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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

OMB No 1545-0052

Department of the Treasury Internal Revenue Service ▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf. For calendar year 2013 or tax year beginning , and ending A Employer identification number Name of foundation THE HOWARD AND JACKIE SHAPIRO FOUNDATION 13-4331684 (FORMERLY HOWARD SHAPIRO FOUNDATION) Number and street (or P O box number if mail is not delivered to street address) Room/suite B Telephone number 26 N. HALSTED STREET 312-705-2600 City or town, state or province, country, and ZIP or foreign postal code C If exemption application is pending, check here CHICAGO, IL 60661 Initial return Initial return of a former public charity D 1. Foreign organizations, check here Check all that apply: Final return Amended return Foreign organizations meeting the 85% test, check here and attach computation Address change X Name change H Check type of organization: X Section 501(c)(3) exempt private foundation E If private foundation status was terminated Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation under section 507(b)(1)(A), check here I Fair market value of all assets at end of year J Accounting method: X Cash Accrual F If the foundation is in a 60-month termination Other (specify) (from Part II, col (c), line 16) under section 507(b)(1)(B), check here 10,767,205. (Part I, column (d) must be on cash basis) ▶\$ Analysis of Revenue and Expenses (d) Disbursements for charitable purposes Part I (c) Adjusted net (a) Revenue and (b) Net investment (The total of amounts in columns (b), (c), and (d) may not expenses per books income income necessarily equal the amounts in column (a)) (cash basis only) 0 N/A Contributions, gifts, grants, etc., received Check X If the foundation is not required to attach Sch B Interest on savings and temporary cash investments <u>8,1</u>03. 8,103 STATEMENT 3 42,027 42,027 STATEMENT Dividends and interest from securities 5a Gross rents b Net rental income or (loss) 135,332 6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a 135,332. 135,332. 7 Capital gain net income (from Part IV, line 2) Net short-term capital gain Income modifications 10a Gross sales less returns and allowances b Less Cost of goods sold c Gross profit or (loss) 18,085. 3,085 STATEMENT 11 Other income 203,547. 188,547. 12 Total. Add lines 1 through 11 0. 0 0 13 Compensation of officers, directors, trustees, etc. 14 Other employee salaries and wages 15 Pension plans, employee benefits Expenses 6,014. STMT 4 0. 0. 16a Legal fees **b** Accounting fees c Other professional fees Administrative 17 Interest RECEIVE STMT 5 1,160 0. 0. 18 Taxes ၀န္ပင 19 Depreciation and depletion 20 Occupancy MAY 1 9 2014 က် 21 Travel, conferences, and meetings Operating and 22 Printing and publications OGDEN 23 Other expenses 24 Total operating and administrative 0. 7,174. expenses Add lines 13 through 23 633,790. 633,790 25 Contributions, gifts, grants paid 26 Total expenses and disbursements 640,964 0 633.790. Add lines 24 and 25 Subtract line 26 from line 12: -437,417 a Excess of revenue over expenses and disbursements

LHA For Paperwork Reduction Act Notice, see instructions.

b Net investment income (if negative, enter -0-)

C Adjusted net income (if negative, enter -0-)

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188,547

N/A

THE HOWARD AND JACKIE SHAPIRO FOUNDATION (FORMERLY HOWARD SHAPIRO FOUNDATION)

For	m 99	0-PF (2013) (FORMERLY HOWARD SHAPIRO			4331684 Page 2
Г	art	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of	
<u></u>	art	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
)	Cash - non-interest-bearing	5,010,621.		
		Savings and temporary cash investments		4,395,846.	4,395,845.
	3	Accounts receivable ►			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ►			
		Less: allowance for doubtful accounts			
		Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons			
	7	Other notes and loans receivable			
		Less: allowance for doubtful accounts			
sts		Inventories for sale or use			
Assets		Prepaid expenses and deferred charges			· · · · · · · · · · · · · · · · · · ·
٩		Investments - U S. and state government obligations			
		Investments - corporate stock			
	į.	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis $\longrightarrow 1,862,209$.	1 060 000	1 060 000	1 060 000
		Less accumulated depreciation	1,862,209.	1,862,209.	1,862,209.
	ı	Investments - mortgage loans	4 212 070	4 500 151	4 500 151
	13	Investments - other STMT 7	4,212,079.	4,509,151.	4,509,151.
	14	Land, buildings, and equipment: basis			
		Less accumulated depreciation			
		Other assets (describe)		··· -	
	16	Total assets (to be completed by all filers - see the	11 004 000	10 767 206	10 767 205
	-	instructions. Also, see page 1, item 1)	11,084,909.	10,767,206.	10,767,205.
	l	Accounts payable and accrued expenses			
	18	Grants payable			
Liabilities	19	Deferred revenue			
Ē	20	Loans from officers, directors, trustees, and other disqualified persons	-		
Ľ	21	Mortgages and other notes payable			
	22	Other liabilities (describe)		-	
	23	Total liabilities (add lines 17 through 22)	0.	0.	
_	20	Foundations that follow SFAS 117, check here	0.		
		and complete lines 24 through 26 and lines 30 and 31.			
es	24	Unrestricted			
auc	25	Temporarily restricted			
Bal	I	Permanently restricted			
Fund Balances		Foundations that do not follow SFAS 117, check here	•		
교		and complete lines 27 through 31			
ō	27	Capital stock, trust principal, or current funds	0.	0.	
ssets	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
⋖	29	Retained earnings, accumulated income, endowment, or other funds	11,084,909.	10,767,206.	
Net	30	Total net assets or fund balances	11,084,909.	10,767,206.	
_				<u>-</u>	
	31	Total liabilities and net assets/fund balances	11,084,909.	10,767,206.	
P	art	III Analysis of Changes in Net Assets or Fund B	alances		
1		net assets or fund balances at beginning of year - Part II, column (a), line	3 U		11 004 000
_		st agree with end-of-year figure reported on prior year's return)		1	11,084,909.
		r amount from Part I, line 27a	מים מים מי	AMEMENTO 6	-437,417.
		r increases not included in line 2 (itemize)	SEE STA	ATEMENT 6 3	119,714.
		lines 1, 2, and 3 eases not included in line 2 (itemize)		4	10,767,206.
-		net assets or fund balances at end of year (line 4 minus line 5) - Part II, co	stumn (h) line 30	5 6	10,767,206.
<u>. </u>	ıvıa	not assets or larie balances at one of year time a minus line of a fall i, oc	TO THIS OF		Form 990-PF (2013)

THE HOWARD AND JACKIE SHAPIRO FOUNDATION

(FORMERLY HOWARD SHAPIRO FOUNDATION) 13-4331684 Page 3 Form 990-PF (2013) Part IV Capital Gains and Losses for Tax on Investment Income (b) How acquired (c) Date acquired (a) List and describe the kind(s) of property sold (e.g., real estate, (d) Date sold - Purchase (mo., day, yr.) (mo., day, yr.) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) D - Donation CAPITAL GAINS DIVIDENDS b C d (g) Cost or other basis (f) Depreciation allowed (h) Gain or (loss) (e) Gross sales price (e) plus (f) minus (g) (or allowable) plus expense of sale 135,332 135,332. b C d Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or (j) Adjusted basis (k) Excess of col. (i) Losses (from col. (h)) (i) F.M.V. as of 12/31/69 as of 12/31/69 over col. (j), if any 135,332. h C d e If gain, also enter in Part I, line 7 135,332. 2 Capital gain net income or (net capital loss) If (loss), enter -0- in Part I, line 7 2 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). N/A If (loss), enter -0- in Part I, line 8 Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) If section 4940(d)(2) applies, leave this part blank. Yes X No Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part. Enter the appropriate amount in each column for each year; see the instructions before making any entries. (d) Distribution ratio (a)
Base period years
Calendar year (or tax year beginning in) Adjusted qualifying distributions Net value of noncharitable-use assets (col. (b) divided by col. (c)) 425,702 8,135,277 .052328 2012 270,000. 5,419,127. .049824 2011 250,200. 5,543,938. .045130 2010 180,000. 5,673,092. .031729 2009 302,040 5,757,559 .052460 2008 .231471 2 Total of line 1, column (d) 2 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years .046294 the foundation has been in existence if less than 5 years 3 9,141,948. Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 4 5 423,217. Multiply line 4 by line 3 1,885. Enter 1% of net investment income (1% of Part I, line 27b) 6 425,102. 7 Add lines 5 and 6 7

8

633,790.

See the Part VI instructions.

8 Enter qualifying distributions from Part XII, line 4

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.

THE HOWARD AND JACKIE SHAPIRO FOUNDATION (FORMERLY HOWARD SHAPIRO FOUNDATION) 13-4331684 Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions) 1a Exempt operating foundations described in section 4940(d)(2), check here | Image: and enter "N/A" on line 1. Date of ruling or determination letter: (attach copy of letter if necessary-see instructions) 1,885. b Domestic foundations that meet the section 4940(e) requirements in Part V, check here 🕒 🛣 and enter 1% 1 of Part I, line 27b c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 1,885 3 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 4 1,885 5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-5 6 Credits/Payments: 272. a 2013 estimated tax payments and 2012 overpayment credited to 2013 b Exempt foreign organizations - tax withheld at source 6b c Tax paid with application for extension of time to file (Form 8868) 6c 617. d Backup withholding erroneously withheld Ьd 7 Total credits and payments Add lines 6a through 6d 889. 8 Enter any penalty for underpayment of estimated tax. Check here _____ if Form 2220 is attached 8 9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed 9 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 11 Enter the amount of line 10 to be: Credited to 2014 estimated tax Refunded > 11 Part VII-A | Statements Regarding Activities Yes No 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in X 1a any political campaign? Х b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? 16 If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities c Did the foundation file Form 1120-POL for this year? Х 1c d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year; (1) On the foundation. > \$ 0 . (2) On foundation managers. ► \$ e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. > \$ 0. 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? Х 2 If "Yes," attach a detailed description of the activities 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes X 3 Х 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 4a N/A b If "Yes," has it filed a tax return on Form 990-T for this year? 4b Х 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 5 If "Yes," attach the statement required by General Instruction T 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law

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X

Х

7

8b

10

remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)

year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

8a Enter the states to which the foundation reports or with which it is registered (see instructions)

of each state as required by General Instruction G? If "No," attach explanation

THE HOWARD AND JACKIE SHAPIRO FOUNDATION 13-4331684 Form 990-PF (2013) (FORMERLY HOWARD SHAPIRO FOUNDATION) Page 5 Part VII-A | Statements Regarding Activities (continued) 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes." attach schedule (see instructions) 11 Х 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12 X 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 Website address ► N/A 14 The books are in care of ▶ NORMAN SHAPIRO Telephone no. > 312-705-2600Located at ▶ 26 N. HALSTED STREET, CHICAGO, ZIP+4 ▶60661 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here N/A and enter the amount of tax-exempt interest received or accrued during the year 15 No At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, Yes securities, or other financial account in a foreign country? 16 X See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required Yes No File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly): Yes X No (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) Yes X No a disqualified person? Yes X No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available Yes X No for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception, Check "No" If the foundation agreed to make a grant to or to employ the official for a period after Yes X No termination of government service, if terminating within 90 days) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b Organizations relying on a current notice regarding disaster assistance check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected X before the first day of the tax year beginning in 2013? 10 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)). a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? Yes X No If "Yes," list the years b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach N/A statement - see instructions) 2b c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time Yes X No during the year?

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3b

4a

N/A

b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C.

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that

Form 4720, to determine if the foundation had excess business holdings in 2013)

had not been removed from jeopardy before the first day of the tax year beginning in 2013?

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

THE HOWARD AND JACKIE SHAPIRO FOUNDATION 13-4331684 Page 6 (FORMERLY HOWARD SHAPIRO FOUNDATION) Form 990-PF (2013) Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued) 5a During the year did the foundation pay or incur any amount to: Yes X No (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, Yes X No any voter registration drive? Yes X No (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization described in section Yes X No 509(a)(1), (2), or (3), or section 4940(d)(2)? (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for Yes X No the prevention of cruelty to children or animals? b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A 5b Organizations relying on a current notice regarding disaster assistance check here c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained __ Yes ___ No expenditure responsibility for the grant? N/A If "Yes," attach the statement required by Regulations section 53 4945-5(d) 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on Yes X No a personal benefit contract? b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b Х If "Yes" to 6b, file Form 8870 Yes X No 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? N/A 7b b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors 1 List all officers, directors, trustees, foundation managers and their compensation. (c) Compensation (d) Contributions to employee benefit plans and deferred (e) Expense account, other (b) Title, and average (If not paid, enter -0-) hours per week devoted (a) Name and address to position compensation allowances NORMAN SHAPIRO DIRECTOR 26 N. HALSTED STREET 0. 1.00 0 0 CHICAGO, IL 60661 FRED BISHOP DIRECTOR 26 N. HALSTED STREET 1.00 CHICAGO, IL 60661 0 0. 0. 2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE." (d) Contributions to employee benefit plans and deferred compensation (e) Expense account, other (b) Title, and average hours per week devoted to position (a) Name and address of each employee paid more than \$50,000 (c) Compensation allowances NONE

Total number of other employees paid over \$50,000

THE HOWARD AND JACKIE SHAPIRO FOUNDATION

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Part VIII Information About Officers, Directors, Trustees, Founda Paid Employees, and Contractors (continued)	tion Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter	"NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services Part IX-A Summary of Direct Charitable Activities		<u>▶</u> 0
List the foundation's four largest direct charitable activities during the tax year. Include relevant statist number of organizations and other beneficiaries served, conferences convened, research papers prod 1 NONE	tical information such as the duced, etc.	Expenses
2		0.
3		
4		
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year on 1 NONE	lines 1 and 2.	Amount
		0.
2		
All other program-related investments. See instructions.		
3		

Total. Add lines 1 through 3

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<u>P</u>	Art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations	s, see instructions.)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,283,685.
	Average of monthly cash balances	1b	4,997,480.
	Fair market value of all other assets	1c	0.
d	Total (add lines 1a, b, and c)	1d	9,281,165.
	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	9,281,165.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	139,217.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,141,948.
6	Minimum investment return Enter 5% of line 5	6	457,097.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations ar	nd certain	
	foreign organizations check here 🕨 🔛 and do not complete this part.)		
1	Minimum investment return from Part X, line 6	1	<u>457,097.</u>
2a	Tax on investment income for 2013 from Part VI, line 5		
b	Income tax for 2013. (This does not include the tax from Part VI.)		
C	Add lines 2a and 2b	2c	1,885.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	455,212.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	455,212.
6	Deduction from distributable amount (see instructions)	6	0.
<u>7</u>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	455,212.
<u>P</u>	Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	633,790.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	633,790 <u>.</u>
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income. Enter 1% of Part I, line 27b	5	1,885.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	631,905.
	Note. The amount on line 6 will be used in Part V. column (b), in subsequent years when calculating whether the foundation	qualifies	for the section

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4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

2 Undestrobed norms, day, and the eard of 3018					
1 Distributable amount for 2013 from Part XI, Inne 7 2 Unanabusase neems, if any, as of the end of 2019 a Enter amount for 2012 cmby b Total for prior years: 3 Excess distributions carryover, if any, to 2013 a From 2008 b Total of prior years: 4 Challypergia and the end of 2019 b From 2019 b From 2019 1 Total of lines 3s through b 4 Challypergia distributions for 2013 from Part XII, Inne 4 ► S 6 33 , 790. a Applied to 2012 but not more than inne 2a b Applied to undestroabled income of prior years (Electron required - See instructions) c Treated as distributions distributed amount e Remaining amount distributed on clorups 5 Excess carebutanes carryover applied to 2013 6 Easter the net total of each column as indicated below. Caceas And times 3t, et and 6 sharrout me Caceas And times 3t, et and 6 sharrout me Caceas And times 3t, et and 6 sharrout me Caceas And times 4t, et and 6 sharrout me Caceas And times		• •			
Inter 7	1 Distributable amount for 2013 from Part XI.	00,000	radio prior to 20 /2	2012	2010
a Enter amount for 2012 only b Total for prior years: □ Excess distributions caryover, if any, to 2013 a From 2008 □ 17, 602. b From 2009 □ From 2010 □ From 2011 □ 19, 534. That of these 3s through e □ 4 Challying distributions for 2013 from Part XII, line 4 h ≥ 633, 790. a Applied to 2013 from time than line 2 b Applied to 2013 from time than line 2 b Applied to 2013 distributable amount years (Election required - see instructions) □ Created as distributions out of corpus (Fection required - see instructions) □ Created as distributions out of corpus (Fection required - see instructions) □ Created as distributions out of corpus (Fection required - see instructions) □ Created as distributions out of corpus (Fection required - see instructions) □ Created as distributions out of corpus (Fection required - see instructions) □ Created as distributions out of corpus (Fection required - see instructions) □ Created as distributions out of corpus (Fection required - see instructions) □ Created as distributions out of corpus (Fection required - see instructions) □ Created as distributions out of corpus (Fection required - see instructions) □ Created as distributions out of corpus (Fection required - see instructions) □ Created as distributions out of corpus (Fection required - see instructions) □ Created as distributions out of corpus □ Created as distributio	·				455,212.
\$ Excess distributions carryover, if any, to 2013 a From 2008	2 Undistributed income, if any, as of the end of 2013				
3	a Enter amount for 2012 only	7,07,51,0		0.	
3 Excess distributions carryover, if any, to 2013 a From 2008	b Total for prior years:		_		
a From 2008			0.		
From 2019 From 2010					
e From 2010 d from 2011 e From 2012					
d From 2011					
From 2012	-				
4 Ouethying distributions tor 2013 from Part XII, line 4 ► 5 633 , 790. 4 Applied to 2012, but not more than line 2a by Applied to understhrolder (concern of years (Election required - see instructions) 6 Treated as distributions out of corpus (Election required - see instructions) 7 Treated as distributions out of corpus (Election required - see instructions) 9 Applied to 2013 distributions out of corpus (Election required - see instructions) 9 Applied to 2013 distributions are applied to 2013 (from particular) 178, 578. 178, 578. 178, 578. 178, 578. 178, 578. 178, 578. 178, 578. 178, 578. 179					
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b Excess from 2010 c Excess from 2011 d Excess from 2012 19,534.	ı				
c Excess from 2011 d Excess from 2012 19,534.					
d Excess from 2012 19,534. e Excess from 2013 178,578.					
e Excess from 2013 178,578.	d Excess from 2012 19,534.				
	e Excess from 2013 178,578.				

THE HOWARD AND JACKIE SHAPIRO FOUNDATION

(FORMERLY HOWARD SHAPIRO FOUNDATION) 13-4331684 Page 10 Form 990-PF (2013) Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling **b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5) Tax year Prior 3 years 2 a Enter the lesser of the adjusted net (b) 2012 (d) 2010 (a) 2013 (c) 2011(e) Total income from Part I or the minimum investment return from Part X for each year listed **b** 85% of line 2a c Qualifying distributions from Part XII, line 4 for each year listed d Amounts included in line 2c not used directly for active conduct of exempt activities e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c Complete 3a, b, or c for the alternative test relied upon: "Assets" alternative test - enter: (1) Value of all assets (2) Value of assets qualifying under section 4942(j)(3)(B)(i) b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) (3) Largest amount of support from an exempt organization (4) Gross investment income Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.) Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2)) NONE b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here \(\sum \big| \big| \big| \big| \big| if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d. a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed: b The form in which applications should be submitted and information and materials they should include. c Any submission deadlines: d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Form 990-PF (2013) (FORMERLY HOWARD SHAPIRO FOUNDATION)

Part XV | Supplementary Information (continued) 13-4331684 Page 11

3 Grants and Contributions Paid During the Ye		Payment_		
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
a Paid during the year	or substantial contributor	recipient		
a Talu Gulling the year				
BRENNAN CENTER FOR JUSTICE	NONE	PUBLIC	CHARITABLE PURPOSES	
161 AVENUE OF THE AMERICAS, 12TH FLOOR				
NEW YORK, NY 10013				50,000
CHICAGO BOTANIC GARDEN	NONE	PUBLIC	CHARITABLE PURPOSES	
1000 LAKE COOK ROAD				
GLENCOE IL 60022			 	25,000
CULTANGO VOLUMU GENERRES	NONE	DUDI 7.0	CULDIANTE DIDOCARG	
CHICAGO YOUTH CENTERS 215 S. WABASH AVE., 6TH FLOOR	NONE	PUBLIC	CHARITABLE PURPOSES	
CHICAGO, IL 60604				50,000
HIGH JUMP	NONE	PUBLIC	CHARITABLE PURPOSES	
59 W. NORTH BLVD. CHICAGO, IL 60610				33,000
Chicheo, In total				
J STREET EDUCATION FUND, INC.	NONE	PUBLIC	CHARITABLE PURPOSES	
P.O. BOX 66073				
WASHINGTON DC 20035 Total SEE CON	TINUATION SHEE	L RT(S)	▶ 3a	100 000 633 790
b Approved for future payment				033,130
NONE	į 			
				·
				
Total		•	▶ 3b	0 m 990-PE (2012

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Part XVI-A	Analysis of Income-Producing Activities

Form 990-PF (2013)

Enter gross amounts unless otherwise indicated.	Unrelated t	usiness income		y section 512, 513, or 514	(e)
	(a) Business	(b)	(c) Exclu-	(d)	Related or exempt
1 Program service revenue;	code	Amount	sion code	Amount	function income
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash					
investments			14	8,103.	
4 Dividends and interest from securities			14	42,027.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal					
property					
7 Other investment income			14	3,085.	
8 Gain or (loss) from sales of assets other than inventory			14	135,332.	
9 Net income or (loss) from special events					
O Gross profit or (loss) from sales of inventory					
1 Other revenue:					•
a CONTRIBUTION RETURNED			01	15,000.	
b					
C					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		().	203,547.	(
13 Total. Add line 12, columns (b), (d), and (e)				13	203,54
(See worksheet in line 13 instructions to verify calculations.)					

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Form 990-PF (2013)

(FORMERLY HOWARD SHAPIRO FOUNDATION)

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Part X	VII Information R Exempt Orga	•	nsfers To a	and Transactions a	nd Relationsh	nips With Nonch	arıtable)	
4 Did+	he organization directly or inc		of the followin	ng with any other organization	n described in section	on 501(c) of		Yes	No
	•					JII 30 I(G) 01			
	ode (other than section 501(izations				
	sfers from the reporting foun	dation to a noncharis	able exempt or	ganization of.			4-(4)		v
(1)							1a(1)		<u> </u>
	Other assets						1a(2)		
	r transactions:								37
٠,	Sales of assets to a noncharit						1b(1)		<u> X</u>
(2)	Purchases of assets from a n	oncharitable exempt	organization				1b(2)		<u>X</u>
(3)	Rental of facilities, equipment	, or other assets					1b(3)		<u>X</u>
(4)	Reimbursement arrangement	S					1b(4)		<u>X</u>
(5)	Loans or loan guarantees						1b(5)		<u>X</u>
(6)	Performance of services or m	embership or fundra	ising solicitatio	ons			1b(6)		_X_
c Shar	ing of facilities, equipment, m	ailing lists, other ass	ets, or paid em	ployees			1c		<u> X</u>
	answer to any of the above I		•	• •				ets,	
	rvices given by the reporting				e in any transaction	or sharing arrangement,	, show in		
	nn (d) the value of the goods	-,		e exempt organization	(4) 2				
(a) Line no	(b) Amount involved	(c) Name (e exempt organization	(U) Description	of transfers, transactions, an	d snaring arr	angeme	nts
	<u> </u>		N/A						
		ļ							
									
									
	_								
2a Is the	e foundation directly or indire	ctly affiliated with, or	related to, one	or more tax-exempt organize	zations described				
	ction 501(c) of the Code (oth	•	•	. •			Yes	X	No
	es," complete the following sc	•	0,(0,), 0, 000						_
<u> </u>	(a) Name of o			(b) Type of organization	(c) Description of relation	nship		
	N/A				. :	· · · · · · · · · · · · · · · · · · ·			
	11/21								
	·············								
	Under penalties of perjury, I declar	e that I have examined the	nis return, includir	ng accompanying schedules and	statements, and to the b	pest of my knowledge			
	and belief, it is true, correct, and c					has any knowledge	May the IRS of eturn with the		
Here		6		15/13/14	DIRECT	IOD S	hown below		¬'
	Signature of officer or truste	<u> </u>		Date	Title	L	X Yes		J No ∣
		<u> </u>	Dranararia a	Date		Check If PTIN			
	Print/Type preparer's	iame	Preparer's s	signature	Date		V		
Detal			/ 1	5	5/9/14	self- employed	0404-	400	
Paid	FRED M. B			<i>Y</i>	/		01045		
Prepa	1 0 2 220	RRISON & 1	MORRISC	M, LTD.		Firm's EIN ► 36 – 3	31431	86	
Use O			/	/	100				
	Firm's address ▶ 1			· ·	100				
	C:	HICAGO, I	և 60603	3		Phone no. 312-3	346 <u>−</u> 2	141	

THE HOWARD AND JACKIE SHAPIRO FOUNDATION
(FORMERLY HOWARD SHAPIRO FOUNDATION) 13-4331684

Part XV Supplementary Information

Part XV Supplementary Information				
3 Grants and Contributions Paid During the Y				
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		
JOHN ALEXANDER PROJECT	NONE	PUBLIC	CHARITABLE PURPOSES	
4431 GREENWICH PARKWAY				
WASHINGTON, DC 20007				25,000
JEWISH UNITED FUND	NONE	PUBLIC	CHARITABLE PURPOSES	
30 S. WELLS STREET				
CHICAGO, IL 60606				790
MOTHER JONES	NONE	PUBLIC	CHARITABLE PURPOSES	
222 SUTTER STREET SUITE 600			İ	
SAN FRANCISCO, CA 94108				10,000
NORTHWESTERN UNIV BLUHM LEGAL	NONE	PUBLIC	CHARITABLE PURPOSES	
CLINIC, CENTER ON WRONGFUL				
CONVICTIONS				
375 EAST CHICAGO AVENUE				
CHICAGO, IL 60611				10,000
VODENTARIOMEDIA INITIA PETANDEDO GOVOOL	NONE	PUBLIC	CHARITABLE PURPOSES	
NORTHWESTERN UNIV FEINBERG SCHOOL OF MEDICINE	NONE	FOBLIC	CHARTTABLE FORFOSES	
420 EAST SUPERIOR STREET				
CHICAGO IL 60611				25,000
0.110.100, 12 00011				
PLANNED PARENTHOOD FEDERATION OF	NONE	PUBLIC	CHARITABLE PURPOSES	
AMERICA				
434 WEST 33RD STREET				
NEW YORK, NY 10001				75,000
SMALL BUSINESS MAJORITY FOUNDATION	NONE	PUBLIC	CHARITABLE PURPOSES	
1101 14TH STREET NW, UISTE 1001				
WASHINGTON DC 20005				25,000
THE CENTER FOR AMERICAN PROGRESS	NONE	PUBLIC	CHARITABLE PURPOSES	
1333 H ST NW STE 1				
WASHINGTON, DC 20005				105,000
THE FAMILY INSTITUTE AT NORTHWESTERN	NONE	PUBLIC	CHARITABLE PURPOSES	
UNIVERSITY				
8 SOUTH MICHIGAN AVENUE, SUITE 500 CHICAGO IL 60611				100,000
CHICAGO III UUUII	<u> </u>			100,000
T. 14				
Total from continuation sheets				375,790

FORM 990-PF INTERE	ST ON SAVII	NGS AND TE	MPORARY	CASH I	INVESTMENTS	STATEMENT	1
SOURCE		REV	(A) REVENUE N PER BOOKS		(B) INVESTMENT INCOME	(C) ADJUSTED NET INCOME	
HARRIS BANK NORTHERN TRUST			6,088.		6,088. 2,015.		
TOTAL TO PART I, LINE 3			8,103.		8,103.		
FORM 990-PF	DIVIDEND	S AND INTE	EREST FF	ROM SECU	JRITIES	STATEMENT	2
SOURCE	GROSS AMOUNT	CAPITA GAINS DIVIDEN	S F	(A) REVENUE ER BOOKS	(B) NET INVES MENT INCC		
GLUSKIN SHEFF	177,359	. 135,3	332.	42,027	7. 42,02	27.	
TO PART I, LINE 4	177,359	. 135,3	332.	42,027	7. 42,02	17.	
FORM 990-PF		OTHER	INCOME			STATEMENT	3
DESCRIPTION			(A) REVEN PER BO	IUE	(B) NET INVEST- MENT INCOME		
FIDELITY INVESTMENT CONTRIBUTION RETURN		_	1	3,085. 15,000.	3,085	5.).	
TOTAL TO FORM 990-P	F, PART I,	LINE 11	1	18,085.	3,085	; . = ==================================	
FORM 990-PF		LEGA	AL FEES			STATEMENT	4
DESCRIPTION		(A) EXPENSES PER BOOKS	NET :	(B) INVEST- INCOME			
PROFESSIONAL FEES	_	6,014	1.	0 .	•		0.
TO FM 990-PF, PG 1,	LN 16A	6,01	1.	0	•		0.
					=		

FORM 990-PF	TAX	ES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVE: MENT INC		(C) ADJUSTED NET INCOM		
FEDERAL WITHHOLDING FEDERAL TAXES ILLINOIS FEE	617. 528. 15.		0. 0. 0.			0. 0. 0.
TO FORM 990-PF, PG 1, LN 18	1,160.		0.			0.
DESCRIPTION UNREALIZED LOSS/GAIN ON INVES	STMENTS				AMOUNT	
UNREALIZED LOSS/GAIN ON INVESTOTAL TO FORM 990-PF, PART II					119,73	
FORM 990-PF	OTHER INV	ESTMENTS			STATEMENT	7
FORM 990-PF DESCRIPTION	VA	ESTMENTS LUATION ETHOD	вос	OK VALUE	STATEMENT FAIR MARKET	
	VA. M	LUATION		OK VALUE 1,509,151.	FAIR MARKE	r