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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)

OMB No 1545-0052

**2013****Open to Public Inspection****For calendar year 2013 or tax year beginning****, 2013, and ending****, 20**

Name of foundation

GERALD &amp; JANET CARRUS FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

BANK OF AMERICA NA 231 S LASALLE STREET

City or town, state or province, country, and ZIP or foreign postal code

CHICAGO, IL 60697

**G** Check all that apply:☐ Initial return☐ Final return☐ Address change☐ Initial return of a former public charity☐ Amended return☐ Name change**H** Check type of organization☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation**I** Fair market value of all assets at

end of year (from Part II, col. (c), line

16) ▶ \$ 12,373,438.

**J** Accounting method: ☒ Cash ☐ Accrual☐ Other (specify) \_\_\_\_\_

(Part I, column (d) must be on cash basis)

**A** Employer identification number

13-3929249

**B** Telephone number (see instructions)

866-752-2127

**C** If exemption application is  
pending, check here ☐**D** 1 Foreign organizations, check here ☐2 Foreign organizations meeting the  
85% test, check here and attach  
computation ☐**E** If private foundation status was terminated  
under section 507(b)(1)(A), check here ☐**F** If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The  
total of amounts in columns (b), (c), and (d)  
may not necessarily equal the amounts in  
column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>				
1 Contributions, gifts, grants, etc., received (attach schedule)	22.			
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	263,024.	258,790.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	978,953.			
b Gross sales price for all assets on line 6a	4,869,153.			
7 Capital gain net income (from Part IV, line 2)		978,953.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	1,248.	659.		STMT 2
12 Total. Add lines 1 through 11	1,243,247.	1,238,402.		
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc.	62,500.			62,500.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)	7,871.	NONE	NONE	7,871.
b Accounting fees (attach schedule)	3,408.	NONE	NONE	3,408.
c Other professional fees (attach schedule)	68,324.	45,762.		22,562.
17 Interest				
18 Taxes (attach schedule (see instructions))	6,996.	5,726.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	9,327.			9,327.
22 Printing and publications				
23 Other expenses (attach schedule)	40,628.	1,728.		40,448.
24 Total operating and administrative expenses. Add lines 13 through 23	199,054.	53,216.	NONE	146,116.
25 Contributions, gifts, grants paid	1,470,322.			1,470,322.
26 Total expenses and disbursements. Add lines 24 and 25	1,669,376.	53,216.	NONE	1,616,438.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-426,129.			
b Net investment income (if negative, enter -0-)		1,185,186.		
c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .				
	2	Savings and temporary cash investments . . . . .	387,378.	893,980.	893,980.	
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10 a	Investments - U.S. and state government obligations (attach schedule) . . . . .				
	b	Investments - corporate stock (attach schedule) . . . . .	7,108,543.	6,951,685.	9,876,088.	
	c	Investments - corporate bonds (attach schedule) . . . . .	1,352,889.	666,791.	704,347.	
	11	Investments - land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶				
	12	Investments - mortgage loans . . . . .				
	13	Investments - other (attach schedule) . . . . .	175,000.	175,000.	172,010.	
	14	Land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶) . . . . .	1,038,478.	908,544.	727,013.		
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	10,062,288.	9,596,000.	12,373,438.		
Liabilities	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .				
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶) . . . . .				
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .			NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds . . . . .	10,062,288.	9,596,000.		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .				
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	10,062,288.	9,596,000.			
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	10,062,288.	9,596,000.			

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	10,062,288.
2	Enter amount from Part I, line 27a . . . . .	2	-426,129.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8 . . . . .	3	7,633.
4	Add lines 1, 2, and 3 . . . . .	4	9,643,792.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9 . . . . .	5	47,792.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	9,596,000.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1a PUBLICLY TRADED SECURITIES</b>					
<b>b OTHER GAINS AND LOSSES</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b> 4,851,995.		3,890,200.	961,795.		
<b>b</b> 17,158.			17,158.		
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
<b>a</b>			961,795.		
<b>b</b>			17,158.		
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2 Capital gain net income or (net capital loss)</b>			<b>2</b>	978,953.	
If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7					
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)</b>			<b>3</b>		
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8					

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

**1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.**

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	567,872.	11,438,811.	0.049644
2011	497,394.	11,375,076.	0.043727
2010	453,635.	10,285,961.	0.044102
2009	587,795.	9,169,531.	0.064103
2008	701,089.	11,959,340.	0.058623
<b>2 Total of line 1, column (d)</b>			<b>2</b> 0.260199
<b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>			<b>3</b> 0.052040
<b>4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5</b>			<b>4</b> 12,275,100.
<b>5 Multiply line 4 by line 3</b>			<b>5</b> 638,796.
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>			<b>6</b> 11,852.
<b>7 Add lines 5 and 6</b>			<b>7</b> 650,648.
<b>8 Enter qualifying distributions from Part XII, line 4</b>			<b>8</b> 1,616,438.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	11,852.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		2	
3 Add lines 1 and 2 . . . . .		3	11,852.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		4	NONE
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	11,852.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013 . . . . .	6a	6,763.	
b Exempt foreign organizations - tax withheld at source . . . . .	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	NONE	
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7	6,763.	
8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	5,089.	
10 <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10		
11 Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <input checked="" type="checkbox"/> <b>NONE</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/> . . . . .	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> <b>NH</b>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation. . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV. . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . .	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . . Website address <b>NONE</b>	13	X	
14	The books are in care of <b>Bank of America, N.A.</b> Telephone no <b>(866) 752-2127</b> Located at <b>231 S LASALLE ST, CHICAGO, IL</b> ZIP+4 <b>60697</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . .		15	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <b>▶</b>	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . .	1b	X
Organizations relying on a current notice regarding disaster assistance check here . . . . . <b>▶</b> <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years <b>▶</b> . . . . .		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . .	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <b>▶</b> . . . . .		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) . . . . .	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? . . . . .	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		62,500.		

**2** Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

**Total** number of other employees paid over \$50,000 ☐ NONE

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services . . . . .		NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NOT APPLICABLE	
2 THE SOLE CHARITABLE ACTIVITY OF THIS ORGANIZATION IS THE MAKING OF CONTRIBUTIONS AND GRANTS TO QUALIFIED CHARITABLE ORGANIZATIONS. NO DIRECT CHARITABLE ACTIVITIES ARE CONDUCTED.	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . .	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	12,048,245.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	413,785.
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	12,462,030.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	12,462,030.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	186,930.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	12,275,100.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	613,755.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	613,755.
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	11,852.
<b>b</b>	Income tax for 2013. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	11,852.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	601,903.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4	<b>5</b>	601,903.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	601,903.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	1,616,438.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	1,616,438.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	11,852.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	1,604,586.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7 . . . . .				601,903.
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only . . . . .			490,280.	
<b>b</b> Total for prior years 20____, 20____, 20____		NONE		
<b>3</b> Excess distributions carryover, if any, to 2013:				
<b>a</b> From 2008 . . . . .	NONE			
<b>b</b> From 2009 . . . . .	NONE			
<b>c</b> From 2010 . . . . .	NONE			
<b>d</b> From 2011 . . . . .	NONE			
<b>e</b> From 2012 . . . . .	NONE			
<b>f</b> Total of lines 3a through e . . . . .	NONE			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4: ► \$ <u>1,616,438.</u>				
<b>a</b> Applied to 2012, but not more than line 2a . . .			490,280.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .		NONE		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .	NONE			
<b>d</b> Applied to 2013 distributable amount . . . . .				601,903.
<b>e</b> Remaining amount distributed out of corpus . .	524,255.			
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) )	NONE			NONE
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e. Subtract line 5	524,255.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		NONE		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions . . . . .		NONE		
<b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
<b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				NONE
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . .	NONE			
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	NONE			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	524,255.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2009 . . .	NONE			
<b>b</b> Excess from 2010 . . .	NONE			
<b>c</b> Excess from 2011 . . .	NONE			
<b>d</b> Excess from 2012 . . .	NONE			
<b>e</b> Excess from 2013 . . .	524,255.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization. . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

JANET CARRUS

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

SEE STATEMENT 13

**b** The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

**c** Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
AFRICAN WILDLIFE FOUNDATION 1400 16TH STREET NW WASHINGTON DC 20036	N/A	PC	UNRESTRICTED GENERAL SUPPORT	250,000.
HELEN KELLER INTERNATIONAL, INC 352 PARK AVENUE SOUTH, 12TH FLOOR NEW YORK NY 10007	N/A	PC	UNRESTRICTED GENERAL SUPPORT	21,500.
CHATHAM ORPHEUM THEATER P.O. BOX 17 CHATHAM MA 02633	N/A	PC	UNRESTRICTED GENERAL SUPPORT	5,000.
WONDER WORK 420 FIFTH AVENUE, 27TH FLOOR NEW YORK NY 10001	N/A	PC	MEDICAL TRAINING PROGRAMS AND CLIENT SERVICES	1,000,000.
FUND FOR PHILADELPHIA INC ROOM 708 CITY HALL PHILADELPHIA PA 19107	N/A	PC	MCPD OLIVER HM JORDAN	1,336.
CROSSROADS ADAPTIVE ATHLETIC 1302 EARNESTINE STREET MCLEAN VA 22101	N/A	PC	GENERAL OPERATION SUPPORT	1,336.
EL PUENTE 211 SOUTH 4TH STREET BROOKLYN NY 11211	N/A	PC	JUSTICE SUMMER YOUTH INTERNSHIP 2013	41,150.
CENTER FOR DISCOVERY 840 BENMOSCHE ROAD HARRIS NY 12742-0840	N/A	PC	UNRESTRICTED GENERAL SUPPORT	125,000.
Friends of the Palace Theatre 38 HAILI ST. Hilo HI 96720	N/A	PC	PROJECTOR FOR THE PALACE THEATRE	25,000.
<b>Total</b> . . . . .			<b>3a</b>	<b>1,470,322.</b>
<b>b Approved for future payment</b>				
<b>Total</b> . . . . .			<b>3b</b>	

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

NOT APPLICABLE



FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
USGI REPORTED AS NONQUALIFIED DIVIDENDS	1,921.	1,921.
FOREIGN DIVIDENDS	54,176.	54,176.
NONDIVIDEND DISTRIBUTIONS	4,234.	
DOMESTIC DIVIDENDS	101,304.	101,304.
OTHER INTEREST	2,234.	2,234.
NONQUALIFIED FOREIGN DIVIDENDS	16,282.	16,282.
NONQUALIFIED DOMESTIC DIVIDENDS	82,873.	82,873.
	-----	-----
TOTAL	263,024.	258,790.
	=====	=====

FORM 990PF, PART I - OTHER INCOME  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
PARTNERSHIP INCOME		659.
CHARITABLE PROGRAM EVENT	649.	
NON-TAXABLE FOREIGN INCOME	599.	
	-----	-----
TOTALS	1,248.	659.
	=====	=====

FORM 990PF, PART I - LEGAL FEES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
-----	-----	-----	-----	-----
MOSES & SINGER LLP	7,871.			7,871.
	-----	-----	-----	-----
TOTALS	7,871.	NONE	NONE	7,871.
	=====	=====	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE - BOA	3,408.			3,408.
TOTALS	3,408.	NONE	NONE	3,408.
	=====	=====	=====	=====

## FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
-----	-----	-----	-----
BANK OF AMERICA, INV. ADVISORY	11,918.	11,918.	
BANK OF AMERICA, CUSTODIAN	56,406.	33,844.	22,562.
	-----	-----	-----
TOTALS	68,324.	45,762.	22,562.
	=====	=====	=====

FORM 990PF, PART I - TAXES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
FOREIGN TAXES	1,226.	1,226.
EXCISE TAX ESTIMATES	1,270.	
FOREIGN TAXES ON QUALIFIED FOR	2,798.	2,798.
FOREIGN TAXES ON NONQUALIFIED	1,702.	1,702.
	-----	-----
TOTALS	6,996.	5,726.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
PROGRAM EVENT EXPENSES	35,827.		35,827.
OTHER CHARITABLE EXPENSES	3,150.		3,150.
OTHER INGESTMENT FEE	95.	95.	
STATE FILING FEE	75.		75.
PARTNERSHIP EXPENSES		1,548.	
OFFICE EXPENSES	1,396.		1,396.
MISCELLANEOUS EXPENSES	85.	85.	
	-----	-----	-----
TOTALS	40,628.	1,728.	40,448.
	=====	=====	=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

=====

DESCRIPTION

AMOUNT

-----

-----

BEG. DEF. INCOME

3,313.

SALES CLOSED AFTER PRIOR YEAR END

4,320.

-----

TOTAL

7,633.

=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
-----	-----
END DEF. INCOME	6,804.
ADJUSTMENTS IN CARRYING VALUE	5,087.
SALES CLOSED AFTER YEAR END	18,561.
REIT ROC BASIS ADJ.	182.
PARTNERSHIP ADJUSTMENT	17,158.
	-----
TOTAL	47,792.
	=====

GAINS AND LOSSES FROM PASS-THRU ENTITIES

=====

NET LONG-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS  
AND OTHER FIDUCIARIES

PARTNERSHIPS, TRUSTS, S CORPORATIONS

17,158.00

-----

TOTAL NET LONG-TERM GAIN OR LOSS (ROUNDED)

-----  
17,158.00

=====

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:

Cathey Romano

ADDRESS:

19 LINCOLN PL, APT 52  
OSSINING, NY 10562-5240

TITLE:

Executive Director

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 20

COMPENSATION ..... 62,500.

OFFICER NAME:

Janet Carrus

ADDRESS:

19 LINCOLN PL, APT 52  
OSSINING, NY 10562-5240

TITLE:

President/Director

OFFICER NAME:

Irving Sitnick

ADDRESS:

405 Lexington Avenue  
New York, NY 10174-1299

TITLE:

VP/Secretary/Director

OFFICER NAME:

Robin Miller

ADDRESS:

19 LINCOLN PL, APT 52  
OSSINING, NY 10562-5240

TITLE:

Director

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

OFFICER NAME:

Michelle Peperone

ADDRESS:

19 LINCOLN PL, APT 52  
OSSINING, NY 10562-5240

TITLE:

Director

OFFICER NAME:

Renee Peperone

ADDRESS:

19 LINCOLN PL, APT 52  
OSSINING, NY 10562-5240

TITLE:

Director

TOTAL COMPENSATION:

62,500.

=====

RECIPIENT NAME:

GEORGE THORN

ADDRESS:

231 S. LASALLE ST.

CHICAGO, IL 60697

RECIPIENT'S PHONE NUMBER: 312.828.6763

FORM, INFORMATION AND MATERIALS:

NO FORMAL APPLICATION REQUIRED

SUBMISSION DEADLINES:

NONE

RESTRICTIONS OR LIMITATIONS ON AWARDS:

NONE

## GERALD JANET CARRUS FOUNDATION

13-3929249

## BALANCE SHEET

12/31/2013

Asset Type	Units	Fed Tax Cost	Market Value
<b>CASH/CURRENCY</b>			
<b>CASH EQUIVALENTS</b>			
BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT	61,210 490	61,210 49	61,210 49
BANK OF AMERICA TEMPORARY OVERNIGHT DEPOSIT	402 750	402 75	402.75
BOFA CASH RESERVES			
TRUST CLASS	832,366 760	832,366 76	832,366 76
<b>Total CASH EQUIVALENTS</b>		893,980 00	893,980.00
<b>Total CASH/CURRENCY</b>		893,980 00	893,980.00
<b>EQUITIES</b>			
<b>U.S. LARGE CAP</b>			
ABBOTT LABS COM	852 000	21,490 79	32,657 16
ACCENTURE PLC CL A COM			
IRELAND	117 000	5,259 95	9,619 74
ALEXION PHARMACEUTICALS INC COM	407 000	14,048.19	54,083 79
ALLERGAN INC COM	1,056 000	63,349.22	117,300 48
ALLIANCE DATA SYS CORP COM	41.000	3,311 10	10,780 13
ALTRIA GROUP INC COM	1,891 000	37,703 10	72,595.49
AMAZON COM INC COM	289 000	23,729 07	115,250 31
AMERICAN EXPRESS CO COM	203 000	12,149.53	18,418 19
AMERISOURCEBERGEN CORP COM	343.000	13,096 27	24,116 33
AMETEK INC NEW COM	131 000	2,895 62	6,899.77
APPLIED MATLS INC COM	230 000	3,600 30	4,066 40

## GERALD JANET CARRUS FOUNDATION

13-3929249

## BALANCE SHEET

12/31/2013

Asset Type	Units	Fed Tax Cost	Market Value
AT&T INC			
COM	1,948.000	53,117.09	68,491.68
AUTODESK INC			
COM	37 000	1,685 42	1,861 80
BED BATH & BEYOND INC			
COM	207 000	11,229 00	16,622.10
BIOGEN IDEC INC			
COM	354 000	44,348 93	98,968 49
BOEING CO			
COM	332.000	14,627.79	45,314 68
BORG WARNER INC			
COM	128 000	6,364.90	7,156 48
BRISTOL MYERS SQUIBB CO			
COM	936.000	49,435 68	49,748 40
BROADCOM CORP			
CL A	140.000	3,999 78	4,150 30
CABOT OIL & GAS CORP			
COM	165 000	3,773 45	6,395.40
CAMERON INTL CORP			
COM	144 000	6,857 53	8,572 32
CARDINAL HEALTH INC			
COM	88 000	3,828.47	5,879 28
CELGENE CORP			
COM	658 000	29,204.87	111,180 94
CERNER CORP			
COM	124 000	3,376 23	6,911 76
CF INDS HLDGS INC			
COM	20 000	1,254 20	4,660 80
CHARTER COMMUNICATIONS INC DEL			
CL A NEW COM	49 000	3,656 27	6,701 24
CHEVRON CORP			
COM	578.000	25,558 01	72,197.98
CIGNA CORP			
COM	47 000	4,057.14	4,111 56
CISCO SYS INC			
COM	3,228.000	66,050 79	72,404.04
CITRIX SYS INC			
COM	76.000	3,800 72	4,807 00
COCA COLA CO			

**GERALD JANET CARRUS FOUNDATION**

**13-3929249**

**BALANCE SHEET**

**12/31/2013**

<b>Asset Type</b>	<b>Units</b>	<b>Fed Tax Cost</b>	<b>Market Value</b>
COM	750 000	19,416 18	30,982 50
COGNIZANT TECHNOLOGY SOLUTIONS			
CL A	1,032 000	55,337.58	104,211.36
COLGATE PALMOLIVE CO			
COM	434 000	17,998 23	28,301 14
CONCHO RES INC			
COM	58.000	3,719 69	6,264 00
CONOCOPHILLIPS			
COM	1,029 000	41,376 69	72,698 85
CONSTELLATION BRANDS INC			
CL A	135.000	6,935.52	9,501.30
CORNING INC			
COM	1,294 000	21,946.51	23,059 08
COSTCO WHSL CORP NEW			
COM	135 000	7,880 81	16,067 70
DAVITA HEALTHCARE PARTNERS INC			
COM	37 000	2,256 62	2,344 69
DELPHI AUTOMOTIVE PLC			
COM			
JERSEY	107.000	3,878 31	6,433.91
DELTA AIR LINES INC DEL			
NEW COM	329 000	5,098 40	9,037 63
DISCOVERY COMMUNICATIONS INC			
NEW SER A COM	654 000	53,424 49	59,134 68
DISH NETWORK CORP			
COM CL A	80 000	3,594.23	4,633.60
DOLLAR TREE INC			
COM	109 000	5,006 36	6,149 78
DOMINION RES INC VA NEW			
COM	691.000	29,206 29	44,700 79
DU PONT E I DE NEMOURS & CO			
COM	915 000	31,011.82	59,447 55
EASTMAN CHEM CO			
COM	72 000	4,463 90	5,810 40
EBAY INC			
COM	494 000	19,069 92	27,103.31
EMC CORP			
COM	263 000	6,237.09	6,614 45
EOG RES INC			

## GERALD JANET CARRUS FOUNDATION

13-3929249

## BALANCE SHEET

12/31/2013

Asset Type	Units	Fed Tax Cost	Market Value
COM	449 000	40,494 79	75,360 16
FACEBOOK INC			
CL A COM	1,437 000	32,341 86	78,530 61
FASTENAL CO			
COM	1,946 000	93,780 46	92,454.46
FMC TECHNOLOGIES INC			
COM	1,929 000	56,481 53	100,713 09
FRANKLIN RES INC			
COM	1,147.000	44,786 91	66,216 31
GENERAL ELEC CO			
COM	3,500 000	106,280 89	98,105 00
GENUINE PARTS CO			
COM	677.000	29,776.92	56,319 63
GILEAD SCIENCES INC			
COM	1,908 000	77,388 12	143,290 80
GOOGLE INC			
CL A COM	128 000	61,009 64	143,450 88
GRAINGER W W INC			
COM	19 000	3,732 47	4,852 98
HERSHEY CO			
COM	67 000	5,181 08	6,514 41
ILLUMINA INC			
COM	34 000	1,438 69	3,760 06
INTEL CORP			
COM	2,696.000	55,191 99	69,974.68
INTERNATIONAL PAPER CO			
COM	93 000	2,865 41	4,559 79
INTUIT			
COM	107 000	5,919 95	8,166 24
ISHARES RUSSELL 1000 VALUE ETF	1,700.000	98,345.00	160,089.00
J P MORGAN CHASE & CO			
COM	783 000	35,742 62	45,789 84
JOHNSON & JOHNSON			
COM	779 000	43,189.20	71,348.61
JOHNSON CTLS INC			
COM	469 000	13,723 16	24,059 70
JUNIPER NETWORKS INC			
COM	920 000	17,640.20	20,764.40
KANSAS CITY SOUTHERN			

## GERALD JANET CARRUS FOUNDATION

13-3929249

## BALANCE SHEET

12/31/2013

Asset Type	Units	Fed Tax Cost	Market Value
NEW COM	44 000	1,513 81	5,448 52
KIMBERLY CLARK CORP			
COM	527 000	37,017 24	55,050 42
KROGER CO			
COM	100 000	3,235 67	3,953.00
LAUDER ESTEE COS INC			
CL A	430 000	28,944 94	32,387 60
LILLY ELI & CO			
COM	1,339.000	64,368 14	68,289 00
LINKEDIN CORP			
CL A COM	346 000	37,140.50	75,023.18
LORILLARD INC			
COM	55 000	2,836 75	2,787 40
MACYS INC			
COM	66 000	2,626 82	3,524 40
MCGRAW HILL FINL INC			
COM	70 000	3,862 21	5,474 00
MCKESSON CORP			
COM	103 000	17,110 66	16,624 20
MEAD JOHNSON NUTRITION CO			
COM	66 000	4,106 88	5,528 16
MERCK & CO INC			
NEW COM	1,593.000	49,860 58	79,729 65
METLIFE INC			
COM	1,068.000	41,020 26	57,586 56
MICHAEL KORS HLDGS LTD			
COM			
ISIN VGG607541015 VIRGIN ISLANDS	1,501 000	72,487.61	121,866 19
MICROSOFT CORP			
COM	1,762 000	38,101 88	65,916.42
MONDELEZ INTL INC			
COM	882 000	17,611.99	31,134 60
MONSANTO CO			
NEW COM	1,082 000	98,457 15	126,107 10
MOODYS CORP			
COM	77 000	2,268.05	6,042.19
NETFLIX COM INC			
COM	12 000	2,371.49	4,418 04
NEXTERA ENERGY INC			

**GERALD JANET CARRUS FOUNDATION**

**13-3929249**

**BALANCE SHEET**

**12/31/2013**

<b>Asset Type</b>	<b>Units</b>	<b>Fed Tax Cost</b>	<b>Market Value</b>
COM	793 000	37,891.92	67,896 66
NIKE INC			
CL B	362 000	14,637.47	28,467 68
OCCIDENTAL PETE CORP DEL			
COM	318 000	24,415.94	30,241 80
PEPSICO INC			
COM	353 000	23,044.40	29,277 82
PHILIP MORRIS INTL INC			
COM	992 000	54,183 27	86,432.96
PIONEER NAT RES CO			
COM	18.000	3,856 58	3,313 26
PRECISION CASTPARTS CORP			
COM	390.000	58,015 02	105,027 00
PRICELINE GROUP INC			
COM NEW	112.000	26,899 37	130,188 80
PROCTER & GAMBLE CO			
COM	351.000	21,945 27	28,574 91
QUALCOMM INC			
COM	398.000	14,669.77	29,551 50
RALPH LAUREN CORP			
CL A COM	118 000	19,774.58	20,835 26
RAYTHEON CO			
COM NEW	768 000	40,465.30	69,657 60
ROCKWELL AUTOMATION INC			
COM	51.000	4,104 59	6,026 16
ROPER INDS INC NEW			
COM	22 000	2,855 70	3,050 96
SALESFORCE COM INC			
COM	1,828 000	35,546 85	100,887 32
SBA COMMUNICATIONS CORP			
COM	113 000	7,612 26	10,151.92
SHERWIN WILLIAMS CO			
COM	67 000	9,253.85	12,294 50
ST JUDE MED INC			
COM	61 000	3,536.60	3,778 95
STARBUCKS CORP			
COM	289 000	14,471.52	22,654.71
STARWOOD HOTELS & RESORTS			
WORLDWIDE INC COM	89 000	5,142 68	7,071.05

**GERALD JANET CARRUS FOUNDATION**

**13-3929249**

**BALANCE SHEET**

**12/31/2013**

<b>Asset Type</b>	<b>Units</b>	<b>Fed Tax Cost</b>	<b>Market Value</b>
STATE STR CORP			
COM	326 000	21,296 88	23,925 14
STRYKER CORP			
COM	243 000	11,886 18	18,259 02
TESLA MTRS INC			
COM	612.000	94,495 90	92,062 55
TJX COS INC NEW			
COM	1,816 000	73,707 72	115,733.68
TRAVELERS COS INC			
COM	694 000	27,510 71	62,834.76
TRIPADVISOR INC			
COM	33 000	1,111 96	2,733.39
UNITED PARCEL SVC INC			
CL B	274 000	17,171 25	28,791 92
V F CORP			
COM	100 000	5,134 94	6,234 00
VERTEX PHARMACEUTICALS INC			
COM	1,185 000	91,084 18	88,045 50
VISA INC			
CL A COM	527 000	47,589.95	117,352 36
VMWARE INC			
CL A COM	1,251 000	95,051.86	112,227.21
WELLS FARGO & CO			
NEW COM	677 000	22,316 82	30,735.80
WHOLE FOODS MKT INC			
COM	45 000	1,306 57	2,602 35
WYNN RESORTS LTD			
COM	31 000	3,200.58	6,020 51
XILINX INC			
COM	68.000	2,498.11	3,122.56
ZOETIS INC			
CL A COM	170 000	5,454.84	5,557.30
3M CO			
COM	435 000	25,318.00	61,008.75
<b>Total U.S. LARGE CAP</b>		<b>3,193,430.96</b>	<b>5,198,259 66</b>
 <b>U.S. MID CAP</b>			
AFFILIATED MANAGERS GROUP			
COM	57.000	5,331.98	12,362 16

**GERALD JANET CARRUS FOUNDATION**

**13-3929249**

**BALANCE SHEET**

**12/31/2013**

<b>Asset Type</b>	<b>Units</b>	<b>Fed Tax Cost</b>	<b>Market Value</b>
AIR LEASE CORP			
CL A COM	315 000	6,142 39	9,790 20
ARRIS GROUP INC NEW			
COM	474 000	7,975 39	11,537 16
ATMEL CORP			
COM	1,245 000	8,342 17	9,748 35
BANKUNITED INC			
COM	98 000	2,751 09	3,226.16
BROOKDALE SENIOR LIVING INC			
COM	182 000	3,472 64	4,946.76
BRUKER CORP			
COM	503.000	7,436 24	9,944.31
CABOT CORP			
COM	221 000	8,115 43	11,359 40
CARPENTER TECHNOLOGY CORP			
COM	187 000	9,103 24	11,631 40
CBRE GROUP INC			
CL A COM	128 000	2,909 56	3,366 40
COLUMBIA ACORN FUND			
CLASS Z SHARES	17,876.296	500,000 00	667,143 37
COMMUNITY HEALTH SYS INC NEWCO			
COM	85 000	3,588.50	3,337 95
COMMVault SYS INC			
COM	60 000	4,946 10	4,491 72
CONCUR TECHNOLOGIES INC			
COM	39.000	3,789.92	4,024 02
COSTAR GROUP INC			
COM	28 000	3,057 61	5,168.24
CRANE CO			
COM	183 000	8,302.69	12,306 75
CREE INC			
COM	51 000	2,966.28	3,188 52
DARLING INTL INC			
COM	455 000	6,340 97	9,500.40
DIAMOND OFFSHORE DRILLING INC			
COM	1,138 000	80,734 56	64,774.96
DIEBOLD INC			
COM	340.000	10,001.94	11,223 40
DUNKIN BRANDS GROUP INC			

**GERALD JANET CARRUS FOUNDATION**

**13-3929249**

**BALANCE SHEET**

**12/31/2013**

<b>Asset Type</b>	<b>Units</b>	<b>Fed Tax Cost</b>	<b>Market Value</b>
COM	91.000	3,595.26	4,386.20
EAGLE MATERIALS INC			
COM	18 000	1,427 57	1,393 74
EQUINIX INC			
NEW COM	17 000	2,989 87	3,016 65
FIRST NIAGARA FINL GROUP INC			
NEW COM	934 000	7,705 21	9,919 08
FIRST REP BK SAN FRANCISCO			
CA NEW COM	47 000	1,584.53	2,460 45
FLEETCOR TECHNOLOGIES INC			
COM	34 000	3,767.64	3,983 78
FOOT LOCKER INC			
COM	89 000	2,633 71	3,688 16
FORTUNE BRANDS HOME & SEC INC			
COM	137 000	4,141 50	6,260.90
FORUM ENERGY TECHNOLOGIES INC			
COM	105 000	2,936 24	2,967 30
F5 NETWORKS INC			
COM	35 000	3,309.75	3,180 10
GARTNER GROUP INC NEW			
CL A	59.000	3,291 76	4,191 95
GULFPORT ENERGY CORP			
NEW COM	69 000	3,600 38	4,355 97
HANOVER INS GROUP INC			
COM	175 000	6,524 93	10,449 25
HEALTH NET INC			
COM	377.000	10,815 38	11,185 59
HERBALIFE LTD			
COM			
CAYMAN ISLANDS	58 000	1,613 79	4,564.60
HUNT J B TRANS SVCS INC			
COM	48 000	2,600.95	3,710 40
INTERCONTINENTALEXCHANGE GROUP			
INC COM	23 000	3,089 17	5,173 16
INTERPUBLIC GROUP COS INC			
COM	178 000	2,854.18	3,150 60
ITC HLDGS CORP			
COM	33.000	2,223.58	3,162 06
JDS UNIPHASE CORP			

## GERALD JANET CARRUS FOUNDATION

13-3929249

## BALANCE SHEET

12/31/2013

Asset Type	Units	Fed Tax Cost	Market Value
COM	848 000	9,600 17	11,011 28
JOHN HANCOCK FDS III DISCIPLINED			
VALUE MID CAP FUND	28,800 000	360,000 00	522,432 00
KIRBY CORP			
COM	47 000	4,066 39	4,664.75
LENNAR CORP			
COM	129 000	4,484 27	5,103 24
LKQ CORP			
COM	120 000	2,362 52	3,948 00
LULULEMON ATHLETICA INC			
COM	59.000	3,730 61	3,482 77
MASCO CORP			
COM	248 000	5,499 46	5,646 96
MICROSEMI CORP			
COM	439 000	8,425.12	10,953 05
NORWEGIAN CRUISE LINE HLDGS LTD			
COM			
ISIN BMG667211046 BERMUDA	100 000	3,208 78	3,547 00
OCEANEERING INTL INC			
COM	46 000	2,364 32	3,628 48
PALL CORP			
COM	43 000	2,855.46	3,670 05
PANDORA MEDIA INC			
COM	133.000	2,587 42	3,537 80
PHARMACYCLICS INC	44 000	3,983 68	4,654.32
POLARIS INDS INC			
COM	40 000	2,824 02	5,825 60
PROASSURANCE CORP			
COM	219.000	10,209 49	10,617 12
PTC INC			
COM	334 000	6,694 39	11,820.26
REALOGY HLDGS CORP			
COM	139.000	6,236.92	6,876 33
RED HAT INC			
COM	2,036 000	98,790 34	114,097.44
REGAL BELOIT CORP			
COM	151.000	9,785 25	11,131 72
ROCK-TENN CO			
CL A	40.000	2,495 23	4,200.40

## GERALD JANET CARRUS FOUNDATION

13-3929249

## BALANCE SHEET

12/31/2013

Asset Type	Units	Fed Tax Cost	Market Value
SCOTTS MIRACLE GRO CO			
CL A COM	195 000	8,833 22	12,132.90
SERVICENOW INC			
COM	77 000	3,495.49	4,312 77
SIGNATURE BK NEW YORK N Y			
COM	60.000	3,832.94	6,445 20
SIRONA DENTAL SYS INC			
COM	65 000	4,619 40	4,563 00
SM ENERGY CO			
COM	54 000	3,305 97	4,487.94
SPLUNK INC			
COM	42.000	2,162.70	2,884 14
STERICYCLE INC			
COM	39 000	2,126 64	4,530 63
TCF FINL CORP			
COM	689 000	7,817 27	11,196 25
TERADYNE INC			
COM	597.000	8,428 26	10,519 14
TRANSDIGM GROUP INC			
COM	25.000	3,489.39	4,025 50
ULTA SALON COSMETICS & FRAGRANCE			
INC COM	41 000	2,100 13	3,957.32
UNITED RENTALS INC			
COM	52.000	1,621 30	4,053 40
URBAN OUTFITTERS INC			
COM	93 000	3,641 86	3,450 30
VERISK ANALYTICS INC			
CL A COM	121 000	5,536 18	7,952.12
WESTERN REFNG INC			
COM	282.000	8,739.26	11,959 62
<b>Total U.S. MID CAP</b>		<b>1,375,967 95</b>	<b>1,811,590 37</b>
<b>U.S. SMALL CAP</b>			
ANN INC			
COM	300.000	8,649.70	10,968 00
APPROACH RES INC			
COM	333 000	8,250.39	6,426.90
BEACON ROOFING SUPPLY INC			
COM	285.000	10,201 27	11,479 80

## GERALD JANET CARRUS FOUNDATION

13-3929249

## BALANCE SHEET

12/31/2013

Asset Type	Units	Fed Tax Cost	Market Value
BJS RESTAURANTS INC			
COM	229 000	7,477 68	7,112 74
BRINKS CO			
COM	257 000	6,366 31	8,773 98
C&J ENERGY SVCS INC			
COM	289 000	6,293 31	6,675.90
CATHAY GENERAL BANCORP			
COM	498 000	7,129 22	13,311 54
CORNERSTONE ONDEMAND INC			
COM	72 000	3,759 16	3,838 46
ELIZABETH ARDEN INC			
COM	209 000	7,365 69	7,409 05
EXELIS INC			
COM	621 000	6,726 15	11,836 26
EXPRESS INC			
COM	580 000	10,653 18	10,828 60
GENESCO INC			
COM	154 000	9,597 17	11,251 24
GROUP 1 AUTOMOTIVE INC			
COM	160 000	10,280 38	11,363 20
GUIDEWIRE SOFTWARE INC			
COM	97 000	4,775 90	4,759 79
HAEMONETICS CORP			
COM	231 000	9,907 93	9,732 03
HARSCO CORP			
COM	381 000	7,885 67	10,679 43
INTEGRA LIFESCIENCES HLDGS CORP			
COM NEW	178 000	5,839 06	8,492 38
INTEGRATED DEVICE TECHNOLOGY			
COM	743 000	6,929 81	7,563.74
KAISER ALUM CORP			
COM	152 000	10,346.69	10,676 48
KEY ENERGY SVCS INC			
COM	1,207.000	10,016.80	9,535 30
LATTICE SEMICONDUCTOR CORP			
COM	1,414.000	7,501 86	7,762 86
MAGELLAN HEALTH SVCS INC			
NEW COM	191 000	9,396.50	11,442.81
QUALITY SYS INC			

**GERALD JANET CARRUS FOUNDATION**

**13-3929249**

**BALANCE SHEET**

**12/31/2013**

<b>Asset Type</b>	<b>Units</b>	<b>Fed Tax Cost</b>	<b>Market Value</b>
COM	333 000	6,046 99	7,012 98
SCHOLASTIC CORP			
COM	254 000	7,504 64	8,638 54
TETRA TECH INC NEW			
COM	364 000	8,525 28	10,184 72
THIRD POINT REINSURANCE LTD			
COM			
BERMUDA	513 000	6,735 13	9,505 89
TIVO INC			
COM	640 000	8,136 13	8,396 80
UMPQUA HLDGS CORP			
COM	580 000	7,287 84	11,101 20
UNISYS CORP			
NEW COM	413 000	10,308 42	13,864.41
<b>Total U.S. SMALL CAP</b>		<b>229,894 26</b>	<b>270,625 03</b>
 <b>INTERNATIONAL DEVELOPED</b>			
ACTAVIS PLC			
COM			
IRELAND	33 000	4,752 00	5,544 00
AERCAP HOLDINGS NV			
COM			
ISIN NL0000687663 NETHERLANDS	486 000	5,387 65	18,638 10
AON PLC			
COM			
ISIN GB00B5BT0K07 UNITED KINGDOM	59 000	4,452 58	4,949 51
ARM HLDGS PLC			
SPONSORED ADR			
UNITED KINGDOM	1,313 000	54,967.76	71,861 80
ASTRAZENECA PLC			
SPONSORED ADR			
UNITED KINGDOM	1,169 000	54,929 21	69,403 53
AVG TECHNOLOGIES NV			
COM			
NETHERLANDS	461 000	9,458 92	7,933.81
BCE INC			
NEW COM			
CANADA	1,546 000	70,070 15	66,926 34
CATAMARAN CORP			

## GERALD JANET CARRUS FOUNDATION

13-3929249

## BALANCE SHEET

12/31/2013

Asset Type	Units	Fed Tax Cost	Market Value
COM			
CANADA	115 000	5,586 87	5,458 13
COLUMBIA ACORN INTERNATIONAL FUND CLASS Z SHARES	11,409 248	459,368 84	532,583 70
DIAGEO PLC			
SPONSORED ADR NEW UNITED KINGDOM	445 000	34,465 25	58,926 90
FOSTER WHEELER AG			
COM			
SWITZERLAND	336 000	7,581 68	11,088 34
HARBOR INTERNATIONAL FUND			
INSTL CL	5,830.675	360,607 71	414,036.23
HSBC HLDGS PLC -			
SPON ADR NEW			
UNITED KINGDOM	1,035 000	46,570 86	57,059.55
INGERSOLL-RAND CO LTD			
COM			
IRELAND	83 000	3,468 96	5,112 80
MERCADOLIBRE INC			
COM	682 000	83,725 51	73,512 78
PERRIGO CO			
COM			
IRELAND	55 000	8,371 28	8,440.30
ROYAL DUTCH SHELL PLC			
SPONSORED ADR CL B			
UNITED KINGDOM	929.000	65,218.22	69,777 19
UNILEVER N V			
NEW NEW YORK SHSADR			
NETHERLANDS	1,573 000	41,118 22	63,281.79
VODAFONE GROUP PLC			
SPONSORED ADR NEW			
UNITED KINGDOM	1,767 000	50,530.88	69,460 77
<b>Total INTERNATIONAL DEVELOPED</b>		1,370,632.55	1,613,995 57
<b>EMERGING MARKETS</b>			
BAIDU INC			
SPONSORED ADR REPSTG ORD SHS			
CAYMAN ISLANDS	454.000	32,622.26	80,757 52
ISHARES MSCI EMERGING MARKETS			

**GERALD JANET CARRUS FOUNDATION**

**13-3929249**

**BALANCE SHEET**

**12/31/2013**

<b>Asset Type</b>	<b>Units</b>	<b>Fed Tax Cost</b>	<b>Market Value</b>
ETF	21,250.000	734,863.75	888,143.75
<b>Total EMERGING MARKETS</b>		767,486.01	968,901.27
<b>EQUITY OTHER</b>			
SEAWORLD ENTMT INC			
COM	442.000	14,273.03	12,716.34
<b>Total EQUITY OTHER</b>		14,273.03	12,716.34
<b>Total EQUITIES</b>		6,951,684.76	9,876,088.24
<b>FIXED INCOME</b>			
<b>INVESTMENT GRADE TAXABLE</b>			
PIMCO TOTAL RETURN FUND			
INSTL	10,366.233	108,884.97	110,815.03
PRUDENTIAL SHORT-TERM CORPORATE			
BD FUND INC CL Z	12,771.855	147,343.84	145,088.27
<b>Total INVESTMENT GRADE TAXABLE</b>		256,228.81	255,903.30
<b>INTERNATIONAL DEVELOPED BONDS</b>			
TEMPLETON GLOBAL BD FUND			
ADVISOR CL	9,415.063	124,655.44	123,243.17
<b>Total INTERNATIONAL DEVELOPED BONDS</b>		124,655.44	123,243.17
<b>GLOBAL HIGH YIELD TAXABLE</b>			
ARTIO GLOBAL HIGH INCOME FUND			
CL I	27,361.446	235,906.45	274,982.53
FRANKLIN FTLG RATE DAILY ACCESS			
FUND CL AD	5,452.563	50,000.00	50,218.11
<b>Total GLOBAL HIGH YIELD TAXABLE</b>		285,906.45	325,200.64
<b>Total FIXED INCOME</b>		666,790.70	704,347.11
<b>HEDGE FUNDS</b>			
<b>HEDGE FUNDS SPECIFIC STRATEGY</b>			
PIMCO ALL ASSET FUND			

**GERALD JANET CARRUS FOUNDATION****13-3929249****BALANCE SHEET****12/31/2013**

<b>Asset Type</b>	<b>Units</b>	<b>Fed Tax Cost</b>	<b>Market Value</b>
INSTL CL	14,239.219	175,000 00	172,009.77
<b>Total HEDGE FUNDS SPECIFIC STRATEGY</b>		175,000 00	172,009.77
<b>Total HEDGE FUNDS</b>		175,000 00	172,009.77
 <b>REAL ESTATE</b>			
<b>REAL ESTATE PRIVATE OWNERSHIP</b>			
<b>BA DIVERSIFIED REAL ESTATE FUND</b>			
<b>LP</b>			
(VALUATION AS OF 12/31/13)	188,195 940	224,489 17	188,195 94
<b>Total REAL ESTATE PRIVATE OWNERSHIP</b>		224,489 17	188,195 94
 <b>PUBLIC REITS</b>			
<b>HCP INC</b>			
<b>REIT</b>	1,640 000	50,752.84	59,564 80
<b>HEALTH CARE REIT INC</b>			
<b>COM</b>	1,227 000	49,068 76	65,730 39
<b>PLUM CREEK TIMBER CO INC</b>			
<b>COM</b>	147 000	6,346 15	6,836.97
<b>Total PUBLIC REITS</b>		106,167 75	132,132 16
<b>Total REAL ESTATE</b>		330,656 92	320,328 10
 <b>TANGIBLE ASSETS</b>			
<b>COMMODITIES</b>			
<b>PIMCO COMMODITY REALRETURN</b>			
<b>STRATEGY FUND</b>	74,077 312	577,888.08	406,684.44
<b>TOTAL ASSETS</b>		9,596,000.46	12,373,437.66
<b>NET TOTAL</b>		9,596,000.46	12,373,437 66

Form **8868**

(Rev. January 2014)

Department of the Treasury  
Internal Revenue Service**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No 1545-1709

► File a separate application for each return.  
► Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868)

- If you are filing for an **Automatic 3-Month Extension**, complete **only Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete **only Part II** (on page 2 of this form)
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

<b>Type or print</b>  File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions		Employer identification number (EIN) or
	GERALD & JANET CARRUS FOUNDATION		13-3929249
	Number, street, and room or suite no. If a P.O. box, see instructions		Social security number (SSN)
	BANK OF AMERICA NA 231 S LASALLE STREET		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions		
	CHICAGO, IL 60697		

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► Bank of America, N.A.

Telephone No ► (866) 752-2127

FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 14, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☒ calendar year 20 13 or
- ☐ tax year beginning \_\_\_\_\_, 20 \_\_\_\_\_, and ending \_\_\_\_\_, 20 \_\_\_\_\_

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a \$	11,852.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	6,763.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c \$	5,089

**Caution** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions

Form 8868 (Rev. 1-2014)

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