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Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

Department of the Treasury  
Internal Revenue Serviceor Section 4947(a)(1) Trust Treated as Private Foundation  
Do not enter Social Security numbers on this form as it may be made public.Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)**2013**  
Open to Public Inspection

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation

OPPENHEIMER&amp;HAAS P61291004

Number and street (or P O box number if mail is not delivered to street address)

10 S DEARBORN IL1-0117

City or town, state or province, country, and ZIP or foreign postal code

CHICAGO, IL 60603

A Employer identification number

13-6013101

B Telephone number (see instructions)

866-888-5157

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply:

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col. (c), line

16) \$ 18,843,588.

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify) \_\_\_\_\_

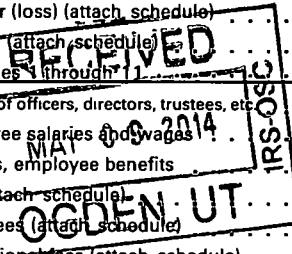
(Part I, column (d) must be on cash basis.)

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	328,430.	327,607.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	963,105.			
b Gross sales price for all assets on line 6a	7,897,755.			
7 Capital gain net income (from Part IV, line 2)		963,105.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	-37,312.			STMT 1
12 Total. Add lines 1 through 11	1,254,223.	1,290,712.		
13 Compensation of officers, directors, trustees, etc.	174,036.	130,527.		43,509.
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT 2	22,803.	6,803.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule) STMT 3	750.			750.
24 Total operating and administrative expenses. Add lines 13 through 23	197,589.	137,330.	NONE	44,259.
25 Contributions, gifts, grants paid	763,200.			763,200.
26 Total expenses and disbursements. Add lines 24 and 25	960,789.	137,330.	NONE	807,459.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	293,434.			
b Net investment income (if negative, enter -0-)		1,153,382.		
c Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .		107,468.	107,468.
	2	Savings and temporary cash investments . . . . .	905,064.	373,920.	373,920.
	3	Accounts receivable ▶ . . . . .			
		Less allowance for doubtful accounts ▶ . . . . .			
	4	Pledges receivable ▶ . . . . .			
		Less allowance for doubtful accounts ▶ . . . . .			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ . . . . .			
		Less allowance for doubtful accounts ▶ . . . . . NONE			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10 a	Investments - U S and state government obligations (attach schedule) . . . . .			
	b	Investments - corporate stock (attach schedule) . . . . .	9,849,417.	11,398,318.	15,190,576.
	c	Investments - corporate bonds (attach schedule) . . . . .	3,662,726.	3,107,684.	3,171,624.
	11	Investments - land, buildings, and equipment basis ▶ . . . . .			
	Less accumulated depreciation (attach schedule) ▶ . . . . .				
12	Investments - mortgage loans . . . . .				
13	Investments - other (attach schedule) . . . . .	250,651.			
14	Land, buildings, and equipment basis ▶ . . . . .				
	Less accumulated depreciation (attach schedule) ▶ . . . . .				
15	Other assets (describe ▶ . . . . . )				
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	14,667,858.	14,987,390.	18,843,588.	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ . . . . . )			
23	Total liabilities (add lines 17 through 22) . . . . .			NONE	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	14,667,858.	14,987,390.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .			
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .			
	30	Total net assets or fund balances (see instructions) . . . . .	14,667,858.	14,987,390.	
	31	Total liabilities and net assets/fund balances (see instructions) . . . . .	14,667,858.	14,987,390.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	14,667,858.
2	Enter amount from Part I, line 27a . . . . .	2	293,434.
3	Other increases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENT . . . . .	3	26,098.
4	Add lines 1, 2, and 3 . . . . .	4	14,987,390.
5	Decreases not included in line 2 (itemize) ▶ . . . . .	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	14,987,390.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b> 7,897,755.		6,934,633.	963,122.		
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
<b>a</b>			963,122.		
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2 Capital gain net income or (net capital loss)</b>			<b>2</b>	963,122.	
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b>			<b>3</b>		
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8					

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	750,953.	16,221,504.	0.046294
2011	771,371.	16,412,173.	0.047000
2010	723,119.	15,707,508.	0.046037
2009	831,071.	14,269,640.	0.058241
2008	101,744.	17,079,466.	0.005957
<b>2 Total of line 1, column (d)</b>			<b>2</b> 0.203529
<b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>			<b>3</b> 0.040706
<b>4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5</b>			<b>4</b> 17,655,821.
<b>5 Multiply line 4 by line 3</b>			<b>5</b> 718,698.
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>			<b>6</b> 11,534.
<b>7 Add lines 5 and 6</b>			<b>7</b> 730,232.
<b>8 Enter qualifying distributions from Part XII, line 4</b>			<b>8</b> 807,459.
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	1	11,534.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .	2	
3	Add lines 1 and 2 . . . . .	3	11,534.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .	4	NONE
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	5	11,534.
6	<b>Credits/Payments:</b>		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 . . . . .	6a	17,192.
b	Exempt foreign organizations - tax withheld at source . . . . .	6b	NONE
c	Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	NONE
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments Add lines 6a through 6d . . . . .	7	17,192.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached . . . . .	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	5,658.
11	Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <input checked="" type="checkbox"/> 5,658. <b>Refunded</b> <input type="checkbox"/> . . . . .	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation. . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV. . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . .	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> JP MORGAN CHASE BANK, NA Telephone no <input type="checkbox"/> (866) 888-5157			
	Located at <input type="checkbox"/> 10 S DEARBORN ST, IL 1-0117, CHICAGO, IL ZIP+4 <input type="checkbox"/> 60603			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . .	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country <input type="checkbox"/>			X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . .	1b	X
Organizations relying on a current notice regarding disaster assistance check here . . . . . <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years <input type="checkbox"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . .	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <input type="checkbox"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) . . . . .	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? . . . . .	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** ☒

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JP MORGAN CHASE BANK, NA 10 S DEARBORN ST, IL1-0117, CHICAGO, IL 60603	TRUSTEE 2	174,036.	-0-	-0-

**2** Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

**Total number of other employees paid over \$50,000** ☐ **NONE**

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
<b>Total</b> number of others receiving over \$50,000 for professional services . . . . .		NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
<b>Total.</b> Add lines 1 through 3 . . . . .	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	17,186,657.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	738,034.
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	17,924,691.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	17,924,691.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	268,870.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	17,655,821.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	882,791.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	882,791.
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	11,534.
<b>b</b>	Income tax for 2013. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	11,534.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	871,257.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4	<b>5</b>	871,257.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	871,257.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	807,459.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	807,459.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	11,534.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	795,925.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7 . . . . .				871,257.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only . . . . .			761,238.	
b Total for prior years 20 <u>11</u> , 20 <u>  </u> , 20 <u>  </u> . . . . .		NONE		
3 Excess distributions carryover, if any, to 2013				
a From 2008 . . . . .	NONE			
b From 2009 . . . . .	NONE			
c From 2010 . . . . .	NONE			
d From 2011 . . . . .	NONE			
e From 2012 . . . . .	NONE			
f Total of lines 3a through e . . . . .	NONE			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>807,459.</u>				
a Applied to 2012, but not more than line 2a . . . . .			761,238.	
b Applied to undistributed income of prior years (Election required - see instructions) . . . . .		NONE		
c Treated as distributions out of corpus (Election required - see instructions) . . . . .	NONE			
d Applied to 2013 distributable amount . . . . .				46,221.
e Remaining amount distributed out of corpus . . . . .	NONE			
5 Excess distributions carryover applied to 2013 . . . . . (If an amount appears in column (d), the same amount must be shown in column (a) )	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .		NONE		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 . . . . .				825,036.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .	NONE			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .	NONE			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	NONE			
10 Analysis of line 9:				
a Excess from 2009 . . . . .	NONE			
b Excess from 2010 . . . . .	NONE			
c Excess from 2011 . . . . .	NONE			
d Excess from 2012 . . . . .	NONE			
e Excess from 2013 . . . . .	NONE			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

Tax year	Prior 3 years				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization. . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>  SEE STATEMENT 9				763,200.
<b>Total</b> .....			▶ <b>3a</b>	763,200.
<b>b Approved for future payment</b>				
<b>Total</b> .....			▶ <b>3b</b>	

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments . . . . .					
<b>3</b> Interest on savings and temporary cash investments					
<b>4</b> Dividends and interest from securities . . . . .			14	328,430.	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property . . . . .					
<b>b</b> Not debt-financed property . . . . .					
<b>6</b> Net rental income or (loss) from personal property .					
<b>7</b> Other investment income . . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	963,105.	
<b>9</b> Net income or (loss) from special events . . . . .					
<b>10</b> Gross profit or (loss) from sales of inventory . . .					
<b>11</b> Other revenue: <b>a</b> _____					
<b>b</b> DEFERRED INCOME			14	-37,312.	
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e) . . . . .				1,254,223.	
<b>13</b> Total. Add line 12, columns (b), (d), and (e) . . . . .				1,254,223.	1,254,223.

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

<b>1</b>	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
<b>a</b>	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	<b>1a(1)</b>	X
	(2) Other assets	<b>1a(2)</b>	X
<b>b</b>	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	<b>1b(1)</b>	X
	(2) Purchases of assets from a noncharitable exempt organization	<b>1b(2)</b>	X
	(3) Rental of facilities, equipment, or other assets	<b>1b(3)</b>	X
	(4) Reimbursement arrangements	<b>1b(4)</b>	X
	(5) Loans or loan guarantees	<b>1b(5)</b>	X
	(6) Performance of services or membership or fundraising solicitations	<b>1b(6)</b>	X
<b>c</b>	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	<b>1c</b>	X
<b>d</b>	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

FORM 990PF, PART I - OTHER INCOME  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
DEFERRED INCOME	-37,312.
	-----
TOTALS	-37,312.
	=====

FORM 990PF, PART I - TAXES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
EXCISE TAX PAID	16,000.	
FOREIGN TAX WITHHELD	6,803.	6,803.
	-----	-----
TOTALS	22,803.	6,803.
	=====	=====



FORM 990PF, PART I - OTHER EXPENSES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	CHARITABLE PURPOSES -----
OTHER EXPENSES	750.	750.
TOTALS	----- 750. =====	----- 750. =====

=====

RECIPIENT NAME:

MAIMONIDES MEDICAL CENTER

ADDRESS:

.4802 TENTH AVENUE

BROOKLYN, NY 11219

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 25,000.

RECIPIENT NAME:

92ND STREET YM-YWHA

ADDRESS:

1895 LEXINGTON AVENUE

NEW YORK, NY 10128

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 25,000.

RECIPIENT NAME:

FJC FBO HIDDEN SPARKS

ADDRESS:

520 EIGHTH AVENUE

NEW YORK, NY 10018

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 50,000.

=====

RECIPIENT NAME:

JEWISH BOARD OF FAMILY  
AND CHILDRENS SVCS, INC

ADDRESS:

135 WEST 50TH ST. 6TH FL  
NEW YORK, NY 10020

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 50,000.

RECIPIENT NAME:

CHAI LIFELINE

ADDRESS:

151 W 30TH STREET  
NEW YORK, NY 10001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 25,000.

RECIPIENT NAME:

THE EDUCATIONAL ALLIANCE

ADDRESS:

197 EAST BROADWAY  
NEW YORK, NY 10002

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 25,000.

=====

RECIPIENT NAME:

PROJECT LEAD

ADDRESS:

123-19 HILLSIDE AVENUE  
RICHMOND HILL, NY 11418

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 10,000.

RECIPIENT NAME:

UJA- FEDERATION OF JEWISH  
PHILANTHROPIES OF NY

ADDRESS:

130 EAST 59TH STREET  
NEW YORK, NY 11367-1719

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 80,000.

RECIPIENT NAME:

LEXINGTON HEARING AND  
SPEECH CENTER, INC.

ADDRESS:

30TH AVENUE & 75TH STREET  
JACKSON HEIGHTS, NY 11370

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 25,000.

=====

RECIPIENT NAME:

CANCER CARE, INC

ADDRESS:

275 SEVENTH AVENUE

NEW YORK, NY 10001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 110,000.

RECIPIENT NAME:

JBI INTERNATIONAL

ADDRESS:

110 EAST 30TH STREET

NEW YORK, NY 10016

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 25,000.

RECIPIENT NAME:

JEWISH CHILD CARE ASSOCIATION

OF NEW YORK

ADDRESS:

120 WALL STREET

NEW YORK, NY 10005

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 30,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

MOUNT SINAI SCHOOL OF  
MEDICINE

ADDRESS:

FIFTH AVENUE AT 100TH STREET  
NEW YORK, NY 10029-6574

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 100,000.

RECIPIENT NAME:

Foundation for Jewish Camp, Inc.

ADDRESS:

253 WEST 35 ST 4TH FLOOR  
New York, NY 10001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 80,000.

RECIPIENT NAME:

JEWISH COMMUNAL FUND

ADDRESS:

575 MADISON AVE STE 703  
New York, NY 10022

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 102,000.

=====

RECIPIENT NAME:

JEWISH FUNDERS NETWORK

ADDRESS:

150 WEST 30TH ST. SUITE 900

New York, NY 10001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 1,200.

TOTAL GRANTS PAID:

763,200.

=====

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ Attach to Form 1041, Form 5227, or Form 990-T.

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Information about Schedule D and its separate instructions is at [www.irs.gov/form1041](http://www.irs.gov/form1041)

OMB No 1545-0092

**2013**

Name of estate or trust

OPPENHEIMER&HAAS P61291004

Employer identification number

13-6013101

**Note:** Form 5227 filers need to complete **only** Parts I and II

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
<b>1a</b> Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
<b>1b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box A</b> checked . . . . .				
<b>2</b> Totals for all transactions reported on Form(s) 8949 with <b>Box B</b> checked . . . . .				
<b>3</b> Totals for all transactions reported on Form(s) 8949 with <b>Box C</b> checked . . . . .	1,928,246.	1,916,983.		11,263.
<b>4</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .				<b>4</b>
<b>5</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .				<b>5</b>
<b>6</b> Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet . . . . .				<b>6</b> ( )
<b>7</b> <b>Net short-term capital gain or (loss).</b> Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back . . . . . ▶				<b>7</b> 11,263.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
<b>8a</b> Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
<b>8b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box D</b> checked . . . . .				
<b>9</b> Totals for all transactions reported on Form(s) 8949 with <b>Box E</b> checked . . . . .				
<b>10</b> Totals for all transactions reported on Form(s) 8949 with <b>Box F</b> checked . . . . .	5,706,476.	5,017,650.		688,826.
<b>11</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .				<b>11</b>
<b>12</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts. . . . .				<b>12</b>
<b>13</b> Capital gain distributions. . . . .				<b>13</b> 263,033.
<b>14</b> Gain from Form 4797, Part I. . . . .				<b>14</b>
<b>15</b> Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet . . . . .				<b>15</b> ( )
<b>16</b> <b>Net long-term capital gain or (loss).</b> Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back . . . . . ▶				<b>16</b> 951,859.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

JSA  
3F1210 1 000

PU5992 501G 04/30/2014 14:36:08

P61291004

27 -



**Part III Summary of Parts I and II****Caution:** Read the instructions *before* completing this part.

	(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
<b>17</b> Net short-term gain or (loss) . . . . .	<b>17</b>		11,263.
<b>18</b> Net long-term gain or (loss):			
<b>a</b> Total for year . . . . .	<b>18a</b>		951,859.
<b>b</b> Unrecaptured section 1250 gain (see line 18 of the wrksh.) . . . .	<b>18b</b>		
<b>c</b> 28% rate gain . . . . .	<b>18c</b>		
<b>19</b> Total net gain or (loss). Combine lines 17 and 18a. . . . . ▶	<b>19</b>		963,122.

**Note:** If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

**Part IV Capital Loss Limitation**

**20** Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:

**a** The loss on line 19, column (3) or **b** \$3,000 . . . . . **20** ( )

**Note:** If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if.

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

**Form 990-T trusts.** Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

<b>21</b> Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). . .	<b>21</b>		
<b>22</b> Enter the <b>smaller</b> of line 18a or 19 in column (2) but not less than zero . . . . .	<b>22</b>		
<b>23</b> Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . .	<b>23</b>		
<b>24</b> Add lines 22 and 23 . . . . .	<b>24</b>		
<b>25</b> If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	<b>25</b>		
<b>26</b> Subtract line 25 from line 24. If zero or less, enter -0- . . . . .	<b>26</b>		
<b>27</b> Subtract line 26 from line 21. If zero or less, enter -0- . . . . .	<b>27</b>		
<b>28</b> Enter the <b>smaller</b> of the amount on line 21 or \$2,450 . . . . .	<b>28</b>		
<b>29</b> Enter the <b>smaller</b> of the amount on line 27 or line 28 . . . . .	<b>29</b>		
<b>30</b> Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% . . . . . ▶	<b>30</b>		
<b>31</b> Enter the smaller of line 21 or line 26 . . . . .	<b>31</b>		
<b>32</b> Subtract line 30 from line 26 . . . . .	<b>32</b>		
<b>33</b> Enter the <b>smaller</b> of line 21 or \$11,950 . . . . .	<b>33</b>		
<b>34</b> Add lines 27 and 30 . . . . .	<b>34</b>		
<b>35</b> Subtract line 34 from line 33. If zero or less, enter -0- . . . . .	<b>35</b>		
<b>36</b> Enter the <b>smaller</b> of line 32 or line 35 . . . . .	<b>36</b>		
<b>37</b> Multiply line 36 by 15% . . . . . ▶	<b>37</b>		
<b>38</b> Enter the amount from line 31 . . . . .	<b>38</b>		
<b>39</b> Add lines 30 and 36 . . . . .	<b>39</b>		
<b>40</b> Subtract line 39 from line 38. If zero or less, enter -0- . . . . .	<b>40</b>		
<b>41</b> Multiply line 40 by 20% . . . . . ▶	<b>41</b>		
<b>42</b> Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . .	<b>42</b>		
<b>43</b> Add lines 37, 41, and 42 . . . . .	<b>43</b>		
<b>44</b> Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . .	<b>44</b>		
<b>45</b> Tax on all taxable income. Enter the <b>smaller</b> of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . . ▶	<b>45</b>		

Schedule D (Form 1041) 2013

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

13-6013101

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☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
5.	KINDER MORGAN INC	06/29/2012	01/10/2013	185.00	161.00			24.00
5.	KINDER MORGAN INC	VAR	01/10/2013	186.00	161.00			25.00
7.	KINDER MORGAN INC	06/29/2012	01/10/2013	260.00	225.00			35.00
34.	KINDER MORGAN INC	VAR	01/10/2013	1,261.00	1,087.00			174.00
2.	KINDER MORGAN INC	06/29/2012	01/11/2013	74.00	63.00			11.00
2.	KINDER MORGAN INC	VAR	01/11/2013	74.00	63.00			11.00
5.	KINDER MORGAN INC	06/22/2012	01/11/2013	185.00	158.00			27.00
3.	KINDER MORGAN INC	06/22/2012	01/11/2013	111.00	95.00			16.00
5.	KINDER MORGAN INC	06/22/2012	01/11/2013	185.00	158.00			27.00
6.	KINDER MORGAN INC	06/22/2012	01/14/2013	222.00	190.00			32.00
5.	KINDER MORGAN INC	VAR	01/14/2013	185.00	154.00			31.00
1.	LINKEDIN CORP - A	04/09/2012	01/14/2013	117.00	99.00			18.00
8.	LINKEDIN CORP - A	04/09/2012	01/14/2013	940.00	792.00			148.00
6.	LINKEDIN CORP - A	04/09/2012	01/14/2013	705.00	594.00			111.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

**For Paperwork Reduction Act Notice, see your tax return instructions.**

Form **8949** (2013)

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)**2013**Attachment  
Sequence No **12A**

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Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

13-6013101

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- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
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- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	KINDER MORGAN INC	06/22/2012	01/15/2013	37.00	32.00			5.00
2.	KINDER MORGAN INC	06/22/2012	01/15/2013	74.00	63.00			11.00
7.	KINDER MORGAN INC	VAR	01/15/2013	258.00	221.00			37.00
4.	KINDER MORGAN INC	VAR	01/15/2013	147.00	125.00			22.00
3.	LINKEDIN CORP - A	04/09/2012	01/15/2013	353.00	297.00			56.00
9.	LINKEDIN CORP - A	04/09/2012	01/15/2013	1,061.00	891.00			170.00
30.	LINKEDIN CORP - A	04/09/2012	01/15/2013	3,534.00	2,970.00			564.00
3.	GOLDMAN SACHS GROUP INC	01/26/2012	01/16/2013	422.00	326.00			96.00
18.	GOLDMAN SACHS GROUP IN	01/26/2012	01/16/2013	2,514.00	1,955.00			559.00
1.	GOLDMAN SACHS GROUP INC	01/26/2012	01/16/2013	141.00	109.00			32.00
2.	GOLDMAN SACHS GROUP INC	01/26/2012	01/16/2013	282.00	217.00			65.00
3.	KINDER MORGAN INC	VAR	01/16/2013	110.00	93.00			17.00
3	KINDER MORGAN INC	06/28/2012	01/16/2013	110.00	93.00			17.00
2.	KINDER MORGAN INC	06/28/2012	01/16/2013	73.00	62.00			11.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if <b>Box A</b> above is checked), line 2 (if <b>Box B</b> above is checked), or line 3 (if <b>Box C</b> above is checked) ►								

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Form **8949** (2013)JSA  
3X2615 2 000

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
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Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
12.	KINDER MORGAN INC	06/28/2012	01/16/2013	439.00	374.00			65.00
2.	KINDER MORGAN INC	06/28/2012	01/16/2013	73.00	62.00			11.00
7.	KINDER MORGAN INC	VAR	01/16/2013	257.00	218.00			39.00
6.	KINDER MORGAN INC	VAR	01/16/2013	220.00	187.00			33.00
5.	LINKEDIN CORP - A	04/09/2012	01/16/2013	586.00	495.00			91.00
3.	LINKEDIN CORP - A	04/09/2012	01/16/2013	355.00	297.00			58.00
15.	LINKEDIN CORP - A	04/09/2012	01/16/2013	1,760.00	1,485.00			275.00
6.	LINKEDIN CORP - A	04/09/2012	01/16/2013	708.00	594.00			114.00
1.	KINDER MORGAN INC	06/27/2012	01/17/2013	37.00	31.00			6.00
4.	KINDER MORGAN INC	06/27/2012	01/17/2013	150.00	124.00			26.00
24	KINDER MORGAN INC	VAR	01/17/2013	892.00	745.00			147.00
1.	KINDER MORGAN INC	06/27/2012	01/17/2013	37.00	31.00			6.00
6.	KINDER MORGAN INC	VAR	01/17/2013	223.00	186.00			37.00
1.	KINDER MORGAN INC	06/25/2012	01/17/2013	37.00	31.00			6.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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JSA  
3X2615 2 000Form **8949** (2013)

Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
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Social security number or taxpayer identification number

OPPENHEIMER&amp;HAAS P61291004

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- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example. 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
7.	KINDER MORGAN INC	06/25/2012	01/17/2013	261.00	217.00			44.00
5.	KINDER MORGAN INC	VAR	01/17/2013	186.00	155.00			31.00
1.	KINDER MORGAN INC	06/28/2012	01/17/2013	37.00	31.00			6.00
1.	LINKEDIN CORP - A	04/09/2012	01/17/2013	118.00	99.00			19.00
1.	LINKEDIN CORP - A	04/09/2012	01/17/2013	118.00	99.00			19.00
5.	LINKEDIN CORP - A	04/09/2012	01/17/2013	592.00	495.00			97.00
1.	LINKEDIN CORP - A	04/09/2012	01/17/2013	118.00	99.00			19.00
7.	LINKEDIN CORP - A	04/09/2012	01/17/2013	829.00	693.00			136.00
5.	ORACLE CORP	04/12/2012	01/17/2013	174.00	143.00			31.00
32.	EOG RESOURCES INC	VAR	01/18/2013	4,038.00	3,408.00			630.00
8.	EOG RESOURCES INC	01/19/2012	01/18/2013	1,004.00	847.00			157.00
3.	KINDER MORGAN INC	06/28/2012	01/18/2013	112.00	93.00			19.00
2.	KINDER MORGAN INC	06/28/2012	01/18/2013	74.00	62.00			12.00
17.	KINDER MORGAN INC	VAR	01/18/2013	632.00	527.00			105.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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3X2615 2 000

Form **8949** (2013)

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

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1	(a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g).
						(f) Code(s) from instructions	(g) Amount of adjustment	
8. KINDER MORGAN INC		VAR	01/18/2013	299.00	248.00			51.00
1. KINDER MORGAN INC		06/27/2012	01/18/2013	37.00	31.00			6.00
12. KINDER MORGAN INC		VAR	01/18/2013	447.00	372.00			75.00
1. KINDER MORGAN INC		06/28/2012	01/22/2013	38.00	31.00			7.00
4. KINDER MORGAN INC		VAR	01/22/2013	150.00	124.00			26.00
6. KINDER MORGAN INC		VAR	01/22/2013	226.00	186.00			40.00
5. KINDER MORGAN INC		VAR	01/22/2013	187.00	155.00			32.00
11. KINDER MORGAN INC		VAR	01/22/2013	413.00	339.00			74.00
10. KINDER MORGAN INC		06/26/2012	01/22/2013	377.00	308.00			69.00
4. KINDER MORGAN INC		06/26/2012	01/22/2013	150.00	123.00			27.00
3. KINDER MORGAN INC		06/26/2012	01/23/2013	113.00	92.00			21.00
3. KINDER MORGAN INC		06/26/2012	01/23/2013	113.00	92.00			21.00
6. KINDER MORGAN INC		06/26/2012	01/23/2013	226.00	185.00			41.00
5. KINDER MORGAN INC		06/26/2012	01/23/2013	188.00	154.00			34.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA  
3X2615 2 000

► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)**2013**Department of the Treasury  
Internal Revenue Service

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Attachment  
Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

OPPENHEIMER&amp;HAAS P61291004

13-6013101

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

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**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss if you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
4.	KINDER MORGAN INC	06/26/2012	01/24/2013	149.00	123.00			26.00
3	KINDER MORGAN INC	06/26/2012	01/24/2013	112.00	92.00			20.00
2.	KINDER MORGAN INC	06/26/2012	01/24/2013	75.00	62.00			13.00
1.	KINDER MORGAN INC	06/25/2012	01/25/2013	37.00	31.00			6.00
3.	KINDER MORGAN INC	06/25/2012	01/25/2013	112.00	92.00			20.00
12.	KINDER MORGAN INC	VAR	01/25/2013	447.00	369.00			78.00
2.	KINDER MORGAN INC	06/25/2012	01/25/2013	75.00	62.00			13.00
16.	KINDER MORGAN INC	VAR	01/28/2013	600.00	492.00			108.00
2.	KINDER MORGAN INC	06/26/2012	01/28/2013	75.00	61.00			14.00
1.	KINDER MORGAN INC	06/26/2012	01/28/2013	38.00	31.00			7.00
3.	AMERICAN EXPRESS CO	10/22/2012	02/01/2013	180.00	170.00			10.00
16.	AMERICAN EXPRESS CO	10/22/2012	02/01/2013	958.00	906.00			52.00
13.	AMERICAN EXPRESS CO	VAR	02/01/2013	778.00	735.00			43.00
1.	AMERICAN EXPRESS CO	10/23/2012	02/01/2013	60.00	56.00			4.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA  
3X2615 2 000

Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)**2013**Attachment  
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

OPPENHEIMER&amp;HAAS P61291004

13-6013101

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☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
18.	AMERICAN EXPRESS CO	VAR	02/01/2013	1,079.00	1,008.00			71.00
2.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	119.00	112.00			7.00
12.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	711.00	670.00			41.00
18.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	1,070.00	1,005.00			65.00
10.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	595.00	558.00			37.00
3.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	178.00	167.00			11.00
7.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	417.00	391.00			26.00
18.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	1,071.00	1,005.00			66.00
3.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	178.00	167.00			11.00
9.	AMERICAN EXPRESS CO	10/23/2012	02/05/2013	541.00	502.00			39.00
17.	AMERICAN EXPRESS CO	10/23/2012	02/05/2013	1,026.00	949.00			77.00
5.	AMERICAN EXPRESS CO	10/23/2012	02/05/2013	302.00	279.00			23.00
1.	AMERICAN EXPRESS CO	10/23/2012	02/05/2013	60.00	56.00			4.00
6.	AMERICAN EXPRESS CO	10/23/2012	02/05/2013	363.00	335.00			28.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

**For Paperwork Reduction Act Notice, see your tax return instructions.**

Form **8949** (2013)



**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

13-6013101

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**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

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- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
16.	AMERICAN EXPRESS CO	VAR	02/05/2013	965.00	893.00			72.00
5.	AMERICAN EXPRESS CO	10/23/2012	02/05/2013	301.00	278.00			23.00
11.	TIME WARNER CABLE INC	07/27/2012	02/07/2013	964.00	930.00			34.00
94.	TIME WARNER CABLE INC	07/27/2012	02/07/2013	8,242.00	7,949.00			293.00
105.	NETAPP INC	03/20/2012	02/08/2013	3,774.00	4,767.00			-993.00
11.	NETAPP INC	03/20/2012	02/08/2013	393.00	499.00			-106.00
15.	NETAPP INC	03/20/2012	02/08/2013	540.00	681.00			-141.00
19.	NETAPP INC	03/20/2012	02/08/2013	676.00	863.00			-187.00
20.	TIME WARNER CABLE INC	07/27/2012	02/08/2013	1,751.00	1,691.00			60.00
136.	NETAPP INC	07/06/2012	02/11/2013	4,827.00	4,037.00			790.00
21.	GENERAL MLS INC	04/13/2012	02/13/2013	900.00	816.00			84.00
234	GENERAL MLS INC	VAR	02/13/2013	10,043.00	9,065.00			978.00
37.	HALLIBURTON CO	01/07/2013	02/13/2013	1,516.00	1,356.00			160.00
220.	HALLIBURTON CO	VAR	02/13/2013	8,979.00	8,048.00			931.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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**For Paperwork Reduction Act Notice, see your tax return instructions.**

3X2615 2 000

Form **8949** (2013)

Form **8949**Department of the Treasury  
Internal Revenue Service

Name(s) shown on return

**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

**2013**Attachment  
Sequence No **12A**

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number  
13-6013101

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	20. PIONEER NAT RES CO	04/19/2012	02/26/2013	2,419.00	2,117.00			302.00
	150. FREEPORT-MCMORAN COPP B	03/20/2012	03/01/2013	4,707.00	5,853.00			-1,146.00
	27. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	957.00	917.00			40.00
	11. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	390.00	374.00			16.00
	18. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	643.00	611.00			32.00
	18. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	641.00	611.00			30.00
	10. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	361.00	340.00			21.00
	11. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	398.00	374.00			24.00
	6. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	216.00	204.00			12.00
	20. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	719.00	679.00			40.00
	17. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	610.00	577.00			33.00
	121. QUALCOMM INC	05/07/2012	03/06/2013	8,060.00	7,493.00			567.00
	29. QUALCOMM INC	VAR	03/06/2013	1,935.00	1,778.00			157.00
	5. WELLS FARGO & CO NEW	03/16/2012	03/06/2013	180.00	170.00			10.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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For Paperwork Reduction Act Notice, see your tax return instructions.  
JSA  
3X2615 2 000

Form **8949** (2013)

Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
Sequence No **12A**

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Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
35.	WELLS FARGO & CO NEW	03/16/2012	03/06/2013	1,259.00	1,189.00			70.00
36.	QUALCOMM INC	12/14/2012	03/07/2013	2,396.00	2,170.00			226.00
23.	QUALCOMM INC	12/14/2012	03/08/2013	1,538.00	1,386.00			152.00
26.	WELLS FARGO & CO NEW	03/16/2012	03/12/2013	955.00	883.00			72.00
149.	MICROSOFT CORP	07/06/2012	03/15/2013	4,176.00	4,484.00			-308.00
13.	CBS CORP	12/03/2012	03/19/2013	607.00	470.00			137.00
21.	CBS CORP	12/03/2012	03/19/2013	960.00	759.00			201.00
13.	CBS CORP	12/03/2012	03/19/2013	605.00	470.00			135.00
29.	CBS CORP	12/03/2012	03/19/2013	1,328.00	1,048.00			280.00
8.	CBS CORP	12/03/2012	03/19/2013	366.00	289.00			77.00
19.	CBS CORP	12/03/2012	03/19/2013	867.00	687.00			180.00
11.	CBS CORP	12/03/2012	03/19/2013	501.00	398.00			103.00
23.	CBS CORP	VAR	03/20/2013	1,067.00	828.00			239.00
6.	CBS CORP	12/04/2012	03/20/2013	278.00	214.00			64.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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**For Paperwork Reduction Act Notice, see your tax return instructions.**

Form **8949** (2013)JSA  
3X2615 2 000

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)**2013**Attachment  
Sequence No **12A**

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Social security number or taxpayer identification number

OPPENHEIMER&amp;HAAS P61291004

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- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
24.	CBS CORP	12/04/2012	03/20/2013	1,112.00	857.00			255.00
29.	CBS CORP	12/04/2012	03/25/2013	1,317.00	1,036.00			281.00
63.	CBS CORP	12/04/2012	03/25/2013	2,866.00	2,250.00			616.00
18.	CBS CORP	12/04/2012	03/25/2013	817.00	643.00			174.00
20.	CBS CORP	12/04/2012	03/26/2013	915.00	714.00			201.00
22.	CBS CORP	12/04/2012	03/26/2013	1,001.00	786.00			215.00
15.	CBS CORP	12/05/2012	03/26/2013	681.00	535.00			146.00
23.	CBS CORP	12/05/2012	03/28/2013	1,074.00	821.00			253.00
15.	CBS CORP	12/05/2012	03/28/2013	696.00	535.00			161.00
15.	CBS CORP	12/05/2012	04/01/2013	698.00	535.00			163.00
12.	CBS CORP	12/05/2012	04/01/2013	548.00	428.00			120.00
9.	CBS CORP	12/05/2012	04/01/2013	412.00	321.00			91.00
12.	CBS CORP	VAR	04/01/2013	548.00	428.00			120.00
14.	CBS CORP	12/05/2012	04/02/2013	635.00	498.00			137.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.  
JSA  
3X2615 2 000

Form **8949** (2013)

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

OPPENHEIMER&amp;HAAS P61291004

13-6013101

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- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
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- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	20. CBS CORP	12/05/2012	04/03/2013	897.00	712.00			185.00
	45. BAIDU.COM-ADR	09/26/2012	04/04/2013	3,832.00	5,084.00			-1,252.00
	34. CBS CORP	12/05/2012	04/04/2013	1,531.00	1,210.00			321.00
	88. CAMERON INTERNATIONAL	VAR	04/04/2013	5,389.00	5,660.00			-271.00
	175. CHENIERE ENERGY INC	VAR	04/04/2013	4,434.00	3,764.00			670.00
	35. CAMERON INTERNATIONAL	VAR	04/05/2013	2,127.00	2,008.00			119.00
	116. CHENIERE ENERGY INC	VAR	04/05/2013	2,983.00	1,978.00			1,005.00
	4. CAREFUSION CORPORATION	VAR	04/08/2013	137.00	114.00			23.00
	3. CAREFUSION CORPORATION	VAR	04/08/2013	103.00	85.00			18.00
	1. CAREFUSION CORPORATION	09/18/2012	04/08/2013	34.00	28.00			6.00
	105. CAREFUSION CORPORATIO	VAR	04/08/2013	3,599.00	2,926.00			673.00
	14. CAREFUSION CORPORATION	VAR	04/08/2013	480.00	389.00			91.00
	91. PRUDENTIAL FINL INC	06/05/2012	04/08/2013	5,053.00	4,179.00			874.00
	24. CAREFUSION CORPORATION	VAR	04/09/2013	831.00	665.00			166.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

**For Paperwork Reduction Act Notice, see your tax return instructions.**  
JSA  
3X2615 2 000

Form **8949** (2013)

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

OPPENHEIMER&amp;HAAS P61291004

13-6013101

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
44.	CAREFUSION CORPORATION	VAR	04/09/2013	1,521.00	1,186.00			335.00
24.	CAREFUSION CORPORATION	VAR	04/09/2013	828.00	626.00			202.00
13.	CAREFUSION CORPORATION	04/30/2012	04/10/2013	451.00	339.00			112.00
56.	CAREFUSION CORPORATION	VAR	04/10/2013	1,943.00	1,453.00			490.00
4.	CAREFUSION CORPORATION	04/27/2012	04/10/2013	139.00	104.00			35.00
48.	EMERSON ELEC CO	VAR	04/12/2013	2,684.00	2,643.00			41.00
26.	EMERSON ELEC CO	VAR	04/12/2013	1,449.00	1,425.00			24.00
31.	COGNIZANT TECHNOLOGY S A	05/07/2012	04/19/2013	2,147.00	1,809.00			338.00
137.	3M CO	VAR	04/22/2013	14,478.00	13,286.00			1,192.00
81.	CISCO SYS INC	02/08/2013	04/25/2013	1,669.00	1,719.00			-50.00
35.	CISCO SYS INC	02/08/2013	04/25/2013	723.00	743.00			-20.00
146.	CISCO SYS INC	VAR	04/25/2013	3,017.00	3,097.00			-80.00
250.	CISCO SYS INC	01/16/2013	04/25/2013	5,161.00	5,279.00			-118.00
88.	CISCO SYS INC	VAR	04/26/2013	1,811.00	1,810.00			1.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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For Paperwork Reduction Act Notice, see your tax return instructions.  
JSA  
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Form **8949** (2013)

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
Sequence No **12A**

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Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

13-6013101

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
32.	CISCO SYS INC	12/05/2012	04/26/2013	658.00	620.00			38.00
10.	CISCO SYS INC	12/05/2012	04/26/2013	206.00	194.00			12.00
4.	CISCO SYS INC	12/05/2012	04/26/2013	82.00	78.00			4.00
83.	CISCO SYS INC	VAR	04/26/2013	1,709.00	1,607.00			102.00
44.	CISCO SYS INC	12/05/2012	04/29/2013	916.00	852.00			64.00
82.	MERCK & CO INC	03/12/2013	05/01/2013	3,752.00	3,706.00			46.00
62.	MERCK & CO INC	03/12/2013	05/01/2013	2,813.00	2,802.00			11.00
76.	AMERICAN INTL GROUP IN	VAR	05/07/2013	3,400.00	2,978.00			422.00
218.	AMERICAN INTL GROUP I	VAR	05/07/2013	9,702.00	8,462.00			1,240.00
87.	CISCO SYS INC	VAR	05/07/2013	1,768.00	1,684.00			84.00
36.	VMWARE INC-CLASS A	VAR	05/07/2013	2,761.00	3,056.00			-295.00
63.	CISCO SYS INC	12/05/2012	05/08/2013	1,297.00	1,219.00			78.00
51.	CME GROUP INC	06/05/2012	05/09/2013	3,103.00	2,734.00			369.00
34.	CME GROUP INC	VAR	05/10/2013	2,094.00	1,751.00			343.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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For Paperwork Reduction Act Notice, see your tax return instructions.

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3X2615 2 000Form **8949** (2013)

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)**2013**Attachment  
Sequence No **12A**

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Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

13-6013101

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						(f) Code(s) from instructions	(g) Amount of adjustment	
35.	CME GROUP INC	VAR	05/10/2013	2,126.00	1,786.00			340.00
11.	TEXAS INSTRS INC	03/07/2013	05/14/2013	402.00	387.00			15.00
8.	TEXAS INSTRS INC	VAR	05/15/2013	294.00	281.00			13.00
9.	TEXAS INSTRS INC	03/07/2013	05/15/2013	331.00	317.00			14.00
7.	TEXAS INSTRS INC	03/07/2013	05/15/2013	257.00	246.00			11.00
4.	TEXAS INSTRS INC	03/07/2013	05/16/2013	147.00	141.00			6.00
11.	TEXAS INSTRS INC	03/07/2013	05/16/2013	405.00	387.00			18.00
12.	TEXAS INSTRS INC	03/07/2013	05/16/2013	439.00	422.00			17.00
13.	TEXAS INSTRS INC	03/07/2013	05/16/2013	477.00	457.00			20.00
5.	CHENIERE ENERGY INC	12/14/2012	05/17/2013	153.00	85.00			68.00
3.	CHENIERE ENERGY INC	12/14/2012	05/17/2013	92.00	51.00			41.00
21.	CHENIERE ENERGY INC	12/14/2012	05/17/2013	645.00	358.00			287.00
8.	CHENIERE ENERGY INC	12/14/2012	05/17/2013	245.00	136.00			109.00
7.	CHENIERE ENERGY INC	12/14/2012	05/17/2013	214.00	119.00			95.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Form **8949** (2013)



**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
Sequence No **12A**

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Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

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						(f) Code(s) from instructions	(g) Amount of adjustment	
35.	TEXAS INSTRS INC	VAR	05/17/2013	1,282.00	1,230.00			52.00
1	CHENIERE ENERGY INC	12/14/2012	05/20/2013	31.00	17.00			14.00
7.	CHENIERE ENERGY INC	12/14/2012	05/20/2013	217.00	119.00			98.00
2.	CHENIERE ENERGY INC	12/14/2012	05/20/2013	62.00	34.00			28.00
4.	CHENIERE ENERGY INC	12/14/2012	05/20/2013	123.00	68.00			55.00
1.	CHENIERE ENERGY INC	12/14/2012	05/20/2013	31.00	17.00			14.00
4.	CHENIERE ENERGY INC	12/14/2012	05/20/2013	123.00	68.00			55.00
2.	CHENIERE ENERGY INC	12/14/2012	05/20/2013	61.00	34.00			27.00
32.	TEXAS INSTRS INC	03/06/2013	05/20/2013	1,175.00	1,123.00			52.00
5	CHENIERE ENERGY INC	12/14/2012	05/21/2013	153.00	85.00			68.00
15.	CHENIERE ENERGY INC	VAR	05/21/2013	459.00	246.00			213.00
3.	CHENIERE ENERGY INC	10/26/2012	05/21/2013	92.00	48.00			44.00
16.	TEXAS INSTRS INC	03/06/2013	05/21/2013	587.00	562.00			25.00
3.	CHENIERE ENERGY INC	10/22/2012	05/22/2013	90.00	48.00			42.00
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For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA  
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**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)**2013**Department of the Treasury  
Internal Revenue Service

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Attachment  
Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

OPPENHEIMER&amp;HAAS P61291004

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- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	CHENIERE ENERGY INC	10/22/2012	05/22/2013	59.00	32.00			27.00
2.	CHENIERE ENERGY INC	10/22/2012	05/22/2013	61.00	32.00			29.00
13.	CHENIERE ENERGY INC	10/22/2012	05/22/2013	400.00	207.00			193.00
3.	CHENIERE ENERGY INC	10/22/2012	05/22/2013	92.00	48.00			44.00
27.	TEXAS INSTRS INC	03/06/2013	05/22/2013	969.00	948.00			21.00
12.	CHENIERE ENERGY INC	10/22/2012	05/23/2013	350.00	191.00			159.00
6	CHENIERE ENERGY INC	10/22/2012	05/23/2013	174.00	96.00			78.00
2.	CHENIERE ENERGY INC	10/22/2012	05/23/2013	58.00	32.00			26.00
172.	TEXAS INSTRS INC	VAR	05/23/2013	6,175.00	6,035.00			140.00
70.	TEXAS INSTRS INC	VAR	05/23/2013	2,515.00	2,451.00			64.00
120.	VMWARE INC-CLASS A	VAR	05/30/2013	8,577.00	10,121.00			-1,544.00
1.	WILLIAMS COS INC	01/11/2013	06/07/2013	35.00	34.00			1.00
34	WILLIAMS COS INC	01/11/2013	06/07/2013	1,197.00	1,156.00			41.00
6.	WILLIAMS COS INC	01/11/2013	06/07/2013	211.00	204.00			7.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

**For Paperwork Reduction Act Notice, see your tax return instructions.**

Form **8949** (2013)

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)**2013**Attachment  
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

13-6013101

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part I Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
13	WILLIAMS COS INC	01/11/2013	06/10/2013	455.00	442.00			13.00
12.	WILLIAMS COS INC	VAR	06/10/2013	422.00	406.00			16.00
19.	WILLIAMS COS INC	01/10/2013	06/10/2013	666.00	641.00			25.00
15.	WILLIAMS COS INC	01/10/2013	06/10/2013	526.00	506.00			20.00
53.	WILLIAMS COS INC	01/10/2013	06/13/2013	1,781.00	1,789.00			-8.00
52.	WILLIAMS COS INC	VAR	06/13/2013	1,743.00	1,754.00			-11.00
43.	WILLIAMS COS INC	VAR	06/13/2013	1,433.00	1,446.00			-13.00
57.	OCCIDENTAL PETE CORP	VAR	06/21/2013	5,123.00	5,282.00			-159.00
12.	ALLERGAN INC	05/07/2013	06/24/2013	1,013.00	1,254.00			-241.00
6.	ALLERGAN INC	05/07/2013	06/24/2013	504.00	627.00			-123.00
16.	ALLERGAN INC	05/07/2013	06/24/2013	1,337.00	1,672.00			-335.00
11.	ALLERGAN INC	VAR	06/24/2013	943.00	1,103.00			-160.00
9.	ALLERGAN INC	05/22/2013	06/24/2013	753.00	888.00			-135.00
3.	ALLERGAN INC	05/22/2013	06/24/2013	254.00	296.00			-42.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA  
3X2615 2 000

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)**2013**Attachment  
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

13-6013101

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

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**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	8. ALLERGAN INC	05/22/2013	06/24/2013	657.00	789.00			-132.00
	6. ALLERGAN INC	05/22/2013	06/24/2013	515.00	592.00			-77.00
	8. ALLERGAN INC	05/22/2013	06/25/2013	680.00	789.00			-109.00
	2 INVESCO LTD SHS	12/14/2012	06/28/2013	64.00	51.00			13.00
	9. INVESCO LTD SHS	12/14/2012	06/28/2013	286.00	229.00			57.00
	6. INVESCO LTD SHS	12/14/2012	06/28/2013	191.00	153.00			38.00
	15. INVESCO LTD SHS	12/14/2012	06/28/2013	477.00	382.00			95.00
	9. INVESCO LTD SHS	12/14/2012	06/28/2013	287.00	229.00			58.00
	11. ONYX PHARMACEUTICALS I	04/01/2013	07/01/2013	1,442.00	1,003.00			439.00
	18. ONYX PHARMACEUTICALS I	VAR	07/01/2013	2,361.00	1,622.00			739.00
	15. ONYX PHARMACEUTICALS I	03/28/2013	07/01/2013	1,976.00	1,339.00			637.00
	4. ONYX PHARMACEUTICALS IN	03/28/2013	07/01/2013	525.00	357.00			168.00
	2. INVESCO LTD SHS	12/14/2012	07/01/2013	64.00	51.00			13.00
	5. INVESCO LTD SHS	12/14/2012	07/01/2013	161.00	127.00			34.00
	<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►							

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA  
3X2615 2 000

**Sales and Other Dispositions of Capital Assets**

OMB No. 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
Sequence No. **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

13-6013101

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

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- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
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- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss if you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	INVESCO LTD SHS	12/14/2012	07/01/2013	32.00	25.00			7.00
1.	INVESCO LTD SHS	12/14/2012	07/01/2013	32.00	25.00			7.00
68.	PHILLIPS 66	VAR	07/02/2013	3,880.00	3,632.00			248.00
3.	INVESCO LTD SHS	12/14/2012	07/02/2013	95.00	76.00			19.00
13.	APACHE CORP	05/20/2013	07/03/2013	1,049.00	1,092.00			-43.00
4.	APACHE CORP	05/20/2013	07/03/2013	322.00	336.00			-14.00
6.	APACHE CORP	05/20/2013	07/03/2013	480.00	504.00			-24.00
3.	INVESCO LTD SHS	12/14/2012	07/03/2013	95.00	76.00			19.00
1.	INVESCO LTD SHS	12/14/2012	07/05/2013	32.00	25.00			7.00
4	INVESCO LTD SHS	12/14/2012	07/05/2013	127.00	102.00			25.00
1.	INVESCO LTD SHS	12/14/2012	07/08/2013	32.00	25.00			7.00
1.	INVESCO LTD SHS	12/14/2012	07/08/2013	32.00	25.00			7.00
5.	INVESCO LTD SHS	12/14/2012	07/08/2013	160.00	127.00			33.00
1.	INVESCO LTD SHS	12/14/2012	07/08/2013	32.00	25.00			7.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA  
3X2615 2 000

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)**2013**Attachment  
Sequence No **12A**

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Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

13-6013101

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**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

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1	(a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	185000. CS REN HSCEI 02/	02/01/2013	07/09/2013	135,328.00	183,150.00			-47,822.00
	1. INVESCO LTD SHS	12/14/2012	07/09/2013	32.00	25.00			7.00
	1. INVESCO LTD SHS	12/14/2012	07/09/2013	32.00	25.00			7.00
	10. INVESCO LTD SHS	12/14/2012	07/09/2013	320.00	255.00			65.00
	1. INVESCO LTD SHS	12/14/2012	07/10/2013	32.00	25.00			7.00
	1. INVESCO LTD SHS	12/14/2012	07/10/2013	32.00	25.00			7.00
	9. INVESCO LTD SHS	12/14/2012	07/10/2013	283.00	229.00			54.00
	1. INVESCO LTD SHS	12/14/2012	07/11/2013	32.00	25.00			7.00
	19. INVESCO LTD SHS	VAR	07/11/2013	609.00	484.00			125.00
	7. INVESCO LTD SHS	12/14/2012	07/11/2013	224.00	178.00			46.00
	21. PHILIP MORRIS INTERNAT	01/15/2013	07/12/2013	1,876.00	1,871.00			5.00
	4. PHILIP MORRIS INTERNATI	01/15/2013	07/12/2013	360.00	356.00			4.00
	82. TIME WARNER INC	VAR	07/12/2013	5,029.00	4,646.00			383.00
	3. INVESCO LTD SHS	12/14/2012	07/12/2013	96.00	76.00			20.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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**For Paperwork Reduction Act Notice, see your tax return instructions.**

Form **8949** (2013)

Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
Sequence No **12A**

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Name(s) shown on return

Social security number or taxpayer identification number

OPPENHEIMER&amp;HAAS P61291004

13-6013101

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	INVESCO LTD SHS	12/14/2012	07/12/2013	32.00	25.00			7.00
1.	INVESCO LTD SHS	12/14/2012	07/12/2013	32.00	25.00			7.00
10.	INVESCO LTD SHS	12/14/2012	07/12/2013	319.00	254.00			65.00
40.	PHILIP MORRIS INTERNAT	01/15/2013	07/15/2013	3,591.00	3,563.00			28.00
6.	GOOGLE INC	VAR	07/16/2013	5,499.00	5,434.00			65.00
7.	GOOGLE INC	VAR	07/16/2013	6,425.00	6,218.00			207.00
3.	GOOGLE INC	04/04/2013	07/17/2013	2,757.00	2,382.00			375.00
2.	GOOGLE INC	04/19/2013	07/17/2013	1,836.00	1,576.00			260.00
2.	GOOGLE INC	04/19/2013	07/17/2013	1,837.00	1,576.00			261.00
7777.538	JPMORGAN INTERNAT CURRENCY	04/26/2013	07/19/2013	86,097.00	87,653.00			-1,556.00
5.	WALTER INDS INC	09/07/2012	07/25/2013	58.00	173.00			-115.00
49.	LINKEDIN CORP - A	VAR	07/29/2013	9,931.00	8,709.00			1,222.00
13.	JOHNSON CTLS INC	05/20/2013	07/30/2013	535.00	490.00			45.00
4.	JOHNSON CTLS INC	05/20/2013	07/30/2013	165.00	151.00			14.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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**For Paperwork Reduction Act Notice, see your tax return instructions.**  
JSA  
3X2615 2 000

Form **8949** (2013)

Form **8949**Department of the Treasury  
Internal Revenue Service**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

**2013**Attachment  
Sequence No **12A**

Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

13-6013101

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part I Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	JOHNSON CTLS INC	05/20/2013	07/30/2013	81.00	75.00			6.00
2.	JOHNSON CTLS INC	05/20/2013	07/30/2013	81.00	75.00			6.00
9.	JOHNSON CTLS INC	05/20/2013	07/30/2013	366.00	339.00			27.00
20.	JOHNSON CTLS INC	05/20/2013	07/31/2013	809.00	754.00			55.00
2.	JOHNSON CTLS INC	05/20/2013	07/31/2013	81.00	75.00			6.00
4	JOHNSON CTLS INC	05/20/2013	07/31/2013	161.00	151.00			10.00
1.	JOHNSON CTLS INC	05/20/2013	07/31/2013	40.00	38.00			2.00
1	NISOURCE INC	04/05/2013	07/31/2013	31.00	30.00			1.00
3.	ONYX PHARMACEUTICALS IN	03/28/2013	07/31/2013	397.00	268.00			129.00
2.	ONYX PHARMACEUTICALS IN	03/28/2013	07/31/2013	264.00	178.00			86.00
16.	ONYX PHARMACEUTICALS I	VAR	07/31/2013	2,110.00	1,406.00			704.00
1.	ONYX PHARMACEUTICALS IN	04/04/2013	07/31/2013	132.00	87.00			45.00
12.	WALTER INDS INC	09/07/2012	07/31/2013	139.00	414.00			-275.00
16.	JOHNSON CTLS INC	05/20/2013	08/01/2013	658.00	603.00			55.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.  
JSA  
3X2815 2 000

Form **8949** (2013)



**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

13-6013101

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**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

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- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
7	JOHNSON CTLS INC	05/20/2013	08/01/2013	287.00	264.00			23.00
1.	JOHNSON CTLS INC	05/20/2013	08/01/2013	41.00	38.00			3.00
8.	NISOURCE INC	04/05/2013	08/01/2013	247.00	238.00			9.00
24.	ONYX PHARMACEUTICALS I	04/04/2013	08/01/2013	3,200.00	2,076.00			1,124.00
6.	ONYX PHARMACEUTICALS IN	VAR	08/01/2013	807.00	518.00			289.00
57.	WALTER INDS INC	09/07/2012	08/01/2013	663.00	1,968.00			-1,305.00
9	JOHNSON CTLS INC	05/20/2013	08/02/2013	371.00	339.00			32.00
2.	JOHNSON CTLS INC	05/20/2013	08/02/2013	82.00	75.00			7.00
1.	JOHNSON CTLS INC	05/20/2013	08/02/2013	41.00	38.00			3.00
9.	NISOURCE INC	04/05/2013	08/02/2013	279.00	268.00			11.00
45.	XILINX INC	05/08/2013	08/02/2013	2,078.00	1,735.00			343.00
4.	JOHNSON CTLS INC	05/20/2013	08/05/2013	164.00	151.00			13.00
1.	JOHNSON CTLS INC	05/20/2013	08/05/2013	41.00	38.00			3.00
2.	NISOURCE INC	04/05/2013	08/05/2013	62.00	60.00			2.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if <b>Box A</b> above is checked), line 2 (if <b>Box B</b> above is checked), or line 3 (if <b>Box C</b> above is checked) ►								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.  
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Form **8949** (2013)

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

13-6013101

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

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- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see <b>Column (e)</b> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	13. XILINX INC	05/08/2013	08/05/2013	599.00	501.00			98.00
	36. XILINX INC	VAR	08/05/2013	1,660.00	1,384.00			276.00
	3 JOHNSON CTLS INC	05/20/2013	08/06/2013	121.00	113.00			8.00
	12. NISOURCE INC	04/05/2013	08/06/2013	364.00	357.00			7.00
	17. WALTER INDS INC	09/07/2012	08/06/2013	181.00	587.00			-406.00
	9. WALTER INDS INC	09/07/2012	08/06/2013	93.00	311.00			-218.00
	56. XILINX INC	05/08/2013	08/06/2013	2,569.00	2,151.00			418.00
	25. XILINX INC	05/08/2013	08/06/2013	1,141.00	960.00			181.00
	5. NISOURCE INC	04/05/2013	08/07/2013	153.00	149.00			4.00
	11. WALTER INDS INC	09/07/2012	08/07/2013	114.00	380.00			-266.00
	32. WALTER INDS INC	09/07/2012	08/07/2013	322.00	1,105.00			-783.00
	3. XILINX INC	05/08/2013	08/07/2013	137.00	115.00			22.00
	27. XILINX INC	VAR	08/07/2013	1,233.00	1,034.00			199.00
	6. XILINX INC	05/07/2013	08/07/2013	274.00	229.00			45.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See **Column (g)** in the separate instructions for how to figure the amount of the adjustment.

**For Paperwork Reduction Act Notice, see your tax return instructions.**

JSA  
3X2815 2 000Form **8949** (2013)

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)**2013**Department of the Treasury  
Internal Revenue Service

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Attachment  
Sequence No **12A**

Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

13-6013101

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- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	7999 331 DELAWARE EMERGING FUND	02/19/2013	08/08/2013	117,670.00	119,270.00			-1,600.00
	7610. VANGUARD EMERGING MA	VAR	08/08/2013	300,342.00	318,233.00			-17,891.00
	26. XILINX INC	05/07/2013	08/08/2013	1,183.00	993.00			190.00
	1. XILINX INC	05/07/2013	08/08/2013	46.00	38.00			8.00
	10. XILINX INC	05/07/2013	08/09/2013	454.00	382.00			72.00
	1. XILINX INC	05/07/2013	08/09/2013	45.00	38.00			7.00
	25. NISOURCE INC	04/05/2013	08/12/2013	763.00	744.00			19.00
	1. XILINX INC	05/07/2013	08/12/2013	46.00	38.00			8.00
	4. XILINX INC	05/07/2013	08/12/2013	181.00	153.00			28.00
	8. XILINX INC	05/07/2013	08/12/2013	363.00	306.00			57.00
	1. XILINX INC	05/07/2013	08/12/2013	46.00	38.00			8.00
	123. NISOURCE INC	VAR	08/13/2013	3,787.00	3,614.00			173.00
	2. XILINX INC	VAR	08/13/2013	90.00	76.00			14.00
	2. XILINX INC	05/08/2013	08/13/2013	90.00	76.00			14.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Form **8949** (2013)

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)**2013**Attachment  
Sequence No **12A**

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Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

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1	(a) Description of property (Example. 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
3.	XILINX INC	05/08/2013	08/13/2013	136.00	115.00			21.00
4.	XILINX INC	05/08/2013	08/13/2013	181.00	153.00			28.00
4.	NISOURCE INC	02/27/2013	08/14/2013	123.00	110.00			13.00
23	NISOURCE INC	02/27/2013	08/14/2013	707.00	630.00			77.00
6.	TARGET CORP	04/24/2013	08/14/2013	422.00	418.00			4.00
4.	TARGET CORP	04/24/2013	08/14/2013	281.00	279.00			2.00
5.	TARGET CORP	04/24/2013	08/14/2013	350.00	348.00			2.00
19.	TARGET CORP	VAR	08/14/2013	1,331.00	1,324.00			7.00
1.	XILINX INC	05/08/2013	08/14/2013	45.00	38.00			7.00
2.	XILINX INC	05/08/2013	08/14/2013	90.00	76.00			14.00
2.	XILINX INC	05/08/2013	08/14/2013	90.00	76.00			14.00
15.	CERNER CORP	01/14/2013	08/15/2013	708.00	615.00			93.00
32.	CERNER CORP	01/14/2013	08/15/2013	1,508.00	1,313.00			195.00
5.	NISOURCE INC	02/27/2013	08/15/2013	153.00	137.00			16.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA  
3X2815 2 000

Form **8949**Department of the Treasury  
Internal Revenue Service**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

**2013**Attachment  
Sequence No **12A**

Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	TARGET CORP	04/23/2013	08/15/2013	138.00	139.00			-1.00
5.	TARGET CORP	04/23/2013	08/15/2013	345.00	348.00			-3.00
8.	TARGET CORP	04/23/2013	08/15/2013	552.00	557.00			-5.00
30.	TARGET CORP	VAR	08/15/2013	2,077.00	2,080.00			-3.00
8526.542	VANGUARD FXD INCM CORP*	04/26/2013	08/15/2013	82,963.00	87,653.00			-4,690.00
44.	ASML HOLDING N.V.	10/26/2012	08/15/2013	4,020.00	3,132.00			888.00
55.	CERNER CORP	VAR	08/16/2013	2,592.00	2,224.00			368.00
15.	CERNER CORP	01/15/2013	08/19/2013	716.00	603.00			113.00
27.	NISOURCE INC	02/27/2013	08/19/2013	803.00	740.00			63.00
2.	NISOURCE INC	02/27/2013	08/20/2013	60.00	55.00			5.00
31.	EDISON INTL	VAR	09/11/2013	1,397.00	1,477.00			-80.00
4.	EDISON INTL	VAR	09/11/2013	180.00	190.00			-10.00
1.	EDISON INTL	06/17/2013	09/11/2013	45.00	47.00			-2.00
63.	ASML HOLDING N.V.	10/26/2012	09/11/2013	5,623.00	4,485.00			1,138.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA  
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**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

13-6013101

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**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see <b>Column (e)</b> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
10.	EDISON INTL	VAR	09/12/2013	448.00	474.00			-26.00
63.	EDISON INTL	VAR	09/12/2013	2,810.00	2,976.00			-166.00
4.	EDISON INTL	06/19/2013	09/12/2013	179.00	189.00			-10.00
147.	DOW CHEM CO	VAR	09/13/2013	5,828.00	4,891.00			937.00
14.	EDISON INTL	VAR	09/13/2013	629.00	660.00			-31.00
57.	EDISON INTL	VAR	09/13/2013	2,564.00	2,629.00			-65.00
61.	ASML HOLDING N.V.	VAR	09/18/2013	5,721.00	4,296.00			1,425.00
11.	CVS CORP	04/11/2013	09/19/2013	658.00	637.00			21.00
13.	CVS CORP	04/11/2013	09/19/2013	777.00	753.00			24.00
3.	CVS CORP	04/11/2013	09/19/2013	179.00	174.00			5.00
30.	CVS CORP	04/11/2013	09/19/2013	1,794.00	1,737.00			57.00
4.	CVS CORP	VAR	09/19/2013	239.00	231.00			8.00
237.	HEWLETT PACKARD CO	VAR	09/19/2013	5,064.00	6,425.00			-1,361.00
480.	HEWLETT PACKARD CO	VAR	09/19/2013	10,329.00	12,921.00			-2,592.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See **Column (g)** in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.  
3X2615 2 000

Form **8949** (2013)

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

13-6013101

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
42.	CVS CORP	VAR	09/20/2013	2,494.00	2,420.00			74.00
137.	CVS CORP	VAR	09/20/2013	8,007.00	7,882.00			125.00
3	HEWLETT PACKARD CO	08/09/2013	09/20/2013	64.00	80.00			-16.00
24.	INTUITIVE SURGICAL INC	VAR	09/26/2013	8,815.00	12,921.00			-4,106.00
1075.	SPDR TR UNIT SER 1	06/05/2013	09/27/2013	181,325.00	173,586.00			7,739.00
32.	AXIAL CORPORATION	04/12/2013	10/02/2013	1,220.00	1,832.00			-612.00
45.	AXIAL CORPORATION	VAR	10/02/2013	1,716.00	2,453.00			-737.00
4.	TARGET CORP	04/22/2013	10/02/2013	254.00	276.00			-22.00
136.	AXIAL CORPORATION	01/18/2013	10/03/2013	5,158.00	5,921.00			-763.00
87.	AXIAL CORPORATION	01/18/2013	10/04/2013	3,315.00	3,788.00			-473.00
41.	AXIAL CORPORATION	01/18/2013	10/04/2013	1,569.00	1,785.00			-216.00
15.	DTE ENERGY CO	VAR	10/17/2013	995.00	1,066.00			-71.00
15.	DTE ENERGY CO	VAR	10/17/2013	1,003.00	1,063.00			-60.00
42.	DTE ENERGY CO	VAR	10/17/2013	2,802.00	2,918.00			-116.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Form **8949** (2013)

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
Sequence No **12A**

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Name(s) shown on return

Social security number or taxpayer identification number

OPPENHEIMER&amp;HAAS P61291004

13-6013101

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr.)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
9.	DTE ENERGY CO	VAR	10/17/2013	604.00	605.00			-1.00
4.	DTE ENERGY CO	VAR	10/17/2013	266.00	269.00			-3.00
7.	DTE ENERGY CO	VAR	10/17/2013	463.00	470.00			-7.00
20.	AVAGO TECHNOLOGIES LTD	06/17/2013	10/17/2013	897.00	762.00			135.00
6.	AVAGO TECHNOLOGIES LTD	VAR	10/17/2013	270.00	229.00			41.00
1.	DTE ENERGY CO	08/19/2013	10/18/2013	67.00	67.00			
13.	DTE ENERGY CO	VAR	10/18/2013	876.00	872.00			4.00
9.	DTE ENERGY CO	VAR	10/18/2013	606.00	603.00			3.00
7.	DTE ENERGY CO	VAR	10/18/2013	472.00	468.00			4.00
5.	DTE ENERGY CO	09/11/2013	10/18/2013	336.00	334.00			2.00
19.	AVAGO TECHNOLOGIES LTD	06/17/2013	10/18/2013	872.00	724.00			148.00
5.	DTE ENERGY CO	09/11/2013	10/21/2013	334.00	334.00			
11.	DTE ENERGY CO	VAR	10/21/2013	733.00	735.00			-2.00
11.	DTE ENERGY CO	09/11/2013	10/21/2013	735.00	735.00			
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if <b>Box A</b> above is checked), line 2 (if <b>Box B</b> above is checked), or line 3 (if <b>Box C</b> above is checked) ►								

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Form **8949** (2013)



**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
Sequence No **12A**

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Name(s) shown on return

Social security number or taxpayer identification number

OPPENHEIMER&amp;HAAS P61291004

13-6013101

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1	(a) Description of property (Example. 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
34.	AVAGO TECHNOLOGIES LTD	VAR	10/21/2013	1,591.00	1,292.00			299.00
170.	BAXTER INTL INC	VAR	10/22/2013	11,101.00	12,358.00			-1,257.00
31.	BAXTER INTL INC	VAR	10/22/2013	2,024.00	2,187.00			-163.00
14.	DTE ENERGY CO	09/11/2013	10/22/2013	945.00	935.00			10.00
14.	DTE ENERGY CO	VAR	10/22/2013	944.00	932.00			12.00
8.	AVAGO TECHNOLOGIES LTD	05/31/2013	10/22/2013	374.00	304.00			70.00
34.	BAXTER INTL INC	VAR	10/23/2013	2,217.00	2,375.00			-158.00
61.	CHENIERE ENERGY INC	VAR	10/23/2013	2,335.00	965.00			1,370.00
169.	EATON CORP PLC	VAR	10/23/2013	11,447.00	11,254.00			193.00
20.	EATON CORP PLC	08/05/2013	10/23/2013	1,355.00	1,327.00			28.00
1.	AVAGO TECHNOLOGIES LTD	05/31/2013	10/23/2013	45.00	38.00			7.00
3.	AVAGO TECHNOLOGIES LTD	05/31/2013	10/23/2013	136.00	114.00			22.00
1.	AVAGO TECHNOLOGIES LTD	05/31/2013	10/23/2013	45.00	38.00			7.00
67.	CAMERON INTERNATIONAL	11/05/2012	10/24/2013	3,613.00	3,486.00			127.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Form **8949** (2013)

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)**2013**Attachment  
Sequence No **12A**

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Name(s) shown on return

Social security number or taxpayer identification number

OPPENHEIMER&amp;HAAS P61291004

13-6013101

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	3. CAMERON INTERNATIONAL C	11/05/2012	10/24/2013	163.00	156.00			7.00
	118 EATON CORP PLC	VAR	10/24/2013	8,036.00	7,803.00			233.00
	41. BROADCOM CORP CL A	11/19/2012	10/25/2013	1,084.00	1,272.00			-188.00
	85. BROADCOM CORP CL A	VAR	10/25/2013	2,248.00	2,619.00			-371.00
	50. BROADCOM CORP CL A	11/16/2012	10/25/2013	1,327.00	1,503.00			-176.00
	38. BROADCOM CORP CL A	VAR	10/25/2013	1,005.00	1,142.00			-137.00
	1. AVAGO TECHNOLOGIES LTD	05/31/2013	10/25/2013	46.00	38.00			8.00
	11. AVAGO TECHNOLOGIES LTD	05/31/2013	10/25/2013	500.00	418.00			82.00
	3. AVAGO TECHNOLOGIES LTD	05/31/2013	10/25/2013	137.00	114.00			23.00
	24. AVAGO TECHNOLOGIES LTD	05/31/2013	10/25/2013	1,094.00	911.00			183.00
	24. AVAGO TECHNOLOGIES LTD	05/31/2013	10/28/2013	1,092.00	911.00			181.00
	36. AVAGO TECHNOLOGIES LTD	05/31/2013	10/29/2013	1,654.00	1,367.00			287.00
	1894. SPDR TR UNIT SER 1	VAR	11/05/2013	333,840.00	291,442.00			42,398.00
	30. DOW CHEM CO	10/22/2013	11/07/2013	1,172.00	1,247.00			-75.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Form **8949** (2013)

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
3.	DOW CHEM CO	10/22/2013	11/07/2013	118.00	125.00			-7.00
8.	DOW CHEM CO	10/22/2013	11/07/2013	314.00	333.00			-19.00
12.	DOW CHEM CO	10/22/2013	11/08/2013	474.00	499.00			-25.00
7.	DOW CHEM CO	10/22/2013	11/11/2013	277.00	291.00			-14.00
15.	DOW CHEM CO	10/22/2013	11/11/2013	593.00	624.00			-31.00
10.	ARCHER DANIELS MIDLAND	05/17/2013	11/12/2013	404.00	349.00			55.00
8.	DOW CHEM CO	10/22/2013	11/12/2013	315.00	333.00			-18.00
3.	DOW CHEM CO	10/22/2013	11/12/2013	119.00	125.00			-6.00
13.	ARCHER DANIELS MIDLAND	VAR	11/13/2013	532.00	453.00			79.00
9.	ARCHER DANIELS MIDLAND	05/17/2013	11/13/2013	368.00	313.00			55.00
4.	DOW CHEM CO	10/22/2013	11/13/2013	157.00	166.00			-9.00
62.	DOW CHEM CO	VAR	11/13/2013	2,440.00	2,172.00			268.00
5.	DOW CHEM CO	03/01/2013	11/13/2013	197.00	159.00			38.00
1.	DOW CHEM CO	03/01/2013	11/13/2013	39.00	32.00			7.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA  
3X2615 2 000

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

13-6013101

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). <b>See the separate instructions.</b>		(h) <b>Gain or (loss)</b> Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
4.	DOW CHEM CO	03/01/2013	11/13/2013	157.00	127.00			30.00
21.	ARCHER DANIELS MIDLAND	VAR	11/14/2013	878.00	729.00			149.00
75.	CITRIX SYS INC	12/12/2012	11/14/2013	4,135.00	4,850.00			-715.00
23.	DOW CHEM CO	03/01/2013	11/14/2013	919.00	731.00			188.00
3.	DOW CHEM CO	03/01/2013	11/14/2013	119.00	95.00			24.00
89.	ASML HOLDING N.V.	VAR	11/14/2013	7,894.00	5,782.00			2,112.00
14.	ARCHER DANIELS MIDLAND	VAR	11/15/2013	570.00	478.00			92.00
10.	ARCHER DANIELS MIDLAND	05/17/2013	11/15/2013	406.00	341.00			65.00
36.	DAVITA INC	09/20/2013	11/15/2013	2,122.00	2,125.00			-3.00
6.	DAVITA INC	09/20/2013	11/15/2013	354.00	354.00			
58.	DAVITA INC	VAR	11/15/2013	3,416.00	3,402.00			14.00
4.	ARCHER DANIELS MIDLAND	05/17/2013	11/18/2013	164.00	136.00			28.00
10.	ARCHER DANIELS MIDLAND	05/17/2013	11/18/2013	409.00	341.00			68.00
9.	ARCHER DANIELS MIDLAND	05/17/2013	11/19/2013	368.00	307.00			61.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

**For Paperwork Reduction Act Notice, see your tax return instructions.**

ISA  
3X2615 2 000Form **8949** (2013)

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).**2013**Attachment  
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

OPPENHEIMER&amp;HAAS P61291004

Social security number or taxpayer identification number

13-6013101

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part I Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo., day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
7.	ARCHER DANIELS MIDLAND	05/17/2013	11/19/2013	286.00	239.00			47.00
1.	ARCHER DANIELS MIDLAND	05/17/2013	11/19/2013	41.00	34.00			7.00
262.	MICROSOFT CORP	VAR	11/19/2013	9,654.00	8,888.00			766.00
87	UNITEDHEALTH GROUP INC	VAR	11/19/2013	6,219.00	6,306.00			-87.00
19.	ARCHER DANIELS MIDLAND	VAR	11/20/2013	767.00	645.00			122.00
114.	ARCHER DANIELS MIDLAN	VAR	11/21/2013	4,682.00	3,746.00			936.00
64.	STRYKER CORP	VAR	11/25/2013	4,792.00	4,761.00			31.00
31.	STRYKER CORP	VAR	11/26/2013	2,321.00	2,294.00			27.00
35.	STRYKER CORP	VAR	11/26/2013	2,622.00	2,581.00			41.00
20.	STRYKER CORP	VAR	11/27/2013	1,495.00	1,465.00			30.00
12.	APPLIED MATLS INC	05/02/2013	12/13/2013	201.00	178.00			23.00
321.	APPLIED MATLS INC	VAR	12/13/2013	5,361.00	4,695.00			666.00
521.	APPLIED MATLS INC	VAR	12/13/2013	8,698.00	7,413.00			1,285.00
79.	EMERSON ELEC CO	09/19/2013	12/13/2013	5,232.00	5,255.00			-23.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.  
JSA  
3X2615 2 000

Form **8949** (2013)

## Sales and Other Dispositions of Capital Assets

► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)

**► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No. 1545-0074

2013

Attachment Sequence No. 12A

OPPENHEIMER&HAAS P61291004

**Social security number or taxpayer identification number**  
13-6013101

*Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.*

**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- |                                     |  |
|-------------------------------------|--|
| <input type="checkbox"/>            | (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see <b>Note</b> above) |
| <input type="checkbox"/>            | (B) Short-term transactions reported on Form(s) 1099-B showing basis was <b>not</b> reported to the IRS              |
| <input checked="" type="checkbox"/> | (C) Short-term transactions not reported to you on Form 1099-B   |

1	(a) Description of property (Example 100 sh XYZ Co )	(b) Date acquired (Mo , day, yr )	(c) Date sold or disposed (Mo , day, yr )	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
3.	LINKEDIN CORP - A	03/19/2013	12/13/2013	701.00	521.00			180.00
60.	LINKEDIN CORP - A	VAR	12/13/2013	13,851.00	10,369.00			3,482.00
41.	PENTAIR LTD	10/23/2013	12/13/2013	2,875.00	2,662.00			213.00
207.	APPLIED MATLS INC	VAR	12/16/2013	3,457.00	2,927.00			530.00
70.	APPLIED MATLS INC	VAR	12/16/2013	1,169.00	987.00			182.00
8.	EMERSON ELEC CO	09/19/2013	12/16/2013	536.00	532.00			4.00
24.	PENTAIR LTD	10/23/2013	12/16/2013	1,714.00	1,558.00			156.00
90.	JOHNSON & JOHNSON	VAR	12/19/2013	8,254.00	8,316.00			-62.00
212.	MICROSOFT CORP	09/11/2013	12/19/2013	7,713.00	6,960.00			753.00
171.	PERRIGO CO	VAR	12/19/2013	26,029.00	26,227.00			-198.00
171.	PERRIGO CO LTD		12/19/2013	536.00				536.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if <b>Box A</b> above is checked), line 2 (if <b>Box B</b> above is checked), or line 3 (if <b>Box C</b> above is checked) ▶				1,928,246.	1,916,983.			11,263.

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

**For Paperwork Reduction Act Notice, see your tax return instructions.**

Form **8949** (2013)

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

OPPENHEIMER&amp;HAAS P61291004

13-6013101

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part II** **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box D, E, or F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
165.	LENNAR CORP	VAR	01/10/2013	6,762.00	2,664.00			4,098.00
43.	LENNAR CORP	10/20/2011	01/10/2013	1,760.00	691.00			1,069.00
91.	PROCTER & GAMBLE CO	03/07/2000	01/15/2013	6,350.00	2,734.00			3,616.00
16.	GOLDMAN SACHS GROUP IN	09/18/2008	01/16/2013	2,256.00	1,606.00			650.00
16.	GOLDMAN SACHS GROUP IN	09/18/2008	01/16/2013	2,255.00	1,606.00			649.00
4	GOLDMAN SACHS GROUP INC	09/18/2008	01/16/2013	558.00	401.00			157.00
10.	GOLDMAN SACHS GROUP IN	09/18/2008	01/16/2013	1,393.00	1,004.00			389.00
13.	ORACLE CORP	12/07/2010	01/16/2013	451.00	379.00			72.00
15.	ORACLE CORP	12/07/2010	01/16/2013	522.00	437.00			85.00
30.	ORACLE CORP	12/07/2010	01/16/2013	1,044.00	875.00			169.00
5	ORACLE CORP	12/07/2010	01/16/2013	174.00	146.00			28.00
7.	ORACLE CORP	12/07/2010	01/16/2013	243.00	204.00			39.00
19.	ORACLE CORP	12/07/2010	01/17/2013	659.00	554.00			105.00
111.	ORACLE CORP	12/07/2010	01/17/2013	3,854.00	3,237.00			617.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

OPPENHEIMER&amp;HAAS P61291004

13-6013101

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**Part II Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

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☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example. 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	165. JOHNSON CTLS INC	VAR	01/18/2013	5,071.00	4,605.00			466.00
	27. JOHNSON CTLS INC	04/08/2003	01/18/2013	830.00	344.00			486.00
	150000. DB BREN ASIA BASKE	01/06/2012	01/25/2013	177,000.00	148,500.00			28,500.00
	175000. GS BREN GOLD NOTE	01/20/2012	02/01/2013	176,186.00	173,250.00			2,936.00
	36. MERCK & CO INC	04/18/2011	02/05/2013	1,478.00	1,225.00			253.00
	51. MERCK & CO INC	04/18/2011	02/05/2013	2,106.00	1,735.00			371.00
	31. MERCK & CO INC	04/18/2011	02/05/2013	1,282.00	1,055.00			227.00
	9. PFIZER INC	02/18/2009	02/05/2013	247.00	128.00			119.00
	60. PFIZER INC	02/18/2009	02/05/2013	1,646.00	854.00			792.00
	11. PFIZER INC	02/18/2009	02/05/2013	302.00	157.00			145.00
	32. MERCK & CO INC	04/18/2011	02/06/2013	1,313.00	1,089.00			224.00
	28. MERCK & CO INC	VAR	02/06/2013	1,147.00	935.00			212.00
	52. PFIZER INC	02/18/2009	02/06/2013	1,422.00	740.00			682.00
	9. PFIZER INC	02/18/2009	02/06/2013	246.00	128.00			118.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Form **8949** (2013)



Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

OPPENHEIMER&amp;HAAS P61291004

13-6013101

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**You must check Box D, E, or F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo., day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see <b>Column (e)</b> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	119. JOHNSON CTLS INC	04/08/2003	02/07/2013	3,645.00	1,516.00			2,129.00
	29. MERCK & CO INC	09/14/2009	02/07/2013	1,188.00	950.00			238.00
	2. PFIZER INC	02/18/2009	02/07/2013	54.00	28.00			26.00
	14. PFIZER INC	02/18/2009	02/07/2013	378.00	199.00			179.00
	39. JOHNSON CTLS INC	04/08/2003	02/08/2013	1,208.00	497.00			711.00
	88. MERCK & CO INC	09/14/2009	02/08/2013	3,611.00	2,881.00			730.00
	32. NETAPP INC	09/20/2011	02/08/2013	1,139.00	1,140.00			-1.00
	6. PFIZER INC	02/18/2009	02/08/2013	161.00	85.00			76.00
	20. PFIZER INC	02/18/2009	02/08/2013	536.00	285.00			251.00
	14. PFIZER INC	02/18/2009	02/08/2013	376.00	199.00			177.00
	46. PFIZER INC	02/18/2009	02/08/2013	1,235.00	655.00			580.00
	1. PFIZER INC	02/18/2009	02/08/2013	27.00	14.00			13.00
	3. PFIZER INC	02/18/2009	02/08/2013	81.00	43.00			38.00
	128. NETAPP INC	09/20/2011	02/11/2013	4,543.00	4,562.00			-19.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

OPPENHEIMER&amp;HAAS P61291004

13-6013101

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☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see <b>Column (e)</b> in the separate instructions	Adjustment, if any, to gain or loss if you enter an amount in column (g), enter a code in column (f) <b>See the separate instructions.</b>		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
16.	PFIZER INC	02/18/2009	02/11/2013	433.00	228.00			205.00
53.	PFIZER INC	02/18/2009	02/11/2013	1,435.00	755.00			680.00
3.	PFIZER INC	02/18/2009	02/11/2013	82.00	43.00			39.00
9.	PFIZER INC	02/18/2009	02/11/2013	245.00	128.00			117.00
2.	PFIZER INC	02/18/2009	02/11/2013	54.00	28.00			26.00
7.	PFIZER INC	02/18/2009	02/11/2013	190.00	100.00			90.00
1	PFIZER INC	02/18/2009	02/11/2013	27.00	14.00			13.00
5.	PFIZER INC	02/18/2009	02/11/2013	136.00	71.00			65.00
12.	PFIZER INC	02/18/2009	02/12/2013	325.00	171.00			154.00
39.	PFIZER INC	02/18/2009	02/12/2013	1,055.00	555.00			500.00
1.	PFIZER INC	02/18/2009	02/12/2013	27.00	14.00			13.00
4.	PFIZER INC	02/18/2009	02/12/2013	108.00	57.00			51.00
3.	PFIZER INC	02/18/2009	02/12/2013	81.00	43.00			38.00
9.	PFIZER INC	02/18/2009	02/12/2013	244.00	128.00			116.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if <b>Box D</b> above is checked), line 9 (if <b>Box E</b> above is checked), or line 10 (if <b>Box F</b> above is checked) ▶								

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see <b>Column (e)</b> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). <b>See the separate instructions.</b>		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g).
						(f) Code(s) from instructions	(g) Amount of adjustment	
7.	PFIZER INC	02/18/2009	02/12/2013	189.00	100.00			89.00
21.	PFIZER INC	02/18/2009	02/12/2013	567.00	299.00			268.00
2.	PFIZER INC	02/18/2009	02/12/2013	54.00	28.00			26.00
7.	PFIZER INC	02/18/2009	02/12/2013	189.00	100.00			89.00
6.	PFIZER INC	02/18/2009	02/13/2013	162.00	85.00			77.00
23.	PFIZER INC	02/18/2009	02/13/2013	621.00	327.00			294.00
17.	PFIZER INC	02/18/2009	02/13/2013	457.00	242.00			215.00
54	PFIZER INC	02/18/2009	02/13/2013	1,452.00	769.00			683.00
.	AXIAL CORPORATION		02/15/2013	28.00				28.00
37725.87	JPMORGAN GROWTH A FUND	01/07/2011	02/19/2013	406,685.00	337,647.00			69,038.00
7.	PIONEER NAT RES CO	01/19/2012	02/26/2013	847.00	706.00			141.00
69.	PIONEER NAT RES CO	VAR	02/26/2013	8,369.00	6,008.00			2,361.00
1.	PIONEER NAT RES CO	08/10/2011	02/26/2013	122.00	74.00			48.00
13.	PIONEER NAT RES CO	08/10/2011	02/26/2013	1,589.00	967.00			622.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	9. PIONEER NAT RES CO	08/10/2011	02/26/2013	1,112.00	670.00			442.00
	16 PIONEER NAT RES CO	08/10/2011	02/26/2013	1,978.00	1,191.00			787.00
	205. FREEPORT-MCMORAN COPP B	VAR	03/01/2013	6,433.00	3,062.00			3,371.00
	21 PRUDENTIAL FINL INC	03/08/2010	03/04/2013	1,159.00	1,161.00			-2.00
	3. PRUDENTIAL FINL INC	03/08/2010	03/04/2013	165.00	166.00			-1.00
	11. PRUDENTIAL FINL INC	03/08/2010	03/04/2013	608.00	608.00			
	10. PRUDENTIAL FINL INC	03/08/2010	03/04/2013	547.00	553.00			-6.00
	14. PRUDENTIAL FINL INC	03/08/2010	03/05/2013	798.00	774.00			24.00
	6. PRUDENTIAL FINL INC	03/08/2010	03/05/2013	338.00	332.00			6.00
	13. PRUDENTIAL FINL INC	03/08/2010	03/05/2013	743.00	719.00			24.00
	7. PRUDENTIAL FINL INC	03/08/2010	03/05/2013	399.00	387.00			12.00
	8. PRUDENTIAL FINL INC	03/08/2010	03/05/2013	458.00	442.00			16.00
	2. PRUDENTIAL FINL INC	03/08/2010	03/05/2013	113.00	111.00			2.00
	4. PRUDENTIAL FINL INC	03/08/2010	03/06/2013	229.00	221.00			8.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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Form **8949** (2013)

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OPPENHEIMER&amp;HAAS P61291004

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g).
						(f) Code(s) from instructions	(g) Amount of adjustment	
4.	PRUDENTIAL FINL INC	VAR	03/06/2013	228.00	210.00			18.00
1.	PRUDENTIAL FINL INC	08/12/2011	03/06/2013	57.00	52.00			5.00
6.	PRUDENTIAL FINL INC	08/12/2011	03/06/2013	342.00	310.00			32.00
12.	QUALCOMM INC	09/29/2011	03/08/2013	803.00	610.00			193.00
437.	PFIZER INC	02/18/2009	03/12/2013	12,273.00	6,221.00			6,052.00
17.	WELLS FARGO & CO NEW	03/07/2011	03/12/2013	624.00	541.00			83.00
81.	WELLS FARGO & CO NEW	03/07/2011	03/12/2013	2,972.00	2,580.00			392.00
175000.	CS BREN EAFE 03/1	02/24/2012	03/13/2013	184,629.00	173,250.00			11,379.00
56.	PFIZER INC	02/18/2009	03/13/2013	1,569.00	797.00			772.00
91.	PFIZER INC	02/18/2009	03/13/2013	2,548.00	1,296.00			1,252.00
29.	PFIZER INC	VAR	03/13/2013	813.00	413.00			400.00
30.	WELLS FARGO & CO NEW	VAR	03/13/2013	1,097.00	951.00			146.00
23.	WELLS FARGO & CO NEW	03/25/2010	03/13/2013	845.00	729.00			116.00
86.	JUNIPER NETWORKS INC	10/05/2011	03/14/2013	1,756.00	1,590.00			166.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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Form **8949** (2013)

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OPPENHEIMER&amp;HAAS P61291004

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
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53.	JUNIPER NETWORKS INC	VAR	03/14/2013	1,084.00	903.00			181.00
100.	JUNIPER NETWORKS INC	VAR	03/14/2013	2,049.00	1,623.00			426.00
116.	PFIZER INC	VAR	03/14/2013	3,252.00	1,650.00			1,602.00
15.	PFIZER INC	02/18/2009	03/14/2013	419.00	213.00			206.00
23.	PFIZER INC	02/18/2009	03/14/2013	641.00	327.00			314.00
94.	JUNIPER NETWORKS INC	VAR	03/15/2013	1,911.00	1,523.00			388.00
297.	JUNIPER NETWORKS INC	04/01/2009	03/15/2013	5,996.00	4,713.00			1,283.00
37.	MICROSOFT CORP	08/11/2011	03/15/2013	1,037.00	912.00			125.00
43.	PFIZER INC	02/18/2009	03/15/2013	1,201.00	611.00			590.00
48.	PFIZER INC	02/18/2009	03/15/2013	1,335.00	682.00			653.00
17.	CME GROUP INC	02/14/2012	03/18/2013	1,065.00	978.00			87.00
34.	CME GROUP INC	02/14/2012	03/18/2013	2,134.00	1,957.00			177.00
9.	CME GROUP INC	02/14/2012	03/18/2013	563.00	518.00			45.00
63.	PFIZER INC	VAR	03/18/2013	1,769.00	882.00			887.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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						(f) Code(s) from instructions	(g) Amount of adjustment	
12.	CME GROUP INC	02/14/2012	03/19/2013	747.00	691.00			56.00
37.	CME GROUP INC	02/14/2012	03/19/2013	2,274.00	2,129.00			145.00
44.	PFIZER INC	02/20/2009	03/19/2013	1,230.00	608.00			622.00
45.	PFIZER INC	02/20/2009	03/19/2013	1,261.00	622.00			639.00
19.	CME GROUP INC	02/14/2012	03/20/2013	1,172.00	1,093.00			79.00
4.	CME GROUP INC	02/14/2012	03/20/2013	248.00	230.00			18.00
3.	CME GROUP INC	02/14/2012	03/20/2013	186.00	173.00			13.00
21.	CME GROUP INC	02/14/2012	03/20/2013	1,299.00	1,208.00			91.00
24.	CME GROUP INC	02/14/2012	03/20/2013	1,488.00	1,381.00			107.00
6.	CME GROUP INC	02/14/2012	03/20/2013	371.00	345.00			26.00
5.	CME GROUP INC	02/14/2012	03/20/2013	310.00	288.00			22.00
8.	CME GROUP INC	02/14/2012	03/20/2013	493.00	460.00			33.00
82.	PFIZER INC	VAR	03/20/2013	2,312.00	1,123.00			1,189.00
59.	PFIZER INC	03/03/2009	03/20/2013	1,666.00	710.00			956.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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Social security number or taxpayer identification number

OPPENHEIMER&amp;HAAS P61291004

13-6013101

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- ☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
118.	PFIZER INC	03/03/2009	03/21/2013	3,323.00	1,420.00			1,903.00
59.	PFIZER INC	03/03/2009	03/22/2013	1,666.00	710.00			956.00
26	PFIZER INC	03/03/2009	03/22/2013	736.00	313.00			423.00
32.	PFIZER INC	03/03/2009	03/22/2013	906.00	385.00			521.00
1.	VERTEX PHARMACEUTICALS	12/15/2011	03/28/2013	55.00	31.00			24.00
3.	VERTEX PHARMACEUTICALS	12/15/2011	03/28/2013	165.00	94.00			71.00
16.	VERTEX PHARMACEUTICALS	12/15/2011	03/28/2013	879.00	502.00			377.00
3.	VERTEX PHARMACEUTICALS	12/15/2011	03/28/2013	165.00	94.00			71.00
6.	VERTEX PHARMACEUTICALS	12/15/2011	03/28/2013	329.00	188.00			141.00
4.	VERTEX PHARMACEUTICALS	12/15/2011	04/01/2013	218.00	125.00			93.00
10.	VERTEX PHARMACEUTICALS	VAR	04/01/2013	538.00	301.00			237.00
6.	VERTEX PHARMACEUTICALS	11/28/2011	04/01/2013	325.00	164.00			161.00
3.	VERTEX PHARMACEUTICALS	11/28/2011	04/02/2013	161.00	82.00			79.00
8.	VERTEX PHARMACEUTICALS	11/28/2011	04/02/2013	437.00	218.00			219.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see <b>Column (e)</b> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
26.	VERTEX PHARMACEUTICALS	11/28/2011	04/03/2013	1,376.00	709.00			667.00
70.	BAIDU.COM-ADR	09/21/2010	04/04/2013	5,960.00	6,443.00			-483.00
10.	VERTEX PHARMACEUTICALS	11/28/2011	04/04/2013	527.00	273.00			254.00
2.	CARDINAL HEALTH INC	09/16/2011	04/08/2013	85.00	86.00			-1.00
34.	CARDINAL HEALTH INC	VAR	04/08/2013	1,446.00	1,409.00			37.00
5.	CARDINAL HEALTH INC	07/01/2010	04/08/2013	212.00	167.00			45.00
133.	CARDINAL HEALTH INC	VAR	04/08/2013	5,655.00	4,440.00			1,215.00
3.	PRUDENTIAL FINL INC	08/12/2011	04/08/2013	166.00	155.00			11.00
88.	PRUDENTIAL FINL INC	VAR	04/08/2013	4,886.00	3,024.00			1,862.00
16.	PRUDENTIAL FINL INC	04/02/2009	04/08/2013	886.00	358.00			528.00
13.	PRUDENTIAL FINL INC	04/02/2009	04/08/2013	720.00	291.00			429.00
104.	PRUDENTIAL FINL INC	04/02/2009	04/08/2013	5,763.00	2,329.00			3,434.00
33.	COVIDIEN PLC NEW	02/11/2011	04/08/2013	2,210.00	1,661.00			549.00
15.	PRUDENTIAL FINL INC	04/02/2009	04/09/2013	839.00	336.00			503.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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Form **8949** (2013)

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OPPENHEIMER&amp;HAAS P61291004

13-6013101

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). <b>See the separate instructions.</b>		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
9.	COVIDIEN PLC NEW	02/11/2011	04/09/2013	607.00	453.00			154.00
165000.	CS BREN EAFE 04/1	03/23/2012	04/10/2013	173,329.00	163,350.00			9,979.00
2.	COVIDIEN PLC NEW	02/11/2011	04/10/2013	136.00	101.00			35.00
12.	COVIDIEN PLC NEW	02/11/2011	04/10/2013	816.00	604.00			212.00
3.	COVIDIEN PLC NEW	02/11/2011	04/11/2013	206.00	151.00			55.00
6.	COVIDIEN PLC NEW	VAR	04/11/2013	410.00	302.00			108.00
8.	COVIDIEN PLC NEW	07/25/2011	04/11/2013	548.00	401.00			147.00
3.	COVIDIEN PLC NEW	07/25/2011	04/11/2013	205.00	151.00			54.00
100.	EMERSON ELEC CO	07/12/2011	04/12/2013	5,593.00	5,622.00			-29.00
45.	EMERSON ELEC CO	05/18/2011	04/12/2013	2,509.00	2,434.00			75.00
12.	COVIDIEN PLC NEW	07/25/2011	04/12/2013	814.00	602.00			212.00
9.	BIOGEN IDEC INC	03/19/2010	04/15/2013	1,803.00	540.00			1,263.00
2	COVIDIEN PLC NEW	07/25/2011	04/15/2013	133.00	100.00			33.00
35.	GOLDMAN SACHS GROUP IN	VAR	04/18/2013	4,879.00	3,382.00			1,497.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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OPPENHEIMER&amp;HAAS P61291004

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr.)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
88.	CME GROUP INC	VAR	04/19/2013	5,177.00	5,064.00			113.00
74.	COGNIZANT TECHNOLOGY S A	06/16/2010	04/19/2013	5,125.00	3,997.00			1,128.00
109.	GOLDMAN SACHS GROUP I	VAR	04/19/2013	15,107.00	8,273.00			6,834.00
39.	COVIDIEN PLC NEW	07/25/2011	04/19/2013	2,584.00	1,957.00			627.00
25	COGNIZANT TECHNOLOGY S A	06/16/2010	04/25/2013	1,556.00	1,350.00			206.00
21.	COGNIZANT TECHNOLOGY S A	VAR	04/25/2013	1,288.00	1,109.00			179.00
1.	COGNIZANT TECHNOLOGY SO	05/13/2010	04/25/2013	63.00	53.00			10.00
18.	COGNIZANT TECHNOLOGY S A	05/13/2010	04/26/2013	1,113.00	949.00			164.00
66.	COGNIZANT TECHNOLOGY S A	VAR	04/26/2013	4,093.00	3,457.00			636.00
3.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	186.00	145.00			41.00
5.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	310.00	241.00			69.00
10.	COGNIZANT TECHNOLOGY S A	02/26/2010	04/26/2013	622.00	482.00			140.00
6.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	371.00	289.00			82.00
4.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	248.00	193.00			55.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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OPPENHEIMER&amp;HAAS P61291004

13-6013101

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g).
						(f) Code(s) from instructions	(g) Amount of adjustment	
9.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	566.00	434.00			132.00
1.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00			15.00
7.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	438.00	337.00			101.00
10.	COGNIZANT TECHNOLOGY S A	02/26/2010	04/29/2013	627.00	482.00			145.00
2.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	125.00	96.00			29.00
1.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00			15.00
4.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	252.00	193.00			59.00
13.	COGNIZANT TECHNOLOGY S A	02/26/2010	04/29/2013	814.00	626.00			188.00
12.	COGNIZANT TECHNOLOGY S A	02/26/2010	04/29/2013	754.00	578.00			176.00
6.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	376.00	289.00			87.00
2.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	125.00	96.00			29.00
16.	MERCK & CO INC	09/14/2009	05/01/2013	726.00	524.00			202.00
28.	MERCK & CO INC	09/14/2009	05/01/2013	1,278.00	917.00			361.00
38.	MERCK & CO INC	09/14/2009	05/01/2013	1,712.00	1,244.00			468.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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Form **8949** (2013)

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36.	MERCK & CO INC	09/14/2009	05/01/2013	1,655.00	1,179.00			476.00
5.	MERCK & CO INC	09/14/2009	05/01/2013	230.00	164.00			66.00
7.	MERCK & CO INC	09/14/2009	05/01/2013	320.00	229.00			91.00
14.	MERCK & CO INC	09/14/2009	05/01/2013	639.00	458.00			181.00
8.	MERCK & CO INC	09/14/2009	05/01/2013	361.00	262.00			99.00
4.	MERCK & CO INC	09/14/2009	05/01/2013	184.00	131.00			53.00
8.	MERCK & CO INC	09/14/2009	05/01/2013	364.00	262.00			102.00
13.	MERCK & CO INC	09/14/2009	05/01/2013	586.00	426.00			160.00
5.	MERCK & CO INC	09/14/2009	05/01/2013	228.00	164.00			64.00
12.	BIOGEN IDEC INC	03/19/2010	05/07/2013	2,565.00	720.00			1,845.00
3	BIOGEN IDEC INC	03/19/2010	05/07/2013	638.00	180.00			458.00
4.	BIOGEN IDEC INC	03/19/2010	05/07/2013	860.00	240.00			620.00
59.	CELGENE CORP.	03/30/2011	05/07/2013	7,233.00	3,350.00			3,883.00
161.	CISCO SYS INC	VAR	05/08/2013	3,315.00	2,620.00			695.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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OPPENHEIMER&amp;HAAS P61291004

13-6013101

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1	(a) Description of property (Example. 100 sh XYZ Co )	(b) Date acquired (Mo , day, yr )	(c) Date sold or disposed (Mo , day, yr )	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). <b>See the separate instructions.</b>		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
145.	CISCO SYS INC	07/28/2011	05/08/2013	3,000.00	2,349.00			651.00
29.	CME GROUP INC	02/14/2012	05/09/2013	1,772.00	1,669.00			103.00
34.	CME GROUP INC	02/14/2012	05/09/2013	2,069.00	1,957.00			112.00
15	MICROSOFT CORP	08/11/2011	05/09/2013	491.00	370.00			121.00
28.	MICROSOFT CORP	08/11/2011	05/09/2013	918.00	690.00			228.00
10.	MICROSOFT CORP	08/11/2011	05/10/2013	326.00	247.00			79.00
82.	MICROSOFT CORP	VAR	05/10/2013	2,668.00	2,019.00			649.00
178.	MERCK & CO INC	09/14/2009	05/13/2013	8,213.00	5,828.00			2,385.00
165.	MICROSOFT CORP	05/28/2003	05/13/2013	5,410.00	4,039.00			1,371.00
3.	QUALCOMM INC	09/29/2011	05/14/2013	196.00	153.00			43.00
165000.	GS BREN EAFE 05/15	04/27/2012	05/15/2013	197,033.00	163,350.00			33,683.00
3.	QUALCOMM INC	09/29/2011	05/15/2013	196.00	153.00			43.00
1.	QUALCOMM INC	09/29/2011	05/16/2013	66.00	51.00			15.00
4.	QUALCOMM INC	09/29/2011	05/16/2013	264.00	203.00			61.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g).
						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	QUALCOMM INC	09/29/2011	05/16/2013	131.00	102.00			29.00
30.	EOG RESOURCES INC	VAR	05/17/2013	4,043.00	3,135.00			908.00
7.	QUALCOMM INC	09/29/2011	05/17/2013	461.00	356.00			105.00
18.	BIOGEN IDEC INC	03/19/2010	05/20/2013	4,055.00	1,080.00			2,975.00
61.	EXXON MOBIL CORP	VAR	05/20/2013	5,640.00	2,435.00			3,205.00
6.	QUALCOMM INC	09/29/2011	05/20/2013	398.00	305.00			93.00
3.	QUALCOMM INC	09/29/2011	05/21/2013	198.00	153.00			45.00
21.	AMAZON COM INC	VAR	05/22/2013	5,593.00	3,443.00			2,150.00
12.	AMAZON COM INC	VAR	05/22/2013	3,181.00	1,818.00			1,363.00
19.	AMAZON COM INC	VAR	05/22/2013	5,047.00	2,451.00			2,596.00
5.	QUALCOMM INC	09/29/2011	05/22/2013	326.00	254.00			72.00
45.	QUALCOMM INC	VAR	05/24/2013	2,887.00	1,908.00			979.00
45.	EXXON MOBIL CORP	01/09/1967	05/31/2013	4,128.00	90.00			4,038.00
325000.	HSBC CONT BUFF EQ	05/18/2012	06/05/2013	373,750.00	321,750.00			52,000.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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	217. MICROSOFT CORP	05/28/2003	06/06/2013	7,542.00	5,312.00			2,230.00
	125. METLIFE INC	VAR	06/13/2013	5,579.00	5,794.00			-215.00
	235000. BNP DELTA ONE MSCI 6/14/13	05/25/2012	06/14/2013	296,097.00	232,650.00			63,447.00
	23. ALLERGAN INC	01/19/2012	06/25/2013	1,934.00	2,001.00			-67.00
	235000. DB DELTA ONE MSCI 6/26/13	06/08/2012	06/26/2013	286,573.00	232,650.00			53,923.00
	. TYCO INTL LTD NEW		07/02/2013	126.00				126.00
	160000. BNP BREN EAFE 07	06/22/2012	07/10/2013	196,160.00	158,400.00			37,760.00
	11. TIME WARNER INC	07/28/2010	07/12/2013	677.00	346.00			331.00
	21. INVESCO LTD SHS	10/28/2011	07/12/2013	670.00	440.00			230.00
	8. PHILIP MORRIS INTERNATI	06/21/2012	07/15/2013	718.00	686.00			32.00
	49. TIME WARNER INC	07/28/2010	07/15/2013	3,011.00	1,541.00			1,470.00
	6. TIME WARNER INC	07/28/2010	07/15/2013	369.00	189.00			180.00
	4. INVESCO LTD SHS	10/28/2011	07/15/2013	128.00	84.00			44.00
	8. INVESCO LTD SHS	10/28/2011	07/15/2013	255.00	168.00			87.00
	<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶							

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). <b>See the separate instructions</b>		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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1.	INVESCO LTD SHS	10/28/2011	07/15/2013	32.00	21.00			11.00
2.	INVESCO LTD SHS	10/28/2011	07/15/2013	64.00	42.00			22.00
52.	TIME WARNER INC	07/28/2010	07/16/2013	3,182.00	1,635.00			1,547.00
1.	INVESCO LTD SHS	10/28/2011	07/16/2013	32.00	21.00			11.00
1.	INVESCO LTD SHS	10/28/2011	07/16/2013	32.00	21.00			11.00
5.	INVESCO LTD SHS	10/28/2011	07/16/2013	160.00	105.00			55.00
4.	INVESCO LTD SHS	10/28/2011	07/16/2013	128.00	84.00			44.00
1.	INVESCO LTD SHS	10/28/2011	07/16/2013	32.00	21.00			11.00
3.	INVESCO LTD SHS	10/28/2011	07/17/2013	96.00	63.00			33.00
19.	AMAZON COM INC	08/13/2010	07/18/2013	5,781.00	2,394.00			3,387.00
6.	INVESCO LTD SHS	10/28/2011	07/18/2013	195.00	126.00			69.00
8.	INVESCO LTD SHS	10/28/2011	07/18/2013	261.00	168.00			93.00
6.	INVESCO LTD SHS	10/28/2011	07/18/2013	195.00	126.00			69.00
2.	INVESCO LTD SHS	10/28/2011	07/18/2013	65.00	42.00			23.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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	14420.139 JPMORGAN INTERNA CURRENCY	11/19/2009	07/19/2013	159,631.00	156,963.00			2,668.00
	1. INVESCO LTD SHS	10/28/2011	07/19/2013	33.00	21.00			12.00
	2. INVESCO LTD SHS	10/28/2011	07/19/2013	65.00	42.00			23.00
	11. INVESCO LTD SHS	10/28/2011	07/19/2013	356.00	230.00			126.00
	3. INVESCO LTD SHS	10/28/2011	07/19/2013	97.00	63.00			34.00
	2. INVESCO LTD SHS	10/28/2011	07/19/2013	64.00	42.00			22.00
	10. WALTER INDS INC	02/02/2012	07/22/2013	136.00	742.00			-606.00
	2. WALTER INDS INC	02/02/2012	07/22/2013	27.00	148.00			-121.00
	9. WALTER INDS INC	VAR	07/22/2013	121.00	665.00			-544.00
	6. WALTER INDS INC	02/10/2012	07/22/2013	81.00	430.00			-349.00
	1. WALTER INDS INC	02/10/2012	07/22/2013	13.00	72.00			-59.00
	4. INVESCO LTD SHS	10/28/2011	07/22/2013	131.00	84.00			47.00
	2. INVESCO LTD SHS	10/28/2011	07/22/2013	65.00	42.00			23.00
	4. INVESCO LTD SHS	VAR	07/22/2013	132.00	84.00			48.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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						(f) Code(s) from instructions	(g) Amount of adjustment	
4	INVESCO LTD SHS	10/28/2011	07/22/2013	132.00	83.00			49.00
2.	INVESCO LTD SHS	10/28/2011	07/22/2013	66.00	42.00			24.00
3.	INVESCO LTD SHS	VAR	07/22/2013	99.00	62.00			37.00
1.	INVESCO LTD SHS	10/28/2011	07/22/2013	33.00	21.00			12.00
2.	INVESCO LTD SHS	10/28/2011	07/22/2013	65.00	41.00			24.00
34.	WALTER INDS INC	02/10/2012	07/23/2013	485.00	2,435.00			-1,950.00
2.	WALTER INDS INC	02/10/2012	07/23/2013	28.00	143.00			-115.00
4.	WALTER INDS INC	02/10/2012	07/23/2013	56.00	286.00			-230.00
8.	WALTER INDS INC	02/10/2012	07/23/2013	116.00	573.00			-457.00
22.	WALTER INDS INC	VAR	07/23/2013	315.00	1,568.00			-1,253.00
17.	WALTER INDS INC	02/01/2012	07/23/2013	236.00	1,211.00			-975.00
13.	WALTER INDS INC	02/01/2012	07/23/2013	187.00	926.00			-739.00
4.	WALTER INDS INC	02/01/2012	07/23/2013	58.00	285.00			-227.00
10.	WALTER INDS INC	02/01/2012	07/24/2013	122.00	712.00			-590.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g).
						(f) Code(s) from instructions	(g) Amount of adjustment	
	37. WALTER INDS INC	02/01/2012	07/24/2013	445.00	2,635.00			-2,190.00
	1. WALTER INDS INC	02/01/2012	07/25/2013	12.00	71.00			-59.00
	. MALLINCKRODT PUB LTD CO		07/29/2013	27.00				27.00
	325000 BNP REN SPX 07/31/	07/13/2012	07/31/2013	391,625.00	321,750.00			69,875.00
	5. CAREFUSION CORPORATION	VAR	08/02/2013	180.00	130.00			50.00
	47. CAREFUSION CORPORATION	VAR	08/02/2013	1,695.00	1,216.00			479.00
	255. CAREFUSION CORPORATIO	VAR	08/02/2013	9,272.00	6,521.00			2,751.00
	8361 668 DELAWARE EMERGING FUND	01/07/2011	08/08/2013	123,000.00	134,372.00			-11,372.00
	5500 VANGUARD EMERGING MA	VAR	08/08/2013	217,067.00	242,766.00			-25,699.00
	1. COVIDIEN PLC NEW	08/16/2011	08/08/2013	64.00	50.00			14.00
	7. COVIDIEN PLC NEW	08/16/2011	08/08/2013	447.00	349.00			98.00
	2. COVIDIEN PLC NEW	08/16/2011	08/08/2013	128.00	100.00			28.00
	9. APPLE COMPUTER INC	VAR	08/09/2013	4,093.00	3,048.00			1,045.00
	16. APPLE COMPUTER INC	06/16/2010	08/09/2013	7,332.00	4,259.00			3,073.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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OPPENHEIMER&amp;HAAS P61291004

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						(f) Code(s) from instructions	(g) Amount of adjustment	
	4. APPLE COMPUTER INC	06/16/2010	08/09/2013	1,831.00	1,065.00			766.00
	9. APPLE COMPUTER INC	06/16/2010	08/09/2013	4,110.00	2,396.00			1,714.00
	114. VERIZON COMMUNICATION	08/29/1975	08/09/2013	5,605.00	762.00			4,843.00
	1. COVIDIEN PLC NEW	08/16/2011	08/09/2013	64.00	50.00			14.00
	1. COVIDIEN PLC NEW	08/16/2011	08/09/2013	64.00	50.00			14.00
	1. COVIDIEN PLC NEW	08/16/2011	08/09/2013	64.00	50.00			14.00
	4. COVIDIEN PLC NEW	08/16/2011	08/09/2013	255.00	199.00			56.00
	1. COVIDIEN PLC NEW	08/16/2011	08/12/2013	64.00	50.00			14.00
	4. COVIDIEN PLC NEW	08/16/2011	08/12/2013	255.00	199.00			56.00
	4. COVIDIEN PLC NEW	08/16/2011	08/12/2013	255.00	199.00			56.00
	2. COVIDIEN PLC NEW	08/16/2011	08/13/2013	127.00	100.00			27.00
	5. COVIDIEN PLC NEW	08/16/2011	08/13/2013	318.00	249.00			69.00
	7. COVIDIEN PLC NEW	08/16/2011	08/13/2013	445.00	349.00			96.00
	1. COVIDIEN PLC NEW	08/16/2011	08/13/2013	64.00	50.00			14.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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1	COVIDIEN PLC NEW	08/16/2011	08/14/2013	64.00	50.00			14.00
1.	COVIDIEN PLC NEW	08/16/2011	08/14/2013	64.00	50.00			14.00
3.	COVIDIEN PLC NEW	08/16/2011	08/14/2013	190.00	150.00			40.00
5.	COVIDIEN PLC NEW	08/16/2011	08/14/2013	316.00	249.00			67.00
118.	TYCO INTERNATIONAL LT	VAR	08/14/2013	4,068.00	3,094.00			974.00
16520.502	VANGUARD FXD INC TM CORP*	05/07/2009	08/15/2013	160,744.00	143,894.00			16,850.00
3.	COVIDIEN PLC NEW	06/05/2009	08/15/2013	186.00	107.00			79.00
1.	COVIDIEN PLC NEW	06/05/2009	08/15/2013	62.00	36.00			26.00
1.	COVIDIEN PLC NEW	06/05/2009	08/15/2013	62.00	36.00			26.00
32.	MALLINCKRODT PUB LTD C	VAR	08/15/2013	1,378.00	899.00			479.00
222.	TYCO INTERNATIONAL LT	VAR	08/15/2013	7,581.00	4,519.00			3,062.00
64.	TYCO INTERNATIONAL LTD	06/01/2010	08/15/2013	2,188.00	1,160.00			1,028.00
104.	TYCO INTERNATIONAL LT	06/01/2010	08/16/2013	3,554.00	1,885.00			1,669.00
9.	COVIDIEN PLC NEW	06/05/2009	08/19/2013	549.00	321.00			228.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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7.	COVIDIEN PLC NEW	06/05/2009	08/20/2013	428.00	249.00			179.00
1.	COVIDIEN PLC NEW	06/05/2009	08/20/2013	61.00	36.00			25.00
4.	COVIDIEN PLC NEW	06/05/2009	08/20/2013	244.00	142.00			102.00
1.	COVIDIEN PLC NEW	06/05/2009	08/21/2013	61.00	36.00			25.00
19246.584	EATON VANCE MUT	VAR	08/22/2013	176,299.00	171,644.00			4,655.00
4.	COVIDIEN PLC NEW	06/05/2009	08/22/2013	243.00	142.00			101.00
6	COVIDIEN PLC NEW	06/05/2009	08/22/2013	364.00	214.00			150.00
2.	COVIDIEN PLC NEW	06/05/2009	08/23/2013	121.00	71.00			50.00
5.	COVIDIEN PLC NEW	06/05/2009	08/23/2013	304.00	178.00			126.00
7.	COVIDIEN PLC NEW	06/05/2009	08/23/2013	425.00	249.00			176.00
2.	COVIDIEN PLC NEW	06/05/2009	08/26/2013	122.00	71.00			51.00
3	COVIDIEN PLC NEW	06/05/2009	08/26/2013	184.00	107.00			77.00
3.	COVIDIEN PLC NEW	06/05/2009	08/26/2013	183.00	107.00			76.00
2.	COVIDIEN PLC NEW	06/05/2009	08/27/2013	120.00	71.00			49.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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3.	COVIDIEN PLC NEW	06/05/2009	08/27/2013	180.00	107.00			73.00
6.	COVIDIEN PLC NEW	06/05/2009	08/28/2013	359.00	214.00			145.00
1.	COVIDIEN PLC NEW	06/05/2009	08/28/2013	60.00	36.00			24.00
.	INTERNATIONAL GAME TECHN		08/29/2013	34.00				34.00
1.	COVIDIEN PLC NEW	06/05/2009	08/29/2013	60.00	36.00			24.00
1.	COVIDIEN PLC NEW	06/05/2009	08/29/2013	60.00	36.00			24.00
10.	COVIDIEN PLC NEW	06/05/2009	08/29/2013	603.00	356.00			247.00
1.	COVIDIEN PLC NEW	06/05/2009	08/30/2013	60.00	36.00			24.00
2.	COVIDIEN PLC NEW	06/05/2009	08/30/2013	119.00	71.00			48.00
111.	CARDINAL HEALTH INC	VAR	09/03/2013	5,583.00	3,386.00			2,197.00
65.	CARDINAL HEALTH INC	VAR	09/03/2013	3,262.00	1,688.00			1,574.00
26.	CARDINAL HEALTH INC	06/08/2009	09/03/2013	1,304.00	569.00			735.00
111.	VERIZON COMMUNICATION	08/29/1975	09/03/2013	5,080.00	742.00			4,338.00
12.	COVIDIEN PLC NEW	06/05/2009	09/03/2013	717.00	427.00			290.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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6.	COVIDIEN PLC NEW	VAR	09/03/2013	357.00	204.00			153.00
66.	CARDINAL HEALTH INC	06/08/2009	09/04/2013	3,330.00	1,446.00			1,884.00
8.	CARDINAL HEALTH INC	06/08/2009	09/04/2013	402.00	175.00			227.00
4.	CARDINAL HEALTH INC	06/08/2009	09/04/2013	202.00	88.00			114.00
4.	COVIDIEN PLC NEW	12/17/2008	09/04/2013	243.00	133.00			110.00
5.	COVIDIEN PLC NEW	12/17/2008	09/04/2013	304.00	166.00			138.00
2	COVIDIEN PLC NEW	12/17/2008	09/04/2013	120.00	66.00			54.00
20.	COVIDIEN PLC NEW	12/17/2008	09/04/2013	1,214.00	663.00			551.00
2.	COVIDIEN PLC NEW	12/17/2008	09/04/2013	121.00	66.00			55.00
2.	COVIDIEN PLC NEW	12/17/2008	09/04/2013	120.00	66.00			54.00
76.	CARDINAL HEALTH INC	06/08/2009	09/05/2013	3,828.00	1,665.00			2,163.00
5	COVIDIEN PLC NEW	12/17/2008	09/05/2013	304.00	166.00			138.00
2.	COVIDIEN PLC NEW	12/17/2008	09/05/2013	122.00	66.00			56.00
10.	COVIDIEN PLC NEW	12/17/2008	09/06/2013	609.00	332.00			277.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if <b>Box D</b> above is checked), line 9 (if <b>Box E</b> above is checked), or line 10 (if <b>Box F</b> above is checked) ►								

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see <b>Column (e)</b> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). <b>See the separate instructions.</b>		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
9.	COVIDIEN PLC NEW	12/17/2008	09/09/2013	548.00	298.00			250.00
1.	COVIDIEN PLC NEW	12/17/2008	09/09/2013	61.00	33.00			28.00
5.	COVIDIEN PLC NEW	12/17/2008	09/09/2013	305.00	166.00			139.00
9.	COVIDIEN PLC NEW	12/17/2008	09/10/2013	552.00	298.00			254.00
3.	COVIDIEN PLC NEW	12/17/2008	09/10/2013	184.00	99.00			85.00
9.	APPLE COMPUTER INC	08/13/2010	09/11/2013	4,216.00	2,255.00			1,961.00
3.	CELGENE CORP.	03/30/2011	09/11/2013	442.00	170.00			272.00
3.	CELGENE CORP.	03/30/2011	09/11/2013	446.00	170.00			276.00
24.	CELGENE CORP	VAR	09/11/2013	3,572.00	1,266.00			2,306.00
1.	COVIDIEN PLC NEW	12/17/2008	09/11/2013	62.00	33.00			29.00
5.	COVIDIEN PLC NEW	12/17/2008	09/11/2013	309.00	166.00			143.00
10.	COVIDIEN PLC NEW	12/17/2008	09/12/2013	616.00	332.00			284.00
5.	COVIDIEN PLC NEW	VAR	09/12/2013	307.00	145.00			162.00
1.	COVIDIEN PLC NEW	07/25/2011	09/12/2013	62.00	28.00			34.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if <b>Box D</b> above is checked), line 9 (if <b>Box E</b> above is checked), or line 10 (if <b>Box F</b> above is checked) ►								

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Social security number or taxpayer identification number

OPPENHEIMER&amp;HAAS P61291004

13-6013101

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
3.	COVIDIEN PLC NEW	07/25/2011	09/12/2013	184.00	84.00			100.00
115000.	BARC 93% PPN CURRE 9/13/13	09/09/2011	09/13/2013	106,950.00	118,459.00			-11,509.00
48.	COSTCO WHSL CORP NEW	06/15/2012	09/13/2013	5,627.00	4,372.00			1,255.00
11.	COSTCO WHSL CORP NEW	06/15/2012	09/13/2013	1,298.00	1,002.00			296.00
1.	COVIDIEN PLC NEW	07/25/2011	09/13/2013	61.00	28.00			33.00
1.	COVIDIEN PLC NEW	07/25/2011	09/13/2013	61.00	28.00			33.00
47.	COSTCO WHSL CORP NEW	06/15/2012	09/16/2013	5,550.00	4,281.00			1,269.00
6.	APPLE COMPUTER INC	08/13/2010	09/19/2013	2,839.00	1,503.00			1,336.00
6576.163	JPMORGAN US REAL	12/02/2009	09/19/2013	109,953.00	77,401.00			32,552.00
287.	LENNAR CORP	VAR	09/19/2013	10,407.00	3,990.00			6,417.00
2.	TD AMERITRADE HOLDING C	04/18/2007	09/19/2013	53.00	31.00			22.00
31.	TD AMERITRADE HOLDING	04/18/2007	09/19/2013	823.00	486.00			337.00
48.	TD AMERITRADE HOLDING	04/18/2007	09/19/2013	1,273.00	752.00			521.00
10.	TD AMERITRADE HOLDING	04/18/2007	09/19/2013	265.00	157.00			108.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see <b>Column (e)</b> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). <b>See the separate instructions</b>		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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15.	TD AMERITRADE HOLDING	04/18/2007	09/19/2013	397.00	235.00			162.00
9.	TD AMERITRADE HOLDING C	04/18/2007	09/19/2013	238.00	141.00			97.00
1.	ENSCO PLC	07/18/2012	09/19/2013	56.00	50.00			6.00
14.	ENSCO PLC	07/18/2012	09/19/2013	779.00	700.00			79.00
1.	ENSCO PLC	07/18/2012	09/19/2013	56.00	50.00			6.00
14.	ENSCO PLC	VAR	09/19/2013	776.00	700.00			76.00
10.	APPLE COMPUTER INC	05/07/2010	09/20/2013	4,721.00	2,409.00			2,312.00
4.	TD AMERITRADE HOLDING C	04/18/2007	09/20/2013	107.00	63.00			44.00
8.	TD AMERITRADE HOLDING C	04/18/2007	09/20/2013	213.00	125.00			88.00
58.	TD AMERITRADE HOLDING	04/18/2007	09/20/2013	1,544.00	908.00			636.00
42.	ENSCO PLC	07/18/2012	09/20/2013	2,310.00	2,096.00			214.00
30852.811	MANNING & NAPIER	VAR	09/27/2013	273,664.00	276,750.00			-3,086.00
312.	TD AMERITRADE HOLDING	VAR	10/02/2013	8,158.00	4,789.00			3,369.00
132.	TARGET CORP	VAR	10/02/2013	8,382.00	8,628.00			-246.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if <b>Box D</b> above is checked), line 9 (if <b>Box E</b> above is checked), or line 10 (if <b>Box F</b> above is checked) ►								

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	23. AMAZON COM INC	VAR	10/17/2013	7,114.00	2,301.00			4,813.00
	181 INVESCO LTD SHS	VAR	10/17/2013	5,856.00	3,652.00			2,204.00
	15. CHENIERE ENERGY INC	VAR	10/23/2013	574.00	239.00			335.00
	61. CAMERON INTERNATIONAL	VAR	10/24/2013	3,311.00	3,370.00			-59.00
	126. CAMERON INTERNATIONAL	VAR	10/24/2013	6,794.00	6,911.00			-117.00
	315. BROADCOM CORP CL A	08/13/2012	10/25/2013	8,330.00	10,934.00			-2,604.00
	2. BIOGEN IDEC INC	03/19/2010	10/31/2013	493.00	120.00			373.00
	4. BIOGEN IDEC INC	03/19/2010	10/31/2013	986.00	240.00			746.00
	1. BIOGEN IDEC INC	03/19/2010	10/31/2013	246.00	60.00			186.00
	8. TARGET CORP	VAR	10/31/2013	525.00	519.00			6.00
	7. TARGET CORP	VAR	10/31/2013	454.00	452.00			2.00
	3. TARGET CORP	09/18/2012	10/31/2013	197.00	192.00			5.00
	15. TARGET CORP	VAR	10/31/2013	975.00	961.00			14.00
	2. TARGET CORP	09/18/2012	10/31/2013	132.00	128.00			4.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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11.	BIOGEN IDEC INC	03/19/2010	11/01/2013	2,670.00	660.00			2,010.00
1.	BIOGEN IDEC INC	03/19/2010	11/01/2013	243.00	60.00			183.00
7.	TARGET CORP	09/18/2012	11/01/2013	453.00	448.00			5.00
.	WASHINGTON MUT INC		11/01/2013	390.00				390.00
1.	BIOGEN IDEC INC	03/19/2010	11/04/2013	240.00	60.00			180.00
1.	BIOGEN IDEC INC	03/19/2010	11/04/2013	240.00	60.00			180.00
1.	BIOGEN IDEC INC	03/19/2010	11/04/2013	243.00	60.00			183.00
2.	BIOGEN IDEC INC	03/19/2010	11/04/2013	484.00	120.00			364.00
16.	TARGET CORP	09/18/2012	11/04/2013	1,042.00	1,025.00			17.00
1.	BIOGEN IDEC INC	03/19/2010	11/05/2013	240.00	60.00			180.00
3.	BIOGEN IDEC INC	03/19/2010	11/05/2013	731.00	180.00			551.00
1.	BIOGEN IDEC INC	03/19/2010	11/05/2013	242.00	60.00			182.00
20380.204	EATON VANCE MUT	VAR	11/05/2013	187,090.00	174,858.00			12,232.00
.	ENRON CORP		11/05/2013	488.00				488.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if <b>Box D</b> above is checked), line 9 (if <b>Box E</b> above is checked), or line 10 (if <b>Box F</b> above is checked) ►								

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	24703 779 JPM INFLATION MG SEL	VAR	11/05/2013	258,154.00	262,310.00			-4,156.00
	14240.516 JPMORGAN TR I FL 4.24%	10/19/2011	11/05/2013	143,829.00	135,997.00			7,832.00
	10227.238 JPMORGAN MARKET INDEX FUND	11/15/2010	11/05/2013	140,318.00	102,375.00			37,943.00
	10. TARGET CORP	VAR	11/05/2013	648.00	640.00			8.00
	1. BIOGEN IDEC INC	03/19/2010	11/06/2013	244.00	60.00			184.00
	7. TARGET CORP	10/26/2012	11/06/2013	460.00	448.00			12.00
	18. TARGET CORP	VAR	11/06/2013	1,177.00	1,143.00			34.00
	18. TARGET CORP	VAR	11/07/2013	1,178.00	1,134.00			44.00
	7. TARGET CORP	10/25/2012	11/08/2013	454.00	441.00			13.00
	14. TARGET CORP	10/25/2012	11/08/2013	909.00	881.00			28.00
	9. TARGET CORP	10/24/2012	11/11/2013	591.00	565.00			26.00
	5. TARGET CORP	10/24/2012	11/11/2013	328.00	314.00			14.00
	1. TARGET CORP	10/24/2012	11/11/2013	65.00	63.00			2.00
	2. TARGET CORP	10/24/2012	11/12/2013	131.00	126.00			5.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if <b>Box D</b> above is checked), line 9 (if <b>Box E</b> above is checked), or line 10 (if <b>Box F</b> above is checked) ►								

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6.	TARGET CORP	10/24/2012	11/12/2013	392.00	377.00			15.00
3.	TARGET CORP	10/24/2012	11/12/2013	197.00	188.00			9.00
6.	TARGET CORP	10/24/2012	11/12/2013	392.00	377.00			15.00
178.	INVESCO LTD SHS	07/23/2010	11/12/2013	5,912.00	3,481.00			2,431.00
11.	TARGET CORP	10/24/2012	11/13/2013	732.00	691.00			41.00
17.	TARGET CORP	10/24/2012	11/13/2013	1,129.00	1,068.00			61.00
3.	TARGET CORP	10/24/2012	11/13/2013	200.00	188.00			12.00
120.	CITRIX SYS INC	VAR	11/14/2013	6,616.00	6,933.00			-317.00
17.	TARGET CORP	VAR	11/14/2013	1,133.00	1,068.00			65.00
7.	TARGET CORP	10/24/2012	11/14/2013	465.00	440.00			25.00
39.	DAVITA INC	11/07/2012	11/15/2013	2,297.00	2,212.00			85.00
1.	TARGET CORP	10/24/2012	11/15/2013	67.00	63.00			4.00
1.	DAVITA INC	11/07/2012	11/18/2013	59.00	57.00			2.00
40.	DAVITA INC	VAR	11/18/2013	2,388.00	2,256.00			132.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if <b>Box D</b> above is checked), line 9 (if <b>Box E</b> above is checked), or line 10 (if <b>Box F</b> above is checked) ▶								

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Social security number or taxpayer identification number

OPPENHEIMER&amp;HAAS P61291004

13-6013101

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☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see <b>Column (e)</b> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	DAVITA INC	10/23/2012	11/18/2013	60.00	56.00			4.00
4.	DAVITA INC	10/23/2012	11/18/2013	238.00	223.00			15.00
	125000. GS 95% PP CMT BAS	11/11/2011	11/18/2013	118,750.00	129,234.00			-10,484.00
25.	DAVITA INC	VAR	11/19/2013	1,460.00	1,389.00			71.00
10.	DAVITA INC	VAR	11/19/2013	585.00	555.00			30.00
1.	DAVITA INC	10/19/2012	11/20/2013	59.00	55.00			4.00
12.	DAVITA INC	VAR	11/20/2013	698.00	665.00			33.00
5.	DAVITA INC	VAR	11/20/2013	291.00	277.00			14.00
16.	DAVITA INC	VAR	11/21/2013	912.00	883.00			29.00
11.	DAVITA INC	VAR	11/21/2013	627.00	605.00			22.00
1.	DAVITA INC	10/11/2012	11/21/2013	58.00	55.00			3.00
19.	DAVITA INC	VAR	11/22/2013	1,071.00	1,041.00			30.00
1.	DAVITA INC	10/15/2012	11/22/2013	56.00	55.00			1.00
5.	DAVITA INC	VAR	11/22/2013	282.00	274.00			8.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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1	(a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). <b>See the separate instructions.</b>		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g).
						(f) Code(s) from instructions	(g) Amount of adjustment	
7.	DAVITA INC	VAR	11/22/2013	394.00	383.00			11.00
17.	DAVITA INC	VAR	11/25/2013	1,018.00	923.00			95.00
46.	DAVITA INC	VAR	11/25/2013	2,805.00	2,491.00			314.00
5.	DAVITA INC	VAR	11/25/2013	301.00	270.00			31.00
10.	DAVITA INC	10/09/2012	11/25/2013	608.00	539.00			69.00
1.	ENSCO PLC	07/18/2012	11/25/2013	60.00	50.00			10.00
3.	ENSCO PLC	07/18/2012	11/25/2013	178.00	150.00			28.00
5.	ENSCO PLC	07/18/2012	11/25/2013	298.00	249.00			49.00
4.	ENSCO PLC	07/18/2012	11/25/2013	237.00	200.00			37.00
50.	ENSCO PLC	VAR	11/25/2013	2,981.00	2,457.00			524.00
20.	ENSCO PLC	07/03/2012	11/25/2013	1,185.00	971.00			214.00
8.	ENSCO PLC	07/03/2012	11/25/2013	479.00	389.00			90.00
3.	ENSCO PLC	07/03/2012	11/25/2013	179.00	146.00			33.00
2.	ENSCO PLC	07/03/2012	11/26/2013	119.00	97.00			22.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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3.	ENSCO PLC	07/03/2012	11/26/2013	177.00	146.00			31.00
1.	ENSCO PLC	07/03/2012	11/26/2013	59.00	49.00			10.00
10.	ENSCO PLC	07/03/2012	11/26/2013	592.00	486.00			106.00
49.	ENSCO PLC	VAR	11/26/2013	2,907.00	2,379.00			528.00
11.	ENSCO PLC	07/03/2012	11/26/2013	659.00	533.00			126.00
29.	ENSCO PLC	VAR	11/26/2013	1,720.00	1,368.00			352.00
48.	ENSCO PLC	07/02/2012	11/26/2013	2,875.00	2,245.00			630.00
4.	ENSCO PLC	07/02/2012	11/27/2013	238.00	187.00			51.00
7.	ENSCO PLC	07/02/2012	11/27/2013	418.00	327.00			91.00
9.	ENSCO PLC	07/02/2012	11/27/2013	538.00	421.00			117.00
57.	EOG RESOURCES INC	VAR	12/03/2013	9,419.00	4,185.00			5,234.00
11.	OCCIDENTAL PETE CORP	09/13/2012	12/05/2013	1,027.00	995.00			32.00
13.	OCCIDENTAL PETE CORP	09/13/2012	12/05/2013	1,222.00	1,175.00			47.00
16.	OCCIDENTAL PETE CORP	VAR	12/06/2013	1,502.00	1,415.00			87.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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10	OCCIDENTAL PETE CORP	04/24/2012	12/06/2013	926.00	882.00			44.00
8.	OCCIDENTAL PETE CORP	04/24/2012	12/06/2013	745.00	705.00			40.00
6.	OCCIDENTAL PETE CORP	04/24/2012	12/09/2013	557.00	529.00			28.00
3.	OCCIDENTAL PETE CORP	04/24/2012	12/09/2013	279.00	265.00			14.00
12.	OCCIDENTAL PETE CORP	VAR	12/09/2013	1,118.00	1,044.00			74.00
1.	OCCIDENTAL PETE CORP	10/18/2011	12/09/2013	94.00	85.00			9.00
1.	OCCIDENTAL PETE CORP	10/18/2011	12/09/2013	94.00	85.00			9.00
6.	OCCIDENTAL PETE CORP	10/18/2011	12/09/2013	559.00	508.00			51.00
4.	OCCIDENTAL PETE CORP	10/18/2011	12/09/2013	373.00	338.00			35.00
6.	OCCIDENTAL PETE CORP	VAR	12/09/2013	560.00	491.00			69.00
20	EMERSON ELEC CO	05/18/2011	12/16/2013	1,339.00	1,082.00			257.00
24.	EMERSON ELEC CO	05/18/2011	12/17/2013	1,599.00	1,298.00			301.00
27.	EMERSON ELEC CO	05/18/2011	12/19/2013	1,836.00	1,461.00			375.00
258.	MONDELEZ INTERNATIONA	VAR	12/19/2013	8,823.00	6,788.00			2,035.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶				5,706,476.	5,017,650.			688,826.

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OPPENHEIMER&HAAS ACCT. P61291004  
For the Period 10/1/13 to 12/31/13

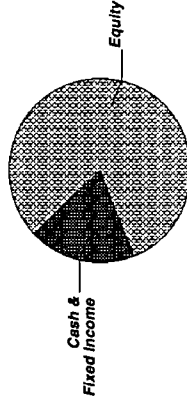
## Account Summary

### PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	13,847,661.67	15,190,575.88	1,342,914.21	178,623.53	81%
Cash & Fixed Income	4,280,140.94	3,545,543.26	(734,597.68)	96,583.82	19%
<b>Market Value</b>	<b>\$18,127,802.61</b>	<b>\$18,736,119.14</b>	<b>\$608,316.53</b>	<b>\$275,207.35</b>	<b>100%</b>

### INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	11,005.69	(107,468.31)	96,462.62
Accruals	12,986.06	9,147.68	(3,838.38)
<b>Market Value</b>	<b>\$23,991.75</b>	<b>\$116,615.99</b>	<b>\$92,624.24</b>



Asset Allocation

### PRINCIPAL

Portfolio Activity	Current Period Value	Year-to-Date Value
Beginning Market Value	18,127,802.61	16,542,308.99
Withdrawals & Fees	(437,000.00)	(662,000.00)
<b>Net Additions/Withdrawals</b>	<b>(\$437,000.00)</b>	<b>(\$662,000.00)</b>
Income	414.57	4,565.14
Change In Investment Value	1,044,901.96	2,851,245.01
<b>Ending Market Value</b>	<b>\$18,736,119.14</b>	<b>\$18,736,119.14</b>
Accruals	--	--
<b>Market Value with Accruals</b>	<b>--</b>	<b>--</b>

### INCOME

	Current Period Value	Year-to-Date Value
	11,005.69	89,235.86
	(61,756.30)	(305,787.79)
	<b>(\$61,756.30)</b>	<b>(\$305,787.79)</b>
	158,218.92	324,020.24
	<b>\$107,468.31</b>	<b>\$107,468.31</b>
	9,147.68	9,147.68
	<b>\$116,615.99</b>	<b>\$116,615.99</b>

J.P.Morgan



OPPENHEIMER&HAAS ACCT. P61291004  
For the Period 10/1/13 to 12/31/13

## Account Summary CONTINUED

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions	157,466.62	320,044.50
Foreign Dividends	699.55	3,715.34
Interest Income	52.75	260.40
Original Issue Discount	414.57	4,565.14
<b>Taxable Income</b>	<b>\$158,633.49</b>	<b>\$328,585.38</b>

Cost Summary	Cost
Equity	11,398,318.34
Cash & Fixed Income	3,481,604.05
<b>Total</b>	<b>\$14,879,922.39</b>

	Current Period Value	Year-to-Date Value
LT Capital Gain Distributions	257,922.06	259,128.06
ST Realized Gain/Loss	51,802.43	10,632.13
LT Realized Gain/Loss	72,736.02	688,657.47
<b>Realized Gain/Loss</b>	<b>\$382,460.51</b>	<b>\$958,417.66</b>

Unrealized Gain/Loss	To-Date Value
	<b>\$3,856,196.75</b>

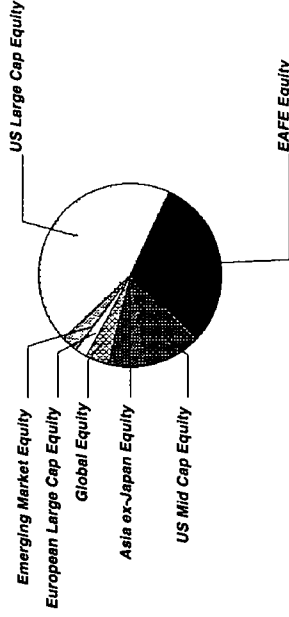
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OPPENHEIMER&HAAS ACCT. P61291004  
For the Period 10/1/13 to 12/31/13

## Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	6,273,615.18	6,483,119.65	209,504.47	36%
US Mid Cap Equity	1,670,018.57	1,569,644.32	(100,374.25)	8%
EAFE Equity	3,611,080.67	4,560,894.77	949,814.10	24%
European Large Cap Equity	199,640.00	405,187.30	205,547.30	2%
Asia ex-Japan Equity	1,149,831.98	1,174,503.13	24,671.15	6%
Emerging Market Equity	384,528.84	400,101.79	15,572.95	2%
Global Equity	558,946.43	597,124.92	38,178.49	3%
<b>Total Value</b>	<b>\$13,847,661.67</b>	<b>\$15,190,575.88</b>	<b>\$1,342,914.21</b>	<b>81%</b>



Market Value/Cost	Current Period Value
Market Value	15,190,575.88
Tax Cost	11,938,616.92
Unrealized Gain/Loss	3,792,257.54
Estimated Annual Income	178,623.53
Accrued Dividends	3,566.38
Yield	1.17%

J.P.Morgan



OPPENHEIMER&HAAS ACCT. P61291004  
For the Period 10/1/13 to 12/31/13

Note: P indicates position adjusted for Pending Trade Activity

## Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div	Yield
<b>US Large Cap Equity</b>							
ACE LTD NEW H0023R-10-5 ACE	103.53	416,000	43,068.48	23,999.37	19,069.11	1,048.32	2.43%
ADOBE SYSTEMS INC 00724F-10-1 ADBE	59.88	371,000	22,215.11	13,216.17	8,998.94		
ALEXION PHARMACEUTICALS INC 015351-10-9 ALXN	132.88	179,000	23,786.24	18,769.67	5,016.57		
ALLERGAN INC 018490-10-2 AGN	111.08	167,000	18,550.36	14,183.47	4,366.89	33.40	0.18%
ALLIANCE DATA SYSTEMS CORP 018581-10-8 ADS	262.93	62,000	16,301.66	10,948.94	5,352.72		
AMAZON COM INC 023135-10-6 AMZN	398.79	61,000	24,326.19	5,085.97	19,240.22		
ANADARKO PETROLEUM CORP 032511-10-7 APC	79.32	294,000	23,320.08	21,683.11	1,636.97	211.68	0.91%
APACHE CORP 037411-10-5 APA	85.94	228,000	19,594.32	17,962.94	1,631.38	182.40	0.93%
APPLE INC. 037833-10-0 AAPL	561.02	165,000	92,568.30	13,140.81	79,427.49	2,013.00	2.17%
ARCHER DANIELS MIDLAND CO 039483-10-2 ADM	43.40	289,000	12,542.60	9,220.24	3,322.36	277.44	2.21%
AUTOZONE INC 053332-10-2 AZO	477.94	47,000	22,463.18	14,915.95	7,547.23		

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OPPENHEIMER&HAAS ACCT. P61291004  
For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div	Yield
<b>US Large Cap Equity</b>							
AVAGO TECHNOLOGIES LTD Y0486S-10-4 AVGO	52.88	987,000	52,191.57	33,810.79	18,380.78	987.00	1.89%
BANK OF AMERICA CORP 060505-10-4 BAC	15.57	3,997,000	62,233.29	51,825.25	10,408.04	159.88	0.26%
BIOGEN IDEC INC 09062X-10-3 BIIB	279.57	124,000	34,666.93	6,318.43	28,348.50		
BRISTOL MYERS SQUIBB CO 110122-10-8 BMY	53.15	1,111,000	59,049.65	45,400.60	13,649.05	1,599.84 399.96	2.71%
CAPITAL ONE FINANCIAL CORP 14040H-10-5 COF	76.61	355,000	27,196.55	19,151.78	8,044.77	426.00	1.57%
CBS CORP CLASS B W/ 124857-20-2 CBS	63.74	377,000	24,029.98	19,884.15	4,145.83	180.96 45.24	0.75%
CELGENE CORPORATION 151020-10-4 CELG	168.97	211,000	35,652.25	9,409.01	26,243.24		
CERNER CORP 156782-10-4 CERN	55.74	307,000	17,112.18	13,467.60	3,644.58		
CHENIERE ENERGY INC 16411R-20-8 LNG	43.12	307,000	13,237.84	4,816.68	8,421.16		
CISCO SYSTEMS INC 17275R-10-2 CSCO	22.43	1,932,000	43,334.76	34,465.19	8,869.57	1,313.76	3.03%
CITIGROUP INC NEW 172967-42-4 C	52.11	1,088,000	56,695.68	39,798.08	16,897.60	43.52	0.08%
COCA-COLA CO 191216-10-0 KO	41.31	616,000	25,446.96	24,527.58	919.38	689.92	2.71%

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OPPENHEIMER & HAAS ACCT P61291004  
For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
<b>US Large Cap Equity</b>							
COMCAST CORP CL A 20030N-10-1 CMCS A	51.97	1,163,000	60,435.30	27,698.01	32,737.29	907.14 226.79	1.50 %
COSTCO WHOLESALE CORP 22160K-10-5 COST	119.02	124,000	14,758.48	15,072.62	(314.14)	153.76	1.04 %
CSX CORP 126408-10-3 CSX	28.77	924,000	26,583.48	20,032.06	6,551.42	554.40	2.09 %
CVS CAREMARK CORPORATION 126650-10-0 CVS	71.57	240,000	17,176.80	13,563.58	3,613.22	264.00	1.54 %
DISH NETWORK CORP CL A 25470M-10-9 DISH	57.92	433,000	25,079.36	16,193.72	8,885.64		
DOW CHEMICAL CO 260543-10-3 DOW	44.40	667,000	29,614.80	20,508.31	9,106.49	853.76 213.44	2.88 %
EATON CORP PLC G29183-10-3 ETN	76.12	213,000	16,213.56	15,866.48	347.08	357.84	2.21 %
EBAY INC 278642-10-3 EBAY	54.87	670,000	36,759.55	36,491.98	267.57		
EDGEWOOD GROWTH FUND-INS 0075W0-75-9 EGFI X	18.74	46,157,661	864,994.57	533,686.63	331,307.94		
EMERSON ELECTRIC CO 291011-10-4 EMR	70.18	359,000	25,194.62	17,493.74	7,700.88	617.48	2.45 %
EOG RESOURCES INC 26875P-10-1 EOG	167.84	59,000	9,902.56	3,619.74	6,282.82	44.25	0.45 %
EXXON MOBIL CORP 30231G-10-2 XOM	101.20	527,000	53,332.40	7,116.41	46,215.99	1,328.04	2.49 %

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OPPENHEIMER & HAAS ACCT. P61291004  
For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
<b>US Large Cap Equity</b>							
FLUOR CORP 343412-10-2 FLR	80.29	669 000	53,714.01	37,684.53	16,029.48	428.16 107.04	0.80%
FREEMONT-MCMORAN COPPER & GOLD INC CL B 35671D-85-7 FCX	37.74	992 000	37,438.08	33,307.47	4,130.61	1,240.00	3.31%
GENERAL MILLS INC 370334-10-4 GIS	49.91	409 000	20,413.19	12,557.16	7,856.03	621.68	3.05%
GENERAL MOTORS CO 37045V-10-0 GM	40.87	1,156 000	47,245.72	35,100.82	12,144.90		
GOOGLE INC CL A 38259P-50-8 GOOG	1,120.71	77 000	86,294.67	53,281.83	33,012.84		
HARTFORD FINANCIAL SERVICES GROUP INC 416515-10-4 HIG	36.23	541 000	19,600.43	16,331.47	3,268.96	324.60 81.15	1.66%
HARTFORD CAPITAL APPREC-I 416649-30-9 ITHI X	46.69	3,867 621	180,579.22	91,972.03	88,607.19	847.00	0.47%
HOME DEPOT INC 437076-10-2 HD	82.34	574 000	47,263.16	26,634.81	20,628.35	895.44	1.89%
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	91.37	738 000	67,431.06	41,119.41	26,311.65	1,328.40	1.97%
HUMANA INC 444859-10-2 HUM	103.22	220 000	22,708.40	16,558.96	6,149.44	237.60 59.40	1.05%
INTERCONTINENTAL EXCHANGE GROUP, INC 45866F-10-4 ICE	224.92	139 000	31,263.88	22,482.40	8,781.48	361.40	1.16%
INVESCO LTD G491BT-10-8 IVZ	36.40	257 000	9,354.80	4,820.50	4,534.30	231.30	2.47%

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OPPENHEIMER & HAAS ACCT P61291004  
For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
<b>US Large Cap Equity</b>							
JOHNSON & JOHNSON 478160-10-4 JNJ	91.59	1,110,000	101,664.90	86,271.83	15,393.07	2,930.40	2.88%
JOHNSON CONTROLS INC 478366-10-7 JCI	51.30	547,000	28,061.10	7,725.57	20,335.53	481.36 120.34	1.72%
JPM US LARGE CAP CORE PLUS FD - SEL FUND 1002 4812A2-38-9 JLPS X	27.74	36,652,994	1,016,754.05	698,664.06	318,089.99	15,650.82	1.54%
KLA-TENCOR CORP 482480-10-0 KLAC	64.46	146,000	9,411.16	8,940.03	471.13	262.80	2.79%
LAM RESEARCH CORP 512807-10-8 LRCX	54.45	415,000	22,596.75	17,499.47	5,097.28		
LOWES COMPANIES INC 548661-10-7 LOW	49.55	928,000	45,982.40	19,371.59	26,610.81	668.16	1.45%
MARATHON OIL CORP 565849-10-6 MRO	35.30	550,000	19,415.00	19,887.17	(472.17)	418.00	2.15%
MARATHON PETROLEUM CORPORATION 56585A-10-2 MPC	91.73	105,000	9,631.65	9,143.87	487.78	176.40	1.83%
MARSH & MCLENNAN COMPANIES INC 571748-10-2 MMC	48.36	336,000	16,248.96	14,720.10	1,528.86	336.00	2.07%
MASCO CORP 574599-10-6 MAS	22.77	1,375,000	31,308.75	20,858.21	10,450.54	412.50	1.32%
MASTERCARD INC - CLASS A 57636Q-10-4 MA	835.46	58,000	48,456.68	17,775.13	30,681.55	255.20	0.53%
MCKESSON CORP 58155Q-10-3 MCK	161.40	140,000	22,596.00	22,628.10	(32.10)	134.40 33.60	0.59%
MERCK AND CO INC 58933Y-10-5 MRK	50.05	430,000	21,521.50	12,441.55	9,079.95	756.80 189.20	3.52%

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For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
<b>US Large Cap Equity</b>							
METLIFE INC 59156R-10-8 MET	53.92	999,000	53,866.08	32,958.40	20,907.68	1,098.90	2.04%
METTLER TOLEDO INTERNATIONAL INC 592688-10-5 MTD	242.59	64,000	15,525.76	13,403.25	2,122.51		
MICROSOFT CORP 594918-10-4 MSFT	37.41	2,318,000	86,716.38	54,735.67	31,980.71	2,596.16	2.99%
MONDELEZ INTERNATIONAL-W/I 609207-10-5 MDLZ	35.30	692,000	24,427.60	15,499.01	8,928.59	387.52 96.88	1.59%
MONSANTO CO 61166W-10-1 MON	116.55	271,000	31,585.05	29,206.13	2,378.92	466.12	1.48%
MORGAN STANLEY 617446-44-8 MS	31.36	1,288,000	40,391.68	28,410.95	11,980.73	257.60	0.64%
NEUBERGER BER MU/C OPP-INS 64122Q-30-9 NMUL X	15.35	28,279,940	434,097.08	391,677.17	42,419.91	3,054.23	0.70%
NEXTERA ENERGY INC 65339F-10-1 NEE	85.62	319,000	27,312.78	25,178.45	2,134.33	842.16	3.08%
NISOURCE INC 65473P-10-5 NI	32.88	540,000	17,755.20	15,301.67	2,453.53	540.00	3.04%
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	95.10	407,000	38,705.70	19,509.11	19,196.59	1,041.92 307.20	2.69%
ORACLE CORP 68389X-10-5 ORCL	38.26	1,199,000	45,873.74	28,012.11	17,861.63	575.52	1.25%
PACCAR INC 693718-10-8 PCAR	59.17	657,000	38,874.69	21,586.73	17,287.96	525.60 591.30	1.35%
PENTAIR LTD SHS H6169Q-10-8 PNR	77.67	223,000	17,320.41	9,075.52	8,244.89	223.00	1.29%

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For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div.	Yield
<b>US Large Cap Equity</b>							
PEPSICO INC 713448-10-8 PEP	82.94	329,000	27,287.26	24,216.96	3,070.30	746.83 186.71	2.74%
PERRIGO CO LTD G97822-10-3 PRGO	153.46	171,000	26,241.66	26,027.06	214.60		
PHILIP MORRIS INTERNATIONAL 718172-10-9 PM	87.13	317,000	27,620.21	26,272.34	1,347.87	1,191.92 297.98	4.32%
PHILLIPS 66 718546-10-4 PSX	77.13	510,000	39,336.30	27,534.61	11,801.69	795.60	2.02%
PRICELINE.COM INC 741503-40-3 PCLN	1,162.40	20,000	23,248.00	13,931.86	9,316.14		
PROCTER & GAMBLE CO 742718-10-9 PG	81.41	481,000	39,158.21	14,449.62	24,708.59	1,157.28	2.96%
QEP RESOURCES INC 74733V-10-0 QEP	30.65	495,000	15,171.75	16,113.72	(941.97)	39.60	0.26%
QUALCOMM INC 747525-10-3 QCOM	74.25	646,000	47,965.50	28,612.09	19,353.41	904.40	1.89%
SCHLUMBERGER LTD 806857-10-8 SLB	90.11	814,000	73,349.54	67,899.92	5,449.62	1,017.50 182.81	1.39%
SG REN SPX 10/16/14 2 XLEV- 8%CAP- 16%MAXPYMT INITIAL LEVEL-09/27/13 SPX-1691.75 83368W-EU-1	106.73	240,000,000	256,152.00	237,600.00	18,552.00		
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	184.69	3,174,000	586,206.06	420,178.25	166,027.81	10,636.07	1.81%
STATE STREET CORP 857477-10-3 STT	73.39	347,000	25,466.33	20,544.98	4,921.35	360.88 90.22	1.42%

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For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div.	Yield
<b>US Large Cap Equity</b>							
TIME WARNER INC NEW 887317-30-3 TWX	69.72	1,242,000	86,592.24	29,069.00	57,523.24	1,428.30	1.65%
TIME WARNER CABLE INC 88732J-20-7 TWC	135.50	123,000	16,666.50	13,950.22	2,716.28	319.80	1.92%
UNITEDHEALTH GROUP INC 91324P-10-2 UNH	75.30	673,000	50,676.90	33,327.91	17,348.99	753.76	1.49%
UNITED TECHNOLOGIES CORP 913017-10-9 UTX	113.80	750,000	85,350.00	30,542.74	54,807.26	1,770.00	2.07%
UNITED CONTINENTAL HOLDINGS INC. 910047-10-9 UAL	37.83	389,000	14,715.87	14,983.59	(267.72)		
VERIZON COMMUNICATIONS INC 92343V-10-4 VZ	49.14	469,000	23,046.66	1,783.35	21,263.31	994.28	4.31%
VERTEX PHARMACEUTICALS INC 92532F-10-0 VRTX	74.30	144,000	10,699.20	3,928.39	6,770.81		
WALT DISNEY CO 254687-10-6 DIS	76.40	392,000	29,948.80	26,062.07	3,886.73	337.12 337.12	1.13%
WELLS FARGO & CO 949746-10-1 WFC	45.40	1,735,000	78,769.00	41,078.62	37,690.38	2,082.00	2.64%
WILLIAMS COMPANIES INC 969457-10-0 WMB	38.57	645,000	24,877.65	18,589.13	6,288.52	980.40	3.94%
YUM BRANDS INC 988498-10-1 YUM	75.61	470,000	35,536.70	16,053.25	19,483.45	695.60	1.96%
<b>Total US Large Cap Equity</b>			<b>\$6,483,119.65</b>	<b>\$4,378,426.98</b>	<b>\$2,104,692.67</b>	<b>\$81,066.28 \$3,566.38</b>	<b>1.25%</b>

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For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div.	Yield
<b>US Mid Cap Equity</b>							
ISHARES CORE S&P MID-CAP ETF 464287-50-7 IJH	133.81	4,000,000	535,240.00	275,432.80	259,807.20	6,912.00	1.29%
JPM MID CAP GROWTH FD - SEL FUND 3120	27.95	20,557,990	574,595.82	300,000.00	274,595.82	4,461.08	0.78%
4812C1-71-0 HLGE X							
JPM MKT EXP ENH INDEX FD - SEL FUND 3708	13.13	35,019,688	459,808.50	327,661.94	132,146.56	4,482.52	0.97%
4812C1-63-7 PGMI X							
Total US Mid Cap Equity			\$1,569,644.32	\$903,094.74	\$666,549.58	\$15,855.60	1.01%
<b>EAFE Equity</b>							
ARTISAN INTL VALUE FUND-INV 04314H-88-1 ARTK X	36.77	13,508,325	496,701.11	351,320.50	145,380.61	12,468.18	2.51%
DB REN MXEA 08/06/14 2 XLEV- 11.15%CAP- 22.30%MAXPYMT INITIAL LEVEL-07/19/13 MXEA.1733 470 25152R-DW-0	108.15	175,000,000	189,259.00	173,250.00	16,009.00		
DODGE & COX INTL STOCK FUND 256206-10-3 DODF X	43.04	30,939,908	1,331,653.64	974,190.42	357,463.22	21,503.23	1.61%
HSBC REN MXEA 10/16/14 2 XLEV- 10%CAP- 20%MAXPYMT INITIAL LEVEL-09/27/13 MXEA.1835 850 40432X-LA-7	103.65	200,000,000	207,300.00	198,000.00	9,300.00		
MANNING & NAPIER WORLD OPPOR 563821-54-5 EXWA X	9.05	114,051,540	1,032,166.44	975,909.69	56,256.75	11,747.30	1.14%

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OPPENHEIMER & HAAS ACCT. P61291004  
For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div	Yield
<b>EAFE Equity</b>							
OAKMARK INTERNATIONAL FD-I 413838-20-2 OAKI X	26.32	49,537,028	1,303,814.58	1,258,994.81	44,819.77	21,598.14	1.66%
<b>Total EAFE Equity</b>			<b>\$4,560,894.77</b>	<b>\$3,931,665.42</b>	<b>\$629,229.35</b>	<b>\$67,316.85</b>	<b>1.48%</b>
<b>European Large Cap Equity</b>							
BARC MARKET PLUS SX5E 03/26/14 80% CONTIN BARRIER- 7.45%CPN .UNCAPPED INITIAL LEVEL-09/21/12 SX5E:2577.08 06741T-GU-5	120.79	175,000,000	211,382.50	172,812.50	38,570.00		
VANGUARD FTSE EUROPE ETF 922042-87-4 VGK	58.80	3,296,000	193,804.80	185,517.01	8,287.79	5,369.18	2.77%
<b>Total European Large Cap Equity</b>			<b>\$405,187.30</b>	<b>\$358,329.51</b>	<b>\$46,857.79</b>	<b>\$5,369.18</b>	<b>1.32%</b>
<b>Asia ex-Japan Equity</b>							
COLUMBIA ASIA PAX X-JPN-R5 19763P-57-2 TAPR X	13.51	35,279,629	476,627.79	410,925.82	65,701.97	6,173.93	1.30%
MATTHEWS PACIFIC TIGER FD-IS 577130-83-4 MIPT X	24.97	20,954,509	523,234.09	355,684.71	167,549.38		



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For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div.	Yield
<b>Asia ex-Japan Equity</b>							
MS REN MXASJ 2/12/14	99.80	175,000,000	174,641.25	173,250.00	1,391.25		
2 XLEV- 10.2%CAP- 20.4%MAXPYMT							
INITIAL LEVEL-01/25/13 MXASJ 554.47							
61761J-BT-5							
Total Asia ex-Japan Equity			\$1,174,503.13	\$939,860.53	\$234,642.60	\$6,173.93	0.53%
<b>Emerging Market Equity</b>							
DELAWARE EMERGING MARKETS-I	16.27	12,580,996	204,692.80	183,324.33	21,368.47	1,937.47	0.95%
245914-81-7 DEMI X							
NEUBERGER BERMAN EQUITY FDS	11.07	17,652,122	195,408.99	178,816.00	16,592.99		
GCHINA EQ INST							
64122Q-46-5 NCEI X							
Total Emerging Market Equity			\$400,101.79	\$362,140.33	\$37,961.46	\$1,937.47	0.49%
<b>Global Equity</b>							
JPM GLOBAL RES ENH INDEX FD - SEL	17.83	33,489,900	597,124.92	524,800.83	72,324.09	904.22	0.15%
FUND 3457							
46837K-51-3 JEIT X							

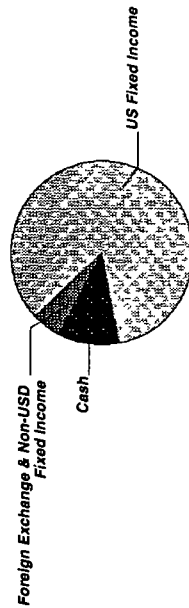
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For the Period 10/1/13 to 12/31/13

## Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	768,639.31	(373,919.62)	(394,719.69)	2%
US Fixed Income	3,392,770.38	2,983,776.77	(408,993.61)	16%
Complementary Structured Strategies	118,731.25	0.00	(118,731.25)	
Foreign Exchange & Non-USD Fixed Income	0.00	187,846.87	187,846.87	1%
<b>Total Value</b>	<b>\$4,280,140.94</b>	<b>\$3,545,543.26</b>	<b>(\$734,597.68)</b>	<b>19%</b>



Cash & Fixed Income as a percentage of your portfolio - 19 %

Market Value/Cost	Current Period Value
Market Value	(3,545,543.26)
Tax Cost	(3,481,604.05)
Unrealized Gain/Loss	63,939.21
Estimated Annual Income	96,583.82
Accrued Interest	5,581.30
Yield	2.72 %

### SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months <sup>1</sup>	3,545,543.26	100%

<sup>1</sup> The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

### SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	373,919.62	10%
Mutual Funds	3,171,623.64	90%
<b>Total Value</b>	<b>\$3,545,543.26</b>	<b>100%</b>

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OPPENHEIMER & HAAS ACCT. P61291004  
For the Period 10/1/13 to 12/31/13

Note: <sup>1</sup> This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

## Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Income Accrued Interest	Yield
<b>Cash</b>							
US DOLLAR PRINCIPAL	1.00	376,361.84	376,361.84	376,361.84		112.90	0.03 % <sup>1</sup>
COST OF PENDING PURCHASES	1.00	(2,442.22)	(2,442.22)	(2,442.22)			
Total Cash			\$373,919.62	\$373,919.62	\$0.00	\$112.90	0.03 %
<b>US Fixed Income</b>							
DOUBLELINE TOTAL RET BD-I 258620-10-3	10.78	30,655.42	330,465.37	344,845.12	(14,379.75)	17,075.06	5.17 %
EATON VANCE FLOATING RATE-I 277911-49-1	9.19	44,458.49	408,573.50	374,785.05	33,788.45	15,960.59	3.91 %
JPM STRAT INCOME OPPORT FD - SEL FUND 3844 4812A4-35-1	11.89	45,199.53	537,422.38	513,813.68	23,608.70	14,509.04 1,220.39	2.70 %
JPM CORE BOND FD - SEL FUND 3720 4812C0-38-1	11.47	21,132.04	242,384.48	244,709.00	(2,324.52)	7,797.72 676.23	3.22 %
JPM HIGH YIELD FD - SEL FUND 3580 4812C0-80-3	7.98	32,016.70	255,493.27	242,686.60	12,806.67	17,385.06 1,536.80	6.80 %



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For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Income Accrued Interest	Yield
<b>US Fixed Income</b>							
JPM SHORT DURATION BOND FD - SEL FUND 3133 4812C1-33-0	10.89	93,879.48	1,022,347.50	1,021,757.47	590.03	13,988.04 1,314.31	1.37%
JPM FLOAT RATE INCOME FD - SEL FUND 2808 48121L-51-0	10.10	18,523.79	187,090.27	176,902.18	10,188.09	8,706.18 833.57	4.65%
<b>Total US Fixed Income</b>			<b>\$2,983,776.77</b>	<b>\$2,919,499.10</b>	<b>\$64,277.67</b>	<b>\$95,421.69 \$5,581.30</b>	<b>3.20%</b>
<b>Foreign Exchange &amp; Non-USD Fixed Income</b>							
JPM INTL CURR INCOME FD - SEL FUND 3831 4812A3-29-6	11.10	16,923.14	187,846.87	188,185.33	(338.46)	1,049.23	0.56%



OPPENHEIMER & HAAS ACCT. P61291004  
For the Period 10/1/13 to 12/31/13

## Portfolio Activity Summary

Transactions	PRINCIPAL		INCOME	
	Current Period Value	Year-To-Date Value*	Current Period Value	Year-To-Date Value*
<b>Beginning Cash Balance</b>	1,021,103.97	--	11,005.69	--
<b>INFLOWS</b>				
Income			158,218.92	324,020.24
<b>Total Inflows</b>	\$0.00	\$0.00	\$158,218.92	\$324,020.24
<b>OUTFLOWS **</b>				
Withdrawals	(437,000.00)	(662,000.00)		(101,200.00)
Fees & Commissions			(59,769.85)	(188,574.73)
Tax Payments			(1,986.45)	(16,013.06)
<b>Total Outflows</b>	(\$437,000.00)	(\$662,000.00)	(\$61,756.30)	(\$305,787.79)
<b>TRADE ACTIVITY</b>				
Settled Sales/Maturities/Redemptions	2,008,151.17	7,893,295.14		
Settled Securities Purchased	(2,215,893.30)	(7,670,760.91)		
<b>Total Trade Activity</b>	(\$207,742.13)	\$222,534.23	\$0.00	\$0.00
<b>Ending Cash Balance</b>	\$376,361.84	--	\$107,468.31	--

Cost Adjustments	Current Period Value	Year-To-Date Value*
Accretion	414.57	4,565.14
<b>Total Cost Adjustments</b>	\$414.57	\$4,565.14

J.P. Morgan