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Form **990-PF**

Department of the Treasury  
Internal Revenue Service

**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

**2013**

**Open to Public Inspection**

**For calendar year 2013, or tax year beginning 01-01-2013, and ending 12-31-2013**

Name of foundation The HHD Foundation		<b>A Employer identification number</b> 13-4076952
Number and street (or P O box number if mail is not delivered to street address) Room/suite 201 East 28th Street		<b>B Telephone number (see instructions)</b> (212) 808-0070
City or town, state or province, country, and ZIP or foreign postal code New York, NY 10016		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (From Part II, col. (c), line 16) ▶ \$ 541,739	<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

	Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments	876	876	876	
	<b>4</b> Dividends and interest from securities	6,058	6,058	6,058	
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	-678			
	<b>b</b> Gross sales price for all assets on line 6a 174,492				
	<b>7</b> Capital gain net income (from Part IV, line 2)				
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	6,256	6,934	6,934		
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc				
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule)	4,930			4,930
	<b>c</b> Other professional fees (attach schedule)				
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	1,049	949		100
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	2,642	2,642		
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	8,621	3,591		5,030
	<b>25</b> Contributions, gifts, grants paid	5,465			5,465
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	14,086	3,591		10,495	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	-7,830				
<b>b Net investment income</b> (if negative, enter -0-)		3,343			
<b>c Adjusted net income</b> (if negative, enter -0-)			6,934		

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

<b>Part II Balance Sheets</b>		Beginning of year			End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b>	Cash—non-interest-bearing . . . . .					
	<b>2</b>	Savings and temporary cash investments . . . . .	213,733	223,286	223,286		
	<b>3</b>	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	<b>4</b>	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	<b>5</b>	Grants receivable . . . . .					
	<b>6</b>	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .					
	<b>7</b>	Other notes and loans receivable (attach schedule) ▶ _____ 7,345 Less allowance for doubtful accounts ▶ _____	8,950	7,345	7,345		
	<b>8</b>	Inventories for sale or use . . . . .					
	<b>9</b>	Prepaid expenses and deferred charges . . . . .					
	<b>10a</b>	Investments—U S and state government obligations (attach schedule)					
	<b>b</b>	Investments—corporate stock (attach schedule) . . . . .	282,563	311,108	311,108		
	<b>c</b>	Investments—corporate bonds (attach schedule) . . . . .					
	<b>11</b>	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	<b>12</b>	Investments—mortgage loans . . . . .					
	<b>13</b>	Investments—other (attach schedule) . . . . .					
	<b>14</b>	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
<b>15</b>	Other assets (describe ▶ _____)						
<b>16</b>	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	505,246	541,739	541,739			
<b>Liabilities</b>	<b>17</b>	Accounts payable and accrued expenses . . . . .					
	<b>18</b>	Grants payable . . . . .					
	<b>19</b>	Deferred revenue . . . . .					
	<b>20</b>	Loans from officers, directors, trustees, and other disqualified persons					
	<b>21</b>	Mortgages and other notes payable (attach schedule) . . . . .					
	<b>22</b>	Other liabilities (describe ▶ _____)					
<b>23</b>	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		0				
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/>						
	<b>and complete lines 24 through 26 and lines 30 and 31.</b>						
	<b>24</b>	Unrestricted . . . . .					
	<b>25</b>	Temporarily restricted . . . . .					
	<b>26</b>	Permanently restricted . . . . .					
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/>						
	<b>and complete lines 27 through 31.</b>						
	<b>27</b>	Capital stock, trust principal, or current funds . . . . .					
	<b>28</b>	Paid-in or capital surplus, or land, bldg, and equipment fund					
<b>29</b>	Retained earnings, accumulated income, endowment, or other funds	505,246	541,739				
<b>30</b>	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	505,246	541,739				
<b>31</b>	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	505,246	541,739				

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b>	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	505,246
<b>2</b>	Enter amount from Part I, line 27a . . . . .	<b>2</b>	-7,830
<b>3</b>	Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	44,323
<b>4</b>	Add lines 1, 2, and 3 . . . . .	<b>4</b>	541,739
<b>5</b>	Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	
<b>6</b>	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	<b>6</b>	541,739

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1a</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b>	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	-678
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		<b>3</b>	
	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			-358

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	26,950	495,699	0.05437
2011	17,406	473,776	0.03674
2010	26,011	160,985	0.16157
2009	18,963	120,662	0.15716
2008	26,823	116,185	0.23087

<b>2</b>	Total of line 1, column (d).	<b>2</b>	0.64070
<b>3</b>	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.12814
<b>4</b>	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	<b>4</b>	
<b>5</b>	Multiply line 4 by line 3.	<b>5</b>	
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b).	<b>6</b>	33
<b>7</b>	Add lines 5 and 6.	<b>7</b>	33
<b>8</b>	Enter qualifying distributions from Part XII, line 4.	<b>8</b>	10,495

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	33
<b>c</b>	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	33
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .	<b>5</b>	33
<b>6</b>	Credits/Payments		
<b>a</b>	2013 estimated tax payments and 2012 overpayment credited to 2013	<b>6a</b>	
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments Add lines 6a through 6d. . . . .	<b>7</b>	
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	33
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?. . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation <input type="checkbox"/> \$ _____ <b>(2)</b> On foundation managers <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?. . . . .	<b>4b</b>	No
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	No
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> _____		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	<b>10</b>	No

**Part VII-A Statements Regarding Activities (continued)**

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of ▶ _____ Telephone no ▶ _____ Located at ▶ _____ ZIP +4 ▶ _____			
<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <b>15</b> _____			
<b>16</b>	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶ _____	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			<b>Yes</b>	<b>No</b>
<b>1a</b>	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	<b>1b</b>		<b>No</b>
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions) . . . . .	<b>2b</b>		<b>No</b>
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> ) . . . . .	<b>3b</b>		<b>No</b>
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<p><b>5a</b> During the year did the foundation pay or incur any amount to</p> <p><b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>b</b> If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                  Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p> <p><b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                  If "Yes," attach the statement required by Regulations section 53.4945–5(d).</p> <p><b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                  If "Yes" to 6b, file Form 8870.</p> <p><b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>b</b> If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<b>5b</b>	<b>No</b>
<b>6b</b>	<b>6b</b>	<b>No</b>
<b>7b</b>	<b>7b</b>	<b>No</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Betsy Rothstein 201 East 28th Street Apt 14C New York, NY 10016	Trustee 0 00	0		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000.

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services. . . . .

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See page 24 of the instructions	
<b>3</b>	

**Total.** Add lines 1 through 3. . . . .

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	0
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	0
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	0
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	0
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	0
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	0
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	0

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5. . . . .	<b>2a</b>	33
<b>b</b>	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	33
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	<b>3</b>	33
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	33
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	<b>7</b>	0

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
<b>a</b>	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	<b>1a</b>	10,495
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	10,495
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	<b>5</b>	33
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	10,462

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				0
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only. . . . .				
<b>b</b> Total for prior years 20__ , 20__ , 20__				
<b>3</b> Excess distributions carryover, if any, to 2013				
<b>a</b> From 2008. . . . .				21,198
<b>b</b> From 2009. . . . .				12,954
<b>c</b> From 2010. . . . .				17,974
<b>d</b> From 2011. . . . .				
<b>e</b> From 2012. . . . .				2,761
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	54,887			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>10,495</u>				
<b>a</b> Applied to 2012, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2013 distributable amount. . . . .				
<b>e</b> Remaining amount distributed out of corpus	10,495			
<b>5</b> Excess distributions carryover applied to 2013 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	65,382			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . . .	21,198			
<b>9</b> <b>Excess distributions carryover to 2014.</b> Subtract lines 7 and 8 from line 6a . . . . .	44,184			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2009. . . . .				12,954
<b>b</b> Excess from 2010. . . . .				17,974
<b>c</b> Excess from 2011. . . . .				
<b>d</b> Excess from 2012. . . . .				2,761
<b>e</b> Excess from 2013. . . . .				10,495

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . .

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			<b>(e) Total</b>
	<b>(a) 2013</b>	<b>(b) 2012</b>	<b>(c) 2011</b>	<b>(d) 2010</b>	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					

**3** Complete 3a, b, or c for the alternative test relied upon

**a** "Assets" alternative test—enter

**(1)** Value of all assets . . . . .

**(2)** Value of assets qualifying under section 4942(j)(3)(B)(i)

**b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .

**c** "Support" alternative test—enter

**(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .

**(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .

**(3)** Largest amount of support from an exempt organization

**(4)** Gross investment income

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

---

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

---

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

---

**a** The name, address, and telephone number of the person to whom applications should be addressed

---

**b** The form in which applications should be submitted and information and materials they should include

---

**c** Any submission deadlines

---

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b>				▶ <b>3a</b> 5,465
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>				▶ <b>3b</b>





**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Bread for the Journey 267 Miller Avenue Mill Valley, CA 94941	NONE	n/a	CHARITABLE	4,000
Network for Good 514 10th street nw Washington dc, DC 20004	NONE	n/a	charitable	515
Glenn Highland Farm 217 Pegg Road Morris, NY 13800	NONE	n/a	Charitable	300
Charity Navigator 139 Harristown Road Suite 101 Glen Rock, NJ 07452	NONE	n/a	Charitable	100
Clinton Avenue Methodist Church 122 Clinton Avenue Kingston, NY 12401	NONE	n/a	Charitable	100
Living Transformational Intl Center 28 Lawrence Street Yonkers, NY 10705	NONE	n/a	Charitable	300
New Way of Life PO Box 875288 Los Angeles, CA 90087	NONE	n/a	Charitable	150
<b>Total . . . . .</b>				<b>5,465</b>

## TY 2013 Accounting Fees Schedule

**Name:** The HHD Foundation

**EIN:** 13-4076952

**Software ID:** 13000170

**Software Version:** 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
legal and professional fees	4,930	0	0	4,930

## TY 2013 Other Expenses Schedule

**Name:** The HHD Foundation

**EIN:** 13-4076952

**Software ID:** 13000170

**Software Version:** 2013v3.1

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	3	3		
investment management fees- wells fargo	2,607	2,607		
powershares db energy - investment exp	32	32		

# TY 2013 Taxes Schedule

**Name:** The HHD Foundation

**EIN:** 13-4076952

**Software ID:** 13000170

**Software Version:** 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
federal excise tax	600	600		
foreign tax withheld	349	349		
nys department of law	100			100

**TY 2013 IRS Payment****Name:** The HHD Foundation**EIN:** 13-4076952**Software ID:** 13000170**Software Version:** 2013v3.1**Routing Transit Number:** 102307164**Bank Account Number:** 9089649050**Type of Account:** Checking**Payment Amount in Dollars and** 33**Cents:****Requested Payment Date:** 2014-05-15**Taxpayer's Daytime Phone** (212) 808-0070**Number:**



**2013 SUMMARY AMENDMENT**

Amended Date: 2/14/14

HHD FOUNDATION  
 201 E 28TH ST APT 14C  
 NEW YORK NY 10016-8538

Your Financial Advisor :  
 BENEZRA/PEEK/KAPLAN/SIEGEL  
 2649 SOUTH ROAD  
 POUGHKEEPSIE NY 12601  
 (845) 452-2587

Account Number: 3897-7470

**Year-End Account Summary**

**This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.**

For noncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return. however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE

**SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)  
 Proceeds from Broker and Barter Exchange Transactions for 2013**

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
ISHARES MSCI NEW ZEALAND CAPPED ET / 464289123 06/28/13	242 0000	01/31/13	8,106 91	8,893 50	-786 59	SALE
ISHARES MSCI MALAYSIA ET / 464286830 08/30/13	454 0000	06/28/13	6,510.48	7,064 10	-553 62	SALE
ISHARES MSCI NETHERLANDS ET / 464286814 11/18/13	11 0000	08/30/13	275 44	247 94	27 50	SALE
ISHARES MSCI AUSTRALIA ET / 464286103 06/03/13	281 0000	03/28/13	6,968 68	7,609 45	-640 77	SALE
ISHARES MSCI SINGAPORE ET / 464286673 02/01/13	605 0000	07/02/12	8,345 91	7,489 30	856 61	SALE
ISHARES MSCI HONG KONG ET / 464286871 05/01/13	422 0000	10/01/12	8,558 98	7,760.45	798 53	SALE
ISHARES MSCI AUSTRIA CAPPED ET / 464286202 03/28/13	443 0000	02/01/13	7,619 47	8,357 20	-737 73	SALE

Name : HHD Foundation  
 Tax ID # : 13-4076952  
 Tax Year: 2013  
 Form: 990-PF , Part IV

001 / PWPN / PWBQ

**2013 SUMMARY AMENDMENT**

**Amended Date: 2/14/14**

HHD FOUNDATION  
201 E 28TH ST APT 14C  
NEW YORK NY 10016-8538

**Your Financial Advisor :**  
BENEZRA/PEEK/KAPLAN/SIEGEL  
2649 SOUTH ROAD  
POUGHKEEPSIE NY 12601  
(845) 452-2587

**Account Number: 3897-7470**

**Year-End Account Summary**

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**SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)  
Proceeds from Broker and Barter Exchange Transactions for 2013**

*continued*

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
ISHARES MSCI THAILAND CAPPED ET / 464286624	45 0000	07/06/12	3,526 16	3,082 77	443 39	
	45 0000	09/04/12	3,526 17	3,203 06	323 11	
06/28/13	90 0000	Various	7,052 33	6,285 83	766 50	SALE TOTAL 2 LOTS
ISHARES MSCI FRANCE ET / 464286707						
11/18/13	9 0000	06/03/13	250 38	226 07	24 31	SALE
ISHARES MSCI GERMANY ET / 464286806						
03/04/13	347 0000	10/01/12	8,501 31	7,920 83	580.48	SALE
08/30/13	311 0000	06/28/13	7,973 99	7,714.36	259 63	SALE
ISHARES MSCI JAPAN ET / 464286848						
11/18/13	24 0000	05/01/13	291 83	278 64	13 19	SALE
ISHARES MSCI MEXICO ET / 464286822 CAPPED						
05/01/13	121 0000	07/02/12	8,659 79	7,432 86	1,226 93	SALE
ISHARES MSCI SWEDEN ET / 464286756						
06/28/13	258 0000	03/04/13	7,698 77	8,508 79	-810 02	SALE
ISHARES MSCI TAIWAN ET / 464286731						
11/18/13	23 0000	08/01/13	324 52	316 22	8 30	SALE
ISHARES MSCI TURKEY ET / 464286715						
06/28/13	143 0000	07/02/12	8,428 27	7,457 41	970 86	SALE

Name : HHD Foundation  
Tax ID # : 13-4076952  
Tax Year: 2013  
Form: 990-PF , Part IV

001 / PWPB / PWBQ



**2013 SUMMARY AMENDMENT**

Amended Date: 2/14/14

HHD FOUNDATION  
 201 E 28TH ST APT 14C  
 NEW YORK NY 10016-8538

Your Financial Advisor :  
 BENEZRA/PEEK/KAPLAN/SIEGEL  
 2649 SOUTH ROAD  
 POUGHKEEPSIE NY 12601  
 (845) 452-2587

Account Number: 3897-7470

**Year-End Account Summary**

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**SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)**

*continued*

**Proceeds from Broker and Barter Exchange Transactions for 2013**

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
MARKET VECTORS ET / 57060U753 INDONESIA INDEX ETF 06/28/13	265 0000	05/01/13	7,653 10	8,678 72	-1,025 62	SALE
PIMCO ET / 72201R205 1-5 YR US TIPS INDEX 11/18/13	6 0000	05/31/13	319 72	319 62	0 10	SALE
POWERSHARES ET / 73935X401 GOLDEN DRAGON CHINA PORT 11/18/13	12 0000	06/28/13	356 15	254 28	101 87	SALE
VANGUARD SHORT TERM BOND / 921937827 ETF 11/18/13	11 0000	02/01/13	886 14	889 88	-3 74	SALE
VANGUARD TOTAL BOND ET / 921937835 MARKET 02/01/13	88 0000	04/27/12	7,315 37	7,377 48	-62 11	SALE
<b>TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES</b>			<b>112,097.54</b>	<b>111,082.93</b>	<b>1,014.61</b>	

**SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)**

**Proceeds from Broker and Barter Exchange Transactions for 2013**

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
ISHARES GOLD TRUST / 464285105	297 0000	04/27/12	4,823 16	4,804 12	19 04	Name: HHD Foundation Original Basis: 4813 99 Original Basis: 2552 84
	160 0000	05/03/12	2,598 35	2,547 96	50 39	

Name: HHD Foundation

Tax ID # : 13-4076952

001 / PWPW / PWBQ

Tax Year: 2013

Form: 990-PF , Part IV

# 2013 SUMMARY AMENDMENT

Amended Date: 2/14/14

HHD FOUNDATION  
201 E 28TH ST APT 14C  
NEW YORK NY 10016-8538

**Your Financial Advisor :**  
BENEZRA/PEEK/KAPLAN/SIEGEL  
2649 SOUTH ROAD  
POUGHKEEPSIE NY 12601  
(845) 452-2587

Account Number: 3897-7470

## Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.  
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

### SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

*continued*

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information	
02/01/13	457.0000	Various	7,421.51	7,352.08	69.43	SALE	TOTAL 2 LOTS
	32.0000	05/03/12	450.55	509.35	-58.80	Original Basis 510.56	
	425.0000	10/01/12	5,983.87	7,368.06	-1,384.19	Original Basis 7378.00	
05/01/13	457.0000	Various	6,434.42	7,877.41	-1,442.99	SALE	TOTAL 2 LOTS
<b>TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES</b>			<b>13,855.93</b>	<b>15,229.49</b>	<b>-1,373.56</b>		

### LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information	
GUGGENHEIM S&P 500 ET / 78355W403 PURE GROWTH 11/18/13	10.0000	04/27/12	671.99	493.75	178.24	SALE	
ISHARES ET / 464287887 S&P SMALL CAP 600 GROWTH 11/18/13	2.0000	04/27/12	228.90	165.58	63.32	SALE	
ISHARES ET / 464287879 S&P SMALL CAP 600 VALUE 11/18/13	1.0000	09/04/12	106.91	77.33	29.58	SALE	
ISHARES ET / 464287614 RUSSELL 1000 GROWTH 11/18/13	14.0000	04/27/12	1,159.88	929.55	230.33	SALE	

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**2013 SUMMARY AMENDMENT**

Amended Date: 2/14/14

HHD FOUNDATION  
 201 E 28TH ST APT 14C  
 NEW YORK NY 10016-8538

Your Financial Advisor :  
 BENEZRA/PEEK/KAPLAN/SIEGEL  
 2649 SOUTH ROAD  
 POUGHKEEPSIE NY 12601  
 (845) 452-2587

Account Number: 3897-7470

**Year-End Account Summary**

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**LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)  
 Proceeds from Broker and Barter Exchange Transactions for 2013**

*continued*

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
ISHARES ET / 464288802 MSCI USA ESG SELECT 11/18/13	16 0000	09/17/12	1,189 26	976 22	213.04	SALE
ISHARES MSCI ET / 464286749 SWITZERLAND CAPPED 11/18/13	10.0000	10/02/12	323 19	251 50	71 69	SALE
ISHARES MSCI BELGIUM ET / 464286301 CAPPED 11/18/13	20 0000	11/06/12	316 99	252 80	64.19	SALE
ISHARES RUSSELL ET / 464287473 MID-CAP VALUE 11/18/13	4 0000	08/01/12	257 52	186 16	71.36	SALE
ISHARES TIP BOND ET / 464287176 05/31/13	65 0000	04/27/12	7,545 41	7,767 18	-221 77	SALE
	16 0000	04/27/12	1,790 87	1,911 92	-121 05	
	16 0000	05/03/12	1,790 88	1,905 76	-114 88	
08/01/13	32 0000	Various	3,581 75	3,817 68	-235 93	SALE
11/18/13	1 0000	05/03/12	112 40	119 11	-6.71	SALE
POWERSHARES DYNAMIC / 73935X708 LARGE CAP VALUE PORTFOLIO 11/18/13	17 0000	04/27/12	470 55	352 78	117.77	SALE
POWERSHARES EM MAR ET / 73936T573 SOV DE PT 06/28/13	54 0000	04/27/12	1,476 91	1,533 39	-56.48	SALE

TOTAL 2 LOTS

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**LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)  
Proceeds from Broker and Barter Exchange Transactions for 2013**

*continued*

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
SPDR BARCLAYS ET / 78464A516 INTERNATIONAL TREASURY BOND 11/18/13	3 0000	04/27/12	174 87	180 91	-6.04	SALE
VANGUARD INTL EQUITY ET / 922042718 INDEX FDS-FTSE ALL WORLD EX USA SMALL CAP INDEX 11/18/13	2 0000	04/27/12	203 04	176 44	26 60	SALE
VANGUARD MID-CAP ET / 922908538 GROWTH FUND 11/18/13	1 0000	09/04/12	87 33	66 30	21 03	SALE
X VANGUARD REIT ET / 922908553 X 09/03/13	67.0000	07/02/12	4,265.15	4,343.53	-78.38	SALE
X 11/18/13	2.0000	07/02/12	134.24	129.29	4.95	SALE
VANGUARD TOTAL BOND ET / 921937835 MARKET 05/31/13	87.0000	04/27/12	7,150 46	7,293 65	-143 19	SALE
	37 0000	04/27/12	2,974 75	3,101 89	-127 14	
	142 0000	05/03/12	11,416 65	11,901 89	-485 24	
08/01/13	179 0000	Various	14 391 40	15,003 78	-612 38	SALE
						TOTAL 2 LOTS

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**2013 SUMMARY AMENDMENT**

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**Year-End Account Summary**

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**LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)**  
**Proceeds from Broker and Barter Exchange Transactions for 2013**

*continued*

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
VANGUARD WHITEHALL FDS / 921946406 HIGH DIVIDEND YIELD ETF 11/18/13	19 0000	04/27/12	1,170 91	922 95	247 96	SALE
<b>TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES</b>			<b>45,019.06</b>	<b>45,039.88</b>	<b>-20.82</b>	

**PARTNERSHIP DISPOSITIONS FOR NONCOVERED SECURITIES**  
**Proceeds from Broker and Barter Exchange Transactions for 2013**

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
POWERSHARES DB ENRGY ET / 73936B101 FUND 06/28/13	125 0000	02/01/13	3,399 94	3,699 90	-299 96	SALE
11/18/13	4 0000	02/01/13	111 76	118 40	-6 64	SALE
<b>TOTAL PARTNERSHIP DISPOSITIONS</b>			<b>3,511.70</b>	<b>3,818.30</b>	<b>-306.60</b>	

**UNKNOWN TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES (Box 6)**  
**Proceeds from Broker and Barter Exchange Transactions for 2013**

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
ISHARES GOLD TRUST / 464285105 01/31/13	914 0000	N/A	3 13	N/A	N/A	PRINCIPAL PAYMENT

Cost Basis Allocation Factor 0 000211241  
Name : HHD Foundation  
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Account Number: 3897-7470

**Year-End Account Summary**

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**UNKNOWN TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES (Box 6)  
Proceeds from Broker and Barter Exchange Transactions for 2013**

*continued*

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
02/28/13	457 0000	N/A	1 42	N/A	N/A	PRINCIPAL PAYMENT Cost Basis Allocation Factor 0 000201435
03/31/13	457 0000	N/A	1 51	N/A	N/A	PRINCIPAL PAYMENT Cost Basis Allocation Factor 0 000214284
04/30/13	457 0000	N/A	1 43	N/A	N/A	PRINCIPAL PAYMENT Cost Basis Allocation Factor 0 000220912
<b>TOTAL UNKNOWN TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES</b>			<b>7.49</b>	<b>0.00</b>	<b>0.00</b>	

\*Box 2a Sales price less commissions and option premiums

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss columns, or Cost column. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds even if the Gain/Loss is marked N/A.

Name : HHD Foundation

Tax ID # : 13-4076952

Tax Year: 2013

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