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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation THE YASPAN-UNTERBERG FOUNDATION, INC. C/O RICHARD YASPAN		A Employer identification number 13-3608916
Number and street (or P O box number if mail is not delivered to street address) 36 PEMBROKE DRIVE	Room/suite	B Telephone number 516-448-5475
City or town, state or province, country, and ZIP or foreign postal code GLEN COVE, NY 11542		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 3,074,408. (Part I, column (d) must be on cash basis)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

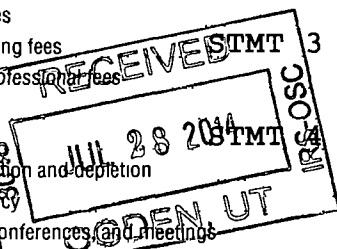
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	60,635.	60,635.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	567,303.			
	b Gross sales price for all assets on line 6a	2,194,781.			
	7 Capital gain net income (from Part IV, line 2)		567,303.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	1,398.	1,398.		STATEMENT 2	
12 Total. Add lines 1 through 11	629,336.	629,336.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	7,000.	3,500.		3,500.
	c Other professional fees				
	17 Interest	2.	2.		0.
	18 Taxes	3,390.	390.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	18,886.	18,621.		265.
	24 Total operating and administrative expenses. Add lines 13 through 23	29,278.	22,513.		3,765.
	25 Contributions, gifts, grants paid	169,500.			169,500.
26 Total expenses and disbursements. Add lines 24 and 25	198,778.	22,513.		173,265.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	430,558.				
b Net investment income (if negative, enter -0-)		606,823.			
c Adjusted net income (if negative, enter -0-)			N/A		

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10-10-13

LHA For Paperwork Reduction Act Notice, see instructions.

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SCANNED JUL 29 2014



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		62,468.	84,101.	84,101.
	2	Savings and temporary cash investments		39,607.	437,518.	437,518.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 6	1,653,080.	1,375,620.	2,028,133.
	c	Investments - corporate bonds	STMT 7	232,774.	521,248.	524,656.
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		1,987,929.	2,418,487.	3,074,408.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		1,987,929.	2,418,487.	
	30	Total net assets or fund balances		1,987,929.	2,418,487.	
	31	Total liabilities and net assets/fund balances		1,987,929.	2,418,487.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1,987,929.
2	Enter amount from Part I, line 27a	430,558.
3	Other increases not included in line 2 (itemize) ▶	0.
4	Add lines 1, 2, and 3	2,418,487.
5	Decreases not included in line 2 (itemize) ▶	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	2,418,487.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED STATEMENT	P	01/01/13	12/31/13
b SEE ATTACHED STATEMENT	P	01/01/12	12/31/13
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 971,000.		881,295.	89,705.
b 1,223,781.		746,183.	477,598.
c			
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			89,705.
b			477,598.
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	567,303.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	154,519.	2,543,529.	.060750
2011	150,891.	2,480,292.	.060836
2010	174,115.	2,271,092.	.076666
2009	146,290.	2,094,089.	.069859
2008	117,655.	2,828,690.	.041593

2 Total of line 1, column (d)	2	.309704
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.061941
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,853,321.
5 Multiply line 4 by line 3	5	176,738.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	6,068.
7 Add lines 5 and 6	7	182,806.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	173,265.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	12,136.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	12,136.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	12,136.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 15,828.	7	15,828.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c	9	
d Backup withholding erroneously withheld	6d	10	3,692.
7 Total credits and payments. Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ 3,692. Refunded ☐			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► RICHARD YASPAN Telephone no. ► 516-448-5475 Located at ► 36 PEMBROKE DRIVE, GLEN COVE, NY ZIP+4 ► 11542			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD YASPAN	PRESIDENT			
36 PEMBROKE DRIVE				
GLEN COVE, NY 11542	1.00	0.	0.	0.
DAVID YASPAN	TREASURER AND SECRETARY			
71 SHELDRAKE RD				
SCARSDALE, NY 10583	1.00	0.	0.	0.
PEGGY SHAPIRO	DIRECTOR			
15 VAN TASSEL LN				
ORINDA, CA 94563	1.00	0.	0.	0.
JANET MELTZER	DIRECTOR			
19871 GRANDVIEW DRIVE				
LOS ANGELES, CA 90290	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,751,327.
b	Average of monthly cash balances	1b	145,446.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,896,773.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,896,773.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	43,452.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,853,321.
6	Minimum investment return. Enter 5% of line 5	6	142,666.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	142,666.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	12,136.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	12,136.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	130,530.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	130,530.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	130,530.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	173,265.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	173,265.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	173,265.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

Form 990-PF (2013)

13-3608916

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Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				130,530.
2 Undistributed income, if any, as of the end of 2013			0.	
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	107,118.			
b From 2009	42,824.			
c From 2010	64,004.			
d From 2011	30,144.			
e From 2012	32,091.			
f Total of lines 3a through e	276,181.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$	173,265.		0.	
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				130,530.
e Remaining amount distributed out of corpus	42,735.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	318,916.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	107,118.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	211,798.			
10 Analysis of line 9:				
a Excess from 2009	42,824.			
b Excess from 2010	64,004.			
c Excess from 2011	30,144.			
d Excess from 2012	32,091.			
e Excess from 2013	42,735.			

Form 990-PF (2013)

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

Form 990-PF (2013)

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE YASPAN-UNTERBERG FOUNDATION, INC.

Form 990-PF (2013)

C/O RICHARD YASPAN

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Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year					
ALS ASSOCIATION 42 BROADWAY SUITE 1724 NEW YORK, NY 10004		NONE	PUBLIC CHARITY	GENERAL	500.
ALZHEMERS ASSOCIATION 5900 WILSHIRE BLVD, STE 1100 LOS ANGELES, CA 90036		NONE	PUBLIC CHARITY	GENERAL	2,500.
AMERICAN CANCER SOCIETY 6725 LYONS ST PO BOX 7 EAST SYRACUSE, NY 13057		NONE	PUBLIC CHARITY	GENERAL	2,000.
AMERICAN JEWISH JOINT DISTRIBUTION COMMITTEE 711 THIRD AVENUE NEW YORK, NY 10017		NONE	PUBLIC CHARITY	GENERAL	2,500.
AMERICAN JEWISH WORLD SERVICES 45 WEST 36TH STREET NEW YORK, NY 10018		NONE	PUBLIC CHARITY	GENERAL	500.
Total		SEE CONTINUATION SHEET(S)			169,500.
b Approved for future payment					
NONE					
Total					0.

323611
10-10-13

Form 990-PF (2013)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	60,635.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income			18	1,398.		
8 Gain or (loss) from sales of assets other than inventory			18	567,303.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		629,336.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	629,336.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | |
|--|--------------|-----|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | | Yes |
| | 1a(1) | |
| | 1a(2) | |
| | 1b(1) | |
| | 1b(2) | |
| | 1b(3) | |
| | 1b(4) | |
| | 1b(5) | |
| | 1b(6) | |
| | 1c | |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

► PRESIDENT

Title

May the IRS discuss this return with the preparer shown below (see instr 1)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

JOHNPOL CROCENZI

Preparer's signature

Date _____

7/21/14

Check ☐ self-employed

PTIN

P00969649

Firm's name ► **RAICH ENDE MALTER & CO., LLP**

Firm's EIN ▶	11-2336434
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Firm's address ► 90 MERRICK AVENUE, SUITE 802
EAST MEADOW, NY 11554

Phone no. 516-228-9000

Form **990-PF** (2013)

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

13-3608916

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMNESTY INTERNATIONAL USA 5 PENN PLAZA NEW YORK, NY 10001	NONE	PUBLIC CHARITY	GENERAL	500.
BILL FOUNDATION PO BOX 5202 BEVERLY HILLS, CA 90209	NONE	PUBLIC CHARITY	GENERAL	1,000.
BLYTHEDALE CHILDRENS HOSPITAL 95 BRADHURST AVENUE VALHALLA, NY 10595	NONE	PUBLIC CHARITY	GENERAL	1,000.
BOCA REGIONAL HOSPITAL 800 MEADOWS RD BOCA RATON, FL 33486	NONE	PUBLIC CHARITY	GENERAL	500.
CAMP INTERACTIVE 2865 UNIVERSITY AVE, E-3 BRONX, NY 10468	NONE	PUBLIC CHARITY	GENERAL	1,000.
CANCER RESEARCH INSTITUTE 55 BROADWAY, SUITE 1802 NEW YORK, NY 10006	NONE	PUBLIC CHARITY	GENERAL	5,000.
CAPS PO BOX 176 ROSLYN, NY 11576	NONE	PUBLIC CHARITY	GENERAL	300.
CHILD CARE COUNCIL OF WESTCHESTER 313 CENTRAL PARK AVENUE SCARSDALE, NY 10583	NONE	PUBLIC CHARITY	GENERAL	500.
DIABETES RESEARCH INSTITUTE FOUNDATION 200 SOUTH PARAK ROAD, STE 100 HOLLYWOOD, FL 33021	NONE	PUBLIC CHARITY	GENERAL	500.
DOCTORS WITHOUT BORDERS 333 SEVENTH AVE, 2ND FL NEW YORK, NY 10001	NONE	PUBLIC CHARITY	GENERAL	1,000.
Total from continuation sheets				161,500.

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

13-3608916

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GILDAS PLACE 80 MAPLE AVENUE WHITE PLAINS, NY 10601	NONE	PUBLIC CHARITY	GENERAL	500.
GLEN COVE VOLUNTEER EMERGENCY MEDICAL SERVICES ASSOCIATION PO BOX 391 GLEN COVE, NY 11542	NONE	PUBLIC CHARITY	GENERAL	500.
HABITAT FOR HUMANITY - NYC 111 JOHN STREET, 23RD FLOOR NEW YORK, NY 10038	NONE	PUBLIC CHARITY	GENERAL	750.
IRAQ AND AFGHANISTAN VETERANS OF AMERICA 292 MADISON AVE, 10TH FL NEW YORK, NY 10017	NONE	PUBLIC CHARITY	GENERAL	500.
ISRAEL GUIDE DOG CENTER FOR THE BLIND 968 EASTON ROAD, SUITE H WARRINGTON, PA 18976	NONE	PUBLIC CHARITY	GENERAL	1,500.
JEWISH FAMILY SERVICE OF LOS ANGELES 3580 WILSHIRE BLVD, STE 700 LOS ANGELES, CA 90010	NONE	PUBLIC CHARITY	GENERAL	1,000.
JEWISH FEDERATION - L.A. 6505 WILSHIRE BLVD LOS ANGELES, CA 90048	NONE	PUBLIC CHARITY	GENERAL	7,500.
JEWISH FEDERATION - SPBC 300 GRAND AVENUE OAKLAND, CA 94610	NONE	PUBLIC CHARITY	GENERAL	17,000.
JEWISH FEDERATIONS OF NORTH AMERICA 25 BROADWAY, STE 1700 NEW YORK, NY 10004	NONE	PUBLIC CHARITY	GENERAL	1,500.
JEWISH PARTISAN EDUCATIONAL FOUNDATION 2107 VAN NESS AVENUE, SUITE 302 SAN FRANCISCO, CA 94109	NONE	PUBLIC CHARITY	GENERAL	1,000.
Total from continuation sheets				

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

13-3608916

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JEWISH VOCATIONAL SERVICES 6505 WILSHIRE BLVD LOS ANGELES, CA 90048	NONE	PUBLIC CHARITY	GENERAL	1,000.
JEWISH WOMAN'S FOUNDATION 30 S. WELLS STREET CHICAGO, IL 60606	NONE	PUBLIC CHARITY	GENERAL	500.
JRS BIODIVERSITY FOUNDATION 936 N 34TH ST, SUITE 400 SEATTLE, WA 98103	NONE	PUBLIC CHARITY	GENERAL	2,000.
KIDS IN DISTRESS 819 NE 26TH STREET FORT LAUDERDALE, FL 33305	NONE	PUBLIC CHARITY	GENERAL	1,500.
LETS GET READY 50 BROADWAY, SUITE 806 NEW YORK, NY 10004	NONE	PUBLIC CHARITY	GENERAL	7,500.
LITWORLD 222 BROADWAY, 19TH FL NEW YORK, NY 10038	NONE	PUBLIC CHARITY	GENERAL	500.
LONG ISLAND WINS PO BOX 210 OLD WESTBURY, NY 11568	NONE	PUBLIC CHARITY	GENERAL	1,000.
LUSTGARDEN FOUNDATION 1111 STEWART AVENUE BETHPAGE, NY 11714	NONE	PUBLIC CHARITY	GENERAL	1,000.
MAIN IDEA AT CAMP WALDEN 180 E. PROSPECT AVENUE, #178 MAMARONECK, NY 10543	NONE	PUBLIC CHARITY	GENERAL	200.
MEALS ON WHEELS 100 GARDEN CITY PLAZA, SUITE 100 GARDEN CITY, NY 11530	NONE	PUBLIC CHARITY	GENERAL	2,500.
Total from continuation sheets				

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

13-3608916

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NATIONAL COUNCIL OF JEWISH WOMEN 475 RIVERSIDE DRIVE NEW YORK, NY 10115	NONE	PUBLIC CHARITY	GENERAL	9,000.
NEWYORK-PRESBYTERIAN HOSPITAL 654 WEST 170TH STREET NEW YORK, NY 10032	NONE	PUBLIC CHARITY	GENERAL	20,000.
NORTHWESTERN 633 CLARK STREET EVANSTON, IL 60208	NONE	PUBLIC CHARITY	GENERAL	2,000.
NY/NJ TRAIL CONFERENCE 156 RAMAPO VALLEY ROAD MAHWAH, NJ 07430	NONE	PUBLIC CHARITY	GENERAL	250.
PROJECT ALS 801 RIVERSIDE DRIVE, ST 6G NEW YORK, NY 10032	NONE	PUBLIC CHARITY	GENERAL	4,000.
PROMISES FOUNDATION PO BOX 662087 LOS ANGELES, CA 90066	NONE	PUBLIC CHARITY	GENERAL	5,000.
SAMUEL DORSKY MUSEUM OF ART 1 HAWK DRIVE NEW PALTZ, NY 12561	NONE	PUBLIC CHARITY	GENERAL	500.
SCARSDALE VOLUNTEER 5 WEAVER STREET SCARSDALE, NY 10583	NONE	PUBLIC CHARITY	GENERAL	1,000.
SMILE TRAIN 41 MADISON AVENUE, 28TH FLOOR NEW YORK, NY 10010	NONE	PUBLIC CHARITY	GENERAL	500.
SPCA OF WESTCHESTER 590 NORTH STATE ROAD BRIARCLIFF MANOR, NY 10510	NONE	PUBLIC CHARITY	GENERAL	500.
Total from continuation sheets				

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

13-3608916

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SYRACUSE UNIVERSITY 721 UNIVERSITY AVENUE, SUITE 415 SYRACUSE, NY 13244	NONE	PUBLIC CHARITY	GENERAL	5,000.
TEMPLE SINAI 120 HARBOR HILL ROAD EAST HILLS, NY 11577	NONE	PUBLIC CHARITY	GENERAL	5,000.
THE BREAST CANCER RESEARCH FOUNDATION 60 EAST 56TH STREET, 8TH FL NEW YORK, NY 10022	NONE	PUBLIC CHARITY	GENERAL	5,000.
THE JOSHUA STAMM MEMORIAL SCHOLARSHIP FUND 30 SCOTTS CORNERS DRIVE, ST 202 MONTGOMERY, NY 12549	NONE	PUBLIC CHARITY	GENERAL	500.
THE SANDY GROUND PROJECT 158 BUCKNELL AVENUE WOODBIDGE, NJ 07095	NONE	PUBLIC CHARITY	GENERAL	1,000.
TUFTS UNIVERSITY PARENTS FUND PO BOX 3306 BOSTON, MA 02241	NONE	PUBLIC CHARITY	GENERAL	1,000.
UJA FEDERATION OF NEW YORK 130 EAST 59TH STREET NEW YORK, NY 10022	NONE	PUBLIC CHARITY	GENERAL	32,000.
UNITED WAY 819 GRAND BOULEVARD DEER PARK, NY 11729	NONE	PUBLIC CHARITY	GENERAL	1,000.
USC HILLEL FOUNDATION 3300 SOUTH HOOVER STREET LOS ANGELES, CA 90007	NONE	PUBLIC CHARITY	GENERAL	5,000.
WESELYAN ANNUAL FUND 318 HIGH STREET MIDDLETOWN, CT 06459	NONE	PUBLIC CHARITY	GENERAL	500.
Total from continuation sheets				

13-3608916

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
WHITE PLAINS HOSPITAL 41 EAST POST ROAD WHITE PLAINS, NY 10601	NONE	PUBLIC CHARITY	GENERAL	1,000.
WORLD WILDLIFE FUND 1250 24TH ST NW WASHINGTON, DC 20037	NONE	PUBLIC CHARITY	GENERAL	1,000.
Total from continuation sheets				

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND & INTEREST	60,635.	0.	60,635.	60,635.	
TO PART I, LINE 4	60,635.	0.	60,635.	60,635.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME	1,398.	1,398.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,398.	1,398.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
RAICH ENDE MALTER	7,000.	3,500.		3,500.
TO FORM 990-PF, PG 1, LN 16B	7,000.	3,500.		3,500.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	390.	390.		0.
FEDERAL EXCISE TAXES	3,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	3,390.	390.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	15.	0.		15.
INVESTMENT ADV FEES	18,621.	18,621.		0.
NYS FILING FEE	250.	0.		250.
TO FORM 990-PF, PG 1, LN 23	18,886.	18,621.		265.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT ATTACHED	1,375,620.	2,028,133.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,375,620.	2,028,133.

FORM 990-PF	CORPORATE BONDS	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT ATTACHED	521,248.	524,656.
TOTAL TO FORM 990-PF, PART II, LINE 10C	521,248.	524,656.

NEUBERGER BERMAN LLC OH4-RM00 P O BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY		Account No. 541-26799 Account Name YASPAN-UNTERBERG FDN INC Taxpayer Identification Number. 13-3608916 Account Executive No 345 CORRECTED. 04/05/14	NEUBERGER BERMAN
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2013 Supplemental Gain or Loss Information
Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	Realized Gain/Loss
12/06/13 H	03/19/13	AMAZON.COM INC	AMZN 023135106	50 00000	19,300.16	12,836.12	6,464.04
09/25/13 H	02/04/13	AMERICAN INTERNATIONAL GROUP INC	AIG 026874764	250 00000	12,333.08	9,596.24	2,736.84
09/26/13 H	12/31/12	AMERICAN INTERNATIONAL GROUP INC	AIG 026874764	1,000 00000	49,383.94	35,194.90	14,189.04
03/22/13 H	01/17/13	***AGRIUM INC	AGU 008916108	350 00000	35,160.53	37,889.30	(2,728.77)
12/06/13 H	08/30/13	C R BARD INC	BCR 067383109	75 00000	10,307.07	8,641.61	1,665.46
12/06/13 H	08/29/13	BORG WARNER AUTOMOTIVE INC	BWA 099724106	150 00000	16,057.22	14,714.96	1,342.26
12/06/13 H	09/25/13	CHENIERE ENERGY INC COM	LNG 16411R208	500 00000	22,399.71	16,462.00	5,937.71
12/06/13 H	02/11/13	CALPINE CORPORATION	CPN 131347304	1,000 00000	19,603.35	19,989.20	(385.85)
03/01/13 H	03/15/12	CAPITAL ONE FINANCIAL CORP	COF 14040H105	1,000 00000	51,425.25	53,764.15	(2,338.90)
12/06/13 H	04/26/13	***DELPHI AUTOMOTIVE PLC SHS US LISTED	DLP G27823106	250 00000	14,637.24	11,125.93	3,511.31
08/29/13 H	04/26/13	***DELPHI AUTOMOTIVE PLC SHS US LISTED	DLP G27823106	500 00000	27,817.51	22,251.85	5,565.66
11/21/13 H	04/25/13	EBAY INC	EBAY 278642103	250 00000	12,629.50	13,157.30	(527.80)
12/06/13 H	01/17/13	EXPRESS SCRIPTS HOLDING COMPANY	ESRX 30219G108	250 00000	16,749.71	13,792.13	2,957.58

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



NEUBERGER BERMAN

Account No 541-26799
Account Name YASPAN-UNTERBERG FDN INC
Taxpayer Identification Number: 13-3608916
Account Executive No 345
CORRECTED 04/05/14
NEUBERGER BERMAN LLC
OH4-RM00
P O BOX 183211
COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY

2013 Supplemental Gain or Loss Information (continued)
Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	Realized Gain/(Loss)
12/06/13 H	05/15/13	EXPRESS SCRIPTS HOLDING COMPANY	ESRX 30219G108	500 00000	33,499.41	30,519.25	2,980.16
12/06/13 H	11/14/13	GENERAL MOTORS COMPANY	GM 37045V100	750 00000	30,099.52	28,910.93	1,188.59
04/23/13 H	05/25/12	GENERAL ELECTRIC CO	GE 369604103	1,750 00000	37,692.06	33,806.80	3,886.25
12/06/13 H	10/09/13	IHS INC CLA	IHS 451734107	225 00000	25,911.44	24,630.46	1,280.98
08/29/13 H	04/17/13	HANNON ARMSTRONG SUSTAINABLE INFRASTRUCTURE CAP INC COM	HASI 41068X100	2,200 00000	25,982.86	27,452.10	(1,469.24)
12/06/13 H	09/25/13	INTUIT INC	INTU 461202103	150 00000	11,224.30	9,949.20	1,275.10
12/06/13 H	10/03/13	JPMORGAN CHASE & CO	JPM 46625H100	350 00000	19,736.15	18,138.64	1,597.51
12/06/13 H	04/03/13	JOHNSON & JOHNSON	JNJ 478160104	175 00000	16,463.71	14,356.32	2,107.39
09/23/13 H	04/10/13	***KNOT OFFSHORE PARTNERS LP COM UNITS REPTG PARTNERSHIP LTD	KNOP Y48125101	1,300 00000	32,098.78	27,300.00	4,798.78
07/25/13 H	10/03/12	MOTOROLA SOLUTIONS INC	MSI 620076307	400 00000	21,780.18	20,283.64	1,496.54
07/25/13 H	10/05/12	MOTOROLA SOLUTIONS INC	MSI 620076307	600 00000	32,670.27	30,836.58	1,833.69
05/23/13 H	07/12/12	NEW MOUNTAIN FINANCE CORPORATION	NMFC 647551100	200 00000	3,003.99	2,870.00	133.99

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

NEUBERGER BERMAN LLC OH4-RM00 P.O. BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY		Account No: 541-26799 Account Name: YASPAN-UNTERBERG FDN INC Taxpayer Identification Number: 13-3608916 Account Executive No: 345 CORRECTED: 04/05/14	NEUBERGER BERMAN
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2013 Supplemental Gain or Loss Information (continued)
Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN/(LOSS)
05/23/13 H	07/12/12	NEW MOUNTAIN FINANCE CORPORATION	NMFC 647551100	800.00000	12,015.94	11,180.32	835.62
05/21/13 H	07/12/12	NEW MOUNTAIN FINANCE CORPORATION	NMFC 647551100	1,500.00000	22,884.53	21,525.00	1,359.53
01/18/13 H	08/09/12	OGE ENERGY CORP	OGE 670837103	100.00000	5,682.64	5,481.64	201.00
05/21/13 H	08/09/12	OGE ENERGY CORP	OGE 670837103	400.00000	28,655.67	21,926.56	6,729.11
12/06/13 H	02/15/13	PIONEER NATURAL RESOURCES COMPANY	PXD 723787107	15.00000	2,768.32	1,920.00	848.32
09/25/13 H	02/15/13	PIONEER NATURAL RESOURCES COMPANY	PXD 723787107	75.00000	13,975.55	9,745.22	4,230.33
12/06/13 H	02/15/13	PIONEER NATURAL RESOURCES COMPANY	PXD 723787107	135.00000	24,914.89	17,541.40	7,373.49
11/13/13 H	07/25/13	RANGE RESOURCES CORP	RRC 75281A109	325.00000	24,291.02	26,281.19	(1,990.17)
11/13/13 H	09/23/13	RANGE RESOURCES CORP	RRC 75281A109	425.00000	31,765.18	33,133.00	(1,367.82)
11/13/13 H	05/08/13	RANGE RESOURCES CORP	RRC 75281A109	750.00000	56,056.19	55,722.38	333.81
02/14/13 H	09/19/12	SPDR GOLD TR GOLD SHS	GLD 78463V107	200.00000	31,660.53	34,338.70	(2,678.17)
12/06/13 S	12/04/13	***SEADRILL PARTNERS LLC COM UNIT REPSTG LTD LIABILITY CO INT	SDLP Y7545W109	500.00000	15,617.72	14,995.45	622.27

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NEUBERGER BERMAN LLC
OH4-RM00
P O BOX 183211
COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY

Account No 541-26799
Account Name YASPAN-UNTERBERG FDN INC
Taxpayer Identification Number 13-3608916
Account Executive No 345
CORRECTED 04/05/14

2013 Supplemental Gain or Loss Information (continued)
Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN / (LOSS)
12/06/13 H	05/01/13	***TEEKAY CORPORATION MARSHALL ISLAND	TK Y8564W103	250.00000	11,133.95	8,868.20	2,265.75
12/06/13 H	08/29/13	***TEEKAY CORPORATION MARSHALL ISLAND	TK Y8564W103	750.00000	33,401.87	29,848.43	3,553.44
12/06/13 H	08/09/13	***TRANSALTA RENEWABLES INC COM	TRSWF 893463109	2,500.00000	25,112.50	24,318.50	794.00
02/08/13 H	01/31/13	ZOETIS INC CLA	ZTS 98978V103	1,000.00000	33,097.05	26,000.00	7,097.05
41 ITEMS - Total Short Term Not Reported Transactions Gain or Loss						881,294.60	89,705.88

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NEUBERGER Berman LLC OH4-RM00 P O BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY		Account No: 541-26799 Account Name: YASPAN-UNTERBERG FDN INC Taxpayer Identification Number: 13-3608916 Account Executive No: 345 CORRECTED. 04/05/14	NEUBERGER BERMAN
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2013 Supplemental Gain or Loss Information
Long Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	Realized Gain / (Loss)
09/26/13 H	08/14/12	AMERICAN INTERNATIONAL GROUP INC	AIG 026874784	1,000 00000	49,383.94	33,913.60	15,470.34
01/30/13 H	12/13/05	AMERICAN TOWER CORPORATION REIT	AMT 03027X100	250 00000	19,316.34	6,820.48	12,495.86
12/06/13 H	12/13/05	AMERICAN TOWER CORPORATION REIT	AMT 03027X100	500 00000	39,028.22	13,640.95	25,387.27
12/06/13 H	11/08/10	BLACKROCK INC	BLK 09247X101	150.00000	45,152.21	25,493.19	19,659.02
04/19/13 H	03/05/12	BORG WARNER AUTOMOTIVE INC	BWA 099724106	750 00000	54,617.07	62,944.88	(8,327.81)
12/06/13 H	07/05/12	BOEING CO	BA 097023105	200.00000	27,061.52	14,885.38	12,176.14
11/21/13 H	07/05/12	BOEING CO	BA 097023105	300.00000	39,689.90	22,328.07	17,361.83
03/01/13 H	02/03/12	CAPITAL ONE FINANCIAL CORP	COF 14040H105	1,000.00000	51,425.24	49,472.00	1,953.24
11/21/13 H	10/27/11	EBAY INC	EBAY 278642103	300 00000	15,155.41	9,709.04	5,446.37
12/06/13 H	10/27/11	EBAY INC	EBAY 278642103	350.00000	18,266.18	11,327.22	6,938.96
12/06/13 H	10/16/02	ENBRIDGE ENERGY MANAGEMENT LLC SHS UNITS REPSTG LTD LIABILITY COMPANY INTERESTS	EEQ 29250X103	777.00000	21,975.27	5,717.01 T	16,258.26
12/06/13 H	06/07/10	GOLUB CAP BDC INC	GBDC 38173M102	750 00000	13,600.26	10,321.19	3,279.07

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NEUBERGER BERMAN LLC
OH4-RM00
P O BOX 183211
COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY

Account No 541-26799
Account Name YASPAN-UNTERBERG FDN INC
Taxpayer Identification Number 13-3608916

Account Executive No 345

CORRECTED 04/05/14

NEUBERGER BERMAN

2013 Supplemental Gain or Loss Information (continued)

Long Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN (LOSS)
04/23/13	H	03/16/12 GENERAL ELECTRIC CO	GE	1,750 00000	37,692.06	35,405.63	2,286.43
12/06/13	H	01/31/08 ITC HOLDINGS CORP	ITC	600 00000	55,387.19	30,977.80	24,409.39
01/17/13	H	11/18/09 INTERNATIONAL BUSINESS MACHINES CORP	IBM	25 00000	4,849.92	3,200.82	1,649.10
01/17/13	H	10/14/09 INTERNATIONAL BUSINESS MACHINES CORP	IBM	200 00000	38,799.40	25,393.88	13,405.52
01/18/13	S	10/31/01 KINDER MORGAN MGMT LLC SHS	KMR	70 00000	5,761.73	1,213.60	4,548.13
12/05/13	H	09/05/01 KINDER MORGAN MGMT LLC SHS	KMR	72 00000	5,309.71	1,081.10	4,228.61
12/06/13	H	09/05/01 KINDER MORGAN MGMT LLC SHS	KMR	181 00000	13,414.53	2,717.78	10,696.75
12/05/13	H	10/31/01 KINDER MORGAN MGMT LLC SHS	KMR	245 00000	18,067.77	3,976.49	14,091.28
09/25/13	H	10/31/01 KINDER MORGAN MGMT LLC SHS	KMR	256 00000	19,266.37	4,223.98	15,042.39
12/06/13	H	12/06/02 KINDER MORGAN MGMT LLC SHS	KMR	519 00000	39,464.85	7,521.20	30,943.65
12/06/13	H	11/02/11 KANSAS CITY SOUTHERN	KSU	150 00000	17,774.69	9,480.80	8,293.89
12/06/13	H	05/25/12 NEXTERA ENERGY INC	NEE	250 00000	21,099.36	16,376.90	4,722.46
05/08/13	H	04/21/10 PALL CORP	PLL	350 00000	23,974.04	13,791.33	10,182.71

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NEUBERGER BERMAN LLC OH4-RM00 P O BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY		Account No 541-26799	Account Name YASPAN-UNTERBERG FDN INC	NEUBERGER	BERMAN
		Taxpayer Identification Number 13-3608916			
		Account Executive No 345			
		CORRECTED:	04/05/14		

2013 Supplemental Gain or Loss Information (continued)
Long Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol (CUSIP)	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN / (LOSS)
05/08/13 H	05/04/10	PALL CORP	PLL 696429307	650 00000	44,523.22	25,160.20	19,363.02
02/04/13 H	11/26/10	PRAXAIR INC	PX 74005P104	150 00000	16,588.58	13,852.86	2,735.72
02/04/13 H	08/21/09	PRAXAIR INC	PX 74005P104	300 00000	33,177.15	23,662.17	9,514.98
02/04/13 H	08/02/10	PRAXAIR INC	PX 74005P104	300 00000	33,177.16	26,365.73	6,811.43
*12/06/13 S	04/17/12	STARWOOD PROPERTY TRUST INC	STWD 85571B105	250 00000	6,938.25	6,069.10 T	1,878.16
*12/06/13 S	05/25/12	STARWOOD PROPERTY TRUST INC	STWD 85571B105	1,000 00000	27,753.02	20,274.50 T	7,478.52
02/14/13 H	03/25/99	**SUNCOR ENERGY INC	SU 867224107	250 00000	8,035.00	1,061.58	6,973.42
02/21/13 H	03/25/99	**SUNCOR ENERGY INC	SU 867224107	1,000 00000	30,912.00	4,246.30	26,665.70
*12/06/13 S	06/18/08	**TEEKAY OFFSHORE PARTNERS LP	TOO Y8665J101	1,250 00000	39,761.93	12,975.33 T	26,786.60
12/06/13 H	12/06/10	TARGA RESOURCES CORP	TRGP 87612G101	300 00000	23,950.23	6,600.00	17,350.23
10/03/13 H	09/08/10	UNITED PARCEL SVC INC CL B	UPS 911312106	200 00000	18,051.68	13,585.96	4,465.72
10/03/13 H	11/26/10	UNITED PARCEL SVC INC CL B	UPS 911312106	250 00000	22,564.61	17,299.95	5,264.66
10/03/13 H	06/01/11	UNITED PARCEL SVC INC CL B	UPS 911312106	250 00000	22,564.61	18,366.50	4,198.11

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NEUBERGER BERMAN LLC
OH4-RM00
P O BOX 183211
COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY

Account No 541-26799
Account Name YASPAN-UNTERBERG FDN INC
Taxpayer Identification Number 13-3608916
Account Executive No 345
CORRECTED 04/05/14

NEUBERGER BERMAN

2013 Supplemental Gain or Loss Information (continued)
Long Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN/ (LOSS)
10/03/13 H	07/23/10	UNITED PARCEL SVC INC CL B	UPS 911312106	550 00000	49,642.13	35,010.64	14,631.49
05/07/13 H	07/08/11	VISA INC CL A COMMON STOCK	V 92826C839	25 00000	4,479.57	2,237.61	2,241.96
01/18/13 H	07/08/11	VISA INC CL A COMMON STOCK	V 92826C839	50 00000	7,855.59	4,475.23	3,380.36
08/01/13 H	07/08/11	VISA INC CL A COMMON STOCK	V 92826C839	475 00000	84,038.10	42,514.64	41,523.46
01/22/13 S	03/02/10	EQUINIX INC SENIOR NOTES	29444UAJ5	25,000 00000	27,537.50	25,750.00 T	1,787.50
04/04/13 S	03/02/10	EQUINIX INC SENIOR NOTES	29444UAJ5	25,000 00000	27,697.50	25,750.00 T	1,947.50
44 ITEMS - Total Long Term Not Reported Transactions Gain or Loss					1,223,781.01	746,182.62	477,598.39

FOOTNOTES

A - Position carried at Average Cost
T - Cost Basis information is based on information you, your advisor or third parties have supplied and it has been included on your statement for informational purposes only JPMCC has not attempted to validate this information and disclaims all warranties of any kind related to this information, either express or implied, including, without limitation, any implied warranties of accuracy, completeness and fitness for a particular purpose
E - Adjusted for option exercise or assignment

DISPOSAL METHODS

Blank - FIFO (First In First Out), L - LIFO (Last In First Out), S - Specific Match (Versus Purchase Method), H - High Cost Method, C - Low Cost Method, X - High Cost Long Term

* Data was revised since your last Statement

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Yaspan-Unterberg Foundation
EIN 13-3608916
Investments - Corporate Stock and Bonds
Form 990-PF - Part II Line 10b and 10c
2013

	Name of Security	# Shares	Cost	FMV @ 12/31/13
Corporate Stocks	Amazon.com Inc	100	25,672	39,879
	American Tower Corp Class A	1,250	34,102	99,775
	Blackrock Inc CL A	350	59,484	110,765
	Boeing Co	500	36,578	68,245
	Borg Warner Automotive Inc	700	34,335	39,137
	C R Bard Inc	175	20,164	23,440
	Calpine Corporation	4,500	81,437	87,795
	Cheniere Energy Inc	1,500	49,386	64,680
	Delphi Automotive PLC	750	33,378	45,098
	Ebay Inc	850	27,509	46,635
	Enbridge Energy Management LLC	2,500	18,343	71,700
	Express Scripts Holding Company	750	41,301	52,680
	General Motors Company	1,750	67,459	71,523
	Golub Cap BDC Inc	1,750	24,291	33,443
	IHS INC	700	73,857	83,790
	Intuit Inc	750	49,746	57,240
	ITC Holdings Corp	1,000	48,753	95,820
	JPMorgan Chase & Co	1,350	69,963	78,948
	Johnson & Johnson	625	51,273	57,244
	Kansas City Southern New	450	28,442	55,724
	Nextera Energy Inc	750	45,795	64,215
	Pioneer Natural Resources Company	450	56,769	82,832
	Seadrill Partners LLC Com	2,750	69,649	85,250
	Starwood Property Trust Inc	2,750	55,638	76,175
	Targa Resources Corp	800	17,600	70,536
	Teekay Offshore Partners LP	2,750	34,289	90,998
	Teekay Corporation Marshall Island	2,000	57,311	96,020
	Transalta Renewables Inc	5,000	48,249	51,621
	Cousins Property Incorporated 7.5%	1,000	20,000	25,105
	Partnerre Ltd 6.5% Ser D	1,000	21,462	22,650
	Seaspan Corporation Cumulative	3,000	73,385	79,170
Total Line 10b - Columns b & c			1,375,620	2,028,133
Corporate Bonds	CIT Group Inc Unsecured	100,000	102,500	104,125
	Genesis Energy LP	25,000	25,719	26,938
	Nielsen Fin LLC	100,000	98,000	97,250
	Range Res Corp	100,000	108,750	108,250
	Regal Cinemas Corp GTD	100,000	108,471	107,250
	Regency Energy Partners LP	25,000	25,750	26,813
	SLM Corp	25,000	26,370	27,000
	Teekay Corp	25,000	25,688	27,030
Total Line 10c - Columns b & c			521,248	524,656

Application for Extension of Time To File an Exempt Organization Return

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions.	Enter filer's identifying number
	THE YASPAN-UNTERBERG FOUNDATION, INC. C/O RICHARD YASPAN	Employer identification number (EIN) or 13-3608916
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. 36 PEMBROKE DRIVE	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. GLEN COVE, NY 11542	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

RICHARD YASPAN

- The books are in the care of ► **36 PEMBROKE DRIVE - GLEN COVE, NY 11542**

Telephone No. ► **516-448-5475**

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year **2013** or
- ☐ tax year beginning _____, and ending _____.

- 2** If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	12,256.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	15,828.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.