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Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.**2013****Open to Public Inspection**

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation **THE CAMILLE AND HENRY DREYFUS
FOUNDATION, INC.**

A Employer identification number

13-5570117

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

(212) 753-1760

555 MADISON AVENUE

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10022

G Check all that apply

Initial return

Final return

Address change

Initial return of a former public charity

Amended return

Name change

H Check type of organization

☒

Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at
end of year (from Part II, col. (c), line
16) \$ 102,304,192.

J Accounting method

☐ Cash☐ Accrual☒ Other (specify)

MODIFIED CASH

(Part I, column (d) must be on cash basis)

C If exemption application is
pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions))

(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule) if the foundation is not required to attach Sch B			
2 Check ☐			
3 Interest on savings and temporary cash investments			
4 Dividends and interest from securities	1,164,339.	1,780,797.	ATCH 1
5a Gross rents			
b Net rental income or (loss)	1,693,177.		
6a Net gain or (loss) from sale of assets not on line 10			
b Gross sales price for all assets on line 6a 9,577,528.			
7 Capital gain net income (from Part IV, line 2)		1,458,127.	
8 Net short-term capital gain			
9 Income modifications			
10a Gross sales less returns and allowances			
b Less Cost of goods sold			
c Gross profit or (loss) (attach schedule)			
11 Other income (attach schedule) ATCH 2	1,436,961.	209,439.	
12 Total. Add lines 1 through 11	4,294,477.	3,448,363.	
13 Compensation of officers, directors, trustees, etc.	502,000.		502,000.
14 Other employee salaries and wages	248,946.	12,447.	236,499.
15 Pension plans, employee benefits	182,925.	9,146.	173,779.
16a Legal fees (attach schedule) ATCH 3	1,556.	78.	1,478.
b Accounting fees (attach schedule) ATCH 4	42,800.	2,140.	40,660.
c Other professional fees (attach schedule) *	486,655.	401,336.	85,319.
17 Interest ATCH 6		31,345.	
18 Taxes (attach schedule) (see instructions) ATCH 7	76,301.	14,754.	
19 Depreciation (attach schedule) and depletion			
20 Occupancy	237,446.	11,872.	225,574.
21 Travel, conferences, and meetings	19,690.	985.	18,705.
22 Printing and publications	5,468.	273.	5,195.
23 Other expenses (attach schedule) ATCH 8	175,371.	400,507.	169,966.
24 Total operating and administrative expenses. Add lines 13 through 23	1,979,158.	884,883.	1,459,175.
25 Contributions, gifts, grants paid	3,542,467.		3,542,467.
26 Total expenses and disbursements. Add lines 24 and 25	5,521,625.	884,883.	5,001,642.
27 Subtract line 26 from line 12:			
a Excess of revenue over expenses and disbursements	-1,227,148.		
b Net investment income (if negative, enter -0-)		2,563,480.	
c Adjusted net income (if negative, enter -0-)			

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Operating and Administrative Expenses

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	1,854,231.	2,674,321.	2,674,321.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 9	49,938,475.	50,138,531.	50,138,531.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment, basis			
	Less: accumulated depreciation (attach schedule) ▶				
12	Investments - mortgage loans				
13	Investments - other (attach schedule) ATCH 10	41,845,127.	49,491,340.	49,491,340.	
14	Land, buildings, and equipment, basis				
	Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	93,637,833.	102,304,192.	102,304,192.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, . . . ▶ ☒ check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	93,637,833.	102,304,192.	
	30	Total net assets or fund balances (see instructions)	93,637,833.	102,304,192.	
	31	Total liabilities and net assets/fund balances (see instructions)	93,637,833.	102,304,192.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	93,637,833.
2	Enter amount from Part I, line 27a	2	-1,227,148.
3	Other increases not included in line 2 (itemize) ▶ ATCH 11	3	9,893,507.
4	Add lines 1, 2, and 3	4	102,304,192.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	102,304,192.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	1,458,127.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	4,319,873.	82,804,210.	0.052170
2011	4,877,360.	94,087,581.	0.051839
2010	4,475,140.	91,875,617.	0.048709
2009	5,419,595.	90,004,849.	0.060214
2008	5,787,603.	106,305,022.	0.054443
2 Total of line 1, column (d)			2 0.267375
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.053475
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 85,706,794.
5 Multiply line 4 by line 3			5 4,583,171.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 25,635.
7 Add lines 5 and 6			7 4,608,806.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 5,001,642.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	25,635.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	25,635.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	25,635.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	47,148.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	40,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	87,148.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	61,513.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☒ 61,513. Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address WWW.DREYFUS.ORG				
14	The books are in care of THE FOUNDATION	Telephone no.	212-753-1760	
	Located at 555 MADISON AVENUE, NEW YORK, NY	ZIP+4	10022	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country.			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes	☐ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes	☒ No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes	☒ No
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

5b

Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

6b

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 12		502,000.	58,500.	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GERARD BRANDENSTEIN	ASSOCIATE			
C/O THE DREYFUS FOUNDATION	40.00	130,000.	15,600.	0
ADAM LORE	OPERATIONS MANAGER			
C/O THE DREYFUS FOUNDATION	40.00	69,802.	8,376.	0

Total number of other employees paid over \$50,000 ☐ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 13		117,657.
Total number of others receiving over \$50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE ATTACHMENT 15A	5,001,642.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	44,578,677.
b	Average of monthly cash balances	1b	352,724.
c	Fair market value of all other assets (see instructions)	1c	42,080,573.
d	Total (add lines 1a, b, and c)	1d	87,011,974.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	87,011,974.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,305,180.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	85,706,794.
6	Minimum investment return. Enter 5% of line 5	6	4,285,340.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	4,285,340.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	25,635.
b	Income tax for 2013 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	25,635.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	4,259,705.
4	Recoveries of amounts treated as qualifying distributions	4	24,406.
5	Add lines 3 and 4	5	4,284,111.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	4,284,111.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	5,001,642.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	5,001,642.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	25,635.
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	4,976,007.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				4,284,111.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20 <u>11</u> , 20 <u>10</u> , 20 <u>09</u>				
3 Excess distributions carryover, if any, to 2013:				
a From 2008	503,316.			
b From 2009	879,238.			
c From 2010				
d From 2011	142,641.			
e From 2012	27,886.			
f Total of lines 3a through e	1,553,081.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>5,001,642.</u>				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				4,284,111.
e Remaining amount distributed out of corpus	717,531.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,270,612.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	503,316.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	1,767,296.			
10 Analysis of line 9				
a Excess from 2009	879,238.			
b Excess from 2010				
c Excess from 2011	142,641.			
d Excess from 2012	27,886.			
e Excess from 2013	717,531.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(1)(3) or

4942(1)(5)

	Tax year	Prior 3 years			(e) Total	
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed						
b 85% of line 2a						
c Qualifying distributions from Part XII, line 4 for each year listed . .						
d Amounts included in line 2c not used directly for active conduct of exempt activities						
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c						
3 Complete 3a, b, or c for the alternative test relied upon						
a "Assets" alternative test - enter						
(1) Value of all assets						
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).						
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .						
c "Support" alternative test - enter						
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).						
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)						
(3) Largest amount of support from an exempt organization.						
(4) Gross investment income .						

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 14

b The form in which applications should be submitted and information and materials they should include:

ATCH 15

c Any submission deadlines

SEE ATTACHED BROCHURES

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED BROCHURES

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> ATCH 16				
Total			▶ 3a	3,542,467.
b <i>Approved for future payment</i> SEE ATTACHMENT 16B	NONE	PC		2,860,074.
Total			▶ 3b	2,860,074.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	1,164,339.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property .					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	900099	44,587.	18	1,648,590.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . . .					
11 Other revenue: a _____					
b ATCH 17		-18,393.		1,430,948.	24,406.
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		26,194.		4,243,877.	24,406.
13 Total. Add line 12, columns (b), (d), and (e)				13	4,294,477.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
9,577,528.		PUBLICLY TRADED SECURITIES PROPERTY TYPE: SECURITIES 7,884,351.				P	1,693,177.	
		THRU NGEN II, LP PROPERTY TYPE: OTHER				P	-313,229.	
		THRU ADAMAS OPPORTUNITIES, LP PROPERTY TYPE: OTHER				P	178,559.	
		THRU ADAMAS PARTNERS, LP PROPERTY TYPE: OTHER				P	166,831.	
		THRU COLCHESTER GLOBAL BOND FUND PROPERTY TYPE: OTHER				P	27,589.	
		THRU DAVIDSON KEMPNER INSTITUTIONAL PART PROPERTY TYPE: OTHER				P	115,346.	
		THRU MARATHON-LONDON INTERNATIONAL				P	-13,718.	
		THRU CITY OF LONDON-EMERGING MARKETS PROPERTY TYPE: OTHER				P	-74,550.	
		THRU NGEN III, LP PROPERTY TYPE: OTHER				P	-277,277.	
		THRU DENHAM COMMODITY, LP				D	-14.	
		LESS AMOUNT ATTRIBUTABLE TO UBIT PROPERTY TYPE: OTHER					-44,587.	
TOTAL GAIN (LOSS)							<u>1,458,127.</u>	

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDENDS	1,164,339.	1,164,339.
INTEREST ON BONDS		
DIVIDEND INCOME FROM AMERICAN SECURITIES		24,408.
INTEREST INCOME FROM NGEN III, L.P.		2,133.
INTEREST INCOME FROM DENHAM COMMODITY		60.
INTEREST INCOME FROM COLCHESTER GLOBAL		164,182.
BOND FUND		
INTEREST INCOME FROM ADAMAS PARTNERS, LP		23,401.
INTEREST INCOME FROM ADAMAS		
OPPORTUNITIES, L.P.		15,535.
DIVIDEND INCOME FROM DENHAM COMMODITY		30.
DIVIDEND INCOME FROM COLCHESTER GLOBAL		
BOND FUND		
DIVIDEND INCOME FROM ADAMAS PARTNERS, LP		6.
DIVIDEND INCOME FROM ADAMAS		16,794.
OPPORTUNITIES, L.P.		20,054.
INTEREST INCOME FROM DAVIDSON KEMPNER		
INSTITUTIONAL PARTNERS, LP		81,296.
DIVIDEND INCOME FROM DAVIDSON KEMPNER		
INSTITUTIONAL PARTNERS, LP		10,565.
INTEREST INCOME FROM CITY OF LONDON		
EMERGING MARKETS COUNTRY FUND		1,808.
DIVIDEND INCOME FROM MARATHON - LONDON		
INTERNATIONAL INVESTMENT TRUST I		125,937.
DIVIDEND INCOME FROM CITY OF LONDON		
EMERGING MARKETS COUNTRY FUND		130,249.
TOTAL	<u>1,164,339.</u>	<u>1,780,797.</u>

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
RETURNED GRANTS	24,406.	
NET GAIN FROM LIMITED PARTNERSHIPS AND LIKE INVESTMENTS	1,412,555.	227,832.
LESS: AMOUNT ATTRIBUTABLE TO UBIT		-18,393.
TOTALS	1,436,961.	209,439.

ATTACHMENT 3

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
MORRIS AND MCVEIGH - LEGAL FEE	1,556.	78.		1,478.
TOTALS	<u>1,556.</u>	<u>78.</u>		<u>1,478.</u>

ATTACHMENT 4FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CONDON O'MEARA MCGINTY & DONNELLY LLP - AUDIT & TAX	24,800.	1,240.		23,560.
L.H. FRISHKOFF & COMPANY LLP - BOOKKEEPING SERVICES	18,000.	900.		17,100.
TOTALS	<u>42,800.</u>	<u>2,140.</u>		<u>40,660.</u>

ATTACHMENT 5FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
DAVIDSON KEMPNER INSTITUTIONAL INVESTMENT ADVISORY FEES	42,094.	42,094.	
ACADIAN ASSET MANAGEMENT - INVESTMENT ADVISORY FEES	7,738.	7,738.	
COLCHESTER GLOBAL BOND FUND - INVESTMENT ADVISORY FEES	28,670.	28,670.	
PRIME BUCHHOLZ & ASSOC., INC. INVESTMENT ADVISORY FEES	117,657.	117,657.	
GRANTS ADVISORY AND CONSULTING NGEN II -	85,319.		85,319.
INVESTMENT ADVISORY FEE	4,454.	4,454.	
NGEN III -			
INVESTMENT ADVISORY FEE	40,963.	40,963.	
ADAMAS PARTNERS, L.P.-			
INVESTMENT ADVISORY FEE	80,645.	80,645.	
ADAMAS OPPORTUNITIES, L.P.-			
INVESTMENT ADVISORY FEE	54,697.	54,697.	
AMERICAN SECURITIES-			
INVESTMENT ADVISORY FEE	15,508.	15,508.	
MARATHON-LONDON INTERNATIONAL INVESTMENT ADVISORY FEE	233.	233.	
DAVIDSON KEMPNER			
INVESTMENT ADVISORY FEE	5,856.	5,856.	
DENHAM COMMODITY			
INVESTMENT ADVISORY FEE	2,821.	2,821.	
TOTALS	<u>486,655.</u>	<u>401,336.</u>	<u>85,319.</u>

ATTACHMENT 6FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST EXPENSE - PARTNERSHIP		86,352.
LESS: AMOUNT ATTRIBUTABLE TO UBIT		-55,007.
TOTALS		<u>31,345.</u>

ATTACHMENT 7

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FEDERAL EXCISE TAXES	76,301.	
FOREIGN TAXES - PARTNERSHIPS		14,754.
TOTALS	<u>76,301.</u>	<u>14,754.</u>

ATTACHMENT 8FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
TELEPHONE & FAX	6,862.	343.	6,519.
COMPUTER EXPENSES	4,657.	233.	4,424.
WORLD WIDE WEB EXPENSES	3,554.	178.	3,376.
OFFICE SUPPLIES	11,835.	592.	11,243.
SERVICE CONTRACTS	316.	16.	300.
PROGRAM EXPLORATION	2,213.		2,213.
MEETING REGISTRATION	5,608.	280.	5,328.
MEMBERSHIP EXPENSES	145.	7.	138.
INSURANCE EXPENSE	4,050.	203.	3,847.
FILING FEES	1,500.		1,500.
POSTAGE & SHIPPING	5,111.	256.	4,855.
MISCELLANEOUS	166.	8.	158.
MEDIA RELATIONS	61,292.	3,065.	58,227.
SPECIAL PROGRAMS AND MEETINGS	63,580.		63,580.
OTHER DEDUCTIONS FROM LIMITED PARTNERSHIPS		395,102.	
MAINTENANCE	4,482.	224.	4,258.
TOTALS	<u>175,371.</u>	<u>400,507.</u>	<u>169,966.</u>

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 9

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
DFA INTL SMALL CAP VALUE	1,340,975.	1,340,975.
PIMCO TOTAL RETURN FUND		
PIMCO COMMODITY REAL RETURN	2,270,380.	2,270,380.
STRATEGY FUND	2,888,034.	2,888,034.
ING GLOBAL REAL ESTATE		
VANGUARD TOTAL BOND MKT INDEX	11,703,948.	11,703,948.
I'NST		
AMERICAN FUNDS		
VANGUARD-ADMIRAL SHARES	2,496,274.	2,496,274.
VANGUARD ENERGY FUND-ADMIRAL		
SHARES	3,156,177.	3,156,177.
VANGUARD TOTAL STOCK MARKET		
INDEX FUND	17,219,003.	17,219,003.
VANGUARD- DEVEL MARKETS INDEX		
ADMIRAL	4,138,235.	4,138,235.
VANGUARD EMERGING MARKETS		
STOCK INDEX SIG	3,283,529.	3,283,529.
RS GLOBAL NATURAL	995,720.	995,720.
VANGUARD MID CAP INDEX	116,665.	116,665.
VANGUARD SHORT TERM TREASURY	69,008.	69,008.
VANGUARD EMERGING MARKETS		
STOCK INDEX	52,646.	52,646.
VANGUARD PACIFIC STOCK INDEX	46,160.	46,160.
VANGUARD TOTAL BOND MARKET IND	86,638.	86,638.
VANGUARD EMERGING MARKETS		
STOCK INDEX	37,816.	37,816.
VANGUARD SHORT TERM TREASURY	66,826.	66,826.
VANGUARD TOTAL STOCK MARKET	79,277.	79,277.
VANGUARD EMERGING MARKET		

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 9 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
STOCK INDEX	15,436.	15,436.
VANGUARD MID CAP INDEX	21,451.	21,451.
VANGUARD SMALL CAP INDEX	20,030.	20,030.
VANGUARD TOTAL BOND MARKET IND	13,953.	13,953.
VANGUARD TOTAL STOCK MARKET	20,350.	20,350.
TOTALS	<u>50,138,531.</u>	<u>50,138,531.</u>

FORM 990PF, PART II - OTHER INVESTMENTSATTACHMENT 10

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ADAMAS OPPORTUNITIES LP	5,150,302.	5,150,302.
ADAMAS PARTNERS LP	7,435,738.	7,435,738.
COLCHESTER GLOBAL BOND FUND	4,545,058.	4,545,058.
DAVIDSON KEMPER INSTITUTIONAL PARTNERS LP	3,655,952.	3,655,952.
NGEN II, LP	27,585.	27,585.
NGEN III, LP	1,260,066.	1,260,066.
DAVIDSON KEMPER LONG TERM DISTRESSED OPP. INT'L LP	1,257,380.	1,257,380.
AMERICAN SECURITIES	421,065.	421,065.
MARATHON LONDON INTERNATIONAL INVESTMENT TRUST I	14,049,448.	14,049,448.
CITY OF LONDON- EMERGING MARKETS COUNTRY FUND	4,717,952.	4,717,952.
DENHAM CAPITAL INVESTMENT	260,821.	260,821.
MASON CAPITAL	2,972,682.	2,972,682.
ANCHORAGE CAPITAL PARTNERS	3,737,291.	3,737,291.
TOTALS	<u>49,491,340.</u>	<u>49,491,340.</u>

ATTACHMENT 11FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED GAIN ON INVESTMENTS	4,101,023.
UNREALIZED GAIN FROM PARTNERSHIPS	5,792,484.
TOTAL	<u>9,893,507.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 12

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
DOROTHY DINSMOOR 555 MADISON AVENUE NEW YORK, NY 10022	VICE PRESIDENT & SECRETARY 5.00	25,000.	0	0
JOHN R. H. BLUM 555 MADISON AVENUE NEW YORK, NY 10022	DIRECTOR 2.00	26,500.	0	0
HENRY C. WALTER 555 MADISON AVENUE NEW YORK, NY 10022	PRESIDENT 4.00	31,500.	17,000.	0
EDWARD A. REILLY 555 MADISON AVENUE NEW YORK, NY 10022	DIRECTOR 2.00	21,000.	0	0
MARY ANNE FOX 555 MADISON AVENUE NEW YORK, NY 10022	DIRECTOR 2.00	16,500.	17,500.	0
JOHN I. BRAUMAN 555 MADISON AVENUE NEW YORK, NY 10022	DIRECTOR 2.00	33,500.	0	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 12 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
DR. MARK CARDILLO 555 MADISON AVENUE NEW YORK, NY 10022	EXECUTIVE DIRECTOR	254,000.	22,500.	0
H. SCOTT WALTER 555 MADISON AVENUE NEW YORK, NY 10022	TREASURER 4.00	26,000.	1,500.	0
PAUL WOITACH C/O THE DREYFUS FOUNDATION R. ZARE 555 MADISON AVE NEW YORK, NY 10022	DIRECTOR 2.00	8,000.	0	0
RICHARD ZARE 555 MADISON AVENUE NEW YORK, NY 10022	DIRECTOR 2.00	25,000.	0	0
MATTHEW TIRRELL 555 MADISON AVENUE NEW YORK, NY 10022	DIRECTOR 2.00	25,000.	0	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 12 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
H. MARSHALL SCHWARZ 555 MADISON AVENUE NEW YORK, NY 10022	DIRECTOR 2.00	5,000.	0	0
HARRY H. WASSERMAN 555 MADISON AVENUE NEW YORK, NY 10022	2.00	5,000.	0	0
	GRAND TOTALS	<u>502,000.</u>	<u>58,500.</u>	<u>0</u>

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 13

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
PRIME BUCHHOLZ & ASSOCIATES, INC. 25 CHESTNUT STREET PORTSMOUTH, NH 03801	INVEST ADVISORY FEES	117,657.
TOTAL COMPENSATION		<u>117,657.</u>

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

DR. MARK CARDILLO
THE CAMILLE & HENRY DREYFUS FDN
555 MADISON AVE., NY, NY 10022
212-753-1760

ATTACHMENT 15

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

APPLICATION FORMS SUPPLIED BY THE FOUNDATION WHERE
APPLICABLE OR BY LETTER-SEE ATTACHED BROCHURES.

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

► **File a separate application for each return.**
 ► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print

File by the due date for filing your return. See instructions.

Name of exempt organization or other filer, see instructions.

THE CAMILLE AND HENRY DREYFUS
FOUNDATION, INC.

Employer identification number (EIN) or

13-5570117

Number, street, and room or suite no. If a P O box, see instructions.

555 MADISON AVENUE

Social security number (SSN)

City, town or post office, state, and ZIP code. For a foreign address, see instructions.

NEW YORK, NY 10022

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► THE FOUNDATION

Telephone No. ► 212 753-1760

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 14, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year 20 13 or
- ☐ tax year beginning _____, 20 _____, and ending _____, 20 _____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	79,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	39,000.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	40,000. ✓

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2014)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box. ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print	Enter filer's identifying number, see instructions	
	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	THE CAMILLE AND HENRY DREYFUS FOUNDATION, INC.	13-5570117
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
File by the due date for filing your return. See instructions	555 MADISON AVENUE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10022	

Enter the Return code for the return that this application is for (file a separate application for each return) **0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ☐ Telephone No. 212 753-1760 Fax No.
- If the organization does not have an office or place of business in the United States, check this box. ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box. ☐ If it is for part of the group, check this box. ☐ and attach a list with the names and EINs of all members the extension is for
- 4 I request an additional 3-month extension of time until 11/17, 20 14.
- 5 For calendar year 2013, or other tax year beginning , 20 , and ending , 20
- 6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension **ALL THE INFORMATION NECESSARY TO COMPLETE THE RETURN IS NOT AND WILL NOT BE AVAILABLE BY THE DUE DATE. THEREFORE WE RESPECTIVELY REQUEST ADDITIONAL TIME TO COMPLETE THE RETURN.**

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	79,000.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	79,000.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature Title Date



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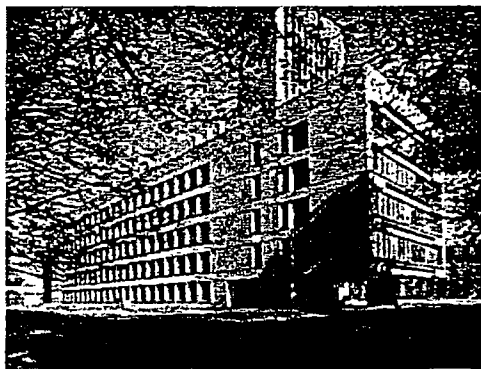
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Our Mission



Dreyfus Chemistry Laboratory at MIT

The purpose of the Camille and Henry Dreyfus Foundation, Inc., is to advance the science of chemistry, chemical engineering and related sciences as a means of improving human relations and circumstances throughout the world. Established in 1946 by chemist, inventor and businessman Camille Dreyfus as a memorial to his brother Henry, the Foundation became a memorial to both men when Camille Dreyfus died in 1956. Throughout its history the Foundation has sought to take the lead in identifying and addressing needs and opportunities in the chemical sciences.

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Overview

Camille Dreyfus directed that the Foundation's purpose be "to advance the science of chemistry, chemical engineering and related sciences as a means of improving human relations and circumstances throughout the world." The Foundation has sought to identify and address needs and opportunities in the chemical sciences through a series of programs and awards.

In broad terms, the programs support young faculty of exciting potential or early accomplishment, develop leadership in environmental chemistry, support undergraduate research with eminent faculty, and openly solicit for projects that advance the chemical sciences at all levels.



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The Dreyfus Prize in the Chemical Sciences

2015 Topic: Making Molecules and Materials**Deadline:** March 2, 2015**Announcement:** May 2015**Introduction**

The Dreyfus Prize in the Chemical Sciences is awarded to an individual in a selected area of chemistry to recognize exceptional and original research that has advanced the field in a major way. The prize is awarded biennially and consists of a monetary award of \$250,000, a medal, and a citation.

Recipients of the Dreyfus Prize.

2013: R. Graham Cooks, Purdue University, *Chemical Instrumentation* (Announcement)

2011: Tobin Marks, Northwestern University, *Catalysis* (Announcement)

2009: George Whitesides, Harvard University, *Materials Chemistry* (Announcement)

Selection

The prize is generally awarded to an individual. If the cited advance is substantially interdisciplinary, those aspects that represent original chemistry should be clear.

Eligibility

The prize is open to international nominations of individuals. There is no restriction on the number of nominees from a given institution, nor is institutional approval required. Present Dreyfus Foundation Directors, Advisors, consultants, previous Dreyfus Prize winners, and Nobel Laureates are not eligible.

Nomination Procedure

Any person may nominate a candidate for the Dreyfus Prize. Self-nominations are not accepted.

Required Information

Nomination package. The nomination should be formatted on 8 1/2 x 11-inch paper, using 12-point font size. Assemble the five items below as a single PDF and email it to prize@dreyfus.org.

1. The online nomination form (available January 2015)
2. Nomination letter (three-page limit)
3. CV that includes highest degree and advisor (four-page limit)
4. List of up to ten total papers and/or patents relating to the nomination
5. Provisional citation (30-word limit)

Letters of support: Up to three letters of support will be accepted for each nomination. Letters should be PDFs, formatted on 8 1/2 x 11-inch letterhead, using 12-point font size. These letters are to be sent directly to the Foundation at prize@dreyfus.org. Note, letters of support should not be received with the nomination package.

Questions may be directed to the Foundation office by e-mail at prize@dreyfus.org or by telephone at 212-753-1760

Award Ceremony

The award ceremony will be held at the institution of the prizewinner and will include the presentation of the award, an award talk, and a reception. The ceremony will most likely be held in late September, depending on the institutional calendar

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Camille Dreyfus Teacher-Scholar Awards Program

Deadline: February 10, 2015**Announcement:** early May 2015

The Camille Dreyfus Teacher-Scholar Awards Program supports the research and teaching careers of talented young faculty in the chemical sciences. Based on institutional nominations, the program provides discretionary funding to faculty at an early stage in their careers. Criteria for selection include an independent body of scholarship attained within the first five years of their appointment as independent researchers, and a demonstrated commitment to education, signaling the promise of continuing outstanding contributions to both research and teaching. The Camille Dreyfus Teacher-Scholar Awards Program provides an unrestricted research grant of \$75,000.

Eligibility

The Camille Dreyfus Teacher-Scholar Awards Program is open to academic institutions in the States, Districts, and Territories of the United States of America that grant a bachelor's or higher degree in the chemical sciences, including biochemistry, materials chemistry, and chemical engineering. Nominees must hold a full-time tenure-track academic appointment, and are normally expected to have been appointed no earlier than mid-year 2009. Awardees are from Ph.D. granting departments in which scholarly research is a principal activity. Undergraduate education is an important component of the nominee's activities. Institutions may submit only one Camille Dreyfus nomination annually.

Selection

The Foundation seeks Camille Dreyfus Teacher-Scholars who demonstrate leadership in research and education. Nominations must provide compelling evidence of the advance of important knowledge in the chemical sciences by the nominee. Further, the nomination should describe dedication and contributions to education in the chemical sciences, particularly with respect to undergraduates.

Recommendations for awards are based primarily on the nominee's scholarly research achievements as an independent faculty member, as assessed by the Foundation's reviewers and the judgment of the nominee's peers exemplified by letters of recommendation. Other considered factors are: awards and honors, publication of research achievements in leading journals, and success in attracting funding of research. Nominations are reviewed by distinguished faculty in the chemical sciences. **Current Camille Dreyfus Teacher-Scholars.**

Budget

The Camille Dreyfus Teacher-Scholar Award provides a \$75,000 unrestricted research grant. Of the total amount, \$7,500 is for departmental expenses associated with research and education. Charges associated with indirect costs or institutional overhead are not allowed. Defrayal of academic-year salary is not permitted. Funds are normally expended over a period of five years. Foundation approval is not required for budgetary changes after an award is made. If the awardee leaves the institution, the transfer of the remaining funds requires prior Foundation approval.

Nomination Procedure

Institutions may make only one nomination annually for the Camille Dreyfus Teacher-Scholar Awards Program. All nomination materials and letters of support must be received at the Foundation office by the deadline. Nominations recommended for approval are presented to the Foundation's Board of Directors in time for award announcements by early May 2015

Required Information

Nomination package. The nomination package should be formatted on 8 1/2 x 11-inch paper, using 12-point font size. Please generate all materials below as a single PDF document and e-mail it to programs@dreyfus.org. Assembled as:

- 1 The online nomination form [available December 2014]
- 2 A letter of nomination from an institutional representative highlighting the nominee's achievements, and the basis for selection (limited to three pages)
- 3 A summary description, with references, of the nominee's research accomplishments as an independent faculty member, and a description of research plans (limited to seven pages)
- 4 A statement intended to convince the reviewers of the nominee's dedication to education in the chemical sciences (limited to three pages)
- 5 A CV (limited to seven pages) including a list of publications in which independent contributions and undergraduate coauthors are clearly identified. Research support should be indicated
- 6 A budget (less than one page) describing how award funds are anticipated to be used

Please generate all above materials as a single PDF document and e-mail it to:
programs@dreyfus.org.

Letters of support. Three letters of support should be sent directly to the Foundation from individuals who know and address the nominee's accomplishments as an independent faculty member. No more than one of these letters may be from the nominating institution. Outside letters are generally given a greater weight. The letters of support should be sent as a PDF to programs@dreyfus.org and should not be received with the nomination package.

Reports

A report is due at the end of the fifth year. The report should be concise, formatted on 8 1/2 x 11-inch paper, using 12-point font size, and contain the following sections:

1. Highlights of research accomplishments during the award period and a description of any noteworthy accomplishments in chemistry education
2. Research plans
3. Categorical financial report (click here for categories)
4. Use of the \$7,500 for departmental expenses associated with research and education
5. An updated CV
6. An optional statement of newsworthy stories concerning the Teacher-Scholar during the period of the Camille Dreyfus Teacher-Scholar Award

Please generate all above report materials as a single PDF document and e-mail it to:
programs@dreyfus.org.

Additional information

1. Publications and presentations describing work supported by the award should acknowledge the Camille Dreyfus Teacher-Scholar Awards Program.
2. Recipients of the award are designated Camille Dreyfus Teacher-Scholars.
3. Procedural questions may be directed to the Foundation office by telephone at 212-753-1760 or e-mail at programs@dreyfus.org.

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Postdoctoral Program in Environmental Chemistry

Deadline: August 3, 2015**Announcement:** November 2015

The Camille and Henry Dreyfus Foundation seeks to further the development of scientific leadership in the field of environmental chemistry with a postdoctoral fellowship program. The Postdoctoral Program in Environmental Chemistry provides a principal investigator with an award of \$120,000 over two years to appoint a Postdoctoral Fellow in environmental chemistry.

Eligibility

The Postdoctoral Program in Environmental Chemistry is open to all academic and other not-for-profit organizations in the States, Districts, and Territories of the United States of America. Applications are accepted from principal investigators who have well-established research efforts in environmental science or engineering. These research activities need not be located in traditional departments in the chemical sciences, and collaboration across departments and institutions is encouraged. The postdoctoral fellow is usually not already identified nor in the principal investigator's lab at the time of application. Note: award recipients must wait two years from the conclusion of an award before being eligible to reapply.

Research Areas of Interest

Applications most likely to be of interest should describe innovative fundamental research in the chemical sciences or engineering related to the environment. The importance of the research should be explained. Examples include but are not limited to the chemistry associated with the climate, the atmosphere, aquatic or marine settings, toxicology, soil or groundwater. Also of interest are chemistry-related energy research (renewable sources, sequestration, etc.), and new or green approaches to chemical synthesis and processing, both with a clearly stated relation to the environment.

Selection

Applications come from the principal investigator. Recommendations for awards are based on several factors: assessment of the proposed research, the arrangements for the interdisciplinary educational broadening of the Fellow, and an assessment of the ability to both attract the best young Ph.D. candidates and subsequently place them in high level independent starting positions. Applications are reviewed by distinguished scientists in the environmental and chemical sciences. **Current Postdoctoral Program in Environmental Chemistry awardees.**

Budget

The Postdoctoral Program in Environmental Chemistry provides a \$120,000 award, payable in two \$60,000 installments. Funds are normally expended over a period of two years after the appointment of the Fellow. Charges associated with indirect costs or institution overhead are not allowed. Of the total annual award amount, the stipend support of the Fellow is no less than \$48,000 (stipends may be supplemented from institutional or other sources). Fringe benefits of the Fellow taken from this award may not exceed \$12,000 annually.

Application Procedure

All application materials must be received at the Foundation office by the deadline. Applications recommended for approval are presented to the Foundation's Board of Directors in time for award announcements by early November.

Required Information

Application package The application should be formatted on 8 1/2 x 11-inch paper, using 12-point font size. Assemble it as:

- 1 The online application form
- 2 A research proposal that would be judged as likely to advance environmental science in important ways (typically 2-4 pages)
- 3 A CV (limited to 5 pages) for each of the key professional personnel that includes ten or fewer relevant publications
4. A one-page description of the educational opportunities and institutional strengths in environmental science, and how the Fellow would be involved in them

Send all above materials as a PDF to: programs@dreyfus.org.

Reports

The first-year award of \$60,000 will be paid after the Foundation has been provided with the Fellow's CV and anticipated start date. The second-year award of \$60,000 will be paid upon request, after completion of the first year. The request should be accompanied or preceded by a financial report and a progress report from the project director that contains highlights of accomplishments under the award and the research plan for the coming year.

Send all above materials as a PDF to: programs@dreyfus.org.

Final reports are due when all funds are expended. The final technical report should detail the anticipated consequence of the research and provide information regarding the future professional plans of the Fellow. An optional statement may be included of newsworthy stories concerning the Mentor, the Fellow, or the consequences of the research funded during the period of the award.

Additional Information

- 1 Publications and presentations describing work supported by the award should acknowledge the Camille and Henry Dreyfus Postdoctoral Program in Environmental Chemistry.
2. The faculty mentor is designated a Camille and Henry Dreyfus Environmental Chemistry Mentor. The postdoctoral scientist is designated a Camille and Henry Dreyfus Environmental Chemistry Fellow.
- 3 Questions may be directed to the Foundation office by e-mail at programs@dreyfus.org or by telephone at 212-753-1760.

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Special Grant Program in the Chemical Sciences

Initial inquiry deadline June 4, 2015

Proposal deadline August 6, 2015

Announcement November 2015

The Special Grant Program in the Chemical Sciences provides funding for innovative projects in any area consistent with the Foundation's broad objective to advance the chemical sciences

Eligibility

The program is open to institutions in the States, Districts, and Territories of the United States of America that have a focus in the chemical sciences. Institutions include schools, colleges and universities, as well as other not-for-profit organizations, such as scientific societies and science museums. Awards are not made directly to individuals, or, in general, to private foundations

Selection

The Foundation encourages proposals that are judged likely to significantly advance the chemical sciences. Examples of areas of interest include (but are not limited to): the increase in public awareness, understanding, and appreciation of the chemical sciences, innovative approaches to chemistry education at all levels (K-12, undergraduate, and graduate), and efforts to make chemistry careers more attractive. Research proposals are not customarily considered.

Important aspects of proposals are:

- broad applicability and impact beyond the submitting institution
- specific and detailed descriptions of the chemistry associated with the proposal
- uniqueness of the project

Favorable consideration also is given to:

- a plan for sustaining this project, if relevant
- significant institutional support or other complementary sources of funding
- evidence of expertise of the PIs and/or identified consultants
- plans to assess effectiveness, including over the longer term

The Foundation Web site has listings of awards approved in recent years. Applicants may review these listings for a general sense of the Special Grant Program, although the historical award listings do not imply a topical preference for projects. **Current Special Grant Program Awardees.**

Budget

The amount of support requested is determined by the applicant. A review of previous awards may be helpful in demonstrating typical grant sizes. Charges associated with indirect costs or institutional overhead are not allowed. Award funds are intended for the purposes described in the proposal and any major changes in the project's objectives, budget, or time frame require Foundation approval. If the principal investigator leaves the institution, transfer of the remaining funds requires prior Foundation approval. Support for capital construction, endowments, conferences, and individual scholarships and fellowships is not normally provided. Faculty, postdoctoral, and graduate student salaries are not customarily considered. Excessive travel budgets

are discouraged.

Application Procedure

Initial Inquiry. A letter requesting permission to submit a full proposal should be submitted to the Foundation for an initial assessment no later than June 5, 2014. This initial inquiry, of three pages or less, will be assessed with respect to the Foundation's goals as described above. The letter, which does not require institutional approval, should describe the project, its importance, its goals, and how Dreyfus support will be used to achieve the goals. Include a brief budget with a categorical description of how the funds would be spent. Institutional matching funds or other existing or proposed external resources supporting the project should be mentioned. Supplemental materials should not be included.

This initial inquiry may be sent by e-mail to programs@dreyfus.org and must include the email, telephone, and mailing address of the principal investigator. Institutions may submit inquiries for more than one project. E-mail submissions should be text messages, without graphics and pictures. Hard-copy duplicates are not required. Upon review of the initial inquiries, the Foundation will invite the submission of complete proposals. The Foundation will respond to all initial inquiries by the end of June.

Proposal. Invited proposals must be received at the Foundation office by the deadline. Applications recommended for approval are presented to the Foundation's Board of Directors in time for award announcements by early November.

Required Information

Application package. The original application formatted on 8 1/2 x 11-inch paper, using 12-point font size, and assembled as:

1. The online application form
2. A one-page equivalent of an executive summary that answers the following:
 - What problem does the project address?
 - Why is it important?
 - How will the project address the issue?
3. In four or fewer pages, a description of:
 - the project, and specifically the chemistry, in detail and its anticipated impact
 - how the project will be carried out, sustained (if relevant), disseminated, and assessed
 - institutional matching funds or other external resources available
 - a time-line
4. A one-page CV of the project director(s) and/or consultants
5. A one-page categorical budget of the use of Dreyfus Foundation funding. If this is part of a larger project, also provide an overview of the total project budget and other sources of support.

Send these materials as a PDF to: programs@dreyfus.org.

Questions may be directed to the Foundation office by e-mail at programs@dreyfus.org or by telephone at 212-753-1760.

Reports

Technical and financial reports are due when the project is essentially completed. If the project extends substantially beyond the proposed end date, status reports are due by the originally proposed completion date. If multi-year support is granted, annual reports are requested.

All reports should be concise and contain the following sections.

1. Highlights of accomplishments and assessment of success of the funded project
2. Plans for sustaining this effort, if appropriate
3. Categorical financial report ([click here for categories](#))
4. An optional statement of newsworthy stories concerning the project

Send the report as a PDF to: programs@dreyfus.org.

Additional Information

- 1 Publications and presentations describing work supported by the award should acknowledge the Camille and Henry Dreyfus Special Grant Program in the Chemical Sciences
- 2 Procedural questions may be directed to the Foundation office by telephone at 212-753-1760 or e-mail at programs@dreyfus.org

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Senior Scientist Mentor Program

Deadline September 10, 2015

Announcement: November 2015

The Camille and Henry Dreyfus Foundation supports eminent faculty who maintain active research programs with undergraduates in the chemical sciences. The Senior Scientist Mentor Program provides an award of \$20,000 over two years for undergraduate stipends and modest research support.

Eligibility

The Senior Scientist Mentor Program is open to all academic institutions in the States, Districts, and Territories of the United States of America that grant a bachelor's degree or higher in the chemical sciences, including biochemistry, materials chemistry, and chemical engineering. Faculty with eminent status on or before October 2014, and who maintain active research programs in the chemical sciences, may apply to the program. More than one application per department or institution is permitted.

Selection

Successful applicants are expected to be closely engaged in a mentoring relationship with undergraduate students. The evaluation will be based on both an assessment of the research proposed and the plans for undergraduate participation in the research. An applicant's history of mentoring undergraduates is favorably viewed. **Current Senior Scientist Mentors.**

Budget

The Senior Scientist Mentor Program provides a \$20,000 award over two years, intended mostly for undergraduate stipends. Modest research support is also allowed. Funds are normally expended over a period of three years after notification of an award. Charges associated with indirect costs or institutional overhead are not allowed. Faculty salary and any expenses associated with graduate students are not permitted. Foundation approval is required for significant budgetary changes. If the awardee leaves the institution, the transfer of the remaining funds requires prior Foundation approval.

Application Procedure

All application materials and letters of support must be received at the Foundation office by the deadline. Applications recommended for approval are presented to the Foundation's Board of Directors in time for award announcements by early November.

Required Information:

Application package: The original application should be formatted on 8 1/2 x 11-inch paper, using 12-point font size, and assembled as:

1. The online application form
2. In no more than four pages total, applicants should describe:
 - a. the specific projects or project types in which the undergraduates will participate



James Dye
2012 Awardee



Joseph Sherma
2012 Awardee

- b. ongoing research with undergraduates
- c. how they will interact with and mentor the undergraduates

- 3. A CV (limited to five pages) that includes a list of up to 15 relevant publications in which contributions by undergraduate coauthors are clearly identified
- 4. A letter from an institutional representative highlighting the applicant's achievements with undergraduates and confirming that the institutional facilities required for the proposed research are available

Please generate all above materials as a single PDF document and e-mail it to: programs@dreyfus.org.

Letter of support: A letter of support must be sent directly to the Foundation from a colleague, preferably from outside the institution, who is familiar with the applicant's research, teaching, and experience in mentoring and advising undergraduates. The letter should not be received with the nomination package

Please have the letter of support generated as a single PDF document and e-mail it to: programs@dreyfus.org.

Reports

The second payment of \$10,000 will be sent upon request, after completion of the first year. The request should be accompanied or preceded by a financial report and a concise progress report from the award recipient.

A final report is due at the end of the second year. The final report should be concise, formatted on 8 1/2 x 11-inch paper, using 12-point font size, and contain the following sections:

- 1. Highlights of research accomplishments during the award period
- 2. Future plans for the undergraduate researcher(s)
- 3. Categorical financial report ([click here](#) for categories)
- 4. An optional statement of newsworthy stories concerning the student(s) and/or the Mentor during the period of the Camille and Henry Dreyfus Senior Scientist Mentor Award

Please generate the report as a single PDF document and e-mail it to: programs@dreyfus.org.

Additional Information

- 1. Publications and presentations describing work supported by the award should acknowledge the Camille and Henry Dreyfus Senior Scientist Mentor Program.
- 2. The award recipient is designated a Camille and Henry Dreyfus Senior Scientist Mentor.
- 3. Procedural questions may be directed to the Foundation office by telephone at 212-753-1760 or e-mail at programs@dreyfus.org

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THE CAMILLE & HENRY DREYFUS FOUNDATION, INC.

dedicated to the advancement of the chemical sciences

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- Jean Dreyfus Boissevain Lectureship for Undergraduate Institutions
- ACS Award for Encouraging Women into Careers in the Chemical Sciences
- ACS Award for Encouraging Disadvantaged Students into Careers in the Chemical Sciences
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The Camille and Henry Dreyfus Foundation

- 555 Madison Avenue
- New York, NY 10022
- 212-753-1760
- admin@dreyfus.org
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Eligibility Selection Budget Application Procedure Reports Additional Information Jean Dreyfus Boissevain Current Awards

Jean Dreyfus Boissevain Lectureship for Undergraduate Institutions

Deadline: May 18, 2015

Announcement: August 2015

The Jean Dreyfus Boissevain Lectureship awards provide an \$18,500 grant to bring a leading researcher to a primarily undergraduate institution to give a series of lectures in the chemical sciences and to support two undergraduates in summer research. One of the lectures should be of a more general nature and accessible to a wide audience. The remaining lecture(s) may be more technical. The lecturer is expected to spend more than one day at the institution and to substantively interact with undergraduate students and a broad range of faculty over the period of the visit. The undergraduates are expected to engage with mentors in contemporary research.

Eligibility

Applications are accepted from departments in the chemical sciences at primarily undergraduate institutions in the States, Districts, and Territories of the United States of America. Eligible departments grant a BS or MS degree in chemistry, but not a Ph.D. Institutions are restricted to only one application annually for this program. Institutions that receive an award are not eligible to re-apply for five years. Applications not funded in the year of submission will remain in contention for two additional years, though updated applications may be submitted during this period.

Selection

The application is evaluated based upon the following:

1. One or two examples of the proposed invited lecturer and a schedule for the visit, which is expected to be a minimum of two days. The potential lecturer(s) may be contacted in advance of the proposal submission to gauge interest and to allow for planning a better integration of the visit with departmental initiatives.
2. A description of the department's efforts in scholarly research and education in the contemporary chemical sciences. Cited examples of the latter should include, but are not limited to
 - descriptions of the faculty research programs
 - external research support
 - undergraduate participation in research
3. In addition, the following may also be included:
 - the historical number of chemistry majors, and the number of those that go on to graduate school in the chemical sciences
 - special educational or outreach efforts

Nominations are reviewed by distinguished faculty in the chemical sciences. **Current Jean Dreyfus Boissevain Awardees.**

Budget

The award total is \$18,500. Of this amount, \$2,500 is an honorarium for the lecturer, and \$5,000 is to be allocated to the support of the lectures (for example: travel, accommodation, receptions and/or dinners, etc.). The remaining \$11,000 is to support the summer research of two undergraduate students. Each stipend is to be no less than \$4,500. The balance may be used to defray costs associated with the research and/or the scientific advancement of each student—e.g., travel to scientific meetings. Charges associated with indirect costs or institutional overhead are not allowed.

Application Procedure

All materials must be received at the Foundation office by the deadline. The application is limited to nine pages, including the online application form. It should be formatted on 8 1/2 x 11-inch paper, using a 12-point font. Assemble it as:

1. The online application form
2. A brief introduction to the department
3. A listing of the department faculty with their educational backgrounds and year of appointment in the department cited, a brief description of their research programs, a list of three representative research publications for each, with undergraduate coauthors indicated, and a list of current and recent external support for each, with award years and amounts indicated
4. A description of undergraduate involvement in research
5. Examples of the proposed lecturers (again a maximum of two, who may be contacted in advance) and topics
6. Proposed schedule of the lectures and activities that demonstrate broad engagement of the lecturer with students and faculty
7. A description of how the lecturer's visit will integrate into ongoing departmental interests
8. A description of the process to select the undergraduate research students
9. A simple budget describing how award funds are anticipated to be used

Send all above materials as a PDF to: programs@dreyfus.org.

Applications recommended for approval are presented to the Foundation's Board of Directors and announced by early August.

Reports

Reports are due after the lectureship and upon completion of the undergraduate research. Reports should be concise and contain the following information:

Lectureship report:

1. A summary description of the lectureship, including titles, photographs and/or video footage, promotional materials, invited audience, and approximate attendance
2. A description of the lecturer's engagement activities
3. Categorical financial report

Undergraduate Research report:

1. Description of the research activities, curricula vitae of the mentors, and the students' future plans
2. Categorical financial report

Send each report as a PDF to: programs@dreyfus.org.

Additional information

1. Publications and presentations describing research supported by the award should acknowledge the Jean Dreyfus Boissevain Lectureship for Undergraduate Institutions.
2. Procedural questions may be directed to the Foundation office by e-mail at programs@dreyfus.org or by telephone at 212-753-1760.

Jean Dreyfus Boissevain

Jean Dreyfus Boissevain was President of the Camille and Henry Dreyfus Foundation from 1956 until her death in 1991. Born in Chicago, in 1931 she married Camille Dreyfus, founder and chairman of the Celanese Corporation of America and of the Camille and Henry Dreyfus Foundation. Her second husband, Ernest W. Boissevain, died in 1984.

An operatic soprano and patron of the arts, as Jean Tennyson she sang with several leading European and American opera companies, including La Scala in Milan, the Chicago Civic Opera, and the San Francisco Opera Company. She also sang on the CBS Radio program "Great Moments in Music" from 1942 to 1946.

After World War II, Mrs. Boissevain founded the Artists Veterans Hospital Programs of the Musicians Emergency Fund, which presented concerts for patients in veterans hospitals. She also served as a patron of the Philharmonic Society of New York and as vice chair of New York City's Lewisohn Stadium Concerts. She was a trustee of the New York City Opera and a principal supporter of the Spoleto Festival.

Mrs. Boissevain received several awards, including the Stella della Solidarieta from the Italian government, the St. Olaf Medal from the King of Norway, and an honorary Doctor of Humane Letters from the University of Vermont.

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- [New York, NY 10022](#)
- [212-753-1760](#)
- admin@dreyfus.org
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ACS Award for Encouraging Women into Careers in the Chemical Sciences

The Camille and Henry Dreyfus Foundation established the Award for Encouraging Women into Careers in the Chemical Sciences in 1993 to recognize significant accomplishments by individuals who have stimulated or fostered the interest of women in chemistry, promoting their professional development as chemists or chemical engineers. The award consists of \$5,000 and a certificate. A grant of \$10,000 is also made to an eligible non-profit institution, designated by the recipient, to strengthen its activities in meeting the objectives of the award. Nominees for the award may come from any professional setting: academia, industry, government, or other independent facility.

The American Chemical Society's Web site provides additional information on [this program](#), nomination guidelines, and awardees.

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ACS Award for Encouraging Disadvantaged Students into Careers in the Chemical Sciences

The Camille and Henry Dreyfus Foundation established the Award for Encouraging Disadvantaged Students into Careers in the Chemical Sciences in 1993 to recognize significant accomplishments by individuals in stimulating students, underrepresented in the profession, to elect careers in the chemical sciences and engineering. The award consists of \$5,000 and a certificate. A grant of \$10,000 is also made to an eligible non-profit institution, designated by the recipient, to strengthen its activities in meeting the objectives of the award. Nominees for the award may come from any professional setting: academia, industry, government, or other independent facility.

The American Chemical Society's Web site provides additional information on **this program**, nomination guidelines, and awardees.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 16

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

RECIPIENT NAME AND ADDRESS

SEE ATTACHMENT 16A

NONE
PC

SEE SCHEDULE ATTACHED

3,542,467.

TOTAL CONTRIBUTIONS PAID

3,542,467.

THE CAMILLE AND HENRY DREYFUS FOUNDATION, INC.

AWARDS PAID FROM JANUARY 1, 2013, THROUGH DECEMBER 31, 2013

AMERICAN CHEMICAL SOCIETY AWARDS

American Chemical Society 1155 Sixteenth Street NW Washington DC 20036	PC	\$25,646
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JEAN DREYFUS BOISSEVAIN LECTURESHIP FOR UNDERGRADUATE INSTITUTIONS

Anthony J. Borgerding University of St. Thomas Chemistry Mail #OSS402 2115 Summit Avenue St. Paul MN 55105	PC	\$7,500
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Swapan Jain Bard College Chemistry Reem Kayden Center 30 Campus Road Annandale-on-Hudson NY 12504	PC	\$18,500
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Ernest Nolen Colgate University Chemistry 13 Oak Drive Hamilton NY 13346	PC	\$18,500
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Amanda Grannas Villanova University Chemistry 800 Lancaster Avenue Mendel Hall Villanova PA 19085	PC	\$18,500
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Maria Milletti Eastern Michigan University Chemistry 501 Science Complex Ypsilanti MI 48197	PC	\$18,500
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DREYFUS PRIZE IN THE CHEMICAL SCIENCES

R. Graham Cooks Purdue University Chemistry 560 Oval Drive West Lafayette IN 47907-2084	PC	\$250,000
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POSTDOCTORAL PROGRAM

Nathan S. Lewis California Institute of Technology Chemistry 1200 E. California Blvd. mc 127-72, 210 Noyes Pasadena CA 91125	PC	\$60,000
Dick Co Northwestern University Chemistry 2145 Sheridan Road Evanston IL 60208	PC	\$60,000
Timothy M. Swager Massachusetts Institute of Technology Chemistry Cambridge MA 02139	PC	\$60,000
Alanna Schepartz Yale University Chemistry P.O. Box 208107 New Haven CT 06520-8107	PC	\$60,000
Colin Nuckolls Columbia University Chemistry 3000 Broadway New York NY 10027	PC	\$60,000
Michael A. Filler Georgia Institute of Technology Chemical and Biomolecular Engineering 311 Ferst Drive N.W. Atlanta GA 30332-0100	PC	\$60,000
SonBinh T. Nguyen Northwestern University Chemistry 2145 Sheridan Road Evanston IL 60208-3113	PC	\$60,000

Bettina M. Voelker Colorado School of Mines Chemistry and Geochemistry Coolbaugh Hall Golden CO 80401	PC	\$60,000
Richard C. Flagan California Institute of Technology Chemical Engineering and Environmental Science and Engineering 1200 E. California Blvd. M/S 210-41 Spalding Laboratory Pasadena CA 91125	PC	\$60,000
Robert Warren Field Massachusetts Institute of Technology Chemistry 77 Massachusetts Ave. Room 6-219 Cambridge MA 02139	PC	\$60,000
Christine Thomas Brandeis University Chemistry 413 South St. MS 015 Waltham MA 02454	PC	\$60,000
Rose Cory The University of North Carolina at Chapel Hill Environmental Sciences & Engineering12 160 Rosenau Hall CB 743112 Chapel Hill NC 27599	PC	\$60,000
Tobin J. Marks Northwestern University Chemistry 2145 Sheridan Road12 Evanston IL 60208	PC	\$60,000
Matthew Kanan Stanford University Chemistry 333 Campus Drive Stanford CA 94305	PC	\$60,000
Allen H. Goldstein University of California, Berkeley Environmental Science, Policy, and Management 330 Hilgard Hall Berkeley CA 94720-3110	PC	\$60,000

Robert H. Grubbs California Institute of Technology Chemistry 1200 East California Blvd., Mail Code 164-30 Pasadena CA 91125	PC	\$60,000
Kenneth Capps College of Central Florida Science 3001 SW College Road Ocala FL 34474	PC	\$5,000
Michael J. Cima Massachusetts Institute of Technology Chemical Engineering Rm. 12-011 77 Massachusetts Ave. Cambridge MA 02139	PC	\$50,000
Mindy Levine University of Rhode Island Department of Chemistry 51 Lower College Road Kingston RI 02881	PC	\$15,112
Douglas H. Adamson University of Connecticut Institute of Materials Science 97 North Eagleville Road Storrs CT 06269-3136	PC	\$33,000
David E. Kanter New York Hall of Science 47-01 111th Street Queens NY 11368	PC	\$85,000
Lea Vacca Michel Rochester Institute of Technology Department of Chemistry 85 Lomb Memorial Drive Rochester NY 14623	PC	\$31,600
Peter DeCarlo Drexel University Environmental Engineering and Chemistry 3141 Chestnut St. Room 251 Curtis Hall Philadelphia PA 19104	PC	\$44,000
Shelley Geehr Chemical Heritage Foundation The Roy Eddleman Institute 315 Chestnut Street Philadelphia PA 19106	PC	\$35,000

Mary Kirchhoff American Chemical Society Education Division 1155 Sixteenth Street, NW14 Washington DC 20036	PC	\$34,000
Vamsi K. Yadavalli Virginia Commonwealth University Chemical and Life Science Engineering 601 W. Main Street Richmond VA 23284	PC	\$25,000
Heather Judkins The Florida Aquarium, Inc. Education 701 Channelside Drive Tampa FL 33602	PC	\$39,523
Ada Simmons Indiana University Center for P-16 Research and Collaboration W.W Wright Education Building, Room 2070 201 N. Rose Avenue Bloomington IN 47405	PC	\$11,400
Bruce Palfey University of Michigan Biological Chemistry 5301 MSRB III 1150 W. Medical Center Drive Ann Arbor MI 48109-060014	PC	\$25,000
Shannon S. Stahl The University of Wisconsin-Madison Department of Chemistry 1101 University Avenue Madison WI 53706	PC	\$30,000
Sarah L. Goh Williams College Department of Chemistry 47 Lab Campus Drive Williamstown MA 01267	PC	\$23,000
Stephen E. Lyons Moreno-Lyons Productions LLC Filmmakers Collaborative 1300 Soldiers Field Road Suite 6 Boston MA 02135	PC	\$50,000

Troy Van Voorhis Massachusetts Institute of Technology Department of Chemistry 77 Massachusetts Ave. Cambridge MA 02139	PC	\$80,000
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Erik Fogel NYC Debate League 320 West 13th Street, 6th Floor New York NY 10115	PC	\$20,000
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B. Jill Venton University of Virginia Department of Chemistry P.O. Box 400319 Charlottesville VA 22904	PC	\$20,000
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Daniel A. Savin The University of Southern Mississippi Polymer Science 118 College Dr. #5050 Hattiesburg MS 39406	PC	\$25,000
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Gregory Dale Smith The Indianapolis Museum of Art Conservation Science 4000 Michigan Road Indianapolis IN 46208	PC	\$30,000
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A. K. Fazlur Rahman Oklahoma School of Science and Mathematics Department of Chemistry 1141 North Lincoln Boulevard Oklahoma City OK 73104	PC	\$6,500
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John D. Roberts California Institute of Technology Department of Chemistry and Chemical Engineering 360 Crellin Lab., 164-30 Pasadena CA 91125	PC	\$21,165
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SENIOR SCIENTIST MENTOR PROGRAM

Cynthia Jameson University of Illinois at Chicago Chemical Engineering 810 South Clinton Street Chicago IL 60607	PC	\$10,000
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John Prausnitz University of California, Berkeley Chemical and Biomolecular Engineering 201 Gilman Hall Berkeley CA 94720-1462	PC	\$10,000
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Ronald J. Doll Drew University16 Dana Res. Inst. for Scientists Emeriti (RISE)16 36 Madison Ave., S-33216 Madison NJ 07940	PC	\$10,000
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Harold M. Hastings Hofstra University Physics and Astronomy CHPHB 102 151 Hofstra University Hempstead NY 11549-1510	PC	\$10,000
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James L. Dye Michigan State University16 Department of Chemistry 578 S. Shaw Lane, Room 48516 East Lansing MI 48824-132216	PC	\$10,000
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Gary E. Maciel Colorado State University Department of Chemistry 1872 Campus Delivery Fort Collins16 CO 80523-187216	PC	\$10,000
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John D. Roberts California Institute of Technology Department of Chemistry and Chemical Engineering Mail Code 164-30 Pasadena CA 91125	PC	\$10,000
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David E. Hackleman Oregon State University Chemical, Biological & Environmental Engineering 102 Gleeson Hall16 Corvallis OR 97331	PC	\$10,000
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John H. Enemark University of Arizona Department of Chemistry and Biochemistry 306 E. University Blvd. Tucson AZ 85721-0041	PC	\$10,000
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CAMILLE DREYFUS TEACHER-SCHOLAR AWARDS PROGRAM

Theodore A. Betley Harvard University Chemistry and Chemical Biology Mallinckrodt Chemistry Lab 12 Oxford Street Cambridge MA 02138	PC	\$75,000
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Corey R. J. Stephenson Boston University Chemistry 590 Commonwealth Ave., Rm 29917 Boston MA 02215	PC	\$75,000
Abigail G. Doyle Princeton University Chemistry 129 Frick Laboratory Washington Road Princeton NJ 08544	PC	\$75,000
Mandé Holford Hunter College of the City University of New York Chemistry 695 Park Ave17 New York NY 10065	PC	\$75,000
William R. Dichtel Cornell University Chemistry and Chemical Biology 328 Baker Laboratory17 Ithaca NY 14853-1301	PC	\$75,000
Stephen Maldonado University of Michigan Chemistry 930 N. University Rm #453317 Ann Arbor MI 48109	PC	\$75,000
Thomas W. Hamann Michigan State University Chemistry 578 S. Shaw Lane, Room 411 East Lansing MI 48824-1322	PC	\$75,000
Charles M. Schroeder University of Illinois at Urbana-Champaign Chemical and Biomolecular Engineering 600 S. Mathews Avenue 107 Roger Adams Laboratory17 Urbana IL 61801	PC	\$75,000
Neil K. Garg University of California, Los Angeles Chemistry and Biochemistry 607 Charles E. Young Drive East P.O. Box 951569 Los Angeles CA 90095-1569	PC	\$75,000

Thomas F. Miller California Institute of Technology Chemistry and Chemical Engineering 1200 E. California Blvd. Mail Code 127-72 Pasadena CA 91125	PC	\$75,000
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Baron Peters University of California, Santa Barbara Chemical Engineering Rm. 3339, Engineering II Santa Barbara CA 93106	PC	\$75,000
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Michelle C. Chang University of California, Berkeley Chemistry 125 Lewis Berkeley CA 94720	PC	\$75,000
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Munira Khalil University of Washington Chemistry Box 35170018 Seattle WA 98195-170018	PC	\$75,000
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HENRY DREYFUS TEACHER-SHOLAR AWARDS PROGRAM

Danielle H. Dube Bowdoin College Chemistry and Biochemistry 6600 College Station Brunswick ME 04011	PC	\$60,000
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Amanda M. Grannas Villanova University Chemistry 800 Lancaster Avenue Mendel Hall Villanova PA 19085	PC	\$60,000
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Chiles Wade Downey University of Richmond Chemistry Gottwald Science Center 28 Westhampton Way Richmond VA 23173	PC	\$60,000
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Catherine M. Oertel Oberlin College Chemistry and Biochemistry 119 Woodland St. Oberlin OH 44074	PC	\$60,000
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Paul J. Fischer Macalester College Chemistry 1600 Grand Avenue Saint Paul MN 55105	PC	\$60,000
David A Vosburg Harvey Mudd College Chemistry 301 Platt Blvd Claremont CA 91711	PC	\$60,000
Kathleen L Purvis-Roberts Claremont McKenna College W.M. Keck Science Department 925 N. Mills Ave. Claremont CA 91711	PC	\$60,000
Other Miscellaneous Grants	PC	\$1,021
	TOTAL	\$3,542,467

THE CAMILLE AND HENRY DREYFUS FOUNDATION INC.

AWARDS APPROVED UNCALLED AND UNPAID AS OF DECEMBER 31, 2013

AMERICAN CHEMICAL SOCIETY AWARDS

American Chemical Society 1155 Sixteenth Street NW Washington DC 20036	PC	\$45,000
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JEAN DREYFUS BOISSEVAIN LECTURESHIP FOR UNDERGRADUATE INSTITUTIONS

Diana McGill Northern Kentucky University Chemistry SC 204 F Nunn Dr. Highland Heights KY 41099-1905	PC	\$18,500
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Charles Williamson Willamette University Chemistry 900 State Street Salem OR 97301	PC	\$18,500
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Shaun Murphree Allegheny College Chemistry 520 N. Main Street Meadville PA 16335	PC	\$18,500
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Robert Hanson St. Olaf College Chemistry 1520 St. Olaf Avenue Northfield MN 55057	PC	\$18,500
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Chris Pursell St. Olaf College Trinity University Chemistry One Trinity Place San Antonio TX 78212-7200	PC	\$18,500
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Kerry Rouhier Kenyon College Chemistry 200 N. College Road 212 Tomsich Hall Gambrier OH 43022	PC	\$18,500
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David Alberg Carleton College Chemistry Mudd Hall 191 (2-CHEM) Northfield MN 55057	PC	\$18,500
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POST-DOC PROGRAM

Christine Thomas Brandeis University Chemistry 413 South St. MS 015 Waltham MA 02454	PC	\$60,000
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Tobin J. Marks Northwestern University Chemistry 2145 Sheridan Road3 Evanston IL 60208	PC	\$60,000
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Marsha I. Lester University of Pennsylvania3 Chemistry 231 S. 34th Street3 Philadelphia PA 19104	PC	\$60,000
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Eric N. Jacobsen Harvard University Chemistry and Chemical Biology 12 Oxford Street Cambridge MA 02138-2902	PC	\$60,000
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Nathan S. Lewis California Institute of Technology Chemistry 1200 E. California Blvd. mc 127-72 210 Noyes Pasadena CA 91125	PC	\$60,000
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Jon Clardy Harvard Medical School Biological Chemistry & Molecular Pharmacology C1-643 240 Longwood Avenue Boston MA 02115	PC	\$60,000
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Michelle Chang University of California Berkeley Chemistry 125 Lewis Berkeley CA 94720	PC	\$120,000
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SonBinh T. Nguyen Northwestern University Chemistry 2145 Sheridan Road Evanston IL 60208-3113	PC	\$60,000
Robert J. M. Hudson University of Illinois at Urbana-Champaign Natural Resources and Environmental Sciences W-503 Turner Hall 1102 S. Goodwin Ave. Urbana IL 61801	PC	\$120,000
Richard C. Flagan California Institute of Technology Chemical Engineering and Environmental Science and Engineering 1200 E. California Blvd. M/S 210-41 Spalding Laboratory Pasadena CA 91125	PC	\$60,000
Robert Warren Field Massachusetts Institute of Technology Chemistry 77 Massachusetts Ave. Room 6-219 Cambridge MA 02139	PC	\$60,000
Rose Cory University of Michigan Environmental Sciences & Engineering Ann Arbor MI 48109	PC	\$60,000
Theodor Agapie California Institute of Technology Chemistry and Chemical Engineering 1200 California Blvd MC 127-72 Pasadena CA 91125	PC	\$60,000
John Eiler California Institute of Technology Geological and Planetary Sciences 1200 E. California Blvd Pasadena CA 91125	PC	\$60,000
Allen H. Goldstein University of California Berkeley Environmental Science Policy and Management 330 Hilgard Hall Berkeley CA 94720-3110	PC	\$60,000

Mircea Dinca Massachusetts Institute of Technology Chemistry 77 Massachusetts Ave Room 2-321 Cambridge MA 02139	PC	\$120,000
Poul B. Petersen Cornell University Chemistry and Chemical Biology B46 Baker Laboratory Ithaca NY 14853	PC	\$120,000
EP-13-046 Joel Rosenthal University of Delaware Chemistry and Biochemistry 034 Brown Lab Newark DE 19716	PC	\$120,000
Jason D. Surratt The University of North Carolina at Chapel Hill Environmental Sciences and Engineering 164 Rosenau Hall CB # 7431 Chapel Hill NC 27599-7431	PC	\$120,000
Athanasios Nenes Georgia Institute of Technology School of Earth and Atmospheric Sciences School of Chemical and Biomolecular Eng. 311 Ferst Drive Atlanta GA 30332	PC	\$120,000
Elad Harel Northwestern University Chemistry 2145 Sheridan Road Evanston IL 60208	PC	\$120,000
Ellen R. Fisher Colorado State University Chemistry 1301 Center Ave. Fort Collins CO 80523-1872	PC	\$120,000
Robert H. Grubbs California Institute of Technology Chemistry 1200 East California Blvd. Mail Code 164-30 Pasadena CA 91125	PC	\$60,000

SPECIAL GRANT PROGRAM

Tina T. Salguero University of Georgia Department of Chemistry 1001 Chemistry Bldg. 140 Cedar St. Athens GA 30602	PC	\$41,426
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William Connick University of Cincinnati Department of Chemistry P.O. Box 210172 Cincinnati OH 45221	PC	\$36,798
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Haim Weizman University of California, San Diego Department of Chemistry and Biochemistry 9500 Gilman Drive MC : 0313 LaJolla CA 92093	PC	\$22,600
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Michael J. Rose University of Texas at Austin Department of Chemistry 105 E 24th St. Stop A5300 Austin TX 78712	PC	\$20,000
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Catherine Milne New York University Teaching and Learning 411 Lafayette Street, Third Floor NY NY 10003	PC	\$50,000
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M. J. Morse Museum of Science, Boston Current Science & Technology 1 Science Park Boston MA 02114	PC	\$100,000
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Julia Bryce University of New Hampshire Earth Sciences James Hall - 56 College Road Durham NH 03824	PC	\$18,750
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Paul Weiss University of California Los Angeles Chemistry and Biochemistry 607 Charles E. Young Drive East Los Angeles CA 90095	PC	\$10,000
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Edwin Constable University of Basel Chemistry Vizerektorat Petersgraben 35 Basel Switzerland CH-4003	PC	\$80,000
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Mary Kirchhoff American Chemical Society Education Division 1155 Sixteenth Street NW Washington DC 20036	PC	\$106,000
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Paul Hunt Michigan State University Research and Graduate Studies 301 Hannah Administration Building East Lansing MI 48824	PC	\$50,000
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SENIOR SCIENTIST MENTOR PROGRAM

Harold M. Hastings Hofstra University Physics and Astronomy CHPHB 102 151 Hofstra University Hempstead NY 11549-1510	PC	\$10,000
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James L. Dye Michigan State University Department of Chemistry 578 S. Shaw Lane, Room 4857 East Lansing MI 48824-13227	PC	\$10,000
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Joseph A. Sherma Lafayette College Department of Chemistry 326 Hugel Science Center Easton PA 18042	PC	\$10,000
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Ronald J. Doll Drew University Dana Res. Inst. for Scientists Emeriti (RISE) 36 Madison Ave., S-3327 Madison NJ 07940	PC	\$10,000
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Anne R. Skinner Williams College Department of Chemistry Thompson Chemistry Lab 47 Campus Drive Williamstown MA 01267	PC	\$10,000
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Gary E. Maciel Colorado State University Department of Chemistry 1872 Campus Delivery Fort Collins CO 80523-1872	PC	\$10,000
John D. Roberts California Institute of Technology Department of Chemistry and Chemical Engineering Mail Code 164-30 Pasadena CA 91125	PC	\$10,000
David E. Hackleman Oregon State University Chemical Biological & Environmental Engineering 102 Gleeson Hall Corvallis OR 97331	PC	\$10,000
Joseph W. Nibler Oregon State University Department of Chemistry 101 Gilbert Hall Corvallis OR 97331	PC	\$10,000
Claude H. Yoder Franklin and Marshall College Department of Chemistry P.O. Box 3003 Lancaster PA 17604	PC	\$20,000
Jacques L. Zakin The Ohio State University Department of Chemical and Biomolecular Engineering 140 W. 19th Avenue Columbus OH 43210	PC	\$20,000
Joseph Lambert Trinity University Department of Chemistry One Trinity Place San Antonio TX 78212	PC	\$20,000
James R. Bamberg Colorado State University Department of Biochemistry and Molecular Biology 1870 Campus Delivery Fort Collins CO 80523	PC	\$20,000

John H. Enemark University of Arizona Department of Chemistry and Biochemistry 306 E. University Blvd. Tucson AZ 85721-0041	PC	\$10,000
Henry Hall University of Arizona Department of Chemistry and Biochemistry 1306 E. University Blvd. P.O. Box 210041 Tucson AZ 85721	PC	\$10,000
Allan Nishimura Westmont College Chemistry 955 La Paz Road Santa Barbara CA 93108	PC	\$20,000
Kenneth Hedberg Oregon State University Department of Chemistry Gilbert Hall 153 Corvallis OR 97331	PC	\$20,000
	TOTAL	\$2,860,074

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUEATTACHMENT 17

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
RETURNED GRANTS					24,406.
NET (LOSS) FROM LIMITED PARTNERSHIPS AND LIKE INVESTMENTS		-18,393.	14	1,430,948.	
OTHER					
TOTALS		<u>-18,393.</u>		<u>1,430,948.</u>	<u>24,406.</u>