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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	16,274,669	18,758,162	18,758,162
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶			
Liabilities	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	16,274,669	18,758,162	18,758,162
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)			
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	16,274,669	18,758,162	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	16,274,669	18,758,162	
	31 Total liabilities and net assets/fund balances (see instructions)	16,274,669	18,758,162	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,274,669
2 Enter amount from Part I, line 27a	2	2,483,493
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	18,758,162

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	4,708,928	9,561,187	0.4925
2011	4,597,321	8,681,990	0.5295
2010	4,540,908	8,733,370	0.5199
2009	4,162,500	7,465,879	0.5575
2008	4,741,230	17,793,508	0.2665

2 Total of line 1, column (d)	2	2.3659
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.4732
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	13,913,242
5 Multiply line 4 by line 3	5	6,583,746
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	271
7 Add lines 5 and 6	7	6,584,017
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	5,543,612

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1		542
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2		
3	Add lines 1 and 2	3		542
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		542
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	7,080	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	7,080	
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,538	
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax 6,538 Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers. ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		✓
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► NEW YORK		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>HTTP://PHILANTHROPY.MOODY'S.COM</u>	13	✓	
14	The books are in care of ► <u>MOODY'S INVESTORS SERVICE INC</u> Telephone no. ► <u>212-553-0300</u> Located at ► <u>7 WTC at 250 GREENWICH STREET, NEW YORK NY</u> ZIP+4 ► <u>10007</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. and enter the amount of tax-exempt interest received or accrued during the year	15		☐
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No
				✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	✓
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		☐ Yes	☒ No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		☐ Yes	☒ No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		☐ Yes	☒ No	
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)		☐ Yes	☒ No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		☐ Yes	☒ No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here		☐		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		☐ Yes	☐ No	
If "Yes," attach the statement required by Regulations section 53.4945–5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		☐ Yes	☒ No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		☐ Yes	☒ No	
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 4				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
LBG ASSOCIATES 245 LONG CLOSE ROAD, STAMFORD CT 06902	CONSULTING	166,172
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 Society for Industrial and Applied Mathematics	913,450
2 National Academy Foundation	300,000
3 Council for Economic Education	270,000
4 Grameen Foundation USA	200,000

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2 N/A	
All other program-related investments See instructions	
3 N/A	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	14,125,119
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	14,125,119
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	14,125,119
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	211,877
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	13,913,242
6	Minimum investment return. Enter 5% of line 5	6	695,662

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	695,662
2a	Tax on investment income for 2013 from Part VI, line 5	2a	542
b	Income tax for 2013. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	542
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	695,120
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	695,120
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	695,120

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	5,543,612
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,543,612
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	271
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	5,543,341

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				695,120
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			0	
b Total for prior years. 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	4,744,560			
b From 2009	4,163,102			
c From 2010	4,541,484			
d From 2011	4,597,321			
e From 2012	4,231,279			
f Total of lines 3a through e	22,277,746			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>5,543,612</u>				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)	5,543,612			
d Applied to 2013 distributable amount				695,391
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	27,821,358			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	4,744,560			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	23,076,798			
10 Analysis of line 9:				
a Excess from 2009	4,163,102			
b Excess from 2010	4,541,484			
c Excess from 2011	4,597,321			
d Excess from 2012	4,231,279			
e Excess from 2013	5,543,612			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter.					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

STATEMENT 6

- b** The form in which applications should be submitted and information and materials they should include

STATEMENT 6

- c** Any submission deadlines.

STATEMENT 6

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

The Moody's Foundation is committed to supporting a variety of initiatives in communities where its employees work

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year STATEMENT 7				
Total			▶ 3a	4,933,745
b Approved for future payment STATEMENT 8				
Total			▶ 3b	693,669

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue.						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	27,105		
4 Dividends and interest from securities						
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory						
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue: a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)				27,105		
13 Total. Add line 12, columns (b), (d), and (e)				27,105		

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|----------|---|--------------|------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | ✓ |
| | (2) Other assets | 1a(2) | | ✓ |
| b | Other transactions. | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | ✓ |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | ✓ |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | ✓ |
| | (4) Reimbursement arrangements | 1b(4) | | ✓ |
| | (5) Loans or loan guarantees | 1b(5) | | ✓ |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | ✓ |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | ✓ |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: Frank G. Gorenz Date: 10/3/14 Title: President

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☒ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no	

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2013

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

THE MOODY'S FOUNDATION

Employer identification number

13-4200757

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE MOODY'S FOUNDATION	Employer identification number 13-4200757
---	---

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MOODY'S CORPORATION 7 WTC at 250 GREENWICH STREET NEW YORK, NY 10007	\$ 8,000,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

Employer identification number

THE MOODY'S FOUNDATION

13-4200757

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----

Name of organization THE MOODY'S FOUNDATION	Employer identification number 13-4200757
---	---

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	-----	-----	-----
	-----	-----	-----
	-----	-----	-----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	-----		-----
	-----		-----
	-----		-----
-----	-----	-----	-----
	-----	-----	-----
	-----	-----	-----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	-----		-----
	-----		-----
	-----		-----
-----	-----	-----	-----
	-----	-----	-----
	-----	-----	-----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	-----		-----
	-----		-----
	-----		-----
-----	-----	-----	-----
	-----	-----	-----
	-----	-----	-----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	-----		-----
	-----		-----
	-----		-----

THE MOODY'S FOUNDATION
EIN: 13-4200757
FOR YEAR ENDED: DECEMBER 31, 2013
Form 990-PF, Part I, Line 1

SUPPORTING DETAILS FOR PAGE 1, LINE 1 - CONTRIBUTIONS, GIFTS, GRANTS, ETC., RECEIVED	
DESCRIPTIONS:	COLUMN A REVENUE & EXPENSE PER BOOK
CONTRIBUTION FROM MOODY'S CORPORATION	\$ 8,000,000
TOTAL	\$ 8,000,000

THE MOODY'S FOUNDATION
 EIN: 13-4200757
 FOR YEAR ENDED: DECEMBER 31, 2013
 Form 990-PF, Part I, Line 16c

SUPPORTING DETAILS FOR PAGE 1, LINE 16C - OTHER PROFESSIONAL FEES				
	COLUMN A	COLUMN B	COLUMN C	COLUMN D
DESCRIPTIONS:	REVENUE & EXPENSE PER BOOK	NET INVESTMENT INCOME	ADJUSTMENT NET INCOME	DISBURSEMENTS FOR CHARITABLE PURPOSES
CONSULTING FEES	\$ 212,942	\$ -	-	\$ 212,942
OTHER PROFESSIONAL SERVICES	\$ 339,706	\$ -	-	\$ 339,706
TOTAL	\$ 552,648	\$ -	\$ -	\$ 552,648

THE MOODY'S FOUNDATION
 EIN: 13-4200757
 FOR YEAR ENDED: DECEMBER 31, 2013
 Form 990-PF, Part I, Line 23

SUPPORTING DETAILS FOR PAGE 1, LINE 23, - OTHER EXPENSES				
	COLUMN A	COLUMN B	COLUMN C	COLUMN D
DESCRIPTIONS:	REVENUE & EXPENSE PER BOOK	NET INVESTMENT INCOME	ADJUSTMENT NET INCOME	DISBURSEMENTS FOR CHARITABLE PURPOSES
PROMOTIONAL EXPENSES	\$ 10,594			\$ 10,594
PROCESSING FEE/ADMINISTRATIVE	\$ 46,624	\$ -	\$ -	\$ 46,624
TOTAL	\$ 57,218	\$ -	\$ -	\$ 57,218

THE MOODY'S FOUNDATION**EIN: 13-4200757****FOR YEAR ENDED: DECEMBER 31, 2013****Form 990-PF, Part VIII, Line 1**

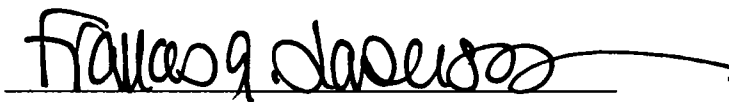
<u>Name and Address</u>	<u>Title</u>
Linda Huber 7 World Trade Center At 250 Greenwich Street New York, New York 10007	Director
John Goggins 7 World Trade Center At 250 Greenwich Street New York, New York 10007	Director
Lisa Westlake 7 World Trade Center At 250 Greenwich Street New York, New York 10007	Director
Mark Almeida 7 World Trade Center At 250 Greenwich Street New York, New York 10007	Director
Michel Madelain 7 World Trade Center At 250 Greenwich Street New York, New York 10007	Director
Frances Laserson 7 World Trade Center At 250 Greenwich Street New York, New York 10007	Director/President
Jeffrey Hare 7 World Trade Center At 250 Greenwich Street New York, New York 10007	Treasurer
Jane Clark 7 World Trade Center At 250 Greenwich Street New York, New York 10007	Secretary
Elizabeth McCarroll 7 World Trade Center At 250 Greenwich Street New York, New York 10007	VP/Assistant Secretary
Thomas Fezza 7 World Trade Center At 250 Greenwich Street New York, New York 10007	Assistant Treasurer
Robert Fauber 7 World Trade Center At 250 Greenwich Street New York, New York 10007	Director

THE MOODY'S FOUNDATION
EIN: 13-4200757
FOR YEAR ENDED: DECEMBER 31, 2013
Form 990-PF, Part XIII, Line 4c

ELECTION UNDER IRC 53-4942(a)-3(d)(2)

THE MOODY'S FOUNDATION HEREBY ELECTS TO
TREAT THE CURRENT YEAR'S QUALIFYING DISTRIBUTIONS IN EXCESS OF THE
IMMEDIATELY PRECEDING YEAR'S UNDISTRIBUTED INCOME AS MADE OUT
OF CORPUS

SIGNED

A handwritten signature in black ink, appearing to read "Frances G. Dawson", written over a horizontal line.

FOUNDATION MANAGER

Application Procedures

The application process begins with an initial Letter of Interest, and if invited, is followed by a full proposal

Letters of Interest

The initial application consists of a one-page Letter of Interest. This letter should include

- 1) A description of the organizations and an indication of competence in the area of this proposal
- 2) An outline of the project, including
 - a Who it will benefit
 - b Design of the project
 - c Outcomes expected
- 3) A brief (2 or 3 lines) overview of your budget, income sources and expenses for this project

Note: Letters should employ a readable font size (no less than 10 pt) and one inch margins

Letters of Interest may be submitted at any time and are reviewed on an ongoing basis. They may take up to eight (8) weeks to be reviewed.

Full Proposals

If an application is chosen for further consideration, the organization will be invited to submit a full proposal. There are no deadlines for full proposals. Invited applicants have up to one year to submit a full proposal and need to allow at least twelve (12) weeks for a funding decision from us once a proposal has been submitted.

Unsolicited full grant proposals will not be reviewed.

- 1) Proposals will be considered only if they meet the guidelines outlined and provide the following supporting documents:
 - name, address, email address and telephone number of the organization
 - contact person and title
 - background information including history and purpose of the charity
 - copy of the IRS exemption letter verifying 501(c)(3) tax-exempt status
 - latest audited financial report or a financial statement
 - current operating budget and sources of income
 - list of names and professional affiliations of directors and trustees (where available)
 - list of key contributors
- 2) Organizations wishing to be considered for a financial grant must submit a written proposal. Proposals should include the following information regarding the particular programme for which funding is being sought:
 - purpose and objectives of the program
 - needs being addressed
 - plan of action and time frame
 - profiles or resumes of key staff
 - total project budget, current or projected
 - list of projected sources
 - the amount requested
 - how the project's success will be determined/measured
 - recognition of the Foundation's support
- 3) Once a grant has been awarded, grantees must also submit a grant agreement detailing the terms and conditions of the grant award. You may access the agreement and print out the appropriate form below.

Proposals and requests for information should be directed to:

Manager of Philanthropy Programs
The Moody's Foundation
7 World Trade Center at 250 Greenwich Street
New York, NY 10007
(212) 553-3667
philanthropy@moodys.com

The Moody's Foundation EIN 13-4200757 FOR YEAR ENDED DECEMBER 31, 2013 Form 990-PF, Part XV, Line 3a				
Recipient	Address	Foundation status of recipient	Purpose of Grant/Contribution	Amount
Various 501c donations via JK Group	P O Box 7174, Princeton NJ 08543-7174	Exempt Organization	Employee Driven	50,351
GlobalGiving Foundation		Exempt Organization	Employee Driven	6,550
Various 501c donations via JK Group	P O Box 7174, Princeton, NJ 08543-7174	Exempt Organization	Employee Driven	22,500
Various 501c donations via JK Group	P O Box 7174, Princeton, NJ 08543-7174	Exempt Organization	Employee Driven	125,801
Various 501c donations via JK Group	P O Box 7174, Princeton, NJ 08543-7174	Exempt Organization	Employee Driven	183,002
GlobalGiving Foundation	1023 15th Street, NW 12th Floor, Washington DC 20005	Exempt Organization	Employee Driven	5,725
Paper Mill Playhouse	22 Brookside Drive, Millburn NJ 07041	Exempt Organization	Arts & Culture	5,000
Creating an Environment of Success, Inc	3518 West Hamilton Avenue Nashville TN 37218	Exempt Organization	Education	50,000
Working in Support of Education (WISE)	227 East 56th St., Suite 210, New York, NY 10022	Exempt Organization	Education	36,250
Kiva Microfunds	875 Howard Street Suite #340 San Francisco CA 94103 USA	Exempt Organization	Economic Development	188,000
Coro New York Leadership Center	42 Broadway, Suite 1827-35 New York, NY 10004	Exempt Organization	Economic Development	40,000
New York Cares	65 Broadway, 19th Floor, New York NY 10006	Exempt Organization	Cmc	35,000
Lower Manhattan Cultural Council	125 Maiden Lane 2nd Floor, New York NY 10038	Exempt Organization	Arts & Culture	20,000
Autism 360	135 Bluxome St 2nd Floor San Francisco CA 94107	Exempt Organization	Health and Human Sciences	100,000
Hands On Bay Area	135 Bluxome St 2nd Floor San Francisco, CA 94107	Exempt Organization	Cmc	19,000
DonorsChoose.org	347 W 38th Street, Suite 503, New York, NY 10018	Exempt Organization	Employee Driven	7,050
Gladesmore Community School (UK)	Crowland Road, Tottenham, London N15 6EB, UK	Exempt Organization	Education	38,345
Brunel University (UK)	Kingston Lane, Uxbridge Middlesex UB8 3PH, United Kingdom	Exempt Organization	Education	86,917
Center for Talent Innovation	1841 Broadway Suite 300, New York, NY 10023	Exempt Organization	Economic Development	25,000
The Battery Conservancy	1 New York Plaza New York, NY 10004	Exempt Organization	Cmc	50,000
Various 501c donations via JK Group	P O Box 7174 Princeton, NJ 08543-7174	Exempt Organization	Employee Driven	75,634
Working in Support of Education (WISE)	227 East 56th St. Suite 210, New York, NY 10022	Exempt Organization	Education	90,725
National September 11 Memorial & Museum		Exempt Organization	Cmc	100,000
Various 501c donations via JK Group	P O Box 7174, Princeton, NJ 08543-7174	Exempt Organization	Employee Driven	129,307
Grameen Foundation USA	1101 15th St NW 3rd Floor Washington DC 20005	Exempt Organization	Economic Development	200,000
Volunteer Consulting Group (VCG)	55 West 39th Street 12th Floor New York NY 10018	Exempt Organization	Cmc	7,500
SRGM Operating	1071 Fifth Avenue, New York NY 10128	Exempt Organization	Arts & Culture	25,000
Bank Street College of Education	610 West 112th Street New York NY 10025	Exempt Organization	Education	73,453
Prep for Prep	328 West 71st Street New York, NY 10023	Exempt Organization	Education	68,000
National Academy Foundation	218 West 40th Street 5th Floor New York NY 10018	Exempt Organization	Education	300,000
Catalyst Inc	120 Wall Street 15th Floor New York NY 10005	Exempt Organization	Economic Development	12,500
Management Leadership for Tomorrow	15 Maiden Lane Suite 900 New York NY 10038	Exempt Organization	Economic Development	35,000
The Cooke Center for Learning and Development	475 Riverside Drive, Suite 730 New York NY 10115	Exempt Organization	Economic Development	150,000
P S 234 Independence School	292 Greenwich Street New York, NY 10007	Exempt Organization	Education	1,000
Society for Industrial and Applied Mathematics	3600 Market St., 6th Floor Philadelphia, PA 19104	Exempt Organization	Education	913,450
The Metropolitan Museum of Art	1000 5th Avenue New York, NY 10028	Exempt Organization	Arts & Culture	35,000
The Museum of Modern Art	11 West 53rd Street, New York, NY 10019	Exempt Organization	Arts & Culture	31,250
Museum of Mathematics	134 West 29th Street Suite 709/710 New York NY 10001	Exempt Organization	Arts & Culture	25,000
American Museum of Natural History	Central Park West at 79th Street New York NY 10024	Exempt Organization	Arts & Culture	25,000
New York Botanical Garden Deposit Account	2900 Southern Boulevard, Bronx NY 10458	Exempt Organization	Arts & Culture	20,000
Lower Manhattan Cultural Council	125 Maiden Lane 2nd Floor, New York, NY 10038	Exempt Organization	Arts & Culture	20,000
Wildlife Conservation Society	2300 Southern Boulevard, Bronx, New York 10460	Exempt Organization	Arts & Culture	15,000
Exploratorium	3601 Lyon Street, San Francisco CA 94123	Exempt Organization	Arts & Culture	15,000
Whitney Museum of American Art	945 Madison Avenue New York NY 10021	Exempt Organization	Arts & Culture	15,000
The Franklin Institute	222 N 20th Street Philadelphia, PA 19103	Exempt Organization	Arts & Culture	15,000
Brooklyn Botanic Garden	1000 Washington Ave Brooklyn, New York 11225	Exempt Organization	Arts & Culture	13,500
The Fick Collection	1 East 70th Street New York, NY 10021	Exempt Organization	Arts & Culture	13,333
Brooklyn Museum of Art	200 Eastern Parkway Brooklyn NY 11238	Exempt Organization	Arts & Culture	13,000
Liberty Science Center	251 Philip Street Jersey City NJ 07305	Exempt Organization	Arts & Culture	10,000
New York Hall of Science	47-01 111th Street Queens NY 11368	Exempt Organization	Arts & Culture	10,000
The Jewish Museum	1109 5th Avenue New York NY 10128	Exempt Organization	Arts & Culture	7,500
Manhattan Theatre Club	311 West 43rd Street, 8th floor, New York New York 10038	Exempt Organization	Arts & Culture	7,500
Brooklyn Children's Museum	145 Brooklyn Avenue Brooklyn, NY 11213	Exempt Organization	Arts & Culture	7,500
Brooklyn Academy of Music	30 Lafayette Avenue, Brooklyn NY 11217	Exempt Organization	Arts & Culture	7,500
Joyce Theater Foundation Inc	175 Eighth Avenue, New York, NY 10012	Exempt Organization	Arts & Culture	7,000
Children Museum of Manhattan	212 West 83rd Street, New York, NY 10024	Exempt Organization	Arts & Culture	6,668
Statens Island Children's Museum	1000 Richmond Terrace Staten Island, NY 10301	Exempt Organization	Arts & Culture	4,500
Big Apple Circus	1 Metrotech Cir, Brooklyn, NY 11201	Exempt Organization	Arts & Culture	3,750
Paper Mill Playhouse	22 Brookside Drive, Millburn NJ 07041	Exempt Organization	Arts & Culture	5,000
Battery Dance Company	380 Broadway, 5th Floor, New York, NY 10013	Exempt Organization	Arts & Culture	10,000
National Merit Scholarship Corporation	1560 Sherman Avenue Suite 200 Evanston, Illinois 60201-4897	Exempt Organization	Cmc	17,440
Charles A. Melton Arts and Education Center	501 E Miner St, West Chester, PA 19382	Exempt Organization	Education	10,000
The National Gallery	Trafalgar Square London WC2N 5DN United Kingdom	Exempt Organization	Arts & Culture	27,855
Various 501c donations via JK Group	P O Box 7174 Princeton NJ 08543-7174	Exempt Organization	Employee Driven	34,393
Various 501c donations via JK Group	P O Box 7174 Princeton, NJ 08543-7174	Exempt Organization	Employee Driven	61,514
Helnick-Martin Institute	2 Astor Place, New York, NY 10003	Exempt Organization	Education	125,000
Various 501c donations via JK Group	P O Box 7174, Princeton, NJ 08543-7174	Exempt Organization	Employee Driven	74,189
Center for Talent Innovation	1841 Broadway Suite 300 New York, NY 10023	Exempt Organization	Economic Development	150,000
Various 501c donations via JK Group	P O Box 7174, Princeton, NJ 08543-7174	Exempt Organization	Employee Driven	38,780
Various 501c donations via JK Group	P O Box 7174, Princeton, NJ 08543-7174	Exempt Organization	Employee Driven	34,703
Various 501c donations via JK Group	P O Box 7174 Princeton, NJ 08543-7174	Exempt Organization	Employee Driven	1,094
American Red Cross	520 W 49th Street New York NY 10019	Exempt Organization	Cmc	50,000
Autism 360	135 Bluxome St 2nd Floor San Francisco CA 94107	Exempt Organization	Health and Human Sciences	50,000
The UK Career Academy Foundation	25 Canada Square, Canary Wharf, London E14 5LB	Exempt Organization	Education	82,810
East London Business Alliance	3rd Floor, City Reach 5 Greenwich View Place, London E14 9NN	Exempt Organization	Education	24,920
Council for Economic Education	122 E 42nd St Suite 2600 New York, NY 10168	Exempt Organization	Education	270,000
Friends of WWB USA Inc	8 West 40th Street, 9th Floor New York NY 10018	Exempt Organization	Economic Development	65,000
Achievement First Endeavor Middle Charter School	1485 Pacific Street Brooklyn NY 11216	Exempt Organization	Education	50,000
New York Cares	65 Broadway, 19th Floor, New York, NY 10006	Exempt Organization	Cmc	40,000
The Posse Foundation	14 Wall Street, Suite 8A-60 New York, NY 10005	Exempt Organization	Education	25,000
Working in Support of Education (WISE)	227 East 56th St., Suite 210, New York, NY 10022	Exempt Organization	Education	25,000
KIPP Bay Area		Exempt Organization	Education	20,000
Various 501c donations via JK Group	P O Box 7174, Princeton NJ 08543-7174	Exempt Organization	Employee Driven	18,989
				4,933,745

**The Moody's Foundation
Multi-Year Grants
Schedule of Payments**

Multi-Year Grants Schedule of Payments			
Category	Organization Approval Date: Month/Year Grant Amount / Duration		
		2014	2015
Economic Development	Grameen Foundation 4/2013	193,669	
Civic	\$393, 669/ 2 years: TaroWorks: Mobile-Enabled PPI+ Collection 9/11 Memorial & Museum 5/2011 \$500,000 / 5 years: General Operating	100,000	100,000
Civic	The Battery Conservancy 4/2012 \$25,000/4 years (fish) \$25,000 general operating grant	50,000	50,000
Education	National Academy Foundation 6/1/13 \$500,000/ 3 years: Performance Management System/Work Based Learning Platform	100,000	100,000
Grant Disbursement		443,669	250,000
Total approved future payment			693,669

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

► **File a separate application for each return.**► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	THE MOODY'S FOUNDATION	13-4200757
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	7 WTC at 250 GREENWICH STREET, TAX DEPARTMENT, 14 FLOOR	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10007	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► THE MOODY'S FOUNDATION

Telephone No. ► 212-553-0300

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 20 14, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☒ calendar year 20 13 or

► ☐ tax year beginning _____, 20 _____, and ending _____, 20 _____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	542
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	7,080
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☐ **Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	Number, street, and room or suite no. If a P O box, see instructions	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ ☐

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ☐ Telephone No. ☐ Fax No. ☐
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until _____, 20_____.
- For calendar year _____, or other tax year beginning _____, 20_____, and ending _____, 20_____.
- If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- State in detail why you need the extension _____

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ☐Title ☐Date ☐