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**Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation**

**2013**

Department of the Treasury  
Internal Revenue Service

▶ **Do not enter Social Security numbers on this form as it may be made public.**  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

**For calendar year 2013, or tax year beginning , 2013, and ending ,**

Name of foundation <b>HARMON FOUNDATION INC</b>		<b>A</b> Employer identification number 13-5562236
Number and street (or P O box number if mail is not delivered to street address) <b>30 JERICHO EXECUTIVE PLAZA</b>	Room/suite 200W	<b>B</b> Telephone number (see the instructions) (516) 282-7070
City or town, state or province, country, and ZIP or foreign postal code JERICHO NY 11753-1028		<b>C</b> If exemption application is pending, check here. <input type="checkbox"/>
<b>G</b> Check all that apply.	<input type="checkbox"/> Initial return <input type="checkbox"/> Initial Return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change	<b>D</b> 1 Foreign organizations, check here . . . . . <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation . . . . . <input type="checkbox"/>
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here . . . . . <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 561,193.	<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . . . <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>1</b> Contributions, gifts, grants, etc. received (att sch) . . . . .	276,000.			
<b>2</b> Ck <input type="checkbox"/> if the foundn is not req to att Sch B				
<b>3</b> Interest on savings and temporary cash investments. . . . .	5.	5.		
<b>4</b> Dividends and interest from securities . . . . .	14,257.	14,257.		
<b>5a</b> Gross rents . . . . .				
<b>b</b> Net rental income or (loss) . . . . .				
<b>6a</b> Net gain/(loss) from sale of assets not on line 10	22,729.	L-6a Stmt		
<b>b</b> Gross sales price for all assets on line 6a . . . . .	299,121.			
<b>7</b> Capital gain net income (from Part IV, line 2) . . . . .		22,729.		
<b>8</b> Net short-term capital gain . . . . .			0.	
<b>9</b> Income modifications . . . . .				
<b>10a</b> Gross sales less returns and allowances . . . . .				
<b>b</b> Less Cost of goods sold . . . . .				
<b>c</b> Gross profit/(loss) (att sch) . . . . .				
<b>11</b> Other income (attach schedule) . . . . .				
<b>12 Total.</b> Add lines 1 through 11 . . . . .	312,991.	36,991.	0.	
<b>13</b> Compensation of officers, directors, trustees, etc . . . . .				
<b>14</b> Other employee salaries and wages . . . . .				
<b>15</b> Pension plans (and employee benefits) . . . . .				
<b>16a</b> Legal fees (attach schedule) . . . . .				
<b>b</b> Accounting fees (attach sch) . L-16b Stmt.	17,125.			17,125.
<b>c</b> Other prof fees (attach sch) . L-16c Stmt.	6,664.	6,664.		
<b>17</b> Interest . . . . .				
<b>18</b> Taxes (attach schedule)(see instrs) See Line 18 Stmt	271.	43.		
<b>19</b> Depreciation (attach sch) and depletion . . . . .				
<b>20</b> Occupancy . . . . .				
<b>21</b> Travel, conferences, and meetings . . . . .	20,125.			20,125.
<b>22</b> Printing and publications . . . . .				
<b>23</b> Other expenses (attach schedule) See Line 23 Stmt	153.			153.
<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	44,338.	6,707.		37,403.
<b>25</b> Contributions, gifts, grants paid . . . . .	267,500.			267,500.
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25 . . . . .	311,838.	6,707.		304,903.
<b>27 Subtract line 26 from line 12:</b>				
<b>a Excess of revenue over expenses and disbursements . . . . .</b>	1,153.			
<b>b Net Investment Income</b> (if negative, enter -0-). . . . .		30,284.		
<b>c Adjusted net income</b> (if negative, enter -0-). . . . .			0.	

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ADMINISTRATIVE EXPENSES

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
ASSETS	1	Cash — non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	30,560.	36,809.	36,809.
	3	Accounts receivable . . . . .			
		Less allowance for doubtful accounts . . . . .			
	4	Pledges receivable . . . . .			
		Less allowance for doubtful accounts . . . . .			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach sch) . . . . .			
		Less allowance for doubtful accounts . . . . .			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments — U.S. and state government obligations (attach schedule) . . . . .			
	b	Investments — corporate stock (attach schedule). L-10b. Stmt . . . . .	349,519.	294,334.	474,198.
	c	Investments — corporate bonds (attach schedule). L-10c. Stmt . . . . .	0.	50,089.	50,186.
	11	Investments — land, buildings, and equipment: basis . . . . .			
	Less accumulated depreciation (attach schedule) . . . . .				
12	Investments — mortgage loans . . . . .				
13	Investments — other (attach schedule) . . . . .				
14	Land, buildings, and equipment basis . . . . .				
	Less accumulated depreciation (attach schedule) . . . . .				
15	Other assets (describe . . . . .)				
16	<b>Total assets</b> (to be completed by all filers — see the instructions. Also, see page 1, item I). . . . .	380,079.	381,232.	561,193.	
LIABILITIES	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, & other disqualified persons . . . . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe . . . . .)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .			
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here . . . . . and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here . . . . . and complete lines 27 through 31. <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds . . . . .	380,079.	381,232.	
	28	Paid-in or capital surplus, or land, building, and equipment fund . . . . .			
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .			
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	380,079.	381,232.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	380,079.	381,232.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	380,079.
2	Enter amount from Part I, line 27a . . . . .	2	1,153.
3	Other increases not included in line 2 (itemize) . . . . .	3	
4	Add lines 1, 2, and 3 . . . . .	4	381,232.
5	Decreases not included in line 2 (itemize) . . . . .	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) — Part II, column (b), line 30 . . . . .	6	381,232.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE COMPILATION REPORT	P	01/01/13	12/31/13
b SEE COMPILATION REPORT	P	01/01/12	12/31/13
c CLASS ACTION SETTLEMENT	P	01/01/12	12/31/13
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 213,929.		219,713.	-5,784.
b 85,169.		56,679.	28,490.
c 23.		0.	23.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			-5,784.
b			28,490.
c			23.
d			
e			

2 Capital gain net income or (net capital loss). <span style="float:right">[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]</span>	2	22,729.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . [ ]	3	-5,784.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . .  Yes  No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	317,401.	645,024.	0.492076
2011	310,952.	644,252.	0.482656
2010	350,800.	645,757.	0.543238
2009	353,023.	603,838.	0.584632
2008	381,766.	842,414.	0.453181
2 Total of line 1, column (d) . . . . .			2.555783
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .			0.511157
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .			670,870.
5 Multiply line 4 by line 3 . . . . .			342,920.
6 Enter 1% of net investment income (1% of Part I, line 27b) . . . . .			303.
7 Add lines 5 and 6. . . . .			343,223.
8 Enter qualifying distributions from Part XII, line 4 . . . . .			304,903.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 -- see instructions)**

<b>1 a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary -- see instrs)		
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	606.
<b>c</b> All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
<b>3</b> Add lines 1 and 2	3	606.
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
<b>5</b> Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	606.
<b>6</b> Credits/Payments		
<b>a</b> 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	
<b>b</b> Exempt foreign organizations -- tax withheld at source	6 b	
<b>c</b> Tax paid with application for extension of time to file (Form 8868)	6 c	
<b>d</b> Backup withholding erroneously withheld	6 d	
<b>7</b> Total credits and payments Add lines 6a through 6d	7	
<b>8</b> Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	0.
<b>9</b> Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	606.
<b>10</b> Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0.
<b>11</b> Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1 a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>1 b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
<b>1 c</b> Did the foundation file Form 1120-POL for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
<b>4 a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>4 b</b> If 'Yes,' has it filed a tax return on Form 990-T for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
<b>8 a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) NY - New York		
<b>b</b> If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>	X	



**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5 a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?. . . . .  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . .  Yes  No

(4) Provide a grant to an organization other than a charitable, etc. organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) . . . . .  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .  Yes  No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . .  Yes  No

Organizations relying on a current notice regarding disaster assistance check here . . . . .

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .  Yes  No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .  Yes  No

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . . .  Yes  No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GREGORY N SMITH C/O FDN. JERICHO NY 11753	CHAIRPERSON 0.50	0.	0.	0.
MARY H PERSSON C/O FDN. JERICHO NY 11753	PRES. 0.50	0.	0.	0.
MICHAEL B. HOLLYDAY C/O FDN. JERICHO NY 11753	VICE PRES. 0.50	0.	0.	0.
See information about Officers, Directors, Trustees, Etc		0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 . . . . .  None

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE ----- -----		
----- -----		
----- -----		
----- -----		
----- -----		
----- -----		None

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 ----- -----	
2 ----- -----	
3 ----- -----	
4 ----- -----	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 ----- -----	
2 ----- -----	
All other program-related investments. See instructions. 3 ----- -----	
<b>Total.</b> Add lines 1 through 3 -----	

BAA

**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1 a	632,576.
b	Average of monthly cash balances	1 b	48,510.
c	Fair market value of all other assets (see instructions)	1 c	
d	<b>Total</b> (add lines 1a, b, and c)	1 d	681,086.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	681,086.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	10,216.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	670,870.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	33,544.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	33,544.
2 a	Tax on investment income for 2013 from Part VI, line 5	2 a	606.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2 b	
c	Add lines 2a and 2b	2 c	606.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	32,938.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	32,938.
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	32,938.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1 a	304,903.
b	Program-related investments – total from Part IX-B.	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	304,903.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	304,903.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7 . . . . .				32,938.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only . . . . .			0.	
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2013				
a From 2008 . . . . .	0.			
b From 2009 . . . . .	0.			
c From 2010 . . . . .	0.			
d From 2011 . . . . .	0.			
e From 2012 . . . . .	0.			
f Total of lines 3a through e . . . . .	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 304,903.				
a Applied to 2012, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required — see instructions) . . . . .				
c Treated as distributions out of corpus (Election required — see instructions) . . . . .				
d Applied to 2013 distributable amount . . . . .				32,938.
e Remaining amount distributed out of corpus . . . . .	271,965.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) ) . . . . .				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .	271,965.			
b Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b. Taxable amount — see instructions . . . . .		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions . . . . .			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 . . . . .				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .	271,965.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	0.			
10 Analysis of line 9				
a Excess from 2009 . . . . .	0.			
b Excess from 2010 . . . . .	0.			
c Excess from 2011 . . . . .	0.			
d Excess from 2012 . . . . .	0.			
e Excess from 2013 . . . . .	0.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> 'Assets' alternative test – enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> 'Support' alternative test – enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><i>a Paid during the year</i> SEE SCHEDULE FROM YEAR END COMPILATION REPORT NY 11753</p>	<p>N/A</p>	<p>PUBLIC</p>	<p>GENERAL</p>	<p>267,500.</p>
<p><b>Total . . . . . ▶ 3 a</b></p>				<p>267,500.</p>
<p><i>b Approved for future payment</i></p>				
<p><b>Total . . . . . ▶ 3 b</b></p>				





**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF  
▶ Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2013**

Name of the organization

HARMON FOUNDATION INC

Employer identification number

13-5562236

**Organization type** (check one)

**Filers of:**

Form 990 or 990-EZ

**Section:**

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule** .

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II )

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

**BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990EZ,**

**Schedule B (Form 990, 990-EZ, or 990-PF) (2013)**

Name of organization HARMON FOUNDATION INC	Employer identification number 13-5562236
-----------------------------------------------	----------------------------------------------

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	HARMON TRUST FDN. C/O JP MORGAN CHASE 245 PARK AVE- 15TH FLOOR NEW YORK NY 10167-0001	\$ 276,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
---	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
---	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
---	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
---	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
---	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
---	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name: HARMON FOUNDATION INC  
Employer Identification Number: 13-5562236

Asset Information:

Description of Property . . . . . PUBLICLY TRADED SECURITIES - LONG TERM  
Date Acquired . 11/01/12 How Acquired . . . Purchased  
Date Sold . . . 12/31/13 Name of Buyer . . .  
Sales Price . . . 85,169. Cost or other basis (do not reduce by depreciation) . . . 56,679.  
Sales Expense . . . Valuation Method . . . . .  
Total Gain (Loss) . . . 28,490. Accumulation Depreciation . . .

Description of Property . . . . . PUBLICLY TRADED SECURITIES- SHORT TERM  
Date Acquired . 01/01/13 How Acquired . . . Purchased  
Date Sold . . . 12/31/13 Name of Buyer . . .  
Sales Price . . . 213,929. Cost or other basis (do not reduce by depreciation) . . . 219,713.  
Sales Expense . . . Valuation Method . . . . .  
Total Gain (Loss) . . . -5,784. Accumulation Depreciation . . .

Description of Property . . . . . CLASS ACTION SETTLEMENTS  
Date Acquired . 11/01/12 How Acquired . . . Purchased  
Date Sold . . . 12/31/13 Name of Buyer . . .  
Sales Price . . . 23. Cost or other basis (do not reduce by depreciation) . . .  
Sales Expense . . . Valuation Method . . . . .  
Total Gain (Loss) . . . 23. Accumulation Depreciation . . .

Description of Property . . . . .  
Date Acquired . . . . . How Acquired . . . . .  
Date Sold . . . . . Name of Buyer . . . . .  
Sales Price . . . . . Cost or other basis (do not reduce by depreciation) . . . . .  
Sales Expense . . . . . Valuation Method . . . . .  
Total Gain (Loss) . . . . . Accumulation Depreciation . . . . .

Description of Property . . . . .  
Date Acquired . . . . . How Acquired . . . . .  
Date Sold . . . . . Name of Buyer . . . . .  
Sales Price . . . . . Cost or other basis (do not reduce by depreciation) . . . . .  
Sales Expense . . . . . Valuation Method . . . . .  
Total Gain (Loss) . . . . . Accumulation Depreciation . . . . .

Description of Property . . . . .  
Date Acquired . . . . . How Acquired: . . . . .  
Date Sold . . . . . Name of Buyer . . . . .  
Sales Price . . . . . Cost or other basis (do not reduce by depreciation) . . . . .  
Sales Expense . . . . . Valuation Method . . . . .  
Total Gain (Loss) . . . . . Accumulation Depreciation . . . . .

Description of Property . . . . .  
Date Acquired . . . . . How Acquired . . . . .  
Date Sold . . . . . Name of Buyer . . . . .  
Sales Price . . . . . Cost or other basis (do not reduce by depreciation) . . . . .  
Sales Expense . . . . . Valuation Method . . . . .  
Total Gain (Loss) . . . . . Accumulation Depreciation . . . . .

Description of Property . . . . .  
Date Acquired . . . . . How Acquired . . . . .  
Date Sold . . . . . Name of Buyer: . . . . .  
Sales Price . . . . . Cost or other basis (do not reduce by depreciation) . . . . .  
Sales Expense . . . . . Valuation Method . . . . .  
Total Gain (Loss) . . . . . Accumulation Depreciation . . . . .

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**Additional Information**

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ELECTION UNDER REGULATIONS SEC. 52.4942(a)-3(c)(2)

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DURING 2013 \$276,000 WAS RECEIVED FROM HARMON FOUNDATION TRUST,  
ALSO A PRIVATE FOUNDATION. THIS AMOUNT HAS BEEN DISBURSED FOR CHARITABLE PURPOSES  
BY HARMON FOUNDATION INC AS FOLLOWS:

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EXCESS DISTRIBUTION FROM 2012	\$ -5,261
2013 CONTRIBUTION RECEIVED	276,000
EXCESS DISTRIBUTION CARRYOVER	--

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DEDUCT 2013 990-PF ELECTION-DISTRIBUTION FROM CORPUS PART XIII LINE 7	-271,489
BALANCE--EXCESS DISTRIBUTION CARRYOVER TO 12/31/14	\$ - 750

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SIGNED BY A.C.BARNES MCNEVIN III, TREASURER

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Form 990-PF, Page 1, Part I, Line 18  
**Line 18 Stmt**

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
FOREIGN TAX ON DIVIDENDS	43.	43.		
990-PF EXCISE TAX	228.			
<b>Total</b>	<u>271.</u>	<u>43.</u>		

Form 990-PF, Page 1, Part I, Line 23  
**Line 23 Stmt**

Other expenses	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
BANK CHARGES	53.			53.
NYS FILING FEE	100.			100.
<b>Total</b>	<u>153.</u>			<u>153.</u>

Form 990-PF, Page 6, Part VIII, Line 1  
**Information about Officers, Directors, Trustees, Etc.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person . . <input checked="" type="checkbox"/> Business . <input type="checkbox"/> A C BARNES McNEVIN 111 C/O FDN. JERICHO NY 11753	TREASURER 2.00	0.	0.	0.
Person . . <input checked="" type="checkbox"/> Business . <input type="checkbox"/> JAMES HOLLYDAY JR. C/O FDN JERICHO NY 11753	SECRETARY 1.00	0.	0.	0.

**Total**

<u>0.</u>	<u>0.</u>	<u>0.</u>
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Form 990-PF, Page 1, Part I  
**Line 16b - Accounting Fees**

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOYCE, HUCHES & ASSOCIATES LLP	ACCOUNTING, TAX	17,125.			17,125.
<b>Total</b>		<u>17,125.</u>			<u>17,125.</u>

Form 990-PF, Page 1, Part I

**Line 16c - Other Professional Fees**

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGG, MASON	INVESTMENT COUNSEL	6,164.			
BNY MELLON	CUSTODY FEES	500.			
Total		<u>6,664.</u>			

Form 990-PF, Page 2, Part II, Line 10b

**L-10b Stmt**

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
SEE ATTACHED PAGES FROM COMPILATION REPORT	294,334.	474,198.
Total	<u>294,334.</u>	<u>474,198.</u>

Form 990-PF, Page 2, Part II, Line 10c

**L- 10c Stmt**

Line 10c - Investments - Corporate Bonds:	End of Year	
	Book Value	Fair Market Value
SEE ATTACHED PAGE FROM COMPILATION REPORT	50,089.	50,186.
Total	<u>50,089.</u>	<u>50,186.</u>

**HARMON FOUNDATION, INC.**

**Compiled Financial Statements and  
Supplementary Information  
(Modified Cash Basis)**

**For the years ended December 31, 2013 and 2012**

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**HARMON FOUNDATION, INC.**

**Financial Statements  
and Supplemental Financial Information**

For the years ended December 31, 2013 and 2012

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*Boyce, Hughes & Associates, LLP*  
*Certified Public Accountants*

30 JERICO EXECUTIVE PLAZA, SUITE 200W  
JERICO, NEW YORK 11753-1028

TELEPHONE  
516 282-7070

FACSIMILE  
516 282-7075

ACCOUNTANTS' COMPILATION REPORT

To the Board of Trustees of  
Harmon Foundation, Inc.

We have compiled the accompanying Statement of Financial Position on a Modified Cash Basis of Harmon Foundation, Inc. as of December 31, 2013 and 2012 and the related Statement of Activities on a Modified Cash Basis for the years then ended. We have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with the cash basis of accounting.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with the cash basis of accounting and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit substantially all of the disclosures required by accounting principles generally accepted in the United States of America. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the company's financial position and results of operations and cash flows. Accordingly, these financial statements are not designed for those who are not informed about such matters.

We are not independent with respect to Harmon Foundation, Inc.

April 24, 2014

*Boyce, Hughes + Associates LLP*

HARMON FOUNDATION, INC.

Statement of Financial Position on a Modified Cash Basis  
as at December 31,

<u>Assets</u>	<u>2013</u>	<u>2012</u>
Cash - Bank of America - checking account	\$ 11,610.99	\$ 16,735.86
Dreyfus Inst Reserves Treasury Prime Fund	<u>25,198.04</u>	<u>13,823.73</u>
<u>Total cash and current assets</u>	\$ 36,809.03	\$ 30,559.59
Investments in marketable securities at cost – Pages 7-8	<u>344,422.75</u>	<u>349,518.63</u>
Total assets	\$ 381,231.78	\$ 380,078.22
<b>Liabilities</b>	<u>0.00</u>	<u>0.00</u>
<b>Net Assets (unrestricted)</b>	<u>\$ 381,231.78</u>	<u>\$ 380,078.22</u>

See Accountants' Compilation Report and Notes to Financial Statements

**HARMON FOUNDATION, INC.**

**Statement of Activities on a Modified Cash Basis  
As of December 31,**

	2013	2012
<b>Revenues and Gain</b>		
Income distribution from the William E. Harmon Trust Fund (Note 4)	\$ 276,000.00	\$ 276,000.00
Dividends	12,236.39	14,801.45
Interest on corporate bonds	2,020.62	3,347.15
Profit (loss) on sales of securities (page 9)	22,705.75	(4,163.05)
Interest on checking account	4.93	3.15
Capital gain dividends / class action	22.70	585.02
<b>Total revenues &amp; gain (loss)</b>	<b>\$ 312,990.39</b>	<b>\$ 290,573.72</b>
<b>Expenses</b>		
Management & general:		
Investment advisory fees	\$ 6,163.85	\$ 6,233.86
Custody fees	500.04	503.84
Foreign tax withheld on dividends	43.14	7.62
Investment expenses (subtotal)	<b>\$ 6,707.03</b>	<b>\$ 6,745.32</b>
Board meeting expenses	20,124.80	19,430.31
Accounting and tax preparation fee	17,125.00	17,000.00
Office expenses	0.00	284.25
Miscellaneous expenses	100.00	230.00
Bank charges	52.00	57.00
Taxes – Form 990PF	228.00	77.00
<b>Total management and general expenses</b>	<b>\$ 37,629.80</b>	<b>\$ 43,823.88</b>
Charitable contributions paid (page 10)	267,500.00	280,400.00
<b>Total expenditures</b>	<b>\$ 311,836.83</b>	<b>\$ 324,223.88</b>
Increase (decrease) in unrestricted net assets	\$ 1,153.56	\$ (33,650.16)
NET ASSETS (unrestricted) – January 1	380,078.22	413,728.38
NET ASSETS (unrestricted) – December 31	\$ 381,231.78	\$ 380,078.22

See Accountants' Compilation Report and Notes to Financial Statements

**Supplementary Information**

# HARMON FOUNDATION, INC.

## Notes to Financial Statements December 31, 2013

### Note 1: Summary of Significant Accounting Policies

*Nature of Operations.* The Harmon Foundation is a private foundation that makes contributions to various U.S. not for profit charitable entities. The financial statements are presented on the modified cash basis using the method of accounting which is the basis the Federal government permits a charitable organization to use in filing its Form 990-PF excise tax return. Consequently, certain revenue and the related assets are recognized when received rather than when earned, and certain expenses are recognized when paid rather than when the obligation is incurred. Accordingly, these financial statements are not intended to present financial position and results of operations in conformity with generally accepted accounting principles.

### Note 2: Investments in Marketable Securities

The Harmon Foundation has not adopted Statement of Financial Accounting Board statements relating to accounting for certain investments held by not-for-profit organizations in that the cash basis method of accounting is used as explained above. Investments in marketable securities are carried at cost basis and the market values are presented in the report for information purposes. Consequently, profit or loss is reflected as revenue or loss in the year in which the investments are sold. Annual fluctuations in the market value of the investments are not reflected in the Statements of Activities.

The unrecorded net increase (decrease) in the market value of investments is recapped as follows:

	<u>2013</u>	<u>2012</u>
Market value of investments	\$ 524,384	\$ 470,663
Cost basis	<u>344,423</u>	<u>349,519</u>
<u>Unrealized increase (decrease)</u>	<u>\$ 179,961</u>	<u>\$ 121,144</u>

### Note 3: Tax Status

The Foundation is a not for profit corporation which qualifies under Section 501(c)(3) of the Internal Revenue Code as an organization exempt from Federal income tax; however, the Foundation is a "private foundation" under the Tax Reform Act of 1969 and is thus subject to a 2% (or 1%) excise tax on net investment income, and must make minimum distributions as required by the Act.

**HARMON FOUNDATION, INC.**

**Notes to Financial Statements  
December 31, 2013**

**Note 4: William E. Harmon Trust Fund**

The Foundation is the sole beneficiary of the Harmon Foundation, Inc. Trust U/A/D July 21, 1922 created by William E. Harmon. This Trust is effectively treated as and subject to the rules governing private foundations. The Harmon Foundation, Inc. must, not later than the close of the first tax year after the tax year in which it receives contributions from this Trust Fund, distribute an amount equal to the contributions received in the prior tax year and have no remaining undistributed income from the prior tax year.

The Trust Fund is administered and controlled by the J.P. Morgan Chase Bank and the Harmon Foundation, Inc. directors have no incidences of influence or control other than the right to receive income and required principal distributions. The market value of Trust Fund assets at January 31, 2013 was \$ 5,206,899 and on January 31, 2012 was \$5,000,216. This fund files its' 990PF on a January 31 fiscal year.

**HARMON FOUNDATION, INC.**

**Investments in Marketable Securities (Note 2)  
Calendar year ended December 31, 2013**

<u>Date Acquired</u>	<u>Principal - Corporate stock</u>	<u>No. of Shares</u>	<u>Cost or Acquisition Value</u>	<u>Market Value</u>
02/02/04	Air Products and Chemicals Inc.	85	4,279.75	9,501.30
07/12/13	Allgan Inc.	85	7,335.50	9,441.80
10/26/99	American Express Co.	300	12,661.65	27,219.00
07/21/08	Apple Inc.	5	818.76	22,440.80
12/18/08	Apple Inc.	35	3,169.06	
05/17/12	Cerner Corp.	150	5,954.25	8,361.00
10/26/99	Cisco Systems, Inc.	700	23,909.38	15,701.00
06/30/11	Covidian PLC	130	6,279.42	8,853.00
07/21/04	Emerson Electric Company	180	5,503.93	12,632.40
05/17/12	Estee Lauder Companies	120	6,920.28	9,038.40
07/27/07	Express Scripts Inc.	250	6,233.52	17,560.00
04/03/01 - 2002	Exxon Mobil Corp.	170	6,679.30	17,204.00
11/01/82	General Electric Co.	595	1,129.26	16,677.85
09/18/12	Goldman Sachs H/Y Float Ins	2,495	25,000.00	25,330.00
03/27/08	Google Inc.	20	8,940.80	22,414.20
12/10/01	JP Morgan Chase & Co.	250	9,652.50	29,240.00
08/02/12	JP Morgan Chase & Co.	250	9,019.88	
06/19/09	Mc Donald's Corp.	105	6,114.59	14,069.35
03/09/10	Mc Donald's Corp.	40	2,614.73	
01/28/02	Microsoft Corp.	20	639.10	15,712.20
08/29/03	Microsoft Corp.	400	10,560.00	
06/17/11 - 04/09	Monsanto Co.	95	6,973.90	11,072.25
06/19/09	National Oilwell Varco Inc.	170	5,834.40	13,520.01
06/20/08	Netapp Inc.	210	4,919.92	8,639.40

See Accountants' Compilation Report

**HARMON FOUNDATION, INC.**

**Investments in Marketable Securities (Note 2)  
Calendar year ended December 31, 2013**

<u>Date Acquired</u>	<u>Principal - Corporate stock</u>	<u>No. of Shares</u>	<u>Cost or Acquistion Value</u>	<u>Market Value</u>
06/01/10	Northeast Utilities	310	7,913.74	13,140.90
10/12/00	Pepsico Inc.	165	7,687.76	13,685.10
06/30/11	Phillip Morris International Inc.	120	7,935.43	10,455.60
06/29/11	Pimco Enhanced Short Maturity ETF	250	25,334.00	25,199.60
05/17/12	Potash Corp.	145	5,844.88	4,779.20
08/29/03	Procter & Gamble Co.	170	7,395.00	13,839.70
05/17/12	Qualcomm Inc.	100	6,167.99	7,425.00
01/27/07	Schlumberger Ltd.	200	12,406.00	18,022.00
06/01/10	Target Corp.	145	7,879.13	15,501.15
05/17/12	Target Corp.	100	5,514.95	
06/30/11	Union Pac Corp.	70	7,032.20	11,760.00
04/03/01	United Technologies	140	4,974.20	15,932.00
06/30/11	Yum! Brands Inc.	<u>130</u>	<u>7,104.34</u>	<u>9,829.30</u>
	<u>Common Stock Totals</u>	<u>8,905</u>	<u>\$294,333.50</u>	<u>\$ 474,197.51</u>
	<u>Principal -Corporate Bonds/Bond Funds</u>	<u>Par value</u>		
8/27/2013	Hewlett Packard Co-1.55% 5/30/2014	25,000	\$ 25,154.25	\$ 25,091.25
7/12/2013	JP Morgan Chase & Co, 1.1% 10/15/12	<u>25,000</u>	<u>24,935.00</u>	<u>25,095.25</u>
	<u>Corporate Bonds Total</u>	<u>50,000</u>	<u>\$ 50,089.25</u>	<u>\$ 50,186.50</u>
	<u>Total Investments</u>	<u>58,905</u>	<u>\$ 344,422.75</u>	<u>\$ 524,384.01</u>

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**HARMON FOUNDATION, INC.**  
**Profit (loss) on Sales of Securities**  
**Calendar year ended December 31, 2013**

<u>Par value</u>	<u>Description</u>	<u>Acquired</u>	<u>Sold</u>	<u>Proceeds</u>	<u>Cost</u>
200	Abbott Laboratories	06/19/09	07/12/13	\$ 7,011.87	\$ 4,829.72
200	Abbvie Inc.	01/08/13	07/12/13	8,767.84	5,237.41
30	Apple Inc.	07/21/08	11/19/13	15,758.42	4,912.53
65	Caterpillar Inc.	05/17/12	11/19/13	5,443.65	6,115.85
100	Coach Inc.	05/17/12	11/19/13	5,323.90	6,746.85
280	Colgate Palmolive Co.	09/23/04	11/19/13	18,364.88	6,798.40
150	Express Scripts	07/27/07	11/19/13	9,907.32	3,740.12
1,000	Goldman Sachs Group	05/17/12	01/18/13	25,000.00	25,367.80
1,000	Goldman Sachs Group pfd	03/08/13	11/19/13	22,060.61	25,160.00
1,000	JP Morgan Chase & Co.	03/08/13	07/25/13	24,226.47	25,170.00
16.25	Mallinckrodt Plc	07/01/13	07/12/13	695.84	623.39
2,143.024	Pimco Total Return Fd	06/29/11	11/15/13	23,358.96	23,535.83
600	Pimco Enhanced Short	03/08/13	11/19/13	60,884.96	60,871.80
1,000	Prudential Financial Inc.	03/08/13	10/21/13	21,059.13	25,351.80
25,000	Citigroup Inc. 5.5%	08/27/13	11/19/13	26,046.50	26,312.75
25,000	Comcast Corp. 5.3%	07/12/13	11/19/13	<u>25,187.75</u>	<u>25,618.00</u>
				<u>\$ 299,098.10</u>	<u>\$ 276,392.25</u>
	Net capital gain			<u>\$ 22,705.85</u>	

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**Contributions  
2013**

Name	Amount
A Better Chance in Ridgefield, Inc.	\$ 3,000.00
Achilles Track Club Inc.	2,000.00
Arts Worcester	2,000.00
Bartow-Pell Landmark Fund	4,000.00
Bayside Historical Society	3,000.00
Booth Brown House ( Salvation Army)	3,500.00
Boy Scouts of America( Narragansett Pack 2)	1,500.00
Camp Sunshine at Sebago Lake Inc.	7,500.00
Challenge Alaska	5,000.00
Chewonki Foundation	6,000.00
Children's Village	2,500.00
Christophers Haven	2,500.00
Church of the Holy Apostle	6,000.00
City of Tryon	3,000.00
Clafin Hill Music Performance Foundation	5,000.00
Coldwell Banker Cares	2,500.00
Covenant Frontline International ( FCOP)	5,000.00
Daily Bread & Food Pantry	7,000.00
DFW Humane Society	500.00
EQuest	6,000.00
Emmanuel Bible Baptist Church	10,000.00
Guiding Eyes for the Blind, Inc.	1,000.00
Hearing and Service Dogs	10,000.00
IFOPA	5,000.00
Immigrant Scholarship Fund	6,000.00
Interfaith Housing Coalition	2,000.00
Island Harvest	4,000.00
Lead Safe America Foundation	2,500.00
Maine 4-H Foundation	9,000.00
Miriams Kitchen	7,000.00
National Vitaligo Foundation, Inc.	5,000.00
Next Stage Arts Project	5,000.00
Next Step Domestic Violence Program	2,500.00
New Heights-Adventures for Teens	3,000.00
New York Presyterian/Weill Cornell	1,000.00
North Texas Food Bank	1,000.00
Norwalk Emergency Shelter	2,500.00
Open Arms Home for Children	5,000.00
Pan -Mass Challenge	500.00
Upper Charles River Bike Trail	500.00

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