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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
THE PHILIPPE & DEBORAH DAUMAN FOUNDATION
C/O PHILIPPE AND DEBORAH DAUMAN

A Employer identification number
13-4097014

Number and street (or P O box number if mail is not delivered to street address) 121 EAST 65TH STREET | Room/suite

B Telephone number (see instructions)
(212) 846-4900

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 100657006

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 15,203,775

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	6,488,409			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	142	142		
	4	Dividends and interest from securities	183,571	183,571		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	435,375			
	b	Gross sales price for all assets on line 6a 17,018,169				
	7	Capital gain net income (from Part IV, line 2)		5,638,849		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	353	353		
	12	**Total.** Add lines 1 through 11	7,107,850	5,822,915		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	8,320	8,320		0
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	78,913	78,663		250
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	43,237	43,102		135
	24	**Total operating and administrative expenses.** Add lines 13 through 23	130,470	130,085		385
	25	Contributions, gifts, grants paid	917,582			917,582
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,048,052	130,085		917,967
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	6,059,798			
	b	**Net investment income** (if negative, enter -0-)		5,692,830		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	826,531	3,575,690	3,575,690
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	7,342,221	10,652,860	11,628,085
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	8,168,752	14,228,550	15,203,775
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	7,345,147	13,404,945	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	823,605	823,605	
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	8,168,752	14,228,550	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	8,168,752	14,228,550	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,168,752
2	Enter amount from Part I, line 27a	2	6,059,798
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	14,228,550
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	14,228,550

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	5,638,849
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	419,740	5,821,074	0 072107
2011	396,213	4,506,854	0 087913
2010	211,308	3,773,016	0 056005
2009	130,208	3,535,496	0 036829
2008	251,063	4,108,328	0 061111

2	**Total** of line 1, column (d)	2	0 313965
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 062793
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	9,830,081
5	Multiply line 4 by line 3	5	617,260
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	56,928
7	Add lines 5 and 6	7	674,188
8	Enter qualifying distributions from Part XII, line 4	8	917,967

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	56,928
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	56,928
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	56,928
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	39,139		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	46,600		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	85,739
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	68
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	28,743
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 28,743 **Refunded** ▶			11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10	Yes	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶PHILIPPE DAUMAN Telephone no ▶(212) 846-4900

Located at ▶121 EAST 65TH ST NEW YORK NY ZIP+4 ▶10065

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PHILIPPE P DAUMAN 121 EAST 65TH STREET NEW YORK, NY 10065	PRESIDENT 0 50	0	0	0
DEBORAH ROSS DAUMAN 121 EAST 65TH STREET NEW YORK, NY 10065	SEC/TREAS 0 50	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶	0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	9,378,538
b	Average of monthly cash balances	1b	601,240
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	9,979,778
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	9,979,778
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	149,697
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	9,830,081
6	**Minimum investment return.** Enter 5% of line 5	6	491,504

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	491,504
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a: 56,928		
b	Income tax for 2013 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	56,928
3	Distributable amount before adjustments Subtract line 2c from line 1	3	434,576
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	434,576
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	434,576

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	917,967
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	917,967
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	56,928
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	861,039

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				434,576
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008. 906				
b From 2009.				
c From 2010. 23,703				
d From 2011. 225,114				
e From 2012. 206,396				
f **Total** of lines 3a through e.	456,119			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 917,967				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				434,576
e Remaining amount distributed out of corpus	483,391			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	939,510			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	906			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	938,604			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . . 23,703				
c Excess from 2011. . . . 225,114				
d Excess from 2012. . . . 206,396				
e Excess from 2013. . . . 483,391				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

PHILIPPE P DAUMAN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

PHILIPPE P DAUMAN
121 EAST 65TH ST
NEW YORK, NY 10065
(212) 846-4900

b The form in which applications should be submitted and information and materials they should include

NO SPECIFIC FORM

c Any submission deadlines

NO SUBMISSION DEADLINES

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

DISTRIBUTIONS LIMITED TO ORGANIZATIONS THAT CARRY OUT THE PURPOSE OF THE FOUNDATION

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Additional Data Table				
Total . . . . ▶ 3a				917,582
b *Approved for future payment*				
Total . . . . ▶ 3b				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	142	
4 Dividends and interest from securities. . . .			14	183,571	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			18	353	
8 Gain or (loss) from sales of assets other than inventory			18	435,375	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		619,441	0

13 **Total.** Add line 12, columns (b), (d), and (e). 13 619,441

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	**1a(1)**		No
	(2) Other assets.	**1a(2)**		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
	(4) Reimbursement arrangements.	**1b(4)**		No
	(5) Loans or loan guarantees.	**1b(5)**		No
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2014-11-13	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
SCOTT O'SULLIVAN				P00829351

Firm's name ▶	MARGOLIN WINER & EVENS LLP	Firm's EIN ▶ 11-1558868
Firm's address ▶	400 GARDEN CITY PLAZA GARDEN CITY, NY 115303323	Phone no (516) 747-2000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
FROM K-1 POWERSHARES DB	P		
FROM K-1 POWERSHARES DB	P		
FROM K-1 POWERSHARES DB	P		
3M CO	P	2013-06-13	2013-09-06
BERKSHIRE HATHAWAY	P	2013-06-13	2013-07-24
GLAXOSMITHKLINE PLC	P	2013-06-13	2013-08-09
MALLINCKRODT PUB LTD	P	2013-06-13	2013-07-09
OMNICON GROUP INC	P	2013-06-13	2013-08-01
OMNICON GROUP INC	P	2013-06-13	2013-08-08
OMNICON GROUP INC	P	2013-06-13	2013-08-12
OMNICON GROUP INC	P	2013-06-13	2013-08-15
OMNICON GROUP INC	P	2013-06-13	2013-08-16
OMNICON GROUP INC	P	2013-06-13	2013-08-20
SYSCO CORP	P	2013-06-13	2013-12-12
SYSCO CORP	P	2013-06-13	2013-12-12
SYSCO CORP	P	2013-06-13	2013-12-13
SYSCO CORP	P	2013-06-13	2013-12-17
TE CONNECTIVITY LTD	P	2013-06-05	2013-11-05
TE CONNECTIVITY LTD	P	2013-09-05	2013-11-08
TE CONNECTIVITY LTD	P	2013-09-05	2013-11-12
TIME WARNER INC NEW	P	2013-06-13	2013-08-20
TIME WARNER INC NEW	P	2013-06-13	2013-08-21
APPLE INC	P	2013-06-06	2013-08-23
CHARLES SCHWAB CORP	P	2013-06-06	2013-07-18
CHARLES SCHWAB CORP	P	2013-09-05	2013-11-01
COGNIZANT TECHNOLOGY SOLUTIONS	P	2013-06-06	2013-09-09
GILEAD SCIENCES	P	2013-09-05	2013-11-13
MONSTER BEVERAGE CORP	P	2013-06-06	2013-06-18
PERRIGO CO MERGER	P	2013-09-05	2013-12-19
PRICELINE COM	P	2013-09-05	2013-10-15

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3D SYSTEMS CORP DEL	P	2013-09-05	2013-11-18
AMERICAN PUBLIC EDUCATION	P	2013-09-05	2013-12-24
CONCUR TECHNOLOGIES INC	P	2013-09-05	2013-10-03
COSTAR GROUP	P	2013-09-05	2013-10-22
DEALERTRACK TECHNOLOGY	P	2013-06-13	2013-08-23
INNERWORKINGS INC	P	2013-09-05	2013-11-18
JACOBS ENGINEERING GROUP	P	2013-06-13	2013-08-26
NIC INC	P	2013-06-13	2013-08-23
POLYPORE INTERNATIONAL	P	2013-06-13	2013-08-12
POLYPORE INTERNATIONAL	P	2013-06-13	2013-08-13
POLYPORE INTERNATIONAL	P	2013-06-13	2013-08-15
POLYPORE INTERNATIONAL	P	2013-06-13	2013-08-16
POTASH CORP SASKATCHEWAN	P	2013-06-13	2013-10-22
POTASH CORP SASKATCHEWAN	P	2013-09-05	2013-12-06
QUALCOMM INC	P	2013-06-13	2013-08-12
RESMED INC	P	2013-06-13	2013-08-23
RESMED INC	P	2013-06-13	2013-09-20
RESMED INC	P	2013-06-13	2013-09-27
T ROWE PRICE GROUP	P	2013-06-13	2013-12-09
TYLER TECHNOLOGIES INC	P	2013-09-05	2013-10-03
TYLER TECHNOLOGIES INC	P	2013-09-05	2013-11-01
AMGEN INC	P	1993-03-18	2013-12-18
ARCHER DANIELS MIDLAND	P	1989-10-02	2013-06-10
BAKER HUGHES INC	P	1999-12-29	2013-09-03
BANK OF NY MELLON	P	1988-08-25	2013-11-08
BP PLC	P	1999-12-29	2013-09-03
CENOVUS ENERGY	P	2004-05-10	2013-09-03
ENCANA CORP	P	2004-05-10	2013-09-03
JP MORGAN CHASE	P	2001-01-02	2013-09-03
KRAFT FOODS GROUP	P	2004-05-21	2013-09-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
PFIZER INC	P	2009-10-16	2013-09-03
PHILLIPS 66	P	1999-12-29	2013-09-03
SIMON PROPERTY GROUP	P	2009-11-16	2013-09-03
CULLEN INTER HIGH DIVID-I	P	2013-10-23	2013-11-27
JPM REAL ESTATE FUND	P	2011-03-01	2013-12-20
JPM REAL ESTATE FUND	P	2011-03-03	2013-12-20
JPM REAL ESTATE FUND	P	2011-04-08	2013-12-20
SPDR S&P 500 ETF TRUST	P	2011-02-22	2013-10-23
SPDR S&P 500 ETF TRUST	P	2011-03-01	2013-10-23
SPDR S&P 500 ETF TRUST	P	2013-06-26	2013-10-23
SPDR S&P 500 ETF TRUST	P	2011-04-18	2013-10-23
JPM US LARGE CAP CORE	P	2013-08-29	2013-10-23
PAYDEN HIGH INCOME FUND	P	2011-02-24	2013-09-11
PAYDEN HIGH INCOME FUND	P	2011-02-28	2013-09-11
PAYDEN HIGH INCOME FUND	P	2011-03-03	2013-09-11
PAYDEN HIGH INCOME FUND	P	2011-03-31	2013-09-11
PAYDEN HIGH INCOME FUND	P	2011-04-29	2013-09-11
PAYDEN HIGH INCOME FUND	P	2011-05-04	2013-09-11
PAYDEN HIGH INCOME FUND	P	2013-06-28	2013-09-11
PAYDEN HIGH INCOME FUND	P	2011-05-31	2013-09-11
PAYDEN HIGH INCOME FUND	P	2011-06-30	2013-09-11
PAYDEN HIGH INCOME FUND	P	2011-07-08	2013-09-11
PAYDEN HIGH INCOME FUND	P	2011-07-15	2013-09-11
PAYDEN HIGH INCOME FUND	P	2011-07-29	2013-09-11
PAYDEN HIGH INCOME FUND	P	2011-08-31	2013-09-11
PAYDEN HIGH INCOME FUND	P	2011-09-30	2013-09-11
PAYDEN HIGH INCOME FUND	P	2011-10-31	2013-09-11
PAYDEN HIGH INCOME FUND	P	2011-11-30	2013-09-11
PAYDEN HIGH INCOME FUND	P	2011-12-27	2013-09-11
PAYDEN HIGH INCOME FUND	P	2011-12-30	2013-09-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
PAYDEN HIGH INCOME FUND	P	2012-01-31	2013-09-11
PAYDEN HIGH INCOME FUND	P	2012-02-29	2013-09-11
PAYDEN HIGH INCOME FUND	P	2012-03-30	2013-09-11
PAYDEN HIGH INCOME FUND	P	2012-04-30	2013-09-11
PAYDEN HIGH INCOME FUND	P	2012-05-31	2013-09-11
PAYDEN HIGH INCOME FUND	P	2012-06-29	2013-09-11
PAYDEN HIGH INCOME FUND	P	2012-07-31	2013-09-11
PAYDEN HIGH INCOME FUND	P	2012-08-31	2013-09-11
PAYDEN HIGH INCOME FUND	P	2012-09-28	2013-09-11
PAYDEN HIGH INCOME FUND	P	2012-10-24	2013-09-11
PAYDEN HIGH INCOME FUND	P	2012-11-01	2013-09-11
PAYDEN HIGH INCOME FUND	P	2012-11-30	2013-09-11
PAYDEN HIGH INCOME FUND	P	2012-12-31	2013-09-11
PAYDEN HIGH INCOME FUND	P	2013-07-31	2013-09-11
PAYDEN HIGH INCOME FUND	P	2013-02-28	2013-09-11
PAYDEN HIGH INCOME FUND	P	2013-08-30	2013-09-11
EATON VANCE FLOATING RATE	P	2011-02-24	2013-09-11
EATON VANCE FLOATING RATE	P	2011-03-01	2013-09-11
EATON VANCE FLOATING RATE	P	2011-03-03	2013-09-11
EATON VANCE FLOATING RATE	P	2011-04-01	2013-09-11
EATON VANCE FLOATING RATE	P	2011-05-02	2013-09-11
EATON VANCE FLOATING RATE	P	2011-05-23	2013-09-11
EATON VANCE FLOATING RATE	P	2011-06-01	2013-09-11
EATON VANCE FLOATING RATE	P	2011-07-01	2013-09-11
EATON VANCE FLOATING RATE	P	2011-08-01	2013-09-11
EATON VANCE FLOATING RATE	P	2011-09-01	2013-09-11
EATON VANCE FLOATING RATE	P	2011-10-03	2013-09-11
EATON VANCE FLOATING RATE	P	2011-11-01	2013-09-11
EATON VANCE FLOATING RATE	P	2011-12-01	2013-09-11
EATON VANCE FLOATING RATE	P	2012-01-03	2013-09-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
EATON VANCE FLOATING RATE	P	2012-02-01	2013-09-11
EATON VANCE FLOATING RATE	P	2012-03-01	2013-09-11
EATON VANCE FLOATING RATE	P	2012-04-02	2013-09-11
EATON VANCE FLOATING RATE	P	2012-05-01	2013-09-11
EATON VANCE FLOATING RATE	P	2012-06-01	2013-09-11
EATON VANCE FLOATING RATE	P	2012-07-02	2013-09-11
EATON VANCE FLOATING RATE	P	2012-08-01	2013-09-11
EATON VANCE FLOATING RATE	P	2012-09-04	2013-09-11
EATON VANCE FLOATING RATE	P	2012-10-01	2013-09-11
EATON VANCE FLOATING RATE	P	2012-10-24	2013-09-11
EATON VANCE FLOATING RATE	P	2012-11-01	2013-09-11
EATON VANCE FLOATING RATE	P	2012-12-03	2013-09-11
EATON VANCE FLOATING RATE	P	2013-01-02	2013-09-11
EATON VANCE FLOATING RATE	P	2013-01-15	2013-09-11
EATON VANCE FLOATING RATE	P	2013-02-01	2013-09-11
EATON VANCE FLOATING RATE	P	2013-04-01	2013-09-11
EATON VANCE FLOATING RATE	P	2013-05-01	2013-09-11
EATON VANCE FLOATING RATE	P	2013-06-03	2013-09-11
EATON VANCE FLOATING RATE	P	2013-07-01	2013-09-11
EATON VANCE FLOATING RATE	P	2013-08-01	2013-09-11
EATON VANCE FLOATING RATE	P	2013-09-03	2013-09-11
JPM EX-G4 CURR STR FD	P	2013-04-10	2013-09-11
CS AMERICAS MARKET PLUS	P	2012-02-10	2013-08-20
ISHARES MSCI ALL COUNTRY ASIA	P	2012-10-24	2013-06-26
VANGUARD FTSE EMERGING MARKETS	P	2012-06-14	2013-06-06
VANGUARD FTSE EMERGING MARKETS	P	2012-09-17	2013-06-06
VANGUARD FTSE EMERGING MARKETS	P	2012-10-24	2013-06-06
ISHARES CORE S&P MID-CAP ETF	P	2012-04-23	2013-06-06
ISHARES CORE S&P MID-CAP ETF	P	2012-05-07	2013-06-06
POWERSHARES DB COMMODITY	P	2011-03-01	2013-06-05

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
POWERSHARES DB COMMODITY	P	2012-10-24	2013-06-05
SPDR GOLD TRUST	P	2011-03-03	2013-06-05
SPDR GOLD TRUST	P	2012-10-24	2013-06-05
SPDR GOLD TRUST	P	2012-12-31	2013-06-05
COLLEN INTER-HIGH DIV	P	2013-01-15	2013-06-06
SPDR GOLD TRUST	P	2012-10-24	2013-06-03
POWERSHARES DB COMMODITY	P	2011-03-01	2013-06-03
POWERSHARES DB COMMODITY	P	2011-04-18	2013-06-03
DREYFUS EMG MKT DEBT LOC	P	2012-10-24	2013-06-05
DREYFUS EMG MKT DEBT LOC	P	2012-12-31	2013-06-05
EATON VANCE GLOBAL MACRO	P	2011-05-23	2013-06-05
EATON VANCE GLOBAL MACRO	P	2011-07-08	2013-06-05
EATON VANCE GLOBAL MACRO	P	2011-07-15	2013-06-05
JPM EX-G4 CURR STR FD	P	2013-04-10	2013-06-05
JPM SHORT DURATION BOND FD	P	2011-02-24	2013-06-05
JPM SHORT DURATION BOND FD	P	2011-03-01	2013-06-05
JPM SHORT DURATION BOND FD	P	2011-03-03	2013-06-05
JPM SHORT DURATION BOND FD	P	2011-04-01	2013-06-05
JPM SHORT DURATION BOND FD	P	2011-05-02	2013-06-05
JPM SHORT DURATION BOND FD	P	2011-06-01	2013-06-05
JPM SHORT DURATION BOND FD	P	2011-07-01	2013-06-05
JPM SHORT DURATION BOND FD	P	2013-02-01	2013-06-05
JPM SHORT DURATION BOND FD	P	2013-03-01	2013-06-05
JPM SHORT DURATION BOND FD	P	2013-04-01	2013-06-05
JPM SHORT DURATION BOND FD	P	2011-10-03	2013-06-05
JPM SHORT DURATION BOND FD	P	2011-11-01	2013-06-05
JPM SHORT DURATION BOND FD	P	2011-12-01	2013-06-05
JPM SHORT DURATION BOND FD	P	2011-12-16	2013-06-05
JPM SHORT DURATION BOND FD	P	2011-12-16	2013-06-05
JPM SHORT DURATION BOND FD	P	2012-01-03	2013-06-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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JPM SHORT DURATION BOND FD	P	2012-02-01	2013-06-05
JPM SHORT DURATION BOND FD	P	2012-03-01	2013-06-05
JPM SHORT DURATION BOND FD	P	2012-04-02	2013-06-05
JPM SHORT DURATION BOND FD	P	2012-05-01	2013-06-05
JPM SHORT DURATION BOND FD	P	2012-06-01	2013-06-05
JPM SHORT DURATION BOND FD	P	2012-06-14	2013-06-05
JPM SHORT DURATION BOND FD	P	2012-07-02	2013-06-05
JPM SHORT DURATION BOND FD	P	2012-08-01	2013-06-05
JPM SHORT DURATION BOND FD	P	2013-05-01	2013-06-05
JPM SHORT DURATION BOND FD	P	2012-10-24	2013-06-05
JPM SHORT DURATION BOND FD	P	2012-11-01	2013-06-05
JPM SHORT DURATION BOND FD	P	2012-12-03	2013-06-05
JPM SHORT DURATION BOND FD	P	2012-12-14	2013-06-05
JPM SHORT DURATION BOND FD	P	2012-12-14	2013-06-05
JPM SHORT DURATION BOND FD	P	2013-01-02	2013-06-05
JPM TR I INFL MANAGED BOND	P	2012-02-09	2013-06-05
JPM TR I INFL MANAGED BOND	P	2012-10-24	2013-06-05
JPM TR I INFL MANAGED BOND	P	2013-04-10	2013-06-05
DOUBLELINE TOTAL RETURN BD	P	2012-04-23	2013-06-05
DOUBLELINE TOTAL RETURN BD	P	2012-09-17	2013-06-05
DOUBLELINE TOTAL RETURN BD	P	2012-10-24	2013-06-05
DOUBLELINE TOTAL RETURN BD	P	2012-10-24	2013-06-03
PAYDEN HIGH INCOME FUND	P	2011-05-04	2013-06-03
PAYDEN HIGH INCOME FUND	P	2011-05-23	2013-06-03
PAYDEN HIGH INCOME FUND	P	2013-04-30	2013-06-03
PAYDEN HIGH INCOME FUND	P	2013-05-31	2013-06-03
UBS PALLADIUM CBEN	P	2012-04-13	2013-04-25
VANGUARD FTSE EUROPE	P	2012-09-18	2013-04-10
VANGUARD FTSE EUROPE	P	2012-10-24	2013-04-10
ISHARES MSCI EAFE INDEX	P	2012-10-24	2013-04-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
PIMCO FDS	P	2013-01-15	2013-04-10
JPM INTL CURRENCY INCOME FD	P	2011-03-01	2013-04-10
JPM INTL CURRENCY INCOME FD	P	2011-03-03	2013-04-10
JPM INTL CURRENCY INCOME FD	P	2011-07-08	2013-04-10
JPM INTL CURRENCY INCOME FD	P	2011-07-15	2013-04-10
JPM INTL CURRENCY INCOME FD	P	2013-01-15	2013-04-10
PAYDEN HIGH INCOME FUND	P	2011-05-23	2013-04-10
PAYDEN HIGH INCOME FUND	P	2013-01-31	2013-04-10
PAYDEN HIGH INCOME FUND	P	2013-03-28	2013-04-10
DREYFUS LAUREL EMG MKT DEBT	P	2012-10-24	2013-02-07
ISHARES CORE S&P MID-CAP ETF	P	2012-05-07	2013-01-15
ISHARES CORE S&P MID-CAP ETF	P	2012-10-24	2013-01-15
SPDR S&P 500 ETF TRUST	P	2011-02-22	2013-01-15
SPDR S&P 500 ETF TRUST	P	2011-04-08	2013-01-15
SPDR S&P 500 ETF TRUST	P	2012-03-27	2013-01-15
SPDR S&P 500 ETF TRUST	P	2012-10-24	2013-01-15
BBH FD INC	P	2012-05-07	2013-01-15
BBH FD INC	P	2012-06-14	2013-01-15
BBH FD INC	P	2012-10-24	2013-01-15
RIDGEWORTH INTERMEDIATE BOND	P	2011-03-01	2013-01-15
RIDGEWORTH INTERMEDIATE BOND	P	2011-03-03	2013-01-15
RIDGEWORTH INTERMEDIATE BOND	P	2011-08-12	2013-01-15
RIDGEWORTH INTERMEDIATE BOND	P	2012-10-24	2013-01-15
GATEWAY FUND	P	2012-10-24	2013-01-15
EATON VANCE MUT FDS TR	P	2011-03-01	2013-01-15
EATON VANCE MUT FDS TR	P	2011-04-08	2013-01-15
EATON VANCE MUT FDS TR	P	2011-07-08	2013-01-15
JPM SHORT DURATION BOND FD	P	2011-08-01	2013-01-15
JPM SHORT DURATION BOND FD	P	2011-08-12	2013-01-15
JPM SHORT DURATION BOND FD	P	2011-09-01	2013-01-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
JPM SHORT DURATION BOND FD	P	2012-09-04	2013-01-15
JPM SHORT DURATION BOND FD	P	2012-09-17	2013-01-15
JPM SHORT DURATION BOND FD	P	2012-10-01	2013-01-15
JPM SHORT DURATION BOND FD	P	2012-10-24	2013-01-15
DODGE & COX INTERNATIONAL	P	2011-02-22	2013-01-15
DODGE & COX INTERNATIONAL	P	2011-03-01	2013-01-15
DODGE & COX INTERNATIONAL	P	2011-04-08	2013-01-15
DODGE & COX INTERNATIONAL	P	2011-04-18	2013-01-15
DODGE & COX INTERNATIONAL	P	2012-12-21	2013-01-15
DODGE & COX INTERNATIONAL	P	2011-12-22	2013-01-15
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
		36	-36
		24	-24
		3,479	-3,479
5,731		5,567	164
2,962		2,872	90
5,186		5,287	-101
1,443		1,561	-118
3,343		3,151	192
3,258		3,151	107
3,174		3,151	23
3,134		3,151	-17
6,248		6,302	-54
1,518		1,575	-57
3,887		3,454	433
5,788		5,180	608
3,700		3,454	246
8,120		7,333	787
2,571		2,511	60
5,174		5,023	151
2,612		2,399	213
3,056		2,898	158
1,528		1,449	79
3,526		3,050	476
6,702		5,966	736
19,302		16,816	2,486
9,102		7,754	1,348
4,650		4,146	504
5,556		5,346	210
36,227		28,282	7,945
1,962		1,910	52

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
3,810		2,617	1,193
8,991		8,226	765
7,786		7,206	580
2,396		2,193	203
2,861		2,367	494
4,881		8,534	-3,653
3,005		2,773	232
2,206		1,604	602
1,974		1,881	93
740		684	56
1,793		1,752	41
386		385	1
2,442		3,081	-639
7,545		8,970	-1,425
2,220		2,104	116
4,309		4,145	164
7,889		7,118	771
7,645		6,908	737
3,660		3,395	265
2,018		1,794	224
1,956		1,560	396
2,586,119		98,670	2,487,449
489,327		10,365	478,962
256,571		107,773	148,798
806,486		88,624	717,862
351,703		188,492	163,211
720,255		232,750	487,505
428,530		252,000	176,530
139,441		46,950	92,491
178,810		31,143	147,667

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
219,848		139,161	80,687
192,078		43,807	148,271
117,726		45,200	72,526
1,442,841		1,363,297	79,544
42,959		45,000	-2,041
28,429		30,000	-1,571
48,117		50,000	-1,883
187,220		141,346	45,874
72,061		54,118	17,943
90,033		82,703	7,330
134,352		99,892	34,460
235,176		219,159	16,017
95,946		99,595	-3,649
587		606	-19
33,672		34,858	-1,186
798		822	-24
798		827	-29
76,774		79,801	-3,027
2,419		2,429	-10
1,977		2,041	-64
2,025		2,053	-28
46,557		47,803	-1,246
46,621		47,803	-1,182
2,592		2,654	-62
2,751		2,670	81
2,835		2,687	148
2,728		2,705	23
2,851		2,722	129
5,587		5,414	173
2,873		2,775	98

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
2,826		2,794	32
2,804		2,812	-8
2,846		2,830	16
2,852		2,848	4
2,923		2,865	58
2,913		2,884	29
2,565		2,580	-15
2,562		2,594	-32
2,558		2,609	-51
164,441		169,305	-4,864
3,468		3,546	-78
3,487		3,565	-78
3,473		3,600	-127
3,156		3,214	-58
3,065		3,182	-117
2,648		2,644	4
100,659		99,878	781
10		10	0
35,231		34,960	271
423		419	4
431		427	4
100,770		99,920	850
518		513	5
781		770	11
826		812	14
889		838	51
864		817	47
904		874	30
840		805	35
889		855	34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
876		855	21
878		860	18
947		930	17
876		864	12
946		923	23
915		896	19
929		914	15
998		985	13
1,078		1,070	8
171,121		170,000	1,121
1,052		1,045	7
1,516		1,507	9
1,561		1,555	6
249,727		250,000	-273
1,984		1,986	-2
2,264		2,277	-13
2,224		2,241	-17
2,286		2,296	-10
2,189		2,179	10
2,225		2,230	-5
2,205		2,203	2
194,627		204,544	-9,917
39,025		36,133	2,892
135,307		141,265	-5,958
109,208		103,180	6,028
50,419		52,932	-2,513
108,188		110,482	-2,294
188,466		156,566	31,900
162,835		135,561	27,274
31,413		36,270	-4,857

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
81,082		68,919	12,163
43,625		44,590	-965
19,238		23,399	-4,161
21,677		25,765	-4,088
217,232		213,497	3,735
50,822		61,464	-10,642
8,184		9,453	-1,269
42,359		49,937	-7,578
3		3	0
30,869		32,500	-1,631
96,173		98,720	-2,547
25,288		25,994	-706
46,299		47,411	-1,112
115,000		118,096	-3,096
49,863		50,000	-137
64		64	0
39,963		40,000	-37
123		123	0
123		123	0
131		132	-1
123		124	-1
321		322	-1
322		323	-1
358		359	-1
246		247	-1
246		247	-1
230		231	-1
233		234	-1
130		130	0
248		248	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
215		216	-1
198		199	-1
215		216	-1
199		200	-1
199		200	-1
154,435		155,000	-565
337		339	-2
368		370	-2
286		287	-1
141,973		143,011	-1,038
638		642	-4
522		526	-4
117		118	-1
40		40	0
757		760	-3
119,326		120,000	-674
48,985		50,000	-1,015
237,670		242,146	-4,476
157,920		157,500	420
51,902		52,500	-598
172,436		174,424	-1,988
50,000		50,576	-576
19,740		19,794	-54
25,215		25,317	-102
2,384		2,426	-42
2,661		2,679	-18
48,600		45,000	3,600
54,958		52,976	1,982
32,475		29,822	2,653
225,516		200,915	24,601

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
80,715		80,506	209
54,563		54,976	-413
34,600		34,985	-385
46,311		47,979	-1,668
6,092		6,317	-225
99,118		99,956	-838
74,781		74,278	503
3,180		3,167	13
2,755		2,740	15
113,666		109,997	3,669
15,353		14,097	1,256
184,029		171,223	12,806
22,371		20,155	2,216
102,645		93,647	8,998
83,344		80,763	2,581
288,781		279,653	9,128
220,123		200,000	20,123
174,997		155,000	19,997
288,358		280,000	8,358
54,629		54,896	-267
34,966		34,940	26
42,998		45,000	-2,002
106,689		110,000	-3,311
200,659		200,000	659
130,641		140,419	-9,778
48,338		49,843	-1,505
21,021		21,631	-610
124		124	0
89,755		90,000	-245
262		263	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
339		339	0
52,405		52,500	-95
320		321	-1
106,795		106,989	-194
161,605		165,000	-3,395
53,692		55,000	-1,308
62,984		66,089	-3,105
98,892		100,000	-1,108
8,443		8,168	275
13,199		10,696	2,503
133,416			133,416

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-36
			-24
			-3,479
			164
			90
			-101
			-118
			192
			107
			23
			-17
			-54
			-57
			433
			608
			246
			787
			60
			151
			213
			158
			79
			476
			736
			2,486
			1,348
			504
			210
			7,945
			52

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			1,193
			765
			580
			203
			494
			-3,653
			232
			602
			93
			56
			41
			1
			-639
			-1,425
			116
			164
			771
			737
			265
			224
			396
			2,487,449
			478,962
			148,798
			717,862
			163,211
			487,505
			176,530
			92,491
			147,667

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			80,687
			148,271
			72,526
			79,544
			-2,041
			-1,571
			-1,883
			45,874
			17,943
			7,330
			34,460
			16,017
			-3,649
			-19
			-1,186
			-24
			-29
			-3,027
			-10
			-64
			-28
			-1,246
			-1,182
			-62
			81
			148
			23
			129
			173
			98

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			32
			-8
			16
			4
			58
			29
			-15
			-32
			-51
			-4,864
			-78
			-78
			-127
			-58
			-117
			4
			781
			0
			271
			4
			4
			850
			5
			11
			14
			51
			47
			30
			35
			34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			21
			18
			17
			12
			23
			19
			15
			13
			8
			1,121
			7
			9
			6
			-273
			-2
			-13
			-17
			-10
			10
			-5
			2
			-9,917
			2,892
			-5,958
			6,028
			-2,513
			-2,294
			31,900
			27,274
			-4,857

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			12,163
			-965
			-4,161
			-4,088
			3,735
			-10,642
			-1,269
			-7,578
			0
			-1,631
			-2,547
			-706
			-1,112
			-3,096
			-137
			0
			-37
			0
			0
			-1
			-1
			-1
			-1
			-1
			-1
			-1
			-1
			-1
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-1
			-1
			-1
			-1
			-565
			-2
			-2
			-1
			-1,038
			-4
			-4
			-1
			0
			-3
			-674
			-1,015
			-4,476
			420
			-598
			-1,988
			-576
			-54
			-102
			-42
			-18
			3,600
			1,982
			2,653
			24,601

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			209
			-413
			-385
			-1,668
			-225
			-838
			503
			13
			15
			3,669
			1,256
			12,806
			2,216
			8,998
			2,581
			9,128
			20,123
			19,997
			8,358
			-267
			26
			-2,002
			-3,311
			659
			-9,778
			-1,505
			-610
			0
			-245
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			0
			-95
			-1
			-194
			-3,395
			-1,308
			-3,105
			-1,108
			275
			2,503
			133,416

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ALZHEIMERS ASSOCIATION 225 N MICHIGAN AVE CHICAGO,IL 60601	NONE	PUBLIC	FINANCIAL SUPPORT	1,000
AMERICAN CANCER SOCIETY PO BOX 22718 OKLAHOMA CITY,OK 73123	NONE	PUBLIC	FINANCIAL SUPPORT	1,000
CENTRAL PARK CONSERVANCY 14 EAST 60TH ST NEW YORK,NY 10022	NONE	PUBLIC	FINANCIAL SUPPORT	400
COLUMBIA LAW SCHOOL 435 W 116TH ST NEW YORK,NY 10027	NONE	PUBLIC	FINANCIAL SUPPORT	100,000
DONALDSON ADOPTION INSTITUTE 120 EAST 38TH ST NEW YORK,NY 10016	NONE	PUBLIC	FINANCIAL SUPPORT	1,000
GENERATION RWANDA 147 PRINCE ST BROOKLYN,NY 11201	NONE	PUBLIC	FINANCIAL SUPPORT	1,400
JEWISH HEALING CENTER OF LOS ANGELES 10573 W PICO BLVD LOS ANGELES,CA 90064	NONE	PUBLIC	FINANCIAL SUPPORT	2,000
KIPP FOUNDATION 520 8TH AVE NEW YORK,NY 10018	NONE	PUBLIC	FINANCIAL SUPPORT	700,000
LYME RESEARCH ALLIANCE 2001 WEST MAIN STREET SUITE 280 STAMFORD,CT 06902	NONE	PUBLIC	FINANCIAL SUPPORT	1,000
NEW YORK JUNIOR TENNIS & LEARNING 58-12 QUEENS BLVD WOODSIDE,NY 11377	NONE	PUBLIC	FINANCIAL SUPPORT	2,500
NORTH SHORE LIJ HEALTH SYSTEM 125 COMMUNITY DR GREAT NECK,NY 11021	NONE	PUBLIC	FINANCIAL SUPPORT	100,000
RONALD MCDONALD HOUSE OF LONG ISLAND 267-07 76TH AVE NEW HYDE PARK,NY 10040	NONE	PUBLIC	FINANCIAL SUPPORT	5,112
SCHOOL OF AMERICAN BALLET 70 LINCOLN CENTER PLZ NEW YORK,NY 10023	NONE	PUBLIC	FINANCIAL SUPPORT	170
YOUNG ARTISTS OF AMERICA 7979 OLD GEORGETOWN RD BETHESDA,MD 20814	NONE	PUBLIC	FINANCIAL SUPPORT	2,000
Total . . . . ▶ **3a**				917,582

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Name of the organization
THE PHILIPPE & DEBORAH DAUMAN FOUNDATION
C/O PHILIPPE AND DEBORAH DAUMAN

Employer identification number
13-4097014

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
THE PHILIPPE & DEBORAH DAUMAN FOUNDATION
C/O PHILIPPE AND DEBORAH DAUMAN

Employer identification number
13-4097014

Part I **Contributors** (see Instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
	See Additional Data Table	$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

Name of organization
THE PHILIPPE & DEBORAH DAUMAN FOUNDATION
C/O PHILIPPE AND DEBORAH DAUMAN

Employer identification number
13-4097014

Part II **Noncash Property** (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	See Additional Data Table	$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
THE PHILIPPE & DEBORAH DAUMAN FOUNDATION
C/O PHILIPPE AND DEBORAH DAUMAN

Employer identification number
13-4097014

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Software ID:
Software Version:
EIN: 13-4097014
Name: THE PHILIPPE & DEBORAH DAUMAN FOUNDATION
C/O PHILIPPE AND DEBORAH DAUMAN

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PHILIPPE AND DEBORAH DAUMAN 121 EAST 65TH ST NEW YORK, NY 10065	$ 465,825	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contribution)
2	PHILIPPE AND DEBORAH DAUMAN 121 EAST 65TH ST NEW YORK, NY 10065	$ 256,465	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contribution)
3	PHILIPPE AND DEBORAH DAUMAN 121 EAST 65TH ST NEW YORK, NY 10065	$ 348,522	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contribution)
4	PHILIPPE AND DEBORAH DAUMAN 121 EAST 65TH ST NEW YORK, NY 10065	$ 719,500	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contribution)
5	PHILIPPE AND DEBORAH DAUMAN 121 EAST 65TH ST NEW YORK, NY 10065	$ 430,750	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contribution)
6	PHILIPPE AND DEBORAH DAUMAN 121 EAST 65TH ST NEW YORK, NY 10065	$ 193,426	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contribution)
7	PHILIPPE AND DEBORAH DAUMAN 121 EAST 65TH ST NEW YORK, NY 10065	$ 179,643	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contribution)
8	PHILIPPE AND DEBORAH DAUMAN 121 EAST 65TH ST NEW YORK, NY 10065	$ 220,798	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contribution)
9	PHILIPPE AND DEBORAH DAUMAN 121 EAST 65TH ST NEW YORK, NY 10065	$ 141,664	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contribution)
10	PHILIPPE AND DEBORAH DAUMAN 121 EAST 65TH ST NEW YORK, NY 10065	$ 117,506	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contribution)

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
11	PHILIPPE AND DEBORAH DAUMAN 121 EAST 65TH ST NEW YORK, NY 10065	$ 798,750	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contribution)
12	PHILIPPE AND DEBORAH DAUMAN 121 EAST 65TH ST NEW YORK, NY 10065	$ 2,615,560	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contribution)

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	15,000 SHARES ARCHER DANIELS MIDLAND	$ 465,825	2013-02-12
2	5,500 SHARES BAKER HUGHES INC	$ 256,465	2013-08-27
3	8,386 SHARES BP PLC	$ 348,522	2013-08-27
4	25,000 SHARES CENOVUS ENERGY	$ 719,500	2013-08-27
5	25,000 SHARES ENCANA	$ 430,750	2013-08-27
6	3,361 SHARES PHILLIPS 66	$ 193,426	2013-08-27
7	3,460 SHARES KRAFT FOODS GROUP	$ 179,643	2013-08-27
8	7,880 PFIZER INC	$ 220,798	2013-08-27
9	2,775 SHARES JP MORGAN CHASE	$ 141,664	2013-08-27
10	805 SHARES SIMON PROPERTY GROUP	$ 117,506	2013-08-27

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
11	25,000 SHARES BANK OF NY MELLON	$ 798,750	2013-10-31
12	23,000 SHARES AMGEN INC	$ 2,615,560	2013-12-11

TY 2013 Accounting Fees Schedule

Name: THE PHILIPPE & DEBORAH DAUMAN FOUNDATION
C/O PHILIPPE AND DEBORAH DAUMAN

EIN: 13-4097014

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	8,320	8,320		0

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TY 2013 Investments Corporate Stock Schedule

Name: THE PHILIPPE & DEBORAH DAUMAN FOUNDATION
C/O PHILIPPE AND DEBORAH DAUMAN

EIN: 13-4097014

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1,531 SHS FOUNDATION COAL	57,259	10,931
JPM LARGE CAP GROWTH FD	1,046,959	1,321,811
MATTHEWS ASIA DIVIDEND INSTITUTIONAL FUND	775,544	828,553
BNP REN SPX 12/17/14	250,000	254,161
JPM US LARGE CAP CORE PLUS FUND	944,572	1,117,101
JPM MID CAP VALUE FUND	1,060,221	1,135,416
JPM INTREPID EUROPEAN FUND	470,353	491,470
MATTHEWS PACIFIC TIGER FUND	250,000	254,900
JPM CHINA REGION FUND	355,409	388,400
TORTOISE MLP & PIPELINE	513,263	548,315
JPM STRAT INCOME OPPORTUNITY FUND	700,000	702,956
JPM FLOAT RATE INCOME FUND	500,000	502,488
DREYFUS EMG MKT DEBT LOC	150,000	150,108
PIMCO EMERGING LOCAL BOND	150,000	147,627
ABB LTD	28,902	30,013
ALSTOM	36,300	36,468
ASTRAZENECA PLC	14,453	15,436
BAE SYSTEMS PLC	36,508	38,077
BAYER A G	28,941	31,240
BHP LTD	25,141	25,234
BOC HONG KONG HOLDINGS LTD	28,869	27,421
BRITISH AMERICAN TOBACCO PLC	50,615	51,025
CANADIAN OIL SANDS LIMITED	7,224	7,242
DEUTSCHE TELEKOM AG	50,679	55,232
DEUTSCHE POST AG	43,424	45,508
GDF SUEZ	47,104	47,577
GLAXOSMITHKLINE	43,309	44,047
HSBC HOLDINGS PLC	43,470	42,726
IMPERIAL TOBACCO PLC	39,793	40,057
JPM TR I TAX FREE RESERVE SWEEP FUND	36,367	36,367

Name of Stock	End of Year Book Value	End of Year Fair Market Value
KIRIN HOLDINGS CO LTD	39,802	36,576
LUKOIL OAO SPONS ADR	50,689	51,127
MANULIFE FINANCIAL CORP	50,624	51,693
MTN GROUP LTD	36,144	39,165
MUENCHENER RUECKVERSICHERUNGS	36,130	37,026
NESTLE S A	50,416	50,777
NIPPON TEL & TEL CORP	50,651	54,350
NOVARTIS AG	50,738	51,845
RECKITT BENCKISER GROUP PLC	36,188	36,203
RIOCAN REAL ESTATE INVESTMENT TRUST	14,482	14,435
ROCHE HOLDINGS LTD	35,952	36,153
ROYAL DUTCH SHELL PLC	29,035	31,171
SANOFI	36,233	36,737
SIEMENS AG	46,682	48,479
SINGAPORE TELECOMMUNICATIONS LTD	36,156	34,920
SMITHS GROUP PLC	28,954	32,004
STATOIL ASA	18,084	19,304
TELEKOMUNIKAS IND	14,555	14,340
TESCO PLC	14,449	14,398
TOTAL SA	50,812	51,160
UNILEVER NV	43,091	44,253
UNITED OVERSEAS BANK LTD	32,453	32,443
VODAFONE GROUP PLC	43,300	45,796
ZURICH INSURANCE GROUP	36,121	37,629
AMERICAN EXPRESS CO	18,700	22,683
APPLE INC	61,895	76,860
BERKSHIRE HATHAWAY CL B	47,537	50,388
COACH INC	30,755	30,871
COGNIZANT TECHNOLOGY SOLUTIONS CORP	36,236	54,024
CUMMINS INC	31,381	36,652

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EMC CORP MASS	48,231	48,791
EXPRESS SCRIPTS HOLDING CO	38,958	43,900
GILEAD SCIENCES INC	14,517	21,779
GOOGLE INC	36,965	48,191
M & T BANK CORP	40,713	40,980
MONSTER BEVERAGE CORP	19,198	22,364
NATIONAL OILWELL VARCO INC	20,723	23,064
PERRIGO CO LTD	36,225	36,523
PRICELINE.COM INC	16,944	24,410
QUALCOMM INC	45,499	52,718
SCHLUMBERGER LTD	22,874	27,484
STERICYCLE INC	32,048	34,270
VARIAN MEDICAL SYSTEMS INC	24,726	27,968
VERISK ANALYTICS INC	24,087	26,617
VISA INC CLASS A	25,672	32,289
ACCENTURE PLC	37,339	39,055
AMERICAN EXPRESS CO	26,575	31,755
AMERISOURCEBERGEN CORP	22,276	28,124
BANK OF NEW YORK MELLON	31,298	36,687
BERKSHIRE HATHAWAY CL B	34,261	35,568
CINTAS CORP	18,621	23,836
COMERICA INC	24,398	29,713
COVIDIEN PLC	28,669	32,347
DANONE	28,661	27,225
DEVON ENERGY CORP	29,482	32,482
EXPEDITORS INTERNATIONAL	20,727	23,231
GLAXOSMITHKLINE	14,437	14,682
ILLINOIS TOOL WORKS INC	32,066	37,836
MICROSOFT CORP	19,411	21,511
NESTLE S A	25,629	27,596

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PACCAR INC	20,356	22,189
POTASH CORP SASKATCHEWAN	46,716	44,496
SCHLUMBERGER LTD	26,569	31,539
TE CONNECTIVITY LTD	19,432	23,422
TIME WARNER INC	16,222	19,173
UNILEVER PLC	14,974	15,450
UNITED HEALTH GROUP INC	17,895	18,825
WALMART STORES INC	26,083	27,542
WILLIS GROUP HOLDINGS PLC	15,160	16,804
3M CO	33,586	42,075
AMERICAN TOWER CORPORATION	11,976	12,931
ANSYS INC	34,081	38,630
CELGENE CORPORATION	13,242	17,573
CLEAN HARBORS INC	14,674	16,069
CONCHO RESOURCES INC	13,536	16,200
CONCUR TECHNOLOGIES INC	15,320	19,914
CORE LABORATORIES NV	12,541	16,613
COSTAR GROUP INC	12,005	18,273
DEALERTRACK TECHNOLOGY	11,319	15,626
DISCOVER FINANCIAL SERVICES	8,032	8,616
ECOLAB INC	23,446	28,153
EXAMWORKS GROUP INC	7,155	8,662
EXPEDITORS INTERNATIONAL	22,776	25,577
EXPONENT INC	11,481	14,759
FASTENAL CO	40,871	40,241
HEALTHCARE SERVICES GROUP INC	13,555	16,682
JACOBS ENGINEERING GROUP INC	17,080	19,023
LKQ CORPORATION	26,946	33,690
MARKEL CORPORATION	26,214	29,018
MONSANTO CO	7,581	8,508

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MOODYS CORP	17,621	19,304
NIC INC	5,717	7,934
PANERA BREAD CO.	7,865	8,658
QUALCOMM INC	24,897	29,106
RED HAT INC	19,959	23,032
ROPER INDUSTRIES INC	23,318	26,211
SCHLUMBERGER LTD	18,398	21,987
SEI INVESTMENT CO	20,338	23,998
SIGNET JEWELERS LIMITED	15,494	16,370
SOUTHWESTERN ENERGY CO	10,240	10,855
STERICYCLE INC	28,971	31,134
T ROWE PRICE GROUP INC	13,501	15,497
TRIMBLE NAVIGATION LTD	31,081	40,425
TYLER TECHNOLOGIES INC	10,115	15,115
VERISK ANALYTICS INC	21,998	23,265
VISA INC CLASS A	28,102	34,738
WAGEWORKS INC	8,903	15,752
WASTE CONNECTIONS INC	19,235	20,462
3D SYSTEMS CORP DEL	12,129	23,604

TY 2013 Other Expenses Schedule

Name: THE PHILIPPE & DEBORAH DAUMAN FOUNDATION
C/O PHILIPPE AND DEBORAH DAUMAN

EIN: 13-4097014

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATUTORY REPRESENTATION FEES	365	365		0
STATUTORY ADVERTISING	135	0		135
FROM K-1 POWERSHARES DB COMMODITY	711	711		0
INVESTMENT ADVISORY FEES	41,823	41,823		0
FOREIGN TAXES	203	203		0

TY 2013 Other Income Schedule

Name: THE PHILIPPE & DEBORAH DAUMAN FOUNDATION
C/O PHILIPPE AND DEBORAH DAUMAN

EIN: 13-4097014

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MISCELLANEOUS	353	353	353

TY 2013 Substantial Contributors Schedule

Name: THE PHILIPPE & DEBORAH DAUMAN FOUNDATION
C/O PHILIPPE AND DEBORAH DAUMAN

EIN: 13-4097014

Name	Address
PHILIPPE AND DEBORAH DAUMAN	121 EAST 65TH STREET NEW YORK, NY 10021

TY 2013 Taxes Schedule

Name: THE PHILIPPE & DEBORAH DAUMAN FOUNDATION
C/O PHILIPPE AND DEBORAH DAUMAN

EIN: 13-4097014

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS FILING FEE	250	0		250
FEDERAL EXCISE TAX	78,663	78,663		0