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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE RUM FUND		A Employer identification number 13-4022921	
% MICHAEL BLUM		B Telephone number (see instructions)	
Number and street (or P O box number if mail is not delivered to street address) 200 MADISON AVENUE 5TH FLOOR Suite		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10016		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 5,040,557		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	500,000			
	2 Check ☒ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	77,327	1,985		
	4 Dividends and interest from securities.	53,813	53,813		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	207,549			
	b Gross sales price for all assets on line 6a 1,192,257				
	7 Capital gain net income (from Part IV, line 2)		207,549		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	838,689	263,347		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	 88,271	14,215		
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	88,271	14,215		0
	25 Contributions, gifts, grants paid	142,933			142,933
	26 Total expenses and disbursements. Add lines 24 and 25	231,204	14,215		142,933
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	607,485			
	b Net investment income (if negative, enter -0-)		249,132		
	c Adjusted net income (if negative, enter -0-) . . .				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	57,709	176,055	176,055
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ 50 Less allowance for doubtful accounts ▶ _____	49	50	50
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	1,912,558	 2,249,171	2,238,652
	b	Investments—corporate stock (attach schedule)	1,969,868	 1,936,662	2,625,800
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,940,184	4,361,938	5,040,557	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	3,940,184	4,361,938	
	30	Total net assets or fund balances (see page 17 of the instructions)	3,940,184	4,361,938	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	3,940,184	4,361,938	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	3,940,184
2	Enter amount from Part I, line 27a	2	607,485
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	4,547,669
5	Decreases not included in line 2 (itemize) ▶ 	5	185,731
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,361,938

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a INFORMATION AVAILABLE UPON REQUEST			2011-01-01	2013-12-31
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,192,257		984,708	207,549
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			207,549
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	207,549
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	144,912	3,195,113	0 045354
2011	130,855	3,057,575	0 042797
2010	133,865	2,911,959	0 045971
2009	90,708	1,860,329	0 048759
2008	140,515	1,952,017	0 071985

2 Total of line 1, column (d).	2	0 254866
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 050973
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	4,830,020
5 Multiply line 4 by line 3.	5	246,201
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	2,491
7 Add lines 5 and 6.	7	248,692
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	142,933

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	4,983
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3 Add lines 1 and 2.	3	4,983
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	4,983
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	0
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	111
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	5,094
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☐		Yes	No
	1a		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i> ☐	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> ☐	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions): ☐ DE _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of MICHAEL BLUM Telephone no (212) 210-6666 Located at 3440 NORTHOME ROAD WAYZATA MN ZIP +4 55391			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
		15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		☐ Yes	☒ No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		☐ Yes	☒ No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		☐ Yes	☒ No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).		☐ Yes	☒ No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		☐ Yes	☒ No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here.				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		☐ Yes	☐ No	
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		☐ Yes	☒ No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		No
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		☐ Yes	☒ No	
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ABIGAIL ROSE	PRESIDENT	0	0	0
200 MADISON AVENUE NEW YORK, NY 10016	1 0			
MICHAEL BLUM	VICE PRESIDENT	0	0	0
200 MADISON AVENUE NEW YORK, NY 10016	1 0			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	4,864,452
b	Average of monthly cash balances.	1b	39,122
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	4,903,574
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	4,903,574
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	73,554
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,830,020
6	Minimum investment return. Enter 5% of line 5.	6	241,501

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	241,501
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	4,983
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	4,983
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	236,518
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	236,518
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	236,518

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	142,933
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	142,933
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	142,933
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				236,518
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 2011 , 2010 , 2009		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.	18,647			
b From 2009.	729			
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.	19,376			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 142,933				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				142,933
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	19,376			19,376
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				74,209
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	142,933
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

(1)

Cash.

(2)

Other assets.

b

Other transactions

(1)

Sales of assets to a noncharitable exempt organization.

(2)

Purchases of assets from a noncharitable exempt organization.

(3)

Rental of facilities, equipment, or other assets.

(4)

Reimbursement arrangements.

(5)

Loans or loan guarantees.

(6)

Performance of services or membership or fundraising solicitations.

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Yes

No

1a(1)

No

1a(2)

No

1b(1)

No

1b(2)

No

1b(3)

No

1b(4)

No

1b(5)

No

1b(6)

No

1c

No

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

No

b

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

2014-10-31

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

Yes

No

Paid Preparer Use Only

Print/Type preparer's name

KENNETH WEISSENBERG

Preparer's Signature

Date

Check if self-employed

PTIN

P00738677

Firm's name

EISNERAMPER LLP

Firm's EIN

Firm's address

750 THIRD AVENUE NEW YORK, NY 100172703

Phone no

(212) 210-6666

Form 990-PF (2013)

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Children's Theater Co 2400 Third Avenue South Minneapolis, MN 554043597	NONE	PC	CHARITABLE	1,000
The Blake School 301 Peavey Lane Wayzata, MN 55391	NONE	PC	CHARITABLE	2,778
Walker Art Center 1750 Hennepin Avenue Minneapolis, MN 55403	NONE	PC	CHARITABLE	2,250
The Leukemia & Lymphoma Society 1311 Mamaroneck Avenue Suite 310 White Plains, NY 10605	NONE	PC	CHARITABLE	108
Abbott Northwestern Hospital 800 East 28th Street Minneapolis, MN 55407	NONE	PC	CHARITABLE	5,000
Sloan Kettering Hospital 1275 York Avenue New York, NY 10065	NONE	PC	CHARITABLE	250
Temple Israel 112 East 75th Street New York, NY 10021	NONE	PC	CHARITABLE	3,000
Kairos Dance Theater 4524 Beard Ave S Minneapolis, MN 55410	NONE	PC	CHARITABLE	500
Animal Humane Society 1115 Beulah Ln St Paul, MN 55108	NONE	PC	CHARITABLE	500
The Blake School 301 Peavey Lane Wayzata, MN 55391	NONE	PC	CHARITABLE	2,778
Auction Harmony 2400 North Second St Suite 306 Minneapolis, MN 55411	NONE	PC	CHARITABLE	500
Temple Israel 112 East 75th Street New York, NY 10021	NONE	PC	CHARITABLE	3,000
Minneapolis Jewish Federation 13100 Wayzata Boulevard Suite Minnetonka, MN 55305	NONE	PC	CHARITABLE	2,000
National MS Society 733 Third Ave 3rd Floor New York, NY 10017	NONE	PC	CHARITABLE	200
Minnesota Orchestral Association 1111 Nicollet Mall Minneapolis, MN 55403	NONE	PC	CHARITABLE	333
Total  3a				142,933

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The Blake School 301 Peavey Lane Wayzata, MN 55391	NONE	PC	CHARITABLE	2,778
Michael J Fox Foundation Church Street Station New York, NY 10006	NONE	PC	CHARITABLE	250
The Living Desert 47900 Portola Avenue Palm Desert, CA 92260	NONE	PC	CHARITABLE	250
Temple Israel 112 East 75th Street New York, NY 10021	NONE	PC	CHARITABLE	3,000
The Blake School 301 Peavey Lane Wayzata, MN 55391	NONE	PC	CHARITABLE	2,778
JDRF 26 Broadway14th Floor New York, NY 10004	NONE	PC	CHARITABLE	50
Children's Cancer Research Fund 7301 Ohms Lane Suite 460 Minneapolis, MN 55439	NONE	PC	CHARITABLE	1,000
minneapolis Institute of Arts 2400 3rd Avenue South Minnesota, MN 554043506	NONE	PC	CHARITABLE	1,500
Temple Israel 112 East 75th Street New York, NY 10021	NONE	PC	CHARITABLE	3,000
Karma 411 Fundraising 720 Northern Blvd Brookville, NY 11548	NONE	PC	CHARITABLE	50
Open Eye Figure Theater 506 E 24th St Minneapolis, MN 55404	NONE	PC	CHARITABLE	200
Crohn's & Colitis Foundation 386 Park Ave S New York, NY 100168804	NONE	PC	CHARITABLE	50
Best Buddies International 100 Southeast Second Street Miami, FL 33131	NONE	PC	CHARITABLE	50
The Blake School 301 Peavey Lane Wayzata, MN 55391	NONE	PC	CHARITABLE	2,778
American Leprosy Mission 120 Broadus Ave Greenville, SC 29601	NONE	PC	CHARITABLE	29
Total  3a				142,933

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Open Eye Figure Theater 506 E 24th St Minneapolis, MN 55404	NONE	PC	CHARITABLE	1,000
Temple Israel 112 East 75th Street New York, NY 10021	NONE	PC	CHARITABLE	3,000
minneapolis Institute of Arts 2400 3rd Avenue South Minneapolis, MN 554043506	NONE	PC	CHARITABLE	1,000
The Blake School 301 Peavey Lane Wayzata, MN 55391	NONE	PC	CHARITABLE	2,778
Temple Israel 112 East 75th Street New York, NY 10021	NONE	PC	CHARITABLE	3,000
University of Pennsylvania Walnut Street Philadelphia, PA 19104	NONE	PC	CHARITABLE	10,000
University of Pennsylvania Walnut Street Philadelphia, PA 19104	NONE	PC	CHARITABLE	1,000
University of Pennsylvania Walnut Street Philadelphia, PA 19104	NONE	PC	CHARITABLE	1,000
Jewish Family and Children's Services PO Box 159004 San Francisco, CA 94115	NONE	PC	CHARITABLE	360
The Emily Program Foundation 2265 Como Avenue St Paul, MN 55108	NONE	PC	CHARITABLE	500
The Blake School 301 Peavey Lane Wayzata, MN 55391	NONE	PC	CHARITABLE	2,778
Science Museum of Minnesota 120 Kellogg Blvd W St Paul, MN 55102	NONE	PC	CHARITABLE	250
American Red Cross 120 Broadus Ave Greenville, SC 29601	NONE	PC	CHARITABLE	100
Temple Israel 112 East 75th Street New York NY 1 New York, NY 10021	NONE	PC	CHARITABLE	6,111
Auction Harmony 2400 North Second St Suite 306 Minneapolis, MN 55411	NONE	PC	CHARITABLE	5,000
Total  3a				142,933

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Children's Healthcare Foundation 2525 Chicago Ave Minneapolis, MN 55404	NONE	PC	CHARITABLE	350
The Blake School 301 Peavey Lane Wayzata, MN 55391	NONE	PC	CHARITABLE	2,778
Harvestime 225 N Kennel Rd Sanford, FL 32771	NONE	PC	CHARITABLE	250
Temple Israel 112 East 75th Street New York, NY 10021	NONE	PC	CHARITABLE	6,111
Abbey's Hope Charitable Foundation 5021 Vernon Ave Suite 164 Edina, MN 55436	NONE	PC	CHARITABLE	250
JEWISH COMMUNITY RELATIONS COUNCIL 70 W 36th St Suite 700 New York, NY 100181258	NONE	PC	CHARITABLE	1,000
The Northside Achivement Zone 2123 W Broadway Ave 100 Minneapolis, MN 55411	NONE	PC	CHARITABLE	1,000
Horace Mann School 231 W 246th St New York, NY 10471	NONE	PC	CHARITABLE	100
Jewish Family and Children's Services PO Box 159004 San Francisco, CA 94115	NONE	PC	CHARITABLE	500
Auction Harmony 2400 North Second St Suite 306 Minneapolis, MN 55411	NONE	PC	CHARITABLE	2,825
Minnesota Zoo Foundation 13000 Zoo Blvd Apple Valley, MN 551244621	NONE	PC	CHARITABLE	2,000
Temple Israel 112 East 75th Street New York, NY 10021	NONE	PC	CHARITABLE	6,111
The Blake School 301 Peavey Lane Wayzata, MN 55391	NONE	PC	CHARITABLE	2,779
Guthrie Theater 818 S 2nd St Minneapolis, MN 55415	NONE	PC	CHARITABLE	1,000
The Blake School 301 Peavey Lane Wayzata, MN 55391	NONE	PC	CHARITABLE	2,083
Total  3a				142,933

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Temple Israel 112 East 75th Street New York, NY 10021	NONE	PC	CHARITABLE	6,111
American Red Cross 520 W 49th St New York, NY 10019	NONE	PC	CHARITABLE	1,000
AchieveMpls 111 3rd Ave S 5 Minneapolis, MN 55401	NONE	PC	CHARITABLE	250
The Blake School 301 Peavey Lane Wayzata, MN 55391	NONE	PC	CHARITABLE	2,083
RAZOO 1020 19TH St Nw Ste 800 Washington, DC 20036	NONE	PC	CHARITABLE	100
Temple Israel 112 East 75th Street New York, NY 10021	NONE	PC	CHARITABLE	6,111
Minneapolis Jewish Federation 13100 Wayzata Boulevard Suite 200 Minnetonka, MN 55305	NONE	PC	CHARITABLE	9,000
The Blake School 301 Peavey Lane Wayzata, MN 55391	NONE	PC	CHARITABLE	2,083
Animal Humane Society 1115 Beulah Ln St Paul, MN 55108	NONE	PC	CHARITABLE	500
HEBREW UNION COLLEGE 1 West 4th Street New York, NY 10003	NONE	PC	CHARITABLE	360
Save the Lake 5341 Maywood Road Suite 200 Lower Mound, MN 55364	NONE	PC	CHARITABLE	250
Temple Israel 112 East 75th Street New York, NY 10021	NONE	PC	CHARITABLE	6,111
Total  3a				142,933

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization THE RUM FUND	Employer identification number 13-4022921
--	--

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Part I Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
_____	See Additional Data Table _____ _____ _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
_____	_____ _____ _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
_____	_____ _____ _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
_____	_____ _____ _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
_____	_____ _____ _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
_____	_____ _____ _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
_____	_____ _____ _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

Name of organization THE RUM FUND	Employer identification number 13-4022921
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Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization THE RUM FUND	Employer identification number 13-4022921
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

Additional Data

Software ID:
Software Version:
EIN: 13-4022921
Name: THE RUM FUND

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	Abigail Rose 200 MADISON AVENUE NEW YORK, NY 10016	\$ 41,230	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contribution)
<u>2</u>	Abigail Rose 200 MADISON AVENUE NEW YORK, NY 10016	\$ 93,339	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contribution)
<u>3</u>	Abigail Rose 200 MADISON AVENUE NEW YORK, NY 10016	\$ 197,425	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contribution)
<u>4</u>	Abigail Rose 200 MADISON AVENUE NEW YORK, NY 10016	\$ 62,160	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contribution)
<u>5</u>	Abigail Rose 200 MADISON AVENUE NEW YORK, NY 10016	\$ 77,739	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contribution)
<u>6</u>	Abigail Rose 200 MADISON AVENUE NEW YORK, NY 10016	\$ 11,292	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contribution)
<u>7</u>	Abigail Rose 200 MADISON AVENUE NEW YORK, NY 10016	\$ 16,815	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: THE RUM FUND

EIN: 13-4022921

**TY 2013 Investments Corporate
Stock Schedule****Name:** THE RUM FUND**EIN:** 13-4022921

Name of Stock	End of Year Book Value	End of Year Fair Market Value
8121 SHS ISHARES MSCI EAFE FD	484,123	544,878
4107 SHS ISHARES MSCI EMERGING MARKETS FUND	178,071	171,652
722SHS ISHARE RUSSELL MICROCAP	31,713	54,237
6792 SHS ISHARES S&P500 GROWTH	450,107	670,710
7629 SHS ISHARES S&P500 VALUE	476,841	651,974
1057 SHS ISHARES S&P MID CAP		
400 GROWTH	93,214	158,751
1362 SHS ISHARES S&P MID CAP		
400 VALUE	100,219	158,305
966 SHS ISHARES S&P SMALL CAP		
600 VALUE	63,132	107,477
909 SHS ISHARES SMALL CAP 600 GROWTH	59,242	107,816

**TY 2013 Investments Government
Obligations Schedule****Name:** THE RUM FUND**EIN:** 13-4022921**US Government Securities - End of
Year Book Value:**

0

**US Government Securities - End of
Year Fair Market Value:**

0

**State & Local Government
Securities - End of Year Book
Value:**

2,249,171

**State & Local Government
Securities - End of Year Fair
Market Value:**

2,238,652

TY 2013 Investments - Land Schedule**Name:** THE RUM FUND**EIN:** 13-4022921

TY 2013 Land, Etc. Schedule**Name:** THE RUM FUND**EIN:** 13-4022921

TY 2013 Other Decreases Schedule

Name: THE RUM FUND

EIN: 13-4022921

Description	Amount
OTHER ADJUSTMENT	185,731

TY 2013 Other Expenses Schedule

Name: THE RUM FUND

EIN: 13-4022921

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOND PREMIUM AMORTIZATION	74,056			
BANK CHARGES	13,987	13,987		
FILING FEES	228	228		

TY 2013 Taxes Schedule

Name: THE RUM FUND

EIN: 13-4022921

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX				
FOREIGN TAX PAID				

TY 2013 IRS Payment**Name:** THE RUM FUND**EIN:** 13-4022921**Routing Transit Number:** 044000804**Bank Account Number:** 2410000417046**Type of Account:** Checking**Payment Amount in Dollars and** 5,094**Cents:****Requested Payment Date:** 2014-11-17**Taxpayer's Daytime Phone** (212) 210-6666
Number:

THE RUM FUND					
EIN 13-4022921					
FYE 12/31/2013					
PART XV SUPPLEMENTARY INFORMATION					
GRANTS & CONTRIBUTIONS					
		RELATIONSHIP TO	FOUNDATION	PURPOSE	
		SUBSTANTIAL	STATUS OF	OF GRANT/	
RECIPIENT NAME	ADDRESS	CONTRIBUTOR	RECIPIENT	CONTRIBUTION	AMOUNT
Children's Theater Co	2400 Third Avenue South Minneapolis, MN 55404-3597	N/A	PUBLIC	CHARITABLE	1,000 00
The Blake School	301 Peavey Lane Wayzata, MN 55391	N/A	PUBLIC	CHARITABLE	2,777 75
Walker Art Center	1750 Hennepin Avenue Minneapolis, MN 55403	N/A	PUBLIC	CHARITABLE	2,250 00
The Leukemia & Lymphoma Society	1311 Mamaroneck Avenue, Suite 310, White Plains, NY 10605	N/A	PUBLIC	CHARITABLE	108 00
Abbott Northwestern Hospital	800 East 28th Street Minneapolis, MN 55407	N/A	PUBLIC	CHARITABLE	5,000 00
Sloan Kettering Hospital	1275 York Avenue New York, NY 10065	N/A	PUBLIC	CHARITABLE	250 00
Temple Israel	112 East 75th Street New York, NY 10021	N/A	PUBLIC	CHARITABLE	3,000 00
Kairos Dance Theater	4524 Beard Ave S, Minneapolis, MN 55410	N/A	PUBLIC	CHARITABLE	500 00
Animal Humane Society	1115 Beulah Ln St Paul, MN 55108	N/A	PUBLIC	CHARITABLE	500 00
The Blake School	301 Peavey Lane Wayzata, MN 55391	N/A	PUBLIC	CHARITABLE	2,777 75
Auction Harmony	2400 North Second St , Suite 306 Minneapolis, MN 55411	N/A	PUBLIC	CHARITABLE	500 00
Temple Israel	112 East 75th Street New York, NY 10021	N/A	PUBLIC	CHARITABLE	3,000 00
Minneapolis Jewish Federation	13100 Wayzata Boulevard Suite 200 Minnetonka, MN 55305	N/A	PUBLIC	CHARITABLE	2,000 00
National MS Society	733 Third Ave 3rd Floor New York, NY 10017	N/A	PUBLIC	CHARITABLE	200 00
Minnesota Orchestral Association	1111 Nicollet Mall Minneapolis, MN 55403	N/A	PUBLIC	CHARITABLE	333 33
The Blake School	301 Peavey Lane Wayzata, MN 55391	N/A	PUBLIC	CHARITABLE	2,777 75
Michael J Fox Foundation	Church Street Station, New York, NY 10006	N/A	PUBLIC	CHARITABLE	250 00
The Living Desert	47900 Portola Avenue Palm Desert, CA 92260	N/A	PUBLIC	CHARITABLE	250 00
Temple Israel	112 East 75th Street New York, NY 10021	N/A	PUBLIC	CHARITABLE	3,000 00
The Blake School	301 Peavey Lane Wayzata, MN 55391	N/A	PUBLIC	CHARITABLE	2,777 75
JDRF	26 Broadway14th Floor New York, NY 10004	N/A	PUBLIC	CHARITABLE	50 00
Children's Cancer Research Fund	7301 Ohms Lane, Suite 460 Minneapolis, MN 55439	N/A	PUBLIC	CHARITABLE	1,000 00
minneapolis Institute of Arts	2400 3rd Avenue South Minneapolis, MN 55404-3506	N/A	PUBLIC	CHARITABLE	1,500 00
Temple Israel	112 East 75th Street New York, NY 10021	N/A	PUBLIC	CHARITABLE	3,000 00
Karma 411 Fundraising	720 Northern Blvd Brookville, NY 11548	N/A	PUBLIC	CHARITABLE	50 00
Open Eye Figure Theater	506 E 24th St Minneapolis, MN 55404	N/A	PUBLIC	CHARITABLE	200 00
Crohn's & Colitis Foundation	386 Park Ave S, New York, NY 10016-8804	N/A	PUBLIC	CHARITABLE	50 00
Best Buddies International	100 Southeast Second Street, Suite 2200 Miami, FL 33131	N/A	PUBLIC	CHARITABLE	50 00
The Blake School	301 Peavey Lane Wayzata, MN 55391	N/A	PUBLIC	CHARITABLE	2,777 75
American Leprosy Mission	120 Broadus Ave, Greenville, SC 29601	N/A	PUBLIC	CHARITABLE	29 00
Open Eye Figure Theater	506 E 24th St Minneapolis, MN 55404	N/A	PUBLIC	CHARITABLE	1,000 00
Temple Israel	112 East 75th Street New York, NY 10021	N/A	PUBLIC	CHARITABLE	3,000 00
minneapolis Institute of Arts	2400 3rd Avenue South Minneapolis, MN 55404-3506	N/A	PUBLIC	CHARITABLE	1,000 00
The Blake School	301 Peavey Lane Wayzata, MN 55391	N/A	PUBLIC	CHARITABLE	2,777 75
Temple Israel	112 East 75th Street New York, NY 10021	N/A	PUBLIC	CHARITABLE	3,000 00
University of Pennsylvania	Walnut Street Philadelphia, PA 19104	N/A	PUBLIC	CHARITABLE	10,000 00
University of Pennsylvania	Walnut Street Philadelphia, PA 19104	N/A	PUBLIC	CHARITABLE	1,000 00
University of Pennsylvania	Walnut Street Philadelphia, PA 19104	N/A	PUBLIC	CHARITABLE	1,000 00
Jewish Family and Children's Services	PO Box 159004 San Francisco, CA 94115	N/A	PUBLIC	CHARITABLE	360 00
The Emily Program Foundation	2265 Como Avenue St Paul, MN 55108	N/A	PUBLIC	CHARITABLE	500 00
The Blake School	301 Peavey Lane Wayzata, MN 55391	N/A	PUBLIC	CHARITABLE	2,777 75

THE RUM FUND					
EIN 13-4022921					
FYE 12/31/2013					
PART XV SUPPLEMENTARY INFORMATION					
GRANTS & CONTRIBUTIONS					
		RELATIONSHIP TO	FOUNDATION	PURPOSE	
		SUBSTANTIAL	STATUS OF	OF GRANT/	
RECIPIENT NAME	ADDRESS	CONTRIBUTOR	RECIPIENT	CONTRIBUTION	AMOUNT
Science Museum of Minnesota	120 Kellogg Blvd W St Paul, MN 55102	N/A	PUBLIC	CHARITABLE	250 00
American Red Cross	120 Broadus Ave, Greenville, SC 29601	N/A	PUBLIC	CHARITABLE	100 00
Temple Israel	112 East 75th Street New York, NY 10021	N/A	PUBLIC	CHARITABLE	6,111 11
Auction Harmony	2400 North Second St , Suite 306 Minneapolis, MN 55411	N/A	PUBLIC	CHARITABLE	5,000 00
Children's Healthcare Foundation	Minneapolis, MN 55404	N/A	PUBLIC	CHARITABLE	350 00
The Blake School	301 Peavey Lane Wayzata, MN 55391	N/A	PUBLIC	CHARITABLE	2,777 75
Harvestime		N/A	PUBLIC	CHARITABLE	250 00
Temple Israel	112 East 75th Street New York, NY 10021	N/A	PUBLIC	CHARITABLE	6,111 11
Abbey's Hope Charitable Foundation	5021 Vernon Ave , Suite 164, Edina, Minnesota 55436	N/A	PUBLIC	CHARITABLE	250 00
JEWISH COMMUNITY RELATIONS COUNCIL	70 W 36th St, Suite 700 New York, NY 10018-1258	N/A	PUBLIC	CHARITABLE	1,000 00
The Northside Achivement Zone	2123 W Broadway Ave #100 Minneapolis, MN 55411	N/A	PUBLIC	CHARITABLE	1,000 00
Horace Mann School	231 W 246th St, New York, NY 10471	N/A	PUBLIC	CHARITABLE	100 00
Jewish Family and Children's Services	PO Box 159004 San Francisco, CA 94115	N/A	PUBLIC	CHARITABLE	500 00
Auction Harmony	2400 North Second St , Suite 306 Minneapolis, MN 55411	N/A	PUBLIC	CHARITABLE	2,825 00
Minnesota Zoo Foundation	13000 Zoo Blvd Apple Valley, MN 55124-4621	N/A	PUBLIC	CHARITABLE	2,000 00
Temple Israel	112 East 75th Street New York, NY 10021	N/A	PUBLIC	CHARITABLE	6,111 11
The Blake School	301 Peavey Lane Wayzata, MN 55391	N/A	PUBLIC	CHARITABLE	2,778 75
Guthrie Theater	818 S 2nd St, Minneapolis, MN 55415	N/A	PUBLIC	CHARITABLE	1,000 00
The Blake School	301 Peavey Lane Wayzata, MN 55391	N/A	PUBLIC	CHARITABLE	2,083 33
Temple Israel	112 East 75th Street New York, NY 10021	N/A	PUBLIC	CHARITABLE	6,111 11
American Red Cross	520 W 49th St, New York, NY 10019	N/A	PUBLIC	CHARITABLE	1,000 00
AchieveMpls	111 3rd Ave S #5 Minneapolis, Minnesota 55401	N/A	PUBLIC	CHARITABLE	250 00
The Blake School	301 Peavey Lane Wayzata, MN 55391	N/A	PUBLIC	CHARITABLE	2,083 33
RAZOO	1020 19TH St Nw Ste 800 Washington , DC 20036	N/A	PUBLIC	CHARITABLE	100 00
Temple Israel	112 East 75th Street New York, NY 10021	N/A	PUBLIC	CHARITABLE	6,111 11
Minneapolis Jewish Federation	13100 Wayzata Boulevard Suite 200 Minnetonka, MN 55305	N/A	PUBLIC	CHARITABLE	9,000 00
The Blake School	301 Peavey Lane Wayzata, MN 55391	N/A	PUBLIC	CHARITABLE	2,083 33
Animal Humane Society	1115 Beulah Ln St Paul, MN 55108	N/A	PUBLIC	CHARITABLE	500 00
HEBREW UNION COLLEGE	1 West 4th Street New York, NY 10003	N/A	PUBLIC	CHARITABLE	360 00
Save the Lake	5341 Maywood Road, Suite 200 (Lower Level Mound, MN 55364	N/A	PUBLIC	CHARITABLE	250 00
Temple Israel	112 East 75th Street New York, NY 10021	N/A	PUBLIC	CHARITABLE	6,111 11
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