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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation KLEIN FAMILY FOUNDATION C/O DINAH KLEIN % ORGANIZATION		A Employer identification number 13-4092608	
Number and street (or P O box number if mail is not delivered to street address) 614 AVENUE J Suite		B Telephone number (see instructions)	
City or town, state or province, country, and ZIP or foreign postal code BROOKLYN, NY 11230		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 49,537,947	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	1,150,000			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	2,097,506	2,010,817		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,149,670			
	b Gross sales price for all assets on line 6a 6,947,827				
	7 Capital gain net income (from Part IV, line 2) . . .		1,149,781		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	160,154	1,503		
	12 Total. Add lines 1 through 11	4,557,330	3,162,101		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	19,120	9,560	0	9,560
	c Other professional fees (attach schedule)				
	17 Interest	193	193		
	18 Taxes (attach schedule) (see instructions)	38,835			
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	194,227	195,697		
	24 Total operating and administrative expenses. Add lines 13 through 23	252,375	205,450	0	9,560
	25 Contributions, gifts, grants paid	3,287,890			3,287,890
	26 Total expenses and disbursements. Add lines 24 and 25	3,540,265	205,450	0	3,297,450
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	1,017,065			
	b Net investment income (if negative, enter -0-)		2,956,651		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		837,371	837,371
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	27,292,743	 26,618,133	27,287,185
	b	Investments—corporate stock (attach schedule)	15,304,590	 12,754,160	14,187,064
	c	Investments—corporate bonds (attach schedule).	1,068,919	 4,251,617	4,166,136
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	2,714,964	 2,763,605	3,052,994
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	 22,502	 7,197	 7,197	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	46,403,718	47,232,083	49,537,947	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	 188,700		
	23	Total liabilities (add lines 17 through 22)	188,700	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	46,215,018	47,232,083	
	30	Total net assets or fund balances (see page 17 of the instructions)	46,215,018	47,232,083	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	46,403,718	47,232,083	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	46,215,018
2	Enter amount from Part I, line 27a	2	1,017,065
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	47,232,083
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	47,232,083

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,149,781
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	2,114,694	49,790,581	0 042472
2011	1,681,812	45,342,820	0 037091
2010	1,131,564	40,564,266	0 027896
2009	897,260	31,317,392	0 028651
2008	1,127,590	28,033,245	0 040223

2	Total of line 1, column (d).	2	0 176333
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 035267
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	50,195,192
5	Multiply line 4 by line 3.	5	1,770,234
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	29,567
7	Add lines 5 and 6.	7	1,799,801
8	Enter qualifying distributions from Part XII, line 4.	8	3,297,450

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	29,567
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	29,567
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	29,567
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	29,313
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	40,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	69,313
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	39,746
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ☒ 39,746 Refunded ☒	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☒		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i> ☒	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> ☒	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions): ☒ NY _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> ☒	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of ORGANIZATION Telephone no (718) 592-9200 Located at 614 AVENUE J BROOKLYN NY ZIP+4 11230			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.		15	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20 , 20 , 20 , 20 b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.). 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?			
		1b		
		1c		No
		2b		No
		3b		
		4a		No
		4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes	☒ No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SARA DINAH KLEIN	TRUSTEE	0	0	0
614 AVENUE J	0			
BROOKLYN, NY 11230				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	46,908,075
b	Average of monthly cash balances.	1b	991,320
c	Fair market value of all other assets (see instructions).	1c	3,060,191
d	Total (add lines 1a, b, and c).	1d	50,959,586
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	50,959,586
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	764,394
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	50,195,192
6	Minimum investment return. Enter 5% of line 5.	6	2,509,760

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	2,509,760
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	29,567
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	29,567
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	2,480,193
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	2,480,193
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	2,480,193

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	3,297,450
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	3,297,450
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	29,567
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,267,883
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				2,480,193
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			2,416,063	
b Total for prior years 2011 , 2010 , 2009				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 3,297,450				
a Applied to 2012, but not more than line 2a			2,416,063	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				881,387
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:	0			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				1,598,806
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .	0			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

SARA DINA KLEIN MIRIAM KLEIN

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2013)

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

2

Other assets.

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

2

Purchases of assets from a noncharitable exempt organization.

3

Rental of facilities, equipment, or other assets.

4

Reimbursement arrangements.

5

Loans or loan guarantees.

6

Performance of services or membership or fundraising solicitations.

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Yes

No

1a(1)

No

1a(2)

No

1b(1)

No

1b(2)

No

1b(3)

No

1b(4)

No

1b(5)

No

1b(6)

No

1c

No

(a)

Line No

(b)

Amount involved

(c)

Name of noncharitable exempt organization

(d)

Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

No

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

2014-11-12

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☒ No

Paid Preparer Use Only

Print/Type preparer's name

Preparer's Signature

Date

Check if self-employed ☐

PTIN

Firm's name

Brand Sonnenschine LLP

Firm's EIN

Firm's address

299 Broadway Suite 600 New York, NY 10007

Phone no

Form 990-PF (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GOLDMAN SACHS GRP (WF 0357)	P	2012-09-09	2013-05-17
UNITED STATES STEEL(WF 0357)	P	2012-04-10	2013-11-29
WELLS FARGO #0357 - ATTACHMENT 3	P	2012-01-02	2013-12-31
LEHMAN BROTHERS DISTRIBUTION	P	2012-01-02	2013-12-31
LIPPER CONVERTIBLES	P	2012-01-02	2013-12-31
WELLS FARGO #3558 - ATTACHMENT 1	P	2013-01-02	2013-12-31
ALCATEL-LUCENT (WF 3558)	P	2013-12-20	2013-12-23
WELLS FARGO #3558 - ATTACHMENT 2	P	2012-01-02	2013-12-31
WELLS FARGO #3588 - ATTACHMENT 4	P	2012-01-02	2013-12-31
TWITTER, INC (GOLDMAN SACHS)	P	2013-11-07	2013-11-07
THROUGH K-1 LONGVIEW FUND	P	2012-01-02	2013-12-31
THE INDIA FUND INC (WF 3558)	P	2013-01-31	2013-02-07
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
125,000		123,750	1,250
442,778		423,640	19,138
4,147,876		3,725,764	422,112
16,549			16,549
57			57
20,446		17,533	2,913
301			301
8,813		6,372	2,441
1,932,202		1,476,798	455,404
2,252		1,300	952
		21,935	-21,935
		954	-954
			251,553

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,250
			19,138
			422,112
			16,549
			57
			2,913
			301
			2,441
			455,404
			952
			-21,935
			-954

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.	OMB No 1545-0047 2013
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Name of the organization KLEIN FAMILY FOUNDATION C/O DINAH KLEIN	Employer identification number 13-4092608
--	---

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation ☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization KLEIN FAMILY FOUNDATION C/O DINAH KLEIN	Employer identification number 13-4092608
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Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	SARAH DINA KLEIN IRREVOCABLE TRUST 614 AVENUE J BROOKLYN, NY 11230	\$ 250,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
<u>2</u>	DINAH KLEIN 614 AVENUE J BROOKLYN, NY 11230	\$ 750,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
<u>3</u>	MIRIAM KLEIN 614 AVENUE J BROOKLYN, NY 11230	\$ 150,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
<u> </u>		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
<u> </u>		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
<u> </u>		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number
13-4092608

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization KLEIN FAMILY FOUNDATION C/O DINAH KLEIN	Employer identification number 13-4092608
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: KLEIN FAMILY FOUNDATION
C/O DINAH KLEIN

EIN: 13-4092608

**TY 2013 Investments Corporate
Bonds Schedule**

Name: KLEIN FAMILY FOUNDATION
C/O DINAH KLEIN

EIN: 13-4092608

Name of Bond	End of Year Book Value	End of Year Fair Market Value
WF I- CORPORATE BONDS-ATT. 8.1	4,079,242	4,005,136
BNP PARIBAS EURO (WF I)	172,375	161,000

**TY 2013 Investments Corporate
Stock Schedule**

Name: KLEIN FAMILY FOUNDATION
C/O DINAH KLEIN

EIN: 13-4092608

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GOLDMAN SACHS - HEDGE FUNDS	1,000,000	1,128,049
GOLDMAM SACHS - PRIVATE EQUITY	1,124,108	1,124,108
WF I - STOCK - ATT. 7.1	149,435	154,300
WF I - MUTUAL FUNDS - ATT. 7.2	199,504	155,451
WF I - PREFERRRED - ATT. 7.3	1,726,183	1,685,592
WF II - STOCK - ATT. 7.4	1,472,195	1,317,160
WF II - MUTUAL FUNDS- ATT. 7.5	7,082,735	8,622,404

TY 2013 Investments Government Obligations Schedule

Name: KLEIN FAMILY FOUNDATION
C/O DINAH KLEIN

EIN: 13-4092608

**US Government Securities - End of
Year Book Value:**

**US Government Securities - End of
Year Fair Market Value:**

State & Local Government Securities - End of Year Book Value:	26,618,133
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State & Local Government Securities - End of Year Fair Market Value:	27,287,185
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TY 2013 Investments - Land Schedule**Name:** KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

TY 2013 Investments - Other Schedule**Name:** KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
LONGVIEW FUND		133,248	133,248
BROAD STREET ENERGY PARTNERS		79,780	79,780
BROAD ST ENERGY PTNRS AIV-1		50,577	50,577
PLATINUM PARTNERS VALUE FUND		2,500,000	2,789,389

TY 2013 Land, Etc. Schedule**Name:** KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

TY 2013 Other Assets Schedule**Name:** KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DIVIDENDS RECEIVABLE	7,649	1,220	1,220
CAP GAINS DISTR RECEIVABLE	14,853	5,977	5,977

TY 2013 Other Expenses Schedule**Name:** KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
K-1 PORTFOLIO EXP	7,931	7,931		
BANK CHARGES	750	750		
BOND AMORTIZATION	184,046	187,016		
NYS FILING FEE	1,500			

TY 2013 Other Income Schedule

Name: KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ROYALTIES	79	79	
BOOK/TAX DIFFERENCES	157,555		
PARTNERSHIP INCOME	2,520	1,424	

TY 2013 Other Liabilities Schedule

Name: KLEIN FAMILY FOUNDATION
C/O DINAH KLEIN
EIN: 13-4092608

Description	Beginning of Year - Book Value	End of Year - Book Value
DUE TO BROKER-DIV INCORRECT		

TY 2013 Substantial Contributors Schedule

Name: KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

Name	Address
DINAH KLEIN	614 AVENUE J BROOKLYN, NY 11230
MIRIAM KLEIN	614 AVENUE J BROOKLYN, NY 11230

TY 2013 Taxes Schedule**Name:** KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAXES PAID	35,000			
FOREIGN TAXES PAID	3,835			

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Municipal Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
NEW YORK ST ENERGY RESH & DEV ATH POLLUTN CTL VAR REV NIAGARA-B AMBAC CPN 0.000% DUE 12/01/25 DTD 12/26/85 FC 05/14/03 CALL 02/05/14 @ 100.000 Moody A1 S&P A CUSIP 649845FT6 Acquired 07/29/08 L nc	2.12	800,000	100.00	800,000.00	90.9500	727,600.00	-72,400.00	N/A	N/A	N/A
Total Municipal Bonds	2.12	800,000		\$800,000.00		\$727,600.00	-\$72,400.00			

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Taxable Municipal Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
DICKINSON CNTY KS USD NO ^ 487 TXBL BABS DIRECT PMT TO ISSUER G/O BIE CPN 5.600% DUE 09/01/20 DTD 02/01/10 FC 03/01/11 PRE 03/01/14 @ 100.000 Moody NR S&P A CUSIP 253180AL7 Acquired 01/08/10 L nc	0.29	100,000	102.06 102.97	102,061.06 102,975.00	100.8340	100,834.00	-1,227.06	1,866.67	5,600.00	5.55
ILLINOIS ST FOR ISSUES DTD PRIOR TO 01/16/2010 SEE 452151 SER 1 BUILD CPN 5.163% DUE 02/01/18 DTD 02/04/10 FC 08/01/10 Moody A3 S&P A- CUSIP 452152BG5 Acquired 10/25/10 L nc	0.72	230,000	102.15 103.57	234,964.33 238,222.50	107.0620	246,242.60	11,278.27	4,947.88	11,874.90	4.82

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

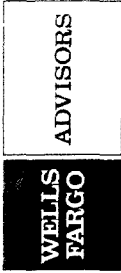
Municipal Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
NEW YORK ST ENERGY RESH & DEV ATH POLLUTN CTL VAR REV NIAGARA-B AMBAC CPN 0.000% DUE 12/01/25 DTD 12/26/85 FC 05/14/03 CALL 02/05/14 @ 100.000 Moody A1 S&P A CUSIP 649845FT6 Acquired 07/29/08 L nc	2.12	800,000	100.00	800,000.00	90.9500	727,600.00	-72,400.00	N/A	N/A	N/A
Total Municipal Bonds	2.12	800,000		\$800,000.00		\$727,600.00	-\$72,400.00			

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Taxable Municipal Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
DICKINSON CNTY KS USD NO ^ 487 TXBL BABS DIRECT PMT TO ISSUER G/O BIE CPN 5.600% DUE 09/01/20 DTD 02/01/10 FC 03/01/11 PRE 03/01/14 @ 100.000 Moody NR S&P A CUSIP 253180AL7 Acquired 01/08/10 L nc	0.29	100,000	102.06 102.97	102,061.06 102,975.00	100.8340	100,834.00	-1,227.06	1,866.67	5,600.00	5.55
ILLINOIS ST FOR ISSUES DTD PRIOR TO 01/16/2010 SEE 452151 SER 1 BUILD CPN 5.163% DUE 02/01/18 DTD 02/04/10 FC 08/01/10 Moody A3 S&P A- CUSIP 452152BG5 Acquired 10/25/10 L nc	0.72	230,000	102.15 103.57	234,964.33 238,222.50	107.0620	246,242.60	11,278.27	4,947.88	11,874.90	4.82



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 31 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)	
YPSILANTI MICHIGAN REF										
TAXABLE-LTD TAX-CAP IMPT										
G/O LTD B/E OID AMBAC										
CPN 5.800% DUE 05/01/18										
DTD 06/08/06 FC 11/01/06										
CALL 05/01/16 @ 100.000										
Moody A2 S&P A										
CUSIP 987830KN5										
Acquired 10/05/09 L nc		200,000	101.18	202,379.89		214,690.00	12,310.11			
			103.01	206,020.00						
Acquired 10/06/09 L nc		115,000	101.19	116,368.84		123,446.75	7,077.91			
			103.01	118,461.50						
Total	0.98	315,000		\$318,748.73 \$324,481.50	107.3450	\$338,136.75	\$19,388.02	\$3,045.00	\$18,270.00	5.40
ILLINOIS ST										
SER 2010 3 BUILD AMER										
BDS G/O UT B/E TAXABLE										
CPN 5.547% DUE 04/01/19										
DTD 04/26/10 FC 10/01/10										
Moody A3 S&P A-										
CUSIP 452152FK2										
Acquired 09/21/10 L nc	0.63	200,000	103.49 105.27	206,995.72 210,552.00	107.3770	214,754.00	7,758.28	2,773.50	11,094.00	5.16
ALLEN PARK MI SER A G/O										
LTD B/E TXBL										
CPN 6.625% DUE 05/01/19										
DTD 11/12/09 FC 05/01/10										
Moody NR S&P B-										
CUSIP 018015MV6										
Acquired 11/02/09 L nc	0.33	130,000	101.93 103.05	132,511.26 133,965.00	88.4040	114,925.20	-17,586.06	1,435.42	8,612.50	7.49

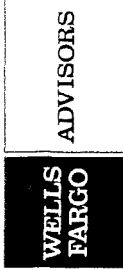
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
JENISON MI PUB SCH TAXBL BLDG&SITE A QUAL CONSTR BDS DIR G/O UNLTD B/E CPN 5.450% DUE 05/01/19 DTD 04/20/11 FC 11/01/11 Moody AA3 CUSIP 476034PC1 Acquired 04/07/11 L nc	0.31	100,000	100.89 101.25	100,892.71 101,250.00	107.7940	107,794.00	6,901.29	908.33	5,450.00	5.05
MILLBRAE CA SCH DIST SER B1 QUALIFIED SCH CONSTR BDS TAXABLE G/O B/E CPN 5.400% DUE 07/01/20 DTD 04/19/11 FC 01/01/12 Moody AA2 , S&P AA- CUSIP 60012PAZ7 Acquired 04/04/11 L nc	0.31	100,000	101.02 101.35	101,023.95 101,350.00	107.5880	107,588.00	6,564.05	2,700.00	5,400.00	5.01
KANE COOK&DU PAGE CNTYS IL S/D NO 46 ELGIN SER G/O LTD B/E TAXABLE CPN 5.800% DUE 01/01/21 DTD 03/30/11 FC 07/01/11 S&P AA- CUSIP 483836QT2 Acquired 03/11/11 L nc	0.33	100,000	101.46 101.90	101,469.56 101,900.00	112.1920	112,192.00	10,722.44	2,900.00	5,800.00	5.16
ILLINOIS ST G/O UNLTD B/E TAXABLE CPN 5.750% DUE 03/01/21 DTD 04/26/10 FC 09/01/10 Moody A3 S&P A- CUSIP 452152EK3 Acquired 07/21/11 L nc Acquired 07/25/11 L nc	150,000 85,000		103.51 104.44 103.51 104.44	155,274.74 156,661.50 87,990.19 88,774.85		160,324.50 90,850.55	5,049.76 2,860.36			



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
Total	0.73	235,000		\$243,264.93 \$245,436.35	106.8830	\$251,175.05	\$7,910.12	\$4,504.17	\$13,512.50	5.38
BAYONNE NJ RFDG G/O BIE										
ASSURED GUAR OID B/Q										
CPN 6.250% DUE 06/01/21										
DTD 05/07/09 FC 12/01/09										
Moody AA3 . S&P AA-										
CUSIP 072887ZQ8										
Acquired 12/31/09 L nc	0.68	215,000	105.76 108.05	227,396.79 232,307.50	107.9600	232,114.00	4,717.21	1,119.79	13,437.50	5.78
ILLINOIS ST										
SER 5 BUILD AMER BDS										
G/O UT B/E OID TAXABLE										
CPN 6.200% DUE 07/01/21										
DTD 07/21/10 FC 01/01/11										
Moody A3 S&P A-										
CUSIP 452152GR6										
Acquired 07/16/10 L nc	0.76	250,000	101.18 101.57	252,968.64 253,937.50	104.7790	261,947.50	8,978.86	7,750.00	15,500.00	5.91
WINNEBAGO BOONE ETC CNTY										
IL CCD SER B BUILD AMER										
BDS B/O UNLTD B/E TXBL										
CPN 5.410% DUE 01/01/22										
DTD 12/22/09 FC 07/01/10										
CALL 01/01/17 @ 100.000										
Moody NR S&P AA										
CUSIP 974433GH1										
Acquired 12/29/09 L nc	1.02	325,000	99.80	324,350.00	107.8190	350,411.75	26,061.75	8,791.25	17,582.50	5.01
ITHACA CITY NY PUB IMPT										
SER B G/O UNLTD B/E TXBL										
CPN 6.000% DUE 01/15/22										
DTD 01/15/10 FC 01/15/11										
CALL 01/15/19 @ 100.000										
Moody AA2 . S&P NR										
CUSIP 465600Q5										
Acquired 12/31/09 L nc	0.94	300,000	103.00 104.87	309,018.48 314,613.00	107.9950	323,985.00	14,966.52	8,300.00	18,000.00	5.55

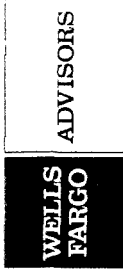
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
ILLINOIS ST FOR ISSUES DTD PRIOR TO 01/16/2010 S EE 452151 TAXABLE SER CPN 5.947% DUE 04/01/22 DTD 04/26/10 FC 10/01/10 Moody A3 S&P A- CUSIP 452152FN6 Acquired 07/13/11 L nc	1.17	380,000	103.26 103.98	392,411.46 395,124.00	105.7410	401,815.80	9,404.34	5,649.65	22,598.60	5.62
FLORIDA ST DPT ENVMTNL PROTN REV SER B BABS ISSUER SUBSIDY TXBL B/E CPN 6.206% DUE 07/01/22 DTD 01/28/10 FC 07/01/10 CALL 07/01/19 @ 100.000 Moody A1 S&P AA- CUSIP 34160WUA0 Acquired 01/08/10 L nc	0.48	150,000	101.04 101.60	151,564.68 152,400.00	108.8400	163,260.00	11,695.32	4,654.50	9,309.00	5.70
FOLSOM CORDOVA CA USD FACS IMPT DIST NO 3 TXBL BABS DIRECT PAY ASSURED CPN 6.388% DUE 10/01/22 DTD 12/03/09 FC 04/01/10 CALL 10/01/19 @ 100.000 Moody A2 S&P AA- CUSIP 34440KBF8 Acquired 11/16/09 L nc Acquired 11/16/09 L nc		150,000 15,000	100.56 100.85 100.56 100.85	150,848.28 151,275.00 15,084.83 15,127.50		168,964.50 16,896.45	18,116.22 1,811.62			
Total	0.54	165,000		\$165,933.11 \$166,402.50	112.6430	\$185,860.95	\$19,927.84	\$2,635.05	\$10,540.20	5.67



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
ROSEMONT IL TAXABLE CORP										
PURP SER B G/O UNLTD										
B/E OID										
CPN 5 250% DUE 12/01/22										
DTD 05/26/11 FC 12/01/11										
Moody A2 S&P A										
CUSIP 777543SS9										
Acquired 05/23/11 L nc	0 44	150,000	100 14 100 17	150,216 09 150,262 50	101 7880	152,682 00	2,465 91	656 25	7,875 00	5 15
NEW YORK NY SER D 1										
BUILD AMER BDS G/O B/E										
TXBL										
CPN 5 199% DUE 12/01/22										
DTD 12/17/09 FC 06/01/10										
Moody AA2 S&P AA										
CUSIP 64966HTT7										
Acquired 12/28/09 L nc		350,000	98 42	344,487 50		385,430 50	40,943 00			
Acquired 12/30/09 L nc		105,000	98 55	103,477 50		115,629 15	12,151 65			
Acquired 12/31/09 L nc		175,000	98 67	172 681 25		192,715 25	20,034 00			
Total	2.02	630,000		\$620,646.25	110.1230	\$693,774.90	\$73,128.65	\$2,729.48	\$32,753.70	4.72
OGLE CNTY IL CMNTY UNIT										
SCH DIST NO 226 TAXABLE										
SER A BABS G/O B/E										
CPN 5 898% DUE 01/01/23										
DTD 12/30/09 FC 07/01/10										
CALL 01/01/20 @ 100 000										
Moody AA3 S&P A+										
CUSIP 676822EM7										
Acquired 12/23/09 L nc	0 80	250,000	101 06 101 60	252,662 29 254,000 00	109 3110	273,277 50	20,615 21	7,372 50	14,745 00	5 39
ITHACA CITY NY PUB IMPT										
SER B G/O UNLTD B/E TXBL										
CPN 6 000% DUE 01/15/23										
DTD 01/15/10 FC 01/15/11										
CALL 01/15/19 @ 100 000										
Moody AA2 S&P NR										
CUSIP 465650QR3										
Acquired 12/31/09 L nc	1 01	315,000	102 34 103 79	322,400 00 326,960 55	109 6650	345,444 75	23,044 75	8,715 00	18,900 00	5 47

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
GRAND HAVEN MI CAP IMPT G/O LTD B/E TXBL CPN 5.125% DUE 04/01/23 DTD 10/21/09 FC 04/01/10 CALL 04/01/19 @ 100.000 Moody NR S&P AA CUSIP 385532HA7 Acquired 10/06/09 L nc	0.69	235,000	99.27	233,300.95	101.4390	238,381.65	5,080.70	3,010.94	12,043.75	5.05
ONEIDA CNTY NY PUB IMPT SER B G/O UNLTD B/E ASSURED GUAR CPN 6.500% DUE 04/15/23 DTD 04/15/09 FC 04/15/10 Moody A1 S&P AA- CUSIP 6824543V3 Acquired 10/27/09 L nc		150,000	107.10 109.30	160,661.83 163,950.00		158,815.50	-1,846.33			
Acquired 10/28/09 L nc		100,000	107.11 109.30	107,110.15 109,300.00		105,877.00	-1,233.15			
Total	0.77	250,000		\$267,771.98 \$273,250.00	105.8770	\$264,692.50	-\$3,079.48	\$3,430.56	\$16,250.00	6.14
FLORIDA ST DPT ENVMTNL PROTN REV SER B BABS ISSUER SUBSIDY TXBL B/E CPN 6.306% DUE 07/01/23 DTD 01/28/10 FC 07/01/10 CALL 07/01/19 @ 100.000 Moody A1 S&P AA- CUSIP 34160WUB8 Acquired 01/08/10 L nc		150,000	101.04 101.60	151,567.38 152,400.00		163,069.50	11,502.12			
Acquired 01/11/10 L nc		65,000	101.04 101.60	65,679.20 66,040.00		70,663.45	4,984.25			
Acquired 08/25/10 L nc		125,000	106.29 109.35	132,866.62 136,687.50		135,891.25	3,024.63			
Total	1.08	340,000		\$350,113.20 \$355,127.50	108.7130	\$369,624.20	\$19,511.00	\$10,720.20	\$21,440.40	5.80



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
SAN BERNARDINO CNTY CA PENSION OBLG RFDG REV B/E AGM TXBL CPN 6.020% DUE 08/01/23 DTD 04/16/08 FC 08/01/08 Moody A1+ S&P AA- CUSIP 796839BP2 Acquired 10/20/09 L nc	0.41	145,000	100.00 102.20	145,000.00 148,190.00	96.5800	140,041.00	-4,959.00	3,637.08	8,729.00	6.23
POTTAWATOMIE CNTY KS USD NO 320 TXBL SER B BABS DIRECT PMT TO ISSUER CPN 5.900% DUE 09/01/23 DTD 12/15/09 FC 03/01/11 CALL 09/01/19 @ 100.000 Moody NR S&P A+ CUSIP 737823DD3 Acquired 12/16/09 L nc	0.48	150,000	101.86 102.67	152,804.92 154,012.50	109.1720	163,758.00	10,953.08	2,950.00	8,850.00	5.40
SCRANTON PA PENSION FDG SR C AMBAC B/E OID @99.275 5.56 LT TXBL CPN 5.500% DUE 09/01/23 DTD 08/01/03 FC 09/01/03 CALL 01/31/14 @ 100.000 S&P NR CUSIP 810759NN6 Acquired 07/08/10 L nc	0.25	100,000	84.90 81.30	84,902.43 81,300.00	84.2730	84,273.00	-629.43	1,833.33	5,500.00	6.52
BYRON FOREST IL PRESERV DIST TAXABLE SER B BUILD AMER BDS G/O B/E TXBL CPN 6.125% DUE 01/01/24 DTD 02/01/10 FC 01/01/11 CALL 01/01/19 @ 100.000 Moody NR S&P A CUSIP 124538CP2 Acquired 01/20/10 L nc	0.63	200,000	101.99 103.21	203,996.20 206,422.00	108.1790	216,358.00	12,361.80	6,125.00	12,250.00	5.66

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
LANSING MI BLDG AUTH TXBL										
CPN 5.800% DUE 06/01/24										
DTD 10/08/09 FC 06/01/10										
CALL 06/01/19 @ 100.000										
Moody A1 S&P AA										
CUSIP 516393KA2										
Acquired 10/05/09 L nc	0.52	170,000	101.72 102.75	172,925.70 174,675.00	105.6420	179,591.40	6,665.70	821.67	9,860.00	5.49
HONOLULU HI CITY & CNTY SER E BUILD AMER BNDS G/O BIE TXBL										
CPN 5.780% DUE 09/01/24										
DTD 11/19/09 FC 09/01/10										
CALL 09/01/19 @ 100.000										
Moody AA1 S&P NR										
CUSIP 438670VA6										
Acquired 11/06/09 L nc	0.66	215,000	100.97 101.50	217,088.30 218,225.00	105.6160	227,074.40	9,986.10	4,142.33	12,427.00	5.47
FOLSOM CORDOVA CA USD FACS IMPT DIST NO 3 TXBL BABS DIRECT PAY ASSURED										
CPN 6.638% DUE 10/01/24										
DTD 12/03/09 FC 04/01/10										
CALL 10/01/19 @ 100.000										
Moody A2 S&P AA-										
CUSIP 34440KBH4										
Acquired 11/19/09 L nc	0.34	105,000	100.74 101.12	105,786.73 106,181.25	112.6030	118,233.15	12,446.42	1,742.48	6,969.90	5.89



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
NEW YORK NY SER D 1 BUILD AMER BDS G/O UNLTD B/E TXBL CPN 5.399% DUE 12/01/24 DTD 12/17/09 FC 06/01/10 Moody AA2 S&P AA CUSIP 64966HTY6 Acquired 12/30/09 L nc Acquired 01/13/10 L nc		75,000 175,000	98.20 100.03 100.05	73,650.00 175,069.78 175,087.50		82,668.75 192,893.75	9,018.75 17,823.97			
Total	0.80	250,000		\$248,719.78 \$248,737.50	110.2250	\$275,562.50	\$26,842.72	\$1,124.79	\$13,497.50	4.90
NEW HAVEN CT TAXABLE SER A 2 BUILD AMER G/O TXBL CPN 6.500% DUE 02/01/25 DTD 02/12/10 FC 08/01/10 CALL 02/01/20 @ 100.000 Moody A3 S&P BBB+ CUSIP 645020ZY7 Acquired 02/05/10 L nc	0.76	250,000	102.17 103.20	255,442.18 258,000.00	104.9470	262,367.50	6,925.32	6,770.83	16,250.00	6.19
ILLINOIS ST G/O UNLTD TAXABLE B/E SER 2 BAB CPN 6.150% DUE 03/01/25 DTD 04/26/10 FC 09/01/10 Moody A3 S&P A- CUSIP 45215ZDS7 Acquired 08/22/12 L nc	0.60	200,000	109.24 110.05	218,491.39 220,100.00	103.4200	206,840.00	-11,651.39	4,100.00	12,300.00	5.94
UNION CNTY NJ IMPT AUTH REV TAXABLE RFDG GTD LSE LINDEN B/E TXBL CPN 6.650% DUE 03/01/25 DTD 09/01/10 FC 03/01/11 S&P AA- CUSIP 906347SQ3 Acquired 08/19/10 L nc	0.32	100,000	109.01 110.80	109,015.51 110,800.00	110.1880	110,188.00	1,172.49	2,216.67	6,650.00	6.03

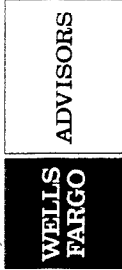
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
GIG HARBOR WA W&S REV TAXABLE BUILD AMER BDS B/E TXBL CPN 5.703% DUE 04/01/25 DTD 03/30/10 FC 10/01/10 CALL 04/01/20 @ 100.000 Moody NR S&P AA- CUSIP 37517HBT6 Acquired 03/15/10 L nc	0.41	135,000	101.95 102.85	137,634.55 138,847.50	103.7250	140,028.75	2,394.20	1,924.76	7,699.05 5.49
DECATUR GA SCH SYS CTF PARTN SCH BRDS ASSN TXBL BABS DRCT PAY RV ASSURED CPN 5.550% DUE 05/01/25 DTD 01/21/10 FC 05/01/10 CALL 05/01/20 @ 100.000 Moody AA3 S&P AA- CUSIP 243124AN4 Acquired 01/14/10 L nc	0.31	100,000	101.15 101.70	101,159.29 101,700.00	104.9930	104,993.00	3,833.71	925.00	5,550.00 5.28
MILLBRAE CA SCH DIST SER B 1 QUALIFIED SCH CONSTR BDS TAXABLE G/O B/E CPN 6.157% DUE 07/01/25 DTD 04/19/11 FC 01/01/12 Moody AA2 S&P AA- CUSIP 60012PBA1 Acquired 04/04/11 L nc	0.37	115,000	101.33 101.52	116,533.80 116,753.75	109.1110	125,477.65	8,943.85	3,540.28	7,080.55 5.64
HILLIARD OH S/D TAXABLE ENRGY CONSV-LT-QLFD SCH CONSTR BD-DIRECT PMT-G/O CPN 5.550% DUE 12/01/25 DTD 03/15/11 FC 12/01/11 Moody AA1 S&P AA CUSIP 431621NM9 Acquired 03/07/11 L nc	0.31	100,000	101.45 101.67	101,456.44 101,675.00	105.2560	105,256.00	3,799.56	462.50	5,550.00 5.27



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE ORIG PRICE	ADJ COST' ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
TOLEDO OH TAXABLE STR										
IMPT G/O LTD BIE ASSURED										
GUAR TXBL										
CPN 6 300% DUE 12/01/25										
DTD 10/15/09 FC 06/01/10										
CALL 12/01/19 @ 100 000										
Moody A2 S&P AA-										
CUSIP 889278PQ4										
Acquired 10/15/09 L nc	0.67	210,000	102.29 103.50	214,818.69 217,350.00	109.3090	229,548.90	14,730.21	1,102.50	13,230.00	5.76
CHICAGO HTS IL TXBL G/O										
UNLTD BIE AGM REOF										
@98 5773.5 9% TXBL										
CPN 5 750% DUE 01/15/26										
DTD 12/28/11 FC 07/15/12										
CALL 01/15/21 @ 100 000										
Moody A2										
CUSIP 167393MU8										
Acquired 02/17/12 L nc	0.29	100,000	105.66 106.86	105,661.51 106,860.00	101.2080	101,208.00	-4,453.51	2,651.39	5,750.00	5.68
PHARR SAN JUAN ALAMO TX										
INDPT SID QULFD PSF-GTD										
SCH CONSTR NTS DIRECT										
CPN 6 247% DUE 02/01/26										
DTD 02/01/11 FC 08/01/11										
CALL 02/01/21 @ 100 000										
Moody AA3 S&P A+										
CUSIP 717146RZ9										
Acquired 02/07/11 L nc	0.80	250,000	101.19 101.55	252,993.29 253,875.00	110.4280	276,070.00	23,076.71	6,507.29	15,617.50	5.65
VIRGINIA CLLG BLDG AUTH										
EDL FACS REV REF TXBL										
CENTURY CLG F-2 BABS										
CPN 5 400% DUE 02/01/26										
DTD 12/17/09 FC 08/01/10										
CALL 02/01/20 @ 100 000										
Moody AA1 S&P AA+										
CUSIP 927781TN4										
Acquired 01/05/10 L nc	0.73	235,000	99.05	232,767.50	106.2140	249,602.90	16,835.40	5,287.50	12,690.00	5.08

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
DE WITT MI PUB SCH BLDG & SITE BUILD AMER BDS DRCT PMT G/O UT B/E TXBL CPN 6 100% DUE 05/01/26 DTD 12/22/09 FC 11/01/10 CALL 05/01/19 @ 100 000 Moody NR S&P AA- CUSIP 242109QN9		100,000	100 83 101 30 100 83 101 30	100,831 69 101,300 00 15,124 75 15,195 00		107,211 00	6,379 31			
Acquired 12/11/09 L nc						16,081 65	956 90			
Acquired 12/15/09 L nc		15,000								
Total	0.36	115,000		\$115,956.44 \$116,495.00	107.2110	\$123,292.65	\$7,336.21	\$1,169.17	\$7,015.00	5.69
PORT ST LUCIE FL STRMWTR UTIL RV SR B RECOVERY ZN ECON DV TXBL BDS ASSURED CPN 6 516% DUE 05/01/26 DTD 01/15/10 FC 05/01/10 CALL 05/01/20 @ 100 000 Moody AA3 S&P AA- CUSIP 735351CJ5										
Acquired 01/08/10 L nc			101 24 101 80	101,247 73 101,800 00	109 5540	109,554 00	8,306 27	1,086 00	6,516 00	5 94
LITTLE LK CA CITY SCH DIST TAXABLE ELECTION 2000 SER D 1 G/O B/E CPN 5 964% DUE 07/01/26 DTD 06/02/10 FC 01/01/11 Moody AA3 S&P AA- CUSIP 537260DY4										
Acquired 04/05/11 L nc			98 80	123,500 00	103 2410	129,051 25	5,551 25	3,727 50	7,455 00	5 77
	0 38	125,000								



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
MARICOPA CNTY AZ SD #066 ROOSEVELT ELEM TXBL IMPT PJ 2007 G/O UNTLD AGC CPN 6.243% DUE 07/01/26 DTD 08/03/10 FC 01/01/11 Moody A1 S&P AA- CUSIP 567337QV5 Acquired 08/09/10 L nc	0.31	100,000	104.21 104.92	104,219.06 104,925.00	108.0640	108,064.00	3,844.94	3,121.50	6,243.00	5.77
BURLINGTON VT TAXABLE PUB IMPT A QUAL SCH CONST BDS G/O BIE TXBL CPN 6.500% DUE 11/01/26 DTD 07/21/10 FC 05/01/11 Moody BAA3 S&P NR CUSIP 122062PT3 Acquired 06/27/11 L nc	0.28	100,000	108.09 109.10	108,099.03 109,103.00	97.6130	97,613.00	-10,486.03	1,083.33	6,500.00	6.65
MORGANTOWN WV COMB UTIL SYS REV SR A BLD AMR BDS BIE TXBL ASSURED GUAR CPN 5.500% DUE 12/01/26 DTD 01/28/10 FC 06/01/10 CALL 12/01/20 @ 100.000 Moody NR S&P AA- CUSIP 61759CAQ1 Acquired 01/25/10 L nc	0.30	100,000	100.35 100.50	100,357.03 100,500.00	103.5390	103,539.00	3,181.97	458.33	5,500.00	5.31
NEW ORLEANS LA TXBL PUB IMPT SR A BUILD AMER BDS DIRECT PMT G/O UNLTD CPN 8.000% DUE 12/01/26 DTD 01/19/10 FC 06/01/10 CALL 12/01/19 @ 100.000 Moody A3 S&P BBB+ CUSIP 64763FNC9 Acquired 02/07/12 L nc	0.50	150,000	112.95 116.30	169,438.82 174,450.00	113.9340	170,901.00	1,462.18	1,000.00	12,000.00	7.02

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

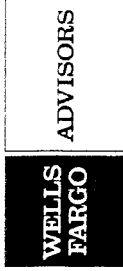
DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
DECATUR MI PUB SCHS TAXABLE SCH BLDG & SITE SER A QUAL SCH CONST BDS CPN 6.450% DUE 05/01/27 DTD 06/22/10 FC 11/01/10 CALL 05/01/20 @ 100.000 Moody NR S&P AA- CUSIP 243312DA0 Acquired 01/27/11 Lnc	0.61	200,000	101.19 101.60	202,396.59 203,200.00	104.2000	208,400.00	6,003.41	2,150.00	12,900.00	6.19
OAKLAND MI INTER S/D BLDG&SITE QUAL CONSTR BD G/O LTD B/E TAXABLE CPN 6.250% DUE 05/01/27 DTD 06/02/10 FC 11/01/10 CALL 05/01/20 @ 100.000 Moody NR S&P AA+ CUSIP 673475CB3 Acquired 07/09/10 Lnc	0.43	145,000	101.19 101.67	146,736.89 147,428.75	101.1340	146,644.30	-92.59	1,510.42	9,062.50	6.17
NASSAU CNTY NY TAXABLE GEN IMPT SER F BUILD AMER BDS G/O UNLTD B/E CPN 6.800% DUE 10/01/27 DTD 12/16/10 FC 04/01/11 CALL 10/01/20 @ 100.000 Moody A2 S&P A+ CUSIP 63165TFT7 Acquired 01/20/11 Lnc	1.03	325,000	103.11 104.10	335,114.11 338,325.00	109.2380	355,023.50	19,909.39	5,525.00	22,100.00	6.22

ANK3 PNAO



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
CHAMPAIGN CNTY IL CMNTY USD #116 URBANA ALT REV SOURCE SR A B/E TAXABLE CPN 6.600% DUE 01/01/28 DTD 12/14/10 FC 07/01/11 CALL 01/01/20 @ 100.000 Moody NR S&P AA- CUSIP 158285GU4 Acquired 12/03/10 L nc	0.41	130,000	101.21 101.67	131,580.86 132,177.50	107.6170	139,902.10	8,321.24	4,290.00	8,580.00	6.13
QUINCY IL SER C RECOVERY ZNE BLD AMR BDS ECON DEV G/O UT TXBL ASSURED GUAR CPN 6.050% DUE 01/01/28 DTD 12/15/09 FC 01/01/11 CALL 01/01/19 @ 100.000 Moody NR S&P AA- CUSIP 748437KA7 Acquired 11/25/09 L nc	0.35	110,000	100.95 101.55	111,052.84 111,705.00	107.9850	118,783.50	7,730.66	3,327.50	6,655.00	5.60
CHICAGO HTS IL TXBL G/O UNLTD B/E AGM REOF @98.4797 6.15% TXBL CPN 6.000% DUE 01/15/28 DTD 12/28/11 FC 07/15/12 CALL 01/15/21 @ 100.000 Moody A2 CUSIP 167393MW4 Acquired 02/15/12 L nc	0.18	60,000	104.06 104.90	62,438.45 62,943.00	101.8880	61,132.80	-1,305.65	1,660.00	3,600.00	5.88

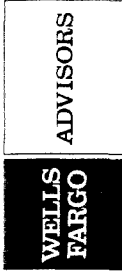
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
NY MUN BD BK AGY RECOVERY ACT SUB SER C 2 BUILD REV B/E TAXABLE CPN 6.879% DUE 02/15/28 DTD 12/30/09 FC 08/15/10 CALL 02/15/20 @ 100.000 Moody NR S&P A+ CUSIP 64988VCC5 Acquired 01/06/10 L nc	0.52	170,000	101.20 101.75	172,040.51 172,975.00	104.0700	176,919.00	4,878.49	4,417.85	11,694.30	6.60
PHILADELPHIA PA AUTH IND DEV PENSN FDG RETIREMENT SY A AGM TAXABLE OY=6.36 CPN 6.350% DUE 04/15/28 DTD 01/15/99 FC 04/15/99 Moody A2, S&P AA- CUSIP 71781LAM1 Acquired 09/21/09 L nc	0.45	150,000	100.88 101.02	151,322.46 151,537.50	104.1780	156,267.00	4,944.54	2,010.83	9,525.00	6.09
NASSAU CNTY NY TAXABLE GEN IMPT SER F BUILD AMER BDS G/O B/E TXBL CPN 6.900% DUE 10/01/28 DTD 12/16/10 FC 04/01/11 CALL 10/01/20 @ 100.000 Moody A2, S&P A+ CUSIP 63165TFU4 Acquired 07/23/12 L nc	0.10	30,000	113.78 116.20	34,134.30 34,860.00	109.5180	32,855.40	-1,278.90	517.50	2,070.00	6.30
SOUTH CRCTNL ENTITY FAC PDA WA BUILD AMR BD B DRCT PMT RV B/E OID TXBL CPN 6.416% DUE 01/01/29 DTD 11/04/09 FC 01/01/10 CALL 01/01/20 @ 100.000 Moody AA3, S&P AA CUSIP 83748CAN6 Acquired 12/02/09 L nc	0.47	150,000	101.75 102.62	152,629.96 153,937.50	108.1220	162,183.00	9,553.04	4,812.00	9,624.00	5.93



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
NY ST MUN BD BK AGY RECOVERY ACT SUB SER C3 ECON DEV REV B/E TAXABLE CPN 6 879% DUE 02/15/29 DTD 12/30/09 FC 08/15/10 CALL 02/15/20 @ 100 000 Moody NR S&P A+ CUSIP 64988VCG6 Acquired 05/08/13 S nc	0 06	20,000	112 09 113 15	22,418 25 22,630 60	104 4260	20,885 20	-1,533 05	519 75	1,375 80	6 58
GRAND RAPIDS MI CMNTY CLLG BUILD AMER BDS (DIRECT PMT TO ISSUER) CPN 6 500% DUE 05/01/29 DTD 06/01/09 FC 11/01/09 CALL 05/01/19 @ 100 000 Moody AA1 S&P AA CUSIP 38624JJT2 Acquired 02/01/11 L nc	0 40	125,000	102 27 103 23	127,843 24 129,041 25	110 4960	138,120 00	10,276 76	1,354 17	8,125 00	5 88
AVONDALE AZ PJS OF 1998& 2007 G/O UT B/E B/Q TXBL CPN 7 800% DUE 07/01/29 DTD 07/21/09 FC 01/01/10 CALL 07/01/19 @ 100 000 Moody AA3 S&P AA- CUSIP 054314DX4 Acquired 07/08/09 L nc	0 31	100,000	102 15 103 37	102,159 08 103,370 00	107 1980	107,198 00	5,038 92	3,900 00	7,800 00	7 27
MIAMI DADE CNTY FL TRAN SYS SALES SURTAX RV SR B BLD AMER BDS DRCT TXBL CPN 6 710% DUE 07/01/29 DTD 09/24/09 FC 01/01/10 CALL 07/01/19 @ 100 000 Moody A1 S&P AA CUSIP 59334PCN5 Acquired 09/21/09 L nc	0 32	100,000	101 94 103 05	101,947 61 103,050 00	111 2540	111,254 00	9,306 39	3,355 00	6,710 00	6 03

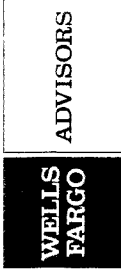
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
BONITA CA USD ELCTN 2008 A 1 BUILD AMER BDS DRCT PMT G/O UT B/E TAXABLE CPN 6.800% DUE 08/01/29 DTD 09/23/09 FC 02/01/10 CALL 08/01/19 @ 100.000 Moody NR S&P AA- CUSIP 098203RQ7 Acquired 09/24/09 L nc Acquired 09/24/09 L nc	140.000 100.000	102.09 103.25 102.09 103.25	142,928.02 144,550.00 102,091.44 103,250.00	153,427.40 109,591.00	10,499.38 7,499.56					
Total	0.77	240.000		\$245,019.46 \$247,800.00	109.5910	\$263,018.40	\$17,998.94	\$6,800.00	\$16,320.00	6.20
EL MONTE CA S/D ELEC 08 SRA 1 BLD AMR BDS DRCT PMT G/O UT TXBL ASSURED CPN 7.125% DUE 08/01/29 DTD 10/14/09 FC 08/01/10 CALL 08/01/19 @ 100.000 Moody AA3 S&P AA- CUSIP 283309HH8 Acquired 09/30/09 L nc Acquired 02/15/12 L nc	100.000 25.000	104.15 106.45 112.44 115.94	104,152.08 106,455.00 28,112.33 28,986.50	114,316.00 28,579.00	10,163.92 466.67					
Total	0.42	125.000		\$132,264.41 \$135,441.50	114.3160	\$142,895.00	\$10,630.59	\$3,710.94	\$8,906.25	6.23



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
SANTA MONICA MALIBU UNI SCH DIST CA ELCTN 2006 B 1 BLD G/O B/E TXBL CPN 7.406% DUE 08/01/29 DTD 08/05/09 FC 02/01/10 CALL 08/01/19 @ 100.000 Moody A A1 , S&P AA CUSIP 802498JK2 Acquired 07/27/09 L nc	0.34	100,000	101.12 101.75	101,126.83 101,750.00	115.7010	115,701.00	14,574.17	3,085.83	7,406.00	6.40
PUEBLO CNTY CO CTF PARTN TAXABLE SER A BUILD AMER REV B/E OID ASSURED GTY CPN 6.600% DUE 09/15/29 DTD 12/29/09 FC 03/15/10 Moody AA3 , S&P AA- CUSIP 744829BU5 Acquired 12/18/09 L nc	0.62	200,000	98.36	196,728.00	106.5310	213,062.00	16,334.00	3,886.67	13,200.00	6.19
NASSAU CNTY NY SER I BUILD AMER BDS G/O UNLTD B/E TAXABLE CPN 6.100% DUE 10/01/29 DTD 12/15/09 FC 04/01/10 CALL 10/01/19 @ 100.000 Moody A2 , S&P A+ CUSIP 63165TBR5 Acquired 03/09/12 L nc		40,000	105.87 107.40	42,350.65 42,960.00		41,674.80	-675.85			
Acquired 08/01/12 L nc		30,000	108.20 109.90	32,460.31 32,970.00		31,256.10	-1,204.21			
Total	0.21	70,000		\$74,810.96 \$75,930.00	104.1870	\$72,930.90	-\$1,880.06	\$1,067.50	\$4,270.00	5.85

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
BURLINGTON VT PUB IMPT SER B G/O UNLTD BIE TXBL CPN 6.000% DUE 11/01/29 DTD 04/20/09 FC 11/01/09 CALL 11/01/18 @ 100.000 Moody BAA3 CUSIP 122062NA6 Acquired 02/17/11 L nc	0.44	170.000	98.02	166,635.70	89.3950	151,971.50	-14,664.20	1,700.00	10,200.00	6.71
MC HENRY CNTY IL CMNTY UNIT S/D#200 WOOD STOCK TXBL A BAB UNLTD G/O B/E CPN 6.400% DUE 01/15/30 DTD 03/05/10 FC 01/15/11 CALL 01/15/19 @ 100.000 S&P AA CUSIP 581158MF6 Acquired 02/15/11 L nc	0.40	125.000	100.15 100.22	125,197.00 125,275.00	109.3260	136,657.50	11,460.50	3,688.89	8,000.00	5.85
NASSAU CNTY NY TXBL GEN IMPT SER B BUILD AMERICA BONDS G/O BIE CPN 6.600% DUE 04/01/30 DTD 06/24/10 FC 10/01/10 CALL 04/01/20 @ 100.000 Moody A2 S&P A+ CUSIP 63165TCR4 Acquired 03/20/12 L nc	0.31	100.000	108.04 109.90	108,042.05 109,900.00	106.7750	106,775.00	-1,267.05	1,650.00	6,600.00	6.18

30K3 PNAO



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
CHURCHILL CNTY NV S/D IMPT SER A BAB PSF-GTD G/O LTD B/E OID TAXABLE CPN 6.050% DUE 05/01/30 DTD 07/07/10 FC 11/01/10 CALL 05/01/20 @ 100.000 Moody NR, S&P AAA CUSIP 171466MJ1 Acquired 02/28/12 L nc Acquired 02/28/12 L nc	65.000 60.000		109.28 111.52 109.28 111.52	71,035.72 72,491.25 65,571.44 66,915.00		69,204.85 63,881.40	-1,830.87 -1,690.04			
Total	0.39	125.000		\$136,607.16 \$139,406.25	106.4690	\$133,086.25	-\$3,520.91	\$1,260.42	\$7,562.50	5.68
USF FING CORP FL CTF PARTN TAXABLE USF FING CORP B REV B/E TXBL CPN 8.348% DUE 07/01/30 DTD 12/23/10 FC 07/01/11 CALL 07/01/20 @ 100.000 Moody A1, S&P A CUSIP 90341UBY7 Acquired 11/25/13 S nc	0.18	55.000	114.72 114.88	63,099.07 63,186.20	115.1540	63,334.70	235.63	2,295.70	4,591.40	7.24
SANTA MONICA MALIBU UNI SD CA REF ELEC 2006 SR C 1 BABS G/O UNLTD TXBL CPN 6.434% DUE 07/01/30 DTD 07/27/10 FC 01/01/11 CALL 07/01/20 @ 100.000 Moody AA1, S&P AA CUSIP 802498KS3 Acquired 07/05/12 L nc	0.24	75.000	113.55 116.16	85,162.67 87,122.25	109.0320	81,774.00	-3,388.67	2,412.75	4,825.50	5.90

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
ANDREWS CNTY TX TAXABLE PERMANENT IMPT G/O BIE TXBL										
CPN 6.808% DUE 08/15/30										
DTD 11/01/10 FC 08/15/11										
CALL 08/15/20 @ 100.000										
Moody NR S&P A										
CUSIP 034447BY7										
Acquired 11/20/12 L nc	0.24	75,000	115.68 117.90	86,766.41 88,425.00	110.9700	83,227.50	-3,538.91	1,928.93	5,106.00	6.13
CAPE CORAL FL GAS TAX REV TAXABLE SER B BUILD										
AMER BIE TXBL										
CPN 7.147% DUE 10/01/30										
DTD 05/04/10 FC 10/01/10										
CALL 10/01/20 @ 100.000										
Moody A2 S&P NR										
CUSIP 139369AA0										
Acquired 07/08/13 S nc	0.62	200,000	109.32 109.85	218,652.03 219,700.00	106.7340	213,468.00	-5,184.03	3,573.50	14,294.00	6.69
CALIFORNIA ST G/O UNLTD BIE TAXABLE										
CPN 7.700% DUE 11/01/30										
DTD 12/01/10 FC 05/01/11										
CALL 11/01/20 @ 100.000										
Moody A1 S&P A										
CUSIP 130636JB9										
Acquired 12/13/10 L nc		400,000	101.84 102.40	407,371.17 409,600.00		463,328.00	55,956.83			
Acquired 03/09/11 L nc		140,000	103.91 105.05	145,484.34 147,070.00		162,164.80	16,680.46			
Acquired 10/03/11 L nc		125,000	112.20 115.30	140,252.30 144,125.00		144,790.00	4,537.70			
Acquired 11/09/11 L nc		200,000	112.31 115.30	224,623.66 230,600.00		231,664.00	7,040.34			
Acquired 11/10/11 L nc		50,000	112.19 115.15	56,098.18 57,575.00		57,916.00	1,817.82			
Acquired 11/14/11 L nc		30,000	112.19 115.15	33,659.84 34,545.00		34,749.60	1,089.76			



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 11/23/11 L nc		160,000	112.25	179,603.39		185,331.20	5,727.81			
Acquired 01/20/12 L nc		65,000	115.17	184,272.00		75,290.80	687.83			
Acquired 02/17/12 L nc		25,000	118.10	76,765.00		28,958.00	-42.84			
Acquired 02/23/12 L nc		125,000	116.00	29,000.84		144,790.00	-822.33			
Acquired 02/24/12 L nc		85,000	119.50	29,875.00		98,457.20	-562.69			
Acquired 03/21/12 L nc		55,000	116.48	145,612.33		63,707.60	-367.35			
Acquired 03/22/12 L nc		85,000	120.08	150,100.00		98,457.20	-571.20			
Acquired 03/27/12 L nc		20,000	116.49	99,019.89		23,166.40	-169.32			
Acquired 03/30/12 L nc		90,000	120.08	102,068.00		17,374.80	-126.99			
Acquired 04/09/12 L nc		75,000	116.49	64,074.95		104,248.80	-776.88			
Acquired 04/12/12 L nc		175,000	119.95	65,972.50		86,874.00	-946.99			
Acquired 08/15/12 L nc		105,000	116.67	23,335.72		202,706.00	-2,872.58			
Acquired 09/13/12 L nc		50,000	120.15	17,501.79		121,623.60	-3,725.40			
Total	7.00	2,075,000	119.76	\$2,322,925.80	115.8320	\$2,403,514.00	\$80,588.20	\$26,629.17	\$159,775.00	6.65
			122.87	\$2,377,148.25						

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
METROPOLITAN TRANSN AUTH NY REV TAXABLE TRANSN SER E BUILD BIE TXBL CPN 7 134% DUE 11/15/30 DTD 12/29/10 FC 05/15/11 CALL 11/15/20 @ 100 000 Moody A2 S&P A CUSIP 59259YGG8 Acquired 01/07/11 L nc	0 47	150,000	102 90 103 80	154,358 74 155,700 00	108 7610	163,141 50	8,782 76	1,367 35	10,701 00	6 55
MARKHAM IL SER A BUILD AMER BDS DRCT PMT G/O UT BIE TAXABLE AGM CPN 8 000% DUE 12/01/30 DTD 12/30/09 FC 06/01/10 CALL 12/01/20 @ 100 000 Moody NR S&P AA- CUSIP 570612EN7 Acquired 01/04/12 L nc	0 43	140,000	112 21 114 90	157,105 29 160,860 00	104 7870	146,701 80	-10,403 49	933 33	11,200 00	7 63
GARDEN GROVE CA PUB FING AUTH REV TXBL WTR SER C RECOVERY ZONE ECON DEV CPN 6 389% DUE 12/15/30 DTD 05/13/10 FC 12/15/11 CALL 12/15/20 @ 100 000 S&P AA+ CUSIP 365273BM2 Acquired 02/28/12 L nc	0 28	90,000	110 77 113 07	99,693 92 101,771 10	105 7870	95,208 30	-4,485 62	255 56	5,750 10	6 03



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
EL CENTRO CA FING AUTH LSE REV TRANSN IMPTS B BUILD AMER BDS BIE CPN 8.250% DUE 10/01/31 DTD 12/16/09 FC 04/01/10 CALL 10/01/19 @ 100.000 Moody NR S&P A CUSIP 28282RAM2 Acquired 11/25/13 S nc	0.19	60,000	110.85 110.99	66,511.06 66,594.00	106.6920	64,015.20	-2,495.86	1,237.50	4,950.00	7.73
BAYONNE NJ REF-TAXABLE- PENSION G/O UNL TD B/E MBIA-RE FGIC CPN 5.680% DUE 01/15/33 DTD 01/15/03 FC 07/15/03 Moody A1, S&P NR CUSIP 072887TS1 Acquired 01/28/11 L nc	0.46	170,000	90.24 89.55	153,414.34 152,235.00	93.3430	158,683.10	5,268.76	4,452.49	9,656.00	6.08
BEAUMONT CA UNI SCH DIST TAXABLE B BUILD AMER BDS G/O ASSURED GUAR TXBL CPN 7.471% DUE 08/01/34 DTD 12/22/09 FC 08/01/10 CALL 08/01/19 @ 100.000 Moody A1, S&P AA- CUSIP 074437CF9 Acquired 10/25/12 L nc	0.35	105,000	116.82 119.88	122,662.51 125,877.15	112.9410	118,588.05	-4,074.46	3,268.56	7,844.55	6.61
EL MONTE CA CITY SCH DIST ELEC OF 2008 SER A 1 G/O BIE ASSURED GUAR CPN 6.953% DUE 08/01/34 DTD 10/14/09 FC 08/01/10 CALL 08/01/19 @ 100.000 Moody AA3, S&P AA- CUSIP 283309HJ4 Acquired 11/11/13 S nc	0.62	190,000	110.48 110.68	209,914.25 210,309.10	111.4650	211,783.50	1,869.25	5,504.46	13,210.70	6.23

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME
ONTARIO MONTCLAIR CA S/D ELCTN 08 SR D BLD AMR BD G/O UT B/E TXBL ASSURED CPN 7 535% DUE 08/01/34 DTD 12/01/09 FC 02/01/10 CALL 08/01/19 @ 100 000 Moody AA3 S&P AA- CUSIP 683119DU2 Acquired 02/15/12 L nc	0 12	35,000	111 06 114 10	38,873 58 39,935 00	113 2510	39,637 85	764 27	1,098 85	2,637 25
PASADENA CA UNI SCH DIST SER A 2 BUILD AMER BDS G/O B/E TXBL CPN 7 193% DUE 08/01/34 DTD 09/17/09 FC 08/01/10 CALL 08/01/19 @ 100 000 Moody AA2 S&P AA- CUSIP 702282LR3 Acquired 08/27/13 S nc	0 31	100,000	110 30 110 82	110,302 87 110,828 00	108 0880	108,088 00	-2,214 87	2,997 08	7,193 00
OAKLAND CA USD ALAMEDA CNTY BABS SER B G/O UT B/E TAXABLE CPN 9 500% DUE 08/01/34 DTD 08/12/09 FC 02/01/10 CALL 08/01/19 @ 100 000 Moody WR CUSIP 672325TS6 Acquired 10/10/11 L nc Acquired 10/10/11 L nc	150,000 100,000		109 72 112 60 109 72 112 60	164,582 47 168,900 00 109,721 65 112,600 00		168,246 00 112,164 00	3,663 53 2,442 35		
Total	0.82	250,000		\$274,304.12 \$281,500.00	112.1640	\$280,410 00	\$6,105.88	\$9,895.83	\$23,750.00
									8.47



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
TORRANCE CA UNI SCH DIST										
BUILD AMER BD B 2										
MEASURE Y G/O BIE TXBL										
CPN 7 100% DUE 08/01/34										
DTD 10/29/09 FC 02/01/10										
CALL 08/01/19 @ 100 000										
Moody AA2 S&P AA-										
CUSIP 891381G66										
Acquired 02/17/12 L nc	0 14	45,000	112 52 116 04	50,638 13 52,219 35	109 2000	49,140 00	-1,498 13	1,331 25	3,195 00	6 50
WEST CONTRA COSTA CA UNI										
SCH DIST ELECTION 2005										
SER C 2 G/O BIE TXBL										
CPN 8 460% DUE 08/01/34										
DTD 08/26/09 FC 02/01/10										
CALL 08/01/19 @ 100 000										
Moody AA3 S&P A+										
CUSIP 952347VB5		125,000	103 35 105 12 112 87 116 75	129,197 44 131,406 25 11,287 71 11,675 00		141,737 50	12,540 06			
Acquired 09/02/09 L nc		10,000				11,339 00	51 29			
Acquired 11/21/11 L nc										
Total	0.45	135,000		\$140,485 15 \$143,081.25	113.3900	\$153,076 50	\$12,591.35	\$4,758.75	\$11,421.00	7.46
CHICAGO IL TAXABLE PROJ										
SER C 1 G/O BIE TXBL										
CPN 7 781% DUE 01/01/35										
DTD 01/26/11 FC 07/01/11										
Moody A3 S&P A+										
CUSIP 167486MM8										
Acquired 02/04/11 L nc	0 81	250,000	100 76 100 80	251,914 50 252,000 00	110 8330	277,082 50	25,168 00	9,726 25	19,452 50	7 02
CORONA NORCO CA USD										
BUILD AMER BDS D DIRECT										
PMT G/O UNLTD B/E TXBL										
CPN 7 343% DUE 08/01/35										
DTD 12/17/09 FC 02/01/10										
CALL 08/01/19 @ 100 000										

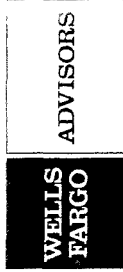
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
Moody AA2 S&P AA- CUSIP 219764LM7 Acquired 12/03/09 L nc	0.63	200,000	101.22 101.85	202,455.43 203,700.00	107.9540	215,908.00	13,452.57	6,119.17	14,686.00	6.80
NASSAU CNTY NY TAXABLE										
GEN IMPT SER F BUILD										
AMER BDS G/O B/E TXBL										
CPN 7.400% DUE 10/01/35										
DTD 12/16/10 FC 04/01/11										
CALL 10/01/20 @ 100.000										
Moody A2 S&P A+ CUSIP 63165TFX8 Acquired 12/20/10 L nc		100,000	101.75 102.30 102.30	101,758.64 102,300.00 102,300.00		108,819.00	7,060.36			
Acquired 01/10/11 L nc		225,000	103.27 104.30	232,377.96 234,675.00		244,842.75	12,464.79			
Acquired 11/14/11 L nc		30,000	108.85	32,657.44		32,645.70	-11.74			
Acquired 11/21/11 L nc		65,000	111.00	33,300.00		70,732.35	-140.29			
Acquired 01/05/12 L nc		25,000	111.20	72,280.00		27,204.75	-99.60			
Acquired 01/10/12 L nc		25,000	109.21 111.30	27,304.35 27,825.00		27,204.75	-191.19			
Acquired 03/22/12 L nc		95,000	109.58 111.75	27,395.94 27,937.50		103,378.05	-1,171.85			
Acquired 03/23/12 L nc		90,000	110.05 112.11	104,549.90 106,511.15		97,937.10	-784.65			
Acquired 07/23/12 L nc		10,000	109.69 111.67	98,721.75 100,505.70		10,881.90	-483.39			
Acquired 07/11/13 S nc		30,000	113.65 116.00 111.37 112.00	11,365.29 11,600.00 33,413.27 33,600.90		32,645.70	-767.57			
Total	2.20	695,000		\$740,417.18 \$750,535.25	108.8190	\$756,292.05	\$15,874.87	\$12,857.50	\$51,430.00	6.80



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE ORIG PRICE	ADJ COST ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
CALIFORNIA ST VAR PURP BUILD AMER BDS B/O UNLTD B/E TAXABLE CPN 7.950% DUE 03/01/36 DTD 04/01/10 FC 09/01/10 CALL 03/01/20 @ 100.000 Moody A1 S&P A CUSIP 13063BFV9									
Acquired 02/09/11 L nc	115,000	103 22	118,706 45	133,421 85	14,715 40				
Acquired 08/30/11 L nc	220,000	104 30	119,945 00	255,241 80	11,029 58				
Acquired 08/31/11 L nc	225,000	111 00	244,212 22	261,042 75	11,186 98				
Acquired 08/31/11 L nc	70,000	114 25	251,363 20	81,213 30	3,501 08				
Acquired 09/20/11 L nc	30,000	111 04	249,855 77	34,805 70	1,173 20				
Acquired 10/03/11 L nc	20,000	114 29	257,163 75	23,203 80	804 18				
Acquired 10/12/11 L nc	140,000	111 01	77,712 22	162,426 60	8,777 00				
Acquired 10/24/11 L nc	135,000	111 01	79,979 20	156,625 65	7,229 34				
Acquired 11/14/11 L nc	100,000	114 25	33,632 50	116,019 00	3,832 49				
Acquired 11/15/11 L nc	110,000	112 10	34,687 50	127,620 90	3,577 25				
Acquired 01/09/12 L nc	105,000	115 62	22,399 62	121,819 95	3,368 03				
Acquired 01/17/12 L nc	50,000	115 42	23,085 00	58,009 50	458 70				
Acquired 01/18/12 L nc	60,000	109 74	153,649 60	69,611 40	905 74				
Acquired 01/25/12 L nc	75,000	112 44	157,425 80	87,014 25	1,229 50				
Acquired 02/03/12 L nc	110,000	110 66	149,396 31	127,620 90	650 56				
Acquired 02/17/12 L nc	70,000	113 60	153,360 00	81,213 30	-150 64				
		112 18	112,186 51						
		115 50	115,500 00						
		112 76	124,043 65						
		116 25	127,875 00						
		112 81	118,451 92						
		116 07	121,878 75						
		115 10	57,550 80						
		118 97	59,487 50						
		114 50	68,705 66						
		118 20	70,920 00						
		114 37	85,784 75						
		118 00	88,500 00						
		115 42	126,970 34						
		119 30	131,230 00						
		116 23	81,363 94						
		120 25	84,175 00						

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 02/17/12 L nc		10,000	115 75	11,575 74		11,601 90	26 16			
Acquired 02/28/12 L nc		25,000	119 64	11,964 20		29,004 75	-191 29			
Acquired 03/09/12 L nc		5,000	116 78	29,196 04		5,800 95	124 85			
Acquired 04/11/12 L nc		75,000	120 90	30,225 00		87,014 25	-407 95			
Acquired 07/05/12 L nc		125,000	113 52	5,676 10		145,023 75	-2,775 44			
			116 70	5,835 15						
			116 56	87,422 20						
			120 35	90,262 50						
			118 23	147,799 19						
			121 90	152,375 00						
Total	6.33	1,875,000		\$2,106,291.53	116.0190	\$2,175,356.25	\$69,064.72	\$49,687.50	\$149,062.50	6.85
\$2,167,237.55										
OAK PARK MI TAXABLE FAC FING RECOVERY G/O UNLTD B/E TXBL										
CPN 7.000% DUE 05/01/36										
DTD 11/01/10 FC 05/01/11										
CALL 05/01/20 @ 100.000										
Moody A2 S&P A										
CUSIP 671664PH7										
Acquired 09/15/11 L nc	0.30	100,000	105 38	105,388 75	104.0200	104,020 00	-1,368 75	1,166 67	7,000 00	6.72
			106 88	106,880 00						
NASSAU CNTY NY TXBL GEN IMPT SER B BUILD AMERICA BONDS G/O B/E										
CPN 6.700% DUE 04/01/37										
DTD 06/24/10 FC 10/01/10										
CALL 04/01/20 @ 100.000										
Moody A2 S&P A+										
CUSIP 63165TCY9										
Acquired 02/08/11 L nc		150,000	93 75	140,625 00		158,019 00	17,394 00			
Acquired 02/10/11 L nc		200,000	94 02	188,050 00		210,692 00	22,642 00			
Acquired 02/18/11 L nc		100,000	93 77	93,779 61		105,346 00	11,566 39			
			93 50	93,500 00						
Acquired 11/14/11 L nc		20,000	104 29	20,858 01		21,069 20	211 19			
			105 42	21,084 00						
Acquired 11/21/11 L nc		35,000	106 33	37,218 06		36,871 10	-346 96			
			108 02	37,807 35						
Acquired 01/18/12 L nc		15,000	105 62	15,843 74		15,801 90	-41 84			
			107 00	16,050 00						

THANKS PNAO



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 02/15/12 L nc		10,000	106 88	10 688 52		10,534 60	-153 92			
Acquired 03/19/12 L nc		150,000	108 52	10 852 50		158,019 00	-4,417 24			
Acquired 03/29/12 L nc		100,000	110 20	165 307 50		105,346 00	-3,045 50			
Acquired 04/09/12 L nc		30,000	108 39	108 391 50		31,603 80	-1,031 88			
			110 30	110 300 00						
			108 78	32 635 68						
			110 76	33 228 00						
Total	2.48	810,000		\$810,526.36 \$816,804.35	105.3460	\$853,302.60	\$42,776.24	\$13,567.50	\$54,270.00	6.36
WATERBURY CT PENSION G/O										
BIE TXBL										
CPN 7.089% DUE 12/01/38										
DTD 09/17/09 FC 12/01/09										
Moody NR S&P AA-										
CUSIP 941247N20										
Acquired 09/08/09 L nc	0.29	100,000	100 99	100 995 77	100 7950	100,795 00	-200 77	590 75	7,089 00	7.03
			101 05	101 050 00						
WASHOE CNTY NV HWY REV										
SER B BUILD AMER BDS										
DIRECT PAY BIE TXBL										
CPN 7.213% DUE 02/01/39										
DTD 03/17/10 FC 08/01/10										
CALL 02/01/20 @ 100 000										
Moody A1 S&P A+										
CUSIP 940839AZ6										
Acquired 03/15/13 S nc	0.30	95,000	116 39	110 572 03	107 7330	102,346 35	-8,225 68	2,855 15	6,852 35	6.69
			118 20	112 298 55						
PORT ST LUCIE FL STRMWTR										
UTIL RV SR B RECOVERY ZN										
ECON DV BDS TXBL ASSURED										
CPN 7.376% DUE 05/01/39										
DTD 01/15/10 FC 05/01/10										
CALL 05/01/20 @ 100 000										
Moody AA3 S&P AA-										
CUSIP 735351CH9										
Acquired 02/15/12 L nc	0.16	50,000	113 52	56 763 88	110 0200	55,010 00	-1,753 88	614 67	3,688 00	6.70
			116 77	58 386 50						

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
SAN BERNARDINO CA CCD ELEC OF 2008 SER C BUILD AMER BDS G/O LTD TXBL CPN 7.430% DUE 08/01/39 DTD 06/18/09 FC 02/01/10 Moody AA2, S&P AA- CUSIP 796720GJ3 Acquired 07/22/09 L nc	0.52	150,000	104.75 105.01	157,135.70 157,522.50	119.4660	179,199.00	22,063.30	4,643.75	11,145.00	6.21
RIVERSIDE CA SWR REV BUILD AMERICA BONDS TAXABLE B/E OID CPN 7.200% DUE 08/01/39 DTD 08/20/09 FC 02/01/10 CALL 08/01/19 @ 100.000 Moody AA2, S&P A CUSIP 769047HB0 Acquired 11/26/13 S nc	0.06	20,000	108.25 108.35	21,650.63 21,670.00	106.6380	21,327.60	-323.03	600.00	1,440.00	6.75
SOUTHWESTERN CCD CA ELCTN 08 B BUILD AMR BDS DRCT PMT G/O UT B/E TXBL CPN 7.230% DUE 08/01/39 DTD 11/05/09 FC 02/01/10 CALL 08/01/19 @ 100.000 Moody AA2, S&P AA- CUSIP 845389DY8 Acquired 07/17/12 L nc	0.49	155,000	115.61 119.12	179,208.82 184,643.75	109.4690	169,676.95	-9,531.87	4,669.38	11,206.50	6.60
BRENTWOOD CA INFRA FING AUTH LSE REV CIVIC CTR PROJ SER A BIE CPN 7.647% DUE 10/01/39 DTD 10/27/09 FC 04/01/10 CALL 10/01/19 @ 100.000 Moody NR, S&P AA- CUSIP 10727YAU0 Acquired 11/16/12 L nc	0.09	30,000	115.53 118.10	34,661.43 35,430.00	106.3990	31,919.70	-2,741.73	573.53	2,294.10	7.18





KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
PEND OREILLE WA PUD #001 BOX CANYON RV PROD SYS B BLD AMR BD B/E TAXABLE CPN 7.352% DUE 01/01/40 DTD 10/20/09 FC 01/01/10 CALL 01/01/19 @ 100.000 Moody A3 S&P NR CUSIP 706643BK8 Acquired 02/03/12 L nc	0.47	150,000	108.92 111.73	163,380.61 167,598.00	107.7300	161,595.00	-1,785.61	5,514.00	11,028.00	6.82
NEW JERSEY ST EFA REV SER B BUILD AMER BDS DIRECT PAYMENT B/E TXBL CPN 7.395% DUE 07/01/40 DTD 01/14/10 FC 07/01/10 CALL 07/01/19 @ 100.000 Moody A2 S&P A CUSIP 645065A44 Acquired 04/04/13 S nc	0.54	170,000	115.36 117.14	196,126.58 199,138.00	108.4950	184,441.50	-11,685.08	6,285.75	12,571.50	6.81
REDONDO BCH CA UNI SCH DIST ELECTION 2008 D BUILD AMER BDS DIRECT CPN 6.461% DUE 08/01/40 DTD 09/07/10 FC 02/01/11 CALL 08/01/20 @ 100.000 Moody NR S&P A+ CUSIP 757710KN7 Acquired 11/28/12 L nc	0.30	100,000	110.87 112.35	110,870.11 112,357.00	101.5480	101,548.00	-9,322.11	2,692.08	6,461.00	6.36

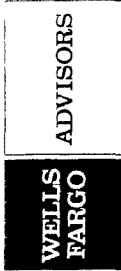
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
NEW BRUNSWICK NJ PARKING AUTH REV CITY GTD C RECOVERY ZONE BIE TXBL CPN 8.420% DUE 09/01/40 DTD 12/15/10 FC 03/01/11 CALL 09/01/20 @ 100.000 Moody A2 . S&P A+ CUSIP 642835LQ3 Acquired 07/05/13 S nc	0.13	40,000	115.38 116.27	46,154.57 46,508.80	111.0950	44,438.00	-1,716.57	1,122.67	3,368.00	7.57
FLORIDA ST GOVERNMENTAL UTIL AUTH UTIL REV TXBL REF ALOHA UTIL BABS CPN 6.548% DUE 10/01/40 DTD 10/13/10 FC 04/01/11 CALL 10/01/20 @ 100.000 Moody A2 . S&P NR CUSIP 34281PLE1 Acquired 02/15/12 L nc	0.15	50,000	106.79 108.27	53,397.32 54,137.50	104.9710	52,485.50	-911.82	818.50	3,274.00	6.23
JERSEY CITY NJ TAXABLE GEN IMPT SER B G/O BIE TXBL CPN 7.250% DUE 11/01/40 DTD 11/16/10 FC 05/01/11 CALL 11/01/20 @ 100.000 Moody A2 . S&P NR CUSIP 476576DB6 Acquired 05/07/13 S nc	0.12	40,000	115.46 116.68	46,184.68 46,673.20	105.2540	42,101.60	-4,083.08	483.33	2,900.00	6.88



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
NORTHERN ILL UNIV REVS TAXABLE AUXILIARY FACS BUILD BIE TXBL CPN 8 147% DUE 04/01/41 DTD 12/16/10 FC 04/01/11 CALL 04/01/20 @ 100 000 Moody A2 CUSIP 665245XD3 Acquired 05/07/13 S nc	0 07	20,000	120 85 122 69	24,171 67 24,539 80	112 9820	22,596 40	-1,575 27	407 35	1,629 40	7 21
HAYWARD CA UNI SCH DIST TAXABLE ELECTION 2008 SER C BABS G/O BIE AGM CPN 7 350% DUE 08/01/43 DTD 07/01/10 FC 02/01/11 CALL 08/01/20 @ 100 000 Moody A2 S&P AA- CUSIP 421290ZE6 Acquired 10/31/11 L nc		100,000	106 60 108 25	106 606 05 108,250 00		111,086 00	4,479 95			
Acquired 11/09/11 L nc		150,000	107 06	160,591 15		166,629 00	6,037 85			
Acquired 12/07/11 L nc		125,000	108 80	163,200 00		138,857 50	3,905 66			
Acquired 01/05/12 L nc		200,000	107 96	134,951 84		222,172 00	1,552 81			
Acquired 01/05/12 L nc		115,000	109 87	137,343 75		127,748 90	892 86			
Acquired 01/18/12 L nc		50,000	110 30	220,619 19		55,543 00	232 64			
Acquired 01/23/12 L nc		50,000	112 75	225,500 00		55,543 00	328 84			
Acquired 02/21/12 L nc		30,000	112 75	129,662 50		33,325 80	403 75			
Acquired 04/09/12 L nc		75,000	110 62	55,310 36		83,314 50	-981 73			
Acquired 07/05/12 L nc		180,000	113 10	56,550 00		199,954 80	-4,235 14			
Acquired 07/05/12 L nc		50,000	110 42	55,214 16		55,543 00	-1,176 44			
			112 85	56,425 00						
			109 74	32,922 05						
			111 90	33,570 00						
			112 39	84,296 23						
			115 00	86,250 00						
			113 43	204,189 94						
			115 90	208,620 00						
			113 43	56,719 44						
			115 90	57,950 00						

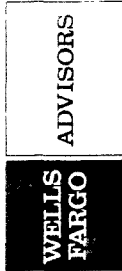
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 07/17/12 L nc		80,000	113.72	90,980.16		88,868.80	-2,111.36			
Acquired 07/25/12 L nc		115,000	116.20	92,960.00		127,748.90	-2,787.98			
Acquired 08/10/12 L nc		155,000	113.51	130,536.88		172,183.30	-4,306.66			
Acquired 10/16/12 L nc		45,000	115.90	133,285.00		49,988.70	-1,754.79			
Acquired 04/11/13 S nc		75,000	113.86	176,489.96		83,314.50	-4,900.85			
Acquired 10/17/13 S nc		120,000	116.25	180,187.50		133,303.20	25.83			
Acquired 10/22/13 S nc		75,000	114.98	51,743.49		83,314.50	-193.53			
Acquired 11/25/13 S nc		20,000	117.25	52,762.50		22,217.20	-180.66			
Total	5.85	1,810,000		\$2,015,425.55	111.0860	\$2,010,656.60	-\$4,768.95	\$55,431.25	\$133,035.00	6.62
NAPA VLY CA UNI SCH DIST BUILD AMER BDS SER B G/O B/E TXBL										
CPN 8 100% DUE 08/01/44										
DTD 08/04/09 FC 02/01/10										
CALL 08/01/19 @ 100.000										
Moody AA2, S&P AA-										
CUSIP 630361Z97										
Acquired 07/28/09 L nc	0.33	100,000	102.01	102,016.46	112.3470	112,347.00	10,330.54	3,375.00	8,100.00	7.20
TULARE CA SWR REV BUILD AMER BDS SER B B/E AGM OID TXBL			103.10	103,107.00						
CPN 8 750% DUE 11/15/44										
DTD 06/30/09 FC 11/15/09										
CALL 11/15/19 @ 100.000										
Moody A2, S&P AA-										
CUSIP 899124HA2										
Acquired 08/24/12 L nc		150,000	120.80	181,203.78		172,626.00	-8,577.78			
Acquired 08/28/12 L nc		135,000	124.80	187,200.00		155,363.40	-7,959.44			
			120.97	163,322.84						
			125.00	168,750.00						



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 09/10/12 L nc		75,000	121.35	91,019.25		86,313.00	-4,706.25			
Acquired 09/13/12 L nc		90,000	125.36	94,022.25		103,575.60	-5,412.04			
Acquired 10/05/12 L nc		35,000	125.00	112,504.50		40,279.40	-2,099.14			
Acquired 10/10/12 L nc		85,000	121.08	42,378.54		97,821.40	-4,898.70			
Acquired 10/17/12 L nc		50,000	120.84	43,680.00		57,542.00	-2,904.83			
Acquired 11/19/12 L nc		145,000	124.48	105,813.95		166,871.80	-8,569.17			
Acquired 02/06/13 S nc		10,000	120.99	62,243.50		11,508.40	-619.58			
Acquired 03/15/13 S nc		125,000	124.33	175,440.97		143,855.00	-7,734.29			
Acquired 11/04/13 S nc		30,000	121.27	12,405.30		34,525.20	-841.45			
			121.27	151,589.29						
			123.69	154,612.50						
			117.88	35,366.65						
			118.28	35,484.00						
Total	3.12	930,000		\$1,124,603.87	115.0840	\$1,070,281.20	-\$54,322.67	\$10,397.92	\$81,375.00	7.60
				\$1,156,994.50						
Total Taxable Municipal Bonds	77.31	24,345,000		\$25,818,132.58		\$26,559,585.30	\$741,452.72	\$504,468.37	\$1,660,013.75	6.25
				\$26,173,466.80						

^ Denotes bonds with a maturity date in the next 60 days. Please contact us for further investment opportunities or any assistance.
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Additional information

	THIS PERIOD	THIS YEAR		THIS PERIOD	THIS YEAR
Accrued interest on sales	0 00	12,450 19	Accrued interest on purchases	-1,959 14	-31,893 55
Exempt accrued interest on sales	0 00	14,123 03	Gross proceeds	10,000 00	4,715,653 41

Expense tracking summary

1	THIS PERIOD	THIS YEAR		THIS PERIOD	THIS YEAR
	0 00	317,355 00	Unspecified	994,510 00	3,199,390 00
			Total	994,510.00	3,516,745.00

Portfolio detail

Cash and Sweep Balances

DESCRIPTION	% OF ACCOUNT	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	2 63	904,323 01	0 00
Total Cash and Sweep Balances	2.63	\$904,323.01	\$0.00
Margin balance	0 00	-315,637 83	N/A

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
FORD MOTOR COMPANY									
F									
Acquired 02/25/11 L		9,100	14 94	135,986 76		140,413 00	4,426 24		
Acquired 02/25/11 L		600	14 93	8,965 56		9,258 00	292 44		
Acquired 02/25/11 L		300	14 93	4,482 93		4,629 00	146 07		
Total	0.45	10,000		\$149,435.25	15.4300	\$154,300.00	\$4,864.75	\$4,000.00	2.59
Total Stocks and ETFs	0.45			\$149,435.25		\$154,300.00	\$4,864.75	\$4,000.00	2.59
Total Stocks, options & ETFs	0.45			\$149,435.25		\$154,300.00	\$4,864.75	\$4,000.00	2.59

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Foreign Bonds

Foreign Fixed Income securities may be denominated in currencies other than US dollars. If you own securities denominated in a foreign currency, the figures displayed for "Estimated Accrued Interest" and "Estimated Annual Income" below are in the foreign currency, not US dollars. As a result, the figures shown in "Estimated Annual Yield" are not accurate for bonds denominated in foreign currency. Please contact Your Financial Advisor if you own a Foreign Fixed Income security that is denominated in other than US dollars and have additional questions.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
BNP PARIBAS EURO MEDIUM TERM NOTES FIX TO VAR CPN 10.000% DUE 04/30/33 DTD 04/30/13 FC 07/30/13 S&P A+	0.47	175,000	98.50	172,375.00	92.0000	161,000.00	-11,375.00	N/A	17,500.00	10.86
Total Foreign Bonds	0.47	175,000		\$172,375.00		\$161,000.00	-\$11,375.00		\$17,500.00	10.87
Total Fixed Income Securities	91.56			\$30,897,052.65		\$31,453,321.60	\$556,268.95	\$511,159.21	\$2,062,007.50	6.56

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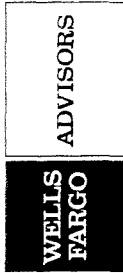
Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

Closed End Mutual Funds

Closed End Fund shares are priced at the market which may be more or less than its net asset value.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
NUVEEN PREFERRED INCOME OPPORTUNITIES FUND JPC - HELD IN MARGIN Acquired 12/27/05 L nc Acquired 12/27/05 L nc Acquired 12/27/05 L nc Acquired 12/27/05 L nc		3,400 500 1,500 2,100	11.90 11.91 11.91 11.92	38,652.84 5,689.20 17,067.69 23,915.76		30,158.00 4,435.00 13,305.00 18,627.00	-8,494.84 -1,254.20 -3,762.69 -5,288.76		
Total	0.19	7,500		\$85,325.49	8.8700	\$66,525.00	-\$18,800.49	\$5,700.00	8.57



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Mutual Funds

Closed End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
WESTERN ASSET HIGH INC OPPORTUNITY FUND INC HIO - HELD IN MARGIN On Reinvestment Acquired UNKNOWN O nc Acquired 12/19/00 L nc Reinvestments L m Reinvestments S		168 10,000 3,740 05700 1,087 87100	6.81 8.32 6.15 6.17	1,144.83 83,276.00 23,037.94 6,719.76		996.24 59,299.90 22,178.60 6,451.11	-148.59 -23,976.10 -859.34 -268.65		
Total	0.26	14,995.92800		\$114,178.53	5.9300	\$88,925.85	-\$25,252.68	\$6,748.16	7.59
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)				\$4,420.83					
				\$4,505.02					
Total Closed End Mutual Funds	0.45			\$199,504.02		\$155,450.85	-\$44,053.17	\$12,448.16	8.01
Total Mutual Funds	0.45			\$199,504.02		\$155,450.85	-\$44,053.17	\$12,448.16	8.01

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
BARCLAY BANK PLC 7.75% NON-CUM PERPETUAL PFD CALL STARTING 3/15/2013 BCS/C									
Acquired 11/30/07 L nc	0.37	5,000	25.00	125,000.00	25.3300	126,650.00	1,650.00	9,690.00	7.65
GOLDMAN SACHS GRP 6.20% NON-CUM PERP PFD CALL STARTING 11/10/10 GSB - HELD IN MARGIN Acquired 10/21/05 L nc									
	0.26	4,000	25.00	100,000.00	22.5000	90,000.00	-10,000.00	6,200.00	6.88



**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Mutual Funds

Closed End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
WESTERN ASSET HIGH INC OPPORTUNITY FUND INC HIO - HELD IN MARGIN On Reinvestment		168	6.81	1,144.83		996.24	-148.59		
Acquired UNKNOWN O nc		10,000	8.32	83,276.00		59,299.90	-23,976.10		
Acquired 12/19/00 L nc		3,740	6.15	23,037.94		22,178.60	-859.34		
Reinvestments L m		1,087	6.17	6,719.76		6,451.11	-268.65		
Reinvestments S									
Total	0.26	14,995.92800		\$114,178.53	5.9300	\$88,925.85	-\$25,252.68	\$6,748.16	7.59
Client Investment (Excluding Reinvestments)									
				\$84,420.83					
Gain/Loss on Client Investment (Including Reinvestments)				\$4,505.02					
Total Closed End Mutual Funds	0.45			\$199,504.02		\$155,450.85	-\$44,053.17	\$12,448.16	8.01
Total Mutual Funds	0.45			\$199,504.02		\$155,450.85	-\$44,053.17	\$12,448.16	8.01

This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
BARCLAY BANK PLC 7.75% NON-CUM PERPETUAL PFD CALL STARTING 3/15/2013 BCS'C									
Acquired 11/30/07 L nc	0.37	5,000	25.00	125,000.00	25.3300	126,650.00	1,650.00	9,690.00	7.65
GOLDMAN SACHS GRP 6.20% NON-CUM PERP PFD CALL STARTING 11/10/10 GSB - HELD IN MARGIN									
Acquired 10/21/05 L nc	0.26	4,000	25.00	100,000.00	22.5000	90,000.00	-10,000.00	6,200.00	6.88

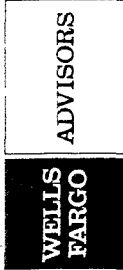
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
HSBC HOLDING PLC 8 125% NON-CUM PERP PFD CALL STARTING 4/15/2013 HSEA - HELD IN MARGIN Acquired 04/02/08 L nc	0.45	6,000	25.00	150,000.00	25.7200	154,320.00	4,320.00	12,186.00	7.89
LEHMAN BROS HLDG 7.95% NON-CUM PERP PFD ESCROW CALL STARTING 2/15/2013 HELD IN MARGIN Acquired 03/09/12 L Acquired 03/09/12 L		10,000 5,000	N/A## N/A##	N/A N/A	*	N/A N/A	N/A N/A		
Total	0.00	15,000		\$0.00	N/A	\$0.00	\$0.00	N/A	N/A
MERRILL LYNCH 6.45% CAP TR DUE 12/15/2066 CALL STARTING 12/15/11 MERK - HELD IN MARGIN Acquired 12/07/06 L nc	0.29	4,000	25.00	100,000.00	24.7054	98,821.60	-1,178.40	6,448.00	6.52
MERRILL LYNCH 6.45% PFD CAP TR II DUE 06/15/62 VARIABLE/CALL 8/12/13 MERM - HELD IN MARGIN Acquired 04/25/07 L nc Acquired 10/30/07 L nc		6,000 3,000	25.00 22.68	150,000.00 68,040.00		147,600.00 73,800.00	-2,400.00 5,760.00		
Total	0.64	9,000		\$218,040.00	24.6000	\$221,400.00	\$3,360.00	\$14,508.00	6.55
MERRILL LYNCH 7.375% PFD CAP TR III DUE 9/15/2062 CALL STARTING 9/15/2012 MERP - HELD IN MARGIN Acquired 08/15/07 L nc	0.29	4,000	25.00	100,000.00	25.2500	101,000.00	1,000.00	7,376.00	7.30
MORGAN STANLEY 6.60% TR PFD CAP VI DUE 2/1/46 CALL STARTING 2/1/11 MSJ - HELD IN MARGIN Acquired 01/19/06 L nc	0.29	4,000	25.00	100,000.00	24.5500	98,200.00	-1,800.00	6,600.00	6.72
MORGAN STANLEY 6.45% PFD CAP TRUST VIII 4/15/2067 CALL STARTING 7/15/12 MSK - HELD IN MARGIN Acquired 04/19/07 L nc	0.55	8,000	25.00	200,000.00	23.8200	190,560.00	-9,440.00	12,896.00	6.76

NK11PNAO

KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
PRUDENTIAL PLC 6.50% PERPETUAL EXCH CAP SEC CALL STARTING 9/23/10 PUK'A - HELD IN MARGIN Acquired 07/12/05 L nc	0.29	4,000	25.00	100,000.00	24.6100	98,440.00	-1,560.00	6,500.00	6.60
RBS CAP FDG TR V 5.9% PFD GTD TR SECS RBS'E - HELD IN MARGIN Acquired 06/26/03 L nc Acquired 07/30/07 L nc Acquired 10/30/07 L nc		5,000 2,000 3,000	25.00 22.00 21.38	125,000.00 44,003.00 64,140.00		102,200.00 40,880.00 61,320.00	-22,800.00 -3,123.00 -2,820.00		
Total	0.59	10,000		\$233,143.00	20.4400	\$204,400.00	-\$28,743.00	\$14,750.00	7.22
ROYAL BK SCOTLAND 5.75% SER L NON CUM PFD CALLABLE 9/30/09 RBS'L - HELD IN MARGIN Acquired 06/16/03 L nc	0.23	4,000	25.00	100,000.00	19.5300	78,120.00	-21,880.00	5,752.00	7.36
WELLS FARGO & CO 8% PFD CALLABLE 12/15/17 SERIES J WFC'J - HELD IN MARGIN Acquired 12/18/07 L nc	0.65	8,000	25.00	200,000.00	27.9600	223,680.00	23,680.00	16,000.00	7.15
Total Preferreds/Fixed Rate Cap Securities	4.91			\$1,726,183.00		\$1,685,591.60	-\$40,591.40	\$118,906.00	7.05

* This unpriced security is not reflected in your total portfolio value
Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Financial Advisor
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS

Activity detail by date

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01				BEGINNING BALANCE			574,908.74
12/02	Cash	DIVIDEND		FORD MOTOR COMPANY 120213 10,000		1,000.00	

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 6180-3558

Additional information

Gross proceeds

THIS PERIOD	THIS YEAR
300 97	1,961,760 68

Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

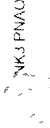
DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	0.72	0.01	71,767.91	7.17
Interest Period 12/01/13 - 12/31/13				
Total Cash and Sweep Balances	0.72		\$71,767.91	\$7.17

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ALCATEL-LUCENT ADR									
ALU									
Acquired 08/30/00 L nc		44 48540	172 52	7.671 52		195 74	-7,475 78		
Acquired 09/19/00 L nc		214 62260	141 15	30.281 26		944 34	-29,336 92		
Acquired 11/21/03 L nc		585 33450	15 68	9.175 84		2,575 47	-6,600 37		
Acquired 12/08/05 L nc		975 55750	14 37	14.018 64		4,292 45	-9,726 19		
Total	0.08	1,820		\$61,147.26	4.4000	\$8,008.00	-\$53,139.26	N/A	N/A





KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2013
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Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE, ORIG PRICE	ADJ COST, ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
AMERICAN WTS INTERNATIONAL GROUP INC EXP 01/19/21									
AIGWS									
Acquired 01/20/11 L nc	0.07	347	16.29	5,652.63	20.2500	7,026.75	1,374.12	N/A	N/A
AMERICAN INTL GROUP INC									
AIG									
Acquired 10/25/04 L nc		200	1,112.00	222,610.00		10,210.00	-212,400.00		
Acquired 11/21/07 L nc		25	1,034.20	25,880.00		1,276.25	-24,603.75		
Acquired 01/22/08 L nc		100	1,003.00	100,393.00		5,105.00	-95,288.00		
Acquired 11/11/08 L nc		325	42.60	13,909.35		16,591.25	2,681.90		
Total	0.33	650		\$362,792.35	51.0500	\$33,182.50	-\$329,609.85	\$260.00	0.78
AOL INC									
AOL									
Acquired 12/19/00 L nc		30	74.45	2,245.11		1,406.12	-838.99		
Acquired 12/26/00 L nc		6	67.27	405.75		281.22	-124.53		
Acquired 11/21/03 L nc		36	26.34	953.35		1,687.34	733.99		
Acquired 11/16/04 L nc		114	29.41	3,369.91		5,343.26	1,973.35		
Total	0.09	187		\$6,974.12	46.6200	\$8,717.94	\$1,743.82	N/A	N/A
CITIGROUP INC NEW									
C									
Acquired 10/22/07 L nc		201	422.50	85,018.98		10,474.11	-74,544.87		
Acquired 10/22/07 L nc		100	423.20	42,360.00		5,211.00	-37,149.00		
Acquired 01/22/08 L nc		500	239.00	119,650.00		26,055.00	-93,595.00		
Acquired 08/12/09 L nc		40	39.39	1,577.08		2,084.40	507.32		
Acquired 08/12/09 L nc		960	39.40	37,858.56		50,025.60	12,167.04		
Acquired 01/24/11 L		1,800	48.70	87,696.00		93,798.00	6,102.00		
Total	1.87	3,601		\$374,160.62	52.1100	\$187,648.11	-\$186,512.51	\$144.04	0.08
HEWLETT-PACKARD COMPANY									
HPQ									
Acquired 12/26/00 L nc		2,000	31.00	62,200.00		55,959.98	-6,240.02		
Reinvestments L nc		4	38.89	160.00		115.12	-44.88		
Total	0.56	2,004,11400		\$62,360.00	27.9800	\$56,075.10	-\$6,284.90	\$1,164.39	2.08
HOVNANIAN ENTERPRISES									
INC CL A									
HOV									
Acquired 07/07/08 L nc	0.33	5,000	4.75	23,900.00	6.6200	33,100.00	9,200.00	N/A	N/A

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2013
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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ISHARES ET									
RUSSELL 2000									
IWM									
On Reinvestment									
Acquired 10/27/08 L nc		700	45 83	32 086 60		80,751 99	48,665 39		
Acquired 11/20/08 L nc		600	40 98	24 588 00		69,216 00	44,628 00		
Reinvestments L m		176 54300	71 28	12,585 52		20,366 01	7,780 49		
Reinvestments S		26 52600	102 90	2,729 70		3,060 03	330 33		
Total	1.73	1,503.06900		\$71,989.82	115.3600	\$173,394.03	\$101,404.21	\$2,125.33	1.23
JPMORGAN CHASE & CO									
JPM									
Acquired 08/30/00 L nc		185	53 45	9,970 00		10,818 80	848 80		
Acquired 09/19/00 L nc		849	46 97	39,976 00		49,649 52	9,673 52		
Acquired 09/07/07 L nc		1,000	43 33	43,389 00		58,480 00	15,091 00		
Acquired 07/08/08 L nc		1,000	39 62	39,660 00		58,480 00	18,820 00		
Acquired 01/22/08 L nc		1,000	38 88	38,928 50		58,480 00	19,551 50		
Total	2.36	4,034		\$171,923.50	58.4800	\$235,908.32	\$63,984.82	\$6,131.68	2.60
NORTEL NETWORKS CORP									
NRTLQ									
Acquired 12/30/04 L nc		1,242	35 31	43,842 60	0 0081	10 06	-43,832 54	N/A	N/A
POWERSHARES QQQ TR ET									
SERIES 1									
QQQ									
On Reinvestment									
Acquired 10/24/08 L nc		625	29 50	18 437 50		54,974 99	36,537 49		
Acquired 11/19/08 L nc		1,000	27 00	27,000 00		87,960 00	60,960 00		
Reinvestments L m		68 73700	55 76	3,833 29		6,046 11	2,212 82		
Reinvestments S		39 98100	72 70	2,906 89		3,516 73	609 84		
Total	1.52	1,733.71800		\$52,177.68	87.9600	\$152,497.83	\$100,320.15	\$1,548.21	1.02
SPDR S&P MIDCAP 400 ET									
TRUST SERIES N									
MDY									
On Reinvestment									
Acquired 10/27/08 L nc		200	88 62	17,724 40		48,840 00	31,115 60		
Acquired 11/20/08 L nc		300	80 00	24,000 00		73,259 99	49,259 99		
Reinvestments L m		43 59000	156 15	6,806 83		10,644 68	3,837 85		



KLEIN FAMILY FOUNDATION #2

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Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments S	1.35	9,82900	213.59	2,099.38	244.2000	\$135,144.91	300.86	\$1,441.65	1.07
Total		553.41900		\$50,630.61					
SPDR S&P 500 TRUST ET SPY									
On Reinvestment									
Acquired 11/20/08 L nc		175	79.00	13,825.00		32,320.75	18,495.75		
Reinvestments L m		23,15600	124.03	2,872.21		4,276.68	1,404.47		
Reinvestments S		6,61800	160.94	1,065.13		1,222.28	157.15		
Total	0.38	204.77400		\$17,762.34	184.6900	\$37,819.71	\$20,057.37	\$686.19	1.81
TIME WARNER CABLE									
TWC									
Acquired 12/19/00 L nc		83,54840	130.51	10,888.63		11,320.81	432.18		
Acquired 12/26/00 L nc		16,70970	117.93	1,967.88		2,264.16	296.28		
Acquired 11/21/03 L nc		100,25810	46.18	4,623.71		13,584.97	8,961.26		
Acquired 11/16/04 L nc		317,48380	51.55	16,343.83		43,019.06	26,675.23		
Total	0.70	518		\$33,824.05	135.5000	\$70,189.00	\$36,364.95	\$1,346.80	1.92
TIME WARNER INC NEW									
TWX									
Acquired 12/19/00 L nc		333,22580	92.54	30,829.92		23,232.50	-7,597.42		
Acquired 12/26/00 L nc		66,64520	83.62	5,571.81		4,646.50	-925.31		
Acquired 11/21/03 L nc		399,87100	32.74	13,091.51		27,879.01	14,787.50		
Acquired 11/16/04 L nc		1,266,25800	36.55	46,275.71		88,283.51	42,007.80		
Total	1.44	2,066		\$95,768.95	69.7200	\$144,041.52	\$48,272.57	\$2,375.90	1.65
VODAFONE GROUP PLC SPONSORED ADR NEW									
VOD									
Acquired 12/19/00 L nc	0.34	875	42.61	37,288.00	39.3100	34,396.25	-2,891.75	1,391.25	4.04
Total Stocks and ETFs	13.16			\$1,472,194.53		\$1,317,160.03	-\$155,034.50	\$18,615.44	1.41
Total Stocks, options & ETFs	13.16			\$1,472,194.53		\$1,317,160.03	-\$155,034.50	\$18,615.44	1.41

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2013
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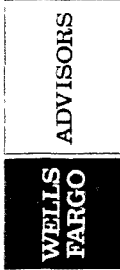
Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
COLUMBIA ACORN SELECT									
FUND CLASS A									
LTFAX									
On Reinvestment		158 10100	25 91	4 097 41		4 042 64	-54 77		
Reinvestments L m		46 35400	24 61	1,141 22		1,185 27	44 05		
Reinvestments S									
Total	0.05	204.45500		\$5,238.63	25.5700	\$5,227.91	-\$10.72	\$14.37	0.27
FIDELITY									
ADVISOR NEW INSIGHTS FD									
CLASS A									
FNIAX									
On Reinvestment		3 459 32200	17 27	59,742 49		91 049 32	31 306 83		
Acquired 01/20/06 L nc		5 750 43100	17 39	100,000 00		151,351 34	51,351 34		
Acquired 02/23/06 L nc		5 443 65800	18 37	100,000 00		143 277 08	43,277 08		
Acquired 12/29/06 L nc		7 820 64700	19 18	150,000 00		205,839 43	55,839 43		
Acquired 01/22/08 L nc		4 873 29400	20 52	100,000 00		128,265 10	28,265 10		
Acquired 06/03/08 L nc		1 033 75600	20 53	21,231 40		27,208 45	5,977 05		
Reinvestments L m		4 089 79100	25 34	103,676 19		107 643 34	3 967 15		
Reinvestments S									
Total	8.54	32,470.89900		\$634,650.08	26.3200	\$854,634.06	\$219,983.98	N/A	N/A
Client Investment (Excluding Reinvestments)									
						\$509,742 49			
Gain/Loss on Client Investment (Including Reinvestments)						\$344,891 57			
INTEGRITY FUNDS									
WILLISTON BASIN/MID NRTH									
AMERICA STK FD CL A									
ICPAX									
On Reinvestment		117,700 73000	5 48	645,000 00		805,071 82	160,071 82		
Acquired 06/13/11 L nc		5 632 71200	6 81	38,358 77		38,528 92	170 15		
Reinvestments S									
Total	8.43	123,333.44200		\$683,358.77	6.8400	\$843,600 74	\$160,241.97	N/A	N/A
Client Investment (Excluding Reinvestments)									
						\$645,000 00			
Gain/Loss on Client Investment (Including Reinvestments)						\$198,600 74			



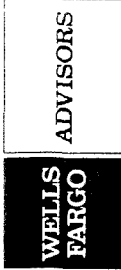
KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 6180-3558

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
IVY FDS INC									
ASSET STRATEGY FD CL A									
WASAX									
On Reinvestment									
Acquired 06/03/08 L nc		3,668.37900	27.26	100,000.00		117,461.46	17,461.46		
Acquired 06/18/08 L nc		3,657.64400	27.34	100,000.00		117,117.76	17,117.76		
Reinvestments L m		1,388.57900	19.40	26,943.81		44,462.33	17,518.52		
Reinvestments S		42.24900	30.94	1,307.19		1,352.81	45.62		
Total	2.80	8,756.85100		\$228,251.00	32.0200	\$280,394.36	\$52,143.36	\$1,313.52	0.47
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$200,000.00			
						\$80,394.36			
IXIS ADVISOR EQTY FDS									
LOOMIS SAYLES GLOBAL									
EQTY & INCOME FD CL A									
LGMAX									
On Reinvestment									
Acquired 01/13/12 L		29,315.96100	15.35	450,000.00		564,038.80	114,038.80		
Reinvestments L		473.19400	17.00	8,044.30		9,104.35	1,060.05		
Reinvestments S		883.05300	19.06	16,839.81		16,990.13	150.32		
Total	5.89	30,672.20800		\$474,884.11	19.2400	\$590,133.28	\$115,249.17	\$9,999.13	1.69
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$450,000.00			
						\$140,133.28			
MUTUAL SER FD INC									
EUROPEAN FD CLASS Z									
MEURX									
On Reinvestment									
Acquired 05/21/10 L nc		5,128.20500	19.50	100,040.00		126,974.36	26,934.36		
Reinvestments L m		28,437.55600	22.30	634,392.70		704,113.86	69,721.16		
Reinvestments S		2,738.18300	24.30	66,544.70		67,797.43	1,252.73		
Total	8.98	36,303.94400		\$800,977.40	24.7600	\$898,885.65	\$97,908.25	\$16,845.03	1.87
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$100,040.00			
						\$798,845.65			



KLEIN FAMILY FOUNDATION #2

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Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	3.22	8,826.81600		\$302,363.41	36.5100	\$322,267.05	\$19,903.64	\$3,239.44	1.01
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
OPPENHEIMER DEVELOPING									
MKTS FDS CLASS A									
ODMAX									
On Reinvestment		2,617.80100	38.20	100,000.00		99,528.77	-471.23		
Acquired 01/17/06 L nc		2,636.43600	37.93	100,000.00		100,237.30	237.30		
Acquired 01/20/06 L nc		11,334.65600	35.29	400,000.00		430,943.62	30,943.62		
Acquired 12/31/12 S		5,505.40600	23.25	128,041.44		209,315.54	81,274.10		
Reinvestments L m		126.95000	37.52	4,764.41		4,826.65	62.24		
Reinvestments S									
Total	8.44	22,221.24900		\$732,805.85	38.0200	\$844,851.88	\$112,046.03	\$808.85	0.10
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
OPPENHEIMER GLOBAL FD									
CL A									
OPPAX									
On Reinvestment		6,201.55000	64.50	400,000.00		488,558.05	88,558.05		
Acquired 12/31/12 S		235.23400	76.41	17,974.26		18,531.79	557.53		
Total	5.07	6,436.78400		\$417,974.26	78.7800	\$507,089.84	\$89,115.58	\$3,939.31	0.78
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
OPPENHEIMER INTL SMALL									
CO FD CL A									
OSMAX									
On Reinvestment		1,420.85800	35.19	50,000.00		46,263.12	-3,736.88		
Acquired 07/10/07 L nc		897.02200	27.87	25,000.00		29,207.04	4,207.04		
Acquired 08/16/07 L nc		2,874.38900	34.79	100,000.00		93,590.11	-6,409.89		
Acquired 10/19/07 L nc		2,975.30500	33.61	100,000.00		96,875.93	-3,124.07		
Acquired 11/15/07 L nc		1,928.26800	25.93	50,000.00		62,784.41	12,784.41		
Acquired 12/17/07 L nc		1,884.65900	26.53	50,000.00		61,364.50	11,364.50		
Acquired 01/04/08 L nc		3,488.37200	21.50	75,000.00		113,581.39	38,581.39		
Acquired 01/22/08 L nc		2,941.17600	17.00	50,000.00		95,764.69	45,764.69		

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 6180-3558

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments L m		5,847 65300	23 06	134,866 34		190,399 58	55,533 24		
Reinvestments S		176 79500	31 22	5,521 30		5,756 45	235 15		
Total	7.95	24,434.49700		\$640,387.64	32.5600	\$795,587.22	\$155,199.58	\$5,571.06	0.70
Client Investment (Excluding Reinvestments)									
						\$500,000 00			
Gain/Loss on Client Investment (Including Reinvestments)									
						\$295,587 22			
Total Open End Mutual Funds	84.64			\$6,901,497.75		\$8,473,997.47	\$1,572,499.72	\$66,484.17	0.78

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS
nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated cost for all other lots will be reported to the IRS

Closed End Mutual Funds

Closed End Fund shares are priced at the market which may be more or less than its net asset value

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COS I, ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
THE INDIA FUND INC									
IFN									
On Reinvestment									
Acquired 03/05/07 L nc		1.250	36 10	45,125 00		25,000 00	-20,125 00		
Acquired 01/30/09 L nc		3.000	17 89	53,697 90		60,000 00	6,302 10		
Acquired 08/21/09 L nc		1.416	N/A##	37,410 72		28,320 00	-9,090 72		
Reinvestments L m		1,732 45500	25 71	44,545 17		34,649 10	-9,896 07		
Reinvestments S		21 86500	20 97	458 70		437 30	-21 40		
Total	1.48	7,420.32000		\$181,237.49	20.0000	\$148,406.40	-\$32,831.09	\$601.78	0.41
Client Investment (Excluding Reinvestments)									
						\$136,233 62			
Gain/Loss on Client Investment (Including Reinvestments)									
						\$12,172 78			
Total Closed End Mutual Funds	1.48			\$181,237.49		\$148,406 40	-\$32,831 09	\$601 78	0.41
Total Mutual Funds	86.13			\$7,082,735.24		\$8,622,403.87	\$1,539,668.63	\$67,085.95	0.78

Cost information for one or more securities is not available If you have cost information and would like to see it on future statements contact Your Financial Advisor

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated cost for all other lots will be reported to the IRS



KLEIN FAMILY FOUNDATION ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value

Corporate Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
LEHMAN BROS HOLDINGS ESC ESCROW CUSIP GLOBAL NOTES CPN 6.625% DUE 01/18/12 DTD 01/10/02 FC 07/18/02 CUSIP 525ESC3F4 Acquired 04/17/12 L nc	25.000		N/A##	N/A	N/A*	N/A	N/A	N/A	N/A	N/A
HSBC FINANCE CORP NOTES CPN 5.500% DUE 01/19/16 DTD 01/19/06 FC 07/19/06 Moody BAA1, S&P A CUSIP 40429CFN7 Acquired 10/24/08 L nc	0.54	170,000	94.72 84.08	161,033.91 142,942.80	108.6070	184,631.90	23,597.99	4,207.50	9,350.00	5.06
LEHMAN BROTHERS HOLDIESC ESCROW SHARES MONTHLY PAY - CALLABLE CPN 6.100% DUE 08/12/23 DTD 08/12/03 FC 09/12/03 CUSIP 525ESCCR8 Acquired 04/17/12 L nc	50.000		N/A##	N/A	N/A	N/A	N/A	N/A	N/A	N/A
REPUBLIC NY CAPITAL I NOTES CALLABLE CPN 7.750% DUE 11/15/26 DTD 05/15/97 FC 11/15/97 CALL 11/15/14 @ 100.730 Moody BAA1, S&P BBB+ CUSIP 760715AB8 Acquired 02/21/07 L nc	0.71	240,000	101.05 103.79	242,529.18 249,100.80	101.1040	242,649.60	120.42	2,376.67	18,600.00	7.66

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

(P) (CHANGE IN) CREDIT
TWOATING RATE EVIDENCE

**Fixed Income Securities
Corporate Bonds continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
GOLDMAN SACHS GROUP INC										
MEDIUM TERM INTS FIXED TO										
FLOAT CALLABLE										
CPN 9.250% DUE 03/06/28										
DTD 03/06/13 FC 06/06/13										
CALL 03/06/14 @ 100.000										
Moody NR, S&P A-										
CUSIP 38141GQW7										
Acquired 03/04/13 S nc										
Acquired 12/13/13 S nc										
Total	1.08	400,000		\$389,000.00	93.0000	\$372,000.00	-\$17,000.00	N/A	\$37,000.00	9.95
GOLDMAN SACHS GRP INC										
FLTG RT MEDIUM TERM NOTE										
CALLABLE										
CPN 9.250% DUE 03/27/28										
DTD 03/27/13 FC 06/27/13										
CALL 03/27/14 @ 100.000										
Moody NR, S&P A-										
CUSIP 38141GQW7										
Acquired 07/11/13 S nc										
	0.61	225,000	97.50	219,375.00	93.2500	209,812.50	-9,562.50	N/A	20,812.50	9.91
GOLDMAN SACHS GROUP										
NOTES ACCRUED ONLY FLOAT										
MEDIUM TERM NT CALLABLE										
CPN 9.000% DUE 05/23/28										
DTD 05/23/13 FC 08/23/13										
CALL 02/23/14 @ 100.000										
Moody NR, S&P A-										
CUSIP 38141GTZ7										
Acquired 05/17/13 S nc										
	0.54	200,000	100.00	200,000.00	92.2500	184,500.00	-15,500.00	N/A	18,000.00	9.75
MORGAN STANLEY										
ACCRUED ONLY FLOAT										
MEDIUM TERM NOTE										
CPN 9.000% DUE 06/07/28										
DTD 06/07/13 FC 09/07/13										
Moody NR, S&P A-										
CUSIP 61760QCS1										
Acquired 06/07/13 S nc										
Acquired 06/13/13 S nc										
		250,000	99.00	247,500.00		228,750.00	-18,750.00			
		50,000	99.00	49,500.00		45,750.00	-3,750.00			



**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
Total	0.80	300,000		\$297,000.00	91.5000	\$274,500.00	-\$22,500.00	N/A	\$27,000.00	9.84
73,484,532.68										
GOLDMAN SACHS GRP INC FIX TO VAR MEDIUM TERM NOTES CALLABLE CPN 9.000% DUE 06/19/28 DTD 06/19/13 FC 09/19/13 CALL 03/19/14 @ 100.000 S&P A-										
CUSIP 38147QCN4 Acquired 06/13/13 S nc	0.21	75,000	100.00	75,000.00	96.4000	72,300.00	-2,700.00	N/A	6,750.00	9.33
JPMORGAN CHASE & CO SR UNSECURED FIX TO FLOAT CPN 10.000% DUE 08/31/28 DTD 08/30/13 FC 11/30/13 Moody NR, S&P A										
CUSIP 48126D6M6 Acquired 09/03/13 S nc	0.69	250,000	98.50	246,250.00	95.1250	237,812.50	-8,437.50	N/A	25,000.00	10.51
JPMORGAN CHASE & CO MEDIUM TERM NOTES FIXED TO VARIABLE CPN 9.000% DUE 10/23/28 DTD 10/23/13 FC 01/23/14 Moody NR, S&P A										
CUSIP 48126D7K9 Acquired 10/21/13 S nc	0.42	150,000	98.50	147,750.00	96.5000	144,750.00	-3,000.00	N/A	13,500.00	9.32
15,312,333.18										
GOLDMAN SACHS GROUP NOTES FIXED TO FLOAT CALLABLE MEDIUM TERM NTS CPN 9.250% DUE 11/13/28 DTD 11/13/13 FC 02/13/14 CALL 11/13/14 @ 100.000 Moody NR, S&P A-										
CUSIP 38147QK21 Acquired 11/08/13 S nc	0.57	200,000	98.50	197,000.00	97.2500	194,500.00	-2,500.00	N/A	18,500.00	9.51

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

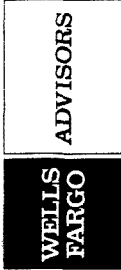
DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

**Fixed Income Securities
Corporate Bonds continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
GOLDMAN SACHS GROUP NOTES ACCRUED ONLY FLOAT MEDIUM TERM NOTE CLLB CPN 9.350% DUE 12/13/28 DTD 12/13/13 FC 03/13/14 CALL 12/13/14 @ 100.000 S&P A-										
CUSIP 38147Q5E2 Acquired 12/09/13 S nc	0.73	250,000	100.00	250,000.00	100.0000	250,000.00	0.00	N/A	23,375.00	9.35
SLM CORP EDNOTES MONTHLY PAY - CALLABLE CPN 6.000% DUE 12/15/28 DTD 09/11/03 FC 10/15/03 Moody BA1 S&P BBB-										
CUSIP 78490FFY0 Acquired 03/17/04 L nc	0.10	40,000	101.22 102.03	40,491.98 40,814.00	85.8870	34,354.80	-6,137.18	106.67	2,400.00	6.98
MORGAN STANLEY ACCURED ONLY FLOAT MEDIUM TERM NOTE CPN 10.000% DUE 08/12/31 DTD 08/12/11 FC 11/12/11 Moody NR S&P A-										
CUSIP 61745E4S7 Acquired 12/05/13 S nc	0.54	175,000	104.50	182,875.00	105.2500	184,187.50	1,312.50	N/A	17,500.00	9.50
CITIGROUP INC MEDIUM TERM NOTES FIXED TO FLOAT CALLABLE CPN 9.125% DUE 06/11/33 DTD 06/11/13 FC 09/11/13 CALL 06/11/15 @ 100.000 Moody NR S&P A-										
CUSIP 1730T0TQ2 Acquired 05/29/13 S nc	0.44	175,000	100.00	175,000.00	87.0000	152,250.00	-22,750.00	N/A	15,968.75	10.48



NKJ PNAO



**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 1594-0357

**Fixed Income Securities
Corporate Bonds continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
BANK OF AMERICA CORP FIXED TO VAR MEDIUM TERM NOTE										
CPN 10 250% DUE 07/11/33										
DTD 07/11/13 FC 10/11/13										
Moody NR , S&P A-										
CUSIP 06048WNZ9										
Acquired 06/27/13 S nc		300,000	100 00	300,000 00		295,500 00	-4,500 00			
Acquired 07/01/13 S nc		235,000	100 00	235,000 00		231,475 00	-3,525 00			
Total	1.53	535,000		\$535,000.00	98.5000	\$526,975.00	-\$8,025.00	N/A	\$54,837.50	10.41
MORGAN STANLEY FIX TO VAR MED TERM NOTE										
CPN 10 000% DUE 10/31/33										
DTD 10/31/13 FC 11/30/13										
Moody NR , S&P A-										
CUSIP 61760QDN1										
Acquired 10/30/13 S nc		300,000	98 50	295,500 00		288,750 00	-6,750 00			
Acquired 11/04/13 S nc		209,000	98 50	205,865 00		201,162 50	-4,702 50			
Total	1.43	509,000		\$501,365.00	96.2500	\$489,912.50	-\$11,452.50	N/A	\$50,900.00	10.39
MORGAN STANLEY MEDIUM TERM NOTE ACCRUED ONLY FLOAT										
CPN 10 000% DUE 11/27/33										
DTD 11/27/13 FC 12/27/13										
Moody NR , S&P A-										
CUSIP 61760QDR2										
Acquired 11/25/13 S nc		0 73	250,000	98 75	246,875 00	250,000 00	3,125 00	N/A	25,000 00	10 00
Total Corporate Bonds	11.66	4,219,000		\$4,106,545.07		\$4,005,136.30	-\$101,408.77	\$6,690.84	\$384,493.75	9.60

* This unpriced security is not reflected in your total portfolio value

Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Financial Advisor

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS

KLEIN FAMILY FOUNDATION
EIN # 13-4092608
CONTRIBUTIONS - 2013

Recipient Name	Recipient Address	Amount	Relationship	Purpose	Status
Ahavat Yisrael Humanity INC	5314 16th Ave Brooklyn NY 11204	\$16,000 00	None	Charitable	Tax Exempt
American Friends Of Meshi	666 W 188th St New York NY 10040	\$500 00	None	Charitable	Tax Exempt
American Friends Of Tiferet Moshe Betzalel	1368 44th St Brooklyn NY 11219	\$12,000 00	None	Charitable	Tax Exempt
American Friends Of Tiferet Moshe Betzalel	1368 44th St Brooklyn NY 11219	\$500 00	None	Charitable	Tax Exempt
American Friends Of Tiferet Moshe Betzalel	1368 44th St Brooklyn NY 11219	\$43,000 00	None	Charitable	Tax Exempt
American Friends Of Tiferet Moshe Betzalel	1368 44th St Brooklyn NY 11219	\$150,000 00	None	Charitable	Tax Exempt
Beis Mordechai	3302 Ave P Brooklyn NY 11234	\$5,000 00	None	Charitable	Tax Exempt
Beis Mordechai	3302 Ave P Brooklyn NY 11234	\$9,000 00	None	Charitable	Tax Exempt
Beis Rivkah	614 Avenue J Brooklyn NY 11230	\$180 00	None	Charitable	Tax Exempt
Beis Yaakov Dechasidei Gur	1975 51st St Brooklyn NY 11204	\$15,000 00	None	Charitable	Tax Exempt
Bina	2511 Ave I Brooklyn NY 11210	\$1,000 00	None	Charitable	Tax Exempt
Bnos Devorah	243 Prospect St Lakewood NJ 08701	\$1,000 00	None	Charitable	Tax Exempt
Bnos Israel Hachnass Kallah	5819 21th Ave Brooklyn NY 11204	\$180 00	None	Charitable	Tax Exempt
Chasdei David	33 Roth St Brooklyn NY 11249	\$5,000 00	None	Charitable	Tax Exempt
Chesed Avraham INC	POB 615 Monsey NY 10952	\$500 00	None	Charitable	Tax Exempt
Chesed Yisrael	1909 50th St Brooklyn NY 11204	\$5,000 00	None	Charitable	Tax Exempt
Chesed Yisrael	1909 50th St Brooklyn NY 11204	\$2,500 00	None	Charitable	Tax Exempt
Chmaol	5225 New Utrecht Ave Brooklyn NY 11219	\$1,000 00	None	Charitable	Tax Exempt
Cong Beis Moshe Karev	5912 19th Ave Brooklyn NY 11204	\$500 00	None	Charitable	Tax Exempt
Cong Beith Shimon	5215 20th Ave Brooklyn NY 11204	\$5,000 00	None	Charitable	Tax Exempt
Cong Darchi Chaim	1847 60th St Brooklyn NY 11204	\$1,300 00	None	Charitable	Tax Exempt
Cong Dorg	814 E 3rd St Brooklyn NY 11218	\$500 00	None	Charitable	Tax Exempt
Cong Kollei Chasidei Rachmastrivkeh	6 Horizon Ct Monsey NY 10952	\$500 00	None	Charitable	Tax Exempt
Cong Meor Yisroel	1821 48th St Brooklyn NY 11219	\$1,800 00	None	Charitable	Tax Exempt
Cong Meor Yisroel	1821 48th St Brooklyn NY 11219	\$3,600 00	None	Charitable	Tax Exempt
Cong Meor Yisroel	1821 48th St Brooklyn NY 11219	\$2,150 00	None	Charitable	Tax Exempt
Cong Nachlas Shimon	699 E 4th St Brooklyn NY 11218	\$500 00	None	Charitable	Tax Exempt
Cong Or Pnei Yehushua	287 Hewes St Brooklyn NY 11211	\$180 00	None	Charitable	Tax Exempt
Cong Pnei Menachem	4533 18th Ave Brooklyn NY 11204	\$5,000 00	None	Charitable	Tax Exempt
Cong Premishlan	510 Avenue M Brooklyn NY 11230	\$1,000 00	None	Charitable	Tax Exempt
Cong Sefas Emes	1337 42nd Street Brooklyn NY 11218	\$500 00	None	Charitable	Tax Exempt
Cong Yagdil Torah	614 Avenue J Brooklyn NY 11230	\$500 00	None	Charitable	Tax Exempt
Cong Zichron Meir Tzvi	1508 59th St Brooklyn NY 11219	\$10,610 00	None	Charitable	Tax Exempt
Cong Zichron Meir Tzvi	1508 59th St Brooklyn NY 11219	\$2,325 00	None	Charitable	Tax Exempt
Derech INC	127 Beverly Rd Brooklyn NY 11218	\$25,000 00	None	Charitable	Tax Exempt
Derech INC	127 Beverly Rd Brooklyn NY 11218	\$25,000 00	None	Charitable	Tax Exempt
Education Opportunity Fund Inc	P O B 786 Lakewood NJ 08701	\$1,000 00	None	Charitable	Tax Exempt
Ezras Yisroel	614 Avenue J Brooklyn NY 11230	\$500 00	None	Charitable	Tax Exempt
Friends Of Beis Yisrael	4802 19th Ave Brooklyn NY 11204	\$1,000 00	None	Charitable	Tax Exempt
Friends Of Binyan HaOlam	1303 53rd St Brooklyn NY 11219	\$500 00	None	Charitable	Tax Exempt
Friends Of Imrei Emes	3822 15th Ave Brooklyn NY 11218	\$3,000 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$12,500 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$50,000 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$10,000 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$12,000 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$17,500 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$360 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$5,000 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$1,000 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$5,000 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$8,000 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$250,000 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$360 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$2,500 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$5,000 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$23,000 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$12,500 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$1,800 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$1,800 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$1,800 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$2,500 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$130,000 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$20,000 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$250,000 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$190,000 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$50,000 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$30,000 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$400,000 00	None	Charitable	Tax Exempt
Friends Of Mosdot Gur	1310 48th St Brooklyn NY 11219	\$30,000 00	None	Charitable	Tax Exempt
Friends Of Oseh Chayil	22 West 38th St New York NY 10018	\$1,000 00	None	Charitable	Tax Exempt
Friends Of Sfat Emet	4608 10th Ave Brooklyn NY 11219	\$10,000 00	None	Charitable	Tax Exempt
Friends Of Sfat Emet	4608 10th Ave Brooklyn NY 11219	\$17,000 00	None	Charitable	Tax Exempt
Gomlei Chesed Of Boyan	3054 Bedford Ave Brooklyn NY 11210	\$5,000 00	None	Charitable	Tax Exempt
Ichud Mosdos Hachinuch Of Brooklyn	3908 16th Ave Brooklyn NY 11218	\$1,000 00	None	Charitable	Tax Exempt
ICPHH	1226 East 10th St Brooklyn NY 11230	\$20,000 00	None	Charitable	Tax Exempt
JEP	110 Rockaway Tpke Lawrence NY 11559	\$1,000 00	None	Charitable	Tax Exempt
Kiryas Joel Volunter EMS	48 Bakertown Rd Monroe NY 10950	\$1,000 00	None	Charitable	Tax Exempt
Koile Shema	199 Lee Ave Brooklyn NY 11211	\$2,600 00	None	Charitable	Tax Exempt
Kollei Avrechim D'Chasidei Gur	1573 51st St Brooklyn NY 11219	\$7,000 00	None	Charitable	Tax Exempt

KLEIN FAMILY FOUNDATION
EIN # 13-4092608
CONTRIBUTIONS - 2013

Recipient Name	Recipient Address				Amount	Relationship	Purpose	Status
Kollel Avrechim D'Chasider Gur	1573 51st St	Brooklyn	NY	11219	\$14,000 00	None	Charitable	Tax Exempt
Kollel Avrechim D'Chasider Gur	1573 51st St	Brooklyn	NY	11219	\$7,000 00	None	Charitable	Tax Exempt
Kollel Avrechim D'Chasider Gur	1573 51st St	Brooklyn	NY	11219	\$9,000 00	None	Charitable	Tax Exempt
Kollel Avrechim D'Chasider Gur	1573 51st St	Brooklyn	NY	11219	\$14,000 00	None	Charitable	Tax Exempt
Kollel Avrechim D'Chasider Gur	1573 51st St	Brooklyn	NY	11219	\$1,800 00	None	Charitable	Tax Exempt
Kollel Avrechim D'Chasider Gur	1573 51st St	Brooklyn	NY	11219	\$14,000 00	None	Charitable	Tax Exempt
Kollel Avrechim D'Chasider Gur	1573 51st St	Brooklyn	NY	11219	\$14,000 00	None	Charitable	Tax Exempt
Kollel Avrechim D'Chasider Gur	1573 51st St	Brooklyn	NY	11219	\$9,000 00	None	Charitable	Tax Exempt
Kollel Avrechim D'Chasider Gur	1573 51st St	Brooklyn	NY	11219	\$12,000 00	None	Charitable	Tax Exempt
Kollel Avrechim D'Chasider Gur	1573 51st St	Brooklyn	NY	11219	\$14,000 00	None	Charitable	Tax Exempt
Kollel Bais Yisroel	5407 19th Ave	Brooklyn	NY	11204	\$53,800 00	None	Charitable	Tax Exempt
Kollel Bais Yisroel	5407 19th Ave	Brooklyn	NY	11204	\$70,400 00	None	Charitable	Tax Exempt
Kollel Rachmastrivkeh	5816 17th Ave	Brooklyn	NY	11204	\$360 00	None	Charitable	Tax Exempt
Kupat Ezer Nisuein Beis Yisroel	5110 18th Ave	Brooklyn	NY	11204	\$9,000 00	None	Charitable	Tax Exempt
Kupat Ezer Nisuein	5110 18th Ave	Brooklyn	NY	11204	\$9,000 00	None	Charitable	Tax Exempt
Kupat Rambahan	1349 49th St	Brooklyn	NY	11219	\$31,900 00	None	Charitable	Tax Exempt
Kupat Rambahan	1349 49th St	Brooklyn	NY	11219	\$38,100 00	None	Charitable	Tax Exempt
Kupat Rambahan	1349 49th St	Brooklyn	NY	11219	\$2,500 00	None	Charitable	Tax Exempt
Lehachzikom Ilehachyosom	614 Avenue J	Brooklyn	NY	11230	\$180 00	None	Charitable	Tax Exempt
Machon Harabanim	614 Avenue J	Brooklyn	NY	11230	\$5,000 00	None	Charitable	Tax Exempt
Machzikei Hadath Rabbinical College	5407 16th Ave	Brooklyn	NY	11204	\$269,000 00	None	Charitable	Tax Exempt
Marks Family Fund	614 Avenue J	Brooklyn	NY	11230	\$25,000 00	None	Charitable	Tax Exempt
Matan Baseter	542 E 3rd St	Brooklyn	NY	11204	\$18,000 00	None	Charitable	Tax Exempt
Mesvta Beis Yisroel	5407 16th Ave	Brooklyn	NY	11204	\$1,000 00	None	Charitable	Tax Exempt
Mesvta Beis Yisroel	5407 16th Ave	Brooklyn	NY	11204	\$20,000 00	None	Charitable	Tax Exempt
Mesvta Beis Yisroel	5407 16th Ave	Brooklyn	NY	11204	\$500 00	None	Charitable	Tax Exempt
Mesvta Beis Yisroel	5407 16th Ave	Brooklyn	NY	11204	\$40,000 00	None	Charitable	Tax Exempt
Mesvta Nesivos Hatalmud	1228 36th St	Brooklyn	NY	11218	\$2,750 00	None	Charitable	Tax Exempt
Mesvta Torah Vedath	425 E 9th St	Brooklyn	NY	11204	\$8,000 00	None	Charitable	Tax Exempt
Misaskim	5805 16th Ave	Brooklyn	NY	11204	\$1,800 00	None	Charitable	Tax Exempt
Mosdot Alexander Beit Israel Fund	60 Parkville Ave	Brooklyn	NY	11230	\$5,000 00	None	Charitable	Tax Exempt
Project Shores	154 Emelein St	Providence	RI	02906	\$180 00	None	Charitable	Tax Exempt
Shalheves	614 Avenue J	Brooklyn	NY	11230	\$360 00	None	Charitable	Tax Exempt
Talmud Torah Derech Emet	614 Avenue J	Brooklyn	NY	11230	\$180 00	None	Charitable	Tax Exempt
Tzedakah Vacheses	1329 44th St	Brooklyn	NY	11219	\$180 00	None	Charitable	Tax Exempt
United Institutions of Karlin Stoln	1436 42nd St	Brooklyn	NY	11219	\$500 00	None	Charitable	Tax Exempt
World Hope INC	1310 48th St	Brooklyn	NY	11219	\$40,000 00	None	Charitable	Tax Exempt
World Hope INC	1310 48th St	Brooklyn	NY	11219	\$42,680 00	None	Charitable	Tax Exempt
Yeshiva Gvohah Lev Simcha	1767 52nd St	Brooklyn	NY	11204	\$10,000 00	None	Charitable	Tax Exempt
Yeshiva Sihrat Moshe	18 Meadowbrook Ln	Monsey	NY	10952	\$2,500 00	None	Charitable	Tax Exempt
Yeshivah Gdolah Noam Hatorah	1362 54th St	Brooklyn	NY	11219	\$500 00	None	Charitable	Tax Exempt
Yeshivah Tal Chaim	343 Ocean Pkwy	Brooklyn	NY	11218	\$180 00	None	Charitable	Tax Exempt
Yeshivah Yagdil Torah	5110 18th Ave	Brooklyn	NY	11204	\$100 00	None	Charitable	Tax Exempt
Yeshivah Yagdil Torah	5110 18th Ave	Brooklyn	NY	11204	\$1,000 00	None	Charitable	Tax Exempt
Yeshivah Yagdil Torah	5110 18th Ave	Brooklyn	NY	11204	\$900 00	None	Charitable	Tax Exempt
Yeshivah Yagdil Torah	5110 18th Ave	Brooklyn	NY	11204	\$6,000 00	None	Charitable	Tax Exempt
Yeshivah Yagdil Torah	5110 18th Ave	Brooklyn	NY	11204	\$18,000 00	None	Charitable	Tax Exempt
Yeshivah Yagdil Torah	5110 18th Ave	Brooklyn	NY	11204	\$15,000 00	None	Charitable	Tax Exempt
Beis Alter Mordechai Alexander	13 Admur Alexander St	Bnei Brak	Israel	5134100	\$2,000 00	None	Charitable	Tax Exempt
Enkat Asir	216 Yaffo St	Jerusalem	Israel	9438307	\$1,000 00	None	Charitable	Tax Exempt
Galed	95 Rabbi Akiva St	Bnei Brak	Israel	5154117	\$29,000 00	None	Charitable	Tax Exempt
Galed	95 Rabbi Akiva St	Bnei Brak	Israel	5154117	\$4,000 00	None	Charitable	Tax Exempt
Hayashar Vehatov	8 Ariel St	Jerusalem	Israel	9438636	\$131,045 00	None	Charitable	Tax Exempt
Hayashar Vehatov	8 Ariel St	Jerusalem	Israel	9438636	\$144,000 00	None	Charitable	Tax Exempt
Heichal Mordechai Tzvi	14 Hayasmin St	Ashdod	Israel	7723201	\$7,000 00	None	Charitable	Tax Exempt
Kollel Bais Yisroel	2 Talmudi Avraham St	Jerusalem	Israel	9535002	\$14,000 00	None	Charitable	Tax Exempt
Kollel Bais Yisroel	2 Talmudi Avraham St	Jerusalem	Israel	9535002	\$19,000 00	None	Charitable	Tax Exempt
Kollel Bais Yisroel	2 Talmudi Avraham St	Jerusalem	Israel	9535002	\$19,000 00	None	Charitable	Tax Exempt
Kollel Bais Yisroel	2 Talmudi Avraham St	Jerusalem	Israel	9535002	\$9,600 00	None	Charitable	Tax Exempt
Kollel Bais Yisroel	2 Talmudi Avraham St	Jerusalem	Israel	9535002	\$1,800 00	None	Charitable	Tax Exempt
Mosdot Strikov	12 Haadmur Mgrur St	Bnei Brak	Israel	5152529	\$2,500 00	None	Charitable	Tax Exempt
Oz Vehadar	POB 9359	Beit Shemesh	Israel	99100	\$16,250 00	None	Charitable	Tax Exempt
Oz Vehadar	POB 9359	Beit Shemesh	Israel	99100	\$25,000 00	None	Charitable	Tax Exempt
Shirat Miryam	6 Or Hachayim St	Beit Shemesh	Israel	9904210	\$50,000 00	None	Charitable	Tax Exempt
Yachad	Rechov Moseh Levi 14/52	Ashdod	Israel	77571	\$1,800 00	None	Charitable	Tax Exempt
Yad Tulne	12 Meir Baal Hanes St	Ashdod	Israel	7765090	\$5,000 00	None	Charitable	Tax Exempt

\$3,287,890 00

2013 ENHANCED SUMMARY

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As of Date: 2/07/14

Your Financial Advisor :
 JOSEPH M ANDREOLI JR
 461 FROM ROAD
 MACK CENTRE VI
 PARAMUS NJ 07652
 (201) 599-0900

KLEIN FAMILY FOUNDATION #2
 614 AVENUE J
 BROOKLYN NY 11230-3504

Account Number: 6180-3558

Year-End Account Summary

**This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
 Please consult with your Financial Advisor or Tax Advisor regarding specific questions.**

For noncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE.

**SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013**

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
FINANCIAL SELECT ET / 81369Y605 SECTOR SPDR						
	204 9340	10/03/12	4,188 39	3,231 33	957 06	
	303 0670	01/03/13	6 194 01	5,111 99	1,082 02	
	167 4610	03/25/13	3,422 53	3,060 95	361 58	
	195 1140	07/01/13	3,987 71	3,922 56	165 15	
07/15/13	870 5760	Various	17,792 64	15,226 83	2,565 81	SALE TOTAL 4 LOTS
PROSHARES TR ET / 74347X633 ULTRA FINANCIALS NEW NON-TRADITIONAL						
	2 5150	10/01/12	247 22	159 29	88 93	
	5 0050	01/03/13	492 00	357 34	134 66	
	0 2490	03/26/13	24 47	20 89	3 58	
	1 3130	07/02/13	129 08	124 03	5 05	
08/16/13	9 0820	Various	892.77	660 55	232 22	SALE TOTAL 4 LOTS
SPDR DOW JONES INDL ET / 78467X109 AVERAGE ETF TR						
	1 0570	02/13/12	147 10	136 09	11 01	
	2 1010	03/12/12	292 40	271 35	21.05	
	1 6180	04/16/12	225 18	209 15	16 03	
	0 9630	05/14/12	134 02	122 47	11 55	
	1,2570	06/11/12	174 94	158 78	16 16	
	0 6470	07/16/12	90 04	82 32	7 72	
	0 5980	08/13/12	83 22	78 76	4 46	
	0 9680	09/17/12	134 72	131 22	3.50	
	0 7950	10/15/12	110 65	105 95	4.70	

2013 ENHANCED SUMMARY

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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
0 5550	11 13 12	77 24	70 69	6 55	
0 9270	12 17 12	129 02	122 03	6 99	
1 1610	01 14 13	161 60	156 38	5 22	
12 6470	Various	1,760 13	1,645 19	114 94	SALE
01/30/13					TOTAL 12 LOTS

TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES

20,445.54 17,532.57 2,912.97

SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

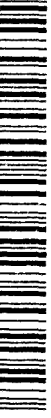
8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
ALCATEL-LUCENT ADR RTS / 03898426	1,820 0000	12/20/13	300 97	0 01	300 96 TENDER
12/23/13					

TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES

300.97 300.96

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
FINANCIAL SELECT ET / 81369Y605	152 4980	03 28 12	3,116.71	2,413 98	702 73
SECTOR SPDR	232 6770	06/27/12	4,755 39	3,299 13	1 456 26
07/15/13	385 1750	Various	7 872 10	5,713 11	2,158 99 SALE
					TOTAL 2 LOTS



2013 ENHANCED SUMMARY

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As of Date: 2/07/14

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
	0 5550	11/13/12	77 24	70 69	6 55
	0 9270	12/17/12	129 02	122 03	6 99
	1 1610	01/14/13	161 60	156 38	5 22
01/30/13	12 6470	Various	1,760 13	1 645 19	114 94 SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES			20,445.54	17,532.57	2,912.97

TOTAL 12 LOTS

SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
ALCATEL LUCENT ADR RTS / 03898426	1,820 0000	12/20/13	300 97	0 01	300 96 TENDER
12/23/13					

TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES

300.96

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
FINANCIAL SELECT ET / 81369Y605	152 4980	03/28/12	3,116.71	2,413.98	702.73
SECTOR SPDR	232 6770	06/27/12	4,755.39	3,299.13	1 456.26
07/15/13	385 1750	Various	7,872.10	5,713.11	2 158.99 SALE
					TOTAL 2 LOTS



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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
PROSHARES TR ULTRA FINANCIALS NEW NON-TRADITIONAL	ET / 74347X633						
08/16/13		3 4880	03/27/12	342 87	223 96	118 91	
		2 8000	06/26/12	275 24	145 23	130 01	
		6 2880	Various	618 11	369 19	248 92	SALE
							TOTAL 2 LOTS
SPDR DOW JONES INDL AVERAGE ETF TR	ET / 78467X109						
01/30/13		2.3170	01/17/12	322 46	289 78	32 68	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES							
				8,812.67	6,372.08	2,440.59	
LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013							
8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
FINANCIAL SELECT SECTOR SPDR	ET / 81369Y605						
		15,000 0000	01/22/08	306,566 02	373,887 00	-67,320 98	
		5,000 0000	03/06/08	102,188 67	121,584 00	-19,395 33	
		5,000 0000	06/27/08	102,188 67	104,050 00	-1,861 33	
		3,500 0000	10/24/08	71,532 07	49,000 00	22,532 07	
		3,250 0000	11/18/08	66,422 63	38,366 58	28,056 05	
		5,000 0000	01/30/09	102,188 67	48,290 00	53,898 67	
		186 0850	07/01/09	3,803 15	2,227 79	1,575 36	
		114 9070	09/30/09	2,348 43	1,736 00	612 43	
		10,000 0000	10/29/09	204,377 37	144,636 00	59,741 37	

2013 ENHANCED SUMMARY

52,857

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As of Date: 2/07/14

ADVISORS

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KLEIN FAMILY FOUNDATION #2
614 AVENUE J
BROOKLYN NY 11230-3504

Account Number: 6180-3558

Year-End Account Summary

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LCNG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6);
Proceeds from Broker and Barter Exchange Transactions for 2013 continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
PROSHARES TR ET / 74347X633 ULTRA FINANCIALS NEW NON-TRADITIONAL	3.4880 2.8000 6.2880	03/27/12 06/26/12 Various	342.87 275.24 618.11	223.96 145.23 369.19	118.91 130.01 248.92	SALE
08/16/13						TOTAL 2 LOTS

SPDR DOW JONES INDL ET / 78467X109
AVERAGE ETF TR
01/30/13

32.68 SALE

TOTAL LONG TERM GAINS OR LOSSES FOR
COVERED SECURITIES

2,440.59

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
FINANCIAL SELECT ET / 81369Y605 SECTOR SPDR	15,000.0000 5,000.0000 5,000.0000 3,500.0000 3,250.0000 5,000.0000 186.0850 114.9070 10,000.0000	01/22/08 03/06/08 06/27/08 10/24/08 11/18/08 01/30/09 07/01/09 09/30/09 10/29/09	306,566.02 102,188.67 102,188.67 71,532.07 66,422.63 102,188.67 3,803.15 2,348.43 204,377.37	373,887.00 121,584.00 104,050.00 49,000.00 38,366.58 48,290.00 2,227.79 1,736.00 144,636.00	-67,320.98 -19,395.33 -1,861.33 22,532.07 28,056.05 53,898.67 1,575.36 612.43 59,741.37	

2013 ENHANCED SUMMARY

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As of Date: 2/07/14

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BROOKLYN NY 11230-3504

Account Number: 6180-3558

Year-End Account Summary

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**LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013**

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
	171 6050	12/30/09	3,507 21	2,462 65	1,044 56	
	108 5220	03/31/10	2,217 94	1,717 01	500 93	
	103 5640	06/30/10	2,116 61	1,446 44	670 17	
	109 5130	09/29/10	2,238 19	1,581 00	657 19	
	166 6710	12/29/10	3,406 38	2,671 51	734 87	
	121 9450	03/30/11	2,492 28	1,997 65	494 63	
	167 1520	06/29/11	3,416 21	2,529 40	886 81	
	196 6750	09/28/11	4,019 59	2,414 88	1,604 71	
	296 2500	12/28/11	6,054 68	3,876 94	2,177 74	
07/15/13	48,492 8890	Various	991,084 77	904,474 85	86,609 92	SALE
					TOTAL 18 LOTS	
ISHARES RUSSELL 2000	600 0000	03/14/07	61,297 93	45,693 70	15,604 23	
	300 0000	10/27/08	30,648 97	13,751 40	16,897 57	
08/15/13	900 0000	Various	91,946 90	59,445 10	32,501 80	SALE
					TOTAL 2 LOTS	
MUTUAL SER FD INC / 628380503 EUROPEAN FD CLASS Z	5,453 2800	12/21/00	131,696 71	82,726 25	48,970 46	
	40 7730	06/26/01	984 66	646 66	338 00	
	194 5980	06/26/01	4,699 54	3,086 32	1,613 22	
	1,622 6620	12/18/01	39,187 28	22,911 99	16,275 29	
	144 7000	06/17/02	3,494 50	2,222 59	1,271 91	
	503 7520	06/17/02	12,165 61	7,737 63	4,427 98	
	1,315 4020	12/26/02	31,766 96	16,968 68	14,798 28	
	483 5640	06/18/03	11,678 07	6,972 99	4,705 08	
	1,587 5300	12/24/03	38,338 85	26,067 24	12,271 61	
	632 0170	06/23/04	15,263 21	11,041 33	4,221 88	
	96 1450	12/22/04	2,321 90	1,840 21	481 69	

2013 ENHANCED SUMMARY

As of Date: 2/07/14

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KLEIN FAMILY FOUNDATION #2
614 AVENUE J
BROOKLYN NY 11230-3504

Account Number: 6180-3558

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
09/03/13	1,900.3190	12/22/04	45,892.70	36,372.10	9,520.60	
	30.6050	12/30/04	739.11	598.63	140.48	
	193.9100	06/21/06	4,682.92	4,233.05	449.87	
	692.2150	06/21/06	16,716.99	15,111.05	1,605.94	
	586.2400	06/21/06	14,157.70	12,797.61	1,360.09	
	2,086.8510	12/28/06	50,397.46	50,647.87	-250.41	
	2,435.4370	12/28/06	58,815.83	59,108.06	-292.23	
	20,000.0000	Various	483,000.00	361,030.26	121,909.74	SALE
						TOTAL 18 LOTS
POWERSHARES QQQ TR ET / 73935A104						
SERIES 1						
08/15/13	1,000.0000	10/24/08	75,536.19	29,500.00	46,036.19	SALE
PROSHARES TR ET / 74347X633						
ULTRA FINANCIALS NEW						
NON-TRADITIONAL						
	1,500.0000	01/30/09	147,448.55	52,650.00	94,798.55	
	3.9493	06/30/09	388.21	157.88	230.33	
	2.1154	09/30/09	207.94	127.53	80.41	
	0.4988	12/30/09	49.03	28.18	20.85	
	1.6307	03/30/10	160.29	111.73	48.56	
	0.1140	09/28/10	11.20	6.28	4.92	
	0.9100	03/29/11	89.45	62.11	27.34	
	0.1110	06/28/11	10.91	6.65	4.26	
	3.7650	09/27/11	370.10	154.36	215.74	
	1,513.0942	Various	148,735.68	53,304.72	95,430.96	SALE
						TOTAL 9 LOTS
SPDR DOW JONES INDL ET / 78467X109						
AVERAGE ETF TR						
	275.0000	11/20/08	38,271.51	20,900.00	17,371.51	
	5.1670	07/14/09	719.08	430.95	288.13	

2013 ENHANCED SUMMARY

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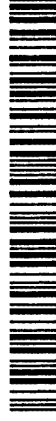
Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
	2,1080	08/18/09	293.36	193.05	100.31	
	5,2230	09/15/09	726.88	503.60	223.28	
	2,7280	10/14/09	379.65	269.45	110.20	
	1,3910	11/17/09	193.58	145.07	48.51	
	4,9510	12/14/09	689.02	521.04	167.98	
	5,8391	01/11/10	812.61	619.35	193.26	
	3,8800	03/15/10	539.97	411.34	128.63	
	2,9210	04/12/10	406.51	321.73	84.78	
	1,3340	05/17/10	185.65	142.39	43.26	
	5,7740	06/14/10	803.56	594.89	208.67	
	2,9240	07/12/10	406.93	297.94	108.99	
	1,4120	08/16/10	196.50	145.19	51.31	
	5,7060	09/13/10	794.10	602.97	191.13	
	2,7400	10/12/10	381.32	301.13	80.19	
	1,3070	11/15/10	181.89	146.79	35.10	
	4,9700	12/13/10	691.67	569.97	121.70	
	3,5450	01/18/11	493.35	417.41	75.94	
	3,3350	01/18/11	464.13	392.67	71.46	
	1,8700	02/14/11	260.24	229.16	31.08	
	3,9930	03/14/11	555.71	478.24	77.47	
	2,9170	04/11/11	405.96	361.17	44.79	
	1,4320	05/16/11	199.29	179.73	19.56	
	2,7780	06/13/11	386.61	332.61	54.00	
	1,3390	07/11/11	186.35	167.60	18.75	
	0,7940	08/15/11	110.50	90.35	20.15	
	3,1190	09/12/11	434.07	338.28	95.79	
	1,4670	10/17/11	204.16	169.94	34.22	
	1,2390	11/14/11	172.43	150.23	22.20	
	1,9160	12/12/11	266.65	231.77	34.88	



2013 ENHANCED SUMMARY

52,861

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As of Date: 2/07/14

ADVISORS

Your Financial Advisor :
JOSEPH M ANDREOLI JR
461 FROM ROAD
MACK CENTRE VI
PARAMUS NJ 07652
(201) 599-0900

KLEIN FAMILY FOUNDATION #2
614 AVENUE J
BROOKLYN NY 11230-3504

Account Number: 6180-3558

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
01/30/13	365.1190	Various	50,813.24	30,656.01	20,157.23	SALE
SPDR S&P MIDCAP 400 ET / 78467Y107						
08/15/13	300.0000	10/27/08	66,136.55	26,586.60	39,549.95	SALE
SPDR S&P 500 TRUST ET / 78462F103						
08/15/13	150.0000	11/20/08	24,948.17	11,350.00	13,098.17	SALE

TOTAL 31 LOTS

TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES

1,932,201.50	1,475,907.54 (109.06)	455,293.96
1,932,201.50	1,476,798.48	455,403.02
		109.06

DECREASE IN COST DUE TO DONATED SECURITIES
FORM 8949 ATTACHMENT 4 (2/5)

*Box 2a: Sales price less commissions and option premiums

2013 ENHANCED SUMMARY

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As of Date: 2/07/14

Your Financial Advisor :
 JOSEPH M ANDREOLI JR
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KLEIN FAMILY FOUNDATION
 ACCOUNT #1
 614 AVENUE J
 BROOKLYN NY 11230-3504

Account Number: 1594-0357

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
CITIGROUP CAP XVI 6.45% / 17310L201 DUE 12/31/2066 CALL STARTING 12/31/2011 07/15/13	4,000 0000	11/15/06	100,000 00	100,000 00	0 00	REDEMPTION
CITIGROUP CAPITAL X 6 1% / 173064205 TRUPS DUE 9/30/33 CALLABLE 9/30/08 10/01/13	4,000 0000	09/05/03	100,000 00	100,000 00	0 00	REDEMPTION
CITIGROUP INC NEW / 172967424 05/29/13	4,384 0000	01/18/08	229,965 24	149,999 52	79 965 72	SALE
COOK CNTY IL S/D NO 104 / 214471MU5 ARGO SUMMIT TXBL SER A G/O LTD OID ASSURED GUAR CPN 5 900% DUE 12/01/27 01/15/13	200,000 0000	09/22/09	223,074 00	201,413.99	21,660 01	SALE
FLORIDA HSG FIN CORP REV / 34073NQ60 HOMEOWNER MTG SER 4 AMT B/E GNMA/FNMA/FHLMC CPN 5 150% DUE 07/01/37 01/02/13	85,000 0000	01/07/09	85,000.00	70,061 89	14,938 11	REDEMPTION
04/01/13	20,000 0000	01/07/09	20,000 00	16,499 81	3,500.19	REDEMPTION
07/01/13	80,000 0000	Various	80,000 00	68,588 01	11,411.99	REDEMPTION
07/02/13	225,000 0000	01/21/09	223,087 50	196,140 48	26,947 02	SALE
07/05/13	150,000.0000	01/21/09	148,725 00	130,765 31	17,959.69	SALE
	145,000 0000	01/21/09	143,782 00	126,409 36	17,372 64	Original Basis 125062 50
	290,000 0000	03/03/09	287,564 00	274,050 00	13,514 00	



2013 ENHANCED SUMMARY

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As of Date: 2/07/14

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KLEIN FAMILY FOUNDATION
ACCOUNT #1
614 AVENUE J
BROOKLYN NY 11230-3504

Account Number: 1594-0357

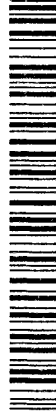
Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	3-Cost or Other Basis	Supplemental Information	
				Gain or Loss Amount	Additional Information
JERSEY CITY NJ TAXABLE / 476576CT8 GEN IMPT SER B G/O B/E TXBL CPN 5.875% DUE 11/01/22 05/17/13	100.000 0000	11/08/10	112,490.00	102,666.35	9,823.65 SALE
JP MORGAN CHASE 8.625% / 46625H621 NON-CUM PERPETUAL MAT CALLABLE START 09/01/13 09/03/13	6.000 0000	08/14/08	150,000.00	150,000.00	0.00 REDEMPTION
MISSISSIPPI HM CORP / 60535MVX4 SINGLE FMLY REV SER B 2 B/E GUAR FNMA AMT CPN 6.450% DUE 12/01/33 01/02/13	5.000 0000	12/18/08	5,000.00	4,868.75	131.25 REDEMPTION
02/01/13	5.000 0000	12/18/08	5,000.00	4,868.75	131.25 REDEMPTION
07/01/13	95.000 0000	12/18/08	99,987.50	92,506.25	7,481.25 REDEMPTION
NEW YORK ST HSG FIN AGY / 64986EAH6 MLTI FMLY HSG RVKENSICO TERRACE APTS A B/E AMT CPN 4.750% DUE 08/15/26 07/09/13	685.000 0000	01/14/09	678.150.00	599,673.78	78,476.22 SALE
PACIFIC BELL / 694032AX1 DEBENTURE EXTRAORDINARY CALL CPN 6.625% DUE 10/15/34 10/15/13	125.000 0000	02/09/06	126,400.00	126,400.00	0.00 REDEMPTION



Account Number: 1594-0357

2013 ENHANCED SUMMARY

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KLEIN FAMILY FOUNDATION
ACCOUNT #1
614 AVENUE J
BROOKLYN NY 11230-3504

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

Supplemental Information				
8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	3-Cost or Other Basis
TOLEDO OH TAXABLE STR / 889278PQ4				
IMPT G/O LTD BIE ASSURED				
GUAR TXBL				
CPN 6 300% DUE 12.01.25				
12/02/13				
10,000 0000				
10/15/09				
10 000 00				
10,232 11				
-232 11				
REDEMPTION				
WELLS FARGO CAP 7 875% / 94985V202				
CAP XII DUE 3/15/68				
CALL STARTING 3/15/2013				
03/15/13				
8,000 0000				
03/05/08				
200,000 00				
200,000 00				
0 00				
REDEMPTION				
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES				
414,045.39				
3,725,763.85				
4,139,809.24				
UNKNOWN TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES (Box 6)				
Proceeds from Broker and Barter Exchange Transactions for 2013				
Supplemental Information				
8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	3-Cost or Other Basis
LEHMAN BROS HOLDINGS INC / 52517PSC6				
GLOBAL NOTES				
CPN 6 625% DUE 01/18/12				
DTD 01/10/02 FC 07/18/02				
04/04/13				
N/A				
N/A				
N/A				
1,256 36				
0 01				
1,256 35				
MERGER				
10/03/13				
N/A				
1,450 32				
MERGER				

2013 ENHANCED SUMMARY

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As of Date: 2/07/14

Your Financial Advisor :
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KLEIN FAMILY FOUNDATION
 ACCOUNT #1
 614 AVENUE J
 BROOKLYN NY 11230-3504

Account Number: 1594-0357

Year-End Account Summary

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UNKNOWN TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES (Box 6) *continued*
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
LEHMAN BROTHERS HOLDINGS / 52519FBN0					
LEHMAN NOTES					
MONTHLY PAY - CALLABLE					
CPN 6 100% DUE 08/12/23					
04/04/13	N/A	N/A	2,487 89	0 01	2,487 88 MERGER
10/03/13	N/A	N/A	2,872 00	0 01	2 871 99 MERGER

**TOTAL UNKNOWN TERM GAINS OR LOSSES FOR
NONCOVERED SECURITIES**

8,066.58	0.04	8,066.54
4,139,809 24	3,725,763 85	414,045 39
4,147,875 82	3,725,763 89	422,111 93

*Box 2a Sales price less commissions and option premiums

