EXTENSION ATTACHED

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation: THE HAROLD W. SIEBENS CHARITABLE FOUNDATION, INC.

A Employer identification number: 13-3666768

Number and street (or P O box number if mail is not delivered to street address): C/O MCDERMOTT WILL & EMERY LLP
340 MADISON AVENUE

Room/suite: 17TH FL

B Telephone number (see instructions): (212) 547-5699

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10173-1922

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 3,018,182.

J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) ______________________ *(Part I, column (d) must be on cash basis)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
	Part I	**Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*				
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	5,255,667.			
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	55,656.	55,656.		
	4	Dividends and interest from securities				
	5a	Gross rents				
	b	Net rental income or (loss) ________				
	6a	Net gain or (loss) from sale of assets not on line 10	-49,140.			
	b	Gross sales price for all assets on line 6a 2,250,000.				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	5,262,183.	55,656.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages	461,312.			461,312.
	15	Pension plans, employee benefits	27,737.			27,737.
	16a	Legal fees (attach schedule) ATCH 1	11,102.			11,102.
	b	Accounting fees (attach schedule) ATCH 2	12,300.	6,150.		12,300.
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion	2,900.			
	20	Occupancy	21,308.			24,224.
	21	Travel, conferences, and meetings	40,561.			35,400.
	22	Printing and publications				
	23	Other expenses (attach schedule) ATCH 3	21,495.	3,137.		16,389.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	598,715.	9,287.		588,464.
	25	Contributions, gifts, grants paid	5,196,341.			5,196,341.
	26	**Total expenses and disbursements** Add lines 24 and 25	5,795,056.	9,287.	0	5,784,805.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-532,873.			
	b	**Net investment income** (if negative, enter -0-)		46,369.		
	c	**Adjusted net income** (if negative, enter -0-)				

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Form **990-PF** (2013)

Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash - non-interest-bearing	188,758.	89,136.	89,136.
	2	Savings and temporary cash investments	1,033,333.	2,920,895.	2,920,895.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	3,601.	4,364.	4,364.
	10 a	Investments - U S and state government obligations (attach schedule)	2,299,140.		
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ 17,283.			
		Less accumulated depreciation (attach schedule) ▶ 13,496.	4,038.	3,787.	3,787.
	15	Other assets (describe ▶)	17,771.		
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	3,546,641.	3,018,182.	3,018,182.
Liabilities	17	Accounts payable and accrued expenses	10,477.	14,891.	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	10,477.	14,891.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, ▶ ☒ check here and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	3,536,164.	3,003,291.	
	30	**Total net assets or fund balances** (see instructions)	3,536,164.	3,003,291.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	3,546,641.	3,018,182.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,536,164.
2	Enter amount from Part I, line 27a	2	-532,873.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,003,291.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,003,291.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-49,140.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	5,679,966.	3,599,627.	1.577932
2011	4,695,537.	3,681,587.	1.275411
2010	4,301,747.	3,625,246.	1.186608
2009	4,633,646.	4,923,318.	0.941163
2008	3,892,265.	3,448,070.	1.128824

2 Total of line 1, column (d)	2	6.109938
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	1.221988
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	3,743,427.
5 Multiply line 4 by line 3	5	4,574,423.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	464.
7 Add lines 5 and 6	7	4,574,887.
8 Enter qualifying distributions from Part XII, line 4	8	5,784,805.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ______________ **(attach copy of letter if necessary - see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	464.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			2	
3	Add lines 1 and 2			3	464.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	464.
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	871.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	169.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	1,040.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . ▶			10	576.
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 576. 0 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______________ **(2)** On foundation managers ▶ $ ______________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IA,MO,NY,TX,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation.*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ DON HOBSBAWN Telephone no ▶ (403)243-7644

Located at ▶200-815-17 AVE. SW, CALGARY, AB, CANADA ZIP+4 ▶ T2T-0A1

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ▶ ________ , ________ , ________ , ________			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ ________ , ________ , ________ , ________			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) . . . . ☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
Organizations relying on a current notice regarding disaster assistance check here . . . . ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 4		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 5		461,312.	0	50,292.

Total number of other employees paid over $50,000 . . . . ▶ 3

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	1,834,221.
b	Average of monthly cash balances	1b	1,966,212.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	3,800,433.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,800,433.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	57,006.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,743,427.
6	**Minimum investment return.** Enter 5% of line 5	6	187,171.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	187,171.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	464.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	464.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	186,707.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	186,707.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	186,707.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	5,784,805.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	5,784,805.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	464.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	5,784,341.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7					186,707.
2	Undistributed income, if any, as of the end of 2013					
a	Enter amount for 2012 only					
b	Total for prior years 20 11 ,20 10 ,20 09					
3	Excess distributions carryover, if any, to 2013					
a	From 2008	3,721,150.				
b	From 2009	4,389,261.				
c	From 2010	4,122,198.				
d	From 2011	4,512,690.				
e	From 2012	5,501,243.				
f	**Total** of lines 3a through e		22,246,542.			
4	Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 5,784,805.					
a	Applied to 2012, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required - see instructions)					
c	Treated as distributions out of corpus (Election required - see instructions)					
d	Applied to 2013 distributable amount					186,707.
e	Remaining amount distributed out of corpus		5,598,098.			
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		27,844,640.			
b	Prior years' undistributed income Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount - see instructions					
e	Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions					
f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		3,721,150.			
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		24,123,490.			
10	Analysis of line 9					
a	Excess from 2009	4,389,261.				
b	Excess from 2010	4,122,198.				
c	Excess from 2011	4,512,690.				
d	Excess from 2012	5,501,243.				
e	Excess from 2013	5,598,098.				

Form **990-PF** (2013)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 6				
Total . . . . ▶ **3a**				5,196,341.
b *Approved for future payment*				
ATTACHMENT 8				2,797,945.
Total . . . . ▶ **3b**				2,797,945.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	55,656.	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-49,140.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				6,516.	

13 Total. Add line 12, columns (b), (d), and (e) . . . . . 13 6,516.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Signature of officer or trustee

11/20/2014 Date

▶ Director Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name: Jocelyne C. Miller

Preparer's signature: Jocelyne C. Miller

Date: 11/14/14

Check ☐ if self-employed

PTIN: P00634378

Firm's name ▶ KPMG LLP

Firm's EIN ▶ 13-5565207

Firm's address ▶ 345 PARK AVENUE
NEW YORK, NY 10154-0102

Phone no 212-758-9700

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs gov/form990*

OMB No 1545-0047

2013

Name of the organization	Employer identification number
THE HAROLD W. SIEBENS CHARITABLE FOUNDATION, INC.	13-3666768

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF **Schedule B (Form 990, 990-EZ, or 990-PF) (2013)**

Name of organization THE HAROLD W. SIEBENS CHARITABLE FOUNDATION, INC.

Employer identification number 13-3666768

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	SEACAY CORPORATION SUITE 200-815-17 AVENUE SW T2T 0A1 CALGARY ALBERTA CANADA	$ 2,217,520.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	FAMSEA CORPORATION SUITE 200-815-17 AVENUE SW T2T 0A1 CALGARY ALBERTA CANADA	$ 757,500.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	SEAFAM CORPORATION SUITE 200-815-17 AVENUE SW T2T 0A1 CALGARY ALBERTA CANADA	$ 2,275,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
THE HAROLD W. SIEBENS CHARITABLE FOUNDATION, INC.	13-3666768

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
----		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
----		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
----		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
----		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
----		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
----		$	

Name of organization	Employer identification number
THE HAROLD W. SIEBENS CHARITABLE FOUNDATION, INC.	13-3666768

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e)** and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2013

Name of estate or trust: THE HAROLD W. SIEBENS CHARITABLE FOUNDATION, INC.

Employer identification number: 13-3666768

Note: *Form 5227 filers need to complete only Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked				
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked				

4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	
6 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet	6	( )
7 **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back . . . . ▶	7	

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked				
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked				
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked	2,250,000.	2,299,140.		-49,140.

11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.	12	
13 Capital gain distributions.	13	
14 Gain from Form 4797, Part I.	14	
15 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet	15	( )
16 **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back . . . . ▶	16	-49,140.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D (Form 1041) 2013**

	Part III **Summary of Parts I and II** **Caution:** *Read the instructions **before** completing this part.*		**(1) Beneficiaries'** (see instr)	**(2) Estate's** or trust's	**(3) Total**
17	**Net short-term gain or (loss)**	17			
18	**Net long-term gain or (loss):**				
a	Total for year	18a			-49,140.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b			
c	28% rate gain	18c			
19	**Total net gain or (loss).** Combine lines 17 and 18a ▶	19			-49,140.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary*

Part IV Capital Loss Limitation

20	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of a The loss on line 19, column (3) **or** b $3,000	20	(3,000.)

Note: *If the loss on line 19, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if·*

- *Either line 18b, col (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			21			
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22					
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23					
24	Add lines 22 and 23	24					
25	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	25					
26	Subtract line 25 from line 24 If zero or less, enter -0-			26			
27	Subtract line 26 from line 21 If zero or less, enter -0-			27			
28	Enter the **smaller** of the amount on line 21 or $2,450			28			
29	Enter the **smaller** of the amount on line 27 or line 28			29			
30	Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at 0% ▶					30	
31	Enter the smaller of line 21 or line 26			31			
32	Subtract line 30 from line 26			32			
33	Enter the **smaller** of line 21 or $11,950			33			
34	Add lines 27 and 30			34			
35	Subtract line 34 from line 33 If zero or less, enter -0-			35			
36	Enter the **smaller** of line 32 or line 35			36			
37	Multiply line 36 by 15% ▶					37	
38	Enter the amount from line 31			38			
39	Add lines 30 and 36			39			
40	Subtract line 39 from line 38 If zero or less, enter -0-			40			
41	Multiply line 40 by 20% ▶					41	
42	Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			42			
43	Add lines 37, 41, and 42			43			
44	Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			44			
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶					45	

THE HAROLD W. SIEBENS CHARITABLE 13-3666768

ATTACHMENT 1

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL FEES	11,102.			11,102.
TOTALS	11,102.			11,102.

ATTACHMENT 2

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE	12,300.	6,150.		12,300.
TOTALS	12,300.	6,150.		12,300.

ATTACHMENT 3

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
COMPUTER EXPENSE	1,827.		940.
TELEPHONE	2,436.		2,436.
PROFESSIONAL DEVELOPMENT	2,824.		2,824.
BANK CHARGES	3,137.	3,137.	
INSURANCE	4,849.		4,849.
OFFICE SUPPLIES & SUNDRIES	2,162.		1,080.
GOODWILL & PROMOTION	1,179.		1,179.
FEES & DUES	2,300.		2,300.
ENTERTAINMENT	781.		781.
TOTALS	21,495.	3,137.	16,389.

The Harold W. Seiben Charitable Foundation, Inc. 13-3666768
Depreciation

	2013				
	Cost	Beginning Accumulated Depreciation	Current Year Depreciation	Disposal	Net Book Value
Office equipment and furnishing	6,596	7,928	447	(2,225)	446
Computer equipment	10,687	15,344	2,453	(10,451)	3,341
	17,283	23,272	2,900	(12,676)	3,787

Attachment 4

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 5

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
STEWART D. SIEBENS C/O SUITE 200-815-17 AVENUE SW CALGARY ALBERTA CANADA	DIRECTOR 3.00	0	0	0
W CARTER SIEBENS C/O SUITE 200-815-17 AVENUE SW CALGARY ALBERTA CANADA	DIRECTOR 3.00	0	0	0
JAMES G MCKEE C/O SUITE 200-815-17 AVENUE SW CALGARY ALBERTA CANADA	DIRECTOR 3.00	0	0	0
HEATHER RAE JOHNSON C/O SUITE 200-815-17 AVENUE SW CALGARY ALBERTA CANADA	DIRECTOR 3.00	0	0	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 5 CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
HENRY CHRISTENSEN III C/O MCDERMOTT WILL & EMERY LLP 340 MADISON AVENUE 17TH FL NEW YORK, NY 10173-1922	DIRECTOR 3.00	0	0	0
JAY E RIVLIN C/O MCDERMOTT WILL & EMERY LLP 340 MADISON AVENUE 17TH FL NEW YORK, NY 10173-1922	DIRECTOR 3.00	0	0	0
	GRAND TOTALS	0	0	0

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 6

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION		EXPENSE ACCT AND OTHER ALLOWANCES
KAREN LEVY C/O MCDERMOTT WILL & EMERY LLP NEW YORK, NY 10173	PROGRAM OFFICER 40.00	163,030.	0	19,200.
MONICA FISHER C/O MCDERMOTT WILL & EMERY LLP NEW YORK, NY 10173	PROGRAM OFFICER 40.00	152,466.	0	13,708.
JEREMY MUELLER C/O MCDERMOTT WILL & EMERY LLP NEW YORK, NY 10173	PROGRAM OFFICER 40.00	145,816.	0	17,384.
	TOTAL COMPENSATION	461,312.		50,292.

THE HAROLD W. SIEBENS CHARITABLE **13-3666768**

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
Abilene Hope Haven, Inc ,801 S Treadaway,Abilene,TX,79605	No Relationship, Exempt/Charitable	Transitional Housing	40,000
Advocates for Community Transformation,PO Box 225225,Dallas,TX,75222-1614	No Relationship, Exempt/Charitable	2013 Legal Advocacy to Eliminate Crime & Urban Blight	60,000
Affordable Homes of South Texas, Inc ,1420 Erie Avenue,McAllen,TX,78501	No Relationship, Exempt/Charitable	Homeownership Promotion Initiative	50,000
Affordable Housing Network, Inc ,5400 Kirkwood Blvd SW,Cedar Rapids,IA,52404	No Relationship, Exempt/Charitable	Home Base	90,000
Attitudes & Attire,2050 North Stemmons Freeway Mail Unit 102, Suite 181,Dallas,TX,75207	No Relationship, Exempt/Charitable	Hopeful Smiles™ Dental Support	15,000
Bidwell-Riverside Center,1203 Hartford Ave ,Des Moines,IA,50315	No Relationship, Exempt/Charitable	Pathways to Self-Sufficiency	80,625
Big Springs Medical Association,P O Box 157,Ellington,MO,63638	No Relationship, Exempt/Charitable	Children's Oral Health Services	35,000
Boys & Girls Clubs of the Midlands,2610 Hamilton St ,Omaha,NE,68131	No Relationship, Exempt/Charitable	Technology Infrastructure Upgrade	50,000
Catholic Charities of Dallas, Inc ,9461 LBJ Fwy Suite 128,Dallas,TX,75243	No Relationship, Exempt/Charitable	Bridging the Technology Gap	32,400
Center for Hearing & Speech,9835 Manchester Road,Saint Louis,MO,63119	No Relationship, Exempt/Charitable	Audiology Program - Pediatrics	25,000
Central Institute for the Deaf,825 South Taylor Avenue,St Louis,MO,63110	No Relationship, Exempt/Charitable	Feasibility Plan for the Expansion of Professional Outreach	91,500
Central Missouri Community Action,807B N Providence,Columbia,MO,65203-4359	No Relationship, Exempt/Charitable	Resource and Community Development	40,000
Children's Education Alliance of Missouri,1310 Papin Street, suite 106,Saint Louis,MO,63103	No Relationship, Exempt/Charitable	Parent Academy and Public Awareness Project	110,000
CitySquare,511 N Akard Ste 302 Dallas,TX,75201	No Relationship, Exempt/Charitable	TRAC - Workforce Services	50,000
Communities In Schools of Cameron County,700 E Levee St Ste 204,Brownsville,TX,78520	No Relationship, Exempt/Charitable	2011 - 2014 Family Engagement for High School Success	40,000
Community Action Duluth,Suite 102 2424 West 5th Street,Duluth,MN,55806	No Relationship, Exempt/Charitable	Financial Opportunity Center Expansion 2013-2016	50,000
Community Foundation of Greater Des Moines,1915 Grand Avenue,Des Moines,IA,50309	No Relationship, Exempt/Charitable	Greater Madison County Community Foundation - Strategic Planning	45,000
Community Foundation of Greater Dubuque,700 Locust St Suite 195,Dubuque,IA,52001	No Relationship, Exempt/Charitable	Communication and Development Capacity Building Program	19,681
Community Housing Initiatives, Inc ,PO Box 473, 14 West 21st Street,Spencer,IA,51301	No Relationship, Exempt/Charitable	Eastwood Academy	143,910
Community! Youth Concepts ,1446 Martin Luther King Junior Parkway,Des Moines,IA,50314	No Relationship, Exempt/Charitable	Building Capacity to Support Service Learning in Iowa	109,000
Dexter Community Regional Healthcare Foundation,215 West Grant,Dexter,MO,63841	No Relationship, Exempt/Charitable	Building Healthy Stable Families	30,000
Drake University,2507 University Ave.,Des Moines,IA,50311-4505	No Relationship, Exempt/Charitable	Transactional Law Clinic	80,000
Eastern Iowa Community College District Foundation,306 West River Drive,Davenport,IA,52801	No Relationship, Exempt/Charitable	GED Completion Campaign	10,000

El Pasoans Fighting Hunger,9541 Plaza Circle,El Paso,TX,79927	No Relationship, Exempt/Charitable	EPFH Development Officer	72,000
Epworth Children & Family Services, Inc ,110 North Elm Ave ,St Louis,MO,63119	No Relationship, Exempt/Charitable	Older Youth Services	100,000
Family Crisis Centers of Northwest Iowa, Inc ,P O Box 295,Sioux Center,IA,51250	No Relationship, Exempt/Charitable	Children SOAR	27,118
Family Promise of Greater Des Moines,4114 Allison Avenue,Des Moines,IA,50310	No Relationship, Exempt/Charitable	Case Management Staffing Expansion Project	7,877
Fisher House Foundation, Inc ,111 Rockville Pike Suite 420,Rockville,MD,20850	No Relationship, Exempt/Charitable	Houston Fisher House (House II)	100,000
Food Bank of Iowa,2220 E 17th Street,Des Moines,IA,50316	No Relationship, Exempt/Charitable	Communications Initiative	14,420
Fordland Clinic, Inc ,1059 Barton Drive,Fordland,MO,65652	No Relationship, Exempt/Charitable	Strengthening Oral Health Services in Stone and Webster County	50,000
Fort Dodge Community Foundation and United Way,822 Central Avenue, Suite 405,Fort Dodge,IA,50501	No Relationship, Exempt/Charitable	Endowment Staff Expansion	55,275
Four Oaks Family and Children's Services,5400 Kirkwood Blvd SW,Cedar Rapids,IA,52404	No Relationship, Exempt/Charitable	Managing Progress - TotalChild	125,000
Future Net, Incorporated,4000 Westown Parkway, Suite 204,West Des Moines,IA,50266	No Relationship, Exempt/Charitable	Development Coordinator	40,353
Hawkeye Community College Foundation,P O Box 8015 ,Waterloo,IA,50704	No Relationship, Exempt/Charitable	GED Completion Campaign	10,000
Healthy Birth Day, Inc ,4300 Beaver Hills Drive,Des Moines,IA,50310	No Relationship, Exempt/Charitable	Outreach Coordinator Position	20,000
Heart of Missouri United Way, Inc ,Suite 300, 105 East Ash Street ,Columbia,MO,65203	No Relationship, Exempt/Charitable	Community Impact Implementation - Phase Three	75,000
Heartland Family Service,1515 Avenue J,Council Bluffs,IA,51501	No Relationship, Exempt/Charitable	Assessment Support and Prevention in Iowa (ASAP IA)	40,000
Heroes for Children,1701 North Collins, Suite 240,Richardson,TX,75080	No Relationship, Exempt/Charitable	Financial Assistance Program	20,000
IDEA Public Schools,505 Angelita Dr Suite 9,Weslaco,TX,78596-4694	No Relationship, Exempt/Charitable	2013-2015 IDEA College Preparatory Brownsville	200,000
Iowa Council of Foundations,6919 Vista Drive,West Des Moines,IA,50266 - 9309	No Relationship, Exempt/Charitable	Iowa Education Funders Network	25,000
Iowa Legal Aid,1111 9th St , Ste 230,Des Moines,IA,50312	No Relationship, Exempt/Charitable	Partnership To Address Poverty and Domestic Abuse	150,000
Iowa Western Community College Foundation,2700 College Road,Council Bluffs,IA,51503	No Relationship, Exempt/Charitable	GED Completion Campaign	10,000
IowaJAG, Inc ,Grimes State Office Building, 3rd Floor 400 E 14th Street,Des Moines,IA,50319	No Relationship, Exempt/Charitable	Director of Operations	80,000
ISED Ventures,1111 Ninth Street Suite 380,Des Moines,IA,50314	No Relationship, Exempt/Charitable	Development Staff Expansion	60,838
Job Point,2116 Nelwood Drive, Suite 200,Columbia,MO,65202	No Relationship, Exempt/Charitable	Columbia Builds Youth	68,750
Kids Closet of Duluth,Bethany Lutheran Church 2308 West 3rd Street,Duluth,MN,55806	No Relationship, Exempt/Charitable	Outerwear and Classroom Clothing for Duluth's Young Students Program	30,000
Kirkwood Community College Foundation,6301 Kirkwood Blvd SW,Cedar Rapids,IA,52404	No Relationship, Exempt/Charitable	GED Completion Campaign	10,000
Latinas/Latinos al Exito, Inc ,PO Box 93531,Des Moines,IA,50393	No Relationship, Exempt/Charitable	Executive Director	40,823
Life House,102 West First Street,Duluth,MN,55802	No Relationship, Exempt/Charitable	Housing Program	50,000
Lower Rio Grande Valley Community Health Management Corporation, Inc ,901 E Vermont Ave,McAllen,TX,78503	No Relationship, Exempt/Charitable	2012-2014 Specialty Services	35,000

ATTACHMENT 7

Lubbock Area Foundation, Inc ,2509 80th Street,Lubbock,TX,79423	No Relationship, Exempt/Charitable	Building Regional Affiliates	15,000
Lubbock Boys' & Girls' Club, Inc ,P O. Box 94163,Lubbock,TX,79493	No Relationship, Exempt/Charitable	Project Learn, Faces of the Future	53,000
Mental Health Association of St Louis,1905 S Grand Blvd,Saint Louis,MO,63104	No Relationship, Exempt/Charitable	Mental Health Awareness Initiative II	80,000
MICAH House Corporation,1415 Avenue J,Council Bluffs,IA,51501	No Relationship, Exempt/Charitable	Case Management Program	17,000
Midland Fair Havens, Inc ,2400 Whitmire Blvd, Ste 100,MIdland,TX,79705	No Relationship, Exempt/Charitable	Transitional Supportive Services	40,000
Mission Metroplex Inc ,210 W South Street,Arlington,TX,76010	No Relationship, Exempt/Charitable	2013 Scholarship Fund	2,500
Missouri Coalition Against Domestic and Sexual Violence,217 Oscar Drive, Suite A,Jefferson City,MO,65101	No Relationship, Exempt/Charitable	Quality Assurance Project	25,768
National Association for Latino Community Asset Builders,1313 Guadalupe Street Suite 203,San Antonio,TX,78207-5555	No Relationship, Exempt/Charitable	San Antonio Community and Economic Development Initiative	50,000
Northeast Iowa Community College Foundation,8342 NICC Dr,Peosta,IA,52132	No Relationship, Exempt/Charitable	GED Completion Campaign	51,920
Oficina Legal del Pueblo Unido, Inc ,Texas Civil Rights Project c/o Texas RioGrande Legal Aid 4920 N I-35,Austin,TX,78751	No Relationship, Exempt/Charitable	Fire Relief	131,698
Opening Doors ,1561 Jackson St ,Dubuque,IA,52001	No Relationship, Exempt/Charitable	HOPE Program	30,000
Operation Food Search, Inc ,6282 Olive Boulevard,St Louis,MO,63130	No Relationship, Exempt/Charitable	Operation Backpack	50,000
Orchard Place,2116 Grand Avenue,Des Moines,IA,50312	No Relationship, Exempt/Charitable	Secondary Alternative Center After-school and Summer Enrichment Program	35,000
Permian Basin Area Foundation,200 North Loraine, Suite 500,Midland,TX,79701	No Relationship, Exempt/Charitable	Rural Community Fund Development	50,000
Prevent Child Abuse Iowa,505 Fifth Ave , Suite 900,Des Moines,IA,50309	No Relationship, Exempt/Charitable	Development Director	60,000
Promise House, Inc ,224 W Page Avenue,Dallas,TX,75208	No Relationship, Exempt/Charitable	Emergency Youth Shelter Program	40,000
Safe Connections,2165 Hampton Ave,Saint Louis,MO,63139	No Relationship, Exempt/Charitable	Counselling for Victims of Domestic and Sexual Violence	40,000
Safe Haven Shelter for Battered Women,PO Box 3558,Duluth,MN,55803	No Relationship, Exempt/Charitable	2013-2016 General Operating	50,000
Second Harvest Community Food Bank,915 Douglas St ,St Joseph, Missouri,MO,64505	No Relationship, Exempt/Charitable	Fresh Start Food Purchase	40,000
Southeast Missouri Food Bank Inc ,600 State Highway H, PO Box 190,Sikeston,MO,63801-0190	No Relationship, Exempt/Charitable	Increasing Organizational Effectiveness and Efficiency through Technology Innovations	37,611
Southeastern Community College Foundation,1500 West Agency Rd PO Box 180,West Burlington,IA,52655-0180	No Relationship, Exempt/Charitable	GED Closeout Campaign	10,000
Southern Methodist University,PO Box 750402,Dallas,TX,75275-0402	No Relationship, Exempt/Charitable	The Metrics Project -- Phase III	45,000
SouthSide Early Childhood Center,2101 S Jefferson Avenue,St Louis,MO,63104	No Relationship, Exempt/Charitable	Early Education and Intervention for Children Living in Poverty	325,000
St Louis Community College Foundation,300 S Broadway,St Louis,MO,63102	No Relationship, Exempt/Charitable	Gateway to College	100,000
SuccessLink, Inc ,213 E 4th Street,Waterloo,IA,50703	No Relationship, Exempt/Charitable	Communities In Schools of Iowa	40,668
Table to Table Food Distribution Network,20 East Market Street,Iowa City,IA,52245	No Relationship, Exempt/Charitable	Refrigerated Van	46,000
Texas Appleseed,1609 Shoal Creek Blvd, Ste 201,Austin,TX,78701	No Relationship, Exempt/Charitable	2013-2014 Assuring Access to Fair Small Dollar Loans in Texas	75,000

Texas Community Capital,2200 East Martin Luther King Jr Blvd ,Austin,TX,78702	No Relationship, Exempt/Charitable	Community Loan Center of Texas	75,000
Texas RioGrande Legal Aid, Inc ,300 South Texas Blvd ,Weslaco,TX,78596	No Relationship, Exempt/Charitable	Legal Representation for Survivors of Domestic Violence in Laredo	55,000
The Community Partnership,1101 Hauck Drive,Rolla,MO,65401	No Relationship, Exempt/Charitable	Early Care and Education	30,000
The Concilio,400 S Zang Boulevard, Suite 300,Dallas,TX,75208	No Relationship, Exempt/Charitable	Parents Advocating for Student Excellence	70,000
The Dallas Foundation,3963 Maple Avenue, Suite 390,Dallas,TX,75219	No Relationship, Exempt/Charitable	Zero to Five Funders' Collaborative	100,000
The Jane Boyd Community House,943 14th Avenue SE,Cedar Rapids,IA,52401	No Relationship, Exempt/Charitable	Better Together Initiative	186,000
United Action for Youth,410 Iowa Avenue,Iowa City,IA,52244	No Relationship, Exempt/Charitable	Leadership Transition Project	65,000
United Way of Central Iowa,1111 9th Street Suite 100,Des Moines,IA,50314	No Relationship, Exempt/Charitable	United Ways of Iowa Executive Director	28,607
Vickery Meadow Learning Center,4144 N.Central Expressway, Suite 702,Dallas,TX,75204	No Relationship, Exempt/Charitable	Adult Literacy Program 2012-2014	25,000
West Dallas Community School,2300 Canada Drive,Dallas,TX,75212	No Relationship, Exempt/Charitable	Student Scholarships 2011-2014	50,000
West Texas Food Bank,P O Box 4242,Odessa,TX,79760	No Relationship, Exempt/Charitable	2012-2013 General Operating	60,000
Whole Health Outreach,180 County Road 700,Ellington,MO,63638	No Relationship, Exempt/Charitable	Family Self-Sufficiency	30,000
Young Women's Christian Association of Black Hawk County,425 Lafayette Street,Waterloo,IA,50703	No Relationship, Exempt/Charitable	Latino Services Program	25,000
Youth Village Resources of Dallas, Inc ,6333 E Mockingbird, suite 147-872,Dallas,TX,75214	No Relationship, Exempt/Charitable	2012-2014 Fund Development Salary Support	36,000
Employee contribution matching program	No Relationship, Exempt/Charitable	Matching Program	5,000
TOTAL CONTRIBUTIONS PAID			**5,196,341**

OUTSTANDING COMMITMENTS AS OF 31 DECEMBER 2013

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
Azleway, Inc ,15892 CR 26,Tyler,TX,75707	No Relationship, Exempt/Charitable	Employment Assistance Program	41,755
Bidwell-Riverside Center,1203 Hartford Ave.,Des Moines,IA,50315	No Relationship, Exempt/Charitable	Pathways to Self-Sufficiency	77,949
Big Springs Medical Association,P O Box 157,Ellington,MO,63638	No Relationship, Exempt/Charitable	Children's Oral Health Services	30,000
Catholic Charities of Dallas, Inc ,9461 LBJ Fwy Suite 128,Dallas,TX,75243	No Relationship, Exempt/Charitable	Bridging the Technology Gap	64,800
Center for Hearing & Speech,9835 Manchester Road,Saint Louis,MO,63119	No Relationship, Exempt/Charitable	Audiology Program - Pediatrics	25,000
Community Action Duluth,Suite 102 2424 West 5th Street,Duluth,MN,55806	No Relationship, Exempt/Charitable	Financial Opportunity Center Expansion. 2013-2016	70,000
Community Housing Initiatives, Inc ,PO Box 473, 14 West 21st Street,Spencer,IA,51301	No Relationship, Exempt/Charitable	Eastwood Academy	22,000
Community Youth Concepts ,1446 Martin Luther King Junior Parkway,Des Moines,IA,50314	No Relationship, Exempt/Charitable	Building Capacity to Support Service Learning in Iowa	99,366
Dexter Community Regional Healthcare Foundation,215 West Grant,Dexter,MO,63841	No Relationship, Exempt/Charitable	Building Healthy Stable Families	30,000
Drake University,2507 University Ave ,Des Moines,IA,50311-4505	No Relationship, Exempt/Charitable	Transactional Law Clinic	160,000
Epworth Children & Family Services, Inc ,110 North Elm Ave.,St Louis,MO,63119	No Relationship, Exempt/Charitable	Older Youth Services	125,000
Family Crisis Centers of Northwest Iowa, Inc.,P O Box 295,Sioux Center,IA,51250	No Relationship, Exempt/Charitable	Children SOAR	6,201
Family Promise of Greater Des Moines,4114 Allison Avenue,Des Moines,IA,50310	No Relationship, Exempt/Charitable	Case Management Staffing Expansion Project	6,740
Fort Dodge Community Foundation and United Way,822 Central Avenue, Suite 405,Fort Dodge,IA,50501	No Relationship, Exempt/Charitable	Endowment Staff Expansion	55,275
Future Net, Incorporated,4000 Westown Parkway, Suite 204,West Des Moines,IA,50266	No Relationship, Exempt/Charitable	Development Coordinator	21,267
Healthy Birth Day, Inc.,4300 Beaver Hills Drive,Des Moines,IA,50310	No Relationship, Exempt/Charitable	Outreach Coordinator Position	10,000
Heart of Missouri United Way, Inc.,Suite 300, 105 East Ash Street ,Columbia,MO,65203	No Relationship, Exempt/Charitable	Community Impact Implementation - Phase Three	50,000
IDEA Public Schools,505 Angelita Dr. Suite 9,Weslaco,TX,78596-4694	No Relationship, Exempt/Charitable	2013-2015 IDEA College Preparatory Brownsville	100,000
IowaJAG, Inc ,Grimes State Office Building, 3rd Floor 400 E. 14th Street,Des Moines,IA,50319	No Relationship, Exempt/Charitable	Director of Operations	85,400
ISED Ventures,1111 Ninth Street Suite 380,Des Moines,IA,50314	No Relationship, Exempt/Charitable	Development Staff Expansion	24,273
Jackson Recovery Centers, Inc.,800 5th Street, Suite 200,Sioux City,IA,51101	No Relationship, Exempt/Charitable	Keeping Moms in Recovery in Treatment	50,000

Job Point,2116 Nelwood Drive, Suite 200,Columbia,MO,65202	No Relationship, Exempt/Charitable	Columbia Builds Youth	68,750
Latinas/Latinos al Exito, Inc ,PO Box 93531,Des Moines,IA,50393	No Relationship, Exempt/Charitable	Executive Director	41,072
Life House,102 West First Street,Duluth,MN,55802	No Relationship, Exempt/Charitable	Housing Program	50,000
Lubbock Area Foundation, Inc ,2509 80th Street,Lubbock,TX,79423	No Relationship, Exempt/Charitable	Roadmap to Strengthening South Plains Communities	80,000
Mental Health America of Texas,1210 San Antonio Street, Suite 200,Austin,TX,78701	No Relationship, Exempt/Charitable	Parents as Teachers Texas Technical Assistance Project	113,100
Midland Fair Havens, Inc ,2400 Whitmire Blvd, Ste 100,Midland,TX,79705	No Relationship, Exempt/Charitable	Transitional Supportive Services	20,000
Mission Metroplex Inc.,210 W. South Street,Arlington,TX,76010	No Relationship, Exempt/Charitable	2013 Scholarship Fund	7,500
Northeast Iowa Community College Foundation,8342 NICC Dr,Peosta,IA,52132	No Relationship, Exempt/Charitable	Rural GED/ESL Access	41,920
Oficina Legal del Pueblo Unido, Inc ,Texas Civil Rights Project c/o Texas RioGrande Legal Aid 4920 N. I-35,Austin,TX,78751	No Relationship, Exempt/Charitable	West Texas Veterans Program	108,810
Permian Basin Area Foundation,200 North Loraine, Suite 500,Midland,TX,79701	No Relationship, Exempt/Charitable	Rural Community Fund Development	50,000
Phelps County Family Crisis Services, Inc.,P O Box 2259,Rolla,MO,65402	No Relationship, Exempt/Charitable	Wellness Program	150,000
Prevent Child Abuse Iowa,505 Fifth Ave , Suite 900,Des Moines,IA,50309	No Relationship, Exempt/Charitable	Development Director	60,000
Proyecto Azteca,PO Box 27,San Juan,TX,78589	No Relationship, Exempt/Charitable	Street Lights for Lynchburg Estates	57,000
Safe Haven Shelter for Battered Women,PO Box 3558,Duluth,MN,55803	No Relationship, Exempt/Charitable	2013-2016 General Operating	100,000
Southeast Missouri Food Bank Inc ,600 State Highway H, PO Box 190,Sikeston,MO,63801-0190	No Relationship, Exempt/Charitable	Increasing Organizational Effectiveness and Efficiency through Technology Innovations	63,214
Southern Methodist University,PO Box 750402,Dallas,TX,75275-0402	No Relationship, Exempt/Charitable	The Metrics Project -- Phase III	35,000
Texas RioGrande Legal Aid, Inc.,300 South Texas Blvd.,Weslaco,TX,78596	No Relationship, Exempt/Charitable	Legal Representation for Survivors of Domestic Violence in Laredo	55,000
The Community Partnership,1101 Hauck Drive,Rolla,MO,65401	No Relationship, Exempt/Charitable	Early Care and Education	30,000
The Herbert Hoover Boys and Girls Club of St. Louis, Inc ,2901 North Grand Avenue,St Louis,MO,63107	No Relationship, Exempt/Charitable	Project Learn	51,000
The Jane Boyd Community House,943 14th Avenue SE,Cedar Rapids,IA,52401	No Relationship, Exempt/Charitable	PATHS	136,000
The OASIS Institute,Suite 400, 11780 Borman Drive,Maryland Heights,MO,63146	No Relationship, Exempt/Charitable	OASIS Intergenerational Tutoring	67,000
Trinity River Mission, Inc ,2060 Singleton Blvd , Ste 104,Dallas,TX,75212	No Relationship, Exempt/Charitable	Cash Operating Reserves	70,000
United Way of Central Iowa,1111 9th Street Suite 100,Des Moines,IA,50314	No Relationship, Exempt/Charitable	United Ways of Iowa Executive Director	13,553
Youth and Shelter Services, Inc ,420 Kellogg Ave ,Ames,IA,50010	No Relationship, Exempt/Charitable	Iowa Homeless Youth Centers Lighthouse Host Home	150,000
Youth Village Resources of Dallas, Inc.,6333 E. Mockingbird, suite 147-872,Dallas,TX,75214	No Relationship, Exempt/Charitable	2012-2014 Fund Development Salary Support	24,000
TOTAL APPROVED FOR FUTURE PAYMENT			**2,797,945**

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		REALIZED LOSSES ON INVESTMENTS				P	01/01/2011	12/31/2013
		PROPERTY TYPE: OTHER						
2,250,000.		2,299,140.					-49,140.	
TOTAL GAIN(LOSS)							-49,140.	

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	Social security number or taxpayer identification number
THE HAROLD W. SIEBENS CHARITABLE	13-3666768

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term For short-term transactions, see page 1

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
REALIZED LOSSES ON INVESTMENTS	01/01/2011	12/31/2013	2,250,000.	2,299,140.			-49,140.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			2,250,000.	2299140.			-49,140.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Form **8868**
(Rev January 2013)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions HAROLD W. SIEBENS CHARITABLE FOUNDATION INC.	Employer identification number (EIN) or 13-3666768
	Number, street, and room or suite no If a P O box, see instructions 340 MADISON AVENUE, 17TH FLOOR	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions NEW YORK,NY 10173	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	**Return Code**	**Application Is For**	**Return Code**
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720- (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ DON HOBSBAWN

Telephone No ▶ 403-243-7644 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ ☐ If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15 , 20 14 , to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ ☒ calendar year 20 13 or

▶ ☐ tax year beginning ______, 20 ___, and ending ______, 20 ___

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 1,040.00
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 871.00
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 169.00

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . ▶ ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions.	Name of exempt organization or other filer, see instructions HAROLD W. SIEBENS CHARITABLE FOUNDATION, INC.	Employer identification number (EIN) or 13-3666768
	Number, street, and room or suite no If a P O. box, see instructions. 340 MADISON AVENUE, 17TH FLOOR	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10173	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	[redacted]	[redacted]
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ DON HOBSBAWN
  Telephone No. ▶ 403-243-7644 Fax No ▶
- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box . . . . . . ▶ ☐ . If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/17 , 20 14 .

5 For calendar year 2013 , or other tax year beginning ________ , 20 ___ , and ending ________ , 20 ___

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN IS NOT YET AVAILABLE.

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$ 1,040.00
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 1,040.00
c	**Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	$ 0.00

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ Tax Preparer Date ▶ 8/4/14