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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE HANSON FAMILY FOUNDATION INC		A Employer identification number 13-3780362	
Number and street (or P O box number if mail is not delivered to street address) C/O FREDRIC HANSON 380 W 12TH ST NO APT 6E		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 100147218		B Telephone number (see instructions) (212) 627-7320	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		C If exemption application is pending, check here ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☐ \$ 176,290		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	3,931	3,931		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	7,789			
	b Gross sales price for all assets on line 6a 216,302				
	7 Capital gain net income (from Part IV , line 2)		7,789		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	11,720	11,720		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,038	1,019		1,019
	c Other professional fees (attach schedule)	911	911		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	144	144		0
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	3,093	2,074		1,019
	25 Contributions, gifts, grants paid	22,500			22,500
	26 Total expenses and disbursements. Add lines 24 and 25	25,593	2,074		23,519
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-13,873			
	b Net investment income (if negative, enter -0-)		9,646		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	9,842	1,183	1,183
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	162,599	157,909	175,107
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	172,441	159,092	176,290	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	172,441	159,092	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	Total net assets or fund balances (see page 17 of the instructions)	172,441	159,092	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	172,441	159,092		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	172,441
2	Enter amount from Part I, line 27a	2	-13,873
3	Other increases not included in line 2 (itemize) ▶ _____	3	524
4	Add lines 1, 2, and 3	4	159,092
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	159,092

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY TRADED SECURITIES			
b	PASSTHROUGH FROM POWERSHARES DB K-1			
c	CAPITAL GAINS DIVIDENDS	P		
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 216,298		208,508	7,790
b		5	-5
c 4			4
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			7,790
b			-5
c			4
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	7,789
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	22,380	181,844	0 123073
2011	23,142	212,435	0 108937
2010	22,959	222,462	0 103204
2009	20,204	206,377	0 097899
2008	33,217	285,745	0 116247

2	Total of line 1, column (d).	2	0 549360
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 109872
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	179,464
5	Multiply line 4 by line 3.	5	19,718
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	96
7	Add lines 5 and 6.	7	19,814
8	Enter qualifying distributions from Part XII, line 4.	8	23,519

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	96
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3 Add lines 1 and 2.	3	96
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	96
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,198
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	1,198
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,102
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☒ 1,102 Refunded ☒	11	0

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ 0 (2) On foundation managers ☒ \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions): ☒ NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of FREDRIC HANSON Telephone no (212) 627-7320 Located at 380 WEST 12TH STREET APT 6E NEW YORK NY ZIP+4 100147218			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here. ☒	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. If "Yes," list the years 20____, 20____, 20____, 20____ ☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	176,811
b	Average of monthly cash balances.	1b	5,386
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	182,197
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	182,197
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	2,733
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	179,464
6	Minimum investment return. Enter 5% of line 5.	6	8,973

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	8,973
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	96
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	96
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	8,877
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	8,877
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	8,877

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	23,519
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	23,519
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	96
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	23,423
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				8,877
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.	19,094			
b From 2009.	9,992			
c From 2010.	12,079			
d From 2011.	12,589			
e From 2012.	13,326			
f Total of lines 3a through e.	67,080			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 23,519				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				8,877
e Remaining amount distributed out of corpus	14,642			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	81,722			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	19,094			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	62,628			
10 Analysis of line 9				
a Excess from 2009. . . .	9,992			
b Excess from 2010. . . .	12,079			
c Excess from 2011. . . .	12,589			
d Excess from 2012. . . .	13,326			
e Excess from 2013. . . .	14,642			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

MARGER Y WHANSON

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	22,500
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
COALITION FOR THE HOMELESS 89 CHAMBERS STREET NEW YORK, NY 10007	NONE	PUBLIC CHARITY	GENERAL	1,250
ETHS D202 EDUCATIONAL FOUNDATION 1600 DODGE AVNUE EVANSTON, IL 60204	NONE	PUBLIC CHARITY	GENERAL	500
GROWING HOME 2732 N CLARK STREET CHICAGO, IL 60604	NONE	PUBLIC CHARITY	GENERAL	1,000
INSIGHT MEDITATION SOCIETY 1230 PLEASANT STREET BARRE, MA 01005	NONE	PUBLIC CHARITY	GENERAL	3,000
LAKE STREET CHURCH 607 LAKE STREET EVANSTON, IL 60201	NONE	PUBLIC CHARITY	GENERAL	500
LAMBDA LEGAL DEFENSE AND EDUCATION FUND 120 WALL STREET SUITE 1500 NEW YORK, NY 10005	NONE	PUBLIC CHARITY	GENERAL	1,000
NICHOLS MIDDLE SCHOOL PTA 800 GREENLEAF ST EVANSTON, IL 60202	NONE	PUBLIC CHARITY	COMMUNICATIONS	250
OXFAM AMERICA 26 WEST STREET BOSTON, MA 02111	NONE	PUBLIC CHARITY	GENERAL	1,000
TETON REGIONAL LAND TRUST PO BOX 247 DRIGGS, ID 83422	NONE	PUBLIC CHARITY	GENERAL	5,000
THE TALKING FARM PO BOX 6329 EVANSTON, IL 60204	NONE	PUBLIC CHARITY	GENERAL	250
UNIVERSITY OF MARYLAND-BALTIMORE FND 620 LEXINGTON ST 2ND FLOOR BALTIMORE, MD 21201	NONE	PUBLIC CHARITY	GENERAL	500
VALLEY ADVOCATES FOR RESPONSIBLE DEVELOPMENT (VARD) PO BOX 1164 DRIGGS, ID 83422	NONE	PUBLIC CHARITY	GENERAL	4,000
YOUTH ORGANIZATION UMBRELLA (YOU) 1027 SHERMAN AVENUE EVANSTON, IL 60202	NONE	PUBLIC CHARITY	GENERAL	1,000
COMMUNITY PARTNERS FOR AFFORDABLE HOUSING PO BOX 23206 TIGARD, OR 97281	NONE	PUBLIC CHARITY	GENERAL	250
NETWORK FOR GOOD 1140 CONNECTICUT AVENUE NW SUITE 700 WASHINGTON, DC 20036	NONE	PUBLIC CHARITY	GENERAL	500
Total  3a				22,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MOVEMENT STRATEGY CENTER 436 14TH STREET - 5TH FLOOR OAKLAND,CA 94612	NONE	PUBLIC CHARITY	GENERAL	500
CONNECTIONS FOR THE HOMELESS INC 2121 DEWEY AVE EVANSTON,IL 60201	NONE	PUBLIC CHARITY	GENERAL	500
GRAND ASPIRATIONS 413 WACOUTA STREET SUITE 400 ST PAUL,MN 55101	NONE	PUBLIC CHARITY	GENERAL	1,500
Total  3a				22,500

TY 2013 Accounting Fees Schedule

Name: THE HANSON FAMILY FOUNDATION INC

EIN: 13-3780362

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BUCHBINDER TUNICK & CO, LLC	2,038	1,019		1,019

TY 2013 Investments Corporate Stock Schedule

Name: THE HANSON FAMILY FOUNDATION INC

EIN: 13-3780362

Name of Stock	End of Year Book Value	End of Year Fair Market Value
369 SHS ISHARES CORE TOTAL US BOND (AGG) MARKET ETF	40,782	39,273
2357 SHS ISHARES MSCI ACWI INDEX FD (ACWI)	117,127	135,834

TY 2013 Other Expenses Schedule

Name: THE HANSON FAMILY FOUNDATION INC

EIN: 13-3780362

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX CREDIT	144	144		0

TY 2013 Other Increases Schedule

Name: THE HANSON FAMILY FOUNDATION INC

EIN: 13-3780362

Description	Amount
PRIOR PERIOD ADJUSTMENT	524

TY 2013 Other Professional Fees Schedule**Name:** THE HANSON FAMILY FOUNDATION INC**EIN:** 13-3780362

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BARCLAYS INVESTMENT MANAGEMENT FEES	898	898		0
INVESTMENT FEES PASSTHROUGH FROM K-1	13	13		0

Security Description	Quantity	Buy Date	Sell Date	Unadjusted Cost Basis	Proceeds	Realized Gain/Loss Short	Realized Gain/Loss Long
ISHARES MSCI ACWI INDEX FD	100 000	22 Feb 2013	15 Apr 2013	4,969 32	5,014 73	45 41	0 00
ISHARES MSCI ACWI INDEX FD	195 000	22 Feb 2013	25 Nov 2013	9,690 18	10,978 16	1,287 98	0 00
ISHARES MSCI ACWI INDEX FD	8 000	22 Feb 2013	18 Dec 2013	397 55	424 51	26 96	0 00
ISHARES CORE TOTAL U S BOND MARKET ETF	35 000	22 Feb 2013	25 Nov 2013	3,868 22	3,675 28	-192 94	0 00
POWERSHARES DB COMMODITY INDEXTRACKING FUND UNIT BEN INT	34 000	02 May 2011	07 Feb 2013	1,083 96	967 61	0 00	-116 35
POWERSHARES DB COMMODITY INDEXTRACKING FUND UNIT BEN INT	148 000	02 May 2011	21 Feb 2013	4,718 42	4,091 02	0 00	-627 40
POWERSHARES DB COMMODITY INDEXTRACKING FUND UNIT BEN INT	1 000	07 Oct 2011	21 Feb 2013	26 54	27 64	0 00	1 10
POWERSHARES DB COMMODITY INDEXTRACKING FUND UNIT BEN INT	4 000	18 Jan 2012	21 Feb 2013	109 66	110 57	0 00	0 91
POWERSHARES DB COMMODITY INDEXTRACKING FUND UNIT BEN INT	1 000	22 Mar 2012	21 Feb 2013	28 80	27 64	-1 16	0 00
POWERSHARES DB COMMODITY INDEXTRACKING FUND UNIT BEN INT	6 000	03 May 2012	21 Feb 2013	166 60	165 85	-0 75	0 00
POWERSHARES DB COMMODITY INDEXTRACKING FUND UNIT BEN INT	3 000	29 May 2012	21 Feb 2013	77 78	82 93	5 15	0 00
POWERSHARES DB COMMODITY INDEXTRACKING FUND UNIT BEN INT	10 000	13 Jun 2012	21 Feb 2013	247 13	276 42	29 29	0 00
POWERSHARES DB COMMODITY INDEXTRACKING FUND UNIT BEN INT	6 000	07 Dec 2012	21 Feb 2013	165 54	165 85	0 31	0 00
POWERSHARES DB COMMODITY INDEXTRACKING FUND UNIT BEN INT	6 000	09 Jan 2013	21 Feb 2013	165 42	165 86	0 44	0 00
ISHARES MSCI EAFE ETF	43 000	02 May 2011	21 Feb 2013	2,762 75	2,486 20	0 00	-276 55
ISHARES MSCI EAFE ETF	10 000	29 Jun 2011	21 Feb 2013	592 15	578 19	0 00	-13 96
ISHARES MSCI EAFE ETF	1 000	29 Jul 2011	21 Feb 2013	58 60	57 82	0 00	-0 78
ISHARES MSCI EAFE ETF	10 000	08 Aug 2011	21 Feb 2013	516 72	578 19	0 00	61 47
ISHARES MSCI EAFE ETF	4 000	03 Oct 2011	21 Feb 2013	186 89	231 27	0 00	44 38
ISHARES MSCI EAFE ETF	23 000	18 Jan 2012	21 Feb 2013	1,170 99	1,329 83	0 00	158 84
ISHARES MSCI EAFE ETF	1 000	03 May 2012	21 Feb 2013	53 31	57 82	4 51	0 00
ISHARES MSCI EAFE ETF	1 000	02 Oct 2012	21 Feb 2013	53 76	57 82	4 06	0 00
ISHARES MSCI EAFE ETF	61 000	07 Dec 2012	21 Feb 2013	3,380 77	3,526 94	146 17	0 00
ISHARES MSCI EAFE ETF	1 000	09 Jan 2013	21 Feb 2013	57 21	57 82	0 61	0 00
ISHARES MSCI EAFE ETF	29 000	07 Feb 2013	21 Feb 2013	1,684 32	1,676 74	-7 58	0 00
MARKET VECTORS ETF TR EMERGING MKTS LOCAL	93 000	02 May 2011	07 Feb 2013	2,585 40	2,559 30	0 00	-26 10
MARKET VECTORS ETF TR EMERGING MKTS LOCAL	64 000	02 May 2011	21 Feb 2013	1,779 20	1,754 20	0 00	-25 00
MARKET VECTORS ETF TR EMERGING MKTS LOCAL	11 000	27 Oct 2011	21 Feb 2013	288 91	301 50	0 00	12 59
MARKET VECTORS ETF TR EMERGING MKTS LOCAL	112 000	18 Jan 2012	21 Feb 2013	2,834 72	3,069 85	0 00	235 13
MARKET VECTORS ETF TR EMERGING MKTS LOCAL	5 000	09 Jan 2013	21 Feb 2013	136 90	137 05	0 15	0 00
ISHARES MSCI SWITZERLAND CAPPED ETF	35 000	02 May 2011	07 Feb 2013	978 60	990 84	0 00	12 24
ISHARES MSCI SWITZERLAND CAPPED ETF	76 000	02 May 2011	21 Feb 2013	2,124 96	2,180 43	0 00	55 47
ISHARES MSCI SWITZERLAND CAPPED ETF	3 000	29 Jun 2011	21 Feb 2013	79 20	86 07	0 00	6 87
ISHARES MSCI SWITZERLAND CAPPED ETF	8 000	07 Oct 2011	21 Feb 2013	177 44	229 52	0 00	52 08
ISHARES MSCI SWITZERLAND CAPPED ETF	5 000	29 May 2012	21 Feb 2013	113 00	143 45	30 45	0 00
ISHARES MSCI TAIWAN ETF	137 000	02 May 2011	21 Feb 2013	2,198 85	1,848 31	0 00	-350 54
ISHARES MSCI TAIWAN ETF	7 000	29 Jun 2011	21 Feb 2013	104 44	94 44	0 00	-10 00
ISHARES MSCI TAIWAN ETF	17 000	07 Oct 2011	21 Feb 2013	209 15	229 35	0 00	20 20
ISHARES MSCI TAIWAN ETF	1 000	18 Jan 2012	21 Feb 2013	12 25	13 49	0 00	1 24
ISHARES MSCI TAIWAN ETF	75 000	03 May 2012	21 Feb 2013	983 02	1,011 85	28 83	0 00
ISHARES MSCI TAIWAN ETF	3 000	29 May 2012	21 Feb 2013	36 71	40 47	3 76	0 00
ISHARES MSCI TAIWAN ETF	10 000	13 Jun 2012	21 Feb 2013	117 02	134 91	17 89	0 00
ISHARES MSCI TAIWAN ETF	8 000	09 Jan 2013	21 Feb 2013	108 08	107 93	-0 15	0 00
ISHARES MSCI TAIWAN ETF	42 000	07 Feb 2013	21 Feb 2013	563 23	566 65	3 42	0 00
ISHARES MSCI UNITED KINGDOM ETF	19 000	12 Dec 2011	07 Feb 2013	305 05	344 46	0 00	39 41
ISHARES MSCI UNITED KINGDOM ETF	111 000	12 Dec 2011	21 Feb 2013	1,782 13	1,987 96	0 00	205 83
ISHARES MSCI UNITED KINGDOM ETF	3 000	18 Jan 2012	21 Feb 2013	49 18	53 73	0 00	4 55
ISHARES MSCI UNITED KINGDOM ETF	23 000	03 May 2012	21 Feb 2013	402 89	411 92	9 03	0 00
ISHARES MSCI UNITED KINGDOM ETF	8 000	29 May 2012	21 Feb 2013	126 95	143 28	16 33	0 00
SPDR INDEX SHARES FUNDS SPDR S&P EMERGING MARKETS SMALL CAP ETF	31 000	02 May 2011	21 Feb 2013	1,758 63	1,468 75	0 00	-289 88

SPDR INDEX SHARES FUNDS SPDR S&P EMERGING MARKETS SMALL CAP ETF	1 000	29 Jun 2011	21 Feb 2013	53 67	47 38	0 00	-6 29
SPDR INDEX SHARES FUNDS SPDR S&P EMERGING MARKETS SMALL CAP ETF	11 000	07 Oct 2011	21 Feb 2013	443 81	521 17	0 00	77 36
SPDR INDEX SHARES FUNDS SPDR S&P EMERGING MARKETS SMALL CAP ETF	2 000	12 Dec 2011	21 Feb 2013	79 00	94 76	0 00	15 76
SPDR INDEX SHARES FUNDS SPDR S&P EMERGING MARKETS SMALL CAP ETF	23 000	18 Jan 2012	21 Feb 2013	938 16	1,089 71	0 00	151 55
SPDR INDEX SHARES FUNDS SPDR S&P EMERGING MARKETS SMALL CAP ETF	1 000	22 Mar 2012	21 Feb 2013	45 96	47 38	1 42	0 00
SPDR INDEX SHARES FUNDS SPDR S&P EMERGING MARKETS SMALL CAP ETF	1 000	03 May 2012	21 Feb 2013	44 99	47 38	2 39	0 00
SPDR INDEX SHARES FUNDS SPDR S&P EMERGING MARKETS SMALL CAP ETF	4 000	29 May 2012	21 Feb 2013	162 17	189 51	27 34	0 00
ISHARES MSCI SOUTH KOREA CAPPED ETF	27 000	02 May 2011	21 Feb 2013	1,885 41	1,658 84	0 00	-226 57
ISHARES MSCI SOUTH KOREA CAPPED ETF	2 000	29 Jun 2011	21 Feb 2013	128 48	122 88	0 00	-5 60
ISHARES MSCI SOUTH KOREA CAPPED ETF	8 000	07 Oct 2011	21 Feb 2013	394 22	491 51	0 00	97 29
ISHARES MSCI SOUTH KOREA CAPPED ETF	15 000	03 May 2012	21 Feb 2013	897 77	921 58	23 81	0 00
ISHARES MSCI SOUTH KOREA CAPPED ETF	4 000	29 May 2012	21 Feb 2013	211 71	245 76	34 05	0 00
ISHARES MSCI SOUTH KOREA CAPPED ETF	13 000	07 Feb 2013	21 Feb 2013	758 14	798 70	40 56	0 00
ISHARES MSCI BRAZIL CAPPED ETF	13 000	02 May 2011	07 Feb 2013	1,006 20	726 55	0 00	-279 65
ISHARES MSCI BRAZIL CAPPED ETF	1 000	29 Jun 2011	07 Feb 2013	72 93	55 89	0 00	-17 04
ISHARES MSCI BRAZIL CAPPED ETF	1 000	29 Jul 2011	07 Feb 2013	69 86	55 89	0 00	-13 97
ISHARES MSCI BRAZIL CAPPED ETF	2 000	07 Oct 2011	07 Feb 2013	111 70	111 78	0 00	0 08
ISHARES MSCI BRAZIL CAPPED ETF	21 000	18 Jan 2012	07 Feb 2013	1,348 99	1,173 66	0 00	-175 33
ISHARES MSCI BRAZIL CAPPED ETF	1 000	22 Mar 2012	07 Feb 2013	65 46	55 89	-9 57	0 00
ISHARES MSCI BRAZIL CAPPED ETF	3 000	03 May 2012	07 Feb 2013	179 85	167 66	-12 19	0 00
ISHARES MSCI BRAZIL CAPPED ETF	4 000	29 May 2012	07 Feb 2013	209 89	223 55	13 66	0 00
ISHARES MSCI BRAZIL CAPPED ETF	1 000	13 Jun 2012	07 Feb 2013	51 48	55 89	4 41	0 00
ISHARES MSCI BRAZIL CAPPED ETF	1 000	02 Oct 2012	07 Feb 2013	54 70	55 89	1 19	0 00
GREENHAVEN CONTINUOUS COMMODITY INDEX FUND	31 000	02 May 2011	07 Feb 2013	1,123 75	907 67	0 00	-216 08
GREENHAVEN CONTINUOUS COMMODITY INDEX FUND	62 000	02 May 2011	21 Feb 2013	2,247 50	1,757 04	0 00	-490 46
GREENHAVEN CONTINUOUS COMMODITY INDEX FUND	83 000	29 Jun 2011	21 Feb 2013	2,819 30	2,352 16	0 00	-467 14
GREENHAVEN CONTINUOUS COMMODITY INDEX FUND	4 000	18 Jan 2012	21 Feb 2013	121 89	113 36	0 00	-8 53
GREENHAVEN CONTINUOUS COMMODITY INDEX FUND	4 000	14 Mar 2012	21 Feb 2013	123 92	113 36	-10 56	0 00
GREENHAVEN CONTINUOUS COMMODITY INDEX FUND	2 000	22 Mar 2012	21 Feb 2013	60 90	56 68	-4 22	0 00
GREENHAVEN CONTINUOUS COMMODITY INDEX FUND	8 000	03 May 2012	21 Feb 2013	231 84	226 71	-5 13	0 00
GREENHAVEN CONTINUOUS COMMODITY INDEX FUND	2 000	13 Jun 2012	21 Feb 2013	54 00	56 68	2 68	0 00
GREENHAVEN CONTINUOUS COMMODITY INDEX FUND	3 000	07 Dec 2012	21 Feb 2013	89 05	85 02	-4 03	0 00
GREENHAVEN CONTINUOUS COMMODITY INDEX FUND	12 000	09 Jan 2013	21 Feb 2013	343 41	340 07	-3 34	0 00
SPDR INDEX SHS FDS S&P INTERNATIONAL SMALL CAP ETF	38 000	02 May 2011	21 Feb 2013	1,261 22	1,117 95	0 00	-143 27
SPDR INDEX SHS FDS S&P INTERNATIONAL SMALL CAP ETF	7 000	29 Jun 2011	21 Feb 2013	218 59	205 94	0 00	-12 65
SPDR INDEX SHS FDS S&P INTERNATIONAL SMALL CAP ETF	31 000	07 Oct 2011	21 Feb 2013	791 19	912 01	0 00	120 82
SPDR INDEX SHS FDS S&P INTERNATIONAL SMALL CAP ETF	6 000	18 Jan 2012	21 Feb 2013	157 44	176 52	0 00	19 08
SPDR INDEX SHS FDS S&P INTERNATIONAL SMALL CAP ETF	24 000	07 Dec 2012	21 Feb 2013	668 86	706 08	37 22	0 00
SPDR INDEX SHS FDS S&P INTERNATIONAL SMALL CAP ETF	15 000	09 Jan 2013	21 Feb 2013	433 16	441 30	8 14	0 00
SPDR INDEX SHS FDS S&P INTERNATIONAL SMALL CAP ETF	28 000	07 Feb 2013	21 Feb 2013	828 40	823 75	-4 65	0 00
SPDR INDEX SHS FDS S&P CHINA ETF	15 000	02 May 2011	21 Feb 2013	1,242 66	1,074 58	0 00	-168 08
SPDR INDEX SHS FDS S&P CHINA ETF	1 000	29 Jun 2011	21 Feb 2013	76 98	71 64	0 00	-5 34
SPDR INDEX SHS FDS S&P CHINA ETF	5 000	07 Oct 2011	21 Feb 2013	292 39	358 19	0 00	65 80
SPDR INDEX SHS FDS S&P CHINA ETF	20 000	18 Jan 2012	21 Feb 2013	1,356 23	1,432 78	0 00	76 55
SPDR INDEX SHS FDS S&P CHINA ETF	3 000	22 Mar 2012	21 Feb 2013	205 26	214 92	9 66	0 00
SPDR INDEX SHS FDS S&P CHINA ETF	2 000	29 May 2012	21 Feb 2013	126 74	143 28	16 54	0 00
SPDR INDEX SHS FDS S&P CHINA ETF	1 000	18 Sep 2012	21 Feb 2013	64 67	71 64	6 97	0 00
SPDR INDEX SHS FDS S&P CHINA ETF	12 000	07 Feb 2013	21 Feb 2013	879 67	859 66	-20 01	0 00
ISHARES 7 TO 10 YEAR TREASURY BOND ETF	43 000	29 May 2012	21 Feb 2013	4,620 35	4,572 09	-48 26	0 00
ISHARES 7 TO 10 YEAR TREASURY BOND ETF	3 000	18 Sep 2012	21 Feb 2013	321 54	318 98	-2 56	0 00
ISHARES 7 TO 10 YEAR TREASURY BOND ETF	3 000	09 Jan 2013	21 Feb 2013	320 39	318 98	-1 41	0 00

ISHARES 7 TO 10 YEAR TREASURY BOND ETF	1 000	07 Feb 2013	21 Feb 2013	106 33	106 33	0 00	0 00
ISHARES RUSSELL 2000 ETF	16 000	02 May 2011	21 Feb 2013	1,384 00	1,446 19	0 00	62 19
ISHARES RUSSELL 2000 ETF	1 000	29 Jun 2011	21 Feb 2013	82 32	90 39	0 00	8 07
ISHARES RUSSELL 2000 ETF	2 000	29 Jul 2011	21 Feb 2013	157 70	180 77	0 00	23 07
ISHARES RUSSELL 2000 ETF	31 000	07 Oct 2011	21 Feb 2013	2,090 22	2,802 00	0 00	711 78
ISHARES RUSSELL 2000 ETF	12 000	02 Oct 2012	21 Feb 2013	1,004 83	1,084 65	79 82	0 00
ISHARES RUSSELL 2000 ETF	47 000	07 Feb 2013	21 Feb 2013	4,216 37	4,248 19	31 82	0 00
ISHARES RUSSELL 2000 GROWTH ETF	12 000	02 May 2011	07 Feb 2013	1,187 28	1,222 42	0 00	35 14
ISHARES RUSSELL 2000 GROWTH ETF	15 000	02 May 2011	21 Feb 2013	1,484 10	1,538 51	0 00	54 41
ISHARES RUSSELL 2000 GROWTH ETF	1 000	29 Jun 2011	21 Feb 2013	94 34	102 57	0 00	8 23
ISHARES RUSSELL 2000 GROWTH ETF	2 000	29 Jul 2011	21 Feb 2013	180 02	205 14	0 00	25 12
ISHARES RUSSELL 2000 GROWTH ETF	2 000	07 Oct 2011	21 Feb 2013	154 62	205 13	0 00	50 51
ISHARES RUSSELL 2000 GROWTH ETF	1 000	13 Jun 2012	21 Feb 2013	86 84	102 57	15 73	0 00
ISHARES RUSSELL 2000 GROWTH ETF	39 000	02 Oct 2012	21 Feb 2013	3,737 91	4,000 13	262 22	0 00
ISHARES RUSSELL 2000 GROWTH ETF	1 000	07 Dec 2012	21 Feb 2013	92 94	102 57	9 63	0 00
ISHARES RUSSELL MID CAP GROWTHETF	17 000	02 May 2011	07 Feb 2013	1,078 06	1,135 57	0 00	57 51
ISHARES RUSSELL MID CAP GROWTHETF	16 000	02 May 2011	21 Feb 2013	1,014 65	1,063 34	0 00	48 69
ISHARES RUSSELL MID CAP GROWTHETF	4 000	29 Jul 2011	21 Feb 2013	236 37	265 83	0 00	29 46
ISHARES RUSSELL MID CAP GROWTHETF	38 000	07 Oct 2011	21 Feb 2013	1,947 82	2,525 42	0 00	577 60
ISHARES RUSSELL MID CAP GROWTHETF	2 000	13 Jun 2012	21 Feb 2013	115 52	132 92	17 40	0 00
ISHARES RUSSELL MID CAP GROWTHETF	16 000	02 Oct 2012	21 Feb 2013	996 58	1,063 34	66 76	0 00
ISHARES RUSSELL MID CAP GROWTHETF	58 000	07 Dec 2012	21 Feb 2013	3,598 56	3,854 59	256 03	0 00
SPDR BARCLAYS HIGH YIELD BOND ETF	144 000	27 Oct 2011	21 Feb 2013	5,681 73	5,864 99	0 00	183 26
SPDR BARCLAYS HIGH YIELD BOND ETF	73 000	18 Jan 2012	21 Feb 2013	2,828 07	2,973 22	0 00	145 15
SPDR BARCLAYS HIGH YIELD BOND ETF	4 000	14 Mar 2012	21 Feb 2013	158 84	162 92	4 08	0 00
SPDR BARCLAYS HIGH YIELD BOND ETF	3 000	05 Jul 2012	21 Feb 2013	118 12	122 19	4 07	0 00
SPDR BARCLAYS HIGH YIELD BOND ETF	3 000	02 Oct 2012	21 Feb 2013	119 94	122 19	2 25	0 00
SPDR BARCLAYS HIGH YIELD BOND ETF	5 000	09 Jan 2013	21 Feb 2013	205 60	203 65	-1 95	0 00
SPDR BARCLAYS HIGH YIELD BOND ETF	116 000	07 Feb 2013	21 Feb 2013	4,691 04	4,724 56	33 52	0 00
SPDR INDEX SHS FDS RUSSELL/NOMURA SMALL CAP JAPANETF	22 000	02 May 2011	07 Feb 2013	955 88	945 89	0 00	-9 99
SPDR INDEX SHS FDS RUSSELL/NOMURA SMALL CAP JAPANETF	52 000	02 May 2011	21 Feb 2013	2,259 34	2,253 65	0 00	-5 69
SPDR INDEX SHS FDS RUSSELL/NOMURA SMALL CAP JAPANETF	3 000	18 Jan 2012	21 Feb 2013	125 80	130 02	0 00	4 22
SPDR INDEX SHS FDS RUSSELL/NOMURA SMALL CAP JAPANETF	3 000	29 May 2012	21 Feb 2013	118 50	130 02	11 52	0 00
SPDR INDEX SHS FDS RUSSELL/NOMURA SMALL CAP JAPANETF	1 000	18 Sep 2012	21 Feb 2013	41 31	43 34	2 03	0 00
SPDR INDEX SHS FDS RUSSELL/NOMURA SMALL CAP JAPANETF	2 000	09 Jan 2013	21 Feb 2013	85 16	86 68	1 52	0 00
ISHARES IBOXX \$ INVESTMENT GRADE CORPORATE BOND ETF	9 000	02 May 2011	21 Feb 2013	991 62	1,077 27	0 00	85 65
ISHARES IBOXX \$ INVESTMENT GRADE CORPORATE BOND ETF	1 000	14 Mar 2012	21 Feb 2013	115 32	119 70	4 38	0 00
ISHARES IBOXX \$ INVESTMENT GRADE CORPORATE BOND ETF	32 000	05 Jul 2012	21 Feb 2013	3,785 23	3,830 31	45 08	0 00
ISHARES IBOXX \$ INVESTMENT GRADE CORPORATE BOND ETF	2 000	09 Jan 2013	21 Feb 2013	241 82	239 39	-2 43	0 00
ISHARES IBOXX \$ INVESTMENT GRADE CORPORATE BOND ETF	1 000	07 Feb 2013	21 Feb 2013	119 44	119 70	0 26	0 00
ISHARES MBS ETF	14 000	29 Sep 2011	07 Feb 2013	1,513 12	1,508 33	0 00	-4 79
ISHARES MBS ETF	1 000	04 Oct 2011	07 Feb 2013	107 98	107 74	0 00	-0 24
ISHARES MBS ETF	13 000	04 Oct 2011	21 Feb 2013	1,403 71	1,401 11	0 00	-2 60
ISHARES MBS ETF	4 000	07 Oct 2011	21 Feb 2013	429 95	431 11	0 00	1 16
ISHARES MBS ETF	11 000	13 Dec 2011	21 Feb 2013	1,188 11	1,185 55	0 00	-2 56
ISHARES MBS ETF	1 000	30 Jan 2012	21 Feb 2013	108 41	107 78	0 00	-0 63
ISHARES MBS ETF	1 000	14 Mar 2012	21 Feb 2013	107 80	107 78	-0 02	0 00
ISHARES MBS ETF	2 000	05 Jul 2012	21 Feb 2013	216 89	215 55	-1 34	0 00
ISHARES MBS ETF	1 000	09 Jan 2013	21 Feb 2013	108 08	107 78	-0 30	0 00
SPDR INDEX SHS FDS SPDR DOW JONES INTERNATIONAL REAL ESTATE ETF	34 000	02 May 2011	21 Feb 2013	1,416 78	1,403 84	0 00	-12 94
SPDR INDEX SHS FDS SPDR DOW JONES INTERNATIONAL REAL ESTATE ETF	4 000	07 Oct 2011	21 Feb 2013	133 23	165 16	0 00	31 93

SPDR INDEX SHS FDS SPDR DOW JONES INTERNATIONAL REAL ESTATE ETF	1 000	18 Jan 2012	21 Feb 2013	33 39	41 29	0 00	7 90
SPDR INDEX SHS FDS SPDR DOW JONES INTERNATIONAL REAL ESTATE ETF	1 000	02 Oct 2012	21 Feb 2013	39 46	41 29	1 83	0 00
SPDR INDEX SHS FDS SPDR DOW JONES INTERNATIONAL REAL ESTATE ETF	2 000	09 Jan 2013	21 Feb 2013	82 52	82 58	0 06	0 00
SPDR INDEX SHS FDS SPDR DOW JONES INTERNATIONAL REAL ESTATE ETF	64 000	07 Feb 2013	21 Feb 2013	2,639 33	2,642 53	3 20	0 00
SPDR BARCLAYS SHORT TERM CORPORATE BOND ETF	50 000	02 May 2011	21 Feb 2013	1,524 50	1,537 96	0 00	13 46
SPDR BARCLAYS SHORT TERM CORPORATE BOND ETF	1 000	18 Jan 2012	21 Feb 2013	30 36	30 76	0 00	0 40
SPDR BARCLAYS SHORT TERM CORPORATE BOND ETF	1 000	30 Jan 2012	21 Feb 2013	30 44	30 76	0 00	0 32
SPDR BARCLAYS SHORT TERM CORPORATE BOND ETF	1 000	14 Mar 2012	21 Feb 2013	30 48	30 76	0 28	0 00
SPDR BARCLAYS SHORT TERM CORPORATE BOND ETF	2 000	05 Jul 2012	21 Feb 2013	61 07	61 52	0 45	0 00
SPDR BARCLAYS SHORT TERM CORPORATE BOND ETF	1 000	09 Jan 2013	21 Feb 2013	30 79	30 76	-0 03	0 00
SPDR BARCLAYS SHORT TERM CORPORATE BOND ETF	1 000	07 Feb 2013	21 Feb 2013	30 79	30 76	-0 03	0 00
SPDR S&P 500 ETF TRUST	2 000	02 May 2011	07 Feb 2013	274 04	300 71	0 00	26 67
SPDR S&P 500 ETF TRUST	34 000	02 May 2011	21 Feb 2013	4,658 68	5,123 69	0 00	465 01
SPDR S&P 500 ETF TRUST	1 000	29 Jul 2011	21 Feb 2013	129 18	150 70	0 00	21 52
SPDR S&P 500 ETF TRUST	12 000	09 Dec 2011	21 Feb 2013	1,510 24	1,808 36	0 00	298 12
SPDR S&P 500 ETF TRUST	1 000	30 Jan 2012	21 Feb 2013	130 75	150 70	0 00	19 95
SPDR S&P 500 ETF TRUST	27 000	13 Jun 2012	21 Feb 2013	3,568 83	4,068 80	499 97	0 00
SPDR S&P 500 ETF TRUST	1 000	02 Oct 2012	21 Feb 2013	144 49	150 69	6 20	0 00
SPDR S&P 500 ETF TRUST	1 000	09 Jan 2013	21 Feb 2013	146 19	150 70	4 51	0 00
VANGUARD INTL EQUITY INDEX FD FTSE EUROPE ETF	47 000	07 Dec 2012	21 Feb 2013	2,234 45	2,315 17	80 72	0 00
VANGUARD INTL EQUITY INDEX FD FTSE EUROPE ETF	60 000	07 Feb 2013	21 Feb 2013	2,978 87	2,955 53	-23 34	0 00
VANGUARD SECTOR INDEX FDS VANGUARD INFORMATION TECHNOLOGY ETF	65 000	02 May 2011	21 Feb 2013	4,301 70	4,590 19	0 00	288 49
VANGUARD SECTOR INDEX FDS VANGUARD INFORMATION TECHNOLOGY ETF	3 000	29 Jun 2011	21 Feb 2013	186 69	211 85	0 00	25 16
VANGUARD SECTOR INDEX FDS VANGUARD INFORMATION TECHNOLOGY ETF	1 000	29 May 2012	21 Feb 2013	67 39	70 62	3 23	0 00
VANGUARD SECTOR INDEX FDS VANGUARD INFORMATION TECHNOLOGY ETF	1 000	02 Oct 2012	21 Feb 2013	73 31	70 62	-2 69	0 00
VANGUARD SECTOR INDEX FDS VANGUARD INFORMATION TECHNOLOGY ETF	4 000	07 Dec 2012	21 Feb 2013	275 04	282 47	7 43	0 00
VANGUARD SECTOR INDEX FDS VANGUARD INFORMATION TECHNOLOGY ETF	2 000	09 Jan 2013	21 Feb 2013	140 92	141 24	0 32	0 00
VANGUARD SECTOR INDEX FDS VANGUARD REIT ETF	39 000	02 May 2011	21 Feb 2013	2,412 54	2,688 21	0 00	275 67
VANGUARD SECTOR INDEX FDS VANGUARD REIT ETF	2 000	07 Oct 2011	21 Feb 2013	103 53	137 86	0 00	34 33
VANGUARD SECTOR INDEX FDS VANGUARD REIT ETF	8 000	09 Dec 2011	21 Feb 2013	449 62	551 43	0 00	101 81
VANGUARD SECTOR INDEX FDS VANGUARD REIT ETF	1 000	13 Jun 2012	21 Feb 2013	62 54	68 93	6 39	0 00
VANGUARD SECTOR INDEX FDS VANGUARD REIT ETF	3 000	02 Oct 2012	21 Feb 2013	194 25	206 78	12 53	0 00
VANGUARD SECTOR INDEX FDS VANGUARD REIT ETF	39 000	07 Feb 2013	21 Feb 2013	2,661 36	2,688 20	26 84	0 00
VANGUARD INTERNATIONAL EQUITY INDEX FUNDS FTSE PACIFIC ETF	47 000	18 Jan 2012	07 Feb 2013	2,314 28	2,578 89	0 00	264 61
VANGUARD INTERNATIONAL EQUITY INDEX FUNDS FTSE PACIFIC ETF	17 000	03 May 2012	07 Feb 2013	870 80	932 79	61 99	0 00
VANGUARD INTERNATIONAL EQUITY INDEX FUNDS FTSE PACIFIC ETF	2 000	02 Oct 2012	07 Feb 2013	101 02	109 74	8 72	0 00
VANGUARD FTSE EMERGING MARKETSETF FTSE EMERGING INDEX	67 000	02 May 2011	21 Feb 2013	3,404 94	2,913 76	0 00	-491 18
VANGUARD FTSE EMERGING MARKETSETF FTSE EMERGING INDEX	1 000	29 Jun 2011	21 Feb 2013	48 14	43 49	0 00	-4 65
VANGUARD FTSE EMERGING MARKETSETF FTSE EMERGING INDEX	7 000	08 Aug 2011	21 Feb 2013	292 31	304 42	0 00	12 11
VANGUARD FTSE EMERGING MARKETSETF FTSE EMERGING INDEX	46 000	07 Oct 2011	21 Feb 2013	1,740 62	2,000 50	0 00	259 88
VANGUARD FTSE EMERGING MARKETSETF FTSE EMERGING INDEX	45 000	18 Jan 2012	21 Feb 2013	1,846 56	1,957 01	0 00	110 45
VANGUARD FTSE EMERGING MARKETSETF FTSE EMERGING INDEX	3 000	03 May 2012	21 Feb 2013	127 89	130 47	2 58	0 00
VANGUARD FTSE EMERGING MARKETSETF FTSE EMERGING INDEX	9 000	29 May 2012	21 Feb 2013	345 96	391 40	45 44	0 00
VANGUARD FTSE EMERGING MARKETSETF FTSE EMERGING INDEX	25 000	07 Feb 2013	21 Feb 2013	1,096 50	1,087 22	-9 28	0 00
VANGUARD INDEX FUNDS VANGUARD HIGH DIVIDEND YIELD ETF	23 000	02 May 2011	07 Feb 2013	1,063 98	1,203 84	0 00	139 86
VANGUARD INDEX FUNDS VANGUARD HIGH DIVIDEND YIELD ETF	18 000	02 May 2011	21 Feb 2013	832 68	950 74	0 00	118 06
VANGUARD INDEX FUNDS VANGUARD HIGH DIVIDEND YIELD ETF	1 000	29 Jul 2011	21 Feb 2013	43 73	52 82	0 00	9 09
VANGUARD INDEX FUNDS VANGUARD HIGH DIVIDEND YIELD ETF	85 000	07 Oct 2011	21 Feb 2013	3,517 71	4,489 60	0 00	971 89
VANGUARD INDEX FUNDS VANGUARD HIGH DIVIDEND YIELD ETF	36 000	09 Dec 2011	21 Feb 2013	1,602 11	1,901 48	0 00	299 37
VANGUARD INDEX FUNDS VANGUARD HIGH DIVIDEND YIELD ETF	4 000	30 Jan 2012	21 Feb 2013	183 60	211 27	0 00	27 67
VANGUARD INDEX FUNDS VANGUARD HIGH DIVIDEND YIELD ETF	14 000	03 May 2012	21 Feb 2013	678 31	739 46	61 15	0 00

VANGUARD INDEX FUNDS VANGUARD HIGH DIVIDEND YIELD ETF	54 000	13 Jun 2012	21 Feb 2013	2,534 98	2,852 22	317 24	0 00
VANGUARD INDEX FUNDS VANGUARD HIGH DIVIDEND YIELD ETF	6 000	09 Jan 2013	21 Feb 2013	303 18	316 91	13 73	0 00
SELECT SECTOR SPDR TRUST SHS BEN INT ENERGY	2 000	02 May 2011	07 Feb 2013	161 26	155 25	0 00	-6 01
SELECT SECTOR SPDR TRUST SHS BEN INT ENERGY	45 000	02 May 2011	21 Feb 2013	3,628 28	3,475 27	0 00	-153 01
SELECT SECTOR SPDR TRUST SHS BEN INT ENERGY	1 000	03 May 2012	21 Feb 2013	70 61	77 23	6 62	0 00
SELECT SCTOR SPDR TR SBI UTILSSELECT INDEX	2 000	13 Jun 2012	07 Feb 2013	73 61	73 33	-0 28	0 00
SELECT SCTOR SPDR TR SBI UTILSSELECT INDEX	88 000	13 Jun 2012	21 Feb 2013	3,239 01	3,244 48	5 47	0 00
SELECT SCTOR SPDR TR SBI UTILSSELECT INDEX	2 000	05 Jul 2012	21 Feb 2013	74 07	73 74	-0 33	0 00
SELECT SCTOR SPDR TR SBI UTILSSELECT INDEX	5 000	18 Sep 2012	21 Feb 2013	181 00	184 34	3 34	0 00
SELECT SCTOR SPDR TR SBI UTILSSELECT INDEX	3 000	09 Jan 2013	21 Feb 2013	105 81	110 61	4 80	0 00
SELECT SECTOR SPDR FUND SHS BEN INT HEALTH CARE	89 000	09 Dec 2011	07 Feb 2013	3,006 76	3,827 80	0 00	821 04
SELECT SECTOR SPDR FUND SHS BEN INT HEALTH CARE	32 000	09 Dec 2011	21 Feb 2013	1,081 08	1,381 41	0 00	300 33
SELECT SECTOR SPDR FUND SHS BEN INT HEALTH CARE	47 000	13 Jun 2012	21 Feb 2013	1,725 20	2,028 93	303 73	0 00
SELECT SECTOR SPDR FUND SHS BEN INT HEALTH CARE	4 000	09 Jan 2013	21 Feb 2013	165 72	172 68	6 96	0 00
	4,481 000			208,508 00	216,298 18	3,864 11	3,926 07