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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Department of the Treasury
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation JOHN-CHRISTOPHE SCHLESINGER FOUNDATION		A Employer identification number 13-3984550
Number and street (or P O box number if mail is not delivered to street address) 14 WEST LANE	Room/suite	B Telephone number 914-764-5123
City or town, state or province, country, and ZIP or foreign postal code POUND RIDGE, NY 10576		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,409,965. (Part I, column (d) must be on cash basis)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		1,400.		N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		40,997.	40,997.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		59,729.			
b Gross sales price for all assets on line 6a 211,863.					
7 Capital gain net income (from Part IV, line 2)			59,729.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		180.	180.		STATEMENT 2
12 Total. Add lines 1 through 11		102,306.	100,906.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees STMT 3		28,053.	28,053.		0.
17 Interest					
18 Taxes STMT 4		4,414.	315.		250.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		643.	643.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		33,110.	29,011.		250.
25 Contributions, gifts, grants paid		106,547.			106,547.
26 Total expenses and disbursements. Add lines 24 and 25		139,657.	29,011.		106,797.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<37,351.>			
b Net investment income (if negative, enter -0-)			71,895.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		52,544.	5,157.	5,157.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock STMT 6		1,274,938.	1,281,974.	2,392,281.
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 7		9,527.	12,527.	12,527.	
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶ _____)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		1,337,009.	1,299,658.	2,409,965.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶ _____)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>					
	and complete lines 27 through 31.					
27	Capital stock, trust principal, or current funds		0.	0.		
28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds		1,337,009.	1,299,658.		
30	Total net assets or fund balances		1,337,009.	1,299,658.		
31	Total liabilities and net assets/fund balances		1,337,009.	1,299,658.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1,337,009.
2	Enter amount from Part I, line 27a	<37,351.>
3	Other increases not included in line 2 (itemize) ▶ _____	0.
4	Add lines 1, 2, and 3	1,299,658.
5	Decreases not included in line 2 (itemize) ▶ _____	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	1,299,658.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NEUBERGER BERMAN - SEE SCHEDULE ATTACHED	P	VARIOUS	VARIOUS
b NEUBERGER BERMAN - SEE SCHEDULE ATTACHED	P	VARIOUS	VARIOUS
c SECURITIES LITIGATION	P	VARIOUS	VARIOUS
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 43,381.		41,207.	2,174.
b 168,105.		110,927.	57,178.
c 377.			377.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			2,174.
b			57,178.
c			377.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	59,729.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	45,400.	1,780,834.	.025494
2011	100,297.	1,752,791.	.057221
2010	88,643.	1,555,084.	.057002
2009	112,159.	1,359,505.	.082500
2008	76,977.	2,054,000.	.037477

2 Total of line 1, column (d)	2	.259694
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051939
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,169,710.
5 Multiply line 4 by line 3	5	112,693.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	719.
7 Add lines 5 and 6	7	113,412.
8 Enter qualifying distributions from Part XII, line 4	8	106,797.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	1,438.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,438.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,438.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,360.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,360.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	922.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> 922. Refunded <input checked="" type="checkbox"/> 0.	11		0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
1c Did the foundation file Form 1120-POL for this year?		X
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

<p>11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)</p>	11		X
<p>12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)</p>	12		X
<p>13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u></p>	13	X	
<p>14 The books are in care of ► <u>RICHARD SCHLESINGER</u> Telephone no. ► <u>914-764-5123</u> Located at ► <u>14 WEST LANE, POUND RIDGE, NY</u> ZIP+4 ► <u>10576</u></p>			
<p>15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year</p>	15	N/A	<input type="checkbox"/>
<p>16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►</p>	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
<p>1a During the year did the foundation (either directly or indirectly):</p> <p>(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			
<p>b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here</p>	1b	N/A	
<p>c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?</p>	1c		X
<p>2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):</p> <p>a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____, _____, _____</p>			
<p>b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)</p>	2b	N/A	
<p>c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____</p>			
<p>3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			
<p>b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)</p>	3b	N/A	
<p>4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?</p>	4a		X
<p>b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?</p>	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propoganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD SCHLESINGER 14 WEST LANE POUND RIDGE, NY 10576	DIRECTOR 0.00	0.	0.	0.
SHEILA SCHLESINGER 14 WEST LANE POUND RIDGE, NY 10576	DIRECTOR 0.00	0.	0.	0.
A. LAUREN MONAHAN 82 JACOBS LANE NORWELL, MA 02061	DIRECTOR 0.00	0.	0.	0.
KATHERINE S. FATES 520 IPSWICH ROAD BOXFORD, MA 01921	DIRECTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3 ▶	0.

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Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,144,304.
b	Average of monthly cash balances	1b	58,447.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,202,751.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,202,751.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	33,041.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,169,710.
6	Minimum investment return. Enter 5% of line 5	6	108,486.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	108,486.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,438.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,438.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	107,048.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	107,048.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	107,048.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	106,797.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	106,797.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	106,797.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

JOHN-CHRISTOPHE SCHLESINGER
FOUNDATION

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				107,048.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011	12,545.			
e From 2012				
f Total of lines 3a through e	12,545.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 106,797.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				106,797.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	251.			251.
6 Enter the net total of each column as indicated below:	12,294.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	12,294.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011	12,294.			
d Excess from 2012				
e Excess from 2013				

**JOHN-CHRISTOPHE SCHLESINGER
FOUNDATION**

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

RICHARD SCHLESINGER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**JOHN-CHRISTOPHE SCHLESINGER
FOUNDATION**

Form 990-PF (2013)

13-3984550 Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE SCHEDULE ATTACHED	N/A	N/A	EDUCATIONAL	106,547.
Total			▶ 3a	106,547.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

FORM 990-PF **DIVIDENDS AND INTEREST FROM SECURITIES** **STATEMENT 1**

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NEUBERGER BERMAN	40,997.	0.	40,997.	40,997.	
TO PART I, LINE 4	40,997.	0.	40,997.	40,997.	

FORM 990-PF **OTHER INCOME** **STATEMENT 2**

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NONTAXABLE DISTRIBUTION	180.	180.	
TOTAL TO FORM 990-PF, PART I, LINE 11	180.	180.	

FORM 990-PF **OTHER PROFESSIONAL FEES** **STATEMENT 3**

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEE	28,053.	28,053.		0.
TO FORM 990-PF, PG 1, LN 16C	28,053.	28,053.		0.

FORM 990-PF **TAXES** **STATEMENT 4**

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX	315.	315.		0.
FEDERAL TAX	3,849.	0.		0.
NEW YORK	250.	0.		250.
TO FORM 990-PF, PG 1, LN 18	4,414.	315.		250.

FORM 990-PF	OTHER EXPENSES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADR FEES	42.	42.		0.
MISCELLANEOUS	601.	601.		0.
TOTAL TO FORM 990-PF, PG 1, LN 23	643.	643.		0.

FORM 990-PF	CORPORATE STOCK		STATEMENT 6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
EQUITIES - SEE ATTACHED SCHEDULE	1,281,974.	2,392,281.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,281,974.	2,392,281.	

FORM 990-PF	OTHER INVESTMENTS		STATEMENT 7
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
COMMODITIES	COST	1,000.	1,000.
EURO CURRENCY CONTRACT	COST	11,527.	11,527.
TOTAL TO FORM 990-PF, PART II, LINE 13		12,527.	12,527.

NEUBERGER BERMAN	
Account No:	541-25519
Account Name:	JOHN-CHRISTOPHE SCHLESINGER
Taxpayer Identification Number:	13-3984550
Account Executive No:	002
ORIGINAL:	12/31/13
NEUBERGER BERMAN LLC OH4-RM00 P.O. BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY	

2013 SUPPLEMENTAL GAIN OR LOSS INFORMATION

Total Cost, Realized Gain/ (Loss), and holding period information may not reflect all adjustments necessary for tax reporting purposes. Taxpayers should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption, or exchange. JPMCC does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information taxpayers may be required to report to federal, state or other taxing authorities. JPMCC makes no warranties with respect to, and specifically disclaims any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information. Unless otherwise noted, JPMCC determines cost basis at the time of sale based on the average cost-single category method for open-end mutual funds and based on the first-in, first-out (FIFO) method for all other securities. Proceeds information excludes accrued income.

Supplemental Gain or Loss Totals Summary

	Proceeds	Cost	REALIZED GAIN/(LOSS)
Total Short Term Gain or Loss from Transactions Not Reported to IRS	43,380.97	41,207.06	2,173.91
Total Long Term Gain or Loss from Transactions Not Reported to IRS	168,104.59	110,926.55	57,178.04

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

NEUBERGER BERMAN

Account No: 541-25519
Account Name: JOHN-CHRISTOPHE SCHLESINGER
Taxpayer Identification Number: 13-3984550
Account Executive No: 002
ORIGINAL: 12/31/13

NEUBERGER BERMAN LLC
OH4-RM00
P.O. BOX 183211
COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY

2013 Supplemental Gain or Loss Information

Short Term Gain or Loss from Transactions not Reported to IRS

01/28/13	H	03/15/12	BOEING CO	BA	097023105	200.00000	14,851.02	15,097.56	(246.54)
01/29/13	H	03/15/12	BOEING CO	BA	097023105	200.00000	14,713.65	15,097.55	(383.90)
06/27/13	H	11/13/12	KRAFT FOODS GROUP INC COM	KRFT	50076Q106	250.00000	13,816.30	11,011.95	2,804.35
							43,380.97	41,207.06	2,173.91

3 ITEMS - Total Short Term Not Reported Transactions Gain or Loss

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

NEUBERGER BERMAN LLC
 OH4-RM00
 P.O. BOX 183211
 COLUMBUS, OH 43218
 FOR UNDELIVERABLE MAIL ONLY

Account No: 541-25519
 Account Name: JOHN-CHRISTOPHE SCHLESINGER
 Taxpayer Identification Number: 13-3984550

Account Executive No: 002
 ORIGINAL: 12/31/13

NEUBERGER BERMAN

2013 Supplemental Gain or Loss Information

Long Term Gain or Loss from Transactions not Reported to IRS

01/23/13	H	03/04/05	INTERNATIONAL BUSINESS MACHINES CORP	IBM 459200101	100.00000	20,697.16	9,284.32	11,412.84
01/22/13	H	05/01/09	MCDONALDS CORP	MCD 580135101	200.00000	18,617.35	10,470.36	8,146.99
06/21/13	S	05/09/12	PHILLIPS 66 COM	PSX 718546104	325.00000	19,159.61	9,772.30	9,387.31
08/13/13	H	01/26/11	ROCKWELL COLLINS INC	COL 774341101	150.00000	11,058.96	9,636.86	1,422.10
08/14/13	H	01/26/11	ROCKWELL COLLINS INC	COL 774341101	250.00000	18,538.37	16,061.42	2,476.95
08/15/13	H	03/29/11	ROCKWELL COLLINS INC	COL 774341101	50.00000	3,641.36	3,176.49	464.87
08/15/13	H	01/26/11	ROCKWELL COLLINS INC	COL 774341101	100.00000	7,282.73	6,424.57	858.16
08/16/13	H	03/29/11	ROCKWELL COLLINS INC	COL 774341101	150.00000	10,915.07	9,529.46	1,385.61
12/11/13	H	10/30/08	UNILEVER N V YORK SHS ADR	UN 904784709	250.00000	9,751.45	5,839.40	3,912.05
12/11/13	H	10/15/08	UNILEVER N V YORK SHS ADR	UN 904784709	350.00000	13,652.04	8,560.24	5,091.80
08/12/13	H	10/13/05	UNUM GROUP	UNM 91529Y106	350.00000	10,483.08	6,777.33	3,705.75
09/04/13	H	03/04/05	UNUM GROUP	UNM 91529Y106	50.00000	1,519.21	870.95	648.26

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

NEUBERGER Berman	
Account No: 541-25519	Account Name: JOHN-CHRISTOPHE SCHLESINGER
Account Name: JOHN-CHRISTOPHE SCHLESINGER	Taxpayer Identification Number: 13-3984550
Account Executive No: 002	Account Executive No: 002
ORIGINAL:	12/31/13

2013 Supplemental Information (continued)

Long Term Gain or Loss from Transactions not Reported to IRS

09/04/13	H	10/13/05	UNUM GROUP	UNM 91529Y106	750.00000	22,788.20	14,522.85	8,265.35
13 ITEMS - Total Long Term Not Reported Transactions Gain or Loss								57,178.04

FOOTNOTES

- A - Position carried at Average Cost.
- E - Adjusted for option exercise or assignment.

DISPOSAL METHODS

Blank - FIFO (First In First Out); L - LIFO (Last In First Out); S - Specific Match (Versus Purchase Method); H - High Cost Method; C - Low Cost Method; X - High Cost Long Term

**John - Christophe Schlesinger Foundation
Donations
2013**

<u>Organization</u>	<u>Amount</u>
Arts for Healing Inc.	1,000.00
Boys and Girls Club	500.00
Cambodian Children's Fund	2,500.00
Canaan Ridge School	1,000.00
Chabad's Children of Chernobyl	350.00
Corporate Angel Network	250.00
Children's Scholarship Fund	1,000.00
CCCNA	350.00
Children's Hospital Boston	1,000.00
City Meals-On-Wheels	500.00
City Year Inc.	500.00
Doctors Without Borders	2,000.00
Eddie Wilson Special Education Scholarship Fund	500.00
Fisher House Foundation	2,500.00
Food Bank for Westchester	200.00
Friends of Alta Inc.	500.00
Habitat for Humanity	250.00
Hiram Halle Library	250.00
Horizons National Student	3,000.00
Human Outreach Project	22,500.00
Kipp Ma	3,000.00
Lee Pesky Learning Center	500.00
Little Sisters Fund	2,500.00
Maui Humane Society Inc.	500.00
Museum of Science	250.00
National MPS Society Inc.	250.00
National September 11 Memorial & Museum	150.00
New Canaan Country School	5,000.00
Northern Westchester Hospital	500.00
One Degree Forward Organization	2,000.00
Partners in Health	2,000.00
Phillips Academy	1,000.00
Pound Ridge Volunteer Ambulance Corps	500.00
Pound Ridge Volunteer Fire Department	500.00
Random Acts of Flowers	1,500.00
Robin Hood Foundation	2,500.00
Ronald McDonald House	1,000.00
Salt Lake Community College	1,497.00
Smile Train	1,500.00
Smilow Cancer Center at Yale	1,500.00
Snowbird Ski & Summer	1,000.00
Snowbird Sports Education	1,000.00
St. Paul's School	32,000.00
United Jewish Appeal	1,000.00
Wasatch Adaptive Sports	1,000.00
Westchester Medical Center	250.00
Yale Hockey Association	500.00
Yale Parent's Fund	1,000.00
	<hr/>
Total	<u><u>106,547.00</u></u>

Client Statement

For the period 11/30/2013 to 12/31/2013

JOHN CHRISTOPHE SCHLESINGER
FOUNDATION

JRMCC Account: 541-25519-002
Base Currency: USD
NB Account Number: 550-72456

Positions In Your Account

Quantity	Symbol	Description	Acquisition Date	Current Price	Market Value	Unrealized Gain/Loss	Dividend Yield
800	ACC	ACCENTURE PLC-IRELAND	06/20/2013	22.22664	17,777.31	1,488.00	2.28
800	SHS	SHS PLC	06/20/2013	22.22664	17,777.31	1,488.00	2.28
800	AEX	AMERICAN EXPRESS COMPANY	10/05/2010	22.48109	17,984.87	1,011.00	1.01
800	APC	ANADARKO PETROLEUM CORP	10/09/2010	22.48109	17,984.87	1,011.00	1.01
200	APC	ANADARKO PETROLEUM CORP	03/19/2010	22.48109	4,496.22	265.00	0.81
800	APC	ANADARKO PETROLEUM CORP	03/19/2010	22.48109	17,984.87	1,011.00	1.01
450	AGN	AGN	07/13/2011	22.48109	10,116.59	838.00	0.83
100	BOE	BOEING CO	03/19/2010	22.48109	2,248.11	157.00	0.81
500	BOE	BOEING CO	08/31/2012	22.48109	11,240.55	781.00	0.81
100	BOE	BOEING CO	01/25/2013	22.48109	2,248.11	157.00	0.81
300	BOE	BOEING CO	05/20/2013	22.48109	6,744.33	486.00	0.81
2000	POS	POSITION TOTAL			138,480.00	10,000.00	2.74
1000	CAB	CABOT DRUGS CORP	11/02/2013	22.48109	22,481.09	1,878.48	8.21
800	CAR	CARPENTER TECHNOLOGY CORP	01/22/2012	22.48109	17,984.87	1,488.00	2.28
800	CAR	CARPENTER TECHNOLOGY CORP	05/18/2012	22.48109	17,984.87	1,488.00	2.28
800	CAR	CARPENTER TECHNOLOGY CORP	05/02/2013	22.48109	17,984.87	1,488.00	2.28



Client Statement

For the period 11/30/2013 to 12/31/2013

JOHN-CHRISTOPHE SCHLESINGER
FOUNDATION

NEUBERGER BERMAN

JPMCC Account: 541126519-002
Base Currency: USD
NB Account Number: 550-72456

Positions in Your Account

Quantity	Description	Ticker/CUSIP	Purchase Date	Average Unit Cost	Total Cost	Price	Market Value	% Portfolio	Annual Income	Yield
EQUITIES (Continued)										
2,000	POSITION TOTAL									
1,700	COMCAST CORPORATION	CMCSK	08/02/2007	17.3285	29,458.25	16.8800	28,696.00	5.65	512.00	1.18
	NEWS/SP CLASS							2.30	836.00	1.56
1,800	QVANTA HOLDING CORPORATION	QVA	06/27/2010	16.3228	29,381.04	17.7500	31,959.00	6.33	1,188.00	3.72
500	EQS RES INC	EOG	02/19/2012	156.733	78,366.50	167.8400	83,930.00	16.50	3,750.00	4.45
3,800	FLY LEASING LIMITED	FLY	08/19/2008	12.0420	45,901.60	16.0700	61,210.00	12.01	2,112.00	3.45
1,800	SPONSORED ADR									
1,800	FRANK'S INTERNATIONAL NV	FI	08/08/2009	22.0000	39,600.00	22.0000	39,600.00	7.92	3,800.00	9.57
1,000	INTERNATIONAL BUSINESS MACHINES CORP	IBM	03/04/2005	92.7432	92,743.20	187.5700	187,570.00	18.75	3,800.00	2.03
1,800	INTERNATIONAL PAPER CO	IP	12/12/2011	27.8389	50,110.02	27.8389	50,110.00	10.00	2,112.00	4.21
500	INTERNATIONAL PAPER CO	IP	12/14/2011	27.3591	13,679.55	27.3591	13,679.50	2.74	2,112.00	1.55
2,100	POSITION TOTAL									
950	JOHNSON & JOHNSON	JNJ	11/03/2012	69.7954	66,305.67	49.0800	102,963.90	10.29	2,940.00	2.86
450	KRAFT FOODS GROUP INC	KRFY	11/13/2012	44.0478	19,821.50	83.8100	37,610.50	7.53	2,508.00	2.88
250	MCDONALD'S CORP	MCD	05/01/2008	52.3418	13,085.95	57.0300	14,257.50	10.74	945.00	3.90
700	MICROSOFT CORP	MSFT	08/15/2011	25.8334	18,083.38	26.3970	18,379.00	3.68	610.00	3.34
300	MICROSOFT CORP	MSFT	08/03/2011	24.8938	7,468.14	24.2300	7,269.00	1.45	2,112.00	2.90
400	MICROSOFT CORP	MSFT	09/17/2011	25.0862	10,034.48	27.8840	11,056.00	2.21	2,112.00	1.91
300	MICROSOFT CORP	MSFT	11/14/2011	26.1821	7,854.63	26.1821	7,854.60	1.57	2,112.00	2.69
350	MICROSOFT CORP	MSFT	03/07/2012	25.0284	8,764.94	25.0284	8,764.90	1.74	2,112.00	2.40
200	MICROSOFT CORP	MSFT	05/04/2011	25.1117	5,022.34	25.1117	5,022.30	1.00	2,112.00	4.21
300	MICROSOFT CORP	MSFT	11/02/2011	25.1117	7,533.51	25.1117	7,533.50	1.50	2,112.00	2.83
1,000	POSITION TOTAL									

Client Statement

For the period 11/30/2013 to 12/31/2013

JOHN-CHRISTOPHE SCHLESINGER
FOUNDATION

NEUBERGER BERMAN

IPMCC Account 541-25519-002
Base Currency: USD
NB Account Number 550-72456

Positions in Your Account

Quantity	Description	Tickler/Quisip	Purchase Date	Average Unit Cost	Total Cost	Price	Mkt Value	% Portfolio	Annual Income	(10) Yield	
EQUITIES (Continued)											
500	TORCHMARK CORP	TMK	05/20/2009	24.9955	12,497.75	30.1500	15,075.00	1.83	340.00	0.87	
100	THE TRAVELERS COMPANIES INC		04/23/2009	42.0747	4,207.47	35.1600	3,516.00				
300	THE TRAVELERS COMPANIES INC		05/01/2009	41.2825	12,384.75	54.3240	16,399.20				
300	THE TRAVELERS COMPANIES INC		05/20/2009	31.4120	9,423.60	36.2150	10,864.50				
300	THE TRAVELERS COMPANIES INC		09/02/2009	24.1773	7,253.19	18.1080	5,432.40	8.08	3,900.00	2.21	
300	THE TRAVELERS COMPANIES INC		11/30/08	31.3998	9,419.94	44.5640	13,369.20	14.33	1,088.50	2.95	
POSITION TOTAL											
1500	UNILEVER NV	UN	10/20/2008	23.3764	35,064.60	40.3400	60,510.00				
1900	UNIM GROUP		10/09/2003	15.8073	30,033.87	69.6520	132,318.80				
1150	UNIM GROUP		03/04/2009	17.1989	19,678.63	15.2420	17,462.70				
3050	UNIM GROUP		10/31/07	16.3134	49,485.70	10.9600	33,405.00	4.46	1,769.00	1.65	
POSITION TOTAL											
TOTAL EQUITIES								2,392,481.25	99.76	44,125.19	1.84