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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE PTM CHARITABLE FOUNDATION C/O R BRADFORD EVANS % DORIAN A VERGOS & CO LLC		A Employer identification number 13-3800384	
Number and street (or P O box number if mail is not delivered to street address) 791 PARK AVENUE Suite 7B		B Telephone number (see instructions) (212) 307-7180	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10021		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,720,390	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	37,195	21,503		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-15,957			
	b Gross sales price for all assets on line 6a 1,582,110				
	7 Capital gain net income (from Part IV, line 2) . . .		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	21,238	21,503		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	14,000	7,000	0	7,000
	c Other professional fees (attach schedule)				
	17 Interest	1,653	1,653		0
	18 Taxes (attach schedule) (see instructions)	10,818	0		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	9,706	9,706		0
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	36,177	18,359	0	7,000
	25 Contributions, gifts, grants paid	180,650			180,535
	26 Total expenses and disbursements. Add lines 24 and 25	216,827	18,359	0	187,535
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-195,589			
	b Net investment income (if negative, enter -0-)		3,144		
	c Adjusted net income (if negative, enter -0-)				

Part II

Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	115,462	210,118	210,118
	2	Savings and temporary cash investments	0		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	647,352	28,113	27,188
	b	Investments—corporate stock (attach schedule)	0	1,120,649	1,176,990
	c	Investments—corporate bonds (attach schedule).	797,070	173,269	173,174
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	303,027	135,173	132,920
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,862,911	1,667,322	1,720,390
Liabilities	17	Accounts payable and accrued expenses	0		
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	1,862,911	1,667,322	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	1,862,911	1,667,322	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,862,911	1,667,322	

Part III

Analysis of Changes in Net Assets or Fund Balances

Form 990-PF (2013)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	<div><div>If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</div></div>	2	-2,138
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) <div>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8</div>		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No




If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	146,430	1,273,514	0 114981
2011	106,650	927,675	0 114965
2010	159,435	1,007,704	0 158216
2009	149,100	1,067,874	0 139623
2008	228,101	1,328,186	0 171739

2	Total of line 1, column (d).	2	0 699524
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 139905
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,684,826
5	Multiply line 4 by line 3.	5	235,716
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	31
7	Add lines 5 and 6.	7	235,747
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	187,535

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	63
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3 Add lines 1 and 2.	3	63
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	63
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,000
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d.	7	2,000
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,937
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 1,937 Refunded	11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
<i>If "Yes," attach a detailed description of the activities.</i>			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> 	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
<i>If "Yes," attach the statement required by General Instruction T.</i> 			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> DE, NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of DORIAN A VERGOS & CO LLC Telephone no (212) 307-7180 Located at 352 7TH AVE SUITE 1501 NEW YORK NY ZIP+4 10001			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.		15	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
R BRADFORD EVANS	PR/TR/DIR	0	0	0
791 PARK AVENUE NEW YORK, NY 10021	1 0			
BARBARA REED EVANS	VP/SEC/DIR	0	0	0
791 PARK AVENUE NEW YORK, NY 10021	1 0			
ROBERT H ARNOLD	DIRECTOR	0	0	0
129 E 69TH ST APT 7B NEW YORK, NY 10021	1 0			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,527,311
b	Average of monthly cash balances.	1b	183,172
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,710,483
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,710,483
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	25,657
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,684,826
6	Minimum investment return. Enter 5% of line 5.	6	84,241

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	84,241
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	63
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	63
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	84,178
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	84,178
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	84,178

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	187,535
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	187,535
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	187,535
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1		Distributable amount for 2013 from Part XI, line 7			
2		Undistributed income, if any, as of the end of 2013			
a		Enter amount for 2012 only. 0			
b		Total for prior years 2011 , 2010 , 2009 0			
3		Excess distributions carryover, if any, to 2013			
a		From 2008. 162,820			
b		From 2009. 96,366			
c		From 2010. 110,440			
d		From 2011. 60,765			
e		From 2012. 92,673			
f		Total of lines 3a through e. 523,064			
4		Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 187,535			
a		Applied to 2012, but not more than line 2a 0			
b		Applied to undistributed income of prior years (Election required—see instructions).			
c		Treated as distributions out of corpus (Election required—see instructions).			
d		Applied to 2013 distributable amount. 84,178			
e		Remaining amount distributed out of corpus 103,357			
5		Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6		Enter the net total of each column as indicated below:			
a		Corpus Add lines 3f, 4c, and 4e Subtract line 5 626,421			
b		Prior years' undistributed income Subtract line 4b from line 2b 0			
c		Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d		Subtract line 6c from line 6b Taxable amount—see instructions 0			
e		Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions 0			
f		Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 0			
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).			
8		Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). 162,820			
9		Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a 463,601			
10		Analysis of line 9			
a		Excess from 2009. 96,366			
b		Excess from 2010. 110,440			
c		Excess from 2011. 60,765			
d		Excess from 2012. 92,673			
e		Excess from 2013. 103,357			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010		
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

MR R BRADFORD EVANS

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2013)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2013)

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
100,000 CORAL GABLES FLA HEALTH FAC AUTH 5 250% PRE-RE 08/15/2014	P	2012-12-26	2013-01-30
175,000 WASHINGTON ST 5 0% PRE-RE 07/01/2015	P	2012-12-26	2013-01-30
100,000 AT&T INC 0 8% DUE 12/01/2015	P	2012-12-07	2013-10-24
100,000 CONOCOPHIL CO 1 05% CALLABLE 09/15/2017	P	2012-12-07	2013-10-24
50,000 DIRECTTV HLDGS/FN 1 75% DUE 01/15/2018	P	2013-01-30	2013-10-24
75,000 ECOLAB INC 1 45% DUE 12/08/2017	P	2012-12-10	2013-10-24
75,000 GEN ELEC CAP CRP 1 0% DUE 12/11/2015	P	2012-12-05	2013-10-24
50,000 HEWLETT PACKARD CO FLOATER DUE 05/30/2014	P	2012-12-07	2013-10-24
100,000 HSBC 1 625% DUE 01/16/2018	P	2012-12-13	2013-10-24
115,000 INDIANA FIN AUTH HOSP REV 5 875% PRE-RE 05/01/2014	P	2012-12-24	2013-10-24
100,000 INTEL CORP 1 35% DUE 12/15/2017	P	2012-12-04	2013-10-24
75,000 METHANEX CORP 3 25% DUE 12/15/2019	P	2012-12-18	2013-10-24
75,000 PIERCE CNTY WASH SCH DIST NO 003 5 0% PRE-RE 12/01/2015	P	2012-12-20	2013-10-24
100,000 PLAINFIELD ILL 5 0% PRE-RE 06/15/2015	P	2012-12-20	2013-10-24
75,000 REALTY INCOME SR UNSGLOBAL 2 0% CALLABLE 12/31/2017	P	2013-01-30	2013-10-24
100,000 SHERWIN-WILLIAMS 1 5% DUE 12/15/2017	P	2012-12-04	2013-10-24
50,000 TELEFONICA EMIS 3 992% DUE 02/16/2016	P	2012-12-28	2013-10-24
25,000 VALE OVERSEAS SR UNSGLOBAL 6 25% DUE 01/23/2017	P	2012-12-28	2013-10-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
107,398		106,854	544
193,652		193,223	429
99,816		99,968	-152
98,150		99,771	-1,621
48,778		49,328	-550
74,194		74,939	-745
75,284		74,925	359
50,000		49,018	982
98,958		99,714	-756
118,103		118,021	82
99,668		99,894	-226
75,888		75,290	598
81,854		81,675	179
107,321		107,031	290
73,946		74,907	-961
98,885		99,869	-984
52,186		51,691	495
28,031		28,132	-101

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			544
			429
			-152
			-1,621
			-550
			-745
			359
			982
			-756
			82
			-226
			598
			179
			290
			-961
			-984
			495
			-101

TY 2013 Accounting Fees Schedule**Name:** THE PTM CHARITABLE FOUNDATION

C/O R BRADFORD EVANS

EIN: 13-3800384

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	14,000	7,000		7,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: THE PTM CHARITABLE FOUNDATION
C/O R BRADFORD EVANS

EIN: 13-3800384

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
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TY 2013 Investments Corporate Bonds Schedule

Name: THE PTM CHARITABLE FOUNDATION
C/O R BRADFORD EVANS

EIN: 13-3800384

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS-MS ACCT #17872	173,269	173,174

**TY 2013 Investments Corporate
Stock Schedule**

Name: THE PTM CHARITABLE FOUNDATION
C/O R BRADFORD EVANS

EIN: 13-3800384

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMMON STOCK (MS ACCT #017409)	1,120,649	1,176,990

TY 2013 Investments Government Obligations Schedule

Name: THE PTM CHARITABLE FOUNDATION
C/O R BRADFORD EVANS

EIN: 13-3800384

**US Government Securities - End of
Year Book Value:**

**US Government Securities - End of
Year Fair Market Value:**

**State & Local Government
Securities - End of Year Book
Value:** 28,113

**State & Local Government
Securities - End of Year Fair
Market Value:** 27,188

TY 2013 Investments - Land Schedule**Name:** THE PTM CHARITABLE FOUNDATION

C/O R BRADFORD EVANS

EIN: 13-3800384

TY 2013 Investments - Other Schedule**Name:** THE PTM CHARITABLE FOUNDATION

C/O R BRADFORD EVANS

EIN: 13-3800384

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FOREIGN DEBT SECURITIES -			
MS ACCT #117872		135,173	132,920
ACCRUED INTEREST PAID		0	0

TY 2013 Land, Etc. Schedule**Name:** THE PTM CHARITABLE FOUNDATION

C/O R BRADFORD EVANS

EIN: 13-3800384

TY 2013 Other Expenses Schedule**Name:** THE PTM CHARITABLE FOUNDATION

C/O R BRADFORD EVANS

EIN: 13-3800384

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	7,791	7,791		0
FILING FEES	135	135		0
NY REGULATION FEE	250	250		0
CT CORP FEES	365	365		0
BANK FEES	1,165	1,165		0

TY 2013 Other Income Schedule**Name:** THE PTM CHARITABLE FOUNDATION

C/O R BRADFORD EVANS

EIN: 13-3800384

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL EXCISE TAX OVERPAYMENT			

TY 2013 Taxes Schedule**Name:** THE PTM CHARITABLE FOUNDATION

C/O R BRADFORD EVANS

EIN: 13-3800384

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	10,818	0		0

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECEIPIENT	RELATIONSHIP	FOUNDATION STATUS	PURPOSE OF CONTRIBUTION	AMOUNT
564 Park Ave Preservation Foundation	NONE	Tax-Exempt	For the Organization's Charitable Use	5,000 00
Alzheimer's Association	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Amherst College	NONE	Tax-Exempt	For the Organization's Charitable Use	10,000 00
Amherst College	NONE	Tax-Exempt	For the Organization's Charitable Use	10,000 00
AMREF	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Annual Bishop's Appeal	NONE	Tax-Exempt	For the Organization's Charitable Use	5,000 00
Aspetuck Land Trust	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Asphalt Green	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Autism Speaks	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Bloomington School of Music	NONE	Tax-Exempt	For the Organization's Charitable Use	100 00
Cancer Research Institute	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Cardinal Shehan Center	NONE	Tax-Exempt	For the Organization's Charitable Use	5,000 00
CENTRAL PARK CONSERVANCY	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
Centurion Ministries	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
City Harvest, Inc	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
CJ Foundation for SIDS	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Classroom Inc	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
Collegiate School	NONE	Tax-Exempt	For the Organization's Charitable Use	5,000 00
Collegiate School	NONE	Tax-Exempt	For the Organization's Charitable Use	1,500 00
Columbia Business School	NONE	Tax-Exempt	For the Organization's Charitable Use	10,000 00
Connecticut Audubon Society	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Connecticut Fund for the Environment	NONE	Tax-Exempt	For the Organization's Charitable Use	5,000 00
Connecticut Public Broadcasting, Inc	NONE	Tax-Exempt	For the Organization's Charitable Use	200 00
Covenant House	NONE	Tax-Exempt	For the Organization's Charitable Use	250 00
Cooke Center of Learning & Development	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Dana Hall School	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Dancing Classrooms	NONE	Tax-Exempt	For the Organization's Charitable Use	5,000 00
Dartmouth College	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
De La Salle Academy	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Doctors Without Borders, USA	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Eagle Hill - Southport	NONE	Tax-Exempt	For the Organization's Charitable Use	7,500 00
Eagle One - Police Aviation Unit	NONE	Tax-Exempt	For the Organization's Charitable Use	100 00
Fairfield Museum And History Center	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
Family ReEntry, Inc	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Food Bank of New York City	NONE	Tax-Exempt	For the Organization's Charitable Use	5,000 00
Friends of Dartmouth Soccer	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
Friends of NBFA	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
FSW	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Gilder Lehrman Inst. of American History	NONE	Tax-Exempt	For the Organization's Charitable Use	5,000 00
God's Love we Deliver	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Harvard Business School	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Huntsman Cancer Foundation	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Inner-City Scholarship Fund	NONE	Tax-Exempt	For the Organization's Charitable Use	5,000 00
International Rescue Committee	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Keys, Inc	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Maine Adaptive Sports & Recreation	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Make a Wish Foundation	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Make a Wish Foundation	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Marine Corp Scholarship Foundation Inc	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
McGivney Community Center	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
Memorial Sloan Kettering Cancer Center	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
MGA Foundation	NONE	Tax-Exempt	For the Organization's Charitable Use	5,000 00
Near & Far Aid Association	NONE	Tax-Exempt	For the Organization's Charitable Use	3,500 00
New Leaders for New Schools	NONE	Tax-Exempt	For the Organization's Charitable Use	5,000 00
New York City Opera	NONE	Tax-Exempt	For the Organization's Charitable Use	1,500 00
New York Public Library	NONE	Tax-Exempt	For the Organization's Charitable Use	4,885 00
NYC Outward Bound Center	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECEIPIENT	RELATIONSHIP	FOUNDATION STATUS	PURPOSE OF CONTRIBUTION	AMOUNT
Pajama Program	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
Partners IN Health	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
Partnership With Children	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Pequot Library Association	NONE	Tax-Exempt	For the Organization's Charitable Use	5,000 00
Plymouth Church	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Publicolor	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Robin Hood Foundation	NONE	Tax-Exempt	For the Organization's Charitable Use	5,000 00
Ronald McDonald House of New York	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
South Bronx Classical Charter School	NONE	Tax-Exempt	For the Organization's Charitable Use	2,000 00
The Darmouth Review	NONE	Tax-Exempt	For the Organization's Charitable Use	250 00
The Doe Fund, Inc	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
The Edible Schoolyard New Orleans	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
The Hole in the Wall Gang Fund	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
The Howe Library Corporation	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
The Legal Aid Society	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
The Little Orchestra	NONE	Tax-Exempt	For the Organization's Charitable Use	250 00
The Macdowell Colony	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
The Nature Conservancy	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
The Philanthropy Roundtable	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
The Seeing Eye	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
The Welsh Congregational Church	NONE	Tax-Exempt	For the Organization's Charitable Use	250 00
USO	NONE	Tax-Exempt	For the Organization's Charitable Use	250 00
World Wildlife Fund	NONE	Tax-Exempt	For the Organization's Charitable Use	250 00
Wounded Warrior Project	NONE	Tax-Exempt	For the Organization's Charitable Use	250 00
Young Mariners Foundation	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
				180,535.00