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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

For calendar year 2013, or tax year beginning , 2013, and ending

DAVID L. LIEB FOUNDATION, INC.
C/O DAVIS GRABER-150 E 58TH ST 20FL
NEW YORK, NY 10155

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ▶ \$ 6,881,008.
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) (Part I, column (d) must be on cash basis.)

A Employer identification number 13-6077728
B Telephone number (see the instructions) (641) 472-6655
C If exemption application is pending, check here ☐
D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc., received (att sch)				
	2 Ck ☒ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	7,535.	7,535.	N/A	
	4 Dividends and interest from securities	150,116.	150,116.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	5,169.			
	b Gross sales price for all assets on line 6a 1,717,465.		5,169.		
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
SEE STATEMENT 1	-5,369.	-7,514.			
12 Total. Add lines 1 through 11	157,451.	155,306.			
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc.	100,000.	25,000.		75,000.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) SEE ST 2	17,885.	8,943.		8,942.
	c Other prof fees (attach sch)				
	17 Interest	57.	57.		
	18 Taxes (attach schedule) (see instrs) SEE STM 3	8,342.	2,605.		5,737.
	19 Depreciation (attach sch) and depletion	140.	140.		
	20 Occupancy				
	21 Travel, conferences, and meetings	258.			258.
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	SEE STATEMENT 4	80,900.	42,703.		37,491.
	24 Total operating and administrative expenses. Add lines 13 through 23	207,582.	79,448.		127,428.
25 Contributions, gifts, grants paid PART XV	162,933.			162,933.	
26 Total expenses and disbursements. Add lines 24 and 25	370,515.	79,448.		290,361.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-213,064.				
b Net investment income (if negative, enter -0-)		75,858.			
c Adjusted net income (if negative, enter -0-)					

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10

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

ASSETS	1	Cash — non-interest-bearing			
	2	Savings and temporary cash investments	347,692.	502,583.	502,583.
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U.S. and state government obligations (attach schedule)			
	b	Investments — corporate stock (attach schedule)	6,029,346.	5,519,362.	6,373,593.
	c	Investments — corporate bonds (attach schedule)			
	LIABILITIES	11	Investments — land, buildings, and equipment: basis		
		Less: accumulated depreciation (attach schedule)			
12		Investments — mortgage loans			
13		Investments — other (attach schedule)			
14		Land, buildings, and equipment: basis	28,174.		
		Less: accumulated depreciation (attach schedule)	28,174.	140.	
15		Other assets (describe SEE STATEMENT 6)	833.	4,832.	4,832.
16		Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	6,378,011.	6,026,777.	6,881,008.
17		Accounts payable and accrued expenses			
18		Grants payable			
19	Deferred revenue				
20	Loans from officers, directors, trustees, & other disqualified persons				
21	Mortgages and other notes payable (attach schedule)				
22	Other liabilities (describe SEE STATEMENT 7)	192,558.	54,388.		
23	Total liabilities (add lines 17 through 22)	192,558.	54,388.		
FUND ASSETS	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.		X		
	27	Capital stock, trust principal, or current funds	6,185,453.	5,972,389.	
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	6,185,453.	5,972,389.	
	31	Total liabilities and net assets/fund balances (see instructions)	6,378,011.	6,026,777.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,185,453.
2	Enter amount from Part I, line 27a	2	-213,064.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	5,972,389.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	5,972,389.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)

(b) How acquired
P — Purchase
D — Donation(c) Date acquired
(month, day, year)(d) Date sold
(month, day, year)

1 a SEE STATEMENT 8

b

c

d

e

(e) Gross sales price

(f) Depreciation allowed
(or allowable)(g) Cost or other basis
plus expense of sale(h) Gain or (loss)
(e) plus (f) minus (g)

a

b

c

d

e

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value
as of 12/31/69(j) Adjusted basis
as of 12/31/69(k) Excess of column (i)
over column (j), if any(l) Gains (Column (h)
gain minus column (k), but not less
than -0-) or Losses (from column (h))

a

b

c

d

e

2 Capital gain net income or (net capital loss).

If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7

2

5,169.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-
in Part I, line 8

3

-11,592.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	430,028.	6,541,580.	0.065738
2011	392,996.	6,811,438.	0.057696
2010	419,757.	6,920,687.	0.060653
2009	392,491.	6,948,143.	0.056489
2008	458,350.	8,250,708.	0.055553

2 Total of line 1, column (d)

2

0.296129

3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the
number of years the foundation has been in existence if less than 5 years.

3

0.059226

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5

4

6,668,876.

5 Multiply line 4 by line 3

5

394,971.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6

759.

7 Add lines 5 and 6

7

395,730.

8 Enter qualifying distributions from Part XII, line 4

8

290,361.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the
Part VI instructions

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	1,517.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,517.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,517.
6 Credits/Payments:			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	5,012.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	5,012.	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,495.	
11 Enter the amount of line 10 to be. Credited to 2014 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XIV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>MONICA HADLEY</u> Telephone no. <u>(641) 472-6655</u> Located at <u>P.O. BOX 337 FAIRFIELD IA</u> ZIP + 4 <u>52556</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20__ , 20__ , 20__ , 20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?☐ Yes ☒ No**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?☐ Yes ☒ No**(3)** Provide a grant to an individual for travel, study, or other similar purposes?☐ Yes ☒ No**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)☐ Yes ☒ No**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5 b**

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6 b**

X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SAM LIEB 1108 HEAVENLY LANE FAIRFIELD, IA 52556	VICE PRESIDE 0	0.	0.	0.
BARBARA LIEB BAUMSTEIN 2114 NW 60TH CIRCLE BOCA RATON, FL 33496	PRESIDENT 20.00	50,000.	0.	0.
JEFFREY BAUMSTEIN 201 E 66TH STREET 16C NEW YORK, NY 10065	TREASURER 0	0.	0.	0.
TOBY LIEB 1106 HEAVENLY LANE FAIRFIELD, IA 52556	SECRETARY 20.00	50,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII. Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1 a	6,536,276.
b Average of monthly cash balances	1 b	234,156.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	6,770,432.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	6,770,432.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	101,556.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,668,876.
6 Minimum investment return. Enter 5% of line 5	6	333,444.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	333,444.
2 a Tax on investment income for 2013 from Part VI, line 5	2 a	1,517.
b Income tax for 2013 (This does not include the tax from Part VI)	2 b	
c Add lines 2a and 2b	2 c	1,517.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	331,927.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	331,927.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	331,927.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	290,361.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	290,361.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	290,361.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				331,927.
2 Undistributed income, if any, as of the end of 2013.				
a Enter amount for 2012 only			0.	
b Total for prior years: 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	45,815.			
b From 2009	46,682.			
c From 2010	77,435.			
d From 2011	56,712.			
e From 2012	104,797.			
f Total of lines 3a through e	331,441.			
4 Qualifying distributions for 2013 from Part XII, line 4 $\blacktriangleright$ \$ 290,361.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2013 distributable amount				290,361.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	41,566.			41,566.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	289,875.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	4,249.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	285,626.			
10 Analysis of line 9				
a Excess from 2009	46,682.			
b Excess from 2010	77,435.			
c Excess from 2011	56,712.			
d Excess from 2012	104,797.			
e Excess from 2013				

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- | | |
|---|--|
| 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5) | |
|---|--|

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test — enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter.					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))
- NONE

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE STATEMENT ATTACHED		PUBLIC		162,933.
Total			▶ 3 a	162,933.
b <i>Approved for future payment</i>				
Total			▶ 3 b	

[illegible]

Form **8868**

(Rev. January 2014)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**► **File a separate application for each return.**► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

OMB No 1545 1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	DAVID L. LIEB FOUNDATION, INC.	13-6077728
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	C/O DAVIS GRABER-150 E 58TH ST 20FL	
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10155	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► MONICA HADLEY -----

Telephone No. ► (641) 472-6655 ----- Fax No. ► -----

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 14, to file the exempt organization return for the organization named above. The extension is for the organization's return for

- ☒ calendar year 20 13 or
- ☐ tax year beginning _____, 20 _____, and ending _____, 20 _____.

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	1,517.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	5,012.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form 8868 (Rev. 1-2014)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the extended due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	DAVID L. LIEB FOUNDATION, INC.	13-6077728
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	DAVIS GRABER PLOTZKER & WARD LLP 150 EAST 58TH STREET, 20TH FLOOR	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10155	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in care of ▶ MONICA HADLEY
Telephone No ▶ (641) 472-6655 Fax No. ▶ _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until 11/15, 20 14
- 5 For calendar year 2013, or other tax year beginning _____, 20 _____, and ending _____, 20 _____
- 6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension TAXPAYER RESPECTFULLY REQUESTS ADDITIONAL TIME TO GATHER INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a \$	1,517.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b \$	5,012.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶

Title ▶

Date ▶

BAA

FIF20502L 12/31/13

Form 8868 (Rev 1-2014)

DAVID L. LIEB FOUNDATION, INC.

13-6077728

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME	\$ -5,369.	\$ -7,514.	
TOTAL	\$ -5,369.	\$ -7,514.	\$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL & OTHER ACCOUNTING	\$ 17,885.	\$ 8,943.		\$ 8,942.
TOTAL	\$ 17,885.	\$ 8,943.		\$ 8,942.

STATEMENT 3
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX	\$ 692.	\$ 692.		
PAYROLL TAX	7,650.	1,913.		\$ 5,737.
TOTAL	\$ 8,342.	\$ 2,605.		\$ 5,737.

STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	\$ 243.	\$ 243.		
EMPLOYEE BENEFITS	49,635.	12,409.		\$ 37,226.
INVESTMENT EXPENSE	169.	169.		
MANAGEMENT FEES	28,029.	28,029.		
OFFICE EXPENSE	15.			15.
OTHER DEDUCTIONS	706.			
OUTSIDE CONTRACTORS	1,793.	1,793.		
PORTFOLIO DEDUCTIONS	60.	60.		
STATE FILING FEES	250.			250.
TOTAL	\$ 80,900.	\$ 42,703.		\$ 37,491.

DAVID L. LIEB FOUNDATION, INC.

13-6077728

STATEMENT 5
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
MACHINERY AND EQUIPMENT	\$ 28,174.	\$ 28,174.	\$ 0.	\$ 0.
TOTAL	<u>\$ 28,174.</u>	<u>\$ 28,174.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

STATEMENT 6
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
DIVIDENDS RECEIVABLE	\$ 4,780.	\$ 4,780.
DUE FROM AFFILIATE	2.	2.
SALES PROCEEDS RECEIVABLE	50.	50.
TOTAL	<u>\$ 4,832.</u>	<u>\$ 4,832.</u>

STATEMENT 7
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

CREDIT CARD PAYABLE	\$ 52,164.
SALARIES PAYABLE	2,224.
TOTAL	<u>\$ 54,388.</u>

STATEMENT 8
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	42.129 PIMCO UNCONSTRAINED BOND	PURCHASED	12/11/2013	12/23/2013
2	2000 GDM ARN ISSUER RBC	PURCHASED	6/28/2012	8/30/2013
3	1779 PIMCO UNCONSTRAINED BOND	PURCHASED	VARIOUS	12/23/2013
4	4634 PIMCO EMERGING MARKETS BOND	PURCHASED	VARIOUS	6/24/2013
5	9011 PIMCO UNCONSTRAINED BOND	PURCHASED	VARIOUS	12/23/2013
6	100000 PAULSON ADVANTAGE ACCESS	PURCHASED	6/30/2010	1/29/2013
7	TD BANKNORTH INC	PURCHASED	VARIOUS	7/11/2013
8	47 APPLE INC	PURCHASED	2/05/2010	12/23/2013
9	76 BAIDU INC SPON ADR	PURCHASED	8/16/2011	12/23/2013
10	157 EXXON	PURCHASED	9/10/2010	12/23/2013
11	5000 BLACKROCK UTILITY & INFRASTRUCTURE TRUST	PURCHASED	11/22/2011	12/23/2013
12	210 JPMORGAN CHASE & CO	PURCHASED	5/12/2008	12/23/2013
13	143 MCDONALDS	PURCHASED	4/12/2010	12/23/2013
14	145 PEPSICO INC	PURCHASED	5/12/2008	12/23/2013
15	7378.912 PIMCO GLOBAL MULTI ASSET FUND	PURCHASED	VARIOUS	12/20/2013
16	9096 ISHARES SILVER TR	PURCHASED	12/20/2011	VARIOUS

STATEMENT 8 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
17	1656 SPDR GOLD TRUST	PURCHASED	VARIOUS	VARIOUS
18	ML 4073 (SEE ATTACHED)	PURCHASED	VARIOUS	VARIOUS
19	ML 4073 (SEE ATTACHED)	PURCHASED	VARIOUS	VARIOUS
20	ML 4073 (SEE ATTACHED)	PURCHASED	VARIOUS	VARIOUS
21	ML 4100 (SEE ATTACHED)	PURCHASED	VARIOUS	VARIOUS
22	ML 4100 (SEE ATTACHED)	PURCHASED	VARIOUS	VARIOUS
23	ML 4100 (SEE ATTACHED)	PURCHASED	VARIOUS	VARIOUS
24	MELLON 9000 (SEE ATTACHED)	PURCHASED	VARIOUS	VARIOUS
25	MELLON 9000 (SEE ATTACHED)	PURCHASED	VARIOUS	VARIOUS
26	SECTION 1256 GAIN/LOSS	PURCHASED	VARIOUS	VARIOUS
27	SECTION 1256 GAIN/LOSS	PURCHASED	VARIOUS	VARIOUS
28	ML WINTON FUTURESACCESS	PURCHASED	VARIOUS	VARIOUS
29	HIGHBRIDGE COMMODITIES FUTURESACCESS	PURCHASED	VARIOUS	VARIOUS
30	ML ASPECT FUTURESACCESS	PURCHASED	VARIOUS	VARIOUS
31	ML 4100 WASH SALES	PURCHASED	VARIOUS	VARIOUS
32	ML 4100 WASH SALES	PURCHASED	VARIOUS	VARIOUS

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3:31 PM
11/03/14
Cash Basis

David L. Lieb Foundation
Transaction Detail By Account
January through December 2013

Date	Name	Debit	Credit
Amarnath Temple			
03/11/2013	Amarnath Temple	1,000 00	
Total Amarnath Temple		1,000 00	0 00
American Cancer Society			
03/26/2013	American Cancer S	25 00	
Total American Cancer Society		25 00	0 00
American Commi .. Weizmann Inst of Scnc			
12/06/2013	American Commi	5,000 00	
Total American Commi Weizmann In .		5,000 00	0 00
American Heart Assoc			
10/28/2013	American Heart Ass	5,000 00	
Total American Heart Assoc		5,000 00	0 00
Be the Match Foundation			
03/04/2013	Be the Match Foun	100 00	
Total Be the Match Foundation		100 00	0 00
Beth Shalam Synagogue			
08/13/2013	Beth Shalam Synag	500 00	
12/30/2013	Beth Shalam Synag	350 00	
Total Beth Shalam Synagogue		850 00	0 00
BRAHMANDA SARASWATI			
02/05/2013	BRAHMANDA SAR	1,250 00	
10/01/2013	BRAHMANDA SAR	408 00	
11/03/2013	BRAHMANDA SAR	500 00	
Total BRAHMANDA SARASWATI		2,158 00	0 00
Childrens Hospital of Philadelphia			
11/15/2013	Childrens Hospital o	1,125 00	
Total Childrens Hospital of Philadelphia		1,125 00	0 00
Damon Runyan Cancer Research			
06/19/2013	Damon Runyan Ca	568 00	
06/19/2013	Damon Runyan Ca	548 00	
12/02/2013	Damon Runyan Ca	5,000 00	
12/04/2013	Damon Runyan Ca	556 00	
Total Damon Runyan Cancer Research		6,672 00	0 00
David Lynch Foundation			
06/27/2013	David Lynch Found	500 00	
09/24/2013	David Lynch Found	1,000 00	
12/25/2013	David Lynch Found	2,500 00	
Total David Lynch Foundation		4,000 00	0 00
Dharma Foundation			
01/06/2013	Dharma Foundation	3,000 00	
08/12/2013	Dharma Foundation	3,000 00	
Total Dharma Foundation		6,000 00	0 00
Disabled American Veterans			
10/27/2013	Disabled American	100 00	
Total Disabled American Veterans		100 00	0 00
Friends Select			
12/20/2013	Friends Select	1,000 00	
Total Friends Select		1,000 00	0 00
Global Country of World Peace			
01/13/2013	Global Country of	500 00	
03/01/2013	Global Country of	5,000 00	
Total Global Country of World Peace		5,500 00	0 00

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11/03/14
Cash Basis

David L. Lieb Foundation

Transaction Detail By Account

January through December 2013

Date	Name	Debit	Credit
Hadassah			
12/04/2013	Hadassah	60 00	
12/04/2013	Hadassah	60 00	
Total Hadassah		120 00	0 00
Henrico Humane Society			
09/10/2013	Henrico Humane S.	100 00	
Total Henrico Humane Society		100 00	0 00
I Do Foundation			
04/22/2013	I Do Foundation	324 00	
Total I Do Foundation		324 00	0 00
JAFCO Foundation			
11/11/2013	JAFCO Foundation	700 00	
12/09/2013	JAFCO Foundation	7,340 00	
12/26/2013	JAFCO Foundation	1,800 00	
Total JAFCO Foundation		9,840 00	0 00
Jefferson Co Civic Center			
12/30/2013	Jefferson Co Civic	100 00	
Total Jefferson Co Civic Center		100 00	0 00
Jefferson Co Resource Center			
12/09/2013	Jefferson Co Resou	100.00	
Total Jefferson Co Resource Center		100 00	0 00
jefferson County Farmers & Neighbors			
08/14/2013	jefferson County Fa	250 00	
Total jefferson County Farmers & Neigh		250 00	0 00
jewish Federation of South Palm			
10/29/2013	jewish Federation of	144 00	
11/14/2013	jewish Federation of	270 00	
12/26/2013	jewish Federation of	85 00	
Total jewish Federation of South Palm		499 00	0 00
Jewish National Fund			
05/22/2013	Jewish National Fund	360 00	
Total Jewish National Fund		360 00	0 00
Maharishi Purusha Program			
02/05/2013	Maharishi Purusha	3,800 00	
07/18/2013	Maharishi Purusha	1,000 00	
Total Maharishi Purusha Program		4,800 00	0 00
maharishi Vedic Foundation			
01/08/2013	maharishi Vedic Fo	750 00	
05/28/2013	maharishi Vedic Fo	150 00	
06/04/2013	maharishi Vedic Fo	1,325 00	
06/05/2013	maharishi Vedic Fo	12,500 00	
06/09/2013	maharishi Vedic Fo	500 00	
10/27/2013	maharishi Vedic Fo	250 00	
Total maharishi Vedic Foundation		15,475 00	0 00
Medical Assistance Foundation			
01/13/2013	Medical Assistance	350 00	
02/23/2013	Medical Assistance	500 00	
02/27/2013	Medical Assistance	500 00	
03/10/2013	Medical Assistance	75 00	
11/08/2013	Medical Assistance .	300 00	
Total Medical Assistance Foundation		1,725 00	0 00
Melanoma Research			
12/24/2013	Melanoma Research	5,000 00	
Total Melanoma Research		5,000 00	0 00

3:31 PM
11/03/14
Cash Basis

David L. Lieb Foundation

Transaction Detail By Account

January through December 2013

Date	Name	Debit	Credit
Metropolitan Museum of Art			
12/03/2013	Metropolitan Museu.	550 00	
Total Metropolitan Museum of Art		550 00	0 00
MGA/NL-MD			
02/05/2013	MGA/NL-MD	3,000 00	
Total MGA/NL-MD		3,000 00	0 00
MSAE			
04/18/2013	MSAE	100 00	
Total MSAE		100.00	0 00
MUM Donations			
01/08/2013	MUM Donations	1,000 00	
02/05/2013	MUM Donations	1,000 00	
02/06/2013	MUM Donations	3,000 00	
02/06/2013	MUM Donations	3,600 00	
02/06/2013	MUM Donations	5,000 00	
02/06/2013	MUM Donations	5,000 00	
02/06/2013	MUM Donations	15,000 00	
10/24/2013	MUM Donations	1,500 00	
12/11/2013	MUM Donations	6,000 00	
12/30/2013	MUM Donations	1,500 00	
Total MUM Donations		42,600 00	0 00
MUM MSAE			
03/01/2013	MUM MSAE	1,700 00	
05/01/2013	MUM MSAE	5,000 00	
05/02/2013	MUM MSAE	2,000 00	
05/04/2013	MUM MSAE	500 00	
05/08/2013	MUM MSAE	300 00	
05/13/2013	MUM MSAE		2,000 00
09/24/2013	MUM MSAE	250 00	
10/04/2013	MUM MSAE	100 00	
12/20/2013	MUM MSAE	750 00	
Total MUM MSAE		10,600 00	2,000 00
Nami Walks Foundation			
09/24/2013	Nami Walks Found	100 00	
Total Nami Walks Foundation		100 00	0 00
national Kidney Foundation			
12/09/2013	national Kidney Fou	500 00	
Total national Kidney Foundation		500 00	0 00
National MS Society			
01/23/2013	National MS Society	1,150 00	
Total National MS Society		1,150 00	0 00
new York University			
12/03/2013	new York University	5,000 00	
Total new York University		5,000 00	0 00
Pet Partners			
12/05/2013	Pet Partners	1,000 00	
Total Pet Partners		1,000 00	0 00
Rachel Lansky Center for Women			
08/26/2013	Rachel Lansky Cent	250 00	
Total Rachel Lansky Center for Women		250 00	0 00
Sacred Sun Ministries			
07/09/2013	Sacred Sun Ministries	100 00	
07/09/2013	Sacred Sun Ministries	100 00	
Total Sacred Sun Ministries		200 00	0 00

3:31 PM
11/03/14
Cash Basis

David L. Lieb Foundation
Transaction Detail By Account
January through December 2013

Date	Name	Debit	Credit
Sages of Israel			
02/23/2013	Sages of Israel	100 00	
Total Sages of Israel		100 00	0 00
Salvation Army			
12/09/2013	Salvation Army	50 00	
12/09/2013	Salvation Army	50 00	
Total Salvation Army		100 00	0 00
Shanti Childrens FDN			
02/18/2013	Shanti Childrens F	1,188 00	
Total Shanti Childrens FDN		1,188 00	0 00
SIEDA			
12/09/2013	SIEDA	100 00	
Total SIEDA		100 00	0 00
Temple Shaaray Tefila			
09/04/2013	Temple Shaaray Te	780 00	
Total Temple Shaaray Tefila		780 00	0 00
The Jewish Museum			
06/06/2013	The Jewish Museum	1,000 00	
12/06/2013	The Jewish Museum	500 00	
Total The Jewish Museum		1,500 00	0 00
uja Fed NY Missions #1			
01/15/2013	uja Fed NY Mission	4,794 00	
01/25/2013	uja Fed NY Mission	2,412 00	
10/08/2013	uja Fed NY Mission	1,000 00	
10/18/2013	uja Fed NY Mission	2,806 00	
Total uja Fed NY Missions #1		11,012 00	0 00
UJA Federation EFM			
02/06/2013	UJA Federation EFM	480 00	
Total UJA Federation EFM		480 00	0 00
Unity Village			
03/10/2013	Unity Village	300 00	
06/11/2013	Unity Village	150 00	
08/26/2013	Unity Village	150 00	
12/09/2013	Unity Village	150 00	
12/09/2013	Unity Village	150 00	
Total Unity Village		900 00	0 00
US Hooocaust Museum			
01/18/2013	US Hooocaust Muse	1,500 00	
12/05/2013	US Hooocaust Muse	5,000 00	
Total US Hooocaust Museum		6,500 00	0 00
TOTAL		164,933.00	2,000.00

Form **6781**Department of the Treasury
Internal Revenue Service**Gains and Losses From Section 1256
Contracts and Straddles**► Information about Form 6781 and its instructions is at www.irs.gov/form6781.
► Attach to your tax return.

OMB No 1545-0644

2013Attachment
Sequence No **82**

Name(s) shown on tax return

DAVID L. LIEB FOUNDATION, INC.

Identifying number

13-6077728

Check all applicable boxes (see instructions).

A ☐ Mixed straddle election**C** ☐ Mixed straddle account election**B** ☐ Straddle-by-straddle identification election**D** ☐ Net section 1256 contracts loss election**Part I Section 1256 Contracts Marked to Market**

(a) Identification of account	(b) (Loss)	(c) Gain
1 FROM K-1	8,682	
2 Add the amounts on line 1 in columns (b) and (c)	2 (8,682.00)	0.00
3 Net gain or (loss) Combine line 2, columns (b) and (c)		3 (8,682.00)
4 Form 1099-B adjustments See instructions and attach statement		4
5 Combine lines 3 and 4		5 (8,682.00)
Note: If line 5 shows a net gain, skip line 6 and enter the gain on line 7. Partnerships and S corporations, see instructions.		
6 If you have a net section 1256 contracts loss and checked box D above, enter the amount of loss to be carried back. Enter the loss as a positive number		6
7 Combine lines 5 and 6		7 (8,682.00)
8 Short-term capital gain or (loss). Multiply line 7 by 40% (.40). Enter here and include on the appropriate line of Schedule D (see instructions)		8 (3,472.80)
9 Long-term capital gain or (loss). Multiply line 7 by 60% (.60). Enter here and include on the appropriate line of Schedule D (see instructions)		9 (5,209.20)

Part II Gains and Losses From Straddles. Attach a separate statement listing each straddle and its components.**Section A—Losses From Straddles**

(a) Description of property	(b) Date entered into or acquired	(c) Date closed out or sold	(d) Gross sales price	(e) Cost or other basis plus expense of sale	(f) Loss. If column (e) is more than (d), enter difference. Otherwise, enter -0-	(g) Unrecognized gain on offsetting positions	(h) Recognized loss. If column (f) is more than (g), enter difference. Otherwise, enter -0-
10							
11a Enter the short-term portion of losses from line 10, column (h), here and include on the appropriate line of Schedule D or Form 8949 (see instructions)							11a ()
b Enter the long-term portion of losses from line 10, column (h), here and include on the appropriate line of Schedule D or Form 8949 (see instructions)							11b ()

Section B—Gains From Straddles

(a) Description of property	(b) Date entered into or acquired	(c) Date closed out or sold	(d) Gross sales price	(e) Cost or other basis plus expense of sale	(f) Gain. If column (d) is more than (e), enter difference. Otherwise, enter -0-
12					
13a Enter the short-term portion of gains from line 12, column (f), here and include on the appropriate line of Schedule D or Form 8949 (see instructions)					13a
b Enter the long-term portion of gains from line 12, column (f), here and include on the appropriate line of Schedule D or Form 8949 (see instructions)					13b

Part III Unrecognized Gains From Positions Held on Last Day of Tax Year. Memo Entry Only (see instructions)

(a) Description of property	(b) Date acquired	(c) Fair market value on last business day of tax year	(d) Cost or other basis as adjusted	(e) Unrecognized gain. If column (c) is more than (d), enter difference. Otherwise, enter -0-
14				

For Paperwork Reduction Act Notice, see instructions.

Form **6781** (2013)

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

When calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a marketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
ABBOTT LABS		CUSIP Number	002824100					
46 0000	Sale	02/07/12	02/01/13	1,557.59	1,229.83	0.00	327.76	
3 0000	Sale	03/16/12	02/01/13	101.58	85.53	0.00	16.05	
1 0000	Sale	06/04/12	02/01/13	33.86	28.82	0.00	5.04	
59 0000	Sale	12/05/12	02/01/13	1,997.79	1,826.53	0.00	171.26	
	Security Subtotal			3,690.82	3,170.71	0.00	520.11	
AIR PRODUCTS&CHEM		CUSIP Number	009158106					
9 0000	Sale	05/02/13	06/04/13	850.49	814.50	0.00	35.99	
134 0000	Sale	05/02/13	08/02/13	14,310.95	12,127.00	0.00	2,183.95	
	Security Subtotal			15,161.44	12,941.50	0.00	2,219.94	
ABBVIE INC SHS		CUSIP Number	00287Y109					
46 0000	Sale	02/07/12	02/01/13	1,702.02	1,333.64	0.00	368.38	
3 0000	Sale	03/16/12	02/01/13	111.00	92.74	0.00	18.26	
1 0000	Sale	06/04/12	02/01/13	37.00	31.26	0.00	5.74	
59 0000	Sale	12/05/12	02/01/13	2,183.05	1,980.72	0.00	202.33	
	Security Subtotal			4,033.07	3,438.36	0.00	594.71	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
AUTOMATIC DATA PROC								
	CUSIP Number	053015103						
26 0000	Sale	02/27/13	08/28/13	1,845 96	1,600 44	0 00	245 52	
10 0000	Sale	06/04/13	08/28/13	709 99	694.50	0 00	15 49	
	Security Subtotal			2,555 95	2,294 94	0 00	261 01	
BAXTER INTERNTL INC								
	CUSIP Number	071813109						
47 0000	Sale	11/06/12	08/02/13	3,275 84	3,105.76	0 00	170 08	
5 0000	Sale	06/04/13	08/02/13	348 49	351 35	0 00	(2 86)	
	Security Subtotal			3,624 33	3,457.11	0 00	167 22	
CSX CORP								
	CUSIP Number	126408103						
93 0000	Sale	08/02/13	08/28/13	2,284 59	2,464 50	0 00	(179 91)	
CHEVRON CORP								
	CUSIP Number	166764100						
37 0000	Sale	12/05/12	06/21/13	4,412 17	3,884 10	0 00	528 07	
CH. ROBINSON WORLDWIDE, INC. NEW								
	CUSIP Number	12541W209						
129 0000	Sale	05/30/12	01/02/13	8,052 03	8,472.09	0 00 (Y)	(420 06)	
2.0000	Sale	07/02/12	01/02/13	124 83	120 62	0 00	4.21	
50 0000	Sale	11/06/12	01/02/13	3,120 95	3,163.47	0 00	(42 52)	
	Security Subtotal			11,297 81	11,756.18	0 00	(458 37)	
COCA COLA COM								
	CUSIP Number	191216100						
103 0000	Sale	11/06/12	06/28/13	4,151.33	3,842 70	0 00	308 63	
2 0000	Sale	06/04/13	06/28/13	80 60	83 00	0 00	(2 40)	
	Security Subtotal			4,231 93	3,925 70	0 00	306 23	
DU PONT E I DE NEMOURS								
	CUSIP Number	263534109						
41 0000	Sale	08/02/13	08/28/13	2,321 49	2,460 00	0 00	(138 51)	
181 0000	Sale	08/02/13	11/22/13	11,129 72	10,860 00	0 00	269 72	
2.0000	Sale	10/07/13	11/22/13	122 97	116 70	0 00	6 27	
	Security Subtotal			13,574 18	13,436 70	0 00	137.48	
ELDORADO GOLD CORP NEW								
	CUSIP Number	284902103						
626 0000	Sale	07/09/12	02/27/13	6,264 05	7,576.04	0 00	(1,311 99)	
153 0000	Sale	10/04/12	02/27/13	1,531 00	2,305 69	0 00	(774 69)	
	Security Subtotal			7,795 05	9,881 73	0 00	(2,086 68)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
EXXON MOBIL CORP COM								
	CUSIP Number	30231G102						
40 0000	Sale	11/06/12	08/26/13	3,487 38	3,674 52	0 00	(187 14)	
13 0000	Sale	06/04/13	08/26/13	1,133 40	1,184 04	0 00	(50 64)	
	Security Subtotal			4,620 78	4,858 56	0 00	(237 78)	
EATON CORP PLC								
	CUSIP Number	G29183103						
35 0000	Sale	12/04/12	06/04/13	2,316 96	1,807 27	0 00	509 69	
31 0000	Sale	12/04/12	08/28/13	1,978 45	1,595 21	0 00	383 24	
	Security Subtotal			4,295 41	3,402 48	0 00	892 93	
EMERSON ELEC CO								
	CUSIP Number	291011104						
212 0000	Sale	01/02/13	06/28/13	11,617 74	11,556 12	0 00	61 62	
7 0000	Sale	06/04/13	06/28/13	383 60	403 62	0 00	(20 02)	
	Security Subtotal			12,001 34	11,959 74	0 00	41 60	
BLACKROCK UTILITY & INFRASTRUCTURE TRUST COMMON SHARES								
	CUSIP Number	09248D104						
43 0000	Sale	06/04/13	06/21/13	752 34	810 55	0 00	(58 21)	
636 0000	Sale	01/03/13	06/21/13	11,127 52	11,452 08	0 00	(324 56)	
	Security Subtotal			11,879 86	12,262 63	0 00	(382 77)	
ANGUARD INFORMATION TECH ETF								
	CUSIP Number	92204A702						
53 0000	Sale	07/16/13	08/28/13	4,112 16	4,135 02	0 00	(22 86)	
ANGUARD INDUSTRIAL ETF								
	CUSIP Number	92204A603						
19 0000	Sale	08/26/13	08/28/13	1,599.11	1,647 00	0 00	(47 89)	
PDR S P DIVID ETF								
	CUSIP Number	78464A763						
26 0000	Sale	11/28/12	06/04/13	1,760.95	1,499 38	0 00	261 57	
283 0000	Sale	11/28/12	06/21/13	18,560 29	16,320 22	0 00	2,240 07	
341 0000	Sale	11/30/12	06/21/13	22,364.16	19,914 40	0 00	2,449 76	
	Security Subtotal			42,685.40	37,734.00	0 00	4,951 40	

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2013 ANNUAL STATEMENT SUMMARY

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GENL DYNAMICS CORP COM								
	CUSIP Number	369550108						
29 0000	Sale	02/07/12	02/01/13	1,888.84	2,058.71	0.00	(169.87)	
9 0000	Sale	03/16/12	02/01/13	586.19	656.09	0.00	(69.90)	
47 0000	Sale	11/06/12	02/01/13	3,061.24	3,274.55	0.00	(213.31)	
	Security Subtotal			5,536.27	5,989.35	0.00	(453.08)	
GENUINE PARTS CO								
	CUSIP Number	372460105						
3 0000	Sale	07/10/13	08/28/13	232.64	250.89	0.00	(18.25)	
HONEYWELL INTL INC DEL								
	CUSIP Number	438516106						
19 0000	Sale	10/04/12	06/04/13	1,495.27	1,166.79	0.00	328.48	
106 0000	Sale	10/04/12	08/19/13	8,667.61	6,509.46	0.00	2,158.15	
55 0000	Sale	11/06/12	08/19/13	4,497.34	3,497.99	0.00	999.35	
	Security Subtotal			14,660.22	11,174.24	0.00	3,485.98	
LACKROCK BUILD AMER BD								
	CUSIP Number	09248X100						
24 0000	Sale	03/16/12	01/03/13	541.74	498.24	0.00	43.50	
JOHNSON AND JOHNSON COM								
	CUSIP Number	478160104						
3 0000	Sale	03/04/13	06/04/13	253.94	230.55	0.00	23.39	
30 0000	Sale	03/04/13	08/28/13	2,586.58	2,305.50	0.00	281.08	
	Security Subtotal			2,840.52	2,536.05	0.00	304.47	
KINROSS GOLD CORP								
	CUSIP Number	496902404						
924 0000	Sale	07/09/12	04/16/13	4,935.33	7,660.61	0.00	(2,725.28)	
141 0000	Sale	10/04/12	04/16/13	753.13	1,556.63	0.00	(803.50)	
	Security Subtotal			5,688.46	9,217.24	0.00	(3,528.78)	
LINEAR TECHNOLOGY CORP								
	CUSIP Number	535678106						
6 0000	Sale	10/04/12	06/04/13	226.49	200.48	0.00	26.01	
70 0000	Sale	11/06/12	08/28/13	2,676.67	2,327.96	0.00	348.71	
	Security Subtotal			2,903.16	2,528.44	0.00	374.72	
MCDONALDS CORP COM								
	CUSIP Number	580135101						
20 0000	Sale	11/28/12	08/28/13	1,904.79	1,722.41	0.00	182.38	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MEDTRONIC INC COM								
	CUSIP Number	585055106						
44 0000	Sale	11/06/12	08/28/13	2,269 33	1,883 58	0 00	385 75	
1 0000	Sale	10/07/13	12/12/13	55 74	52 87	0 00	2 87	
	Security Subtotal			2,325 07	1,936 45	0 00	388 62	
MICROSOFT CORP								
	CUSIP Number	594918104						
47 0000	Sale	11/06/12	08/28/13	1,573 06	1,415 40	0 00	157 66	
10 0000	Sale	10/07/13	12/03/13	382 59	333 88	0 00	48 71	
	Security Subtotal			1,955 65	1,749 28	0 00	206 37	
NORTHROP GRUMMAN CORP								
	CUSIP Number	666807102						
28 0000	Sale	08/02/13	08/28/13	2,600 05	2,645 72	0 00	(45 67)	
PAYCHEX INC								
	CUSIP Number	704326107						
109.0000	Sale	11/06/12	06/28/13	3,986 94	3,632 53	0 00	354 41	
PROCTER & GAMBLE CO								
	CUSIP Number	742718109						
25 0000	Sale	02/01/13	08/28/13	1,940 49	1,892 75	0 00	47 74	
6 0000	Sale	06/04/13	08/28/13	465 71	466 38	0 00	(0 67)	
	Security Subtotal			2,406 20	2,359 13	0 00	47 07	
QUEST DIAGNOSTICS INC								
	CUSIP Number	74834L100						
16 0000	Sale	11/22/13	12/16/13	871 93	985 44	0 00	(113 51)	
RAYTHEON CO DELAWARE NEW								
	CUSIP Number	755111507						
39 0000	Sale	08/02/13	08/28/13	2,942 50	2,934 36	0 00	8 14	
TARGET CORP COM								
	CUSIP Number	87612E106						
183 0000	Sale	03/04/13	05/02/13	12,626 72	11,885 85	0 00	740 87	
11 0000	Sale	06/04/13	08/28/13	695 52	792 00	0 00	(96 48)	
163 0000	Sale	06/04/13	12/03/13	10,246 21	11,736 00	0 00	(1,489 79)	
6.0000	Sale	10/07/13	12/03/13	377 16	377 38	0 00	(0 22)	
	Security Subtotal			23,945 61	24,791 23	0 00	(845 62)	
3M COMPANY								
	CUSIP Number	88579Y101						
10.0000	Sale	02/27/13	08/28/13	1,123 94	1,034 22	0 00	89 72	
12.0000	Sale	06/04/13	08/28/13	1,348 74	1,327 80	0 00	20 94	
10 0000	Sale	02/27/13	12/03/13	1,255 90	1,034 22	0 00	221 68	
	Security Subtotal			3,728 58	3,396 24	0 00	332 34	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TIFFANY & CO NEW								
195 0000	Sale	CUSIP Number 01/02/13	886547108 02/01/13	12,590 44	11,522 02	0 00	1,068 42	
UNITED TECHS CORP COM								
30 0000	Sale	CUSIP Number 02/01/13	913017109 08/28/13	2,997 80	2,688 83	0 00	308 97	
WAL-MART STORES INC								
3 0000	Sale	CUSIP Number 12/05/12	931142103 08/28/13	218 04	215 27	0 00	2 77	
22 0000	Sale	06/04/13	08/28/13	1,598 97	1,666 94	0 00	(67 97)	
	Security Subtotal			1,817 01	1,882 21	0 00	(65 20)	
NEUBERGER BERMAN STRATEG INCOME FUND CL INSTL								
1 0000	Sale	CUSIP Number 01/04/12	64128K751 01/04/13	11 45	10 90	0 00	0 55	
42 0000	Sale	01/04/12	01/04/13	480 90	456 12	0 00	24 78	
1 0000	Sale	02/07/12	01/04/13	11 45	11 00	0 00	0 45	
12 0000	Sale	02/07/12	01/04/13	137 40	132 72	0 00	4 68	
1 0000	Sale	03/16/12	01/04/13	11 45	11 07	0 00	0 38	
1880	Sale	03/16/12	01/04/13	2 15	2 08	0 00	0 07	
38 0000	Sale	03/16/12	01/04/13	435 11	420 66	0 00	14 45	
	Security Subtotal			1,089 91	1,044 55	0 00	45 36	
VIRTUS DYNAMIC								
8430	Sale	CUSIP Number 07/10/13	92828R297 08/28/13	9 68	9 77	0 00	(0 09)	
9070	Sale	07/10/13	08/29/13	10 41	10 50	0 00	(0 09)	
	Security Subtotal			20 09	20 27	0 00	(0 18)	
JOHN HANCOCK US GLOBAL								
5390	Sale	CUSIP Number 01/04/13	409902798 08/28/13	21 66	20 24	0 00	1 42	
6020	Sale	06/04/13	08/29/13	24 19	23 96	0 00	0 23	
	Security Subtotal			45 85	44 20	0 00	1 65	
VIRTUS PREMIUM ALPHA SECTOR FUND CL I								
135 0000	Sale	CUSIP Number 06/21/12	92828R230 06/04/13	2,038 50	1,703 70	0 00	334 80	
4120	Sale	06/21/12	06/04/13	6 22	5 19	0 00	1 03	
	Security Subtotal			2,044 72	1,708 89	0 00	335 83	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
EDERATED KAUFMANN LARGE								
CAP FUND CL INST		CUSIP Number 314172412						
1200	Sale	02/01/13	06/04/13	1 71	1 62	0 00	0 09	
5 0000	Sale	02/01/13	06/04/13	71 55	67 50	0 00	4 05	
6580	Sale	02/01/13	06/04/13	9 42	8 89	0 00	0 53	
4460	Sale	02/01/13	08/28/13	6 71	6 02	0 00	0 69	
3420	Sale	02/01/13	08/28/13	5 16	4 60	0 00	0 56	
Security Subtotal				94 55	88 63	0 00	5 92	
Covered Short Term Capital Gains and Losses Subtotal				253,626.10	243,997.80	0.00	9,628.30	
ET SHORT TERM CAPITAL GAINS AND LOSSES				253,626.10	243,997.80	0.00	9,628.30	
ONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
OVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
ABBOTT LABS								
		CUSIP Number 002824100						
56 0000	Sale	12/12/11	02/01/13	1,896 19	1,452 11	0 00	444 08	
5 0000	Sale	12/27/11	02/01/13	169 30	134 63	0 00	34 67	
Security Subtotal				2,065 49	1,586 74	0 00	478 75	
ABBVIE INC SHS								
		CUSIP Number 00287Y109						
56 0000	Sale	12/12/11	02/01/13	2,072 03	1,574 68	0 00	497 35	
5 0000	Sale	12/27/11	02/01/13	185 00	145 99	0 00	39 01	
Security Subtotal				2,257 03	1,720 67	0 00	536 36	
AXTER INTERNTL INC								
		CUSIP Number 071813109						
7 0000	Sale	12/07/11	08/02/13	487 89	355 65	0 00 (Y)	132 24	
76 0000	Sale	12/12/11	08/02/13	5,297 11	3,784 04	0 00	1,513 07	
16 0000	Sale	12/27/11	08/02/13	1,115 18	792 36	0 00	322 82	
17 0000	Sale	02/07/12	08/02/13	1,184 88	968 66	0 00	216 22	
7 0000	Sale	03/16/12	08/02/13	487 89	418 62	0 00	69 27	
4 0000	Sale	06/04/12	08/02/13	278 79	199 74	0 00	79 05	
Security Subtotal				8,851 74	6,519 07	0 00	2,332 67	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CHEVRON CORP								
		CUSIP Number	166764100					
1 0000	Sale	12/12/11	06/04/13	122 91	101 86	0 00	21 05	
37 0000	Sale	12/12/11	06/21/13	4,412 17	3,768 82	0 00	643 35	
1 0000	Sale	12/26/11	06/21/13	119 24	100 97	0 00 (Y)	18 27	
2 0000	Sale	12/27/11	06/21/13	238 49	215 72	0 00	22 77	
26 0000	Sale	02/07/12	06/21/13	3,100 45	2,768 74	0 00	331 71	
	Security Subtotal			7,993 26	6,956 11	0 00	1,037 15	
COCA COLA COM								
		CUSIP Number	191216100					
205 0000	Sale	05/02/12	06/28/13	8,262 36	7,887 89	0 00	374 47	
EXXON MOBIL CORP COM								
		CUSIP Number	30231G102					
10 0000	Sale	02/07/12	08/26/13	871 84	860 60	0 00	11 24	
62 0000	Sale	02/07/12	08/26/13	5,405 44	5,335 72	0 00	69 72	
10 0000	Sale	02/07/12	08/26/13	871 85	902 03	0 00 (Y)	(30 18)	
3 0000	Sale	02/26/12	08/26/13	261 55	243 12	0 00 (Y)	18 43	
	Security Subtotal			7,410 68	7,341 47	0 00	69 21	
EATON CORP PLC								
		CUSIP Number	G29183103					
161 0000	Sale	12/04/12	12/12/13	11,387 33	8,255 98	0 00	3,131 35	
GENL DYNAMICS CORP COM								
		CUSIP Number	369550108					
71 0000	Sale	12/12/11	02/01/13	4,624 40	4,525 54	0 00	98 86	
3 0000	Sale	12/26/11	02/01/13	195 39	186 20	0 00 (Y)	9 19	
6 0000	Sale	12/27/11	02/01/13	390 79	398 84	0 00	(8 05)	
	Security Subtotal			5,210 58	5,110 58	0 00	100 00	
HOME DEPOT INC								
		CUSIP Number	437076102					
23 0000	Sale	01/04/12	06/04/13	1,805 47	984 07	0 00	821 40	
121 0000	Sale	01/04/12	08/02/13	9,514 06	5,177 04	0 00	4,337 02	
41 0000	Sale	02/07/12	08/02/13	3,223 77	1,860 42	0 00	1,363 35	
	Security Subtotal			14,543 30	8,021 53	0 00	6,521 77	
MEDTRONIC INC COM								
		CUSIP Number	585055106					
24 0000	Sale	12/12/11	06/04/13	1,243 18	848 53	0 00	394 65	
62 0000	Sale	12/12/11	12/12/13	3,456 45	2,192 03	0 00	1,264 42	
2 0000	Sale	12/27/11	12/12/13	111 49	75 51	0 00	35 98	
48 0000	Sale	02/07/12	12/12/13	2,675 95	1,941 38	0 00	734 57	
34 0000	Sale	03/16/12	12/12/13	1,895 47	1,342 49	0 00	552 98	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MEDTRONIC INC COM								
		CUSIP Number	585055106					
9 0000	Sale	06/04/12	12/12/13	501 74	321 37	0 00	180 37	
45 0000	Sale	11/06/12	12/12/13	2,508 71	1,926 39	0 00	582 32	
	Security Subtotal			12,392 99	8,647 70	0 00	3,745 29	
MICROSOFT CORP								
		CUSIP Number	594918104					
3 0000	Sale	12/07/11	06/04/13	106 82	77 12	0 00	29 70	
23 0000	Sale	12/12/11	06/04/13	819 02	584 35	0 00	234 67	
171 0000	Sale	12/12/11	12/03/13	6,542 28	4,344 49	0 00	2,197 79	
18 0000	Sale	12/27/11	12/03/13	688 66	468 97	0 00	219 69	
41 0000	Sale	02/07/12	12/03/13	1,568 61	1,247 86	0 00	320 75	
1 0000	Sale	03/16/12	12/03/13	38 25	32 77	0 00	5 48	
6 0000	Sale	06/04/12	12/03/13	229 55	170 50	0 00	59 05	
72 0000	Sale	11/06/12	12/03/13	2,754 64	2,168 26	0 00	586 38	
	Security Subtotal			12,747 83	9,094 32	0 00	3,653 51	
PAYCHEX INC								
		CUSIP Number	704326107					
6 0000	Sale	05/02/12	06/04/13	223 85	184 39	0 00	39 46	
230 0000	Sale	05/02/12	06/28/13	8,412 81	7,068 20	0 00	1,344 61	
	Security Subtotal			8,636 66	7,252 59	0 00	1,384 07	
3M COMPANY								
		CUSIP Number	88579Y101					
82.0000	Sale	10/04/12	12/03/13	10,298 33	7,737 00	0 00	2,561 33	
VIRTUS PREMIUM ALPHA								
		CUSIP Number	92828R230					
0860	Sale	06/21/12	08/28/13	1 30	1 08	0 00	0 22	
PIMCO ALL ASSET ALL AUTHORITY FUND CL P								
		CUSIP Number	72201M438					
1 0000	Sale	01/04/12	03/04/13	11.04	10.40	0 00	0 64	
107 0000	Sale	01/04/12	03/04/13	1,181 28	1,079 63	0 00	101 65	
	Security Subtotal			1,192.32	1,090.03	0 00	102 29	
Covered Long Term Capital Gains and Losses Subtotal				113,251.20	87,222.76	0 00	26,028.44	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
UNCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)								
LACKROCK BUILD AMER BD								
	CUSIP Number	09248X100						
	12/07/11	01/03/13						
	147 0000 Sale			3,318 11	3,040 65	0 00	277 46	
	12/12/11	01/03/13		4,333 86	3,959 04	0 00	374 82	
	192 0000 Sale							
	Security Subtotal			7,651.97	6,999 69	0 00	652 28	
NEUBERGER BERMAN STRATEG INCOME FUND CL INSTL								
	CUSIP Number	64128K751						
	12/07/11	01/04/13						
	882 0000 Sale			10,098 89	9,631 44	0 00	467 45	
	12/12/11	01/04/13		8,335 60	7,935 20	0 00	400 40	
	728 0000 Sale							
	Security Subtotal			18,434 49	17,566 64	0 00	867.85	
PIMCO ALL ASSET ALL AUTHORITY FUND CL P								
	CUSIP Number	72201M438						
	12/07/11	03/04/13						
	889 0000 Sale			9,814 56	9,432 29	0 00	382 27	
	12/07/11	03/04/13		7 37	7 08	0 00	0.29	
	760.0000 Sale			8,390 40	7,934 40	0 00	456 00	
	12/12/11	03/04/13						
	Security Subtotal			18,212 33	17,373 77	0 00	838.56	
Noncovered Long Term Capital Gains and Losses Subtotal				44,298.79	41,940 10	0 00	2,358.69	
ET LONG TERM CAPITAL GAINS AND LOSSES				157,549.99	129,162 86	0 00	28,387.13	
OTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES				411,176.09				
OTAL REPORTED SALES PROCEEDS				411,176.09				

(Y) The gain or loss of this transaction includes an adjustment to basis for the deferred loss amount on one or more previous "Wash Sales "

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
9,628.30	0 00	26,028.44	2,358.69

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The following sections are provided to facilitate your review and the preparation of your tax return:

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

When calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a marketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c								
COVERED TRANSACTIONS - 1099-B Line 6b (cost basis reported to the IRS)								
AMERICAN FINANCIAL GROUP SENIOR NOTES		CUSIP Number 025932500						
5.75% DUE 8/25/2042								
1 0000	Sale	08/23/12	06/06/13	24.70	25.07	0.37 (W)	0.00	
2 0000	Sale	08/23/12	06/18/13	48.48	50.14	1.66 (W)	0.00	
1 0000	Sale	08/23/12	06/19/13	24.05	25.07	1.02 (W)	0.00	
1 0000	Sale	08/23/12	06/19/13	24.05	25.07	1.02 (W)	0.00	
1 0000	Sale	08/23/12	06/19/13	24.05	25.07	1.02 (W)	0.00	
5 0000	Sale	08/23/12	06/19/13	120.25	125.36	0.00	(5.11)	
1 0000	Sale	11/07/12	06/19/13	24.06	25.66	0.00	(1.60)	
1 0000	Sale	11/07/12	06/20/13	23.51	25.67	0.00	(2.16)	
1 0000	Sale	11/07/12	06/21/13	23.58	25.66	0.00	(2.08)	
5 0000	Sale	11/08/12	06/21/13	117.91	127.16	0.00	(9.25)	
1 0000	Sale	07/25/13	12/23/13	21.15	24.38	0.00	(3.23)	
1 0000	Sale	07/26/13	12/23/13	21.16	24.49	0.00	(3.33)	
Security Subtotal				496.95	528.80	5.09	(26.76)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
AFAC INC		CUSIP Number 001055300						
NEW MONEY								
05.500% SEP 15 2052								
2 0000	Sale	09/21/12	06/06/13	49 79	49 44	0.00	0 35	
3 0000	Sale	09/21/12	08/13/13	67 97	74 17	0 00	(6 20)	
13 0000	Sale	09/21/12	08/14/13	286 35	321 38	0 00	(35 03)	
1 0000	Sale	09/21/12	08/15/13	21 80	24.72	0 00	(2 92)	
8 0000	Sale	11/20/12	08/15/13	174 44	201 66	0 00	(27.22)	
7 0000	Sale	11/20/12	08/16/13	152 52	176 45	0 00	(23.93)	
4 0000	Sale	11/21/12	08/16/13	87 16	102 14	0 00	(14 98)	
1 0000	Sale	06/20/13	12/23/13	20 83	23 67	0 00	(2.84)	
2 0000	Sale	06/21/13	12/23/13	41 66	47 88	0 00	(6 22)	
6 0000	Sale	06/24/13	12/23/13	124 98	142 02	0 00	(17 04)	
4 0000	Sale	06/25/13	12/23/13	83 32	96 24	0 00	(12.92)	
1 0000	Sale	07/05/13	12/23/13	20 83	24 13	0 00	(3.30)	
6 0000	Sale	07/08/13	12/23/13	124 98	144.82	0 00	(19.84)	
1 0000	Sale	11/11/13	12/23/13	20 84	22 77	0 00	(1 93)	
Security Subtotal				1,277 47	1,451 49	0.00	(174 02)	
THE ALLSTATE CORP FIXED-TO-FLOATING RATE SUB DEB		CUSIP Number 020002309						
5 10% DUE 1/15/2053								
3.0000	Sale	01/14/13	06/06/13	76.40	77.63	0.00	(1.23)	
8 0000	Sale	01/14/13	07/29/13	199.60	207.00	0.00	(7.40)	
2 0000	Sale	01/14/13	07/30/13	50 04	51.75	0.00	(1 71)	
6.0000	Sale	01/14/13	07/31/13	150 39	155.25	0.00	(4.86)	
1 0000	Sale	01/15/13	07/31/13	25.07	25 58	0 00	(0 51)	
5 0000	Sale	01/15/13	08/21/13	118.46	127 90	0 00	(9.44)	
2 0000	Sale	01/16/13	08/21/13	47 39	50.96	0.00	(3.57)	
2.0000	Sale	01/16/13	08/23/13	47.84	50 95	0 00	(3 11)	
6 0000	Sale	01/16/13	08/23/13	143.52	152.70	0.00	(9.18)	
6.0000	Sale	01/16/13	09/04/13	143 10	152.70	0 00	(9 60)	
4 0000	Sale	01/17/13	09/04/13	95.40	102.09	0 00	(6.69)	
4.0000	Sale	01/17/13	09/05/13	95 16	102.08	0 00	(6 92)	
5 0000	Sale	01/17/13	09/06/13	119.27	127.61	0 00	(8 34)	
1 0000	Sale	01/17/13	09/09/13	23.83	25 52	0 00	(1.69)	
3.0000	Sale	01/17/13	09/09/13	71.49	76.56	0.00	(5 07)	
4.0000	Sale	01/18/13	09/09/13	95.32	102.61	0 00	(7.29)	
1 0000	Sale	01/22/13	09/09/13	23 83	25.59	0 00	(1.76)	
6 0000	Sale	01/22/13	09/09/13	142 98	153.56	0 00	(10 58)	
3.0000	Sale	01/23/13	09/09/13	71 49	76.82	0 00	(5.33)	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
THE ALLSTATE CORP FIXED-TO-FLOATING RATE SUB DEB		CUSIP Number 020002309						
5 10% DUE 1/15/2053								
1.0000	Sale	01/23/13	11/11/13	24.30	25.61	0.00	(1.31)	
3.0000	Sale	01/23/13	12/23/13	73.58	76.82	0.00	(3.24)	
2.0000	Sale	01/23/13	12/23/13	49.05	51.22	0.00	(2.17)	
1.0000	Sale	01/24/13	12/23/13	24.52	25.78	0.00	(1.26)	
7.0000	Sale	01/24/13	12/23/13	171.71	180.44	0.00	(8.73)	
13.0000	Sale	01/31/13	12/23/13	318.89	331.47	0.00	(12.58)	
4.0000	Sale	02/01/13	12/23/13	98.12	102.39	0.00	(4.27)	
1.0000	Sale	02/04/13	12/23/13	24.53	25.63	0.00	(1.10)	
16.0000	Sale	04/17/13	12/23/13	392.49	425.88	0.00	(33.39)	
Security Subtotal				2,917.77	3,090.10	0.00	(172.33)	
ASTORIA FINANCIAL CORP NEW MONEY SER C		CUSIP Number 046265401						
06 500% APR 15 2018								
1.0000	Sale	05/03/13	06/06/13	25.10	25.52	0.42 (W)	0.00	
5.0000	Sale	05/03/13	12/23/13	108.59	127.59	0.00	(19.00)	
1.0000	Sale	05/03/13	12/23/13	21.72	25.98	0.00 (Y)	(4.26)	
1.0000	Sale	05/06/13	12/23/13	21.71	25.54	0.00	(3.83)	
2.0000	Sale	05/08/13	12/23/13	43.44	51.22	0.00	(7.78)	
1.0000	Sale	05/10/13	12/23/13	21.72	25.63	0.00	(3.91)	
8.0000	Sale	05/13/13	12/23/13	173.76	203.50	0.00	(29.74)	
8.0000	Sale	05/14/13	12/23/13	173.76	202.49	0.00	(28.73)	
4.0000	Sale	05/15/13	12/23/13	86.88	101.01	0.00	(14.13)	
5.0000	Sale	05/16/13	12/23/13	108.60	126.28	0.00	(17.68)	
3.0000	Sale	05/17/13	12/23/13	65.16	75.97	0.00	(10.81)	
6.0000	Sale	10/08/13	12/23/13	130.32	127.13	0.00	3.19	
3.0000	Sale	10/09/13	12/23/13	65.16	63.23	0.00	1.93	
4.0000	Sale	10/10/13	12/23/13	86.88	85.06	0.00	1.82	
2.0000	Sale	10/14/13	12/23/13	43.44	42.51	0.00	0.93	
3.0000	Sale	10/15/13	12/23/13	65.16	63.86	0.00	1.30	
3.0000	Sale	10/16/13	12/23/13	65.16	64.03	0.00	1.13	
3.0000	Sale	10/17/13	12/23/13	65.17	65.47	0.00	(0.30)	
Security Subtotal				1,371.73	1,502.02	0.42	(129.87)	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ASPEN INSURANCE HLDG LTD		CUSIP Number	G05384154					
NEW MONEY								
05 950% PERPETUAL								
19 0000	Sale	04/26/13	11/11/13	450.67	488.04	0.00	(37.37)	
3 0000	Sale	06/13/13	11/11/13	71.16	75.09	0.00	(3.93)	
13 0000	Sale	06/13/13	12/23/13	294.57	325.39	0.00	(30.82)	
8 0000	Sale	06/21/13	12/23/13	181.27	200.08	0.00	(18.81)	
1 0000	Sale	06/27/13	12/23/13	22.65	25.29	0.00	(2.64)	
3 0000	Sale	07/01/13	12/23/13	67.97	76.30	0.00	(8.33)	
43 0000	Sale	08/02/13	12/23/13	974.39	1,100.03	0.00	(125.64)	
Security Subtotal				2,062.68	2,290.22	0.00	(227.54)	
AXIS CAPITAL HLDGS LTD		CUSIP Number	G0692U117					
SERIES D PREFERRED SHARE								
5 50% PERPETUAL								
1 0000	Sale	05/14/13	06/06/13	23.25	24.99	1.74 (W)	0.00	
23 0000	Sale	05/14/13	12/23/13	431.70	574.82	0.00	(143.12)	
1 0000	Sale	05/14/13	12/23/13	18.77	26.71	0.00 (Y)	(7.94)	
14 0000	Sale	05/21/13	12/23/13	262.78	349.54	0.00	(86.76)	
Security Subtotal				736.50	976.06	1.74	(237.82)	
ALLSTATE CORP		CUSIP Number	020002408					
SER A								
05 625% PERPETUAL								
1 0000	Sale	07/05/13	12/23/13	21.19	24.76	0.00	(3.57)	
2 0000	Sale	07/08/13	12/23/13	42.40	49.62	0.00	(7.22)	
3.0000	Sale	07/09/13	12/23/13	63.60	74.68	0.00	(11.08)	
2 0000	Sale	07/10/13	12/23/13	42.40	49.80	0.00	(7.40)	
3.0000	Sale	07/11/13	12/23/13	63.60	74.96	0.00	(11.36)	
Security Subtotal				233.19	273.82	0.00	(40.63)	
ALLSTATE CORP		CUSIP Number	020002606					
SER C								
06 750% PERPETUAL								
17.0000	Sale	09/24/13	12/23/13	433.49	421.45	0.00	12.04	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
AEGON NV		CUSIP Number	N00927306					
PERP CAPITAL SECURITIES								
6.875% PERP								
5.0000	Sale	03/19/13	12/23/13	123.84	127.33	0.00	(3.49)	
1.0000	Sale	03/20/13	12/23/13	24.76	25.46	0.00	(0.70)	
2.0000	Sale	03/27/13	12/23/13	49.54	50.69	0.00	(1.15)	
2.0000	Sale	03/22/13	12/23/13	49.53	50.91	0.00	(1.38)	
1.0000	Sale	04/08/13	12/23/13	24.77	25.22	0.00	(0.45)	
1.0000	Sale	04/09/13	12/23/13	24.77	25.21	0.00	(0.44)	
1.0000	Sale	04/10/13	12/23/13	24.77	25.23	0.00	(0.46)	
1.0000	Sale	04/11/13	12/23/13	24.77	25.24	0.00	(0.47)	
1.0000	Sale	04/12/13	12/23/13	24.77	25.26	0.00	(0.49)	
7.0000	Sale	03/25/13	12/23/13	173.38	177.98	0.00	(4.60)	
3.0000	Sale	03/26/13	12/23/13	74.31	76.24	0.00	(1.93)	
1.0000	Sale	04/16/13	12/23/13	24.76	25.33	0.00	(0.57)	
2.0000	Sale	04/17/13	12/23/13	49.54	50.66	0.00	(1.12)	
7.0000	Sale	04/18/13	12/23/13	173.39	177.81	0.00	(4.42)	
9.0000	Sale	04/19/13	12/23/13	222.93	228.49	0.00	(5.56)	
4.0000	Sale	04/22/13	12/23/13	99.08	101.41	0.00	(2.33)	
4.0000	Sale	04/23/13	12/23/13	99.08	101.53	0.00	(2.45)	
7.0000	Sale	04/24/13	12/23/13	173.40	177.75	0.00	(4.35)	
Security Subtotal				1,461.39	1,497.75	0.00	(36.36)	
AEGON N.V. (AEG)		CUSIP Number	007924608					
\$25 NON-CUM SUB NOTES								
8.00% DUE FEB 15 2042								
7.0000	Sale	08/16/13	12/23/13	194.96	181.47	0.00	13.49	
9.0000	Sale	08/19/13	12/23/13	250.67	233.46	0.00	17.21	
1.0000	Sale	08/20/13	12/23/13	27.85	26.01	0.00	1.84	
8.0000	Sale	08/23/13	12/23/13	222.82	212.78	0.00	10.04	
8.0000	Sale	08/26/13	12/23/13	222.83	215.60	0.00	7.23	
Security Subtotal				919.13	869.32	0.00	49.81	
AMERICAN INTL GROUP		CUSIP Number	026874800					
SRS A-4 JR SUB DEB								
6.45% JUNE 15 2077								
5.0000	Redemption	07/05/12	06/17/13	125.00	123.82	0.00	1.18	
8.0000	Redemption	07/06/12	06/17/13	200.00	198.26	0.00	1.74	
4.0000	Redemption	07/09/12	06/17/13	100.01	99.66	0.00	0.35	
Security Subtotal				425.01	421.74	0.00	3.27	

DAVID L LIEB FOUNDATION

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
LEGON NV		CUSIP Number	N00927348					
PFD STOCK 7.25%								
PERP CAP SECS								
5 0000	Sale	03/12/13	12/23/13	126.71	127.49	0.00	(0.78)	
10 0000	Sale	03/13/13	12/23/13	253.42	255.14	0.00	(1.72)	
5 0000	Sale	03/14/13	12/23/13	126.71	127.69	0.00	(0.98)	
2.0000	Sale	08/05/13	12/23/13	50.68	50.47	0.00	0.21	
8 0000	Sale	08/06/13	12/23/13	202.74	202.04	0.00	0.70	
4 0000	Sale	08/07/13	12/23/13	101.37	101.08	0.00	0.29	
2 0000	Sale	08/08/13	12/23/13	50.68	50.53	0.00	0.15	
9 0000	Sale	08/15/13	12/23/13	228.10	225.96	0.00	2.14	
6 0000	Sale	08/16/13	12/23/13	152.07	150.41	0.00	1.66	
Security Subtotal				1,292.48	1,290.81	0.00	1.67	
AXIS CAPITAL HLDGS LTD		CUSIP Number	G0692U307					
SERIES C								
06.875% JAN 01 2049								
4 0000	Sale	12/20/13	12/23/13	95.06	94.82	0.00	0.24	
ARCH CAPITAL GROUP LTD		CUSIP Number	G0450A204					
SER C NON CUM PFD								
STK 6.75% PERPETUAL								
9.0000	Sale	12/11/13	12/23/13	208.26	214.83	0.00	(6.57)	
AMERICAN FINANCIAL		CUSIP Number	025932401					
GROUP INC SR NOTES								
6.375% DUE 6/12/2042								
2 0000	Sale	06/18/12	06/06/13	50.25	50.16	0.00	0.09	
LEGON NV PFD		CUSIP Number	007924400					
NON CUM PFD STK								
06.500% PERPETUAL								
1 0000	Sale	05/13/13	06/06/13	24.79	25.43	0.64 (W)	0.00	
1.0000	Sale	05/13/13	11/11/13	24.05	25.43	0.00	(1.38)	
1.0000	Sale	05/13/13	12/23/13	23.50	25.42	0.00	(1.92)	
1.0000	Sale	05/13/13	12/23/13	23.50	26.02	0.00 (Y)	(2.52)	
4.0000	Sale	05/14/13	12/23/13	94.03	101.53	0.00	(7.50)	
5.0000	Sale	05/15/13	12/23/13	117.55	126.73	0.00	(9.18)	
4.0000	Sale	05/16/13	12/23/13	94.04	101.59	0.00	(7.55)	
1.0000	Sale	05/17/13	12/23/13	23.51	25.41	0.00	(1.90)	
2.0000	Sale	05/20/13	12/23/13	47.02	50.86	0.00	(3.84)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
AEGON NV PFD		CUSIP Number 007924400						
NON CUM PFD STK								
06 500% PERPETUAL								
7 0000	Sale	05/21/13	12/23/13	164 57	178 01	0 00	(13 44)	
1 0000	Sale	05/22/13	12/23/13	23 51	25 45	0 00	(1 94)	
5 0000	Sale	05/23/13	12/23/13	117 55	127 03	0 00	(9 48)	
6 0000	Sale	05/24/13	12/23/13	141 07	152 73	0 00	(11 66)	
Security Subtotal				918 69	991 64	0 64	(72 31)	
AVIVA PLC (AV) CAPITAL		CUSIP Number 05382A203						
SECURITIES CUM								
8.25% DUE DEC 1, 2041								
4 0000	Sale	10/07/13	12/23/13	108 87	108 68	0 00	0 19	
3 0000	Sale	10/08/13	12/23/13	81 65	81 60	0 00	0 05	
3 0000	Sale	10/09/13	12/23/13	81 66	81 63	0 00	0 03	
2 0000	Sale	10/10/13	12/23/13	54 43	54 39	0 00	0 04	
3 0000	Sale	10/11/13	12/23/13	81 66	81 82	0 00	(0 16)	
1 0000	Sale	10/14/13	12/23/13	27 22	27 20	0 00	0 02	
5 0000	Sale	10/17/13	12/23/13	136 10	135 86	0 00	0 24	
4 0000	Sale	10/18/13	12/23/13	108 88	110 53	0 00	(1 65)	
5 0000	Sale	10/21/13	12/23/13	136 10	138 12	0 00	(2 02)	
2 0000	Sale	10/22/13	12/23/13	54 45	55 22	0 00	(0 77)	
Security Subtotal				871 02	875 05	0 00	(4 03)	
AFFILIATED MANAGERS		CUSIP Number 008252876						
GROUP, INC SR NOTES								
6.375% DUE 8/15/2042								
1 0000	Sale	08/10/12	05/07/13	27 32	25 48	0 00	1 84	
1 0000	Sale	08/10/12	05/08/13	27 35	25 48	0 00	1 87	
2 0000	Sale	08/10/12	06/06/13	50 77	50 95	0 18 (W)	0 00	
2 0000	Sale	08/10/12	06/06/13	50 77	50 95	0 00	(0 18)	
1 0000	Sale	08/13/12	06/06/13	25 39	25 32	0 00	0 07	
1 0000	Sale	07/29/13	12/23/13	22 83	25 20	0 00	(2 37)	
1 0000	Sale	07/30/13	12/23/13	22 83	24 86	0 00	(2 03)	
2 0000	Sale	08/06/13	12/23/13	45 69	49 29	0 00	(3 60)	
1 0000	Sale	07/10/13	12/23/13	22 83	25 07	0 00	(2 24)	
1 0000	Sale	07/15/13	12/23/13	22 83	24 98	0 00	(2 15)	
1 0000	Sale	07/17/13	12/23/13	22 83	25 17	0 00	(2 34)	
2 0000	Sale	07/26/13	12/23/13	45 66	50 46	0 00	(4 80)	
Security Subtotal				387 10	403 21	0 18	(15 93)	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
B&T CORPORATION SERIES D NON-CUMULATIVE 5 85% PERPETUAL		CUSIP Number 054937206						
9 0000	Sale	10/09/13	12/23/13	193 31	191 81	0 00	1 50	
5 0000	Sale	10/10/13	12/23/13	107 39	106 86	0 00	0 53	
7 0000	Sale	10/11/13	12/23/13	150 36	150 46	0 00	(0 10)	
6 0000	Sale	10/14/13	12/23/13	128 88	128 92	0 00	(0 04)	
11 0000	Sale	10/15/13	12/23/13	236 28	235 76	0 00	0 52	
3 0000	Sale	10/16/13	12/23/13	64 44	64 32	0 00	0 12	
	Security Subtotal			880 66	878 13	0 00	2 53	
B&T CORPORATION SER E NON-CUM PFD STK 5 625% PERPETUAL		CUSIP Number 054937404						
2 0000	Sale	07/25/12	06/06/13	48 85	49 79	0 94 (W)	0 00	
6 0000	Sale	11/22/13	12/23/13	122 66	122 94	0 00	(0 28)	
14 0000	Sale	11/25/13	12/23/13	286 20	287 77	0 00	(1 57)	
2 0000	Sale	11/26/13	12/23/13	40 88	41 16	0 00	(0 28)	
12 0000	Sale	12/04/13	12/23/13	245 32	243 42	0 00	1 90	
9 0000	Sale	12/05/13	12/23/13	183 99	181 31	0 00	2 68	
21 0000	Sale	12/18/13	12/23/13	429 32	428 81	0 00	0 51	
21 0000	Sale	12/20/13	12/23/13	429 33	430 55	0 00	(1 22)	
5 0000	Sale	06/04/13	12/23/13	102 21	125 22	0 00	(23 01)	
1 0000	Sale	06/05/13	12/23/13	20 44	24 99	0 00	(4 55)	
7 0000	Sale	06/11/13	12/23/13	143 10	167 94	0 00	(24 84)	
3 0000	Sale	06/12/13	12/23/13	61 32	72 49	0 00	(11 17)	
5 0000	Sale	07/02/13	12/23/13	102 21	120 38	0 00	(18 17)	
2 0000	Sale	07/03/13	12/23/13	40 88	47 93	0 00	(7 05)	
2 0000	Sale	07/05/13	12/23/13	40 88	47 13	0 00	(6 25)	
4 0000	Sale	07/08/13	12/23/13	81 77	93 43	0 00	(11 66)	
2 0000	Sale	07/25/13	12/23/13	40 88	46 62	0 00	(5 74)	
3 0000	Sale	07/26/13	12/23/13	61 32	70 21	0 00	(8 89)	
4 0000	Sale	07/29/13	12/23/13	81 77	93 73	0 00	(11 96)	
2 0000	Sale	07/30/13	12/23/13	40 88	46 69	0 00	(5 81)	
3 0000	Sale	08/08/13	12/23/13	61 32	66 96	0 00	(5 64)	
5 0000	Sale	08/09/13	12/23/13	102 21	111 62	0 00	(9 41)	
5 0000	Sale	08/12/13	12/23/13	102 21	113 05	0 00	(10 84)	
7 0000	Sale	10/16/13	12/23/13	143 10	142 94	0 00	0 16	
2 0000	Sale	11/11/13	12/23/13	40 88	40 95	0 00	(0 07)	

DAVID L. LIEB FOUNDATION

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
B&T CORPORATION		CUSIP Number	054937404					
SER E NON-CUM PFD STK								
5 625% PERPETUAL								
14 0000	Sale	11/19/13	12/23/13	286.20	283.92	0.00	2.28	
29 0000	Sale	11/20/13	12/23/13	592.86	588.90	0.00	3.96	
Security Subtotal				3,932.99	4,090.85	0.94	(156.92)	
BANK OF NEW YORK MELLON		CUSIP Number	064058209					
SERIES C NON-CUM PFD STK								
5 20% PERPETUAL								
4 0000	Sale	09/14/12	06/06/13	97.11	99.35	2.24 (W)	0.00	
24.0000	Sale	06/05/13	12/23/13	486.63	588.00	0.00	(101.37)	
7 0000	Sale	07/09/13	12/23/13	141.93	159.16	0.00	(17.23)	
1.0000	Sale	11/11/13	12/23/13	20.28	20.61	0.00	(0.33)	
Security Subtotal				745.95	867.12	2.24	(118.93)	
BARCLAYS BANK PLC		CUSIP Number	06739H511					
AMERN DEP SHS SER 4								
7.75% PERP								
1 0000	Sale	04/08/13	12/23/13	25.33	25.55	0.00	(0.22)	
1.0000	Sale	04/09/13	12/23/13	25.34	25.54	0.00	(0.20)	
1.0000	Sale	04/10/13	12/23/13	25.34	25.54	0.00	(0.20)	
1 0000	Sale	04/11/13	12/23/13	25.34	25.53	0.00	(0.19)	
1 0000	Sale	04/12/13	12/23/13	25.34	25.57	0.00	(0.23)	
2.0000	Sale	04/16/13	12/23/13	50.68	51.28	0.00	(0.60)	
5 0000	Sale	04/17/13	12/23/13	126.70	128.38	0.00	(1.68)	
6 0000	Sale	04/18/13	12/23/13	152.04	154.79	0.00	(2.75)	
5 0000	Sale	04/19/13	12/23/13	126.70	129.13	0.00	(2.43)	
3.0000	Sale	06/11/13	12/23/13	76.02	75.47	0.00	0.55	
14 0000	Sale	06/12/13	12/23/13	354.76	350.86	0.00	3.90	
5 0000	Sale	06/26/13	12/23/13	126.70	127.06	0.00	(0.36)	
6 0000	Sale	06/27/13	12/23/13	152.04	152.57	0.00	(0.53)	
2 0000	Sale	06/28/13	12/23/13	50.68	50.66	0.00	0.02	
3 0000	Sale	07/01/13	12/23/13	76.02	75.87	0.00	0.15	
4.0000	Sale	07/29/13	12/23/13	101.36	101.48	0.00	(0.12)	
5.0000	Sale	07/30/13	12/23/13	126.70	126.84	0.00	(0.14)	
3 0000	Sale	07/31/13	12/23/13	76.02	76.03	0.00	(0.01)	
6 0000	Sale	08/01/13	12/23/13	152.04	152.24	0.00	(0.20)	
1.0000	Sale	08/05/13	12/23/13	25.34	25.31	0.00	0.03	
4.0000	Sale	08/06/13	12/23/13	101.36	101.35	0.00	0.01	
6 0000	Sale	08/07/13	12/23/13	152.04	151.81	0.00	0.23	

DAVID L LIEB FOUNDATION

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1e Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BARCLAYS BANK PLC		CUSIP Number	06739H511					
AMERN DEP SHS SER 4								
7.75% PERP								
5 0000	Sale	08/08/13	12/23/13	126 70	126 56	0 00	0 14	
1 0000	Sale	08/09/13	12/23/13	25 35	25.32	0 00	0 03	
Security Subtotal				2,305 94	2,310 74	0 00	(4 80)	
BARCLAYS BANK PLC		CUSIP Number	06739H362					
AMERICAN DEP SHRS SRS 5								
8.125% NON CUM PERP								
20 0000	Sale	06/26/13	12/23/13	507 79	508 49	0 00	(0 70)	
2 0000	Sale	08/05/13	12/23/13	50 77	50 77	0 00	0 00	
12 0000	Sale	08/06/13	12/23/13	304 67	304.95	0 00	(0 28)	
4 0000	Sale	08/07/13	12/23/13	101 56	101.65	0 00	(0 09)	
10 0000	Sale	08/15/13	12/23/13	253 90	254 37	0 00	(0 47)	
10 0000	Sale	08/16/13	12/23/13	253 90	254 43	0 00	(0 53)	
2 0000	Sale	08/16/13	12/23/13	50 78	50 90	0 00	(0 12)	
9 0000	Sale	08/22/13	12/23/13	228.51	230.03	0 00	(1 52)	
7 0000	Sale	08/23/13	12/23/13	177.73	179 15	0 00	(1 42)	
15 0000	Sale	09/09/13	12/23/13	380 85	380 01	0 00	0 84	
4 0000	Sale	09/10/13	12/23/13	101 56	101 57	0 00	(0 01)	
2 0000	Sale	10/08/13	12/23/13	50.78	50 75	0 00	0 03	
15 0000	Sale	10/09/13	12/23/13	380 86	380.96	0 00	(0 10)	
Security Subtotal				2,843 66	2,848.03	0 00	(4 37)	
B & T CORPORATION		CUSIP Number	054937602					
NON-CUM PFD STK								
5.20% SERIES F PERPETUAL								
1 0000	Sale	07/05/13	12/23/13	19 06	22.93	0 00	(3 87)	
2 0000	Sale	07/10/13	12/23/13	38.13	45 75	0 00	(7 62)	
3 0000	Sale	07/11/13	12/23/13	57.21	69.39	0 00	(12.18)	
1 0000	Sale	07/12/13	12/23/13	19 07	23 17	0 00	(4.10)	
5 0000	Sale	07/15/13	12/23/13	95.35	115.89	0 00	(20 54)	
3 0000	Sale	07/16/13	12/23/13	57.21	69.24	0 00	(12 03)	
1 0000	Sale	07/17/13	12/23/13	19 08	23 26	0 00	(4.18)	
Security Subtotal				305.11	369.63	0.00	(64 52)	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BOSTON PROPERTIES INC		CUSIP Number	101121408					
SERIES B CUM PRF								
5 250%								
15.0000	Sale	03/19/13	12/23/13	285 81	371 52	0 00	(85 71)	
B&T CORPORATION		CUSIP Number	054937800					
SERIES G NON-CUM PERP								
5 20% PREFERRED STOCK								
2 0000	Sale	04/26/13	06/06/13	48 01	49 62	1 61 (W)	0 00	
11 0000	Sale	04/26/13	12/23/13	209 43	272.91	0 00	(63.48)	
2 0000	Sale	04/26/13	12/23/13	38 07	51 64	0.00 (Y)	(13.57)	
11.0000	Sale	05/01/13	12/23/13	209 43	273 90	0 00	(64.47)	
7 0000	Sale	05/02/13	12/23/13	133.27	174 65	0.00	(41 38)	
12 0000	Sale	05/06/13	12/23/13	228 48	299 84	0 00	(71.36)	
6 0000	Sale	05/15/13	12/23/13	114.24	150 09	0 00	(35 85)	
5.0000	Sale	07/01/13	12/23/13	95 20	115.39	0 00	(20.19)	
2 0000	Sale	07/02/13	12/23/13	38 08	46 56	0.00	(8 48)	
1 0000	Sale	07/03/13	12/23/13	19 04	23 30	0 00	(4.26)	
2.0000	Sale	07/05/13	12/23/13	38.08	45.55	0 00	(7.47)	
3 0000	Sale	07/08/13	12/23/13	57.12	67 68	0 00	(10.56)	
1.0000	Sale	07/09/13	12/23/13	19 04	22.66	0 00	(3 62)	
1 0000	Sale	11/11/13	12/23/13	19 04	19 27	0.00	(0 23)	
7 0000	Sale	12/04/13	12/23/13	133 28	132.16	0.00	1.12	
7.0000	Sale	12/05/13	12/23/13	133.28	131 41	0 00	1 87	
4.0000	Sale	12/06/13	12/23/13	76 16	75.22	0 00	0.94	
3 0000	Sale	12/12/13	12/23/13	57.12	55 95	0.00	1.17	
6 0000	Sale	12/13/13	12/23/13	114 24	112.70	0 00	1.54	
7 0000	Sale	12/16/13	12/23/13	133 29	133.05	0 00	0 24	
Security Subtotal				1,913.90	2,253.55	1 61	(338 04)	
U.S. BANCORP		CUSIP Number	902973791					
SERIES H NON-CUM								
5 15% PFD STK PERPETUAL								
1.0000	Sale	05/01/13	06/06/13	24.45	25 10	0.00	(0 65)	
15 0000	Sale	05/01/13	12/23/13	310 79	376.50	0 00	(65.71)	
14.0000	Sale	05/02/13	12/23/13	290.07	351.47	0.00	(61.40)	
1 0000	Sale	05/03/13	12/23/13	20.73	25 20	0.00	(4.47)	
Security Subtotal				646.04	778 27	0 00	(132.23)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CITIGROUP INC		CUSIP Number	172967358					
FIXED-FLTG SER J NON-CUM								
7 125% PFD STK PERPETUAL								
17 0000	Sale	09/18/13	10/16/13	441.44	424.07	0.00	17.37	
35 0000	Sale	09/19/13	12/23/13	908.58	889.00	0.00	19.58	
1 0000	Sale	09/30/13	12/23/13	25.95	25.13	0.00	0.82	
2 0000	Sale	10/02/13	12/23/13	51.92	50.80	0.00	1.12	
11 0000	Sale	10/03/13	12/23/13	285.56	279.22	0.00	6.34	
16 0000	Sale	10/08/13	12/23/13	415.36	408.07	0.00	7.29	
Security Subtotal				2,128.81	2,076.29	0.00	52.52	
CITIGROUP INC		CUSIP Number	172967341					
FIXED-FLTG RATE NON-CUM								
6.875% SERIES K PFD STK								
14.0000	Sale	10/25/13	11/11/13	348.66	348.57	0.00	0.09	
53 0000	Sale	11/08/13	12/23/13	1,335.77	1,323.69	0.00	12.08	
14 0000	Sale	11/11/13	12/23/13	352.84	348.36	0.00	4.48	
35 0000	Sale	11/19/13	12/23/13	882.13	875.85	0.00	6.28	
10.0000	Sale	12/19/13	12/23/13	252.04	250.53	0.00	1.51	
Security Subtotal				3,171.44	3,147.00	0.00	24.44	
THE CHARLES SCHWAB		CUSIP Number	808513204					
CORP NON-CUM SER B								
6.00% PERPETUAL PFD STK								
3.0000	Sale	05/31/12	05/20/13	81.52	74.09	0.00	7.43	
9 0000	Sale	05/31/12	05/21/13	242.24	222.25	0.00	19.99	
4 0000	Sale	05/31/12	05/22/13	107.61	98.78	0.00	8.83	
2 0000	Sale	11/11/13	12/23/13	45.20	47.38	0.00	(2.18)	
Security Subtotal				476.57	442.50	0.00	34.07	
CBL & ASSOCIATES PROP		CUSIP Number	124830605					
NEWM SER D								
07 375% PERPETUAL								
3.0000	Sale	12/19/13	12/23/13	71.91	70.87	0.00	1.04	
3 0000	Sale	12/20/13	12/23/13	71.92	71.80	0.00	0.12	
Security Subtotal				143.83	142.67	0.00	1.16	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CITIGROUP CAPITAL XVI								
ENHANCED TRUST PFD SEC		CUSIP Number 17310L201						
06 450% DEC 31 2066								
7 0000	Redemption	03/21/13	07/15/13	175 00	178 70	0 00	(3 70)	
6 0000	Redemption	03/22/13	07/15/13	150 00	153 19	0 00	(3 19)	
4 0000	Redemption	03/25/13	07/15/13	100 00	102 39	0 00	(2 39)	
2 0000	Redemption	03/26/13	07/15/13	50 00	50 45	0 00	(0 45)	
1 0000	Redemption	04/08/13	07/15/13	25 00	25 28	0 00	(0 28)	
1 0000	Redemption	04/09/13	07/15/13	25 00	25 26	0 00	(0 26)	
2 0000	Redemption	04/10/13	07/15/13	50 00	50 53	0 00	(0 53)	
1 0000	Redemption	04/11/13	07/15/13	25 00	25 25	0 00	(0 25)	
2 0000	Redemption	04/12/13	07/15/13	50 00	50 47	0 00	(0 47)	
3 0000	Redemption	04/15/13	07/15/13	75 00	75 99	0 00	(0 99)	
3 0000	Redemption	04/16/13	07/15/13	75 00	76 11	0 00	(1 11)	
6 0000	Redemption	04/17/13	07/15/13	150 01	152 32	0 00	(2 31)	
Security Subtotal				950 01	965 94	0 00	(15 93)	
CREDIT SUISSE CLD								
TIER 1 CAPITAL NOTES		CUSIP Number 225448208						
7 90% PERP NON CUM								
1 0000	Redemption	11/09/12	03/28/13	25 01	25 91	0 00	(0 90)	
CITIGROUP INC								
NON-CUM PFD SERIES C		CUSIP Number 172967366						
05 800% PERPETUAL								
4 0000	Sale	03/20/13	06/06/13	98 67	99 58	0 91 (W)	0 00	
4 0000	Sale	03/20/13	08/13/13	89 61	99 58	0 00	(9 97)	
11 0000	Sale	03/20/13	08/14/13	243 15	273 84	0 00	(30 69)	
2 0000	Sale	03/20/13	08/15/13	43 13	49 79	0 00	(6 66)	
10 0000	Sale	03/20/13	12/18/13	210 00	248 95	0 00	(38 95)	
1 0000	Sale	03/20/13	12/18/13	21 01	25 69	0 00 (Y)	(4 68)	
3 0000	Sale	03/20/13	12/19/13	62 92	77 04	0 00 (Y)	(14 12)	
16 0000	Sale	04/01/13	12/19/13	335 63	399 88	0 00	(64 25)	
1 0000	Sale	04/25/13	12/19/13	20 98	25 29	0 00	(4 31)	
4 0000	Sale	04/25/13	12/20/13	83 99	101 17	0 00	(17 18)	
4 0000	Sale	04/26/13	12/20/13	83 99	101 50	0 00	(17 51)	
21 0000	Sale	04/26/13	12/23/13	446 66	532 87	0 00	(86 21)	
8 0000	Sale	04/29/13	12/23/13	170 15	202 98	0 00	(32 83)	
4 0000	Sale	05/20/13	12/23/13	85 07	101 82	0 00	(16 75)	
7 0000	Sale	05/21/13	12/23/13	148 89	178 96	0 00	(30 07)	
5 0000	Sale	05/22/13	12/23/13	106 35	127 70	0 00	(21 35)	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CITIGROUP INC								
NON-CUM PFD SERIES C		CUSIP Number		172967366				
05 800% PERPETUAL								
10 0000	Sale	06/03/13	12/23/13	212 70	248 68	0.00	(35 98)	
1 0000	Sale	06/04/13	12/23/13	21 27	24 98	0.00	(3 71)	
6 0000	Sale	06/25/13	12/23/13	127 62	138 32	0.00	(10 70)	
7 0000	Sale	06/26/13	12/23/13	148 89	166 26	0.00	(17 37)	
1 0000	Sale	11/11/13	12/23/13	21 27	20 97	0.00	0 30	
Security Subtotal				2,781 95	3,245 85	0.91	(462 99)	
COMMONWEALTH REIT								
CONV NEW MONEY SER D		CUSIP Number		203233408				
06 500% PERPETUAL								
4 0000	Sale	12/20/13	12/23/13	82 81	83 00	0.00	(0 19)	
CITIGROUP CAPITAL XIII								
7 875% FIX/FLT RATE		CUSIP Number		173080201				
TRST PFD DUE OCT 30 2040								
16 0000	Sale	08/28/13	12/23/13	436 96	440.43	0.00	(3 47)	
5 0000	Sale	09/09/13	12/23/13	136 55	136 87	0.00	(0.32)	
4 0000	Sale	09/10/13	12/23/13	109 24	109 73	0.00	(0.49)	
7 0000	Sale	09/11/13	12/23/13	191 17	191.17	0.00	0 00	
9 0000	Sale	10/17/13	12/23/13	245 79	248 00	0.00	(2.21)	
7 0000	Sale	10/18/13	12/23/13	191 18	193 97	0.00	(2 79)	
Security Subtotal				1,310 89	1,320 17	0.00	(9.28)	
COLONY FINCL CO (CLNY)								
SER A CUM REDMBLE PFD		CUSIP Number		19624R205				
STK 8.50% PERPETUAL								
2 0000	Sale	07/18/12	06/06/13	52.99	51 50	0.00	1.49	
CORP OFFICE PROP TR								
REITS SERIES L		CUSIP Number		22002T884				
7 375% PERPETUAL								
4.0000	Sale	06/29/12	06/06/13	102 59	100.67	0.00	1.92	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
COMMONWEALTH REIT SENIOR NOTES		CUSIP Number 203233705						
5.75% DUE 8/01/2042								
4.0000	Sale	07/23/12	02/28/13	96.85	96.67	0.00	0.18	
1.0000	Sale	07/23/12	03/01/13	24.12	24.17	0.00	(0.05)	
1.0000	Sale	07/23/12	03/04/13	24.13	24.17	0.00	(0.04)	
1.0000	Sale	07/23/12	03/05/13	23.99	24.17	0.00	(0.18)	
1.0000	Sale	07/23/12	03/06/13	24.07	24.17	0.00	(0.10)	
2.0000	Sale	07/23/12	03/28/13	49.31	48.34	0.00	0.97	
1.0000	Sale	07/23/12	04/01/13	24.63	24.17	0.00	0.46	
1.0000	Sale	07/23/12	04/02/13	24.59	24.17	0.00	0.42	
1.0000	Sale	07/23/12	04/04/13	24.60	24.17	0.00	0.43	
1.0000	Sale	07/23/12	04/10/13	24.69	24.17	0.00	0.52	
2.0000	Sale	07/23/12	04/11/13	49.37	48.33	0.00	1.04	
1.0000	Sale	07/23/12	04/12/13	24.75	24.17	0.00	0.58	
1.0000	Sale	07/23/12	04/16/13	24.60	24.16	0.00	0.44	
Security Subtotal				439.70	435.03	0.00	4.67	
CAPITAL ONE FINANCIAL CORP SERIES B NON-CUM 6.0% PERPETUAL PFD STK		CUSIP Number 14040H402						
19.0000	Sale	08/14/12	01/16/13	479.76	471.39	0.00	8.37	
3.0000	Sale	08/14/12	01/30/13	75.25	74.43	0.00	0.82	
5.0000	Sale	09/05/12	01/30/13	125.42	124.74	0.00	0.68	
6.0000	Sale	09/05/12	01/31/13	150.57	149.68	0.00	0.89	
4.0000	Sale	09/06/12	01/31/13	100.39	99.80	0.00	0.59	
6.0000	Sale	09/06/12	06/06/13	148.67	149.70	1.03 (W)	0.00	
4.0000	Sale	06/05/13	12/23/13	86.36	99.75	0.00	(13.39)	
7.0000	Sale	06/20/13	12/23/13	151.13	170.15	0.00	(19.02)	
1.0000	Sale	06/21/13	12/23/13	21.60	24.40	0.00	(2.80)	
Security Subtotal				1,339.15	1,364.04	1.03	(23.86)	
COMCAST CORPORATION NOTES		CUSIP Number 20030N606						
5.00% DUE 12/15/2061								
4.0000	Sale	12/03/12	06/06/13	100.11	98.64	0.00	1.47	
6.0000	Sale	12/03/12	06/11/13	148.21	147.97	0.00	0.24	
6.0000	Sale	12/03/12	06/17/13	148.05	147.97	0.00	0.08	
3.0000	Sale	12/03/12	06/18/13	73.90	73.98	0.00	(0.08)	
1.0000	Sale	12/03/12	06/19/13	24.63	24.66	0.00	(0.03)	
4.0000	Sale	12/03/12	06/24/13	91.66	98.64	0.00	(6.98)	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
COMCAST CORPORATION NOTES 5 00% DUE 12/15/2061		CUSIP Number 20030N606						
6 0000	Sale	12/03/12	06/25/13	139 11	147 97	0 00	(8 86)	
5 0000	Sale	12/03/12	06/26/13	117 40	123 31	0 00	(5 91)	
2 0000	Sale	12/03/12	06/27/13	47 31	49 32	0 00	(2 01)	
1 0000	Sale	12/06/12	06/27/13	23 66	25 29	0 00	(1 63)	
2 0000	Sale	12/06/12	08/05/13	47 05	50 57	0 00	(3 52)	
8 0000	Sale	12/06/12	08/06/13	186 58	202 29	0 00	(15 71)	
3 0000	Sale	12/06/12	08/07/13	70 14	75 86	0 00	(5 72)	
3 0000	Sale	12/06/12	08/08/13	70 19	75 86	0 00	(5 67)	
3 0000	Sale	12/06/12	08/09/13	70 27	75 86	0 00	(5 59)	
4 0000	Sale	12/06/12	08/13/13	94 12	101 15	0 00	(7 03)	
5 0000	Sale	12/06/12	08/14/13	115 66	126 43	0 00	(10 77)	
10 0000	Sale	12/06/12	08/15/13	224 32	252 87	0 00	(28 55)	
Security Subtotal				1,792.37	1,898 64	0 00	(106 27)	
DIGITAL REALTY TRUST INC SER F CUM REDMBLE PFD STK 6.625% PERPETUAL		CUSIP Number 253868806						
7 0000	Sale	07/08/13	12/23/13	147.91	170 92	0.00	(23 01)	
DR CORP SER J CUM PFD STK		CUSIP Number 23317H607						
2 0000	Sale	10/09/12	06/06/13	49.99	50 20	0 00	(0 21)	
1 0000	Sale	04/09/13	12/23/13	22.09	25 40	0 00	(3 31)	
1 0000	Sale	04/08/13	12/23/13	22.09	25 35	0 00	(3 26)	
1 0000	Sale	04/10/13	12/23/13	22.09	25 44	0 00	(3 35)	
1 0000	Sale	04/11/13	12/23/13	22 09	25 38	0 00	(3 29)	
1 0000	Sale	04/12/13	12/23/13	22.09	25 37	0 00	(3 28)	
1 0000	Sale	04/16/13	12/23/13	22.09	25 48	0 00	(3 39)	
2 0000	Sale	04/18/13	12/23/13	44 18	51 00	0 00	(6 82)	
2 0000	Sale	04/19/13	12/23/13	44 18	50 94	0 00	(6 76)	
3 0000	Sale	04/22/13	12/23/13	66 27	76 44	0 00	(10 17)	
1 0000	Sale	04/23/13	12/23/13	22 09	25 48	0 00	(3 39)	
1 0000	Sale	04/25/13	12/23/13	22 09	25 40	0 00	(3 31)	
1 0000	Sale	04/29/13	12/23/13	22 09	25 63	0 00	(3 54)	
4 0000	Sale	04/30/13	12/23/13	88 37	103 19	0 00	(14 82)	
Security Subtotal				491.80	560.70	0.00	(68 90)	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TE ENERGY CO SER C JUNIOR SUB DEBENTURES 05 250% DEC 01 2062		CUSIP Number 233331701						
2 0000	Sale	09/26/12	06/06/13	49 59	49 98	0 00	(0 39)	
2 0000	Sale	09/26/12	06/12/13	47 97	49 98	0 00	(2 01)	
1 0000	Sale	09/26/12	06/13/13	23 92	24 99	0 00	(1 07)	
1 0000	Sale	09/26/12	06/14/13	23 97	24 99	0 00	(1 02)	
5 0000	Sale	09/26/12	06/17/13	120 57	124 93	0 00	(4 36)	
7 0000	Sale	10/01/12	06/17/13	168 80	177 80	0 00	(9 00)	
Security Subtotal				434 82	452 67	0 00	(17 85)	
DUKE ENERGY CORP JUNIOR SUB DEBENTURES 5 125% DUE 1/15/2073		CUSIP Number 26441C303						
3 0000	Sale	01/14/13	06/06/13	74 00	75 60	0 00	(1 60)	
10 0000	Sale	01/14/13	06/19/13	243 76	252 00	0 00	(8 24)	
6 0000	Sale	01/14/13	06/26/13	142 83	151 20	0 00	(8 37)	
1 0000	Sale	01/15/13	06/26/13	23 81	25 20	0 00	(1 39)	
2 0000	Sale	01/15/13	07/17/13	47 84	50 40	0 00	(2 56)	
5 0000	Sale	01/15/13	07/18/13	118 97	126 00	0 00	(7 03)	
11 0000	Sale	01/16/13	07/18/13	261 74	276 40	0 00	(14 66)	
1 0000	Sale	01/16/13	07/19/13	23 60	25 17	0 00	(1 57)	
18 0000	Sale	01/16/13	08/05/13	402 23	453 11	0 00	(50 88)	
5 0000	Sale	01/16/13	08/13/13	106 04	125 73	0 00	(19 69)	
8 0000	Sale	01/16/13	08/14/13	162 35	201 16	0 00	(38 81)	
2 0000	Sale	01/16/13	08/15/13	39 09	50 29	0 00	(11 20)	
5 0000	Sale	02/05/13	08/15/13	97 74	124 45	0 00	(26 71)	
7 0000	Sale	02/05/13	12/23/13	142 65	174 23	0 00	(31 58)	
19 0000	Sale	03/08/13	12/23/13	387 22	477 74	0 00	(90 52)	
Security Subtotal				2,273 87	2,588 68	0 00	(314 81)	
DIGITAL REALTY TRUST INC SER G CUM REDMABLE PFD S 5 875% PERPETUAL		CUSIP Number 253868889						
19 0000	Sale	04/04/13	12/23/13	348 07	470 10	0 00	(122 03)	
2 0000	Sale	07/03/13	12/23/13	36 64	47 02	0 00	(10 38)	
3 0000	Sale	07/05/13	12/23/13	54 96	68 92	0 00	(13 96)	
2 0000	Sale	07/08/13	12/23/13	36 64	45 63	0 00	(8 99)	
1 0000	Sale	11/11/13	12/23/13	18 32	19 03	0 00	(0 71)	
Security Subtotal				494 63	650 70	0 00	(156 07)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
INTERGY LA LLC SER PFD STK		CUSIP Number 29364W504						
1.0000	Sale	07/05/12	01/23/13	26.10	25.50	0.00	0.60	
9.0000	Sale	07/05/12	01/24/13	233.35	229.50	0.00	3.85	
1.0000	Sale	07/05/12	01/25/13	25.87	25.50	0.00	0.37	
1.0000	Sale	07/05/12	02/01/13	25.86	25.50	0.00	0.36	
2.0000	Sale	07/05/12	02/04/13	51.64	51.00	0.00	0.64	
2.0000	Sale	07/05/12	02/05/13	51.49	51.00	0.00	0.49	
2.0000	Sale	07/05/12	02/06/13	51.44	51.00	0.00	0.44	
1.0000	Sale	07/05/12	02/07/13	25.62	25.50	0.00	0.12	
2.0000	Sale	07/05/12	02/08/13	51.20	51.00	0.00	0.20	
2.0000	Sale	07/05/12	02/12/13	51.26	51.00	0.00	0.26	
2.0000	Sale	07/05/12	02/13/13	51.27	51.00	0.00	0.27	
4.0000	Sale	07/05/12	02/14/13	102.38	102.00	0.00	0.38	
1.0000	Sale	07/05/12	05/07/13	26.61	25.50	0.00	1.11	
1.0000	Sale	07/05/12	05/08/13	26.49	25.50	0.00	0.99	
1.0000	Sale	07/05/12	05/09/13	26.46	25.50	0.00	0.96	
5.0000	Sale	07/05/12	05/13/13	130.49	127.50	0.00	2.99	
5.0000	Sale	07/05/12	05/14/13	129.13	127.50	0.00	1.63	
4.0000	Sale	07/05/12	05/15/13	102.87	102.00	0.00	0.87	
3.0000	Sale	07/05/12	06/06/13	74.33	76.50	0.00	(2.17)	
2.0000	Sale	07/05/12	06/20/13	46.67	51.00	0.00	(4.33)	
11.0000	Sale	07/18/12	06/20/13	256.71	286.93	0.00	(30.22)	
3.0000	Sale	08/30/12	08/16/13	62.96	80.29	0.00	(17.33)	
4.0000	Sale	08/31/12	08/16/13	83.96	107.39	0.00	(23.43)	
2.0000	Sale	09/05/12	08/19/13	40.85	53.79	0.00	(12.94)	
4.0000	Sale	09/04/12	08/19/13	81.68	107.56	0.00	(25.88)	
Security Subtotal				1,836.69	1,936.46	0.00	(99.77)	
INTERGY ARKANSAS INC FIRST MORTGAGE BONDS 04 900% DEC 01 2052		CUSIP Number 29364D761						
3.0000	Sale	12/07/12	06/06/13	72.32	75.16	0.00	(2.84)	
2.0000	Sale	12/07/12	06/25/13	42.05	50.11	0.00	(8.06)	
6.0000	Sale	12/07/12	06/26/13	126.88	150.32	0.00	(23.44)	
2.0000	Sale	01/09/13	06/26/13	42.30	50.31	0.00	(8.01)	
8.0000	Sale	01/09/13	06/27/13	172.08	201.24	0.00	(29.16)	
3.0000	Sale	01/09/13	06/28/13	64.72	75.46	0.00	(10.74)	
2.0000	Sale	01/10/13	07/01/13	43.56	50.60	0.00	(7.04)	
4.0000	Sale	01/10/13	07/02/13	88.49	101.21	0.00	(12.72)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ENTERGY ARKANSAS INC FIRST MORTGAGE BONDS 04 900% DEC 01 2052		CUSIP Number	29364D761					
1 0000	Sale	01/10/13	07/05/13	21.68	25.30	0 00	(3.62)	
4 0000	Sale	01/10/13	07/08/13	85 50	101.21	0 00	(15 71)	
1 0000	Sale	01/10/13	07/09/13	21 40	25 30	0 00	(3 90)	
9 0000	Sale	01/10/13	07/18/13	190 80	227.73	0 00	(36 93)	
3 0000	Sale	01/11/13	07/18/13	63.60	76.40	0 00	(12 80)	
4 0000	Sale	01/11/13	07/19/13	84 53	101 87	0.00	(17 34)	
2 0000	Sale	01/11/13	07/22/13	42.44	50 93	0 00	(8 49)	
5 0000	Sale	01/14/13	09/04/13	98.25	127.59	0 00	(29 34)	
3 0000	Sale	01/14/13	09/05/13	59 00	76 55	0.00	(17 55)	
3 0000	Sale	01/15/13	09/05/13	59 00	76 52	0 00	(17.52)	
3 0000	Sale	01/16/13	09/05/13	58.99	76 42	0.00	(17 43)	
1 0000	Sale	01/23/13	09/05/13	19.66	25 39	0 00	(5 73)	
5 0000	Sale	01/24/13	09/05/13	98 35	126.45	0.00	(28 10)	
10 0000	Sale	01/24/13	10/08/13	195 99	252 91	0 00	(56 92)	
2 0000	Sale	01/24/13	10/09/13	38.91	50 58	0.00	(11 67)	
1 0000	Sale	04/26/13	10/09/13	19.46	25 40	0.00	(5 94)	
9 0000	Sale	04/26/13	10/10/13	174.05	228 57	0 00	(54.52)	
11 0000	Sale	04/26/13	12/23/13	220.76	279 37	0 00	(58 61)	
Security Subtotal				2,204 77	2,708 90	0.00	(504 13)	
ENTERGY ARKANSAS INC NEW MONEY 04.750% JUN 01 2063		CUSIP Number	29364D753					
12 0000	Sale	05/29/13	12/23/13	230.99	296 40	0 00	(65.41)	
9 0000	Sale	11/11/13	12/23/13	173 25	172 35	0.00	0 90	
Security Subtotal				404.24	468.75	0.00	(64.51)	
FIRST NIAGARA FINCL GRP (FNFG)FIXED-TO-FLTG RATE 8 625% PRPTUAL PFD SER B		CUSIP Number	33582V207					
5 0000	Sale	08/16/13	12/23/13	140.93	138 77	0 00	2.16	
3 0000	Sale	08/19/13	12/23/13	84.56	83.48	0.00	1.08	
2 0000	Sale	08/20/13	12/23/13	56.37	55.79	0 00	0 58	
1 0000	Sale	09/04/13	12/23/13	28 18	28.07	0.00	0 11	
1.0000	Sale	09/09/13	12/23/13	28 18	28.35	0 00	(0.17)	
2.0000	Sale	09/10/13	12/23/13	56.38	56.62	0.00	(0 24)	
1 0000	Sale	09/11/13	12/23/13	28 19	28.22	0 00	(0 03)	
3 0000	Sale	09/12/13	12/23/13	84 56	84.95	0 00	(0 39)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FIRST NIAGARA FINCL GRP (FNFG)FIXED-TO-FLTG RATE 8 625% PRPTUAL PFD SER B		CUSIP Number	33582V207					
2.0000	Sale	09/13/13	12/23/13	56 38	56 76	0 00	(0 38)	
2 0000	Sale	09/16/13	12/23/13	56 38	56 93	0 00	(0 55)	
2 0000	Sale	09/17/13	12/23/13	56 39	56 58	0 00	(0 19)	
5 0000	Sale	08/15/13	12/23/13	140 93	137 34	0 00	3 59	
	Security Subtotal			817 43	811 86	0 00	5.57	
FIRST REPUBLIC BANK (FRC) PFD STK SERIES A 6 70% NON CUM PERP		CUSIP Number	33616C209					
3 0000	Sale	01/31/12	01/16/13	82 90	75 24	0 00	7.66	
4 0000	Sale	01/31/12	01/22/13	110 25	100 31	0 00	9.94	
6 0000	Sale	01/31/12	01/23/13	165 63	150.47	0 00	15.16	
3.0000	Sale	01/31/12	01/24/13	82 85	75 24	0 00	7.61	
2.0000	Sale	01/31/12	01/25/13	54 89	50.16	0 00	4.73	
1 0000	Sale	01/31/12	01/30/13	26 86	25 08	0 00	1.78	
	Security Subtotal			523.38	476 50	0.00	46 88	
ENTERGY LOUISIANA LLC NEW MONEY 06 000% MAR 15 2040		CUSIP Number	29364W306					
8 0000	Sale	06/18/13	12/23/13	197 20	203 20	0 00	(6.00)	
1.0000	Sale	11/11/13	12/23/13	24 66	24 44	0 00	0 22	
	Security Subtotal			221.86	227 64	0 00	(5.78)	
ENTERGY LOUISIANA LLC NEW MONEY 04.700% JUN 01 2063		CUSIP Number	29364W603					
1.0000	Sale	07/31/13	11/11/13	18 93	19 65	0 00	(0.72)	
1.0000	Sale	07/31/13	12/23/13	19.35	19.65	0 00	(0.30)	
1.0000	Sale	08/01/13	12/23/13	19.35	19 40	0 00	(0.05)	
1 0000	Sale	08/02/13	12/23/13	19.36	19.57	0 00	(0.21)	
2.0000	Sale	08/05/13	12/23/13	38.72	39.22	0 00	(0 50)	
2.0000	Sale	08/07/13	12/23/13	38.72	38 88	0 00	(0.16)	
1.0000	Sale	08/08/13	12/23/13	19.36	19.49	0 00	(0.13)	
1.0000	Sale	08/09/13	12/23/13	19 36	19 59	0 00	(0 23)	
1.0000	Sale	08/12/13	12/23/13	19.36	19.89	0 00	(0.53)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ENERGY LOUISIANA LLC NEW MONEY 04 700% JUN 01 2063		CUSIP Number	29364W603					
1 0000	Sale	08/13/13	12/23/13	19 36	19 59	0 00	(0 23)	
2 0000	Sale	08/14/13	12/23/13	38.73	38 40	0 00	0 33	
Security Subtotal				270.60	273 33	0 00	(2 73)	
GLIMCHER REALTY TRUST SER H PFD STK		CUSIP Number	379302508					
2 0000	Sale	10/10/13	12/23/13	47 81	47 13	0 00	0 68	
2 0000	Sale	10/15/13	12/23/13	47.82	47 01	0 00	0 81	
2 0000	Sale	10/16/13	12/23/13	47 81	47 22	0 00	0.59	
3 0000	Sale	10/17/13	12/23/13	71 73	70 34	0 00	1.39	
4 0000	Sale	10/18/13	12/23/13	95 64	94 71	0 00	0 93	
2 0000	Sale	10/21/13	12/23/13	47.82	47 26	0 00	0.56	
2 0000	Sale	10/22/13	12/23/13	47 82	47 23	0 00	0 59	
1.0000	Sale	10/23/13	12/23/13	23 92	23.59	0 00	0.33	
Security Subtotal				430 37	424 49	0 00	5 88	
GENERAL ELEC CAP CORP CORP SENIOR NOTES 04.875% OCT 15 2052		CUSIP Number	369622428					
3 0000	Sale	10/03/12	06/06/13	73 28	74 11	0 00	(0.83)	
THE GOLDMAN SACHS GRP INC. NON-CUM PFD STK 5.95% SERIES I PERPETUAL		CUSIP Number	38145G209					
3 0000	Sale	10/18/12	06/06/13	74 09	75 37	0 00	(1.28)	
GENERAL ELEC CAP CORP SENIOR NOTES 4.875% DUE 1/29/2053		CUSIP Number	369622410					
1 0000	Sale	01/23/13	06/06/13	24.32	24.70	0 38 (W)	0 00	
2 0000	Sale	01/23/13	06/06/13	48 63	49 41	0 78 (W)	0 00	
74.0000	Sale	01/23/13	12/23/13	1,511.79	1,827 92	0 00	(316.13)	
1.0000	Sale	02/19/13	12/23/13	20.42	24 40	0 00 (Y)	(3 98)	
2.0000	Sale	02/21/13	12/23/13	40 86	48.13	0 00 (Y)	(7 27)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GENERAL ELEC CAP CORP SENIOR NOTES		CUSIP Number 369622410						
4 875% DUE 1/29/2053								
2 0000	Sale	07/05/13	12/23/13	40.86	47.35	0.00	(6.49)	
4 0000	Sale	07/08/13	12/23/13	81.73	93.72	0.00	(11.99)	
Security Subtotal				1,768.61	2,115.63	1.16	(345.86)	
FIRST REPUBLIC BANK NON-CUM PFD STOCK		CUSIP Number 33616C886						
5 50% SERIES D PERPETUAL								
2 0000	Sale	05/03/13	06/03/13	49.43	50.00	0.57 (W)	0.00	
2 0000	Sale	05/03/13	06/14/13	45.61	50.00	4.39 (W)	0.00	
6.0000	Sale	05/03/13	06/14/13	136.85	149.99	13.14 (W)	0.00	
1 0000	Sale	05/03/13	06/14/13	22.81	25.28	2.47 (WY)	0.00	
1.0000	Sale	05/03/13	06/17/13	22.93	25.29	2.36 (WY)	0.00	
2 0000	Sale	05/03/13	06/17/13	45.87	54.61	8.74 (WY)	0.00	
2 0000	Sale	05/03/13	06/17/13	45.88	54.70	8.82 (WY)	0.00	
4 0000	Sale	05/03/13	06/17/13	91.76	109.40	17.64 (WY)	0.00	
4.0000	Sale	05/03/13	07/18/13	91.42	117.87	0.00 (Y)	(26.45)	
8 0000	Sale	05/06/13	07/18/13	182.84	200.01	0.00	(17.17)	
1 0000	Sale	05/23/13	07/18/13	22.86	25.06	0.00	(2.20)	
1 0000	Sale	05/03/13	07/18/13	22.85	27.63	0.00 (Y)	(4.78)	
1.0000	Sale	05/03/13	07/18/13	22.85	27.47	0.00 (Y)	(4.62)	
2 0000	Sale	05/03/13	07/18/13	45.71	58.95	0.00 (Y)	(13.24)	
2.0000	Sale	05/03/13	07/18/13	45.71	59.03	0.00 (Y)	(13.32)	
Security Subtotal				895.38	1,035.29	58.13	(81.78)	
THE GOLDMAN SACHS GROUP FIXED TO FLTG NON CUM		CUSIP Number 38145G308						
5 50% SERIES J PERPETUAL								
6.0000	Sale	04/19/13	06/06/13	149.64	153.00	0.00	(3.36)	
17 0000	Sale	04/19/13	09/04/13	379.96	433.50	0.00	(53.54)	
6.0000	Sale	04/19/13	09/05/13	133.33	153.00	0.00	(19.67)	
4 0000	Sale	04/19/13	09/06/13	88.69	102.00	0.00	(13.31)	
2.0000	Sale	04/19/13	11/11/13	45.29	51.00	0.00	(5.71)	
37 0000	Sale	04/19/13	11/19/13	829.02	943.50	0.00	(114.48)	
15.0000	Sale	04/19/13	12/04/13	339.31	382.50	0.00	(43.19)	
6.0000	Sale	04/22/13	12/04/13	135.73	153.90	0.00	(18.17)	
19.0000	Sale	04/22/13	12/17/13	425.21	487.35	0.00	(62.14)	
15.0000	Sale	04/22/13	12/23/13	337.49	384.75	0.00	(47.26)	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
THE GOLDMAN SACHS GROUP FIXED TO FLTG NON CUM 5.50% SERIES J PERPETUAL		CUSIP Number	38145G308					
68.0000	Sale	04/24/13	12/23/13	1,529.97	1,742.77	0.00	(212.80)	
33.0000	Sale	04/26/13	12/23/13	742.50	843.51	0.00	(101.01)	
Security Subtotal				5,136.14	5,830.78	0.00	(694.64)	
GENERAL ELECTRIC CAPITAL CORP SENIOR NOTES 4.70% DUE MAY16,2053		CUSIP Number	369622394					
3.0000	Sale	05/10/13	06/06/13	71.72	75.06	3.34 (W)	0.00	
76.0000	Sale	05/10/13	12/23/13	1,490.33	1,901.44	0.00	(411.11)	
3.0000	Sale	05/10/13	12/23/13	58.83	74.78	0.00 (Y)	(15.95)	
14.0000	Sale	06/05/13	12/23/13	274.54	333.40	0.00	(58.86)	
Security Subtotal				1,895.42	2,384.68	3.34	(485.92)	
MAC CAPITAL TRUST I SER PFD STK		CUSIP Number	361860208					
7.0000	Sale	09/04/13	12/23/13	185.21	184.86	0.00	0.35	
15.0000	Sale	09/05/13	12/23/13	396.89	396.57	0.00	0.32	
5.0000	Sale	09/06/13	12/23/13	132.29	132.19	0.00	0.10	
2.0000	Sale	09/09/13	12/23/13	52.92	52.83	0.00	0.09	
4.0000	Sale	09/10/13	12/23/13	105.83	105.89	0.00	(0.06)	
3.0000	Sale	11/19/13	12/23/13	79.38	79.48	0.00	(0.10)	
3.0000	Sale	11/20/13	12/23/13	79.38	79.62	0.00	(0.24)	
3.0000	Sale	11/21/13	12/23/13	79.38	79.81	0.00	(0.43)	
8.0000	Sale	11/22/13	12/23/13	211.68	214.52	0.00	(2.84)	
10.0000	Sale	12/17/13	12/23/13	264.60	267.37	0.00	(2.77)	
5.0000	Sale	12/18/13	12/23/13	132.31	134.05	0.00	(1.74)	
Security Subtotal				1,719.87	1,727.19	0.00	(7.32)	
HARTFORD FINL SVCS GRP SER PFD STK		CUSIP Number	416518504					
4.0000	Sale	04/17/13	12/23/13	115.07	121.32	0.00	(6.25)	
3.0000	Sale	04/18/13	12/23/13	86.30	91.02	0.00	(4.72)	
5.0000	Sale	04/19/13	12/23/13	143.84	151.68	0.00	(7.84)	
6.0000	Sale	05/20/13	12/23/13	172.61	186.07	0.00	(13.46)	
3.0000	Sale	05/21/13	12/23/13	86.30	92.87	0.00	(6.57)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
HARTFORD FINL SVCS GRP		CUSIP Number	416518504					
SER								
PFD STK								
3 0000	Sale	05/22/13	12/23/13	86 31	92 77	0 00	(6 46)	
1 0000	Sale	05/23/13	12/23/13	28 77	30 84	0 00	(2 07)	
Security Subtotal				719 20	766 57	0 00	(47 37)	
GOLDMAN SACHS GROUP INC		CUSIP Number	38144G804					
NEW MONEY SER D								
FLT% PERPETUAL								
3 0000	Sale	01/18/13	06/06/13	69 98	63 60	0 00	6 38	
1 0000	Sale	01/18/13	11/11/13	19 61	21 20	0 00	(1 59)	
17 0000	Sale	01/18/13	12/17/13	304 80	360 40	0 00	(55 60)	
2 0000	Sale	01/18/13	12/23/13	36 61	42 40	0 00	(5 79)	
2 0000	Sale	01/23/13	12/23/13	36 61	42 42	0 00	(5 81)	
1 0000	Sale	01/25/13	12/23/13	18 31	21 30	0 00	(2 99)	
12 0000	Sale	02/14/13	12/23/13	219 72	258 12	0 00	(38 40)	
7 0000	Sale	02/15/13	12/23/13	128 17	150 54	0 00	(22 37)	
7 0000	Sale	03/08/13	12/23/13	128 17	157 14	0 00	(28 97)	
13 0000	Sale	03/11/13	12/23/13	238 03	293 07	0 00	(55 04)	
3 0000	Sale	05/17/13	12/23/13	54 93	71 72	0 00	(16 79)	
7 0000	Sale	05/20/13	12/23/13	128 17	167 53	0 00	(39 36)	
7 0000	Sale	05/21/13	12/23/13	128 17	167 32	0 00	(39 15)	
1 0000	Sale	05/22/13	12/23/13	18 31	23 96	0 00	(5 65)	
Security Subtotal				1,529 59	1,840 72	0 00	(311 13)	
HSBC USA INC SER F		CUSIP Number	40428H805					
3M LIBOR +75, 3 5% FLOOR								
NON-CUM FLOAT RATE PFD								
4 0000	Sale	02/15/13	06/06/13	90 87	89 85	0 00	1 02	
1 0000	Sale	02/19/13	11/11/13	19 34	22 50	0 00	(3 16)	
3 0000	Sale	02/19/13	11/19/13	57 49	67 49	0 00	(10 00)	
1 0000	Sale	02/19/13	11/20/13	18 86	22 50	0 00	(3 64)	
2 0000	Sale	02/20/13	11/20/13	37 72	45 04	0 00	(7 32)	
4 0000	Sale	02/21/13	11/20/13	75 46	89 77	0 00	(14 31)	
2 0000	Sale	02/22/13	11/20/13	37 73	45 08	0 00	(7 35)	
5 0000	Sale	02/22/13	11/21/13	95 11	112 71	0 00	(17 60)	
3 0000	Sale	03/12/13	11/21/13	57 06	67 59	0 00	(10 53)	
11 0000	Sale	03/14/13	11/21/13	209 25	247 70	0 00	(38 45)	
3 0000	Sale	03/15/13	11/21/13	57 08	67 50	0 00	(10 42)	
4 0000	Sale	03/15/13	12/04/13	76 12	90 00	0 00	(13 88)	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
H SBC USA INC SER F 3M LIBOR +75, 3 5% FLOOR NON-CUM FLOAT RATE PFD		CUSIP Number 40428H805						
1 0000	Sale	04/16/13	12/04/13	19 03	22 55	0 00	(3 52)	
2 0000	Sale	04/17/13	12/04/13	38 06	45 09	0 00	(7 03)	
16 0000	Sale	04/18/13	12/04/13	304 51	360 06	0 00	(55 55)	
2 0000	Sale	04/18/13	12/18/13	37 44	45 01	0 00	(7 57)	
3 0000	Sale	04/18/13	12/19/13	56 04	67 51	0 00	(11 47)	
2 0000	Sale	04/19/13	12/19/13	37 37	44 83	0 00	(7 46)	
12 0000	Sale	04/19/13	12/20/13	221 47	268 99	0 00	(47 52)	
3 0000	Sale	04/22/13	12/20/13	55 37	67 51	0 00	(12 14)	
3 0000	Sale	05/23/13	12/23/13	56 01	68 42	0 00	(12 41)	
7 0000	Sale	05/24/13	12/23/13	130 69	159 59	0 00	(28 90)	
11 0000	Sale	05/28/13	12/23/13	205 37	250 90	0 00	(45 53)	
8 0000	Sale	05/29/13	12/23/13	149 36	182 56	0 00	(33 20)	
2 0000	Sale	05/30/13	12/23/13	37 35	45 87	0 00	(8 52)	
2 0000	Sale	04/22/13	12/23/13	37 33	45 01	0 00	(7 68)	
1 0000	Sale	05/17/13	12/23/13	18 66	22 71	0 00	(4 05)	
6 0000	Sale	05/20/13	12/23/13	112 02	136 63	0 00	(24 61)	
2 0000	Sale	05/21/13	12/23/13	37 34	45 69	0 00	(8 35)	
4 0000	Sale	05/22/13	12/23/13	74 68	91 37	0 00	(16 69)	
Security Subtotal				2,460 19	2,938 03	0.00	(477.84)	
H SBC HLDGS PLC SER A 6 20% PFD STK		CUSIP Number 404280604						
1 0000	Sale	11/09/12	10/08/13	24 22	25 39	0 00	(1 17)	
1 0000	Sale	04/08/13	10/08/13	24 22	25 34	0 00	(1 12)	
1 0000	Sale	04/09/13	10/08/13	24 22	25 36	0 00	(1 14)	
1 0000	Sale	04/10/13	10/08/13	24 22	25 35	0 00	(1 13)	
1 0000	Sale	04/11/13	10/08/13	24 22	25 35	0 00	(1 13)	
1 0000	Sale	04/12/13	10/08/13	24 22	25 39	0 00	(1 17)	
3 0000	Sale	04/15/13	10/08/13	72 69	76 09	0 00	(3 40)	
1 0000	Sale	04/16/13	10/08/13	24 23	25 42	0 00	(1 19)	
1 0000	Sale	04/16/13	10/09/13	24 11	25 42	0 00	(1 31)	
3 0000	Sale	04/17/13	10/09/13	72 36	76 39	0 00	(4 03)	
10 0000	Sale	04/18/13	12/23/13	247 99	255 27	0 00	(7 28)	
13 0000	Sale	04/19/13	12/23/13	322 39	332 36	0 00	(9 97)	
4 0000	Sale	04/22/13	12/23/13	99 21	102 32	0 00	(3 11)	
Security Subtotal				1,008.30	1,045.45	0.00	(37 15)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
HSBC USA DEP SHRS REP 1/40 SHR 6. 6.50% PERP		CUSIP Number 40428H862						
8.0000	Sale	07/23/13	12/23/13	192.00	201.59	0.00	(9.59)	
5.0000	Sale	07/24/13	12/23/13	120.00	125.90	0.00	(5.90)	
2.0000	Sale	08/01/13	12/23/13	48.00	50.23	0.00	(2.23)	
1.0000	Sale	08/05/13	12/23/13	24.00	25.16	0.00	(1.16)	
1.0000	Sale	08/06/13	12/23/13	24.00	25.14	0.00	(1.14)	
2.0000	Sale	08/07/13	12/23/13	48.00	50.11	0.00	(2.11)	
3.0000	Sale	08/08/13	12/23/13	72.00	74.95	0.00	(2.95)	
1.0000	Sale	10/08/13	12/23/13	24.00	24.15	0.00	(0.15)	
16.0000	Sale	10/09/13	12/23/13	384.01	386.24	0.00	(2.23)	
Security Subtotal				936.01	963.47	0.00	(27.46)	
HSBC HOLDINGS PLC SUB CAP SECS 8.125% PFD STK		CUSIP Number 404280703						
1.0000	Sale	11/09/12	02/26/13	25.55	25.77	0.00	(0.22)	
2.0000	Sale	08/05/13	11/11/13	51.63	51.35	0.00	0.28	
9.0000	Sale	08/06/13	12/23/13	234.22	231.01	0.00	3.21	
14.0000	Sale	09/19/13	12/23/13	364.36	366.34	0.00	(1.98)	
4.0000	Sale	10/08/13	12/23/13	104.10	102.30	0.00	1.80	
8.0000	Sale	10/09/13	12/23/13	208.21	205.04	0.00	3.17	
7.0000	Sale	10/10/13	12/23/13	182.20	179.57	0.00	2.63	
6.0000	Sale	08/07/13	12/23/13	156.15	153.82	0.00	2.33	
1.0000	Sale	08/08/13	12/23/13	26.02	25.66	0.00	0.36	
3.0000	Sale	08/15/13	12/23/13	78.07	76.99	0.00	1.08	
11.0000	Sale	08/16/13	12/23/13	286.28	282.48	0.00	3.80	
2.0000	Sale	08/19/13	12/23/13	52.05	51.46	0.00	0.59	
10.0000	Sale	08/27/13	12/23/13	260.25	257.74	0.00	2.51	
7.0000	Sale	08/28/13	12/23/13	182.17	180.69	0.00	1.48	
5.0000	Sale	09/04/13	12/23/13	130.12	128.86	0.00	1.26	
7.0000	Sale	09/05/13	12/23/13	182.18	180.26	0.00	1.92	
5.0000	Sale	09/06/13	12/23/13	130.12	129.07	0.00	1.05	
9.0000	Sale	09/09/13	12/23/13	234.23	232.64	0.00	1.59	
7.0000	Sale	09/10/13	12/23/13	182.18	181.20	0.00	0.98	
9.0000	Sale	09/16/13	12/23/13	234.23	233.50	0.00	0.73	
7.0000	Sale	09/17/13	12/23/13	182.18	181.75	0.00	0.43	
4.0000	Sale	09/18/13	12/23/13	104.10	104.25	0.00	(0.15)	
Security Subtotal				3,590.60	3,561.75	0.00	28.85	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
HSBC HLDGS PLC		CUSIP Number	404280802					
SERIES								
08 00% PFD STK								
8 0000	Sale	09/04/13	12/23/13	215 12	217.76	0 00	(2 64)	
13 0000	Sale	09/09/13	12/23/13	349 57	352.82	0 00	(3 25)	
4 0000	Sale	09/10/13	12/23/13	107 56	108 45	0 00	(0 89)	
14 0000	Sale	09/18/13	12/23/13	376 46	380 39	0 00	(3 93)	
3 0000	Sale	09/19/13	12/23/13	80 68	81 88	0 00	(1 20)	
Security Subtotal				1,129 39	1,141 30	0 00	(11 91)	
ING GROEP NV		CUSIP Number	456837509					
ING PERPETUAL DEBT SEC								
6 125%								
1.0000	Sale	11/11/13	12/23/13	23.62	23 34	0 00	0 28	
PIMCO REALTY CORP		CUSIP Number	49446R778					
CLASS J CUM REDEEMABLE								
5.50% PERPETUAL								
1 0000	Sale	07/17/12	06/06/13	24.54	25.00	0 00	(0 46)	
1 0000	Sale	11/11/13	12/23/13	19 60	19.86	0 00	(0 26)	
Security Subtotal				44 14	44.86	0 00	(0.72)	
PIMCO REALTY CORP		CUSIP Number	49446R745					
CUM PERPETUAL CLASS K								
5 625% REDEEMABLE PFD ST								
1.0000	Sale	12/04/12	06/06/13	24.79	24 63	0 00	0 16	
ING GROUP NV		CUSIP Number	456837707					
PERP HYBRID CAPITAL SECS								
7 375% PFD NON CUM								
5.0000	Sale	11/19/13	12/23/13	127.65	127.10	0 00	0 55	
7 0000	Sale	11/20/13	12/23/13	178.71	178.05	0 00	0 66	
11.0000	Sale	11/21/13	12/23/13	280 84	279 47	0 00	1 37	
Security Subtotal				587.20	584.62	0.00	2 58	

DAVID L. LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
JPMORGAN CHASE CAP XXIX								
PFD STK		CUSIP Number		48125E207				
6 70% DUE 4/02/2040								
1 0000	Sale	09/16/13	11/11/13	25 63	25 75	0 12 (W)	0 00	
4 0000	Sale	09/16/13	12/23/13	103 63	102 99	0 00	0 64	
1 0000	Sale	09/16/13	12/23/13	25 90	25 63	0 00 (Y)	0 27	
7 0000	Sale	09/17/13	12/23/13	181 36	180 51	0 00	0 85	
5 0000	Sale	09/18/13	12/23/13	129 55	129 31	0 00	0 24	
5 0000	Sale	10/07/13	12/23/13	129 55	127 02	0 00	2 53	
11 0000	Sale	10/08/13	12/23/13	285 01	279 65	0 00	5 36	
2 0000	Sale	10/09/13	12/23/13	51 82	50 90	0 00	0 92	
8 0000	Sale	10/17/13	12/23/13	207 28	204 07	0 00	3 21	
8 0000	Sale	10/18/13	12/23/13	207 29	205 60	0 00	1 69	
Security Subtotal				1,347 02	1,331.43	0 12	15 71	
JPMORGAN CHASE & CO CLD								
8.625% NON-CUM PFD STCK		CUSIP Number		46625H621				
SERIES J - DEPOSITARY SH								
12 0000	Redemption	06/26/13	09/03/13	299 99	304.80	0 00	(4 81)	
9 0000	Redemption	06/27/13	09/03/13	225.00	228 68	0 00	(3 68)	
8 0000	Redemption	06/28/13	09/03/13	200 00	203 29	0 00	(3 29)	
13 0000	Redemption	07/01/13	09/03/13	325 00	330.43	0 00	(5 43)	
11 0000	Redemption	07/02/13	09/03/13	275 01	279 77	0 00	(4 76)	
Security Subtotal				1,325.00	1,346 97	0 00	(21 97)	
JPMORGAN CHASE & CO.								
SERIES O NON-CUM		CUSIP Number		48126E750				
5.50% PFD STK PERPETUAL								
3 0000	Sale	08/21/12	06/06/13	73 07	74.65	1 58 (W)	0 00	
7 0000	Sale	06/03/13	12/23/13	142 95	174 62	0 00	(31.67)	
13 0000	Sale	06/04/13	12/23/13	265 48	324 03	0 00	(58 55)	
1 0000	Sale	06/04/13	12/23/13	20 42	24 95	0 00	(4.53)	
10 0000	Sale	06/11/13	12/23/13	204 21	238.11	0 00	(33 90)	
19 0000	Sale	06/12/13	12/23/13	388.02	442.59	0 00	(54.57)	
5 0000	Sale	08/08/13	12/23/13	102 11	110 56	0 00	(8.45)	
12 0000	Sale	08/09/13	12/23/13	245 06	265.15	0 00	(20 09)	
1 0000	Sale	11/11/13	12/23/13	20 43	21 15	0 00	(0.72)	
Security Subtotal				1,461.75	1,675.81	1.58	(212 48)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
JPMORGAN CHASE & CO NON-CUM PFD STK SERIES P 05 450% PERPETUAL		CUSIP Number	46637G124					
3 0000	Sale	01/30/13	06/06/13	73 01	74 18	1 17 (W)	0 00	
3 0000	Sale	01/30/13	08/13/13	66 38	74 18	7.80 (W)	0.00	
5 0000	Sale	01/30/13	08/13/13	110 63	123 64	13 01 (W)	0 00	
3 0000	Sale	01/30/13	08/14/13	65 90	74 18	8.28 (W)	0 00	
4.0000	Sale	01/30/13	08/14/13	87 86	98 90	0 00	(11 04)	
1 0000	Sale	01/30/13	08/15/13	21 50	24 73	0.00	(3 23)	
3 0000	Sale	01/30/13	08/15/13	64 51	76 87	0 00 (Y)	(12 36)	
3 0000	Sale	01/30/13	08/15/13	64 51	79 34	0.00 (Y)	(14 83)	
4 0000	Sale	01/30/13	08/15/13	86 03	105 56	0 00 (Y)	(19 53)	
1.0000	Sale	01/30/13	08/15/13	21 42	26 39	0 00 (Y)	(4.97)	
1 0000	Sale	01/30/13	08/15/13	21.43	26 47	0 00 (Y)	(5 04)	
2.0000	Sale	01/30/13	08/16/13	42 69	52 94	0.00 (Y)	(10 25)	
7 0000	Sale	01/31/13	08/16/13	149.45	173 20	0 00	(23 75)	
12.0000	Sale	01/31/13	12/23/13	243 83	296 92	0.00	(53.09)	
17 0000	Sale	02/04/13	12/23/13	345 43	422 18	0 00	(76 75)	
3 0000	Sale	02/05/13	12/23/13	60.95	74 55	0.00	(13.60)	
12.0000	Sale	04/16/13	12/23/13	243 83	303 69	0.00	(59 86)	
7 0000	Sale	04/30/13	12/23/13	142 24	176 73	0.00	(34 49)	
26 0000	Sale	05/01/13	12/23/13	528 32	660 03	0.00	(131.71)	
5 0000	Sale	05/02/13	12/23/13	101.60	127 05	0.00	(25.45)	
2.0000	Sale	05/29/13	12/23/13	40 64	50 47	0.00	(9 83)	
3.0000	Sale	05/30/13	12/23/13	60 96	76 07	0.00	(15.11)	
1 0000	Sale	11/11/13	12/23/13	20 33	21 00	0.00	(0 67)	
Security Subtotal				2,663 45	3,219 27	30.26	(525 56)	
FIRST REPUBLIC BANK SER B NON-CUM PFD STK 6 20% PERPETUAL		CUSIP Number	33616C407					
2.0000	Sale	06/26/12	06/06/13	51.47	50.64	0 00	0.83	
1 0000	Sale	06/27/12	06/06/13	25 74	25 48	0 00	0 26	
Security Subtotal				77.21	76.12	0.00	1.09	
INTERSTATE POWER & LIGHT NEW MONEY SER D 05.100% PERPETUAL		CUSIP Number	461070856					
2 0000	Sale	03/15/13	06/06/13	49.53	50.18	0.00	(0.65)	
2.0000	Sale	03/15/13	06/26/13	46.88	50.18	0.00	(3 30)	
1.0000	Sale	03/15/13	06/27/13	23 55	25 09	0.00	(1 54)	

DAVID L. LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
INTERSTATE POWER & LIGHT		CUSIP Number	461070856					
NEW MONEY SER D								
05 100% PERPETUAL								
2 0000	Sale	03/15/13	07/11/13	46 98	50.18	0 00	(3 20)	
4 0000	Sale	03/15/13	07/15/13	93 45	100 36	0 00	(6 91)	
1 0000	Sale	03/15/13	07/16/13	23.38	25 09	0 00	(1 71)	
2 0000	Sale	03/15/13	07/17/13	46 99	50 18	0 00	(3 19)	
4 0000	Sale	03/15/13	07/18/13	94 00	100.36	0 00	(6 36)	
1 0000	Sale	03/15/13	07/29/13	23 10	25 09	0 00	(1 99)	
18 0000	Sale	03/21/13	07/29/13	415 96	450 90	0 00	(34 94)	
4 0000	Sale	03/21/13	08/13/13	86.52	100.20	0 00	(13 68)	
3 0000	Sale	03/21/13	08/16/13	63 62	75 15	0 00	(11 53)	
2 0000	Sale	03/21/13	08/19/13	41.42	50 10	0 00	(8 68)	
2 0000	Sale	03/22/13	08/19/13	41.42	50.20	0 00	(8 78)	
8 0000	Sale	03/22/13	08/20/13	163 73	200 79	0 00	(37 06)	
6 0000	Sale	03/22/13	08/23/13	127 01	150.59	0 00	(23 58)	
3 0000	Sale	03/22/13	08/26/13	63.56	75.30	0 00	(11 74)	
4 0000	Sale	03/22/13	08/27/13	84 99	100 39	0 00	(15 40)	
2 0000	Sale	03/22/13	08/28/13	41 84	50.20	0 00	(8 36)	
5 0000	Sale	03/25/13	09/03/13	104.49	125 67	0 00	(21 18)	
1 0000	Sale	03/25/13	12/23/13	20 65	25.13	0 00	(4 48)	
1 0000	Sale	04/08/13	12/23/13	20 66	25.49	0 00	(4 83)	
2 0000	Sale	04/10/13	12/23/13	41 32	51 12	0 00	(9 80)	
1 0000	Sale	04/11/13	12/23/13	20 66	25.54	0 00	(4 88)	
1 0000	Sale	04/12/13	12/23/13	20 65	25.58	0 00	(4 93)	
5 0000	Sale	04/15/13	12/23/13	103 30	128 61	0 00	(25 31)	
3 0000	Sale	04/16/13	12/23/13	61.98	77.35	0 00	(15 37)	
4 0000	Sale	04/17/13	12/23/13	82.64	103.22	0 00	(20 58)	
1 0000	Sale	04/18/13	12/23/13	20 67	25.85	0 00	(5 18)	
Security Subtotal				2,074.95	2,394.09	0 00	(319.14)	
INTEGRYS ENERGY GRP, INC		CUSIP Number	45822P204					
JR SUBORDINATED NTS 2013								
6% DUE 2073								
1 0000	Sale	08/14/13	11/11/13	23.88	24.63	0 75 (W)	0 00	
105 0000	Sale	08/14/13	12/23/13	2,513.02	2,585.83	0 00	(72 81)	
1 0000	Sale	08/14/13	12/23/13	23.93	24.82	0 00 (Y)	(0 89)	
6 0000	Sale	08/26/13	12/23/13	143.60	145.31	0 00	(1 71)	
12 0000	Sale	08/27/13	12/23/13	287.20	290.13	0 00	(2 93)	
7 0000	Sale	10/09/13	12/23/13	167.53	169.11	0 00	(1 58)	
3 0000	Sale	10/10/13	12/23/13	71.80	72.28	0 00	(0 48)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
INTEGRYS ENERGY GRP, INC								
JR SUBORDINATED NTS 2013		CUSIP Number		45822P204				
6% DUE 2073								
3 0000	Sale	10/11/13	12/23/13	71 80	72 32	0 00	(0 52)	
4 0000	Sale	10/14/13	12/23/13	95 73	96 29	0 00	(0 56)	
19 0000	Sale	10/17/13	12/23/13	454 75	456 21	0 00	(1 46)	
1 0000	Sale	11/22/13	12/23/13	23 93	23 96	0 00	(0 03)	
4 0000	Sale	11/25/13	12/23/13	95 73	96 35	0 00	(0 62)	
4 0000	Sale	11/26/13	12/23/13	95 74	96 38	0 00	(0 64)	
3 0000	Sale	11/27/13	12/23/13	71 80	72 31	0 00	(0 51)	
2 0000	Sale	11/29/13	12/23/13	47 87	48 30	0 00	(0 43)	
5 0000	Sale	12/02/13	12/23/13	119 68	120 35	0 00	(0 67)	
1 0000	Sale	12/03/13	12/23/13	23 94	24 09	0 00	(0 15)	
Security Subtotal				4,331 93	4,418 67	0 75	(85 99)	
METLIFE INC								
NON CUM PFD STK SER B		CUSIP Number		59156R603				
06 500% PERPETUAL								
10 0000	Sale	06/19/13	12/23/13	245 50	251 70	0 00	(6 20)	
3 0000	Sale	06/20/13	12/23/13	73 65	75 03	0 00	(1 38)	
18 0000	Sale	08/05/13	12/23/13	441 90	450 90	0 00	(9 00)	
14 0000	Sale	11/11/13	12/23/13	343 71	347 20	0 00	(3 49)	
Security Subtotal				1,104 76	1,124 83	0 00	(20 07)	
ATL RETAIL PPTY INC								
(NNN) SER D CUM 6 625% REDEEMABLE PFD STK		CUSIP Number		637417601				
4 0000	Sale	12/17/13	12/23/13	88 00	87 15	0 00	0 85	
8 0000	Sale	12/18/13	12/23/13	176 00	174 36	0 00	1 64	
6 0000	Sale	12/19/13	12/23/13	132 00	131 58	0 00	0 42	
1 0000	Sale	12/20/13	12/23/13	22 01	21 99	0 00	0 02	
Security Subtotal				418 01	415 08	0 00	2 93	
NEXTERA ENERGY CAPITAL								
SER G PFD STK		CUSIP Number		65339K605				
1 0000	Sale	11/22/13	12/23/13	20 64	21 35	0 00	(0 71)	
6 0000	Sale	11/25/13	12/23/13	123 84	129 18	0 00	(5 34)	
6 0000	Sale	11/26/13	12/23/13	123 83	127 85	0 00	(4 02)	
9 0000	Sale	11/27/13	12/23/13	185 76	192 23	0 00	(6 47)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MEXTERA ENERGY CAPITAL		CUSIP Number	65339K605					
SER G PFD STK								
3.0000	Sale	11/29/13	12/23/13	61.92	64.39	0.00	(2.47)	
1.0000	Sale	12/02/13	12/23/13	20.65	21.41	0.00	(0.76)	
Security Subtotal				536.64	556.41	0.00	(19.77)	
MEXTERA ENERGY CAPITAL		CUSIP Number	65339K704					
SERIES H JR SUB DEB								
5.625% DUE 06/15/2072								
4.0000	Sale	06/13/12	06/06/13	99.43	99.00	0.00	0.43	
4.0000	Sale	10/17/13	12/23/13	80.96	83.36	0.00	(2.40)	
7.0000	Sale	10/18/13	12/23/13	141.68	147.73	0.00	(6.05)	
5.0000	Sale	10/21/13	12/23/13	101.20	106.42	0.00	(5.22)	
3.0000	Sale	10/22/13	12/23/13	60.72	64.66	0.00	(3.94)	
6.0000	Sale	11/11/13	12/23/13	121.45	125.58	0.00	(4.13)	
Security Subtotal				605.44	626.75	0.00	(21.31)	
MEXTERA ENERGY CAPITAL		CUSIP Number	65339K803					
CUM SERIES I JR SUB DEB								
5.125% DUE 11/15/2072								
2.0000	Sale	11/16/12	06/06/13	47.79	49.33	0.00	(1.54)	
14.0000	Sale	11/13/13	12/23/13	261.80	265.82	0.00	(4.02)	
4.0000	Sale	11/14/13	12/23/13	74.80	76.03	0.00	(1.23)	
3.0000	Sale	11/15/13	12/23/13	56.11	57.07	0.00	(0.96)	
Security Subtotal				440.50	448.25	0.00	(7.75)	
MUSTAR LOGISTICS LP		CUSIP Number	67059T204					
SER PFD STK								
1.0000	Sale	01/25/13	05/20/13	27.36	25.97	0.00	1.39	
9.0000	Sale	01/25/13	05/21/13	246.63	233.73	0.00	12.90	
2.0000	Sale	01/25/13	05/22/13	54.57	51.94	0.00	2.63	
2.0000	Sale	01/28/13	05/22/13	54.57	51.80	0.00	2.77	
5.0000	Sale	01/28/13	05/23/13	133.80	129.50	0.00	4.30	
1.0000	Sale	01/28/13	06/06/13	26.16	25.90	0.00	0.26	
1.0000	Sale	01/28/13	11/11/13	25.50	25.90	0.00	(0.40)	
9.0000	Sale	01/28/13	12/23/13	232.64	233.10	0.00	(0.46)	
7.0000	Sale	01/29/13	12/23/13	180.94	180.95	0.00	(0.01)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MUSTAR LOGISTICS LP		CUSIP Number 67059T204						
SER PFD STK								
8 0000	Sale	01/30/13	12/23/13	206 79	206 76	0 00	0 03	
10.0000	Sale	01/31/13	12/23/13	258 51	258 03	0 00	0 48	
Security Subtotal				1,447.47	1,423 58	0 00	23 89	
EXTERA ENERGY CAP HLDGS		CUSIP Number 65339K886						
SERIES J JR SUB DEBENTUR								
5 00% DUE 1/15/2073								
15 0000	Sale	01/17/13	12/23/13	276 89	370 95	0 00	(94 06)	
5 0000	Sale	10/23/13	12/23/13	92 29	97 74	0 00	(5 45)	
5.0000	Sale	10/24/13	12/23/13	92 30	97 61	0 00	(5 31)	
1 0000	Sale	10/25/13	12/23/13	18 46	19 51	0 00	(1 05)	
1 0000	Sale	10/28/13	12/23/13	18 46	19 66	0 00	(1 20)	
1.0000	Sale	11/11/13	12/23/13	18 47	18 75	0 00	(0 28)	
Security Subtotal				516 87	624 22	0 00	(107 35)	
NATIONAL RETAIL PROPERTI		CUSIP Number 637417809						
SERIES E CUM REDEEMABLE								
5 70% PFD STK PERPETUAL								
2.0000	Sale	06/13/13	12/23/13	38.39	45 93	0 00	(7 54)	
1.0000	Sale	06/14/13	12/23/13	19 20	23 29	0 00	(4 09)	
1.0000	Sale	06/17/13	12/23/13	19 20	23 43	0 00	(4 23)	
1.0000	Sale	06/18/13	12/23/13	19 20	23 16	0 00	(3 96)	
1.0000	Sale	06/19/13	12/23/13	19 20	23 20	0 00	(4 00)	
2.0000	Sale	06/20/13	12/23/13	38.40	45 16	0 00	(6 76)	
2.0000	Sale	06/21/13	12/23/13	38.39	44 59	0 00	(6 20)	
4.0000	Sale	06/24/13	12/23/13	76.80	84 69	0 00	(7 89)	
2.0000	Sale	06/25/13	12/23/13	38 40	42 61	0 00	(4 21)	
3.0000	Sale	06/26/13	12/23/13	57 60	65 68	0 00	(8 08)	
1.0000	Sale	07/03/13	12/23/13	19 20	24 04	0 00	(4 84)	
1.0000	Sale	07/05/13	12/23/13	19 20	23 81	0 00	(4 61)	
4 0000	Sale	07/08/13	12/23/13	76 80	95 07	0 00	(18 27)	
1.0000	Sale	07/09/13	12/23/13	19 20	23 90	0 00	(4 70)	
2 0000	Sale	07/10/13	12/23/13	38 40	47 72	0 00	(9 32)	
1 0000	Sale	07/31/13	12/23/13	19 20	21 94	0 00	(2 74)	
3.0000	Sale	08/01/13	12/23/13	57 60	66 51	0 00	(8 91)	
2 0000	Sale	08/02/13	12/23/13	38 40	44 22	0 00	(5 82)	
1 0000	Sale	08/05/13	12/23/13	19 20	21 79	0 00	(2 59)	
3 0000	Sale	08/06/13	12/23/13	57 60	64 04	0 00	(6 44)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
NATIONAL RETAIL PROPERTY		CUSIP Number 637417809						
SERIES E CUM REDEEMABLE								
5.70% PFD STK PERPETUAL								
1 0000	Sale	08/07/13	12/23/13	19 20	21 25	0 00	(2 05)	
1 0000	Sale	08/08/13	12/23/13	19.20	21 31	0 00	(2 11)	
1.0000	Sale	11/11/13	12/23/13	19 21	19 70	0 00	(0 49)	
Security Subtotal				787 19	917 04	0 00	(129 85)	
MORGAN STANLEY CAP TRVII		CUSIP Number 61750K208						
CAPITAL SECURITIES								
06 60% OCT 15 2066								
7 0000	Sale	03/21/13	12/23/13	174 05	179 20	0.00	(5 15)	
4.0000	Sale	03/22/13	12/23/13	99 45	102 42	0.00	(2.97)	
4.0000	Sale	03/25/13	12/23/13	99 46	102 38	0 00	(2 92)	
5 0000	Sale	03/26/13	12/23/13	124 32	126 26	0 00	(1 94)	
3 0000	Sale	06/19/13	12/23/13	74 59	75 59	0.00	(1 00)	
15.0000	Sale	06/20/13	12/23/13	372 98	373 03	0.00	(0 05)	
1.0000	Sale	07/17/13	12/23/13	24 86	25 02	0 00	(0.16)	
6 0000	Sale	07/18/13	12/23/13	149 19	150.35	0 00	(1 16)	
9.0000	Sale	07/19/13	12/23/13	223.79	225 71	0 00	(1 92)	
2.0000	Sale	07/22/13	12/23/13	49 74	50 26	0 00	(0 52)	
Security Subtotal				1,392 43	1,410 22	0 00	(17 79)	
MORGAN STANLEY		CUSIP Number 61762V200						
FIXED-FLTG SER E NON-CUM								
7 125% PFD STK PERPETUAL								
3 0000	Sale	09/26/13	12/23/13	78.69	75 54	0.00	3 15	
7.0000	Sale	10/03/13	12/23/13	183 62	176 80	0 00	6.82	
1.0000	Sale	10/04/13	12/23/13	26.23	25.35	0 00	0.88	
5 0000	Sale	10/07/13	12/23/13	131 15	127.14	0 00	4 01	
1 0000	Sale	10/08/13	12/23/13	26.23	25 39	0.00	0.84	
34.0000	Sale	10/11/13	12/23/13	891 87	865 30	0 00	26.57	
8 0000	Sale	10/15/13	12/23/13	209.85	203.82	0 00	6.03	
2 0000	Sale	10/16/13	12/23/13	52.46	51.26	0.00	1 20	
17.0000	Sale	11/11/13	12/23/13	445 94	440.64	0.00	5.30	
15.0000	Sale	11/19/13	12/23/13	393 48	389.58	0 00	3.90	
19 0000	Sale	11/20/13	12/23/13	498.41	493 85	0 00	4 56	
Security Subtotal				2,937.93	2,874 67	0.00	63.26	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MORGAN STANLEY FIX-FLT PFD STK SER F 6.875% NON-CUM PERPETUAL		CUSIP Number 61763E207						
2.0000	Sale	12/20/13	12/23/13	49.96	49.98	0.02 (W)	0.00	
31.0000	Sale	12/20/13	12/23/13	774.37	774.77	0.00	(0.40)	
2.0000	Sale	12/20/13	12/30/13	49.87	50.10	0.00 (Y)	(0.23)	
Security Subtotal				874.20	874.85	0.02	(0.63)	
NATIONAL WESTMINSTER BK PLC ADS NONCUM PFD SRS C 7.760%		CUSIP Number 638539882						
3.0000	Sale	07/19/13	12/23/13	75.54	75.01	0.00	0.53	
2.0000	Sale	07/23/13	12/23/13	50.36	50.31	0.00	0.05	
2.0000	Sale	07/24/13	12/23/13	50.36	50.09	0.00	0.27	
1.0000	Sale	07/29/13	12/23/13	25.18	24.98	0.00	0.20	
1.0000	Sale	07/30/13	12/23/13	25.18	25.02	0.00	0.16	
2.0000	Sale	07/31/13	12/23/13	50.36	50.25	0.00	0.11	
2.0000	Sale	08/01/13	12/23/13	50.36	50.21	0.00	0.15	
2.0000	Sale	09/04/13	12/23/13	50.36	49.87	0.00	0.49	
12.0000	Sale	09/05/13	12/23/13	302.17	299.07	0.00	3.10	
Security Subtotal				679.87	674.81	0.00	5.06	
ING GROUP NV GLOBAL SUB DEBT SECS 7.050% PERPETUAL NON CUM		CUSIP Number 456837202						
5.0000	Sale	11/19/13	12/23/13	124.90	125.51	0.00	(0.61)	
4.0000	Sale	11/20/13	12/23/13	99.92	100.56	0.00	(0.64)	
3.0000	Sale	11/21/13	12/23/13	74.94	75.32	0.00	(0.38)	
4.0000	Sale	11/22/13	12/23/13	99.93	100.60	0.00	(0.67)	
Security Subtotal				399.69	401.99	0.00	(2.30)	
UTIGROUP CAP TRUST IX CAP TRUST PFD SEC 6.00% FEB 14 2033		CUSIP Number 173066200						
1.0000	Sale	11/11/13	12/23/13	25.04	24.86	0.00	0.18	
WEINGARTEN REALTY IN CLD PFD STK SER D PERP		CUSIP Number 948741509						
10.0000	Redemption	04/24/12	03/18/13	250.00	249.03	0.00	0.97	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MORGAN STANLEY CAP TR IV CUSIP Number 617462205								
DEF INT TR PFD SECS								
06 250% APRIL 1 2033								
5 0000	Sale	01/29/13	12/23/13	119.93	125.31	0.00	(5.38)	
1 0000	Sale	02/01/13	12/23/13	23.99	25.01	0.00	(1.02)	
1 0000	Sale	02/04/13	12/23/13	24.00	24.97	0.00	(0.97)	
4 0000	Sale	01/30/13	12/23/13	95.94	100.01	0.00	(4.07)	
1 0000	Sale	01/31/13	12/23/13	23.98	24.93	0.00	(0.95)	
Security Subtotal				287.84	300.23	0.00	(12.39)	
MORGAN STANLEY CAP TR V CUSIP Number 617466206								
DEF INT TR PFD SECS								
5 75% JUL 15 2033								
1 0000	Sale	11/11/13	12/23/13	22.59	23.13	0.00	(0.54)	
MAC LLC CUSIP Number 36186C509								
SENIOR NOTES								
07 375% DEC 16 2044								
1 0000	Sale	12/10/12	06/06/13	25.06	24.50	0.00	0.56	
1.0000	Sale	12/11/12	11/11/13	24.94	24.56	0.00	0.38	
7 0000	Sale	03/22/13	12/23/13	174.94	175.19	0.00	(0.25)	
4 0000	Sale	03/25/13	12/23/13	99.96	100.08	0.00	(0.12)	
7.0000	Sale	03/26/13	12/23/13	174.94	175.35	0.00	(0.41)	
1.0000	Sale	03/27/13	12/23/13	24.99	25.01	0.00	(0.02)	
6.0000	Sale	08/27/13	12/23/13	149.95	149.28	0.00	0.67	
6 0000	Sale	08/28/13	12/23/13	149.95	147.14	0.00	2.81	
3 0000	Sale	08/29/13	12/23/13	74.97	73.60	0.00	1.37	
3.0000	Sale	10/17/13	12/23/13	74.97	74.93	0.00	0.04	
5.0000	Sale	10/18/13	12/23/13	124.96	125.17	0.00	(0.21)	
3.0000	Sale	10/21/13	12/23/13	74.97	75.19	0.00	(0.22)	
5 0000	Sale	10/22/13	12/23/13	124.97	125.65	0.00	(0.68)	
2.0000	Sale	10/23/13	12/23/13	49.99	50.31	0.00	(0.32)	
Security Subtotal				1,349.56	1,345.96	0.00	3.60	
ALLY FINANCIAL INC CLD CUSIP Number 36186C202								
PUBLIC INCOME NOTES								
7.30% CUM								
5.0000	Redemption	07/29/13	11/08/13	125.00	124.18	0.00	0.82	
1 0000	Redemption	08/01/13	11/08/13	25.00	24.88	0.00	0.12	
3 0000	Redemption	08/05/13	11/08/13	75.00	74.64	0.00	0.36	
2 0000	Redemption	08/07/13	11/08/13	50.00	49.73	0.00	0.27	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ALLY FINANCIAL INC CLD PUBLIC INCOME NOTES 7 30% CUM		CUSIP Number	36186C202					
2 0000	Redemption	08/08/13	11/08/13	50 00	49.56	0 00	0 44	
3 0000	Redemption	08/09/13	11/08/13	75 00	74 59	0 00	0 41	
2 0000	Redemption	08/12/13	11/08/13	50 00	49 72	0 00	0 28	
3.0000	Redemption	09/09/13	11/08/13	75 00	74 86	0 00	0 14	
2 0000	Redemption	09/10/13	11/08/13	50.00	49 96	0 00	0 04	
5 0000	Redemption	09/11/13	11/08/13	125 00	124 90	0.00	0.10	
2 0000	Redemption	09/12/13	11/08/13	50 00	49 90	0 00	0 10	
3 0000	Redemption	09/13/13	11/08/13	75.01	74 83	0 00	0 18	
Security Subtotal				825 01	821 75	0 00	3.26	
GMAC LLC SR NOTES 7 250% FEB 07 2033		CUSIP Number	36186C400					
1.0000	Sale	08/15/13	11/11/13	25 07	24.99	0.00	0 08	
4 0000	Sale	08/15/13	12/23/13	100 43	99 94	0 00	0 49	
2.0000	Sale	09/24/13	12/23/13	50 21	50.04	0 00	0 17	
17.0000	Sale	08/16/13	12/23/13	426.83	425 03	0 00	1.80	
13.0000	Sale	08/19/13	12/23/13	326.40	324 56	0 00	1 84	
3.0000	Sale	09/25/13	12/23/13	75.33	75.10	0 00	0 23	
4.0000	Sale	09/26/13	12/23/13	100 45	100 10	0 00	0 35	
2 0000	Sale	09/04/13	12/23/13	50.21	50.05	0 00	0 16	
6 0000	Sale	09/06/13	12/23/13	150 64	150.04	0 00	0 60	
2.0000	Sale	09/09/13	12/23/13	50 21	50.08	0 00	0 13	
2 0000	Sale	09/10/13	12/23/13	50.21	50.06	0 00	0 15	
3.0000	Sale	09/11/13	12/23/13	75.32	75 06	0 00	0 26	
1.0000	Sale	09/12/13	12/23/13	25 10	25.01	0 00	0 09	
1 0000	Sale	09/13/13	12/23/13	25 11	24.99	0 00	0 12	
4.0000	Sale	09/20/13	12/23/13	100.44	99 84	0 00	0 60	
4.0000	Sale	09/23/13	12/23/13	100 44	100.11	0 00	0 33	
Security Subtotal				1,732.40	1,725 00	0 00	7.40	
ALLY FINANCIAL INC PUBLIC INCOME NOTES 7.35% AUG 08 2032		CUSIP Number	36186C301					
19.0000	Redemption	10/08/12	09/09/13	475.00	467 75	0 00	7.25	
1.0000	Redemption	06/26/13	09/09/13	25 00	24.75	0 00	0 25	
1.0000	Redemption	06/27/13	09/09/13	25 00	24 90	0 00	0 10	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ALLY FINANCIAL INC PUBLIC INCOME NOTES 7.35% AUG 08 2032		CUSIP Number	36186C301					
1.0000	Redemption	07/02/13	09/09/13	25.00	24.98	0.00	0.02	
15.0000	Redemption	07/05/13	09/09/13	375.01	369.83	0.00	5.18	
Security Subtotal				925.01	912.21	0.00	12.80	
ING GROEP N V PERP HYBRID CAP SECS 6.375% MAR 01 2049		CUSIP Number	456837608					
6.0000	Sale	01/11/12	01/09/13	147.90	110.60	0.00	37.30	
1.0000	Sale	01/12/12	01/09/13	24.65	18.47	0.00	6.18	
11.0000	Sale	01/19/12	01/09/13	271.16	206.85	0.00	64.31	
1.0000	Sale	01/20/12	01/10/13	24.63	18.83	0.00	5.80	
6.0000	Sale	01/20/12	01/14/13	148.33	112.98	0.00	35.35	
4.0000	Sale	01/23/12	01/14/13	98.88	76.41	0.00	22.47	
10.0000	Sale	02/03/12	01/14/13	247.23	198.56	0.00	48.67	
Security Subtotal				962.78	742.70	0.00	220.08	
DEUTSCHE BANK CAP FNDG NONCUM PFD TRUST IX 6.625% FEB 01 2049		CUSIP Number	25153Y206					
2.0000	Sale	05/20/13	09/04/13	48.96	50.75	0.00	(1.79)	
4.0000	Sale	05/23/13	09/04/13	97.93	101.19	0.00	(3.26)	
4.0000	Sale	05/21/13	09/04/13	97.93	101.57	0.00	(3.64)	
1.0000	Sale	05/22/13	09/04/13	24.48	25.38	0.00	(0.90)	
1.0000	Sale	05/24/13	09/04/13	24.49	25.36	0.00	(0.87)	
3.0000	Sale	05/24/13	09/05/13	73.18	76.07	0.00	(2.89)	
4.0000	Sale	05/28/13	09/05/13	97.59	101.70	0.00	(4.11)	
Security Subtotal				464.56	482.02	0.00	(17.46)	
DEUTSCHE BK CAPITAL FDG TRUST X PFD NON-CUMUL 07.350% PERPETUAL		CUSIP Number	25154D102					
1.0000	Sale	02/03/12	01/31/13	25.11	24.36	0.00	0.75	
2.0000	Sale	02/03/12	02/01/13	50.20	48.72	0.00	1.48	
2.0000	Sale	02/06/12	02/01/13	50.21	48.81	0.00	1.40	
1.0000	Sale	04/12/13	06/06/13	25.25	25.38	0.13 (W)	0.00	
1.0000	Sale	04/12/13	11/11/13	25.04	25.52	0.00 (Y)	(0.48)	
1.0000	Sale	04/16/13	11/11/13	25.05	25.37	0.00	(0.32)	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DEUTSCHE BK CAPITAL FDG TRUST X PFD NON-CUMUL 07.350% PERPETUAL		CUSIP Number 25154D102						
5 0000	Sale	04/17/13	12/23/13	126 25	126 78	0.00	(0 53)	
1 0000	Sale	05/17/13	12/23/13	25.25	25 40	0.00	(0 15)	
4 0000	Sale	09/03/13	12/23/13	101 00	100 44	0 00	0 56	
1 0000	Sale	09/04/13	12/23/13	25 25	25 04	0 00	0 21	
5 0000	Sale	09/05/13	12/23/13	126 25	124 80	0 00	1 45	
5 0000	Sale	09/06/13	12/23/13	126 25	125 00	0.00	1 25	
2 0000	Sale	09/09/13	12/23/13	50 50	50 14	0 00	0 36	
5 0000	Sale	09/18/13	12/23/13	126 26	126 96	0 00	(0 70)	
7 0000	Sale	09/19/13	12/23/13	176 77	177 58	0 00	(0 81)	
3 0000	Sale	09/20/13	12/23/13	75 76	75 86	0 00	(0 10)	
3 0000	Sale	05/20/13	12/23/13	75 75	76 38	0 00	(0 63)	
1 0000	Sale	05/21/13	12/23/13	25 25	25 60	0 00	(0 35)	
1 0000	Sale	05/22/13	12/23/13	25 25	25 62	0 00	(0 37)	
3 0000	Sale	05/23/13	12/23/13	75 75	76 51	0 00	(0 76)	
2 0000	Sale	05/24/13	12/23/13	50 50	51 09	0 00	(0 59)	
5 0000	Sale	05/28/13	12/23/13	126 25	128 51	0 00	(2 26)	
6 0000	Sale	05/29/13	12/23/13	151 50	153 87	0 00	(2 37)	
4 0000	Sale	05/30/13	12/23/13	101 00	102 71	0 00	(1 71)	
3 0000	Sale	05/31/13	12/23/13	75 75	76 89	0 00	(1 14)	
1 0000	Sale	06/03/13	12/23/13	25 25	25 51	0 00	(0 26)	
4 0000	Sale	06/11/13	12/23/13	101 00	100 94	0 00	0 06	
12 0000	Sale	06/12/13	12/23/13	303 00	301 88	0 00	1 12	
4 0000	Sale	06/19/13	12/23/13	101 00	102 21	0 00	(1 21)	
5 0000	Sale	06/20/13	12/23/13	126 25	126 10	0 00	0 15	
10 0000	Sale	06/21/13	12/23/13	252 50	254 52	0 00	(2 02)	
3 0000	Sale	07/30/13	12/23/13	75 75	75 37	0 00	0 38	
5 0000	Sale	07/31/13	12/23/13	126 25	125 78	0 00	0 47	
6 0000	Sale	08/01/13	12/23/13	151 50	151 08	0.00	0 42	
3 0000	Sale	08/02/13	12/23/13	75 75	75 50	0.00	0 25	
3 0000	Sale	08/06/13	12/23/13	75 75	75 42	0 00	0 33	
3 0000	Sale	08/07/13	12/23/13	75 75	75 22	0 00	0 53	
11 0000	Sale	08/08/13	12/23/13	277 76	275 54	0 00	2 22	
6 0000	Sale	08/28/13	12/23/13	151 50	150 83	0 00	0 67	
3 0000	Sale	08/29/13	12/23/13	75 75	75 54	0 00	0 21	
8 0000	Sale	08/30/13	12/23/13	202 01	201 30	0.00	0 71	
Security Subtotal				4,064.17	4,066 08	0 13	(1.78)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PUBLIC STORAGE (PSA)		CUSIP Number	74460W404					
CUM PFD SHARES, SER T								
5 75% PERPETUAL								
1 0000	Sale	11/11/13	12/23/13	20 87	21 55	0 00	(0 68)	
5.0000	Sale	12/17/13	12/23/13	104 35	102 53	0 00	1 82	
10 0000	Sale	12/18/13	12/23/13	208 70	206 20	0 00	2 50	
14 0000	Sale	12/19/13	12/23/13	292 18	288 86	0 00	3 32	
12 0000	Sale	12/20/13	12/23/13	250 45	249 34	0 00	1 11	
Security Subtotal				876.55	868 48	0 00	8.07	
PNC FINANCIAL SERVICES		CUSIP Number	693475857					
SER P								
PFD STK								
37 0000	Sale	01/24/13	12/23/13	927 06	1,016 39	0 00	(89.33)	
15.0000	Sale	03/01/13	12/23/13	375 84	414 00	0 00	(38.16)	
12 0000	Sale	03/07/13	12/23/13	300.67	331 80	0 00	(31.13)	
3.0000	Sale	04/04/13	12/23/13	75 16	83 05	0 00	(7 89)	
4 0000	Sale	04/05/13	12/23/13	100.22	110 71	0 00	(10 49)	
13.0000	Sale	04/08/13	12/23/13	325 73	360 54	0 00	(34 81)	
4.0000	Sale	04/08/13	12/23/13	100 22	110.88	0 00	(10.66)	
7.0000	Sale	04/09/13	12/23/13	175.40	194.83	0 00	(19.43)	
2.0000	Sale	04/10/13	12/23/13	50.11	56.05	0 00	(5 94)	
5 0000	Sale	06/19/13	12/23/13	125.28	134.30	0 00	(9.02)	
4.0000	Sale	06/20/13	12/23/13	100 24	104.99	0 00	(4 75)	
Security Subtotal				2,655 93	2,917.54	0.00	(261 61)	
PS BUSINESS PARKS, INC		CUSIP Number	69360J685					
SER T CUM REDEEMABLE								
PFD STK 6 00% PERPETUAL								
1.0000	Sale	11/11/13	12/23/13	20.18	21.08	0 00	(0 90)	
5.0000	Sale	12/18/13	12/23/13	100 90	99 11	0 00	1.79	
11 0000	Sale	12/19/13	12/23/13	221.98	219.29	0 00	2.69	
6.0000	Sale	12/20/13	12/23/13	121 09	120.56	0 00	0.53	
Security Subtotal				464.15	460 04	0.00	4.11	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PROTECTIVE LIFE CORP CUM SUB DEBENTURES 6.25% DUE MAY 15 2042		CUSIP Number	743674608					
3 0000	Sale	11/13/13	12/23/13	66.29	67.36	0.00	(1.07)	
2 0000	Sale	11/14/13	12/23/13	44.20	45.48	0.00	(1.28)	
1 0000	Sale	11/15/13	12/23/13	22.10	22.97	0.00	(0.87)	
2 0000	Sale	11/18/13	12/23/13	44.20	45.86	0.00	(1.66)	
1.0000	Sale	11/19/13	12/23/13	22.11	23.06	0.00	(0.95)	
Security Subtotal				198.90	204.73	0.00	(5.83)	
PUBLIC STORAGE CUM PFD SHARES, SER U 5 625% PERPETUAL		CUSIP Number	74460W602					
3 0000	Sale	06/07/12	06/06/13	73.85	74.35	0.00	(0.50)	
PROTECTIVE LIFE CORP CUM SUB DEBENTURES 6 00% DUE 9/1/2042		CUSIP Number	743674707					
1 0000	Sale	07/05/13	12/23/13	21.37	24.63	0.00	(3.26)	
2 0000	Sale	07/11/13	12/23/13	42.74	49.14	0.00	(6.40)	
1.0000	Sale	07/12/13	12/23/13	21.37	24.84	0.00	(3.47)	
2.0000	Sale	07/15/13	12/23/13	42.74	49.59	0.00	(6.85)	
1.0000	Sale	07/16/13	12/23/13	21.37	24.81	0.00	(3.44)	
1.0000	Sale	07/17/13	12/23/13	21.37	24.89	0.00	(3.52)	
1 0000	Sale	07/18/13	12/23/13	21.38	24.91	0.00	(3.53)	
Security Subtotal				192.34	222.81	0.00	(30.47)	
PUBLIC STORAGE SERIES V CUM PFD SHS 05 375% PERPETUAL		CUSIP Number	74460W800					
8.0000	Sale	09/12/12	01/30/13	203.99	197.72	0.00	6.27	
PARTNER RE LTD CLD PERPETUAL SERIES C 6 75% PFD STK CUM		CUSIP Number	G6852T204					
15 0000	Redemption	03/28/12	03/18/13	375.01	375.75	0.00	(0.74)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
JPMCHASE CAPITAL XVI CAP SECURITIES 06 350% JUN 01 2035		CUSIP Number	481228203					
4 0000	Sale	05/29/12	04/30/13	101.12	100.98	0 00	0 14	
4 0000	Sale	05/30/12	04/30/13	101 12	100 94	0 00	0 18	
1 0000	Sale	05/31/12	04/30/13	25 28	25 23	0 00	0.05	
5 0000	Sale	06/01/12	04/30/13	126.40	125 69	0 00	0 71	
2 0000	Sale	06/04/12	04/30/13	50 57	50 46	0 00	0 11	
Security Subtotal				404 49	403 30	0 00	1 19	
VORNADO REALTY TRUST CLD CUM REDEEM PFD SR H 06 750% PERPETUAL		CUSIP Number	929042885					
2 0000	Redemption	12/12/12	02/19/13	49 99	50 67	0 00	(0 68)	
3 0000	Redemption	12/14/12	02/19/13	75.00	75.04	0 00	(0.04)	
3 0000	Redemption	12/21/12	02/19/13	75 00	75 28	0 00	(0.28)	
1 0000	Redemption	12/24/12	02/19/13	25.00	25.11	0 00	(0 11)	
1 0000	Redemption	01/02/13	02/19/13	25.00	25.29	0 00	(0.29)	
3 0000	Redemption	01/03/13	02/19/13	75 00	76 23	0 00	(1.23)	
5 0000	Redemption	01/04/13	02/19/13	125.01	127.50	0 00	(2 49)	
Security Subtotal				450.00	455.12	0.00	(5 12)	
PRINCIPAL FINL GROUP PFD STK VAR% PERPETUAL		CUSIP Number	74251V300					
31 0000	Sale	06/27/13	12/23/13	740.58	778.88	0 00	(38 30)	
PRIVATEBANCORP IV NEW MONEY 07 125% OCT 30 2042		CUSIP Number	742962400					
6 0000	Sale	12/26/12	12/23/13	143 09	154 11	0 00	(11.02)	
6.0000	Sale	12/27/12	12/23/13	143 09	154 55	0 00	(11 46)	
10 0000	Sale	01/02/13	12/23/13	238.50	257 11	0.00	(18.61)	
2.0000	Sale	12/20/13	12/23/13	47.71	47 42	0.00	0 29	
Security Subtotal				572.39	613.19	0.00	(40.80)	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
P RUDENTIAL FINCL INC								
JR SUBORDINATED NOTES		CUSIP Number 744320607						
5 75% DUE 12/15/2052								
1 0000	Sale	11/29/12	04/24/13	25 83	24 70	0 00	1 13	
3 0000	Sale	11/29/12	04/25/13	77 14	74 10	0 00	3 04	
2 0000	Sale	11/29/12	04/26/13	51 49	49 40	0 00	2 09	
2 0000	Sale	11/29/12	04/29/13	51 49	49 40	0 00	2 09	
3 0000	Sale	11/29/12	04/30/13	77 41	74 10	0 00	3 31	
2 0000	Sale	11/29/12	06/06/13	49 13	49 40	0 00	(0 27)	
1 0000	Sale	11/29/12	11/11/13	22 07	24 70	0 00	(2 63)	
18 0000	Sale	01/07/13	12/23/13	381 06	460 72	0 00	(79 66)	
Security Subtotal				735 62	806 52	0 00	(70 90)	
R ENAISCERE HLDGS LTD								
SER D PRF SHARES		CUSIP Number G7498P408						
6 60% PERP								
1.0000	Redemption	11/09/12	06/27/13	25 01	25 32	0 00	(0 31)	
PUBLIC STORAGE								
CUM PFD SHARES SERIES W		CUSIP Number 74460W875						
05 200% PERPETUAL								
1.0000	Sale	01/16/13	06/06/13	24 60	24 99	0 00	(0 39)	
14 0000	Sale	01/16/13	12/23/13	266 13	349 79	0 00	(83 66)	
8 0000	Sale	01/30/13	12/23/13	152 08	198 48	0 00	(46 40)	
21 0000	Sale	10/02/13	12/23/13	399 21	420 84	0 00	(21 63)	
Security Subtotal				842 02	994 10	0 00	(152 08)	
PARTNERRE LTD SERIES F								
NON-CUM REDMBLE PFD SHS		CUSIP Number G68603128						
5.875% PERPETUAL								
2.0000	Sale	02/12/13	06/06/13	49 03	49 93	0 90 (W)	0 00	
25.0000	Sale	02/12/13	12/23/13	502 24	624 10	0 00	(121 86)	
4.0000	Sale	04/09/13	12/23/13	80 36	100 55	0 00	(20 19)	
3 0000	Sale	04/10/13	12/23/13	60 27	75 54	0 00	(15 27)	
1.0000	Sale	04/11/13	12/23/13	20 09	25 21	0 00	(5 12)	
3.0000	Sale	04/12/13	12/23/13	60 27	75 53	0 00	(15 26)	
2.0000	Sale	02/12/13	12/23/13	40 17	52 47	0 00 (Y)	(12 30)	
8.0000	Sale	04/08/13	12/23/13	160 71	201 13	0 00	(40 42)	
9 0000	Sale	05/14/13	12/23/13	180 81	232 28	0 00	(51 47)	
4.0000	Sale	05/15/13	12/23/13	80 36	103 28	0 00	(22 92)	
3.0000	Sale	05/16/13	12/23/13	60 27	77 49	0 00	(17 22)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PARTNERRE LTD SERIES F NON-CUM REDMBLE PFD SHS 5.875% PERPETUAL		CUSIP Number G68603128						
1 0000	Sale	05/17/13	12/23/13	20 09	25 85	0 00	(5 76)	
1 0000	Sale	07/05/13	12/23/13	20 09	23 66	0 00	(3 57)	
1 0000	Sale	07/09/13	12/23/13	20 09	23 29	0 00	(3 20)	
1 0000	Sale	07/10/13	12/23/13	20 09	23 39	0 00	(3 30)	
1 0000	Sale	07/11/13	12/23/13	20 09	23 62	0 00	(3 53)	
1 0000	Sale	07/12/13	12/23/13	20 09	23 72	0 00	(3 63)	
3 0000	Sale	07/15/13	12/23/13	60 28	71 24	0 00	(10 96)	
Security Subtotal				1,475 40	1,832 28	0 90	(355 98)	
PUBLIC STORAGE CUM PFD SHARES SERIES X 5 20% PERPETUAL		CUSIP Number 74460W107						
19 0000	Sale	03/05/13	12/23/13	361.18	472 54	0 00	(111 36)	
PRUDENTIAL FINANCIAL INC JUNIOR SUB NOTES 5 70% DUE 3/15/2053		CUSIP Number 744320706						
3 0000	Sale	03/08/13	06/06/13	73.49	74 57	0 00	(1 08)	
1 0000	Sale	03/08/13	11/11/13	22 08	24 86	0 00	(2 78)	
23 0000	Sale	03/08/13	12/23/13	491.04	571 67	0 00	(80.63)	
23 0000	Sale	03/12/13	12/23/13	491 04	570.29	0 00	(79 25)	
15 0000	Sale	04/08/13	12/23/13	320.24	377 83	0 00	(57.59)	
4 0000	Sale	04/09/13	12/23/13	85 39	100 80	0 00	(15 41)	
19 0000	Sale	04/17/13	12/23/13	405.65	485 26	0 00	(79.61)	
3 0000	Sale	04/24/13	12/23/13	64 05	76 82	0 00	(12.77)	
4 0000	Sale	04/25/13	12/23/13	85 41	102 40	0 00	(16.99)	
Security Subtotal				2,038.39	2,384 50	0 00	(346 11)	
PPL CAPITAL FUNDING INC SER B JUNIOR SUB NOTES 5.9% DUE 4/30/2073		CUSIP Number 69352P202						
6 0000	Sale	03/13/13	06/06/13	150 12	150.09	0 00	0.03	
1 0000	Sale	03/13/13	06/25/13	23.76	25 02	1.26 (W)	0.00	
5 0000	Sale	03/13/13	06/25/13	118 82	125.07	6 25 (W)	0.00	
1 0000	Sale	03/15/13	06/25/13	23 76	25 12	1.36 (W)	0 00	
10 0000	Sale	03/15/13	06/25/13	237 64	251.20	0 00	(13 56)	
1 0000	Sale	03/13/13	07/29/13	23 69	25 74	0 00 (Y)	(2.05)	
4 0000	Sale	03/13/13	07/29/13	94.78	103.22	0 00 (Y)	(8.44)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PPL CAPITAL FUNDING INC SER B JUNIOR SUB NOTES 5.9% DUE 4/30/2073		CUSIP Number 69352P202						
1 0000	Sale	03/13/13	07/30/13	23 27	25 81	0 00 (Y)	(2 54)	
4 0000	Sale	03/15/13	07/30/13	93 08	100 48	0 00	(7 40)	
4 0000	Sale	03/15/13	07/31/13	91 00	100.48	0 00	(9 48)	
1 0000	Sale	03/15/13	07/31/13	22 75	26 27	0 00 (Y)	(3 52)	
1 0000	Sale	03/18/13	07/31/13	22.75	25 01	0 00	(2 26)	
16 0000	Sale	03/18/13	08/23/13	355.51	400.22	0 00	(44.71)	
9 0000	Sale	03/18/13	09/04/13	205 55	225.12	0 00	(19 57)	
6 0000	Sale	03/18/13	09/05/13	132.31	150 08	0 00	(17 77)	
6 0000	Sale	03/18/13	09/06/13	130 09	150 09	0 00	(20.00)	
1 0000	Sale	03/18/13	09/09/13	21.69	25 01	0 00	(3 32)	
15 0000	Sale	03/18/13	10/08/13	319 36	375.21	0 00	(55 85)	
2 0000	Sale	03/18/13	11/22/13	42 08	50 03	0 00	(7 95)	
9 0000	Sale	03/20/13	11/22/13	189 39	224.72	0 00	(35 33)	
9 0000	Sale	03/21/13	11/22/13	189 40	224.99	0 00	(35 59)	
7 0000	Sale	03/21/13	12/18/13	149.45	174 99	0 00	(25.54)	
10 0000	Sale	03/21/13	12/19/13	213 83	249 99	0 00	(36 16)	
6 0000	Sale	03/21/13	12/20/13	126.66	149.99	0 00	(23 33)	
11 0000	Sale	04/08/13	12/20/13	232.21	278 84	0 00	(46.63)	
6 0000	Sale	04/09/13	12/20/13	126 66	152.25	0 00	(25 59)	
1 0000	Sale	04/17/13	12/20/13	21.12	25 57	0 00	(4 45)	
18 0000	Sale	04/17/13	12/23/13	380.87	460 17	0 00	(79 30)	
Security Subtotal				3,761.60	4,300.78	8 87	(530.31)	
PUBLIC STORAGE PFD SHR SER Q CUM 6.5% PERPETUAL		CUSIP Number 74460D141						
1 0000	Sale	10/08/13	12/23/13	23.42	24.81	0 00	(1 39)	
2 0000	Sale	10/09/13	12/23/13	46.84	49.54	0 00	(2 70)	
6 0000	Sale	10/10/13	12/23/13	140.52	149 08	0 00	(8 56)	
2 0000	Sale	10/11/13	12/23/13	46.84	49.94	0 00	(3.10)	
5 0000	Sale	10/15/13	12/23/13	117.10	124 69	0 00	(7 59)	
1 0000	Sale	10/16/13	12/23/13	23.42	24.93	0 00	(1 51)	
12 0000	Sale	12/20/13	12/23/13	281.05	280.29	0 00	0.76	
Security Subtotal				679.19	703.28	0.00	(24 09)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PARTNERRE LTD (PRE)SER E CUM REDEEMABLE PFD STK 7.25% PERPETUAL		CUSIP Number	G68603508					
8 0000	Sale	09/18/13	12/23/13	202.00	199.71	0.00	2.29	
11 0000	Sale	09/19/13	12/23/13	277.75	276.64	0.00	1.11	
8 0000	Sale	09/20/13	12/23/13	202.00	201.65	0.00	0.35	
4 0000	Sale	09/23/13	12/23/13	101.00	101.93	0.00	(0.93)	
3 0000	Sale	09/24/13	12/23/13	75.75	76.63	0.00	(0.88)	
1 0000	Sale	09/25/13	12/23/13	25.25	25.44	0.00	(0.19)	
Security Subtotal				883.75	882.00	0.00	1.75	
RENAISSANCERE HLDGS LTD CUM SERIES C PREF SHARES 06.080% PERPETUAL		CUSIP Number	G7498P309					
6 0000	Sale	11/11/13	12/23/13	132.61	138.78	0.00	(6.17)	
ROYAL BK OF SCOT GRP PLC NEWM SER L 05.750% PERPETUAL		CUSIP Number	780097788					
3 0000	Sale	10/16/13	12/23/13	57.98	58.52	0.00	(0.54)	
1 0000	Sale	10/17/13	12/23/13	19.32	19.60	0.00	(0.28)	
3 0000	Sale	10/18/13	12/23/13	57.98	59.41	0.00	(1.43)	
1 0000	Sale	10/21/13	12/23/13	19.32	19.59	0.00	(0.27)	
2 0000	Sale	11/11/13	12/23/13	38.67	39.42	0.00	(0.75)	
Security Subtotal				193.27	196.54	0.00	(3.27)	
WEST CORP PFD STK 7.375% NOTES DUE JUNE 1, 2051		CUSIP Number	74913G204					
7 0000	Sale	08/06/13	12/23/13	166.39	176.12	0.00	(9.73)	
6 0000	Sale	08/07/13	12/23/13	142.62	151.38	0.00	(8.76)	
5 0000	Sale	08/08/13	12/23/13	118.86	126.33	0.00	(7.47)	
Security Subtotal				427.87	453.83	0.00	(25.96)	
WEST CORPORATION SENIOR NOTES 7.00% DUE 7/01/2052		CUSIP Number	74913G501					
3 0000	Sale	06/27/12	02/28/13	79.99	75.87	0.00	4.12	
4 0000	Sale	06/27/12	03/01/13	106.18	101.16	0.00	5.02	
1 0000	Sale	06/27/12	03/04/13	26.54	25.29	0.00	1.25	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
WEST CORPORATION		CUSIP Number 74913G501						
SENIOR NOTES								
7.00% DUE 7/01/2052								
3.0000	Sale	06/27/12	03/05/13	79.85	75.87	0.00	3.98	
5.0000	Sale	06/27/12	03/06/13	133.37	126.46	0.00	6.91	
2.0000	Sale	06/27/12	06/06/13	51.73	50.58	0.00	1.15	
1.0000	Sale	06/29/12	06/06/13	25.87	25.48	0.00	0.39	
Security Subtotal				503.53	480.71	0.00	22.82	
WEST CORPORATION		CUSIP Number 74913G600						
SENIOR NOTES								
6.125% DUE 6/1/2053								
3.0000	Sale	05/21/13	06/06/13	73.55	75.06	1.51 (W)	0.00	
6.0000	Sale	05/21/13	08/05/13	132.83	150.12	0.00	(17.29)	
9.0000	Sale	05/21/13	08/06/13	196.52	225.18	0.00	(28.66)	
1.0000	Sale	05/21/13	11/11/13	20.86	25.02	0.00	(4.16)	
17.0000	Sale	05/21/13	11/22/13	344.52	425.34	0.00	(80.82)	
40.0000	Sale	05/21/13	12/23/13	774.78	1,000.80	0.00	(226.02)	
3.0000	Sale	05/21/13	12/23/13	58.10	76.48	0.00 (Y)	(18.38)	
16.0000	Sale	05/23/13	12/23/13	309.91	399.84	0.00	(89.93)	
23.0000	Sale	05/24/13	12/23/13	445.52	575.93	0.00	(130.41)	
Security Subtotal				2,356.59	2,953.77	1.51	(595.67)	
ROYAL BK OF SCOT GRP PLC		CUSIP Number 780097879						
YIELD ADJ NET CSH PREF								
7.2500% NON-CUM PFD SHSH								
2.0000	Sale	09/04/13	12/23/13	48.41	48.05	0.00	0.36	
10.0000	Sale	09/06/13	12/23/13	242.09	240.83	0.00	1.26	
2.0000	Sale	09/11/13	12/23/13	48.41	47.72	0.00	0.69	
1.0000	Sale	09/12/13	12/23/13	24.21	23.90	0.00	0.31	
1.0000	Sale	09/16/13	12/23/13	24.21	24.08	0.00	0.13	
2.0000	Sale	09/17/13	12/23/13	48.42	47.97	0.00	0.45	
4.0000	Sale	09/19/13	12/23/13	96.84	98.15	0.00	(1.31)	
4.0000	Sale	09/20/13	12/23/13	96.84	98.44	0.00	(1.60)	
1.0000	Sale	09/23/13	12/23/13	24.21	24.58	0.00	(0.37)	
4.0000	Sale	09/24/13	12/23/13	96.84	98.81	0.00	(1.97)	
3.0000	Sale	09/25/13	12/23/13	72.63	73.79	0.00	(1.16)	
2.0000	Sale	09/26/13	12/23/13	48.43	49.47	0.00	(1.04)	
Security Subtotal				871.54	875.79	0.00	(4.25)	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
REGIONS FINANCIAL CORP		CUSIP Number	7591EP308					
NEW MONEY SER A								
06 375% PERPETUAL								
3 0000	Sale	11/08/12	06/06/13	74.30	74.39	0.00	(0.09)	
10 0000	Sale	11/12/12	09/04/13	227.59	247.84	0.00	(20.25)	
2 0000	Sale	11/12/12	09/05/13	45.26	49.57	0.00	(4.31)	
2 0000	Sale	11/13/12	09/05/13	45.27	49.49	0.00	(4.22)	
2 0000	Sale	11/13/12	09/06/13	45.16	49.49	0.00	(4.33)	
4 0000	Sale	11/13/12	10/08/13	89.18	98.98	0.00	(9.80)	
9 0000	Sale	11/13/12	10/09/13	199.53	222.71	0.00	(23.18)	
5 0000	Sale	11/13/12	10/10/13	110.98	123.72	0.00	(12.74)	
1 0000	Sale	11/13/12	10/16/13	22.15	24.75	0.00	(2.60)	
1 0000	Sale	12/10/12	10/16/13	22.15	24.75	0.00	(2.60)	
2 0000	Sale	12/11/12	10/16/13	44.32	49.49	0.00	(5.17)	
13 0000	Sale	12/11/12	10/17/13	287.55	321.71	0.00	(34.16)	
2 0000	Sale	12/12/12	10/17/13	44.24	49.36	0.00	(5.12)	
1 0000	Sale	12/12/12	11/11/13	22.71	24.68	0.00	(1.97)	
Security Subtotal				1,280.39	1,410.93	0.00	(130.54)	
RETAIL PROPERTIES OF AME		CUSIP Number	76131V608					
NEW MONEY								
07 000% DEC 31 2049								
2 0000	Sale	01/04/13	06/06/13	50.09	49.74	0.00	0.35	
6 0000	Sale	01/04/13	12/23/13	129.70	149.23	0.00	(19.53)	
10 0000	Sale	01/07/13	12/23/13	216.17	249.87	0.00	(33.70)	
20 0000	Sale	01/08/13	12/23/13	432.34	500.22	0.00	(67.88)	
3 0000	Sale	02/01/13	12/23/13	64.85	75.10	0.00	(10.25)	
5 0000	Sale	02/07/13	12/23/13	108.08	125.41	0.00	(17.33)	
11 0000	Sale	02/08/13	12/23/13	237.80	277.12	0.00	(39.32)	
Security Subtotal				1,239.03	1,426.69	0.00	(187.66)	
ROYAL BK OF SCOT GRP PLC		CUSIP Number	780097770					
6 35% NONCUM \$ PRF SR-N								
PERPETUAL								
3 0000	Sale	07/09/12	06/06/13	68.12	52.38	0.00	15.74	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ROYAL BK SCOTLND GRP PLC								
NEW MONEY SER S		CUSIP Number	780097739					
06.600% PERPETUAL								
3 0000	Sale	09/19/12	09/06/13	62 55	63 48	0 00	(0 93)	
4 0000	Sale	09/19/12	09/18/13	84 41	84 64	0 00	(0 23)	
10 0000	Sale	09/19/12	09/19/13	215 28	211 59	0 00	3 69	
6 0000	Sale	09/20/12	09/20/13	129 64	126 89	0 00	2 75	
Security Subtotal				491 88	486 60	0 00	5 28	
ROYAL BK SCOTLAND GROUP								
CAT II NON CUM PFD		CUSIP Number	780097747					
6 125% PERP SRS R								
1.0000	Sale	08/03/12	06/06/13	22 32	19 07	0 00	3 25	
1.0000	Sale	07/31/13	12/23/13	20 05	19 50	0 00	0 55	
2 0000	Sale	08/01/13	12/23/13	40 10	39 52	0 00	0 58	
3 0000	Sale	08/06/13	12/23/13	60 15	59 32	0 00	0 83	
1 0000	Sale	08/08/13	12/23/13	20 05	19 76	0 00	0 29	
2 0000	Sale	08/12/13	12/23/13	40 10	40 03	0 00	0 07	
1 0000	Sale	08/13/13	12/23/13	20 06	20 06	0 00	0 00	
Security Subtotal				222 83	217 26	0 00	5 57	
REALTY INCOME								
SER F		CUSIP Number	756109807					
PFD STK								
15.0000	Sale	10/08/13	12/23/13	359 70	363 75	0 00	(4 05)	
1.0000	Sale	11/11/13	12/23/13	23 99	24 44	0 00	(0 45)	
Security Subtotal				383 69	388 19	0 00	(4 50)	
RAYMOND JAMES FINANCIAL								
SER		CUSIP Number	754730208					
PFD STK								
4.0000	Sale	03/02/12	01/14/13	110 07	102 20	0 00	7 87	
4.0000	Sale	03/02/12	01/15/13	108 92	102 20	0 00	6 72	
2 0000	Sale	03/02/12	01/16/13	54 17	51 10	0 00	3 07	
3 0000	Sale	03/02/12	01/17/13	81 53	76 65	0 00	4 88	
1 0000	Sale	03/02/12	01/18/13	27 37	25 55	0 00	1 82	
3 0000	Sale	03/02/12	01/31/13	81 92	76 65	0 00	5 27	
2 0000	Sale	03/02/12	02/01/13	54 29	51 10	0 00	3 19	
2 0000	Sale	03/02/12	02/04/13	54 30	51 10	0 00	3 20	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
RAYMOND JAMES FINANCIAL		CUSIP Number	754730208					
SER PFD STK								
1 0000	Sale	03/02/12	02/05/13	27 17	25 55	0 00	1 62	
9 0000	Sale	03/02/12	02/06/13	239 87	229 95	0 00	9 92	
Security Subtotal				839 61	792 05	0 00	47 56	
REINSURANCE GRP OF AMER		CUSIP Number	759351703					
NEW MONEY								
VAR%SEP 15 2042								
5 0000	Sale	08/14/12	06/06/13	133 04	125 00	0.00	8 04	
2 0000	Sale	09/18/13	12/23/13	49 10	48 94	0 00	0 16	
4 0000	Sale	09/19/13	12/23/13	98.20	98.78	0 00	(0 58)	
3 0000	Sale	09/20/13	12/23/13	73 65	73.41	0 00	0 24	
1 0000	Sale	09/23/13	12/23/13	24.55	24 53	0 00	0 02	
1.0000	Sale	09/24/13	12/23/13	24.55	24 45	0 00	0 10	
2 0000	Sale	09/25/13	12/23/13	49.11	49 05	0 00	0 06	
Security Subtotal				452 20	444 16	0 00	8 04	
RENAISSANCERE HLDGS LTD		CUSIP Number	G7498P119					
SER E PFD SHRS NON-CUM								
5.375% PERPETUAL								
1.0000	Sale	05/21/13	06/06/13	23 69	24.92	0.00	(1 23)	
38 0000	Sale	05/21/13	12/23/13	709.82	946.95	0 00	(237 13)	
5 0000	Sale	10/31/13	12/23/13	93 40	99 62	0 00	(6 22)	
5.0000	Sale	11/01/13	12/23/13	93 40	99 40	0.00	(6 00)	
Security Subtotal				920 31	1,170 89	0.00	(250 58)	
SCANA CORPORATION		CUSIP Number	80589M201					
SERIES A JUNIOR SUB DEB								
7.70% DUE JAN 30 2080								
1.0000	Sale	11/11/13	12/23/13	26.39	26.22	0 00	0 17	
SENIOR HOUSING PPTY		CUSIP Number	81721M208					
TRUST SENIOR NOTES								
5.625% DUE AUG 1 2042								
2.0000	Sale	07/18/12	06/06/13	49.25	49.42	0 00	(0.17)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
STANLEY BLACK&DECKER								
JUNIOR SUB DEBENTURE								
5 75% DUE 7/25/2052								
		CUSIP Number		854502705				
7 0000	Sale	07/27/12	01/16/13	184.54	179.55	0.00	4.99	
6 0000	Sale	07/27/12	01/17/13	158.43	153.90	0.00	4.53	
5 0000	Sale	07/27/12	01/18/13	131.84	128.25	0.00	3.59	
2 0000	Sale	07/27/12	01/23/13	52.61	51.30	0.00	1.31	
7.0000	Sale	07/27/12	01/24/13	183.66	179.55	0.00	4.11	
8 0000	Sale	07/27/12	01/25/13	208.91	205.20	0.00	3.71	
1 0000	Sale	07/27/12	01/30/13	26.05	25.65	0.00	0.40	
7.0000	Sale	07/27/12	01/31/13	182.10	179.55	0.00	2.55	
6 0000	Sale	07/27/12	02/01/13	156.18	153.90	0.00	2.28	
4.0000	Sale	07/27/12	02/04/13	103.92	102.60	0.00	1.32	
6 0000	Sale	07/27/12	02/20/13	155.56	153.90	0.00	1.66	
6.0000	Sale	07/27/12	02/21/13	155.48	153.89	0.00	1.59	
4 0000	Sale	07/27/12	02/22/13	103.96	102.60	0.00	1.36	
1 0000	Sale	07/27/12	02/22/13	25.99	25.66	0.00	0.33	
9.0000	Sale	07/27/12	03/08/13	236.86	230.91	0.00	5.95	
3 0000	Sale	07/27/12	03/11/13	79.17	76.97	0.00	2.20	
7.0000	Sale	07/30/12	03/12/13	182.82	179.98	0.00	2.84	
7 0000	Sale	07/30/12	03/18/13	182.98	179.98	0.00	3.00	
6 0000	Sale	07/30/12	03/19/13	156.85	154.27	0.00	2.58	
2 0000	Sale	07/31/12	03/20/13	52.33	51.52	0.00	0.81	
3 0000	Sale	08/01/12	03/20/13	78.51	77.47	0.00	1.04	
9.0000	Sale	08/01/12	04/08/13	236.01	232.40	0.00	3.61	
9 0000	Sale	08/01/12	04/09/13	235.16	232.39	0.00	2.77	
2.0000	Sale	08/01/12	06/06/13	50.29	51.64	1.35 (W)	0.00	
12 0000	Sale	06/27/13	12/23/13	252.37	285.60	0.00	(33.23)	
8 0000	Sale	06/05/13	12/23/13	168.24	202.00	0.00	(33.76)	
Security Subtotal				3,740.82	3,750.63	1.35	(8.46)	
STATE STREET CORP								
SERIES C NON-CUM								
5.25% PERPETUAL PFD STK								
		CUSIP Number		857477509				
1 0000	Sale	08/27/12	06/06/13	24.49	24.98	0.49 (W)	0.00	
5.0000	Sale	06/11/13	12/23/13	102.40	121.54	0.00	(19.14)	
6 0000	Sale	06/12/13	12/23/13	122.88	142.54	0.00	(19.66)	
3 0000	Sale	06/13/13	12/23/13	61.44	71.07	0.00	(9.63)	
1.0000	Sale	06/14/13	12/23/13	20.48	24.43	0.00	(3.95)	
2 0000	Sale	06/17/13	12/23/13	40.96	49.36	0.00	(8.40)	
2.0000	Sale	06/18/13	12/23/13	40.96	49.41	0.00	(8.45)	

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1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
STATE STREET CORP SERIES C NON-CUM 5 25% PERPETUAL PFD STK		CUSIP Number 857477509						
8.0000	Sale	06/19/13	12/23/13	163.84	197.83	0.00	(33.99)	
2.0000	Sale	06/20/13	12/23/13	40.96	48.22	0.00	(7.26)	
3.0000	Sale	06/21/13	12/23/13	61.44	72.87	0.00	(11.43)	
3.0000	Sale	06/24/13	12/23/13	61.44	70.92	0.00	(9.48)	
2.0000	Sale	06/25/13	12/23/13	40.97	48.28	0.00	(7.31)	
Security Subtotal				782.26	921.45	0.49	(138.70)	
UNTRUST BANKS INC PFD STK SER E NON CUM 05 875% PERPETUAL		CUSIP Number 867914889						
1.0000	Sale	04/29/13	06/06/13	24.53	25.34	0.81 (W)	0.00	
1.0000	Sale	04/29/13	07/29/13	23.62	26.51	0.00 (Y)	(2.89)	
6.0000	Sale	04/30/13	07/29/13	141.77	152.92	0.00	(11.15)	
1.0000	Sale	04/30/13	07/30/13	23.70	25.49	0.00	(1.79)	
4.0000	Sale	05/01/13	07/30/13	94.82	102.44	0.00	(7.62)	
1.0000	Sale	05/03/13	07/30/13	23.70	25.71	0.00	(2.01)	
2.0000	Sale	05/06/13	07/30/13	47.43	51.42	0.00	(3.99)	
1.0000	Sale	05/06/13	07/31/13	23.42	25.71	0.00	(2.29)	
3.0000	Sale	05/14/13	07/31/13	70.28	76.97	0.00	(6.69)	
2.0000	Sale	05/14/13	08/05/13	45.74	51.31	0.00	(5.57)	
6.0000	Sale	05/14/13	08/06/13	136.72	153.94	0.00	(17.22)	
1.0000	Sale	05/14/13	08/07/13	22.84	25.66	0.00	(2.82)	
2.0000	Sale	05/15/13	08/07/13	45.70	51.04	0.00	(5.34)	
3.0000	Sale	05/15/13	08/08/13	67.86	76.56	0.00	(8.70)	
1.0000	Sale	05/15/13	08/09/13	22.41	25.52	0.00	(3.11)	
3.0000	Sale	05/16/13	08/09/13	67.25	76.75	0.00	(9.50)	
1.0000	Sale	05/17/13	08/09/13	22.42	25.63	0.00	(3.21)	
Security Subtotal				904.21	998.92	0.81	(93.90)	
CE TRUST II PFD STK 05 100% JAN 01 2049		CUSIP Number 78407R204						
2.0000	Sale	05/07/13	06/06/13	48.65	50.98	2.33 (W)	0.00	
2.0000	Sale	05/07/13	12/23/13	36.93	53.64	0.00 (Y)	(16.71)	
6.0000	Sale	05/08/13	12/23/13	110.81	153.93	0.00	(43.12)	
6.0000	Sale	05/09/13	12/23/13	110.82	154.73	0.00	(43.91)	
3.0000	Sale	05/10/13	12/23/13	55.41	76.99	0.00	(21.58)	
1.0000	Sale	05/20/13	12/23/13	18.47	25.39	0.00	(6.92)	

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SCCE TRUST II		CUSIP Number	78407R204					
PFD STK								
05 100%	JAN 01 2049							
2 0000	Sale	05/21/13	12/23/13	36.94	50.81	0.00	(13.87)	
2 0000	Sale	05/22/13	12/23/13	36.94	50.94	0.00	(14.00)	
2 0000	Sale	05/23/13	12/23/13	36.94	50.76	0.00	(13.82)	
3 0000	Sale	05/24/13	12/23/13	55.41	76.70	0.00	(21.29)	
8 0000	Sale	05/28/13	12/23/13	147.76	204.09	0.00	(56.33)	
2 0000	Sale	05/29/13	12/23/13	36.95	50.38	0.00	(13.43)	
Security Subtotal				732.03	999.34	2.33	(264.98)	
SCCE TRUST I		CUSIP Number	78406T201					
SER								
PFD STK								
1 0000	Sale	05/11/12	05/07/13	26.93	24.80	0.00	2.13	
3 0000	Sale	05/11/12	05/08/13	80.88	74.40	0.00	6.48	
3 0000	Sale	05/11/12	05/09/13	81.19	74.40	0.00	6.79	
2 0000	Sale	05/14/12	05/09/13	54.14	49.52	0.00	4.62	
2 0000	Sale	05/14/12	05/10/13	54.01	49.52	0.00	4.49	
6 0000	Sale	05/14/12	05/13/13	161.83	148.56	0.00	13.27	
2 0000	Sale	05/14/12	05/14/13	53.37	49.52	0.00	3.85	
6 0000	Sale	05/15/12	05/15/13	157.15	148.68	0.00	8.47	
5 0000	Sale	05/21/12	05/21/13	132.32	122.25	0.00	10.07	
Security Subtotal				801.82	741.65	0.00	60.17	
HANOVER INSURANCE GROUP		CUSIP Number	410867204					
GROUP CUM SUB DEB								
06 350%	MAR 30 2053							
2.0000	Sale	04/16/13	06/06/13	49.69	50.50	0.81 (W)	0.00	
1 0000	Sale	04/16/13	11/11/13	21.85	25.90	0.00 (Y)	(4.05)	
1.0000	Sale	04/16/13	12/23/13	20.41	25.88	0.00 (Y)	(5.47)	
4 0000	Sale	04/17/13	12/23/13	81.67	100.94	0.00	(19.27)	
14 0000	Sale	04/18/13	12/23/13	285.88	353.71	0.00	(67.83)	
9 0000	Sale	04/19/13	12/23/13	183.78	228.06	0.00	(44.28)	
8.0000	Sale	04/22/13	12/23/13	163.36	202.50	0.00	(39.14)	
1 0000	Sale	04/23/13	12/23/13	20.42	25.25	0.00	(4.83)	
6 0000	Sale	05/20/13	12/23/13	122.51	152.91	0.00	(30.40)	
5 0000	Sale	05/21/13	12/23/13	102.10	127.15	0.00	(25.05)	
2 0000	Sale	05/22/13	12/23/13	40.84	50.97	0.00	(10.13)	
1 0000	Sale	05/23/13	12/23/13	20.42	25.41	0.00	(4.99)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
HANOVER INSURANCE GROUP		CUSIP Number	410867204					
GROUP CUM SUB DEB								
06 350% MAR 30 2053								
3.0000	Sale	05/24/13	12/23/13	61.26	76.60	0.00	(15.34)	
1.0000	Sale	05/28/13	12/23/13	20.43	25.58	0.00	(5.15)	
Security Subtotal				1,194.62	1,471.36	0.81	(275.93)	
WR BERKLEY CAPTL T CLD		CUSIP Number	08449Q203					
TRUST ORG PFD SEC TOPRS								
6 75% PERPETUAL								
1.0000	Redemption	11/09/12	05/28/13	25.01	25.15	0.00	(0.14)	
WELLS FARGO & CO NEW		CUSIP Number	949746879					
SER J								
08 000% PERPETUAL								
9.0000	Sale	03/15/13	12/23/13	252.71	263.67	0.00	(10.96)	
18.0000	Sale	06/26/13	12/23/13	505.43	523.59	0.00	(18.16)	
5.0000	Sale	06/27/13	12/23/13	140.39	145.88	0.00	(5.49)	
2.0000	Sale	08/05/13	12/23/13	56.15	57.10	0.00	(0.95)	
10.0000	Sale	08/06/13	12/23/13	280.80	285.66	0.00	(4.86)	
5.0000	Sale	08/07/13	12/23/13	140.39	142.76	0.00	(2.37)	
7.0000	Sale	08/15/13	12/23/13	196.56	197.90	0.00	(1.34)	
19.0000	Sale	08/16/13	12/23/13	533.52	538.52	0.00	(5.00)	
9.0000	Sale	09/09/13	12/23/13	252.72	255.08	0.00	(2.36)	
6.0000	Sale	09/10/13	12/23/13	168.49	170.62	0.00	(2.13)	
Security Subtotal				2,527.16	2,580.78	0.00	(53.62)	
WELLS FARGO & COMPANY		CUSIP Number	949746721					
NON-CUM PERPETUAL CL A								
5 125% PFD STK, SERIES O								
6.0000	Sale	12/04/13	12/23/13	120.71	124.74	0.00	(4.03)	
5.0000	Sale	12/05/13	12/23/13	100.59	103.24	0.00	(2.65)	
6.0000	Sale	12/06/13	12/23/13	120.73	123.95	0.00	(3.22)	
Security Subtotal				342.03	351.93	0.00	(9.90)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TELEPHONE & DATA SYSTEM		CUSIP Number	879433845					
\$25 SENIOR NOTES								
06.875% NOV 15 2059								
2 0000	Sale	12/18/13	12/23/13	45 72	44 92	0 00	0 80	
4 0000	Sale	12/19/13	12/23/13	91 44	90 24	0 00	1 20	
7 0000	Sale	12/20/13	12/23/13	160 03	159 06	0 00	0 97	
Security Subtotal				297.19	294 22	0 00	2 97	
US BANCORP		CUSIP Number	902973817					
SERIES G								
PFD STK								
2 0000	Sale	08/05/13	12/23/13	55 30	53.19	0 00	2.11	
12 0000	Sale	08/06/13	12/23/13	331 81	320 29	0 00	11 52	
5 0000	Sale	08/07/13	12/23/13	138 25	133 66	0 00	4 59	
2 0000	Sale	09/04/13	12/23/13	55 30	53.95	0 00	1.35	
12 0000	Sale	09/05/13	12/23/13	331 81	322 48	0 00	9 33	
6 0000	Sale	09/09/13	12/23/13	165 91	161.87	0 00	4 04	
6 0000	Sale	09/10/13	12/23/13	165 91	162 46	0 00	3.45	
4 0000	Sale	09/11/13	12/23/13	110 61	108 48	0 00	2 13	
Security Subtotal				1,354 90	1,316 38	0 00	38.52	
US BANCORP		CUSIP Number	902973833					
PFD STK								
VAR% PERPETUAL								
1 0000	Sale	05/28/13	12/23/13	26 62	30 05	0 00	(3.43)	
1 0000	Sale	05/29/13	12/23/13	26 62	29.79	0 00	(3.17)	
1 0000	Sale	05/30/13	12/23/13	26 62	29.79	0 00	(3.17)	
4 0000	Sale	06/03/13	12/23/13	106 48	115 40	0 00	(8.92)	
5 0000	Sale	06/04/13	12/23/13	133.10	142.23	0 00	(9.13)	
3 0000	Sale	06/05/13	12/23/13	79 86	85.06	0 00	(5.20)	
4 0000	Sale	06/06/13	12/23/13	106 48	113 77	0 00	(7.29)	
1 0000	Sale	06/07/13	12/23/13	26 62	28.77	0 00	(2.15)	
4 0000	Sale	06/12/13	12/23/13	106 48	110.70	0 00	(4.22)	
3 0000	Sale	06/13/13	12/23/13	79 86	84 45	0 00	(4.59)	
2 0000	Sale	06/14/13	12/23/13	53 24	56.55	0 00	(3.31)	
2 0000	Sale	06/17/13	12/23/13	53 24	57 91	0 00	(4.67)	
10 0000	Sale	08/21/13	12/23/13	266.20	255.82	0 00	10 38	
1 0000	Sale	08/22/13	12/23/13	26 63	25.62	0 00	1 01	
Security Subtotal				1,118 05	1,165.91	0 00	(47 86)	

DAVID L LIEB FOUNDATION

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
URSTADT BIDDLE PROPS INC								
NEW MONEY SER F		CUSIP Number 917286700						
07.125% PERPETUAL								
2 0000	Sale	10/19/12	06/06/13	51 51	50 60	0 00	0 91	
VORNADO REALTY TR SER G								
CUM RED PFD SHARES		CUSIP Number 929042802						
06.625% PERPETUAL								
1 0000	Sale	04/08/13	12/23/13	23 18	25 23	0 00	(2 05)	
1 0000	Sale	04/12/13	12/23/13	23 18	25 25	0 00	(2 07)	
1 0000	Sale	04/16/13	12/23/13	23 18	25 25	0 00	(2 07)	
1 0000	Sale	04/17/13	12/23/13	23 18	25 36	0 00	(2 18)	
8 0000	Sale	04/19/13	12/23/13	185 44	203 25	0 00	(17 81)	
3 0000	Sale	07/19/13	12/23/13	69 54	75 46	0 00	(5 92)	
1 0000	Sale	07/23/13	12/23/13	23 18	25 35	0 00	(2 17)	
3 0000	Sale	07/31/13	12/23/13	69 54	76 31	0 00	(6 77)	
3 0000	Sale	08/01/13	12/23/13	69 54	76 64	0 00	(7 10)	
3 0000	Sale	08/02/13	12/23/13	69 55	76 49	0 00	(6 94)	
Security Subtotal				579.51	634.59	0.00	(55.08)	
VORNADO REALTY TRUST								
SERIES L CUM REDEEMABLE		CUSIP Number 929042844						
5.40% PFD SHS PERPETUAL								
19.0000	Sale	01/18/13	12/23/13	364.79	469.40	0 00	(104 61)	
VENTAS REALTY LP								
SENIOR NOTES		CUSIP Number 92276M204						
5.45% DUE 3/15/2043								
1 0000	Sale	03/07/13	06/06/13	24 36	25 32	0 96 (W)	0 00	
10 0000	Sale	03/07/13	12/23/13	211.09	253.18	0 00	(42 09)	
16 0000	Sale	03/12/13	12/23/13	337 75	402.41	0 00	(64 66)	
1 0000	Sale	03/14/13	12/23/13	21.11	24 54	0 00 (Y)	(3.43)	
2.0000	Sale	06/13/13	12/23/13	42.22	47.17	0 00	(4 95)	
1 0000	Sale	06/14/13	12/23/13	21.11	24 10	0 00	(2 99)	
2.0000	Sale	06/17/13	12/23/13	42.22	48 53	0 00	(6.31)	
3.0000	Sale	06/18/13	12/23/13	63.33	72.18	0 00	(8.85)	
1.0000	Sale	06/19/13	12/23/13	21.11	23.97	0 00	(2 86)	
1.0000	Sale	06/20/13	12/23/13	21.11	23 31	0 00	(2.20)	
1.0000	Sale	06/24/13	12/23/13	21.12	22.72	0 00	(1.60)	
Security Subtotal				826 53	967.43	0 96	(139.94)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TCF FINANCIAL CO SER PFD STK		CUSIP Number 872277207						
1.0000	Sale	07/02/12	06/06/13	26 24	25 47	0 00	0.77	
TELEPHONE & DATA SYSTEMS SENIOR NOTES 5.875% DUE 12/01/2061		CUSIP Number 879433795						
2 0000	Sale	11/27/12	06/06/13	49 09	49 44	0 00	(0.35)	
TEKAY OFFSHORE PARTNERS L.P. SER A CUM REDEEMAB 7 25% PFD UNITS PERPETUA		CUSIP Number Y8565J127						
1 0000	Sale	05/03/13	06/06/13	25 50	25 56	0 06 (W)	0 00	
1 0000	Sale	05/03/13	11/11/13	24 68	24 58	0 00	0 10	TRANSACTION ADDED
2 0000	Sale	05/08/13	12/23/13	48 50	49 43	0 00	(0 93)	TRANSACTION ADDED
3 0000	Sale	05/15/13	12/23/13	72 74	74 10	0 00	(1 36)	TRANSACTION ADDED
12.0000	Sale	05/16/13	12/23/13	291.00	295.95	0 00	(4.95)	TRANSACTION ADDED
2 0000	Sale	05/21/13	12/23/13	48 50	49 63	0 00	(1.13)	TRANSACTION ADDED
3 0000	Sale	05/22/13	12/23/13	72 75	74.61	0 00	(1.86)	TRANSACTION ADDED
2.0000	Sale	05/23/13	12/23/13	48.50	49 57	0 00	(1 07)	TRANSACTION ADDED
5 0000	Sale	05/24/13	12/23/13	121.25	124 14	0 00	(2 89)	TRANSACTION ADDED
9.0000	Sale	05/28/13	12/23/13	218 25	223 45	0 00	(5 20)	TRANSACTION ADDED
1.0000	Sale	05/29/13	12/23/13	24 25	24.76	0 00	(0.51)	TRANSACTION ADDED
1.0000	Sale	07/18/13	12/23/13	24 25	24 79	0 00	(0 54)	TRANSACTION ADDED
15 0000	Sale	07/19/13	12/23/13	363 76	377 01	0 00	(13 25)	TRANSACTION ADDED
4.0000	Sale	05/03/13	12/23/13	96.99	98 30	0 00	(1 31)	TRANSACTION ADDED
1.0000	Sale	05/03/13	12/23/13	24 24	24 79	0 00 (Y)	(0 55)	TRANSACTION ADDED
11.0000	Sale	05/06/13	12/23/13	266 74	271.24	0 00	(4 50)	TRANSACTION ADDED
Security Subtotal				1,771.90	1,811 91	0 06	(39 95)	
VORNADO RLTY LP SENIOR UNSEC NOTES PINES 7.875% DUE OCT 1 2039		CUSIP Number 929043602						
1.0000	Sale	11/11/13	12/23/13	25.88	26.37	0 00	(0.49)	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
WELLS FARGO & CO NON-CUM PERP CLASS A SERIES P 5 25%		CUSIP Number	949746655					
4 0000	Sale	07/02/13	12/23/13	80 23	95 40	0 00	(15 17)	
3 0000	Sale	07/03/13	12/23/13	60 17	71 81	0 00	(11 64)	
3 0000	Sale	07/05/13	12/23/13	60 18	70 01	0 00	(9 83)	
3 0000	Sale	07/08/13	12/23/13	60 18	69.59	0 00	(9.41)	
3 0000	Sale	07/09/13	12/23/13	60 18	70 09	0 00	(9 91)	
1.0000	Sale	07/10/13	12/23/13	20 07	23 35	0 00	(3 28)	
Security Subtotal				341 01	400 25	0 00	(59 24)	
W R BERKLEY CORPORATION SUBORDINATED DEBENTURES 5 625% DUE 4/30/2053		CUSIP Number	084423409					
1 0000	Sale	04/26/13	06/06/13	24 04	25 06	1.02 (W)	0.00	
1 0000	Sale	04/26/13	06/10/13	24.14	25.06	0.92 (W)	0.00	
1 0000	Sale	04/26/13	06/10/13	24.15	25.06	0.91 (W)	0.00	
2.0000	Sale	04/26/13	06/13/13	46 01	50 12	4.11 (W)	0.00	
1 0000	Sale	04/26/13	06/14/13	23 24	25 06	1 82 (W)	0.00	
1.0000	Sale	04/26/13	06/18/13	23.76	25.06	0.00	(1.30)	
8.0000	Sale	04/26/13	06/19/13	186 09	200.48	0 00	(14.39)	
1 0000	Sale	04/26/13	06/19/13	23 26	26.27	0 00 (Y)	(3.01)	
1.0000	Sale	04/26/13	06/19/13	23.26	26 17	0 00 (Y)	(2.91)	
1 0000	Sale	04/26/13	06/19/13	23.26	26.15	0 00 (Y)	(2.89)	
2 0000	Sale	04/26/13	06/19/13	46 54	54 59	0 00 (Y)	(8.05)	
1.0000	Sale	04/26/13	12/23/13	20 51	27.06	6 55 (WY)	0.00	
6.0000	Sale	04/29/13	12/23/13	123 12	150.75	27 63 (W)	0.00	
10 0000	Sale	04/29/13	12/23/13	205 19	251.25	0 00	(46.06)	
12.0000	Sale	05/01/13	12/23/13	246 24	301.21	0 00	(54 97)	
13 0000	Sale	05/07/13	12/23/13	266 75	328.24	0.00	(61.49)	
1 0000	Sale	12/18/13	12/23/13	20 52	20.24	0 00	0.28	
8.0000	Sale	12/19/13	12/23/13	164 16	163.76	0 00	0.40	
6 0000	Sale	12/20/13	12/23/13	123 13	122 37	0 00	0.76	
6 0000	Sale	04/29/13	12/24/13	122 40	151.23	0 00 (Y)	(28 83)	
1.0000	Sale	04/26/13	12/24/13	20.39	27.15	0 00 (Y)	(6.76)	
Security Subtotal				1,780.16	2,052.34	42 96	(229.22)	

DAVID L LIEB FOUNDATION

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
WELLS FARGO COMPANY		CUSIP Number	949746556					
FIXED-TO-FLOATING RATE								
5.85% NON-CUM PERPETUAL								
18 0000	Sale	07/16/13	10/16/13	442.32	450.79	0.00	(8.47)	
1 0000	Sale	07/16/13	11/11/13	23.83	25.04	1.21 (W)	0.00	
6 0000	Sale	07/16/13	12/23/13	140.12	150.27	0.00	(10.15)	
57 0000	Sale	07/18/13	12/23/13	1,331.18	1,443.86	0.00	(112.68)	
38 0000	Sale	07/19/13	12/23/13	887.45	962.26	0.00	(74.81)	
31 0000	Sale	07/22/13	12/23/13	723.97	783.99	0.00	(60.02)	
11 0000	Sale	07/24/13	12/23/13	256.89	275.05	0.00	(18.16)	
14 0000	Sale	07/29/13	12/23/13	326.96	344.69	0.00	(17.73)	
32 0000	Sale	08/06/13	12/23/13	747.34	780.80	0.00	(33.46)	
11 0000	Sale	08/07/13	12/23/13	256.89	268.40	0.00	(11.51)	
12 0000	Sale	08/15/13	12/23/13	280.25	289.41	0.00	(9.16)	
1 0000	Sale	08/15/13	12/23/13	23.35	24.63	0.00 (Y)	(1.28)	
10 0000	Sale	12/11/13	12/23/13	233.56	234.19	0.00	(0.63)	
Security Subtotal				5,674.11	6,033.38	1.21	(358.06)	
WACHOVIA PFD FUNDING		CUSIP Number	92977V206					
PERPETUAL SERIES A								
7.25% NON CUM PFD								
3 0000	Sale	03/16/12	01/28/13	81.56	77.04	0.00	4.52	
3 0000	Sale	03/16/12	01/29/13	81.69	77.04	0.00	4.65	
4 0000	Sale	03/16/12	01/30/13	108.46	102.72	0.00	5.74	
3 0000	Sale	03/16/12	02/04/13	81.35	77.04	0.00	4.31	
3 0000	Sale	03/16/12	02/05/13	81.54	77.05	0.00	4.49	
3 0000	Sale	03/16/12	02/06/13	81.56	77.04	0.00	4.52	
4 0000	Sale	03/16/12	02/07/13	108.56	102.73	0.00	5.83	
1 0000	Sale	03/19/12	03/12/13	27.86	25.71	0.00	2.15	
2 0000	Sale	03/19/12	03/13/13	54.98	51.43	0.00	3.55	
3 0000	Sale	03/19/12	03/14/13	82.58	77.14	0.00	5.44	
5 0000	Sale	03/20/12	03/15/13	137.53	128.67	0.00	8.86	
4 0000	Sale	03/20/12	03/18/13	109.61	102.94	0.00	6.67	
5 0000	Sale	03/20/12	03/19/13	136.83	128.67	0.00	8.16	
1 0000	Sale	03/21/12	03/20/13	27.33	25.67	0.00	1.66	
Security Subtotal				1,201.44	1,130.89	0.00	70.55	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
210NS BANCORP SER F PFD STK		CUSIP Number 989701867						
2 0000	Sale	05/02/12	03/15/13	56 96	52 04	0 00	4 92	
2 0000	Sale	05/02/12	03/20/13	56 88	52 04	0 00	4 84	
7 0000	Sale	05/02/12	03/25/13	199 05	182 14	0 00	16 91	
1 0000	Sale	05/02/12	04/29/13	28 87	26 02	0 00	2 85	
1 0000	Sale	05/02/12	04/30/13	28 79	26 02	0 00	2 77	
Security Subtotal				370.55	338 26	0 00	32.29	
210NS BANCORP NEW MONEY SER G VAR%DEC 31 2049		CUSIP Number 989701859						
1 0000	Sale	02/01/13	06/06/13	26 40	25 17	0 00	1 23	
36 0000	Sale	02/01/13	12/23/13	838 43	906 12	0 00	(67 69)	
Security Subtotal				864 83	931 29	0.00	(66.46)	
210NS BANCORPORATION NEW MONEY SER H 05 750% PERPETUAL		CUSIP Number 989701834						
4 0000	Sale	05/01/13	06/17/13	96 03	99.00	0 00	(2 97)	
1 0000	Sale	05/01/13	06/20/13	23.46	24 75	0.00	(1.29)	
3 0000	Sale	05/01/13	06/26/13	69 34	74.25	0.00	(4 91)	
3 0000	Sale	05/01/13	06/27/13	69.61	74 25	0.00	(4 64)	
2 0000	Sale	05/01/13	06/28/13	46 66	49 50	0.00	(2 84)	
13 0000	Sale	05/01/13	07/18/13	308.20	321 75	0.00	(13.55)	
13 0000	Sale	05/01/13	07/19/13	309 27	321 75	0.00	(12.48)	
1 0000	Sale	05/02/13	07/19/13	23.79	24.76	0.00	(0 97)	
Security Subtotal				946.36	990.01	0.00	(43.65)	
Covered Short Term Capital Gains and Losses Subtotal				172,479.27	184,834.55	172.55	(12,182.73)	
ET SHORT TERM CAPITAL GAINS AND LOSSES				172,479.27	184,834.55	172.55	(12,182.73)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
OVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
AMERICAN FINANCIAL GROUP SENIOR NOTES		CUSIP Number		025932500				
5.75% DUE 8/25/2042								
1.0000	Sale	09/18/12	12/23/13	21.14	25.28	0.00 (Y)	(4.14)	
2.0000	Sale	09/19/12	12/23/13	42.29	50.19	0.00 (Y)	(7.90)	
1.0000	Sale	09/20/12	12/23/13	21.15	25.50	0.00 (Y)	(4.35)	
1.0000	Sale	09/21/12	12/23/13	21.15	24.41	0.00 (Y)	(3.26)	
1.0000	Sale	09/21/12	12/23/13	21.15	25.34	0.00 (Y)	(4.19)	
4.0000	Sale	11/08/12	12/23/13	84.60	101.72	0.00	(17.12)	
2.0000	Sale	11/09/12	12/23/13	42.30	50.74	0.00	(8.44)	
3.0000	Sale	11/12/12	12/23/13	63.45	76.80	0.00	(13.35)	
2.0000	Sale	11/13/12	12/23/13	42.30	50.92	0.00	(8.62)	
Security Subtotal				359.53	430.90	0.00	(71.37)	
FLAC INC NEW MONEY		CUSIP Number		001055300				
05.500% SEP 15 2052								
3.0000	Sale	11/21/12	12/23/13	62.48	76.61	0.00	(14.13)	
12.0000	Sale	11/23/12	12/23/13	249.95	305.93	0.00	(55.98)	
3.0000	Sale	11/26/12	12/23/13	62.49	76.56	0.00	(14.07)	
Security Subtotal				374.92	459.10	0.00	(84.18)	
AFFILIATED MANAGERS GROUP INC SENIOR NOTES		CUSIP Number		008252868				
5.25% DUE 10/15/2022								
11.0000	Sale	12/12/12	12/23/13	279.06	278.48	0.00	0.58	
AMERIPRISE FINANCIAL INC 7.75% SENIOR NOTES		CUSIP Number		03076C205				
DUE 06/15/2039								
1.0000	Sale	11/09/12	12/23/13	25.69	27.99	0.00	(2.30)	
LEGON NV 6.375% PERPETUAL CAP SEC PFD STK		CUSIP Number		007924301				
2.0000	Sale	04/28/11	12/23/13	46.54	46.48	0.00	0.06	
1.0000	Sale	04/29/11	12/23/13	23.27	23.27	0.00	0.00	
1.0000	Sale	05/02/11	12/23/13	23.27	23.32	0.00	(0.05)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
LEGON NV 6 375% PERPETUAL CAP SEC PFD STK		CUSIP Number 007924301						
2 0000	Sale	05/03/11	12/23/13	46 54	46 99	0 00	(0 45)	
3 0000	Sale	05/04/11	12/23/13	69 81	70 75	0 00	(0 94)	
1 0000	Sale	06/02/11	12/23/13	23 27	23 89	0 00	(0 62)	
2 0000	Sale	06/03/11	12/23/13	46 54	47 78	0 00	(1 24)	
1 0000	Sale	06/06/11	12/23/13	23 27	23 91	0 00	(0 64)	
2 0000	Sale	06/08/11	12/23/13	46 54	47 41	0 00	(0 87)	
5 0000	Sale	06/13/11	12/23/13	116 35	118 24	0 00	(1 89)	
3 0000	Sale	06/14/11	12/23/13	69 81	71 28	0 00	(1 47)	
8 0000	Sale	06/15/11	12/23/13	186 16	191 44	0 00	(5 28)	
4 0000	Sale	06/21/11	12/23/13	93 08	91 66	0 00	1 42	
3 0000	Sale	06/22/11	12/23/13	69 81	69 47	0 00	0 34	
3 0000	Sale	06/23/11	12/23/13	69 81	69 46	0 00	0 35	
1 0000	Sale	06/24/11	12/23/13	23 27	23 11	0 00	0 16	
3 0000	Sale	11/01/11	12/23/13	69 81	61 14	0 00	8 67	
4 0000	Sale	01/05/12	12/23/13	93 08	80 66	0 00	12 42	
4 0000	Sale	01/06/12	12/23/13	93 08	83 12	0 00	9 96	
8 0000	Sale	01/09/12	12/23/13	186 16	166 89	0 00	19 27	
5 0000	Sale	01/10/12	12/23/13	116 35	105 24	0 00	11 11	
7 0000	Sale	01/24/12	12/23/13	162 89	150 82	0 00	12 07	
1 0000	Sale	03/17/11	12/23/13	23 26	22 58	0 00	0 68	
4 0000	Sale	03/18/11	12/23/13	93 08	90 95	0 00	2 13	
2 0000	Sale	03/21/11	12/23/13	46 54	45 62	0 00	0 92	
1 0000	Sale	03/22/11	12/23/13	23 26	22 66	0 00	0 60	
2 0000	Sale	03/23/11	12/23/13	46 54	45 42	0 00	1 12	
2 0000	Sale	03/24/11	12/23/13	46 54	45 41	0 00	1 13	
1 0000	Sale	04/27/11	12/23/13	23 27	23 12	0 00	0 15	
5 0000	Sale	01/25/12	12/23/13	116 35	107 73	0 00	8 62	
10 0000	Sale	02/08/12	12/23/13	232 70	223 70	0 00	9 00	
7 0000	Sale	02/09/12	12/23/13	162 89	156 08	0 00	6 81	
1 0000	Sale	11/09/12	12/23/13	23 28	25 75	0 00	(2 47)	
Security Subtotal				2,536 42	2,445 35	0 00	91 07	
LEGON NV PERP CAPITAL SECURITIES 6 875% PERP		CUSIP Number N00927306						
1 0000	Sale	12/05/11	03/26/13	25 39	21 16	0 00	4 23	
4 0000	Sale	12/05/11	06/06/13	100 03	84 63	0 00	15 40	
1 0000	Sale	12/05/11	11/11/13	24 70	21 16	0 00	3 54	

DAVID L. LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
AEGON NV		CUSIP Number	N00927306					
PERP CAPITAL SECURITIES								
6.875% PERP								
2 0000	Sale	12/05/11	12/23/13	49 53	42 32	0 00	7 21	
7 0000	Sale	12/06/11	12/23/13	173 38	150.85	0.00	22 53	
1 0000	Sale	12/07/11	12/23/13	24 76	21.58	0 00	3 18	
4 0000	Sale	12/08/11	12/23/13	99 07	85.58	0 00	13 49	
16 0000	Sale	02/03/12	12/23/13	396 30	379 75	0 00	16 55	
1 0000	Sale	02/06/12	12/23/13	24.76	23.73	0.00	1 03	
2 0000	Sale	12/10/12	12/23/13	49 53	50.00	0 00	(0 47)	
2 0000	Sale	12/11/12	12/23/13	49 53	50.02	0 00	(0 49)	
5 0000	Sale	12/12/12	12/23/13	123 84	125 05	0 00	(1 21)	
2 0000	Sale	12/13/12	12/23/13	49 53	50.09	0 00	(0 56)	
9 0000	Sale	12/14/12	12/23/13	222 92	226.56	0 00	(3 64)	
Security Subtotal				1,413 27	1,332.48	0 00	80 79	
AEGON N.V. (AEG)		CUSIP Number	007924608					
\$25 NON-CUM SUB NOTES								
8.00% DUE FEB 15 2042								
2 0000	Sale	01/31/12	05/10/13	57.67	49.50	0.00	8.17	
2 0000	Sale	03/01/12	05/10/13	57 68	51.35	0 00	6 33	
13 0000	Sale	03/01/12	05/13/13	375 15	333 80	0 00	41 35	
12 0000	Sale	03/02/12	05/17/13	344.07	308 62	0 00	35 45	
3 0000	Sale	03/02/12	05/20/13	86 08	77.16	0 00	8 92	
2 0000	Sale	03/05/12	05/20/13	57 39	51.32	0 00	6.07	
2 0000	Sale	03/05/12	06/06/13	55 59	51.31	0 00	4 28	
1 0000	Sale	03/15/12	06/06/13	27 80	25 80	0 00	2.00	
8 0000	Sale	03/15/12	12/23/13	222 80	206.43	0 00	16 37	
2 0000	Sale	03/16/12	12/23/13	55.70	51.72	0 00	3 98	
11 0000	Sale	03/21/12	12/23/13	306.36	284.68	0 00	21 68	
6 0000	Sale	03/22/12	12/23/13	167 10	155 27	0 00	11.83	
6 0000	Sale	03/23/12	12/23/13	167 10	155.89	0 00	11.21	
4 0000	Sale	03/26/12	12/23/13	111.40	104.20	0 00	7 20	
2 0000	Sale	03/27/12	12/23/13	55.70	52.17	0 00	3 53	
3 0000	Sale	08/31/12	12/23/13	83 55	82 88	0 00	0.67	
3 0000	Sale	09/04/12	12/23/13	83 55	82.79	0 00	0.76	
2 0000	Sale	09/05/12	12/23/13	55.70	55.04	0 00	0 66	
2 0000	Sale	09/06/12	12/23/13	55.70	55.24	0 00	0 46	
12 0000	Sale	10/11/12	12/23/13	334 22	332 81	0 00	1.41	
1 0000	Sale	11/09/12	12/23/13	27 85	27.84	0 00	0 01	
5 0000	Sale	11/15/12	12/23/13	139.25	136.71	0 00	2 54	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
A EGON N V (AEG)		CUSIP Number	007924608					
\$25 NON-CUM SUB NOTES								
8 00% DUE FEB 15 2042								
2.0000	Sale	11/16/12	12/23/13	55 70	55 66	0 00	0 04	
2 0000	Sale	11/19/12	12/23/13	55 70	55 99	0 00	(0 29)	
1 0000	Sale	11/20/12	12/23/13	27.85	28 02	0 00	(0 17)	
Security Subtotal				3,066 66	2,872 20	0 00	194 46	
A-MERICAN INTL GROUP		CUSIP Number	026874800					
SRS A-4 JR SUB DEB								
6 45% JUNE 15 2077								
2 0000	Sale	01/11/12	06/06/13	50 75	42 91	0.00	7.84	
3 0000	Redemption	01/11/12	06/17/13	75 00	64 36	0 00	10.64	
8.0000	Redemption	01/12/12	06/17/13	200 00	170.92	0 00	29.08	
6 0000	Redemption	01/13/12	06/17/13	149 99	126 24	0 00	23.75	
3 0000	Redemption	03/21/12	06/17/13	75 00	70 24	0.00	4 76	
8.0000	Redemption	03/22/12	06/17/13	200 00	187 07	0 00	12 93	
7 0000	Redemption	03/23/12	06/17/13	175 00	163.93	0 00	11 07	
Security Subtotal				925 74	825 67	0 00	100.07	
A LEXANDRIA REAL ESTATE		CUSIP Number	015271703					
EQTY INC(AR)SER E CUM								
6 45% REDMBLE PFD STK								
1.0000	Sale	03/14/12	06/06/13	25 68	24.80	0 00	0.88	
10 0000	Sale	03/14/12	12/23/13	216 89	248 00	0 00	(31.11)	
Security Subtotal				242 57	272 80	0 00	(30.23)	
A EGON NV		CUSIP Number	N00927348					
PFD STOCK 7 25%								
PERP CAP SECS								
27.0000	Sale	01/06/11	12/23/13	684.23	644.33	0.00	39 90	
5.0000	Sale	03/17/11	12/23/13	126 71	122.25	0 00	4 46	
6.0000	Sale	03/18/11	12/23/13	152 05	148.04	0 00	4.01	
6 0000	Sale	03/21/11	12/23/13	152 05	148.85	0.00	3 20	
7.0000	Sale	12/11/12	12/23/13	177 39	176 59	0 00	0 80	
4.0000	Sale	12/12/12	12/23/13	101.37	100.89	0.00	0.48	
5 0000	Sale	12/13/12	12/23/13	126 71	126.44	0 00	0.27	
5.0000	Sale	12/14/12	12/23/13	126 71	126.35	0 00	0 36	
3.0000	Sale	12/17/12	12/23/13	76 02	75 88	0 00	0 14	
Security Subtotal				1,723 24	1,669.62	0.00	53.62	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
AMERICAN INTL GROUP		CUSIP Number	026874859					
PFD								
7.70% DEC 18 2062								
8.0000	Redemption	02/02/11	03/18/13	199.99	197.35	0.00	2.64	
16.0000	Redemption	02/03/11	03/18/13	400.00	396.56	0.00	3.44	
6.0000	Redemption	02/04/11	03/18/13	150.00	149.00	0.00	1.00	
10.0000	Redemption	02/07/11	03/18/13	250.00	249.53	0.00	0.47	
11.0000	Redemption	02/08/11	03/18/13	275.00	274.00	0.00	1.00	
5.0000	Redemption	02/09/11	03/18/13	125.01	124.31	0.00	0.70	
Security Subtotal				1,400.00	1,390.75	0.00	9.25	
XIS CAPITAL HLDGS LTD		CUSIP Number	G0692U307					
SERIES C								
06.875% JAN 01 2049								
1.0000	Sale	03/13/12	04/08/13	27.36	25.00	0.00	2.36	
1.0000	Sale	03/13/12	04/09/13	27.26	25.00	0.00	2.26	
2.0000	Sale	03/14/12	04/10/13	54.71	50.84	0.00	3.87	
6.0000	Sale	03/14/12	04/11/13	163.69	152.52	0.00	11.17	
1.0000	Sale	03/14/12	04/12/13	27.19	25.42	0.00	1.77	
1.0000	Sale	03/14/12	04/15/13	27.18	25.42	0.00	1.76	
3.0000	Sale	03/15/12	04/16/13	81.63	76.02	0.00	5.61	
1.0000	Sale	03/15/12	04/17/13	27.16	25.34	0.00	1.82	
1.0000	Sale	03/15/12	04/18/13	27.15	25.34	0.00	1.81	
1.0000	Sale	03/15/12	05/14/13	28.19	25.34	0.00	2.85	
1.0000	Sale	03/15/12	05/15/13	27.93	25.34	0.00	2.59	
1.0000	Sale	03/15/12	05/16/13	27.50	25.34	0.00	2.16	
1.0000	Sale	03/15/12	05/20/13	27.47	25.34	0.00	2.13	
2.0000	Sale	03/15/12	05/20/13	54.92	50.68	0.00	4.24	
1.0000	Sale	03/15/12	05/21/13	27.45	25.34	0.00	2.11	
2.0000	Sale	03/15/12	05/21/13	54.85	50.68	0.00	4.17	
1.0000	Sale	03/15/12	05/22/13	27.47	25.34	0.00	2.13	
4.0000	Sale	03/15/12	05/22/13	109.93	101.36	0.00	8.57	
1.0000	Sale	03/15/12	05/23/13	27.30	25.34	0.00	1.96	
12.0000	Sale	03/15/12	06/06/13	319.79	304.08	0.00	15.71	
1.0000	Sale	03/15/12	11/11/13	24.21	25.34	0.00	(1.13)	
4.0000	Sale	03/15/12	12/23/13	95.05	101.36	6.31 (W)	0.00	
24.0000	Sale	03/15/12	12/23/13	570.29	608.16	0.00	(37.87)	
32.0000	Sale	03/16/12	12/23/13	760.39	814.08	0.00	(53.69)	
17.0000	Sale	03/20/12	12/23/13	403.95	432.54	0.00	(28.59)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
A XIS CAPITAL HLDGS LTD		CUSIP Number	G0692U307					
SERIES C								
06 875% JAN 01 2049								
11 0000	Sale	11/26/12	12/23/13	261 38	295 35	0 00	(33 97)	
4 0000	Sale	03/15/12	12/24/13	95 27	101 62	0 00	(6 35)	(Y)
Security Subtotal				3,406 67	3,493 53	6 31	(80 55)	
ARCH CAPITAL GROUP LTD		CUSIP Number	G0450A204					
SER C NON CUM PFD								
STK 6 75% PERPETUAL								
1 0000	Sale	03/28/12	04/08/13	27 64	25 04	0 00	2 60	
5 0000	Sale	04/03/12	04/09/13	136 93	127 75	0 00	9 18	
2 0000	Sale	04/03/12	04/10/13	54 84	51 10	0 00	3 74	
5 0000	Sale	04/03/12	04/11/13	136 95	127 75	0 00	9 20	
2 0000	Sale	04/03/12	04/12/13	54 66	51 10	0 00	3 56	
1 0000	Sale	04/03/12	04/15/13	27 36	25 55	0 00	1 81	
1 0000	Sale	04/03/12	04/16/13	27 30	25 55	0 00	1 75	
1 0000	Sale	04/03/12	04/19/13	27 57	25 55	0 00	2 02	
2 0000	Sale	04/03/12	04/29/13	55 12	51 10	0 00	4 02	
2 0000	Sale	04/03/12	04/30/13	55 02	51 10	0 00	3 92	
1 0000	Sale	04/03/12	05/02/13	27 51	25 55	0 00	1 96	
1 0000	Sale	04/03/12	05/02/13	27 61	25 55	0 00	2 06	
10 0000	Sale	04/03/12	05/03/13	274 99	255 50	0 00	19 49	
6 0000	Sale	04/03/12	05/20/13	167 93	153 30	0 00	14 63	
2 0000	Sale	04/03/12	05/21/13	55 83	51 10	0 00	4 73	
4 0000	Sale	04/03/12	05/22/13	111 47	102 20	0 00	9 27	
1 0000	Sale	04/03/12	05/23/13	27 58	25 55	0 00	2 03	
5 0000	Sale	04/03/12	05/24/13	138 12	127 75	0 00	10 37	
2 0000	Sale	04/03/12	06/06/13	53 13	51 10	0 00	2 03	
51 0000	Sale	04/03/12	12/23/13	1,180 11	1,303 05	0 00	(122 94)	
7 0000	Sale	04/09/12	12/23/13	161 97	177 76	0 00	(15 79)	
10 0000	Sale	04/10/12	12/23/13	231 40	253 23	0 00	(21 83)	
7 0000	Sale	04/12/12	12/23/13	161 98	178 34	0 00	(16 36)	
10 0000	Sale	04/13/12	12/23/13	231 40	254 03	0 00	(22 63)	
Security Subtotal				3,454 42	3,545 60	0 00	(91 18)	

DAVID L. LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ASPEN INSURANCE HLDG LTD SER PFD STK		CUSIP Number G05384147						
2 0000	Sale	04/11/12	06/06/13	53.77	49.84	0.00	3.93	
16 0000	Sale	04/11/12	12/23/13	397.59	398.72	0.00	(1.13)	
17 0000	Sale	04/11/12	12/23/13	422.44	423.78	0.00	(1.34)	
4 0000	Sale	05/03/12	12/23/13	99.40	100.14	0.00	(0.74)	
2 0000	Sale	05/04/12	12/23/13	49.70	50.07	0.00	(0.37)	
2 0000	Sale	05/07/12	12/23/13	49.70	50.14	0.00	(0.44)	
Security Subtotal				1,072.60	1,072.69	0.00	(0.09)	
AMERICAN FINANCIAL GROUP INC SR NOTES 6.375% DUE 6/12/2042		CUSIP Number 025932401						
2 0000	Sale	06/18/12	12/04/13	48.37	50.16	0.00	(1.79)	
4 0000	Sale	06/18/12	12/05/13	95.46	100.31	0.00	(4.85)	
1 0000	Sale	06/18/12	12/06/13	23.66	25.08	0.00	(1.42)	
4 0000	Sale	06/18/12	12/09/13	93.40	100.31	0.00	(6.91)	
2 0000	Sale	06/18/12	12/23/13	46.87	50.15	0.00	(3.28)	
3 0000	Sale	06/19/12	12/23/13	70.31	75.59	0.00	(5.28)	
6 0000	Sale	06/20/12	12/23/13	140.64	152.30	0.00	(11.66)	
11 0000	Sale	06/21/12	12/23/13	257.83	279.88	0.00	(22.05)	
17 0000	Sale	06/27/12	12/23/13	398.49	433.90	0.00	(35.41)	
Security Subtotal				1,175.03	1,267.68	0.00	(92.65)	
AFFILIATED MANAGERS GROUP, INC SR NOTES 6.375% DUE 8/15/2042		CUSIP Number 008252876						
2 0000	Sale	08/13/12	11/11/13	46.05	50.65	0.00	(4.60)	
33 0000	Sale	08/13/12	12/23/13	753.41	835.70	0.00	(82.29)	
31 0000	Sale	08/14/12	12/23/13	707.75	784.76	0.00	(77.01)	
1 0000	Sale	08/15/12	12/23/13	22.83	25.31	0.00	(2.48)	
5 0000	Sale	08/15/12	12/23/13	114.15	126.32	0.00	(12.17)	
2 0000	Sale	08/16/12	12/23/13	45.66	50.55	0.00	(4.89)	
4 0000	Sale	08/30/12	12/23/13	91.32	101.74	0.00	(10.42)	
2 0000	Sale	08/31/12	12/23/13	45.66	50.08	0.00	(4.42)	
3 0000	Sale	09/04/12	12/23/13	68.49	76.51	0.00	(8.02)	
18 0000	Sale	09/11/12	12/23/13	410.95	463.37	0.00	(52.42)	
49 0000	Sale	09/19/12	12/23/13	1,118.72	1,258.16	0.00	(139.44)	
10 0000	Sale	09/20/12	12/23/13	228.31	256.87	0.00	(28.56)	
6 0000	Sale	09/21/12	12/23/13	136.98	154.32	0.00	(17.34)	

DAVID L. LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
AFFILIATED MANAGERS GROUP, INC SR NOTES 6.375% DUE 8/15/2042		CUSIP Number 008252876						
2.0000	Sale	10/08/12	12/23/13	45.66	52.41	0.00	(6.75)	
3.0000	Sale	10/09/12	12/23/13	68.49	78.05	0.00	(9.56)	
7.0000	Sale	10/10/12	12/23/13	159.82	182.41	0.00	(22.59)	
4.0000	Sale	10/11/12	12/23/13	91.32	104.73	0.00	(13.41)	
Security Subtotal				4,155.57	4,651.94	0.00	(496.37)	
B&T CORPORATION SERIES D NON-CUMULATIVE 5.85% PERPETUAL		CUSIP Number 054937206						
2.0000	Sale	04/27/12	06/06/13	49.91	49.83	0.00	0.08	
3.0000	Sale	04/27/12	12/04/13	64.75	74.74	0.00	(9.99)	
5.0000	Sale	04/27/12	12/05/13	106.17	124.56	0.00	(18.39)	
4.0000	Sale	04/27/12	12/06/13	84.82	99.65	0.00	(14.83)	
3.0000	Sale	04/30/12	12/09/13	63.54	74.94	0.00	(11.40)	
4.0000	Sale	04/30/12	12/12/13	84.22	99.92	0.00	(15.70)	
4.0000	Sale	05/15/12	12/12/13	84.22	100.13	0.00	(15.91)	
5.0000	Sale	05/15/12	12/13/13	105.71	125.17	0.00	(19.46)	
1.0000	Sale	05/16/12	12/13/13	21.14	25.05	0.00	(3.91)	
1.0000	Sale	11/09/12	12/13/13	21.15	26.19	0.00	(5.04)	
Security Subtotal				685.63	800.18	0.00	(114.55)	
B&T CORPORATION SER E NON-CUM PFD STK 5.625% PERPETUAL		CUSIP Number 054937404						
20.0000	Sale	07/25/12	12/23/13	408.85	497.94	0.00	(89.09)	
2.0000	Sale	07/25/12	12/23/13	40.88	51.03	0.00	(10.15)	(Y)
11.0000	Sale	07/26/12	12/23/13	224.87	275.22	0.00	(50.35)	
14.0000	Sale	08/07/12	12/23/13	286.19	352.28	0.00	(66.09)	
15.0000	Sale	08/09/12	12/23/13	306.64	376.91	0.00	(70.27)	
Security Subtotal				1,267.43	1,553.38	0.00	(285.95)	
BANK OF NEW YORK MELLON SERIES C NON-CUM PFD STK 5.20% PERPETUAL		CUSIP Number 064058209						
40.0000	Sale	09/14/12	12/23/13	810.99	993.46	0.00	(182.47)	
4.0000	Sale	09/14/12	12/23/13	81.09	100.24	0.00	(19.15)	(Y)
7.0000	Sale	10/19/12	12/23/13	141.92	175.91	0.00	(33.99)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BANK OF NEW YORK MELLON SERIES C NON-CUM PFD STK 5.20% PERPETUAL		CUSIP Number	064058209					
1.0000	Sale	10/23/12	12/23/13	20.27	25.11	0.00	(4.84)	
9.0000	Sale	10/24/12	12/23/13	182.47	226.01	0.00	(43.54)	
2.0000	Sale	10/25/12	12/23/13	40.55	50.20	0.00	(9.65)	
4.0000	Sale	11/02/12	12/23/13	81.10	100.52	0.00	(19.42)	
7.0000	Sale	11/05/12	12/23/13	141.92	176.09	0.00	(34.17)	
1.0000	Sale	11/06/12	12/23/13	20.27	25.17	0.00	(4.90)	
5.0000	Sale	11/20/12	12/23/13	101.37	125.27	0.00	(23.90)	
4.0000	Sale	11/21/12	12/23/13	81.10	100.88	0.00	(19.78)	
3.0000	Sale	11/23/12	12/23/13	60.82	75.80	0.00	(14.98)	
Security Subtotal				1,763.87	2,174.66	0.00	(410.79)	
BARCLAYS BANK PLC 6.625% AMER DEP SHRS SER MAR 01 2049		CUSIP Number	06739F390					
6.0000	Sale	08/19/11	12/23/13	144.66	122.60	0.00	22.06	
3.0000	Sale	08/22/11	12/23/13	72.33	61.05	0.00	11.28	
1.0000	Sale	11/01/11	12/23/13	24.10	21.26	0.00	2.84	
4.0000	Sale	01/05/12	12/23/13	96.44	80.25	0.00	16.19	
10.0000	Sale	01/19/12	12/23/13	241.10	221.03	0.00	20.07	
7.0000	Sale	01/20/12	12/23/13	168.77	155.35	0.00	13.42	
1.0000	Sale	01/23/12	12/23/13	24.12	22.60	0.00	1.52	
Security Subtotal				771.52	684.14	0.00	87.38	
BARCLAYS BANK PLC NEW MONEY SER 3 07.100% PERPETUAL		CUSIP Number	06739H776					
2.0000	Sale	03/15/11	12/23/13	50.04	49.73	0.00	0.31	
14.0000	Sale	03/16/11	12/23/13	350.28	349.69	0.00	0.59	
1.0000	Sale	04/14/11	12/23/13	25.02	25.16	0.00	(0.14)	
4.0000	Sale	04/15/11	12/23/13	100.08	100.46	0.00	(0.38)	
5.0000	Sale	04/18/11	12/23/13	125.10	125.43	0.00	(0.33)	
6.0000	Sale	04/19/11	12/23/13	150.12	151.14	0.00	(1.02)	
6.0000	Sale	09/22/11	12/23/13	150.12	123.23	0.00	26.89	
1.0000	Sale	09/23/11	12/23/13	25.02	20.78	0.00	4.24	
5.0000	Sale	11/01/11	12/23/13	125.11	109.85	0.00	15.26	
Security Subtotal				1,100.89	1,055.47	0.00	45.42	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
B ARCLAYS BANK PLC AMERN DEP SHS SER 4 7 75% PERP		CUSIP Number	06739H511					
12 0000	Sale	01/19/12	12/23/13	304 07	288 42	0 00	15 65	
1.0000	Sale	01/20/12	12/23/13	25.34	24 09	0 00	1 25	
2.0000	Sale	11/09/12	12/23/13	50.68	50 92	0 00	(0 24)	
Security Subtotal				380 09	363 43	0 00	16 66	
B ARCLAYS BANK PLC AMERICAN DEP SHRS SRS 5 8 125% NON CUM PERP		CUSIP Number	06739H362					
1 0000	Sale	01/20/11	09/12/13	25 30	25 37	0 07 (W)	0.00	
2 0000	Sale	01/20/11	09/13/13	50 64	50 74	0.10 (W)	0.00	
3 0000	Sale	01/20/11	09/16/13	75 90	76 11	0 21 (W)	0 00	
2 0000	Sale	01/20/11	09/17/13	50 59	50.74	0.15 (W)	0 00	
2 0000	Sale	01/20/11	09/18/13	50 59	50 74	0 15 (W)	0 00	
1.0000	Sale	01/20/11	11/11/13	25 53	25.37	0 00	0.16	
4 0000	Sale	01/20/11	11/12/13	102 24	101.48	0 00	0.76	
10.0000	Sale	01/20/11	11/13/13	255 11	253 70	0 00	1.41	
24.0000	Sale	01/20/11	12/23/13	609 34	608 88	0 00	0 46	
15 0000	Sale	05/17/12	12/23/13	380 84	376 68	0 00	4.16	
1 0000	Sale	01/20/11	12/23/13	25.38	25.51	0 00 (Y)	(0.13)	
2.0000	Sale	01/20/11	12/23/13	50 77	50 98	0 00 (Y)	(0.21)	
3 0000	Sale	01/20/11	12/23/13	76 16	76 66	0 00 (Y)	(0.50)	
2.0000	Sale	01/20/11	12/23/13	50 77	51.12	0 00 (Y)	(0.35)	
2 0000	Sale	01/20/11	12/23/13	50 77	51.12	0 00 (Y)	(0.35)	
2 0000	Sale	05/16/12	12/23/13	50.77	50 61	0 00	0.16	
18.0000	Sale	05/17/12	12/23/13	457.01	452 01	0 00	5.00	
Security Subtotal				2,387 71	2,377 82	0.68	10.57	
THE CHARLES SCHWAB CORP NON-CUM SER B 6 00% PERPETUAL PFD STK		CUSIP Number	808513204					
3.0000	Sale	05/31/12	06/06/13	76.55	74 08	0 00	2.47	
11 0000	Sale	05/31/12	09/04/13	256.62	271.65	0 00	(15.03)	
6.0000	Sale	06/01/12	09/05/13	137.57	148.32	0 00	(10.75)	
5.0000	Sale	06/01/12	12/23/13	112.99	123.60	0 00	(10.61)	
24 0000	Sale	06/06/12	12/23/13	542.39	598 80	0 00	(56.41)	
11.0000	Sale	06/08/12	12/23/13	248 59	275.55	0 00	(26 96)	
1.0000	Sale	06/26/12	12/23/13	22 60	25.43	0 00	(2 83)	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
THE CHARLES SCHWAB CORP NON-CUM SER B 6.00% PERPETUAL PFD STK		CUSIP Number	808513204					
17 0000	Sale	06/27/12	12/23/13	384.20	433.93	0.00	(49.73)	
12 0000	Sale	08/30/12	12/23/13	271.20	312.00	0.00	(40.80)	
Security Subtotal				2,052.71	2,263.36	0.00	(210.65)	
CBL & ASSOCIATES PROP NEWM SER D 07.375% PERPETUAL		CUSIP Number	124830605					
4 0000	Sale	01/06/11	12/23/13	95.88	95.24	0.00	0.64	
5 0000	Sale	01/07/11	12/23/13	119.85	119.93	0.08 (W)	0.00	
1.0000	Sale	01/10/11	12/23/13	23.97	24.01	0.00	(0.04)	
1 0000	Sale	01/11/11	12/23/13	23.97	23.92	0.00	0.05	
2 0000	Sale	01/12/11	12/23/13	47.94	48.01	0.00	(0.07)	
2 0000	Sale	01/13/11	12/23/13	47.94	47.51	0.00	0.43	
1 0000	Sale	01/14/11	12/23/13	23.97	23.74	0.00	0.23	
3 0000	Sale	05/10/11	12/23/13	71.91	73.64	0.00	(1.73)	
15 0000	Sale	05/11/11	12/23/13	359.55	369.13	0.00	(9.58)	
5.0000	Sale	01/07/11	12/24/13	118.79	120.06	0.00 (Y)	(1.27)	
Security Subtotal				933.77	945.19	0.08	(11.34)	
UTIGROUP CAPITAL XVI ENHANCED TRUST PFD SEC 06 450% DEC 31 2066		CUSIP Number	17310L201					
1.0000	Sale	01/13/12	04/01/13	25.26	22.91	0.00	2.35	
1 0000	Sale	01/13/12	04/02/13	25.21	22.91	0.00	2.30	
2.0000	Sale	01/17/12	04/02/13	50.42	45.66	0.00	4.76	
7 0000	Sale	02/14/12	04/02/13	176.48	170.36	0.00	6.12	
1 0000	Sale	02/14/12	06/06/13	25.17	24.34	0.00	0.83	
1 0000	Redemption	02/14/12	07/15/13	24.99	24.33	0.00	0.66	
8.0000	Redemption	02/15/12	07/15/13	200.00	195.14	0.00	4.86	
1 0000	Redemption	02/16/12	07/15/13	25.00	24.35	0.00	0.65	
Security Subtotal				552.53	530.00	0.00	22.53	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CREDIT SUISSE CLD								
TIER 1 CAPITAL NOTES								
7.90% PERP NON CUM								
		CUSIP Number		225448208				
3.0000	Redemption	09/28/11	03/28/13	75.00	75.72	0.00	(0.72)	
6.0000	Redemption	09/29/11	03/28/13	150.00	152.74	0.00	(2.74)	
7.0000	Redemption	09/30/11	03/28/13	175.00	178.36	0.00	(3.36)	
8.0000	Redemption	10/07/11	03/28/13	200.00	201.09	0.00	(1.09)	
8.0000	Redemption	10/10/11	03/28/13	200.00	203.24	0.00	(3.24)	
1.0000	Redemption	11/01/11	03/28/13	25.00	25.78	0.00	(0.78)	
4.0000	Redemption	01/24/12	03/28/13	100.00	103.81	0.00	(3.81)	
4.0000	Redemption	01/25/12	03/28/13	100.00	104.84	0.00	(4.84)	
3.0000	Redemption	02/23/12	03/28/13	75.00	78.81	0.00	(3.81)	
4.0000	Redemption	02/24/12	03/28/13	100.00	105.87	0.00	(5.87)	
3.0000	Redemption	02/27/12	03/28/13	75.00	79.51	0.00	(4.51)	
1.0000	Redemption	02/28/12	03/28/13	25.00	26.66	0.00	(1.66)	
Security Subtotal				1,300.00	1,336.43	0.00	(36.43)	
COMMONWEALTH REIT								
CONV NEW MONEY SER D								
06.500% PERPETUAL								
		CUSIP Number		203233408				
15.0000	Sale	01/06/11	12/23/13	310.50	306.17	0.00	4.33	
2.0000	Sale	01/07/11	12/23/13	41.40	40.74	0.00	0.66	
1.0000	Sale	01/10/11	12/23/13	20.70	20.32	0.00	0.38	
2.0000	Sale	04/20/11	12/23/13	41.40	40.76	0.00	0.64	
7.0000	Sale	04/27/11	12/23/13	144.90	142.85	0.00	2.05	
6.0000	Sale	04/28/11	12/23/13	124.20	122.34	0.00	1.86	
2.0000	Sale	04/29/11	12/23/13	41.40	40.75	0.00	0.65	
2.0000	Sale	05/02/11	12/23/13	41.40	40.90	0.00	0.50	
2.0000	Sale	05/03/11	12/23/13	41.40	40.72	0.00	0.68	
5.0000	Sale	09/17/10	12/24/13	103.19	106.54	0.00 (Y)	(3.35)	
2.0000	Sale	09/20/10	12/24/13	41.28	42.92	0.00 (Y)	(1.64)	
1.0000	Sale	09/21/10	12/24/13	20.64	21.45	0.00 (Y)	(0.81)	
Security Subtotal				972.41	966.46	0.00	5.95	
UTIGROUP CAPITAL XIII								
7.875% FIX/FLT RATE								
TRST PFD DUE OCT 30 2040								
		CUSIP Number		173080201				
2.0000	Sale	01/26/11	12/23/13	54.61	53.30	0.00	1.31	
13.0000	Sale	01/27/11	12/23/13	355.02	347.09	0.00	7.93	
1.0000	Sale	04/17/12	12/23/13	27.31	27.17	0.00	0.14	
4.0000	Sale	03/12/12	12/23/13	109.23	109.36	0.00	(0.13)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CITIGROUP CAPITAL XIII								
7.875% FIX/FLT RATE		CUSIP Number 173080201						
TRST PFD DUE OCT 30 2040								
3.0000	Sale	07/12/12	12/23/13	81.93	84.34	0.00	(2.41)	
4.0000	Sale	07/13/12	12/23/13	109.23	112.55	0.00	(3.32)	
1.0000	Sale	07/16/12	12/23/13	27.31	28.27	0.00	(0.96)	
1.0000	Sale	11/09/12	12/23/13	27.31	27.77	0.00	(0.46)	
10.0000	Sale	03/13/12	12/23/13	273.10	277.46	0.00	(4.36)	
4.0000	Sale	04/12/12	12/23/13	109.24	108.68	0.00	0.56	
6.0000	Sale	04/16/12	12/23/13	163.86	163.02	0.00	0.84	
Security Subtotal				1,338.15	1,339.01	0.00	(0.86)	
COLONY FINCL CO (CLNY)								
SER A CUM REDMBLE PFD		CUSIP Number 19624R205						
STK 8.50% PERPETUAL								
34.0000	Sale	07/18/12	12/23/13	861.89	875.54	0.00	(13.65)	
CORP OFFICE PROP TR								
REITS SERIES L		CUSIP Number 22002T884						
7.375% PERPETUAL								
1.0000	Sale	06/29/12	11/11/13	24.32	25.17	0.00	(0.85)	
7.0000	Sale	06/29/12	12/23/13	171.52	176.18	0.00	(4.66)	
20.0000	Sale	07/02/12	12/23/13	490.08	506.33	0.00	(16.25)	
4.0000	Sale	07/03/12	12/23/13	98.01	101.42	0.00	(3.41)	
8.0000	Sale	07/06/12	12/23/13	196.03	204.06	0.00	(8.03)	
10.0000	Sale	07/09/12	12/23/13	245.04	257.27	0.00	(12.23)	
12.0000	Sale	07/18/12	12/23/13	294.05	308.44	0.00	(14.39)	
6.0000	Sale	07/19/12	12/23/13	147.03	153.96	0.00	(6.93)	
2.0000	Sale	08/07/12	12/23/13	49.01	51.40	0.00	(2.39)	
1.0000	Sale	08/10/12	12/23/13	24.50	25.75	0.00	(1.25)	
2.0000	Sale	08/13/12	12/23/13	49.01	51.50	0.00	(2.49)	
2.0000	Sale	08/14/12	12/23/13	49.01	51.61	0.00	(2.60)	
3.0000	Sale	08/15/12	12/23/13	73.51	77.42	0.00	(3.91)	
5.0000	Sale	08/16/12	12/23/13	122.53	128.84	0.00	(6.31)	
1.0000	Sale	08/17/12	12/23/13	24.50	25.73	0.00	(1.23)	
2.0000	Sale	08/20/12	12/23/13	49.03	51.49	0.00	(2.46)	
Security Subtotal				2,107.18	2,196.57	0.00	(89.39)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CAPITAL ONE FINANCIAL CORP SERIES B NON-CUM 6.0% PERPETUAL PFD STK		CUSIP Number 14040H402						
1 0000	Sale	09/06/12	11/11/13	21 75	24 95	0 00	(3 20)	
1 0000	Sale	09/06/12	12/23/13	21 58	24 95	0 00	(3 37)	
13 0000	Sale	11/12/12	12/23/13	280.67	329 96	0 00	(49 29)	
1 0000	Sale	11/13/12	12/23/13	21 59	25 05	0 00	(3 46)	
6.0000	Sale	09/06/12	12/23/13	129.53	150 65	0 00	(21 12)	(Y)
1 0000	Sale	09/07/12	12/23/13	21 58	24 97	0 00	(3 39)	
9 0000	Sale	09/10/12	12/23/13	194.30	224 81	0 00	(30 51)	
2 0000	Sale	09/12/12	12/23/13	43 17	49 96	0 00	(6 79)	
7 0000	Sale	09/13/12	12/23/13	151.12	174 84	0 00	(23 72)	
6.0000	Sale	09/18/12	12/23/13	129 53	149 36	0 00	(19 83)	
4 0000	Sale	09/19/12	12/23/13	86 35	99 62	0 00	(13 27)	
25 0000	Sale	10/08/12	12/23/13	539.75	629 22	0 00	(89 47)	
49 0000	Sale	10/09/12	12/23/13	1,057.91	1,237 47	0 00	(179 56)	
1.0000	Sale	10/10/12	12/23/13	21 59	25 28	0 00	(3 69)	
11 0000	Sale	10/11/12	12/23/13	237 49	278 60	0 00	(41 11)	
15.0000	Sale	10/24/12	12/23/13	323.85	377 14	0 00	(53 29)	
3 0000	Sale	11/08/12	12/23/13	64 77	75 65	0 00	(10 88)	
21.0000	Sale	11/09/12	12/23/13	453 39	530 59	0 00	(77 20)	
Security Subtotal				3,799.92	4,433 07	0 00	(633.15)	
COMCAST CORPORATION NOTES 5.00% DUE 12/15/2061		CUSIP Number 20030N606						
36.0000	Sale	12/06/12	12/23/13	752.03	910 33	0.00	(158 30)	
OB CONT CAP TRST II NEW MONEY 06.550% PERPETUAL		CUSIP Number 25153X208						
2 0000	Sale	09/06/11	12/23/13	50 04	42 51	0 00	7 53	
5 0000	Sale	09/07/11	12/23/13	125.10	109 21	0 00	15 89	
4 0000	Sale	09/08/11	12/23/13	100.08	86 87	0 00	13 21	
2.0000	Sale	09/09/11	12/23/13	50.04	42 51	0 00	7 53	
3 0000	Sale	11/01/11	12/23/13	75.06	63 03	0 00	12 03	
7 0000	Sale	12/16/11	12/23/13	175.14	131 25	0 00	43 89	
15 0000	Sale	12/19/11	12/23/13	375.30	278 69	0 00	96 61	
10 0000	Sale	01/05/12	12/23/13	250.20	204 69	0 00	45 51	
8 0000	Sale	01/19/12	12/23/13	200 16	174 73	0 00	25 43	
2 0000	Sale	01/20/12	12/23/13	50.04	43 76	0 00	6 28	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
0B CONT CAP TRST II NEW MONEY 06.550% PERPETUAL		CUSIP Number 25153X208						
11 0000	Sale	02/29/12	12/23/13	275.22	261.85	0.00	13.37	
1 0000	Sale	03/01/12	12/23/13	25.03	23.62	0.00	1.41	
	Security Subtotal			1,751.41	1,462.72	0.00	288.69	
0TE ENERGY CO (DTE) SERIES I CUM JR SUB DEBS 6.50% DUE DEC 1, 2061		CUSIP Number 233331602						
2.0000	Sale	12/08/11	01/14/13	55.13	51.14	0.00	3.99	
8.0000	Sale	12/08/11	01/15/13	218.50	204.58	0.00	13.92	
5.0000	Sale	12/08/11	01/16/13	136.50	127.86	0.00	8.64	
1.0000	Sale	12/08/11	01/17/13	27.12	25.57	0.00	1.55	
1.0000	Sale	12/08/11	01/30/13	27.13	25.57	0.00	1.56	
2.0000	Sale	12/08/11	01/31/13	54.33	51.15	0.00	3.18	
1.0000	Sale	12/08/11	02/01/13	27.20	25.57	0.00	1.63	
2.0000	Sale	12/08/11	02/04/13	54.38	51.15	0.00	3.23	
1.0000	Sale	12/08/11	02/05/13	27.22	25.57	0.00	1.65	
3.0000	Sale	12/08/11	02/06/13	81.94	76.72	0.00	5.22	
1.0000	Sale	12/09/11	02/07/13	27.39	25.67	0.00	1.72	
1.0000	Sale	12/09/11	02/08/13	27.38	25.67	0.00	1.71	
2.0000	Sale	12/09/11	02/11/13	54.91	51.35	0.00	3.56	
10.0000	Sale	12/09/11	04/08/13	275.19	256.73	0.00	18.46	
5.0000	Sale	12/09/11	04/09/13	137.40	128.36	0.00	9.04	
3.0000	Sale	12/09/11	04/10/13	82.20	77.02	0.00	5.18	
1.0000	Sale	12/09/11	05/20/13	27.39	25.67	0.00	1.72	
1.0000	Sale	12/09/11	05/21/13	27.45	25.67	0.00	1.78	
5.0000	Sale	12/12/11	05/21/13	137.29	128.25	0.00	9.04	
1.0000	Sale	12/12/11	05/21/13	27.46	25.66	0.00	1.80	
7.0000	Sale	12/12/11	05/22/13	190.82	179.65	0.00	11.17	
2.0000	Sale	12/12/11	06/06/13	53.11	51.33	0.00	1.78	
2.0000	Sale	12/12/11	11/11/13	48.71	51.33	0.00	(2.62)	
4.0000	Sale	12/13/11	12/23/13	96.23	102.60	0.00	(6.37)	
14.0000	Sale	12/13/11	12/23/13	336.82	359.12	0.00	(22.30)	
11.0000	Sale	12/16/11	12/23/13	264.64	286.44	0.00	(21.80)	
19.0000	Sale	12/19/11	12/23/13	457.11	498.12	0.00	(41.01)	
10.0000	Sale	12/21/11	12/23/13	240.58	264.64	0.00	(24.06)	
19.0000	Sale	12/22/11	12/23/13	457.11	504.31	0.00	(47.20)	
1.0000	Sale	12/23/11	12/23/13	24.05	26.73	0.00	(2.68)	
11.0000	Sale	01/05/12	12/23/13	264.65	294.50	0.00	(29.85)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DTE ENERGY CO (DTE)								
SERIES I CUM JR SUB DEBS		CUSIP Number 233331602						
6 50% DUE DEC 1, 2061								
3 0000	Sale	01/06/12	12/23/13	72.17	80.34	0.00	(8.17)	
2 0000	Sale	03/21/12	12/23/13	48.11	53.70	0.00	(5.59)	
1 0000	Sale	03/22/12	12/23/13	24.06	26.75	0.00	(2.69)	
2 0000	Sale	03/26/12	12/23/13	48.11	54.32	0.00	(6.21)	
2 0000	Sale	03/27/12	12/23/13	48.12	54.70	0.00	(6.58)	
20 0000	Sale	05/24/12	12/23/13	481.21	543.04	0.00	(61.83)	
Security Subtotal				4,689.12	4,866.55	0.00	(177.43)	
DEUTSCHE BK CAP TRUST V								
TRUST PREFERRED SHARES		CUSIP Number 25150L108						
8 05% PERPETUAL								
1 0000	Sale	10/26/10	12/16/13	27.35	27.25	0.00 (Y)	0.10	
1.0000	Sale	03/02/12	12/16/13	27.36	26.32	0.00	1.04	
3 0000	Sale	03/02/12	12/18/13	81.53	78.97	0.00	2.56	
2.0000	Sale	03/05/12	12/18/13	54.36	52.93	0.00	1.43	
3 0000	Sale	03/06/12	12/19/13	81.33	78.80	0.00	2.53	
2.0000	Sale	03/07/12	12/19/13	54.23	52.92	0.00	1.31	
Security Subtotal				326.16	317.19	0.00	8.97	
DOMINION RESOURCES								
SERIES A JR SUB NOTE		CUSIP Number 25746U604						
8 375% JUNE 15 2079								
6.0000	Sale	08/05/11	12/23/13	154.32	165.78	0.00	(11.46)	
14.0000	Sale	08/08/11	12/23/13	360.08	380.02	0.00	(19.94)	
Security Subtotal				514.40	545.80	0.00	(31.40)	
DIGITAL REALTY TRUST INC								
7.00% PERPETUAL PFD STK		CUSIP Number 253868707						
CUM REDEEMABLE SERIES E								
1.0000	Sale	09/15/11	06/06/13	25.97	24.98	0.00	0.99	
9.0000	Sale	09/15/11	12/23/13	201.14	224.81	0.00	(23.67)	
1.0000	Sale	10/03/11	12/23/13	22.35	24.86	0.00	(2.51)	
2.0000	Sale	10/04/11	12/23/13	44.70	49.67	0.00	(4.97)	
1.0000	Sale	10/05/11	12/23/13	22.34	24.93	0.00	(2.59)	
1.0000	Sale	10/06/11	12/23/13	22.35	24.95	0.00	(2.60)	
1.0000	Sale	10/07/11	12/23/13	22.36	24.94	0.00	(2.58)	
Security Subtotal				361.21	399.14	0.00	(37.93)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DUPONT FABROS TECHNOLOGY								
SERIES A 7.875% CUM PFD STK		CUSIP Number 26613Q205						
5 0000	Sale	01/06/11	12/23/13	123.50	125.61	0.00	(2.11)	
5 0000	Sale	01/07/11	12/23/13	123.50	126.04	0.00	(2.54)	
2 0000	Sale	01/10/11	12/23/13	49.40	50.33	0.00	(0.93)	
1 0000	Sale	01/11/11	12/23/13	24.70	25.26	0.00	(0.56)	
1 0000	Sale	01/12/11	12/23/13	24.70	25.27	0.00	(0.57)	
1 0000	Sale	01/13/11	12/23/13	24.71	25.22	0.00	(0.51)	
Security Subtotal				370.51	377.73	0.00	(7.22)	
DIGITAL REALTY TRUST INC								
SER F CUM REDMBLE PFD STK 6.625% PERPETUAL		CUSIP Number 253868806						
1.0000	Sale	04/03/12	06/06/13	25.04	24.93	0.00	0.11	
17 0000	Sale	04/03/12	12/23/13	359.20	423.81	0.00	(64.61)	
Security Subtotal				384.24	448.74	0.00	(64.50)	
DR CORP								
SER J CUM PFD STK		CUSIP Number 23317H607						
1 0000	Sale	10/09/12	11/11/13	21.24	25.10	0.00	(3.86)	
9 0000	Sale	10/09/12	12/23/13	198.80	225.91	0.00	(27.11)	
1 0000	Sale	10/09/12	12/23/13	22.08	25.32	0.00	(3.24)	
10 0000	Sale	10/10/12	12/23/13	220.90	251.16	0.00	(30.26)	
11 0000	Sale	10/11/12	12/23/13	242.98	277.33	0.00	(34.35)	
3 0000	Sale	10/12/12	12/23/13	66.27	75.97	0.00	(9.70)	
Security Subtotal				772.27	880.79	0.00	(108.52)	
TE ENERGY CO SER C								
JUNIOR SUB DEBENTURES 05 250% DEC 01 2062		CUSIP Number 233331701						
1.0000	Sale	10/01/12	11/11/13	20.47	25.40	0.00	(4.93)	
29 0000	Sale	10/01/12	12/23/13	566.64	736.60	0.00	(169.96)	
11.0000	Sale	10/09/12	12/23/13	214.94	276.98	0.00	(62.04)	
8 0000	Sale	10/10/12	12/23/13	156.32	201.27	0.00	(44.95)	
Security Subtotal				958.37	1,240.25	0.00	(281.88)	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ENTERGY LA LLC								
SERIES PFD STK		CUSIP Number		29364W405				
1.0000	Sale	11/09/12	12/23/13	24 00	28 15	0 00	(4 15)	
ENTERGY MISSISSIPPI INC								
6.00% PFD STK		CUSIP Number		29364N835				
1 0000	Sale	05/17/11	06/06/13	25 50	25 01	0 00	0 49	
4 0000	Sale	05/17/11	12/23/13	94 35	100.06	0.00	(5 71)	
7.0000	Sale	07/13/11	12/23/13	165 13	186 02	0.00	(20 89)	
6 0000	Sale	07/15/11	12/23/13	141 54	159 84	0 00	(18 30)	
1.0000	Sale	07/18/11	12/23/13	23 59	26 92	0 00	(3 33)	
1 0000	Sale	11/01/11	12/23/13	23 59	26 69	0.00	(3.10)	
Security Subtotal				473 70	524 54	0 00	(50.84)	
ENDURANCE SPECIALTY HLDG								
(ENH) SERIES B NON CUM PFD SHARES 7.5% PERPETUA		CUSIP Number		G30397304				
1.0000	Sale	06/01/11	06/06/13	26 57	25 00	0.00	1.57	
16 0000	Sale	06/02/11	12/23/13	402 87	399 63	0 00	3.24	
Security Subtotal				429 44	424.63	0 00	4.81	
ENTERGY LA LLC								
SER PFD STK		CUSIP Number		29364W504				
2 0000	Sale	07/18/12	07/24/13	45.36	52 17	0.00	(6.81)	
3.0000	Sale	07/18/12	07/25/13	67 41	78.25	0 00	(10.84)	
3 0000	Sale	07/18/12	07/26/13	67 27	78.26	0.00	(10 99)	
3.0000	Sale	07/18/12	07/29/13	66 68	78 25	0 00	(11.57)	
2.0000	Sale	07/19/12	07/30/13	44 23	51.95	0 00	(7 72)	
3.0000	Sale	07/19/12	07/31/13	65 77	77.93	0 00	(12.16)	
7.0000	Sale	07/19/12	08/05/13	151.26	181 84	0.00	(30 58)	
2 0000	Sale	07/19/12	08/06/13	42 74	51.96	0 00	(9.22)	
3.0000	Sale	07/20/12	08/06/13	64 13	78 04	0.00	(13.91)	
4.0000	Sale	07/20/12	08/07/13	84 91	104 06	0.00	(19.15)	
2.0000	Sale	07/20/12	08/08/13	42 12	52 03	0.00	(9.91)	
2.0000	Sale	07/23/12	08/08/13	42 13	52.01	0 00	(9 88)	
6 0000	Sale	07/23/12	08/15/13	126.65	156 04	0.00	(29.39)	
1.0000	Sale	07/23/12	08/16/13	20 98	26.01	0.00	(5 03)	
5 0000	Sale	09/05/12	10/08/13	102.87	134.49	0.00	(31.62)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ENTERGY LA LLC SER PFD STK		CUSIP Number	29364W504					
4 0000	Sale	09/06/12	10/09/13	81 04	107 58	0 00	(26 54)	
2 0000	Sale	09/07/12	10/09/13	40 52	53 89	0 00	(13 37)	
1 0000	Sale	09/10/12	10/09/13	20 27	26 97	0 00	(6 70)	
3 0000	Sale	09/10/12	10/10/13	60.25	80.90	0 00	(20 65)	
5.0000	Sale	09/11/12	10/10/13	100 42	134 69	0 00	(34 27)	
1 0000	Sale	09/11/12	10/11/13	20 15	26 94	0 00	(6 79)	
Security Subtotal				1,357.16	1,684.26	0 00	(327.10)	
EQUITY LIFESTYLE PROPERTY NEW MONEY SER C 06.750% PERPETUAL		CUSIP Number	29472R405					
1 0000	Sale	03/16/11	06/06/13	25.70	24.79	0 00	0 91	
1 0000	Sale	03/16/11	11/11/13	23 70	24 79	0 00	(1.09)	
3 0000	Sale	03/16/11	11/22/13	70 54	74.38	0 00	(3 84)	
3 0000	Sale	03/17/11	12/04/13	69 66	74 30	0 00	(4 64)	
5 0000	Sale	03/17/11	12/05/13	115.95	123 82	0.00	(7.87)	
4 0000	Sale	03/18/11	12/05/13	92 76	99.13	0 00	(6 37)	
2 0000	Sale	03/18/11	12/20/13	45 70	49 56	0.00	(3.86)	
6 0000	Sale	03/21/11	12/20/13	137 10	148.71	0 00	(11 61)	
2 0000	Sale	03/21/11	12/23/13	45 99	49 57	0 00	(3.58)	
1 0000	Sale	03/22/11	12/23/13	23 00	24.80	0 00	(1.80)	
3 0000	Sale	03/22/11	12/23/13	68.99	74.40	0 00	(5.41)	
3 0000	Sale	05/23/11	12/23/13	69 00	76.54	0 00	(7 54)	
4 0000	Sale	05/24/11	12/23/13	92.00	101.89	0 00	(9.89)	
1 0000	Sale	05/25/11	12/23/13	22 99	25.49	0 00	(2 50)	
1 0000	Sale	05/31/11	12/23/13	23 00	25.50	0 00	(2.50)	
3 0000	Sale	06/01/11	12/23/13	69 00	76.53	0 00	(7.53)	
4 0000	Sale	06/02/11	12/23/13	92 01	102.02	0 00	(10 01)	
Security Subtotal				1,087.09	1,176.22	0.00	(89.13)	
FIRST NIAGARA FINCL GRP (FNFG)FIXED-TO-FLTG RATE 8.625% PRPTUAL PFD SER B		CUSIP Number	33582V207					
2 0000	Sale	12/19/11	01/14/13	58 13	50.70	0 00	7.43	
4 0000	Sale	12/19/11	01/15/13	115.14	101.40	0 00	13 74	
1 0000	Sale	12/19/11	01/16/13	29 13	25.35	0 00	3.78	
1 0000	Sale	12/19/11	01/18/13	29.27	25.35	0.00	3 92	
2 0000	Sale	12/19/11	01/22/13	59 32	50.70	0 00	8.62	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FIRST NIAGARA FINCL GRP (FNFG)FIXED-TO-FLTG RATE 8 625% PRPTUAL PFD SER B		CUSIP Number	33582V207					
1 0000	Sale	12/19/11	01/23/13	29 56	25.35	0 00	4 21	
3 0000	Sale	12/19/11	01/24/13	89 20	76 05	0 00	13 15	
7 0000	Sale	12/19/11	05/17/13	203 55	177.45	0 00	26.10	
1 0000	Sale	12/19/11	05/20/13	29 13	25 35	0 00	3 78	
1 0000	Sale	12/19/11	05/21/13	29.10	25.35	0 00	3 75	
6 0000	Sale	12/19/11	05/22/13	174.47	152 10	0 00	22 37	
3 0000	Sale	12/19/11	06/06/13	85 76	76.05	0 00	9 71	
1 0000	Sale	12/19/11	11/11/13	28.25	25 35	0 00	2 90	
1 0000	Sale	12/19/11	12/23/13	28 18	25.35	0 00	2 83	
5.0000	Sale	01/03/12	12/23/13	140 92	129.31	0 00	11 61	
8 0000	Sale	01/04/12	12/23/13	225 48	205 97	0 00	19 51	
3 0000	Sale	01/11/12	12/23/13	84 55	77 77	0 00	6 78	
3 0000	Sale	01/12/12	12/23/13	84 55	78 08	0 00	6 47	
2.0000	Sale	01/13/12	12/23/13	56 37	52.41	0 00	3 96	
1 0000	Sale	01/17/12	12/23/13	28.18	26.28	0 00	1.90	
18.0000	Sale	01/31/12	12/23/13	507 34	486 19	0 00	21 15	
11 0000	Sale	02/03/12	12/23/13	310.04	297 62	0 00	12 42	
7 0000	Sale	02/06/12	12/23/13	197 30	189 88	0 00	7 42	
14 0000	Sale	02/07/12	12/23/13	394.60	377.59	0 00	17 01	
8 0000	Sale	02/08/12	12/23/13	225 48	216 46	0 00	9 02	
4 0000	Sale	02/09/12	12/23/13	112 74	108.06	0 00	4 68	
8.0000	Sale	02/09/12	12/23/13	225.49	216.10	0 00	9 39	
1 0000	Sale	02/16/12	12/23/13	28 18	27.15	0 00	1 03	
2 0000	Sale	02/17/12	12/23/13	56.37	55.03	0 00	1 34	
2 0000	Sale	02/21/12	12/23/13	56.37	55.67	0 00	0 70	
1.0000	Sale	02/22/12	12/23/13	28.18	27.91	0 00	0 27	
1 0000	Sale	02/23/12	12/23/13	28.18	27 83	0 00	0 35	
Security Subtotal				3,778 51	3,517.21	0 00	261 30	
FIRST REPUBLIC BANK (FRC) PFD STK SERIES A 6.70% NON CUM PERP		CUSIP Number	33616C209					
1.0000	Sale	01/31/12	02/01/13	27.06	25.08	0 00	1 98	
2.0000	Sale	01/31/12	02/05/13	54.14	50.16	0 00	3 98	
2.0000	Sale	01/31/12	02/07/13	54.16	50.16	0 00	4 00	
2.0000	Sale	01/31/12	02/12/13	53.79	50.15	0 00	3 64	
9 0000	Sale	01/31/12	02/13/13	241.54	225.70	0 00	15 84	
1.0000	Sale	02/01/12	02/13/13	26 84	25.13	0 00	1 71	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FIRST REPUBLIC BANK (FRC) PFD STK SERIES A 6.70% NON CUM PERP		CUSIP Number	33616C209					
2.0000	Sale	02/01/12	03/18/13	53.54	50.27	0.00	3.27	
4.0000	Sale	02/01/12	04/08/13	108.00	100.54	0.00	7.46	
5.0000	Sale	02/01/12	04/10/13	134.99	125.67	0.00	9.32	
1.0000	Sale	02/01/12	04/19/13	27.02	25.14	0.00	1.88	
1.0000	Sale	02/01/12	04/23/13	27.01	25.13	0.00	1.88	
3.0000	Sale	02/01/12	04/29/13	81.04	75.41	0.00	5.63	
2.0000	Sale	02/01/12	04/30/13	54.10	50.27	0.00	3.83	
1.0000	Sale	02/01/12	05/01/13	27.10	25.13	0.00	1.97	
1.0000	Sale	02/03/12	05/01/13	27.10	25.21	0.00	1.89	
2.0000	Sale	02/03/12	05/02/13	54.50	50.43	0.00	4.07	
7.0000	Sale	02/03/12	05/03/13	190.59	176.49	0.00	14.10	
2.0000	Sale	02/03/12	05/06/13	54.72	50.43	0.00	4.29	
2.0000	Sale	02/03/12	05/07/13	55.03	50.42	0.00	4.61	
6.0000	Sale	02/03/12	05/20/13	167.58	151.28	0.00	16.30	
1.0000	Sale	02/03/12	05/21/13	27.73	25.21	0.00	2.52	
1.0000	Sale	02/06/12	05/21/13	27.74	25.11	0.00	2.63	
3.0000	Sale	02/06/12	05/22/13	83.15	75.34	0.00	7.81	
1.0000	Sale	02/06/12	05/23/13	27.69	25.11	0.00	2.58	
1.0000	Sale	02/06/12	05/24/13	27.76	25.11	0.00	2.65	
5.0000	Sale	02/06/12	05/28/13	137.66	125.56	0.00	12.10	
1.0000	Sale	02/06/12	06/06/13	26.66	25.11	0.00	1.55	
2.0000	Sale	02/06/12	12/23/13	47.79	50.23	0.00	(2.44)	
15.0000	Sale	02/07/12	12/23/13	358.50	376.43	0.00	(17.93)	
17.0000	Sale	02/08/12	12/23/13	406.30	427.99	0.00	(21.69)	
Security Subtotal				2,690.83	2,589.40	0.00	101.43	
ENERGY LOUISIANA LLC NEW MONEY 06.000% MAR 15 2040		CUSIP Number	29364W306					
1.0000	Sale	11/01/11	12/23/13	24.65	27.84	0.00	(3.19)	
GENERAL ELEC CAP CORP CORP SENIOR NOTES 04.875% OCT 15 2052		CUSIP Number	369622428					
23.0000	Sale	10/03/12	12/23/13	473.33	568.16	0.00	(94.83)	
11.0000	Sale	11/02/12	12/23/13	226.38	275.29	0.00	(48.91)	
1.0000	Sale	11/05/12	12/23/13	20.58	25.03	0.00	(4.45)	
1.0000	Sale	11/09/12	12/23/13	20.58	25.00	0.00	(4.42)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GENERAL ELEC CAP CORP		CUSIP Number	369622428					
CORP SENIOR NOTES								
04 875% OCT 15 2052								
7 0000	Sale	11/15/12	12/23/13	144 07	174 19	0 00	(30 12)	
7 0000	Sale	10/11/12	12/23/13	144 06	175 35	0 00	(31.29)	
11 0000	Sale	10/05/12	12/23/13	226.37	273 55	0 00	(47.18)	
19 0000	Sale	10/05/12	12/23/13	391 01	472.50	0 00	(81.49)	
11 0000	Sale	10/09/12	12/23/13	226 37	274 22	0 00	(47 85)	
Security Subtotal				1,872.75	2,263 29	0.00	(390 54)	
THE GOLDMAN SACHS GRP		CUSIP Number	38145G209					
INC NON-CUM PFD STK								
5 95% SERIES I PERPETUAL								
13 0000	Sale	10/18/12	12/23/13	281 05	326.60	0 00	(45.55)	
17 0000	Sale	10/19/12	12/23/13	367 53	426.36	0 00	(58 83)	
19 0000	Sale	10/25/12	12/23/13	410.77	474 93	0 00	(64 16)	
15 0000	Sale	10/26/12	12/23/13	324.29	374.10	0 00	(49 81)	
1 0000	Sale	11/09/12	12/23/13	21 62	24 81	0 00	(3.19)	
8 0000	Sale	11/14/12	12/23/13	172 96	197.61	0 00	(24.65)	
7 0000	Sale	11/15/12	12/23/13	151 34	172.44	0 00	(21 10)	
13 0000	Sale	11/16/12	12/23/13	281 07	319 40	0 00	(38.33)	
Security Subtotal				2,010.63	2,316 25	0.00	(305.62)	
GOLDMAN SACHS GROUP INC		CUSIP Number	38145X111					
\$25 PAR SENIOR NOTES								
6 125% NOV 01 2060								
3 0000	Sale	11/05/10	12/23/13	73.06	74.24	0 00 (Y)	(1.18)	
10 0000	Sale	11/05/10	12/23/13	243.55	248.27	0 00 (Y)	(4 72)	
3 0000	Sale	11/05/10	12/23/13	73.06	74.56	0 00 (Y)	(1.50)	
9 0000	Sale	03/30/11	12/23/13	219.20	218 78	0 00	0 42	
3 0000	Sale	04/06/11	12/23/13	73.06	73.66	0 00	(0 60)	
6 0000	Sale	04/07/11	12/23/13	146.13	147.70	0 00	(1 57)	
10 0000	Sale	05/10/11	12/23/13	243 56	247.15	0 00	(3.59)	
17 0000	Sale	10/19/11	12/23/13	414.07	414.99	0 00	(0 92)	
1 0000	Sale	11/01/11	12/23/13	24.36	24 38	0 00	(0.02)	
Security Subtotal				1,510 05	1,523.73	0 00	(13.68)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GOLDMAN SACHS GP		CUSIP Number	38144G184					
\$25 PAR SENIOR NOTES								
6 50% DUE 11/1/2061								
2 0000	Sale	11/03/11	06/06/13	52.41	49.50	0.00	2.91	
1 0000	Sale	11/04/11	06/06/13	26.21	24.75	0.00	1.46	
1 0000	Sale	11/07/11	06/06/13	26.21	24.75	0.00	1.46	
20 0000	Sale	11/10/11	12/23/13	504.59	492.00	0.00	12.59	
13.0000	Sale	11/14/11	12/23/13	327.98	320.06	0.00	7.92	
7 0000	Sale	12/08/11	12/23/13	176.60	172.11	0.00	4.49	
6 0000	Sale	12/08/11	12/23/13	151.37	147.41	0.00	3.96	
10 0000	Sale	12/09/11	12/23/13	252.29	246.73	0.00	5.56	
22 0000	Sale	12/13/11	12/23/13	555.06	542.30	0.00	12.76	
3 0000	Sale	12/16/11	12/23/13	75.68	74.28	0.00	1.40	
3 0000	Sale	12/16/11	12/23/13	75.69	74.28	0.00	1.41	
9 0000	Sale	12/19/11	12/23/13	227.07	223.47	0.00	3.60	
7 0000	Sale	12/19/11	12/23/13	176.61	173.81	0.00	2.80	
3 0000	Sale	12/20/11	12/23/13	75.69	74.73	0.00	0.96	
4 0000	Sale	12/20/11	12/23/13	100.92	99.64	0.00	1.28	
4 0000	Sale	02/10/12	12/23/13	100.92	103.07	0.00	(2.15)	
4.0000	Sale	02/13/12	12/23/13	100.92	103.24	0.00	(2.32)	
4 0000	Sale	02/14/12	12/23/13	100.93	103.48	0.00	(2.55)	
Security Subtotal				3,107.15	3,049.61	0.00	57.54	
HOSPITALITY PROP TRUST		CUSIP Number	44106M607					
PFD STK								
07.125% PERPETUAL								
4 0000	Sale	01/17/12	06/06/13	104.43	99.16	0.00	5.27	
30 0000	Sale	01/17/12	12/23/13	702.28	743.67	0.00	(41.39)	
34 0000	Sale	01/19/12	12/23/13	795.93	841.50	0.00	(45.57)	
7.0000	Sale	01/26/12	12/23/13	163.87	174.48	0.00	(10.61)	
3 0000	Sale	02/13/12	12/23/13	70.23	75.34	0.00	(5.11)	
4.0000	Sale	02/14/12	12/23/13	93.64	100.50	0.00	(6.86)	
Security Subtotal				1,930.38	2,034.65	0.00	(104.27)	
HEALTH CARE REIT INC		CUSIP Number	42217K700					
(HCN) SER J CUM 6 50% REDEEMABLE PFD STK								
3.0000	Sale	03/06/12	06/06/13	77.09	75.16	0.00	1.93	
4.0000	Sale	03/06/12	12/17/13	92.73	100.22	0.00	(7.49)	
9 0000	Sale	03/06/12	12/18/13	204.69	225.49	0.00	(20.80)	
28.0000	Sale	03/06/12	12/23/13	652.38	701.54	0.00	(49.16)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
HEALTH CARE REIT INC								
(HCN) SER J CUM 6 50% REDEEMABLE PFD STK		CUSIP Number 42217K700						
11 0000	Sale	05/25/12	12/23/13	256 29	285 82	0 00	(29 53)	
6 0000	Sale	05/29/12	12/23/13	139 80	156 86	0 00	(17 06)	
2 0000	Sale	06/01/12	12/23/13	46 60	52 26	0 00	(5 66)	
6 0000	Sale	06/04/12	12/23/13	139 80	156 99	0 00	(17 19)	
8 0000	Sale	06/05/12	12/23/13	186 40	209 41	0 00	(23 01)	
1 0000	Sale	06/06/12	12/23/13	23 30	26 19	0 00	(2 89)	
Security Subtotal				1,819 08	1,989 94	0 00	(170 86)	
HARTFORD FINL SVCS GRP								
SER PFD STK		CUSIP Number 416518504						
7 0000	Sale	04/09/12	06/06/13	206 14	180 55	0 00	25 59	
2 0000	Sale	04/09/12	11/11/13	57 23	51 59	0 00	5 64	
36 0000	Sale	04/09/12	12/23/13	1,035 61	928 56	0 00	107 05	
72 0000	Sale	04/10/12	12/23/13	2,071 23	1,857 20	0 00	214 03	
33 0000	Sale	04/11/12	12/23/13	949 31	850 35	0 00	98 96	
8 0000	Sale	04/12/12	12/23/13	230 13	208 00	0 00	22 13	
9 0000	Sale	04/13/12	12/23/13	258 90	236 25	0 00	22 65	
16 0000	Sale	05/01/12	12/23/13	460 27	422 51	0 00	37 76	
4 0000	Sale	08/07/12	12/23/13	115 07	112 86	0 00	2 21	
5 0000	Sale	08/08/12	12/23/13	143 83	142 33	0 00	1 50	
5 0000	Sale	08/09/12	12/23/13	143 84	143 49	0 00	0 35	
Security Subtotal				5,671 56	5,133 69	0 00	537 87	
HSBC HLDGS PLC								
SER A 6.20% PFD STK		CUSIP Number 404280604						
1 0000	Sale	06/21/11	09/18/13	24 73	24 27	0 00	0 46	
3 0000	Sale	06/21/11	09/19/13	73 90	72 79	0 00	1 11	
2 0000	Sale	06/22/11	09/19/13	49 28	48 57	0 00	0 71	
3 0000	Sale	06/22/11	09/20/13	73 86	72 85	0 00	1 01	
1 0000	Sale	06/23/11	09/20/13	24 62	24 27	0 00	0 35	
2 0000	Sale	07/05/11	09/20/13	49 24	48 84	0 00	0 40	
1 0000	Sale	07/06/11	09/20/13	24 63	24 31	0 00	0 32	
2 0000	Sale	07/06/11	09/23/13	49 32	48 62	0 00	0 70	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
HSBC HLDGS PLC								
SER A 6 20% PFD STK		CUSIP Number		404280604				
3 0000	Sale	07/06/11	10/08/13	72.66	72.93	0.00	(0.27)	
1 0000	Sale	07/07/11	10/08/13	24.22	24.31	0.00	(0.09)	
Security Subtotal				466.46	461.76	0.00	4.70	
HSBC USA								
DEP SHRS REP 1/40 SHR 6 6 50% PERP		CUSIP Number		40428H862				
1 0000	Sale	10/24/11	06/06/13	25.24	24.61	0.00	0.63	
2 0000	Sale	10/24/11	12/23/13	47.99	49.21	0.00	(1.22)	
2.0000	Sale	10/26/11	12/23/13	47.99	49.16	0.00	(1.17)	
4 0000	Sale	10/31/11	12/23/13	95.99	100.11	0.00	(4.12)	
2 0000	Sale	11/01/11	12/23/13	48.00	49.70	0.00	(1.70)	
3.0000	Sale	11/02/11	12/23/13	72.00	74.73	0.00	(2.73)	
2.0000	Sale	11/03/11	12/23/13	48.00	49.80	0.00	(1.80)	
1 0000	Sale	11/07/11	12/23/13	24.00	24.85	0.00	(0.85)	
3 0000	Sale	05/22/12	12/23/13	72.00	75.57	0.00	(3.57)	
14 0000	Sale	05/23/12	12/23/13	335.99	353.15	0.00	(17.16)	
Security Subtotal				817.20	850.89	0.00	(33.69)	
HSBC HLDGS PLC								
SERIES 08.00% PFD STK		CUSIP Number		404280802				
6 0000	Sale	01/17/12	12/23/13	161.34	159.40	0.00	1.94	
4 0000	Sale	01/18/12	12/23/13	107.56	106.20	0.00	1.36	
7.0000	Sale	07/12/12	12/23/13	188.23	194.08	0.00	(5.85)	
5 0000	Sale	07/13/12	12/23/13	134.45	138.91	0.00	(4.46)	
7.0000	Sale	01/24/11	12/23/13	188.22	192.74	0.00	(4.52)	
1.0000	Sale	01/25/11	12/23/13	26.88	27.50	0.00	(0.62)	
5 0000	Sale	02/09/11	12/23/13	134.44	137.94	0.00	(3.50)	
4.0000	Sale	02/10/11	12/23/13	107.55	110.29	0.00	(2.74)	
9 0000	Sale	08/07/12	12/23/13	242.01	250.32	0.00	(8.31)	
3.0000	Sale	02/22/11	12/23/13	80.66	82.14	0.00	(1.48)	
6 0000	Sale	02/23/11	12/23/13	161.33	164.39	0.00	(3.06)	
4 0000	Sale	03/01/11	12/23/13	107.55	107.85	0.00	(0.30)	
5 0000	Sale	03/02/11	12/23/13	134.44	135.38	0.00	(0.94)	
3 0000	Sale	03/09/11	12/23/13	80.66	82.20	0.00	(1.54)	
4.0000	Sale	03/10/11	12/23/13	107.55	109.40	0.00	(1.85)	
1 0000	Sale	03/11/11	12/23/13	26.88	27.22	0.00	(0.34)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MSBC HLDGS PLC		CUSIP Number	404280802					
SERIES								
08 00% PFD STK								
9 0000	Sale	05/24/11	12/23/13	242 00	251 16	0 00	(9 16)	
1 0000	Sale	06/07/11	12/23/13	26 88	27 10	0 00	(0 22)	
6 0000	Sale	06/08/11	12/23/13	161 33	162 75	0 00	(1 42)	
3 0000	Sale	07/01/11	12/23/13	80 67	81 60	0 00	(0 93)	
5 0000	Sale	07/05/11	12/23/13	134 45	136 61	0 00	(2 16)	
6 0000	Sale	07/13/11	12/23/13	161 34	164 62	0 00	(3 28)	
4 0000	Sale	07/14/11	12/23/13	107 56	110 67	0 00	(3 11)	
10 0000	Sale	08/02/11	12/23/13	268 89	273 06	0 00	(4 17)	
7 0000	Sale	08/18/11	12/23/13	188 23	185 34	0 00	2 89	
8 0000	Sale	08/24/11	12/23/13	215 12	208 09	0 00	7 03	
1 0000	Sale	08/25/11	12/23/13	26 89	26 22	0 00	0 67	
9 0000	Sale	09/01/11	12/23/13	242 01	239 24	0 00	2 77	
1 0000	Sale	09/02/11	12/23/13	26 89	26 58	0 00	0 31	
1 0000	Sale	08/08/12	12/23/13	26 89	27 84	0 00	(0 95)	
Security Subtotal				3,898 90	3,946 84	0 00	(47 94)	
ING GROEP NV		CUSIP Number	456837509					
ING PERPETUAL DEBT SEC								
6 125%								
3 0000	Sale	05/09/11	06/06/13	74 24	66 81	0 00	7 43	
1 0000	Sale	05/09/11	12/23/13	23 60	22 27	0 00	1 33	
5 0000	Sale	12/06/11	12/23/13	118 04	83 37	0 00	34 67	
2 0000	Sale	12/09/11	12/23/13	47 21	32 85	0 00	14 36	
4 0000	Sale	12/12/11	12/23/13	94 43	65 09	0 00	29 34	
3 0000	Sale	12/13/11	12/23/13	70 83	49 23	0 00	21 60	
7 0000	Sale	01/05/12	12/23/13	165 26	121 18	0 00	44 08	
10 0000	Sale	01/06/12	12/23/13	236 10	174 32	0 00	61 78	
7 0000	Sale	01/09/12	12/23/13	165 27	122 75	0 00	42 52	
4 0000	Sale	01/10/12	12/23/13	94 44	72 41	0 00	22 03	
6 0000	Sale	01/11/12	12/23/13	141 66	107 44	0 00	34 22	
6 0000	Sale	01/12/12	12/23/13	141 66	107 90	0 00	33 76	
6 0000	Sale	01/13/12	12/23/13	141 66	106 57	0 00	35 09	
14 0000	Sale	01/19/12	12/23/13	330 54	254 67	0 00	75 87	
7 0000	Sale	01/23/12	12/23/13	165 27	128 47	0 00	36 80	
4 0000	Sale	01/24/12	12/23/13	94 44	73 33	0 00	21 11	
2 0000	Sale	01/25/12	12/23/13	47 22	37 07	0 00	10 15	
1 0000	Sale	01/26/12	12/23/13	23 61	18 80	0 00	4 81	
Security Subtotal				2,175 48	1,644 53	0 00	530 95	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PIMCO REALTY CORP CLASS J CUM REDEEMABLE 5 50% PERPETUAL		CUSIP Number	49446R778					
10 0000	Sale	07/17/12	12/23/13	195 99	250 01	0 00	(54 02)	
3 0000	Sale	08/17/12	12/23/13	58 80	72 99	0 00	(14 19)	
3 0000	Sale	08/20/12	12/23/13	58.80	73 23	0.00	(14 43)	
1.0000	Sale	08/21/12	12/23/13	19 60	24 43	0 00	(4 83)	
Security Subtotal				333 19	420 66	0 00	(87 47)	
PIMCO REALTY CORP CUM PERPETUAL CLASS K 5 625% REDEEMABLE PFD ST		CUSIP Number	49446R745					
18 0000	Sale	12/04/12	12/23/13	354 23	443 26	0 00	(89 03)	
PIMCO REALTY CORP 6 90% CUM PFD STK SER H, PERPETUAL		CUSIP Number	49446R828					
5 0000	Sale	07/14/11	12/23/13	121.99	128 83	0 00	(6 84)	
3.0000	Sale	07/15/11	12/23/13	73 20	77 88	0 00	(4 68)	
4 0000	Sale	07/18/11	12/23/13	97.60	103 55	0 00	(5 95)	
4 0000	Sale	07/19/11	12/23/13	97 59	103 34	0.00	(5 75)	
17.0000	Sale	08/04/11	12/23/13	414 81	432 88	0 00	(18.07)	
Security Subtotal				805.19	846 48	0 00	(41 29)	
PIMCO REALTY CORP (KIM) CLASS I CUM REDMBLE PFD STK 6.00% PERPETUAL		CUSIP Number	49446R794					
2 0000	Sale	03/12/12	06/06/13	50.55	49 78	0 00	0 77	
33.0000	Sale	03/12/12	12/23/13	691 66	821.31	0.00	(129.65)	
17.0000	Sale	03/15/12	12/23/13	356 31	419.90	0 00	(63 59)	
1 0000	Sale	11/09/12	12/23/13	20 97	26 00	0.00	(5 03)	
Security Subtotal				1,119 49	1,316.99	0.00	(197.50)	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
WING GROUP NV		CUSIP Number 456837707						
PERP HYBRID CAPITAL SECS								
7 375% PFD NON CUM								
12 0000	Sale	12/02/11	12/23/13	306 35	228 64	0 00	77 71	
15 0000	Sale	12/21/11	12/23/13	382.94	281 74	0 00	101 20	
22 0000	Sale	01/03/12	12/23/13	561.65	412 90	0.00	148 75	
16 0000	Sale	07/05/12	12/23/13	408 47	390 35	0 00	18 12	
Security Subtotal				1,659 41	1,313 63	0 00	345 78	
JPMORGAN CHASE CAP XXIX		CUSIP Number 48125E207						
PFD STK								
6 70% DUE 4/02/2040								
9 0000	Sale	08/24/11	01/09/13	234.35	229.30	0 00	5 05	
3 0000	Sale	08/25/11	01/09/13	78 12	76 64	0 00	1 48	
1 0000	Sale	08/25/11	01/23/13	26 28	25 54	0.00	0.74	
3 0000	Sale	09/15/11	01/23/13	78 85	76 40	0 00	2.45	
2 0000	Sale	09/15/11	01/24/13	52 44	50.93	0 00	1.51	
3 0000	Sale	09/15/11	01/25/13	78.63	76.40	0 00	2.23	
4 0000	Sale	09/15/11	01/28/13	104.80	101 87	0.00	2 93	
4.0000	Sale	09/15/11	01/29/13	104 50	101 87	0.00	2.63	
Security Subtotal				757 97	738 95	0.00	19.02	
JPM CHASE CAPITAL XIX		CUSIP Number 48123A207						
JR SUB NOTES SER S								
6 625% SEP 29, 2036								
1.0000	Sale	06/17/11	04/19/13	25 14	25 46	0 00	(0.32)	
1 0000	Sale	06/20/11	04/22/13	25.14	25.51	0 00	(0 37)	
1.0000	Sale	07/07/11	04/22/13	25.15	25.30	0 00	(0 15)	
4 0000	Sale	07/07/11	04/23/13	100 59	101.21	0 00	(0.62)	
3.0000	Sale	07/08/11	04/23/13	75.44	75.95	0 00	(0.51)	
3 0000	Sale	07/11/11	04/23/13	75.44	76.07	0 00	(0.63)	
3.0000	Sale	07/12/11	04/23/13	75 45	76.03	0.00	(0.58)	
1.0000	Sale	07/13/11	04/23/13	25 15	25 32	0 00	(0.17)	
2.0000	Sale	07/14/11	04/23/13	50.30	50.58	0 00	(0.28)	
5 0000	Sale	07/18/11	04/23/13	125 75	126.65	0.00	(0.90)	
7.0000	Sale	07/19/11	04/23/13	176 06	177.41	0 00	(1.35)	
3.0000	Sale	07/20/11	04/25/13	75.44	76 23	0 00	(0.79)	
1.0000	Sale	07/21/11	04/25/13	25.14	25.42	0 00	(0.28)	
3.0000	Sale	08/04/11	04/25/13	75 45	75.27	0 00	0.18	
10 0000	Sale	08/05/11	04/25/13	251.50	248.53	0 00	2.97	
4.0000	Sale	08/08/11	04/25/13	100.59	97 86	0 00	2.73	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
JP M CHASE CAPITAL XIX		CUSIP Number 48123A207						
JR SUB NOTES SER S								
6.625% SEP 29, 2036								
5.0000	Sale	08/15/11	04/25/13	125.75	126.85	0.00	(1.10)	
3.0000	Sale	08/16/11	04/25/13	75.45	76.04	0.00	(0.59)	
4.0000	Sale	08/17/11	04/25/13	100.60	101.29	0.00	(0.69)	
3.0000	Sale	08/24/11	04/25/13	75.45	76.01	0.00	(0.56)	
3.0000	Sale	08/29/11	04/25/13	75.46	76.38	0.00	(0.92)	
12.0000	Sale	08/29/11	04/30/13	301.79	305.50	0.00	(3.71)	
17.0000	Sale	09/06/11	04/30/13	427.54	431.40	0.00	(3.86)	
2.0000	Sale	11/23/11	04/30/13	50.29	50.68	0.00	(0.39)	
5.0000	Sale	11/29/11	04/30/13	125.74	126.71	0.00	(0.97)	
8.0000	Sale	11/30/11	04/30/13	201.19	203.61	0.00	(2.42)	
33.0000	Sale	12/16/11	04/30/13	829.96	838.25	0.00	(8.29)	
Security Subtotal				3,696.95	3,721.52	0.00	(24.57)	
JP MORGAN CHASE CAP XXIV		CUSIP Number 48123W209						
SERIES X TRUST PFD SEC								
06.875% AUG 01 2077								
11.0000	Sale	10/04/11	04/26/13	275.21	274.32	0.00	0.89	
10.0000	Sale	10/06/11	04/26/13	250.19	252.21	0.00	(2.02)	
8.0000	Sale	10/07/11	04/26/13	200.16	202.03	0.00	(1.87)	
2.0000	Sale	10/10/11	04/26/13	50.04	50.77	0.00	(0.73)	
Security Subtotal				775.60	779.33	0.00	(3.73)	
JP MORGAN CHASE & CO		CUSIP Number 48126E750						
SERIES O NON-CUM								
5.50% PFD STK PERPETUAL								
23.0000	Sale	08/21/12	12/23/13	469.69	572.28	0.00	(102.59)	
3.0000	Sale	08/21/12	12/23/13	61.26	76.41	0.00	(15.15)	(Y)
7.0000	Sale	09/06/12	12/23/13	142.95	175.00	0.00	(32.05)	
11.0000	Sale	09/14/12	12/23/13	224.63	274.52	0.00	(49.89)	
12.0000	Sale	09/26/12	12/23/13	245.05	298.75	0.00	(53.70)	
15.0000	Sale	09/28/12	12/23/13	306.32	373.13	0.00	(66.81)	
9.0000	Sale	10/16/12	12/23/13	183.79	227.39	0.00	(43.60)	
1.0000	Sale	10/17/12	12/23/13	20.42	25.29	0.00	(4.87)	
1.0000	Sale	11/09/12	12/23/13	20.42	25.18	0.00	(4.76)	
Security Subtotal				1,674.53	2,047.95	0.00	(373.42)	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ING GROEP NV		CUSIP Number 456837806						
PERP HYBRID CAPITAL SECS								
8.5% PFD NON CUM								
20 0000	Sale	12/21/11	11/08/13	510 01	428 88	0 00	81 13	
1 0000	Sale	12/22/11	11/08/13	25 51	21 67	0 00	3 84	
1 0000	Sale	12/22/11	11/11/13	25 50	21 67	0 00	3 83	
6 0000	Sale	12/22/11	11/19/13	152 99	130 01	0 00	22 98	
16 0000	Sale	01/03/12	11/19/13	407 99	354 77	0 00	53 22	
1 0000	Sale	01/04/12	11/19/13	25 49	22 25	0 00	3 24	
11 0000	Sale	05/08/12	11/19/13	280 51	282 02	0 00	(1 51)	
Security Subtotal				1,428 00	1,261 27	0 00	166.73	
FIRST REPUBLIC BANK		CUSIP Number 33616C407						
SER B NON-CUM PFD STK								
6.20% PERPETUAL								
2 0000	Sale	06/27/12	08/05/13	48 95	50 96	0 00	(2.01)	
2 0000	Sale	06/27/12	08/06/13	48 41	50 96	0 00	(2 55)	
4 0000	Sale	06/27/12	08/09/13	97 15	101 91	0 00	(4 76)	
4 0000	Sale	06/27/12	08/12/13	96 05	101.91	0 00	(5 86)	
1 0000	Sale	06/27/12	08/13/13	23 71	25 48	0 00	(1.77)	
1 0000	Sale	06/27/12	11/11/13	22 58	25 48	0 00	(2.90)	
1 0000	Sale	06/27/12	12/23/13	21 72	25 48	0 00	(3 76)	
18 0000	Sale	06/29/12	12/23/13	391 13	458.04	0 00	(66 91)	
8 0000	Sale	07/02/12	12/23/13	173 84	204.71	0 00	(30 87)	
12 0000	Sale	07/05/12	12/23/13	260 75	309 89	0 00	(49.14)	
7 0000	Sale	07/06/12	12/23/13	152 11	180.98	0 00	(28 87)	
7 0000	Sale	07/09/12	12/23/13	152 12	181 84	0 00	(29.72)	
Security Subtotal				1,488 52	1,717 64	0 00	(229.12)	
NIETLIFE INC		CUSIP Number 59156R603						
NON CUM PFD STK SER B								
06.500% PERPETUAL								
3 0000	Sale	01/10/11	12/23/13	73.64	74.10	0 00	(0.46)	
7 0000	Sale	01/11/11	12/23/13	171 84	173.22	0 00	(1.38)	
10 0000	Sale	01/12/11	12/23/13	245.49	247.42	0 00	(1.93)	
9 0000	Sale	07/06/11	12/23/13	220 94	225.04	0 00	(4.10)	
14 0000	Sale	07/07/11	12/23/13	343.69	351 37	0 00	(7.68)	
12 0000	Sale	08/05/11	12/23/13	294.59	292.22	0 00	2.37	
6 0000	Sale	09/06/11	12/23/13	147 30	149 57	0 00	(2.27)	
8 0000	Sale	09/07/11	12/23/13	196.40	200.18	0 00	(3 78)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
METLIFE INC		CUSIP Number	59156R603					
NON CUM PFD STK SER B								
06 500% PERPETUAL								
6 0000	Sale	09/08/11	12/23/13	147 30	150.20	0 00	(2 90)	
13 0000	Sale	11/18/11	12/23/13	319 15	330 24	0 00	(11 09)	
Security Subtotal				2,160 34	2,193.56	0 00	(33 22)	
MORGAN STANLEY CAP TR VI		CUSIP Number	617461207					
CAPITAL SECURITIES								
06 600% FEB 01 2046								
4 0000	Sale	07/06/11	03/06/13	100 85	99 85	0 00	1 00	
2 0000	Sale	07/07/11	03/06/13	50 42	50 06	0 00	0 36	
4 0000	Sale	07/08/11	03/06/13	100 87	100 18	0 00	0 69	
Security Subtotal				252.14	250 09	0 00	2 05	
LOYDS BANKING GRP PLC		CUSIP Number	539439802					
PINES SENIOR NOTES								
07.750% JUL 15 2050								
1 0000	Sale	08/18/10	06/06/13	27.42	26.46	0 00 (Y)	0 96	
1 0000	Sale	08/18/10	06/06/13	27.43	26 41	0 00 (Y)	1 02	
1.0000	Sale	08/25/10	06/06/13	27.43	26.90	0 00 (Y)	0 53	
2 0000	Sale	08/26/10	06/06/13	54 87	53 47	0 00 (Y)	1 40	
3 0000	Sale	08/26/10	12/23/13	79.77	80.22	0 00 (Y)	(0 45)	
3 0000	Sale	09/06/10	12/23/13	79.77	81 46	0 00 (Y)	(1 69)	
1.0000	Sale	02/02/11	12/23/13	26.59	25.62	0 00	0 97	
4 0000	Sale	02/03/11	12/23/13	106.36	103 33	0 00	3 03	
5 0000	Sale	01/10/12	12/23/13	132.96	128.58	0 00	4 38	
6.0000	Sale	01/11/12	12/23/13	159 56	154 11	0 00	5.45	
1 0000	Sale	01/12/12	12/23/13	26.59	25.67	0 00	0 92	
3 0000	Sale	03/01/12	12/23/13	79.78	78 53	0 00	1.25	
13 0000	Sale	06/27/12	12/23/13	345.74	340.60	0 00	5 14	
5.0000	Sale	02/04/11	12/23/13	132.96	128 60	0 00	4 36	
10 0000	Sale	09/28/11	12/23/13	265.92	246 01	0 00	19.91	
10.0000	Sale	10/11/11	12/23/13	265.92	251.77	0 00	14 15	
6.0000	Sale	10/12/11	12/23/13	159.55	152.58	0 00	6 97	
11.0000	Sale	10/13/11	12/23/13	292.51	276 90	0 00	15 61	
5.0000	Sale	10/14/11	12/23/13	132.96	126 54	0 00	6 42	
2.0000	Sale	10/27/11	12/23/13	53.18	51 60	0 00	1 58	
1.0000	Sale	10/31/11	12/23/13	26.59	25.51	0 00	1.08	
3 0000	Sale	11/01/11	12/23/13	79.77	76 08	0 00	3 69	
2 0000	Sale	11/02/11	12/23/13	53.18	50.97	0 00	2 21	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
LOYDS BANKING GRP PLC PINES SENIOR NOTES 07 750% JUL 15 2050		CUSIP Number 539439802						
1 0000	Sale	11/03/11	12/23/13	26 59	25 56	0 00	1 03	
2 0000	Sale	11/04/11	12/23/13	53 18	51 12	0 00	2 06	
1 0000	Sale	11/07/11	12/23/13	26 59	25 76	0 00	0 83	
9 0000	Sale	11/09/11	12/23/13	239 33	229 50	0 00	9 83	
18 0000	Sale	11/15/11	12/23/13	478 67	459 78	0 00	18 89	
13 0000	Sale	11/25/11	12/23/13	345 70	330 08	0 00	15 62	
4 0000	Sale	12/23/11	12/23/13	106 37	101 63	0 00	4 74	
1 0000	Sale	12/27/11	12/23/13	26 59	25 49	0 00	1 10	
3 0000	Sale	12/28/11	12/23/13	79 78	76 28	0 00	3 50	
2 0000	Sale	12/29/11	12/23/13	53 18	50 88	0 00	2 30	
1 0000	Sale	12/30/11	12/23/13	26 59	25 43	0 00	1 16	
1 0000	Sale	01/03/12	12/23/13	26 59	25 56	0 00	1 03	
Security Subtotal				4,125.97	3,964.99	0 00	160.98	
NATL RETAIL PPTY INC (NNN) SER D CUM 6 625% REDEEMABLE PFD STK		CUSIP Number 637417601						
2 0000	Sale	02/22/12	05/20/13	54 48	50 12	0 00	4.36	
3 0000	Sale	02/22/12	05/21/13	82 02	75 19	0 00	6.83	
4 0000	Sale	02/22/12	05/22/13	108 37	100 25	0 00	8.12	
2 0000	Sale	02/22/12	05/23/13	53 72	50 12	0 00	3.60	
2 0000	Sale	02/22/12	05/24/13	53 56	50 12	0 00	3.44	
1 0000	Sale	02/22/12	05/28/13	26 89	25 06	0 00	1.83	
2 0000	Sale	02/22/12	05/29/13	52 51	50 13	0 00	2.38	
2 0000	Sale	02/22/12	06/06/13	50 47	50 12	0 00	0.35	
1 0000	Sale	02/23/12	11/11/13	22 59	25 12	0 00	(2 53)	
16 0000	Sale	02/23/12	12/23/13	351 99	401 92	0 00	(49 93)	
17 0000	Sale	02/28/12	12/23/13	373 99	426 35	0 00	(52 36)	
9 0000	Sale	03/01/12	12/23/13	197 99	225 69	0 00	(27 70)	
9 0000	Sale	03/02/12	12/23/13	197 99	225 82	0 00	(27 83)	
4 0000	Sale	06/06/12	12/23/13	87 99	101 45	0 00	(13 46)	
5 0000	Sale	06/07/12	12/23/13	110 00	127 16	0 00	(17 16)	
3 0000	Sale	06/08/12	12/23/13	66 00	76 33	0 00	(10 33)	
4 0000	Sale	06/11/12	12/23/13	88 00	102 31	0 00	(14 31)	
1 0000	Sale	06/12/12	12/23/13	22 00	25 60	0 00	(3 60)	
10 0000	Sale	11/09/12	12/23/13	220 00	264 80	0 00	(44 80)	
Security Subtotal				2,220.56	2,453.66	0.00	(233.10)	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
NEXTERA ENERGY CAPITAL SER G PFD STK		CUSIP Number	65339K605					
1 0000	Sale	03/23/12	05/20/13	26 97	24 95	0 00	2 02	
2 0000	Sale	03/23/12	05/21/13	53 83	49 89	0 00	3.94	
4 0000	Sale	03/26/12	05/22/13	107 36	99 84	0 00	7 52	
1 0000	Sale	03/26/12	05/23/13	26 65	24 96	0 00	1.69	
7 0000	Sale	03/26/12	05/24/13	186 00	174 72	0 00	11 28	
1 0000	Sale	03/26/12	05/28/13	26 63	24 96	0 00	1 67	
2 0000	Sale	03/26/12	05/29/13	51 41	49 92	0 00	1.49	
9 0000	Sale	03/26/12	06/20/13	215 47	224 64	0 00	(9.17)	
1.0000	Sale	03/26/12	06/21/13	23 84	24 96	0.00	(1.12)	
4 0000	Sale	03/26/12	07/24/13	95.33	99 84	0 00	(4.51)	
4 0000	Sale	03/26/12	07/25/13	94 15	99 84	0 00	(5 69)	
2 0000	Sale	03/26/12	07/26/13	46 87	49.92	0 00	(3 05)	
4 0000	Sale	03/29/12	07/26/13	93 76	99 81	0 00	(6 05)	
2 0000	Sale	03/29/12	07/29/13	46 42	49.90	0.00	(3.48)	
1 0000	Sale	03/29/12	11/11/13	21 37	24 95	3 58 (W)	0.00	
56 0000	Sale	03/29/12	12/23/13	1,155 81	1,397.27	0.00	(241 46)	
1 0000	Sale	04/09/12	12/23/13	20.63	24 92	0 00 (Y)	(4.29)	
17.0000	Sale	04/11/12	12/23/13	350.88	429 02	0.00	(78 14)	
Security Subtotal				2,643 38	2,974 31	3.58	(327.35)	
NEXTERA ENERGY CAPITAL SERIES H JR SUB DEB 5 625% DUE 06/15/2072		CUSIP Number	65339K704					
4 0000	Sale	06/13/12	06/20/13	94 95	99 00	0.00	(4.05)	
8 0000	Sale	06/13/12	06/27/13	191.32	198.01	0 00	(6.69)	
5.0000	Sale	06/18/12	06/28/13	119 72	125.20	0 00	(5.48)	
1.0000	Sale	06/18/12	07/01/13	23.85	25.04	0 00	(1.19)	
5 0000	Sale	06/18/12	07/02/13	119.31	125.20	0 00	(5.89)	
2.0000	Sale	06/18/12	07/03/13	47.50	50.08	0 00	(2.58)	
6 0000	Sale	06/18/12	12/23/13	121.43	150.24	0.00	(28.81)	
16.0000	Sale	06/19/12	12/23/13	323 83	401.88	0 00	(78.05)	
18.0000	Sale	06/20/12	12/23/13	364.31	454.35	0 00	(90.04)	
6 0000	Sale	08/07/12	12/23/13	121 44	160.59	0 00	(39.15)	
6.0000	Sale	08/08/12	12/23/13	121.44	160.81	0 00	(39.37)	
4 0000	Sale	08/09/12	12/23/13	80.95	107.59	0 00	(26.64)	
1 0000	Sale	08/10/12	12/23/13	20.24	26.83	0 00	(6.59)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
WEXTERA ENERGY CAPITAL SERIES H JR SUB DEB 5 625% DUE 06/15/2072		CUSIP Number	65339K704					
9 0000	Sale	08/13/12	12/23/13	182 16	241 40	0 00	(59 24)	
8 0000	Sale	08/14/12	12/23/13	161.92	214 94	0 00	(53 02)	
Security Subtotal				2,094 37	2,541 16	0 00	(446 79)	
NEXTERA ENERGY CAPITAL CUM SERIES I JR SUB DEB 5 125% DUE 11/15/2072		CUSIP Number	65339K803					
35 0000	Sale	11/16/12	12/23/13	654 49	863 20	0 00	(208.71)	
13 0000	Sale	11/20/12	12/23/13	243 09	319 15	0 00	(76 06)	
Security Subtotal				897 58	1,182 35	0.00	(284 77)	
MORGAN STANLEY NEW MONEY SER A FLT% PERPETUAL		CUSIP Number	61747S504					
1 0000	Sale	03/01/12	06/06/13	22 88	17.84	0 00	5 04	
15 0000	Sale	03/01/12	12/23/13	281 69	267 55	0 00	14.14	
6.0000	Sale	03/23/12	12/23/13	112 69	113 38	0.00	(0 69)	
4 0000	Sale	03/02/12	12/23/13	75.11	71 68	0 00	3 43	
7 0000	Sale	03/21/12	12/23/13	131.46	131.97	0.00	(0.51)	
9 0000	Sale	03/22/12	12/23/13	169 02	169.94	0 00	(0.92)	
Security Subtotal				792 85	772 36	0 00	20.49	
MORGAN STANLEY CAP TRVII CAPITAL SECURITIES 06 60% OCT 15 2066		CUSIP Number	61750K208					
2 0000	Sale	05/10/11	12/23/13	49.72	49.28	0 00	0.44	
4 0000	Sale	05/11/11	12/23/13	99.45	99 09	0.00	0.36	
5 0000	Sale	05/12/11	12/23/13	124 32	123.99	0.00	0.33	
4 0000	Sale	05/13/11	12/23/13	99.45	99 36	0 00	0.09	
5 0000	Sale	05/16/11	12/23/13	124 32	124.18	0 00	0.14	
3 0000	Sale	05/17/11	12/23/13	74.59	74 39	0.00	0.20	
11 0000	Sale	10/04/11	12/23/13	273.50	214 93	0 00	58.57	
6.0000	Sale	10/05/11	12/23/13	149.18	122.78	0.00	26.40	
2 0000	Sale	10/06/11	12/23/13	49 72	41 80	0 00	7.92	
Security Subtotal				1,044.25	949 80	0 00	94.45	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MONTPELIER RE HOLDINGS		CUSIP Number	G62185114					
LTD SERIES A 8.875% PERPETUAL PFD STK								
1.0000	Sale	05/13/11	06/06/13	27.44	25.90	0.00	1.54	
4.0000	Sale	05/13/11	12/23/13	108.23	103.61	0.00	4.62	
5.0000	Sale	05/16/11	12/23/13	135.30	129.75	0.00	5.55	
3.0000	Sale	05/17/11	12/23/13	81.18	77.86	0.00	3.32	
8.0000	Sale	05/19/11	12/23/13	216.47	204.09	0.00	12.38	
10.0000	Sale	03/01/12	12/23/13	270.60	265.01	0.00	5.59	
1.0000	Sale	03/02/12	12/23/13	27.07	26.65	0.00	0.42	
Security Subtotal				866.29	832.87	0.00	33.42	
MORGAN STANLEY CAP VIII CAPITAL SECURITIES		CUSIP Number	61753R200					
6.45% APRIL 15, 2067								
1.0000	Sale	05/23/11	01/31/13	25.08	24.82	0.00	0.26	
2.0000	Sale	05/24/11	01/31/13	50.16	49.63	0.00	0.53	
2.0000	Sale	05/26/11	01/31/13	50.16	49.53	0.00	0.63	
1.0000	Sale	05/27/11	01/31/13	25.08	24.77	0.00	0.31	
1.0000	Sale	05/27/11	06/06/13	25.13	24.78	0.00	0.35	
1.0000	Sale	05/27/11	12/23/13	24.65	24.77	0.00	(0.12)	
2.0000	Sale	05/31/11	12/23/13	49.31	49.48	0.00	(0.17)	
1.0000	Sale	06/01/11	12/23/13	24.66	24.71	0.00	(0.05)	
11.0000	Sale	07/12/11	12/23/13	271.27	265.78	0.00	5.49	
Security Subtotal				545.50	538.27	0.00	7.23	
NATIONAL WESTMINSTER BK PLC ADS NONCUM PFD SRS C		CUSIP Number	638539882					
7.760%								
5.0000	Sale	01/06/11	12/23/13	125.90	110.84	0.00	15.06	
1.0000	Sale	01/07/11	12/23/13	25.18	22.04	0.00	3.14	
3.0000	Sale	01/10/11	12/23/13	75.54	66.50	0.00	9.04	
Security Subtotal				226.62	199.38	0.00	27.24	
CITIGROUP CAPITAL XVII TRUST PREFERRED SECS		CUSIP Number	17311H209					
6.350% MAR 15 2067								
3.0000	Sale	08/18/11	12/23/13	74.57	66.77	0.00	7.80	
3.0000	Sale	08/19/11	12/23/13	74.57	66.70	0.00	7.87	
4.0000	Sale	08/22/11	12/23/13	99.42	89.28	0.00	10.14	

DAVID L LIEB FOUNDATION

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CITIGROUP CAPITAL XVII TRUST PREFERRED SECS 6.350% MAR 15 2067		CUSIP Number	17311H209					
1.0000	Sale	11/01/11	12/23/13	24.85	21.84	0.00	3.01	
8.0000	Sale	02/14/12	12/23/13	198.86	192.77	0.00	6.09	
7.0000	Sale	02/15/12	12/23/13	174.00	168.80	0.00	5.20	
3.0000	Sale	02/16/12	12/23/13	74.58	72.23	0.00	2.35	
Security Subtotal				720.85	678.39	0.00	42.46	
CITIGROUP CAP VII CUM DEF INT TRUST PF STK 7.125% JUL 31 2031		CUSIP Number	17306N203					
18.0000	Sale	03/18/11	03/20/13	451.66	441.37	0.00	10.29	
CITIGROUP CAPITAL VI CLD DEF INT TRUST PFD STK 6.950% SEPT 15 2031		CUSIP Number	17306R204					
3.0000	Redemption	04/29/11	04/16/13	75.00	74.21	0.00	0.79	
4.0000	Redemption	05/02/11	04/16/13	100.00	98.86	0.00	1.14	
13.0000	Redemption	05/03/11	04/16/13	325.00	321.31	0.00	3.69	
8.0000	Redemption	07/15/11	04/16/13	200.00	198.54	0.00	1.46	
11.0000	Redemption	07/18/11	04/16/13	275.00	273.68	0.00	1.32	
2.0000	Redemption	09/27/11	04/16/13	50.00	45.96	0.00	4.04	
7.0000	Redemption	09/28/11	04/16/13	175.00	162.05	0.00	12.95	
5.0000	Redemption	09/29/11	04/16/13	125.00	116.09	0.00	8.91	
10.0000	Redemption	11/02/11	04/16/13	250.00	235.68	0.00	14.32	
6.0000	Redemption	11/03/11	04/16/13	150.01	142.31	0.00	7.70	
Security Subtotal				1,725.01	1,668.69	0.00	56.32	
ING GROUP NV GLOBAL SUB DEBT SECS 7.050% PERPETUAL NON CUM		CUSIP Number	456837202					
2.0000	Sale	12/06/11	12/23/13	49.95	36.80	0.00	13.15	
6.0000	Sale	12/08/11	12/23/13	149.86	107.63	0.00	42.23	
2.0000	Sale	12/09/11	12/23/13	49.95	36.11	0.00	13.84	
2.0000	Sale	12/12/11	12/23/13	49.95	35.76	0.00	14.19	
1.0000	Sale	12/13/11	12/23/13	24.97	18.05	0.00	6.92	
5.0000	Sale	12/16/11	12/23/13	124.89	88.87	0.00	36.02	
1.0000	Sale	03/30/11	12/23/13	24.97	22.87	0.00	2.10	
2.0000	Sale	03/31/11	12/23/13	49.95	46.13	0.00	3.82	
4.0000	Sale	04/01/11	12/23/13	99.91	92.93	0.00	6.98	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ING GROUP NV GLOBAL SUB DEBT SECS 7.050% PERPETUAL NON CUM		CUSIP Number 456837202						
3 0000	Sale	04/04/11	12/23/13	74.93	69.67	0.00	5.26	
1.0000	Sale	05/03/11	12/23/13	24.97	23.64	0.00	1.33	
2 0000	Sale	05/04/11	12/23/13	49.95	47.41	0.00	2.54	
5 0000	Sale	05/05/11	12/23/13	124.88	118.81	0.00	6.07	
2 0000	Sale	05/06/11	12/23/13	49.95	47.47	0.00	2.48	
8 0000	Sale	12/19/11	12/23/13	199.82	142.28	0.00	57.54	
9 0000	Sale	12/20/11	12/23/13	224.80	160.24	0.00	64.56	
4 0000	Sale	12/21/11	12/23/13	99.91	71.45	0.00	28.46	
1.0000	Sale	12/21/11	12/23/13	24.97	17.86	0.00	7.11	
8.0000	Sale	12/22/11	12/23/13	199.82	145.24	0.00	54.58	
5.0000	Sale	12/23/11	12/23/13	124.89	91.43	0.00	33.46	
6 0000	Sale	12/27/11	12/23/13	149.87	108.65	0.00	41.22	
5 0000	Sale	01/12/12	12/23/13	124.89	99.37	0.00	25.52	
4.0000	Sale	01/13/12	12/23/13	99.91	78.89	0.00	21.02	
7 0000	Sale	01/17/12	12/23/13	174.85	139.39	0.00	35.46	
1.0000	Sale	01/18/12	12/23/13	24.97	19.97	0.00	5.00	
4 0000	Sale	01/24/12	12/23/13	99.91	81.96	0.00	17.95	
2.0000	Sale	01/25/12	12/23/13	49.95	41.30	0.00	8.65	
4 0000	Sale	01/26/12	12/23/13	99.91	84.36	0.00	15.55	
1.0000	Sale	01/27/12	12/23/13	24.98	21.17	0.00	3.81	
7.0000	Sale	03/01/12	12/23/13	174.86	159.61	0.00	15.25	
5.0000	Sale	03/02/12	12/23/13	124.90	116.43	0.00	8.47	
Security Subtotal				2,972.29	2,371.75	0.00	600.54	
ING GROUP NV GLOBAL DEBT SECS 7 20% PERPETUAL CUM		CUSIP Number 456837301						
2.0000	Sale	03/17/11	12/23/13	50.37	46.71	0.00	3.66	
4 0000	Sale	03/18/11	12/23/13	100.74	93.74	0.00	7.00	
2.0000	Sale	03/21/11	12/23/13	50.37	47.01	0.00	3.36	
1.0000	Sale	03/22/11	12/23/13	25.18	23.52	0.00	1.66	
1.0000	Sale	03/23/11	12/23/13	25.18	23.43	0.00	1.75	
1.0000	Sale	03/30/11	12/23/13	25.18	23.37	0.00	1.81	
1.0000	Sale	03/31/11	12/23/13	25.18	23.52	0.00	1.66	
2.0000	Sale	04/01/11	12/23/13	50.37	47.49	0.00	2.88	
3.0000	Sale	04/04/11	12/23/13	75.55	71.12	0.00	4.43	
3.0000	Sale	04/05/11	12/23/13	75.55	71.50	0.00	4.05	
1.0000	Sale	05/02/11	12/23/13	25.18	23.78	0.00	1.40	

DAVID L LIEB FOUNDATION

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ING GROUP NV		CUSIP Number 456837301						
GLOBAL DEBT SECS								
7 20% PERPETUAL CUM								
4.0000	Sale	05/03/11	12/23/13	100 74	95 84	0 00	4 90	
4 0000	Sale	05/04/11	12/23/13	100 74	96 45	0 00	4 29	
3 0000	Sale	05/05/11	12/23/13	75 56	72 51	0 00	3 05	
1 0000	Sale	11/01/11	12/23/13	25 18	19 63	0 00	5 55	
4 0000	Sale	03/15/12	12/23/13	100 74	95 30	0 00	5 44	
2 0000	Sale	03/16/12	12/23/13	50 37	47 63	0 00	2 74	
2 0000	Sale	03/19/12	12/23/13	50 37	47 68	0 00	2 69	
6.0000	Sale	03/20/12	12/23/13	151 13	143 10	0 00	8 03	
3 0000	Sale	03/21/12	12/23/13	75 57	71 57	0 00	4 00	
1.0000	Sale	11/09/12	12/23/13	25 19	24 79	0 00	0 40	
Security Subtotal				1,284.44	1,209 69	0.00	74 75	
UTIGROUP CAP TRUST IX		CUSIP Number 173066200						
CAP TRUST PFD SEC								
6.00% FEB 14 2033								
3.0000	Sale	05/20/11	12/23/13	75 08	70 96	0 00	4 12	
1.0000	Sale	05/23/11	12/23/13	25 02	23 69	0 00	1 33	
3.0000	Sale	05/24/11	12/23/13	75 09	71 48	0 00	3 61	
3.0000	Sale	05/25/11	12/23/13	75 08	71 82	0 00	3 26	
4 0000	Sale	07/14/11	12/23/13	100 12	94 15	0 00	5 97	
3.0000	Sale	07/18/11	12/23/13	75 09	70 95	0 00	4 14	
3.0000	Sale	07/19/11	12/23/13	75 09	70 72	0 00	4 37	
2 0000	Sale	07/20/11	12/23/13	50 06	47 65	0 00	2 41	
2.0000	Sale	07/21/11	12/23/13	50 06	47 96	0 00	2 10	
3.0000	Sale	07/27/11	12/23/13	75 09	70 99	0 00	4 10	
3.0000	Sale	07/28/11	12/23/13	75 09	70 26	0 00	4 83	
2.0000	Sale	07/29/11	12/23/13	50 06	46 30	0 00	3 76	
2 0000	Sale	08/01/11	12/23/13	50 06	46 56	0 00	3 50	
1.0000	Sale	08/02/11	12/23/13	25 03	23 52	0 00	1 51	
1.0000	Sale	11/01/11	12/23/13	25 03	22 31	0 00	2 72	
5 0000	Sale	05/17/12	12/23/13	125 15	116 03	0 00	9 12	
6.0000	Sale	05/18/12	12/23/13	150 18	137 51	0 00	12 67	
1.0000	Sale	11/09/12	12/23/13	25 03	25 01	0 00	0 02	
Security Subtotal				1,201.41	1,127 87	0.00	73 54	

DAVID L. LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MORGAN STANLEY CP TR III		CUSIP Number 617460209						
CAP TRUST SEC								
6 250% MAR 01 2033								
8 0000	Sale	12/02/11	12/23/13	191.48	162.63	0.00	28.85	
8 0000	Sale	12/05/11	12/23/13	191.49	167.05	0.00	24.44	
8 0000	Sale	03/02/12	12/23/13	191.49	192.45	0.00	(0.96)	
8 0000	Sale	03/05/12	12/23/13	191.49	191.80	0.00	(0.31)	
3 0000	Sale	03/06/12	12/23/13	71.81	71.10	0.00	0.71	
Security Subtotal				837.76	785.03	0.00	52.73	
CITIGROUP CAPITAL XI		CUSIP Number 173070205						
TRUST PFD SECS CUM								
06 000% SEP 27 2034								
1 0000	Sale	08/04/11	06/06/13	24.75	23.04	0.00	1.71	
3 0000	Sale	08/04/11	12/23/13	73.43	69.12	0.00	4.31	
4 0000	Sale	08/05/11	12/23/13	97.91	87.88	0.00	10.03	
6 0000	Sale	08/08/11	12/23/13	146.87	123.22	0.00	23.65	
5 0000	Sale	08/11/11	12/23/13	122.39	110.70	0.00	11.69	
2 0000	Sale	08/12/11	12/23/13	48.95	44.88	0.00	4.07	
3 0000	Sale	08/15/11	12/23/13	73.44	67.43	0.00	6.01	
2 0000	Sale	08/17/11	12/23/13	48.96	44.99	0.00	3.97	
2 0000	Sale	08/18/11	12/23/13	48.96	44.18	0.00	4.78	
1 0000	Sale	08/19/11	12/23/13	24.48	22.05	0.00	2.43	
2 0000	Sale	08/22/11	12/23/13	48.96	44.24	0.00	4.72	
1 0000	Sale	11/01/11	12/23/13	24.49	21.78	0.00	2.71	
Security Subtotal				783.59	703.51	0.00	80.08	
CITIGROUP CAPITAL XI CLD		CUSIP Number 17309E200						
TRUST PREFERRED SECS								
6.875% JUNE 30, 2066								
4 0000	Redemption	07/06/11	04/16/13	100.00	98.70	0.00	1.30	
3 0000	Redemption	07/25/11	04/16/13	74.99	73.29	0.00	1.70	
5 0000	Redemption	07/27/11	04/16/13	125.00	122.44	0.00	2.56	
5 0000	Redemption	07/28/11	04/16/13	125.00	122.33	0.00	2.67	
3 0000	Redemption	07/29/11	04/16/13	75.00	72.79	0.00	2.21	
6 0000	Redemption	12/19/11	04/16/13	150.00	139.74	0.00	10.26	
1 0000	Redemption	12/20/11	04/16/13	25.00	23.43	0.00	1.57	
5 0000	Redemption	12/22/11	04/16/13	125.00	118.29	0.00	6.71	
2 0000	Redemption	12/23/11	04/16/13	50.00	47.36	0.00	2.64	

DAVID L. LIEB FOUNDATION

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CITIGROUP CAPITAL XI CLD TRUST PREFERRED SECS 6.875% JUNE 30, 2066								
		CUSIP Number 17309E200						
1.0000	Redemption	12/27/11	04/16/13	25.00	23.08	0.00	1.92	
2.0000	Redemption	12/28/11	04/16/13	50.01	45.55	0.00	4.46	
Security Subtotal				925.00	887.00	0.00	38.00	
GMAC LLC SENIOR NOTES 07.375% DEC 16 2044								
		CUSIP Number 36186C509						
6.0000	Sale	12/11/12	12/23/13	149.94	147.36	0.00	2.58	
10.0000	Sale	12/12/12	12/23/13	249.91	246.13	0.00	3.78	
1.0000	Sale	12/13/12	12/23/13	24.99	24.52	0.00	0.47	
Security Subtotal				424.84	418.01	0.00	6.83	
ALLY FINANCIAL INC PUBLIC INCOME NOTES 7.30% CUM								
		CUSIP Number 36186C202						
3.0000	Sale	03/01/12	06/06/13	74.88	70.72	0.00	4.16	
5.0000	Sale	03/02/12	10/16/13	124.75	117.36	0.00	7.39	
7.0000	Sale	03/05/12	10/16/13	174.65	163.57	0.00	11.08	
4.0000	Sale	03/06/12	10/16/13	99.80	91.98	0.00	7.82	
2.0000	Sale	07/06/12	10/16/13	49.90	47.87	0.00	2.03	
4.0000	Redemption	07/06/12	11/08/13	99.99	95.73	0.00	4.26	
2.0000	Redemption	08/10/12	11/08/13	50.00	48.39	0.00	1.61	
7.0000	Redemption	08/13/12	11/08/13	175.00	166.22	0.00	8.78	
4.0000	Redemption	08/14/12	11/08/13	100.00	94.88	0.00	5.12	
7.0000	Redemption	07/09/12	11/08/13	175.00	167.02	0.00	7.98	
2.0000	Redemption	07/10/12	11/08/13	50.00	47.42	0.00	2.58	
4.0000	Redemption	07/11/12	11/08/13	100.00	94.97	0.00	5.03	
3.0000	Redemption	08/08/12	11/08/13	75.00	72.12	0.00	2.88	
3.0000	Redemption	08/09/12	11/08/13	75.00	72.47	0.00	2.53	
Security Subtotal				1,423.97	1,350.72	0.00	73.25	
ALLY FINANCIAL INC PUBLIC INCOME NOTES 7.35% AUG 08 2032								
		CUSIP Number 36186C301						
1.0000	Sale	04/08/11	06/06/13	25.09	23.95	0.00	1.14	
1.0000	Sale	04/11/11	06/06/13	25.10	24.00	0.00	1.10	
2.0000	Redemption	04/11/11	09/09/13	50.00	48.01	0.00	1.99	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ALLY FINANCIAL INC PUBLIC INCOME NOTES 7.35% AUG 08 2032		CUSIP Number	36186C301					
4.0000	Redemption	04/12/11	09/09/13	100.00	95.98	0.00	4.02	
2.0000	Redemption	05/13/11	09/09/13	49.99	48.32	0.00	1.67	
6.0000	Redemption	05/16/11	09/09/13	150.00	144.86	0.00	5.14	
7.0000	Redemption	05/17/11	09/09/13	175.00	169.35	0.00	5.65	
4.0000	Redemption	05/18/11	09/09/13	100.00	97.15	0.00	2.85	
3.0000	Redemption	02/03/12	09/09/13	75.00	68.97	0.00	6.03	
1.0000	Redemption	02/06/12	09/09/13	25.00	22.97	0.00	2.03	
1.0000	Redemption	02/07/12	09/09/13	25.00	23.14	0.00	1.86	
3.0000	Redemption	02/08/12	09/09/13	75.00	69.62	0.00	5.38	
2.0000	Redemption	02/09/12	09/09/13	50.00	46.54	0.00	3.46	
Security Subtotal				925.18	882.86	0.00	42.32	
CTIGROUP CAP TRUST XV TRUST PFD SECS 06 500% SEP 15 2066		CUSIP Number	17310G202					
1.0000	Redemption	02/14/12	04/16/13	25.00	24.26	0.00	0.74	
3.0000	Redemption	02/28/12	04/16/13	74.99	73.16	0.00	1.83	
8.0000	Redemption	02/29/12	04/16/13	200.00	195.74	0.00	4.26	
7.0000	Redemption	03/01/12	04/16/13	175.00	171.74	0.00	3.26	
6.0000	Redemption	03/22/12	04/16/13	150.00	147.07	0.00	2.93	
6.0000	Redemption	03/23/12	04/16/13	150.00	147.61	0.00	2.39	
3.0000	Redemption	03/26/12	04/16/13	75.00	73.82	0.00	1.18	
3.0000	Redemption	03/27/12	04/16/13	75.01	73.79	0.00	1.22	
Security Subtotal				925.00	907.19	0.00	17.81	
DB CAPITAL FDG VIII NONCUMULATIVE TRUST 6 375% PERP		CUSIP Number	25153U204					
1.0000	Sale	12/27/11	04/08/13	25.43	17.85	0.00	7.58	
1.0000	Sale	12/27/11	04/09/13	25.45	17.85	0.00	7.60	
1.0000	Sale	12/27/11	04/10/13	25.49	17.85	0.00	7.64	
2.0000	Sale	12/27/11	04/15/13	50.15	35.70	0.00	14.45	
5.0000	Sale	12/27/11	04/16/13	125.35	89.26	0.00	36.09	
2.0000	Sale	12/27/11	04/17/13	50.19	35.70	0.00	14.49	
1.0000	Sale	02/03/12	04/17/13	25.10	21.92	0.00	3.18	
6.0000	Sale	02/03/12	04/18/13	150.56	131.54	0.00	19.02	
2.0000	Sale	02/03/12	05/09/13	50.72	43.85	0.00	6.87	
1.0000	Sale	02/03/12	05/10/13	25.33	21.92	0.00	3.41	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DB CAPITAL FDG VIII NONCUMULATIVE TRUST 6.375% PERP		CUSIP Number	25153U204					
1.0000	Sale	02/03/12	05/13/13	25.37	21.93	0.00	3.44	
1.0000	Sale	02/03/12	05/14/13	25.33	21.92	0.00	3.41	
1.0000	Sale	03/26/12	05/15/13	25.33	23.57	0.00	1.76	
1.0000	Sale	03/26/12	05/16/13	25.30	23.57	0.00	1.73	
1.0000	Sale	03/26/12	05/17/13	25.28	23.57	0.00	1.71	
1.0000	Sale	03/26/12	05/20/13	25.31	23.57	0.00	1.74	
1.0000	Sale	03/26/12	05/21/13	25.33	23.58	0.00	1.75	
2.0000	Sale	03/26/12	05/22/13	50.64	47.15	0.00	3.49	
3.0000	Sale	03/26/12	05/23/13	75.75	70.72	0.00	5.03	
2.0000	Sale	03/26/12	05/24/13	50.47	47.15	0.00	3.32	
Security Subtotal				907.88	760.17	0.00	147.71	
ING GROEP N.V PERP HYBRID CAP SECS 6.375% MAR 01 2049		CUSIP Number	456837608					
1.0000	Sale	02/03/12	06/06/13	24.72	19.86	0.00	4.86	
7.0000	Sale	02/06/12	12/23/13	165.47	140.20	0.00	25.27	
1.0000	Sale	02/07/12	12/23/13	23.63	20.03	0.00	3.60	
7.0000	Sale	03/23/12	12/23/13	165.47	153.02	0.00	12.45	
4.0000	Sale	03/26/12	12/23/13	94.56	87.89	0.00	6.67	
5.0000	Sale	03/27/12	12/23/13	118.19	109.59	0.00	8.60	
4.0000	Sale	04/11/12	12/23/13	94.56	84.90	0.00	9.66	
4.0000	Sale	04/12/12	12/23/13	94.56	86.20	0.00	8.36	
4.0000	Sale	04/13/12	12/23/13	94.56	86.52	0.00	8.04	
4.0000	Sale	09/07/12	12/23/13	94.56	93.91	0.00	0.65	
11.0000	Sale	09/10/12	12/23/13	260.04	260.46	0.00	(0.42)	
8.0000	Sale	09/10/12	12/23/13	189.12	190.57	0.00	(1.45)	
6.0000	Sale	09/11/12	12/23/13	141.85	143.83	0.00	(1.98)	
Security Subtotal				1,561.29	1,476.98	0.00	84.31	
DEUTSCHE BK CAPITAL FDG TRUST X PFD NON-CUMUL 07.350% PERPETUAL		CUSIP Number	25154D102					
2.0000	Sale	01/23/12	01/28/13	50.32	46.69	0.00	3.63	
1.0000	Sale	01/23/12	01/30/13	25.14	23.34	0.00	1.80	
2.0000	Sale	01/24/12	01/30/13	50.30	46.99	0.00	3.31	
1.0000	Sale	01/24/12	01/31/13	25.11	23.50	0.00	1.61	
3.0000	Sale	02/06/12	02/07/13	75.51	73.22	0.00	2.29	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
0 DEUTSCHE BK CAPITAL FDG TRUST X PFD NON-CUMUL 07 350% PERPETUAL		CUSIP Number	25154D102					
3 0000	Sale	02/07/12	02/14/13	75 66	73 43	0 00	2 23	
2 0000	Sale	02/07/12	02/19/13	50 56	48 95	0 00	1 61	
2 0000	Sale	02/07/12	02/20/13	50 54	48 95	0 00	1 59	
1.0000	Sale	02/07/12	02/21/13	25 26	24 47	0 00	0 79	
Security Subtotal				428 40	409 54	0 00	18 86	
0B CONT CAP TRUST III CUM TR PFD SEC 7.60% PERPETUAL		CUSIP Number	25154A108					
1.0000	Sale	01/26/11	12/05/13	26 11	25 35	0 00	0 76	
1 0000	Sale	01/27/11	12/05/13	26.11	25 38	0 00	0 73	
2 0000	Sale	01/27/11	12/06/13	52.10	50.77	0 00	1 33	
2.0000	Sale	01/27/11	12/19/13	51 57	50.77	0 00	0 80	
6 0000	Sale	01/28/11	12/20/13	154 74	152 08	0 00	2 66	
2 0000	Sale	01/28/11	12/23/13	51 70	50.69	0 00	1 01	
1 0000	Sale	01/31/11	12/23/13	25 85	25.30	0 00	0 55	
2.0000	Sale	01/31/11	12/23/13	51 65	50.59	0 00	1 06	
4.0000	Sale	03/15/11	12/23/13	103.31	101.81	0 00	1 50	
14 0000	Sale	03/16/11	12/23/13	361.61	356 63	0 00	4 98	
19 0000	Sale	07/06/11	12/23/13	490.75	486 81	0 00	3 94	
3 0000	Sale	07/08/11	12/23/13	77.48	76.68	0 00	0 80	
5.0000	Sale	07/11/11	12/23/13	129.15	127.12	0 00	2 03	
2.0000	Sale	07/12/11	12/23/13	51 66	50 44	0 00	1 22	
1 0000	Sale	11/09/12	12/23/13	25.84	26.67	0 00	(0 83)	
Security Subtotal				1,679.63	1,657.09	0 00	22 54	
2 LIONS BANCORP SERIES C 9 50% PFD STOCK		CUSIP Number	989701503					
4.0000	Sale	03/29/11	01/14/13	103.30	104.14	0 00	(0 84)	
5 0000	Sale	03/30/11	01/14/13	129.13	130.28	0 00	(1.15)	
4.0000	Sale	03/31/11	01/14/13	103.31	103.95	0 00	(0 64)	
1.0000	Sale	04/01/11	01/16/13	25.84	26 16	0 00	(0 32)	
1.0000	Sale	04/14/11	01/16/13	25 84	26.14	0 00	(0.30)	
1.0000	Sale	04/15/11	01/16/13	25.84	26.16	0 00	(0 32)	
2 0000	Sale	04/18/11	01/16/13	51.68	52.26	0 00	(0 58)	
4.0000	Sale	04/19/11	01/16/13	103.38	105 33	0 00	(1.95)	
6 0000	Sale	04/19/11	01/17/13	154 86	158.00	0 00	(3.14)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
WELLS BANCORP								
SERIES C 9.50% PFD STOCK		CUSIP Number 989701503						
1.0000	Sale	04/19/11	04/08/13	25.56	26.33	0.00	(0.77)	
5.0000	Sale	04/29/11	04/08/13	127.82	132.37	0.00	(4.55)	
3.0000	Sale	05/02/11	04/08/13	76.69	79.57	0.00	(2.88)	
4.0000	Sale	05/03/11	04/08/13	102.27	106.05	0.00	(3.78)	
2.0000	Sale	05/03/11	06/06/13	49.66	53.02	0.00	(3.36)	
1.0000	Redemption	05/03/11	09/16/13	25.00	26.51	0.00	(1.51)	
5.0000	Redemption	05/11/11	09/16/13	124.99	132.61	0.00	(7.62)	
3.0000	Redemption	05/12/11	09/16/13	75.00	79.58	0.00	(4.58)	
3.0000	Redemption	05/13/11	09/16/13	75.00	79.50	0.00	(4.50)	
3.0000	Redemption	05/16/11	09/16/13	75.00	79.42	0.00	(4.42)	
2.0000	Redemption	05/17/11	09/16/13	50.00	52.93	0.00	(2.93)	
3.0000	Redemption	07/22/11	09/16/13	75.00	78.76	0.00	(3.76)	
8.0000	Redemption	07/27/11	09/16/13	200.00	209.19	0.00	(9.19)	
6.0000	Redemption	07/28/11	09/16/13	150.00	157.07	0.00	(7.07)	
6.0000	Redemption	01/30/12	09/16/13	150.00	154.51	0.00	(4.51)	
14.0000	Redemption	01/31/12	09/16/13	350.01	363.25	0.00	(13.24)	
Security Subtotal				2,455.18	2,543.09	0.00	(87.91)	
PUBLIC STORAGE (PSA)								
CUM PFD SHARES, SER T 5.75% PERPETUAL		CUSIP Number 74460W404						
3.0000	Sale	03/08/12	06/06/13	74.66	74.41	0.00	0.25	
19.0000	Sale	03/08/12	10/02/13	425.78	471.26	0.00	(45.48)	
2.0000	Sale	03/08/12	12/23/13	41.74	49.61	7.87 (W)	0.00	
28.0000	Sale	03/08/12	12/23/13	584.34	694.48	0.00	(110.14)	
7.0000	Sale	03/13/12	12/23/13	146.08	174.23	0.00	(28.15)	
1.0000	Sale	11/09/12	12/23/13	20.86	26.64	0.00	(5.78)	
2.0000	Sale	03/08/12	12/24/13	41.49	49.64	0.00 (Y)	(8.15)	
Security Subtotal				1,334.95	1,540.27	7.87	(197.45)	
FINANCIAL SERVICES								
SER P PFD STK		CUSIP Number 693475857						
15.0000	Sale	04/19/12	06/06/13	395.84	375.00	0.00	20.84	
3.0000	Sale	04/19/12	11/11/13	74.94	75.00	0.00	(0.06)	
23.0000	Sale	04/19/12	12/17/13	575.16	575.00	0.00	0.16	
11.0000	Sale	04/19/12	12/23/13	275.61	275.00	0.00	0.61	
30.0000	Sale	04/23/12	12/23/13	751.66	749.40	0.00	2.26	

DAVID L. LIEB FOUNDATION

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PNC FINANCIAL SERVICES		CUSIP Number	693475857					
SER P								
PFD STK								
85.0000	Sale	04/23/12	12/23/13	2,129.72	2,129.25	0.00	0.47	
52.0000	Sale	04/25/12	12/23/13	1,302.89	1,309.88	0.00	(6.99)	
10.0000	Sale	05/08/12	12/23/13	250.55	253.19	0.00	(2.64)	
11.0000	Sale	05/16/12	12/23/13	275.61	275.15	0.00	0.46	
13.0000	Sale	05/17/12	12/23/13	325.72	322.60	0.00	3.12	
14.0000	Sale	06/01/12	12/23/13	350.78	351.68	0.00	(0.90)	
17.0000	Sale	06/18/12	12/23/13	425.94	436.35	0.00	(10.41)	
9.0000	Sale	06/20/12	12/23/13	225.50	231.84	0.00	(6.34)	
9.0000	Sale	06/21/12	12/23/13	225.50	232.46	0.00	(6.96)	
16.0000	Sale	06/26/12	12/23/13	400.89	415.20	0.00	(14.31)	
5.0000	Sale	04/25/12	12/23/13	125.27	125.95	0.00	(0.68)	
33.0000	Sale	04/27/12	12/23/13	826.83	835.23	0.00	(8.40)	
Security Subtotal				8,938.41	8,968.18	0.00	(29.77)	
PS BUSINESS PARKS,INC		CUSIP Number	69360J685					
SER T CUM REDEEMABLE								
PFD STK 6.00% PERPETUAL								
2.0000	Sale	05/04/12	06/06/13	49.79	49.81	0.00	(0.02)	
33.0000	Sale	05/04/12	12/23/13	665.92	821.83	0.00	(155.91)	
7.0000	Sale	05/11/12	12/23/13	141.25	173.35	0.00	(32.10)	
11.0000	Sale	05/15/12	12/23/13	221.97	269.78	0.00	(47.81)	
Security Subtotal				1,078.93	1,314.77	0.00	(235.84)	
PROTECTIVE LIFE CORP		CUSIP Number	743674608					
CUM SUB DEBENTURES								
6.25% DUE MAY 15 2042								
1.0000	Sale	05/17/12	06/06/13	25.14	24.66	0.00	0.48	
30.0000	Sale	05/17/12	12/23/13	662.99	739.72	0.00	(76.73)	
Security Subtotal				688.13	764.38	0.00	(76.25)	
PUBLIC STORAGE		CUSIP Number	74460W602					
CUM PFD SHARES, SER U								
5.625% PERPETUAL								
6.0000	Sale	06/07/12	08/05/13	136.33	148.70	0.00	(12.37)	
5.0000	Sale	06/07/12	08/06/13	112.71	123.92	0.00	(11.21)	
3.0000	Sale	06/07/12	08/07/13	66.88	74.35	0.00	(7.47)	
1.0000	Sale	06/18/12	08/07/13	22.30	25.13	0.00	(2.83)	

DAVID L LIEB FOUNDATION

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PUBLIC STORAGE		CUSIP Number	74460W602					
CUM PFD SHARES, SER U								
5.625% PERPETUAL								
1 0000	Sale	06/18/12	08/08/13	22.36	25.13	0.00	(2.77)	
4 0000	Sale	06/18/12	08/15/13	86.34	100.54	0.00	(14.20)	
5 0000	Sale	06/18/12	08/16/13	106.62	125.67	0.00	(19.05)	
3 0000	Sale	06/18/12	08/19/13	63.02	75.41	0.00	(12.39)	
7 0000	Sale	06/18/12	12/17/13	140.06	175.94	0.00	(35.88)	
7 0000	Sale	06/19/12	12/17/13	140.07	176.13	0.00	(36.06)	
7 0000	Sale	06/19/12	12/18/13	140.31	176.12	0.00	(35.81)	
9 0000	Sale	06/20/12	12/18/13	180.41	227.35	0.00	(46.94)	
3 0000	Sale	06/20/12	12/19/13	60.06	75.78	0.00	(15.72)	
6 0000	Sale	06/21/12	12/19/13	120.13	152.08	0.00	(31.95)	
4 0000	Sale	06/26/12	12/23/13	81.35	102.16	0.00	(20.81)	
13 0000	Sale	06/27/12	12/23/13	264.42	332.64	0.00	(68.22)	
Security Subtotal				1,743.37	2,117.05	0.00	(373.68)	
PROTECTIVE LIFE CORP		CUSIP Number	743674707					
CUM SUB DEBENTURES								
6.00% DUE 9/1/2042								
4 0000	Sale	08/22/12	12/23/13	85.47	100.28	0.00	(14.81)	
5 0000	Sale	08/23/12	12/23/13	106.85	125.64	0.00	(18.79)	
2 0000	Sale	08/24/12	12/23/13	42.73	50.45	0.00	(7.72)	
Security Subtotal				235.05	276.37	0.00	(41.32)	
BUSINESS PARKS INC		CUSIP Number	69360J669					
SER U CUM PFD STK								
05.750% PERPETUAL								
11 0000	Sale	09/06/12	12/23/13	210.53	274.79	0.00	(64.26)	
PUBLIC STORAGE		CUSIP Number	74460W800					
SERIES V CUM PFD SHS								
05.375% PERPETUAL								
10 0000	Sale	09/12/12	12/23/13	194.99	247.14	0.00	(52.15)	
1.0000	Sale	11/09/12	12/23/13	19.50	25.44	0.00	(5.94)	
Security Subtotal				214.49	272.58	0.00	(58.09)	

DAVID L. LIEB FOUNDATION

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PARTNER RE LTD CLD		CUSIP Number	G6852T204					
PERPETUAL SERIES C								
6.75% PFD STK CUM								
2.0000	Redemption	04/12/11	03/18/13	49.99	48.85	0.00	1.14	
6.0000	Redemption	04/13/11	03/18/13	150.00	146.47	0.00	3.53	
2.0000	Redemption	04/14/11	03/18/13	50.00	48.86	0.00	1.14	
5.0000	Redemption	04/19/11	03/18/13	125.00	123.07	0.00	1.93	
6.0000	Redemption	09/12/11	03/18/13	150.00	148.12	0.00	1.88	
1.0000	Redemption	11/01/11	03/18/13	25.00	24.90	0.00	0.10	
Security Subtotal				549.99	540.27	0.00	9.72	
PNC FINANCIAL SRV GRP		CUSIP Number	693475832					
SER Q NON-CUM PFD STK								
5.375% PERPETUAL								
7.0000	Sale	09/18/12	12/04/13	140.16	172.76	0.00	(32.60)	
3.0000	Sale	09/26/12	12/04/13	60.08	74.28	0.00	(14.20)	
6.0000	Sale	10/02/12	12/05/13	118.70	148.98	0.00	(30.28)	
Security Subtotal				318.94	396.02	0.00	(77.08)	
TPMCHASE CAPITAL XVI		CUSIP Number	481228203					
CAP SECURITIES								
06.350% JUN 01 2035								
17.0000	Sale	05/17/11	04/25/13	429.75	431.93	0.00	(2.18)	
8.0000	Sale	07/07/11	04/25/13	202.24	201.95	0.00	0.29	
8.0000	Sale	07/07/11	04/29/13	202.23	201.96	0.00	0.27	
1.0000	Sale	07/07/11	04/30/13	25.27	25.24	0.00	0.03	
5.0000	Sale	08/04/11	04/30/13	126.39	125.40	0.00	0.99	
7.0000	Sale	08/05/11	04/30/13	176.95	172.33	0.00	4.62	
4.0000	Sale	08/08/11	04/30/13	101.11	96.47	0.00	4.64	
4.0000	Sale	09/12/11	04/30/13	101.12	100.71	0.00	0.41	
3.0000	Sale	09/13/11	04/30/13	75.84	75.61	0.00	0.23	
5.0000	Sale	09/14/11	04/30/13	126.39	125.98	0.00	0.41	
4.0000	Sale	09/15/11	04/30/13	101.12	100.73	0.00	0.39	
3.0000	Sale	02/15/12	04/30/13	75.84	76.72	0.00	(0.88)	
5.0000	Sale	02/16/12	04/30/13	126.40	128.04	0.00	(1.64)	
2.0000	Sale	02/17/12	04/30/13	50.56	51.35	0.00	(0.79)	
10.0000	Sale	02/21/12	04/30/13	252.80	256.84	0.00	(4.04)	
Security Subtotal				2,174.01	2,171.26	0.00	2.75	

DAVID L LIEB FOUNDATION

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PRINCIPAL FINL GROUP								
	PFD STK	CUSIP Number 74251V300						
	VAR% PERPETUAL							
19 0000	Sale	05/26/11	12/23/13	453 90	482 35	0 00	(28 45)	
PRIVATEBANCORP IV								
	NEW MONEY	CUSIP Number 742962400						
07 125% OCT 30 2042								
5.0000	Sale	10/16/12	11/11/13	123 14	127 75	0 00	(4.61)	
15 0000	Sale	10/16/12	12/23/13	357 72	383 25	0 00	(25 53)	
7 0000	Sale	10/18/12	12/23/13	166.94	179 20	0 00	(12.26)	
30 0000	Sale	10/19/12	12/23/13	715 45	771 00	0 00	(55 55)	
6 0000	Sale	11/09/12	12/23/13	143.09	152.17	0 00	(9 08)	
5.0000	Sale	11/13/12	12/23/13	119 24	126 97	0 00	(7 73)	
3 0000	Sale	11/14/12	12/23/13	71 54	75 85	0 00	(4.31)	
3.0000	Sale	11/15/12	12/23/13	71 54	75 69	0 00	(4 15)	
3 0000	Sale	12/12/12	12/23/13	71.54	75 82	0 00	(4 28)	
11 0000	Sale	12/21/12	12/23/13	262 34	280.98	0 00	(18 64)	
	Security Subtotal			2,102.54	2,248.68	0 00	(146 14)	
PRUDENTIAL FINCL INC.								
	JR SUBORDINATED NOTES	CUSIP Number 744320607						
5.75% DUE 12/15/2052								
42 0000	Sale	11/29/12	12/23/13	889 12	1,037 32	0 00	(148 20)	
13 0000	Sale	12/11/12	12/23/13	275 20	330.85	0 00	(55 65)	
6 0000	Sale	12/12/12	12/23/13	127 02	151 17	0 00	(24 15)	
	Security Subtotal			1,291 34	1,519 34	0 00	(228 00)	
RENAISSANCE HLDGS LTD								
	SER D PRF SHARES	CUSIP Number G7498P408						
6 60% PERP								
1 0000	Redemption	11/01/11	06/27/13	25.00	24 74	0.00	0 26	
3 0000	Redemption	11/22/11	06/27/13	75.00	74 47	0 00	0 53	
4.0000	Redemption	11/23/11	06/27/13	100.00	99 18	0 00	0 82	
9 0000	Redemption	11/25/11	06/27/13	225 00	224.73	0 00	0 27	
	Security Subtotal			425.00	423.12	0.00	1 88	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PUBLIC STORAGE PFD SHR SER Q CUM 6.5% PERPETUAL		CUSIP Number	74460D141					
1 0000	Sale	04/14/11	06/06/13	26.27	24.56	0.00	1.71	
1 0000	Sale	04/14/11	11/11/13	24.55	24.56	0.01 (W)	0.00	
9.0000	Sale	04/14/11	12/23/13	210.77	221.04	10.27 (W)	0.00	
22 0000	Sale	04/14/11	12/23/13	515.23	540.32	0.00	(25.09)	
1.0000	Sale	04/14/11	12/23/13	23.41	25.03	0.00 (Y)	(1.62)	
9 0000	Sale	04/14/11	12/24/13	211.94	221.61	0.00 (Y)	(9.67)	
Security Subtotal				1,012.17	1,057.12	10.28	(34.67)	
PARTNERRE LTD (PRE)SER E CUM REDEEMABLE PFD STK 7.25% PERPETUAL		CUSIP Number	G68603508					
1 0000	Sale	06/16/11	06/06/13	26.88	24.85	0.00	2.03	
15.0000	Sale	06/16/11	12/23/13	378.74	372.79	0.00	5.95	
1 0000	Sale	11/01/11	12/23/13	25.24	25.80	0.00	(0.56)	
9 0000	Sale	03/12/12	12/23/13	227.24	238.50	0.00	(11.26)	
Security Subtotal				658.10	661.94	0.00	(3.84)	
PUBLIC STORAGE (PSA) CUM PFD SHARES SERIES R 6.35% PERPETUAL		CUSIP Number	74460D125					
1.0000	Sale	07/26/11	06/06/13	25.81	24.96	0.00	0.85	
47.0000	Sale	07/26/11	12/23/13	1,082.86	1,172.97	0.00	(90.11)	
1 0000	Sale	11/01/11	12/23/13	23.04	25.85	0.00	(2.81)	
Security Subtotal				1,131.71	1,223.78	0.00	(92.07)	
PUBLIC STORAGE (PSA) PFD SHARES SERIES S 5.90% CUM PERPETUAL		CUSIP Number	74460W206					
3 0000	Sale	01/10/12	06/06/13	75.59	74.94	0.00	0.65	
3 0000	Sale	01/10/12	08/15/13	67.77	74.94	0.00	(7.17)	
5 0000	Sale	01/10/12	08/16/13	111.29	124.90	0.00	(13.61)	
4 0000	Sale	01/10/12	08/19/13	87.99	99.92	0.00	(11.93)	
1 0000	Sale	01/10/12	08/20/13	21.73	24.98	0.00	(3.25)	
8 0000	Sale	01/10/12	10/08/13	178.38	199.85	0.00	(21.47)	
8 0000	Sale	01/10/12	10/09/13	177.81	199.85	0.00	(22.04)	
3 0000	Sale	01/10/12	10/10/13	66.60	74.94	0.00	(8.34)	
15 0000	Sale	01/10/12	12/23/13	320.99	374.71	0.00	(53.72)	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PUBLIC STORAGE (PSA)		CUSIP Number	74460W206					
PFD SHARES SERIES S								
5.90% CUM PERPETUAL								
7.0000	Sale	01/25/12	12/23/13	149.79	175.17	0.00	(25.38)	
4.0000	Sale	05/24/12	12/23/13	85.59	105.17	0.00	(19.58)	
5.0000	Sale	05/25/12	12/23/13	107.00	131.63	0.00	(24.63)	
6.0000	Sale	05/29/12	12/23/13	128.40	157.07	0.00	(28.67)	
2.0000	Sale	05/30/12	12/23/13	42.80	52.28	0.00	(9.48)	
3.0000	Sale	05/31/12	12/23/13	64.20	78.81	0.00	(14.61)	
2.0000	Sale	06/01/12	12/23/13	42.80	52.66	0.00	(9.86)	
4.0000	Sale	06/04/12	12/23/13	85.60	105.59	0.00	(19.99)	
7.0000	Sale	06/05/12	12/23/13	149.81	184.06	0.00	(34.25)	
Security Subtotal				1,964.14	2,291.47	0.00	(327.33)	
PS BUSINESS PARKS INC		CUSIP Number	69360J719					
(PSB) PFD STK SERIES S								
6.45% CUM PERPETUAL								
1.0000	Sale	01/18/12	06/06/13	25.64	24.94	0.00	0.70	
1.0000	Sale	01/18/12	11/11/13	22.44	24.94	0.00	(2.50)	
32.0000	Sale	01/18/12	12/23/13	689.59	798.21	0.00	(108.62)	
Security Subtotal				737.67	848.09	0.00	(110.42)	
ENAISSANCERE HLDGS LTD		CUSIP Number	G7498P309					
CUM SERIES C PREF SHARES								
06.080% PERPETUAL								
2.0000	Sale	05/03/11	12/23/13	44.19	46.20	0.00	(2.01)	
11.0000	Sale	09/13/11	12/23/13	243.09	261.80	0.00	(18.71)	
Security Subtotal				287.28	308.00	0.00	(20.72)	
ROYAL BK OF SCOT GRP PLC		CUSIP Number	780097796					
SER M ADR REP NON CUM P								
06.400% PERPETUAL								
3.0000	Sale	03/27/12	06/06/13	68.66	49.77	0.00	18.89	
3.0000	Sale	03/27/12	08/13/13	60.89	49.77	0.00	11.12	
8.0000	Sale	03/28/12	08/14/13	162.46	133.23	0.00	29.23	
12.0000	Sale	03/28/12	08/15/13	243.56	199.85	0.00	43.71	
1.0000	Sale	04/25/12	08/15/13	20.30	16.52	0.00	3.78	
7.0000	Sale	04/25/12	08/28/13	141.73	115.67	0.00	26.06	
1.0000	Sale	04/25/12	08/29/13	20.35	16.52	0.00	3.83	
3.0000	Sale	04/26/12	08/29/13	61.08	49.99	0.00	11.09	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ROYAL BK OF SCOT GRP PLC SER M ADR REP NON CUM P 06 400% PERPETUAL		CUSIP Number 780097796						
3 0000	Sale	04/26/12	08/30/13	61 03	49 99	0 00	11 04	
2 0000	Sale	04/26/12	09/03/13	40 65	33 32	0 00	7 33	
7 0000	Sale	04/27/12	09/04/13	142 09	117 02	0 00	25 07	
3 0000	Sale	04/27/12	09/09/13	60.90	50.15	0 00	10 75	
5.0000	Sale	05/02/12	09/09/13	101.50	83 80	0 00	17 70	
10 0000	Sale	05/03/12	09/09/13	203.03	170 39	0 00	32.64	
3 0000	Sale	05/03/12	09/10/13	61 13	51 12	0 00	10 01	
11.0000	Sale	07/18/12	12/23/13	228 35	218 26	0 00	10 09	
6 0000	Sale	07/19/12	12/23/13	124 56	120.05	0 00	4 51	
Security Subtotal				1,802.27	1,525.42	0.00	276 85	
ROYAL BK OF SCOT GRP PLC NEW SER L 05 750% PERPETUAL		CUSIP Number 780097788						
2.0000	Sale	06/20/11	06/06/13	44.57	36.15	0 00	8.42	
3 0000	Sale	06/21/11	06/06/13	66 87	54.77	0 00	12 10	
1 0000	Sale	06/22/11	06/06/13	22.29	18.32	0 00	3.97	
4.0000	Sale	06/22/11	12/23/13	77 28	73 27	0 00	4 01	
1 0000	Sale	06/23/11	12/23/13	19.32	18.12	0 00	1.20	
3 0000	Sale	08/09/11	12/23/13	57.96	48.09	0 00	9 87	
4.0000	Sale	08/10/11	12/23/13	77 28	64 11	0 00	13.17	
4 0000	Sale	08/11/11	12/23/13	77 28	64.95	0 00	12.33	
3.0000	Sale	08/12/11	12/23/13	57.96	52 14	0 00	5.82	
2.0000	Sale	08/15/11	12/23/13	38.64	36.22	0 00	2.42	
7 0000	Sale	08/23/11	12/23/13	135.25	103.12	0 00	32.13	
6.0000	Sale	09/22/11	12/23/13	115.93	94.77	0 00	21 16	
3 0000	Sale	08/24/11	12/23/13	57.96	45 60	0 00	12.36	
1.0000	Sale	08/25/11	12/23/13	19.32	15 60	0 00	3 72	
24 0000	Sale	09/23/11	12/23/13	463.74	372.91	0 00	90 83	
1 0000	Sale	11/01/11	12/23/13	19.32	15.43	0 00	3 89	
14.0000	Sale	11/11/11	12/23/13	270.52	217.18	0 00	53.34	
8 0000	Sale	12/01/11	12/23/13	154.58	122.15	0 00	32.43	
3.0000	Sale	12/02/11	12/23/13	57.96	46.81	0 00	11.15	
2.0000	Sale	12/06/11	12/23/13	38.64	31.29	0 00	7.35	
2.0000	Sale	12/07/11	12/23/13	38.64	32.58	0 00	6.06	
1.0000	Sale	12/08/11	12/23/13	19.32	15.91	0 00	3.41	
1.0000	Sale	12/09/11	12/23/13	19.32	16.12	0 00	3 20	
3.0000	Sale	02/01/12	12/23/13	57 97	51.35	0 00	6 62	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ROYAL BK OF SCOT GRP PLC		CUSIP Number	780097788					
NEWB SER L								
05 750% PERPETUAL								
3 0000	Sale	02/02/12	12/23/13	57 97	52 47	0 00	5 50	
3 0000	Sale	02/03/12	12/23/13	57 97	53 12	0 00	4 85	
2 0000	Sale	02/06/12	12/23/13	38 64	35 98	0 00	2 66	
2 0000	Sale	02/07/12	12/23/13	38 64	36 13	0 00	2 51	
1 0000	Sale	02/08/12	12/23/13	19 32	18 26	0 00	1 06	
1 0000	Sale	02/09/12	12/23/13	19 32	18 19	0 00	1 13	
3 0000	Sale	05/17/12	12/23/13	57 97	53 17	0 00	4 80	
3 0000	Sale	05/18/12	12/23/13	57 97	51 57	0 00	6 40	
1 0000	Sale	08/26/11	12/23/13	19 32	15 57	0 00	3 75	
2 0000	Sale	08/29/11	12/23/13	38 64	32 93	0 00	5 71	
2 0000	Sale	08/30/11	12/23/13	38 64	33 84	0 00	4 80	
34 0000	Sale	09/19/11	12/23/13	656 97	543 25	0 00	113 72	
2 0000	Sale	05/21/12	12/23/13	38 65	35 34	0 00	3 31	
2 0000	Sale	05/22/12	12/23/13	38 65	35 62	0 00	3 03	
1 0000	Sale	05/23/12	12/23/13	19 32	17 80	0 00	1 52	
1 0000	Sale	11/09/12	12/23/13	19 32	22 35	0 00	(3 03)	
Security Subtotal				3,225 23	2,702 55	0 00	522 68	
WEST CORP		CUSIP Number	74913G204					
PFD STK 7.375%								
NOTES DUE JUNE 1, 2051								
4 0000	Sale	06/15/11	01/14/13	108 85	100 19	0 00	8 66	
3 0000	Sale	06/15/11	01/15/13	81 29	75 14	0 00	6 15	
9 0000	Sale	06/23/11	01/15/13	243 90	226 35	0 00	17 55	
1 0000	Sale	06/23/11	01/15/13	27 11	25 19	0 00	1 92	
2 0000	Sale	07/06/11	06/06/13	52 31	50 90	0 00	1 41	
7 0000	Sale	07/06/11	12/23/13	166 38	178 15	0 00	(11 77)	
15 0000	Sale	07/06/11	12/23/13	356 54	382 98	0 00	(26 44)	
13 0000	Sale	07/15/11	12/23/13	309 00	338 45	0 00	(29 45)	
9 0000	Sale	05/24/12	12/23/13	213 92	233 91	0 00	(19 99)	
Security Subtotal				1,559 30	1,611 26	0 00	(51 96)	
WEST CORP (CTL) \$25 PAR		CUSIP Number	74913G303					
\$25 PAR SENIOR NOTES								
07 500% SEP 15 2051								
1 0000	Sale	09/28/11	03/18/13	26 89	24 90	0 00	1 99	
5 0000	Sale	09/28/11	03/18/13	134 49	124 38	0 00	10 11	
1 0000	Sale	09/29/11	03/18/13	26 91	24 90	0 00	2 01	

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1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
WEST CORP (CTL) \$25 PAR \$25 PAR SENIOR NOTES 07 500% SEP 15 2051		CUSIP Number 74913G303						
7 0000	Sale	09/29/11	03/19/13	187 47	174 32	0 00	13 15	
2 0000	Sale	09/29/11	03/20/13	53 51	49 81	0 00	3 70	
1 0000	Sale	09/29/11	04/08/13	26 91	24 90	0 00	2 01	
1 0000	Sale	09/30/11	04/08/13	26 91	24 90	0 00	2 01	
5 0000	Sale	11/02/11	04/08/13	134 57	126 78	0 00	7 79	
7 0000	Sale	11/02/11	04/09/13	186 62	177 48	0 00	9 14	
4 0000	Sale	11/03/11	04/09/13	106 65	101 44	0 00	5 21	
3 0000	Sale	11/03/11	06/06/13	79 64	76 08	0 00	3 56	
12 0000	Sale	11/03/11	12/23/13	290 15	304 33	0 00	(14 18)	
3 0000	Sale	11/09/11	12/23/13	72 53	75 59	0 00	(3 06)	
17 0000	Sale	11/10/11	12/23/13	411 05	432 42	0 00	(21 37)	
4 0000	Sale	11/11/11	12/23/13	96 71	101 80	0 00	(5 09)	
4 0000	Sale	11/14/11	12/23/13	96 72	102 25	0 00	(5 53)	
15 0000	Sale	11/15/11	12/23/13	362 70	381 75	0 00	(19 05)	
9 0000	Sale	03/21/12	12/23/13	217 62	232 47	0 00	(14 85)	
1 0000	Sale	11/09/12	12/23/13	24 19	27 60	0 00	(3 41)	
Security Subtotal				2,562 24	2,588 10	0 00	(25.86)	
WEST CORPORATION \$25 PAR SR NOTES 7.0% DUE APRIL 1 2052		CUSIP Number 74913G402						
2 0000	Sale	03/27/12	06/06/13	51 63	49 97	0 00	1 66	
1 0000	Sale	04/02/12	06/06/13	25 82	25 43	0 00	0 39	
2 0000	Sale	04/02/12	11/11/13	49 09	50 86	0 00	(1 77)	
32 0000	Sale	04/02/12	12/23/13	749 42	813 76	0 00	(64 34)	
12 0000	Sale	04/09/12	12/23/13	281 03	301 24	0 00	(20 21)	
5 0000	Sale	04/10/12	12/23/13	117 09	125 98	0 00	(8 89)	
14 0000	Sale	04/27/12	12/23/13	327 87	355 05	0 00	(27 18)	
3 0000	Sale	04/30/12	12/23/13	70 26	76 28	0 00	(6 02)	
17 0000	Sale	05/29/12	12/23/13	398 14	433 40	0 00	(35 26)	
17 0000	Sale	05/30/12	12/23/13	398 14	434 38	0 00	(36 24)	
11 0000	Sale	06/20/12	12/23/13	257 62	283 00	0 00	(25 38)	
7 0000	Sale	06/21/12	12/23/13	163 95	180 83	0 00	(16 88)	
Security Subtotal				2,890 06	3,130 18	0 00	(240.12)	

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1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
WEST CORPORATION		CUSIP Number 74913G501						
SENIOR NOTES								
7 00% DUE 7/01/2052								
1 0000	Sale	06/29/12	11/11/13	24 39	25 48	0 00	(1 09)	
25.0000	Sale	06/29/12	12/23/13	585 24	637 04	0 00	(51 80)	
8 0000	Sale	07/02/12	12/23/13	187 27	204 35	0 00	(17 08)	
5 0000	Sale	08/10/12	12/23/13	117 04	133 23	0 00	(16 19)	
14 0000	Sale	08/13/12	12/23/13	327 74	373 09	0 00	(45 35)	
15 0000	Sale	08/14/12	12/23/13	351 15	401 32	0 00	(50 17)	
1 0000	Sale	11/09/12	12/23/13	23 42	26 59	0 00	(3 17)	
Security Subtotal				1,616.25	1,801 10	0 00	(184 85)	
REGIONS FINANCIAL CORP		CUSIP Number 7591EP308						
NEW MONEY SER A								
06 375% PERPETUAL								
12.0000	Sale	12/12/12	12/18/13	268 08	296 15	0 00	(28 07)	
7.0000	Sale	12/13/12	12/18/13	156 39	172 92	0 00	(16 53)	
Security Subtotal				424.47	469 07	0 00	(44 60)	
ROYAL BK OF SCOT GRP PLC		CUSIP Number 780097770						
6 35% NONCUM \$ PRF SR-N								
PERPETUAL								
7.0000	Sale	07/09/12	08/05/13	139.13	122.21	0 00	16 92	
5 0000	Sale	07/09/12	08/06/13	98.83	87 30	0 00	11 53	
1.0000	Sale	07/12/12	08/06/13	19 77	17 89	0 00	1 88	
9 0000	Sale	07/12/12	08/07/13	177 81	161 01	0 00	16 80	
1.0000	Sale	07/13/12	08/08/13	19.83	18.47	0 00	1 36	
6.0000	Sale	07/13/12	12/23/13	123 77	110 84	0 00	12 93	
8 0000	Sale	07/17/12	12/23/13	165.03	150.69	0 00	14 34	
24.0000	Sale	07/18/12	12/23/13	495 12	472.67	0 00	22 45	
4 0000	Sale	07/16/12	12/23/13	82.51	74 76	0 00	7 75	
12 0000	Sale	07/18/12	12/23/13	247 57	233 51	0 00	14 06	
Security Subtotal				1,569.37	1,449.35	0 00	120 02	
ROYAL BK SCOTLND GRP PLC		CUSIP Number 780097739						
NEW MONEY SER S								
06 600% PERPETUAL								
4.0000	Sale	05/04/12	06/06/13	93 63	72 99	0 00	20 64	
7.0000	Sale	05/04/12	07/25/13	147.01	127.74	0 00	19 27	
3.0000	Sale	05/04/12	07/26/13	62 59	54 74	0 00	7 85	

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1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ROYAL BK SCOTLAND GRP PLC CUSIP Number 780097739								
NEW MONEY SER S								
06 600% PERPETUAL								
3 0000	Sale	05/04/12	08/02/13	61 91	54.75	0 00	7 16	
9 0000	Sale	05/04/12	08/05/13	186 35	164 23	0 00	22 12	
4 0000	Sale	05/04/12	08/15/13	83 05	72 99	0 00	10 06	
3 0000	Sale	05/04/12	08/16/13	62 33	54.75	0 00	7 58	
7 0000	Sale	07/09/12	08/16/13	145 44	126.12	0 00	19 32	
7 0000	Sale	07/09/12	09/04/13	145 76	126 12	0 00	19 64	
3 0000	Sale	07/10/12	09/04/13	62 48	54 44	0 00	8 04	
5 0000	Sale	07/10/12	09/05/13	104 16	90.74	0 00	13 42	
3 0000	Sale	07/10/12	09/06/13	62 54	54 44	0 00	8 10	
1 0000	Sale	09/19/12	09/20/13	21 60	21.16	0 00	0 44	
5 0000	Sale	09/20/12	12/23/13	107 34	105.75	0 00	1 59	
2 0000	Sale	12/10/12	12/23/13	42 94	46.34	0 00	(3 40)	
8 0000	Sale	12/11/12	12/23/13	171.76	185.70	0 00	(13 94)	
8 0000	Sale	12/12/12	12/23/13	171 76	183 81	0 00	(12 05)	
1 0000	Sale	12/13/12	12/23/13	21 47	22 96	0 00	(1 49)	
Security Subtotal				1,754 12	1,619.77	0 00	134.35	
ROYAL BK SCOTLAND GROUP CUSIP Number 780097747								
CAT II NON CUM PFD								
6.125% PERP SRS R								
3 0000	Sale	08/03/12	12/23/13	60.14	57.22	0 00	2 92	
6 0000	Sale	08/06/12	12/23/13	120.30	114.98	0 00	5 32	
1 0000	Sale	08/07/12	12/23/13	20 05	19.30	0 00	0 75	
1 0000	Sale	08/09/12	12/23/13	20 05	19 67	0 00	0 38	
2 0000	Sale	08/10/12	12/23/13	40 10	39.55	0 00	0 55	
1 0000	Sale	08/13/12	12/23/13	20 04	19 82	0 00	0 22	
2 0000	Sale	08/14/12	12/23/13	40 10	40 28	0 00	(0 18)	
1 0000	Sale	11/09/12	12/23/13	20 05	22.30	0 00	(2 25)	
Security Subtotal				340.83	333.12	0 00	7.71	
REALTY INCOME CUSIP Number 756109807								
SER F								
PFD STK								
3 0000	Sale	02/02/12	06/06/13	77.06	74.70	0 00	2 36	
13 0000	Sale	02/02/12	12/23/13	311 73	323.70	0 00	(11 97)	
11 0000	Sale	02/03/12	12/23/13	263.77	274.97	0 00	(11 20)	
34 0000	Sale	02/07/12	12/23/13	815.31	851.70	0 00	(36 39)	

DAVID L. LIEB FOUNDATION

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
REALTY INCOME		CUSIP Number	756109807					
SER F								
PFD STK								
17 0000	Sale	02/13/12	12/23/13	407 65	424 93	0 00	(17.28)	
6 0000	Sale	03/06/12	12/23/13	143 87	153 04	0 00	(9 17)	
Security Subtotal				2,019 39	2,103 04	0 00	(83.65)	
REGENCY CENTERS CORP		CUSIP Number	758849707					
(REG) SER 6 CUM RDMBLE								
6.625% PERP \$25 PFD STK								
3 0000	Sale	02/13/12	06/06/13	76 76	75 22	0 00	1.54	
31 0000	Sale	02/13/12	12/23/13	677 33	777 23	0 00	(99 90)	
9.0000	Sale	02/23/12	12/23/13	196.64	226 74	0 00	(30.10)	
26 0000	Sale	02/24/12	12/23/13	568 10	659 03	0 00	(90 93)	
5.0000	Sale	11/09/12	12/23/13	109 25	133 20	0.00	(23 95)	
Security Subtotal				1,628 08	1,871 42	0.00	(243.34)	
RAYMOND JAMES FINANCIAL		CUSIP Number	754730208					
SER								
PFD STK								
4.0000	Sale	03/02/12	03/08/13	111 14	102 20	0.00	8.94	
3 0000	Sale	03/02/12	03/11/13	82 62	76 65	0 00	5.97	
4.0000	Sale	03/02/12	03/12/13	108 82	102.20	0.00	6.62	
3 0000	Sale	03/02/12	03/13/13	81.99	76 65	0 00	5 34	
3 0000	Sale	03/02/12	03/14/13	82 25	76 65	0.00	5.60	
6.0000	Sale	03/02/12	04/08/13	164.96	153 30	0 00	11 66	
3 0000	Sale	03/02/12	04/09/13	82 19	76 65	0 00	5.54	
3.0000	Sale	03/02/12	04/10/13	82.30	76 65	0 00	5 65	
4 0000	Sale	03/02/12	04/11/13	109.50	102 20	0 00	7.30	
6 0000	Sale	03/02/12	04/16/13	163 66	153 30	0.00	10.36	
2 0000	Sale	03/02/12	04/17/13	54.65	51 10	0 00	3 55	
5.0000	Sale	03/02/12	04/18/13	136 96	127.75	0.00	9.21	
3 0000	Sale	03/02/12	04/19/13	81.99	76 65	0.00	5.34	
1.0000	Sale	03/02/12	05/20/13	27 89	25.55	0 00	2.34	
3 0000	Sale	03/02/12	05/21/13	83.60	76 65	0.00	6.95	
14.0000	Sale	03/06/12	05/21/13	390 16	353.29	0 00	36.87	
3.0000	Sale	03/06/12	06/06/13	80 90	75 71	0.00	5.19	
1.0000	Sale	03/07/12	06/06/13	26 97	25.33	0 00	1.64	
3 0000	Sale	03/07/12	06/13/13	78.43	75 99	0.00	2.44	
3.0000	Sale	03/08/12	06/13/13	78 43	76.95	0 00	1.48	
4 0000	Sale	03/08/12	06/14/13	106 22	102 60	0.00	3.62	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
RAYMOND JAMES FINANCIAL SER PFD STK		CUSIP Number	754730208					
6 0000	Sale	03/08/12	06/17/13	159 42	153 90	0 00	5.52	
1 0000	Sale	03/08/12	11/11/13	25 30	25 65	0 00	(0 35)	
38 0000	Sale	03/08/12	12/23/13	953 02	974 70	0 00	(21 68)	
9 0000	Sale	03/15/12	12/23/13	225 71	229 62	0 00	(3.91)	
8 0000	Sale	03/16/12	12/23/13	200 63	204 39	0 00	(3 76)	
1 0000	Sale	03/16/12	12/23/13	25 08	25 64	0 00	(0.56)	
6 0000	Sale	03/19/12	12/23/13	150 48	153 04	0 00	(2 56)	
21 0000	Sale	03/21/12	12/23/13	526 68	534 92	0 00	(8.24)	
12 0000	Sale	03/22/12	12/23/13	300.95	305 05	0 00	(4 10)	
12 0000	Sale	03/29/12	12/23/13	300 96	305 01	0 00	(4 05)	
5 0000	Sale	03/30/12	12/23/13	125 41	128 05	0 00	(2.64)	
Security Subtotal				5,209 27	5,103.99	0 00	105 28	
REGENCY CENTERS CORP NEW MONEY SER 7 06 000% PERPETUAL		CUSIP Number	758849806					
6 0000	Sale	08/15/12	12/23/13	119 09	148.20	0 00	(29.11)	
5.0000	Sale	08/16/12	12/23/13	99 25	124.00	0 00	(24 75)	
Security Subtotal				218.34	272.20	0 00	(53.86)	
REINSURANCE GRP OF AMER NEW MONEY VAR%SEP 15 2042		CUSIP Number	759351703					
1.0000	Sale	08/14/12	11/11/13	24.72	25.00	0.00	(0 28)	
29 0000	Sale	08/14/12	12/23/13	711 87	725 00	0 00	(13.13)	
8.0000	Sale	08/17/12	12/23/13	196.38	205.20	0.00	(8.82)	
8 0000	Sale	08/20/12	12/23/13	196.38	206.40	0 00	(10 02)	
11 0000	Sale	08/22/12	12/23/13	270 02	285.45	0 00	(15.43)	
15.0000	Sale	08/24/12	12/23/13	368.21	389.54	0.00	(21 33)	
15.0000	Sale	08/27/12	12/23/13	368 21	390.00	0 00	(21.79)	
5 0000	Sale	10/08/12	12/23/13	122 73	135.34	0 00	(12 61)	
7.0000	Sale	10/09/12	12/23/13	171.83	191.43	0 00	(19.60)	
1 0000	Sale	12/10/12	12/23/13	24 54	26.68	0 00	(2.14)	
13.0000	Sale	12/11/12	12/23/13	319 13	346 94	0 00	(27.81)	
2 0000	Sale	12/12/12	12/23/13	49.09	53.73	0 00	(4.64)	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
R INSURANCE GRP OF AMER								
	NEW MONEY	CUSIP Number	759351703					
	VAR%SEP 15 2042							
2 0000	Sale	12/13/12	12/23/13	49.09	53.87	0.00	(4.78)	
1 0000	Sale	12/14/12	12/23/13	24.54	26.98	0.00	(2.44)	
	Security Subtotal			2,896.74	3,061.56	0.00	(164.82)	
R ENAISSANCERE HLDGS LTD								
	PRTL CALL PRNT ML #59Y67	CUSIP Number	998086367					
	06 080% JAN 01 2049							
8 0000	Redemption	05/03/11	06/27/13	200.01	184.80	0.00	15.21	
S ANTANDER FIN PFD SA UNI								
	PFD STK	CUSIP Number	E8683R144					
	10.500% PERPETUAL							
2 0000	Sale	10/21/11	01/17/13	54.91	53.31	0.00	1.60	
1 0000	Sale	10/24/11	01/17/13	27.46	26.86	0.00	0.60	
3 0000	Sale	12/05/11	01/18/13	82.45	79.90	0.00	2.55	
2 0000	Sale	12/06/11	01/18/13	54.98	53.65	0.00	1.33	
1 0000	Sale	12/06/11	01/25/13	27.86	26.82	0.00	1.04	
3 0000	Sale	12/08/11	01/25/13	83.60	80.45	0.00	3.15	
6 0000	Sale	12/12/11	01/25/13	167.22	157.82	0.00	9.40	
	Security Subtotal			498.48	478.81	0.00	19.67	
S EASSPAN CORPORATE SER C								
	CUM REDEEMABLE PFD STK	CUSIP Number	Y75638125					
	9 50% PERPETUAL							
2.0000	Sale	05/20/11	01/14/13	55.44	50.50	0.00	4.94	
9 0000	Sale	05/23/11	01/14/13	249.51	227.01	0.00	22.50	
2 0000	Sale	05/23/11	03/18/13	55.59	49.27	0.00	6.32	
8 0000	Sale	05/25/11	03/20/13	221.75	199.54	0.00	22.21	
6.0000	Sale	05/26/11	03/20/13	166.32	150.97	0.00	15.35	
2 0000	Sale	05/26/11	04/08/13	55.65	50.33	0.00	5.32	
5.0000	Sale	07/11/11	04/08/13	139.15	125.06	0.00	14.09	
2 0000	Sale	07/11/11	06/06/13	54.31	48.85	0.00	5.46	
14 0000	Sale	07/11/11	12/23/13	375.33	325.23	0.00	50.10	
9.0000	Sale	07/25/11	12/23/13	241.28	211.78	0.00	29.50	
20.0000	Sale	03/01/12	12/23/13	536.20	469.34	0.00	66.86	
	Security Subtotal			2,150.53	1,907.88	0.00	242.65	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SENIOR HOUSING PPTY TRUST SENIOR NOTES								
5 625% DUE AUG 1 2042		CUSIP Number 81721M208						
16.0000	Sale	07/18/12	12/23/13	317.75	395.33	0.00	(77.58)	
9.0000	Sale	07/24/12	12/23/13	178.74	217.23	0.00	(38.49)	
4.0000	Sale	08/17/12	12/23/13	79.43	95.00	0.00	(15.57)	
7.0000	Sale	08/20/12	12/23/13	139.02	166.24	0.00	(27.22)	
1.0000	Sale	08/21/12	12/23/13	19.87	23.78	0.00	(3.91)	
Security Subtotal				734.81	897.58	0.00	(162.77)	
STANLEY BLACK&DECKER JUNIOR SUB DEBENTURE								
5.75% DUE 7/25/2052		CUSIP Number 854502705						
1.0000	Sale	08/01/12	11/11/13	22.60	25.82	0.00	(3.22)	
13.0000	Sale	08/01/12	12/23/13	273.38	335.69	0.00	(62.31)	
2.0000	Sale	08/01/12	12/23/13	42.05	51.85	0.00	(9.80)	
37.0000	Sale	08/06/12	12/23/13	778.10	953.38	0.00	(175.28)	
9.0000	Sale	08/10/12	12/23/13	189.26	232.25	0.00	(42.99)	
10.0000	Sale	08/13/12	12/23/13	210.30	257.71	0.00	(47.41)	
Security Subtotal				1,515.69	1,856.70	0.00	(341.01)	
STATE STREET CORP SERIES C NON-CUM 5.25% PERPETUAL PFD STK								
5.25% PERPETUAL PFD STK		CUSIP Number 857477509						
9.0000	Sale	08/27/12	12/23/13	184.31	224.82	0.00	(40.51)	
1.0000	Sale	08/29/12	12/23/13	20.47	24.97	0.00	(4.50)	
1.0000	Sale	09/01/12	12/23/13	20.48	24.80	0.00	(4.32)	
1.0000	Sale	09/04/12	12/23/13	20.48	25.06	0.00	(4.58)	
2.0000	Sale	09/10/12	12/23/13	40.96	50.32	0.00	(9.36)	
1.0000	Sale	09/14/12	12/23/13	20.48	25.17	0.00	(4.69)	
Security Subtotal				307.18	375.14	0.00	(67.96)	
CE TRUST I SER PFD STK								
SER PFD STK		CUSIP Number 78406T201						
5.0000	Sale	05/14/12	05/15/13	130.94	123.80	0.00	7.14	
1.0000	Sale	05/15/12	05/20/13	26.47	24.78	0.00	1.69	
2.0000	Sale	05/15/12	05/21/13	52.92	49.56	0.00	3.36	
4.0000	Sale	05/21/12	05/22/13	106.16	97.80	0.00	8.36	
5.0000	Sale	05/21/12	05/23/13	131.18	122.25	0.00	8.93	

DAVID L LIEB FOUNDATION

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SCIENCE TRUST I SER PFD STK		CUSIP Number	78406T201					
2 0000	Sale	05/21/12	06/06/13	50.25	48 90	0 00	1.35	
1 0000	Sale	05/21/12	06/20/13	23 78	24 45	0 00	(0 67)	
1 0000	Sale	05/21/12	08/02/13	22 30	24 45	0 00	(2 15)	
3 0000	Sale	05/22/12	08/02/13	66 90	72 92	0 00	(6 02)	
11 0000	Sale	05/22/12	08/05/13	244 52	267 37	0 00	(22 85)	
4 0000	Sale	05/22/12	11/11/13	83 47	97 22	0 00	(13 75)	
12 0000	Sale	05/30/12	11/11/13	250.44	294 39	0 00	(43.95)	
6 0000	Sale	05/30/12	12/23/13	120.89	147.19	0 00	(26 30)	
7 0000	Sale	08/07/12	12/23/13	141.04	185 84	0 00	(44 80)	
8 0000	Sale	08/08/12	12/23/13	161.20	212 82	0 00	(51 62)	
2 0000	Sale	08/10/12	12/23/13	40.30	53 12	0 00	(12 82)	
11 0000	Sale	08/13/12	12/23/13	221.65	292 47	0 00	(70 82)	
6 0000	Sale	08/14/12	12/23/13	120 91	159 73	0 00	(38 82)	
Security Subtotal				1,995.32	2,299.06	0 00	(303 74)	
WEINGARTEN RLTY NEW MONEY PFD STK		CUSIP Number	948741848					
1.0000	Sale	11/01/11	12/23/13	20.74	23 39	0 00	(2.65)	
TELEPHONE & DATA SYSTEM \$25 SENIOR NOTES 06.875% NOV 15 2059		CUSIP Number	879433845					
5.0000	Sale	03/21/11	12/23/13	114 30	124 31	0 00	(10 01)	
5.0000	Sale	03/23/11	12/23/13	114.30	124 20	0 00	(9 90)	
3.0000	Sale	03/24/11	12/23/13	68.58	74 85	0 00	(6.27)	
1.0000	Sale	03/25/11	12/23/13	22.86	24 97	0 00	(2 11)	
2 0000	Sale	03/28/11	12/23/13	45 72	49 85	0 00	(4.13)	
1.0000	Sale	03/29/11	12/23/13	22.86	24.93	0 00	(2.07)	
1.0000	Sale	03/30/11	12/23/13	22 86	24.96	0 00	(2 10)	
1 0000	Sale	12/07/10	12/24/13	22 62	24 78	0 00	(2 16)	
Security Subtotal				434.10	472 85	0 00	(38 75)	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
US BANCORP								
SERIES G								
PFD STK								
		CUSIP Number		902973817				
2 0000	Sale	04/17/12	06/06/13	54 29	50 00	0 00	4.29	
1.0000	Sale	04/17/12	11/11/13	27 11	25.00	0 00	2 11	
15 0000	Sale	04/17/12	12/23/13	414.75	375 00	0 00	39 75	
15 0000	Sale	04/18/12	12/23/13	414 75	382.50	0 00	32.25	
9 0000	Sale	04/20/12	12/23/13	248.85	228 78	0 00	20 07	
12 0000	Sale	04/27/12	12/23/13	331 80	309 60	0 00	22 20	
Security Subtotal				1,491 55	1,370 88	0 00	120 67	
US CELLULAR CORP (USM)								
\$25 PAR SENIOR NOTES								
6 95% DUE 5/15/2060								
		CUSIP Number		911684405				
1 0000	Sale	05/17/11	01/23/13	27 46	24.88	0.00	2 58	
8 0000	Sale	05/17/11	01/25/13	217.62	199.03	0 00	18.59	
1 0000	Sale	08/08/11	01/28/13	27 13	23.13	0.00	4 00	
4 0000	Sale	08/08/11	01/29/13	107.76	92.52	0 00	15.24	
1.0000	Sale	08/08/11	03/20/13	26 50	23 13	0.00	3 37	
5.0000	Sale	08/09/11	03/20/13	132 52	120.65	0.00	11.87	
4.0000	Sale	08/10/11	03/20/13	106 01	96.82	0 00	9.19	
1 0000	Sale	08/11/11	03/20/13	26 51	24.87	0.00	1.64	
1 0000	Sale	08/11/11	03/21/13	26 41	24.87	0 00	1 54	
1 0000	Sale	09/09/11	03/21/13	26 41	25.56	0.00	0.85	
1 0000	Sale	09/09/11	03/22/13	26 37	25.56	0 00	0.81	
2.0000	Sale	09/09/11	03/25/13	52 62	51.12	0 00	1.50	
1 0000	Sale	09/12/11	03/25/13	26 32	25.11	0.00	1.21	
3 0000	Sale	09/12/11	12/23/13	68 42	75.32	0 00	(6 90)	
7 0000	Sale	09/13/11	12/23/13	159 67	174 67	0 00	(15.00)	
Security Subtotal				1,057.73	1,007.24	0 00	50.49	
US BANCORP								
PFD STK								
VAR% PERPETUAL								
		CUSIP Number		902973833				
2 0000	Sale	01/18/12	05/20/13	60 03	50.00	0.00	10 03	
14.0000	Sale	01/18/12	05/21/13	419.04	350.00	0 00	69.04	
9.0000	Sale	01/18/12	05/22/13	269.33	225.00	0.00	44 33	
10.0000	Sale	01/18/12	11/11/13	267.09	250 00	0 00	17 09	
76 0000	Sale	01/18/12	12/23/13	2,023 09	1,900.00	0 00	123 09	
50.0000	Sale	01/25/12	12/23/13	1,330.98	1,270.00	0 00	60.98	
3 0000	Sale	01/26/12	12/23/13	79.85	76 08	0.00	3 77	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
VS BANCORP		CUSIP Number	902973833					
PFD STK								
VAR% PERPETUAL								
21 0000	Sale	01/27/12	12/23/13	559 01	536 26	0 00	22.75	
38.0000	Sale	01/27/12	12/23/13	1,011 55	970 05	0.00	41.50	
44 0000	Sale	01/30/12	12/23/13	1,171 26	1,122 08	0 00	49 18	
4.0000	Sale	03/01/12	12/23/13	106 47	108 40	0 00	(1.93)	
7 0000	Sale	03/02/12	12/23/13	186 33	189 68	0 00	(3 35)	
7.0000	Sale	03/05/12	12/23/13	186 34	189.50	0 00	(3.16)	
2.0000	Sale	03/06/12	12/23/13	53 24	54 14	0.00	(0.90)	
2 0000	Sale	06/26/12	12/23/13	53 24	56 37	0 00	(3.13)	
5 0000	Sale	06/27/12	12/23/13	133 10	139 97	0.00	(6.87)	
3 0000	Sale	06/28/12	12/23/13	79 86	84.46	0 00	(4 60)	
4.0000	Sale	06/29/12	12/23/13	106 48	113 38	0.00	(6.90)	
Security Subtotal				8,096 29	7,685 37	0.00	410.92	
VRSTADT BIDDLE PROPS INC		CUSIP Number	917286700					
NEW MONEY SER F								
07.125% PERPETUAL								
2.0000	Sale	10/19/12	12/23/13	46 61	50 60	0 00	(3.99)	
6 0000	Sale	10/23/12	12/23/13	139 86	153.90	0 00	(14.04)	
7.0000	Sale	10/24/12	12/23/13	163 17	179.90	0 00	(16 73)	
9 0000	Sale	10/25/12	12/23/13	209 79	233.00	0.00	(23.21)	
2.0000	Sale	10/31/12	12/23/13	46 62	51 60	0.00	(4.98)	
3 0000	Sale	11/01/12	12/23/13	69 93	77.29	0 00	(7 36)	
3.0000	Sale	11/02/12	12/23/13	69.93	77.59	0.00	(7.66)	
1.0000	Sale	11/05/12	12/23/13	23 31	25.89	0 00	(2.58)	
3 0000	Sale	11/06/12	12/23/13	69.93	77.58	0.00	(7.65)	
Security Subtotal				839.15	927 35	0.00	(88.20)	
TELEPHONE & DATA SYSTEM		CUSIP Number	879433837					
\$25 PAR SENIOR NOTES								
7.00% MARCH 15 2060								
1.0000	Sale	03/31/11	02/28/13	26 88	24 86	0.00	2.02	
9.0000	Sale	04/04/11	02/28/13	241 94	223.58	0.00	18 36	
4.0000	Sale	04/05/11	02/28/13	107 54	99.15	0.00	8.39	
8 0000	Sale	04/05/11	04/08/13	211 99	198.30	0.00	13.69	
1.0000	Sale	04/05/11	04/09/13	26 44	24 79	0.00	1.65	
1 0000	Sale	04/05/11	04/10/13	26 44	24.79	0.00	1.65	
1.0000	Sale	04/05/11	04/11/13	26 44	24 79	0 00	1.65	
1.0000	Sale	04/05/11	04/12/13	26.44	24 79	0.00	1.65	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TELEPHONE & DATA SYSTEM \$25 PAR SENIOR NOTES 7.00% MARCH 15 2060		CUSIP Number 879433837						
1.0000	Sale	04/05/11	04/16/13	26.44	24.79	0.00	1.65	
1.0000	Sale	04/05/11	04/17/13	26.44	24.79	0.00	1.65	
2.0000	Sale	04/05/11	04/18/13	52.89	49.57	0.00	3.32	
1.0000	Sale	04/05/11	04/19/13	26.47	24.79	0.00	1.68	
1.0000	Sale	04/05/11	04/24/13	26.52	24.78	0.00	1.74	
1.0000	Sale	04/05/11	06/06/13	26.05	24.79	0.00	1.26	
1.0000	Sale	04/05/11	12/23/13	23.33	24.78	0.00	(1.45)	
3.0000	Sale	04/11/11	12/23/13	70.02	74.11	0.00	(4.09)	
7.0000	Sale	04/12/11	12/23/13	163.38	173.29	0.00	(9.91)	
6.0000	Sale	04/13/11	12/23/13	140.04	148.83	0.00	(8.79)	
1.0000	Sale	04/14/11	12/23/13	23.33	24.79	0.00	(1.46)	
13.0000	Sale	11/01/11	12/23/13	303.43	330.59	0.00	(27.16)	
Security Subtotal				1,602.45	1,594.95	0.00	7.50	
JCF FINANCIAL CO SER PFD STK		CUSIP Number 872277207						
2.0000	Sale	07/02/12	12/23/13	49.21	50.93	0.00	(1.72)	
5.0000	Sale	07/05/12	12/23/13	123.05	129.58	0.00	(6.53)	
4.0000	Sale	07/06/12	12/23/13	98.44	104.09	0.00	(5.65)	
5.0000	Sale	07/03/12	12/23/13	123.05	128.31	0.00	(5.26)	
1.0000	Sale	07/09/12	12/23/13	24.60	26.13	0.00	(1.53)	
9.0000	Sale	07/24/12	12/23/13	221.49	233.50	0.00	(12.01)	
1.0000	Sale	07/25/12	12/23/13	24.62	26.05	0.00	(1.43)	
Security Subtotal				664.46	698.59	0.00	(34.13)	
ARCHMARK CORP JR SUB DEB CUM 5.875% DUE 2052		CUSIP Number 891027302						
11.0000	Sale	09/18/12	12/23/13	238.14	275.39	0.00	(37.25)	
TELEPHONE & DATA SYSTEMS SENIOR NOTES 5.875% DUE 12/01/2061		CUSIP Number 879433795						
36.0000	Sale	11/27/12	12/23/13	703.79	889.95	0.00	(186.16)	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
✓ VORNADO RLTY LP		CUSIP Number	929043602					
SENIOR UNSEC NOTES PINES								
7.875% DUE OCT 1 2039								
1.0000	Sale	11/01/11	12/23/13	25.88	27.02	0.00	(1.14)	
2.0000	Sale	11/09/12	12/23/13	51.76	55.18	0.00	(3.42)	
Security Subtotal				77.64	82.20	0.00	(4.56)	
✓ VORNADO REALTY TR SER J		CUSIP Number	929042869					
CUM REDEEMABLE PFD SHRS								
6.875% PERPETUAL								
1.0000	Sale	08/15/11	06/06/13	26.27	25.21	0.00	1.06	
5.0000	Sale	08/15/11	12/23/13	123.94	126.05	0.00	(2.11)	
5.0000	Sale	09/13/11	12/23/13	123.95	128.19	0.00	(4.24)	
6.0000	Sale	09/14/11	12/23/13	148.74	155.13	0.00	(6.39)	
5.0000	Sale	09/15/11	12/23/13	123.94	129.55	0.00	(5.61)	
1.0000	Sale	11/01/11	12/23/13	24.80	25.85	0.00	(1.05)	
Security Subtotal				571.64	589.98	0.00	(18.34)	
✓ VORNADO REALTY TR		CUSIP Number	929042851					
CUM SER K PFD SHARES								
5.70% PERPETUAL								
25.0000	Sale	07/12/12	12/23/13	499.99	628.46	0.00	(128.47)	
✓ MONS BANCORP		CUSIP Number	989701867					
SER F								
PFD STK								
1.0000	Sale	05/02/12	05/03/13	28.80	26.02	0.00	2.78	
1.0000	Sale	05/02/12	05/08/13	28.92	26.02	0.00	2.90	
1.0000	Sale	05/02/12	05/13/13	28.81	26.02	0.00	2.79	
5.0000	Sale	05/02/12	05/14/13	144.69	130.10	0.00	14.59	
2.0000	Sale	05/02/12	06/06/13	57.59	52.04	0.00	5.55	
29.0000	Sale	05/02/12	12/23/13	771.67	754.58	0.00	17.09	
9.0000	Sale	05/08/12	12/23/13	239.48	234.90	0.00	4.58	
1.0000	Sale	05/09/12	12/23/13	26.61	26.08	0.00	0.53	
3.0000	Sale	05/10/12	12/23/13	79.84	78.46	0.00	1.38	
Security Subtotal				1,406.41	1,354.22	0.00	52.19	
Covered Long Term Capital Gains and Losses Subtotal				229,880.85	235,250.34	28.80	(5,340.69)	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ONCOVERED TRANSACTIONS - 1099-B Line 6a (cost basis not reported to the IRS)								
MERIPRISE FINANCIAL INC		CUSIP Number		03076C205				
7.75% SENIOR NOTES								
DUE 06/15/2039								
7 0000	Sale	08/27/09	01/30/13	197 35	168 36	0 00	28 99	
1 0000	Sale	08/27/09	06/06/13	26 31	24 05	0 00	2 26	
1 0000	Sale	08/27/09	07/05/13	26 34	24 05	0 00	2 29	
4 0000	Sale	08/27/09	07/11/13	104 23	96 20	0 00	8 03	
3 0000	Sale	10/29/09	07/11/13	78.18	74 79	0 00	3 39	
2 0000	Sale	10/29/09	12/23/13	51 35	49 86	0 00	1 49	
4 0000	Sale	10/30/09	12/23/13	102 71	99 80	0 00	2 91	
28.0000	Sale	05/13/10	12/23/13	719.03	713 84	0.00	5 19	
Security Subtotal				1,305 50	1,250 95	0 00	54 55	
EGON NV		CUSIP Number		007924301				
6.375% PERPETUAL CAP SEC								
PFD STK								
5 0000	Sale	10/26/09	06/06/13	124 59	86 65	0 00	37 94	
17 0000	Sale	10/26/09	12/23/13	395 58	294 60	0 00	100 98	
14 0000	Sale	05/07/10	12/23/13	325 77	233.98	0 00	91.79	
20 0000	Sale	10/14/10	12/23/13	465 39	465 00	0 00	0 39	
Security Subtotal				1,311 33	1,080.23	0 00	231 10	
EGON NV		CUSIP Number		N00927306				
PERP CAPITAL SECURITIES								
6.875% PERP								
1.0000	Sale	09/23/09	03/22/13	25 43	16.94	0.00	8 49	
1.0000	Sale	09/23/09	03/25/13	25 39	16 94	0 00	8 45	
12 0000	Sale	09/23/09	03/26/13	304 57	203 33	0 00	101 24	
5.0000	Sale	08/27/10	03/26/13	126 90	115 68	0 00	11 22	
3 0000	Sale	08/30/10	03/26/13	76.14	68 23	0 00	7 91	
1.0000	Sale	08/31/10	03/26/13	25 38	22 72	0 00	2 66	
Security Subtotal				583 81	443.84	0 00	139.97	
EGON NV		CUSIP Number		N00927348				
PFD STOCK 7 25%								
PERP CAP SECS								
1.0000	Sale	12/16/09	05/06/13	25 68	19 43	0 00	6 25	
1 0000	Sale	12/16/09	05/07/13	25.71	19 42	0 00	6.29	
3 0000	Sale	12/16/09	05/08/13	77.17	58.28	0 00	18 89	

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2013 ANNUAL STATEMENT SUMMARY

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
REGON NV								
PFD STOCK 7.25% PERP CAP SECS		CUSIP Number		N00927348				
3.0000	Sale	12/16/09	05/09/13	77.29	58.27	0.00	19.02	
5.0000	Sale	12/16/09	05/09/13	128.81	97.48	0.00	31.33	
3.0000	Sale	12/17/09	05/09/13	77.30	59.03	0.00	18.27	
1.0000	Sale	12/17/09	05/14/13	25.65	19.68	0.00	5.97	
3.0000	Sale	12/17/09	05/15/13	76.71	59.03	0.00	17.68	
1.0000	Sale	12/17/09	05/16/13	25.61	19.67	0.00	5.94	
1.0000	Sale	12/17/09	05/17/13	25.63	19.68	0.00	5.95	
1.0000	Sale	12/17/09	05/20/13	25.66	19.67	0.00	5.99	
1.0000	Sale	12/18/09	05/20/13	25.66	19.71	0.00	5.95	
1.0000	Sale	12/18/09	05/21/13	25.66	19.71	0.00	5.95	
2.0000	Sale	01/05/10	05/21/13	51.33	40.44	0.00	10.89	
1.0000	Sale	01/05/10	05/22/13	25.68	20.22	0.00	5.46	
4.0000	Sale	01/05/10	06/06/13	100.23	80.89	0.00	19.34	
2.0000	Sale	01/05/10	11/11/13	50.59	40.44	0.00	10.15	
7.0000	Sale	01/05/10	12/23/13	177.39	141.56	0.00	35.83	
7.0000	Sale	04/12/10	12/23/13	177.39	157.42	0.00	19.97	
5.0000	Sale	04/13/10	12/23/13	126.71	112.55	0.00	14.16	
Security Subtotal				1,351.86	1,082.58	0.00	269.28	
ALLIANZ SE								
8.375% UNDATED SUBORDINATE CALLABLE BONDS		CUSIP Number		018805200				
33.0000	Sale	08/27/09	05/17/13	838.53	812.46	0.00	26.07	
5.0000	Sale	08/27/09	06/06/13	124.50	123.10	0.00	1.40	
75.0000	Redemption	08/27/09	06/17/13	1,874.99	1,846.51	0.00	28.48	
28.0000	Redemption	10/07/09	06/17/13	700.00	670.60	0.00	29.40	
14.0000	Redemption	10/22/09	06/17/13	350.00	335.99	0.00	14.01	
10.0000	Redemption	10/22/09	06/17/13	250.00	240.55	0.00	9.45	
14.0000	Redemption	02/22/10	06/17/13	350.00	356.30	0.00	(6.30)	
5.0000	Redemption	07/27/10	06/17/13	125.01	129.20	0.00	(4.19)	
Security Subtotal				4,613.03	4,514.71	0.00	98.32	
REGON NV PFD								
NON CUM PFD STK 06.500% PERPETUAL		CUSIP Number		007924400				
1.0000	Sale	07/26/10	04/04/13	25.09	19.44	0.00	5.65	
4.0000	Sale	07/26/10	04/05/13	100.26	77.76	0.00	22.50	
1.0000	Sale	07/26/10	04/08/13	25.11	19.44	0.00	5.67	

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
AEGON NV PFD		CUSIP Number 007924400						
NON CUM PFD STK								
06 500% PERPETUAL								
1.0000	Sale	07/26/10	04/09/13	25 10	19.44	0 00	5 66	
1 0000	Sale	07/26/10	04/10/13	25 11	19 44	0 00	5 67	
2 0000	Sale	07/26/10	04/11/13	50 20	38.88	0 00	11 32	
1 0000	Sale	07/26/10	04/12/13	25 12	19 44	0 00	5 68	
1 0000	Sale	07/26/10	04/15/13	25 16	19 44	0 00	5 72	
1 0000	Sale	07/26/10	04/16/13	25 18	19 43	0 00	5 75	
1.0000	Sale	07/29/10	06/04/13	24 91	20 00	0 00	4 91	
1 0000	Sale	07/30/10	06/04/13	24 91	20.01	0 00	4.90	
3 0000	Sale	08/12/10	06/04/13	74 74	62 86	0 00	11 88	
8.0000	Sale	08/13/10	06/04/13	199.32	170 51	0 00	28 81	
Security Subtotal				650 21	526 09	0 00	124 12	
AEGON NV		CUSIP Number 007924509						
3M LIBOR +87 5, 4% FLOOR								
NON-CUM FLOAT RATE PFD								
1.0000	Sale	09/24/09	05/28/13	25 15	13.80	0 00	11 35	
1 0000	Sale	09/24/09	05/29/13	24.88	13 80	0 00	11 08	
1.0000	Sale	09/24/09	05/30/13	24.99	13 80	0 00	11 19	
3 0000	Sale	09/24/09	05/31/13	75 25	41.40	0 00	33 85	
1 0000	Sale	09/24/09	06/03/13	24 82	13 80	0 00	11 02	
1.0000	Sale	09/24/09	06/04/13	24.65	13.80	0 00	10 85	
1 0000	Sale	09/24/09	06/05/13	24.23	13 80	0 00	10 43	
2 0000	Sale	09/24/09	06/06/13	47.97	27.60	0 00	20 37	
1.0000	Sale	09/24/09	06/07/13	24.34	13.80	0 00	10 54	
1.0000	Sale	09/24/09	11/11/13	20 58	13 80	0 00	6.78	
39.0000	Sale	09/24/09	12/23/13	764.78	538.25	0 00	226 53	
Security Subtotal				1,081.64	717.65	0.00	363 99	
BARCLAYS BANK PLC		CUSIP Number 06739F390						
6.625% AMER DEP SHRS SER								
MAR 01 2049								
2 0000	Sale	08/27/09	06/06/13	49.85	37.84	0 00	12.01	
24 0000	Sale	08/27/09	12/23/13	578 63	454.08	0 00	124 55	
1.0000	Sale	10/20/10	12/23/13	24 10	24 48	0 00	(0 38)	
Security Subtotal				652 58	516.40	0 00	136 18	

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1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ARCLAYS BANK PLC CUSIP Number 06739H776								
NEW MONEY SER 3								
07 100% PERPETUAL								
2 0000	Sale	08/27/10	06/06/13	50 27	50 02	0 00	0 25	
1 0000	Sale	08/30/10	12/23/13	25 01	24 75	0 00	0 26	
7 0000	Sale	08/31/10	12/23/13	175 13	173 78	0 00	1 35	
9 0000	Sale	10/12/10	12/23/13	225 17	224 87	0 00	0 30	
9 0000	Sale	10/13/10	12/23/13	225 18	225 81	0 00	(0 63)	
1 0000	Sale	10/14/10	12/23/13	25 02	25 19	0 00	(0 17)	
Security Subtotal				725 78	724 42	0 00	1 36	
ARCLAYS BANK PLC CUSIP Number 06739H511								
AMERN DEP SHS SER 4								
7.75% PERP								
5 0000	Sale	08/27/09	06/06/13	126 54	105 19	0 00	21 35	
3 0000	Sale	08/27/09	11/11/13	76 34	63 12	0 00	13 22	
77 0000	Sale	08/27/09	12/23/13	1,951 15	1,619 98	0 00	331 17	
9 0000	Sale	11/19/09	12/23/13	228 05	207 37	0 00	20 68	
11 0000	Sale	11/20/09	12/23/13	278 73	254 20	0 00	24 53	
6 0000	Sale	10/20/10	12/23/13	152 03	154 56	0 00	(2 53)	
Security Subtotal				2,812 84	2,404 42	0 00	408 42	
ARCLAYS BANK PLC CUSIP Number 06739H362								
AMERICAN DEP SHRS SRS 5								
8.125% NON CUM PERP								
5 0000	Sale	08/27/09	06/06/13	127 14	111 65	0 00	15 49	
15 0000	Sale	08/27/09	06/12/13	376 23	334 95	0 00	41 28	
14 0000	Sale	08/27/09	06/17/13	355 54	312 62	0 00	42 92	
5 0000	Sale	08/27/09	07/05/13	126 17	111 65	0 00	14 52	
9 0000	Sale	08/27/09	07/08/13	226 89	200 98	0 00	25 91	
4 0000	Sale	08/27/09	07/09/13	100 86	89 32	0 00	11 54	
2 0000	Sale	08/27/09	09/12/13	50 60	44 66	0 00	5 94	
Security Subtotal				1,363 43	1,205 83	0 00	157 60	
LBL & ASSOCIATES PROP CUSIP Number 124830605								
NEWM SER D								
07.375% PERPETUAL								
2 0000	Sale	02/25/10	06/06/13	50 71	41 80	0 00	8 91	
1 0000	Sale	03/01/10	11/11/13	24 85	21 25	0 00	3 60	
1 0000	Sale	03/01/10	12/23/13	23 96	21 25	0 00	2 71	

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1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BL & ASSOCIATES PROP		CUSIP Number	124830605					
NEWB SER D								
07 375% PERPETUAL								
1 0000	Sale	03/04/10	12/23/13	23 96	21 55	0 00	2 41	
10 0000	Sale	03/08/10	12/23/13	239.69	222 00	0.00	17 69	
8 0000	Sale	10/13/10	12/23/13	191 75	188 01	0.00	3 74	
5 0000	Sale	10/14/10	12/23/13	119 85	117 30	0 00	2 55	
7 0000	Sale	10/15/10	12/23/13	167.79	164 38	0 00	3 41	
Security Subtotal				842 56	797 54	0 00	45 02	
CREDIT SUISSE CLD		CUSIP Number	225448208					
TIER 1 CAPITAL NOTES								
7 90% PERP NON CUM								
43 0000	Redemption	08/27/09	03/28/13	1,075 00	1,061.75	0 00	13.25	
27 0000	Redemption	09/23/09	03/28/13	674 99	676 35	0 00	(1 36)	
12 0000	Redemption	10/05/09	03/28/13	300 00	302.15	0.00	(2 15)	
30 0000	Redemption	11/03/09	03/28/13	750 00	743.00	0 00	7.00	
10 0000	Redemption	11/04/09	03/28/13	250 00	249 59	0 00	0 41	
7.0000	Redemption	11/05/09	03/28/13	175 00	176.10	0.00	(1.10)	
Security Subtotal				3,224.99	3,208.94	0.00	16 05	
COMMONWEALTH REIT		CUSIP Number	203233408					
CONV NEW MONEY SER D								
06 500% PERPETUAL								
1 0000	Sale	09/16/10	06/06/13	23.55	21.96	0 00	1 59	
2 0000	Sale	09/17/10	06/06/13	47.12	44.17	0.00	2.95	
5 0000	Sale	09/17/10	12/23/13	103 49	106.35	2.86 (W)	0 00	
2 0000	Sale	09/20/10	12/23/13	41.39	42.84	1.45 (W)	0 00	
1 0000	Sale	09/21/10	12/23/13	20.70	21.41	0.71 (W)	0 00	
8 0000	Sale	09/21/10	12/23/13	165 59	171.04	0 00	(5 45)	
6 0000	Sale	12/23/10	12/23/13	124 19	120.44	0 00	3 75	
8 0000	Sale	12/28/10	12/23/13	165.60	162.94	0.00	2 66	
5 0000	Sale	12/29/10	12/23/13	103 50	102.01	0 00	1 49	
Security Subtotal				795.13	793.16	5.02	6 99	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
COMMONWEALTH REIT		CUSIP Number	203233507					
PFD STK								
07.500%								
1.0000	Sale	12/17/09	06/06/13	21.15	18.85	0.00	2.30	
17.0000	Sale	12/17/09	07/02/13	357.16	320.45	0.00	36.71	
11.0000	Sale	12/24/09	07/02/13	231.11	207.02	0.00	24.09	
Security Subtotal				609.42	546.32	0.00	63.10	
UTIGROUP CAPITAL XIII		CUSIP Number	173080201					
7.875% FIX/FLT RATE								
TRST PFD DUE OCT 30 2040								
3.0000	Sale	10/08/10	06/06/13	82.79	78.52	0.00	4.27	
1.0000	Sale	10/08/10	11/11/13	27.25	26.17	0.00	1.08	
3.0000	Sale	10/08/10	12/19/13	81.93	78.51	0.00	3.42	
2.0000	Sale	10/08/10	12/20/13	54.64	52.35	0.00	2.29	
2.0000	Sale	10/08/10	12/23/13	54.64	52.34	0.00	2.30	
6.0000	Sale	10/12/10	12/23/13	163.92	156.56	0.00	7.36	
25.0000	Sale	10/12/10	12/23/13	682.73	652.34	0.00	30.39	
Security Subtotal				1,147.90	1,096.79	0.00	51.11	
OB CONT CAP TRST II		CUSIP Number	25153X208					
NEW MONEY								
06.550% PERPETUAL								
5.0000	Sale	10/05/09	06/06/13	126.64	103.31	0.00	23.33	
14.0000	Sale	10/05/09	12/23/13	350.27	289.25	0.00	61.02	
8.0000	Sale	10/06/09	12/23/13	200.15	166.37	0.00	33.78	
2.0000	Sale	10/07/09	12/23/13	50.03	41.80	0.00	8.23	
12.0000	Sale	10/23/09	12/23/13	300.23	255.01	0.00	45.22	
4.0000	Sale	01/21/10	12/23/13	100.07	88.29	0.00	11.78	
15.0000	Sale	01/22/10	12/23/13	375.29	329.55	0.00	45.74	
14.0000	Sale	03/08/10	12/23/13	350.27	311.50	0.00	38.77	
7.0000	Sale	08/25/10	12/23/13	175.13	163.23	0.00	11.90	
1.0000	Sale	08/26/10	12/23/13	25.02	23.48	0.00	1.54	
4.0000	Sale	11/04/10	12/23/13	100.08	99.58	0.00	0.50	
Security Subtotal				2,153.18	1,871.37	0.00	281.81	

DAVID L LIEB FOUNDATION

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DEUTSCHE BK CAP TRUST V								
TRUST PREFERRED SHARES								
8.05% PERPETUAL								
		CUSIP Number	25150L108					
1.0000	Sale	09/02/09	06/06/13	27.88	22.70	0.00	5.18	
6.0000	Sale	09/02/09	10/15/13	158.79	136.22	0.00	22.57	
4.0000	Sale	09/02/09	10/16/13	105.98	90.81	0.00	15.17	
3.0000	Sale	09/02/09	10/17/13	79.64	68.11	0.00	11.53	
1.0000	Sale	10/14/10	10/30/13	27.15	27.26	0.11	0.00	(W)
1.0000	Sale	10/14/10	10/30/13	27.15	27.26	0.00	(0.11)	
8.0000	Sale	10/14/10	10/31/13	216.67	218.08	0.00	(1.41)	
3.0000	Sale	10/14/10	11/01/13	81.22	81.78	0.00	(0.56)	
4.0000	Sale	10/14/10	12/12/13	109.10	109.03	0.00	0.07	
3.0000	Sale	10/14/10	12/13/13	82.12	81.78	0.00	0.34	
1.0000	Sale	10/20/10	12/13/13	27.38	26.65	0.00	0.73	
Security Subtotal				943.08	889.68	0.11	53.51	
DOMINION RESOURCES								
SERIES A JR SUB NOTE								
8.375% JUNE 15 2079								
		CUSIP Number	25746U604					
1.0000	Sale	08/27/09	02/08/13	27.35	26.60	0.00	0.75	
2.0000	Sale	08/27/09	02/12/13	54.43	53.20	0.00	1.23	
1.0000	Sale	08/27/09	02/15/13	27.17	26.60	0.00	0.57	
2.0000	Sale	08/27/09	02/19/13	54.49	53.20	0.00	1.29	
2.0000	Sale	08/27/09	02/20/13	54.51	53.20	0.00	1.31	
2.0000	Sale	08/27/09	02/21/13	54.49	53.20	0.00	1.29	
1.0000	Sale	08/27/09	02/22/13	27.19	26.60	0.00	0.59	
1.0000	Sale	08/27/09	02/25/13	27.31	26.60	0.00	0.71	
2.0000	Sale	08/27/09	06/06/13	53.61	53.20	0.00	0.41	
34.0000	Sale	08/27/09	12/23/13	874.46	904.41	0.00	(29.95)	
Security Subtotal				1,255.01	1,276.81	0.00	(21.80)	
DUPONT FABROS TECHNOLOGY								
SERIES A 7.875%								
CUM PFD STK								
		CUSIP Number	26613Q205					
2.0000	Sale	11/19/10	06/06/13	51.09	49.91	0.00	1.18	
1.0000	Sale	11/19/10	11/11/13	24.63	24.95	0.00	(0.32)	
1.0000	Sale	11/19/10	12/23/13	24.69	24.95	0.00	(0.26)	
8.0000	Sale	11/22/10	12/23/13	197.59	199.35	0.00	(1.76)	
8.0000	Sale	11/23/10	12/23/13	197.60	199.61	0.00	(2.01)	
4.0000	Sale	11/24/10	12/23/13	98.80	100.03	0.00	(1.23)	
2.0000	Sale	11/26/10	12/23/13	49.40	50.18	0.00	(0.78)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DUPONT FABROS TECHNOLOGY								
SERIES A 7.875% CUM PFD STK		CUSIP Number 266130205						
3.0000	Sale	11/29/10	12/23/13	74.09	75.02	0.00	(0.93)	
5.0000	Sale	12/03/10	12/23/13	123.50	124.26	0.00	(0.76)	
7.0000	Sale	12/06/10	12/23/13	172.90	174.77	0.00	(1.87)	
2.0000	Sale	12/07/10	12/23/13	49.40	49.93	0.00	(0.53)	
2.0000	Sale	12/08/10	12/23/13	49.40	49.91	0.00	(0.51)	
Security Subtotal				1,113.09	1,122.87	0.00	(9.78)	
DUKE REALTY CORP								
NEW 6.000% PERPETUAL		CUSIP Number 264411745						
2.0000	Sale	02/01/10	06/06/13	50.63	40.55	0.00	10.08	
1.0000	Sale	02/01/10	11/11/13	23.71	20.27	0.00	3.44	
21.0000	Sale	02/01/10	12/23/13	468.50	425.73	0.00	42.77	
1.0000	Sale	03/18/10	12/23/13	22.30	21.42	0.00	0.88	
2.0000	Sale	03/19/10	12/23/13	44.61	42.85	0.00	1.76	
5.0000	Sale	03/24/10	12/23/13	111.55	107.52	0.00	4.03	
1.0000	Sale	03/25/10	12/23/13	22.31	21.55	0.00	0.76	
2.0000	Sale	03/26/10	12/23/13	44.62	43.06	0.00	1.56	
1.0000	Sale	03/29/10	12/23/13	22.31	21.55	0.00	0.76	
1.0000	Sale	03/30/10	12/23/13	22.31	21.55	0.00	0.76	
1.0000	Sale	03/31/10	12/23/13	22.31	21.64	0.00	0.67	
7.0000	Sale	10/12/10	12/23/13	156.17	167.79	0.00	(11.62)	
3.0000	Sale	10/13/10	12/23/13	66.93	72.28	0.00	(5.35)	
4.0000	Sale	10/14/10	12/23/13	89.25	96.63	0.00	(7.38)	
Security Subtotal				1,167.51	1,124.39	0.00	43.12	
INTERGY TEXAS INC								
SERIES PFD STOCK		CUSIP Number 29365T203						
1.0000	Sale	08/27/09	06/06/13	26.49	26.50	0.00	(0.01)	
6.0000	Sale	08/27/09	10/18/13	155.44	159.00	0.00	(3.56)	
2.0000	Sale	08/27/09	10/23/13	52.04	53.00	0.00	(0.96)	
1.0000	Sale	08/27/09	10/24/13	25.98	26.50	0.00	(0.52)	
1.0000	Sale	08/27/09	10/25/13	25.96	26.50	0.00	(0.54)	
16.0000	Sale	08/27/09	12/23/13	410.55	424.00	0.00	(13.45)	
1.0000	Sale	02/08/10	12/23/13	25.66	27.36	0.00	(1.70)	
Security Subtotal				722.12	742.86	0.00	(20.74)	

DAVID L. LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FPC CAPITAL I CUM PFD STK 7 100%		CUSIP Number	302552203					
3 0000	Sale	06/15/10	01/09/13	76.04	75.79	0.00	0.25	
14 0000	Sale	06/29/10	01/09/13	354.89	353.52	0.00	1.37	
14 0000	Sale	07/02/10	01/09/13	354.90	354.64	0.00	0.26	
Security Subtotal				785.83	783.95	0.00	1.88	
ENTERGY ARKANSAS SER PFD STK		CUSIP Number	29364D779					
1 0000	Sale	10/08/10	06/06/13	25.19	25.00	0.00	0.19	
8 0000	Sale	10/08/10	12/23/13	190.47	200.00	0.00	(9.53)	
1.0000	Sale	10/20/10	12/23/13	23.81	24.84	0.00	(1.03)	
9 0000	Sale	10/21/10	12/23/13	214.29	223.65	0.00	(9.36)	
Security Subtotal				453.76	473.49	0.00	(19.73)	
ENTERGY LA LLC SERIES PFD STK		CUSIP Number	29364W405					
1 0000	Sale	11/24/10	06/06/13	26.10	24.58	0.00	1.52	
8 0000	Sale	11/24/10	12/23/13	191.99	196.65	0.00	(4.66)	
Security Subtotal				218.09	221.23	0.00	(3.14)	
ENTERGY LOUISIANA LLC NEW MONEY 06 000% MAR 15 2040		CUSIP Number	29364W306					
7.0000	Sale	03/19/10	12/23/13	172.54	174.71	0.00	(2.17)	
9.0000	Sale	03/24/10	12/23/13	221.84	223.54	0.00	(1.70)	
Security Subtotal				394.38	398.25	0.00	(3.87)	
GOLDMAN SACHS GROUP INC \$25 PAR SENIOR NOTES 6.125% NOV 01 2060		CUSIP Number	38145X111					
4.0000	Sale	11/05/10	06/06/13	102.11	99.12	0.00	2.99	
1.0000	Sale	11/05/10	12/23/13	24.35	24.78	0.00	(0.43)	
10.0000	Sale	11/08/10	12/23/13	243.55	244.95	0.00	(1.40)	
13.0000	Sale	11/09/10	12/23/13	316.62	317.05	0.00	(0.43)	
10.0000	Sale	11/15/10	12/23/13	243.55	242.94	0.00	0.61	
13 0000	Sale	11/17/10	12/23/13	316.62	312.18	0.00	4.44	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GOLDMAN SACHS GROUP INC		CUSIP Number	38145X111					
\$25 PAR SENIOR NOTES								
6 125% NOV 01 2060								
6.0000	Sale	12/22/10	12/23/13	146.13	139.56	0.00	6.57	
5.0000	Sale	12/23/10	12/23/13	121.78	116.92	0.00	4.86	
Security Subtotal				1,514.71	1,497.50	0.00	17.21	
GOLDMAN SACHS GROUP INC		CUSIP Number	38143Y665					
3M LIBOR +75, 3 75% FLR								
NON-CUM FLOAT RATE PFD								
1.0000	Sale	08/27/09	04/24/13	23.09	18.76	0.00	4.33	
6.0000	Sale	08/27/09	04/25/13	137.98	112.56	0.00	25.42	
3.0000	Sale	08/27/09	04/26/13	68.74	56.28	0.00	12.46	
2.0000	Sale	08/27/09	04/29/13	45.56	37.52	0.00	8.04	
3.0000	Sale	08/27/09	04/30/13	68.46	56.28	0.00	12.18	
1.0000	Sale	08/27/09	05/01/13	22.86	18.76	0.00	4.10	
1.0000	Sale	08/27/09	05/02/13	22.98	18.76	0.00	4.22	
1.0000	Sale	08/27/09	06/06/13	23.12	18.76	0.00	4.36	
15.0000	Sale	08/27/09	12/23/13	267.89	281.41	0.00	(13.52)	
1.0000	Sale	10/20/10	12/23/13	17.86	21.55	0.00	(3.69)	
Security Subtotal				698.54	640.64	0.00	57.90	
SBC HLDGS PLC		CUSIP Number	404280604					
SER A 6 20%								
PFD STK								
5.0000	Sale	08/27/09	01/08/13	125.83	101.68	0.00	24.15	
14.0000	Sale	08/27/09	01/09/13	351.54	284.70	0.00	66.84	
4.0000	Sale	08/27/09	01/10/13	100.60	81.34	0.00	19.26	
2.0000	Sale	08/27/09	01/22/13	50.79	40.67	0.00	10.12	
2.0000	Sale	08/27/09	01/23/13	50.68	40.67	0.00	10.01	
2.0000	Sale	08/27/09	01/24/13	50.60	40.67	0.00	9.93	
4.0000	Sale	08/27/09	01/25/13	100.93	81.34	0.00	19.59	
5.0000	Sale	08/27/09	01/28/13	126.02	101.68	0.00	24.34	
3.0000	Sale	08/27/09	06/06/13	75.47	61.01	0.00	14.46	
14.0000	Sale	08/27/09	09/04/13	341.02	284.71	0.00	56.31	
3.0000	Sale	08/27/09	09/05/13	72.81	61.01	0.00	11.80	
2.0000	Sale	04/20/10	09/05/13	48.55	45.44	0.00	3.11	
3.0000	Sale	04/20/10	09/18/13	74.16	68.15	0.00	6.01	
Security Subtotal				1,569.00	1,293.07	0.00	275.93	

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2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
H SBC HOLDINGS PLC		CUSIP Number	404280703					
SUB CAP SECS								
8 125% PFD STK								
5 0000	Sale	08/27/09	01/28/13	127.67	125.05	0 00	2 62	
3 0000	Sale	08/27/09	01/29/13	76.41	75 03	0 00	1 38	
4 0000	Sale	08/27/09	01/30/13	101 91	100 04	0 00	1 87	
2 0000	Sale	08/27/09	02/21/13	51.40	50 02	0 00	1 38	
2 0000	Sale	08/27/09	02/22/13	51.26	50 02	0 00	1 24	
5 0000	Sale	08/27/09	02/25/13	127 77	125 06	0 00	2 71	
4 0000	Sale	08/27/09	02/26/13	102.16	100 04	0 00	2 12	
Security Subtotal				638.58	625 26	0 00	13 32	
H SBC HLDGS PLC		CUSIP Number	404280802					
SERIES								
08 00% PFD STK								
12 0000	Sale	06/22/10	06/06/13	326.22	300 00	0 00	26 22	
2 0000	Sale	06/22/10	06/06/13	54 38	49 94	0 00	4 44	
3 0000	Sale	06/22/10	11/11/13	81.95	74 91	0 00	7 04	
12 0000	Sale	06/22/10	12/23/13	322.66	299 64	0 00	23 02	
12 0000	Sale	06/23/10	12/23/13	322.66	299 64	0 00	23 02	
28 0000	Sale	06/24/10	12/23/13	752.89	699.56	0 00	53 33	
6 0000	Sale	06/25/10	12/23/13	161.33	150 30	0 00	11 03	
19 0000	Sale	06/28/10	12/23/13	510.89	476 33	0 00	34 56	
8 0000	Sale	07/06/10	12/23/13	215.11	200 96	0 00	14 15	
28 0000	Sale	07/06/10	12/23/13	752.89	703 62	0 00	49 27	
16 0000	Sale	07/09/10	12/23/13	430.22	405 60	0 00	24 62	
14 0000	Sale	07/12/10	12/23/13	376.44	355.70	0 00	20.74	
47 0000	Sale	07/28/10	12/23/13	1,263.79	1,221 05	0 00	42 74	
10 0000	Sale	07/29/10	12/23/13	268.89	260.25	0 00	8.64	
12 0000	Sale	08/12/10	12/23/13	322.67	314 59	0 00	8.08	
10 0000	Sale	09/14/10	12/23/13	268.89	272 57	0 00	(3.68)	
13 0000	Sale	09/28/10	12/23/13	349.55	347 83	0 00	1.72	
Security Subtotal				6,781.43	6,432 49	0 00	348 94	
P IMCO REALTY CORP		CUSIP Number	49446R828					
6 90% CUM PFD STK								
SER H, PERPETUAL								
1 0000	Sale	12/08/10	06/06/13	26.16	24 60	0 00	1 56	
4 0000	Sale	12/08/10	12/23/13	97.59	98 41	0 00	(0.82)	
Security Subtotal				123.75	123 01	0 00	0 74	

DAVID L LIEB FOUNDATION

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099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
IMG GROUP NV		CUSIP Number	456837707					
PERP HYBRID CAPITAL SECS								
7.375% PFD NON CUM								
2 0000	Sale	01/21/10	03/27/13	50 59	42.05	0 00	8.54	
4 0000	Sale	01/22/10	03/27/13	101 19	83 29	0 00	17.90	
3 0000	Sale	01/22/10	03/28/13	76 20	62 47	0 00	13 73	
3 0000	Sale	06/29/10	03/28/13	76 20	56 07	0 00	20 13	
3 0000	Sale	06/29/10	04/02/13	76 18	56 07	0 00	20.11	
2.0000	Sale	06/29/10	04/03/13	50 64	37 38	0 00	13 26	
1 0000	Sale	06/29/10	04/04/13	25 32	18 69	0 00	6.63	
7 0000	Sale	06/29/10	04/05/13	177.29	130.83	0 00	46.46	
4 0000	Sale	06/29/10	04/08/13	101 38	74.76	0 00	26 62	
11.0000	Sale	06/29/10	04/09/13	278 80	205 59	0 00	73 21	
1 0000	Sale	06/29/10	04/16/13	25 38	18 69	0 00	6.69	
3 0000	Sale	06/29/10	04/17/13	76 15	56.07	0 00	20 08	
4 0000	Sale	06/29/10	04/18/13	101 83	74.76	0 00	27.07	
4.0000	Sale	06/29/10	04/19/13	101 73	74.76	0 00	26.97	
4.0000	Sale	06/29/10	06/06/13	101 19	74.76	0 00	26 43	
1 0000	Sale	06/29/10	11/11/13	25 31	18.69	0 00	6.62	
5 0000	Sale	06/29/10	12/23/13	127 64	93 45	0 00	34.19	
6 0000	Sale	08/25/10	12/23/13	153.17	139.52	0 00	13 65	
7.0000	Sale	08/26/10	12/23/13	178.70	163.42	0 00	15.28	
15 0000	Sale	10/12/10	12/23/13	382 94	364 19	0 00	18.75	
Security Subtotal				2,287.83	1,845.51	0 00	442 32	
JPMORGAN CHASE CAP XXIX		CUSIP Number	48125E207					
PFD STK								
6.70% DUE 4/02/2040								
2 0000	Sale	08/20/10	01/09/13	52 07	50 24	0 00	1.83	
IMG GROEP NV		CUSIP Number	456837806					
PERP HYBRID CAPITAL SECS								
8.5% PFD NON CUM								
3.0000	Sale	12/31/09	06/06/13	76.25	66.12	0 00	10.13	
5.0000	Sale	12/31/09	11/08/13	127.50	110.19	0 00	17.31	
5 0000	Sale	01/04/10	11/08/13	127 50	110 33	0 00	17 17	
16 0000	Sale	11/16/10	11/08/13	408 00	410.35	0 00	(2.35)	
Security Subtotal				739.25	696 99	0 00	42.26	

DAVID L. LIEB FOUNDATION

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
METLIFE INC								
	NON CUM PFD STK SER B	CUSIP Number	59156R603					
	06 500% PERPETUAL							
3 0000	Sale	07/01/10	06/06/13	75 38	70 47	0 00	4 91	
9 0000	Sale	07/01/10	12/23/13	220 94	211 39	0 00	9 55	
	Security Subtotal			296 32	281 86	0 00	14 46	
MORGAN STANLEY CAP TR VI								
	CAPITAL SECURITIES	CUSIP Number	617461207					
	06 600% FEB 01 2046							
1 0000	Sale	02/24/10	03/04/13	25.26	22.10	0 00	3.16	
3 0000	Sale	02/24/10	03/05/13	75 85	66.30	0 00	9 55	
12 0000	Sale	02/24/10	03/06/13	302.56	265 20	0 00	37 36	
	Security Subtotal			403 67	353.60	0.00	50.07	
LOYDS BANKING GRP PLC								
	PINES SENIOR NOTES	CUSIP Number	539439802					
	07 750% JUL 15 2050							
1.0000	Sale	08/26/10	06/06/13	27 42	25.89	0 00	1.53	
15.0000	Sale	09/14/10	12/23/13	398 88	393 35	0 00	5.53	
8.0000	Sale	10/20/10	12/23/13	212 73	212.80	0 00	(0 07)	
10.0000	Sale	11/15/10	12/23/13	265.92	261.44	0 00	4.48	
8 0000	Sale	11/16/10	12/23/13	212 73	208.10	0 00	4 63	
1.0000	Sale	11/17/10	12/23/13	26 59	26.00	0 00	0.59	
	Security Subtotal			1,144 27	1,127.58	0.00	16 69	
MORGAN STANLEY CAP TRVII								
	CAPITAL SECURITIES	CUSIP Number	61750K208					
	06.60% OCT 15 2066							
2 0000	Sale	08/17/10	04/17/13	50 78	49 39	0 00	1.39	
5.0000	Sale	08/17/10	04/18/13	126 89	123.46	0 00	3 43	
3.0000	Sale	08/17/10	04/19/13	76 11	74 08	0 00	2.03	
2.0000	Sale	08/26/10	04/22/13	50.57	49.34	0 00	1 23	
3 0000	Sale	08/26/10	04/23/13	75 92	74 00	0 00	1.92	
1.0000	Sale	08/26/10	06/06/13	25 18	24.67	0 00	0 51	
2.0000	Sale	08/27/10	06/06/13	50.38	49.28	0 00	1.10	
3.0000	Sale	08/27/10	09/04/13	74 02	73 92	0 00	0 10	
3 0000	Sale	08/30/10	09/04/13	74.02	73.97	0 00	0.05	
2 0000	Sale	08/31/10	09/04/13	49 35	49 25	0 00	0 10	
7.0000	Sale	12/16/10	09/04/13	172 73	171 15	0 00	1.58	

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1e Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MORGAN STANLEY CAP TRVII CAPITAL SECURITIES 06 60% OCT 15 2066		CUSIP Number	61750K208					
5 0000	Sale	12/16/10	09/05/13	123 36	122 25	0 00	1 11	
1.0000	Sale	12/16/10	11/11/13	24 61	24.45	0 00	0 16	
5 0000	Sale	12/16/10	12/23/13	124 32	122 26	0 00	2 06	
Security Subtotal				1,098 24	1,081.47	0 00	16 77	
MORGAN STANLEY CAP VIII CAPITAL SECURITIES 6 45% APRIL 15, 2067		CUSIP Number	61753R200					
9 0000	Sale	08/19/10	01/31/13	225 72	218.25	0 00	7.47	
NATIONAL WESTMINSTER BK PLC ADS NONCUM PFD SRS C 7.760%		CUSIP Number	638539882					
2.0000	Sale	03/25/10	06/06/13	49 89	42.33	0 00	7 56	
1.0000	Sale	03/25/10	11/11/13	25 09	21.17	0 00	3 92	
21.0000	Sale	03/25/10	12/23/13	528 77	444.46	0 00	84 31	
1.0000	Sale	08/24/10	12/23/13	25.17	23.49	0 00	1 68	
5 0000	Sale	08/25/10	12/23/13	125 89	117.44	0 00	8.45	
1 0000	Sale	08/26/10	12/23/13	25.17	23 50	0 00	1 67	
4.0000	Sale	09/01/10	12/23/13	100 72	95.42	0 00	5 30	
Security Subtotal				880.70	767 81	0 00	112 89	
GE CAPITAL TRUST II TRUST PREFERRED SECS 06.200% OCT 15 2043		CUSIP Number	05541Q206					
1 0000	Sale	04/13/10	05/20/13	25 55	23.65	0 00	1.90	
2.0000	Sale	04/13/10	05/21/13	50.89	47.29	0 00	3 60	
1.0000	Sale	04/14/10	05/22/13	25.33	23.64	0 00	1 69	
2 0000	Sale	04/29/10	05/22/13	50 69	46.32	0 00	4 37	
1.0000	Sale	04/29/10	05/23/13	25.28	23.16	0 00	2.12	
10.0000	Sale	04/29/10	05/28/13	252 83	231.60	0 00	21.23	
4.0000	Sale	04/29/10	09/04/13	98.56	92.64	0 00	5.92	
1.0000	Sale	09/07/10	09/04/13	24.64	24.86	0 00	(0.22)	
4 0000	Sale	09/07/10	09/06/13	97.47	99.42	0 00	(1 95)	
1.0000	Sale	09/08/10	09/06/13	24.37	24.85	0 00	(0 48)	
2 0000	Sale	09/08/10	09/09/13	48 50	49.69	0 00	(1 19)	
1.0000	Sale	09/08/10	09/10/13	24.18	24.85	0 00	(0 67)	
2 0000	Sale	09/08/10	09/16/13	48 25	49.69	0 00	(1 44)	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GE CAPITAL TRUST II		CUSIP Number	05541Q206					
TRUST PREFERRED SECS								
06 200% OCT 15 2043								
1 0000	Sale	09/08/10	09/17/13	24 20	24 85	0 00	(0 65)	
1 0000	Sale	09/08/10	09/18/13	24 14	24.84	0 00	(0 70)	
2 0000	Sale	09/08/10	09/19/13	48 41	49.69	0 00	(1 28)	
Security Subtotal				893 29	861 04	0 00	32 25	
UTIGROUP CAPITAL XVII		CUSIP Number	17311H209					
TRUST PREFERRED SECS								
6.350% MAR 15 2067								
2 0000	Sale	08/27/09	06/06/13	49 81	34 80	0 00	15 01	
1 0000	Sale	08/27/09	06/25/13	24 82	17.40	0 00	7.42	
3 0000	Sale	08/27/09	06/26/13	74 43	52.20	0 00	22.23	
2 0000	Sale	08/27/09	06/27/13	49.79	34 80	0.00	14 99	
2 0000	Sale	08/27/09	06/28/13	49.91	34 80	0.00	15 11	
1.0000	Sale	08/27/09	07/01/13	25.03	17 40	0 00	7 63	
1 0000	Sale	08/27/09	07/02/13	25 03	17.41	0.00	7.62	
1.0000	Sale	08/27/09	12/23/13	24 85	17 40	0.00	7 45	
Security Subtotal				323.67	226.21	0 00	97 46	
UTIGROUP CAP VII		CUSIP Number	17306N203					
CUM DEF INT TRUST PF STK								
7.125% JUL 31 2031								
5 0000	Sale	11/12/10	03/20/13	125.45	120 73	0 00	4 72	
11 0000	Sale	11/15/10	03/20/13	276 00	270 56	0.00	5 44	
Security Subtotal				401 45	391 29	0 00	10 16	
UTIGROUP CAPITAL VI CLD		CUSIP Number	17306R204					
DEF INT TRUST PFD STK								
6 950% SEPT 15 2031								
46.0000	Redemption	08/27/09	04/16/13	1,149 99	869.58	0.00	280 41	
17.0000	Redemption	10/05/09	04/16/13	425.00	331.21	0.00	93 79	
14 0000	Redemption	04/07/10	04/16/13	350 00	294 90	0.00	55 10	
17 0000	Redemption	04/12/10	04/16/13	425 00	365 93	0.00	59 07	
7.0000	Redemption	04/13/10	04/16/13	175.00	150.57	0.00	24.43	
11.0000	Redemption	05/12/10	04/16/13	275 00	220.44	0.00	54 56	
9 0000	Redemption	05/13/10	04/16/13	225 00	182.64	0.00	42 36	
Security Subtotal				3,024.99	2,415.27	0.00	609 72	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
VEREST RE CAP TRUST CLD								
SERIES B PREP CUM		CUSIP Number 29980R202						
06 200% MAR 29 2034								
51 0000	Redemption	08/27/09	05/24/13	1,275 00	1,051.51	0.00	223 49	
3 0000	Redemption	10/05/09	05/24/13	75.00	67 37	0.00	7 63	
7 0000	Redemption	10/06/09	05/24/13	175.00	158 37	0.00	16 63	
6 0000	Redemption	10/07/09	05/24/13	149 99	137 56	0.00	12 43	
7 0000	Redemption	11/18/09	05/24/13	175.00	137.98	0.00	37 02	
2 0000	Redemption	11/19/09	05/24/13	50.00	39 42	0.00	10 58	
11 0000	Redemption	11/20/09	05/24/13	275 00	217 91	0.00	57 09	
2 0000	Redemption	11/23/09	05/24/13	50 01	39 97	0.00	10 04	
Security Subtotal				2,225.00	1,850 09	0 00	374.91	
WELLS FARGO CAPITAL CLD								
DEF INT TR ORIG PFD SECS		CUSIP Number 94979P203						
5.625% APRIL 08 2034								
10 0000	Redemption	08/27/09	02/04/13	250 00	196.95	0.00	53 05	
TAG GROUP NV								
GLOBAL SUB DEBT SECS		CUSIP Number 456837202						
7.050% PERPETUAL NON CUM								
1.0000	Sale	09/24/09	04/03/13	25.15	15 91	0.00	9 24	
1 0000	Sale	09/24/09	04/04/13	25.12	15 91	0.00	9 21	
3 0000	Sale	09/24/09	04/05/13	75 38	47 73	0.00	27 65	
1.0000	Sale	09/24/09	04/08/13	25.18	15.91	0.00	9 27	
3 0000	Sale	09/24/09	04/09/13	75.38	47 73	0.00	27 65	
2 0000	Sale	09/24/09	04/10/13	50.23	31 82	0.00	18 41	
7 0000	Sale	09/24/09	06/06/13	174.40	111.37	0.00	63 03	
1.0000	Sale	09/24/09	11/11/13	24.74	15 91	0.00	8 83	
14 0000	Sale	09/24/09	12/23/13	349.68	222.75	0.00	126 93	
7 0000	Sale	09/25/09	12/23/13	174.84	111.65	0.00	63 19	
1 0000	Sale	09/28/09	12/23/13	24.97	16 10	0.00	8 87	
9 0000	Sale	01/07/10	12/23/13	224.79	175 87	0.00	48 92	
5 0000	Sale	01/08/10	12/23/13	124.88	100 42	0.00	24 46	
5 0000	Sale	01/22/10	12/23/13	124.88	98.56	0.00	26 32	
6 0000	Sale	01/25/10	12/23/13	149.86	118 25	0.00	31 61	
Security Subtotal				1,649.48	1,145 89	0.00	503 59	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
UIG GROUP NV		CUSIP Number 456837301						
GLOBAL DEBT SECS								
7 20% PERPETUAL CUM								
4 0000	Sale	03/30/10	05/15/13	101 01	86 68	0 00	14 33	
5 0000	Sale	03/30/10	05/16/13	126 40	108 34	0 00	18 06	
1 0000	Sale	03/30/10	05/17/13	25 26	21 67	0 00	3 59	
2 0000	Sale	03/31/10	05/17/13	50 55	43 34	0 00	7 21	
3 0000	Sale	03/31/10	05/20/13	75 79	65 01	0 00	10 78	
2 0000	Sale	03/31/10	06/06/13	49 21	43 34	0 00	5 87	
4 0000	Sale	03/31/10	12/23/13	100.73	86 68	0.00	14 05	
3 0000	Sale	08/26/10	12/23/13	75 55	68 92	0 00	6 63	
6 0000	Sale	08/27/10	12/23/13	151 10	138 37	0 00	12 73	
5 0000	Sale	09/02/10	12/23/13	125 92	111.67	0.00	14 25	
5 0000	Sale	09/03/10	12/23/13	125 92	111 70	0 00	14 22	
Security Subtotal				1,007.44	885 72	0.00	121 72	
UIGROUP CAP TRUST IX		CUSIP Number 173066200						
CAP TRUST PFD SEC								
6 00% FEB 14 2033								
4.0000	Sale	08/27/09	06/06/13	100.15	68 16	0 00	31 99	
41 0000	Sale	08/27/09	12/23/13	1,026 21	698 64	0 00	327.57	
Security Subtotal				1,126 36	766 80	0 00	359 56	
MORGAN STANLEY CP TR III		CUSIP Number 617460209						
CAP TRUST SEC								
6 250% MAR 01 2033								
2.0000	Sale	10/12/10	06/06/13	49.81	47.49	0 00	2 32	
24 0000	Sale	10/12/10	12/23/13	574.46	569 83	0 00	4 63	
1 0000	Sale	10/20/10	12/23/13	23 93	23 75	0.00	0.18	
Security Subtotal				648.20	641.07	0 00	7 13	
MORGAN STANLEY CAP TR IV		CUSIP Number 617462205						
DEF INT TR PFD SECS								
06.250% APRIL 1 2033								
2 0000	Sale	08/27/09	06/06/13	49 65	40.83	0.00	8 82	
42 0000	Sale	08/27/09	12/23/13	1,007.42	857 41	0.00	150.01	
Security Subtotal				1,057 07	898 24	0 00	158 83	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
JPM CHASE CAP TR XI		CUSIP Number	46626V207					
CUM DEF INT CAP SECS								
5.875% JUN 15 2033								
2.0000	Sale	10/01/09	01/08/13	50.08	43.40	0.00	6.68	
4.0000	Sale	10/19/09	01/08/13	100.17	86.72	0.00	13.45	
6.0000	Sale	10/19/09	01/09/13	150.21	130.09	0.00	20.12	
Security Subtotal				300.46	260.21	0.00	40.25	
MORGAN STANLEY CAP TR V		CUSIP Number	617466206					
DEF INT TR PFD SECS								
5.75% JUL 15 2033								
2.0000	Sale	08/27/09	06/06/13	49.59	36.91	0.00	12.68	
36.0000	Sale	08/27/09	12/23/13	812.96	664.40	0.00	148.56	
Security Subtotal				862.55	701.31	0.00	161.24	
CITIGROUP CAPITAL X		CUSIP Number	173064205					
DEF INT TR PFD STOCK								
06.100% SEPT 30 2033								
1.0000	Sale	08/27/09	06/06/13	24.87	16.79	0.00	8.08	
35.0000	Redemption	08/27/09	10/01/13	875.00	587.55	0.00	287.45	
Security Subtotal				899.87	604.34	0.00	295.53	
JPB CONT CAP TRUST III		CUSIP Number	25154A108					
CUM TR PFD SEC								
7.60% PERPETUAL								
2.0000	Sale	08/27/09	04/11/13	55.36	41.65	0.00	13.71	
16.0000	Sale	08/27/09	04/12/13	441.72	333.20	0.00	108.52	
6.0000	Sale	08/27/09	05/13/13	164.33	124.95	0.00	39.38	
16.0000	Sale	08/27/09	05/17/13	431.55	333.20	0.00	98.35	
11.0000	Sale	08/27/09	05/20/13	301.24	229.07	0.00	72.17	
6.0000	Sale	08/27/09	05/21/13	164.27	124.95	0.00	39.32	
4.0000	Sale	02/26/10	06/06/13	106.35	97.06	0.00	9.29	
8.0000	Sale	02/26/10	07/29/13	210.18	194.11	0.00	16.07	
3.0000	Sale	03/03/10	07/29/13	78.83	73.10	0.00	5.73	
6.0000	Sale	03/03/10	07/30/13	156.85	146.20	0.00	10.65	
1.0000	Sale	07/27/10	07/30/13	26.15	24.67	0.00	1.48	
5.0000	Sale	07/27/10	08/05/13	131.35	123.34	0.00	8.01	
3.0000	Sale	07/27/10	08/06/13	78.36	74.01	0.00	4.35	
4.0000	Sale	08/10/10	08/06/13	104.48	100.45	0.00	4.03	
3.0000	Sale	08/11/10	08/06/13	78.37	74.99	0.00	3.38	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
B CONT CAP TRUST III								
	CUM TR PFD SEC	CUSIP Number 25154A108						
	7.60% PERPETUAL							
1.0000	Sale	08/12/10	08/06/13	26.12	25.04	0.00	1.08	
1.0000	Sale	08/24/10	08/06/13	26.13	25.16	0.00	0.97	
9.0000	Sale	08/24/10	08/26/13	237.09	226.45	0.00	10.64	
8.0000	Sale	08/24/10	08/27/13	210.00	201.29	0.00	8.71	
3.0000	Sale	08/24/10	12/04/13	78.44	75.48	0.00	2.96	
8.0000	Sale	08/24/10	12/05/13	208.87	201.29	0.00	7.58	
	Security Subtotal			3,316.04	2,849.66	0.00	466.38	
PARTNERRE LTD								
	SER D CUM RED PFD SHRS	CUSIP Number G68603409						
	06.500% PERPETUAL							
2.0000	Sale	08/27/09	06/06/13	50.39	44.70	0.00	5.69	
57.0000	Sale	08/27/09	12/23/13	1,271.08	1,273.95	0.00	(2.87)	
	Security Subtotal			1,321.47	1,318.65	0.00	2.82	
PUBLIC STORAGE								
	SERIES P CUM PFD SHARES	CUSIP Number 74460D158						
	6.50% PERPETUAL							
9.0000	Sale	10/07/10	12/23/13	215.00	224.73	0.00	(9.73)	
PRINCIPAL FINL GROUP								
	PFD STK	CUSIP Number 74251V300						
	VAR% PERPETUAL							
2.0000	Sale	04/07/10	06/06/13	51.85	47.21	0.00	4.64	
1.0000	Sale	04/07/10	11/11/13	24.77	23.60	0.00	1.17	
20.0000	Sale	04/07/10	12/23/13	477.79	472.09	0.00	5.70	
	Security Subtotal			554.41	542.90	0.00	11.51	
RENAISSANCE HLDGS LTD								
	SER D PRF SHARES	CUSIP Number G7498P408						
	6.60% PERP							
1.0000	Sale	03/31/10	03/05/13	25.48	22.97	0.00	2.51	
1.0000	Sale	03/31/10	03/06/13	25.35	22.97	0.00	2.38	
2.0000	Sale	03/31/10	03/14/13	50.76	45.94	0.00	4.82	
1.0000	Sale	03/31/10	03/15/13	25.39	22.97	0.00	2.42	
1.0000	Sale	03/31/10	03/18/13	25.36	22.97	0.00	2.39	
1.0000	Sale	05/11/10	03/18/13	25.36	21.91	0.00	3.45	

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1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
RENAISSANCE HLDGS LTD SER D PRF SHARES 6.60% PERP		CUSIP Number	G7498P408					
1 0000	Sale	05/11/10	03/20/13	25 39	21.91	0 00	3 48	
1 0000	Sale	05/11/10	03/21/13	25 40	21 91	0 00	3 49	
1 0000	Sale	05/11/10	06/06/13	25 07	21.91	0 00	3 16	
3 0000	Redemption	05/11/10	06/27/13	75 00	65.71	0 00	9 29	
3 0000	Redemption	05/13/10	06/27/13	75 00	65 39	0 00	9 61	
5.0000	Redemption	05/14/10	06/27/13	124 99	108 88	0 00	16 11	
Security Subtotal				528 55	465.44	0 00	63 11	
RUDENTIAL FINANCIAL JUNIOR SUBORDINATED NOTE 9 00% 06/15/2038		CUSIP Number	744320508					
14 0000	Sale	08/27/09	01/31/13	364 55	357.42	0 00	7 13	
1 0000	Sale	08/27/09	06/06/13	24 97	25.53	0 00	(0 56)	
35 0000	Redemption	08/27/09	06/17/13	875.00	893.56	0 00	(18 56)	
15 0000	Redemption	10/05/09	06/17/13	375 00	391.87	0 00	(16 87)	
Security Subtotal				1,639 52	1,668.38	0.00	(28.86)	
PUBLIC STORAGE PFD STK 06 875% PERPETUAL		CUSIP Number	74460D182					
1 0000	Sale	08/30/10	06/06/13	26.24	25.61	0.00	0 63	
1 0000	Sale	08/30/10	11/15/13	25 27	25 61	0 00	(0 34)	
1 0000	Sale	09/01/10	11/15/13	25 27	25.80	0 00	(0 53)	
2 0000	Sale	09/01/10	11/19/13	50.59	51.60	0 00	(1 01)	
1.0000	Sale	09/01/10	11/21/13	25.40	25.80	0.00	(0 40)	
1 0000	Sale	09/03/10	11/21/13	25 40	25.80	0 00	(0 40)	
1 0000	Sale	09/03/10	11/25/13	25 43	25.80	0 00	(0 37)	
1 0000	Sale	09/03/10	11/26/13	25 54	25.80	0.00	(0 26)	
Security Subtotal				229 14	231.82	0.00	(2.68)	
BUSINESS PARKS INC SERIES R CUM PFD SHARES 6.875% PERPETUAL		CUSIP Number	69360J883					
1.0000	Sale	10/13/10	06/06/13	25 98	24.75	0.00	1 23	
8 0000	Sale	10/13/10	12/23/13	194.39	198 00	0 00	(3.61)	
1 0000	Sale	10/20/10	12/23/13	24 30	24.79	0 00	(0 49)	
Security Subtotal				244.67	247.54	0.00	(2.87)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
RENAISSANCE HLDGS LTD								
CUSIP Number		998086367						
PRTL CALL PRNT ML #59Y67								
06 080% JAN 01 2049								
1.0000	Redemption	10/05/09	06/27/13	25.00	20.91	0.00	4.09	
5.0000	Redemption	10/06/09	06/27/13	125.00	107.52	0.00	17.48	
4.0000	Redemption	10/07/09	06/27/13	100.00	85.61	0.00	14.39	
1.0000	Redemption	10/08/09	06/27/13	24.99	21.49	0.00	3.50	
Security Subtotal				274.99	235.53	0.00	39.46	
S CANA CORPORATION								
CUSIP Number		80589M201						
SERIES A JUNIOR SUB DEB								
7.70% DUE JAN 30 2080								
7.0000	Sale	12/02/09	04/08/13	191.63	178.74	0.00	12.89	
1.0000	Sale	12/02/09	04/09/13	27.33	25.54	0.00	1.79	
3.0000	Sale	12/02/09	04/10/13	81.96	76.60	0.00	5.36	
4.0000	Sale	12/07/09	04/10/13	109.29	102.71	0.00	6.58	
8.0000	Sale	12/07/09	04/16/13	219.07	205.43	0.00	13.64	
1.0000	Sale	12/07/09	04/17/13	27.39	25.68	0.00	1.71	
1.0000	Sale	12/31/09	04/17/13	27.40	26.44	0.00	0.96	
8.0000	Sale	12/31/09	04/18/13	219.07	211.53	0.00	7.54	
1.0000	Sale	12/31/09	06/06/13	26.99	26.44	0.00	0.55	
4.0000	Sale	12/31/09	12/23/13	105.55	105.76	0.00	(0.21)	
2.0000	Sale	06/03/10	12/23/13	52.78	54.30	0.00	(1.52)	
1.0000	Sale	06/04/10	12/23/13	26.39	27.15	0.00	(0.76)	
Security Subtotal				1,114.85	1,066.32	0.00	48.53	
W R. BERKLEY CAPTL TR II								
CUSIP Number		08449Q203						
TRUST ORG PFD SEC TOPRS								
6.75% PERPETUAL								
3.0000	Sale	08/27/09	01/28/13	75.55	70.26	0.00	5.29	
4.0000	Sale	08/27/09	02/21/13	101.06	93.68	0.00	7.38	
11.0000	Sale	08/27/09	02/22/13	278.42	257.62	0.00	20.80	
27.0000	Redemption	08/27/09	05/28/13	675.00	632.34	0.00	42.66	
3.0000	Redemption	11/17/09	05/28/13	75.00	66.98	0.00	8.02	
11.0000	Redemption	11/18/09	05/28/13	274.99	246.06	0.00	28.93	
3.0000	Redemption	12/16/10	05/28/13	75.00	72.06	0.00	2.94	
30.0000	Redemption	12/17/10	05/28/13	750.00	714.64	0.00	35.36	
Security Subtotal				2,305.02	2,153.64	0.00	151.38	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
WEINGARTEN REALTY SERIES F DEPOSITARY SHAR 6 50% PERP CUM		CUSIP Number	948741889					
1 0000	Sale	08/27/09	06/26/13	24.95	18.64	0.00	6.31	
3 0000	Sale	08/27/09	07/11/13	75.15	55.91	0.00	19.24	
11.0000	Sale	08/27/09	12/23/13	248.04	205.01	0.00	43.03	
Security Subtotal				348.14	279.56	0.00	68.58	
WELLS FARGO & CO NEW SER J 08 000% PERPETUAL		CUSIP Number	949746879					
7 0000	Sale	08/27/09	06/06/13	203.20	169.26	0.00	33.94	
2 0000	Sale	08/27/09	11/11/13	57.11	48.36	0.00	8.75	
131 0000	Sale	08/27/09	12/23/13	3,678.41	3,167.58	0.00	510.83	
Security Subtotal				3,938.72	3,385.20	0.00	553.52	
WEINGARTEN RLTY NEW MONEY PFD STK		CUSIP Number	948741848					
1 0000	Sale	08/27/09	06/06/13	21.31	20.15	0.00	1.16	
7 0000	Sale	08/27/09	12/23/13	145.17	141.05	0.00	4.12	
13.0000	Sale	11/02/09	12/23/13	269.62	270.68	0.00	(1.06)	
Security Subtotal				436.10	431.88	0.00	4.22	
TELEPHONE & DATA SYSTEM \$25 SENIOR NOTES 06 875% NOV 15 2059		CUSIP Number	879433845					
3 0000	Sale	12/07/10	05/20/13	80.99	74.47	0.00	6.52	
1 0000	Sale	12/07/10	05/21/13	26.84	24.82	0.00	2.02	
5 0000	Sale	12/07/10	05/22/13	133.71	124.12	0.00	9.59	
3.0000	Sale	12/07/10	05/23/13	79.34	74.47	0.00	4.87	
4 0000	Sale	12/07/10	05/24/13	105.97	99.30	0.00	6.67	
3 0000	Sale	12/07/10	06/06/13	76.34	74.47	0.00	1.87	
1.0000	Sale	12/07/10	11/11/13	24.07	24.82	0.00	(0.75)	
1.0000	Sale	12/07/10	12/23/13	22.86	24.82	1.96	0.00	(W)
4 0000	Sale	12/07/10	12/23/13	91.43	99.30	0.00	(7.87)	
29 0000	Sale	12/08/10	12/23/13	662.93	713.72	0.00	(50.79)	
11.0000	Sale	12/09/10	12/23/13	251.45	269.99	0.00	(18.54)	
4.0000	Sale	12/10/10	12/23/13	91.43	98.65	0.00	(7.22)	
Security Subtotal				1,647.36	1,702.95	1.96	(53.63)	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
✓ S BANCORP								
	SHRS REP 1/1000 SHR SR B	CUSIP Number		902973155				
	FLTR PERP NON CUM							
1.0000	Sale	08/27/09	06/06/13	23.49	17.13	0.00	6.36	
17.0000	Sale	08/27/09	12/23/13	332.00	291.21	0.00	40.79	
	Security Subtotal			355.49	308.34	0.00	47.15	
✓ BS PREF FNDNG TRUST IV								
	PFD STK	CUSIP Number		90263W201				
	FLT%							
3.0000	Sale	08/27/09	06/06/13	50.99	37.92	0.00	13.07	
92.0000	Sale	08/27/09	12/23/13	1,372.63	1,162.88	0.00	209.75	
	Security Subtotal			1,423.62	1,200.80	0.00	222.82	
✓ ORNADO REALTY TR SER G								
	CUM RED PFD SHARES	CUSIP Number		929042802				
	06 625% PERPETUAL							
3.0000	Sale	08/27/09	06/06/13	76.25	61.43	0.00	14.82	
1.0000	Sale	08/27/09	11/11/13	23.61	20.48	0.00	3.13	
42.0000	Sale	08/27/09	12/23/13	973.54	859.98	0.00	113.56	
1.0000	Sale	06/03/10	12/23/13	23.17	22.00	0.00	1.17	
5.0000	Sale	06/04/10	12/23/13	115.89	109.99	0.00	5.90	
1.0000	Sale	06/07/10	12/23/13	23.17	22.00	0.00	1.17	
16.0000	Sale	06/09/10	12/23/13	370.88	349.20	0.00	21.68	
	Security Subtotal			1,606.51	1,445.08	0.00	161.43	
✓ ORNADO RLTY LP								
	SENIOR UNSEC NOTES PINES	CUSIP Number		929043602				
	7.875% DUE OCT 1 2039							
4.0000	Sale	09/30/09	06/06/13	108.47	100.13	0.00	8.34	
16.0000	Sale	09/30/09	07/10/13	421.09	400.52	0.00	20.57	
59.0000	Sale	09/30/09	12/23/13	1,526.90	1,476.92	0.00	49.98	
13.0000	Sale	09/30/09	12/23/13	336.43	325.42	0.00	11.01	
15.0000	Sale	10/20/09	12/23/13	388.20	372.46	0.00	15.74	
8.0000	Sale	05/20/10	12/23/13	207.04	199.60	0.00	7.44	
	Security Subtotal			2,988.13	2,875.05	0.00	113.08	

DAVID L LIEB FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
WEINGARTEN REALTY INVEST								
	PRTL CLD PRNT ML#72AH3	CUSIP Number	998086094					
	06 500% JAN 01 2049							
25 0000	Redemption	08/27/09	06/05/13	625 00	465 94	0 00	159 06	
XCEL ENERGY INC								
	JR SUB NOTES	CUSIP Number	98389B886					
	07 600% JAN 01 2068							
1 0000	Sale	08/27/09	03/05/13	25 64	25 94	0 00	(0 30)	
1 0000	Sale	08/27/09	03/06/13	25 61	25 94	0 00	(0 33)	
8 0000	Sale	08/27/09	03/07/13	204 48	207 50	0 00	(3 02)	
30.0000	Redemption	08/27/09	05/31/13	750 00	778 14	0 00	(28 14)	
	Security Subtotal			1,005 73	1,037 52	0 00	(31.79)	
Noncovered Long Term Capital Gains and Losses Subtotal				91,520.43	82,831.41	7.09	8,696.11	
ET LONG TERM CAPITAL GAINS AND LOSSES				321,401.28	318,081.75	35.89	3,355.42	

OTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES 493,880.55
OTAL REPORTED SALES PROCEEDS 493,880.55

(W) This transaction has been identified as a "Wash Sale" based on IRS regulations. The Wash Sale Loss Disallowed column reflects the deferred loss amount. The cost basis of the related transaction(s) has been adjusted by the deferred loss amount from either the cost basis on purchases or proceeds from short sales. Please refer to the instructions for Form 8949 for how to report the deferred loss amount and applicable adjustment code.

(Y) The gain or loss of this transaction includes an adjustment to basis for the deferred loss amount on one or more previous "Wash Sales."

(WY) This transaction has been identified as a "Wash Sale" based on IRS regulations. The Wash Sale Loss Disallowed column reflects the deferred loss amount. The cost basis of the related transaction(s) has been adjusted by the deferred loss amount for this transaction. Please refer to the instructions for Form 8949 for how to report the deferred loss amount and applicable adjustment code. In addition, the cost basis of this transaction has been adjusted for the deferred loss amount of one or more previous "Wash Sales."

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
(12,182.73)	0.00	(5,340.69)	8,696.11



BNY MELLON
WEALTH MANAGEMENT

DAVID L. LIEB FOUNDATION INC.-IMA

2013 Tax Information

Account Number 10523849000

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Income for 2013

This section reports your proceeds from sales during the year. We are not required nor do we report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully before preparing your tax return.

Additionally, to provide you with complete information for your tax returns, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment. Rather, under IRC Section 988, they are either ordinary income or loss.

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
QUALCOMM INC	QCOM	747525103	20	03/09/12	02/04/13	\$1,317.91	\$1,283.89	\$34.02
HALLIBURTON COMPANY COM	HAL	406216101	30	03/09/12	02/13/13	\$1,222.78	\$1,055.63	\$167.15
RYDEX MANAGED FUTURES STRATE RYMX		78356A160	173.52	03/19/12	02/19/13	\$3,774.02	\$4,032.56	\$-258.54
RYDEX MANAGED FUTURES STRATE RYMX		78356A160	471.92	12/05/12	02/19/13	\$10,264.28	\$10,000.00	\$264.28
APPLE COMPUTER INC COM	AAPL	037833100	2	05/17/12	02/20/13	\$904.17	\$1,071.19	\$-167.02
APPLE COMPUTER INC COM	AAPL	037833100	1	11/16/12	02/20/13	\$452.09	\$517.70	\$-65.61
VALERO ENERGY CORP NEW	VLO	91913Y100	40	11/09/12	02/20/13	\$1,806.42	\$1,186.93	\$619.49
MARATHON OIL CORP	MRO	565849106	40	02/20/13	02/20/13	\$1,388.36	\$1,421.92	\$-33.56
APPLE COMPUTER INC COM	AAPL	037833100	2	02/20/13	03/01/13	\$862.68	\$910.42	\$-47.74
APPLE COMPUTER INC COM	AAPL	037833100	1	11/16/12	03/01/13	\$431.34	\$517.69	\$-86.35
NXP SEMICONDUCTORS N V	NXPI	N8596X109	10	02/20/13	03/04/13	\$316.45	\$325.72	\$-9.27
OCCIDENTAL PETROLEUM CORPOR/ OXY		674599105	20	12/12/12	03/06/13	\$1,632.74	\$1,530.71	\$102.03
QUALCOMM INC	QCOM	747525103	10	02/20/13	03/13/13	\$667.69	\$656.68	\$11.01
QUALCOMM INC	QCOM	747525103	10	11/19/12	03/13/13	\$667.69	\$622.67	\$45.02



BNY MELLON
WEALTH MANAGEMENT

2013 Tax Information

Account Number 10523849000

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DAVID L. LIEB FOUNDATION INC.-IMA

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
SOUTHWESTERN ENERGY CO	SWN	845467109	50	09/27/12	03/22/13	\$1,883.09	\$1,745.99	\$137.10
BROADCOM CORP CLA	BRCM	111320107	50	09/27/12	03/22/13	\$1,713.54	\$1,754.23	\$-40.69
COMCAST CORP NEW CLA	CMCSA	20030N101	10	02/20/13	03/26/13	\$414.62	\$415.98	\$-1.36
COMCAST CORP NEW CLA	CMCSA	20030N101	30	06/19/12	03/26/13	\$1,243.85	\$946.28	\$297.57
COCA-COLA CO USD	KO	191216100	10	02/20/13	04/04/13	\$403.22	\$375.98	\$27.24
QUALCOMM INC	QCOM	747525103	10	11/19/12	04/17/13	\$641.62	\$622.67	\$18.95
INTERNATIONAL BUSINESS MACHINE IBM	IBM	459200101	8	03/13/13	04/19/13	\$1,525.89	\$1,697.19	\$-171.30
INTERNATIONAL BUSINESS MACHINE IBM	IBM	459200101	4	02/20/13	04/19/13	\$762.95	\$803.47	\$-40.52
INTERNATIONAL BUSINESS MACHINE IBM	IBM	459200101	6	09/28/12	04/19/13	\$1,144.42	\$1,244.28	\$-99.86
INTERNATIONAL BUSINESS MACHINE IBM	IBM	459200101	6	04/03/13	04/19/13	\$1,144.42	\$1,281.17	\$-136.75
EATON CORP PLC	ETN	G29183103	20	02/20/13	04/24/13	\$1,169.00	\$1,229.46	\$-60.46
ELI LILLY & CO COM	LLY	532457108	10	02/20/13	04/24/13	\$563.60	\$542.95	\$20.65
ELI LILLY & CO COM	LLY	532457108	20	08/10/12	04/24/13	\$1,127.21	\$872.59	\$254.62
CENTERPOINT ENERGY INC	CNP	15189T107	80	09/25/12	04/24/13	\$1,932.09	\$1,713.03	\$219.06
CENTERPOINT ENERGY INC	CNP	15189T107	10	02/20/13	04/24/13	\$241.51	\$205.29	\$36.22
C S T BRANDS INC	CST	12646R105	5.56	04/24/13	05/03/13	\$165.19	\$182.14	\$-16.95
GILEAD SCIENCES INC	GILD	375558103	10	02/20/13	05/13/13	\$542.95	\$425.78	\$117.17
ELI LILLY & CO COM	LLY	532457108	110	08/10/12	05/24/13	\$5,945.80	\$4,799.23	\$1,146.57
PFIZER INC COM	PFE	717081103	10	02/20/13	05/24/13	\$290.15	\$276.68	\$13.47
MONDELEZ INTERNATIONAL INC	MDLZ	609207105	110	10/10/12	06/04/13	\$3,238.06	\$3,009.77	\$228.29
MONDELEZ INTERNATIONAL INC	MDLZ	609207105	10	02/20/13	06/04/13	\$294.37	\$270.28	\$24.09
ACCENTURE PLC IRELAND SHS CLA ACN	ACN	G1151C101	20	03/05/13	06/05/13	\$1,594.46	\$1,536.89	\$57.57
VERIZON COMMUNICATIONS INC	VZ	92343V104	30	04/19/13	06/07/13	\$1,496.97	\$1,560.77	\$-63.80
COMCAST CORP NEW CLA	CMCSA	20030N101	10	06/19/12	06/07/13	\$409.68	\$315.42	\$94.26
COMCAST CORP NEW CLA	CMCSA	20030N101	20	06/27/12	06/07/13	\$819.35	\$623.33	\$196.02
VERIZON COMMUNICATIONS INC	VZ	92343V104	20	04/19/13	06/17/13	\$1,010.75	\$1,040.52	\$-29.77
VERIZON COMMUNICATIONS INC	VZ	92343V104	10	03/26/13	06/17/13	\$505.38	\$495.44	\$9.94
COMCAST CORP NEW CLA	CMCSA	20030N101	30	06/27/12	06/20/13	\$1,171.57	\$934.99	\$236.58



BNY MELLON
WEALTH MANAGEMENT

DAVID L. LIEB FOUNDATION INC.-IMA

2013 Tax Information

Account Number 10523849000

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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
DISNEY (WALT) COMPANY HOLDING	DIS	254687106	10	02/20/13	07/02/13	\$633.67	\$557.40	\$76.27
VERIZON COMMUNICATIONS INC	VZ	92343V104	20	03/26/13	07/10/13	\$1,014.92	\$990.87	\$24.05
VERIZON COMMUNICATIONS INC	VZ	92343V104	10	04/03/13	07/10/13	\$507.46	\$489.49	\$17.97
VERIZON COMMUNICATIONS INC	VZ	92343V104	20	04/03/13	07/23/13	\$1,006.32	\$978.97	\$27.35
PNC FINANCIAL SERVICES GROUP I	PNC	693475105	20	02/20/13	07/25/13	\$1,519.22	\$1,266.72	\$252.50
SANDISK CORP COM	SNDK	80004C101	40	06/18/13	07/29/13	\$2,220.99	\$2,502.32	\$-281.33
SANDISK CORP COM	SNDK	80004C101	30	06/20/13	07/29/13	\$1,665.74	\$1,829.53	\$-163.79
SANDISK CORP COM	SNDK	80004C101	50	06/17/13	07/29/13	\$2,776.23	\$3,064.36	\$-288.13
HIGHBRIDGE DYNAMIC COMM STRA' HDCSX		48121A670	352.11	02/19/13	08/09/13	\$4,204.23	\$5,000.00	\$-795.77
YAHOO INC	YHOO	984332106	20	02/20/13	08/09/13	\$549.42	\$428.36	\$121.06
YAHOO INC	YHOO	984332106	50	03/07/13	08/09/13	\$1,373.54	\$1,138.97	\$234.57
YAHOO INC	YHOO	984332106	10	02/07/13	08/09/13	\$274.71	\$202.15	\$72.56
EQUINIX INC	EQIX	29444U502	8	03/07/13	08/14/13	\$1,381.45	\$1,725.78	\$-344.33
EQUINIX INC	EQIX	29444U502	4	03/04/13	08/14/13	\$690.72	\$850.96	\$-160.24
EQUINIX INC	EQIX	29444U502	17	03/01/13	08/15/13	\$2,807.87	\$3,610.72	\$-802.85
EQUINIX INC	EQIX	29444U502	2	03/04/13	08/15/13	\$330.34	\$425.48	\$-95.14
EQUINIX INC	EQIX	29444U502	7	06/07/13	08/15/13	\$1,156.18	\$1,348.06	\$-191.88
HALLIBURTON COMPANY COM	HAL	406216101	10	02/20/13	08/23/13	\$484.71	\$426.28	\$58.43
HALLIBURTON COMPANY COM	HAL	406216101	30	03/22/13	08/23/13	\$1,454.12	\$1,191.47	\$262.65
EXXON MOBIL CORP	XOM	30231G102	10	02/20/13	08/23/13	\$871.94	\$892.78	\$-20.84
CHEVRONTXACO CORP	CVX	166764100	13	03/06/13	09/16/13	\$1,614.99	\$1,538.79	\$76.20
CHEVRONTXACO CORP	CVX	166764100	3	02/20/13	09/16/13	\$372.69	\$347.60	\$25.09
GLAXO SMITHKLINE PLC	GSK	37733W105	20	04/24/13	09/16/13	\$1,023.52	\$1,028.99	\$-5.47
BROADCOM CORP CLA	BRCM	111320107	60	09/27/12	09/20/13	\$1,654.49	\$2,091.87	\$-437.38
SALESFORCE COM INC	CRM	79466L302	26	06/07/13	09/20/13	\$1,378.47	\$1,023.01	\$355.46
TAIWAN SEMICONDUCTOR ADR	TSM	874039100	100	07/03/13	09/20/13	\$1,733.73	\$1,815.67	\$-81.94
SALESFORCE COM INC	CRM	79466L302	4	02/20/13	09/20/13	\$212.07	\$175.51	\$36.56
BROADCOM CORP CLA	BRCM	111320107	40	10/09/12	10/02/13	\$1,054.90	\$1,317.86	\$-262.96



BNY MELLON
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DAVID L. LIEB FOUNDATION INC.-JMA

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
BROADCOM CORP CLA	BRCM	111320107	40	11/07/12	10/02/13	\$1,054.90	\$1,231.37 *	\$-176.47
BROADCOM CORP CLA	BRCM	111320107	10	02/20/13	10/02/13	\$263.73	\$346.70 *	\$-82.97
BROADCOM CORP CLA	BRCM	111320107	60	07/23/13	10/02/13	\$1,582.35	\$1,919.12 *	\$-336.77
CITRIX SYS INC COM	CTXS	177376100	50	07/10/13	10/14/13	\$2,928.96	\$3,252.67	\$-323.71
CITRIX SYS INC COM	CTXS	177376100	20	07/29/13	10/14/13	\$1,171.59	\$1,371.45	\$-199.86
COVIDIEN PLC	COV	G2554F113	10	07/02/13	10/22/13	\$640.66	\$578.79	\$61.87
SALESFORCE COM INC	CRM	79466L302	4	06/07/13	10/24/13	\$216.86	\$157.39	\$59.47
ADOBE SYS INC COM	ADBE	00724F101	30	03/22/13	10/24/13	\$1,627.05	\$1,289.09	\$337.96
YAHOO INC	YHOO	984332106	50	02/07/13	10/24/13	\$1,655.21	\$1,010.75	\$644.46
YAHOO INC	YHOO	984332106	10	11/05/12	10/24/13	\$331.04	\$173.75	\$157.29
GLAXO SMITHKLINE PLC	GSK	37733W105	10	04/24/13	11/14/13	\$520.64	\$514.50	\$6.14
GLAXO SMITHKLINE PLC	GSK	37733W105	20	02/04/13	11/14/13	\$1,041.29	\$911.15	\$130.14
VMWARE INC	VMW	928563402	10	07/29/13	11/26/13	\$813.97	\$825.94	\$-11.97
ALLEGION PLC	ALLE	G0176J109	3.33	02/20/13	12/03/13	\$143.19	\$107.75	\$35.44
NATIONAL-OILWELL INC	NOV	637071101	20	09/16/13	12/09/13	\$1,606.41	\$1,576.03	\$30.38
VALERO ENERGY CORP NEW	VLO	91913Y100	30	04/24/13	12/09/13	\$1,391.67	\$1,153.14	\$238.53
TRAVELERS COS INC	TRV	89417E109	30	02/20/13	12/09/13	\$2,653.78	\$2,428.44	\$225.34
VALERO ENERGY CORP NEW	VLO	91913Y100	20	04/24/13	12/12/13	\$924.27	\$768.76	\$155.51
OCCIDENTAL PETROLEUM CORP	OXY	674599105	20	12/12/12	12/12/13	\$1,824.71	\$1,530.70	\$294.01
VALERO ENERGY CORP NEW	VLO	91913Y100	10	05/03/13	12/12/13	\$462.14	\$379.60	\$82.54
ADOBE SYS INC COM	ADBE	00724F101	10	02/20/13	12/16/13	\$587.54	\$391.08	\$196.46
ADOBE SYS INC COM	ADBE	00724F101	10	03/22/13	12/16/13	\$587.54	\$429.69	\$157.85
ADOBE SYS INC COM	ADBE	00724F101	10	02/12/13	12/16/13	\$587.54	\$390.72	\$196.82
GLAXO SMITHKLINE PLC	GSK	37733W105	90	02/04/13	12/18/13	\$4,582.06	\$4,100.18	\$481.88
GLAXO SMITHKLINE PLC	GSK	37733W105	20	03/14/13	12/18/13	\$1,018.24	\$904.11	\$114.13
ALCATEL-LUCENT-SPONSORED ADR		9EE000600	1660	12/19/13	12/19/13	\$274.51	\$0.00	\$274.51
Total short term gain/loss from sales:						\$125,564.82	\$122,058.35	\$3,506.47



BNY MELLON
WEALTH MANAGEMENT

DAVID L. LIEB FOUNDATION INC.-IMA

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Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
US BANCORP DEL	USB	902973304	210	07/25/11	01/17/13	\$6,906.52	\$5,568.78	\$1,337.74
GILEAD SCIENCES INC	GILD	375558103	20	10/20/11	01/18/13	\$1,554.40	\$813.98	\$740.42
UNION PACIFIC CORP	UNP	907818108	23	07/25/11	01/28/13	\$3,055.90	\$2,378.15	\$677.75
DISNEY (WALT) COMPANY HOLDING	DIS	254687106	40	07/25/11	02/01/13	\$2,183.53	\$1,617.12	\$566.41
PFIZER INC COM	PFE	717081103	90	10/08/09	02/04/13	\$2,453.23	\$1,506.59	\$946.64
PFIZER INC COM	PFE	717081103	3	07/08/09	02/04/13	\$81.77	\$44.27	\$37.50
PFIZER INC COM	PFE	717081103	110	11/25/05	02/04/13	\$2,998.39	\$2,392.50	\$605.89
NXP SEMICONDUCTORS N V	NXPI	N6596X109	40	07/25/11	02/04/13	\$1,185.17	\$883.41	\$301.76
PFIZER INC COM	PFE	717081103	7	07/08/09	02/04/13	\$190.81	\$103.21	\$87.60
EMC CORP(MASS) USD 0.01	EMC	268648102	30	07/16/09	02/07/13	\$737.98	\$412.39	\$325.59
EMC CORP(MASS) USD 0.01	EMC	268648102	20	07/16/09	02/07/13	\$491.99	\$275.00	\$216.99
EMC CORP(MASS) USD 0.01	EMC	268648102	70	07/17/09	02/12/13	\$1,713.34	\$960.09	\$753.25
EMC CORP(MASS) USD 0.01	EMC	268648102	10	07/17/09	02/12/13	\$244.76	\$136.80	\$107.96
SCHLUMBERGER LIMITED COM	SLB	806857108	30	07/25/11	02/13/13	\$2,353.56	\$2,832.54	\$-478.98
HALLIBURTON COMPANY COM	HAL	406216101	20	10/26/11	02/13/13	\$815.18	\$700.05	\$115.13
DREYFUS/NEWTON INTERN EQTY-I	SNIX	26203E604	539.08	07/22/11	02/19/13	\$10,000.00	\$9,973.06	\$26.94
DUKE ENERGY CORP NEW COM	DUK	26441C204	66	09/28/11	02/20/13	\$4,542.15	\$3,981.50	\$560.65
COSTCO WHSL CORP NEW	COST	22160K105	5	07/25/11	02/20/13	\$508.24	\$406.99	\$101.25
TERADATA CORP DEL	TDC	88076W103	21	03/03/11	03/01/13	\$1,232.62	\$1,050.20	\$182.42
TERADATA CORP DEL	TDC	88076W103	10	07/25/11	03/01/13	\$586.96	\$570.38	\$16.58
TERADATA CORP DEL	TDC	88076W103	9	03/03/11	03/01/13	\$528.26	\$449.50	\$78.76
NXP SEMICONDUCTORS N V	NXPI	N6596X109	30	07/25/11	03/04/13	\$949.35	\$662.56	\$286.79
TERADATA CORP DEL	TDC	88076W103	16	03/03/11	03/05/13	\$943.49	\$799.12	\$144.37
TERADATA CORP DEL	TDC	88076W103	14	03/03/11	03/05/13	\$825.56	\$697.96	\$127.60
TERADATA CORP DEL	TDC	88076W103	29	03/02/11	03/07/13	\$1,666.95	\$1,376.18	\$290.77
TERADATA CORP DEL	TDC	88076W103	1	03/03/11	03/07/13	\$57.48	\$49.85	\$7.63
TERADATA CORP DEL	TDC	88076W103	20	08/19/11	03/07/13	\$1,149.62	\$884.88	\$264.74
QUALCOMM INC	QCOM	747525103	10	03/03/12	03/13/13	\$667.69	\$641.95	\$25.74



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DAVID L. LIEB FOUNDATION INC.-IMA

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ACTAVIS INC		00507K103	10	07/25/11	03/14/13	\$913.30	\$700.28	\$213.02
NXP SEMICONDUCTORS NV	NXPI	N6596X109	60	07/25/11	04/03/13	\$1,648.26	\$1,325.12	\$323.14
NXP SEMICONDUCTORS NV	NXPI	N6596X109	50	01/18/12	04/03/13	\$1,373.55	\$951.50	\$422.05
MEAD JOHNSON NUTRITION CO-A	MJN	582839106	20	08/09/11	04/04/13	\$1,513.29	\$1,334.35	\$178.94
MEAD JOHNSON NUTRITION CO-A	MJN	582839106	40	10/28/11	04/04/13	\$3,026.57	\$2,945.42	\$81.15
COCA-COLA CO USD	KO	191216100	20	07/25/11	04/04/13	\$806.45	\$693.38	\$113.07
BB&T CORP COM	BBT	054937107	130	07/25/11	04/15/13	\$3,950.94	\$3,353.74	\$597.20
BB&T CORP COM	BBT	054937107	40	11/08/11	04/15/13	\$1,215.68	\$961.25	\$254.43
QUALCOMM INC	QCOM	747525103	30	02/10/12	04/17/13	\$1,924.86	\$1,852.67	\$72.19
INTERNATIONAL BUSINESS MACHINES IBM	IBM	459200101	9	10/08/09	04/19/13	\$1,716.63	\$1,104.47	\$612.16
INTERNATIONAL BUSINESS MACHINES IBM	IBM	459200101	2	01/23/08	04/19/13	\$381.47	\$212.54	\$168.93
INTERNATIONAL BUSINESS MACHINES IBM	IBM	459200101	2	03/15/12	04/19/13	\$381.47	\$412.01	\$-30.54
INTERNATIONAL BUSINESS MACHINES IBM	IBM	459200101	9	03/06/12	04/19/13	\$1,716.63	\$1,782.42	\$-65.79
INTERNATIONAL BUSINESS MACHINES IBM	IBM	459200101	10	01/23/08	04/23/13	\$1,920.38	\$1,062.70	\$857.68
AIR PRODUCTS AND CHEMICALS INC APD	APD	009158106	50	07/25/11	04/24/13	\$4,281.33	\$4,561.04	\$-279.71
QUALCOMM INC	QCOM	747525103	10	02/10/12	04/25/13	\$623.59	\$617.55	\$6.04
QUALCOMM INC	QCOM	747525103	20	11/30/11	04/25/13	\$1,247.17	\$1,096.61	\$150.56
C S T BRANDS INC	CST	12646R105	16.44	07/25/11	05/03/13	\$488.94	\$341.02	\$147.92
GILEAD SCIENCES INC	GILD	375558103	20	10/20/11	05/13/13	\$1,085.91	\$406.99	\$678.92
C S T BRANDS INC	CST	12646R105	0.22	07/25/11	05/22/13	\$7.37	\$4.61	\$2.76
PFIZER INC COM	PFE	717081103	4	07/08/09	05/24/13	\$116.06	\$58.97	\$57.09
PFIZER INC COM	PFE	717081103	42	07/08/09	05/24/13	\$1,218.64	\$618.71	\$599.93
PFIZER INC COM	PFE	717081103	24	07/07/09	05/24/13	\$696.37	\$352.85	\$343.52
APPLE COMPUTER INC COM	AAPL	037833100	7	07/08/08	06/17/13	\$3,026.03	\$1,238.73	\$1,787.30
QUALCOMM INC	QCOM	747525103	20	11/30/11	06/17/13	\$1,237.85	\$1,096.61	\$141.24
QUALCOMM INC	QCOM	747525103	10	11/22/11	06/17/13	\$618.92	\$547.37	\$71.55
COMCAST CORP NEW CLA	CMCSA	20030N101	20	05/01/12	06/20/13	\$781.04	\$614.32	\$166.72
CARNIVAL CORP	CCL	143658300	90	07/01/10	06/21/13	\$2,994.90	\$2,810.68	\$184.22



BNY MELLON
WEALTH MANAGEMENT

DAVID L. LIEB FOUNDATION INC.-IMA

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Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
CARNIVAL CORP	CCL	143658300	70	07/25/11	06/21/13	\$2,329.36	\$2,528.79	\$-199.43
APPLE COMPUTER INC COM	AAPL	037833100	9	07/09/08	06/26/13	\$3,575.70	\$1,592.65	\$1,983.05
DISNEY (WALT) COMPANY HOLDING	DIS	254687106	20	07/25/11	07/02/13	\$1,267.34	\$808.56	\$458.78
MALLINCKRODT PLC	MNK	G5785G107	0.5	01/03/11	07/02/13	\$21.75	\$17.05	\$4.70
MALLINCKRODT PLC	MNK	G5785G107	10	01/03/11	07/02/13	\$434.90	\$341.07	\$93.83
MALLINCKRODT PLC	MNK	G5785G107	2.5	01/04/11	07/02/13	\$108.73	\$85.94	\$22.79
QUALCOMM INC	QCOM	747525103	40	11/22/11	07/03/13	\$2,438.29	\$2,189.48	\$248.81
ACCENTURE PLC IRELAND SHS CLA ACN	G1151C101	G1151C101	30	07/25/11	07/10/13	\$2,227.28	\$1,820.34	\$406.94
INTERNATIONAL BUSINESS MACHINE IBM	459200101	459200101	9	01/23/08	07/19/13	\$1,752.59	\$956.43	\$796.16
MALLINCKRODT PLC	MNK	G5785G107	0.75	01/03/11	07/22/13	\$32.69	\$25.57	\$7.12
VERIZON COMMUNICATIONS INC	VZ	92343V104	20	07/25/11	07/23/13	\$1,006.32	\$726.56	\$279.76
PNC FINANCIAL SERVICES GROUP I	PNC	693475105	10	07/25/11	07/25/13	\$759.61	\$558.98	\$200.63
PNC FINANCIAL SERVICES GROUP I	PNC	693475105	10	07/19/12	07/25/13	\$759.61	\$620.17	\$139.44
PNC FINANCIAL SERVICES GROUP I	PNC	693475105	20	07/25/11	07/30/13	\$1,512.44	\$1,117.96	\$394.48
PNC FINANCIAL SERVICES GROUP I	PNC	693475105	20	07/25/11	07/31/13	\$1,525.77	\$1,117.96	\$407.81
HIGHBRIDGE DYNAMIC COMM STRA' HDCSX	48121A670	48121A670	484.73	07/22/11	08/09/13	\$5,787.69	\$10,000.00	\$-4,212.31
DISNEY (WALT) COMPANY HOLDING	DIS	254687106	40	07/25/11	08/16/13	\$2,492.68	\$1,617.12	\$875.56
HOME DEPOT INC USD 0.05	HD	437076102	40	07/25/11	08/16/13	\$3,027.32	\$1,463.12	\$1,564.20
EXXON MOBIL CORP	XOM	30231G102	20	12/27/05	08/23/13	\$1,743.89	\$1,118.59	\$625.30
HOME DEPOT INC USD 0.05	HD	437076102	20	07/25/11	08/23/13	\$1,468.95	\$731.56	\$737.39
BNY MELLON INTL FD CL M SHSS	MPITX	05569M871	1732.44	11/23/05	08/29/13	\$19,489.98	\$29,226.32	\$-9,736.34
BNY MELLON INTL FD CL M SHSS	MPITX	05569M871	45.34	12/15/05	08/29/13	\$510.02	\$709.49	\$-199.47
GILEAD SCIENCES INC	GILD	375558103	50	10/20/11	09/16/13	\$3,140.65	\$1,017.47	\$2,123.18
ACTAVIS INC	00507K103	00507K103	16	07/25/11	09/16/13	\$2,187.53	\$1,120.45	\$1,067.08
CHEVRONTExaco CORP	CVX	166764100	4	07/25/11	09/16/13	\$496.92	\$432.03	\$64.89
EXPRESS SCRIPTS HLDG CO	ESRX	30219G108	20	10/28/11	09/16/13	\$1,316.48	\$932.95	\$383.53
EXPRESS SCRIPTS HLDG CO	ESRX	30219G108	30	06/04/12	09/16/13	\$1,974.73	\$1,527.66	\$447.07
BROADCOM CORP CLA	BRCM	111320107	20	09/27/12	10/01/13	\$527.93	\$697.29	\$-169.36



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2013 Tax Information

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DAVID L. LIEB FOUNDATION INC.-JMA

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Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ACTAVIS INC		00507K103	44	07/25/11	10/01/13	\$6,336.44	\$3,080.79	\$3,255.65
BROADCOM CORP CLA	BRCM	111320107	10	09/27/12	10/02/13	\$263.73	\$348.65	\$-84.92
INTERNATIONAL BUSINESS MACHINES	IBM	459200101	19	01/23/08	10/17/13	\$3,310.24	\$2,019.13	\$1,291.11
COVIDIEN PLC	COV	G2554F113	20	01/04/11	10/22/13	\$1,281.33	\$865.72	\$415.61
ACCENTURE PLC IRELAND SHS CLA ACN		G1151C101	20	07/25/11	10/24/13	\$1,469.08	\$1,213.56	\$255.52
SALESFORCE COM INC	CRM	79466L302	26	07/25/11	10/24/13	\$1,409.62	\$980.77	\$428.85
SHIRE PHARMACEUTICALS GROUP	SHPG	82481R106	9	07/25/11	11/05/13	\$1,233.00	\$912.13	\$320.87
ALLEGION PLC	ALLE	G0176J109	46.67	02/16/12	12/03/13	\$2,004.75	\$1,141.37	\$863.38
TRAVELERS COS INC	TRV	89417E109	50	07/19/12	12/09/13	\$4,422.97	\$3,182.18	\$1,240.79
OCCIDENTAL PETROLEUM CORP OXY		674599105	40	12/12/12	12/16/13	\$3,642.02	\$3,061.41	\$580.61
COVIDIEN PLC	COV	G2554F113	45	01/03/11	12/20/13	\$3,018.58	\$1,932.51	\$1,086.07
COVIDIEN PLC	COV	G2554F113	10	01/03/11	12/23/13	\$668.99	\$429.37	\$239.62
COVIDIEN PLC	COV	G2554F113	35	01/03/11	12/23/13	\$2,341.45	\$1,503.07	\$838.38
Total long term gain/loss from sales:						\$186,857.85	\$160,999.14	\$25,858.71