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Extended until November 15, 2014

Return of Private Foundation

OMB No 1545-0052

Form 990-PF

or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Department of the Treasury
Internal Revenue ServiceDo not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation
Blue Moon Fund, Inc

Number and street (or P.O. box number if mail is not delivered to street address)
222 W. South Street

Room/suite
Room/suite

City or town, state or province, country, and ZIP or foreign postal code
Charlottesville, VA 22902

A. Employer identification number
13-6034219

B. Telephone number
434-295-5160

C. If exemption application is pending, check here ☐

D. 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E. If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F. If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G. Check all that apply. ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H. Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I. Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 143,909,338. (Part I, column (d) must be on cash basis.)

J. Accounting method: ☐ Cash ☒ Accrual
☐ Other (specify) _____

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	200.	200.		Statement 1
4	Dividends and interest from securities	412,556.	412,556.		Statement 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	5,102,939.			
b	Gross sales price for all assets on line 6a				
7	Capital gain net income (from Part IV, line 2)		5,102,939.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	1,380,871.	1,250,558.	130,313.	Statement 8
12	Total. Add lines 1 through 11	6,896,566.	6,766,253.	130,313.	
13	Compensation of officers, directors, trustees, etc.	190,099.	7,312.	0.	182,787.
14	Other employee salaries and wages	1,439,694.	333,191.	0.	1,106,503.
15	Pension plans, employee benefits	378,366.	74,981.	0.	301,541.
16a	Legal fees	49,310.	12,204.	11,345.	23,086.
b	Accounting fees	19,717.	8,500.	0.	11,217.
c	Other professional fees	947,979.	639,802.	0.	302,736.
17	Interest				
18	Taxes	75,864.	3,638.	0.	352,228.
19	Depreciation and depletion	147,628.	15,807.	0.	
20	Occupancy	119,446.	18,036.	0.	101,002.
21	Travel, conferences, and meetings	354,771.	7,726.	0.	393,546.
22	Printing and publications	17,097.	5,086.	0.	17,139.
23	Other expenses	319,739.	5,962.	0.	318,244.
24	Total operating and administrative expenses. Add lines 13 through 23	4,059,710.	1,132,245.	11,345.	3,110,029.
25	Contributions, gifts, grants paid	12,000,463.			15,030,188.
26	Total expenses and disbursements. Add lines 24 and 25	16,060,173.	1,132,245.	11,345.	18,140,217.
27	Subtract line 26 from line 12	-9,163,607.			
a	Excess of revenue over expenses and disbursements		5,634,008.		
b	Net investment income (if negative, enter -0-)				
c	Adjusted net income (if negative, enter -0-)			118,968.	

323501 10-10-13 LHA For Paperwork Reduction Act Notice, see instructions.

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11211013 700786 18126

2013.04030 Blue Moon Fund, Inc

18126_1

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	336.	300.	300.
	2 Savings and temporary cash investments	86,315,499.	72,824,479.	72,824,479.
	3 Accounts receivable ▶ 21,528.			
	Less: allowance for doubtful accounts ▶	18,817.	21,528.	21,528.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	73,275.	113,363.	113,363.
	10a Investments - U.S. and state government obligations Stmt. 9	0.	11,933,645.	11,933,645.
	b Investments - corporate stock Stmt. 10	10,366,838.	17,833,590.	17,833,590.
	c Investments - corporate bonds Stmt. 11	15,992,799.	11,274,917.	11,274,917.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other Stmt. 12	14,487,589.	21,789,530.	21,789,530.
	14 Land, buildings, and equipment: basis ▶ 3,050,734.			
	Less: accumulated depreciation ▶ 787,830.	2,400,499.	2,262,904.	2,262,904.
	15 Other assets (describe ▶ See Attached Sch)	28,891,228.	5,855,082.	5,855,082.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	158,546,880.	143,909,338.	143,909,338.
	17 Accounts payable and accrued expenses	194,891.	109,780.	
	18 Grants payable	11,456,880.	8,406,322.	
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ See Attached Sch)	345,000.	48,000.	
	23 Total liabilities (add lines 17 through 22)	11,996,771.	8,564,102.	
	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	146,550,109.	135,345,236.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	146,550,109.	135,345,236.	
	31 Total liabilities and net assets/fund balances	158,546,880.	143,909,338.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	146,550,109.
2 Enter amount from Part I, line 27a	2	-9,163,607.
3 Other increases not included in line 2 (itemize) ▶ Deferred Tax Revenue	3	17,000.
4 Add lines 1, 2, and 3	4	137,403,502.
5 Decreases not included in line 2 (itemize) ▶ Unrealized Loss on Investments	5	2,058,266.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	135,345,236.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See attached schedule					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a			5,102,939.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			5,102,939.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7			2	5,102,939.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	13,007,784.	149,988,204.	.086725
2011	14,857,000.	161,552,426.	.091964
2010	13,377,552.	161,928,823.	.082614
2009	12,718,746.	150,889,231.	.084292
2008	23,594,642.	179,615,283.	.131362
2 Total of line 1, column (d)			2 .476957
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .095391
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 138,301,099.
5 Multiply line 4 by line 3			5 13,192,680.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 56,340.
7 Add lines 5 and 6			7 13,249,020.
8 Enter qualifying distributions from Part XII, line 4			8 19,140,217.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b _____		1	56,340.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-).		2	0.
3 Add lines 1 and 2 _____		3	56,340.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- _____		5	56,340.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013 _____	6a	66,666.	
b Exempt foreign organizations - tax withheld at source _____	6b		
c Tax paid with application for extension of time to file (Form 8868) _____	6c		
d Backup withholding erroneously withheld _____	6d		
7 Total credits and payments. Add lines 6a through 6d _____	7	66,666.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached _____	8	16.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed _____	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid _____	10	10,310.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input checked="" type="checkbox"/> 10,310. Refunded <input type="checkbox"/> _____	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year? N/A	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) VA, NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

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Part VII-A Statements Regarding Activities (Continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>www.bluemoonfund.org</u>	13	X	
14	The books are in care of ► <u>Corporate Office</u> Telephone no. ► <u>434-295-5160</u> Located at ► <u>222 W. South Street, Charlottesville, VA</u> ZIP+4 ► <u>22902</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? <input type="checkbox"/>	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <input type="checkbox"/> N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) <input type="checkbox"/> N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/>	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? <input type="checkbox"/>	4b	X

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Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **N/A****Part VIII. Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Schedule				
	0.00	190,099.	26,506.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Ji Qiang Zhang - 222 W. South Street, Charlottesville, VA 22902	VP of Program 37.50	255,453.	28,000.	0.
Adrian Forsyth - 222 W. South Street, Charlottesville, VA 22902	VP of Program 37.50	244,388.	28,000.	0.
Jason Green - 222 W. South Street, Charlottesville, VA 22902	CIO 37.50	233,247.	32,629.	0.
Diane Schmidt - 222 W. South Street, Charlottesville, VA 22902	CFO 37.50	160,711.	22,388.	0.
Enrique Ortiz - 222 W. South Street, Charlottesville, VA 22902	Sr. Program Officer 37.50	135,107.	18,807.	0.

Total number of other employees paid over \$50,000 ☐ 3

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Bruce E. Babbitt 5169 Watson St., NW, Washington, DC 20016	Programmatic Consulting	150,600.
Ashley L. Graham 1923 Milan Street, New Orleans, LA 70115	Programmatic Consulting	82,500.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 See Attached	
	181,033.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 See Attached	
	1,000,000.
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3	1,000,000.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	140,255,309.
b Average of monthly cash balances	1b	151,898.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	140,407,207.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	140,407,207.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,106,108.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	138,301,099.
6 Minimum investment return. Enter 5% of line 5	6	6,915,055.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	6,915,055.
2a Tax on investment income for 2013 from Part VI, line 5	2a	56,340.
b Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	56,340.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	6,858,715.
4 Recoveries of amounts treated as qualifying distributions	4	1,284,107.
5 Add lines 3 and 4	5	8,142,822.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	8,142,822.

Part XII**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	18,140,217.
b Program-related investments - total from Part IX-B	1b	1,000,000.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	19,140,217.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	56,340.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	19,083,877.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				8,142,822.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	14,856,644.			
b From 2009	5,273,536.			
c From 2010	5,333,026.			
d From 2011	7,148,688.			
e From 2012	5,840,644.			
f Total of lines 3a through e	38,452,538.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 19,140,217.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				8,142,822.
e Remaining amount distributed out of corpus	10,997,395.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus, Add lines 3f, 4c, and 4e. Subtract line 5	49,449,933.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	14,856,644.			
9 Excess distributions carryover to 2014 Subtract lines 7 and 8 from line 6a	34,593,289.			
10 Analysis of line 9:				
a Excess from 2009	5,273,536.			
b Excess from 2010	5,333,026.			
c Excess from 2011	7,148,688.			
d Excess from 2012	5,840,644.			
e Excess from 2013	10,997,395.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
See Attached Schedule				15,030,188.
Total			3a	15,030,188.
b Approved for future payment				
See Attached Schedule				8,406,322.
Total			3b	8,406,322.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations


		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? <input type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee 	Date 13 Nov 2014	Title President & CEO		
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no.:	

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the due date for filing your return. See instructions.	Enter filer's identifying number, see instructions	
	Name of exempt organization or other filer, see instructions Blue Moon Fund, Inc	Employer identification number (EIN) or 13-6034219
	Number, street, and room or suite no. If a P.O. box, see instructions. 222 W. South Street	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. Charlottesville, Virginia 22902	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ Diane Schmidt
Telephone No. ▶ 434.295.5160 Fax No. ▶ 434.295.6894
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

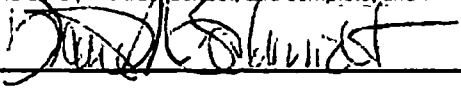
- I request an additional 3-month extension of time until November 15, 20 14 .
- For calendar year 2013, or other tax year beginning , 20 , and ending , 20 .
- If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- State in detail why you need the extension

Information is not available for a complete and accurate return.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	65,000
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	65,000
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶  Title ▶ **CEFO** Date ▶ **8/7/2014**

BLUE MOON FUND, INC.
FORM 990PF 2013
FEIN: 13-6034219

Section 3

Form 990PF Supporting Schedules – General

blue moon fund, inc.
Charlottesville, Virginia

13-6034219

December 31, 2013

Form 990PF, Part I

	Column (a) Rev & Exp Per Books	Column (b) Net Invest. Income	Column (c) Adjusted Net Income	Column (d) Disb. for Char. Purp.
Interest on savings & temporary cash investments (Statement 1, p. 1, Part I, line 3):	\$ 200	\$ 200	N/A	
Dividends and interest from securities: (Statement 2, p. 1, Part I, line 4):	\$ 412,556	\$ 412,556	N/A	
Legal fees (Statement 3, p.1, Part I, line 16a):				
Incurred in the general course of business	\$ 49,310	\$ 12,204	\$ 11,345	\$ 23,086
Total legal fees	\$ 49,310	\$ 12,204	\$ 11,345	\$ 23,086
Accounting fees (Statement 4, p.1, Part I, line 16b):				
Annual Audit & Related	\$ 18,404	\$ 8,500	\$ -	\$ 9,904
Accounting System Consulting	1,313	-	-	1,313
Total accounting Fees	\$ 19,717	\$ 8,500	N/A	\$ 11,217
Other professional fees (Statement 5, p.1, Part I, line 16c):				
Consulting fees - General	\$ 4,190	\$ -	\$ -	\$ 4,190
Consulting fees - Website	496	-	-	482
Consulting fees - Program Areas	283,676	-	-	277,401
Consulting fees - Information Technology	19,815	-	-	20,663
Investment Management fees	514,403	514,403	-	-
Custodial fees	621	621	-	-
Investment Expense	124,778	124,778	-	-
Total Professional fees	\$ 947,979	\$ 639,802	N/A	\$ 302,736

blue moon fund, inc.
Charlottesville, Virginia

13-6034219

December 31, 2013

Form 990PF, Part I

	Column (a) Rev & Exp Per Books	Column (b) Net Invest. Income	Column (c) Adjusted Net Income	Column (d) Disb. for Char. Purp.
Taxes (Statement 6, p.1, Part I, line 18):				
Federal Excise Taxes	\$ 60,045	\$ -	\$ -	\$ 340,045
Foreign Excise Taxes	3,538	3,538	-	-
New York State taxes	1,500			1,500
Other taxes and licenses	10,781	100	-	10,683
Total Taxes	\$ 75,864	\$ 3,638	N/A	\$ 352,228
Depreciation (p.1, Part I, line 19):				
Computer/communications Equipment (5 year SL)	\$ 11,373	\$ 250	\$ -	-
Office Furniture & fixtures (7 year SL)	34,887	250	-	-
Buildings (40 years SL)	38,621	15,307	-	-
Landscaping (15 years SL)	46,932	-	-	-
Solar Panels/Charging Stations (5 years SL)	15,815	-	-	-
Total depreciation	\$ 147,628	\$ 15,807	N/A	
Other expenses (Statement 7, p. 1, Part I, line 23):				
Amortization (**see schedule on next page)	\$ 359	\$ -	\$ -	\$ 359
Bank service fees	923	-	-	886
Computer software expensed	4,868	-	-	5,078
Director & officer liability insurance	14,257	-	-	14,618
Equipment rental	1,028	138	-	777
Postage	1,131	174	-	976
Federal Express/couriers	1,170	175	-	984
General liability insurance	18,481	-	-	20,668
ASP subscriptions	3,870	-	-	3,666
Maintenance agreements	38,857	-	-	38,343
Minor equipment purchases	8,392	1,334	-	7,499
Miscellaneous expense	2,101	-	-	1,428
Office expense	26,842	4,083	-	22,958
Repairs & maintenance	15,562	-	-	15,809
Flex plan administration	865	58	-	327
Direct charitable activities	181,033	-	-	183,868
Total other expenses	\$ 319,739	\$ 5,962	N/A	\$ 318,244

December 31, 2013

Form 990PF, Part I

Column (a) Rev & Exp Per Books	Column (b) Net Invest. Income	Column (c) Adjusted Net Income	Column (d) Disb. for Char. Purp.
--------------------------------------	-------------------------------------	--------------------------------------	----------------------------------------

Other income

(Statement 8, p. 1, Part I, line 11):

Unused Grant Funds Returned/grant withdrawal	\$ 289,547	\$ 289,547	\$ -	\$ -
Income from limited partnership investments	960,766	960,766	-	-
Interest Inc. from PRIs	130,313	-	130,313	-
Dividends from PRIs	-	-	-	-
Royalty Income	154	154	-	-
Other Income	91	91	-	-
Total Other Income	\$ 1,380,871	\$ 1,250,558	\$ 130,313	\$ -

Contributions, gifts & grants paid (p.1, Part I, line 25):

Grants Paid (see Part XV) \$ 12,000,463 \$ - \$ - \$ 15,030,188

** Amortization schedule:

Description	Yr Acquired	Cost	Amortization		
			Prior	Current	To Date
Computer software (60 months)	1996	531	531	-	531
Computer software (60 months)	1998	964	964	-	964
Computer software (60 months)	1999	2,431	2,431	-	2,431
Computer software (60 months)	2000	508	508	-	508
Computer software (60 months)	2001	845	845	-	845
Computer software (60 months)	2002	3,550	3,550	-	3,550
Computer software (60 months)	2003	601	601	-	601
Computer software (36 months)	2004	4,225	4,225	-	4,225
Computer software (36 months)	2005	5,012	5,012	-	5,012
Computer software (36 months)	2006	43,950	43,950	-	43,950
Computer software (36 months)	2008	2,273	2,273	-	2,273
Computer software (36 months)	2009	2,005	2,005	-	2,005
Computer software (36 months)	2012	1,077	239	-	239
Computer software (36 months)	2013	-	-	359	359
Totals		\$ 67,972	\$ 67,134	\$ 359	\$ 67,493

blue moon fund, inc.
Charlottesville, Virginia

13-6034219

December 31, 2013

Form 990PF, Part II

Balance Sheet

Land, Buildings and Equipment
(p. 2, Part II, line 14):

	Beginning of Year	Additions	Retirements	End of Year
Basis				
*Land	\$ 274,581	\$ -	\$ -	\$ 274,581
*Building	2,327,897	-	-	2,327,897
Furniture/Equipment	443,041	11,315	(6,100)	448,256
Total	3,045,519	11,315	(6,100)	3,050,734
Depreciation/Amortization				
Building (depreciation)	(334,185)	(101,368)	-	(435,553)
Furniture/Equipment (depreciation)	(310,835)	(46,259)	4,817	(352,277)
Total	(645,020)	(147,627)	4,817	(787,830)
Net Buildings and Equipment	\$ 2,400,499	\$ (136,312)	\$ (1,283)	\$ 2,262,904

* Property is owned by Blue Moon Rising, LLC a single member LLC.

	END OF YEAR	
	(b) Book Value	(c) Fair Market Value
Other Assets (p. 2, Part II, line 15):		
Interest and dividend income receivable	\$ 716,623	\$ 716,623
PRI - National Housing Trust	1,250,000	1,250,000
PRI - Asia Pacific Fuel Cell Technologies, Ltd.	3,500,000	3,500,000
PRI - Transition Energy	165,000	165,000
PRI - CEDAC	129,250	129,250
Computer software net of accumulated amortization	479	479
Returned grants receivable	93,730	93,730
Total other assets	\$ 5,855,082	\$ 5,855,082
Other Liabilities (p. 2, Part II, line 22):		
Federal Excise Tax Payable	\$ 10,000	\$ 10,000
Deferred taxes payable	38,000	38,000
Total other liabilities	\$ 48,000	\$ 48,000

blue moon fund, inc.
Charlottesville, Virginia

13-6034219

December 31, 2013

END OF YEAR

Form 990-PF, Part II

Balance Sheet

Investments - US and state government obligations
(Statement 9, p. 2, Part II, line 10a):

Quantity	Description	(b) Book Value	(c) Fair Market Value
4,100	NJ Econ Dev 3.641% 12/15/15	430,856.70	430,856.70
2,250	NY City Trans 5.0% 05/01/15	239,220.00	239,220.00
5,000	NY Dorm Auth 1% 02/15/16	499,375.00	499,375.00
4,750	OK Oklahoma Wtr 1.115% 4/1/16	474,263.75	474,263.75
4,250	Port Aut NY&NJ 4.75% 9/15/2016	463,768.50	463,768.50
5,000	TN St Sch Bd 4.88% 05/01/20	526,680.00	526,680.00
4,000	TX Dallas Wtr 1.164% 10/01/16	397,784.00	397,784.00
4,640	US Treas N/B 0.375% 04/15/15	465,069.52	465,069.52
9,250	US Treas N/B 0.375% 06/15/2015	926,950.83	926,950.83
4,490	US Treas N/B 1.75% 07/31/15	459,470.68	459,470.68
4,600	US Treas N/B 1.25% 09/30/15	467,457.03	467,457.03
4,480	US Treasury 2.25% 01/31/15	457,975.17	457,975.17
4,460	US Treasury 2.625% 12/31/14	456,836.41	456,836.41
4,620	US Treasury N/B 0.25% 05/31/14	462,270.70	462,270.70
4,580	US Treasury N/B 1.75% 1/31/14	458,608.22	458,608.22
4,480	US Treasury N/B 2.375% 2/28/15	459,217.47	459,217.47
2,500	MASSACHUSETTS ST WTR POL 0.35% 02/01/15	249,895.00	249,895.00
400	UNIV CA 0.392% 05/15/15	39,900.40	39,900.40
400	OREGON ST FOR ISSUES DTD 0.497% 08/01/15	39,987.60	39,987.60
1,500	MANHATTAN BEACH CA USD 0.542% 09/01/15	149,040.00	149,040.00
400	BROWARD CNTY FL WTR 1.06% 10/01/15	39,977.60	39,977.60
700	JEA FL WTR SWR SYS 0.776% 10/01/15	70,092.40	70,092.40
1,500	LAKELAND, FL WTR & WASTE 1.8% 10/01/15	149,356.50	149,356.50
1,500	HAMILTON CNTY OH SWR SYS 0.803% 12/01/15	150,531.00	150,531.00
1,500	WESTMORELAND CNTY PA 0.938% 12/01/15	148,777.50	148,777.50
400	ARIZONA ST TRANSN BRD 0.944% 07/01/16	40,043.60	40,043.60
350	NEW CASTLE CNTY DE 1.0% 07/15/16	34,846.35	34,846.35
1,250	NORTH DAKOTA PUB FIN AUT 1.185% 10/01/16	124,343.75	124,343.75
1,400	ARLINGTON CNTY VA INDL 0.832% 12/15/16	138,301.80	138,301.80
1,500	TUSCON AZ WATER SYS 1.18% 07/01/17	145,485.00	145,485.00
500	ROCKDALE CNTY GA WTR 1.54% 07/01/18	48,292.50	48,292.50
1,300	BROWARD CNTY FL WTR 1.91% 10/01/18	124,854.60	124,854.60
1,500	GREENE CNTY OH 1.75% 12/01/18	147,646.50	147,646.50
400	COLORADO ST BRD GOVERNOR 1.823% 03/01/19	38,170.00	38,170.00
400	PEACHTREE CITY GA WTR&S 1.68% 03/01/19	38,161.60	38,161.60
1,500	CLAYTON CNTY & CLAYTON CO 1.8% 05/01/19	142,545.00	142,545.00
1,500	NEW YORK ST ENERGY RESH 2.372% 07/01/19	148,638.00	148,638.00
250	COMMONWEALTH FIN AUTH PA 5.992% 06/01/20	27,422.50	27,422.50
300	LAS VEGAS VALLEY NV WTR 4.514% 06/01/20	31,540.20	31,540.20
1,400	CALLEGUAS CA MUN WTR DIS 2.601% 07/01/20	134,001.00	134,001.00
300	JEA FL WTR&SWR SYS 5.3% 10/01/20	33,049.20	33,049.20
1,500	JEA FL DIST ENERGY SYS 3.0% 10/01/22	140,067.00	140,067.00

blue moon fund, inc.
Charlottesville, Virginia

13-6034219

December 31, 2013

END OF YEAR

Form 990-PF, Part II

Balance Sheet

Investments - US and state government obligations
(Statement 9, p. 2, Part II, line 10a):

Quantity	Description	(b) Book Value	(c) Fair Market Value
500	CALIF DEPT WTR RES CENT 2.637% 12/01/22	46,439.00	46,439.00
400	FAIRFAX CNTY VA WTR AUTH 2.555% 04/01/23	36,794.40	36,794.40
2,000	INTL BK FOR RECON & DEV RATE 0.375% 8/24/15	200,000.00	200,000.00
3,750	US TREASURY NOTE RATE 0.375% 11/15/15	375,221.25	375,221.25
1,500	INTL FIN CORP BOND 0.5% 05/16/16	149,712.00	149,712.00
1,600	FHLB BOND 2.25% 09/08/17	165,556.80	165,556.80
1,000	FHLB BOND 1.375% 03/09/18	99,142.00	99,142.00
1,500	FHLB BOND 1.625% 06/14/19	146,103.00	146,103.00
2,500	US TREASURY NOTE 1.5% 08/31/18	249,023.43	249,023.43
2,500	US TREASURY NOTE 0.5% 07/31/17	244,882.80	244,882.80
		<u>\$ 11,933,645</u>	<u>\$ 11,933,645</u>

blue moon fund, inc.
Charlottesville, Virginia

13-6034219

December 31, 2013

END OF YEAR

Form 990-PF, Part II

Balance Sheet

(b) Book Value	(c) Fair Market Value
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Investments - corporate stock
(Statement 10, p. 2, Part II, line 10b):

Quantity	Description	(b) Book Value	(c) Fair Market Value
18,836	GENERATION IM GLOBAL EQUITY FUND	4,519,625	4,519,625
	GENERATION IM ASIA FUND LP	2,282,834	2,282,834
	GS INVESTMENT PARTNERS OFFSHORE LP	634,409	634,409
7,797	GS WEST STREET PORTFOLIO 2007	673,399	673,399
119,501.273	PAX WORLD GLOBAL ENVIRONMENTAL MARKETS FUND	1,536,786	1,536,786
75,880.441	BOSTON COMMON INTERNATIONAL SOCIAL FUND, LLP	2,572,257	2,572,257
225.000	AGL RESOURCES INC	10,627	10,627
400.000	AMER STATES WATER CO	11,492	11,492
225.000	APTARGROUP INC	15,257	15,257
125.000	ARTISAN PARTNERS ASSET MGMT	8,149	8,149
275.000	BANK OF HAWAII CORP	16,264	16,264
350.000	BIO REFERENCE LAB INC NEW NEW	8,939	8,939
375.000	BIOMED REALTY TRUST INC REITS	6,795	6,795
150.000	BLACKBAUD INC	5,648	5,648
75.000	BOK FINANCIAL CORP NEW	4,974	4,974
275.000	BRIGHT HORIZONS FAMILY	10,104	10,104
600.000	BRUKER CORP	11,862	11,862
525.000	CALGON CARBON CORP	10,799	10,799
125.000	CARBO CERAMICS INC	14,566	14,566
75.000	CHART INDUSTRIES INC	7,173	7,173
75.000	CITY NATIONAL CORP	5,942	5,942
200.000	CLARCOR INC	12,870	12,870
175.000	COHEN & STEERS INC	7,011	7,011
75.000	COHERENT INC	5,579	5,579
225.000	COLUMBIA SPORTSWEAR CO	17,719	17,719
225.000	COMMERCE BANCSHARES	10,105	10,105
425.000	COMMERCIAL METALS CO	8,640	8,640
75.000	COMMVault SYSTEMS INC	5,615	5,615
150.000	CORESITE RLTY CORP COM REIT	4,829	4,829
100.000	CULLEN FROST BANKERS INC	7,443	7,443
350.000	DARLING INTL INC	7,308	7,308
750.000	DENBURY RESOURCES INC NEW	12,323	12,323
225.000	DENTSPLY INTL INC	10,908	10,908
350.000	DONALDSON CO INC	15,211	15,211
275.000	DORMAN PRODUCTS INC	15,411	15,411
75.000	DRESSER RAND GROUP INC	4,472	4,472
375.000	DSW INC CL A	16,024	16,024
400.000	DUPONT FABROS TECHNOLOGY INC	9,884	9,884
475.000	EAST WEST BANCORP INC	16,611	16,611
250.000	EATON VANCE CORP NON VTG	10,698	10,698
300.000	ENCORE CAPITAL GROUP INC	15,078	15,078
75.000	FACTSET RESH SYSTEMS INC	8,144	8,144
425.000	FORTINET INC	8,130	8,130
400.000	FORUM ENERGY TECHNOLOGIES INC	11,304	11,304
200.000	FRANKLIN ELECTRIC CO	8,928	8,928
75.000	F5 NETWORKS INC	6,815	6,815
125.000	GENESEE & WYO INC CL A	12,006	12,006
100.000	GEOSPACE TECHNOLOGIES CORP	9,464	9,464
150.000	HAEMONETICS CORP MASS	6,320	6,320
200.000	HIBBETT SPORTS INC	13,430	13,430
175.000	HUB GROUP INC CL A	6,979	6,979
100.000	HUBBELL INC CL B	10,890	10,890
150.000	ICU MEDICAL INC	9,557	9,557
100.000	IDEX CORP	7,385	7,385

blue moon fund, inc.
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Form 990-PF, Part II

Balance Sheet

(b) Book Value	(c) Fair Market Value
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Investments - corporate stock
(Statement 10, p. 2, Part II, line 10b):

Quantity	Description	(b) Book Value	(c) Fair Market Value
125.000	INTERDIGITAL INC (PA)	3,686	3,686
175.000	INTL FLAVORS&FRGRNCS	15,047	15,047
200.000	IPG PHOTONICS CORP	15,522	15,522
175.000	JONES LANG LASALLE INC	17,918	17,918
150.000	LIFE TIME FITNESS INC	7,050	7,050
150.000	LINCOLN ELEC HOLDINGS NEW	10,701	10,701
100.000	LINDSAY CORP	8,275	8,275
350.000	LIQUIDITY SERVICES INC	7,931	7,931
125.000	LPL FINL HLDGS INC COM	5,881	5,881
225.000	MARKETAXESS HOLDINGS INC	15,059	15,059
300.000	MEDNAX INC	16,014	16,014
225.000	MELLANOX TECHNOLOGIES LTD ILS	8,993	8,993
375.000	MERIDIAN BIOSCIENCE INC	9,949	9,949
50.000	MIDDLEBY CORP DELA	11,986	11,986
250.000	MINERALS TECHNOLOGIES INC	15,018	15,018
200.000	MYRIAD GENETICS INC NEW	4,196	4,196
125.000	NEW JERSEY RESOURCES CRP	5,780	5,780
125.000	NORDSON CORP	9,288	9,288
200.000	PLANTRONICS INC NEW	9,290	9,290
525.000	POLYCOM INC	5,896	5,896
200.000	POLYPORE INTL INC	7,780	7,780
175.000	POWER INTEGRATIONS INC	9,769	9,769
625.000	QUESTAR CORP	14,369	14,369
400.000	RIVERBED TECHNOLOGY INC	7,232	7,232
300.000	RPC INC	5,355	5,355
600.000	SAPIENT CORP	10,416	10,416
350.000	SEI INVESTMENTS CO	12,156	12,156
175.000	SIGNATURE BANK NEW YORK N Y	18,799	18,799
200.000	SODA STREAM INTL LTD ILS	9,928	9,928
150.000	SOLARWINDS INC	5,675	5,675
175.000	SVB FINANCIAL GROUP	18,351	18,351
100.000	SYNTEL INC	9,095	9,095
50.000	TECHNE CORP MINN	4,734	4,734
275.000	TENNECO INC	15,557	15,557
125.000	TEXAS CAPITAL BANCSHARES INC	7,775	7,775
175.000	TEXAS ROADHOUSE INC CL A	4,865	4,865
225.000	THORATEC CORP NEW	8,235	8,235
475.000	TIBCO SOFTWARE INC	10,678	10,678
150.000	UMB FINANCIAL CORP	9,642	9,642
50.000	UNIFIRST CORP MASS	5,350	5,350
125.000	UNTD NAT FOODS INC	9,424	9,424
50.000	VIRTUS INVT PARTNER INC	10,003	10,003
275.000	VITAMIN SHOPPE INC	14,303	14,303
250.000	WABTEC INC	18,568	18,568
250.000	WATTS WATER TECHNOLOGIES INC CL A	15,468	15,468
325.000	WEST PHARMACEUTICAL SERVICES INC	15,945	15,945
125.000	WEX INC	12,379	12,379
525.000	WHITEWAVE FOODS CO CL A	12,044	12,044
475.000	WOLVERINE WORLD WIDE INC	16,131	16,131
3823.000	ABB LTD SPON ADR	101,539	101,539
937.000	ADOBE SYSTEMS INC (DELAWARE)	56,107	56,107
1098.000	AFLAC INC	73,346	73,346
295.000	AMER EXPRESS CO	26,765	26,765
767.000	AMGEN INC	87,499	87,499
681.000	ANALOG DEVICES INC	34,683	34,683
459.000	APACHE CORP	39,446	39,446

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Form 990-PF, Part II

Balance Sheet

Investments - corporate stock
(Statement 10, p. 2, Part II, line 10b):

Quantity	Description	(b) Book Value	(c) Fair Market Value
186.000	APPLE INC	104,350	104,350
888.000	ATWOOD OCEANICS INC	47,410	47,410
396.000	BECTON DICKINSON & CO	43,754	43,754
1365.000	BORG WARNER INC	76,317	76,317
1203.000	BT GROUP PLC SPON ADR	75,945	75,945
303.000	CANADIAN PAC RAILWAY LTD CAD	45,850	45,850
420.000	CERNER CORP	23,411	23,411
439.000	CHUBB CORP	42,421	42,421
3268.000	CISCO SYSTEMS INC	73,301	73,301
1032.000	CITIGROUP INC	53,778	53,778
348.000	CITRIX SYSTEMS INC	22,011	22,011
732.000	COLGATE PALMOLIVE CO	47,734	47,734
579.000	COSTCO WHOLESALE CORP	68,913	68,913
171.000	CUMMINS INC	24,106	24,106
388.000	DISCOVERY COMMUNICATIONS INC CL A	35,083	35,083
923.000	EAST WEST BANCORP INC	32,277	32,277
622.000	EATON CORP PLC	47,347	47,347
725.000	EOG RESOURCES INC	121,684	121,684
2880.000	FIFTH THIRD BANCORP	60,566	60,566
410.000	FIRST SOLAR INC	22,402	22,402
1055.000	GILEAD SCIENCES INC	79,231	79,231
95.000	GOOGLE INC CL A	106,467	106,467
127.000	GRAINGER W W INC	32,438	32,438
654.000	GREEN MTN COFFEE ROASTERS INC	49,403	49,403
671.000	HARTFORD FINCL SERVICES GROUP INC	24,310	24,310
1291.000	HESS CORP	107,153	107,153
652.000	HOME DEPOT INC	53,686	53,686
542.000	HSBC HOLDINGS PLC NEW GB SPON ADR	29,880	29,880
750.000	ITC HOLDINGS CORP	71,865	71,865
1203.000	JARDEN CORP	73,804	73,804
1573.000	JOHNSON CONTROLS INC	80,695	80,695
735.000	JOHNSON & JOHNSON COM	67,319	67,319
1319.000	JPMORGAN CHASE & CO	77,135	77,135
454.000	LINCOLN NATL CORP IND	23,435	23,435
493.000	LINCOLN ELEC HOLDINGS NEW	35,171	35,171
528.000	LULULEMON ATHLETICA INC	31,168	31,168
1055.000	MARATHON PETROLEUM CO	96,775	96,775
97.000	MASTERCARD INC CL A	81,040	81,040
1472.000	MEDTRONIC INC	84,478	84,478
1742.000	MICROSOFT CORP	65,168	65,168
1153.000	MINERALS TECHNOLOGIES INC	69,261	69,261
434.000	NATIONAL GRID PLC NEW SPON ADR	28,349	28,349
649.000	NATL-OILWELLVARCO INC	51,615	51,615
695.000	NOVARTIS AG SPON ADR	55,864	55,864
1116.000	NXP SEMICONDUCTORS N V COM EUR	51,258	51,258
1015.000	OWENS ILLINOIS INC NEW	36,317	36,317
186.000	PANERA BREAD COMP CL A	32,864	32,864
1201.000	PENTAIR LTD	93,282	93,282
38.000	PRICELINE.COM INC NEW	44,171	44,171
673.000	PROCTER & GAMBLE CO	54,789	54,789
1114.000	QUALCOMM INC	82,715	82,715
925.000	REINSURANCE GROUP AMER INC NEW	71,604	71,604
866.000	SANDISK CORP	61,088	61,088
1927.000	SCHWAB CHARLES CORP NEW	50,102	50,102
1682.000	SEALED AIR CORP NEW	57,272	57,272
537.000	SHIRE PLC SPON ADR	75,873	75,873
362.000	SMUCKER J M CO NEW	37,510	37,510

blue moon fund, inc.
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END OF YEAR

Form 990-PF, Part II

Balance Sheet

(b) Book Value	(c) Fair Market Value
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Investments - corporate stock
(Statement 10, p. 2, Part II, line 10b):

Quantity	Description		
417.000	STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW	33,131	33,131
266.000	SVB FINANCIAL GROUP	27,893	27,893
890.000	TARGET CORP	56,310	56,310
923.000	TIME WARNER INC NEW	64,352	64,352
661.000	TJX COS INC NEW	42,126	42,126
300.000	TOYOTA MOTOR CORP NEW JAPAN SPON ADR	36,576	36,576
1472.000	TRIMBLE NAVIGATION LTD	51,078	51,078
1296.000	UMPQUA HOLDINGS CORP OR	24,805	24,805
2446.000	UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON /	98,403	98,403
615.000	UNITED PARCEL SERVICE INC CL B	64,624	64,624
553.000	UNITEDHEALTH GROUP INC	41,641	41,641
348.000	VALMONT INDUSTRIES INC	51,894	51,894
673.000	VERISK ANALYTICS INC CL A	44,230	44,230
817.000	VODAFONE GROUP PLC NEW SPON ADR	32,116	32,116
834.000	WABTEC INC	61,941	61,941
1182.000	WELLS FARGO & CO NEW	53,663	53,663
820.000	WHOLE FOODS MARKET INC	47,421	47,421
690.000	ZIMMER HOLDINGS INC	64,301	64,301
		<u>17,833,590</u>	<u>17,833,590</u>

blue moon fund, inc.
Charlottesville, Virginia

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END OF YEAR

Form 990-PF, Part II

(b)
Book Value

(c) Fair
Market Value

Balance Sheet

Investments - corporate bonds
(Statement 11, p. 2, Part II, line 10c):

Quantity	Description				
	RSF SOCIAL INVESTMENT FUND	\$	500,000	\$	500,000
3,950	ABB Treasury 2.5% 06/15/16		406,234.20		406,234.20
4,600	Africa Dev Bank 0.75% 10/18/16		459,006.40		459,006.40
4,050	APD 2.0% 08/02/16		414,096.30		414,096.30
5,500	BofA 1.35% 11/21/2016		549,773.40		549,773.40
3,900	Cisco Systems 2.90% 11/17/14		398,535.54		398,535.54
4,150	Comm Edison 1.625% 01/15/14		415,181.36		415,181.36
4,100	Danaher Corp 1.30% 06/23/14		411,867.55		411,867.55
2,000	Dover Corp 4.875% 10/15/15		214,385.80		214,385.80
4,050	Ecolab Inc 2.375% 12/08/14		411,341.49		411,341.49
3,900	Emerson Elect 5% 12/15/14		406,863.60		406,863.60
4,050	Google Inc 1.25% 05/19/14		406,475.82		406,475.82
3,800	IFC 0.5% Due 05/16/16		379,270.78		379,270.78
4,050	Johnson 1.75% 03/01/14		405,889.38		405,889.38
2,000	Linde Finance 3.625% 11/13/14		205,474.80		205,474.80
4,050	Microsoft Corp 2.95% 06/01/14		409,247.64		409,247.64
3,700	NBC Universal 3.65% 04/30/15		384,799.63		384,799.63
4,100	Pac Gas & Elec 4.8% 03/01/14		412,771.19		412,771.19
4,000	Pentair 1.35% 12/01/15		402,462.00		402,462.00
3,750	Praxair Inc., 4.625% 03/30/15		393,958.50		393,958.50
3,900	Scottish Pwr 5.375% 03/15/15		408,691.92		408,691.92
3,950	Thermo Fisher 3.2% 05/01/15		407,845.40		407,845.40
3,650	UPS 3.875% 04/01/2014		368,017.82		368,017.82
4,200	Xcel Energy Inc 0.75% 05/09/16		416,458.14		416,458.14
1,250	UNILEVER CPTL CORP 2.75% 02/10/16		130,033.75		130,033.75
1,000	HOME DEPOT INC 5.4% 03/01/16		109,689.00		109,689.00
250	SANOFI-AVENTIS 2.625% 03/29/16		25,996.25		25,996.25
1,250	TORONTO DOMINION BK 2.5% 07/14/16		129,658.75		129,658.75
1,000	VERIZON COMMUNICATIONS 2.5% 09/15/16		103,404.00		103,404.00
1,000	AFRICAN DEV BK 0.75% 10/18/16		99,784.00		99,784.00
1,500	BK OF AMER CORP 1.35% 11/21/16		149,938.50		149,938.50
250	CISCO SYSTEMS INC 3.15% 03/14/17		26,454.75		26,454.75
750	GLAXOSMITHKLINE CPTL PLC 1.5% 05/08/17		75,033.75		75,033.75
750	TOYOTA MOTOR CRDT CORP 1.25% 10/05/17		122,710.00		122,710.00
1,000	INTEL CORP 1.35% 12/15/17		98,834.00		98,834.00
950	SANOFI 1.25% 04/10/18		92,492.95		92,492.95
1,150	BAXTER INTL INC 1.85% 06/15/18		113,561.35		113,561.35
750	CISCO SYSTEMS INC 4.45% 01/15/20		81,800.25		81,800.25
1,000	PEPSICO INC 3.125% 11/01/20		100,115.00		100,115.00
1,000	NTHN TR CORP 3.375% 08/23/21		101,475.00		101,475.00
1,150	GENL ELEC CAP CORP 4.65% 10/17/21		125,286.75		125,286.75
		\$	11,274,917	\$	11,274,917

blue moon fund, inc.
Charlottesville, Virginia

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END OF YEAR

Form 990-PF, Part II

(b) Book Value	(c) Fair Market Value
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Balance Sheet

Investments - Other
(Statement 12, p. 2, Part II, line 13):

Quantity	Description		
	GS DISTRESSED MANAGERS FUND IV OFFSHORE LP	1,547,720	1,547,720
	GS MEZZANINE PARTNERS V OFFSHORE LP	1,815,720	1,815,720
	GS VINTAGE V OFFSHORE LP	3,310,366	3,310,366
	EKO GREEN CARBON FUND, LP	146,065	146,065
	ECOSYSTEM INVESTMENT PARTNERS II, LP	1,220,948	1,220,948
	ECOSYSTEM INTEGRITY FUND I, LP	709,315	709,315
	MOUNTAIN HAZELNUTS GROUP LIMITED	2,000,000	2,000,000
	ECOENTERPRISES FUND II	400,280	400,280
	THE NATURE CONSERVANCY - CONSERVATION NOTES	7,000,000	7,000,000
	CHINA ENVIRONMENT FUND IV	823,815	823,815
	CLEAN FEET INVESTORS I, LLC	2,815,301	2,815,301
		<u>\$ 21,789,530</u>	<u>\$ 21,789,530</u>

blue moon fund, inc.
Charlottesville, Virginia

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Form 990PF, Page 7, Part IX-A - Summary of Direct Charitable Activities

Southeast Asia Integrated Policy Package \$ 124,162
An initiative aimed at identifying and implementing coordinated approaches to biodiversity conservation, trade, and environmental impact assessment through workshops and research.

Rural Development Initiative 9,910
An initiative to better understand the challenges facing rural communities in addressing poverty in a culturally and environmentally sustainable manner. Includes research, convening, and practical components, with the expected outcome being an action plan to be made available to the general public and various stakeholders including, funders and government agencies.

Building Resilience Workshop IV 15,000
Workshop for identifying and fostering the implementation of innovative and sustainable strategies to reduce New Orleans' and south Louisiana's vulnerability to potentially catastrophic hazards, both natural and man-made, particularly those that result in severe flooding.

Public Interest Communication Campaigns - Appalachian Transition 14,500
Workshop to bring together stakeholder in the Appalachian Transition movement and assist in providing information regarding how to develop and implement a successful public interest campaign to propel their work.

Effects of Oil Palm Plantations on Forest Cover
A report which analyzes the state of forest cover in proposed oil palm projects in Loreto, Peru and assess the potential of shifting cultivation to already degraded land. 7,500

Brazil Nut Workshop 8,888
Workshop to bring together key leaders in the Brazil nut industry in the Madre de Dios to gather information and brainstorm on the appropriate design of a permanent Brazil Nut Fund which will incorporate conservation agreements as a mechanism to protect Brazil Nut concessions therefore protecting rich biodiversity areas under a sustainable resource for use by local inhabitants.

Others 1,073

\$ 181,033

blue moon fund, inc.
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Form 990PF, Page 7, Part IX-B - Summary of Program-Related Investments

Friends of Osa

Origination Date	Amount	Interest Rate	Maturity Date	Date of Payment
5/20/2013	\$ 1,000,000	5%	12/15/2016	11/4/2013

Purpose

to support the purchase of 224 hectares in the Osa Peninsula in Costa Rica and to create the Osa Conservatioin Compus with programs in sustainable agriculture, agroforestry, local eco-tourism, nature guide skills development, wildlife restoration, and sea turtle conservation.

BLUE MOON FUND, INC.
FORM 990PF 2013
FEIN: 13-6034219

Section 4

Form 990PF Schedule of Capital Gains (Losses)

blue moon fund, inc.
Charlottesville, Virginia

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December 31, 2013

Form 990-PF, Part I (line 6a) & Part IV (line 1a)

Capital Gains and Losses:

Manager	Total Capital Gain (Loss)
Boston Common	22,606
Ecosystems Integrity Fund I, LP	1,029,322
Ecosystems Investment Partners II, LP	(1,287)
Generation IM Asia Fund, L.P.	134,465
GS Corporate Credit Investment Fund	3,448,506
GS Distressed Opportunities Fund IV Offshore, L.P.	(1,348)
GS Investment Partners	81,841
GS Select Fund II	249
GS West Street Partners 2007	(91,438)
GS Mezzanine Partners V Offshore L.P.	(32,970)
GS Vintage Fund V Offshore, L.P.	(14,612)
Rockefeller	(13,707)
UBS	536,505
Other Gains-Proceeds from Litigation Settlements & Fixed Asset Disposals	4,808
Total Capital Gain	\$ 5,102,939

Note: See detailed schedules on the following pages for all managers listed above.

blue moon fund, inc.
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Form 990-PF, Part I (line 6a) & Part IV (line 1a)

Description	Date Purchased	Date Sold	Qty	Proceeds	Cost	Gain (Loss)
AFLAC INC	1/29/2013	2/25/2013	125	6,205.30	6,635.97	(430.67)
AMGEN INC	1/29/2013	8/27/2013	77	8,467.85	6,661.49	1,806.36
APPLE INC	1/29/2013	5/16/2013	10	4,363.30	4,580.00	(216.70)
ATWOOD OCEANICS INC	1/29/2013	11/21/2013	39	2,147.29	2,066.61	80.68
BANCO BRADESCO S.A. NEW	2/25/2013	4/2/2013	1	13.38	13.24	0.14
BANCO BRADESCO S.A. NEW	2/25/2013	11/21/2013	602	7,973.59	9,965.68	(1,992.09)
BAXTER INTL INC	1/29/2013	8/27/2013	126	8,902.38	8,495.65	406.73
BAXTER INTL INC	1/29/2013	11/21/2013	80	5,462.78	5,394.06	68.72
BK OF NOVA SCOTIA	2/26/2013	11/18/2013	25,000	25,854.75	26,345.75	(491.00)
BK OF NOVA SCOTIA	5/21/2013	11/18/2013	90,000	93,077.10	94,215.60	(1,138.50)
BT GROUP PLC SPON ADR	1/29/2013	8/27/2013	90	4,535.00	3,482.16	1,052.84
CANADIAN PAC RAILWAY LTD	1/29/2013	3/13/2013	43	5,450.73	5,067.12	383.61
CHUBB CORP	1/29/2013	2/25/2013	102	8,580.49	8,232.71	347.78
CISCO SYSTEMS INC	1/29/2013	10/28/2013	252	5,659.82	5,230.64	429.18
CITIGROUP INC	1/29/2013	8/27/2013	137	6,662.61	5,783.65	878.96
CITIGROUP INC	1/29/2013	11/21/2013	48	2,489.72	2,026.38	463.34
COSTCO WHOLESALE CORP	1/29/2013	2/25/2013	28	2,837.94	2,874.76	(36.82)
DISCOVERY COMMUNICATIONS	1/29/2013	2/25/2013	82	5,779.52	5,562.87	216.65
EBAY INC	1/29/2013	11/21/2013	127	6,398.91	7,044.23	(645.32)
EMC CORP MASS	1/29/2013	8/27/2013	133	3,430.42	3,127.60	302.82
EOG RESOURCES INC	1/29/2013	11/21/2013	12	2,033.16	1,496.61	536.55
FEDEX CORP	1/29/2013	3/13/2013	32	3,432.18	3,284.80	147.38
FIFTH THIRD BANCORP	1/29/2013	5/16/2013	304	5,514.50	4,911.67	602.83
GENL MILLS INC	1/29/2013	5/16/2013	50	2,543.20	2,094.50	448.70
GLAXO SMITHKLINE PLC ADR	1/29/2013	2/25/2013	126	5,621.11	5,714.92	(93.81)
GLAXOSMITHKLINE CAP INC	2/21/2013	9/12/2013	25,000	25,571.50	26,127.75	(556.25)
HAMPTON ROADS SANTN DST	3/6/2013	10/15/2013	40,000	39,860.80	39,920.40	(59.60)
INTEL CORP	1/29/2013	2/25/2013	293	6,032.73	6,175.27	(142.54)
INTEL CORP	1/29/2013	8/27/2013	118	2,597.28	2,486.97	110.31
INTL BUSINESS MACH	1/29/2013	5/16/2013	36	7,414.47	7,372.44	42.03
INTL BUSINESS MACH	1/29/2013	11/21/2013	40	7,359.38	8,191.60	(832.22)
ISHARES MSCI EMERGING	4/30/2009	3/15/2013	37,500	1,604,214.80	1,076,253.61	527,961.19

blue moon fund, inc.
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Form 990-PF, Part I (line 6a) & Part IV (line 1a)

Description	Date		Qty	Proceeds	Cost	Gain (Loss)
	Purchased	Date Sold				
ITC HOLDINGS CORP	6/20/2013	11/21/2013	42	3,854.94	3,627.85	227.09
JARDEN CORP	1/29/2013	8/27/2013	62	2,727.05	2,425.99	301.06
JPMORGAN CHASE & CO	1/29/2013	11/21/2013	82	4,699.83	3,848.82	851.01
KANSAS CITY STHN NEW	1/29/2013	5/16/2013	41	4,865.62	3,876.76	988.86
LINCOLN NATL CORP IND	1/29/2013	11/21/2013	43	2,167.79	1,255.89	911.90
MARATHON PETROLEUM CO	1/29/2013	2/25/2013	148	12,041.37	10,502.08	1,539.29
METTLER-TOLEDO INTL	12/18/2013	12/24/2013	25	6,066.17	6,102.75	(36.58)
MIDDLEBY CORP DELA	1/29/2013	10/28/2013	13	2,988.17	1,849.77	1,138.40
MIDDLEBY CORP DELA	1/29/2013	11/21/2013	10	2,130.82	1,422.90	707.92
MINERALS TECHNOLOGIES	1/29/2013	8/27/2013	75	3,391.78	3,144.23	247.55
MYRIAD GENETICS INC NEW	12/18/2013	12/20/2013	25	599.10	605.90	(6.80)
MYRIAD GENETICS INC NEW	12/18/2013	12/23/2013	50	1,196.30	1,211.79	(15.49)
NATIONAL GRID PLC NEW	1/29/2013	12/19/2013	65	4,169.03	3,602.30	566.73
NATIONAL GRID PLC NEW	2/25/2013	12/19/2013	4	256.56	218.44	38.12
NOVO NORDISK ADR DENMARK	1/29/2013	11/21/2013	25	4,312.30	4,588.86	(276.56)
NV ENERGY INC	1/29/2013	6/19/2013	531	12,484.81	9,956.25	2,528.56
SEALED AIR CORP NEW	1/29/2013	2/25/2013	181	3,902.47	3,357.53	544.94
STARWOOD HOTELS &	1/29/2013	8/27/2013	27	1,724.28	1,664.28	60.00
STATOIL ASA SPON ADR	1/29/2013	8/27/2013	350	7,650.38	9,221.28	(1,570.90)
STATOIL ASA SPON ADR	2/25/2013	8/27/2013	83	1,814.23	2,106.25	(292.02)
SYMANTEC CORP	1/29/2013	11/21/2013	277	6,529.64	6,045.75	483.89
TARGET CORP	1/29/2013	5/16/2013	44	3,076.73	2,692.22	384.51
TARGET CORP	1/29/2013	8/27/2013	87	5,507.33	5,323.25	184.08
TIME WARNER INC NEW	1/29/2013	5/16/2013	74	4,505.30	3,669.39	835.91
TRINITY RIV AUTH TX	2/21/2013	10/11/2013	40,000	40,332.00	40,683.10	(351.10)
UNITEDHEALTH GROUP INC	1/29/2013	2/25/2013	92	4,999.68	5,149.64	(149.96)
UNTD NAT FOODS INC	12/18/2013	12/26/2013	75	5,701.64	5,384.02	317.62
US TSY NOTE 00.375 %	2/27/2013	7/18/2013	40,000	40,103.12	40,101.56	1.56
US TSY NOTE 00.375 %	5/21/2013	7/18/2013	100,000	100,257.81	100,281.25	(23.44)
US TSY NOTE 00.375 %	5/21/2013	7/25/2013	200,000	200,460.92	200,562.50	(101.58)
US TSY NOTE 00.375 %	5/21/2013	7/31/2013	50,000	50,125.00	50,140.63	(15.63)
US TSY NOTE 00.375 %	5/21/2013	8/9/2013	150,000	150,357.42	150,421.88	(64.46)

blue moon fund, inc.
Charlottesville, Virginia

13-6034219

December 31, 2013

Form 990-PF, Part I (line 6a) & Part IV (line 1a)

Description	Date		Qty	Proceeds	Cost	Gain (Loss)
	Purchased	Date Sold				
US TSY NOTE 01.000 %	2/27/2013	6/12/2013	40,000	40,254.68	40,681.25	(426.57)
US TSY NOTE 01.000 %	5/21/2013	10/11/2013	65,000	65,291.99	65,938.91	(646.92)
US TSY NOTE 01.000 %	5/21/2013	6/12/2013	135,000	135,859.56	137,167.37	(1,307.81)
US TSY NOTE 01.000 %	5/21/2013	7/12/2013	200,000	200,578.12	203,210.92	(2,632.80)
US TSY NOTE 01.000 %	5/21/2013	8/9/2013	50,000	50,228.52	50,802.73	(574.21)
US TSY NOTE 01.000 %	5/21/2013	9/12/2013	50,000	49,775.39	50,802.73	(1,027.34)
VODAFONE GROUP PLC NEW	1/29/2013	5/16/2013	234	7,063.18	6,377.90	685.28
WABTEC INC	1/29/2013	5/16/2013	53	5,944.09	4,880.54	1,063.55
WABTEC INC	12/18/2013	12/26/2013	25	1,856.72	1,807.75	48.97
WELLS FARGO & CO NEW	1/29/2013	8/27/2013	134	5,538.54	4,708.33	830.21
WHOLE FOODS MARKET INC	1/29/2013	5/16/2013	54	5,536.87	5,192.75	344.12
3M CO	1/29/2013	8/27/2013	46	5,204.01	4,661.64	542.37
GS MEZZANINE PARTNERS V OFFSHORE LP	Varies	Varies		791,431.00	824,401.00	(32,970.00)
GS DISTRESSED OPPORTUNITIES FUND IV OFFSHORE LP	Varies	Varies		708,799.00	710,147.00	(1,348.00)
GS VINTAGE FUND V OFFSHORE LP	Varies	Varies		1,063,469.00	1,078,081.00	(14,612.00)
GS SELECT FUND II	Varies	Varies		-	(248.73)	248.73
GS INVESTMENT PARTNERS	Varies	Varies	915	180,540.67	98,699.65	81,841.02
GS WEST STREET PARTNERS	Varies	Varies	15,042	1,446,871.99	1,538,310.24	(91,438.25)
GS CORPORATE CREDIT	Varies	Varies	107,338	15,828,925.95	12,380,419.57	3,448,506.38
CISCO SYSTEMS 5.5% 02/22/16	07/24/2013	10/16/2013	175,000	194,229.40	196,538.36	(2,308.96)
COMCAST CORPORATION 5.9% 03/15/16	07/26/2013	10/16/2013	190,000	212,353.50	215,392.13	(3,038.63)
US TREASURY N/B 2.25% 03/31/16	07/23/2013	10/22/2013	235,000	245,575.00	246,083.81	(508.81)
US TREASURY INF IX 1.625% 1/15/15	07/23/2013	11/05/2013	195,000	246,085.31	249,016.97	(2,931.66)
US TREASURY INFL 1.875% 07/15/2015	07/23/2013	11/05/2013	195,000	246,760.65	249,978.77	(3,218.12)
US TREASURY 0.5% 04/15/15	07/23/2013	11/05/2013	225,000	247,667.67	249,368.88	(1,701.21)
BOSTON COMMON				22,606.00	-	22,606.00

blue moon fund, inc.
Charlottesville, Virginia

13-6034219

December 31, 2013

Form 990-PF, Part I (line 6a) & Part IV (line 1a)

Description	Date		Qty	Proceeds	Cost	Gain (Loss)
	Purchased	Date Sold				
ECOSYSTEMS INVESTMENT PARTNERS II, LP	Varies	Varies		(1,287.00)	-	(1,287.00)
ECOSYSTEMS INTEGRITY FUND	Varies	Varies		1,328,541.97	299,219.97	1,029,322.00
GENERATION IM ASIA FUND, LP	Varies	Varies		134,465.00	-	134,465.00
QWEST LITIGATION SETTLEMENT	Varies	Varies		496.00	-	496.00
TYCO LITIGATION SETTLEMENT	Varies	Varies		538.64	-	538.64
GLOBAL CROSSING LITIGATION SETTLEMENT	Varies	Varies		50.90	-	50.90
ADELPHIA LITIGATION SETTLEMENT	Varies	Varies		4,986.05	-	4,986.05
LUCENT LITIGATION SETTLEMENT	Varies	Varies		19.15	-	19.15
FIXED ASSETS DISPOSAL	Varies	Varies		-	1,282.93	(1,282.93)
TOTAL				\$ 26,097,745.10	\$ 20,994,806.19	\$ 5,102,938.91

BLUE MOON FUND, INC.
FORM 990PF 2013
FEIN: 13-6034219

Section 5

Form 990PF Statements Required by Reg.
§53.4945-5(d) Expenditure Responsibility Grants

BLUE MOON FUND, INC.
E.I.N. # 13-6034219
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** National Housing Trust – Enterprise Preservation Corporation
Washington, DC U.S.A.

(2) **Paid in Current Tax Year:** None

(3) **Total Paid:**

<u> \$1,250,000.00</u>	<u>paid on 10/24/2002</u>
\$1,250,000.00	

(4) **Purpose:** To support efforts to acquire and preserve the Garrett Square property for safe, decent and affordable housing for low-income persons and families.

(5) **Amount of Grant Spent by Grantee:** \$1,250,000

(6) **Diversion:**

To the knowledge of the Fund, and based on the reports furnished by the grantee to date (if any), no part of the grant funds have been used for any purposes other than those intended under this grant award.

(7) **Date of Report(s) Received from Grantee:**

Financial and Narrative Reports FYE 12/31/2002 – 1/12/2004
Financial and Narrative Reports FQE 03/31/2003 – 1/12/2004
Financial and Narrative Reports FQE 06/30/2003 - 1/12/2004
Financial and Narrative Reports FQE 09/30/2003 - 1/12/2004
Financial and Narrative Reports FQE 12/31/2003 – 1/29/2004
Financial and Narrative Reports FQE 03/31/2004 – 5/3/2004 & 11/2/2004
Financial and Narrative Reports FQE 06/30/2004 – 8/30/2004 & 11/2/2004
Financial and Narrative Reports FQE 09/30/2004 – 11/11/2004
Financial and Narrative Reports FQE 12/31/2004 – 3/30/2005
Financial and Narrative Reports FQE 12/31/2004 & 3/31/2005 requested – 6/28/2005
Financial and Narrative Reports FQE 12/31/2004, 3/31/2005 & 6/30/2005 requested – 9/5/2005
Financial and Narrative Reports FQE 12/31/2004, 3/31/2005, 6/30/2005, & 9/30/2005 requested – 1/10/2006 & 1/13/2006
Financial and Narrative Reports FQE 03/31/2005 – 1/22/2006
Financial and Narrative Reports FQE 06/30/2005 – 1/22/2006
Financial and Narrative Reports FQE 09/30/2005 – 1/22/2006
Financial and Narrative Reports FQE 12/31/2005 – 2/6/2006 & 4/16/2006
Financial and Narrative Reports FQE 03/31/2006 – 5/8/2006
Financial and Narrative Reports FQE 06/30/2006 – 8/08/2006 & 11/16/2006
Financial and Narrative Reports FQE 09/30/2006 – 11/3/2006 & 11/4/2006
Financial and Narrative Reports FQE 12/31/2006 – 2/8/2007 & 3/30/2007
Financial and Narrative Reports FQE 03/31/2007 – 5/2/2007
Financial and Narrative Reports FQE 06/30/2007 – 8/09/2007 & 11/2/2007

BLUE MOON FUND, INC.
E.I.N. # 13-6034219
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

Grantee: National Housing Trust – Enterprise Preservation Corporation
Washington, DC U.S.A.

(7) Date of Report(s) Received from Grantee:

Financial and Narrative Reports FQE 09/30/2007 – 11/2/2007
Financial and Narrative Reports FQE 12/31/2007 – 2/25/2008 & 3/26/2008
Financial and Narrative Reports FQE 03/31/2008 – 5/5/2008
Financial and Narrative Reports FQE 06/30/2008 – 8/11/2008 & 11/6/2008
Financial and Narrative Reports FQE 9/30/2008 – 11/6/2008
Financial and Narrative Reports FQE 12/31/2008 – 2/24/2009 & 3/31/2009
Financial and Narrative Reports FQE 3/31/2009 – 5/13/2009
Financial and Narrative Reports FQE 6/30/09 – 8/13/2009 & 11/20/2009
Financial and Narrative Reports FQE 9/30/09 – 10/29/2009
Financial and Narrative Reports FQE 12/31/09 – 1/28/2010 & 3/22/2010
Financial and Narrative Reports FQE 3/31/2010 – 5/13/2010
Financial and Narrative Reports FQE 6/30/2010 – 8/10/2010
Financial and Narrative Reports FQE 9/30/10 – 11/2/2010
Financial and Narrative Reports FQE 12/31/10 – 2/7/2011 & 3/11/2011
Financial and Narrative Reports FQE 3/31/2011 – 5/11/2011
Financial and Narrative Reports FQE 6/30/2011 – 8/5/2011 & 11/15/2011
Financial and Narrative Reports FQE 9/30/2011 – 11/15/2011
Financial and Narrative Reports FQE 12/31/2011 – 2/29/2012 & 3/9/2012
Financial and Narrative Reports FQE 3/31/2012 – 5/1/2012
Financial and Narrative Reports FQE 6/30/2012 – 10/1/2012 & 12/1/2012
Financial and Narrative Reports FQE 9/30/2012 – 11/13/2012
Financial and Narrative Reports FQE 12/31/2012 – 3/7/2013 & 4/4/2013
Financial and Narrative Reports FQE 3/31/2013 – 5/10/2013
Financial and Narrative Reports FQE 6/30/2013 – 9/11/2013 & 2/10/2014
Financial and Narrative Reports FQE 9/30/2013 – 11/15/2013
Financial and Narrative Reports FQE 12/31/2013 – 2/3/2014
Financial and Narrative Reports FQE 3/31/2014 – 5/12/2014
Financial and Narrative Reports FQE 6/30/2014 – 8/1/2014

(8) Verification (Optional and when applicable)

The Blue Moon Fund reviewed the Grant Report(s) noted in #7 above, but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

BLUE MOON FUND, INC.
E.I.N. # 13-6034219
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Asia Pacific Fuel Cell Technologies, Ltd.
Neihu, Taipei Taiwan

(2) **Paid in Current Tax Year:** None

(3) **Total Paid:**

\$1,750,000.00	paid on 12/20/2002
875,000.00	paid on 04/20/2004
437,500.00	paid on 12/01/2005
<u>437,500.00</u>	<u>paid on 05/08/2006</u>

\$3,500,000.00

(4) **Purpose:** To support a demonstration program of fuel cell-based zero emissions scooters which includes development and implementation of mass production technologies to provide access to the fuel cell-based zero emissions scooters to the general public, conduct further research and development activities on technologies associated with the production of fuel cell-based zero emissions scooters and to carry out various exhibitions and educational programs aimed at educating the general public and policymakers on the benefits of fuel cell-based zero emissions scooters and associated technologies.

(5) **Amount of Grant Spent by Grantee:** \$3,500,000.00

(6) **Diversion:**

To the knowledge of the Fund, and based on the reports furnished by the grantee to date (if any), no part of the grant funds have been used for any purposes other than those intended under this grant award.

(7) **Date of Report(s) Received from Grantee:**

Financial and Narrative Reports FYE 12/31/2002 – 03/25/2003
Financial and Narrative Reports FQE 03/31/2003 – 05/14/2003
Financial and Narrative Reports FQE 06/30/2003 - 08/16/2003
Financial and Narrative Reports FQE 09/30/2003 - 11/17/2003
Financial and Narrative Reports FQE 12/31/2003 – 02/17/2004
Financial and Narrative Reports YE 12/31/2003 - 04/06/2004
Financial and Narrative Reports FQE 3/31/2004 - 4/19/2004
Financial and Narrative Reports FQE 6/30/2004 – 07/19/2004
Financial and Narrative Reports FQE 9/30/2004 – 10/09/2004
Financial and Narrative Reports FQE 12/31/2004 – 02/22/2005
Financial and Narrative Reports FQE 3/31/2005 - 5/6/2005 & 5/15/2005
Financial and Narrative Reports FQE 6/30/2005 – 8/11/2005, 8/13/2005, & 8/15/2005
Financial and Narrative Reports FQE 9/30/2005 – 11/14/2005 & 11/30/2005
Financial and Narrative Reports FQE 12/31/2005 – 2/5/2006, 2/14/2006, 2/21/2006, & 3/31/2006

BLUE MOON FUND, INC.
E.I.N. # 13-6034219
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

Grantee: Asia Pacific Fuel Cell Technologies, Ltd.
Neihu, Taipei Taiwan

Financial and Narrative Reports FQE 3/31/2006 - 5/2/2006, 5/8/2006 & 5/17/2006
Financial and Narrative Reports FQE 6/30/2006 - 7/24/2006 & 8/20/2006
Financial and Narrative Reports FQE 9/30/2006 - 11/3/2006, 11/10/2006 & 12/01/2006
Financial and Narrative Reports FQE 12/31/2006 - 3/29/2006, 4/19/2007 & 4/24/2007
Financial and Narrative Reports FQE 3/31/2007 - 4/19/2007, 4/24/2007 & 6/27/2007
Financial and Narrative Reports FQE 6/30/2007 - 2/21/2008 & 3/4/2008
Financial and Narrative Reports FQE 9/30/2007 - 11/12/2007 & 3/4/2008
Financial and Narrative Reports FQE 12/31/2007 - 2/21/2008, 3/4/2008 & 5/19/2008
Financial and Narrative Reports FQE 3/31/2008 - 5/15/2008
Financial and Narrative Reports FQE 6/30/2008 - 8/19/2008
Financial and Narrative Reports FQE 9/30/2008 - 11/11/2008
Financial and Narrative Reports FQE 12/31/2008 - 2/18/2009 & 5/18/2009
Financial and Narrative Reports FQE 3/31/2009 - 5/18/2009
Financial and Narrative Reports FQE 6/30/2009 - 8/19/2009
Financial and Narrative Reports FQE 9/30/2009 - 11/15/2009
Financial and Narrative Reports FQE 12/31/2009 - 3/30/2010
Financial and Narrative Reports FQE 3/31/2010 - 5/10/2010
Financial and Narrative Reports FQE 6/30/2010 - 8/12/2010
Financial and Narrative Reports FQE 9/30/2010 - 11/11/2010
Financial and Narrative Reports FQE 12/31/2010 - 2/16/2011
Financial and Narrative Reports FQE 3/31/2011 - 5/15/2011
Financial and Narrative Reports FQE 6/30/2011 - 8/15/2011
Financial and Narrative Reports FQE 9/30/2011 - 11/15/2011
Financial and Narrative Reports FQE 12/31/2011 - 2/14/2012
Financial and Narrative Reports FQE 3/31/2012 - 5/15/2012
Financial and Narrative Reports FQE 6/30/2012 - 8/15/2012
Financial and Narrative Reports FQE 9/30/2012 - 11/15/2012
Financial and Narrative Reports FQE 12/31/2012 - 2/15/2013
Financial and Narrative Reports FQE 3/31/2013 - 5/15/2013
Financial and Narrative Reports FQE 6/30/2013 - 8/9/2013
Financial and Narrative Reports FQE 9/30/2013 - 11/15/2013
Financial and Narrative Reports FQE 12/31/2013 - 2/20/2014
Financial and Narrative Reports FQE 3/31/2014 - 5/15/2014
Financial and Narrative Reports FQE 6/30/2014 - 8/13/2014

(8) Verification (Optional and when applicable)

The Blue Moon Fund reviewed the Grant Report(s) noted in #7 above, but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

BLUE MOON FUND, INC.
E.I.N. # 13-6034219
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Transition Energy International, Ltd.
Annapolis, MD U.S.A

(2) **Paid in Current Tax Year:** None

(3) **Total Paid:**

\$165,000.00 paid on 11/21/2005

\$165,000.00

(4) **Purpose:** To support a demonstration project that utilizes clean and efficient on-site cogeneration or trigeneration technologies to mitigate the adverse impacts of energy use in China and to educate the public on the feasibility of applying these technologies to achieve positive environmental impacts on a cost-efficient basis through the dissemination of the results of the demonstration project.

(5) **Amount of Grant Spent by Grantee:** \$165,000.00

(6) **Diversion:**

To the knowledge of the Fund, and based on the reports furnished by the grantee to date (if any), no part of the grant funds have been used for any purposes other than those intended under this grant award.

(7) **Date of Report(s) Received from Grantee:**

Narrative and Financial Reports FYE 12/31/2006 – 11/9/2006
Narrative and Financial Reports FYE 12/31/2007 – 12/6/2007
Narrative and Financial Reports FYE 12/31/2008 – 1/26/2009
Narrative and Financial Reports FYE 12/31/2009 – 2/23/2010
Narrative and Financial Reports FYE 12/31/2010 – 4/11/2011
Narrative and Financial Reports FYE 12/31/2011 – 5/21/2012
Narrative and Financial Reports FYE 12/31/2012 – 2/19/2013
Narrative and Financial Reports FYE 12/31/2013 – 3/27/2014

(8) **Verification (Optional and when applicable)**

The Blue Moon Fund reviewed the Grant Report(s) noted in #7 above, but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

BLUE MOON FUND, INC.
E.I.N. # 13-6034219
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: Cambodian Center for Study and Development in Agriculture (CEDAC)

(2) Paid in Current Tax Year: None

(3) Total Paid:

\$270,000.00 paid on 2/12/2009
(45,000.00) repaid on 10/1/2009
(45,000.00) repaid on 10/31/2009
90,000.00 paid on 2/1/2010
7,800.00 accrued interest converted to principal on 4/1/2011
<hr/>
\$277,800.00

(4) Purpose: To support a demonstration program to educate the public about the overall effectiveness of certain specific farming techniques by securing commitments from poor farmers in Cambodia to adopt "System of Rice Intensification" (SRI) techniques and follow the principles of organic agriculture, thereby also helping to alleviate poverty and distress, combat community deterioration, conserve and protect the environment, and promote nutrition and public health.

(5) Amount of Grant Spent by Grantee: \$277,800.00

(6) Diversion: To the knowledge of the Fund, and based on the reports furnished by the grantee to date (if any), no part of the grant funds have been used for any purposes other than those intended under this grant award.

(7) Date of Report(s) Received from Grantee:

Interim Narrative and Financial Reports PE 8/31/2009 – received 10/8/2009
Narrative and Financial Reports YE 12/31/2009 – received 12/14/2010
Interim Narrative Reports PE 8/30/2011 – received 8/24/2011
Interim Narrative Reports PE 12/31/2011 – received written and oral December 2011
Interim Narrative Reports PE 5/15/2012 – received partial written report May 2012, requested full written reporting during site visits and through email correspondence on multiple occasions and on-going.
Interim Narrative Reports PE 12/31/2012 – received oral reporting during site visits June 2012 & June 2013, requested full written reporting during site visits and through email correspondence on multiple occasions and on-going.
Interim Narrative Reports PE 6/30/2013 – received orally during site visit – September 2013, requested full written reporting during site visits and through email correspondence on multiple occasions and on-going.
Interim Narrative Report YE 12/31/2013 – partial reporting 1/20/2014
Interim Narrative Report PE 3/31/2014 – partial reporting 4/9/2014

BLUE MOON FUND, INC.
E.I.N. # 13-6034219
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

Grantee: Cambodian Center for Study and Development in Agriculture (CEDAC)

(7) Date of Report(s) Received from Grantee:

Interim Narrative Report PE 8/20/2014 – partial reporting 8/21/2014

(8) Verification (Optional and when applicable):

The Blue Moon Fund reviewed the Grant Report(s) noted in #7 above, but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

BLUE MOON FUND, INC.
E.I.N. # 13-6034219
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Asia Network for Sustainable Agriculture and Bioresources
Kathmandu, Nepal

(2) **Paid in Current Tax Year:** none

(3) **Total Paid:**

	\$82,000.00 paid on 1/31/2012
	\$82,000.00

(4) **Purpose:** To support the further expansion of a Nepal Center of Excellence in Rural Development that will provide concrete examples and methods for conserving biodiversity and pro poor economic development.

(5) **Amount of Grant Spent by Grantee:** \$82,000.00

(6) **Diversion:** To the knowledge of the Fund, and based on the reports furnished by the grantee to date (if any), no part of the grant funds have been used for any purposes other than those intended under this grant award.

(7) **Date of Report(s) Received from Grantee:**

Interim narrative and financial reports FYE 12/31/2012—reminder 4/7/2013, received 4/9/2013;
revisions requested 4/10/2013 and 4/29/2013, received 5/1/2013
Final narrative and financial reports FYE 12/31/2013 – received 4/1/2014

(8) **Verification (Optional and when applicable):** The Blue Moon Fund reviewed the Grant Report(s) noted in #7 above, but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

CLOSED GRANT – this grant is now officially closed on the books of the Fund as all required reports have been received and all grant monies have either been spent by the grantee for the above referenced purpose, or returned to the foundation.

BLUE MOON FUND, INC.
E.I.N. # 13-6034219
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: Asociación Boliviana para la Investigación y Conservación
de Ecosistemas Andino-Amazonicos
La Paz, Bolivia

(2) Paid in Current Tax Year: none

(3) Total Paid:

\$100,000.00	paid on 6/25/2012
\$100,000.00	

(4) Purpose: To support conservation of the Bolivian Andes-Amazon region by creating a comprehensive plan for key stakeholders addressing challenges and solutions for maintaining biodiversity and generating sustainable livelihood opportunities in the area.

(5) Amount of Grant Spent by Grantee: \$100,000

(6) Diversion:

To the knowledge of the Fund, and based on the reports furnished by the grantee to date (if any), no part of the grant funds have been used for any purposes other than those intended under this grant award.

(7) Date of Report(s) Received from Grantee:

Interim Narrative and Financial Reports YE 12/31/2012 – Requested 3/30/2013, received 4/3/2013, attestation requested 4/9/2013 and 6/13/2013, received attestation 6/14/2013
Final Narrative and Financial Report FYE 12/31/2013 – received 3/27/2014, attestation requested 3/27/2014, received attestation 3/28/2014

(8) Verification (Optional and when applicable): The Blue Moon Fund reviewed the Grant Report(s) noted in #7 above, but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

CLOSED GRANT – this grant is now officially closed on the books of the Fund as all required reports have been received and all grant monies have either been spent by the grantee for the above referenced purpose, or returned to the foundation.

BLUE MOON FUND, INC.
E.I.N. # 13-6034219
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: Beijing Water Source Conservation Foundation
Beijing, China

(2) Paid in Current Tax Year: \$39,000

(3) Total Paid:

<u>\$39,000.00</u>	<u>paid on 5/13/2013</u>
\$39,000.00	

(4) Purpose: To support a comprehensive research study on the current status of eco-agriculture in China.

(5) Amount of Grant Spent by Grantee: \$38,889

(6) Diversion: To the knowledge of the Fund, and based on the reports furnished by the grantee to date (if any), no part of the grant funds have been used for any purposes other than those intended under this grant award.

(7) Date of Report(s) Received from Grantee:

Interim Narrative and Financial Reports YE 12/31/2013 – Requested 4/7/14, received 5/4/14, attestation requested 4/9/2013, received attestation 5/4/14.

(8) Verification (Optional and when applicable): The Blue Moon Fund reviewed the Grant Report(s) noted in #7 above, but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

BLUE MOON FUND, INC.
E.I.N. # 13-6034219
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RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Capital-E, LLC
Washington, DC, USA

(2) **Paid in Current Tax Year:** \$80,000

(3) **Total Paid:**

\$100,000.00	paid on 5/30/2012
\$80,000.00	paid on 3/12/2013
<hr/>	
\$180,000.00	

(4) **Purpose:** To support energy efficiency investments through implementation of a new mechanism in California's carbon market.

(5) **Amount of Grant Spent by Grantee:** \$179,997

(6) **Diversion:** To the knowledge of the Fund, and based on the reports furnished by the grantee to date (if any), no part of the grant funds have been used for any purposes other than those intended under this grant award.

(7) **Date of Report(s) Received from Grantee:**

Interim Narrative Reports FYE 12/31/2012—Received 3/1/2013.

Interim Financial Reports FYE 12/31/2012—Received 2/4/2013, revisions requested 3/5/2013, received 3/6/2013.

Interim Narrative Reports FYE 12/31/13 – Requested 4/10/14, received 4/11/14.

Interim Financial Reports FYE 12/31/13 – Requested 4/10/14, received 4/18/14, requested revisions and revised attestation 5/13/14, received report and attestation 5/28/14.

(8) **Verification (Optional and when applicable):** The Blue Moon Fund reviewed the Grant Report(s) noted in #7 above, but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

BLUE MOON FUND, INC.
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RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Centro de Apoio Socio-Ambiental - CASA
Sao Paulo, Brazil

(2) **Paid in Current Tax Year:** \$41,000.00

(3) **Total Paid:**

\$ 41,000.00 paid on 5/13/2013
\$ 41,000.00

(4) **Purpose:** To support blue moon's biodiversity conservation efforts in the Southwest Amazon by identifying conservation partners in the state of Acre in Western Brazil and to develop potential new projects in the region.

(5) **Amount of Grant Spent by Grantee:** \$41,000.00

(6) **Diversion:** To the knowledge of the Fund, and based on the reports furnished by the grantee to date (if any), no part of the grant funds have been used for any purposes other than those intended under this grant award.

(7) **Date of Report(s) Received from Grantee:**

Final Narrative & Financial Reports FYE 12/31/2013 —Received 4/4/2014

(8) **Verification (Optional and when applicable):** The Blue Moon Fund reviewed the Grant Report(s) noted in #7 above, but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

CLOSED GRANT – this grant is now officially closed on the books of the Fund as all required reports have been received and all grant monies have either been spent by the grantee for the above referenced purpose, or returned to the foundation.

BLUE MOON FUND, INC.
E.I.N. # 13-6034219
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Centro de Conservación, Investigación y Manejo de Áreas Naturales - Cordillera Azul
Lima, Peru

(2) **Paid in Current Tax Year:** \$200,000

(3) **Total Paid:**

\$120,000.00 paid on 2/19/2013

\$ 80,000.00 paid on 9/23/2013

\$200,000.00

(4) **Purpose:** To support the protection of the Cordillera Azul National Park by developing a community-based management process which uses an economic ecologic micro zoning process and incorporates all stakeholders.

(5) **Amount of Grant Spent by Grantee:** \$120,323

(6) **Diversion:** To the knowledge of the Fund, and based on the reports furnished by the grantee to date (if any), no part of the grant funds have been used for any purposes other than those intended under this grant award.

(7) **Date of Report(s) Received from Grantee:**

Interim Narrative & Financial Reports FYE 12/31/2013 - Received 4/10/14 in Spanish, 4/11/14 requested revised report, received 4/15 & 4/28/14, attestation received 5/12/14.

(8) **Verification (Optional and when applicable):** The Blue Moon Fund reviewed the Grant Report(s) noted in #7 above, but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

BLUE MOON FUND, INC.
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ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: China Wildlife Conservation Association

(2) Paid in Current Tax Year: \$250,000

(3) Total Paid:

\$250,000.00 paid on 7/11/2013
\$250,000.00

(4) Purpose: To support blue moon fund's conservation programs in China by improving the efficiency and effectiveness of wildlife crime law enforcement and foster public support and engagement in this endeavor.

(5) Amount of Grant Spent by Grantee: \$32,296.00

(6) Diversion: To the knowledge of the Fund, and based on the reports furnished by the grantee to date (if any), no part of the grant funds have been used for any purposes other than those intended under this grant award.

(7) Date of Report(s) Received from Grantee:

Interim narrative and financial reports FYE 12/31/2013—reminder 4/10/14 & 4/21/14, narrative report received 5/8/14; financial report received 5/16/14, attestation requested 5/19/14, attestation received 6/9/14

(8) Verification (Optional and when applicable): The Blue Moon Fund reviewed the Grant Report(s) noted in #7 above, but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

NOTE: Subsequent to the awarding of this grant, the grantee has received a foreign equivalency determination. This grant is no longer expenditure responsibility.

BLUE MOON FUND, INC.
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ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Coral Cay Conservation Trust
London, England, UK

(2) **Paid in Current Tax Year:** \$75,000.00

(3) **Total Paid:**

\$37,500.00 paid on 4/13/2012
\$37,500.00 paid on 11/27/2012
\$37,500.00 paid on 6/24/2013
\$37,500.00 paid on 10/28/2013
\$150,000.00

(4) **Purpose:** To support the establishment and management of a Marine Protected Area in Koh Rong and Koh Rong Samloem Islands, Cambodia with a focus on Payment for Ecological Services models.

(5) **Amount of Grant Spent by Grantee:** \$146,650.00

(6) **Diversion:** To the knowledge of the Fund, and based on the reports furnished by the grantee to date (if any), no part of the grant funds have been used for any purposes other than those intended under this grant award.

(7) **Date of Report(s) Received from Grantee:**

Interim Financial and Narrative Reports FYE 3/31/2011 and 3/31/2012 – No reports needed for FY11 and FY12 because first grant payment not released until 4/13/2012 and first ER report not due until 7/1/2013.

Interim Financial and Narrative Reports FYE 3/31/2013 – Received 4/15/2013; revisions requested 5/6/2013 and received 5/7/2013.

Final Financial and Narrative Report FYE 3/31/2014 – Reminder sent 7/16/14, 8/14/14 & 9/15/2014; Received 9/22/14 & 10/7/2014

(8) **Verification (Optional and when applicable):** The Blue Moon Fund reviewed the Grant Report(s) noted in #7 above, but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

CLOSED GRANT – this grant is now officially closed on the books of the Fund as all required reports have been received and all grant monies have either been spent by the grantee for the above referenced purpose, or returned to the foundation.

BLUE MOON FUND, INC.

E.I.N. # 13-6034219

ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: Groupe Energies Renouvelables, Environnement et Solidarités
Phnom Penh, Cambodia

(2) Paid in Current Tax Year: none

(3) Total Paid:
\$97,000.00 paid on 2/2/2012
\$97,000.00

(4) Purpose: To support the scale-up, commercialization, and carbon finance of more efficient cookstoves in rural Cambodia in order to significantly reduce carbon emissions from millions of people every day.

(5) Amount of Grant Spent by Grantee: \$64,522.00

(6) Diversion: To the knowledge of the Fund, and based on the reports furnished by the grantee to date (if any), no part of the grant funds have been used for any purposes other than those intended under this grant award.

(7) Date of Report(s) Received from Grantee:

Interim Financial and Narrative Reports FYE 3/31/2012—received 7/24/12. Revision to financial report requested 7/28/2012 and received 8/2/2012.

Interim Financial and Narrative Reports FYE 3/31/2013—reminders sent 4/7/2013, 8/15/2013, 9/15/2013, 12/3/13, reports received 1/29/14. Requested attestation 1/29/14, attestation received 2/5/14.

(8) Verification (Optional and when applicable)

The Blue Moon Fund reviewed the Grant Report(s) noted in #7 above, but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

BLUE MOON FUND, INC.
E.I.N. # 13-6034219
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Helvetas
Zurich, Switzerland

(2) **Paid in Current Tax Year:** None.

(3) **Total Paid:**
\$80,000.00 paid on 1/13/2009
\$80,000.00

(4) **Purpose:** To support the livelihoods of upland regions by bringing clean, affordable and reliable energy to remote communities in Lao PDR and by supporting productive uses of electricity consistent with conservation.

(5) **Amount of Grant Spent by Grantee:** \$80,000

(6) **Diversion:**
To the knowledge of the Fund, and based on the reports furnished by the grantee to date (if any), no part of the grant funds have been used for any purposes other than those intended under this grant award.

(7) **Date of Report(s) Received from Grantee:**
Progress Narrative and Financial Reports FYE 12/31/2009 – requested 3/1/2010, received 4/22/10, requested modifications 4/22/2010, received revised report 6/21/2010
Progress Narrative and Final Financial Reports FYE 12/31/2010—requested 3/2/2011, received 3/30/2011.
Progress Narrative Report on Technology Demonstration FYE 12/31/2011—requested 7/15/12, received 7/23/12.
Progress Narrative Report on Technology Demonstration FYE 12/31/2012—requested 4/7/13, 5/7/13, 6/4/13, 6/11/13, 6/14/13, and 6/18/13; received on 8/21/2013; requested clarification on 9/5/2013, received 9/5/2013
Progress Narrative Report on Technology Demonstration FYE 12/31/2013 – received 3/31/2014.

CLOSED GRANT – this grant is now officially closed on the books of the Fund as all required reports have been received and all grant monies have either been spent by the grantee for the above referenced purpose, or returned to the foundation.

BLUE MOON FUND, INC.
E.I.N. # 13-6034219
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: People and Nature Reconciliation
Hanoi, Vietnam

(2) Paid in Current Tax Year: None

(3) Total Paid:

\$152,500.00 paid on 8/15/2008
\$152,500.00

(4) Purpose: To support development of integrated market access in support of nature conservation especially for communities in the buffer zone of Hang Kia-Pa Co Nature Reserve.

(5) Amount of Grant Spent by Grantee: \$152,500

(6) Diversion: To the knowledge of the Fund, and based on the reports furnished by the grantee to date (if any), no part of the grant funds have been used for any purposes other than those intended under this grant award.

(7) Date of Report(s) Received from Grantee:

Interim Financial Reports FYE 12/31/2008 – Requested 6/9/2009 & 8/4/2009, received 8/31/2009. requested revision 9/2/2009, received 9/4/2009

Interim Narrative Reports FYE 12/31/2008 – Requested 6/9/2009 & 8/4/2009, received 8/17/2009

Interim Financial and Narrative Reports FYE 12/31/2009 – Requested 1/20/2010 & 6/23/2010, received 7/20/2010, requested additional information 8/4/2010, 11/16/2010, 5/26/2011, 7/15/2012, 5/20/2013, 5/23/13, 6/4/13, 6/10/2013, and 6/17/13 received 6/18/13 & 6/24/13

Interim Narrative Reports FYE 12/31/2010—Requested 5/26/2011, 8/26/2011, 7/15/12, 5/20/2013, 5/23/13, 6/4/13, 6/10/2013, and 6/17/13. Received 6/18/2013.

Interim Financial Reports FYE 12/31/2010—Requested 5/26/2011, 8/26/2011, 7/15/12, 5/20/2013, 5/23/13, 6/4/13, 6/10/2013, and 6/17/13. Received 6/24/2013. Revisions requested 6/25/2013, reminder sent 10/1/2013 and 6/10/2014, reports and corresponding attestations received 6/19/14.

Final Narrative and Financial Report FYE 12/31/2011 – Requested 6/25/13, 10/1/13, & 6/10/14, received 6/19/14

(8) Verification (Optional and when applicable): The Blue Moon Fund reviewed the Grant Report(s) noted in #7 above, but did not undertake any verification of the grantee's reports as

BLUE MOON FUND, INC.
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STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: People and Nature Reconciliation
Hanoi, Vietnam

(8) Verification (Optional and when applicable): there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

CLOSED GRANT – this grant is now officially closed on the books of the Fund as all required reports have been received and all grant monies have either been spent by the grantee for the above referenced purpose, or returned to the foundation.

BLUE MOON FUND, INC.
E.I.N. # 13-6034219
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Shan Shui Conservation Center
Beijing, China

(2) **Paid in Current Tax Year:** None

(3) **Total Paid:**

\$148,500.00	paid on 8/9/2010
(\$17,998.00)	repaid on 4/1/2014
<hr/>	
\$130,502.00	

(4) **Purpose:** To support China's forest protection program by promoting the conservation incentive agreement model as a vehicle for the distribution of government eco-compensation funds.

(5) **Amount of Grant Spent by Grantee:** \$130,502.00

(6) **Diversion:** To the knowledge of the Fund, and based on the reports furnished by the grantee to date (if any), no part of the grant funds have been used for any purposes other than those intended under this grant award.

(7) **Date of Report(s) Received from Grantee:**

Interim Narrative and Financial Reports for FYE 12/31/2010—requested 3/1/2011, 5/26/2011, 8/29/2011. Received 1/18/2012. Attestation requested 1/18/2012, 7/15/2012, 8/28/2012, and 4/16/2013. Received 4/16/2013. Revisions requested 4/24/2013. Received 5/5/2013.

Interim Narrative and Financial Reports for FYE 12/31/2011—requested 1/19/2012 and 7/15/2012. Received 7/23/2012. Revisions and attestation requested 8/28/2012 and 4/16/2013. Received 4/16/2013. Revisions requested 4/24/2013. Received 5/5/2013.

Interim Narrative and Financial Reports for FYE 12/31/2012—requested 4/16/2013. Received 4/16/2013. Revisions requested 4/24/2013. Revisions requested 4/24/2013. Received 5/5/2013.

Final Financial Report FYE 12/31/13 – received 3/7/14.

(8) **Verification (Optional and when applicable)**

The Blue Moon Fund reviewed the Grant Report(s) noted in #7 above, but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

BLUE MOON FUND, INC.
E.I.N. # 13-6034219
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: Shan Shui Conservation Center
Beijing, China

CLOSED GRANT – this grant is now officially closed on the books of the Fund as all required reports have been received and all grant monies have either been spent by the grantee for the above referenced purpose, or returned to the foundation.

BLUE MOON FUND, INC.
E.I.N. # 13-6034219
ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Sociedad Peruana de Ecodesarrollo
Lima, Peru

(2) **Paid in Current Tax Year:** \$154,500.00

(3) **Total Paid:**

	\$154,500.00	paid on 2/25/2013
	\$154,500.00	

(4) **Purpose:** To support a detailed analysis of national and regional level agro-energy policies in the Peruvian Amazon while continuing to implement actions for monitoring, prevention and mitigation of impacts of biofuel crops in this region.

(5) **Amount of Grant Spent by Grantee:** 154,500.000

(6) **Diversion:**

To the knowledge of the Fund, and based on the reports furnished by the grantee to date (if any), no part of the grant funds have been used for any purposes other than those intended under this grant award.

(7) **Date of Report(s) Received from Grantee:**

Progress Financial and Narrative Report PE 6/30/13 – received 7/17/13

Final Financial and Narrative Report FYE 12/31/13 – received 2/8/14, attestation requested 2/10/14, attestation received 4/11/14.

(8) **Verification (Optional and when applicable)**

The Blue Moon Fund reviewed the Grant Report(s) noted in #7 above, but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

CLOSED GRANT – this grant is now officially closed on the books of the Fund as all required reports have been received and all grant monies have either been spent by the grantee for the above referenced purpose, or returned to the foundation.

BLUE MOON FUND, INC.
FORM 990PF 2013
FEIN: 13-6034219

Section 6

Information about Officers, Directors, Trustees,
and Foundation Managers

blue moon fund, inc.
Charlottesville, Virginia

13-6034219

December 31, 2013

Form 990PF, Part VIII

Officers, directors, trustees (p. 6, Part VIII, line 1):

Name & Address	Title	Hrs/wk	Compensation	Health, Dental, Life & Disab. Ins.	Contributions to Employee Benefit plans	Expense account - other allow.
Mrs. Diane Edgerton Miller c/o Blue Moon Fund, Inc. 222 W. South Street Charlottesville, Virginia 22902	President, CEO & Trustee	30	\$ 190,099	\$ 10,432	\$ 26,506	none
Mr. Ethan A. Miller c/o Blue Moon Fund, Inc. 222 W. South Street Charlottesville, Virginia 22902	Secretary & Trustee	1	-	-	none	none
Mr. Zachary Miller c/o Blue Moon Fund, Inc. 222 W. South Street Charlottesville, Virginia 22902	Trustee	1	-	-	none	none
TOTALS			\$ 190,099	\$ 10,432	\$ 26,506	\$ -

BLUE MOON FUND, INC.
FORM 990PF 2013
FEIN: 13-6034219

Section 7

Grants and Contributions Paid This Year

blue moon fund, Inc
Charlottesville, VA

December 31, 2013

Form 990-PF, Part XV

13-6034219

Grants and Contributions Paid this year (p. 10, Part XV, Line 3a)

<u>Recipient</u>	<u>Purpose of the Grant</u>	<u>Paid during the year</u>
Funding to Individuals		
U Win Aung Bahan T.S. Yangon Union of Myanmar NC - Individual grant made pursuant to IRS approved individual grant guidelines There is no relationship of the donee if related by blood, marriage, adoption or employment to any disqualified person	To support blue moon's biodiversity conservation in Myanmar by disseminating information to relevant stakeholders, providing education on a wide range of issues to key environmental leaders and integrating different actors across different sectors with shared interests in pursuing sustainable economic and environmental development.	\$ 85,000
Funding to Organizations		
African American Teaching Fellows of Charlottesville/Albemarle Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	To support the creation and operations of the Teacher-Leader Institute,	\$ 30,000
Alzheimer's Association Central & Western Virginia Chapter Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support,	\$ 68,000
Amazon Center for Environmental Education and Research Foundation West Chester PA U.S.A. PC - 501(c)(3) organization - public charity	To support the establishment of the first water quality baseline following the construction of the Interoceanic Highway from Cusco to Puerto Maldonado,	\$ 141,000
Amazon Conservation Association Washington DC U.S.A. PC - 501(c)(3) organization - public charity	To support scale-up work in promoting biodiversity conservation, sustainable agriculture, ecotourism and other economic opportunities as an integrated regional system within the Manu Biosphere Reserve.	\$ 506,500
Amazon Watch San Francisco CA U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's biodiversity conservation initiative in northern Peru and Ecuador by strengthen the capacity of affected indigenous communities to advocate for their rights within the decision-making processes relating to oil & gas development and improve the oil & gas industry's social and environmental policies and practices	\$ 50,000
American Bird Conservancy The Plains VA U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon's biodiversity conservation initiative in Latin America by supporting the collaboration between American Bird Conservancy and its international conservation partners to complete and share lessons learned on sustainability projects within key reserves, create incentives for sustainability web applications, educate tour guides on conservation and engage clients in supporting conservation projects and expand marketing for ecotourism and other sustainability projects in key reserves.	\$ 130,000
America's Wetland Foundation New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support private landowner engagement in regional organizations and initiatives that directly impact the wetlands of the Louisiana Delta.	\$ 50,000
ANAI, Inc. San Jose Costa Rica PC - 501(c)(3) organization - public charity	To support biodiversity conservation in the "Greater Talamanca" region of the Mesoamerican isthmus by mapping rivers and streams in the area and including current conditions of aquatic life and identifying potential threats to their continued health.	\$ 100,000
Appalachian Voices Boone NC U.S.A. PC - 501(c)(3) organization - public charity	To support solutions-based opportunities that simultaneously defend vital water resources and advance energy efficiency by implementing the Appalachian Water Watch program, a citizen water monitoring project, and to assist Electric Membership Cooperatives to institute Energy Efficiency financing programs in rural Appalachia.	\$ 75,000
Appalshop Whitesburg KY U.S.A. PC - 501(c)(3) organization - public charity	To support collaboration among groups working on Appalachian transition issue to help strengthen positive, collective, and strategic communications to building support for sustainable economic development policies and practices in Appalachia.	\$ 93,000
Asia Network for Sustainable Agriculture and Bioresources Kathmandu Nepal NC - 501(c)(3) organization foreign equivalent - public charity	To support the development and dissemination of the "Ecosystem-based Commercial Agriculture (ECA)" demonstration model in Nepal.	\$ 133,500

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Grants and Contributions Paid this year (p. 10, Part XV, Line 3a)

<u>Recipient</u>	<u>Purpose of the Grant</u>	<u>Paid during the year</u>
Asociación Amazónicas por la Amazonia - AMPA Moyobamba San Martín Peru NC - 501(c)(3) organization foreign equivalent - public charity	To support blue moon fund's biodiversity conservation initiative in the Peruvian Amazon by expanding the regional protected area network and the community's capacity to promote community conservation initiatives.	\$ 97,500
Asociación Peruana para la Conservación de la Naturaleza Lima Peru NC - 501(c)(3) organization foreign equivalent - public charity	To support the development, expansion and improved management of the regional protected area system in Amazonas state of Peru.	\$ 120,000
Baltimore Educational Scholarship Trust Baltimore MD U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 10,000
Beijing Water Source Conservation Foundation Beijing China NC - Foreign organization - Expenditure responsibility	To support a comprehensive research study on the current status of eco-agriculture in China.	\$ 39,000
Breakthrough Technologies Institute Washington DC U.S.A. PC - 501(c)(3) organization - public charity	To support the examination of the best fuel cell and plug-in electric technologies by comparing the performance, convenience, capability, customer experience and range of emissions to stimulating a dialogue on the issues surrounding the transition to electric drive scooters.	\$ 106,500
Building Goodness Foundation Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's biodiversity conservation initiatives by providing safety and security training to individuals who will lead teams of volunteers on construction projects in unfamiliar foreign environments.	\$ 25,000
Calvert School, Inc. Baltimore MD U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 100,000
Capital-E LLC Washington DC U.S.A. NC - For profit organization - Expenditure responsibility	To support energy efficiency investments through the implementation of a demonstration project using new mechanisms in California's carbon market.	\$ 80,000
Carnegie Endowment for International Peace Washington DC U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's climate change initiative by developing an unconventional oils index to quantify and ultimately index the carbon potential of different oils.	\$ 150,000
Carnegie Institution of Washington Washington DC U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's biodiversity conservation initiative in Peru by assessing the environmental and human impacts of mercury released from artisanal and small scale gold mining.	\$ 102,500
Center for American Progress Washington DC U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's climate change initiative by identifying barriers to Chinese direct investment in US clean energy markets, provide guidance for eliminating these barriers and to clearly state principles that should guide the U.S. foreign direct investment policies.	\$ 97,000
Center for Rural Affairs Lyons NE U.S.A. PC - 501(c)(3) organization - public charity	To support environmental protection and sustainable food systems in the Chesapeake Bay and Appalachia by supporting small and mid-sized sustainable family farms, by increasing the number of beginning farmers, and by building and strengthening local and regional food systems in this region.	\$ 100,000
Centro de Apoio Socio-Ambiental CASA Juquituba Sao Paulo Brazil NC - Foreign organization - Expenditure responsibility	To support blue moon's biodiversity conservation efforts in the Southwest Amazon by identifying conservation partners in the state of Acre in Western Brazil and to develop potential new projects in the region.	\$ 41,000

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Grants and Contributions Paid this year (p. 10, Part XV, Line 3a):

<u>Recipient</u>	<u>Purpose of the Grant</u>	<u>Paid during the year</u>
Centro de Conservación, Investigación y Manejo de Áreas Naturales - Cordillera Azul Lima Peru NC - Foreign organization - Expenditure responsibility	To support the protection of the Cordillera Azul National Park by developing a community-based management process which uses an economic ecologic micro zoning process and incorporates all stakeholders.	\$ 200,000
Charlottesville Free Clinic Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support,	\$ 10,000
Chesapeake Bay Trust Annapolis MD U.S.A. PC - 501(c)(3) organization - public charity	To support the scale-up of innovative agricultural best management pilot projects through farmer outreach and adoption into government programs in the Shenandoah Valley of Virginia and the Eastern Shore of Maryland.	\$ 65,000
Chesapeake Climate Action Network Takoma Park MD U.S.A. PC - 501(c)(3) organization - public charity	To support the continued public education for the advancement of offshore wind development in Virginia.	\$ 50,000
Chesapeake Climate Action Network Takoma Park MD U.S.A. PC - 501(c)(3) organization - public charity	To support the launch of a campaign to highlight the vulnerability of Virginia's Hampton Roads area to rising sea levels.	\$ 100,000
China National Research Center of Bamboo Hangzhou China NC - 501(c)(3) organization foreign equivalent - public charity	To support the use of biochar in field experiments to estimate the mitigation of greenhouse gases and to determine the feasibility of its widespread adoption in China.	\$ 24,500
China Wildlife Conservation Association Beijing China NC - 501(c)(3) organization foreign equivalent - public charity	To support blue moon fund's conservation programs in China by improving the efficiency and effectiveness of wildlife crime law enforcement and foster public support and engagement in this endeavor	\$ 250,000
Chinese Academy of Sciences, Institute of Zoology Beijing China NC - 501(c)(3) organization foreign equivalent - public charity	To support blue moon fund's biodiversity conservation initiative in China by identifying and implementing a market solution demonstration project to improve protected area management by creating a value added system for conservation friendly products.	\$ 139,500
Chinese Academy of Sciences, Research Center for Energy and Power Beijing China NC - 501(c)(3) organization foreign equivalent - public charity	To support the development of a mobile biochar facility in China in order to produce clean energy, sequester carbon, improve soil productivity and reduce non-point source pollution.	\$ 127,000
Chinese Academy of Science, The Institute of Policy and Management Beijing China NC - 501(c)(3) organization foreign equivalent - public charity	To support the transfer of low-carbon economic planning tools to China through the design of a province-level climate action planning template and implementation in a pilot province	\$ 150,000
Coalition to Restore Coastal Louisiana Baton Rouge LA U.S.A. PC - 501(c)(3) organization - public charity	To support public outreach and discussion regarding Louisiana's coastal restoration by engaging stakeholders from all levels to begin building consensus on ways to move forward.	\$ 84,000
Coastal Communities Consulting, Inc. Gretna LA U.S.A. PC - 501(c)(3) organization - public charity	For general support	\$ 75,000
Common Ground Healing Arts Batesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 115,170

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<u>Recipient</u>	<u>Purpose of the Grant</u>	<u>Paid during the year</u>
Conservation International Foundation Arlington VA U.S.A. PC - 501(c)(3) organization - public charity	To support and expand Bolivia's COMSERBO (Incentive Program for Forest Conservation, Integrated Management, and Services) program that provides technical assistance in sustainable forest management practices and offers financial incentives for rural, forest dwelling communities.	\$ 166,000
Coral Cay Conservation Trust London UK NC - Foreign organization - Expenditure responsibility	To support the establishment and management of a Marine Protected Area in Koh Rong and Koh Rong Samloem Islands, Cambodia with a focus on Payment for Ecological Services models	\$ 75,000
Derecho Ambiente y Recursos Naturales Lima Peru NC - 501(c)(3) organization foreign equivalent - public charity	To support sustainable energy and infrastructure development in the Urubamba Basin by strengthening the environmental social standards at all levels for these projects, contribute to their socio-environmental monitoring systems and contribute to the protection of the rights of indigenous groups affected by these projects.	\$ 130,000
Dogwood Alliance, Inc, Asheville NC U.S.A. PC - 501(c)(3) organization - public charity	To support certified forest carbon projects in the Southern Appalachian region in order to stimulate increased private investment in sustainable forest management.	\$ 100,000
E3G, Third Generation Environmentalism Inc Washington DC U.S.A. PC - 501(c)(3) organization - public charity	To support China's priorities on transforming into a low carbon country by exploring innovative financing instruments for scale-up of low carbon investment, policy and regulator reform of the power sector and assist with design of the South-South Climate Change Fund, a key mechanism for bilateral cooperation on climate change.	\$ 199,000
Eastern Shore Resource Conservation and Development Council Melfa, VA U.S.A. PC - 501(c)(3) organization - public charity	To support the evaluation of poultry litter biochar as a potential environmentally friendly source of phosphorus fertilizer and overall soil amendment for the Eastern Shore's tomato crop while eliminating harmful build up of poultry litter which leeches high concentrations of nutrients into the Chesapeake Bay,	\$ 16,750
Enterprise Community Partners, Inc, Columbia MD U.S.A. PC - 501(c)(3) organization - public charity	For general support,	\$ 30,000
Environmental Defense, Inc New York NY U.S.A. PC - 501(c)(3) organization - public charity	To support EDF's Mississippi River Delta Program designed to establish a comprehensive public works program to support a self sustaining delta and thriving economy	\$ 300,000
Environmental Defense, Inc New York NY U.S.A. PC - 501(c)(3) organization - public charity	To support the civic engagement and stakeholder outreach and development of a fundraising strategy of the Lower Mississippi Design Initiative which brings teams of world class innovators to look for ways to sustainably harness the river's natural land-building capacity, accommodate economic growth, and imagine new kinds of housing, education, transportation, agriculture and good jobs that are resilient to natural and manmade disasters.	\$ 40,000
Focused Ultrasound Surgery Foundation Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support,	\$ 250,000
Freeland Foundation Bangkok Thailand PC - 501(c)(3) organization - public charity	To support development and institutionalization of a counter-illegal logging and counter-timber trafficking training program for Asian governments in cooperation with Association of Southeast Asian Nations Wildlife Enforcement Network, Asia Pacific Economic Cooperation, and the Asian Development Bank, and the broadening of scope of Asia's Wildlife Enforcement Networks to target illegal loggers and timber traffickers by expanding the remit of the Association of Southeast Asian Nations Wildlife Enforcement Network's Special Investigation Group.	\$ 154,000
Friends of the Osa Washington DC U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's biodiversity conservation initiative in Costa Rica through the purchase of a strategic conservation property in the Osa Peninsula	\$ 2,000,000
Friends of the Osa Washington DC U.S.A. PC - 501(c)(3) organization - public charity	To support an integrated conservation effort to protect the Osa Biological Corridor by reducing risks to the mangrove ecosystems of the Terraba Sierpe, protecting and restoring land in this watershed and developing a 5 year organizational strategy for land acquisition and management of this corridor.	\$ 179,500

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Fundación Corcovado San José Costa Rica NC - 501(c)(3) organization foreign equivalent - public charity	To support blue moon fund's biodiversity conservation initiative in Costa Rica by promoting the conservation and recovery of the forested areas of the region as well as the sustainable use of natural resources by promoting ecofriendly tourism in economically depressed areas in the Osa Conservation Area.	\$ 41,000
Fundación para el Desarrollo del Sistema Nacional de Areas Protegidas La Paz Bolivia NC - Foreign organization - Expenditure responsibility	To support biodiversity conservation in Bolivia by providing technical, organizational, administrative and financial advice and support to the National System of Protected Areas at a sub-national level.	\$ 137,250
Global Environmental Institute Beijing - China NC - 501(c)(3) organization foreign equivalent - public charity	To support blue moon fund's conservation initiative in China by conducting research on core content of the new Protected Area law and develop pilot demonstrations for community based conservation mechanisms to show the benefits of incorporating into the new Protected Area management systems.	\$ 179,500
Global Environmental Institute Beijing China NC - 501(c)(3) organization foreign equivalent - public charity	To support education and research regarding importance of adopting strong environmental provisions into the ASEAN + China agreement, provide training courses on use of Environmental Impact Assessments, provide education on registering projects in voluntary carbon market and education development of sustainable rice value chain in Myanmar.	\$ 178,000
Greater New Orleans Development Foundation New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support the development of the Economic Protection and Resilience Organization, a national alliance of economic development and business organizations based in regions with a risk of flooding, scaling of the Emerging Environmental sector in New Orleans with a focus on energy, waste and water, and advocating for the maximum amount of the RESTORE Act dollars to go toward priority restoration projects in Louisiana.	\$ 100,000
Innovation Center for Energy and Transportation South Pasadena CA U.S.A. PC - 501(c)(3) organization - public charity	To support the expansion, awareness and functionality of China's Energy and Climate Registry, the development of highly competent emission monitoring and carbon management in China, and establishment of programs to promote US-China collaboration within the US-China Clean Technology Center.	\$ 100,000
International Finance Corporation Washington DC U.S.A. NC - 501(c)(3) organization equivalent - public charity	To support the development of improved environmental and social risk management standards for the Chinese banking sector.	\$ 250,000
International Rivers Network Berkeley CA U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's biodiversity conservation initiative in the Mekong River Basin by protecting the area from destructive dam projects through positive policy and social solutions.	\$ 30,000
International Rivers Network Berkeley CA U.S.A. PC - 501(c)(3) organization - public charity	To support the adoption of world-class environmental safeguard policies and strengthen implementation records by Chinese Hydropower companies by creating awareness about China's role in destructive dams overseas and at home.	\$ 120,000
International Rivers Network Berkeley CA U.S.A. PC - 501(c)(3) organization - public charity	To support the protection of river ecosystems and communities, particularly in the Himalayan Region by developing policies that reflect the highest international social and environmental standards which stop destructive projects and promote positive solutions that address the region's water and energy needs.	\$ 140,000
Jefferson School Foundation Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	To support the African American Heritage Center, the only site in Charlottesville where African American traditions and history are readily available for education and culture	\$ 26,670
Kentucky Coalition, Inc. London KY U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's Appalachian Transition initiative through the development of Kentucky's Transition strategy, leadership development, regional collaborations and advanced communications.	\$ 100,000
Lake Pontchartrain Basin Foundation New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support the connection of the east-of-the-river local economic stakeholders with critical science efforts including the effectiveness of the Caernarvon Diversion and the impact of changes in salinity on the location and abundance of blue crab populations.	\$ 250,000

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<u>Recipient</u>	<u>Purpose of the Grant</u>	<u>Paid during the year</u>
Literacy Volunteers of Charlottesville/Albemarle Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support,	\$ 43,320
Louisiana Environmental Action Network/Lower Mississippi Riverkeeper Baton Rouge LA U.S.A. PC - 501(c)(3) organization - public charity	To support creation of a web-based video series documenting the unique beauty of Louisiana, its wide range of issues, the personal and community impacts of those issues, and ultimately the hope and vision Louisianans have for the future.	\$ 40,000
Lower 9th Ward Center for Sustainable Engagement and Development New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's Louisiana Coastal Resiliency initiative by advancing CSED's engagement in New Orleans' emerging urban water planning process.	\$ 15,000
Lower 9th Ward Center for Sustainable Engagement and Development New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support CSED as a central organizing and communications hub for the lower 9th ward of New Orleans in light of the BP oil spill.	\$ 100,000
Mountain Association for Community Economic Development Berea KY U.S.A. PC - 501(c)(3) organization - public charity	To support capacity building for the advancement of sustainable food and agricultural economies in central Appalachia	\$ 75,000
Mountain Association for Community Economic Development Berea KY U.S.A. PC - 501(c)(3) organization - public charity	For general operating support.	\$ 100,000
Mountain Association for Community Economic Development Berea KY U.S.A. PC - 501(c)(3) organization - public charity	For general operating support	\$ 400,000
National Audubon Society Inc New York NY U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's coastal restoration initiative in Louisiana by developing a demonstration site for coastal restoration on private lands in partnership with the Rainey Conservation Alliance.	\$ 75,000
National Climate Trust Portland OR U.S.A. PC - 501(c)(3) organization - public charity	To support development of a robust carbon accounting methodology for soil sequestration of biochar and avoided methane emissions of feedstocks.	\$ 72,000
National Fish and Wildlife Foundation Washington DC U.S.A. PC - 501(c)(3) organization - public charity	To support six small-scale manure-to-energy demonstration projects within the Chesapeake Bay watershed to determine the nutrient reduction potential and commercial viability of these technologies.	\$ 125,000
Nonprofit Knowledge Works, Inc. New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support research and engagement activities to guide the regional business and economic development community in Southeast Louisiana in creating a sustainable economic growth vision that is both low carbon and innovation rich while providing opportunities for a broader segment of the local population.	\$ 100,000
Piedmont Family YMCA, Inc. Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 118,560
Piedmont Virginia Community College Education Foundation Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 103,470

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<u>Recipient</u>	<u>Purpose of the Grant</u>	<u>Paid during the year</u>
Potomac Conservancy, Inc. Silver Spring MD U.S.A. PC - 501(c)(3) organization - public charity	To support development and implementation of an outreach strategy to engage absentee agricultural landowners in on-farm conservation actions	\$ 25,000
Potomac Riverkeeper, Inc. Washington DC U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's conservation initiative in the Shenandoah River Valley by increasing outreach efforts and developing sustainable funding sources for the Agricultural Pollution Project.	\$ 50,000
Resource Media San Francisco CA U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's Appalachian Transition initiative by assessing the communication landscape in the Appalachia region with regard to water quality protection and develop communication strategies for better delivery of the right message to the right audience.	\$ 115,000
Rockefeller Family Fund New York NY U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's Appalachian Transition initiative by engaging with renewable energy advocates and professionals in Virginia and West Virginia, building the capacity of their solar networks to inspire people to become champions for renewable energy.	\$ 94,000
Rural Action, Inc. Tomball OH U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's Appalachian transition initiative in Ohio through the development and promotion of a regional transition strategy.	\$ 25,000
SeniorNavigator.com North Chesterfield VA U.S.A. PC - 501(c)(3) organization - public charity	To support caregivers throughout Virginia with several new initiatives aimed at improving caregivers' health, well-being, and stability by increasing the availability and quality of the resources available.	\$ 75,000
Shepherd's Clinic, Inc. Baltimore MD U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 10,000
SNV USA Bethesda MD U.S.A. PC - 501(c)(3) organization - public charity	To support conservation in Bhutan through the development of a national payment for ecosystem services framework through an operationalized demonstration project which is fully integrated with REDD+.	\$ 73,000
SNV USA Bethesda MD U.S.A. PC - 501(c)(3) organization - public charity	To support improved livelihoods and quality of life of rural farmers in Vietnam by taking advantage of economic and non-economic benefits of domestic biogas, promoting effective biogas technology and developing a commercial viable biogas sector in Vietnam.	\$ 117,000
SNV USA Bethesda MD U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 71,333
SNV USA Bethesda MD U.S.A. PC - 501(c)(3) organization - public charity	To support the development of an efficient and profitable model process for the production, marketing and dissemination of improved cookstoves in Lao PDR.	\$ 100,000
SNV USA Bethesda MD U.S.A. PC - 501(c)(3) organization - public charity	To support renewable energy production by demonstrating the viability of a biogas market in Lao PDR through the dissemination of a network of biogas digesters.	\$ 104,500
Social and Environmental Entrepreneurs Ann Arbor MI U.S.A. PC - 501(c)(3) organization - public charity	To support sustainable forestry in Indonesia by providing strategic global coordination of communication between non-governmental organizations, local communities, investors and paper buyers to encourage the private sector to implement sustainability in their purchasing and investment policies in the pulp and paper industry.	\$ 100,000

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Social Entrepreneurs of New Orleans New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support the creation of the Food Policy and Program Manager position to assist the food system segment of the Propeller Social Venture Accelerator to increase access to healthy affordable food to disadvantaged residents and provide equitable economic development for food-related entrepreneurs in New Orleans and surrounding areas.	\$ 65,000
Sociedad Peruana de Ecodesarrollo Lima Peru NC - Foreign organization - Expenditure responsibility	To support a detailed analysis of national and regional level agro-energy policies in the Peruvian Amazon while continuing to implement actions for monitoring, prevention and mitigation of impacts of biofuel crops in this region.	\$ 154,500
Southern Center for Environmental Justice, Inc. New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support access by environmental justice organizations in New Orleans and the Louisiana coast to top-flight science/technical experts to elevate the discussion and planning related to large-scale coastal restoration.	\$ 24,500
Stockholm Environment Institute U.S., Inc. Somerville MA U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's biodiversity conservation initiative in Myanmar through the establishment of a national dialogue on the water resources management in Ayeyarwady River Basin which could serve as a model for the resources management decision making process in nationwide.	\$ 249,000
Stroud Water Research Center, Inc. AVONDALE PA U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's biological diversity initiative in Costa Rica by engaging stakeholders to conduct biological, chemical, and physical surveys of the headwater streams in the Osa Peninsula Conservation Areas to establish a baseline of water quality and aquatic ecosystem integrity	\$ 131,000
The Corporation of Haverford College Haverford PA U.S.A. PC - 501(c)(3) organization - public charity	To support the Steven R. Miller '49 Scholarship Fund	\$ 100,000
The Greater New Orleans Foundation New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's coastal resiliency initiative in Louisiana by taking major New Orleans stakeholders on several tours of successfully implemented scalable green infrastructure projects for stormwater management in order to inform New Orleans next steps for stormwater management.	\$ 50,000
The Greater New Orleans Foundation New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support the Imagine New Orleans Endowment established to enhance New Orleans' ability to engage on coastal issues facing the region and to support the Coastal 5 + 1 initiative aimed at coordinating and strengthening coastal restoration and economic development in the five coastal parishes in Louisiana.	\$ 100,000
The Mountain Institute Washington DC U.S.A. PC - 501(c)(3) organization - public charity	To support alternative energy production and reduced energy consumption in central Appalachia by expanding TMI's capacity as a regional resource on energy issues.	\$ 76,500
The New Orleans Center for Creative Arts Institute New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support the establishment of the Root Studio culinary gardens which represent an unique opportunity for local food system education and an innovative integrated arts and academic curriculum to support each other.	\$ 51,000
The Small-Scale Sustainable Infrastructure Development Fund, Inc. Cambridge MA U.S.A. PC - 501(c)(3) organization - public charity	To support the Social Merchant Bank approach which has built a portfolio of small-scale explicitly pro-poor, pro-environment investment deals and support its object to proselytize, disseminate and transfer its approach to a broader audience therefore increasing its impact.	\$ 100,000
The University of North Carolina at Chapel Hill Chapel Hill NC U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's climate change and renewable energy initiative in Appalachia through the development of comprehensive survey and subsequent report documenting the jobs that would actually be created through conservation, restoration and mitigation actions	\$ 58,000
TruFund Financial Services, Inc. (formerly SeedCo Financial Services) New York NY U.S.A. PC - 501(c)(3) organization - public charity	To support innovation in the fishery industry in Louisiana by promoting alternative fisheries business models.	\$ 250,000

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Trust for Conservation Innovation San Francisco CA U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's biodiversity conservation initiative in Peru by improving the protection and management of the Alto Purus protected area complex including expanding protected lands in the Tamaya and Yurua headwaters through titling indigenous lands.	\$ 150,000
Tulane University New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support capacity building activities to enable Grow Dal Youth Farm, an evidence-based youth development program with an emphasis on leadership, wellness, food justice and agriculture, to evolve into a sustainable organization.	\$ 115,000
Union of Concerned Scientists Cambridge MA U.S.A. PC - 501(c)(3) organization - public charity	To support biodiversity conservation initiatives in Myanmar through the development of a post conflict land management model that can be replicated throughout the country.	\$ 124,500
United Nations Foundation Washington DC U.S.A. PC - 501(c)(3) organization - public charity	To support the expansion of rural energy access in Asia by co-hosting a regional workshop to bring together key sector leaders to facilitate greater coordination and cross fertilization of ideas, identify regional, country and community issues and solutions, and support practitioners' recommendations within the unique geographical and socio-economic contexts of the regions in which they operate	\$ 40,000
Upper Tennessee River Roundtable Abingdon VA U.S.A. PC - 501(c)(3) organization - public charity	To support the utilization of the highly biodiverse Clinch River watershed as a model for natural asset based economic development.	\$ 29,500
Urban Land Institute Denver CO U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 5,000
Vermont Law School South Royalton VT U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's biodiversity conservation initiative in Myanmar by formulating, incorporating, and implementing higher environmental standards in Myanmar's domestic regulations on foreign investment and within the ASEAN trade framework.	\$ 55,500
Vermont Law School South Royalton VT U.S.A. PC - 501(c)(3) organization - public charity	To support protection of Myanmar's environment by developing a strong environment and social governance framework and building a community of environmental stakeholders in order to support democratic reforms and the introduction of rule of law.	\$ 311,000
Véténaires sans Frontières Victoria British Columbia Canada NC - 501(c)(3) organization foreign equivalent - public charity	To support conservation and protection of the Manu National Park in Peru by improving livestock management processes, including creation of land management and rotational grazing plans, improved community livelihood options and better animal health.	\$ 14,500
Wake Forest University Winston-Salem NC U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's climate change initiative by modeling and improving biochar technology generated in China and conducting community-based agricultural trials, outreach, and demonstration in a high biodiversity area of the Peruvian Amazon.	\$ 235,000
West Virginia Citizen Action Education Fund Charleston WV U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's Appalachian Transition initiative through the continued development of Energy Efficiency West Virginia as the primary coalition behind efficiency programs and policy in the state.	\$ 30,000
West Virginia Community Development Hub Oak Hill WV U.S.A. PC - 501(c)(3) organization - public charity	To support participatory statewide collaborations to build a stronger food and farm economy in West Virginia by supporting statewide Food and Farm Working Groups, providing food policy literacy, evaluating results and disseminating lessons learned to strengthen the community of local food advocates.	\$ 74,500
West Virginia University Research Corporation Morgantown WV U.S.A. PC - 501(c)(3) organization - public charity	To support a research study on the signature properties and nutrients of poultry litter based biochar and its effects on plant growth and carbon sequestration especially when used to restore abandoned mine lands in Central Appalachia.	\$ 44,000

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Form 990-PF, Part XV

13-6034219

Grants and Contributions Paid this year (p. 10, Part XV, Line 3a):

<u>Recipient</u>	<u>Purpose of the Grant</u>	<u>Paid during the year</u>
Wildlife Conservation Society Bronx NY U.S.A. PC - 501(c)(3) organization - public charity	To support a pilot project for reducing human-wildlife conflict and strengthening community-based habitat protection for endangered wildlife species by instituting a conservation incentive agreement mechanism (CIA) in the Changlang National Nature Reserve (CNNR),	\$ 250,000
Wildlife Conservation Society Bronx NY U.S.A. PC - 501(c)(3) organization - public charity	To support protection of the Madidi National Park, Alto Madidi Municipal Tourism Reserve and the Ixiamas Municipality by strengthening the capacity of local groups to monitor the mitigation efforts to reduce the effects of planned roads in the area promote sound management of the municipal protected areas and develop the capacity of Ixiamas Municipality for integrated territorial planning.	\$ 164,000
Wildlife Conservation Society Bronx NY U.S.A. PC - 501(c)(3) organization - public charity	To support conservation initiative in Asia by creating a forest protection fund that will provide financing for conservation work in the Selma Protected Forest and serve as a model for future forest conservation in Cambodia.	\$ 99,500
Woodrow Wilson International Center for Scholars Washington DC U.S.A. PC - 501(c)(3) organization - public charity	To support climate change and renewable energy initiative through the promotion and an exchange with sub-national governments, businesses and non governmental organizations in China and the United States to help stakeholders in both countries to identify concrete areas of engagement,	\$ 80,000
Woodrow Wilson International Center for Scholars Washington DC U.S.A. PC - 501(c)(3) organization - public charity	To support promotion of renewable energy and cleaner coal by creating exchange and outreach opportunities to sub-national governments, businesses and non governmental organizations in China and the United States to help stakeholders in both countries to identify concrete areas of engagement.	\$ 105,000
World Wildlife Fund, Inc. Washington DC U.S.A. PC - 501(c)(3) organization - public charity	To support connectivity of protected areas in Southeast Peru through completing the database of habitat requirements for focal species in terra firme habitat, processing collected data and integrating it into a regional GIS system, and comparing and refining data on species' movement patterns and area use requirements to establish a baseline for evaluating potential impacts of habitat loss and fragmentation in the southwestern Amazon.	\$ 150,000
Building Goodness Foundation Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support,	\$ 450
First Presbyterian Church Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support,	\$ 1,500
Green Adventure Project Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support,	\$ 450
Habitat for Humanity of Greater Charlottesville Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support,	\$ 400
The Haven Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support,	\$ 215
The University of Virginia Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support,	\$ 50
Yale University New Haven CT U.S.A. PC - 501(c)(3) organization - public charity	For general support,	\$ 100

TOTAL GRANTS PAID DURING THE YEAR

\$ 14,945,188

BLUE MOON FUND, INC.
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Section 8 Grants and Contributions Approved for Future
Payments

blue moon fund, inc
Charlottesville, VA

December 31, 2013

Form 990-PF, Part XV

13-6034219

Grants and Contributions Approved for Future Payment (p. 10, Part XV, Line 3b):

<u>Planned Recipient</u>	<u>Purpose of the Grant</u>	<u>Approved for Future Payment</u>
Alzheimer's Association Central & Western Virginia Chapter Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 68,000
Amazon Conservation Team Arlington VA U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's conservation initiative in Colombia through strengthening the protection plan for the Puré River National Park including support for the establishment of the Yun-Passe indigenous reserve within the park and development of isolated indigenous group protection zone and management plan in the Curare-Los Ingleses reserve.	\$ 150,000
Appalachian Voices Boone NC U.S.A. PC - 501(c)(3) organization - public charity	To support solutions-based opportunities that simultaneously defend vital water resources and advance energy efficiency by implementing the Appalachian Water Watch program, a citizen water monitoring project, and to assist Electric Membership Cooperatives to institute Energy Efficiency financing programs in rural Appalachia.	\$ 75,000
Asociación Amazónicas por la Amazonía - AMPA Moyobamba San Martin Peru NC - 501(c)(3) organization foreign equivalent- public charity	To support blue moon fund's biodiversity conservation initiative in the Peruvian Amazon by expanding the regional protected area network and the community's capacity to promote community conservation initiatives.	\$ 97,500
Baltimore Educational Scholarship Trust Baltimore MD U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 10,000
Calvert School, Inc. Baltimore MD U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 300,000
Carnegie Institution of Washington Washington DC U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's biodiversity conservation initiative in Peru by assessing the environmental and human impacts of mercury released from artisanal and small-scale gold mining.	\$ 98,000
Center for Climate Strategies Washington DC U.S.A. PC - 501(c)(3) organization - public charity	To support the completion of a plan for cooperative development, pilot application and national scaling of a Chinese template for comprehensive, sector-based, subnational low carbon development planning, analysis and implementation.	\$ 400,000
Chesapeake Climate Action Network Takoma Park MD U.S.A. PC - 501(c)(3) organization - public charity	To support the launch of a campaign to highlight the vulnerability of Virginia's Hampton Roads area to rising sea levels.	\$ 150,000
Common Ground Healing Arts Batesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 345,510
Confluence Philanthropy New York NY U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 7,500
Enterprise Community Partners, Inc. Columbia MD U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 25,000
Environmental Defense, Inc. New York NY U.S.A. PC - 501(c)(3) organization - public charity	To support EDF's Mississippi River Delta Program designed to establish a comprehensive public works program to support a self sustaining delta and thriving economy	\$ 300,000

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Grants and Contributions Approved for Future Payment (p. 10, Part XV, Line 3b).

<u>Planned Recipient</u>	<u>Purpose of the Grant</u>	<u>Approved for Future Payment</u>
Focused Ultrasound Surgery Foundation Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support,	\$ 750,000
FreeState Legal Project, Inc. Baltimore MD U.S.A. PC - 501(c)(3) organization - public charity	For general support,	\$ 10,000
Fundación de Conservación Jocotoco Quito Pichincha Ecuador NC - 501(c)(3) organization foreign equivalent- public charity	To support blue moon fund's biodiversity initiative in the Andes-Amazon region by creating a protected area of 7,000 acres through the purchase of the Antisanilla property in Ecuador,	\$ 200,000
Fundación para el Desarrollo del Sistema Nacional de Areas Protegidas La Paz Bolivia NC - Foreign organization - Expenditure responsibility	To support biodiversity conservation in Bolivia by providing technical, organizational, administrative and financial advice and support to the National System of Protected Areas at a sub-national level.	\$ 137,250
Global Environmental Institute Beijing China NC - 501(c)(3) organization foreign equivalent- public charity	To support education and research regarding importance of adopting strong environmental provisions into the ASEAN + China agreement, provide training courses on use of Environmental Impact Assessments, provide education on registering projects in voluntary carbon market and education development of sustainable nce value chain in Myanmar.	\$ 90,000
Greater New Orleans Development Foundation New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support the developemnt of the Economic Protection and Resilience Organization, a national alliance of economic development and business organizations based in regions with a risk of flooding, scaling of the Emerging Environmental sector in New Orleans with a focus on energy, waste and water, and advocating for the maximum amount of the RESTORE Act dollars to go toward priority restoration projects in Louisiana,	\$ 50,000
Green Movement of Sri Lanka Inc. Nugegoda Western Sn Lanka NC - 501(c)(3) organization foreign equivalent- public charity	To support blue moon fund's climate change and rural renewable energy initiative in the Greater Asia region by setting up a pilot project demonstrating the applicability and scaling potential of biomass electcncty.	\$ 231,500
Groupe Energies Renouvelables, Environnement et Solidantes Phnom Penh Cambodia NC - Foreign organization - Expenditure responsibility	To support the scale-up, commercialization, and carbon finance of more efficient cookstoves in rural Cambodia in order to significantly reduce carbon emissions from millions of people every day.	\$ 53,000
Innovation Center for Energy and Transportation South Pasadena CA U.S.A. PC - 501(c)(3) organization - public charity	To support the expansion, awareness and functionality of China's Energy and Climate Registry the development of highly competent emission monitoring and carbon management in China, and establishment of programs to promote US-China collaboration within the US-China Clean Technology Center.	\$ 50,000
Instituto del Bien Comun Lima Peru NC - 501(c)(3) organization foreign equivalent- public charity	To support blue moon fund's Andes-Amazon biodiversity initiative through the development of the Brazil Nut Fund and design of the national mechanism for compensation for ecological damage incurred as a result of development activities.	\$ 205,500
International Rivers Network Berkeley CA U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's biodiversity conservation initiative both in China and globally by encouraging Chinese hydropower corporations to adopt and implement world-class environmental and social safeguards on their work at home and abroad.	\$ 260,000
Jefferson Area Board for Aging, Inc. Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support,	\$ 517,880
Jefferson School Foundation Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	To support the African American Heritage Center, the only site in Charlottesville where African American traditions and history are readily available for education and culture.	\$ 386,680

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Grants and Contributions Approved for Future Payment (p. 10, Part XV, Line 3b):

<u>Planned Recipient</u>	<u>Purpose of the Grant</u>	<u>Approved for Future Payment</u>
Kentucky Coalition, Inc. London KY U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's Appalachian Transition initiative through the development of Kentucky's Transition strategy, leadership development, regional collaborations and advanced communications.	\$ 100,000
Lake Pontchartrain Basin Foundation New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support the connection of the east-of-the-river local economic stakeholders with critical science efforts including the effectiveness of the Caernarvon Diversion and the impact of changes in salinity on the location and abundance of blue crab populations.	\$ 195,000
Literacy Volunteers of Charlottesville/Albemarle Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 64,980
Lower 9th Ward Center for Sustainable Engagement and Development New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's Louisiana Coastal Resiliency initiative by advancing CSED's engagement in New Orleans' emerging urban water planning process.	\$ 15,000
National Audubon Society, Inc. New York NY U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's coastal restoration initiative in Louisiana by developing a demonstration site for coastal restoration on private lands in partnership with the Rainey Conservation Alliance	\$ 25,000
National Fish and Wildlife Foundation Washington DC U.S.A. PC - 501(c)(3) organization - public charity	To support six small-scale manure-to-energy demonstration projects within the Chesapeake Bay watershed to determine the nutrient reduction potential and commercial viability of these technologies.	\$ 50,000
Nonprofit Knowledge Works, Inc. New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support research and engagement activities to guide the regional business and economic development community in Southeast Louisiana in creating a sustainable economic growth vision that is both low carbon and innovation rich while providing opportunities for a broader segment of the local population.	\$ 100,000
Piedmont Family YMCA, Inc. Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 355,680
Piedmont Virginia Community College Education Foundation Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 310,410
SeniorNavigator.com North Chesterfield VA U.S.A. PC - 501(c)(3) organization - public charity	To support caregivers throughout Virginia with several new initiatives aimed at improving caregivers' health, well-being, and stability by increasing the availability and quality of the resources available.	\$ 150,000
Shepherd's Clinic, Inc. Baltimore MD U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 5,000
SNV USA Bethesda MD U.S.A. PC - 501(c)(3) organization - public charity	To support the development of an efficient and profitable model process for the production, marketing, and dissemination of improved cookstoves in Lao PDR.	\$ 100,000
SNV USA Bethesda MD U.S.A. PC - 501(c)(3) organization - public charity	To support conservation in Bhutan through the development of a national payment for ecosystem services framework through an operationalized demonstration project which is fully integrated with REDD+.	\$ 128,000

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Grants and Contributions Approved for Future Payment (p. 10, Part XV, Line 3b).

<u>Planned Recipient</u>	<u>Purpose of the Grant</u>	<u>Approved for Future Payment</u>
SNV USA Bethesda MD U.S.A. PC - 501(c)(3) organization - public charity	To support improved livelihoods and quality of life of rural farmers in Vietnam by taking advantage of economic and non-economic benefits of domestic biogas, promoting effective biogas technology and developing a commercial viable biogas sector in Vietnam.	\$ 31,000
Social and Environmental Entrepreneurs Ann Arbor MI U.S.A. PC - 501(c)(3) organization - public charity	To support sustainable forestry in Indonesia by providing strategic global coordination of communication between non-governmental organizations, local communities, investors and paper buyers to encourage the private sector to implement sustainability in their purchasing and investment policies in the pulp and paper industry.	\$ 50,000
Sociedad Peruana de Derecho Ambiental Lima Peru PC - 501(c)(3) organization foreign equivalent- public charity	To support development of a framework for environmental management in the Peruvian Amazon.	\$ 400,000
Society of Environmental Journalists, Inc. Jenkintown PA U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's coastal conservation initiative in the Gulf Coast by educating news professionals, strengthen coverage and increase public understanding of Gulf Coast issues ahead of the 2015 anniversaries of Katrina and Deepwater Horizon.	\$ 75,000
Stroud Water Research Center, Inc. Avondale PA U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's biological diversity initiative in Costa Rica by engaging stakeholders to conduct biological, chemical, and physical surveys of the headwater streams in the Osa Peninsula Conservation Areas to establish a baseline of water quality and aquatic ecosystem integrity.	\$ 119,000
The Corporation of Haverford College Haverford PA U.S.A. PC - 501(c)(3) organization - public charity	To support the Steven R. Miller '49 Scholarship Fund.	\$ 100,000
The Greater New Orleans Foundation New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support the Imagine New Orleans Endowment established to enhance New Orleans' ability to engage on coastal issues facing the region and to support the Coastal 5 + 1 initiative aimed at coordinating and strengthening coastal restoration and economic development in the five coastal parishes in Louisiana.	\$ 300,000
The Nature Conservancy Arlington VA U.S.A. PC - 501(c)(3) organization - public charity	To support conservation management of native forest areas on Molokai through controlling threats such as hooved animals and invasive weeds and extensive community outreach that informs and engages all segments of the local community.	\$ 50,000
The New Orleans Center for Creative Arts Institute New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support the establishment of the Root Studio culinary gardens which represent an unique opportunity for local food system education and an innovative integrated arts and academic curriculum to support each other	\$ 51,000
The Small-Scale Sustainable Infrastructure Development Fund, Inc. Cambridge MA U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's climate change and rural renewable energy initiative in the Greater Asia region by encouraging the dissemination and adoption the Social Merchant Bank Approach (SMBA).	\$ 376,000
TruFund Financial Services, Inc. (formally SeedCo Financial Services) New York NY U.S.A. PC - 501(c)(3) organization - public charity	To support innovation in the fishery industry in Louisiana by promoting alternative fisheries business models.	\$ 150,000
Tulane University New Orleans LA U.S.A. PC - 501(c)(3) organization - public charity	To support capacity building activities to enable Grow Dat Youth Farm, an evidence-based youth development program with an emphasis on leadership, wellness, food justice and agnculture, to evolve into a sustainable organization.	\$ 69,000
University of Wisconsin-Madison Madison WI U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's biodiversity conservation initiative in Southeast Asia and the Greater Mekong Subregion by supporting the University of Wisconsin's research on the consequences and impacts of the Nam Theun 2 hydropower project along the Xe Bang Fai River in Lao PDR.	\$ 20,000

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Grants and Contributions Approved for Future Payment (p. 10, Part XV, Line 3b):

<u>Planned Recipient</u>	<u>Purpose of the Grant</u>	<u>Approved for Future Payment</u>
Wake Forest University Winston-Salem NC U.S.A. PC - 501(c)(3) organization - public charity	To support blue moon fund's climate change initiative by modeling and improving biochar technology generated in China to conduct community-based agricultural trials, outreach, and demonstration in a high biodiversity area of the Peruvian Amazon,	\$ 47,500
Hollins University Roanoke VA U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 125
National Building Museum Washington DC U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 60
The University of Virginia Charlottesville VA U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 187
Yale University New Haven CT U.S.A. PC - 501(c)(3) organization - public charity	For general support.	\$ 80
TOTAL GRANTS AND CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT		\$ 8,408,322



blue moon fund, inc.

2013 990PF

BLUE MOON FUND, INC.
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BLUE MOON FUND, INC.
FORM 990PF 2013
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Section 1

Form 8868 – Application for Extension of Time
to File Exempt Organization Return

BLUE MOON FUND, INC.
FORM 990PF 2013
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Section 2

Form 990PF – Return of Private Foundation and