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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation

THE PAMELA HOWARD FAMILY FOUNDATION INC.
C/O WTAS LLC

A Employer identification number

13-4192506

Number and street (or P.O. box number if mail is not delivered to street address)

1700 EAST PUTNAM AVENUE

Room/suite

408

B Telephone number

203-987-3660

City or town, state or province, country, and ZIP or foreign postal code

OLD GREENWICH, CT 06870

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☒ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 3,011,875. (Part I, column (d) must be on cash basis.)

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify) _____F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received	500,147.		N/A	
	2	Check ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	33,935.	33,935.		STATEMENT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	183,333.			
	b	Gross sales price for all assets on line 6a	1,419,489.			
	7	Capital gain net income (from Part IV, line 2)		183,333.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less: Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	674.	0.		STATEMENT 2
	12	Total. Add lines 1 through 11	718,089.	217,268.		
	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees STMT 3	11,100.	0.		11,100.
	c	Other professional fees STMT 4	8,508.	8,508.		0.
	17	Interest				
	18	Taxes STMT 5	464.	464.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 6	250.	0.		250.
	24	Total operating and administrative expenses. Add lines 13 through 23	20,322.	8,972.		11,350.
	25	Contributions, gifts, grants paid	101,900.			101,900.
	26	Total expenses and disbursements. Add lines 24 and 25	122,222.	8,972.		113,250.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	595,867.			
	b	Net investment income (if negative, enter -0-)		208,296.		
	c	Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			45,818.	111,044.	111,044.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock	STMT 7		1,037,902.	1,442,017.	2,491,793.
	c Investments - corporate bonds	STMT 8		304,820.	255,757.	268,805.
	11 Investments - land, buildings, and equipment basis ▶					
Less: accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other	STMT 9		297,249.	141,374.	140,233.	
14 Land, buildings, and equipment: basis ▶						
Less: accumulated depreciation ▶						
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)			1,685,789.	1,950,192.	3,011,875.	
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)			0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☒					
	24 Unrestricted			1,685,789.	1,950,192.	
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☐					
	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, bldg., and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds					
	30 Total net assets or fund balances			1,685,789.	1,950,192.	
	31 Total liabilities and net assets/fund balances			1,685,789.	1,950,192.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1,685,789.
2 Enter amount from Part I, line 27a	595,867.
3 Other increases not included in line 2 (itemize) ▶	0.
4 Add lines 1, 2, and 3	2,281,656.
5 Decreases not included in line 2 (itemize) ▶ TIMING DIFFERENCES	331,464.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	1,950,192.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 1,419,489.		1,230,415.	183,333.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			183,333.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	183,333.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	115,070.	1,995,907.	.057653
2011	108,187.	1,797,620.	.060183
2010	84,370.	1,518,887.	.055547
2009	69,026.	1,151,259.	.059957
2008	72,808.	1,281,895.	.056797

2 Total of line 1, column (d)	2	.290137
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.058027
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,403,234.
5 Multiply line 4 by line 3	5	139,452.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,083.
7 Add lines 5 and 6	7	141,535.
8 Enter qualifying distributions from Part XII, line 4	8	113,250.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	1	4,166.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	2	0.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).	3	4,166.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
3	Add lines 1 and 2	5	4,166.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	6a	1,870.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	6b	
6	Credits/Payments:	6c	7,296.
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6d	
b	Exempt foreign organizations - tax withheld at source	7	9,166.
c	Tax paid with application for extension of time to file (Form 8868)	8	
d	Backup withholding erroneously withheld	9	
7	Total credits and payments. Add lines 6a through 6d	10	5,000.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	11	0.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax 5,000. Refunded		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► PAMELA HOWARD Telephone no. ► 203-987-3660 Located at ► 214 CENTRE ISLAND ROAD, OYSTER BAY, NY ZIP+4 ► 11771			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ► b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4a	X
	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PAMELA HOWARD 214 CENTRE ISLAND ROAD OYSTER BAY, NY 11771	DIRECTOR 0.00	0.	0.	0.
JACK R. HOWARD-POTTER 235 EAST 87TH STREET, #5B NEW YORK, NY 10128	DIRECTOR 0.00	0.	0.	0.
IAN N. H. GUMPRECHT 12 RYEFIELD ROAD LOCUST VALLEY, NY 11560	DIRECTOR 0.00	0.	0.	0.
CHRISTOPHER B. GUMPRECHT 138 CONKLIN HILL ROAD STANFORDVILLE, NY 12581	DIRECTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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0.

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Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,330,974.
b	Average of monthly cash balances	1b	108,857.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,439,831.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,439,831.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	36,597.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,403,234.
6	Minimum investment return. Enter 5% of line 5	6	120,162.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	120,162.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	4,166.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,166.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	115,996.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	115,996.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	115,996.

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	113,250.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	113,250.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	113,250.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				115,996.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	1,633.			
b From 2009	11,911.			
c From 2010	9,786.			
d From 2011	23,032.			
e From 2012	16,535.			
f Total of lines 3a through e	62,897.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$	113,250.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				113,250.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	2,746.			2,746.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	60,151.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	60,151.			
10 Analysis of line 9:				
a Excess from 2009	10,798.			
b Excess from 2010	9,786.			
c Excess from 2011	23,032.			
d Excess from 2012	16,535.			
e Excess from 2013				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

[illegible]

THE PAMELA HOWARD FAMILY FOUNDATION INC.

Form 990-PF (2013)

C/O WTAS LLC

13-4192506 Page 11

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BARRINGTON STAGE COMPANY 30 UNION STREET PITTSFIELD, MA 01201	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	2,500.
CHALLENGED ATHLETES FOUNDATION 9591 WAPLES ST SAN DIEGO, CA 92121	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	3,000.
CITY HARVEST 6 EAST 32ND STREET NEW YORK, NY 10016	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	3,000.
DOCTORS WITHOUT BORDERS 333 7TH AVENUE NEW YORK, NY 10001	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	2,000.
DUTCHESS LAND CONSERVANCY P.O. BOX 138 MILLBROOK, NY 12545	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	600.
Total	SEE CONTINUATION SHEET(S)			101,900.
b Approved for future payment				
NONE				
Total				0.

Enter gross amounts unless otherwise indicated.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

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Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

THE PAMELA HOWARD FAMILY FOUNDATION INC.
C/O WTAS LLC

Employer identification number

13-4192506

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

THE PAMELA HOWARD FAMILY FOUNDATION INC.
C/O WTAS LLC

Employer identification number

13-4192506

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PAMELA HOWARD 214 CENTRE ISLAND ROAD OYSTER BAY, NY 11771	\$ 500,147.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Employer identification number

13-4192506

Part II Noncash Property

[illegible]

Name of organization

Employer identification number

THE PAMELA HOWARD FAMILY FOUNDATION INC.
C/O WTAS LLC

13-4192506

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

2013 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 6021
Recipient's Tax ID Number: XX-XXX2506

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
THE PAMELA HOWARD FOUNDATION
C/O STEADMAN H WESTERGAARD
1 ROCKEFELLER PLZ. RM. 301
NEW YORK, NY 10020

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Ref: GSB

2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales											
12082W204	ADR BURBERRY GROUP PLC SPONSORED	BURBY	14.0000	01/03/2013	05/07/2012	566.61	689.78	0.00	-123.17	0.00	0.00
307000109	FAMILY DOLLAR STORES INC	FDO	15.0000	01/03/2013	Various	837.81	1,046.96	0.00	-209.15	0.00	0.00
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG	5.0000	01/03/2013	05/07/2012	462.99	488.80	0.00	-25.81	0.00	0.00
03027X100	AMERICAN TOWER CORP	AMT	6.0000	01/04/2013	05/07/2012	461.22	403.98	0.00	57.24	0.00	0.00
189754104	COACH INC	COH	8.0000	01/04/2013	05/07/2012	443.07	578.80	0.00	-135.73	0.00	0.00
307000109	FAMILY DOLLAR STORES INC	FDO	7.0000	01/04/2013	Various	398.34	451.95	0.00	-53.61	0.00	0.00
307000109	FAMILY DOLLAR STORES INC	FDO	6.0000	01/04/2013	10/01/2012	339.63	392.58	0.00	-52.95	0.00	0.00
550021109	LULULEMON ATHLETICA INC COM	LULU	9.0000	01/04/2013	05/07/2012	643.43	699.57	0.00	-56.14	0.00	0.00
189754104	COACH INC	COH	7.0000	01/07/2013	05/07/2012	389.06	506.45	0.00	-117.39	0.00	0.00

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2013 Tax Information Statement

Page 8 of 72
 Recipient's Name and Address:
 THE PAMELA HOWARD FOUNDATION
 C/O STEADMAN H WESTERGAARD
 1 ROCKEFELLER PLZ. RM. 301
 NEW YORK, NY 10020

Account Number: 6021
 Recipient's Tax ID Number: XX-XXX2506

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
00287Y109	ABBVIE INC COM USD0.01	ABBV	35.0000	01/08/2013	Various	1,182.81	1,283.68	0.00	-100.87	0.00	0.00
03027X100	AMERICAN TOWER CORP	AMT	5.0000	01/08/2013	05/07/2012	385.81	336.65	0.00	49.16	0.00	0.00
550021109	LULULEMON ATHLETICA INC COM	LULU	3.0000	01/11/2013	05/07/2012	212.82	233.19	0.00	-20.37	0.00	0.00
88160R101	TESLA MTRS INC COM	TSLA	8.0000	01/11/2013	Various	263.01	266.16	0.00	-3.17	0.00	0.00
268648102	EMC CORP MASS	EMC	19.0000	01/14/2013	05/07/2012	459.34	527.54	0.00	-68.20	0.00	0.00
268648102	EMC CORP MASS	EMC	12.0000	01/14/2013	05/07/2012	289.84	333.18	0.00	-43.34	0.00	0.00
056752108	BAIDU COM INC SPONSORED ADR SHS	BIDU	5.0000	01/15/2013	05/07/2012	554.05	642.55	0.00	-88.50	0.00	0.00
056752108	BAIDU COM INC SPONSORED ADR SHS	BIDU	6.0000	01/15/2013	05/07/2012	668.21	771.06	0.00	-102.85	0.00	0.00
88160R101	TESLA MTRS INC COM	TSLA	12.0000	01/15/2013	05/07/2012	404.97	386.04	0.00	18.93	0.00	0.00

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2013 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 6021
Recipient's Tax ID Number: XX-XXX2506

☐ Corrected ☐ 2nd TIN notice

Page 9 of 72
Recipient's Name and Address:
THE PAMELA HOWARD FOUNDATION
C/O STEADMAN H WESTERGAARD
1 ROCKEFELLER PLZ. RM. 301
NEW YORK, NY 10020

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip		Description		Stock or Other Symbol		Wash Sale		Net Gain		Federal		State	
Quantity	Date of	Exchange	Acquisition	Stocks	Cost or	Loss	Disallowed	or Loss	Tax	Income	Tax	Withheld	Withheld
Sold				Bonds, etc.	Other Basis								
032654105	1.0000	01/16/2013	03/19/2012	ADI	39.99	0.00	0.00	2.22	0.00	0.00	0.00	0.00	0.00
071813109	1.0000	01/16/2013	08/15/2012	BAX	59.53	0.00	0.00	7.10	0.00	0.00	0.00	0.00	0.00
363576109	1.0000	01/16/2013	08/20/2012	AJG	36.00	0.00	0.00	-0.67	0.00	0.00	0.00	0.00	0.00
88160R101	11.0000	01/16/2013	05/07/2012	TSLA	353.87	0.00	0.00	21.94	0.00	0.00	0.00	0.00	0.00
88160R101	11.0000	01/16/2013	05/07/2012	TSLA	353.87	0.00	0.00	19.60	0.00	0.00	0.00	0.00	0.00
969457100	1.0000	01/16/2013	02/03/2012	WMB	29.63	0.00	0.00	3.82	0.00	0.00	0.00	0.00	0.00
189754104	12.0000	01/23/2013	05/07/2012	COH	868.20	0.00	0.00	-246.40	0.00	0.00	0.00	0.00	0.00
056752108	4.0000	01/24/2013	05/07/2012	BIDU	514.04	0.00	0.00	-81.82	0.00	0.00	0.00	0.00	0.00
056752108	6.0000	01/24/2013	05/07/2012	BIDU	771.06	0.00	0.00	-120.63	0.00	0.00	0.00	0.00	0.00

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2013 Tax Information Statement

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 Recipient's Name and Address:
 THE PAMELA HOWARD FOUNDATION
 C/O STEADMAN H WESTERGAARD
 1 ROCKEFELLER PLZ. RM. 301
 NEW YORK, NY 10020

Account Number: 6021
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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

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Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
189754104	COACH INC	COH	916.99	1,197.90	0.00	-280.91	0.00	0.00
189754104	COACH INC	COH	408.81	461.00	0.00	-52.19	0.00	0.00
189754104	COACH INC	COH	357.58	396.28	0.00	-38.70	0.00	0.00
228227104	CROWN CASTLE INTL CORP COMMON STOCK	CCI	291.11	283.33	0.00	7.78	0.00	0.00
111320107	BROADCOM CORP CL A	BRCM	513.23	527.79	0.00	-14.56	0.00	0.00
111320107	BROADCOM CORP CL A	BRCM	406.44	410.55	0.00	-4.10	0.00	0.00
228227104	CROWN CASTLE INTL CORP COMMON STOCK	CCI	140.92	141.66	0.00	-0.74	0.00	0.00
747525103	QUALCOMM INC	QCOM	1,725.32	1,614.34	0.00	110.98	0.00	0.00
794661302	SALESFORCE COM INC COM STK	CRM	509.96	457.16	0.00	52.80	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
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Account Number: 6021
Recipient's Tax ID Number: XX-XXX2506

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Recipient's Name and Address:
THE PAMELA HOWARD FOUNDATION
C/O STEADMAN H WESTERGAARD
1 ROCKEFELLER PLZ. RM. 301
NEW YORK, NY 10020

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip		Description		Stock or Other Symbol					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
928563402	VMWARE INC CL A COM CL A COM		VMW						
8.0000	02/08/2013	05/07/2012	629.95	865.68	0.00	-235.73	0.00	0.00	
550021109	LULULEMON ATHLETICA INC COM		LULU						
13.0000	02/11/2013	05/07/2012	871.66	1,010.49	0.00	-138.83	0.00	0.00	
928563402	VMWARE INC CL A COM CL A COM		VMW						
6.0000	02/11/2013	05/07/2012	462.74	649.26	0.00	-186.52	0.00	0.00	
015351109	ALEXION PHARMACEUTICALS INC		ALXN						
3.0000	02/12/2013	Various	270.39	279.69	0.00	-9.30	0.00	0.00	
015351109	ALEXION PHARMACEUTICALS INC		ALXN						
3.0000	02/13/2013	05/07/2012	274.03	260.04	0.00	13.99	0.00	0.00	
228227104	CROWN CASTLE INTL CORP COMMON STOCK		CCI						
14.0000	02/13/2013	Various	946.96	816.42	0.00	130.54	0.00	0.00	
03027X100	AMERICAN TOWER CORP		AMT						
4.0000	02/14/2013	05/07/2012	294.84	269.32	0.00	25.52	0.00	0.00	
169656105	CHIPOTLE MEXICAN GRILL INC COM STK		CMG						
3.0000	02/19/2013	05/07/2012	936.86	1,223.58	0.00	-286.72	0.00	0.00	
228227104	CROWN CASTLE INTL CORP COMMON STOCK		CCI						
15.0000	02/19/2013	05/07/2012	1,005.83	843.45	0.00	162.38	0.00	0.00	

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2013 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 6021
Recipient's Tax ID Number: XX-XX2506

☐ Corrected ☐ 2nd TIN notice

Page 12 of 72
Recipient's Name and Address:
THE PAMELA HOWARD FOUNDATION
C/O STEADMAN H WESTERGAARD
1 ROCKEFELLER PLZ. RM. 301
NEW YORK, NY 10020

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
268648102	EMC CORP MASS	EMC	22.0000	02/20/2013	05/07/2012	519.43	610.83	0.00	-91.40	0.00	0.00
30303M102	FACEBOOK INC CL A CL A	FB	17.0000	02/20/2013	05/18/2012	484.99	695.78	0.00	-210.79	0.00	0.00
268648102	EMC CORP MASS	EMC	16.0000	02/21/2013	05/07/2012	373.91	444.24	0.00	-70.33	0.00	0.00
79466L302	SALESFORCE COM INC COM STK	CRM	3.0000	02/21/2013	05/07/2012	505.75	457.16	0.00	48.59	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL	2.0000	02/25/2013	Various	901.88	1,172.74	0.00	-270.84	0.00	0.00
12082W204	ADR BURBERRY GROUP PLC SPONSORED	BURBY	5.0000	02/27/2013	05/07/2012	204.31	246.35	0.00	-42.04	0.00	0.00
12082W204	ADR BURBERRY GROUP PLC SPONSORED	BURBY	7.0000	03/01/2013	05/07/2012	294.87	344.89	0.00	-50.02	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL	2.0000	03/04/2013	05/07/2012	840.35	1,142.52	0.00	-302.17	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL	2.0000	03/04/2013	05/07/2012	846.17	1,142.52	0.00	-296.35	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: -- 16021
Recipient's Tax ID Number: XX-XXX2506

☐ Corrected

☐ 2nd TIN notice

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THE PAMELA HOWARD FOUNDATION
C/O STEADMAN H WESTERGAARD
1 ROCKEFELLER PLZ. RM. 301
NEW YORK, NY 10020

Page 13 of 72

Ref: GSB

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
0378333100	APPLE COMPUTER INC	03/06/2013	05/07/2012	1,278.03	1,713.78	0.00	-435.75	0.00	0.00
12082W204	ADR BURBERRY GROUP PLC SPONSORED	03/06/2013	05/07/2012	252.22	295.62	0.00	-43.40	0.00	0.00
740189105	PRECISION CASTPARTS CORP	03/07/2013	05/07/2012	757.98	691.40	0.00	66.58	0.00	0.00
740189105	PRECISION CASTPARTS CORP	03/08/2013	05/07/2012	381.37	345.70	0.00	35.67	0.00	0.00
12082W204	ADR BURBERRY GROUP PLC SPONSORED	03/11/2013	05/07/2012	254.94	295.62	0.00	-40.68	0.00	0.00
30219G108	EXPRESS SCRIPTS HLDG CO COM	03/11/2013	Various	769.82	716.47	0.00	53.35	0.00	0.00
98742U100	ADR YOKU TUDOU INC	03/11/2013	05/07/2012	247.60	331.10	0.00	-83.50	0.00	0.00
12082W204	ADR BURBERRY GROUP PLC SPONSORED	03/12/2013	05/07/2012	254.78	295.62	0.00	-40.84	0.00	0.00
617446448	MORGAN STANLEY DEAN WITTER & CO NEW	03/12/2013	12/18/2012	475.64	400.87	0.00	74.77	0.00	0.00

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2013 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 16021
Recipient's Tax ID Number: XX-XXX2506

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Page 14 of 72
Recipient's Name and Address:
THE PAMELA HOWARD FOUNDATION
C/O STEADMAN H WESTERGAARD
1 ROCKEFELLER PLZ. RM. 301
NEW YORK, NY 10020

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip		Description	Stock or Other Symbol		Wash Sale		Net Gain		Federal		State	
Quantity	Date of	Exchange	Stocks	Cost or	Loss	Disallowed	or Loss	Tax	Income	Tax	Withheld	Withheld
Sold	Acquisition		Bonds, etc.	Other Basis								
617446448	MORGAN STANLEY DEAN WITTER & CO NEW	MS	521.64	457.87	0.00	0.00	63.77	0.00	0.00	0.00	0.00	0.00
23.0000	03/12/2013	Various										
756577102	RED HAT INC	RHT	306.04	352.56	0.00	0.00	-46.52	0.00	0.00	0.00	0.00	0.00
6.0000	03/12/2013	05/07/2012										
056752108	BAIDU COM INC SPONSORED ADR SHS	BIDU	425.68	642.55	0.00	0.00	-216.87	0.00	0.00	0.00	0.00	0.00
5.0000	03/15/2013	05/07/2012										
423074103	H J HEINZ CO	HNZ	1,448.98	1,128.22	0.00	0.00	320.76	0.00	0.00	0.00	0.00	0.00
20.0000	03/15/2013	10/02/2012										
056752108	BAIDU COM INC SPONSORED ADR SHS	BIDU	341.08	514.04	0.00	0.00	-172.96	0.00	0.00	0.00	0.00	0.00
4.0000	03/18/2013	05/07/2012										
032511107	ANADARKO PETROLEUM CORP	APC	665.70	552.24	0.00	0.00	113.46	0.00	0.00	0.00	0.00	0.00
8.0000	03/19/2013	05/07/2012										
056752108	BAIDU COM INC SPONSORED ADR SHS	BIDU	588.30	899.57	0.00	0.00	-311.27	0.00	0.00	0.00	0.00	0.00
7.0000	03/19/2013	05/07/2012										
53578A108	LINKEDIN CORP CL A	LNKD	517.65	337.38	0.00	0.00	180.27	0.00	0.00	0.00	0.00	0.00
3.0000	03/19/2013	05/07/2012										
056752108	BAIDU COM INC SPONSORED ADR SHS	BIDU	685.17	1,028.08	0.00	0.00	-342.91	0.00	0.00	0.00	0.00	0.00
8.0000	03/20/2013	05/07/2012										

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 Recipient's Name and Address:
 THE PAMELA HOWARD FOUNDATION
 C/O STEADMAN H WESTERGAARD
 1 ROCKEFELLER PLZ. RM. 301
 NEW YORK, NY 10020

Account Number: 6021
 Recipient's Tax ID Number: XX-XXX2506

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31428X106	FEDEX CORP	FDX	5.0000	03/21/2013	Various	479.23	532.92	0.00	-53.69	0.00	0.00
31428X106	FEDEX CORP	FDX	7.0000	03/21/2013	Various	676.10	740.53	0.00	-64.43	0.00	0.00
53578A108	LINKEDIN CORP CL A	LNKD	3.0000	03/21/2013	05/07/2012	522.80	337.38	0.00	185.42	0.00	0.00
459200101	INTL BUSINESS MACHINES CORP	IBM	3.0000	03/27/2013	05/07/2012	634.36	612.84	0.00	21.52	0.00	0.00
740189105	PRECISION CASTPARTS CORP	PCP	1.0000	03/27/2013	05/07/2012	190.00	172.85	0.00	17.15	0.00	0.00
30219G108	EXPRESS SCRIPTS HLDG CO COM	ESRX	9.0000	04/01/2013	05/07/2012	519.74	493.83	0.00	25.91	0.00	0.00
30219G108	EXPRESS SCRIPTS HLDG CO COM	ESRX	8.0000	04/01/2013	05/07/2012	459.92	438.96	0.00	20.96	0.00	0.00
617446448	MORGAN STANLEY DEAN WITTER & CO NEW	MS	11.0000	04/02/2013	12/18/2012	238.42	209.98	0.00	28.44	0.00	0.00
617446448	MORGAN STANLEY DEAN WITTER & CO NEW	MS	15.0000	04/02/2013	12/18/2012	325.92	286.34	0.00	39.58	0.00	0.00

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268648102	EMC CORP MASS	EMC	18.0000	04/09/2013	05/07/2012	413.45	499.77	0.00	-86.32	0.00	0.00
988498101	YUM BRANDS INC	YUM	9.0000	04/09/2013	05/07/2012	602.10	653.13	0.00	-51.03	0.00	0.00
988498101	YUM BRANDS INC	YUM	7.0000	04/10/2013	05/07/2012	468.90	507.99	0.00	-39.09	0.00	0.00
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG	3.0000	04/17/2013	05/07/2012	265.11	293.28	0.00	-28.17	0.00	0.00
988498101	YUM BRANDS INC	YUM	4.0000	04/18/2013	05/07/2012	262.05	290.28	0.00	-28.23	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL	3.0000	04/19/2013	05/07/2012	1,193.39	1,713.78	0.00	-520.39	0.00	0.00
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	SLB	11.0000	04/19/2013	Various	766.51	873.16	0.00	-106.65	0.00	0.00
988498101	YUM BRANDS INC	YUM	8.0000	04/19/2013	Various	520.48	574.87	0.00	-54.39	0.00	0.00
988498101	YUM BRANDS INC	YUM	7.0000	04/19/2013	05/07/2012	455.61	507.99	0.00	-52.38	0.00	0.00

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C/O STEADMAN H WESTERGAARD
1 ROCKEFELLER PLZ. RM. 301
NEW YORK, NY 10020

Ref: GSB

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Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG	454.61	488.80	0.00	-34.19	0.00	0.00
988498101	YUM BRANDS INC	YUM						
20.0000	04/22/2013 Various		1,299.95	1,322.62	0.00	-22.67	0.00	0.00
268648102	EMC CORP MASS	EMC						
31.0000	04/24/2013 05/07/2012		696.71	860.71	0.00	-164.00	0.00	0.00
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG						
3.0000	04/24/2013 05/07/2012		276.18	293.28	0.00	-17.10	0.00	0.00
88076W103	TERADATA CORP DEL COM STK	TDC						
23.0000	04/25/2013 Various		1,161.70	1,646.81	0.00	-485.11	0.00	0.00
461202103	INTUIT	INTU						
12.0000	04/29/2013 Various		721.18	733.00	0.00	-11.82	0.00	0.00
79466L302	SALESFORCE COM INC COM STK	CRM						
10.0000	04/30/2013 05/07/2012		410.43	380.96	0.00	29.47	0.00	0.00
459200101	INTL BUSINESS MACHINES CORP	IBM						
7.0000	05/01/2013 05/07/2012		1,402.01	1,429.96	0.00	-27.95	0.00	0.00
018490102	ALLERGAN INC	AGN						
11.0000	05/02/2013 Various		1,126.76	1,115.83	0.00	10.93	0.00	0.00

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82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG	3.0000	05/02/2013	05/07/2012	263.05	293.28	0.00	-30.23	0.00	0.00
20605P101	CONCHO RES INC COM STK	CXO	11.0000	05/07/2013	05/07/2012	921.00	1,029.49	0.00	-108.49	0.00	0.00
20605P101	CONCHO RES INC COM STK	CXO	10.0000	05/07/2013	05/07/2012	834.60	935.90	0.00	-101.30	0.00	0.00
375558103	GILEAD SCIENCES INC	GILD	8.0000	05/07/2013	02/12/2013	427.11	327.19	0.00	99.92	0.00	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW	KSU	3.0000	05/07/2013	01/04/2013	328.93	267.46	0.00	61.47	0.00	0.00
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG	4.0000	05/07/2013	05/07/2012	345.54	391.04	0.00	-45.50	0.00	0.00
09062X103	BIOMED INC COM STK TO BIOGEN IDEC SEC#2005597 EFF 11/13/03	BIIB	2.0000	05/08/2013	02/11/2013	424.06	328.28	0.00	95.78	0.00	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW	KSU	3.0000	05/08/2013	01/04/2013	329.61	267.47	0.00	62.14	0.00	0.00
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	SLB	9.0000	06/03/2013	Various	657.18	678.86	0.00	-21.68	0.00	0.00

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 NEW YORK, NY 10020

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806857108	SCHLUMBERGER LTD ISIN #AN8068571086	SLB	15.0000	06/04/2013	12/13/2012	1,092.60	1,086.30	0.00	6.30	0.00	0.00
111320107	BROADCOM CORP CL A	BRCM	13.0000	06/12/2013	05/28/2013	432.39	471.01	0.00	-38.62	0.00	0.00
111320107	BROADCOM CORP CL A	BRCM	15.0000	06/13/2013	Various	533.32	568.95	0.00	-35.63	0.00	0.00
461202103	INTUIT	INTU	2.0000	06/13/2013	09/10/2012	115.75	119.52	0.00	-3.77	0.00	0.00
461202103	INTUIT	INTU	9.0000	06/14/2013	Various	516.89	536.86	0.00	-19.97	0.00	0.00
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	SLB	13.0000	06/18/2013	Various	967.80	933.29	0.00	34.51	0.00	0.00
13645T100	CANADIAN PACIFIC RAILWAY LTD COM	CP	4.0000	06/26/2013	Various	483.56	484.14	0.00	19.42	0.00	0.00
G1151C101	ACCENTURE PLC SHS CL A NEW	ACN	40.0000	07/02/2013	Various	2,875.80	3,003.38	0.00	-127.58	0.00	0.00
17275R102	CISCO SYS INC	CSCO	2.0000	07/08/2013	03/14/2013	48.92	43.27	0.00	5.65	0.00	0.00

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.							
369604103	GENERAL ELEC CO COM		GE							
2.0000	07/08/2013	10/02/2012	46.70		45.87		0.00	0.83	0.00	0.00
50076Q106	KRAFT FOODS GROUP INC COM		KRFT							
1.0000	07/08/2013	03/14/2013	55.03		50.57		0.00	4.46	0.00	0.00
594918104	MICROSOFT CORP COM		MSFT							
1.0000	07/08/2013	01/17/2013	34.21		27.26		0.00	6.95	0.00	0.00
46120E602	INTUITIVE SURGICAL INC COM NEW STK		ISRG							
2.0000	07/09/2013	Various	827.14		1,127.94		0.00	-300.80	0.00	0.00
46120E602	INTUITIVE SURGICAL INC COM NEW STK		ISRG							
3.0000	07/10/2013	Various	1,273.71		1,500.68		0.00	-226.97	0.00	0.00
459200101	INTL BUSINESS MACHINES CORP		IBM							
1.0000	07/15/2013	10/17/2012	194.32		200.65		0.00	-6.33	0.00	0.00
459200101	INTL BUSINESS MACHINES CORP		IBM							
7.0000	07/16/2013	Various	1,358.60		1,375.98		0.00	-17.38	0.00	0.00
464287614	ISHARES RUSSELL 1000 GROWTH INDEX		IWF							
26.0000	07/23/2013	Various	1,981.63		1,790.04		0.00	191.59	0.00	0.00
665162467	MFB NORTHERN FUNDS ULTRA SHORT FIXED INCOME FO		NUSFX							
5686.2800	08/01/2013	05/06/2013	58,000.00		58,170.65		0.00	-170.65	0.00	0.00

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				Stocks	Bonds, etc.					
550021109	1.0000	09/10/2013	LULULEMON ATHLETICA INC COM	LULU	69.99	81.70	0.00	-11.71	0.00	0.00
485170302	4.0000	09/12/2013	KANSAS CITY SOUTHERN INDS INC NEW	KSU	441.05	348.59	0.00	92.46	0.00	0.00
550021109	5.0000	09/12/2013	LULULEMON ATHLETICA INC COM	LULU	322.64	408.48	0.00	-85.84	0.00	0.00
485170302	3.0000	09/17/2013	KANSAS CITY SOUTHERN INDS INC NEW	KSU	334.10	259.43	0.00	74.67	0.00	0.00
485170302	2.0000	09/18/2013	KANSAS CITY SOUTHERN INDS INC NEW	KSU	223.33	171.83	0.00	51.50	0.00	0.00
485170302	3.0000	09/18/2013	KANSAS CITY SOUTHERN INDS INC NEW	KSU	338.41	258.31	0.00	80.10	0.00	0.00
617446448	16.0000	09/24/2013	MORGAN STANLEY DEAN WITTER & CO NEW	MS	437.59	294.87	0.00	142.72	0.00	0.00
617446448	19.0000	09/24/2013	MORGAN STANLEY DEAN WITTER & CO NEW	MS	522.49	359.39	0.00	163.10	0.00	0.00
29250N105	17.0000	10/01/2013	ENBRIDGE INC COM	ENB	701.23	770.66	0.00	-69.43	0.00	0.00

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.							
611740101	7.0000	10/01/2013	04/25/2013	MNST	366.70	401.03	0.00	-34.33	0.00	0.00
29250N105		ENBRIDGE INC COM		ENB						
14.0000		10/02/2013	Various		577.01	628.43	0.00	-51.42	0.00	0.00
611740101		MONSTER BEVERAGE CORP COM		MNST						
5.0000		10/02/2013	04/23/2013		260.41	284.17	0.00	-23.76	0.00	0.00
611740101		MONSTER BEVERAGE CORP COM		MNST						
6.0000		10/02/2013	Various		311.99	338.98	0.00	-26.99	0.00	0.00
611740101		MONSTER BEVERAGE CORP COM		MNST						
6.0000		10/03/2013	Various		311.18	335.33	0.00	-24.15	0.00	0.00
550021109		LULULEMON ATHLETICA INC COM		LULU						
5.0000		10/04/2013	05/14/2013		383.69	408.47	0.00	-24.78	0.00	0.00
25243Q205		ADR DIAGEO PLC SPONSORED ADR NEW		DEO						
4.0000		10/15/2013	Various		492.64	491.12	0.00	1.52	0.00	0.00
25243Q205		ADR DIAGEO PLC SPONSORED ADR NEW		DEO						
4.0000		10/16/2013	02/22/2013		494.33	483.88	0.00	10.45	0.00	0.00
268648102		EMC CORP MASS		EMC						
19.0000		10/22/2013	05/31/2013		459.03	473.86	0.00	-14.83	0.00	0.00

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268648102	EMC CORP MASS	EMC	32.0000	10/23/2013	Various	746.31	797.01	0.00	-50.70	0.00	0.00
30249U101	FMC TECHNOLOGIES INC	FTI	9.0000	10/23/2013	Various	484.99	465.55	0.00	19.44	0.00	0.00
30249U101	FMC TECHNOLOGIES INC	FTI	14.0000	10/23/2013	Various	749.00	745.90	0.00	3.10	0.00	0.00
617446448	MORGAN STANLEY DEAN WITTER & CO NEW	MS	13.0000	10/25/2013	Various	378.80	236.56	0.00	142.24	0.00	0.00
617446448	MORGAN STANLEY DEAN WITTER & CO NEW	MS	10.0000	10/28/2013	12/12/2012	290.39	178.27	0.00	112.12	0.00	0.00
233203843	MFO DFA US SMALL CAP PORTFOLIO 6-10 SMALL CO PORTFOLIO OPEN END	DFSTX	106.5600	10/29/2013	Various	3,249.01	2,345.37	0.00	903.64	0.00	0.00
665162467	MFB NORTHERN FUNDS ULTRA SHORT FIXED INCOME FD	NUSFX	36808.8600	10/29/2013	Various	376,186.52	375,866.29	0.00	320.23	0.00	0.00
665162574	MFB NORTHN MULTIMGR MID CAP FD	NMMCX	156.1800	10/29/2013	12/19/2012	2,427.04	1,861.62	0.00	565.42	0.00	0.00
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND	PCRIX	27.5100	10/29/2013	Various	157.36	186.51	0.00	-29.15	0.00	0.00

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Cusip		Description	Stock or Other Symbol		Wash Sale		Net Gain		Federal		State	
Quantity	Date of		Stocks	Cost or	Loss Disallowed	or Loss	Tax	Income	Tax	Withheld	Withheld	Withheld
Sold	Exchange	Acquisition	Bonds, etc.	Other Basis								
018581108	ALLIANCE DATA SYSTEMS CORP		ADS									
4.0000	11/07/2013	Various	962.74	790.81	0.00	171.93	0.00	0.00	0.00	0.00	0.00	0.00
018581108	ALLIANCE DATA SYSTEMS CORP		ADS									
3.0000	11/08/2013	07/23/2013	724.90	570.57	0.00	154.33	0.00	0.00	0.00	0.00	0.00	0.00
750086100	RACKSPACE HOSTING INC COM STK		RAX									
8.0000	12/02/2013	Various	313.88	343.21	0.00	-29.33	0.00	0.00	0.00	0.00	0.00	0.00
252430205	ADR DIAGEO PLC SPONSORED ADR NEW		DEO									
4.0000	12/05/2013	Various	499.61	482.98	0.00	16.63	0.00	0.00	0.00	0.00	0.00	0.00
714290103	PERRIGO CO		PRGO									
11.0000	12/19/2013	Various	1,674.37	1,330.23	0.00	344.13	0.00	0.00	0.00	0.00	0.00	0.00
494386204	KIMBERLY-CLARK DE MEXICO SAB D C.V. ORD PARTN		KCDMY									
166.0000	12/20/2013	10/31/2013	2,324.82	2,529.84	0.00	-205.02	0.00	0.00	0.00	0.00	0.00	0.00
98313R106	ADR WYNN MACAU LTD ADR COM STK		WYNN									
55.0000	12/20/2013	10/31/2013	2,425.20	2,115.85	0.00	309.35	0.00	0.00	0.00	0.00	0.00	0.00
171778202	ADR CIELO S A SPONSORED ADR		CIOXY									
62.0000	12/27/2013	10/31/2013	1,724.81	1,910.84	0.00	-186.03	0.00	0.00	0.00	0.00	0.00	0.00
Total Short Term Sales			531,982.90	535,631.89	0.00	-3,648.99	0.00	0.00	0.00	0.00	0.00	0.00

Long Term Sales

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 Recipient's Name and Address:
 THE PAMELA HOWARD FOUNDATION
 C/O STEADMAN H WESTERGAARD
 1 ROCKEFELLER PLZ. RM. 301
 NEW YORK, NY 10020

Account Number: 6021
 Recipient's Tax ID Number: XX-XXX2506

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip		Description	Stock or Other Symbol		Date of Sale or Exchange		Date of Acquisition		Quantity Sold	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
00206R102		AT&T INC	T		01/16/2013	06/01/2011			1.0000	33.29	31.37	0.00	1.92	0.00	0.00
002824100		ABBOTT LABORATORIES	ABT		01/16/2013	06/01/2011			99.0000	3,238.09	2,464.84	0.00	773.25	0.00	0.00
00287Y109		ABBVIE INC COM USD0.01	ABBV		01/16/2013	06/01/2011			3.0000	106.14	81.00	0.00	25.14	0.00	0.00
02209S103		ALTRIA GROUP INC	MO		01/16/2013	06/01/2011			3.0000	98.40	84.12	0.00	14.28	0.00	0.00
053015103		AUTOMATIC DATA PROCESSING INC	ADP		01/16/2013	06/01/2011			1.0000	58.81	55.02	0.00	3.79	0.00	0.00
064149107		BANK N S HALIFAX COM STK	BNS		01/16/2013	06/01/2011			1.0000	58.15	60.97	0.00	-2.82	0.00	0.00
110122108		BRISTOL MYERS SQUIBB CO	BMJ		01/16/2013	06/01/2011			3.0000	103.05	85.86	0.00	17.19	0.00	0.00
136069101		CDN IMPERIAL BK COMM TORONTO ONT COM STKCOM	CM		01/16/2013	06/01/2011			1.0000	83.30	81.51	0.00	1.79	0.00	0.00
191216100		COCA COLA CO	KO		01/16/2013	06/01/2011			1.0000	37.27	33.45	0.00	3.82	0.00	0.00

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 THE PAMELA HOWARD FOUNDATION
 C/O STEADMAN H WESTERGAARD
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 NEW YORK, NY 10020

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
22576C101	CRESCENT POINT ENERGY CORP COM	CPG	36.0000	01/16/2013	06/01/2011	1,389.29	1,707.78	0.00	-318.49	0.00	0.00
253868103	DIGITAL RLTY TR INC COM	DLR	1.0000	01/16/2013	06/10/2011	70.59	63.68	0.00	6.91	0.00	0.00
291011104	EMERSON ELECTRIC CO	EMR	1.0000	01/16/2013	06/01/2011	54.50	53.93	0.00	0.57	0.00	0.00
37733W105	GLAXO PLC ADR	GSK	1.0000	01/16/2013	06/01/2011	43.90	43.29	0.00	0.61	0.00	0.00
40414L109	HCP, INC	HCP	1.0000	01/16/2013	06/01/2011	45.95	36.79	0.00	9.16	0.00	0.00
42217K106	HEALTH CARE REIT INC COM COM	HCN	1.0000	01/16/2013	06/01/2011	61.47	50.63	0.00	10.84	0.00	0.00
423074103	H J HEINZ CO	HNZ	1.0000	01/16/2013	06/01/2011	59.17	53.50	0.00	5.67	0.00	0.00
458140100	INTEL CORP COM	INTC	2.0000	01/16/2013	06/01/2011	44.20	44.89	0.00	-0.69	0.00	0.00
494368103	KIMBERLY CLARK CORP	KMB	1.0000	01/16/2013	06/01/2011	85.43	68.09	0.00	17.34	0.00	0.00

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 Recipient's Name and Address:
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 1 ROCKEFELLER PLZ. RM. 301
 NEW YORK, NY 10020

Account Number: 6021
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 P.O. BOX 803878
 CHICAGO, IL 60680

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Cusip		Description		Stock or Other Symbol		Cost or Other Basis		Wash Sale Loss Disallowed		Net Gain or Loss		Federal Income Tax Withheld		State Tax Withheld	
Quantity Sold	Date of Sale or Exchange	Date of Acquisition		Stocks Bonds, etc.											
494568101	KINDER MORGAN INC DEL COM			KMI											
3,0000	01/16/2013	11/15/2011		109.59		84.05		0.00		25.54		0.00		0.00	
577081102	MATTEL INC			MAT											
2,0000	01/16/2013	06/01/2011		74.70		53.17		0.00		21.53		0.00		0.00	
580135101	MCDONALDS CORP			MCD											
2,0000	01/16/2013	06/01/2011		182.16		163.35		0.00		18.81		0.00		0.00	
65339F101	NEXTERA ENERGY INC COM			NEE											
1,0000	01/16/2013	06/01/2011		71.26		57.33		0.00		13.93		0.00		0.00	
66987V109	ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098			NVS											
1,0000	01/16/2013	10/26/2011		65.15		56.37		0.00		8.78		0.00		0.00	
682680103	ONEOK INC NEW			OKE											
2,0000	01/16/2013	06/01/2011		90.46		71.07		0.00		19.39		0.00		0.00	
718172109	PHILIP MORRIS INTERNATIONAL			PM											
1,0000	01/16/2013	06/01/2011		88.52		71.31		0.00		17.21		0.00		0.00	
74005P104	PRAXAIR INC			PX											
1,0000	01/16/2013	06/01/2011		112.62		105.03		0.00		7.59		0.00		0.00	
742718109	PROCTER & GAMBLE CO			PG											
1,0000	01/16/2013	03/21/2011		69.21		61.50		0.00		7.71		0.00		0.00	

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Recipient's Name and Address:
 THE PAMELA HOWARD FOUNDATION
 C/O STEADMAN H WESTERGAARD
 1 ROCKEFELLER PLZ. RM. 301
 NEW YORK, NY 10020

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
842587107	SOUTHERN CO	SO	42.86	39.97	0.00	2.89	0.00	0.00
913017109	UNITED TECHNOLOGIES CORP	UTX	1,796.20	1,818.04	0.00	-21.84	0.00	0.00
923725105	VERMILION ENERGY INC COM	VET	1,291.38	1,394.62	0.00	-13.24	0.00	0.00
22576C101	CRESCENT POINT ENERGY CORP COM	CPG	852.70	1,043.65	0.00	-190.95	0.00	0.00
913017109	UNITED TECHNOLOGIES CORP	UTX	1,286.90	1,288.59	0.00	-11.69	0.00	0.00
923725105	VERMILION ENERGY INC COM	VET	1,335.66	1,356.81	0.00	-21.15	0.00	0.00
423074103	H J HEINZ CO	HNZ	4,688.84	3,477.18	0.00	1,211.66	0.00	0.00
749885103	RPM INTERNATIONAL INC COM	RPM	810.53	615.04	0.00	195.49	0.00	0.00
749885103	RPM INTERNATIONAL INC COM	RPM	339.34	260.21	0.00	79.13	0.00	0.00

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 THE PAMELA HOWARD FOUNDATION
 C/O STEADMAN H WESTERGAARD
 1 ROCKEFELLER PLZ. RM. 301
 NEW YORK, NY 10020

Account Number: 6021
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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

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Cusip		Description	Stock or Other Symbol		Cost or Other Basis		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.							
749685103	RPM INTERNATIONAL INC COM		RPM							
18.0000	02/21/2013	06/01/2011	528.06		425.80		0.00	102.26	0.00	0.00
749685103	RPM INTERNATIONAL INC COM		RPM							
14.0000	02/22/2013	06/01/2011	416.85		331.18		0.00	85.67	0.00	0.00
749685103	RPM INTERNATIONAL INC COM		RPM							
27.0000	02/25/2013	06/01/2011	811.23		638.70		0.00	172.53	0.00	0.00
749685103	RPM INTERNATIONAL INC COM		RPM							
24.0000	02/26/2013	06/01/2011	718.28		567.73		0.00	150.55	0.00	0.00
136069101	CDN IMPERIAL BK COMM TORONTO ONT COM STKCOM		CM							
11.0000	03/14/2013	06/01/2011	881.54		896.56		0.00	-15.02	0.00	0.00
423074103	H J HEINZ CO		HNZ							
40.0000	03/14/2013	Various	2,898.70		2,110.33		0.00	788.36	0.00	0.00
136069101	CDN IMPERIAL BK COMM TORONTO ONT COM STKCOM		CM							
16.0000	03/15/2013	06/01/2011	1,289.48		1,304.08		0.00	-14.60	0.00	0.00
423074103	H J HEINZ CO		HNZ							
6.0000	03/15/2013	10/26/2011	434.70		315.77		0.00	118.93	0.00	0.00
74005P104	PRAXAIR INC		PX							
30.0000	05/06/2013	06/01/2011	3,416.37		3,150.85		0.00	265.52	0.00	0.00

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Cusip		Description	Stock or Other Symbol		Wash Sale Loss Disallowed		Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis					
74005P104	PRAXAIR INC		PX						
12.0000	05/07/2013	06/01/2011	1,365.98	1,260.34	0.00		105.64	0.00	0.00
018490102	ALLERGAN INC		AGN						
4.0000	05/10/2013	05/07/2012	414.06	374.96	0.00		39.10	0.00	0.00
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE		SHPG						
7.0000	05/14/2013	05/07/2012	656.57	684.32	0.00		-27.75	0.00	0.00
053015103	AUTOMATIC DATA PROCESSING INC		ADP						
11.0000	05/15/2013	06/01/2011	784.61	605.26	0.00		179.35	0.00	0.00
253868103	DIGITAL RLTY TR INC COM		DLR						
30.0000	05/15/2013	06/10/2011	1,920.81	1,910.53	0.00		10.28	0.00	0.00
253868103	DIGITAL RLTY TR INC COM		DLR						
6.0000	05/16/2013	Various	382.59	380.26	0.00		2.32	0.00	0.00
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE		SHPG						
8.0000	05/20/2013	05/07/2012	762.00	782.08	0.00		-20.08	0.00	0.00
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE		SHPG						
7.0000	05/21/2013	05/07/2012	679.12	684.32	0.00		-5.20	0.00	0.00
461202103	INTUIT		INTU						
8.0000	05/22/2013	05/07/2012	472.88	453.92	0.00		18.96	0.00	0.00

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Cusip			Description		Stock or Other Symbol					Federal Income Tax		State Tax	
Quantity Sold	Date of Sale or Exchange	Date of Acquisition			Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld		State Tax Withheld		
018490102	ALLERGAN INC				AGN								
7.0000	05/28/2013	05/07/2012			695.37	656.18	0.00	39.19	0.00		0.00		
Y0486S104	AVAGO TECHNOLOGIES LTD				AVGO								
17.0000	05/28/2013	05/07/2012			586.13	534.14	0.00	51.99	0.00		0.00		
Y0486S104	AVAGO TECHNOLOGIES LTD				AVGO								
7.0000	05/29/2013	05/07/2012			241.68	219.94	0.00	21.74	0.00		0.00		
Y0486S104	AVAGO TECHNOLOGIES LTD				AVGO								
5.0000	05/30/2013	05/07/2012			183.02	157.10	0.00	25.92	0.00		0.00		
461202103	INTUIT				INTU								
10.0000	06/06/2013	05/07/2012			579.22	567.40	0.00	11.82	0.00		0.00		
750086100	RACKSPACE HOSTING INC COM STK				RAX								
7.0000	06/06/2013	Various			242.50	386.77	0.00	-144.27	0.00		0.00		
110122108	BRISTOL MYERS SQUIBB CO				BMJ								
46.0000	06/07/2013	06/01/2011			2,165.88	1,316.53	0.00	849.35	0.00		0.00		
550021109	LULULEMON ATHLETICA INC COM				LULU								
12.0000	06/11/2013	05/07/2012			821.50	932.76	0.00	-111.26	0.00		0.00		
461202103	INTUIT				INTU								
7.0000	06/13/2013	05/07/2012			405.12	397.18	0.00	7.94	0.00		0.00		

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.							
550021109	LULULEMON ATHLETICA INC COM		LULU							
8.0000	06/13/2013	Various	521.45		613.92		0.00	-92.47	0.00	0.00
064149107	BANK N S HALIFAX COM STK		BNS							
34.0000	07/02/2013	06/01/2011	1,802.48		2,073.15		0.00	-270.67	0.00	0.00
064149107	BANK N S HALIFAX COM STK		BNS							
7.0000	07/03/2013	06/01/2011	369.19		426.82		0.00	-57.63	0.00	0.00
064149107	BANK N S HALIFAX COM STK		BNS							
16.0000	07/05/2013	06/01/2011	837.69		975.60		0.00	-137.91	0.00	0.00
00206R102	AT&T INC		T							
1.0000	07/08/2013	06/01/2011	35.52		31.37		0.00	4.15	0.00	0.00
032654105	ANALOG DEVICES INC		ADI							
1.0000	07/08/2013	03/19/2012	45.57		39.99		0.00	5.58	0.00	0.00
055348760	BCE INC COM NEW		BCE							
1.0000	07/08/2013	06/01/2011	40.91		39.82		0.00	1.09	0.00	0.00
064149107	BANK N S HALIFAX COM STK		BNS							
10.0000	07/08/2013	06/01/2011	526.91		609.75		0.00	-82.84	0.00	0.00
191216100	COCA COLA CO		KO							
1.0000	07/08/2013	06/26/2012	40.44		37.53		0.00	2.91	0.00	0.00

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	EMR	53.92	53.92	0.00	2.82	0.00	0.00
291011104	07/08/2013	06/01/2011	EMERSON ELECTRIC CO	GPC	54.35	54.35	0.00	29.07	0.00	0.00
372460105	07/08/2013	06/01/2011	JOHNSON & JOHNSON	JNJ	66.60	66.60	0.00	21.80	0.00	0.00
478160104	07/08/2013	06/26/2012	KINDER MORGAN INC DEL COM	KMI	28.02	28.02	0.00	10.86	0.00	0.00
494568101	07/08/2013	11/15/2011	MATTEL INC	MAT	25.87	25.87	0.00	20.23	0.00	0.00
577081102	07/08/2013	06/01/2011	MCDONALDS CORP	MCD	81.67	81.67	0.00	18.27	0.00	0.00
580135101	07/08/2013	06/01/2011	MERCK & CO INC	MRK	35.36	35.36	0.00	12.08	0.00	0.00
58933Y105	07/08/2013	12/14/2011	NATIONAL RETAIL PTYS INC COM STK	NNN	49.97	49.97	0.00	19.99	0.00	0.00
637417106	07/08/2013	06/01/2011	ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098	NVS	56.37	56.37	0.00	14.60	0.00	0.00
66987V109	07/08/2013	10/26/2011								
1.0000										

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.							
742718109	PROCTER & GAMBLE CO		PG							
1.0000	07/08/2013	03/21/2011	78.72		61.50		0.00	17.22	0.00	0.00
756109104	RLTY INC CORP COM		O							
1.0000	07/08/2013	06/01/2011	42.68		33.93		0.00	8.75	0.00	0.00
842587107	SOUTHERN CO		SO							
1.0000	07/08/2013	06/01/2011	43.73		39.97		0.00	3.76	0.00	0.00
913017109	UNITED TECHNOLOGIES CORP		UTX							
1.0000	07/08/2013	06/01/2011	97.07		86.57		0.00	10.50	0.00	0.00
969457100	WILLIAMS COS INC		WMB							
1.0000	07/08/2013	02/03/2012	33.18		29.63		0.00	3.55	0.00	0.00
459200101	INTL BUSINESS MACHINES CORP		IBM							
3.0000	07/12/2013	05/07/2012	577.39		612.84		0.00	-35.45	0.00	0.00
015351109	ALEXION PHARMACEUTICALS INC		ALXN							
1.0000	07/15/2013	05/07/2012	107.76		86.68		0.00	21.08	0.00	0.00
459200101	INTL BUSINESS MACHINES CORP		IBM							
2.0000	07/15/2013	05/07/2012	388.64		408.56		0.00	-19.92	0.00	0.00
111320107	BROADCOM CORP CL A		BRCM							
12.0000	07/23/2013	07/03/2012	382.74		402.50		0.00	-19.76	0.00	0.00

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Account Number: 6021
 Recipient's Tax ID Number: XX-XXX2506
 Recipient's Name and Address:
 THE PAMELA HOWARD FOUNDATION
 C/O STEADMAN H WESTERGAARD
 1 ROCKEFELLER PLZ. RM. 301
 NEW YORK, NY 10020

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☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
111320107	BROADCOM CORP CL A	BRCM	9.0000	07/23/2013	Various	287.23	301.80	0.00	-14.57	0.00	0.00
464287614	ISHARES RUSSELL 1000 GROWTH INDEX	IWF	58.0000	07/23/2013	05/07/2012	4,420.57	3,747.38	0.00	673.19	0.00	0.00
654106103	NIKE INC CLASS B	NKE	2.0000	07/23/2013	05/07/2012	126.43	111.58	0.00	14.85	0.00	0.00
751212101	RALPH LAUREN CORP CL A CL A	RL	3.0000	07/23/2013	05/07/2012	542.76	506.79	0.00	35.97	0.00	0.00
111320107	BROADCOM CORP CL A	BRCM	17.0000	07/24/2013	Various	460.01	559.12	0.00	-99.11	0.00	0.00
111320107	BROADCOM CORP CL A	BRCM	10.0000	07/25/2013	Various	268.69	318.28	0.00	-49.59	0.00	0.00
53578A108	LINKEDIN CORP CL A	LNKD	3.0000	07/25/2013	05/07/2012	607.82	337.38	0.00	270.44	0.00	0.00
654106103	NIKE INC CLASS B	NKE	4.0000	07/25/2013	05/07/2012	249.81	223.16	0.00	26.65	0.00	0.00
92532F100	VERTEX PHARMACEUTICALS INC	VRTX	9.0000	07/30/2013	05/07/2012	737.37	523.35	0.00	214.02	0.00	0.00

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 Recipient's Name and Address:
 THE PAMELA HOWARD FOUNDATION
 C/O STEADMAN H WESTERGAARD
 1 ROCKEFELLER PLZ. RM. 301
 NEW YORK, NY 10020

Account Number: 6021
 Recipient's Tax ID Number: XX-XXX2506

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 P.O. BOX 803878
 CHICAGO, IL 60680

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Cusip		Description	Stock or Other Symbol		Cost or Other Basis		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc							
09247X101	7.0000	08/01/2013	07/09/2012	BLK	1,997.08	1,195.77	0.00	801.31	0.00	0.00
253868103	23.0000	DIGITAL RLTY TR INC COM	08/01/2013	DLR	1,422.29	1,422.29	0.00	-164.21	0.00	0.00
09247X101	5.0000	08/02/2013	07/28/2011	BLK	832.53	832.53	0.00	593.76	0.00	0.00
253868103	16.0000	DIGITAL RLTY TR INC COM	08/02/2013	DLR	989.42	989.42	0.00	-112.73	0.00	0.00
61166W101	9.0000	MONSANTO CO COM	08/07/2013	MON	657.27	657.27	0.00	197.48	0.00	0.00
872540109	6.0000	TJX COS INC NEW	08/08/2013	TJX	255.74	255.74	0.00	60.93	0.00	0.00
57636Q104	2.0000	MASTERCARD INC CL A	08/09/2013	MA	873.82	873.82	0.00	406.19	0.00	0.00
92532F100	2.0000	VERTEX PHARMACEUTICALS INC	08/09/2013	VRTX	154.43	154.43	0.00	38.13	0.00	0.00
Y0486S104	8.0000	AVAGO TECHNOLOGIES LTD	08/09/2013	AVGO	278.45	278.45	0.00	16.62	0.00	0.00

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 Recipient's Name and Address:
 THE PAMELA HOWARD FOUNDATION
 C/O STEADMAN H WESTERGAARD
 1 ROCKEFELLER PLZ. RM. 301
 NEW YORK, NY 10020

Account Number: 6021
 Recipient's Tax ID Number: XX-XXX2506

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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

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Cusip		Description	Stock or Other Symbol					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
872540109	TJX COS INC NEW	08/13/2013	08/10/2012	261.78	221.22	0.00	40.56	0.00
5.0000								
92532F100	VERTEX PHARMACEUTICALS INC	08/13/2013	05/07/2012	308.87	232.60	0.00	76.27	0.00
4.0000								
464287242	MFC ISHARES TR IBOX USD INVT GRADE CORPBD ETF	08/16/2013	05/19/2009	234,008.38	204,624.00	0.00	29,384.38	0.00
2100.0000								
032654105	ANALOG DEVICES INC	08/20/2013	03/19/2012	1,389.31	1,159.66	0.00	229.65	0.00
29.0000								
637417106	NATIONAL RETAIL PPTYS INC COM STK	08/20/2013	06/01/2011	1,594.78	1,270.04	0.00	324.74	0.00
51.0000								
751212101	RALPH LAUREN CORP CLA CLA	08/20/2013	05/07/2012	1,040.07	1,013.58	0.00	26.49	0.00
6.0000								
913017109	UNITED TECHNOLOGIES CORP	08/20/2013	Various	1,942.54	1,505.23	0.00	437.31	0.00
19.0000								
032654105	ANALOG DEVICES INC	08/21/2013	03/19/2012	748.92	639.81	0.00	109.11	0.00
16.0000								
637417106	NATIONAL RETAIL PPTYS INC COM STK	08/21/2013	06/01/2011	779.82	622.56	0.00	157.26	0.00
25.0000								

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 Recipient's Name and Address:
 THE PAMELA HOWARD FOUNDATION
 C/O STEADMAN H WESTERGAARD
 1 ROCKEFELLER PLZ. RM. 301
 NEW YORK, NY 10020

Account Number: 6021
 Recipient's Tax ID Number: XX-XXX2506

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 P.O. BOX 803878
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CUSIP		Description		Stock or Other Symbol		Wash Sale		Net Gain		Federal		State	
Quantity	Date of	Date of		Stocks	Cost or	Loss	Disallowed	or Loss	Tax	Income	Tax	Withheld	Withheld
Sold	Exchange	Acquisition		Bonds, etc.	Other Basis								
913017109	UNITED TECHNOLOGIES CORP			UTX									
6.0000	08/21/2013	05/20/2009		612.43	310.26		0.00	302.18		0.00		0.00	0.00
913017109	UNITED TECHNOLOGIES CORP			UTX									
2.0000	08/21/2013	06/26/2012		204.15	147.24		0.00	56.91		0.00		0.00	0.00
032654105	ANALOG DEVICES INC			ADI									
7.0000	08/22/2013	03/19/2012		330.50	279.92		0.00	50.58		0.00		0.00	0.00
637417106	NATIONAL RETAIL PPTYS INC COM STK			NNN									
8.0000	08/22/2013	06/01/2011		246.97	199.22		0.00	47.75		0.00		0.00	0.00
913017109	UNITED TECHNOLOGIES CORP			UTX									
5.0000	08/22/2013	05/20/2009		513.09	258.55		0.00	254.54		0.00		0.00	0.00
032654105	ANALOG DEVICES INC			ADI									
5.0000	08/23/2013	03/19/2012		235.73	199.94		0.00	35.79		0.00		0.00	0.00
637417106	NATIONAL RETAIL PPTYS INC COM STK			NNN									
18.0000	08/23/2013	06/01/2011		563.31	448.25		0.00	115.06		0.00		0.00	0.00
913017109	UNITED TECHNOLOGIES CORP			UTX									
7.0000	08/23/2013	05/20/2009		721.69	361.97		0.00	359.72		0.00		0.00	0.00
Y0486S104	AVAGO TECHNOLOGIES LTD			AVGO									
9.0000	08/29/2013	05/07/2012		348.02	282.78		0.00	65.24		0.00		0.00	0.00

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 THE PAMELA HOWARD FOUNDATION
 C/O STEADMAN H WESTERGAARD
 1 ROCKEFELLER PLZ. RM. 301
 NEW YORK, NY 10020

Account Number: 6021
 Recipient's Tax ID Number: XX-XXX2506

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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Quantity Sold	Date of Sale or Exchange	Description	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
				Stocks Bonds, etc.						
037833100	2.0000	09/04/2013	APPLE COMPUTER INC	AAPL	994.44	1,142.52	0.00	-148.08	0.00	0.00
670100205	2.0000	09/05/2013	NOVO NORDISK A S ADR	NVO	325.38	294.96	0.00	30.42	0.00	0.00
550021109	4.0000	09/06/2013	LULULEMON ATHLETICA INC COM	LULU	279.32	267.14	0.00	12.17	0.00	0.00
670100205	4.0000	09/09/2013	NOVO NORDISK A S ADR	NVO	657.13	589.92	0.00	67.21	0.00	0.00
550021109	6.0000	09/10/2013	LULULEMON ATHLETICA INC COM	LULU	419.95	382.57	0.00	37.38	0.00	0.00
Y0486S104	7.0000	09/10/2013	AVAGO TECHNOLOGIES LTD	AVGO	274.82	219.94	0.00	54.88	0.00	0.00
372460105	14.0000	09/13/2013	GENUINE PARTS CO	GPC	1,116.94	760.97	0.00	355.97	0.00	0.00
49456B101	60.0000	09/13/2013	KINDER MORGAN INC DEL COM	KMI	2,112.14	1,663.02	0.00	449.12	0.00	0.00
372460105	3.0000	09/16/2013	GENUINE PARTS CO	GPC	240.26	163.07	0.00	77.19	0.00	0.00

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 THE PAMELA HOWARD FOUNDATION
 C/O STEADMAN H WESTERGAARD
 1 ROCKEFELLER PLZ. RM. 301
 NEW YORK, NY 10020

Account Number: 6021
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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

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Cusip	Description	Stock or Other Symbol		Date of Sale or Exchange	Date of Acquisition	Quantity Sold	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld		State Tax Withheld	
											Tax	Withheld	Tax	Withheld
494568101	KINDER MORGAN INC DEL COM	KMI	2,037.02	09/16/2013	11/14/2011	58.0000		1,606.68	0.00	430.34	0.00	0.00	0.00	0.00
494568101	KINDER MORGAN INC DEL COM	KMI	346.37	09/17/2013	11/14/2011	10.0000		277.01	0.00	69.36	0.00	0.00	0.00	0.00
Y0486S104	AVAGO TECHNOLOGIES LTD	AVGO	320.92	09/17/2013	05/07/2012	8.0000		251.36	0.00	69.56	0.00	0.00	0.00	0.00
751212101	RALPH LAUREN CORP CL A CL A	RL	497.58	09/18/2013	05/07/2012	3.0000		506.79	0.00	-9.21	0.00	0.00	0.00	0.00
751212101	RALPH LAUREN CORP CL A CL A	RL	672.48	09/18/2013	05/07/2012	4.0000		675.72	0.00	-3.24	0.00	0.00	0.00	0.00
893641100	TRANSDIGM GROUP INC COM	TDG	135.30	09/23/2013	05/30/2012	1.0000		102.89	0.00	32.41	0.00	0.00	0.00	0.00
268648102	EMC CORP MASS	EMC	442.35	09/24/2013	05/07/2012	17.0000		472.01	0.00	-29.66	0.00	0.00	0.00	0.00
756577102	RED HAT INC	RHT	609.89	09/24/2013	05/07/2012	13.0000		763.88	0.00	-153.99	0.00	0.00	0.00	0.00
893641100	TRANSDIGM GROUP INC COM	TDG	1,232.89	09/24/2013	Various	9.0000		925.42	0.00	307.47	0.00	0.00	0.00	0.00

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756577102		RED HAT INC		RHT	09/25/2013	05/07/2012			10.0000	469.31	587.60	0.00	-118.29	0.00	0.00
032511107		ANADARKO PETROLEUM CORP		APC	10/01/2013	05/07/2012			25.0000	2,328.63	1,725.75	0.00	602.88	0.00	0.00
92532F100		VERTEX PHARMACEUTICALS INC		VRTX	10/14/2013	05/07/2012			4.0000	287.08	232.60	0.00	54.48	0.00	0.00
268648102		EMC CORP MASS		EMC	10/16/2013	Various			32.0000	789.02	862.59	0.00	-73.57	0.00	0.00
91324P102		UNITEDHEALTH GROUP INC		UNH	10/18/2013	05/07/2012			5.0000	348.09	276.85	0.00	71.24	0.00	0.00
91324P102		UNITEDHEALTH GROUP INC		UNH	10/21/2013	05/07/2012			16.0000	1,090.63	885.92	0.00	204.71	0.00	0.00
268648102		EMC CORP MASS		EMC	10/22/2013	05/24/2012			9.0000	219.24	216.59	0.00	2.65	0.00	0.00
268648102		EMC CORP MASS		EMC	10/22/2013	05/24/2012			1.0000	24.16	24.07	0.00	0.09	0.00	0.00
91324P102		UNITEDHEALTH GROUP INC		UNH	10/22/2013	05/07/2012			14.0000	965.84	775.18	0.00	190.66	0.00	0.00

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042068106	ARM HLDGS PLC SPONSORED ADR	ARMH	11.0000	10/24/2013	09/17/2012	510.92	314.14	0.00	196.78	0.00	0.00
30219G108	EXPRESS SCRIPTS HLDG CO COM	ESRX	16.0000	10/25/2013	Various	977.12	874.85	0.00	102.27	0.00	0.00
30219G108	EXPRESS SCRIPTS HLDG CO COM	ESRX	15.0000	10/25/2013	05/07/2012	920.35	823.05	0.00	97.30	0.00	0.00
53578A108	LINKEDIN CORP CL A	LNKD	1.0000	10/25/2013	05/07/2012	242.27	112.46	0.00	129.81	0.00	0.00
907818108	UNION PAC CORP COM	UNP	4.0000	10/25/2013	05/07/2012	613.18	455.28	0.00	157.90	0.00	0.00
907818108	UNION PAC CORP COM	UNP	1.0000	10/25/2013	05/07/2012	152.65	113.82	0.00	38.83	0.00	0.00
042068106	ARM HLDGS PLC SPONSORED ADR	ARMH	11.0000	10/28/2013	Various	512.78	280.55	0.00	232.23	0.00	0.00
278642103	EBAY INC	EBAY	15.0000	10/28/2013	Various	773.72	750.96	0.00	22.76	0.00	0.00
907818108	UNION PAC CORP COM	UNP	6.0000	10/28/2013	05/07/2012	914.29	682.92	0.00	231.37	0.00	0.00

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 THE PAMELA HOWARD FOUNDATION
 C/O STEADMAN H WESTERGAARD
 1 ROCKEFELLER PLZ. RM. 301
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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.							
042068106	ARM HLDGS PLC SPONSORED ADR		ARMH							
13.0000	10/29/2013	05/07/2012	615.72		316.68		0.00	299.04	0.00	0.00
233203843	MFO DFA US SMALL CAP PORTFOLIO 6-10 SMALL CO PORTFOLIO OPEN END		DFSTX							
1951.2200	10/29/2013	05/25/2011	59,492.67		44,000.00		0.00	15,492.67	0.00	0.00
665162483	MFB NORTHN FDS MULTIMANAGER EMERGING MKTS		NMMEX							
7769.4500	10/29/2013	Various	153,290.76		120,137.03		0.00	33,153.73	0.00	0.00
665162574	MFB NORTHN MULTIMGR MID CAP FD		NMMCX							
3662.0700	10/29/2013	Various	56,908.66		26,861.76		0.00	30,046.90	0.00	0.00
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND		PCRIX							
2688.5200	10/29/2013	Various	15,378.27		25,474.18		0.00	-10,095.91	0.00	0.00
518439104	LAUDER ESTEE COS INC CL A		EL							
5.0000	10/31/2013	05/07/2012	356.97		308.35		0.00	48.62	0.00	0.00
518439104	LAUDER ESTEE COS INC CL A		EL							
3.0000	11/01/2013	05/07/2012	209.80		185.01		0.00	24.79	0.00	0.00
92532F100	VERTEX PHARMACEUTICALS INC		VRTX							
12.0000	11/04/2013	05/07/2012	793.32		697.80		0.00	95.52	0.00	0.00
518439104	LAUDER ESTEE COS INC CL A		EL							
9.0000	11/05/2013	05/07/2012	636.59		555.03		0.00	81.56	0.00	0.00

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042068106	ARM HLDGS PLC SPONSORED ADR	ARMH	6.0000	11/08/2013	05/07/2012	274.66	146.16	0.00	128.50	0.00	0.00
042068106	ARM HLDGS PLC SPONSORED ADR	ARMH	5.0000	11/12/2013	05/07/2012	221.29	121.80	0.00	99.49	0.00	0.00
042068106	ARM HLDGS PLC SPONSORED ADR	ARMH	7.0000	11/13/2013	05/07/2012	311.94	170.52	0.00	141.42	0.00	0.00
278642103	EBAY INC	EBAY	11.0000	11/13/2013	10/19/2012	579.26	549.32	0.00	29.94	0.00	0.00
57636Q104	MASTERCARD INC CL A	MA	1.0000	11/13/2013	05/07/2012	733.82	436.91	0.00	296.91	0.00	0.00
110122108	BRISTOL MYERS SQUIBB CO	BMV	50.0000	11/15/2013	06/01/2011	2,620.08	1,431.01	0.00	1,189.07	0.00	0.00
842587107	SOUTHERN CO	SO	38.0000	11/15/2013	06/01/2011	1,599.87	1,518.96	0.00	80.91	0.00	0.00
79466L302	SALESFORCE COM INC COM STK	CRM	13.0000	11/18/2013	05/07/2012	726.71	495.25	0.00	231.46	0.00	0.00
842587107	SOUTHERN CO	SO	36.0000	11/18/2013	06/01/2011	1,521.91	1,439.01	0.00	82.90	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
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Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
169656105	CHIPOTLE MEXICAN GRILL INC COM STK	CMG	1,000	11/19/2013	05/07/2012	539.20	407.86	0.00	131.34	0.00	0.00
842587107	SOUTHERN CO	SO	27,000	11/19/2013	06/01/2011	1,137.38	1,079.26	0.00	58.12	0.00	0.00
842587107	SOUTHERN CO	SO	21,000	11/20/2013	06/01/2011	873.76	839.42	0.00	34.34	0.00	0.00
750086100	RACKSPACE HOSTING INC COM STK	RAX	7,000	11/25/2013	Various	259.81	355.36	0.00	-95.55	0.00	0.00
750086100	RACKSPACE HOSTING INC COM STK	RAX	10,000	11/25/2013	Various	365.58	515.05	0.00	-149.47	0.00	0.00
278642103	EBAY INC	EBAY	24,000	11/26/2013	Various	1,161.81	1,167.06	0.00	-5.25	0.00	0.00
023135106	AMAZON COM INC	AMZN	2,000	12/02/2013	05/07/2012	781.28	451.82	0.00	329.46	0.00	0.00
265504100	DUNKIN BRANDS GROUP INC COM	DNKN	13,000	12/02/2013	05/07/2012	629.04	423.41	0.00	205.63	0.00	0.00
750086100	RACKSPACE HOSTING INC COM STK	RAX	14,000	12/02/2013	Various	549.27	667.67	0.00	-118.40	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 46 of 72
 Recipient's Name and Address:
 THE PAMELA HOWARD FOUNDATION
 C/O STEADMAN H WESTERGAARD
 1 ROCKEFELLER PLZ. RM. 301
 NEW YORK, NY 10020

Account Number: 6021
 Recipient's Tax ID Number: XX-XXX2506

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip		Description	Stock or Other Symbol		Cost or Other Basis		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.							
053015103	14.0000	12/08/2013	06/01/2011	ADP	1,114.30	770.33	0.00	343.97	0.00	0.00
494568101	44.0000	12/06/2013	11/14/2011	KMI	1,475.35	1,218.86	0.00	256.49	0.00	0.00
053015103	22.0000	12/09/2013	06/01/2011	ADP	1,732.49	1,210.52	0.00	521.97	0.00	0.00
494568101	26.0000	12/09/2013	11/14/2011	KMI	870.01	720.24	0.00	149.77	0.00	0.00
375558103	14.0000	12/10/2013	Various	GILD	1,021.00	501.19	0.00	519.81	0.00	0.00
375558103	7.0000	12/12/2013	Various	GILD	493.43	243.86	0.00	249.57	0.00	0.00
375558103	8.0000	12/12/2013	10/05/2012	GILD	564.81	278.72	0.00	286.09	0.00	0.00
30303M102	8.0000	12/13/2013	05/18/2012	FB	421.27	327.43	0.00	93.84	0.00	0.00
037833100	3.0000	12/19/2013	05/07/2012	AAPL	1,633.49	1,713.78	0.00	-80.29	0.00	0.00

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2013 Tax Information Statement

Account Number: 6021
 Recipient's Tax ID Number: XX-XXX2506
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:

THE PAMELA HOWARD FOUNDATION
 C/O STEADMAN H WESTERGAARD
 1 ROCKEFELLER PLZ. RM. 301
 NEW YORK, NY 10020

Page 47 of 72

Ref: GSB

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
714290103	PERRIGO CO	PRGO	11.0000	12/19/2013	Various	1,674.36	1,248.26	0.00	426.11	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL	2.0000	12/20/2013	05/07/2012	1,098.50	1,142.52	0.00	-44.02	0.00	0.00
055348760	BCE INC COM NEW	BCE	7.0000	12/23/2013	06/01/2011	301.11	278.74	0.00	22.37	0.00	0.00
291011104	EMERSON ELECTRIC CO	EMR	5.0000	12/23/2013	06/01/2011	346.44	269.63	0.00	76.81	0.00	0.00
577081102	MATTEL INC	MAT	9.0000	12/23/2013	06/01/2011	412.71	226.31	0.00	186.40	0.00	0.00
718172109	PHILIP MORRIS INTERNATIONAL	PM	5.0000	12/23/2013	06/01/2011	426.28	356.53	0.00	69.75	0.00	0.00
023135106	AMAZON COM INC	AMZN	1.0000	12/30/2013	05/07/2012	393.23	225.91	0.00	167.32	0.00	0.00
30303M102	FACEBOOK INC CL A CL A	FB	16.0000	12/30/2013	Various	866.23	547.02	0.00	319.21	0.00	0.00
741503403	PRICELINE COM INC COM	PCLN	1.0000	12/30/2013	05/07/2012	1,153.81	741.84	0.00	411.97	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Account Number: 6021
 Recipient's Tax ID Number: XX-XXX2506
☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Recipient's Name and Address:
 THE PAMELA HOWARD FOUNDATION
 C/O STEADMAN H WESTERGAARD
 1 ROCKEFELLER PLZ. RM. 301
 NEW YORK, NY 10020

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
		Stocks Bonds, etc.	Cost or Other Basis				
		655,740.08	537,006.95	0.00	118,733.12	0.00	0.00
Total Long Term Sales							

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NORTHERN TRUST #23-46021 (SEE ATTACHED)		P	VARIOUS	VARIOUS
b NORTHERN TRUST #23-46021 (SEE ATTACHED)		P	VARIOUS	VARIOUS
c 1,586 SHS MFC GOLD TR GOLD SHS		P	VARIOUS	VARIOUS
d FROM K-1 - POWERSHARES DB COMMODITY INDEX TRACKIN		P	VARIOUS	VARIOUS
e FROM K-1 - POWERSHARES DB COMMODITY INDEX TRACKIN		P	VARIOUS	VARIOUS
f FROM K-1 - POWERSHARES DB COMMODITY INDEX TRACKIN			VARIOUS	VARIOUS
g FROM K-1 - POWERSHARES DB COMMODITY INDEX TRACKIN		P	VARIOUS	VARIOUS
h CAPITAL GAINS DIVIDENDS				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 531,983.		535,632.	-3,649.
b 655,740.		537,007.	118,733.
c 230,282.		157,776.	72,506.
d			-39.
e			-1,885.
f			-989.
g			-2,828.
h 1,484.			1,484.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-3,649.
b			118,733.
c			72,506.
d			-39.
e			-1,885.
f			-989.
g			-2,828.
h			1,484.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	183,333.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }		3	N/A

THE PAMELA HOWARD FAMILY FOUNDATION INC.
C/O WTAS LLC

13-4192506

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ELSA MONTE DANCE CO. 481 8TH AVE NEW YORK, NY 10001	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	3,000.
EXCEPTIONAL EDUCATION OUTREACH 720 NE 69TH STREET #15W MIAMI, FL 33138	N/A	501(C)(3) EXEMPT ORGANIZATION	EDUCATION	500.
FLOATING THE APPLE 400 WEST 43RD STREET NEW YORK, NY 10128	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	2,000.
FOUNTAIN HOUSE 425 WEST 47TH ST NEW YORK, NY 10036	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	1,000.
FRIENDS OF BAILEY ARBORETUM 194 BAYVILLE RD LATTINGTOWN, NY 11560	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	500.
HIGH WATCH RECOVERY CENTER 62 CARTER ROAD KENT, CT 06757	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	2,000.
HOSPITAL ALBERT SCHWEITZER HAITI 2840 LIBERTY AVENUE PITTSBURGH, PA 15222	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	2,500.
INDEPENDENT DIPLOMAT 45 EAST 20TH STREET NEW YORK, NY 10003	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	1,500.
INTERNATIONAL CENTER FOR JOURNALISTS 1616 H STREET NW, 3RD FLOOR WASHINGTON, DC 20006	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	25,000.
LINCOLN CENTER THEATER 150 WEST 65TH ST NEW YORK, NY 10023	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	2,500.
Total from continuation sheets				90,800.

THE PAMELA HOWARD FAMILY FOUNDATION INC.
C/O WTAS LLC

13-4192506

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
METROPOLITAN OPERA LINCOLN CENTER NEW YORK, NY 10023	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	6,700.
MUSEUM OF ART AND DESIGN 2 COLUMBUS CIRCLE NEW YORK, NY 10019	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	1,000.
NATIONAL HEMOPHILIA FOUNDATION 116 WEST 32ND STREET NEW YORK, NY 10001	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	2,000.
NE UNIVERSITY DEVELOP 360 HUNTINGTON AVENUE BOSTON, MA 02115	N/A	501(C)(3) EXEMPT ORGANIZATION	EDUCATION	1,000.
NORTH SHORE ANIMAL LEAGUE 25 DAVIS AVENUE PORT WASHINGTON, NY 11050	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	1,000.
NORTHEAST DUTCHESS FUND P.O. BOX 400 SHEFFIELD, MA 01257	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	1,000.
NY PRESBYTERIAN HOSPITAL 525 EAST 68TH STREET, BOX 123 NEW YORK, NY 10021	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	5,000.
OVERSEAS PRESS CLUB FOUNDATION 40 WEST 45TH ST NEW YORK, NY 10036	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	1,500.
OYSTER BAY SAILING FOUNDATION P.O. BOX 720 OYSTER BAY, NY 11771	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	2,000.
POMFRET SCHOOL 398 POMFRET STREET POMFRET, CT 06258	N/A	501(C)(3) EXEMPT ORGANIZATION	EDUCATION	3,000.
Total from continuation sheets				

THE PAMELA HOWARD FAMILY FOUNDATION INC.
C/O WTAS LLC

13-4192506

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PUPPIES BEHIND BARS 263 WEST 38TH STREET NEW YORK, NY 10018	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	1,000.
SARAH LAWRENCE COLLEGE 1 MEAD WAY BRONXVILLE, NY 10708	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	3,000.
SCRIPPS HOWARD FOUNDATION P.O. BOX 5380 CINCINNATI, OH 45201	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	5,000.
SOCIETY OF MSKCC 1275 YORK AVE NEW YORK, NY 10065	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	500.
ST. BARTHOLOMEW'S CHURCH 109 EAST 50TH STREET NEW YORK, NY 10021	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	3,000.
ST. BERNARD'S SCHOOL 4 EAST 98TH STREET NEW YORK, NY 10029	N/A	501(C)(3) EXEMPT ORGANIZATION	EDUCATION	1,500.
STANFORDVILLE FREE LIBRARY 14 CREAMERY ROAD STANFORDVILLE, NY 12581	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	1,000.
THE ART STUDENT'S LEAGUE OF NY 215 WEST 57TH STREET NEW YORK, NY 10019	N/A	501(C)(3) EXEMPT ORGANIZATION	EDUCATION	2,000.
THE CARY INSTITUTE P.O. BOX AB MILLBROOK, NY 12545	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	500.
THE FUND FOR PARK AVENUE 445 PARK AVENUE, STE 1000 NEW YORK, NY 10022	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	1,000.
Total from continuation sheets				

THE PAMELA HOWARD FAMILY FOUNDATION INC.
C/O WTAS LLC

13-4192506

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE MILLBROOK HUNT 1 MILLBROOK LANE MILLBROOK, NY 12545	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	1,100.
THE OLIVER HAZARD PERRY 29 TOURO STREET NEWPORT, RI 02840	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	1,000.
THE WATERFRONT CENTER 1 WEST END AVENUE OYSTER BAY, NY 11771	N/A	501(C)(3) EXEMPT ORGANIZATION	EDUCATION	2,000.
THEODORE ROOSEVELT SANCTUARY 134 COVE ROAD OYSTER BAY, NY 11771	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	1,000.
TREES NEW YORK 51 CHAMBERS STREET NEW YORK, NY 10007	N/A	501(C)(3) EXEMPT ORGANIZATION	GENERAL PURPOSE	500.
TRINITY COLLEGE 300 SUMMIT STREET HARTFORD, CT 06106	N/A	501(C)(3) EXEMPT ORGANIZATION	EDUCATION	1,000.
UNION COLLEGE 807 UNION STREET SCHENECTADY, NY 12308	N/A	501(C)(3) EXEMPT ORGANIZATION	EDUCATION	1,000.
Total from continuation sheets				

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FROM K-1 POWERSHARES DB COMMODITY INDEX NORTHERN TRUST #23-46021	43.	0.	43.	43.	
	35,376.	1,484.	33,892.	33,892.	
TO PART I, LINE 4	35,419.	1,484.	33,935.	33,935.	

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST #23-46021 - NONDIVIDEND DISTRIBUTIONS	674.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	674.	0.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
WTAS FEES	11,100.	0.		11,100.
TO FORM 990-PF, PG 1, LN 16B	11,100.	0.		11,100.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
NORTHERN TRUST #23-46021 - INVESTMENT FEES	2,525.	2,525.		0.	
FROM K-1 POWERSHARES DB COMMODITY INDEX TRACKING FUND	740.	740.		0.	
NORTHERN TRUST #23-46021 - AGENCY FEES	5,243.	5,243.		0.	
TO FORM 990-PF, PG 1, LN 16C	8,508.	8,508.		0.	

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
NORTHERN TRUST #23-46021- FOREIGN TAXES PAID	464.	464.		0.	
TO FORM 990-PF, PG 1, LN 18	464.	464.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
NYS FILING FEE	250.	0.		250.	
TO FORM 990-PF, PG 1, LN 23	250.	0.		250.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
NORTHERN TRUST #23-46021 - SEE ATTACHED	715,359.	1,630,652.
NORTHERN TRUST #23-54638 - SEE ATTACHED	210,058.	263,003.
NORTHERN TRUST #23-66180 - SEE ATTACHED	238,783.	329,182.
NORTHERN TRUST #23-18594 - SEE ATTACHED	277,817.	268,956.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,442,017.	2,491,793.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
NORTHERN TRUST #23-46021 - SEE ATTACHED	255,757.	268,805.
TOTAL TO FORM 990-PF, PART II, LINE 10C	255,757.	268,805.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
NORTHERN TRUST #23-46021 - SEE ATTACHED	COST	141,374.	140,233.
TOTAL TO FORM 990-PF, PART II, LINE 13		141,374.	140,233.

Account Statement

December 1, 2013 - December 31, 2013

Account Number 6021
THE PHF FOUNDATION, INC

Portfolio Details

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
Equity Securities - Mid Cap									
SCRIPPS NETWORKS INTERACTIVE INC CL A COM STK (SNJ)***	\$86.410	15,276.00	\$1,319,999.16	\$420,840.80	\$899,158.36		\$9,165.60	0.7%	80.9%
Total Mid Cap			\$1,319,999.16	\$420,840.80	\$899,158.36		\$9,165.60	0.7%	80.9%
Equity Securities - International Developed									
MFC ISHARES TR MSCI EAFE ETF (EFA)	\$67.060	2,200.00	\$147,532.00	\$144,517.78	\$3,014.22		\$3,746.60	2.5%	9.0%
Total Europe, Australia, and Far East Region - USD			\$147,532.00	\$144,517.78	\$3,014.22		\$3,746.60	2.5%	9.0%
MFB NORTHERN MULTI-MANAGER INTL EQUITY FUND (NMIEIX)	10.940	14,910.54	163,121.30	150,000.00	13,121.30		1,848.91	1.1%	10.0%
Total International Region - USD			\$163,121.30	\$150,000.00	\$13,121.30		\$1,848.91	1.1%	10.0%
Total International Developed			\$310,653.30	\$294,517.78	\$16,135.52		\$5,595.51	1.8%	19.1%
Total Equity Securities			\$1,630,652.46	\$715,358.58	\$915,293.88		\$14,761.11	0.9%	100.0%
Fixed Income Securities - Corporate/ Government									
Funds									
MFB NORTHERN HIGH YIELD FIXED INCOME FUND (NHFIX)	\$7.490	10,799.02	\$80,884.66	\$67,682.43	\$13,202.23		\$5,237.52	6.5%	30.1%
Total Corporate/ Government			\$80,884.66	\$67,682.43	\$13,202.23		\$5,237.52	6.5%	30.1%

Continued on next page.



Account Statement

December 1, 2013 - December 31, 2013

Account Number 6021
THE PHFFFOUNDATION, INC

Portfolio Details (continued)

Fixed Income Securities - High Yield									
Funds	Market Price	Par Value/Rating	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield/YTM	% of Assets
MFO FRANKLIN INVS SECS TR ELTC RATE DAILY ACCESS FD ADVISOR (FDAAX)	\$9.210	16,727.80	\$154,063.03	\$154,000.00	\$63.03		\$5,871.46	3.8%	57.3%
Total High Yield			\$154,063.03	\$154,000.00	\$63.03		\$5,871.46	3.8%	57.3%

Fixed Income Securities - Other Bonds									
Funds	Market Price	Par Value/Rating	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield/YTM	% of Assets
MFC FLEXSHARES TR IBOXX 3 YR TARGET DURATION TIPS INDEX FD TR IBOXX 3 YR TARGET DURATION TIPS INDEX FD (TDTT)	\$24.920	680.00	\$16,945.60	\$17,047.60	(\$102.00)		\$71.40	0.4%	6.3%
MFC FLEXSHARES TR IBOXX 5 YR TARGET DURATION TIPS INDEX FD IBOXX 5 YR TARGET DURATION TIPS INDEX FD (TDTF)	24.870	680.00	16,911.60	17,027.20	(115.60)	7.24	261.12	1.5%	6.3%
Total Other Bonds			\$33,857.20	\$34,074.80	(\$217.60)	\$7.24	\$332.52	1.0%	12.6%

Total Fixed Income Securities			\$268,804.89	\$255,757.23	\$13,047.66	\$7.24	\$11,441.50	4.3%	100.0%
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Real Estate - Real Estate Funds									
Funds	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
MFB NORTHERN FDS GLOBAL REAL ESTATE INDEX FD (NGREX)	\$9.070	3,707.74	\$33,629.20	\$34,000.00	(\$370.80)		\$1,149.40	3.4%	100.0%
Total Real Estate Funds			\$33,629.20	\$34,000.00	(\$370.80)		\$1,149.40	3.4%	100.0%

Continued on next page.



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Account Statement

December 1, 2013 - December 31, 2013

Account Number 6021
THE PHFFFOUNDATION, INC

Portfolio Details (continued)

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
Total Real Estate			\$33,629.20	\$34,000.00	(\$370.80)		\$1,149.40	3.4%	100.0%
Commodities - Commodities									
MFC FLEXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD (GUNR)	\$34.310	640.00	\$21,958.40	\$22,118.08	(\$159.68)	\$444.91	\$444.80	2.0%	20.6%
Total Global Region - USD			\$21,958.40	\$22,118.08	(\$159.68)	\$444.91	\$444.80	2.0%	20.6%
MFC POWERSHARES DB COMMODITY INDEX TRACKING FD UNIT BEN INT (DBC)	25.650	3,300.00	84,645.00	85,256.00	(611.00)		2,508.00	3.0%	79.4%
Total Commodities			\$106,603.40	\$107,374.08	(\$770.68)	\$444.91	\$2,952.80	2.8%	100.0%
Total Commodities			\$106,603.40	\$107,374.08	(\$770.68)	\$444.91	\$2,952.80	2.8%	100.0%

Account Statement

December 1, 2013 - December 31, 2013

Account Number 4638.
THE PHFFFOUNDATION.INC-B&G

Portfolio Details

Equity Securities - Large Cap	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
ABBVIE INC COM USD0.01 (ABBY)	\$52.810	146.00	\$7,710.26	\$5,158.91	\$2,551.35		\$233.60	3.0%	2.9%
ALTRIA GROUP INC COM (MO)	38.390	152.00	5,835.28	4,261.96	1,573.32	72.96	291.84	5.0%	2.2%
ANALOG DEVICES INC. COMMON STOCK. \$.16 2/3 PAR (ADI)	50.930	77.00	3,921.61	2,913.43	1,008.18		104.72	2.7%	1.5%
APPLE INC COM STK (AAPL)	561.110	9.00	5,049.99	3,752.62	1,297.37		109.80	2.2%	1.9%
AT&T INC COM IT)	35.160	101.00	3,551.16	3,168.12	383.04		185.84	5.2%	1.4%
AUTOMATIC DATA PROCESSING INC COM (ADP)	80.810	66.00	5,333.46	4,024.81	1,308.65	31.68	126.72	2.4%	2.0%
BAXTER INTL INC COMMON STOCK (BAX)	69.550	60.00	4,173.00	3,571.84	601.16	29.40	117.60	2.8%	1.6%
BLACKROCK INC COM STK (BLK)	316.470	12.00	3,797.64	1,920.33	1,877.31		80.64	2.1%	1.4%
BRISTOL MYERS SQUIBB CO COMMON STOCK (BMY)	53.150	84.00	4,464.60	2,404.10	2,060.50	30.24	120.96	2.7%	1.7%
CHEVRON CORP COM (CVX)	124.910	64.00	7,994.24	6,845.10	1,149.14		256.00	3.2%	3.0%
CISCO SYSTEMS INC COMMON STOCK (CSCO)	22.450	309.00	6,937.05	6,231.04	706.01		210.12	3.0%	2.6%
COCA COLA CO COM (KO)	41.310	134.00	5,535.54	4,579.51	956.03		150.08	2.7%	2.1%
EMERSON ELECTRIC CO COM (EMR)	70.180	102.00	7,158.36	5,398.02	1,760.34		175.44	2.5%	2.7%
GENERAL ELECTRIC CO (GE)	28.030	332.00	9,305.96	7,134.25	2,171.71	73.04	292.16	3.1%	3.5%
HCP INC COM REIT (HCP)	36.320	133.00	4,830.56	5,210.16	(379.60)		279.30	5.8%	1.8%
HEALTH CARE REIT INC COM (HCN)	53.570	95.00	5,089.15	5,070.02	19.13		290.70	5.7%	1.9%

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Account Statement

December 1, 2013 - December 31, 2013

Account Number 4638
THE PHFF FOUNDATION INC-B&G

Portfolio Details (continued)

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
INTEL CORP COM (INTC)	25.960	336.00	8,722.56	7,538.03	1,184.53		302.40	3.5%	3.3%
JOHNSON & JOHNSON COM USD1 (JNJ)	91.590	103.00	9,433.77	7,047.39	2,386.38		271.92	2.9%	3.6%
KIMBERLY-CLARK CORP. COMMON STOCK \$5 PAR (KMB)	104.460	87.00	9,088.02	6,282.07	2,805.95	70.47	281.88	3.1%	3.5%
KRAFT FOODS GROUP INC COM (KFT)	53.920	138.00	7,440.96	6,462.04	978.92	72.45	276.00	3.7%	2.8%
LOCKHEED MARTIN CORP COM (LMT)	148.660	51.00	7,581.66	6,282.55	1,299.11		271.32	3.6%	2.9%
MATTEL INC COM STOCK \$1.00 PAR (MAT)	47.580	139.00	6,613.62	3,695.31	2,918.31		200.16	3.0%	2.5%
MC DONALDS CORP. COMMON STOCK NO PAR (MCO)	97.030	100.00	9,703.00	8,167.43	1,535.57		324.00	3.3%	3.7%
MERCK & CO INC NEW COM (MRK)	50.050	125.00	6,256.25	5,296.92	959.33	55.00	220.00	3.5%	2.4%
MICROSOFT CORP COM (MSFT)	37.430	184.00	6,887.12	5,004.79	1,882.33		206.08	3.0%	2.6%
NEXTERA ENERGY INC COM (NEE)	85.620	31.00	2,654.22	1,777.20	877.02		81.84	3.1%	1.0%
PAYCHEX INC. COMMON STOCK (PAYX)	45.530	84.00	3,824.52	3,384.64	439.88		117.60	3.1%	1.5%
PHILIP MORRIS INTL COM STK NPV (PM)	87.130	73.00	6,360.49	5,181.31	1,179.18	73.32	274.48	4.3%	2.4%
PROCTER & GAMBLE COM NPV (PG)	81.410	79.00	6,431.39	4,595.53	1,835.86		190.07	3.0%	2.4%
QUALCOMM INC COM (QCOM)	74.250	89.00	6,608.25	5,677.80	930.45		124.60	1.9%	2.5%
SPECTRA ENERGY CORP COM STK (SE)	35.620	195.00	6,945.90	6,564.75	381.15		237.90	3.4%	2.6%
US BANCORP (USB)	40.400	188.00	7,595.20	6,572.63	1,022.57	43.24	172.96	2.3%	2.9%
VENTAS INC REIT (VTR)	57.280	35.00	2,004.80	2,847.93	(843.13)		101.50	5.1%	0.8%

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Northern Trust

Account Statement

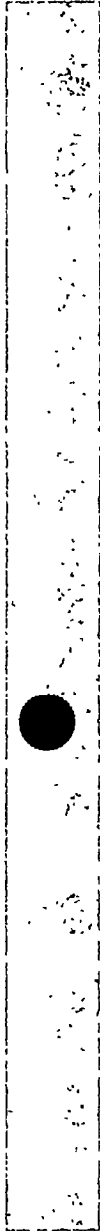
December 1, 2013 - December 31, 2013

Account Number 4638
THE PHFFFOUNDATION INC-B&G

Portfolio Details (continued)

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
WILLIAMS COMPANY INC COMMON STOCK \$1 PAR (WM8)	38.570	209.00	8,061.13	6,163.65	1,897.48		317.68	3.9%	3.1%
Total Large Cap			\$212,900.72	\$170,186.19	\$42,714.53	\$551.80	\$6,997.91	3.3%	80.9%
Equity Securities - Mid Cap									
CULLEN / FROST BANKERS INC COM (CFR)	\$74.430	96.00	\$7,145.28	\$6,969.19	\$176.09		\$192.00	2.7%	2.7%
GALLAGHER ARTHUR J & CO COM (AJG)	46.930	95.00	4,458.35	3,398.66	1,059.69		133.00	3.0%	1.7%
GENUINE PARTS CO COM (GPC)	83.190	47.00	3,909.93	2,554.68	1,355.25	25.26	101.05	2.6%	1.5%
ONEOK INC COM STK (OKE)	62.180	146.00	9,078.28	5,188.43	3,889.85		221.92	2.4%	3.5%
REALTY INCOME CORP COM (O)	37.330	51.00	1,903.83	1,755.12	148.71	9.29	111.49	5.9%	0.7%
TUPPERWARE BRANDS CORPORATION (TUP)	94.530	31.00	2,930.43	2,427.52	502.91	19.22	76.88	2.6%	1.1%
WISCONSIN ENERGY COMMON STOCK (WEC)	41.340	124.00	5,126.16	5,243.08	(116.92)		198.40	3.9%	1.9%
Total Mid Cap			\$34,552.26	\$27,536.68	\$7,015.58	\$53.77	\$1,034.74	3.0%	13.1%
Equity Securities - International Developed									
BCE INC COM NEW (BCE)	\$43.290	104.00	\$4,502.16	\$4,141.35	\$360.81	\$62.07	\$232.65	5.2%	1.7%
Total Canada - USD			\$4,502.16	\$4,141.35	\$360.81	\$62.07	\$232.65	5.2%	1.7%
NOVARTIS AG (NVS)	80.380	79.00	6,350.02	4,383.87	1,966.15		162.50	2.6%	2.4%
Total Switzerland - USD			\$6,350.02	\$4,383.87	\$1,966.15		\$162.50	2.6%	2.4%
GLAXOSMITHKLINE PLC SPONSORED ADR (GSK)	53.390	88.00	4,698.32	3,809.84	888.48	54.21	211.99	4.5%	1.8%

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Account Statement

December 1, 2013 - December 31, 2013

Account Number 4638
THE PHFFFOUNDATION.INC-B&G

Portfolio Details (continued)

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
Total United Kingdom - USD			\$4,698.32	\$3,809.84	\$888.48	\$54.21	\$211.99	4.5%	1.8%
Total International Developed			\$15,550.50	\$12,335.06	\$3,215.44	\$116.28	\$607.14	3.9%	5.9%
Total Equity Securities			\$203,003.48	\$210,057.93	\$52,945.55	\$721.85	\$8,639.79	3.3%	100.0%



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Account Statement

December 1, 2013 - December 31, 2013

Account Number 6180
THE PHFFFOUNDATION, INC - JEN

Portfolio Details

Equity Securities - Large Cap									
	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
ABBOTT LABORATORIES, COMMON STOCK, NO PAR (ABT)	\$38.330	117.00	\$4,484.61	\$4,059.05	\$425.56		\$102.96	2.3%	1.4%
ADOBE SYS INC COM (ADBE)	59.880	55.00	3,293.40	3,009.09	284.31				1.0%
ALEXION PHARMACEUTICALS INC COM (ALXN)	133.060	41.00	5,455.46	3,599.25	1,856.21				1.7%
ALLERGAN INC COM STOCK (AGN)	111.080	50.00	5,554.00	4,643.37	910.63		10.00	0.2%	1.7%
AMAZON COM INC COM (AMZN)	398.790	34.00	13,558.86	7,868.17	5,690.69				4.1%
AMERICAN TOWER CORP (AMT)	79.820	37.00	2,953.34	2,573.44	379.90		42.92	1.5%	0.9%
APPLE INC COM STK (AAPL)	561.110	23.00	12,905.53	11,561.80	1,343.73		280.60	2.2%	3.9%
BIOGEN IDEC INC COM STK (BIIB)	279.750	32.00	8,952.00	4,902.64	4,049.36				2.7%
BOEING CO COM (BA)	136.490	37.00	5,050.13	2,813.11	2,237.02		108.04	2.1%	1.5%
BRISTOL MYERS SQUIBB CO COMMON STOCK (BMY)	53.150	152.00	8,078.80	7,033.00	1,045.80	54.72	218.88	2.7%	2.5%
CELGENE CORP COM (CELG)	168.960	32.00	5,406.72	3,647.77	1,758.95				1.6%
CHIPOTLE MEXICAN GRILL INC COM STK (CMG)	532.780	7.00	3,729.46	2,823.96	905.50				1.1%
COSTCO WHOLESALE CORP NEW COM (COST)	119.010	44.00	5,236.44	3,726.17	1,510.27		54.56	1.0%	1.6%
DISCOVERY COMMUNICATIONS INC NEW COM SERA STK (DISCA)	90.420	52.00	4,701.84	4,125.80	576.04				1.4%
EBAY INC COM USD0.001 (EBAY)	54.890	26.00	1,427.14	1,294.00	133.14				0.4%

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Account Statement

December 1, 2013 - December 31, 2013

Account Number 6180
THE PHFFFOUNDATION, INC - JEN

Portfolio Details (continued)

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
ESTEE LAUDER COMPANIES INC CL A USD0.01 (EL)	75.320	62.00	4,669.84	3,826.46	843.38		49.60	1.1%	1.4%
EXPRESS SCRIPTS HLDG CO COM (ESRX)	70.240	31.00	2,177.44	1,984.42	193.02				0.7%
FACEBOOK INC CL A CL A (FB)	54.660	121.00	6,613.86	3,275.32	3,338.54				2.0%
GILEAD SCIENCES INC (GILD)	75.150	77.00	5,786.55	2,745.38	3,041.17				1.8%
GOLDMAN SACHS GROUP INC COM (GS)	177.260	29.00	5,140.54	3,676.00	1,464.54		63.80	1.2%	1.6%
GOOGLE INC CL A CL A (GOOG)	1,120.710	13.00	14,569.23	8,440.24	6,128.99				4.4%
LINKEDIN CORP CL A (LNKD)	216.830	31.00	6,721.73	3,486.26	3,235.47				2.0%
MASTERCARD INC CL A (MA)	835.460	30.00	25,063.80	15,500.58	9,563.22		132.00	0.5%	7.6%
MEAD JOHNSON NUTRITION COM USD0.01 (MJN)	83.760	39.00	3,266.64	3,011.69	254.95	13.26	53.04	1.6%	1.0%
MERCK & CO INC NEW COM (MRK)	50.050	32.00	1,601.60	1,578.83	22.77	14.08	56.32	3.5%	0.5%
MICHAEL KORS HOLDINGS LTD COM NPV (KORS)	81.190	81.00	6,576.39	4,011.50	2,564.89				2.0%
MONDELEZ INTL INC COM (MDLZ)	35.300	133.00	4,694.90	3,666.46	1,028.44	18.62	74.48	1.6%	1.4%
MONSANTO CO NEW COM (MON)	116.550	67.00	7,808.85	5,710.68	2,098.17		115.24	1.5%	2.4%
MORGAN STANLEY COM STK USD0.01 (MS)	31.360	87.00	2,728.32	1,548.02	1,180.30		17.40	0.6%	0.8%
NETFLIX INC COM STK (NFLX)	368.170	12.00	4,418.04	3,068.86	1,349.18				1.3%
NIKE INC CL B CL B (NKE)	78.640	77.00	6,055.28	4,172.82	1,882.46	18.48	73.92	1.2%	1.8%
NOBLE ENERGY INC COM (NBL)	68.110	22.00	1,498.42	1,488.24	10.18		12.32	0.8%	0.5%
PRECISION CASTPARTS CORP COM (PCP)	269.300	24.00	6,463.20	4,299.18	2,164.02		2.88	0.0%	2.0%

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Account Statement

December 1, 2013 - December 31, 2013

Account Number 6180
THE PHFFFOUNDATION, INC - JEN

Portfolio Details (continued)

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
PRICLINE COM INC COM NEW STK (PCLN)	1,162.400	9.00	10,461.60	6,324.04	4,137.56				3.2%
RALPH LAUREN CORP CL A (RL)	176.570	9.00	1,589.13	1,461.13	128.00	4.05	16.20	1.0%	0.5%
SALESFORCE COM INC COM STK (CRM)	55.190	113.00	6,236.47	4,473.69	1,762.78				1.9%
SCHLUMBERGER LTD COM COM (SLB)	90.110	26.00	2,342.86	2,437.55	(94.69)	8.12	32.50	1.4%	0.7%
STARBUCKS CORP COM (SBUX)	78.390	55.00	4,311.45	3,137.04	1,174.41		57.20	1.3%	1.3%
TESLA MTRS INC COM (TSLA)	150.380	18.00	2,706.84	2,802.62	(95.78)				0.8%
TJX COS INC COMMON NEW (TJX)	63.730	99.00	6,309.27	4,262.95	2,046.32		57.42	0.9%	1.9%
TWENTY-FIRST CENTY FOX INC CL A CL A (FOXA)	35.180	57.00	2,005.26	1,995.03	10.23		9.69	0.5%	0.6%
UNION PAC CORP COM (UNP)	168.000	21.00	3,528.00	2,390.22	1,137.78	16.59	66.36	1.9%	1.1%
UNITED TECHNOLOGIES CORP COM (UTX)	113.800	42.00	4,779.60	3,322.24	1,457.36		99.12	2.1%	1.5%
VERTEX PHARMACEUTICALS INC COM (VRTX)	74.300	47.00	3,492.10	2,365.31	1,126.79				1.1%
VMWARE INC CL A COM CL A COM (VMW)	89.710	48.00	4,306.08	4,498.59	(192.51)				1.3%
WALT DISNEY CO (DIS)	76.400	84.00	6,417.60	3,968.96	2,448.64	72.24	72.24	1.1%	1.9%
WHOLE FOODS MKT INC COM (WFM)	57.830	90.00	5,204.70	4,055.85	1,148.85		9.00	0.2%	1.6%
Total Large Cap			\$270,333.32	\$190,666.78	\$79,666.54	\$220.16	\$1,888.69	0.7%	82.1%
Equity Securities - Mid Cap									
BIOMARIN PHARMACEUTICAL INC COM ISIN CH0008107010 (BMRN)	\$70.270	71.00	\$4,989.17	\$4,635.41	\$353.76				1.5%

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Account Statement

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Account Number 6180
THE PHFFFOUNDATION, INC - JEN

Portfolio Details (continued)

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
CONCHO RES INC COM STK (CXO)	108.000	26.00	2,808.00	2,952.84	(144.84)				0.9%
DUNKIN BRANDS GROUP INC COM (DNKN)	48.200	73.00	3,518.60	2,486.71	1,031.89		43.80	1.2%	1.1%
FMC TECHNOLOGIES INC COM (FTI)	52.210	29.00	1,514.09	1,423.31	90.78				0.5%
ILLUMINA INC COM (ILMN)	110.620	46.00	5,088.52	2,353.62	2,734.90				1.5%
O'REILLY AUTOMOTIVE INC NEW COM USD0.01 (ORLY)	128.710	7.00	900.97	931.36	(30.39)				0.3%
RED HAT INC COM (RHT)	56.040	64.00	3,586.56	3,571.05	15.51				1.1%
SPROUTS FMRS MKT INC COM (SFM)	38.430	20.00	768.60	937.53	(168.93)				0.2%
TRIPADVISOR INC COM COM STK (TRIP)	82.830	28.00	2,319.24	2,154.13	165.11				0.7%
UNDER ARMOUR INC CL A (UA)	87.300	39.00	3,404.70	3,119.25	285.45				1.0%
Total Mid Cap			\$28,898.45	\$24,565.21	\$4,333.24		\$43.80	0.2%	8.8%

Equity Securities - International Developed

CANADIAN PAC RY LTD COM CANADIAN PACIFICRAILWAY LTD (CP)	\$151.320	26.00	\$3,934.32	\$3,075.09	\$859.23	\$8.63	\$34.53	0.9%	1.2%
Total Canada - USD			\$3,934.32	\$3,075.09	\$859.23	\$8.63	\$34.53	0.9%	1.2%
NOVO-NORDISK A S ADR (NVO)	184.760	30.00	5,542.80	4,664.20	878.60		67.92	1.2%	1.7%
Total Denmark - USD			\$5,542.80	\$4,664.20	\$878.60		\$67.92	1.2%	1.7%
PERRIGO COMPANY LIMITED COM EURO.001 (PRGO)	153.460	22.00	3,376.12	3,348.51	27.61				1.0%
Total Ireland - USD			\$3,376.12	\$3,348.51	\$27.61		\$0.00	0.0%	1.0%

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Account Statement

December 1, 2013 - December 31, 2013

Account Number 6180
THE PHFFFOUNDATION, INC - JEN

Portfolio Details (continued)

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
LUXOTTICA GROUP S P A SPONSORED ADR (LUX)	53.920	76.00	4,097.92	3,704.26	393.66		45.60	1.1%	1.2%
Total Italy - USD			\$4,097.92	\$3,704.26	\$393.66		\$45.60	1.1%	1.2%
ARM HLDs PLC SPONSORED (SIN USD0.001068 (ARMH))	54.740	49.00	2,682.26	1,845.55	836.71		9.90	0.4%	0.8%
DIAGEO PLC SPONSORED ADR NEW (DEO)	132.420	13.00	1,721.46	1,552.53	168.93		38.97	2.3%	0.5%
Total United Kingdom - USD			\$4,403.72	\$3,398.08	\$1,005.64		\$48.87	1.1%	1.3%
Total International Developed			\$21,354.88	\$18,190.14	\$3,164.74	\$8.63	\$196.92	0.9%	6.5%
Equity Securities - Other Equity									
SPLUNK INC COMSTK COM USD0.001 (SPLK)	\$68.670	51.00	\$3,502.17	\$1,748.77	\$1,753.40				1.1%
TABLEAU SOFTWARE INC CL A CL A (DATA)	68.930	10.00	689.30	642.03	47.27				0.2%
TWITTER INC COM (TWTR)	63.650	30.00	1,909.50	1,299.12	610.38				0.6%
WORKDAY INC CL A COM USD0.001 (WDAY)	83.160	30.00	2,494.80	1,671.42	823.38				0.8%
Total Other Equity			\$8,595.77	\$5,361.34	\$3,234.43				2.6%
Total Equity Securities			\$329,182.42	\$238,783.47	\$90,398.95	\$228.79	\$2,129.41	0.6%	100.0%

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Account Statement

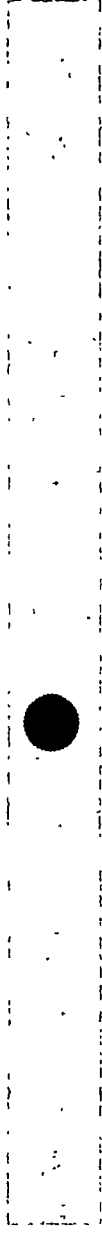
December 1, 2013 - December 31, 2013

Account Number 8594
THE PHF FOUNDATION.INC-LZRD

Portfolio Details

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
Equity Securities - Large Cap									
PT ASTRA INTL TBK (PTAY)	\$11.150	489.00	\$5,452.35	\$5,603.99	(\$151.64)		\$144.26	2.6%	2.0%
STANDARD BK GROUP LTD SPONSORED ADR (SGBLY)	12.480	326.00	4,068.48	4,176.06	(107.58)		124.86	3.1%	1.5%
VODACOM GROUP LIMITED SPONSORED ADR (VDMCY)	12.750	271.00	3,455.25	3,138.18	317.07		173.17	5.0%	1.3%
Total Large Cap			\$12,976.08	\$12,918.23	\$57.85		\$442.28	3.4%	4.8%
Equity Securities - Mid Cap									
ORASCOM CONSTR INDS S A E SPONSORED ADR (ORSCY)	\$37.000	120.00	\$4,440.00	\$4,665.60	(\$225.60)		\$249.60	5.6%	1.7%
Total Mid Cap			\$4,440.00	\$4,665.60	(\$225.60)		\$249.60	5.6%	1.7%
Equity Securities - International Emerging									
BANCO MARCO SA (BMA)	\$24.270	179.00	\$4,344.33	\$4,688.01	(\$343.68)		\$531.99	12.2%	1.6%
YPF SOCIEDAD ANONIMA SPONSORED ADR REPSTG CL D SHS (YPF)	32.960	306.00	10,085.76	6,469.72	3,616.04		1,106.90	11.0%	3.7%
Total Argentina - USD			\$14,430.09	\$11,157.73	\$3,272.36		\$1,638.89	11.4%	5.4%
AMBEV SA SPONSORED ADR (ABEV)	7.350	961.00	7,063.35	7,168.00	(104.65)		934.09	13.2%	2.6%
BANCO DO BRASIL S A SPONSORED ADR (BDORY)	10.383	840.00	8,721.72	11,153.82	(2,432.10)		405.72	4.7%	3.2%
CIELO S A SPONSORED ADR (CIOXY)	28.000	247.00	6,916.00	7,612.54	(696.54)		229.96	3.3%	2.6%

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Account Statement

December 1, 2013 - December 31, 2013

Account Number 8594
THE PHF FOUNDATION, INC-LZRD

Portfolio Details (continued)

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
VALES A ADR REPSTG PFD PREF ADR (VALE/P)	14.010	294.00	4,118.94	4,330.62	(211.68)		38.51	0.9%	1.5%
Total Brazil - USD			\$26,820.01	\$30,264.98	(\$3,444.97)	\$82.99	\$1,608.28	6.0%	10.0%
WYNN MACAU LTD ADR COM STK (WYNNMY)	45.480	140.00	6,367.20	5,385.80	981.40		299.88	4.7%	2.4%
Total Cayman Islands - USD			\$6,367.20	\$5,385.80	\$981.40		\$299.88	4.7%	2.4%
BAIDU INC SPONSORED ADR (BIDU)	177.880	48.00	8,538.24	7,713.13	825.11				3.2%
CHINA CONSTR BK CORP ADR (CICHY)	15.180	670.00	10,170.60	10,418.50	(247.90)		489.77	4.8%	3.8%
CHINA MOBILE LTD (CHL)	52.290	151.00	7,895.79	7,897.30	(1.51)		304.27	3.9%	2.9%
CNOOC LTD SPONSORED ADR SPONSORED ADR (CEO)	187.660	26.00	4,879.16	5,276.96	(397.80)		171.99	3.5%	1.8%
NETEASE INC (NTES)	78.600	94.00	7,388.40	6,361.21	1,027.19				2.7%
WEICHAI PWR CO LTD ADR (WEICY)	16.240	305.00	4,953.20	4,889.15	64.05		52.16	1.1%	1.8%
Total China - USD			\$43,825.39	\$42,556.25	\$1,269.14		\$1,018.18	2.3%	16.3%
COMMERCIAL INTL BK EGYPT S A E AMERDEPOSITORY RCPT (CIBEY)	4.500	946.00	4,257.00	3,783.36	473.64		102.17	2.4%	1.6%
Total Egypt - USD			\$4,257.00	\$3,783.36	\$473.64		\$102.17	2.4%	1.6%
PERUSAHAAN PERSEROAN PERSERO PT TELEKOMUNIKASI INDONESIA SPONSORED ADR (TLK)	35.850	166.00	5,951.10	6,783.64	(832.54)		189.97	3.2%	2.2%
PT BANK MANDIRI TBK UNSPON ADR (PPERY)	6.460	955.00	6,169.30	7,286.65	(1,117.35)		141.34	2.3%	2.3%
PT SEMEN INDONESIA (PERSERO) TBK (PSGTY)	23.450	180.00	4,221.00	4,595.40	(374.40)		189.00	4.5%	1.6%

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Account Statement

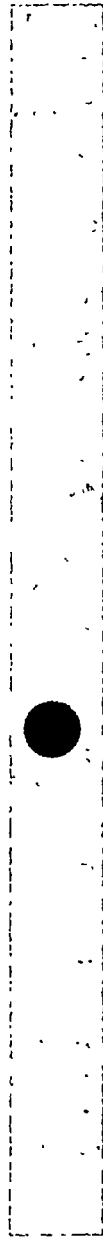
December 1, 2013 - December 31, 2013

Account Number 8594
THE PHF FOUNDATION, INC.-LZRD

Portfolio Details (continued)

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
PT UTD TRACTORS TRK ADR (PUTKY)	31.560	112.00	3,534.72	3,576.16	(41.44)		93.63	2.6%	1.3%
Total Indonesia - USD			\$19,876.12	\$22,241.85	(\$2,365.73)		\$613.94	3.1%	7.4%
K8 FINL GROUP INC SPONSORED ADR REPSTG 1 COM SH ADR (KB)	40.510	192.00	7,777.92	7,500.08	277.84		74.88	1.0%	2.9%
SHINHAN FINL GROUP CO LTD SPONSORED ADR (SHG)	45.700	196.00	8,957.20	8,532.43	424.77		258.34	2.9%	3.3%
Total KOREA, REPUBLIC OF - USD			\$16,735.12	\$16,032.51	\$702.61		\$333.22	2.0%	6.2%
GRUPO TELEVISIA SA DE CV SPONSORED ADR REPSTG ORD PARTN SPONS ADR (TV)	30.260	151.00	4,569.26	4,621.07	(51.81)	18.84	37.90	0.8%	1.7%
KIMBERLY-CLARK DE MEXICO SAB D C.V. (KCDMY)	14.220	387.00	5,503.14	5,897.88	(394.74)		197.76	3.6%	2.0%
Total Mexico - USD			\$10,072.40	\$10,518.95	(\$446.55)	\$18.84	\$235.66	2.3%	3.7%
PHILIPPINE LONG DISTANCE TEL CO SPONSORED ADR (PHI)	60.080	100.00	6,008.00	6,597.38	(589.38)		247.86	4.1%	2.2%
Total Philippines - USD			\$6,008.00	\$6,597.38	(\$589.38)		\$247.86	4.1%	2.2%
MOBILE TELESYSTEMS OJSC SPONSORED (MBT)	21.630	427.00	9,236.01	9,746.19	(510.18)		429.14	4.6%	3.4%
OAO GAZPROM LEVEL 1 ADR (OGZPY)	8.650	882.00	7,629.30	8,234.56	(605.26)		252.25	3.3%	2.8%
OIL CO LUKOIL SPONSORED ADR (LUKOY)	63.120	64.00	4,039.68	4,195.33	(155.65)		233.98	5.8%	1.5%
SBERBANK RUSSIA SPONSORED ADR (SBRCY)	12.570	764.00	9,603.48	9,794.48	(191.00)		185.65	1.9%	3.6%
Total Russian Federation - USD			\$30,508.47	\$31,970.56	(\$1,462.09)		\$1,101.02	3.6%	11.3%
BIDVEST GROUP LTD SPONSORED ADR 2008ADR (BDVSY)	51.540	110.00	5,669.40	5,898.20	(228.80)		136.07	2.4%	2.1%

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December 1, 2013 - December 31, 2013

Account Number 8594
THE PHF FOUNDATION INC-LZRD

Portfolio Details (continued)

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
CLICKS GROUP LTD SPONSORED ADR (CLCGY)	12.099	433.00	5,238.86	5,451.47	(212.61)		110.42	2.1%	1.9%
IMPERIAL HDGS LTD SPONSORED ADR NEW (IHLDY)	19.350	248.00	4,798.80	5,322.08	(523.28)		168.64	3.5%	1.8%
NEDBANK GROUP LTD SPONSORED ADR (NDBKY)	20.070	281.00	5,639.67	6,149.69	(510.02)		191.08	3.4%	2.1%
PPC LTD ADR (PPCY)	5.930	431.00	2,555.83	2,736.85	(181.02)		100.42	3.9%	1.0%
SANLAM LTD SPONSORED ADR (SLLDY)	25.400	196.00	4,978.40	5,297.88	(319.48)		195.22	3.9%	1.9%
SHOPRIE HDGS LTD SPONSORED ADR (SRGHY)	15.750	256.00	4,032.00	4,720.64	(688.64)		137.98	3.4%	1.5%
TIGER BRANDS LTD SPONSORED ADR NEW (TBLMY)	25.660	135.00	3,464.10	3,986.55	(522.45)		92.48	2.7%	1.3%
WOOLWORTHS HDGS LTD SPONSORED GDR NEW (WLWHY)	70.821	65.00	4,603.34	4,903.63	(300.29)		131.89	2.9%	1.7%
Total South Africa - USD			\$40,980.40	\$44,466.99	(\$3,486.59)		\$1,264.19	3.1%	15.2%
TAIWAN SEMICONDUCTOR MFG CO LTD SPONSORED ADR (TSM)	17.440	472.00	8,231.68	8,765.04	(533.36)		189.27	2.3%	3.1%
Total Taiwan - USD			\$8,231.68	\$8,765.04	(\$533.36)		\$189.27	2.3%	3.1%
PTT EXPL & PRODIN PUB LTD SPONSORED ADR (PEXNY)	11.000	309.00	3,399.00	3,393.75	5.25		78.74	2.3%	1.3%
Total Thailand - USD			\$3,399.00	\$3,393.75	\$5.25		\$78.74	2.3%	1.3%
AKBANK TURK ANONIM SIRKETI ADR (AKBTY)	6.250	748.00	4,675.00	5,587.18	(912.18)		88.26	1.9%	1.7%
KOC HDG A S ADR ADR (KHOLY)	20.610	304.00	6,265.44	7,490.80	(1,225.36)		125.25	2.0%	2.3%
TURKCELL ILETISIM HIZMETLERI A S SPONSORED ADR NEW (TKC)	13.350	338.00	4,512.30	5,298.86	(786.56)		199.38	4.4%	1.7%

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Northern Trust



Account Statement

December 1, 2013 - December 31, 2013

Account Number 8594
THE PHF FOUNDATION, INC-LZRD

Portfolio Details (continued)

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
Total Turkey - USD			\$15,452.74	\$18,376.84	(\$2,924.10)		\$412.89	2.7%	5.7%
Total International Emerging			\$246,963.62	\$255,511.99	(\$8,548.37)	\$101.83	\$9,144.20	3.7%	91.8%
Equity Securities - Other Equity									
ORIFLAME COSMETICS SA SPONSORED ADR (ORFLV)	\$15.460	296.00	\$4,576.16	\$4,721.20	(\$145.04)		\$278.24	6.1%	1.7%
Total Other Equity			\$4,576.16	\$4,721.20	(\$145.04)		\$278.24	6.1%	1.7%
Total Equity Securities			\$268,955.86	\$277,817.02	(\$8,861.16)	\$101.83	\$10,114.32	3.8%	100.0%