURNE FARMS<br>1611 HARBOR ROAD<br>SHELBURNE, VT 05482 | | GENERAL | 10,000. |
| ROCHESTER EDUCATION FUND<br>250 MILL STREET<br>ROCHESTER, NY 14614 | | GENERAL | 10,000. |
| CLEAN WATER FUND<br>1010 VERMONT AVENUE NW, SUITE 400<br>WASHINGTON, DC 20005 | | GENERAL | 15,000. |
| UNITED WORKERS ASSOCIATION<br>PO BOX 41547<br>BALTIMORE, MD 21203 | | GENERAL | 10,000. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 6 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| YOUNG MARINERS FOUNDATION<br>151 HARVARD AVENUE<br>STAMFORD, CT 06902 | | GENERAL | 5,000. |
| | | TOTAL CONTRIBUTIONS PAID | 75,000. |

**SCHEDULE D**
**(Form 1041)**

Department of the Treasury
Internal Revenue Service

# Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

**2013**

Name of estate or trust THE RUTH M. KNIGHT FOUNDATION, INC
C/O ERIC NAUMBURG, MD

Employer identification number 13-6093663

**Note:** *Form 5227 filers need to complete **only** Parts I and II*

## Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

| See instructions for how to figure the amounts to enter on the lines below<br>This form may be easier to complete if you round off cents to whole dollars | (d) Proceeds (sales price) | (e) Cost (or other basis) | (g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g) | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|
| **1a** Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b | | | | |
| **1b** Totals for all transactions reported on Form(s) 8949 with **Box A** checked | | | | |
| **2** Totals for all transactions reported on Form(s) 8949 with **Box B** checked | 58,548. | 62,488. | | -3,940. |
| **3** Totals for all transactions reported on Form(s) 8949 with **Box C** checked | | | | |

| | | |
|---|---|---|
| **4** Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 | 4 | |
| **5** Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 5 | |
| **6** Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet | 6 | ( ) |
| **7** **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back ▶ | 7 | -3,940. |

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| See instructions for how to figure the amounts to enter on the lines below<br>This form may be easier to complete if you round off cents to whole dollars | (d) Proceeds (sales price) | (e) Cost (or other basis) | (g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g) | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|
| **8a** Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b | | | | |
| **8b** Totals for all transactions reported on Form(s) 8949 with **Box D** checked | | | | |
| **9** Totals for all transactions reported on Form(s) 8949 with **Box E** checked | 485,482. | 443,814. | | 41,668. |
| **10** Totals for all transactions reported on Form(s) 8949 with **Box F** checked | | | | |

| | | |
|---|---|---|
| LONG-TERM CAPITAL GAIN DIVIDENDS ATTACHMENT 1 | | 624. |
| **11** Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 | 11 | |
| **12** Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 12 | |
| **13** Capital gain distributions | 13 | |
| **14** Gain from Form 4797, Part I | 14 | |
| **15** Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet | 15 | ( ) |
| **16** **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back ▶ | 16 | 42,292. |

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.**

**Schedule D (Form 1041) 2013**

## Part III Summary of Parts I and II

**Caution:** *Read the instructions* **before** *completing this part*

| | | | (1) Beneficiaries' (see instr ) | (2) Estate's or trust's | (3) Total |
|---|---|---|---|---|---|
| 17 | **Net short-term gain or (loss)** | 17 | | | -3,940. |
| 18 | **Net long-term gain or (loss):** | | | | |
| a | Total for year | 18a | | | 42,292. |
| b | Unrecaptured section 1250 gain (see line 18 of the wrksht) | 18b | | | |
| c | 28% rate gain | 18c | | | |
| 19 | **Total net gain or (loss).** Combine lines 17 and 18a ▶ | 19 | | | 38,352. |

**Note:** *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and* **do not** *complete Part IV If line 19, column (3), is a net loss, complete Part IV and the* **Capital Loss Carryover Worksheet,** *as necessary*

## Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of

a The loss on line 19, column (3) **or** b $3,000 . . . 20 ( )

**Note:** *If the loss on line 19, column (3), is more than $3,000,* **or** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* **Capital Loss Carryover Worksheet** *in the instructions to figure your capital loss carryover*

## Part V Tax Computation Using Maximum Capital Gains Rates

**Form 1041 filers.** Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

**Caution:** *Skip this part and complete the* **Schedule D Tax Worksheet** *in the instructions if*

- *Either line 18b, col (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

**Form 990-T trusts.** Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero.

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| 21 | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) | | | 21 | | | |
| 22 | Enter the **smaller** of line 18a or 19 in column (2) but not less than zero | 22 | | | | | |
| 23 | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) | 23 | | | | | |
| 24 | Add lines 22 and 23 | 24 | | | | | |
| 25 | If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶ | 25 | | | | | |
| 26 | Subtract line 25 from line 24 If zero or less, enter -0- | | | 26 | | | |
| 27 | Subtract line 26 from line 21 If zero or less, enter -0- | | | 27 | | | |
| 28 | Enter the **smaller** of the amount on line 21 or $2,450 | | | 28 | | | |
| 29 | Enter the **smaller** of the amount on line 27 or line 28 | | | 29 | | | |
| 30 | Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at 0% ▶ | | | | | 30 | |
| 31 | Enter the smaller of line 21 or line 26 | | | 31 | | | |
| 32 | Subtract line 30 from line 26 | | | 32 | | | |
| 33 | Enter the **smaller** of line 21 or $11,950 | | | 33 | | | |
| 34 | Add lines 27 and 30 | | | 34 | | | |
| 35 | Subtract line 34 from line 33 If zero or less, enter -0- | | | 35 | | | |
| 36 | Enter the **smaller** of line 32 or line 35 | | | 36 | | | |
| 37 | Multiply line 36 by 15% ▶ | | | | | 37 | |
| 38 | Enter the amount from line 31 | | | 38 | | | |
| 39 | Add lines 30 and 36 | | | 39 | | | |
| 40 | Subtract line 39 from line 38 If zero or less, enter -0- | | | 40 | | | |
| 41 | Multiply line 40 by 20% ▶ | | | | | 41 | |
| 42 | Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | 42 | | | |
| 43 | Add lines 37, 41, and 42 | | | 43 | | | |
| 44 | Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | 44 | | | |
| 45 | **Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶ | | | | | 45 | |

Form **8949**

Department of the Treasury
Internal Revenue Service

# Sales and Other Dispositions of Capital Assets

▶ **Information about Form 8949 and its separate instructions is at** ***www.irs.gov/form8949.***

▶ **File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return
THE RUTH M. KNIGHT FOUNDATION, INC

**Social security number or taxpayer identification number**
13-6093663

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box A, B, *or* C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☒ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

| 1 (a) Description of property (Example 100 sh XYZ Co ) | (b) Date acquired (Mo , day, yr ) | (c) Date sold or disposed (Mo , day, yr ) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions | **Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions | (g) Amount of adjustment | (h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| SEE SCHEDULE ATTACHED | VARIOUS | VARIOUS | 58,548. | 62,488. | | | -3,940. |
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| | | | | | | | |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶ | | | 58,548. | 62,488. | | | -3,940. |

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

**For Paperwork Reduction Act Notice, see your tax return instructions.**

JSA
3X2615 2 000

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side ) THE RUTH M. KNIGHT FOUNDATION, INC

**Social security number or taxpayer identification number** 13-6093663

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part II** **Long-Term.** Transactions involving capital assets you held more than one year are long term For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box D, E, *or* F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☒ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions not reported to you on Form 1099-B

| 1 (a) Description of property (Example 100 sh XYZ Co ) | (b) Date acquired (Mo , day, yr ) | (c) Date sold or disposed (Mo , day, yr ) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions | **Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions | (g) Amount of adjustment | (h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| SEE SCHEDULE ATTACHED | VARIOUS | VARIOUS | 485,482. | 443,814. | | | 41,668. |
| | | | | | | | |
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| | | | | | | | |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶ | | | 485,482. | 443,814. | | | 41,668. |

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

FEDERAL CAPITAL GAIN DIVIDENDS

ATTACHMENT 1

| | | |
|---|---|---|
| 15% RATE CAPITAL GAIN DIVIDENDS | | |
| THRU CHARLES SCHWAB | 624. | |
| TOTAL 15% RATE CAPITAL GAIN DIVIDENDS | | 624. |
| TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | 624. |

# RUTH M. KNIGHT FOUNDATION, INC.
# SCHEDULE OF SALES
# FOR THE YEAR ENDED DECEMBER 31, 2013

## SCHEDULE D - LONG-TERM

| SECURITY | DATE ACQUIRED | DATE SOLD | SHARES | SALES PROCEEDS | COST | GAIN OR (LOSS) |
|---|---|---|---|---|---|---|
| Aetna Inc New | 05/21/10 | 07/02/13 | 15 | 933 | 429 | 504 |
| Aetna Inc New | 05/18/12 | 07/02/13 | 85 | 5,288 | 3,387 | 1,901 |
| Aetna Inc New | 05/18/12 | 07/02/13 | 20 | 1,237 | 802 | 435 |
| Apache Corp | 08/11/10 | 10/28/13 | 35 | 3,155 | 3,259 | (104) |
| Apache Corp | 08/11/10 | 10/28/13 | 10 | 894 | 936 | (42) |
| Apache Corp | 08/23/11 | 10/28/13 | 35 | 3,155 | 3,393 | (238) |
| Berk Hath Fin 4 625% 10/15/13 | 07/17/09 | 10/15/13 | 50,000 | 50,000 | 52,859 | (2,859) |
| BMC Software Inc | 12/17/10 | 09/11/13 | 170 | 7,863 | 8,279 | (416) |
| BMC Software Inc | 12/17/10 | 09/11/13 | 25 | 1,156 | 1,225 | (69) |
| BMC Software Inc. | 08/02/11 | 09/11/13 | 95 | 4,394 | 4,080 | 313 |
| BMC Software Inc | 08/02/11 | 09/11/13 | 15 | 694 | 652 | 42 |
| Check Pt Software Tech F | 08/27/12 | 03/07/13 | 20 | 1,018 | 986 | 32 |
| Cisco Systems Inc | 01/05/05 | 07/12/13 | 95 | 2,447 | 1,775 | 672 |
| Cisco Systems Inc | 01/05/05 | 07/12/13 | 25 | 641 | 470 | 171 |
| Cisco Systems Inc. | 11/12/10 | 07/12/13 | 195 | 5,023 | 3,990 | 1,033 |
| Cisco Systems Inc | 11/12/10 | 07/12/13 | 30 | 769 | 621 | 147 |
| CME Group Inc. | 08/11/10 | 03/01/13 | 25 | 1,490 | 1,283 | 207 |
| CME Group Inc | 05/02/11 | 03/01/13 | 30 | 1,788 | 1,792 | (4) |
| CME Group Inc | 05/02/11 | 03/01/13 | 10 | 594 | 603 | (9) |
| CME Group Inc | 11/28/11 | 03/01/13 | 100 | 5,961 | 4,895 | 1,066 |
| CME Group Inc | 11/28/11 | 03/01/13 | 20 | 1,187 | 986 | 201 |
| Credit Suisse Commodity Return Strat | 05/17/12 | 07/08/13 | 2,475 928 | 18,000 | 19,312 | (1,312) |
| Credit Suisse Commodity Return Strat | 05/17/12 | 07/08/13 | 137 552 | 1,000 | 1,073 | (73) |
| Ford Motor Company New | 05/11/11 | 01/07/13 | 145 | 1,939 | 2,214 | (275) |
| Ford Motor Company New | 05/11/11 | 08/08/13 | 75 | 1,269 | 1,145 | 124 |
| Ford Motor Company New | 05/11/11 | 01/07/13 | 75 | 999 | 1,152 | (153) |
| Ford Motor Company New | 05/11/11 | 08/08/13 | 10 | 168 | 154 | 14 |
| Ford Motor Company New | 06/14/11 | 08/08/13 | 285 | 4,821 | 3,832 | 989 |
| Ford Motor Company New | 06/14/11 | 08/08/13 | 40 | 670 | 545 | 125 |
| GNMA #4972575 DD 12/15/28 6% | | Various | 1,406 89 | 1,407 | 1,407 | 0 |

# RUTH M. KNIGHT FOUNDATION, INC.
# SCHEDULE OF SALES
# FOR THE YEAR ENDED DECEMBER 31, 2013

**SCHEDULE D - LONG-TERM**

| SECURITY | DATE ACQUIRED | DATE SOLD | SHARES | SALES PROCEEDS | COST | GAIN OR (LOSS) |
|---|---|---|---|---|---|---|
| GNMA #4972575 DD 12/15/28 6% |  | Various | 703 45 | 703 | 703 | 0 |
| Google Inc Class A | 12/17/10 | 03/15/13 | 7 00 | 5,845 | 4,127 | 1,718 |
| Google Inc Class A | 02/01/12 | 03/05/13 | 2 00 | 1,664 | 1,169 | 494 |
| Heinz H J Co | 09/25/09 | 03/07/13 | 85 00 | 6,157 | 3,391 | 2,766 |
| Heinz H J Co | 09/25/09 | 06/03/13 | 210.00 | 15,205 | 8,378 | 6,828 |
| Heinz H J Co | 09/25/09 | 04/24/13 | 15 00 | 1,077 | 600 | 477 |
| Heinz H J Co | 09/25/09 | 06/03/13 | 45 00 | 3,251 | 1,800 | 1,451 |
| Heinz H J Co | 01/24/12 | 03/07/13 | 100 00 | 7,243 | 5,164 | 2,079 |
| JP Morgan Chase 4 75% 5/1/13 | 09/21/09 | 05/01/13 | 50,000 | 50,000 | 53,158 | (3,158) |
| JP Morgan Chase 4.75% 5/1/13 | 09/21/09 | 05/01/13 | 25,000 | 25,000 | 26,591 | (1,591) |
| Mallinckrodt - spin-off from Covidien - 6/28/13 | 08/02/11 | Various | 38 75 | 1,782 | 1,270 | 512 |
| Mallinckrodt - spin-off from Covidien - 6/28/13 | 08/02/11 | 07/29/13 | 5 00 | 222 | 160 | 62 |
| Mc Donalds Corp. | 01/06/11 | 05/09/13 | 5 | 497 | 376 | 121 |
| Mc Donalds Corp | 04/11/11 | 05/09/13 | 50 | 5,004 | 3,823 | 1,181 |
| Mc Donalds Corp | 04/11/11 | 05/09/13 | 5 | 497 | 384 | 113 |
| Microsoft Corp | 03/15/11 | 11/25/13 | 60 | 2,259 | 1,524 | 734 |
| Microsoft Corp | 03/15/11 | 11/25/13 | 10 | 374 | 255 | 119 |
| Microsoft Corp | 11/19/12 | 11/25/13 | 120 | 4,517 | 3,199 | 1,319 |
| Microsoft Corp | 11/19/12 | 11/25/13 | 20 | 748 | 541 | 207 |
| Nike Inc CL B | 11/13/09 | 04/05/13 | 100 | 5,873 | 3,236 | 2,637 |
| Nike Inc CL B | 11/13/09 | 04/30/13 | 80 | 5,081 | 2,589 | 2,492 |
| Nike Inc CL B | 11/13/09 | 04/05/13 | 10 | 579 | 326 | 254 |
| Nike Inc CL B | 11/13/09 | 04/30/13 | 10 | 627 | 326 | 302 |
| Pepsico 4 65% 2/15/13 | 06/09/09 | 02/15/13 | 40,000 | 40,000 | 41,925 | (1,925) |
| Pepsico Incorporated | 08/24/09 | 04/30/13 | 70 | 5,762 | 4,003 | 1,759 |
| Pepsico Incorporated | 08/24/09 | 04/30/13 | 15 | 1,228 | 860 | 367 |
| QEP Resources Inc - spin-off | 06/29/09 | 11/13/13 | 225 | 7,304 | 4,821 | 2,483 |
| QEP Resources Inc. - spin-off | 06/29/09 | 11/13/13 | 40 | 1,296 | 862 | 433 |
| QEP Resources Inc. | 04/19/11 | 11/13/13 | 95 | 3,084 | 3,630 | (546) |
| QEP Resources Inc. | 04/19/11 | 11/13/13 | 10 | 324 | 390 | (66) |

# RUTH M. KNIGHT FOUNDATION, INC.
# SCHEDULE OF SALES
# FOR THE YEAR ENDED DECEMBER 31, 2013

**SCHEDULE D - LONG-TERM**

| SECURITY | DATE ACQUIRED | DATE SOLD | SHARES | SALES PROCEEDS | COST | GAIN OR (LOSS) |
|---|---|---|---|---|---|---|
| QEP Resources Inc | 08/23/11 | 11/13/13 | 150 | 4,869 | 5,087 | (218) |
| QEP Resources Inc | 08/23/11 | 11/13/13 | 30 | 972 | 1,025 | (53) |
| Republic Services Inc | 11/10/10 | 01/28/13 | 140 | 4,405 | 4,015 | 390 |
| Republic Services Inc | 11/10/10 | 01/28/13 | 24 | 748 | 693 | 55 |
| Spectra Energy Corp | 06/15/10 | 08/08/13 | 165 | 5,802 | 3,523 | 2,279 |
| Spectra Energy Corp | 06/15/10 | 10/28/13 | 115 | 4,100 | 2,455 | 1,645 |
| Spectra Energy Corp | 06/15/10 | 08/08/13 | 5 | 175 | 108 | 67 |
| Spectra Energy Corp | 06/15/10 | 10/28/13 | 35 | 1,240 | 754 | 486 |
| Spectra Energy Corp | 04/25/12 | 10/28/13 | 130 | 4,635 | 3,927 | 708 |
| Spectra Energy Corp | 04/25/12 | 08/08/13 | 35 | 1,225 | 1,064 | 161 |
| Target Corp | 12/07/06 | 03/13/13 | 30 | 2,015 | 1,739 | 276 |
| Target Corp | 12/07/06 | 03/13/13 | 3 | 200 | 175 | 25 |
| Target Corp | 12/07/07 | 03/13/13 | 10 | 667 | 569 | 98 |
| Target Corp | 01/06/11 | 03/13/13 | 30 | 2,015 | 1,658 | 357 |
| Target Corp | 01/06/11 | 03/13/13 | 2 | 133 | 112 | 22 |
| Unitedhealth Group Inc | 10/09/09 | 08/28/13 | 40 | 2,863 | 981 | 1,882 |
| Unitedhealth Group Inc | 10/09/09 | 08/28/13 | 5 | 356 | 123 | 232 |
| Unitedhealth Group Inc | 05/14/10 | 08/28/13 | 35 | 2,506 | 1,042 | 1,463 |
| Unitedhealth Group Inc | 05/14/10 | 08/28/13 | 10 | 711 | 302 | 409 |
| UPS Notes 4 5% 1/15/13 | 06/09/10 | 01/15/13 | 40,000 | 40,000 | 43,124 | (3,124) |
| V F Corporation | 05/18/12 | 07/17/13 | 30 | 5,932 | 4,061 | 1,872 |
| V F Corporation | 05/18/12 | 07/17/13 | 5 | 981 | 682 | 299 |
| Walgreen Company | 11/24/09 | 01/09/13 | 125 | 4,790 | 4,931 | (142) |
| Walgreen Company | 07/14/10 | 01/09/13 | 80 | 3,065 | 2,367 | 698 |
| Walgreen Company | 07/14/10 | 01/09/13 | 15 | 566 | 448 | 119 |
| Wal-mart Stores 4 55% 5/1/13 | 06/09/09 | 05/01/13 | 40,000 | 40,000 | 42,040 | (2,040) |
| Wells Fargo & Co New | 12/21/09 | 07/12/13 | 5 | 212 | 138 | 74 |
| Wells Fargo & Co New | 04/19/11 | 07/12/13 | 130 | 5,548 | 3,913 | 1,635 |
| Wells Fargo & Co New | 04/19/11 | 07/12/13 | 20 | 848 | 610 | 238 |
| Williams Companies | 05/21/10 | 02/27/13 | 95 | 3,242 | 1,487 | 1,755 |

# RUTH M. KNIGHT FOUNDATION, INC.
# SCHEDULE OF SALES
# FOR THE YEAR ENDED DECEMBER 31, 2013

## SCHEDULE D - LONG-TERM

| SECURITY | DATE ACQUIRED | DATE SOLD | SHARES | SALES PROCEEDS | COST | GAIN OR (LOSS) |
|---|---|---|---|---|---|---|
| Williams Companies | 05/21/10 | 02/27/13 | 30 | 1,016 | 472 | 543 |
| Williams Companies | 08/11/10 | 02/27/13 | 190 | 6,484 | 3,075 | 3,409 |
| **LONG-TERM TOTALS** | | | | **485,482** | **443,814** | **41,668** |

# RUTH M. KNIGHT FOUNDATION, INC.
# SCHEDULE OF SALES
# FOR THE YEAR ENDED DECEMBER 31, 2013

| SECURITY | DATE ACQUIRED | DATE SOLD | SHARES | SALES PROCEEDS | COST | GAIN OR (LOSS) |
|---|---|---|---|---|---|---|
| SCHEDULE D - SHORT-TERM | | | | | | |
| Dreyfus Emerging Mkts Debt | 01/17/13 | 07/08/13 | 1,238 081 | 17,000 | 19,259 | (2,259) |
| Dreyfus Emerging Mkts Debt | 01/17/13 | 10/29/13 | 1,979 422 | 28,765 | 30,791 | (2,026) |
| Dreyfus Emerging Mkts Debt | 01/17/13 | 07/08/13 | 74 128 | 1,000 | 1,155 | (155) |
| Dreyfus Emerging Mkts Debt | 01/17/13 | 10/29/13 | 376 322 | 5,455 | 5,865 | (409) |
| QEP Resources Inc. | 11/14/12 | 11/13/13 | 170 | 5,518 | 4,717 | 802 |
| QEP Resources Inc. | 11/14/12 | 11/13/13 | 25 | 810 | 701 | 108 |
| **SHORT-TERM TOTALS** | | | | 58,548 | 62,488 | (3,940) |

# RUTH M. KNIGHT FOUNDATION, INC.
## SECURITIES SCHEDULE
## December 31, 2013

(NEG=RET OF CAP)

I D. # 13-6093663

| | | | | INV BEGINNING OF PERIOD | | PURCHASES OR ACQUISITIONS | | SALES OR OTHER DISPOSITIONS | | | | INVENTORY END OF PERIOD | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| DATE ACQUIRED | xfer | TYPE AND NAME OF SECURITY | | # SHARES PAR VALUE | COST BASIS | # SHARES PAR VALUE | GROSS COST | # SHARES PAR VALUE | SALES PRICE | DATE SOLD | GAIN OR LOSS | # SHARES PAR VALUE | COST BASIS | MARKET VALUE |
| 05/21/10 | | Aetna Inc New | | 305 | 8,717 04 | | | 15 | 933 17 | 07/02/13 | 504 46 | 290 | 8,288 33 | 19,891 10 |
| 05/21/10 | | Aetna Inc New | | 40 | 1,150 99 | | | | | | 0 00 | 40 | 1,150 99 | 2,743 60 |
| 05/18/12 | | Aetna Inc New | | 85 | 3,386 67 | | | 85 | 5,287 96 | 07/02/13 | 1,901 29 | 0 | 0 00 | 0 00 |
| 05/18/12 | | Aetna Inc New | | 25 | 1,002 40 | | | 20 | 1,237 07 | 07/02/13 | 435 15 | 5 | 200 48 | 342 95 |
| 11/19/12 | | Aetna Inc New | | 105 | 4,367 34 | | | | | | 0 00 | 105 | 4 367 34 | 7,201 95 |
| 11/19/12 | | Aetna Inc New | | 5 | 216 49 | | | | | | 0 00 | 5 | 216 49 | 342 95 |
| 02/24/10 | | Alliance Data Systems | | 62 | 3,499 77 | | | | | | 0 00 | 62 | 3,499 77 | 16,301 66 |
| 02/24/10 | | Alliance Data Systems | | 11 | 625 09 | | | | | | 0 00 | 11 | 625 09 | 2,892 23 |
| 12/21/09 | | Andarko Petroleum Corp | | 100 | 6,248 83 | | | | | | 0 00 | 100 | 6,248 83 | 7,932 00 |
| 08/22/11 | | Andarko Petroleum Corp | | 65 | 4,286 98 | | | | | | 0 00 | 65 | 4,286 98 | 5,155 80 |
| 08/22/11 | | Andarko Petroleum Corp | | 15 | 996 19 | | | | | | 0 00 | 15 | 996 19 | 1,189 80 |
| 05/18/12 | | Andarko Petroleum Corp | | 65 | 4,163 37 | | | | | | 0 00 | 65 | 4,163 37 | 5,155 80 |
| 05/18/12 | | Andarko Petroleum Corp | | 15 | 967 66 | | | | | | 0 00 | 15 | 967 66 | 1,189 80 |
| 10/12/12 | | Andarko Petroleum Corp | | 70 | 4,848 02 | | | | | | 0 00 | 70 | 4,848 02 | 5,552 40 |
| 10/12/12 | | Andarko Petroleum Corp | | 15 | 1,045 89 | | | | | | 0 00 | 15 | 1,045 89 | 1,189 80 |
| 03/20/13 | | Angel Oak Multi Strategy Income Fd | | | | 3241 491 | 40,048 00 | | | | 0 00 | 3,241 491 | 40,048 00 | 38,930 31 |
| 03/20/13 | | Angel Oak Multi Strategy Income Fd | | | | 567 261 | 7,020 00 | | | | 0 00 | 567 261 | 7,020 00 | 6,812 80 |
| 12/05/13 | | Angel Oak Multi Strategy Income Fd | | | | 3 555 | 42 83 | | | | 0 00 | 3 555 | 42 83 | 42 70 |
| 12/05/13 | | Angel Oak Multi Strategy Income Fd | | | | 0 622 | 7 50 | | | | 0 00 | 0 622 | 7 50 | 7 47 |
| 08/11/10 | | Apache Corp | | 120 | 11,173 37 | | | 35 | 3,155 08 | 10/28/13 | (103 82) | 85 | 7,914 47 | 7,304 90 |
| 08/11/10 | | Apache Corp | | 17 | 1,590 58 | | | 10 | 893 78 | 10/28/13 | (41 86) | 7 | 654 94 | 601 58 |
| 08/23/11 | | Apache Corp | | 35 | 3,392 70 | | | 35 | 3,155 08 | 10/28/13 | (237 62) | 0 | 0 00 | 0 00 |
| 10/05/11 | | Apache Corp | | 45 | 3,563 69 | | | | | | 0 00 | 45 | 3,563 69 | 3,867 30 |
| 10/05/11 | | Apache Corp | | 15 | 1,193 86 | | | | | | 0 00 | 15 | 1,193 86 | 1,289 10 |
| 02/27/13 | | Apache Corp | | | | 65 | 4,827 87 | | | | 0 00 | 65 | 4,827 87 | 5,586 10 |
| 12/17/10 | | Apple Inc | | 40 | 12,857 56 | | | | | | 0 00 | 40 | 12,857 56 | 22,440 80 |
| 12/17/10 | | Apple Inc | | 5 | 1,615 03 | | | | | | 0 00 | 5 | 1,615 03 | 2,805 10 |
| 01/21/11 | | Apple Inc | | 10 | 3,348 20 | | | | | | 0 00 | 10 | 3,348 20 | 5,610 20 |
| 05/24/11 | | Apple Inc | | 20 | 6,670 44 | | | | | | 0 00 | 20 | 6,670 44 | 11,220 40 |
| 05/24/11 | | Apple Inc | | 5 | 1,674 32 | | | | | | 0 00 | 5 | 1,674 32 | 2,805 10 |
| 08/22/11 | | Apple Inc | | 5 | 1,793 64 | | | | | | 0 00 | 5 | 1,793 64 | 2,805 10 |
| 11/07/12 | | Apple Inc | | 8 | 4,482 90 | | | | | | 0 00 | 8 | 4,482 90 | 4,488 16 |
| 11/07/12 | | Apple Inc | | 2 | 1,127 44 | | | | | | 0 00 | 2 | 1,127 44 | 1,122 04 |
| 01/25/10 | | Auto Data Processing | | 365 | 15,100 25 | | | | | | 0 00 | 365 | 15,100 25 | 29,491 64 |
| 01/25/10 | | Auto Data Processing | | 60 | 2,489 96 | | | | | | 0 00 | 60 | 2,489 96 | 4,847 94 |
| 07/12/13 | | Avalonbay Cmntys Inc | | | | 60 | 8,424 10 | | | | 0 00 | 60 | 8,424 10 | 7,093 80 |
| 07/12/13 | | Avalonbay Cmntys Inc | | | | 10 | 1,411 48 | | | | 0 00 | 10 | 1,411 48 | 1,182 30 |
| 12/21/09 | | Bank of America Corp | | 345 | 5,310 18 | | | | | | 0 00 | 345 | 5,310 18 | 5,371 65 |
| 12/21/09 | | Bank of America Corp | | 60 | 931 15 | | | | | | 0 00 | 60 | 931 15 | 934 20 |
| 04/06/11 | | Bank of America Corp | | 315 | 4,327 51 | | | | | | 0 00 | 315 | 4,327 51 | 4,904 55 |
| 04/06/11 | | Bank of America Corp | | 40 | 557 34 | | | | | | 0 00 | 40 | 557 34 | 622 80 |
| 07/17/09 | | Berk Hath Fin 4 625% 10/15/13 | | 50,000 | 52,858 90 | | | 50,000 | 50,000 00 | 10/15/13 | (2,858 90) | 0 | 0 00 | |
| 05/14/10 | | Blackrock Inc | | 7 | 1,194 48 | | | | | | 0 00 | 7 | 1,194 48 | 2,215 29 |
| 05/14/10 | | Blackrock Inc | | 2 | 342 85 | | | | | | 0 00 | 2 | 342 85 | 632 94 |
| 11/08/10 | | Blackrock Inc | | 25 | 4,243 08 | | | | | | 0 00 | 25 | 4,243 08 | 7,911 75 |
| 11/08/10 | | Blackrock Inc | | 5 | 855 78 | | | | | | 0 00 | 5 | 855 78 | 1,582 35 |
| 05/23/12 | | Blackrock Inc | | 19 | 3,072 77 | | | | | | 0 00 | 19 | 3,072 77 | 6,012 93 |
| 12/17/10 | | BMC Software Inc | | 170 | 8,278 52 | | | 170 | 7,862 50 | 09/11/13 | (416 02) | 0 | 0 00 | |
| 12/17/10 | | BMC Software Inc | | 25 | 1,225 06 | | | 25 | 1,156 25 | 09/11/13 | (68 81) | 0 | 0 00 | |
| 08/02/11 | | BMC Software Inc | | 95 | 4,080 35 | | | 95 | 4,393 75 | 09/11/13 | 313 40 | 0 | 0 00 | |
| 08/02/11 | | BMC Software Inc | | 15 | 651 80 | | | 15 | 693 75 | 09/11/13 | 41 95 | 0 | 0 00 | |
| 01/17/13 | | Boeing Co | | | | 100 | 7,520 90 | | | | 0 00 | 100 | 7,520 90 | 13,649 00 |
| 01/17/13 | | Boeing Co | | | | 15 | 1,135 74 | | | | 0 00 | 15 | 1,135 74 | 2,047 35 |
| 02/15/13 | | Boeing Co | | | | 70 | 5,255 22 | | | | 0 00 | 70 | 5,255 22 | 9,554 30 |
| 02/15/13 | | Boeing Co | | | | 15 | 1,133 15 | | | | 0 00 | 15 | 1,133 15 | 2,047 35 |
| 03/01/13 | | Capital One Financial Group | | | | 195 | 10,018 57 | | | | 0 00 | 195 | 10,018 57 | 14,938 95 |
| 03/01/13 | | Capital One Financial Group | | | | 30 | 1,548 89 | | | | 0 00 | 30 | 1,548 89 | 2,298 30 |
| 04/05/13 | | Capital One Financial Group | | | | 90 | 4,921 28 | | | | 0 00 | 90 | 4,921 28 | 6,894 90 |

# RUTH M. KNIGHT FOUNDATION, INC.

## SECURITIES SCHEDULE

## December 31, 2013

| | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | (NEG=RET OF CAP) | | | | | I.D. # 13-6093663 | | | |
| | | | | INV BEGINNING | | PURCHASES OR | | SALES OR OTHER | | | | INVENTORY END | | |
| | | | | OF PERIOD | | ACQUISITIONS | | DISPOSITIONS | | | | | OF PERIOD | |
| DATE | | TYPE AND NAME OF | | # SHARES | COST | # SHARES | GROSS | # SHARES | SALES | DATE | GAIN | # SHARES | COST | MARKET |
| ACQUIRED | xfer | SECURITY | | PAR VALUE | BASIS | PAR VALUE | COST | PAR VALUE | PRICE | SOLD | OR LOSS | PAR VALUE | BASIS | VALUE |
| 04/05/13 | | Capital One Financial Group | | | | 10 | 554 76 | | | | 0 00 | 10 | 554 76 | 766 10 |
| 12/01/06 | | Caterpillar Inc 5 7% 8/15/16 | | 50,000 | 52,877 17 | | | | | | 0 00 | 50,000 | 52,877 17 | 56,139 00 |
| 07/16/12 | | Check Pt Software Tech F | | 100 | 4,607 68 | | | | | | 0 00 | 100 | 4,607 68 | 6,449 90 |
| 07/16/12 | | Check Pt Software Tech F | | 15 | 698 76 | | | | | | 0 00 | 15 | 698 76 | 967 49 |
| 08/27/12 | | Check Pt Software Tech F | | 90 | 4,404 77 | | | | | | 0 00 | 90 | 4,404 77 | 5,804 91 |
| 08/27/12 | | Check Pt Software Tech F | | 20 | 985 80 | | | 20 | 1,017 73 | 03/07/13 | 31 93 | 0 | 0 00 | 0 00 |
| 01/05/05 | | Cisco Systems Inc | | 530 | 9,904 79 | | | 95 | 2,447 00 | 07/12/13 | 671 61 | 435 | 8,129 41 | 9,757 05 |
| 01/05/05 | | Cisco Systems Inc | | 92 | 1,729 17 | | | 25 | 640 65 | 07/12/13 | 170 77 | 67 | 1,259 29 | 1,502 81 |
| 11/12/10 | | Cisco Systems Inc | | 195 | 3,989 76 | | | 195 | 5,022 79 | 07/12/13 | 1,033 03 | 0 | 0 00 | 0 00 |
| 11/12/10 | | Cisco Systems Inc | | 30 | 621 38 | | | 30 | 768 79 | 07/12/13 | 147 41 | 0 | 0 00 | 0 00 |
| 04/11/11 | | Cisco Systems Inc | | 265 | 4,662 30 | | | | | | 0 00 | 265 | 4,662 30 | 5,943 95 |
| 04/11/11 | | Cisco Systems Inc | | 35 | 623 54 | | | | | | 0 00 | 35 | 623 54 | 785 05 |
| 04/06/11 | | Citigroup Inc | | 244 | 11,253 01 | | | | | | 0 00 | 244 | 11,253 01 | 12,714 84 |
| 04/06/11 | | Citigroup Inc | | 22 | 1,018 59 | | | | | | 0 00 | 22 | 1,018 59 | 1,146 42 |
| 05/17/11 | | Citigroup Inc | | 140 | 5,816 26 | | | | | | 0 00 | 140 | 5,816 26 | 7,295 40 |
| 05/17/11 | | Citigroup Inc | | 20 | 838 57 | | | | | | 0 00 | 20 | 838 57 | 1,042 20 |
| 06/06/13 | | Citrix Systems Inc | | | | 135 | 8,449 50 | | | | 0 00 | 135 | 8,449 50 | 8,538 75 |
| 06/06/13 | | Citrix Systems Inc | | | | 20 | 1,259 40 | | | | 0 00 | 20 | 1,259 40 | 1,265 00 |
| 10/24/13 | | Citrix Systems Inc | | | | 105 | 6,177 64 | | | | 0 00 | 105 | 6,177 64 | 6,641 25 |
| 10/24/13 | | Citrix Systems Inc | | | | 20 | 1,183 94 | | | | 0 00 | 20 | 1,183 94 | 1,265 00 |
| 08/11/10 | | CME Group Inc | | 25 | 1,283 01 | | | 25 | 1,490 22 | 03/01/13 | 207 21 | 0 | 0 00 | |
| 05/02/11 | | CME Group Inc | | 30 | 1,792 36 | | | 30 | 1,788 26 | 03/01/13 | (4 09) | 0 | 0 00 | |
| 05/02/11 | | CME Group Inc | | 10 | 602 53 | | | 10 | 593 68 | 03/01/13 | (8 85) | 0 | 0 00 | |
| 11/28/11 | | CME Group Inc | | 100 | 4,894 78 | | | 100 | 5,960 87 | 03/01/13 | 1,066 09 | 0 | 0 00 | |
| 11/28/11 | | CME Group Inc | | 20 | 986 12 | | | 20 | 1,187 36 | 03/01/13 | 201 24 | 0 | 0 00 | |
| 01/26/05 | | Comcast Cp New CL A SPL | | 65 | 1,408 62 | | | | | | 0 00 | 65 | 1,408 62 | 3,242 20 |
| 01/26/05 | | Comcast Cp New CL A SPL | | 31 | 673 75 | | | | | | 0 00 | 31 | 673 75 | 1,546 28 |
| 10/10/05 | | Comcast Cp New CL A SPL | | 150 | 2,811 80 | | | | | | 0 00 | 150 | 2,811 80 | 7,482 00 |
| 08/22/11 | | Comcast Cp New CL A | | 470 | 9,449 15 | | | | | | 0 00 | 470 | 9,449 15 | 24,423 55 |
| 08/22/11 | | Comcast Cp New CL A SPL | | 215 | 4,308 74 | | | | | | 0 00 | 215 | 4,308 74 | 10,724 20 |
| 08/22/11 | | Comcast Cp New CL A SPL | | 40 | 808 91 | | | | | | 0 00 | 40 | 808 91 | 1,995 20 |
| 08/02/11 | | Covidien Plc New | | 125 | 6,195 19 | | (541 62) | | | | 0 00 | 125 | 5,653 57 | 8,512 50 |
| 08/02/11 | | Covidien Plc New | | 5 | 249 69 | | (21 83) | | | | 0 00 | 5 | 227 86 | 340 50 |
| 11/02/11 | | Covidien Plc New | | 90 | 4,098 01 | | (358 27) | | | | 0 00 | 90 | 3,739 74 | 6,129 00 |
| 11/02/11 | | Covidien Plc New | | 10 | 463 29 | | (40 50) | | | | 0 00 | 10 | 422 79 | 681 00 |
| 12/09/11 | | Covidien Plc New | | 95 | 4,228 87 | | (369 71) | | | | 0 00 | 95 | 3,859 16 | 6,469 50 |
| 12/09/11 | | Covidien Plc New | | 25 | 1,119 46 | | (97 87) | | | | 0 00 | 25 | 1,021 59 | 1,702 50 |
| 05/17/12 | | Credit Suisse Commodity Return Strat | | 3,589 744 | 28,000 00 | | | 2,475 928 | 18,000 00 | 07/08/13 | (1,312 24) | 1,113 816 | 8,687 76 | 8,052 89 |
| 05/17/12 | | Credit Suisse Commodity Return Strat | | 512 821 | 4,000 00 | | | 137 552 | 1,000 00 | 07/08/13 | (72 90) | 375 269 | 2,927 10 | 2,713 19 |
| 01/17/13 | | Credit Suisse Commodity Return Strat | | | | 2,706 027 | 22,000 00 | | | | 0 00 | 2,706 027 | 22,000 00 | 19,564 58 |
| 01/17/13 | | Credit Suisse Commodity Return Strat | | | | 369 004 | 3,000 00 | | | | 0 00 | 369 004 | 3,000 00 | 2,667 90 |
| 01/17/12 | | Crown Holdings Inc | | 185 | 6,335 08 | | | | | | 0 00 | 185 | 6,335 08 | 8,245 45 |
| 01/17/12 | | Crown Holdings Inc | | 30 | 1,034 81 | | | | | | 0 00 | 30 | 1,034 81 | 1,337 10 |
| 03/01/12 | | Crown Holdings Inc | | 125 | 4,637 15 | | | | | | 0 00 | 125 | 4,637 15 | 5,571 25 |
| 03/01/12 | | Crown Holdings Inc | | 25 | 934 59 | | | | | | 0 00 | 25 | 934 59 | 1,114 25 |
| 06/06/12 | | Crown Holdings Inc | | 115 | 3,864 65 | | | | | | 0 00 | 115 | 3,864 65 | 5,125 55 |
| 04/04/00 | | CVS Caremark Corp | LAY | 200 | 4,491 45 | | | | | | 0 00 | 200 | 4,491 45 | 14,314 00 |
| 04/04/00 | | CVS Caremark Corp | LAY | 27 | 607 83 | | | | | | 0 00 | 27 | 607 83 | 1,932 39 |
| 07/20/12 | | CVS Caremark Corp | | 100 | 4,502 72 | | | | | | 0 00 | 100 | 4,502 72 | 7,157 00 |
| 07/20/12 | | CVS Caremark Corp | | 20 | 907 70 | | | | | | 0 00 | 20 | 907 70 | 1,431 40 |
| 09/21/10 | | Danaher Corp Del | | 185 | 7,601 35 | | | | | | 0 00 | 185 | 7,601 35 | 14,282 00 |
| 09/21/10 | | Danaher Corp Del | | 27 | 1,117 03 | | | | | | 0 00 | 27 | 1,117 03 | 2,084 40 |
| 08/22/11 | | Danaher Corp Del | | 90 | 3,726 25 | | | | | | 0 00 | 90 | 3,726 25 | 6,948 00 |
| 08/22/11 | | Danaher Corp Del | | 20 | 835 02 | | | | | | 0 00 | 20 | 835 02 | 1,544 00 |
| 06/06/12 | | Danaher Corp Del | | 65 | 3,322 07 | | | | | | 0 00 | 65 | 3,322 07 | 5,018 00 |
| 03/07/13 | | Directtv | | | | 210 | 10,361 09 | | | | 0 00 | 210 | 10,361 09 | 14,502 60 |
| 03/07/13 | | Directtv | | | | 30 | 1,487 83 | | | | 0 00 | 30 | 1,487 83 | 2,071 80 |
| 08/08/13 | | Disney Walt Co | | | | 175 | 11,533 72 | | | | 0 00 | 175 | 11,533 72 | 13,370 00 |
| 08/08/13 | | Disney Walt Co | | | | 25 | 1,655 35 | | | | 0 00 | 25 | 1,655 35 | 1,910 00 |

# RUTH M. KNIGHT FOUNDATION, INC.

## SECURITIES SCHEDULE

## December 31, 2013

| | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | (NEG=RET OF CAP) | | | | | I.D # 13-6093663 | | | |
| | | | | INV BEGINNING OF PERIOD | | PURCHASES OR ACQUISITIONS | | SALES OR OTHER DISPOSITIONS | | | | INVENTORY END OF PERIOD | | |
| DATE ACQUIRED | xfer | TYPE AND NAME OF SECURITY | | # SHARES PAR VALUE | COST BASIS | # SHARES PAR VALUE | GROSS COST | # SHARES PAR VALUE | SALES PRICE | DATE SOLD | GAIN OR LOSS | # SHARES PAR VALUE | COST BASIS | MARKET VALUE |
| 08/28/13 | | Disney Walt Co | | | | 90 | 5,495 31 | | | | 0 00 | 90 | 5,495 31 | 6,876 00 |
| 08/28/13 | | Disney Walt Co | | | | 20 | 1,228 14 | | | | 0 00 | 20 | 1,228 14 | 1,528 00 |
| 01/09/13 | | Dollar General Corp New | | | | 225 | 9,674 16 | | | | 0 00 | 225 | 9,674 16 | 13,572 00 |
| 01/09/13 | | Dollar General Corp New | | | | 35 | 1,512 43 | | | | 0 00 | 35 | 1,512 43 | 2,111 20 |
| 06/04/13 | | Dollar General Corp New | | | | 105 | 5,209 95 | | | | 0 00 | 105 | 5,209 95 | 6,333 60 |
| 06/04/13 | | Dollar General Corp New | | | | 15 | 751 95 | | | | 0 00 | 15 | 751 95 | 904 80 |
| 01/17/13 | | Dreyfus Emerging Mkts Debt | | | | 1,238 081 | 19,259 00 | 1,238 081 | 17,000 00 | 07/08/13 | (2,259 00) | 0 000 | 0 00 | |
| 01/17/13 | | Dreyfus Emerging Mkts Debt | LAY | | | 1,979 422 | 30,790 95 | 1,979 422 | 28,764 59 | 10/29/13 | (2,026 36) | - | 0 00 | |
| 01/17/13 | | Dreyfus Emerging Mkts Debt | | | | 74 128 | 1,155 24 | 74 128 | 1,000 00 | 07/08/13 | (155 24) | (0 000) | (0 00) | |
| 01/17/13 | | Dreyfus Emerging Mkts Debt | LAY | | | 376 322 | 5,864 76 | 376 322 | 5,455 49 | 10/29/13 | (409 27) | - | 0 00 | |
| 06/07/12 | | EMC Corp Mass | | 180 | 4,417 26 | | | | | | 0 00 | 180 | 4,417 26 | 4,527 00 |
| 06/07/12 | | EMC Corp Mass | | 35 | 866 12 | | | | | | 0 00 | 35 | 866 12 | 880 25 |
| 10/26/12 | | EMC Corp Mass | | 215 | 5,155 38 | | | | | | 0 00 | 215 | 5,155 38 | 5,407 25 |
| 10/26/12 | | EMC Corp Mass | | 40 | 966 43 | | | | | | 0 00 | 40 | 966 43 | 1,006 00 |
| 11/19/12 | | EMC Corp Mass | | 185 | 4,489 22 | | | | | | 0 00 | 185 | 4,489 22 | 4 652 75 |
| 11/19/12 | | EMC Corp Mass | | 10 | 251 13 | | | | | | 0 00 | 10 | 251 13 | 251 50 |
| 03/05/13 | | EMC Corp Mass | | | | 205 00 | 4,866 34 | | | | 0 00 | 205 | 4,866 34 | 5,155 75 |
| 03/05/13 | | EMC Corp Mass | | | | 45 00 | 1,075 21 | | | | 0 00 | 45 | 1,075 21 | 1,131 75 |
| 02/24/10 | | EQT Corp | | 50 | 2,202 93 | | | | | | 0 00 | 50 | 2,202 93 | 4,489 00 |
| 02/24/10 | | EQT Corp | | 5 | 221 26 | | | | | | 0 00 | 5 | 221 26 | 448 90 |
| 06/15/10 | | EQT Corp | | 90 | 3,728 12 | | | | | | 0 00 | 90 | 3,728 12 | 8,080 20 |
| 06/15/10 | | EQT Corp | | 15 | 628 81 | | | | | | 0 00 | 15 | 628 81 | 1,346 70 |
| 04/16/09 | | Express Scripts Hldg Co | LAY | 125 | 3,703 79 | | | | | | 0 00 | 125 | 3,703 79 | 8,780 00 |
| 09/20/11 | | Express Scripts Hldg Co | | 90 | 3,799 58 | | | | | | 0 00 | 90 | 3,799 58 | 6,321 60 |
| 09/20/11 | | Express Scripts Hldg Co | | 15 | 640 72 | | | | | | 0 00 | 15 | 640 72 | 1,053 60 |
| 10/06/11 | | Express Scripts Hldg Co | | 100 | 4,001 94 | | | | | | 0 00 | 100 | 4,001 94 | 7,024 00 |
| 10/06/11 | | Express Scripts Hldg Co | | 15 | 607 90 | | | | | | 0 00 | 15 | 607 90 | 1,053 60 |
| 04/03/12 | | Express Scripts Hldg Co | MER | 56 | 3,163 44 | | | | | | 0 00 | 56 | 3,163 44 | 3,933 44 |
| 04/03/12 | | Express Scripts Hldg Co | MER | 12 | 677 88 | | | | | | 0 00 | 12 | 677 88 | 842 88 |
| 04/03/12 | | Express Scripts Hldg Co | | 85 | 4,768 79 | | | | | | 0 00 | 85 | 4,768 79 | 5,970 40 |
| 04/03/12 | | Express Scripts Hldg Co | | 20 | 1,128 91 | | | | | | 0 00 | 20 | 1,128 91 | 1,404 80 |
| 11/04/13 | | Express Scripts Hldg Co | | | | 80 00 | 4,986 55 | | | | 0 00 | 80 | 4,986 55 | 5,619 20 |
| 11/04/13 | | Express Scripts Hldg Co | | | | 20 00 | 1,253 35 | | | | 0 00 | 20 | 1,253 35 | 1,404 80 |
| 10/27/09 | | Fidelity National Info Services | | 249 | 5,628 12 | | | | | | 0 00 | 249 | 5,628 12 | 13,366 32 |
| 10/13/10 | | Fidelity National Info Services | | 20 | 557 65 | | | | | | 0 00 | 20 | 557 65 | 1,073 60 |
| 10/13/10 | | Fidelity National Info Services | | 28 | 783 56 | | | | | | 0 00 | 28 | 783 56 | 1,503 04 |
| 07/14/10 | | Fiserv Inc | | 240 | 11,301 21 | 240 00 | | | | | 0 00 | 480 | 11,301 21 | 28,344 00 |
| 07/14/10 | | Fiserv Inc | | 35 | 1,655 74 | 35 00 | | | | | 0 00 | 70 | 1,655 74 | 4,133 50 |
| 05/11/11 | | Ford Motor Company New | | 145 | 2,213 91 | | | 145 | 1,939 20 | 01/07/13 | (274 71) | 0 | 0 00 | |
| 05/11/11 | | Ford Motor Company New | | 75 | 1,145 12 | | | 75 | 1,268 64 | 08/08/13 | 123 52 | 0 | 0 00 | |
| 05/11/11 | | Ford Motor Company New | | 75 | 1,151 91 | | | 75 | 998 72 | 01/07/13 | (153 19) | 0 | 0 00 | |
| 05/11/11 | | Ford Motor Company New | | 10 | 153 59 | | | 10 | 167 61 | 08/08/13 | 14 02 | 0 | 0 00 | |
| 06/14/11 | | Ford Motor Company New | | 285 | 3,831 63 | | | 285 | 4,820 81 | 08/08/13 | 989 18 | 0 | 0 00 | |
| 06/14/11 | | Ford Motor Company New | | 40 | 545 47 | | | 40 | 670 45 | 08/08/13 | 124 98 | 0 | 0 00 | |
| 09/29/11 | | Freeport Mcmoran Copper | | 235 | 7,417 87 | | | | | | 0 00 | 235 | 7,417 87 | 8,868 90 |
| 09/29/11 | | Freeport Mcmoran Copper | | 35 | 1,112 41 | | | | | | 0 00 | 35 | 1,112 41 | 1,320 90 |
| 03/06/12 | | Freeport Mcmoran Copper | | 115 | 4,486 30 | | | | | | 0 00 | 115 | 4,486 30 | 4,340 10 |
| 03/06/12 | | Freeport Mcmoran Copper | | 30 | 1,176 96 | | | | | | 0 00 | 30 | 1,176 96 | 1,132 20 |
| 02/27/13 | | Freeport Mcmoran Copper | | | | 155 | 4,983 26 | | | | 0 00 | 155 | 4,983 26 | 5,849 70 |
| 02/27/13 | | Freeport Mcmoran Copper | | | | 30 | 971 72 | | | | 0 00 | 30 | 971 72 | 1,132 20 |
| 04/02/84 | | General Electric | LAY | 1,170 | 8,135 51 | | | | | | 0 00 | 1,170 | 8,135 51 | 32,795 10 |
| 01/05/05 | | General Electric | | 30 | 1,088 09 | | | | | | 0 00 | 30 | 1,088 09 | 840 90 |
| 03/31/05 | | General Electric | | 50 | 1,816 45 | | | | | | 0 00 | 50 | 1,816 45 | 1,401 50 |
| 04/16/09 | | General Electric | | 100 | 1,197 95 | | | | | | 0 00 | 100 | 1,197 95 | 2,803 00 |
| 05/08/13 | | General Electric Cap Cp 2 25% 11/9/15 | | | | 40,000 00 | 41,520 60 | | | | 0 00 | 40,000 | 41,520 60 | 41,223 80 |
| | | GNMA #4972575 DD 12/15/28 6% | | 4,471 | 6,719 18 | | | 1,406 89 | 1,406 89 | Various | 0 00 | 3,064 | 5,312 29 | 3,428 98 |
| | | GNMA #4972575 DD 12/15/28 6% | | 2,235 | 2,055 98 | | | 703 45 | 703 45 | Various | 0 00 | 1,532 | 1,352 53 | 1,714 49 |
| 05/08/13 | | Goldman Sachs 3 625% 2/7/16 | | | | 40,000 00 | 42,652 20 | | | | 0 00 | 40 000 | 42,652 20 | 41,844 68 |
| 05/08/13 | | Goldman Sachs 3 625% 2/7/16 | | | | 25,000 00 | 26,667 00 | | | | 0 00 | 25,000 | 26,667 00 | 26,152 93 |

# RUTH M. KNIGHT FOUNDATION, INC.

## SECURITIES SCHEDULE

## December 31, 2013

| | | | | | | (NEG=RET OF CAP) | | | | | I.D. # 13-6093663 | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | INV BEGINNING OF PERIOD | | PURCHASES OR ACQUISITIONS | | SALES OR OTHER DISPOSITIONS | | | | INVENTORY END OF PERIOD | | |
| DATE ACQUIRED | xfer | TYPE AND NAME OF SECURITY | | # SHARES PAR VALUE | COST BASIS | # SHARES PAR VALUE | GROSS COST | # SHARES PAR VALUE | SALES PRICE | DATE SOLD | GAIN OR LOSS | # SHARES PAR VALUE | COST BASIS | MARKET VALUE |
| 12/17/10 | | Google Inc Class A | | 9 | 5,305 94 | | | 7 00 | 5,845 09 | 03/15/13 | 1,718 25 | 2 | 1,179 10 | 2,241 42 |
| 03/15/11 | | Google Inc Class A | | 8 | 4,520 82 | | | | | | 0 00 | 8 | 4,520 82 | 8,965 68 |
| 03/15/11 | | Google Inc Class A | | 1 | 572 93 | | | | | | 0 00 | 1 | 572 93 | 1,120 71 |
| 05/24/11 | | Google Inc Class A | | 10 | 5,236 38 | | | | | | 0 00 | 10 | 5,236 38 | 11,207 10 |
| 05/24/11 | | Google Inc Class A | | 2 | 1,054 44 | | | | | | 0 00 | 2 | 1,054 44 | 2,241 42 |
| 02/01/12 | | Google Inc Class A | | 8 | 4,650 10 | | | | | | 0 00 | 8 | 4,650 10 | 8,965 68 |
| 02/01/12 | | Google Inc Class A | | 2 | 1,169 24 | | | 2 00 | 1,663 63 | 03/05/13 | 494 39 | 0 | 0 00 | 0 00 |
| 07/02/09 | | Harbor International Fund Inst Cl | | 705 716 | 30,036 00 | | | | | | 0 00 | 705 716 | 30,036 00 | 50,112 89 |
| 07/02/09 | | Harbor International Fund Inst Cl | | 70 572 | 3,020 00 | | | | | | 0 00 | 70 572 | 3,020 00 | 5,011 32 |
| 07/22/11 | | Harbor International Fund Inst Cl | | 166 985 | 10,726 62 | | | | | | 0 00 | 166 985 | 10,726 62 | 11,857 60 |
| 07/22/11 | | Harbor International Fund Inst Cl | | 41 411 | 2,665 79 | | | | | | 0 00 | 41 411 | 2,665 79 | 2,940 60 |
| 09/25/09 | | Heinz H J Co | | 85 | 3,390 93 | | | 85 00 | 6,156 79 | 03/07/13 | 2,765 86 | 0 | 0 00 | |
| 09/25/09 | | Heinz H J Co | | 210 | 8,377 57 | | | 210 00 | 15,205 29 | 06/03/13 | 6,827 72 | 0 | 0 00 | |
| 09/25/09 | | Heinz H J Co | | 15 | 600 17 | | | 15 00 | 1,077 29 | 04/24/13 | 477 12 | 0 | 0 00 | |
| 09/25/09 | | Heinz H J Co | | 45 | 1,800 48 | | | 45 00 | 3,251 24 | 06/03/13 | 1,450 76 | 0 | 0 00 | |
| 01/24/12 | | Heinz H J Co | | 100 | 5,163 97 | | | 100 00 | 7,243 28 | 03/07/13 | 2,079 31 | 0 | 0 00 | |
| 11/13/13 | | Home Depot Inc | | | | 200 | 15,444 81 | | | | 0 00 | 200 | 15,444 81 | 16,468 00 |
| 11/13/13 | | Home Depot Inc | | | | 30 | 2,324 33 | | | | 0 00 | 30 | 2,324 33 | 2,470 20 |
| 11/25/13 | | Home Depot Inc | | | | 70 | 5,602 09 | | | | 0 00 | 70 | 5,602 09 | 5,763 80 |
| 11/25/13 | | Home Depot Inc | | | | 15 | 1,207 48 | | | | 0 00 | 15 | 1,207 48 | 1,235 10 |
| 07/02/09 | | Ishares Russell Midcap Growth Index Fd | | 800 | 28,479 35 | | | | | | 0 00 | 800 | 28,479 35 | 67,488 00 |
| 07/02/09 | | Ishares Russell Midcap Growth Index Fd | | 100 | 3,567 75 | | | | | | 0 00 | 100 | 3,567 75 | 8,436 00 |
| 08/02/12 | | Johnson & Johnson | | 135 | 9,227 07 | | | | | | 0 00 | 135 | 9,227 07 | 12,364 65 |
| 08/17/12 | | Johnson & Johnson | | 70 | 4,742 23 | | | | | | 0 00 | 70 | 4,742 23 | 6,411 30 |
| 08/02/12 | | Johnson & Johnson | | 20 | 1,374 60 | | | | | | 0 00 | 20 | 1,374 60 | 1,831 80 |
| 08/17/12 | | Johnson & Johnson | | 10 | 685 13 | | | | | | 0 00 | 10 | 685 13 | 915 90 |
| 01/17/13 | | JP Morgan Exch Traded Alerian MLP FD | | | | 1,200 | 50,006 23 | | | | 0 00 | 1,200 | 50,006 23 | 55,620 00 |
| 01/17/13 | | JP Morgan Exch Traded Alerian MLP FD | | | | 160 | 6,675 25 | | | | 0 00 | 160 | 6,675 25 | 7,416 00 |
| 09/21/09 | | JP Morgan Chase 4 75% 5/1/13 | | 50,000 | 53,157 50 | | | 50,000 | 50,000 00 | 05/01/13 | (3,157 50) | 0 | 0 00 | |
| 09/21/09 | | JP Morgan Chase 4 75% 5/1/13 | | 25,000 | 26,591 25 | | | 25,000 | 25,000 00 | 05/01/13 | (1,591 25) | 0 | 0 00 | |
| 11/13/13 | | JP Morgan Chase 3 45% 3/1/16 | | | | 50,000 | 52,692 00 | | | | 0 00 | 50,000 | 52,692 00 | 52,400 20 |
| 02/03/10 | | JPMorgan Chase & Co | | 375 | 15,116 44 | | | | | | 0 00 | 375 | 15,116 44 | 21,930 00 |
| 02/03/10 | | JPMorgan Chase & Co | | 60 | 2,426 33 | | | | | | 0 00 | 60 | 2,426 33 | 3,508 80 |
| 05/11/12 | | JPMorgan Chase & Co | | 120 | 4,510 99 | | | | | | 0 00 | 120 | 4,510 99 | 7,017 60 |
| 05/11/12 | | JPMorgan Chase & Co | | 25 | 946 88 | | | | | | 0 00 | 25 | 946 88 | 1,462 00 |
| 09/19/13 | | Kellogg Company | | | | 190 | 11,603 78 | | | | 0 00 | 190 | 11,603 78 | 11,603 30 |
| 09/19/13 | | Kellogg Company | | | | 30 | 1,839 71 | | | | 0 00 | 30 | 1,839 71 | 1,832 10 |
| 06/07/12 | | Kinder Morgan Inc | | 190 | 6,106 22 | | | | | | 0 00 | 190 | 6,106 22 | 6,840 00 |
| 06/07/12 | | Kinder Morgan Inc | | 30 | 971 68 | | | | | | 0 00 | 30 | 971 68 | 1,080 00 |
| 08/14/12 | | Kinder Morgan Inc | | 145 | 5,052 44 | | | | | | 0 00 | 145 | 5,052 44 | 5,220 00 |
| 08/14/12 | | Kinder Morgan Inc | | 25 | 878 52 | | | | | | 0 00 | 25 | 878 52 | 900 00 |
| 10/12/12 | | Kinder Morgan Inc | | 145 | 4,989 61 | | | | | | 0 00 | 145 | 4,989 61 | 5,220 00 |
| 10/12/12 | | Kinder Morgan Inc | | 20 | 695 94 | | | | | | 0 00 | 20 | 695 94 | 720 00 |
| 08/02/11 | | Mallinckrodt - spin-off from Covidien - 6/28/13 | | | | 38 75 | 1,269 61 | 38 75 | 1,782 09 | 6/28/2013,7/ | 512 48 | 0 | 0 00 | |
| 08/02/11 | | Mallinckrodt - spin-off from Covidien - 6/28/13 | | | | 5 00 | 160 20 | 5 00 | 222 36 | 07/29/13 | 62 16 | 0 | 0 00 | |
| 10/28/13 | | Marathon Oil Corp | | | | 335 | 12,099 87 | | | | 0 00 | 335 | 12,099 87 | 11,825 50 |
| 10/28/13 | | Marathon Oil Corp | | | | 50 | 1,813 57 | | | | 0 00 | 50 | 1,813 57 | 1,765 00 |
| 12/12/13 | | Marathon Oil Corp | | | | 175 | 6,351 65 | | | | 0 00 | 175 | 6,351 65 | 6,177 50 |
| 12/12/13 | | Marathon Oil Corp | | | | 25 | 915 05 | | | | 0 00 | 25 | 915 05 | 882 50 |
| 04/16/09 | | Matthews Pacific Tiger Fund | | 2,880 214 | 34,965 80 | | | | | | 0 00 | 2,880 214 | 34,965 80 | 71,918 94 |
| 04/16/09 | | Matthews Pacific Tiger Fund | | 477 410 | 5,795 75 | | | | | | 0 00 | 477 410 | 5,795 75 | 11,920 93 |
| 07/02/09 | | Matthews Pacific Tiger Fund | | 395 898 | 6,001 81 | | | | | | 0 00 | 395 898 | 6,001 81 | 9,885 57 |
| 12/08/11 | | Matthews Pacific Tiger Fund | | 77 849 | 1,607 59 | | | | | | 0 00 | 77 849 | 1,607 59 | 1,943 89 |
| 12/08/11 | | Matthews Pacific Tiger Fund | | 10 552 | 217 89 | | | | | | 0 00 | 10 552 | 217 89 | 263 48 |
| 12/13/12 | | Matthews Pacific Tiger Fund | | 2 847 | 68 66 | | | | | | 0 00 | 2 847 | 68 66 | 71 09 |
| 12/13/12 | | Matthews Pacific Tiger Fund | | 0 414 | 9 99 | | | | | | 0 00 | 0 414 | 9 99 | 10 34 |
| 12/12/13 | | Matthews Pacific Tiger Fund | | | | 21 550 | 536 15 | | | | 0 00 | 21 550 | 536 15 | 538 10 |
| 12/12/13 | | Matthews Pacific Tiger Fund | | | | 3 135 | 78 00 | | | | 0 00 | 3 135 | 78 00 | 78 28 |
| 01/06/11 | | Mc Donalds Corp | | 115 000 | 8,582 80 | | | | | | 0 00 | 115 000 | 8,582 80 | 11,158 45 |

# RUTH M. KNIGHT FOUNDATION, INC.
## SECURITIES SCHEDULE
## December 31, 2013

|  |  |  |  |  |  | (NEG=RET OF CAP) |  |  |  |  | I.D. # 13-6093663 |  |  |  |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
|  |  |  |  | INV BEGINNING |  | PURCHASES OR |  | SALES OR OTHER |  |  |  | INVENTORY END |  |  |
|  |  |  |  | OF PERIOD |  | ACQUISITIONS |  | DISPOSITIONS |  |  |  |  | OF PERIOD |  |
| DATE |  | TYPE AND NAME OF |  | # SHARES | COST | # SHARES | GROSS | # SHARES | SALES | DATE | GAIN | # SHARES | COST | MARKET |
| ACQUIRED | xfer | SECURITY |  | PAR VALUE | BASIS | PAR VALUE | COST | PAR VALUE | PRICE | SOLD | OR LOSS | PAR VALUE | BASIS | VALUE |
| 01/06/11 |  | Mc Donalds Corp |  | 15 000 | 1,127 28 |  |  | 5 | 496 84 | 05/09/13 | 121 08 | 10 000 | 751 52 | 970 30 |
| 04/11/11 |  | Mc Donalds Corp |  | 55 000 | 4,205 56 |  |  | 50 | 5,004 20 | 05/09/13 | 1,180 96 | 5 000 | 382 32 | 485 15 |
| 04/11/11 |  | Mc Donalds Corp |  | 5 000 | 384 19 |  |  | 5 | 496 84 | 05/09/13 | 112 65 | 0 000 | 0 00 | 0 00 |
| 06/04/12 |  | Mc Donalds Corp |  | 55 000 | 4,755 11 |  |  |  |  |  | 0 00 | 55 000 | 4,755 11 | 5,336 65 |
| 06/04/12 |  | Mc Donalds Corp |  | 15 000 | 1,303 36 |  |  |  |  |  | 0 00 | 15 000 | 1,303 36 | 1,455 45 |
| 03/25/10 |  | Merck & Co Inc New |  | 185 | 7,047 56 |  |  |  |  |  | 0 00 | 185 | 7,047 56 | 9,259 25 |
| 03/25/10 |  | Merck & Co Inc New |  | 35 | 1,340 99 |  |  |  |  |  | 0 00 | 35 | 1,340 99 | 1,751 75 |
| 05/14/10 |  | Merck & Co Inc New |  | 95 | 3,124 95 |  |  |  |  |  | 0 00 | 95 | 3,124 95 | 4,754 75 |
| 05/14/10 |  | Merck & Co Inc New |  | 10 | 336 95 |  |  |  |  |  | 0 00 | 10 | 336 95 | 500 50 |
| 09/21/10 |  | Merck & Co Inc New |  | 100 | 3,677 85 |  |  |  |  |  | 0 00 | 100 | 3,677 85 | 5,005 00 |
| 09/21/10 |  | Merck & Co Inc New |  | 17 | 632 66 |  |  |  |  |  | 0 00 | 17 | 632 66 | 850 85 |
| 03/15/11 |  | Microsoft Corp |  | 510 | 12,957 19 |  |  | 60 | 2,258 73 | 11/25/13 | 734 35 | 450 | 11,432 81 | 16,834 50 |
| 03/15/11 |  | Microsoft Corp |  | 70 | 1,786 16 |  |  | 10 | 373 97 | 11/25/13 | 118 80 | 60 | 1,530 99 | 2,244 60 |
| 05/24/11 |  | Microsoft Corp |  | 230 | 5,560 05 |  |  |  |  |  | 0 00 | 230 | 5,560 05 | 8,604 30 |
| 05/24/11 |  | Microsoft Corp |  | 35 | 853 68 |  |  |  |  |  | 0 00 | 35 | 853 68 | 1,309 35 |
| 11/19/12 |  | Microsoft Corp |  | 120 | 3,198 83 |  |  | 120 | 4,517 46 | 11/25/13 | 1,318 63 | 0 | 0 00 | 0 00 |
| 11/19/12 |  | Microsoft Corp |  | 20 | 540 60 |  |  | 20 | 747 94 | 11/25/13 | 207 34 | 0 | 0 00 | 0 00 |
| 12/20/11 |  | Netapp Inc |  | 115 | 4,157 24 |  |  |  |  |  | 0 00 | 115 | 4,157 24 | 4,731 10 |
| 12/20/11 |  | Netapp Inc |  | 15 | 550 03 |  |  |  |  |  | 0 00 | 15 | 550 03 | 617 10 |
| 04/25/12 |  | Netapp Inc |  | 120 | 4,693 07 |  |  |  |  |  | 0 00 | 120 | 4,693 07 | 4,936 80 |
| 04/25/12 |  | Netapp Inc |  | 20 | 789 64 |  |  |  |  |  | 0 00 | 20 | 789 64 | 822 80 |
| 11/13/09 |  | Nike Inc CL B |  | 100 | 3,236 25 |  |  | 100 | 5,872 76 | 04/05/13 | 2,636 51 | 0 | 0 00 |  |
| 11/13/09 |  | Nike Inc CL B | LAY | 80 | 2,589 00 |  |  | 80 | 5,080 79 | 04/30/13 | 2,491 79 | 0 | 0 00 |  |
| 11/13/09 |  | Nike Inc CL B |  | 10 | 325 55 |  |  | 10 | 579 22 | 04/05/13 | 253 67 | 0 | 0 00 |  |
| 11/13/09 |  | Nike Inc CL B | LAY | 10 | 325 56 |  |  | 10 | 627 27 | 04/30/13 | 301 71 | 0 | 0 00 |  |
| 06/29/09 |  | Oracle Corporation |  | 660 | 14,242 92 |  |  |  |  |  | 0 00 | 660 | 14,242 92 | 25,251 60 |
| 02/24/10 |  | Oracle Corporation |  | 100 | 2,485 95 |  |  |  |  |  | 0 00 | 100 | 2,485 95 | 3,826 00 |
| 08/22/11 |  | Oracle Corporation |  | 195 | 4,858 17 |  |  |  |  |  | 0 00 | 195 | 4,858 17 | 7,460 70 |
| 08/22/11 |  | Oracle Corporation |  | 25 | 630 65 |  |  |  |  |  | 0 00 | 25 | 630 65 | 956 50 |
| 07/12/13 |  | Oracle Corporation |  |  |  | 140 | 4,441 27 |  |  |  | 0 00 | 140 | 4,441 27 | 5,356 40 |
| 07/12/13 |  | Oracle Corporation |  |  |  | 30 | 958 73 |  |  |  | 0 00 | 30 | 958 73 | 1,147 80 |
| 01/25/10 |  | PG & E Corp |  | 20 | 887 75 |  |  |  |  |  | 0 00 | 20 | 887 75 | 805 60 |
| 01/25/10 |  | PG & E Corp |  | 2 | 90 37 |  |  |  |  |  | 0 00 | 2 | 90 37 | 80 56 |
| 02/24/10 |  | PG & E Corp |  | 130 | 5,477 24 |  |  |  |  |  | 0 00 | 130 | 5,477 24 | 5,236 40 |
| 02/24/10 |  | PG & E Corp |  | 20 | 850 23 |  |  |  |  |  | 0 00 | 20 | 850 23 | 805 60 |
| 06/01/11 |  | PG & E Corp |  | 150 | 6,496 96 |  |  |  |  |  | 0 00 | 150 | 6,496 96 | 6,042 00 |
| 06/01/11 |  | PG & E Corp |  | 20 | 874 02 |  |  |  |  |  | 0 00 | 20 | 874 02 | 805 60 |
| 06/09/09 |  | Pepsico 4 65% 2/15/13 |  | 40,000 | 41,925 00 |  |  | 40,000 | 40,000 00 | 02/15/13 | (1,925 00) | 0 | 0 00 |  |
| 08/24/09 |  | Pepsico Incorporated |  | 190 | 10,865 26 |  |  | 70 | 5,761 52 | 04/30/13 | 1,758 53 | 120 | 6,862 27 | 9,952 80 |
| 08/24/09 |  | Pepsico Incorporated |  | 25 | 1,433 48 |  |  | 15 | 1,227 58 | 04/30/13 | 367 50 | 10 | 573 39 | 829 40 |
| 06/29/09 |  | Praxair Inc |  | 135 | 9,780 77 |  |  |  |  |  | 0 00 | 135 | 9,780 77 | 17,554 05 |
| 06/29/09 |  | Praxair Inc |  | 19 | 1,381 43 |  |  |  |  |  | 0 00 | 19 | 1,381 43 | 2,470 57 |
| 02/03/10 |  | Praxair Inc |  | 15 | 1,160 24 |  |  |  |  |  | 0 00 | 15 | 1,160 24 | 1,950 45 |
| 02/03/10 |  | Praxair Inc |  | 5 | 394 42 |  |  |  |  |  | 0 00 | 5 | 394 42 | 650 15 |
| 10/12/12 |  | Praxair Inc |  | 55 | 5,756 44 |  |  |  |  |  | 0 00 | 55 | 5,756 44 | 7,151 65 |
| 10/12/12 |  | Praxair Inc |  | 9 | 949 45 |  |  |  |  |  | 0 00 | 9 | 949 45 | 1,170 27 |
| 11/07/12 |  | Prudential High Yield Fund CL Z |  | 7,054 674 | 40,048 00 |  |  |  |  |  | 0 00 | 7,054 674 | 40,048 00 | 40,493 83 |
| 11/30/12 |  | Prudential High Yield Fund CL Z |  | 32 125 | 182 15 |  |  |  |  |  | 0 00 | 32 125 | 182 15 | 184 40 |
| 12/31/12 |  | Prudential High Yield Fund CL Z |  | 38 603 | 220 81 |  |  |  |  |  | 0 00 | 38 603 | 220 81 | 221 58 |
| 01/17/13 |  | Prudential High Yield Fund CL Z |  |  |  | 1,727 116 | 10,024 00 |  |  |  | 0 00 | 1,727 116 | 10,024 00 | 9,913 65 |
| 01/31/13 |  | Prudential High Yield Fund CL Z |  |  |  | 44 378 | 255 62 |  |  |  | 0 00 | 44 378 | 255 62 | 254 73 |
| 01/17/13 |  | Prudential High Yield Fund CL Z |  |  |  | 1,208 981 | 7,020 00 |  |  |  | 0 00 | 1,208 981 | 7,020 00 | 6,939 55 |
| 02/28/13 |  | Prudential High Yield Fund CL Z |  |  |  | 46 389 | 267 20 |  |  |  | 0 00 | 46 389 | 267 20 | 266 27 |
| 03/28/13 |  | Prudential High Yield Fund CL Z |  |  |  | 50 363 | 291 10 |  |  |  | 0 00 | 50 363 | 291 10 | 289 08 |
| 04/30/13 |  | Prudential High Yield Fund CL Z |  |  |  | 48 307 | 283 08 |  |  |  | 0 00 | 48 307 | 283 08 | 277 28 |
| 06/29/09 |  | QEP Resources Inc - spin-off | spo | 225 | 4,820 94 |  |  | 225 | 7,303 51 | 11/13/13 | 2,482 57 | 0 | 0 00 |  |
| 06/29/09 |  | QEP Resources Inc - spin-off | spo | 40 | 862 24 |  |  | 40 | 1,295 55 | 11/13/13 | 433 31 | 0 | 0 00 |  |
| 04/19/11 |  | QEP Resources Inc |  | 95 | 3,630 03 |  |  | 95 | 3,083 70 | 11/13/13 | (546 33) | 0 | 0 00 |  |
| 04/19/11 |  | QEP Resources Inc |  | 10 | 390 12 |  |  | 10 | 323 89 | 11/13/13 | (66 23) | 0 | 0 00 |  |

# RUTH M. KNIGHT FOUNDATION, INC.
## SECURITIES SCHEDULE
## December 31, 2013

| | | | | | | (NEG=RET OF CAP) | | | | | I D. # 13-6093663 | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | INV BEGINNING | | PURCHASES OR | | SALES OR OTHER | | | | INVENTORY END | | |
| | | | | OF PERIOD | | ACQUISITIONS | | DISPOSITIONS | | | | OF PERIOD | | |
| DATE | | TYPE AND NAME OF | | # SHARES | COST | # SHARES | GROSS | # SHARES | SALES | DATE | GAIN | # SHARES | COST | MARKET |
| ACQUIRED | xfer | SECURITY | | PAR VALUE | BASIS | PAR VALUE | COST | PAR VALUE | PRICE | SOLD | OR LOSS | PAR VALUE | BASIS | VALUE |
| 08/23/11 | | QEP Resources Inc | | 150 | 5,087 25 | | | 150 | 4,869 01 | 11/13/13 | (218 24) | 0 | 0 00 | |
| 08/23/11 | | QEP Resources Inc | | 30 | 1,024 61 | | | 30 | 971 66 | 11/13/13 | (52 95) | 0 | 0 00 | |
| 11/14/12 | | QEP Resources Inc | | 170 | 4,716 56 | | | 170 | 5,518 21 | 11/13/13 | 801 65 | 0 | 0 00 | |
| 11/14/12 | | QEP Resources Inc | | 25 | 701 25 | | | 25 | 809 72 | 11/13/13 | 108 47 | 0 | 0 00 | |
| 11/10/10 | | Republic Services Inc | | 280 | 8,030 59 | | | 140 | 4,404 94 | 01/28/13 | 389 65 | 140 | 4,015 30 | 4,648 00 |
| 11/10/10 | | Republic Services Inc | | 40 | 1,154 90 | | | 24 | 747 71 | 01/28/13 | 54 77 | 16 | 461 96 | 531 20 |
| 11/01/11 | | Republic Services Inc | | 165 | 4,590 04 | | | | | | 0 00 | 165 | 4,590 04 | 5,478 00 |
| 11/23/11 | | Republic Services Inc | | 150 | 3,908 76 | | | | | | 0 00 | 150 | 3,908 76 | 4,980 00 |
| 11/01/11 | | Republic Services Inc | | 25 | 703 06 | | | | | | 0 00 | 25 | 703 06 | 830 00 |
| 11/23/11 | | Republic Services Inc | | 25 | 658 92 | | | | | | 0 00 | 25 | 658 92 | 830 00 |
| 02/21/12 | | Ridgeworth Seix Float Rate High Inc | | 5,107 832 | 45,000 00 | | | | | | 0 00 | 5,107 832 | 45,000 00 | 46,276 96 |
| 01/17/13 | | Ridgeworth Seix Float Rate High Inc | | | | 553 71 | 5,000 00 | | | | 0 00 | 553 710 | 5,000 00 | 5,016 61 |
| 01/17/13 | | Ridgeworth Seix Float Rate High Inc | | | | 775 194 | 7,000 00 | | | | 0 00 | 775 194 | 7,000 00 | 7,023 26 |
| 07/02/13 | | Simon Property Group | | | | 69 | 11,097 53 | | | | 0 00 | 69 | 11,097 53 | 10,499 04 |
| 07/02/13 | | Simon Property Group | | | | 10 | 1,615 99 | | | | 0 00 | 10 | 1,615 99 | 1,521 60 |
| 09/19/13 | | Simon Property Group | | | | 35 | 5,437 31 | | | | 0 00 | 35 | 5,437 31 | 5,325 60 |
| 09/19/13 | | Simon Property Group | | | | 7 | 1,094 62 | | | | 0 00 | 7 | 1,094 62 | 1,065 12 |
| 06/15/10 | | Spectra Energy Corp | | 165 | 3,523 07 | | | 165 | 5,801 77 | 08/08/13 | 2,278 70 | 0 | 0 00 | |
| 06/15/10 | | Spectra Energy Corp | LAY | 115 | 2,455 48 | | | 115 | 4,100 43 | 10/28/13 | 1,644 95 | 0 | 0 00 | |
| 06/15/10 | | Spectra Energy Corp | | 5 | 107 72 | | | 5 | 174 96 | 08/08/13 | 67 24 | 0 | 0 00 | |
| 06/15/10 | | Spectra Energy Corp | LAY | 35 | 754 03 | | | 35 | 1,240 29 | 10/28/13 | 486 26 | 0 | 0 00 | |
| 04/25/12 | | Spectra Energy Corp | | 130 | 3,927 03 | | | 130 | 4,635 26 | 10/28/13 | 708 23 | 0 | 0 00 | |
| 04/25/12 | | Spectra Energy Corp | | 35 | 1,063 82 | | | 35 | 1,224 75 | 08/08/13 | 160 93 | 0 | 0 00 | |
| 12/07/06 | | Stryker Corp | | 135 | 7,229 04 | | | | | | 0 00 | 135 | 7,229 04 | 10,143 90 |
| 12/07/06 | | Stryker Corp | | 20 | 1,077 03 | | | | | | 0 00 | 20 | 1,077 03 | 1,502 80 |
| 10/29/10 | | Stryker Corp | | 10 | 502 84 | | | | | | 0 00 | 10 | 502 84 | 751 40 |
| 08/03/11 | | Stryker Corp | | 70 | 3,635 92 | | | | | | 0 00 | 70 | 3,635 92 | 5,259 80 |
| 08/03/11 | | Stryker Corp | | 10 | 527 09 | | | | | | 0 00 | 10 | 527 09 | 751 40 |
| 06/06/12 | | Stryker Corp | | 55 | 2,823 60 | | | | | | 0 00 | 55 | 2,823 60 | 4,132 70 |
| 12/07/06 | | Target Corp | | 30 | 1,739 20 | | | 30 | 2,014 92 | 03/13/13 | 275 73 | 0 | 0 00 | |
| 12/07/06 | | Target Corp | | 3 | 174 82 | | | 3 | 200 15 | 03/13/13 | 25 34 | 0 | 0 00 | |
| 12/07/07 | | Target Corp | | 10 | 568 95 | | | 10 | 667 17 | 03/13/13 | 98 22 | 0 | 0 00 | |
| 01/11/08 | | Target Corp | | 100 | 5,035 95 | | | | | | 0 00 | 100 | 5,035 95 | 6,327 00 |
| 01/11/08 | | Target Corp | | 10 | 512 55 | | | | | | 0 00 | 10 | 512 55 | 632 70 |
| 01/06/11 | | Target Corp | | 115 | 6,355 42 | | | 30 | 2,014 92 | 03/13/13 | 356 98 | 85 | 4,697 48 | 5,377 95 |
| 01/06/11 | | Target Corp | | 15 | 836 75 | | | 2 | 133 43 | 03/13/13 | 21 87 | 13 | 725 18 | 822 51 |
| 03/11/11 | | Target Corp | | 85 | 4,422 87 | | | | | | 0 00 | 85 | 4,422 87 | 5,377 95 |
| 03/11/11 | | Target Corp | | 15 | 787 88 | | | | | | 0 00 | 15 | 787 88 | 949 05 |
| 04/24/13 | | Teradata Corp | | | | 105 | 5,254 29 | | | | 0 00 | 105 | 5,254 29 | 4,776 45 |
| 04/24/13 | | Teradata Corp | | | | 25 | 1,257 84 | | | | 0 00 | 25 | 1,257 84 | 1,137 25 |
| 05/09/13 | | Teradata Corp | | | | 105 | 5,766 05 | | | | 0 00 | 105 | 5,766 05 | 4,776 45 |
| 05/09/13 | | Teradata Corp | | | | 15 | 831 39 | | | | 0 00 | 15 | 831 39 | 682 35 |
| 08/11/10 | | T J X Cos Inc | | 185 | 3,893 81 | | | | | | 0 00 | 185 | 3,893 81 | 11,790 05 |
| 08/11/10 | | T J X Cos Inc | | 10 | 212 02 | | | | | | 0 00 | 10 | 212 02 | 637 30 |
| 03/13/13 | | T J X Cos Inc | | | | 165 | 7,438 85 | | | | 0 00 | 165 | 7,438 85 | 10,515 45 |
| 03/13/13 | | T J X Cos Inc | | | | 40 | 1,810 14 | | | | 0 00 | 40 | 1,810 14 | 2,549 20 |
| 03/22/12 | | Union Pacific Corp | | 60 | 6,635 42 | | | | | | 0 00 | 60 | 6,635 42 | 10,080 00 |
| 03/22/12 | | Union Pacific Corp | | 10 | 1,113 36 | | | | | | 0 00 | 10 | 1,113 36 | 1,680 00 |
| 09/19/13 | | Union Pacific Corp | | | | 40 | 6,441 79 | | | | 0 00 | 40 | 6,441 79 | 6,720 00 |
| 09/19/13 | | Union Pacific Corp | | | | 7 | 1,134 70 | | | | 0 00 | 7 | 1,134 70 | 1,176 00 |
| 10/09/09 | | Unitedhealth Group Inc | | 350 | 8,583 95 | | | 40 | 2,863 48 | 08/28/13 | 1,882 46 | 310 | 7,602 93 | 23,343 00 |
| 10/09/09 | | Unitedhealth Group Inc | | 50 | 1,233 95 | | | 5 | 355 55 | 08/28/13 | 232 15 | 45 | 1,110 56 | 3,388 50 |
| 05/14/10 | | Unitedhealth Group Inc | LAY | 35 | 1,042 39 | | | 35 | 2,505 55 | 08/28/13 | 1,463 15 | 0 | 0 00 | |
| 05/14/10 | | Unitedhealth Group Inc | | 10 | 301 78 | | | 10 | 711 09 | 08/28/13 | 409 32 | 0 | 0 00 | |
| 06/09/10 | | UPS Notes 4 5% 1/15/13 | | 40,000 | 43,123 80 | | | 40,000 | 40,000 00 | 01/15/13 | (3,123 80) | 0 | 0 00 | |
| 08/23/12 | | U S Bancorp | | 145 | 4,776 09 | | | | | | 0 00 | 145 | 4,776 09 | 5,858 00 |
| 08/23/12 | | U S Bancorp | | 30 | 995 25 | | | | | | 0 00 | 30 | 995 25 | 1,212 00 |
| 11/01/12 | | U S Bancorp | | 140 | 4,720 62 | | | | | | 0 00 | 140 | 4,720 62 | 5,656 00 |
| 01/07/13 | | U S Bancorp | | | | 165 | 5,427 60 | | | | 0 00 | 165 | 5,427 60 | 6,666 00 |

# RUTH M. KNIGHT FOUNDATION, INC.
## SECURITIES SCHEDULE
## December 31, 2013

(NEG=RET OF CAP)

I.D. # 13-6093663

| | | | | INV BEGINNING OF PERIOD | | PURCHASES OR ACQUISITIONS | | SALES OR OTHER DISPOSITIONS | | | | INVENTORY END OF PERIOD | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| DATE ACQUIRED | xfer | TYPE AND NAME OF SECURITY | | # SHARES PAR VALUE | COST BASIS | # SHARES PAR VALUE | GROSS COST | # SHARES PAR VALUE | SALES PRICE | DATE SOLD | GAIN OR LOSS | # SHARES PAR VALUE | COST BASIS | MARKET VALUE |
| 01/07/13 | | U S Bancorp | | | | 35 | 1,158 36 | | | | 0 00 | 35 | 1,158 36 | 1,414 00 |
| 07/25/06 | | United Technologies Corp | | 195 | 11,845 25 | | | | | | 0 00 | 195 | 11,845 25 | 22,191 00 |
| 01/11/08 | | United Technologies Corp | | 30 | 2,200 25 | | | | | | 0 00 | 30 | 2,200 25 | 3,414 00 |
| 02/01/12 | | United Technologies Corp | | 70 | 5,625 96 | | | | | | 0 00 | 70 | 5,625 96 | 7,966 00 |
| 02/01/12 | | United Technologies Corp | | 15 | 1,212 60 | | | | | | 0 00 | 15 | 1,212 60 | 1,707 00 |
| 10/29/10 | | V F Corporation | LAY | 60 | 5,019 17 | 180 | | | | | 0 00 | 240 | 5,019 17 | 14,961 60 |
| 10/29/10 | | V F Corporation | | 10 | 840 37 | 30 | | | | | 0 00 | 40 | 840 37 | 2,493 60 |
| 05/18/12 | | V F Corporation | | 30 | 4,060 51 | | | 30 | 5,932 32 | 07/17/13 | 1,871 81 | 0 | 0 00 | 0 00 |
| 05/18/12 | | V F Corporation | LAY | 5 | 676 75 | 15 | | | | | 0 00 | 20 | 676 75 | 1,246 80 |
| 05/18/12 | | V F Corporation | | 5 | 681 86 | | | 5 | 981 26 | 07/17/13 | 299 40 | 0 | 0 00 | 0 00 |
| 05/18/12 | | V F Corporation | LAY | 2 | 272 75 | 6 | | | | | 0 00 | 8 | 272 75 | 498 72 |
| 08/20/12 | | Virtus Emerging Markets Opportunity Fd | | 5,186 722 | 50,049 95 | | | | | | 0 00 | 5,186 722 | 50,049 95 | 49,533 20 |
| 08/20/12 | | Virtus Emerging Markets Opportunity Fd | | 622 407 | 6,020 00 | | | | | | 0 00 | 622 407 | 6,020 00 | 5,943 99 |
| 12/20/12 | | Virtus Emerging Markets Opportunity Fd | | 15 136 | 155 60 | | | | | | 0 00 | 15 136 | 155 60 | 144 55 |
| 12/20/12 | | Virtus Emerging Markets Opportunity Fd | | 1 816 | 18 67 | | | | | | 0 00 | 1 816 | 18 67 | 17 34 |
| 12/20/13 | | Virtus Emerging Markets Opportunity Fd | | | | 1 106 | 10 40 | | | | 0 00 | 1 106 | 10 40 | 10 56 |
| 12/20/13 | | Virtus Emerging Markets Opportunity Fd | | | | 0 133 | 1 25 | | | | 0 00 | 0 133 | 1 25 | 1 27 |
| 12/20/10 | | Visa Inc CL A | | 75 | 5,086 99 | | | | | | 0 00 | 75 | 5,086 99 | 16,701 00 |
| 12/20/10 | | Visa Inc CL A | | 5 | 341 64 | | | | | | 0 00 | 5 | 341 64 | 1,113 40 |
| 11/24/09 | | Walgreen Company | LAY | 125 | 4,931 18 | | | 125 | 4,789 61 | 01/09/13 | (141 57) | 0 | 0 00 | |
| 07/14/10 | | Walgreen Company | | 190 | 5,621 27 | | | 80 | 3,065 35 | 01/09/13 | 698 50 | 110 | 3,254 42 | 6,318 40 |
| 07/14/10 | | Walgreen Company | | 24 | 716 33 | | | 15 | 566 46 | 01/09/13 | 118 75 | 9 | 268 63 | 516 96 |
| 01/20/12 | | Walgreen Company | | 145 | 4,857 76 | | | | | | 0 00 | 145 | 4,857 76 | 8,328 80 |
| 01/20/12 | | Walgreen Company | | 30 | 1,012 15 | | | | | | 0 00 | 30 | 1,012 15 | 1,723 20 |
| 06/09/09 | | Wal-mart Stores 4 55% 5/1/13 | | 40,000 | 42,040 48 | | | 40,000 | 40,000 00 | 05/01/13 | (2,040 48) | 0 | 0 00 | |
| 12/21/09 | | Wells Fargo & Co New | | 520 | 14,257 69 | | | | | | 0 00 | 520 | 14,257 69 | 23,608 00 |
| 12/21/09 | | Wells Fargo & Co New | | 85 | 2,338 26 | | | 5 | 211 94 | 07/12/13 | 74 40 | 80 | 2,200 72 | 3,632 00 |
| 04/19/11 | | Wells Fargo & Co New | | 150 | 4,514 89 | | | 130 | 5,548 05 | 07/12/13 | 1,635 15 | 20 | 601 99 | 908 00 |
| 04/19/11 | | Wells Fargo & Co New | | 20 | 609 74 | | | 20 | 847 76 | 07/12/13 | 238 02 | 0 | 0 00 | 0 00 |
| 05/21/10 | | Williams Companies | | 390 | 6,103 73 | | | 95 | 3,242 11 | 02/27/13 | 1,755 31 | 295 | 4,616 92 | 11,378 15 |
| 03/20/12 | | Williams Companies | | 145 | 4,601 69 | | | | | | 0 00 | 145 | 4,601 69 | 5,592 65 |
| 03/20/12 | | Williams Companies | | 35 | 1,117 54 | | | | | | 0 00 | 35 | 1,117 54 | 1,349 95 |
| 12/13/12 | | Williams Companies | | 150 | 4,725 03 | | | | | | 0 00 | 150 | 4,725 03 | 5,785 50 |
| 12/13/12 | | Williams Companies | | 20 | 637 76 | | | | | | 0 00 | 20 | 637 76 | 771 40 |
| 05/21/10 | | Williams Companies | | 61 | 960 58 | | | 30 | 1,015 82 | 02/27/13 | 543 41 | 31 | 488 16 | 1,195 67 |
| 08/11/10 | | Williams Companies | | 190 | 3,075 15 | | | 190 | 6,484 23 | 02/27/13 | 3,409 08 | 0 | 0 00 | 0 00 |
| | | dividends - interest - 7115-6661 | | | | | | | | | | | | |
| | | dividends - interest - 6082-1170 | | | | | | | | | | | | |
| | | dividends - interest - 4119-9017 | | | | | | | | | | | | |
| | | dividends - interest - 2172-4921 | | | | | | | | | | | | |
| | | cap gain distributions - 4119-9017 | | | | | | | | | | | | |
| | | cap gain distributions - 2172-4921 | | | | | | | | | | | | |
| | | SCH Adv Cash Resrv Prem | | 0 | 0 00 | | | | | | 0 00 | 0 | 0 00 | |
| | | less Cash & Cash Equivalents | | | | | | | | | | | | |
| | | Checking | | 0 | 0 00 | | | | | | 0 00 | 0 | 0 00 | |
| | | | | | | | | | | | | | | |
| | | TOTALS | | | 1,482,199.11 | | 631,405.96 | | 544,030.30 | | 37,728.34 | | 1,607,303.11 | 2,203,906.06 |

Form **8868**

(Rev January 2014)

Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

▶ **Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . . . . . . . . . . . . . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

***Do not complete Part II unless*** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing *(e-file)*.** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ ☐

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns*

**Enter filer's identifying number, see instructions**

| | | |
|---|---|---|
| **Type or print**<br>File by the due date for filing your return See instructions | Name of exempt organization or other filer, see instructions<br>THE RUTH M. KNIGHT FOUNDATION, INC<br>C/O ERIC NAUMBURG, MD | Employer identification number (EIN) or<br>13-6093663 |
| | Number, street, and room or suite no If a P O box, see instructions<br>6163 DEVON DRIVE | Social security number (SSN) |
| | City, town or post office, state, and ZIP code For a foreign address, see instructions<br>COLUMBIA, MD 21044 | |

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . . . . . . . . . 0 4

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

- The books are in the care of ▶ TAXPAYER

Telephone No ▶ 410 992-0461 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . . . . . . . . . . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ If this is for the whole group, check this box . . . . . . ▶ ☐ If it is for part of the group, check this box . . . . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 14, to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ ☒ calendar year 20 13 or

▶ ☐ tax year beginning ____________, 20 __, and ending ____________, 20 __

**2** If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

| | | | |
|---|---|---|---|
| **3a** | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions | **3a** | $ 0 |
| **b** | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit | **3b** | $ 1,500. |
| **c** | **Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions | **3c** | $ 0 |

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

**For Privacy Act and Paperwork Reduction Act Notice, see instructions.** Form **8868** (Rev 1-2014)