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Form **990-PF**

Department of the Treasury  
Internal Revenue Service

**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

**2013**

**Open to Public Inspection**

**For calendar year 2013, or tax year beginning 01-01-2013, and ending 12-31-2013**

Name of foundation THE SERIS FOUNDATION		<b>A Employer identification number</b> 13-3969875
% ERIKA KLAPPER		<b>B Telephone number</b> (see instructions)
Number and street (or P O box number if mail is not delivered to street address) 3333 HENRY HUDSON PARKWAY Suite APT 12N	Room/suite	
City or town, state or province, country, and ZIP or foreign postal code RIVERDALE, NY 10463		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 248,728	<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	0			
	<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities . . . . .	1,833	1,833		
	<b>5a</b> Gross rents . . . . .				
	<b>b</b> Net rental income or (loss) _____				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	5,479			
	<b>b</b> Gross sales price for all assets on line 6a 19,318				
	<b>7</b> Capital gain net income (from Part IV, line 2) . . . . .		5,479		
	<b>8</b> Net short-term capital gain . . . . .				
	<b>9</b> Income modifications . . . . .				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold . . . . .					
<b>c</b> Gross profit or (loss) (attach schedule) . . . . .					
<b>11</b> Other income (attach schedule) . . . . .					
<b>12 Total.</b> Add lines 1 through 11 . . . . .	7,312	7,312			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc	0			
	<b>14</b> Other employee salaries and wages . . . . .				
	<b>15</b> Pension plans, employee benefits . . . . .				
	<b>16a</b> Legal fees (attach schedule) . . . . .				
	<b>b</b> Accounting fees (attach schedule) . . . . .				
	<b>c</b> Other professional fees (attach schedule) . . . . .				
	<b>17</b> Interest . . . . .				
	<b>18</b> Taxes (attach schedule) (see instructions) <input checked="" type="checkbox"/>	29			
	<b>19</b> Depreciation (attach schedule) and depletion . . . . .				
	<b>20</b> Occupancy . . . . .				
	<b>21</b> Travel, conferences, and meetings . . . . .				
	<b>22</b> Printing and publications . . . . .				
	<b>23</b> Other expenses (attach schedule) . . . . . <input checked="" type="checkbox"/>	2,587	2,537		50
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	2,616	2,537		50
	<b>25</b> Contributions, gifts, grants paid . . . . .	15,115			15,115
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	17,731	2,537		15,165	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	-10,419				
<b>b Net investment income</b> (if negative, enter -0-)		4,775			
<b>c Adjusted net income</b> (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	<b>1</b>	Cash—non-interest-bearing . . . . .				
	<b>2</b>	Savings and temporary cash investments . . . . .	131,177	114,592	114,592	
	<b>3</b>	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	<b>4</b>	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	<b>5</b>	Grants receivable . . . . .				
	<b>6</b>	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	<b>7</b>	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____				
	<b>8</b>	Inventories for sale or use . . . . .				
	<b>9</b>	Prepaid expenses and deferred charges . . . . .				
	<b>10a</b>	Investments—U S and state government obligations (attach schedule)				
	<b>b</b>	Investments—corporate stock (attach schedule) . . . . .	81,527	84,327	134,136	
	<b>c</b>	Investments—corporate bonds (attach schedule) . . . . .				
	<b>11</b>	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
	<b>12</b>	Investments—mortgage loans . . . . .				
	<b>13</b>	Investments—other (attach schedule) . . . . .				
	<b>14</b>	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
<b>15</b>	Other assets (describe ▶ _____)	381	3,501			
<b>16</b>	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	213,085	202,420	248,728		
Liabilities	<b>17</b>	Accounts payable and accrued expenses . . . . .				
	<b>18</b>	Grants payable . . . . .				
	<b>19</b>	Deferred revenue . . . . .				
	<b>20</b>	Loans from officers, directors, trustees, and other disqualified persons				
	<b>21</b>	Mortgages and other notes payable (attach schedule) . . . . .				
	<b>22</b>	Other liabilities (describe ▶ _____)	246			
<b>23</b>	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	246	0			
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> <input type="checkbox"/>					
	<b>and complete lines 24 through 26 and lines 30 and 31.</b>					
	<b>24</b>	Unrestricted . . . . .				
	<b>25</b>	Temporarily restricted . . . . .				
	<b>26</b>	Permanently restricted . . . . .				
	<b>Foundations that do not follow SFAS 117, check here</b> <input checked="" type="checkbox"/>					
	<b>and complete lines 27 through 31.</b>					
	<b>27</b>	Capital stock, trust principal, or current funds . . . . .				
<b>28</b>	Paid-in or capital surplus, or land, bldg, and equipment fund					
<b>29</b>	Retained earnings, accumulated income, endowment, or other funds	212,839	202,420			
<b>30</b>	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	212,839	202,420			
<b>31</b>	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	213,085	202,420			

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b>	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	212,839
<b>2</b>	Enter amount from Part I, line 27a . . . . .	<b>2</b>	-10,419
<b>3</b>	Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	
<b>4</b>	Add lines 1, 2, and 3 . . . . .	<b>4</b>	202,420
<b>5</b>	Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	
<b>6</b>	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	<b>6</b>	202,420

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1a</b>	See Additional Data Table			
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>	See Additional Data Table			
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
<b>a</b>	See Additional Data Table			
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b>	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	5,479
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	15,060	236,385	0.06371
2011	34,842	250,708	0.138974
2010	42,900	228,823	0.187481
2009	2,078	107,057	0.01941
2008	23,585	106,511	0.221433
<b>2</b>	<b>Total</b> of line 1, column (d).		<b>2</b> 0.631008
<b>3</b>	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		<b>3</b> 0.126202
<b>4</b>	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.		<b>4</b> 240,541
<b>5</b>	Multiply line 4 by line 3.		<b>5</b> 30,357
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b).		<b>6</b> 48
<b>7</b>	Add lines 5 and 6.		<b>7</b> 30,405
<b>8</b>	Enter qualifying distributions from Part XII, line 4.		<b>8</b> 15,165

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

Table with 11 main rows and sub-rows (a-d) for credits. Includes questions about exempt operating foundations, domestic foundations, and tax due/overpayment.

Part VII-A Statements Regarding Activities

Table with 10 main rows (1a-10) and sub-rows (a-b) for activities. Includes questions about political activities, unrelated business income, and substantial contributors.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . . 11 No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . . 12 No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 Yes
Website address
14 The books are in care of ERIKA KLAPPER Telephone no (212) 874-2726
Located at 4720 DELAFIELD AVENUE RIVERDALE NY ZIP +4 10471
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -Check here . . . . . 15
and enter the amount of tax-exempt interest received or accrued during the year . . . . .
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? 1b
Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? 1c No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? Yes No
If "Yes," list the years 2002, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions). 2b No
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.). 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? 4b No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<p><b>5a</b> During the year did the foundation pay or incur any amount to</p> <p><b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>b</b> If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input checked="" type="checkbox"/></p> <p><b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945–5(d).</p> <p><b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" to 6b, file Form 8870.</p> <p><b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>b</b> If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<b>5b</b>		
	<b>6b</b>		<b>No</b>
	<b>7b</b>		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ERIKA KLAPPER 3333 HENRY HUDSON PARKWAY RIVERDALE, NY 10463	DIRECTOR 0	0	0	0
DANIEL KLAPPER 3333 HENRY HUDSON PARKWAY RIVERDALE, NY 10463	DIRECTOR 0	0	0	0
DAVID KLAPPER 3333 HENRY HUDSON PARKWAY RIVERDALE, NY 10463	DIRECTOR 0	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
<b>Total</b> number of other employees paid over \$50,000. <input checked="" type="checkbox"/>				0



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	116,486
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	127,718
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	244,204
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	244,204
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	3,663
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	240,541
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	12,027

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	12,027
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5. . . . .	<b>2a</b>	96
<b>b</b>	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	96
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	<b>3</b>	11,931
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	11,931
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	<b>7</b>	11,931

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
<b>a</b>	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	<b>1a</b>	15,165
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	15,165
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	15,165

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				11,931
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only. . . . .			0	
<b>b</b> Total for prior years 2011, 2010, 2009		0		
<b>3</b> Excess distributions carryover, if any, to 2013				
<b>a</b> From 2008. . . . .	6,709			
<b>b</b> From 2009. . . . .				
<b>c</b> From 2010. . . . .	31,459			
<b>d</b> From 2011. . . . .	22,373			
<b>e</b> From 2012. . . . .	3,241			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	63,782			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>15,165</u>				
<b>a</b> Applied to 2012, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2013 distributable amount. . . . .				11,931
<b>e</b> Remaining amount distributed out of corpus	3,234			
<b>5</b> Excess distributions carryover applied to 2013 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	67,016			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014. . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . . .	6,709			
<b>9 Excess distributions carryover to 2014.</b> Subtract lines 7 and 8 from line 6a. . . . .	60,307			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2009. . . . .				
<b>b</b> Excess from 2010. . . . .	31,459			
<b>c</b> Excess from 2011. . . . .	22,373			
<b>d</b> Excess from 2012. . . . .	3,241			
<b>e</b> Excess from 2013. . . . .	3,234			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . .

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year	Prior 3 years			<b>(e) Total</b>
	<b>(a) 2013</b>	<b>(b) 2012</b>	<b>(c) 2011</b>	<b>(d) 2010</b>	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NA

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number of the person to whom applications should be addressed

\_\_\_\_\_

**b** The form in which applications should be submitted and information and materials they should include

\_\_\_\_\_

**c** Any submission deadlines

\_\_\_\_\_

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

\_\_\_\_\_

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b>			<b>▶ 3a</b>	15,115
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			<b>▶ 3b</b>	



Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of
(1) Cash.
(2) Other assets.
b Other transactions
(1) Sales of assets to a noncharitable exempt organization.
(2) Purchases of assets from a noncharitable exempt organization.
(3) Rental of facilities, equipment, or other assets.
(4) Reimbursement arrangements.
(5) Loans or loan guarantees.
(6) Performance of services or membership or fundraising solicitations.
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Table with 4 columns: (a) Line No, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule
Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.
Signature of officer or trustee: \*\*\*\*\* Date: 2014-10-22 Title: \*\*\*\*\*

May the IRS discuss this return with the preparer shown below (see instr.)? Yes No

Paid Preparer Use Only
Print/Type preparer's name, Preparer's Signature, Date, Check if self-employed, PTIN, Firm's name, Firm's address, Firm's EIN, Phone no.

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

<b>(a)</b> List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
4SH APACHE CORP	P	2013-04-18	2013-08-16
2SH DEERE & CO	P	2012-09-14	2013-07-25
1SH EOG RESOURCES INC	P	2012-06-15	2013-04-18
5SH GREENHILL & CO INC	P	2012-06-20	2013-04-10
3SH THE MOSAIC CO	P	2012-11-14	2013-10-10
3SH VEECO INSTRUMENTS INC	P	2012-10-17	2013-04-19
4SH EATON CORP PLC	P	2012-12-03	2013-05-31
10SH APACHE CORP	P	2011-07-06	2013-03-01
14SH CISCO SYS INC	P	2011-07-06	2013-04-22
4SH DEERE & CO	P	2011-06-29	2013-07-25
2SH EMERSON ELECTRIC CO	P	2012-06-20	2013-07-18
4SH EOG RESOURCES INC	P	2011-10-04	2013-03-01
1SH EXPRESS SCRIPTS HLDG CO	P	2012-01-04	2013-07-29
1SH GAP INC	P	2011-07-06	2013-01-11
4SH GREENHILL & CO	P	2011-07-15	2013-01-09
3SH GUESS INC	P	2012-04-02	2013-05-30
7SH HALLIBURTON CO	P	2011-08-19	2013-03-01
11SH INTUIT INC	P	2011-08-19	2013-09-19
4SH KLA TENCOR CORP	P	2011-09-26	2013-03-19
4SH NIKE INC B	P	2011-06-07	2013-09-20
9SH ORACLE CORP	P	2011-08-23	2013-07-31
8SH OSHKOSH CORP	P	2011-05-19	2013-08-13
5SH PEBBLEBROOK HOTEL TR	P	2011-07-13	2013-01-23
5SH SAFEWAY INC COM	P	2011-07-06	2013-09-17
3SH SYMANTEC CORP	P	2011-03-11	2013-03-07
1SH THE MOSAIC CO	P	2012-06-05	2013-10-10
5SH AKAMI TECHNOLOGIES	P	2009-07-30	2013-07-02
1SH AMAZON COM	P	2007-02-22	2013-07-17
3SH APACHE CORP	P	2010-07-23	2013-03-01
5SH BED BATH & BEYOND	P	2007-02-22	2013-06-26

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

<b>(a)</b> List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
4SH BIOGEN IDEC INC	P	2007-02-22	2013-04-30
3SH CARNIVAL CP NEW PAIRED COM	P	2009-03-31	2013-12-13
8SH CELGENE CORP	P	2008-12-03	2013-07-02
7SH CHARLES SCHWAB NEW	P	2009-01-29	2013-05-31
24SH CISCO SYS INC	P	2008-07-16	2013-04-22
22SH COMCAST CORP CL A SPECIAL	P	2007-02-27	2013-02-07
9SH DEERE & CO	P	2009-12-04	2013-07-09
6SH DOVER CORP	P	2007-05-22	2013-09-12
4SH EBAY INC	P	2008-03-12	2013-03-14
14SH EMERSON ELECTRIC CO	P	2007-03-14	2013-07-15
31SH ERICSSON LM TEL	P	2010-01-25	2013-04-23
3SH FLUOR CORP NEW	P	2008-11-19	2013-09-27
8SH GAP INC	P	2007-11-05	2013-01-10
6SH HESS CORP	P	2009-07-20	2013-04-26
1SH HOME DEPOT INC	P	2007-02-22	2013-06-21
1SH HONEYWELL INTERNATIONAL INC	P	2007-03-02	2013-05-28
1SH JONES LANG LASALLE INC	P	2009-06-11	2013-03-06
1SH MALLINCKRODT PUBLIC LTD CO	P	2007-02-22	2013-07-03
5SH MATTTEL INC	P	2009-12-22	2013-01-15
15SH MICROSOFT CORP	P	2007-02-22	2013-02-07
5SH ORACLE CORP	P	2010-12-06	2013-07-31
6SH PROCTOR & GAMBLE	P	2007-02-22	2013-09-19
40SH SAFEWAY INC	P	2009-05-26	2013-02-21
8SH SCHLUMBERGER LTD	P	2008-10-17	2013-03-25
13SH TEXAS INSTRUMENTS	P	2007-02-22	2013-02-11
2SH THERMO FISHER SCIENTIFIC INC	P	2010-06-10	2013-07-17
1SH UNILEVER PLC	P	2007-02-22	2013-07-22
2SH VISA INC CL A	P	2010-05-26	2013-06-24
7SH WALT DISNEY CO HLDG CO	P	2007-02-22	2013-07-17
7SH WEYERHAEUSER CO	P	2010-07-22	2013-01-10

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

<b>(a)</b> List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
CAPITAL GAIN DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
323		310	13
164		164	
113		95	18
279		213	66
139		146	-7
97		90	7
267		206	61
771		1,195	-340
285		217	68
330		329	1
115		92	23
473		282	191
66		48	18
32		18	14
228		199	29
88		95	-7
276		286	-10
790		485	305
207		160	47
274		163	111
290		294	-4
365		197	168
121		101	20
152		115	37
73		54	19
46		46	
252		83	169
306		41	265
221		276	-55
369		214	155

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
876		189	687
108		65	43
992		411	581
141		98	43
496		576	-80
808		558	250
743		491	252
543		298	245
214		108	106
800		594	206
390		292	98
213		100	113
251		144	107
412		313	99
74		41	33
80		46	34
98		35	63
42		28	14
184		100	84
470		440	30
161		144	17
495		387	108
911		793	118
592		414	178
447		414	33
175		103	72
42		27	15
366		149	217
455		242	213
214		109	105

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
			13

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			13
			18
			66
			-7
			7
			61
			-424
			68
			1
			23
			191
			18
			14
			29
			-7
			-10
			305
			47
			111
			-4
			168
			20
			37
			19
			169
			265
			-55
			155

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			687
			43
			581
			43
			-80
			250
			252
			245
			106
			206
			98
			113
			107
			99
			33
			34
			63
			14
			84
			30
			17
			108
			118
			178
			33
			72
			15
			217
			213
			105

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) <b>or</b> Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
RIVERDALE JEWISH CENTER 3700 INDEPENDENCE AVENUE RIVERDALE, NY 10463	NONE	PC	CHARITABLE	2,050
BIKUR CHOLIM OF MANHATTAN 180 RIVERSIDE DRIVE NEW YORK, NY 10024	NONE	PC	CHARITABLE	100
TORAH VCHESED NANASH 629 WEST 239TH STREET BRONX, NY 10463	NONE	PC	CHARITABLE	1,500
AMERICAN SOCIETY FOR TECHNION 55 EAST 59TH STREET 14 NEW YORK, NY 10022	NONE	PC	CHARITABLE	1,000
AMERICAN FRIENDS OF YESHIVAT KEREM B'YAVNEH 1418 AVENUE N BROOKLYN, NY 11230	NONE	PC	CHARITABLE	225
MAIMONIDES RELIEF CENTER 4718 18TH AVE SUITE 139 BROOKLYN, NY 11204	NONE	PC	CHARITABLE	10,000
CONGREGATION RAMATH ORAH 550 WEST 110TH STREET NEW YORK, NY 10025	NONE	PC	CHARITABLE	240
<b>Total</b> . . . . .			<b>3a</b>	15,115

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2013 Depreciation Schedule

**Name:** THE SERIS FOUNDATION

**EIN:** 13-3969875

## TY 2013 Investments Corporate Stock Schedule

**Name:** THE SERIS FOUNDATION

**EIN:** 13-3969875

Name of Stock	End of Year Book Value	End of Year Fair Market Value
25 AKAMI TECHNOLOGIES INC	578	1,180
2 ALASKA AIR GROUP INC	115	147
10 ALLIED WORLD ASSUR CO HLDG	441	1,128
6 AMAZON INC	246	2,393
7 AMC NETWORKS INC CL A	166	477
7 AMGEN INC	323	799
15 ANADARKO PETE	646	1,190
11 ANHEUSER BUSCH	628	1,171
13 APACHE CORP		
4 APPLE INC	1,702	2,244
75 APPLIED MATERIALS INC	1,186	1,326
18 AT&T INC	467	633
19 AUTODESK INC	751	956
17 AXIS CAPITAL HOLDINGS LTD	570	809
5 BAKER HUGHES INC	200	276
7 BED BATH & BEYOND INC	226	562
5 BHP BILLITON LTD	338	341
11 BIOGEN IDEC INC	520	3,075
7 BLACKROCK INC	1,097	2,215
11 BOEING CO	830	1,501
8 BRISTOL MYERS SQUIBB CO	369	425
67 BROADCOM CORP	1,918	1,986
44 CABLEVISION SYSTEMS CORP	621	789
21 CAMERON INTNL CORP	1,053	1,250
19 CARNIVAL CP NEW PAIRED COM	596	763
8 CATERPILLAR INC	668	726
11 CELEGNE CORP	623	1,859
36 CHARLES SCHWAB NEW	510	936
9 CHECK POINT SOFTWARE TECH	453	580
13 CHEVRON CORP	1,442	1,624

Name of Stock	End of Year Book Value	End of Year Fair Market Value
12 CHUBB CORP	622	1,160
34 CISCO SYS INC	535	763
25 CITIGROUP INC	1,203	1,303
29 CITRIX SYSTEMS INC	1,811	1,834
10 CME GROUP INC	602	785
8 COACH INC	435	449
28 COCA COLA CO	662	1,157
75 COMCAST CORP CL A	1,726	3,741
8 COVIDIEN PLC NEW	292	545
22 CREE RESEARCH INC	623	1,375
26 CVS CAREMARK CORP	774	1,861
15 DEERE & CO		
15 DEVON ENERGY CORP NEW	942	928
8 DIRECTV COM	156	552
11 DOLBY CLA A COM	330	424
6 DOVER CORP		
9 DU PONT EI DE NEMOURS & CO	404	585
13 EATON CORP PLC	662	990
37 EBAY INC	866	2,030
36 EMC CORP MASS	862	905
3 EMCOR GROUP INC	68	127
16 EMERSON ELECTRIC CO		
5 EOG RESOURCES INC		
49 ERICSSON LM TEL ADR CL B	485	600
10 EXPRESS SCRIPTS HLDG CO	496	702
8 EXXON MOBIL CORP	673	810
20 FACEBOOK INC CL A	512	1,093
8 FIRST REPUBLIC BANK	252	419
16 FLUOR CORP NEW	630	1,285
31 FOREST LABORATORIES INC	1,341	1,861

Name of Stock	End of Year Book Value	End of Year Fair Market Value
12 FRANKLIN RESOURCES INC	313	693
7 FREEPORT MCMORAN CP & GLD	183	264
9 GAP INC		
44 GENERAL ELECTRIC CO	1,421	1,233
8 GLAXOSMITHKLINE PLC ADS	336	427
6 GOLDMAN SACHS GRP INC	810	1,064
2 GOOGLE INC CL A	1,029	2,241
3 GREENHILL & CO INC	110	174
3 GUESS INC		
18 HALLIBURTON CO	626	914
12 HESS CORPORATION	637	996
34 HOME DEPOT INC	963	2,800
13 HONEYWELL INTERNATIONAL INC	598	1,188
19 IMMUNOGEN INC	169	279
11 INTUIT INC		
21 ISIS PHARM INC	192	837
5 JACOBS ENGINEERING GROUP INC	213	315
30 JOHNSON & JOHNSON	1,944	2,748
6 JONES LANG LASALLE INC	202	614
9 JOY GLOBAL INC	529	526
24 JPMORGAN CHASE & CO	997	1,404
51 JUNIPER NETWORKS	1,005	1,151
47 KEYCORP NEW	410	631
9 KLA TENCOR CORP	406	580
10 L-3 COMMUNICATIONS HOLDING	835	1,069
23 LIBERTY INTERACTIVE CO	337	675
5 LIBERTY MEDIA CO	67	731
15 MATTEL INC	357	714
27 MERCK & CO INC	1,073	1,351
27 MICROSOFT CORP	693	1,010

Name of Stock	End of Year Book Value	End of Year Fair Market Value
8 MONSANTO CO NEW	498	932
16 NASDAQ OMX GROUP INC	463	637
5 NATIONAL OILWELL VARCO INC	123	398
26 NETAPP INC COM	1,081	1,070
8 NEWFIELD EXPL CO	257	197
8 NIKE INC	329	629
15 NOVARTIS AG ADR	805	1,206
31 NUCOR CORPORATION	1,372	1,655
7 OCCIDENTAL PETROLEUM CORP	543	666
14 ORACLE CORP		
8 OSHKOSH CORP		
11 PALL CORPORATION	393	939
13 PEABODY ENERGY CORP	311	254
19 PEBBLEBROOK HOTEL TR COM	393	584
5 PENTAIR LTD	174	388
14 PEPSICO INC	901	1,161
3 PLANTRONICS INC	97	139
6 PROCTOR & GAMBLE		
10 QUALCOMM INC	513	743
10 RAYTHEON CO	571	907
11 RED HAT INC	513	616
59 REGIONS FINANCIAL CORP NEW	376	584
40 RIVERBED TECH INC	615	723
4 ROYAL DUTCH SHELL PLC	279	300
13 SAFEWAY INC COM NEW	251	423
14 SANDISK CORP	384	988
17 SCHLUMBERGER LTD	1,198	1,532
47 SEAGATE TECHNOLOGY PLC	1,039	2,640
2 SOTHEY'S CL A	63	106
3 STANLEY BLACK & DECKER INC	248	242

Name of Stock	End of Year Book Value	End of Year Fair Market Value
5 STARZ SERIES A	8	146
19 STATE STREET CORP	1,103	1,394
19 SYMANTEC CORP	368	448
21 TE CONNECTIVITY LTD NEW	747	1,157
31 TERADYNE INC	500	546
9 TEVA PHARMACEUTICALS ADR	352	361
33 TEXAS INSTRUMENTS	1,016	1,449
10 THE ADT CORPORATION COM	296	405
4 THE MOSAIC CO		
12 THERMO FISHER SCIENTIFIC IN	609	1,336
21 TYCO INTERNATIONAL LTD NEW	490	862
25 US BANCORP COM NEW	687	1,010
24 UNILEVER PLC	650	989
11 UNITED PARCEL SERVICE INC	795	1,156
40 UNITEDHEALTH GP INC	2,082	3,012
11 VEECO INSTRUMENTS INC DEL	326	362
8 VERTEX PHARMACEUTICALS	216	594
9 VISA INC CL A	662	2,004
29 VODAFONE GP PLC	824	1,140
2 W W GRAINGER INC	503	511
31 WALT DISNEY CO HLDG CO	1,135	2,368
88 WEATHERFORD INTERNATIONAL	1,388	1,363
17 WEYERHAEUSER CO	358	537
14 XILINX INC	456	643
10 YUM BRANDS INC	657	756
20 ZOETIS INC CL A	607	654

## TY 2013 Investments - Land Schedule

**Name:** THE SERIS FOUNDATION

**EIN:** 13-3969875

## TY 2013 Land, Etc. Schedule

**Name:** THE SERIS FOUNDATION

**EIN:** 13-3969875

## TY 2013 Other Assets Schedule

**Name:** THE SERIS FOUNDATION

**EIN:** 13-3969875

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
LOANS & EXCHANGES	380	3,500	
DUE FROM BROKER	1	1	

## TY 2013 Other Expenses Schedule

**Name:** THE SERIS FOUNDATION

**EIN:** 13-3969875

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	2,537	2,537		
FILING FEE	50			50

## TY 2013 Other Liabilities Schedule

**Name:** THE SERIS FOUNDATION

**EIN:** 13-3969875

Description	Beginning of Year - Book Value	End of Year - Book Value
DUE TO BROKER	246	

# TY 2013 Taxes Schedule

**Name:** THE SERIS FOUNDATION

**EIN:** 13-3969875

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	29			