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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE WARREN AND AUGUSTA HUME FOUNDATION INC		A Employer identification number 13-3675579	
Number and street (or P O box number if mail is not delivered to street address) C/O MCGLADREY LLP 850 CANAL STREET		B Telephone number (see instructions) (203) 327-3112	
City or town, state or province, country, and ZIP or foreign postal code STAMFORD, CT 06902		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 7,321,345		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	526,965			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	155,520	155,520		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	685,550			
	b Gross sales price for all assets on line 6a 1,634,324				
	7 Capital gain net income (from Part IV, line 2) . . .		685,566		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	26,928	0		
	12 Total. Add lines 1 through 11	1,394,963	841,086		
	13 Compensation of officers, directors, trustees, etc	5,000	0		5,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	500	0		500
	b Accounting fees (attach schedule)	18,175	0		18,175
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	18,747	1,382		842
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings	1,731	0		1,731
	22 Printing and publications				
	23 Other expenses (attach schedule)	75,397	45,988		810
	24 Total operating and administrative expenses. Add lines 13 through 23	119,550	47,370		27,058
	25 Contributions, gifts, grants paid	230,000			230,000
	26 Total expenses and disbursements. Add lines 24 and 25	349,550	47,370		257,058
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	1,045,413			
	b Net investment income (if negative, enter -0-)		793,716		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	-5	-3	-3
	2	Savings and temporary cash investments	135,500	90,108	90,108
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	0 	205,130	206,284
	b	Investments—corporate stock (attach schedule)	3,693,532 	4,579,205	7,024,956
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,829,027	4,874,440	7,321,345	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	3,829,027	4,874,440	
	30	Total net assets or fund balances (see page 17 of the instructions)	3,829,027	4,874,440	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	3,829,027	4,874,440		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	3,829,027
2	Enter amount from Part I, line 27a	2	1,045,413
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	4,874,440
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,874,440

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	685,566
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	264,629	5,431,618	0 048720
2011	237,293	5,071,647	0 046788
2010	245,518	4,554,189	0 053910
2009	241,472	3,991,392	0 060498
2008	264,983	4,912,462	0 053941

2	Total of line 1, column (d).	2	0 263857
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 052771
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	6,285,328
5	Multiply line 4 by line 3.	5	331,683
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	7,937
7	Add lines 5 and 6.	7	339,620
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	257,058

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3 Add lines 1 and 2.	3	15,874
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	15,874
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	12,733
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	8,100
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	20,833
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ☐	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ☐	10	4,959
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ 4,959 Refunded ☐	11	0

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ 0 (2) On foundation managers ☐ \$ _____ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ DE _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of MARK LORUSSO Telephone no (203) 327-3112 Located at MCGLADREY LLP 850 CANAL STREET STAMFORD CT ZIP+4 06902			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
		15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country			

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☒

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	6,269,641
b	Average of monthly cash balances.	1b	111,403
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	6,381,044
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	6,381,044
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	95,716
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,285,328
6	Minimum investment return. Enter 5% of line 5.	6	314,266

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	314,266
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	15,874
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	15,874
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	298,392
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	298,392
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	298,392

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	257,058
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	257,058
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	257,058
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				298,392
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			147,605	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 257,058				
a Applied to 2012, but not more than line 2a			147,605	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				109,453
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				188,939
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009.				
b Excess from 2010.				
c Excess from 2011.				
d Excess from 2012.				
e Excess from 2013.				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	230,000
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ENBRIDGE ENERGY PARTNERS	P		2013-06-30
UBS - SEE ATTACHED	P		2013-06-30
UBS - SEE ATTACHED	P		2013-06-30
KPAC	P		2013-06-30
GAIN DUE TO BASIS ADJUSTMENTS ON SALE OF ENBRIDGE ENERGY PARTNERS	P		2013-06-30
GAIN DUE TO BASIS ADJUSTMENTS ON SALE OF SUNOCO LOGISTICS PARTNERS	P		2013-06-30
MEXICO FUND	P		2013-10-17
COHEN & STEERS	P		2013-06-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			0
183,547		190,801	-7,254
1,450,709		910,137	540,572
68			68
			142,925
			8,826
			249
			180

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			-7,254
			540,572
			68
			142,925
			8,826
			249
			180

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DR NICHOLAS HUME	CHAIRMAN/DIRECTOR 0 25	1,000	0	962
1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL 32792				
AUGUSTA HUME	VICE-CHAIRMAN/DIRECTOR 0 25	0	0	0
1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL 32792				
HAROLD A WARD III	SECRETARY/DIRECTOR 0 25	1,000	0	0
329 PARK AVENUE NORTH WINTER PARK, FL 32789				
ELIZABETH BROTHERS	DIRECTOR 0 25	1,000	0	0
100 INTERLACHEN AVE WINTER PARK, FL 32789				
G RUSSELL CREIGHTON	TREASURER/DIRECTOR 0 25	1,000	0	0
1579 THE GREENS WAY SUITE 20 JACKSONVILLE, FL 32250				
CHRISTINA HUME	VICE PRESIDENT/DIRECTOR 0 25	1,000	0	770
1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL 32792				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ADULT LITERACY LEAGUE 345 WEST MICHIGAN STREET 100 ORLANDO,FL 32806	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	5,000
ASSOCIATED SOLO ARTISTS 88 HARDSCRABBLE ROAD CHESTER,NY 10918	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	5,000
ATLANTA INTERNATIONAL SCHOOL 2890 NORTH FULTON DRIVE NE ATLANTA,GA 30305	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	10,000
BACH FESTIVAL SOCIETY 1000 HOLT AVENUE - 2763 WINTER PARK,FL 32789	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	10,000
BILL MANESS OUTREACH CENTER 3434 ROSWELL ROAD NW ATLANTA,GA 30305	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	10,000
CHRISTIAN SERVICE CENTER OF CENTRAL FLORIDA 808 WEST CENTRAL BOULEVARD ORLANDO,FL 32805	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	5,000
DECATUR EDUCATION FOUNDATION 200 NELSON FERRY ROAD SUITE B DECATUR,GA 30030	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	7,500
FRIENDS SCHOOL OF ATLANTA 862 COLUMBIA DRIVE DECATUR,GA 30030	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	10,000
INTERNATIONAL COMMUNITY SCHOOL 3260 COVINGTON HIGHWAY K-4 DECATUR,GA 30032	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	7,500
LIGHTHOUSE OF CENTRAL FLORIDA 215 EAST NEW HAMPSHIRE STREET ORLANDO,FL 32804	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	10,000
ORLANDO PHILHARMONIC ORCHES 812 E ROLLINS STREET SUITE 300 ORLANDO,FL 32803	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	10,000
ROLLINS COLLEGE 1000 HOLT AVENUE - 2754 WINTER PARK,FL 32789	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	50,000
ST JOHN'S COUNTRY DAY SCHOOL 3100 DOCTORS LAKE DRIVE ORANGE PARK,FL 32073	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	10,000
STARBASE 14300 FANG DRIVE JACKSONVILLE,FL 32218	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	5,000
THE CRADDOCK CENTER 947 CHERRY LOG STREET PO BOX 69 CHERRY LOG,GA 30522	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	10,000
Total  3a				230,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE GLOBAL VILLAGE SCHOOL PO BOX 2200 DECATUR,GA 30031	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	5,000
THE HOWARD SCHOOL INC 1192 FOSTER STREET NW ATLANTA,GA 30318	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	10,000
THE JEREMIAH PROJECT FIRST PO BOX 5163 WILLIAMSBURG,VA 23188	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	10,000
THE WALDORF SCHOOL OF ATLANTA 827 KIRK ROAD DECATUR,GA 30030	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	10,000
WINTER PARK DAY NURSERY 741 SOUTH PENNSYLVANIA AVENUE WINTER PARK,FL 32789	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	10,000
YOUNG LIFE CLAY COUNTY PO BOX 395 ORANGE PARK,FL 32067	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	10,000
BRIGHT HOLIDAYS 24532 DEER TRACE DRIVE PONTE VEDRA BEACH,FL 32082	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	5,000
JACKSONVILLE YOUTH DEVELOPMENT 144 EAST 44TH STREET 7TH FLOOR NEW YORK,NY 10017	N/A-NO RECIPIENT IS AN INDIV	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECCION 501(C)(3)	5,000
Total  3a				230,000

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization THE WARREN AND AUGUSTA HUME FOUNDATION INC	Employer identification number 13-3675579
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE WARREN AND AUGUSTA HUME FOUNDATION INC	Employer identification number 13-3675579
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Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
 ____	See Additional Data Table _____ _____ _____	 \$ _____	 Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
 ____	_____ _____ _____	 \$ _____	 Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
 ____	_____ _____ _____	 \$ _____	 Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
 ____	_____ _____ _____	 \$ _____	 Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
 ____	_____ _____ _____	 \$ _____	 Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
 ____	_____ _____ _____	 \$ _____	 Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
 ____	_____ _____ _____	 \$ _____	 Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

Name of organization THE WARREN AND AUGUSTA HUME FOUNDATION INC	Employer identification number 13-3675579
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Part II	Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	See Additional Data Table	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____

Name of organization THE WARREN AND AUGUSTA HUME FOUNDATION INC	Employer identification number 13-3675579
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		

Additional Data

Software ID:

Software Version:

EIN: 13-3675579

Name: THE WARREN AND AUGUSTA HUME FOUNDATION INC

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	ESTATE OF WARREN HUME 1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL32792	\$ <u>104,850</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
<u>2</u>	ESTATE OF WARREN HUME 1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL32792	\$ <u>50,000</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contribution)
<u>3</u>	ESTATE OF WARREN HUME 1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL32792	\$ <u>41,505</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contribution)
<u>4</u>	ESTATE OF WARREN HUME 1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL32792	\$ <u>30,556</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contribution)
<u>5</u>	ESTATE OF WARREN HUME 1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL32792	\$ <u>52,735</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contribution)
<u>6</u>	ESTATE OF WARREN HUME 1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL32792	\$ <u>39,960</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contribution)
<u>7</u>	ESTATE OF WARREN HUME 1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL32792	\$ <u>43,649</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contribution)
<u>8</u>	ESTATE OF WARREN HUME 1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL32792	\$ <u>21,005</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contribution)
<u>9</u>	ESTATE OF WARREN HUME 1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL32792	\$ <u>28,804</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contribution)
<u>10</u>	ESTATE OF WARREN HUME 1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL32792	\$ <u>31,252</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>11</u>	ESTATE OF WARREN HUME 1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL32792	\$ 30,981	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contribution)
<u>12</u>	ESTATE OF WARREN HUME 1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL32792	\$ 21,334	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contribution)
<u>13</u>	ESTATE OF WARREN HUME 1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL32792	\$ 30,334	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contribution)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>2</u>	50000 SHS BROWARD CNTY FL	\$ <u>50,182</u>	<u>2013-12-04</u>
<u>3</u>	40000 SHS CITIZENS FL PPTY	\$ <u>41,908</u>	<u>2013-12-04</u>
<u>4</u>	30000 SHS FLORIDA ST ED	\$ <u>30,662</u>	<u>2013-12-04</u>
<u>5</u>	50000 SHS MIAMI-DADE CNTY FL	\$ <u>53,008</u>	<u>2013-12-04</u>
<u>6</u>	2000 SHS CSX CORP	\$ <u>54,400</u>	<u>2013-12-04</u>
<u>7</u>	500 SHS EXXON MOBIL	\$ <u>47,335</u>	<u>2013-12-04</u>
<u>8</u>	1000 SHS GENERAL ELECTRIC	\$ <u>26,640</u>	<u>2013-12-04</u>
<u>9</u>	1000 SHS MICROSOFT	\$ <u>38,940</u>	<u>2013-12-04</u>
<u>10</u>	200 SHS NOVO NORDISK	\$ <u>35,312</u>	<u>2013-12-04</u>
<u>11</u>	500 SHS TARGET	\$ <u>31,655</u>	<u>2013-12-04</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>12</u>	500 SHS VERIZON COMMUNICATIONS	\$ <u>24,685</u>	<u>2013-12-04</u>
<u>13</u>	30000 SHS ST AUGUSTINE FL	\$ <u>30,967</u>	<u>2013-12-04</u>

TY 2013 Accounting Fees Schedule

Name: THE WARREN AND AUGUSTA HUME FOUNDATION INC

EIN: 13-3675579

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	18,175	0		18,175

**TY 2013 Investments Corporate
Stock Schedule**

Name: THE WARREN AND AUGUSTA HUME FOUNDATION INC
EIN: 13-3675579

Name of Stock	End of Year Book Value	End of Year Fair Market Value
700 SHS BERKSHIRE HATHAWAY INC.	0	0
700 SHS IBM	11,016	131,299
1200 SHS JOHNSON & JOHNSON	1,914	109,908
710 SHS NOVO NORDISK A/S	41,320	131,180
4688 SHS MEXICO FUND	0	0
2840 SHS BANK OF NEW YORK MELLON CORP.	7,105	99,230
500 SHS CONSOLIDATED EDISON NEW YORK	0	0
1780 SHS EXXON CORP	47,077	180,136
17,229 SHS PUTNAM INTERMEDIATE GOVERNMENT	149,034	86,834
2300 SHS JP MORGAN CHASE & CO	57,750	134,504
1620 SHS DARDEN RESTAURANTS	0	0
1323 SHS ROYAL DUTCH SHELL PLC ADS	0	0
10000 SHS ALLIANCE BERNSTEIN	80,275	71,300
9890 SHS COHEN & STEERS ADV INC.	0	0
6500 SHS SUNOCO LOGISTICS	29,532	490,620
1250 SHS TRAVELERS COS INC.	63,426	113,175
2706 SHS US BANCORP DEL	0	0
4400 SHS PLAINS ALL AMERICAN PIPELINE	32,380	227,788
6000 SHS ENBRIDGE ENERGY PARTNERS	0	0
2254 SHS VERIZON	79,644	110,762
1550 SHS SOUTHERN CO	46,480	63,721
2899 SHS WELLS FARGO(EXCH FR WACHOVIA)	96,621	131,615
1520 SHS AMER EXPRESS CO	74,175	137,910
360 SHS APPLE INC	135,101	201,967
1960 SHS BALL CORP	72,360	101,254
380 SHS BLACKROCK INC	70,626	120,259
2410 SHS BRISTOL MYERS SQUIBB CO	67,455	128,092
750 SHS CATERPILLAR INC	75,476	68,108
4200 SHS CISCO SYSTEMS INC	80,372	94,206
1300 SHS COVIDIEN PLC	60,493	88,530

Name of Stock	End of Year Book Value	End of Year Fair Market Value
4900 SHS CSX CORPORATION	110,070	140,973
1900 SHS CVS CAREMARK CORP	70,371	135,983
2050 SHS DR PEPPER SNAPPLE GROUP INC	0	0
1900 SHS DU PONT DE NEMOURS	92,834	123,443
5350 SHS GENL ELECTRIC CO	112,921	149,961
2250 SHS GENL MILLS INC	80,188	112,298
1330 SHS HOME DEPOT INC	55,672	109,512
1400 SHS HONEYWELL INTL INC	80,387	127,918
4000 SHS INTEL CORP	86,818	103,820
1400 SHS MARATHON PETROLEUM CO	52,077	128,422
3725 SHS MICROSOFT CORP	108,446	139,352
2550 SHS ORACLE CORP	84,424	97,563
1575 SHS QUALCOMM INC	95,971	116,944
1050 SHS SCHLUMBERGER LTD NETHERLANDS ANTILLES	73,281	94,616
2100 SHS TARGET CORP	117,133	132,867
1120 SHS THERMO FISHER SCIENTIFIC INC	0	0
1750 SHS WALT DISNEY CO (HOLDING CO)	77,712	133,700
2850 SHS ISHARES TRUST S&P	105,613	104,966
950 SHS VANGUARD BD INDEX FD IC	76,432	75,934
2150 SHS MARATHON OIL CORP	67,460	75,895
2520 SHS MATTEL INC	83,527	119,902
1730 SHS MEDTRONIC INC	68,349	99,285
1850 SHS OMNICOM GROUP INC	87,427	137,585
950 SHS PHILIP MORRIS INTL INC	86,482	82,774
950 SHS UNITED TECHNOLOGIES CORP	70,441	108,110
1350 SHS ABBVIE INC	60,889	71,294
1650 SHS AMERISOURCEBERGEN CORP	114,510	116,012
1870 SHS AVERY DENNISON CORP	82,223	93,855
1450 SHS COACH INC	73,910	81,389
1600 SHS CONOCOPHILLIPS	104,410	113,040

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1900 SHS GALLAGHER ARTHUR J & CO	81,024	89,167
750 SHS GOLDMAN SACHS GROUP INC	111,726	132,945
1450 SHS JOHNSON CONTROLS INC	62,114	74,385
1000 SHS KIMBERLY CLARK CORP	97,167	104,460
2200 SHS GUGGENHEIM BULLETSHARES 2017 C	49,984	49,852
3443 SHS GUGGENHEIM BULLSETSHARES 2016	76,944	76,744
1700 SHS GUGGENHEIM BULLETSHARES 2018	35,632	35,581
4828 SHS GUGGENHEIM BULLETSHARES 2015 C	105,303	105,637
3000 SHS VANGUARD INTL EQUITY INDEX FD	149,933	152,190
1300 SHS VANGUARD FTSE DEVELOPED MKT	49,768	54,184

TY 2013 Investments Government Obligations Schedule

Name: THE WARREN AND AUGUSTA HUME FOUNDATION INC

EIN: 13-3675579

US Government Securities - End of

Year Book Value:

205,130

US Government Securities - End of

Year Fair Market Value:

206,284

**State & Local Government
Securities - End of Year Book**

Value:

0

**State & Local Government
Securities - End of Year Fair**

Market Value:

0

TY 2013 Legal Fees Schedule

Name: THE WARREN AND AUGUSTA HUME FOUNDATION INC

EIN: 13-3675579

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL	500	0		500

TY 2013 Other Expenses Schedule

Name: THE WARREN AND AUGUSTA HUME FOUNDATION INC

EIN: 13-3675579

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
UBS FEE	15,422	15,422		0
EXPENSES FROM SUNOCO LOGISTICS PARTNERS	373	0		0
ENBRIDGE ENERGY PARTNERS	27,495	0		0
NON DEDUCTIBLE EXPENSES FROM SUNOCO LOGISTICS PARTNERS	100	0		0
NON DEDUCTIBLE EXPENSES FROM ENBRIDGE ENERGY PARTNERS	538	0		0
EXPENSES FROM ENBRIDGE ENERGY PARTNERS	58	0		0
MISCELLANEOUS	10	0		10
NON DEDUCTIBLE EXPENSES FROM PLAINS ALL AMERICAN PIPELINE	35	0		0
SOUTHERN COUNCIL OF FOUNDATIONS	800	0		800
SAWGRASS ASSET MANAGEMENT	30,566	30,566		0

TY 2013 Other Income Schedule**Name:** THE WARREN AND AUGUSTA HUME FOUNDATION INC**EIN:** 13-3675579

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
SUNOCO LOGISTICS PARTNERS LP	18,289	0	18,289
PLAINS ALL AMERICAN PIPELINE	8,613	0	8,613
TAX REFUND	26	0	26

TY 2013 Taxes Schedule**Name:** THE WARREN AND AUGUSTA HUME FOUNDATION INC**EIN:** 13-3675579

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PLAINS-FOREIGN TAX	955	955		0
FOREIGN TAX	427	427		0
DE FILING FEES	842	0		842
US TREASURY	16,523	0		0