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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013, and ending 12-31-2013

Name of foundation THE NOLA FOUNDATION		A Employer identification number 13-4014432	
% MICHAEL SCHINDLER		B Telephone number (see instructions) (212) 210-6666	
Number and street (or P O box number if mail is not delivered to street address) Room/suite 200 MADISON AVENUE 5TH FLOOR Suite		C If exemption application is pending, check here <input type="checkbox"/>	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10016		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (From Part II, col. (c), line 16) \$ 9,768,186		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	675,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	130	130		
	4 Dividends and interest from securities.	193,124	193,124		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	458,222			
	b Gross sales price for all assets on line 6a 3,708,122				
	7 Capital gain net income (from Part IV, line 2) . . .		458,222		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	1,326,476	651,476			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).	925	925	0	0
	b Accounting fees (attach schedule).	4,000	4,000	0	0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	2,249	2,249		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
23 Other expenses (attach schedule).	50,966	50,966			
24 Total operating and administrative expenses. Add lines 13 through 23	58,140	58,140	0	0	
25 Contributions, gifts, grants paid	640,211			640,211	
26 Total expenses and disbursements. Add lines 24 and 25	698,351	58,140	0	640,211	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	628,125				
b Net investment income (if negative, enter -0-)		593,336			
c Adjusted net income (if negative, enter -0-)					

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Part II Balance Sheets		Beginning of year			End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing						
	2 Savings and temporary cash investments	626,511	283,848	283,848			
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____						
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____						
	5 Grants receivable						
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)						
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____						
	8 Inventories for sale or use						
	9 Prepaid expenses and deferred charges						
	10a Investments—U S and state government obligations (attach schedule)						
	b Investments—corporate stock (attach schedule)						
	c Investments—corporate bonds (attach schedule)						
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____						
	12 Investments—mortgage loans						
	13 Investments—other (attach schedule)	6,895,529	7,866,317	9,479,505			
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____						
15 Other assets (describe ▶ _____)	4,833	4,833	4,833				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	7,526,873	8,154,998	9,768,186				
Liabilities	17 Accounts payable and accrued expenses						
	18 Grants payable						
	19 Deferred revenue						
	20 Loans from officers, directors, trustees, and other disqualified persons						
	21 Mortgages and other notes payable (attach schedule)						
	22 Other liabilities (describe ▶ _____)						
	23 Total liabilities (add lines 17 through 22)			0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/>						
	and complete lines 24 through 26 and lines 30 and 31.						
	24 Unrestricted						
	25 Temporarily restricted						
	26 Permanently restricted						
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>						
	and complete lines 27 through 31.						
	27 Capital stock, trust principal, or current funds						
28 Paid-in or capital surplus, or land, bldg, and equipment fund							
29 Retained earnings, accumulated income, endowment, or other funds	7,526,873	8,154,998					
30 Total net assets or fund balances (see page 17 of the instructions)	7,526,873	8,154,998					
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	7,526,873	8,154,998					

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,526,873
2	Enter amount from Part I, line 27a	2	628,125
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	8,154,998
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,154,998

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	458,222
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	492,364	8,453,026	0.058247
2011	462,620	5,002,949	0.092469
2010	647,292	3,976,617	0.162775
2009	225,039	3,531,105	0.06373
2008	294,145	3,764,984	0.078126

2 Total of line 1, column (d).	2	0.455347
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.091069
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	9,616,903
5 Multiply line 4 by line 3.	5	875,802
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	5,933
7 Add lines 5 and 6.	7	881,735
8 Enter qualifying distributions from Part XII, line 4.	8	640,211

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

Table with 11 main rows and sub-rows (a-e) for credits and payments. Includes amounts like 11,867 and 5,751.

Part VII-A Statements Regarding Activities

Table with 10 main rows (1a-10) and sub-rows (a-b) for questions regarding political activities, tax returns, and assets.

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No		
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No		
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ _____	13	Yes			
14	The books are in care of ▶ <u>MICHAEL SCHINDLER</u> Telephone no ▶ <u>(212) 210-6666</u> Located at ▶ <u>200 MADISON AVENUE 5TH FLOOR NEW YORK NY</u> ZIP +4 ▶ <u>100163998</u>					
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ <table border="1" style="display: inline-table;"><tr><td>15</td><td></td></tr></table>	15				
15						
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶ _____	16	Yes	No		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? 5b</p> <p>Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i></p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b No</p> <p><i>If "Yes" to 6b, file Form 8870.</i></p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? 7b</p>			
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
AMY ROSE SILVERMAN 1115 FIFTH AVENUE APT 8-C NEW YORK, NY 10128	PRESIDENT/DIRECTOR 20	0	0	0
JEFFREY SILVERMAN 1115 FIFTH AVENUE APT 8-C NEW YORK, NY 10128	VP/DIRECTOR 20	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services. **▶**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	

Total. Add lines 1 through 3 **▶**

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	9,479,505
b	Average of monthly cash balances.	1b	283,848
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	9,763,353
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	9,763,353
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	146,450
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	9,616,903
6	Minimum investment return. Enter 5% of line 5.	6	480,845

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	480,845
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	11,867
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	11,867
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	468,978
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	468,978
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	468,978

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	640,211
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	640,211
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	640,211

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				468,978
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 2011, 2010, 2009		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.	118,911			
b From 2009.	48,527			
c From 2010.	448,514			
d From 2011.	213,771			
e From 2012.	72,568			
f Total of lines 3a through e.	902,291			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>640,211</u>				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				468,978
e Remaining amount distributed out of corpus	171,233			
5 Excess distributions carryover applied to 2013 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,073,524			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions.		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions.			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).	118,911			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a.	954,613			
10 Analysis of line 9				
a Excess from 2009.	48,527			
b Excess from 2010.	448,514			
c Excess from 2011.	213,771			
d Excess from 2012.	72,568			
e Excess from 2013.	171,233			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total				▶ 3a 640,211
b <i>Approved for future payment</i>				
Total				▶ 3b

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SEE SCHEDULE 1 ATTACHED	P		
SEE SCHEDULE 1 ATTACHED	P		
SEE SCHEDULE 2 ATTACHED	P		
SEE SCHEDULE 2 ATTACHED	P		
GAIN ON LONGLEAF PARTNERS FUNDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,563,703		1,524,159	39,544
1,910,489		1,638,095	272,394
53,338		49,381	3,957
164,886		38,265	126,621
2,618			2,618
			13,088

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			39,544
			272,394
			3,957
			126,621
			2,618

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
National MS Society 733 Third Avenue 3rd Floor New York, NY 10017	NONE	PC	CHARITABLE	10,000
The Fresh Air Fund 633 3rd Avenue 14th Floor New York, NY 10017	NONE	PC	CHARITABLE	450
Riverdale Country School 5250 Fieldston Rd Riverdale, NY 10471	NONE	PC	CHARITABLE	4,000
Jumpstart 919 18th Street NW Washington, DC 20006	NONE	PC	CHARITABLE	1,200
The Metropolitan Museum of Art 1000 Fifth Avenue Suite 300 New York, NY 10028	NONE	PC	CHARITABLE	15,000
Friends of the Lake PO Box 403 Bridgewater, CT 06752	NONE	PC	CHARITABLE	5,000
Randall's Island Sports Foundation 24 W 61st Street 4 New York, NY 10023	NONE	PC	CHARITABLE	2,500
Central Synagogue 123 E 55th Street New York, NY 10022	NONE	PC	CHARITABLE	1,300
Loving Africa PO Box 6483 Altadena, CA 91003	NONE	PC	CHARITABLE	1,000
National MS Society 733 Third Avenue 3rd Floor New York, NY 10017	NONE	PC	CHARITABLE	1,180
Wesleyan University 45 Wyllys Avenue Middletown, CT 06459	NONE	PC	CHARITABLE	1,000
The Michael J Fox Foundation 498 Fashion Avenue New York, NY 10018	NONE	PC	CHARITABLE	500
Summer Search 261 5th Avenue 8th Floor New York, NY 10016	NONE	PC	CHARITABLE	2,500
Fountain House 425 W 47th Street New York, NY 10036	NONE	PC	CHARITABLE	1,000
The Jewish Museum 1109 5th Avenue New York, NY 10128	NONE	PC	CHARITABLE	12,500
Total				640,211

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Idea Village 515 Girod St New Orleans, LA 70130	NONE	PC	CHARITABLE	5,000
Network for Good 1140 Connecticut Avenue NW Washington, DC 20036	NONE	PC	CHARITABLE	2,638
Morry's Camp 350 Executive Blvd Suite 125 Suite 700 Elmsford, NY 10523	NONE	PC	CHARITABLE	2,000
Summer Search 261 5th Avenue 8th Floor New York, NY 10016	NONE	PC	CHARITABLE	1,000
First Descents 767 Santa Fe Dr Denver, CO 80204	NONE	PC	CHARITABLE	500
Big Brothers Big Sisters 223 E 30th Street New York, NY 10016	NONE	PC	CHARITABLE	5,000
Rainforest Alliance 233 Broadway 28th Floor New York, NY 10279	NONE	PC	CHARITABLE	250
American Dance Festival Box 90772 Durham, NC 27708	NONE	PC	CHARITABLE	2,500
The Jewish Museum 1109 5th Avenue New York, NY 10128	NONE	PC	CHARITABLE	35,000
Jumpstart 919 18th Street NW Washington, DC 20006	NONE	PC	CHARITABLE	1,250
Friends of Florence 4545 W Street NW Suite 300 Washington, DC 20007	NONE	PC	CHARITABLE	1,000
National MS Society 733 Third Avenue 3rd Floor New York, NY 10017	NONE	PC	CHARITABLE	250
Crohn's & Colitis Foundation 733 Third Avenue Suite 510 New York, NY 10017	NONE	PC	CHARITABLE	500
Central Synagogue 123 E 55th Street New York, NY 10022	NONE	PC	CHARITABLE	32,639
ALS 2196 Glenkirk Drive San Jose, CA 95124	NONE	PC	CHARITABLE	5,000
Total				640,211

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UJA Federation 130 East 59th Street New York, NY 10022	NONE	PC	CHARITABLE	500
Hope and Heros Childrens 161 Fort Washington Avenue Irving New York, NY 10032	NONE	PC	CHARITABLE	250
The Nature Conervancy 4245 North Fairfax Drive Suite 100 Arlington, VA 22203	NONE	PC	CHARITABLE	1,000
National MS Society 733 Third Avenue 3rd Floor New York, NY 10017	NONE	PC	CHARITABLE	1,000
MS Hope for a Cure 733 3rd Avenue New York, NY 10017	NONE	PC	CHARITABLE	50
Riverdale Country School 5250 Fieldston Road Bronx, NY 10471	NONE	PC	CHARITABLE	100,000
The Nightingale-Bamford School 20 East 92nd Street New York, NY 10128	NONE	PC	CHARITABLE	2,000
The Service Center Fund 150 West 28th Street Suite 604 New York, NY 10001	NONE	PC	CHARITABLE	500
Whereangels 158 Bucknell Avenue Woodbridge, NJ 07095	NONE	PC	CHARITABLE	250
Friend of the High Line 529 West 20th Street New York, NY 10011	NONE	PC	CHARITABLE	1,000
Friends of the Lake PO Box 403 Bridgewater, CT 06752	NONE	PC	CHARITABLE	20,000
Pan Mass Challenge 77 Fourth Avenue Needham, MA 02494	NONE	PC	CHARITABLE	1,000
Central Synagogue 123 E 55th Street New York, NY 10022	NONE	PC	CHARITABLE	1,715
Park Avenue Armory 643 Park Avenue New York, NY 10065	NONE	PC	CHARITABLE	15,000
New York Stem Cell 309 Amsterdam Avenue New York, NY 10023	NONE	PC	CHARITABLE	250
Total				640,211

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
High Mountain Institute 531 Country Road 5A Leadville, CO 80461	NONE	PC	CHARITABLE	10,000
Central Synagogue 123 E 55th Street New York, NY 10022	NONE	PC	CHARITABLE	25,000
Central Park Conservancy 14 East 60th Street New York, NY 10022	NONE	PC	CHARITABLE	1,000
HEAF 2090 Adam Clayton Powell Blvd New York, NY 10027	NONE	PC	CHARITABLE	1,000
Project Morry 350 Executive Blvd 125 Elmsford, NY 10523	NONE	PC	CHARITABLE	25,000
National MS Society 733 Third Avenue 3rd Floor New York, NY 10017	NONE	PC	CHARITABLE	295
First Giving 34 Farnsworth Street 3rd Floor Boston, MA 02210	NONE	PC	CHARITABLE	250
Big Brothers Big Sister of NY 223 E 30th Street New York, NY 10016	NONE	PC	CHARITABLE	500
Housatonic Valley Association 150 Kent Road Cornwall Bridge, CT 06754	NONE	PC	CHARITABLE	500
Westchester County - BLK 100 Woods Road Vahalla, NY 10595	NONE	PC	CHARITABLE	684
Reading Reform Foundation 333 West 57th Street Suite 1L New York, NY 10019	NONE	PC	CHARITABLE	1,500
The New 42nd Street 229 West 42nd Street New York, NY 10036	NONE	PC	CHARITABLE	1,500
Central Park Conservancy 14 East 60th Street New York, NY 10022	NONE	PC	CHARITABLE	1,000
University of Michigan 500 South State Street Suite 500 Ann Arbor, MI 48109	NONE	PC	CHARITABLE	2,500
American Folk Art Museum 2 Lincoln Square New York, NY 10023	NONE	PC	CHARITABLE	1,400
Total			▶ 3a	640,211

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Shaping Friends of Music 126 Squire Road Roxbury, CT 06783	NONE	PC	CHARITABLE	500
University of Michigan 500 South State Street Suite 500 Ann Arbor, MI 48109	NONE	PC	CHARITABLE	60,000
Park Avenue Armory 643 Park Avenue New York, NY 10065	NONE	PC	CHARITABLE	35,000
Riverdale Country Day School 5250 Fieldston Road Bronx, NY 10471	NONE	PC	CHARITABLE	4,000
Tulane University 6823 St Charles Avenue New Orleans, LA 70118	NONE	PC	CHARITABLE	50,000
The Jewish Museum 1109 5th Avenue New York, NY 10128	NONE	PC	CHARITABLE	15,000
Project Morry 350 Executive Blvd 125 Elmsford, NY 10523	NONE	PC	CHARITABLE	5,000
The Jewish Museum 1109 5th Avenue New York, NY 10128	NONE	PC	CHARITABLE	100,000
National MS Society 733 Third Avenue 3rd Floor New York, NY 10017	NONE	PC	CHARITABLE	60
Park Avenue Armory 643 Park Avenue New York, NY 10065	NONE	PC	CHARITABLE	350
Total				640,211

Schedule B
(Form 990, 990-EZ, or 990-PF)

Schedule of Contributors

OMB No 1545-0047

▶ Attach to Form 990, 990-EZ, or 990-PF.

2013

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Department of the Treasury
Internal Revenue Service

Name of the organization

THE NOLA FOUNDATION

Employer identification number

13-4014432

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
THE NOLA FOUNDATION

Employer identification number
13-4014432

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	AMY ROSE SILVERMAN 1115 FIFTH AVENUE APT 8-C NEW YORK, NY 10128	\$ 675,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization
THE NOLA FOUNDATION

Employer identification number
13-4014432

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization
THE NOLA FOUNDATION

Employer identification number
13-4014432

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry
For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions.) ▶ \$
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

TY 2013 Accounting Fees Schedule

Name: THE NOLA FOUNDATION

EIN: 13-4014432

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	4,000	4,000		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: THE NOLA FOUNDATION

EIN: 13-4014432

TY 2013 Investments - Land Schedule

Name: THE NOLA FOUNDATION

EIN: 13-4014432

TY 2013 Investments - Other Schedule

Name: THE NOLA FOUNDATION

EIN: 13-4014432

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
LONGLY PARTNERS FUND	AT COST	79,154	92,687
OLSTEIN FUNDS	AT COST	73,250	87,440
CHARLES SCHWAB		0	0
NEUBERGER BERMAN		1,336,028	2,098,987
GOLDMAN SACHS		6,377,885	7,200,391

TY 2013 Land, Etc. Schedule

Name: THE NOLA FOUNDATION

EIN: 13-4014432

TY 2013 Legal Fees Schedule

Name: THE NOLA FOUNDATION

EIN: 13-4014432

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	925	925		

TY 2013 Other Assets Schedule

Name: THE NOLA FOUNDATION

EIN: 13-4014432

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DIVIDEND RECEIVABLE	4,833	4,833	4,833

TY 2013 Other Expenses Schedule

Name: THE NOLA FOUNDATION

EIN: 13-4014432

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	270	270		
INVESTMENT EXPENSES	50,195	50,195		
MISCELLANEOUS EXPENSE	501	501		

TY 2013 Other Income Schedule

Name: THE NOLA FOUNDATION

EIN: 13-4014432

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Miscellaneous Income			

TY 2013 Taxes Schedule

Name: THE NOLA FOUNDATION

EIN: 13-4014432

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX PAID	2,224	2,224		
DELAWARE TAXES	25	25		

TY 2013 IRS Payment**Name:** THE NOLA FOUNDATION**EIN:** 13-4014432**Routing Transit Number:** 021000021**Bank Account Number:** 739513923**Type of Account:** Checking**Payment Amount in Dollars and** 6,116**Cents:****Requested Payment Date:** 2014-11-17**Taxpayer's Daytime Phone** (212) 210-6666**Number:**

Rose Associates, Inc

REALIZED GAINS AND LOSSES - SETTLED TRADES

Nola Foundation - Advisory, Delaware, Harris, Growth, Brokerage

Goldman Sachs-a/c x4558, x4590, x4632, x4544, x4566, x2548, x5658, x1749

From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
3/1/2004	1/2/2013	8,480 00	GS High Yield Instl Mutual Fund Class I	-	62,244 40		62,244 40
1/1/2012	1/2/2013	18,768 00	GS High Yield Instl Mutual Fund Class I	133,915 23	137,755 60		3,840 37
1/16/2012	1/9/2013	18,904 00	GS Core Plus Fixed Income Fund	193,950 87	200,000 00	6,049 13	
7/24/2012	1/14/2013	22 00	Cap Gemini Sa	369 38	477 06	107 68	
6/13/2011	1/14/2013	11 00	Societe Generale S A	127 71	91 49		(36 22)
7/13/2011	1/14/2013	64 00	Societe Generale S A	703 36	532 29		(171 07)
7/25/2011	1/14/2013	64 00	Societe Generale S A	652 80	532 29		(120 51)
7/24/2012	1/15/2013	10 00	Volkswagen Ag, Wolfsburg	341 80	454 99	113 19	
6/13/2011	1/22/2013	20 00	SANOFI SPONSORED ADR CMN	763 80	964 47		200 67
7/24/2012	1/23/2013	21 00	Cap Gemini Sa	352 59	484 45	131 86	
7/24/2012	1/23/2013	3 00	FOMENTO ECONOMICO MEXICANO SAB DE C V NEW	260 40	324 29	63 89	
1/16/2012	1/30/2013	20,669 00	GS Core Plus Fixed Income Fund	212,062 71	218,676 74		6,614 03
1/31/2012	1/30/2013	103 00	GS Core Plus Fixed Income Fund	1,063 29	1,089 00	25 71	
2/29/2012	1/30/2013	175 00	GS Core Plus Fixed Income Fund	1,820 14	1,855 21	35 07	
3/30/2012	1/30/2013	233 00	GS Core Plus Fixed Income Fund	2,412 36	2,461 21	48 85	
4/30/2012	1/30/2013	91 00	GS Core Plus Fixed Income Fund	951 84	963 73	11 89	
5/31/2012	1/30/2013	85 00	GS Core Plus Fixed Income Fund	893 95	899 94	5 99	
6/30/2012	1/30/2013	72 00	GS Core Plus Fixed Income Fund	753 53	758 59	5 06	
7/19/2012	1/30/2013	2,202 00	GS Core Plus Fixed Income Fund	23,471 73	23,295 58	(176 15)	
10/31/2011	1/31/2013	200 00	Carnival Corp	7,270 00	7,766 94		496 94
10/31/2011	1/31/2013	100 00	Carnival Corp	3,581 56	3,883 47		301 91
1/11/2012	1/31/2013	100 00	Carnival Corp	3,283 00	3,883 48		600 48
1/11/2012	1/31/2013	100 00	Carnival Corp	3,283 00	3,895 76		612 76
1/23/2012	1/31/2013	200 00	Carnival Corp	6,040 00	7,791 53		1,751 53
3/20/2012	1/31/2013	100 00	Carnival Corp	3,202 82	3,895 76	692 94	
7/13/2011	2/8/2013	16 00	CANON INC ADR SPONSORED ADR CMN	767 04	580 81		(186 23)
7/24/2012	2/22/2013	31 00	America Movil Sab De	834 33	707 18	(127 15)	
6/13/2011	2/25/2013	19 00	NOVARTIS AG-ADR SPONSORED ADR CMN	1,186 55	1,329 20		142 65
3/1/2004	2/25/2013	28 00	CARREFOUR SPONSORED ADR CMN	-	154 03		154 03
7/25/2011	2/25/2013	63 00	CARREFOUR SPONSORED ADR CMN	446 04	346 58		(99 46)
10/24/2011	2/25/2013	162 00	CARREFOUR SPONSORED ADR CMN	761 11	891 20		130 09
7/24/2012	2/25/2013	9 00	L'Oreal Co	213 48	267 79	54 31	
7/24/2012	2/26/2013	11 00	L'Oreal Co	260 92	318 33	57 41	
7/24/2012	2/26/2013	7 00	SMITH & NEPHEW PLC ADR CMN	361 69	373 67	11 98	
7/25/2011	2/28/2013	33 00	Societe Generale S A	336 60	264 63		(71 97)
1/23/2012	2/28/2013	84 00	Societe Generale S A	382 20	673 59		291 39
1/31/2013	2/28/2013	31 00	Societe Generale S A	284 22	248 59	(35 63)	
7/24/2012	2/28/2013	2 00	Volkswagen Ag, Wolfsburg	68 36	85 81	17 45	
7/24/2012	2/28/2013	12 00	Volkswagen Ag, Wolfsburg	410 16	520 24	110 08	
7/24/2012	3/7/2013	4 00	Coca-Cola Hellenic Bottling Co	68 60	105 73	37 13	
7/24/2012	3/8/2013	11 00	Coca-Cola Hellenic Bottling Co	188 65	290 73	102 08	
7/24/2012	3/12/2013	7 00	Coca-Cola Hellenic Bottling Co	120 05	184 34	64 29	
7/24/2012	3/12/2013	1 00	Coca-Cola Hellenic Bottling Co	17 15	26 12	8 97	
7/24/2012	3/12/2013	30 00	Pearson Plc	605 40	526 51	(78 89)	
7/24/2012	3/13/2013	12 00	Coca-Cola Hellenic Bottling Co	205 80	317 37	111 57	
1/31/2013	3/13/2013	4 00	Coca-Cola Hellenic Bottling Co	98 12	105 79	7 67	
1/31/2013	3/14/2013	11 00	Coca-Cola Hellenic Bottling Co	269 83	287 19	17 36	
7/24/2012	3/14/2013	20 00	GRUPO TELEVISIA, S A GDS REP 5 CPO'S REP 1	454 40	538 77	84 37	
10/31/2011	3/14/2013	200 00	Diebold Inc	6,640 00	5,770 47		(869 53)
1/11/2012	3/14/2013	200 00	Diebold Inc	5,958 00	5,770 47		(187 53)
1/23/2012	3/14/2013	100 00	Diebold Inc	3,086 50	2,885 23		(201 27)
8/13/2012	3/14/2013	100 00	Diebold Inc	3,232 45	2,885 24	(347 21)	
7/19/2012	3/19/2013	14,178 00	GS Core Plus Fixed Income Fund	151,134 22	150,000 00	(1,134 22)	
7/24/2012	3/19/2013	19 00	America Movil Sab De	511 36	374 60	(136 76)	
7/24/2012	3/19/2013	12 00	Pearson Plc	242 16	217 85	(24 31)	
1/31/2013	3/19/2013	16 00	Pearson Plc	297 76	290 47	(7 29)	
1/31/2013	3/20/2013	2 00	Pearson Plc	37 22	36 56	(0 66)	
7/13/2011	3/25/2013	5 00	RWE AG AKTIENGESELLSCHAFT SPON ADR	264 00	186 87		(77 13)
7/25/2011	3/25/2013	28 00	RWE AG AKTIENGESELLSCHAFT SPON ADR	1,448 44	1,046 48		(401 96)
10/31/2011	3/25/2013	100 00	CARMAX, INC CMN	3,084 00	4,110 50		1,026 50
10/31/2011	3/25/2013	100 00	CARMAX, INC CMN	3,033 61	4,110 51		1,076 90
2/28/2012	3/25/2013	150 00	Delphi Automotive Plc	4,648 72	6,485 67		1,836 94
7/19/2012	3/25/2013	7,073 00	GS Core Plus Fixed Income Fund	75,394 05	74,828 25	(565 80)	
7/31/2012	3/25/2013	86 00	GS Core Plus Fixed Income Fund	922 72	913 27	(9 45)	
8/31/2012	3/25/2013	101 00	GS Core Plus Fixed Income Fund	1,089 66	1,073 45	(16 21)	
9/30/2012	3/25/2013	118 00	GS Core Plus Fixed Income Fund	1,271 55	1,244 52	(27 03)	
10/31/2012	3/25/2013	120 00	GS Core Plus Fixed Income Fund	1,300 72	1,267 17	(33 55)	
10/31/2012	3/25/2013	8 00	GS Core Plus Fixed Income Fund	87 22	84 96	(2 26)	
11/30/2012	3/25/2013	118 00	GS Core Plus Fixed Income Fund	1,281 17	1,244 74	(36 43)	
12/17/2012	3/25/2013	514 00	GS Core Plus Fixed Income Fund	5,429 81	5,434 94	5 13	
12/17/2012	3/25/2013	1,463 00	GS Core Plus Fixed Income Fund	15,465 95	15,480 54	14 59	
12/31/2012	3/25/2013	142 00	GS Core Plus Fixed Income Fund	1,509 00	1,507 55	(1 45)	
1/31/2013	3/25/2013	102 00	GS Core Plus Fixed Income Fund	1,077 44	1,080 53	3 09	
2/28/2013	3/25/2013	47 00	GS Core Plus Fixed Income Fund	493 28	492 84	(0 44)	

1/11/2012	3/27/2013	50 00	CARMAX, INC CMN	1,525 50	2,011 77		486 27
10/31/2011	4/5/2013	200 00	Comcast Corp New Cl A Spl	4,687 50	7,979 66		3,292 16
1/11/2012	4/5/2013	100 00	Comcast Corp New Cl A Spl	2,431 00	3,989 83		1,558 83
1/11/2012	4/8/2013	100 00	Comcast Corp New Cl A Spl	2,431 00	3,968 84		1,537 84
1/23/2012	4/8/2013	100 00	Comcast Corp New Cl A Spl	2,488 00	3,968 84		1,480 84
7/6/2012	4/8/2013	100 00	Comcast Corp New Cl A Spl	3,162 00	3,968 84	806 84	
7/24/2012	4/10/2013	17 00	Swedbank A B	284 92	376 96		92 04
7/24/2012	4/12/2013	10 00	BHP Billiton Ltd	645 84	706 19		60 35
10/31/2011	4/16/2013	50 00	Boeing Co	3,387 50	4,436 67		1,049 17
7/13/2011	4/25/2013	16 00	Astellas Pharma Inc	616 16	900 61		284 45
7/25/2011	4/25/2013	8 00	Astellas Pharma Inc	310 24	450 30		140 06
7/24/2012	4/25/2013	27 00	KINGFISHER PLC SPONSORED ADR CMN	229 50	239 63		10 13
7/24/2012	4/25/2013	17 00	L'Oreal Co	403 24	552 97		149 73
1/31/2013	4/25/2013	16 00	L'Oreal Co	482 24	520 44		38 20
7/24/2012	4/26/2013	26 00	KINGFISHER PLC SPONSORED ADR CMN	221 00	239 55		18 55
6/13/2011	4/29/2013	25 00	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	1,061 84	1,280 90		219 06
7/24/2012	4/29/2013	14 00	KINGFISHER PLC SPONSORED ADR CMN	119 00	131 55		12 55
1/11/2012	5/6/2013	50 00	CARMAX, INC CMN	1,525 50	2,271 52		746 02
3/20/2012	5/6/2013	100 00	CARMAX, INC CMN	3,418 00	4,543 05		1,125 05
5/11/2012	5/6/2013	50 00	CARMAX, INC CMN	1,484 88	2,271 53	786 64	
7/25/2011	5/7/2013	21 00	Astellas Pharma Inc	814 38	1,203 70		389 32
6/13/2011	5/13/2013	11 00	BG GROUP PLC SPON ADR ADR CMN	244 84	199 82		(45 02)
7/13/2011	5/13/2013	20 00	BG GROUP PLC SPON ADR ADR CMN	455 68	363 32		(92 36)
7/19/2012	5/14/2013	15 00	COCA-COLA AMATIL LIMITED SPONSORED ADR CMN	430 65	393 49	(37 16)	
5/11/2012	5/20/2013	50 00	CARMAX, INC CMN	1,484 88	2,415 90		931 01
6/26/2012	5/20/2013	100 00	CARMAX, INC CMN	2,595 53	4,831 80	2,236 27	
7/25/2011	5/22/2013	72 00	Astellas Pharma Inc	698 04	1,020 99		322 95
1/23/2012	5/22/2013	38 00	Astellas Pharma Inc	374 30	538 85		164 55
7/24/2012	5/27/2013	31 00	BRAMBLES LIMITED UNSPONSORED ADR CMN	412 30	551 87		139 57
1/13/2012	5/28/2013	100 00	TIFFANY & CO CMN	6,001 78	7,903 75		1,901 97
6/13/2011	6/24/2013	3 00	TOYOTA MOTOR CORPORATION SPON ADR	245 57	366 61		121 04
7/13/2011	6/24/2013	8 00	TOYOTA MOTOR CORPORATION SPON ADR	670 64	977 62		306 98
7/13/2011	6/26/2013	12 00	SEVEN & I HOLDINGS CO , LTD UNSPONSORED A	661 80	828 72		166 92
7/25/2011	6/26/2013	6 00	SEVEN & I HOLDINGS CO , LTD UNSPONSORED A	345 48	414 36		68 88
6/16/2011	6/30/2013	4 00	AMCOR LTD ADR (NEW) ADR CMN	119 48	148 67		29 19
7/13/2011	6/30/2013	17 00	AMCOR LTD ADR (NEW) ADR CMN	520 20	631 86		111 66
7/25/2011	6/30/2013	16 00	AMCOR LTD ADR (NEW) ADR CMN	495 84	594 70		98 86
7/19/2012	6/30/2013	34,826 00	GS Short Duration Income Inst Mutual Fund	347,932 46	350,000 00	2,067 54	
7/24/2012	7/8/2013	5 00	Gazprom ADR	48 85	32 75	(16 10)	
7/24/2012	7/8/2013	5 00	Fresenius Medical Care Ag & Co Kгаа	178 61	161 26	(17 35)	
7/24/2012	7/9/2013	81 00	Gazprom ADR	791 37	521 62	(269 75)	
1/31/2013	7/9/2013	38 00	Gazprom ADR	367 84	244 71	(123 13)	
7/13/2011	7/10/2013	5 00	TOYOTA MOTOR CORPORATION SPON ADR	419 15	625 47		206 32
7/25/2011	7/10/2013	6 00	TOYOTA MOTOR CORPORATION SPON ADR	503 16	750 56		247 40
7/24/2012	7/10/2013	15 00	Fresenius Medical Care Ag & Co Kгаа	535 82	463 55	(72 27)	
1/1/2012	7/11/2013	5,953 00	GS High Yield Instl Mutual Fund Class I	42,479 16	42,685 30		206 14
1/27/2012	7/11/2013	3,112 00	GS High Yield Instl Mutual Fund Class I	21,785 63	22,314 70		529 07
7/24/2012	7/15/2013	27 00	COMPASS GROUP PLC SPONSORED ADR CMN	285 12	357 14		72 02
2/28/2012	7/16/2013	23 00	Delphi Automotive Plc	712 80	1,252 31		539 51
2/29/2012	7/16/2013	100 00	Delphi Automotive Plc	3,136 91	5,444 83		2,307 92
2/29/2012	7/16/2013	27 00	Delphi Automotive Plc	845 37	1,470 11		624 74
7/24/2012	7/16/2013	23 00	COMPASS GROUP PLC SPONSORED ADR CMN	242 88	308 83	65 95	
7/24/2012	7/18/2013	3 00	Imperial Tobacco Group	236 10	204 44	(31 66)	
7/24/2012	7/19/2013	8 00	Imperial Tobacco Group	629 60	543 29	(86 31)	
7/24/2012	7/19/2013	18 00	Volvo Aktiebolaget Adr	205 56	252 59	47 03	
7/24/2012	7/22/2013	29 00	Volvo Aktiebolaget Adr	331 18	409 55	78 37	
9/30/2012	7/22/2013	28 00	Volvo Aktiebolaget Adr	402 49	395 43	(7 06)	
1/31/2013	7/22/2013	34 00	Volvo Aktiebolaget Adr	518 84	480 16	(38 68)	
7/24/2012	7/25/2013	24 00	BRAMBLES LIMITED UNSPONSORED ADR CMN	319 20	397 09		77 89
12/26/2011	7/25/2013	3,416 00	GS U S Equity Dividend & Premium Fund	31,664 56	37,608 07		5,943 51
12/31/2011	7/25/2013	15,957 00	GS U S Equity Dividend & Premium Fund	150,000 00	175,691 53		25,691 53
1/10/2012	7/25/2013	10,515 00	GS U S Equity Dividend & Premium Fund	100,000 00	115,772 90		15,772 90
1/13/2012	7/25/2013	10,428 00	GS U S Equity Dividend & Premium Fund	100,000 00	114,807 11		14,807 11
3/29/2012	7/25/2013	292 00	GS U S Equity Dividend & Premium Fund	2,956 64	3,210 32		253 68
6/28/2012	7/25/2013	332 00	GS U S Equity Dividend & Premium Fund	3,218 39	3,660 58		442 19
9/27/2012	7/25/2013	303 00	GS U S Equity Dividend & Premium Fund	3,131 03	3,340 37	209 34	
12/10/2012	7/25/2013	540 00	GS U S Equity Dividend & Premium Fund	5,114 64	5,940 11	825 47	
12/10/2012	7/25/2013	2,434 00	GS U S Equity Dividend & Premium Fund	23,078 01	26,802 63	3,724 62	
12/10/2012	7/25/2013	481 00	GS U S Equity Dividend & Premium Fund	4,558 35	5,294 05	735 70	
12/31/2012	7/25/2013	48 00	GS U S Equity Dividend & Premium Fund	453 96	529 47	75 51	
3/27/2013	7/25/2013	342 00	GS U S Equity Dividend & Premium Fund	3,490 96	3,760 80	269 84	
6/27/2013	7/25/2013	325 00	GS U S Equity Dividend & Premium Fund	3,442 16	3,582 06	139 90	
7/20/2012	7/25/2013	14,689 00	GS Int'l Equity Div & Prem Inst'l Shares	101,849 31	109,285 18		7,435 87
9/27/2012	7/25/2013	104 00	GS Int'l Equity Div & Prem Inst'l Shares	716 82	776 30	59 48	
12/13/2012	7/25/2013	28 00	GS Int'l Equity Div & Prem Inst'l Shares	196 75	209 73	12 98	
12/13/2012	7/25/2013	17 00	GS Int'l Equity Div & Prem Inst'l Shares	115 39	122 98	7 59	
12/13/2012	7/25/2013	105 00	GS Int'l Equity Div & Prem Inst'l Shares	732 26	780 53	48 27	
3/27/2013	7/25/2013	93 00	GS Int'l Equity Div & Prem Inst'l Shares	675 42	690 28	14 86	
6/27/2013	7/25/2013	221 00	GS Int'l Equity Div & Prem Inst'l Shares	1,577 21	1,641 19	63 98	
10/31/2011	7/26/2013	50 00	Boeing Co	3,387 50	5,364 77		1,977 27
10/31/2011	7/26/2013	100 00	Boeing Co	6,680 00	10,729 54		4,049 54
7/6/2012	7/26/2013	100 00	Boeing Co	7,317 00	10,729 55		3,412 55
12/26/2011	7/26/2013	12,765 00	GS U S Equity Dividend & Premium Fund	118,335 44	140,419 61		22,084 17
7/12/2011	7/26/2013	10,133 00	GS Commodity Strategy Fund Inst Class Shs	65,764 19	57,352 24		(8,411 95)
7/25/2011	7/31/2013	10 00	AMCOR LTD ADR (NEW) ADR CMN	309 90	386 24		76 34
1/23/2012	7/31/2013	19 00	AMCOR LTD ADR (NEW) ADR CMN	557 08	733 86		176 78

1/31/2013	7/31/2013	18 00	AMCOR LTD ADR (NEW) ADR CMN	625 50	695 24	69 74	
7/24/2012	7/31/2013	22 00	Volkswagen Ag, Wolfsburg	751 96	1,042 34		290 38
1/31/2013	7/31/2013	15 00	Volkswagen Ag, Wolfsburg	750 00	710 68	(39 32)	
7/22/2011	7/31/2013	2,404 00	GS Local Emerging Mkts Debt Fd Mutual Fund	23,508 38	21,200 81		(2,307 57)
7/29/2011	7/31/2013	12 00	GS Local Emerging Mkts Debt Fd Mutual Fund	121 74	108 22		(13 52)
8/31/2011	7/31/2013	31 00	GS Local Emerging Mkts Debt Fd Mutual Fund	305 04	272 27		(32 77)
9/30/2011	7/31/2013	38 00	GS Local Emerging Mkts Debt Fd Mutual Fund	328 10	333 40		5 30
10/31/2011	7/31/2013	26 00	GS Local Emerging Mkts Debt Fd Mutual Fund	241 98	232 76		(9 22)
11/30/2011	7/31/2013	49 00	GS Local Emerging Mkts Debt Fd Mutual Fund	434 06	432 62		(1 44)
12/19/2011	7/31/2013	32 00	GS Local Emerging Mkts Debt Fd Mutual Fund	277 18	284 27		7 09
12/31/2011	7/31/2013	57 00	GS Local Emerging Mkts Debt Fd Mutual Fund	494 44	504 77		10 33
1/31/2012	7/31/2013	49 00	GS Local Emerging Mkts Debt Fd Mutual Fund	455 80	429 98		(25 82)
2/24/2012	7/31/2013	5,247 00	GS Local Emerging Mkts Debt Fd Mutual Fund	50,000 00	46,274 93		(3,725 07)
2/29/2012	7/31/2013	52 00	GS Local Emerging Mkts Debt Fd Mutual Fund	495 45	455 67		(39 78)
3/30/2012	7/31/2013	77 00	GS Local Emerging Mkts Debt Fd Mutual Fund	724 30	681 05		(43 25)
4/30/2012	7/31/2013	74 00	GS Local Emerging Mkts Debt Fd Mutual Fund	693 47	648 61		(44 86)
5/31/2012	7/31/2013	70 00	GS Local Emerging Mkts Debt Fd Mutual Fund	604 37	613 43		9 06
6/30/2012	7/31/2013	36 00	GS Local Emerging Mkts Debt Fd Mutual Fund	331 67	319 73		(11 94)
7/19/2012	7/31/2013	1,951 00	GS Local Emerging Mkts Debt Fd Mutual Fund	18,085 41	17,207 48		(877 93)
4/30/2012	7/31/2013	70 00	ABB LTD SPONSORED ADR CMN	1,321 89	1,542 77		220 88
5/4/2012	7/31/2013	23 00	ABB LTD SPONSORED ADR CMN	421 05	506 91		85 86
7/24/2012	7/31/2013	44 00	ADIDAS AG ADR CMN	1,612 60	2,446 35		833 75
1/31/2013	7/31/2013	19 00	ADIDAS AG ADR CMN	894 90	1,056 38	161 48	
2/28/2013	7/31/2013	89 00	ALLIANZ SE ADR CMN	1,226 73	1,377 69	150 96	
7/24/2012	7/31/2013	50 00	AMADEUS IT HLDG S A ADR CMN	1,032 50	1,704 46		671 96
1/31/2013	7/31/2013	21 00	AMADEUS IT HLDG S A ADR CMN	533 40	715 88	182 48	
7/24/2012	7/31/2013	16 00	America Movil Sab De	430 62	334 55		(96 07)
1/31/2013	7/31/2013	30 00	America Movil Sab De	743 70	627 29	(116 41)	
11/9/2012	7/31/2013	13 00	Avago Technologies Ltd	456 02	480 86	24 84	
11/13/2012	7/31/2013	9 00	Avago Technologies Ltd	314 64	332 90	18 26	
12/24/2012	7/31/2013	9 00	Avago Technologies Ltd	289 63	332 91	43 28	
1/31/2013	7/31/2013	14 00	Avago Technologies Ltd	481 04	517 85	36 81	
3/11/2013	7/31/2013	16 00	Avago Technologies Ltd	551 14	591 83	40 69	
7/24/2012	7/31/2013	10 00	BAIDU, INC SPONSORED ADR CMN	1,080 00	1,328 07		248 07
8/31/2012	7/31/2013	2 00	BAIDU, INC SPONSORED ADR CMN	223 28	265 62	42 34	
10/15/2012	7/31/2013	3 00	BAIDU, INC SPONSORED ADR CMN	322 43	398 42	75 99	
11/16/2012	7/31/2013	4 00	BAIDU, INC SPONSORED ADR CMN	396 93	531 23	134 30	
12/11/2012	7/31/2013	3 00	BAIDU, INC SPONSORED ADR CMN	267 23	398 42	131 19	
1/31/2013	7/31/2013	9 00	BAIDU, INC SPONSORED ADR CMN	998 10	1,195 27	197 17	
7/24/2012	7/31/2013	122 00	BANCO BRADESCO S A ADR CMN	1,842 20	1,487 43		(354 77)
1/31/2013	7/31/2013	54 00	BANCO BRADESCO S A ADR CMN	991 17	658 37	(332 80)	
4/2/2013	7/31/2013	17 00	BANCO BRADESCO S A ADR CMN	-	207 26	207 26	
4/10/2013	7/31/2013	22 00	BANCO BRADESCO S A ADR CMN	364 78	268 23	(96 55)	
4/16/2013	7/31/2013	4 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	68 70	57 44	(11 26)	
4/17/2013	7/31/2013	6 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	103 38	86 16	(17 22)	
4/18/2013	7/31/2013	6 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	101 52	86 16	(15 36)	
4/19/2013	7/31/2013	3 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	50 22	43 08	(7 14)	
4/22/2013	7/31/2013	4 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	66 48	57 44	(9 04)	
4/23/2013	7/31/2013	7 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	115 04	100 52	(14 52)	
4/24/2013	7/31/2013	5 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	80 88	71 80	(9 08)	
4/25/2013	7/31/2013	5 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	81 62	71 80	(9 82)	
4/26/2013	7/31/2013	7 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	110 57	100 52	(10 05)	
5/30/2013	7/31/2013	3 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	46 06	43 08	(2 98)	
5/30/2013	7/31/2013	9 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	136 06	129 23	(6 83)	
5/31/2013	7/31/2013	2 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	31 02	28 72	(2 30)	
5/31/2013	7/31/2013	4 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	61 98	57 44	(4 54)	
6/6/2013	7/31/2013	4 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	61 25	57 44	(3 81)	
6/10/2013	7/31/2013	8 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	121 40	114 88	(6 52)	
6/11/2013	7/31/2013	7 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	104 89	100 51	(4 38)	
6/12/2013	7/31/2013	9 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	138 11	129 23	(8 88)	
6/13/2013	7/31/2013	4 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	61 23	57 44	(3 79)	
6/14/2013	7/31/2013	5 00	BELLE INTERNATIONAL HOLDINGS L ADR CMN	74 37	71 80	(2 57)	
7/13/2011	7/31/2013	25 00	BG GROUP PLC SPON ADR ADR CMN	569 60	447 49		(122 11)
7/25/2011	7/31/2013	70 00	BG GROUP PLC SPON ADR ADR CMN	1,576 54	1,252 98		(323 56)
1/23/2012	7/31/2013	22 00	BG GROUP PLC SPON ADR ADR CMN	502 92	393 79		(109 13)
7/24/2012	7/31/2013	9 00	BHP Billiton Ltd	581 25	565 01		(16 24)
1/31/2013	7/31/2013	9 00	BHP Billiton Ltd	695 25	565 01	(130 24)	
7/24/2012	7/31/2013	31 00	BRAMBLES LIMITED UNSPONSORED ADR CMN	412 30	504 98		92 68
8/23/2012	7/31/2013	37 00	BRAMBLES LIMITED UNSPONSORED ADR CMN	513 55	602 72	89 17	
1/31/2013	7/31/2013	56 00	BRAMBLES LIMITED UNSPONSORED ADR CMN	939 12	912 22	(26 90)	
7/24/2012	7/31/2013	16 00	BRITISH AMERICAN TOBACCO PLC SPONS ADR	1,713 44	1,699 01		(14 43)
12/31/2012	7/31/2013	3 00	BRITISH AMERICAN TOBACCO PLC SPONS ADR	301 98	318 56	16 58	
1/31/2013	7/31/2013	2 00	BRITISH AMERICAN TOBACCO PLC SPONS ADR	205 19	212 38	7 19	
1/31/2013	7/31/2013	10 00	BRITISH AMERICAN TOBACCO PLC SPONS ADR	1,024 70	1,061 88	37 18	
1/31/2013	7/31/2013	1 00	BRITISH AMERICAN TOBACCO PLC SPONS ADR	103 79	106 19	2 40	
5/13/2013	7/31/2013	4 00	BRITISH AMERICAN TOBACCO PLC SPONS ADR	458 37	424 75	(33 62)	
7/24/2012	7/31/2013	19 00	BRITISH SKY BROADCASTING GROUP PLC AMERICA	830 68	951 88		121 20
8/8/2012	7/31/2013	1 00	BRITISH SKY BROADCASTING GROUP PLC AMERICA	46 74	50 10	3 36	
8/9/2012	7/31/2013	3 00	BRITISH SKY BROADCASTING GROUP PLC AMERICA	139 51	150 30	10 79	
1/31/2013	7/31/2013	10 00	BRITISH SKY BROADCASTING GROUP PLC AMERICA	506 50	500 99	(5 51)	
7/24/2012	7/31/2013	20 00	BUNZL PLC SPONSORED ADR CMN	1,728 20	2,144 16		415 96
1/31/2013	7/31/2013	9 00	BUNZL PLC SPONSORED ADR CMN	791 82	964 87	173 05	
7/24/2012	7/31/2013	13 00	CANADIAN NATIONAL RAILWAY CO CMN	1,143 28	1,299 97		156 69
1/31/2013	7/31/2013	6 00	CANADIAN NATIONAL RAILWAY CO CMN	571 38	599 99	28 61	
7/24/2012	7/31/2013	17 00	CENOVUS ENERGY INC CMN	558 28	502 51		(55 77)
1/31/2013	7/31/2013	7 00	CENOVUS ENERGY INC CMN	234 57	206 92	(27 65)	

2/27/2013	7/31/2013	10 00	CENOVUS ENERGY INC CMN	320 92	295 59	(25 33)	
2/28/2013	7/31/2013	12 00	CENOVUS ENERGY INC CMN	389 89	354 71	(35 18)	
7/24/2012	7/31/2013	59 00	CENTRICA PLC SPONSORED ADR CMN	1,195 34	1,404 17		208 83
1/31/2013	7/31/2013	26 00	CENTRICA PLC SPONSORED ADR CMN	568 88	618 79	49 91	
7/24/2012	7/31/2013	42 00	CGI GROUP INC CMN CLASS A	1,008 00	1,462 41		454 41
1/31/2013	7/31/2013	18 00	CGI GROUP INC CMN CLASS A	445 68	626 75	181 07	
2/22/2013	7/31/2013	4 00	CGI GROUP INC CMN CLASS A	110 08	139 28	29 20	
2/25/2013	7/31/2013	5 00	CGI GROUP INC CMN CLASS A	136 75	174 10	37 35	
2/26/2013	7/31/2013	3 00	CGI GROUP INC CMN CLASS A	81 39	104 46	23 07	
5/9/2013	7/31/2013	9 00	CGI GROUP INC CMN CLASS A	280 41	313 37	32 96	
7/24/2012	7/31/2013	104 00	CHEUNG KONG HLDGS LTD (ADR) ADR CMN	1,357 20	1,457 01		99 81
1/31/2013	7/31/2013	45 00	CHEUNG KONG HLDGS LTD (ADR) ADR CMN	742 50	630 44	(112 06)	
5/15/2013	7/31/2013	24 00	CHINA MOBILE LIMITED SPONSORED ADR CMN	1,328 54	1,259 97	(68 57)	
7/24/2012	7/31/2013	29 00	CIELO S A SPONSORED ADR CMN	915 24	713 96		(201 28)
1/31/2013	7/31/2013	12 00	CIELO S A SPONSORED ADR CMN	335 64	295 43	(40 21)	
5/9/2013	7/31/2013	8 00	CIELO S A SPONSORED ADR CMN	-	196 96	196 96	
7/24/2012	7/31/2013	10 00	CNOOC LIMITED SPONSORED ADR CMN	2,033 50	1,786 76		(246 74)
1/31/2013	7/31/2013	4 00	CNOOC LIMITED SPONSORED ADR CMN	818 20	714 71	(103 49)	
5/21/2013	7/31/2013	3 00	CNOOC LIMITED SPONSORED ADR CMN	551 00	536 03	(14 97)	
7/19/2012	7/31/2013	34 00	COCA-COLA AMATIL LIMITED SPONSORED ADR CMN	976 14	786 40		(189 74)
1/31/2013	7/31/2013	22 00	COCA-COLA AMATIL LIMITED SPONSORED ADR CMN	627 44	508 85	(118 59)	
7/24/2012	7/31/2013	175 00	COMPASS GROUP PLC SPONSORED ADR CMN	1,848 00	2,383 46		535 46
1/31/2013	7/31/2013	98 00	COMPASS GROUP PLC SPONSORED ADR CMN	1,170 12	1,334 73	164 61	
7/24/2012	7/31/2013	52 00	DENSO CORP ADR ADR CMN	839 28	1,167 90		328 62
1/31/2013	7/31/2013	23 00	DENSO CORP ADR ADR CMN	412 85	516 57	103 72	
11/28/2012	7/31/2013	142 00	DEUTSCHE BOERSE AG UNPONSORED ADR CMN	761 87	993 98	232 11	
1/31/2013	7/31/2013	64 00	DEUTSCHE BOERSE AG UNPONSORED ADR CMN	419 84	447 99	28 15	
3/26/2013	7/31/2013	51 00	DEUTSCHE POST AG SPONSORED ADR CMN	1,211 29	1,426 44	215 15	
5/31/2013	7/31/2013	17 00	DEUTSCHE POST AG SPONSORED ADR CMN	435 42	475 48	40 06	
7/24/2012	7/31/2013	103 00	ERICSSON AMERICAN ADR CMN CLASS B	917 73	1,207 14		289 41
1/31/2013	7/31/2013	44 00	ERICSSON AMERICAN ADR CMN CLASS B	477 84	515 67	37 83	
7/24/2012	7/31/2013	35 00	FANUC CORP UNPONSORED ADR CMN	945 00	878 48		(66 52)
8/16/2012	7/31/2013	9 00	FANUC CORP UNPONSORED ADR CMN	240 88	225 90	(14 98)	
1/31/2013	7/31/2013	20 00	FANUC CORP UNPONSORED ADR CMN	495 20	501 99	6 79	
2/8/2013	7/31/2013	2 00	FANUC CORP UNPONSORED ADR CMN	52 10	50 20	(1 90)	
2/11/2013	7/31/2013	21 00	FANUC CORP UNPONSORED ADR CMN	542 91	527 09	(15 82)	
6/30/2013	7/31/2013	24 00	FANUC CORP UNPONSORED ADR CMN	575 56	602 39	26 83	
7/24/2012	7/31/2013	8 00	FOMENTO ECONOMICO MEXICANO SAB DE C V NEW	694 40	791 34		96 94
1/31/2013	7/31/2013	4 00	FOMENTO ECONOMICO MEXICANO SAB DE C V NEW	433 08	395 67	(37 41)	
7/24/2012	7/31/2013	12 00	Fresenius Medical Care Ag & Co Kгаа	428 66	378 83		(49 83)
1/31/2013	7/31/2013	13 00	Fresenius Medical Care Ag & Co Kгаа	449 28	410 40	(38 88)	
7/24/2012	7/31/2013	25 00	GALAXY ENTMT GROUP LTD SPONSORED ADR CMN	625 00	1,312 97		687 97
1/31/2013	7/31/2013	10 00	GALAXY ENTMT GROUP LTD SPONSORED ADR CMN	436 20	525 19	88 99	
7/24/2012	7/31/2013	65 00	GRUPO TELEvisa, S A GDS REP 5 CPO'S REP 1	1,476 80	1,748 47		271 67
1/31/2013	7/31/2013	37 00	GRUPO TELEvisa, S A GDS REP 5 CPO'S REP 1	1,040 81	995 28	(45 53)	
7/24/2012	7/31/2013	60 00	HUTCHISON WHAMPOA (ADR) ADR CMN	1,107 00	1,352 97		245 97
1/31/2013	7/31/2013	26 00	HUTCHISON WHAMPOA (ADR) ADR CMN	584 74	586 29	1 55	
7/24/2012	7/31/2013	19 00	Imperial Tobacco Group	1,495 30	1,268 22		(227 08)
8/16/2012	7/31/2013	1 00	Imperial Tobacco Group	79 60	66 75	(12 85)	
8/17/2012	7/31/2013	1 00	Imperial Tobacco Group	79 74	66 75	(12 99)	
1/31/2013	7/31/2013	15 00	Imperial Tobacco Group	1,152 60	1,001 23	(151 37)	
7/24/2012	7/31/2013	126 00	INDUSTRIAL & COMMERCIAL BANK O ADR CMN	1,355 76	1,646 79		291 03
1/31/2013	7/31/2013	55 00	INDUSTRIAL & COMMERCIAL BANK O ADR CMN	826 10	718 83	(107 27)	
2/29/2012	7/31/2013	437 00	Ishares Inc Msci Japan	4,438 00	4,894 31		456 31
7/24/2012	7/31/2013	172 00	JULIUS BAER GROUP LTD ADR CMN	1,229 80	1,544 53		314 73
1/31/2013	7/31/2013	75 00	JULIUS BAER GROUP LTD ADR CMN	608 25	673 49	65 24	
4/10/2013	7/31/2013	54 00	JULIUS BAER GROUP LTD ADR CMN	417 10	484 91	67 81	
7/24/2012	7/31/2013	89 00	KEPPEL CORP LTD (ADR) SPONSORED ADR CMN	1,593 99	1,452 45		(141 54)
1/31/2013	7/31/2013	38 00	KEPPEL CORP LTD (ADR) SPONSORED ADR CMN	703 76	620 15	(83 61)	
7/24/2012	7/31/2013	78 00	KINGFISHER PLC SPONSORED ADR CMN	663 00	936 76		273 76
1/31/2013	7/31/2013	64 00	KINGFISHER PLC SPONSORED ADR CMN	554 88	768 63	213 75	
7/24/2012	7/31/2013	44 00	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN	976 80	977 66		0 86
1/31/2013	7/31/2013	19 00	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN	495 33	422 17	(73 16)	
7/24/2012	7/31/2013	28 00	Nestle S A Sponsored ADR	1,693 44	1,899 20		205 76
1/31/2013	7/31/2013	12 00	Nestle S A Sponsored ADR	833 76	813 95	(19 81)	
6/13/2011	7/31/2013	16 00	NOVARTIS AG-ADR SPONSORED ADR CMN	999 20	1,147 66		148 46
7/25/2011	7/31/2013	11 00	NOVARTIS AG-ADR SPONSORED ADR CMN	680 65	789 01		108 36
7/24/2012	7/31/2013	7 00	NOVO-NORDISK A/S ADR ADR CMN	1,035 86	1,183 19		147 33
1/31/2013	7/31/2013	3 00	NOVO-NORDISK A/S ADR ADR CMN	546 36	507 08	(39 28)	
7/24/2012	7/31/2013	25 00	Potash Corp of Saskatchewan Inc	1,145 69	753 48		(392 21)
8/31/2012	7/31/2013	9 00	Potash Corp of Saskatchewan Inc	370 66	271 26	(99 40)	
1/31/2013	7/31/2013	16 00	Potash Corp of Saskatchewan Inc	696 00	482 23	(213 77)	
7/24/2012	7/31/2013	112 00	PUBLICIS GROUPE S A SPONSORED ADR CMN	1,316 56	2,248 92		932 36
1/31/2013	7/31/2013	57 00	PUBLICIS GROUPE S A SPONSORED ADR CMN	935 53	1,144 54	209 01	
1/31/2013	7/31/2013	14 00	PUBLICIS GROUPE S A SPONSORED ADR CMN	230 22	281 11	50 89	
7/24/2012	7/31/2013	58 00	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	1,904 72	2,992 74		1,088 02
7/31/2012	7/31/2013	4 00	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	136 97	206 40		69 43
1/31/2013	7/31/2013	28 00	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	1,216 32	1,444 77	228 45	
7/24/2012	7/31/2013	35 00	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VA	1,535 10	2,148 96		613 86
9/13/2012	7/31/2013	5 00	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VA	232 23	306 99	74 76	
10/25/2012	7/31/2013	7 00	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VA	351 28	429 79	78 51	
1/31/2013	7/31/2013	21 00	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VA	1,147 44	1,289 38	141 94	
7/24/2012	7/31/2013	23 00	ROYAL DUTCH SHELL PLC SPONSORED ADR CMN SE	1,659 22	1,624 69		(34 53)
1/31/2013	7/31/2013	10 00	ROYAL DUTCH SHELL PLC SPONSORED ADR CMN SE	733 20	706 38	(26 82)	
5/21/2013	7/31/2013	9 00	ROYAL DUTCH SHELL PLC SPONSORED ADR CMN SE	628 06	635 75	7 69	
7/24/2012	7/31/2013	38 00	SAP SE (SPON ADR)	2,383 36	2,773 95		390 59

1/31/2013	7/31/2013	17 00	SAP SE (SPON ADR)	1,370 88	1,240 98	(129 90)	
7/24/2012	7/31/2013	78 00	SCHNEIDER ELECTRIC SE UNSPONSORED ADR (FRA	842 40	1,226 91		384 51
1/31/2013	7/31/2013	33 00	SCHNEIDER ELECTRIC SE UNSPONSORED ADR (FRA	505 58	519 08	13 50	
7/24/2012	7/31/2013	10 00	SHIRE LIMITED SPONSORED ADR CMN	894 90	1,094 48		199 58
1/31/2013	7/31/2013	5 00	SHIRE LIMITED SPONSORED ADR CMN	497 45	547 24	49 79	
5/31/2013	7/31/2013	6 00	SHIRE LIMITED SPONSORED ADR CMN	592 97	656 69	63 72	
7/24/2012	7/31/2013	12 00	SMITH & NEPHEW PLC ADR CMN	620 04	712 79		92 75
9/24/2012	7/31/2013	1 00	SMITH & NEPHEW PLC ADR CMN	55 64	59 40	3 76	
9/25/2012	7/31/2013	1 00	SMITH & NEPHEW PLC ADR CMN	55 67	59 40	3 73	
9/26/2012	7/31/2013	2 00	SMITH & NEPHEW PLC ADR CMN	111 34	118 80	7 46	
1/31/2013	7/31/2013	10 00	SMITH & NEPHEW PLC ADR CMN	570 30	593 98	23 68	
2/26/2013	7/31/2013	3 00	Sodexo Sponsored	277 75	273 44	(4 31)	
2/27/2013	7/31/2013	1 00	Sodexo Sponsored	92 61	91 15	(1 46)	
2/28/2013	7/31/2013	5 00	Sodexo Sponsored	464 28	455 74	(8 54)	
7/24/2012	7/31/2013	50 00	SUNCOR ENERGY INC CMN	1,526 50	1,580 97		54 47
1/31/2013	7/31/2013	21 00	SUNCOR ENERGY INC CMN	720 72	664 01	(56 71)	
5/6/2013	7/31/2013	13 00	SUNCOR ENERGY INC CMN	396 32	411 05	14 73	
7/24/2012	7/31/2013	47 00	Swedbank A B	787 72	1,131 27		343 55
1/31/2013	7/31/2013	29 00	Swedbank A B	616 25	698 01	81 76	
7/24/2012	7/31/2013	26 00	SYNGENTA AG SPONSORED ADR CMN	1,784 38	2,059 42		275 04
1/31/2013	7/31/2013	12 00	SYNGENTA AG SPONSORED ADR CMN	1,031 40	950 50	(80 90)	
7/25/2011	7/31/2013	127 00	TAIWAN SEMICONDUCTOR MFG ADS LTD ADS 1ADS	1,581 69	2,158 96		577 27
7/13/2011	7/31/2013	9 00	Teva Pharmaceutical Industries Ltd	445 41	356 66		(88 75)
7/25/2011	7/31/2013	23 00	Teva Pharmaceutical Industries Ltd	1,092 34	911 48		(180 86)
8/12/2011	7/31/2013	28 00	Teva Pharmaceutical Industries Ltd	1,081 34	1,109 62		28 28
1/23/2012	7/31/2013	24 00	Teva Pharmaceutical Industries Ltd	1,086 96	951 10		(135 86)
7/24/2012	7/31/2013	2 00	Teva Pharmaceutical Industries Ltd	83 84	79 26		(4 58)
7/25/2011	7/31/2013	33 00	Total S A Sponsored Adr	1,802 79	1,752 26		(50 53)
7/25/2011	7/31/2013	13 00	TOYOTA MOTOR CORPORATION SPON ADR	1,090 18	1,584 67		494 49
1/23/2012	7/31/2013	9 00	TOYOTA MOTOR CORPORATION SPON ADR	606 42	1,097 08		490 66
7/24/2012	7/31/2013	34 00	TRANSCANADA CORP CMN	1,500 86	1,555 81		54 95
1/31/2013	7/31/2013	15 00	TRANSCANADA CORP CMN	733 05	686 39	(46 66)	
2/12/2013	7/31/2013	47 00	UBS AG CMN	790 63	924 94	134 31	
7/24/2012	7/31/2013	49 00	Worleyparsons Limited	1,318 10	962 34		(355 76)
1/31/2013	7/31/2013	22 00	Worleyparsons Limited	558 80	432 07	(126 73)	
7/24/2012	7/31/2013	25 00	WPP PLC ADR CMN	1,604 50	2,238 21		633 71
1/31/2013	7/31/2013	10 00	WPP PLC ADR CMN	761 40	895 28	133 88	
5/13/2013	7/31/2013	6 00	WPP PLC ADR CMN	521 96	537 17	15 21	
7/24/2012	7/31/2013	47 00	UNILEVER N V NY SHS (NEW) ADR CMN	1,560 40	1,879 97		319 57
1/31/2013	7/31/2013	21 00	UNILEVER N V NY SHS (NEW) ADR CMN	841 68	839 98	(1 70)	
3/26/2013	8/6/2013	25 00	BLACKROCK, INC CMN	6,411 35	7,171 12	759 77	
10/31/2011	8/6/2013	150 00	WELLS FARGO & CO (NEW) CMN	4,063 50	6,658 38		2,594 88
1/23/2012	8/6/2013	50 00	TIFFANY & CO CMN	2,993 00	4,056 92		1,063 92
9/17/2012	8/6/2013	100 00	AMERICAN INTL GROUP, INC CMN	3,397 08	4,678 91	1,281 83	
10/31/2011	8/6/2013	500 00	APPLIED MATERIALS INC CMN	6,260 00	8,254 85		1,994 85
7/6/2012	8/6/2013	50 00	CARMAX, INC CMN	1,300 33	2,476 45		1,176 11
2/29/2012	8/6/2013	50 00	Delphi Automotive Plc	1,565 49	2,724 45		1,158 95
10/31/2011	8/6/2013	50 00	Dresser-Rand Group Inc	2,463 50	3,115 44		651 94
3/13/2013	8/6/2013	100 00	FAMILY DOLLAR STORES INC CMN	5,853 95	7,035 87	1,181 92	
10/31/2011	8/6/2013	100 00	FRANKLIN RESOURCES INC CMN	3,595 07	5,020 91		1,425 84
4/12/2013	8/6/2013	200 00	General Mtrs Co	5,510 84	7,355 87	1,845 03	
10/31/2011	8/6/2013	50 00	Illinois Tool Works	2,443 00	3,680 93		1,237 93
10/31/2011	8/6/2013	225 00	JPMORGAN CHASE & CO CMN	8,255 25	12,730 27		4,475 02
1/11/2012	8/6/2013	25 00	JPMORGAN CHASE & CO CMN	881 50	1,414 48		532 98
10/31/2011	8/6/2013	50 00	NATIONAL OILWELL VARCO, INC COMMON STOCK	3,741 00	3,531 93		(209 07)
10/31/2011	8/6/2013	100 00	PENN NATIONAL GAMING INC CMN	3,698 00	5,169 91		1,471 91
10/31/2011	8/6/2013	100 00	STARWOOD HOTELS & RESORTS CMN	5,250 00	6,754 88		1,504 88
3/6/2013	8/6/2013	50 00	Triumph Group Inc	3,624 60	3,984 93	360 33	
10/31/2011	8/6/2013	50 00	VISA INC CMN CLASS A	4,738 00	8,761 84		4,023 84
7/19/2013	8/6/2013	100 00	Oracle Corp	3,206 98	3,273 44	66 46	
7/19/2012	8/7/2013	1,100 00	Ishares Tr Russell 1000 Grwth	69,256 44	85,325 51		16,069 07
7/24/2012	8/7/2013	200 00	Ishares Tr Russell 1000 Grwth	12,854 58	15,513 73		2,659 15
7/25/2013	8/7/2013	1,300 00	SPDR S&P 500 ETF Trust	220,391 21	221,800 51	1,409 30	
7/26/2013	8/7/2013	300 00	SPDR S&P 500 ETF Trust	50,830 89	51,184 73	353 84	
7/19/2012	8/23/2013	3,443 00	GS Local Emerging Mkts Debt Fd Mutual Fund	31,914 59	29,539 04		(2,375 55)
7/31/2012	8/23/2013	44 00	GS Local Emerging Mkts Debt Fd Mutual Fund	411 91	378 03		(33 88)
8/31/2012	8/23/2013	59 00	GS Local Emerging Mkts Debt Fd Mutual Fund	548 66	505 10	(43 56)	
9/30/2012	8/23/2013	58 00	GS Local Emerging Mkts Debt Fd Mutual Fund	559 48	501 59	(57 89)	
10/31/2012	8/23/2013	59 00	GS Local Emerging Mkts Debt Fd Mutual Fund	566 58	506 91	(59 67)	
11/30/2012	8/23/2013	54 00	GS Local Emerging Mkts Debt Fd Mutual Fund	526 41	465 64	(60 77)	
12/17/2012	8/23/2013	39 00	GS Local Emerging Mkts Debt Fd Mutual Fund	387 02	338 14	(48 88)	
12/17/2012	8/23/2013	23 00	GS Local Emerging Mkts Debt Fd Mutual Fund	221 35	193 39	(27 96)	
12/27/2012	8/23/2013	883 00	GS Local Emerging Mkts Debt Fd Mutual Fund	8,684 17	7,572 16	(1,112 01)	
7/20/2012	8/28/2013	4,785 00	GS Strategie Income Fund Institutional Shs	34,711 85	50,000 00		15,288 15
1/23/2012	9/9/2013	5 00	TOYOTA MOTOR CORPORATION SPON ADR	336 90	627 42		290 52
7/24/2012	9/9/2013	4 00	TOYOTA MOTOR CORPORATION SPON ADR	307 68	501 93		194 25
10/31/2011	9/16/2013	100 00	APPLIED MATERIALS INC CMN	1,252 00	1,600 58		348 58
10/31/2011	9/19/2013	200 00	APPLIED MATERIALS INC CMN	2,504 00	3,206 18		702 18
7/26/2013	9/19/2013	500 00	SPDR S&P 500 ETF Trust	84,718 15	85,513 51	795 36	
8/1/2013	9/19/2013	240 00	SPDR S&P 500 ETF Trust	40,958 40	41,046 48	88 08	
10/31/2011	9/23/2013	100 00	APPLIED MATERIALS INC CMN	1,254 13	1,595 24		341 11
7/19/2012	9/30/2013	2,174 00	GS Short Duration Income Inst Mutual Fund	21,718 74	22,000 00		281 26
8/7/2013	10/8/2013	62 00	Schlumberger Ltd	5,162 74	5,551 26	388 52	
10/24/2011	10/15/2013	78 00	CARREFOUR SPONSORED ADR CMN	366 46	556 18		189 72
1/17/2012	10/15/2013	118 00	CARREFOUR SPONSORED ADR CMN	502 48	841 39		338 91
6/28/2011	10/15/2013	4 00	TAKEDA PHARMACEUTICAL COMPANY SPONSORED AD	90 65	95 55		4 90

7/13/2011	10/15/2013	63 00	TAKEDA PHARMACEUTICAL COMPANY SPONSORED AD	1,452 78	1,504 96		52 18	
7/25/2011	10/15/2013	7 00	TAKEDA PHARMACEUTICAL COMPANY SPONSORED AD	164 57	167 22		2 65	
8/7/2013	10/15/2013	17 00	Schlumberger Ltd	1,415 59	1,510 00	94 41		
8/7/2013	10/16/2013	21 00	Intercontinentalexch Inc	3,926 18	4,076 02	149 84		
8/7/2013	10/25/2013	13 00	APPLE, INC CMN	6,005 87	6,831 98	826 11		
8/7/2013	10/28/2013	60 00	Schlumberger Ltd	4,996 20	5,572 33	576 13		
8/7/2013	11/6/2013	66 00	Fedex Corp	7,229 01	8,881 16	1,652 15		
12/16/2011	11/7/2013	100 00	STARWOOD HOTELS & RESORTS CMN	4,772 19	7,483 85		2,711 66	
1/23/2012	11/7/2013	25 00	STARWOOD HOTELS & RESORTS CMN	1,284 75	1,870 96		586 21	
8/7/2013	11/12/2013	120 00	SBA Communications Corp Cl A	8,819 66	10,967 79	2,148 13		
10/31/2011	11/19/2013	50 00	Illinois Tool Works	2,443 00	3,978 75		1,535 75	
10/31/2011	11/19/2013	75 00	Illinois Tool Works	3,642 31	5,968 13		2,325 82	
10/31/2011	11/19/2013	50 00	VISA INC CMN CLASS A	4,738 00	9,994 97		5,256 97	
8/7/2013	11/20/2013	45 00	SBA Communications Corp Cl A	3,307 37	4,048 96	741 59		
8/8/2013	11/28/2013	101 00	Starbucks Corp	7,481 43	8,150 58	669 15		
8/30/2013	11/28/2013	28 00	Starbucks Corp	1,969 65	2,259 57	289 92		
9/19/2013	11/28/2013	43 00	Starbucks Corp	3,262 41	3,470 05	207 64		
11/19/2013	11/28/2013	36 00	Starbucks Corp	2,924 41	2,905 16	(19 25)		
7/24/2012	11/30/2013	7 00	TOYOTA MOTOR CORPORATION SPON ADR	538 44	877 09		338 65	
1/31/2013	11/30/2013	4 00	TOYOTA MOTOR CORPORATION SPON ADR	380 36	501 19	120 83		
6/26/2012	11/30/2013	75 00	TIFFANY & CO CMN	3,947 89	6,641 60		2,693 71	
10/31/2011	12/10/2013	100 00	INTEL CORPORATION CMN	2,485 88	2,396 06		(89 82)	
8/7/2013	12/10/2013	71 00	Qualcomm Inc	4,720 08	5,203 42	483 34		
8/7/2013	12/12/2013	111 00	Vertex Pharmaceuticals Inc	8,792 42	7,380 22	(1,412 20)		
9/19/2013	12/12/2013	38 00	Vertex Pharmaceuticals Inc	2,926 76	2,526 56	(400 20)		
8/7/2013	12/18/2013	146 00	Schlumberger Ltd	12,157 42	12,575 26	417 84		
9/19/2013	12/18/2013	95 00	Schlumberger Ltd	8,292 55	8,182 54	(110 01)		
1/23/2012	12/18/2013	98 00	Astellas Pharma Inc	965 30	1,410 43		445 13	
1/31/2013	12/18/2013	6 00	Astellas Pharma Inc	75 16	86 35	11 18		
1/17/2012	12/24/2013	121 00	CARREFOUR SPONSORED ADR CMN	515 25	911 44		396 19	
1/23/2012	12/24/2013	67 00	CARREFOUR SPONSORED ADR CMN	300 16	504 68		204 52	
1/31/2013	12/25/2013	94 00	Astellas Pharma Inc	1,177 58	1,350 99	173 40		
8/7/2013	12/26/2013	39 00	APPLE, INC CMN	18,017 61	22,067 43	4,049 82		
9/19/2013	12/26/2013	17 00	APPLE, INC CMN	7,723 78	9,619 14	1,895 36		
8/7/2013	12/26/2013	30 00	Nike Inc Class B	1,993 20	2,308 16	314 96		
TOTAL GAINS							49,285 32	296,665 41
TOTAL LOSSES							(9,742 02)	(24,271 59)
				3,162,254 53	3,474,191 65	39,543 31	272,393 82	
TOTAL REALIZED GAIN/LOSS				311,937 12				

	COST	PROCEEDS	GAIN/(LOSS)
SHORT TERM	1,524,159 22	1,563,702 55	39,543 33
LONG TERM	1,638,095 27	1,910,489 10	272,393 83
TOTAL	3,162,254 49	3,474,191 65	311,937 16

Rose Associates, Inc
 REALIZED GAINS AND LOSSES - SETTLED TRADES
 The Nola Foundation
 Neuberger Berman - a/c # 550-02839, sub a/c # 541-19800 002
 From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
	5/22/2012	4/9/2013	55 00 APPLE, INC CMN	29,380 83	23,924 34	(5,456 49)	
	3/14/2008	8/7/2013	150 00 Pioneer Natural Resources Co	7,259 23	26,711 98		19,452 75
	8/12/2013	9/3/2013	1,000 00 Athlon Energy Inc	20,000 00	29,413 48	9,413 48	
	8/9/2010	10/3/2013	3,200 00 Cabot Oil & Gas Corp Com	26,166 96	118,210 10		92,043 14
	3/14/2008	10/18/2013	100 00 Pioneer Natural Resources Co	4,839 48	19,964 41		15,124 93
TOTAL GAINS						9,413 48	126,620 82
TOTAL LOSSES						(5,456 49)	-
				87,646 50	218,224 31	3,956 99	126,620 82
TOTAL REALIZED GAIN/LOSS				130,577 81			

	COST	PROCEEDS	GAIN/(LOSS)
SHORT TERM	49,380 83	53,337 82	3,956 99
LONG TERM	38,265 67	164,886 49	126,620 82
TOTAL	87,646 50	218,224 31	130,577 81