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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052







2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation MAURICE SENDAK FOUNDATION INC		A Employer identification number 13-3807627	
Number and street (or P O box number if mail is not delivered to street address) 200 CHESTNUT HILL RD		B Telephone number (see instructions) (203) 438-9224	
City or town, state or province, country, and ZIP or foreign postal code RIDGEFIELD, CT 06877		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 14,084,797	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	8,504,019			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	307,666	219,499	307,666	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-41,449			
	b Gross sales price for all assets on line 6a 7,476,090				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	983,790	983,790	983,790	
	12 Total. Add lines 1 through 11	9,754,026	1,203,289	1,291,456	
	13 Compensation of officers, directors, trustees, etc	165,000	165,000		
	14 Other employee salaries and wages	15,269	15,269		
	15 Pension plans, employee benefits	20,326	20,326		
	16a Legal fees (attach schedule)	52,084	52,084		
	b Accounting fees (attach schedule)	9,406	9,406		
	c Other professional fees (attach schedule)	34,377	34,377		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	6,656	6,656		
	19 Depreciation (attach schedule) and depletion	20,000	20,000		
	20 Occupancy	35,837	35,837		
	21 Travel, conferences, and meetings	1,515	1,515		
	22 Printing and publications				
	23 Other expenses (attach schedule)	13,801	13,801		
	24 Total operating and administrative expenses. Add lines 13 through 23	374,271	374,271		0
	25 Contributions, gifts, grants paid	630,000			630,000
	26 Total expenses and disbursements. Add lines 24 and 25	1,004,271	374,271		630,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	8,749,755			
	b Net investment income (if negative, enter -0-)		829,018		
	c Adjusted net income (if negative, enter -0-)			1,291,456	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	3,459	10,614	10,614
	2	Savings and temporary cash investments	679,324	1,630,827	1,630,827
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ <u>107,002</u> Less allowance for doubtful accounts ▶ _____		107,002	107,002
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	2,362,415	 6,331,358	6,975,770
	c	Investments—corporate bonds (attach schedule).	1,684,554	 200,000	200,000
	11	Investments—land, buildings, and equipment basis ▶ <u>1,575,000</u> Less accumulated depreciation (attach schedule) ▶ _____		 1,575,000	1,510,000
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)		 2,450,584	2,450,584
	14	Land, buildings, and equipment basis ▶ <u>1,200,000</u> Less accumulated depreciation (attach schedule) ▶ <u>20,000</u>		 1,180,000	1,200,000
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,729,752	13,485,385	14,084,797
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)		 5,878	
	23	Total liabilities (add lines 17 through 22)		5,878	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	4,729,752	13,479,507	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	4,729,752	13,479,507	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	4,729,752	13,485,385	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	4,729,752
2	Enter amount from Part I, line 27a	2	8,749,755
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	13,479,507
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	13,479,507

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-41,449
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-143,732

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012			
2011			
2010			
2009			
2008			


2	Total of line 1, column (d).	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	
5	Multiply line 4 by line 3.	5	
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	
7	Add lines 5 and 6.	7	
8	Enter qualifying distributions from Part XII, line 4.	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	16,580
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	16,580
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	16,580
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	4,200
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	4,200
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	12,380
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions): <input type="checkbox"/> DE _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> 	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of TAXPAYER Telephone no (203) 438-9224 Located at 200 CHESTNUT HILL RD RIDGEFIELD CT ZIP +4 06877			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
		15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country			

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

NONE

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A

Expenses

1

Part IX-B

Amount

1 N/A

Total. Add lines 1 through 3

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	5,003,670
b	Average of monthly cash balances.	1b	852,127
c	Fair market value of all other assets (see instructions).	1c	412,500
d	Total (add lines 1a, b, and c).	1d	6,268,297
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	6,268,297
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	94,024
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,174,273
6	Minimum investment return. Enter 5% of line 5.	6	308,714

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	308,714
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	16,580
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	16,580
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	292,134
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	292,134
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	292,134

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	630,000
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	630,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	630,000
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				292,134
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.	43,790			
c From 2010.	78,536			
d From 2011.	4,471			
e From 2012.				
f Total of lines 3a through e.	126,797			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 630,000				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				292,134
e Remaining amount distributed out of corpus	337,866			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	464,663			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions.				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions.				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a.	464,663			
10 Analysis of line 9				
a Excess from 2009.	43,790			
b Excess from 2010.	78,536			
c Excess from 2011.	4,471			
d Excess from 2012.				
e Excess from 2013.	337,866			

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . .

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

■ List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	630,000
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

1a(1)

No

2

Other assets.

1a(2)

No

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

1b(1)

No

2

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

3

Rental of facilities, equipment, or other assets.

1b(3)

No

4

Reimbursement arrangements.

1b(4)

No

5

Loans or loan guarantees.

1b(5)

No

6

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes

☒ No

2b

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

2014-08-06

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes

☐ No

Paid Preparer Use Only

Print/Type preparer's name
SUSAN ANDRIULLI

Preparer's Signature

Date
2014-08-07

Check if self-employed ☐

PTIN
P00022961

Firm's name

WAKOFF ANDRIULLI & CO LLC

Firm's EIN

22-2684022

Firm's address

100 CRAIG RD STE 109 MANALAPAN, NJ 07726

Phone no

(732) 866-8882

Form 990-PF (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ABBOTT LABS	D	2011-07-01	2013-01-07
DREYFUS OPPORTUNISTIC SMALL CAP	P	2013-09-05	2013-12-04
JOHNSON AND JOHNSON COM	P	2013-03-07	2013-07-01
PAYCHEX INC	P	2012-07-01	2013-07-01
AUTOZONE INC NEVADA COM	P	2012-03-05	2013-11-08
GENL DYNAMICS CORP COM	P	2012-11-23	2013-05-07
MARATHON PETROLEUM CORP	P	2013-01-09	2013-08-08
BK OF AMER CORP 7 75% 20	P	2010-08-09	2013-05-02
ABBOTT LABS	D	2012-07-01	2013-07-01
DU PONT E I DE NEMOURS	P	2012-07-01	2013-11-07
LINEAR TECHNOLOGY CORP	P	2012-12-03	2013-07-01
PRDNTL JEN MID CAP GRW Z	P	2012-12-03	2013-10-03
BIOGEN IDEC INC	P	2013-07-09	2013-09-16
GENL DYNAMICS CORP COM	P	2012-12-05	2013-12-09
MICRON TECHNOLOGY INC	P	2012-12-05	2013-11-08
GENERAL ELEC CAP CORP	D	2010-02-22	2013-02-27
ABBVIE INC SHS	P	2013-01-05	2013-01-07
EATON CORP PLC	D	2012-07-01	2013-07-01
MCDONALDS CORP COM	D	2011-07-01	2013-07-01
PROCTER & GAMBLE CO	P	2013-02-06	2013-07-01
CAPITAL ONE FINL	P	2012-12-05	2013-09-16
HESS CORP	P	2013-03-08	2013-07-01
NEW NEWSCORP LLC SHS	P	2013-07-05	2013-07-09
HSBC FINANCE CORP	D	2010-07-09	2013-04-09
AIR PRODUCTS&CHEM	P	2013-05-07	2013-07-08
EMERSON ELEC CO	P	2012-07-01	2013-07-01
MEDTRONIC INC COM	P	2012-07-01	2013-07-01
PRUDENTIAL JENNISON MID	P	2012-12-03	2013-10-03
CARMAX INC	P	2011-11-03	2013-01-09
HUNTINGTN BANCSHS INC MD	P	2013-08-08	2013-12-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
NEWS CORP CL A	P	2012-12-05	2013-07-01
HSBC FINANCE CORP	P	2008-01-14	2013-01-15
AUTOMATIC DATA PROC	P	2012-04-03	2013-02-06
EXXON MOBIL CORP COM	D	2012-02-01	2013-03-07
MEDTRONIC INC COM	D	2011-07-01	2013-07-01
RAYTHEON CO DELAWARE NEW	P	2013-09-09	2013-12-01
CARMAX INC	P	2012-05-07	2013-01-09
ISHARES MORNINGSTAR MID-CAP	P	2010-11-03	2013-07-01
O'REILLY AUTOMOTIVE INC	P	2012-12-05	2013-07-01
LD AB SHT DURTN INC FD A	P	2013-03-08	2013-09-04
AUTOMATIC DATA PROC	D	2011-07-01	2013-07-01
EXXON MOBIL CORP COM	D	2012-12-03	2013-07-01
MFS INTL DIV I	P	2012-12-03	2013-07-01
TARGET CORP COM	P	2012-07-01	2013-07-01
CENTURYLINK INC SHS	P	2011-06-03	2013-09-10
ISHARES MORNINGSTAR SMALL CAP	P	2010-11-03	2013-07-01
PETSMART INC	P	2013-02-08	2013-11-08
MERRILL LYNCH & 5 70% 20	P	2010-10-29	2013-03-06
BAXTER INTERNTL INC	D	2011-07-01	2013-07-01
GENL DYNAMICS CORP COM	D	2011-07-01	2013-07-01
MFS NEW DISCOVERY FD CL	P	2012-12-03	2013-10-03
TIFFANY & CO NEW	P	2013-01-07	2013-07-01
CENTURYLINK INC SHS	P	2012-12-05	2013-09-10
ISHARES MSCI EAFE	P	2011-03-03	2013-10-08
SANDISK CORP INC	P	2013-04-08	2013-11-08
MORGAN STANLEY 4 75% 201	P	2010-07-15	2013-02-08
BAXTER INTERNTL INC	P	2012-12-03	2013-08-07
GENL DYNAMICS CORP COM	P	2012-12-03	2013-02-06
MFS NEW DISCOVERY I	P	2012-12-03	2013-07-01
UNITED TECHS CORP COM	P	2012-07-01	2013-07-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CHESAPEAKE ENERGY OKLA	P	2012-03-05	2013-02-08
ISHARES MSCI EAFE INDEX	P	2011-03-03	2013-05-07
TERADATA CORP DEL	P	2012-07-01	2013-10-08
ROYAL BK OF SCOTL 4 87%	P	2010-07-16	2013-01-16
C H ROBINSON WORLDWIDE,	P	2012-07-01	2013-01-07
GENUINE PARTS CO	P	2013-07-08	2013-08-07
MICROSOFT CORP	P	2012-07-01	2013-12-06
WAL-MART STORES INC	D	2011-07-01	2013-07-01
CHESAPEAKE ENERGY OKLA	P	2012-03-05	2013-07-01
ISHARES MSCI EMERGING	P	2010-11-03	2013-01-09
TERADATA CORP DEL	P	2013-07-01	2013-10-08
COOP 40 FIFTH AVE	D	2013-09-09	2013-09-09
CHEVRON CORP	D	2011-07-01	2013-07-01
HONEYWELL INTL INC DEL	D	2011-07-01	2013-07-01
MICROSOFT CORP	P	2011-07-01	2013-07-01
3M COMPANY	P	2012-12-03	2013-07-01
CONSTELLATION BRANDS INC	P	2012-12-05	2013-02-08
ISHARES TR MID CORE	P	2010-11-03	2013-07-01
TWENTY-FIRST CENTURY FOX	P	2013-07-03	2013-07-10
MICKY MOUSE COLLECTIBLES	D	2013-09-18	2013-12-05
CHEVRON CORP	P	2012-12-03	2013-06-07
HONEYWELL INTL INC DEL	P	2012-12-03	2013-07-08
NEUBERGER BERMAN EQUITY	P	2012-12-03	2013-03-05
ABBVIE INC SHS	P	2013-09-10	2013-11-08
DISCOVERY COMMUNICATN	P	2013-01-09	2013-04-08
ISHARES TR SMALL CORE	P	2010-11-03	2013-07-01
VALERO ENERGY CORP NEW	P	2013-08-08	2013-11-08
COCA COLA COM	D	2011-07-01	2013-07-01
HOTCHKIS AND WILEY	P	2013-03-05	2013-12-04
NORTHROP GRUMMAN CORP	P	2013-08-07	2013-12-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ACTAVIS INC	P	2012-12-05	2013-02-08
EATON CORP PLC	P	2012-12-05	2013-07-01
JACOBS ENGN GRP INC DELA	P	2011-07-01	2013-04-08
VERTEX PHARMCTLS INC	P	2013-10-08	2013-12-09
COCA COLA COM	P	2012-07-01	2013-07-01
HW MID CAP VALUE CL I	P	2013-03-05	2013-07-01
OPPENHEIMER DEVELOPING	P	2012-12-03	2013-10-03
AMAZON COM INC	P	2012-05-07	2013-10-08
EXXON MOBIL CORP COM	P	2012-11-23	2013-12-01
JACOBS ENGN GRP INC DELA	P	2012-05-07	2013-04-08
WELLS FARGO & CO NEW DEL	P	2012-12-05	2013-07-01
CSX CORP	P	2013-08-07	2013-09-09
ISHARES MORNINGSTAR SMALL CAP	P	2012-12-03	2013-07-01
OPPENHMR DEV MRKTS CL Y	P	2012-12-03	2013-10-03
APACHE CORP	P	2012-12-05	2013-03-08
FLUOR CORP NEW DEL COM	P	2011-12-05	2013-07-01
JPMORGAN CHASE & CO	P	2013-02-08	2013-08-08
WHIRLPOOL CORP	P	2012-07-01	2013-07-01
DREYFUS OPP SMALL CAP FD	P	2013-09-05	2013-12-04
ISHARES TR SMALL VALUE	P	2012-12-03	2013-09-09
PAYCHEX INC	D	2012-04-03	2013-07-01
AUTONATION INC	P	2013-11-08	2013-12-09
FREEPRT-MCMRAN CPR & GLD	P	2012-12-05	2013-01-09
MARATHON OIL CORP	P	2013-07-09	2013-09-10
BANK OF AMERICA CORP SER MTN	D	2010-03-15	2013-03-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,010		8,058	-4,048
12		5	7
5,217		4,380	837
2,362		1,954	408
7,378		6,478	900
10,002		8,943	1,059
79,892		70,771	9,121
228,601		235,746	-7,145
37,850		30,036	7,814
66,969		66,378	591
18,572		16,265	2,307
1,981		1,686	295
9,021		7,176	1,845
1,521		1,135	386
60,723		31,550	29,173
277,233		273,943	3,290
20,770		19,458	1,312
47,003		42,097	4,906
8,639		7,988	651
9,437		9,220	217
8,413		7,529	884
4,969		4,544	425
2,911		2,210	701
211,345		200,000	11,345
51,130		50,047	1,083
16,345		16,605	-260
14,221		28,369	-14,148
16		15	1
16,874		13,918	2,956
3,387		3,292	95

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,530		2,151	379
100,000		100,888	-888
7,736		7,183	553
4,548		4,276	272
116,798		85,672	31,126
3,804		3,770	34
4,740		3,841	899
738		475	263
5,351		4,599	752
148,500		153,550	-5,050
6,938		5,677	1,261
4,770		4,726	44
7,767		6,878	889
113,574		118,610	-5,036
38,001		43,286	-5,285
4,823		3,347	1,476
39,546		36,714	2,832
227,385		208,262	19,123
8,276		7,431	845
4,876		4,840	36
4		4	
42,241		37,959	4,282
24,403		31,894	-7,491
2,431		2,377	54
45,936		37,574	8,362
210,087		205,034	5,053
50,997		46,927	4,070
36,700		37,504	-804
5,046		4,167	879
15,482		14,031	1,451

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,811		9,367	-1,556
5,129		5,092	37
11,698		13,309	-1,611
218,405		204,992	13,413
40,589		39,264	1,325
2,265		2,225	40
39,527		34,127	5,400
8,209		6,594	1,615
19,072		18,394	678
804		862	-58
28,083		25,746	2,337
3,125,000		3,414,649	-289,649
11,086		10,020	1,066
12,770		10,108	2,662
54,254		39,282	14,972
87,671		71,536	16,135
41,941		47,715	-5,774
2,886		2,137	749
23,611		17,091	6,520
123,366		123,366	
45,254		38,973	6,281
48,292		38,419	9,873
62,557		60,387	2,170
94,495		84,954	9,541
25,908		21,810	4,098
2,269		1,796	473
25,821		21,706	4,115
6,737		10,241	-3,504
22		16	6
5,332		5,073	259

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22,709		22,933	-224
11,895		9,844	2,051
24,833		20,835	3,998
270		307	-37
94,581		87,733	6,848
3,339		2,827	512
17		11	6
9,368		6,290	3,078
4,609		4,269	340
1,736		1,304	432
5,691		4,698	993
1,842		1,897	-55
72,174		61,395	10,779
1,761		1,614	147
47,278		50,279	-3,001
7,633		6,376	1,257
67,683		58,175	9,508
64,498		60,085	4,413
2,978		2,792	186
2,127		1,948	179
11,526		10,381	1,145
401		393	8
48,824		47,070	1,754
26,621		25,700	921
250,000		255,689	-5,689

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4,048
			7
			837
			408
			900
			1,059
			9,121
			-7,145
			7,814
			591
			2,307
			295
			1,845
			386
			29,173
			3,290
			1,312
			4,906
			651
			217
			884
			425
			701
			11,345
			1,083
			-260
			-14,148
			1
			2,956
			95

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			379
			-888
			553
			272
			31,126
			34
			899
			263
			752
			-5,050
			1,261
			44
			889
			-5,036
			-5,285
			1,476
			2,832
			19,123
			845
			36
			4,282
			-7,491
			54
			8,362
			5,053
			4,070
			-804
			879
			1,451







Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,556
			37
			-1,611
			13,413
			1,325
			40
			5,400
			1,615
			678
			-58
			2,337
			-289,649
			1,066
			2,662
			14,972
			16,135
			-5,774
			749
			6,520
			6,281
			9,873
			2,170
			9,541
			4,098
			473
			4,115
			-3,504
			6
			259


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-224
			2,051
			3,998
			-37
			6,848
			512
			6
			3,078
			340
			432
			993
			-55
			10,779
			147
			-3,001
			1,257
			9,508
			4,413
			186
			179
			1,145
			8
			1,754
			921
			-5,689

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LYNN CAPONERA  300 CHESTNUT HILL RD RIDGEFIELD, CT 06877	PRESIDENT 45 00	165,000	5,253	0
DONA MC ADAMS  5677 SANDGATE RD SANDGATE, VT 05250	DIRECTOR 1 00	0	4,463	0
MICHAEL DI CAPUA  221 EAST 17TH ST NEW YORK, NY 10003	DIRECTOR 1 00	0	0	0
DONALD HAMBURG  437 MADISON AVE NEW YORK, NY 10022	SECRETARY/TR 1 00	0	0	0
ANTONIA MARKIET  81 BEECHWOOD RD FLORHAM PARK, NJ 07932	DIRECTOR 1 00	0	0	0
JOHN VITALE  888 BELLIS PARKWAY ORADELL, NJ 07649	VICE PRES 1 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ROSENBACH MUSEUM AND LIBRARY 2008 DELANCEY PL PHILADELPHIA,PA 19103		501(C)(3)	MUSEUM	575,000
ALDRICH CONTEMPORARY ART MUSEUM 258 MAIN ST RIDGEFIELD,CT 06877		501(C)(3)	CREATIVE MUSEUM	10,000
NEW YORK LIBRARY ASSOCIATION 6021 STATE FARM RD GUILDERLAND,NY 12084		501(C)(3)	TO PROMOTE LIBRARIES IN NEW YORK	5,000
CONNECTICUT FOOD BANK 150 BRADLEY ST EAST HAVEN,CT 06512		501(C)(3)	COMMUNITY FOOD PROGRAM	5,000
ARTS HORIZONS 1 GRAND AVE ENGLEWOOD,NJ 07631		501(C)(3)	PROF DEVELOP OF ARTS FOR EDUCATION	5,000
COMMUNITY FOOD BANK OF NEW JERSEY 31 EVANS TERMINAL HILLSIDE,NJ 07205		501(C)(3)	COMMUNITY FOOD PROGRAM	5,000
FLORHAM PARK VOLUNTEER FIRE DEPT 315 BROOKLAKE RD FLORHAM PARK,NJ 07932		501(C)(3)	FIREFIGHTING EQUIPMENT AND TRAINING	2,500
FLORHAM PARK MEMORIAL FIRST AID SQU 60 FELCH RD FLORHAM PARK,NJ 07932		501(C)(3)	PROVIDING EMERGENCY MEDICAL CARE	2,500
SAGE CITY SYMPHONY BENNINGTON COLLEGE 1 COLLEGE DR BENNINGTON,VT 05201		501(C)(3)	OPPORTUNITY OF MUSIC TO VAR LEVELS	5,000
PS 118 MAURICE SENDAK CMMNTY SCHOOL 211 EIGHTH ST BROOKLYN,NY 11215		501(C)(3)	FOSTERS INTELLECTUAL LEARNING	5,000
OXFAM AMERICA 226 CAUSEWAY ST BOSTON,MA 02114		501(C)(3)	TYPHOON HAIYAN SUPPORT & REHAB	5,000
DOCTORS WITHOUT BORDERS 333 SEVENTH AVE NEW YORK,NY 10001		501(C)(3)	TYPHOON HAIYAN RELIEF	5,000
Total  3a				630,000

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.</div>	<div>OMB No 1545-0047</div> <div>2013</div>
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<div>Name of the organization</div> <div>MAURICE SENDAK FOUNDATION INC</div>	<div>Employer identification number</div> <div>13-3807627</div>
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<div><input type="checkbox"/> 501(c)() (enter number) organization</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div> <div><input type="checkbox"/> 527 political organization</div>
Form 990-PF	<div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div> <div><input type="checkbox"/> 501(c)(3) taxable private foundation</div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
MAURICE SENDAK FOUNDATION INC

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	AS BENEFICIARY FROM MAURICE SENDAK 200 CHESTNUT HILL RD RIDGEFIELD, CT 06877	\$ 8,504,019	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization MAURICE SENDAK FOUNDATION INC	Employer identification number 13-3807627
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Part II	Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	See Additional Data Table	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____

Name of organization MAURICE SENDAK FOUNDATION INC	Employer identification number 13-3807627
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

Additional Data

Software ID:
Software Version:
EIN: 13-3807627
Name: MAURICE SENDAK FOUNDATION INC

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	200 CHESTNUT HILL RD, CT	\$ <u>1,200,000</u>	<u>2013-07-12</u>
<u>1</u>	MICKEY MOUSE COLLECTION	\$ <u>573,950</u>	<u>2013-09-01</u>
<u>1</u>	176 CHESTNUT HILL RD, CT	\$ <u>665,000</u>	<u>2013-07-12</u>
<u>1</u>	MAURICE SENDAK WORKS OF ART	\$ <u>2,000,000</u>	<u>2013-07-08</u>
<u>1</u>	LAND CHESTNUT HILL RD, CT	\$ <u>300,000</u>	<u>2013-07-12</u>
<u>1</u>	ANTIQUES AND FURNISHINGS	\$ <u>600,000</u>	<u>2013-07-12</u>
<u>1</u>	FURNITURE	\$ <u>10,000</u>	<u>2013-07-12</u>
<u>1</u>	COOP APT 3B 40 FITH AVE, NY	\$ <u>3,125,000</u>	<u>2013-09-09</u>

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2013

Attachment
Sequence No **179**

Name(s) shown on return
MAURICE SENDAK FOUNDATION INC

Business or activity to which this form relates
INDIRECT DEPRECIATION

Identifying number

13-3807627

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost	
7	Listed property Enter the amount from line 29	7		
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8		
9	Tentative deduction Enter the smaller of line 5 or line 8	9		
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10		
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11		
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12		
13	Carryover of disallowed deduction to 2014 Add lines 9 and 10, less line 12	13		

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property) (See instructions)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

Section A			
17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

Section B—Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property	2013-07	1,200,000	27 5 yrs	MM	S/L	20,000
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (see instructions.)

21	Listed property Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	20,000
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V

Listed Property (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)
Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.

Section A—Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.)

24a Do you have evidence to support the business/investment use claimed? <input type="checkbox"/> Yes <input type="checkbox"/> No						24b If "Yes," is the evidence written? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation/ deduction	(i) Elected section 179 cost
25Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)							25	
26 Property used more than 50% in a qualified business use								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use								
		%				S/L -		
		%				S/L -		
		%				S/L -		
28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1						28		
29 Add amounts in column (i), line 26 Enter here and on line 7, page 1							29	

Section B—Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person
If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

30 Total business/investment miles driven during the year (do not include commuting miles)	(a) Vehicle 1		(b) Vehicle 2		(c) Vehicle 3		(d) Vehicle 4		(e) Vehicle 5		(f) Vehicle 6	
31 Total commuting miles driven during the year												
32 Total other personal(noncommuting) miles driven												
33 Total miles driven during the year Add lines 30 through 32												
34 Was the vehicle available for personal use during off-duty hours?	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions)

37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?	Yes	No
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions)		
Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles		

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2013 tax year (see instructions)					
43 Amortization of costs that began before your 2013 tax year				43	
44 Total. Add amounts in column (f) See the instructions for where to report				44	

TY 2013 Accounting Fees Schedule

Name: MAURICE SENDAK FOUNDATION INC

EIN: 13-3807627

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WAKOFF ANDRIULLI & CO LLC	9,406	9,406		

TY 2013 Compensation Explanation

Name: MAURICE SENDAK FOUNDATION INC

EIN: 13-3807627

Person Name	Explanation
LYNN CAPONERA	
DONA MC ADAMS	
MICHAEL DI CAPUA	
DONALD HAMBURG	
ANTONIA MARKIET	
JOHN VITALE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: MAURICE SENDAK FOUNDATION INC

EIN: 13-3807627

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
200 CHESTNUT HILL RD	2013-07-12	1,200,000		S/L	27 5000	20,000	20,000		

**TY 2013 Investments Corporate
Bonds Schedule****Name:** MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627

Name of Bond	End of Year Book Value	End of Year Fair Market Value
MORGAN STANLEY 4.75% DUE 4/1/2014		
ROYAL BANK OF SCOTLAND 4.875% DUE 3/		
HSBC FINANCE CORP 3.9% DUE 7/15/2015		
BANK OF AMERICA CORP 7.75% DUE 8/15/		
MERRILL LYNCH 5.7% DUE 5/2/2017		
BANK OF AMERICA CORP SER MTN 3/18/20		
GENERAL ELECTRIC CAP CORP 4.75% DUE		
HSBC FINANCE CORP 5.05% DUE 1/15/201		
GOLDMAN SACHS GROUP 4.0% DUE 9/15/20	200,000	200,000

TY 2013 Investments Corporate

Stock Schedule

Name:

MAURICE SENDAK FOUNDATION INC

EIN:

13-3807627

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ALGER CAPITAL APPRECIATION	17,079	21,250
ALGER SMID CAP GROWTH	12,168	13,123
ALGER SMID CAP GROWTH	37,500	36,456
BLACKROCK ALL CAP ENERGY	6,202	7,541
BLACKROCK STRATEGIC	200,191	201,587
DELAWARE CORPORATE BOND	203,790	200,742
DELAWARE DIVERSIFIED	201,229	201,214
DREYFUS INTERNATIONAL	200,000	191,138
EATON VANCE FLOATING RATE	231,613	232,410
HOTCHKIS & WILEY	237,783	252,290
JP MORGAN INCOME BUILDER	508,073	512,938
LORD ABBETT INTL DIVIDEND INCOME FD	150,000	151,002
LORD ABBOTT SHORT DURATION FD A	68,450	66,980
LORD ABBOTT SHORT DURATION FUND C	22,126	21,700
MFS INTL NEW DISCOVERY FUND	19,492	23,883
MFS VALUE FUND	16,677	21,911
PUTNAM DIVERSIFIED INC TR FUND	29,687	30,567
PUTNAM DIVERSIFIED INC TR FUND	205,000	206,169
SUNAMERICA FOCUSED DIVIDEND	26,449	34,424
SUNAMERICA FOCUSED DIVIDEND	100,000	116,065
AMAZON COM INC	11,682	20,737
AMAZON COM INC	14,875	20,737
APACHE CORP		
AUTONATION	39,074	39,504
AUTOZONE INC	12,575	15,772
AUTOZONE INC	2,170	2,868
AUTOZONE INC	109,239	119,963
BIOGEN IDEC INC	31,323	39,140
CAPITAL ONE FINL	39,368	52,478
CAPITAL ONE FINL	38,937	46,502

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CENTURYLINK INC		
CENTURYLINK INC		
CENTURYLINK INC		
CENTURYLINK INC		
CONSTELLATION BRANDS		
CARMAX INC		
CARMAX INC		
CARMAX INC		
CARMAX INC		
CHESAPEAKE ENERGY OKLA	25,999	29,338
CHESAPEAKE ENERGY OKLA	2,973	3,528
CHESAPEAKE ENERGY OKLA	9,301	14,656
CHESAPEAKE ENERGY OKLA	2,133	3,393
CHESAPEAKE ENERGY OKLA	37,901	41,904
DOLLAR TREE	41,710	40,679
EXXON MOBIL	41,719	47,868
EXXON MOBIL	45,372	51,612
EATON CORP	37,032	54,883
EATON CORP	38,058	44,530
FREEPRT-MCMRAN CPR & GLD		
FLUOR CORP	11,241	16,138
FLUOR CORP	830	1,204
FLUOR CORP	2,314	3,452
FLUOR CORP	16,276	20,715
GAMESTOP CORP	46,011	42,117
GENL DYNAMICS	37,188	53,412
GENL DYNAMICS	38,593	45,768
HESS CORP	81,195	93,790
HUNTINGTON BANCSHS	88,477	98,604
JACOBS ENGN GRP		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JACOBS ENGN GRP		
JACOBS ENGN GRP		
JACOBS ENGN GRP		
MICRON TECHNOLOGY		
NEWS CORP		
O'REILLY AUTOMOTIVE	15,454	21,623
O'REILLY AUTOMOTIVE	18,494	20,465
REGENERON PHARMACTCLS	41,354	38,258
TERADATA CORP		
TERADATA CORP		
TERADATA CORP		
VALERO ENERGY	72,294	103,421
VERTEX PHARMCTLS	44,192	43,837
WATSON PHARMACEUTICALS		
WELLS FARGO	42,217	57,930
WELLS FARGO	35,006	38,454
ISHARES MSCI EAFE	289,876	342,520
ISHARES MSCI EMERGING	115,702	112,052
ISHARES TR SMALL CORE	172,963	227,016
ISHARES TR MID CORE	176,991	228,432
ABBOTT LABS		
AUTOMATIC DATA PROCESSING	26,366	37,652
AUTOMATIC DATA PROCESSING	44,025	49,287
BAXTER INTERNATIONAL		
CHEVRON CORP		
CH ROBINSON WORLDWIDE		
COCA COLA COM		
CSX CORP	82,263	90,165
EXXON MOBIL	30,026	34,509
EXXON MOBIL	51,763	57,279

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EATON CORP		
EMERSON ELECTRIC	76,105	90,041
GENL DYNAMICS		
GENUINE PARTS	85,248	86,518
HONEYWELL INTL INC		
JOHNSON & JOHNSON	75,588	83,164
LINEAR TECHNOLOGY	75,353	91,874
MCDONALDS CORP	30,185	33,572
MCDONALDS CORP	51,287	49,388
MEDTRONIC INC		
NORTHROP GRUMMAN	75,074	87,791
PAYCHEX	26,833	37,608
PAYCHEX	44,811	51,859
PROCTOR & GAMBLE	79,753	83,689
QUEST DIAGNOSTIC	88,035	75,491
RAYTHEON CO	75,882	88,614
UNITED TECHS CORP	75,699	88,309
3M COMPANY		
WAL-MART STORES	27,315	29,981
WAL-MART STORES	46,863	48,945
MICROSOFT CORP		
ISHARES TR SMALL VALUE		
PRUDENTIAL JENNISON MID CAP	91,712	107,578
MFS NEW DISCOVERY	86,211	104,320
MFS INTERNATIONAL DIVERSIFICATION	267,107	301,171
OPPENHEIMER DEVELOP MKTS	99,452	107,576
NEUBERGER BERMAN EQUITY		
DREYFUS OPPORTUNISTIC SMALL CAP	98,383	98,519
HOTCHKIS AND WILEY	91,092	109,994
ABBOTT LABS		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBOTT LABS		
ABBOTT LABS		
ABBOTT LABS		
ABBOTT LABS		
AUTOMATIC DATA PROCESSING		
AUTOMATIC DATA PROCESSING		
BAXTER INTERNATIONAL		
BAXTER INTERNATIONAL		
BAXTER INTERNATIONAL		
BAXTER INTERNATIONAL		
BAXTER INTERNATIONAL		
BAXTER INTERNATIONAL		
CHEVRON CORP		
CHEVRON CORP		
CHEVRON CORP		
CHEVRON CORP		
CHEVRON CORP		
CHEVRON CORP		
CHEVRON CORP		
CH ROBINSON WORLDWIDE		
COCA COLA COM		
COCA COLA COM		
COCA COLA COM		
EXXON MOBIL		
EATON CORP		
GENL DYNAMICS		
GENL DYNAMICS		
GENL DYNAMICS		
GENL DYNAMICS		
GENL DYNAMICS		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GENL DYNAMICS		
HONEYWELL INTL INC		
HONEYWELL INTL INC		
HONEYWELL INTL INC		
HONEYWELL INTL INC		
HONEYWELL INTL INC		
HONEYWELL INTL INC		
HONEYWELL INTL INC		
HONEYWELL INTL INC		
MCDONALDS CORP		
MCDONALDS CORP		
MCDONALDS CORP		
MCDONALDS CORP	871	970
MCDONALDS CORP	1,306	1,455
MCDONALDS CORP	609	679
MEDTRONIC INC		
MEDTRONIC INC		
MEDTRONIC INC		
MEDTRONIC INC		
MEDTRONIC INC		
MEDTRONIC INC		
MEDTRONIC INC		
MEDTRONIC INC		
MICROSOFT CORP		
MICROSOFT CORP		
MICROSOFT CORP		
MICROSOFT CORP		
PAYCHEX		
WAL-MART STORES		
WAL-MART STORES		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WAL-MART STORES		
WAL-MART STORES		
WAL-MART STORES		
WAL-MART STORES		
WAL-MART STORES		
WAL-MART STORES	562	630
WAL-MART STORES	1,404	1,574
WAL-MART STORES	1,755	1,967
EXXON MOBIL		
EXXON MOBIL	802	911
GENL DYNAMICS		
GENL DYNAMICS		
GENL DYNAMICS		
GENL DYNAMICS		
GENL DYNAMICS		
GENL DYNAMICS		
JACOBS ENGN		
JACOBS ENGN		
JACOBS ENGN		
JACOBS ENGN		
JACOBS ENGN		
JACOBS ENGN		
JACOBS ENGN		
JACOBS ENGN		
JACOBS ENGN		
JACOBS ENGN		
JACOBS ENGN		
TERADATA CORP		

TY 2013 Investments - Land Schedule**Name:** MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
176 CHESTNUT HILL RD	665,000		665,000	600,000
LAND	300,000		300,000	300,000
ANTIQUE FURNISHINGS	600,000		600,000	600,000
MISCELLANEOUS FURNISHINGS	10,000		10,000	10,000

TY 2013 Investments - Other Schedule

Name: MAURICE SENDAK FOUNDATION INC

EIN: 13-3807627

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MICKEY MOUSE COLLECTION	FMV	450,584	450,584
WORKS OF ART CREATED BY M.SENDAK	FMV	2,000,000	2,000,000

TY 2013 Land, Etc. Schedule

Name: MAURICE SENDAK FOUNDATION INC

EIN: 13-3807627

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
	1,200,000	20,000	1,180,000	1,200,000

TY 2013 Legal Fees Schedule

Name: MAURICE SENDAK FOUNDATION INC

EIN: 13-3807627

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GOLENBOCK EISEMAN ASSOR BELL	46,110	46,110		
CUMMINGS & LOCKWOOD LLC	5,974	5,974		

TY 2013 Other Expenses Schedule**Name:** MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
OFFICE AND DATA PROCESSING EX	8,412	8,412		
OUTSIDE SERVICES	1,400	1,400		
GENERAL INSURANCE	2,314	2,314		
ENTERTAINMENT	1,342	1,342		
POSTAGE AND DELIVERY	333	333		

TY 2013 Other Income Schedule

Name: MAURICE SENDAK FOUNDATION INC

EIN: 13-3807627

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FROM K-1 ESTATE OF M SENDAK	968,509	968,509	968,509
FROM K-1 ESTATE OF M SENDAK	15,281	15,281	15,281

TY 2013 Other Liabilities Schedule

Name: MAURICE SENDAK FOUNDATION INC

EIN: 13-3807627

Description	Beginning of Year - Book Value	End of Year - Book Value
PAYROLL TAXES PAYABLE		5,878

TY 2013 Other Professional Fees Schedule

Name: MAURICE SENDAK FOUNDATION INC

EIN: 13-3807627

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT SERVICE FEES	34,377	34,377		

TY 2013 Substantial Contributors Schedule

Name: MAURICE SENDAK FOUNDATION INC

EIN: 13-3807627

Name	Address
ESTATE OF MAURICE SENDAK	200 CHESTNUT HILL RD RIDGEFIELD, CT 06877

TY 2013 Taxes Schedule**Name:** MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAXES	6,616	6,616		
STATE FILING FEES	40	40		