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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.**2013**

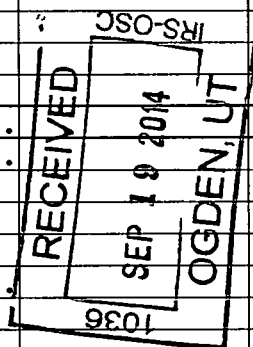
Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation KENNETH ROSENBERG FOUNDATION		A Employer identification number 13-3593765
Number and street (or P.O. box number if mail is not delivered to street address) C/O MATTHEWS & CO, 270 MADISON AVE	Room/suite 16TH F	B Telephone number (212) 293-5100
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10016		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 926,915.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
(Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	19,267.	19,267.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	12,087.			
	b Gross sales price for all assets on line 6a 198,308.				
	7 Capital gain net income (from Part IV, line 2)		12,087.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11	31,354.	31,354.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 2	2,500.	2,500.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 3	2,885.	0.		0.
	24 Total operating and administrative expenses Add lines 13 through 23	5,385.	2,500.		0.
	25 Contributions, gifts, grants paid	109,600.			109,600.
26 Total expenses and disbursements Add lines 24 and 25	114,985.	2,500.		109,600.	
27 Subtract line 26 from line 12	<83,631.>				
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)		28,854.			
c Adjusted net income (if negative, enter -0-)			N/A		



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		62,344.	49,639.	49,639.
	2	Savings and temporary cash investments		113,179.	54,556.	54,556.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 4	453,099.	417,988.	611,986.
	c	Investments - corporate bonds	STMT 5	185,770.	212,194.	210,734.
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		814,392.	734,377.	926,915.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		814,392.	734,377.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances		814,392.	734,377.	
	31	Total liabilities and net assets/fund balances		814,392.	734,377.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	814,392.
2	Enter amount from Part I, line 27a	2	<83,631.>
3	Other increases not included in line 2 (itemize) ▶ UNSETTLED TRADES	3	3,616.
4	Add lines 1, 2, and 3	4	734,377.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	734,377.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE SCHEDULE ATTACHED		VARIOUS	VARIOUS
b SEE SCHEDULE ATTACHED		VARIOUS	VARIOUS
c SEE SCHEDULE ATTACHED		VARIOUS	VARIOUS
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 31,434.			1,005.
b 147,298.			7,572.
c 19,576.			3,510.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			1,005.
b			7,572.
c			3,510.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	12,087.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	112,587.	912,311.	.123409
2011	118,932.	960,474.	.123826
2010	73,762.	986,931.	.074739
2009	64,283.	927,114.	.069337
2008	58,465.	1,061,251.	.055091

2 Total of line 1, column (d)	2	.446402
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.089280
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	906,244.
5 Multiply line 4 by line 3	5	80,909.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	289.
7 Add lines 5 and 6	7	81,198.
8 Enter qualifying distributions from Part XII, line 4	8	109,600.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	289.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	289.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	289.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	440.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	440.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	151.
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax ☒ 151. Refunded ☐	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ DE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> SEE STATEMENT 6		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>		X	
14	The books are in care of ► <u>TAXPAYER</u> Telephone no. ► <u>(212) 293-5100</u> Located at ► <u>MATTHEWS & CO, 270 MADISON AVE-16TH FL, NEW YORK, NY</u> ZIP+4 ► <u>10016</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u> N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►		Yes	No
		16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KENNETH ROSENBERG	PRESIDENT			
550 SE MIZNER BLVD				
BOCA RATON, FL 33432	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 CONTRIBUTIONS TO QUALIFIED CHARITIES	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	864,053.
b	Average of monthly cash balances	1b	55,992.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	920,045.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	920,045.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	13,801.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	906,244.
6	Minimum investment return. Enter 5% of line 5	6	45,312.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	45,312.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	289.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	289.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	45,023.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	45,023.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	45,023.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	109,600.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	109,600.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	289.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	109,311.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				45,023.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	6,100.			
b From 2009	18,461.			
c From 2010	24,991.			
d From 2011	71,244.			
e From 2012	67,797.			
f Total of lines 3a through e	188,593.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 109,600.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				45,023.
e Remaining amount distributed out of corpus	64,577.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	253,170.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	6,100.			
9 Excess distributions carryover to 2014 Subtract lines 7 and 8 from line 6a	247,070.			
10 Analysis of line 9:				
a Excess from 2009	18,461.			
b Excess from 2010	24,991.			
c Excess from 2011	71,244.			
d Excess from 2012	67,797.			
e Excess from 2013	64,577.			

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- [illegible]

Form **990-PF** (2013)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
JACK MARTIN FUND			OTHER	3,000.
SANCTUARY FOR FAMILIES			OTHER	1,500.
BERGEN COUNTY HIGH SCHOOL OF JEWISH STUDIES			EDUCATION	1,800.
NYU LANGONE MEDICAL CENTER			SCIENTIFIC	7,500.
KOMEN FOR THE CURE			OTHER	2,000.
Total			SEE CONTINUATION SHEET(S)	109,600.
b Approved for future payment				
NONE				
Total				0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|--|---|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| | a Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| | b Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | X | |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X | |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

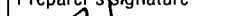
Signature of officer or trustee

9-15-2014
Date

President

May the IRS discuss this return with the preparer shown below (see instr.)?

**Paid
Preparer
Use Only**

Print/Type preparer's name ROBERT S. MATTHEWS	Preparer's Signature 	Date 9/12/2014	Check ☐ if self-employed	PTIN P00637604
Firm's name ▶ MATTHEWS & CO., LLP			Firm's EIN ▶ 13-3489260	
Firm's address ▶ 270 MADISON AVENUE 16TH FLOOR NEW YORK, NY 10016			Phone no. 212-293-5100	

2013 Tax Information Statement

Page 7 of 21
 Recipient's Name and Address:
 KENNETH ROSENBERG FOUNDATION
 P.O. BOX 1741
 QUOGUE, NY 11959-1741

Account Number: 23-39320
 Recipient's Tax ID Number: XX-XXX3765

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
665130100	MFB NORTHN MID CAP INDEX FD	NOMIX	438.40	416.35	22.05	0.00	0.00
32.0000	01/14/2013 Various						
665162723	MFB NORTHN FDS SMALL CAP INDEX FD	NSIDX	316.80	295.07	21.73	0.00	0.00
33.0000	01/14/2013 Various						
78463V107	MFC SPDR GOLD TR GOLD SHS	GLD	1,948.83	2,018.29	-69.46	0.00	0.00
13.0000	04/04/2013 05/08/2012						
665162517	MFB NORTHERN FUNDS MULTI MANAGER LARGE CAP FD	NMMLX	4,933.39	4,357.14	576.25	0.00	0.00
505.4700	06/04/2013 Various						
665162475	MFB NORTHN FDS MULTI-MANAGER GLOBAL REALESTATE FD	NMMGX	326.87	322.71	4.16	0.00	0.00
17.3500	07/16/2013 01/14/2013						
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND	PCRIX	3,906.40	4,501.71	-595.31	0.00	0.00
674.6800	07/16/2013 Various						
24610C857	MFO DELAWARE VALUE FUND-I	DDVIX	1,377.33	1,354.08	23.25	0.00	0.00
93.0000	09/04/2013 06/04/2013						
33939L407	MFC FLEXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD		815.12	818.99	-3.87	0.00	0.00
25.0000	09/04/2013 07/16/2013						
411511504	HARBOR FUND CAP APPRECIATION	HACAX	1,505.10	1,409.41	95.69	0.00	0.00
30.0000	09/04/2013 06/04/2013						

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 8 of 21
 Recipient's Name and Address:
 KENNETH ROSENBERG FOUNDATION
 P.O. BOX 1741
 QUOGUE, NY 11959-1741

Account Number: 23-39320
 Recipient's Tax ID Number: XX-XXX3765

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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Quantity Sold	Stock of Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
665162384	MFB NORTHERN MULTI-MANAGER GLOBAL LISTED INFRASTRUCTURE FUND	09/04/2013 07/16/2013	56 0000	NMFI	616.56	0.00	-7.28	0.00	0.00
665162475	MFB NORTHERN FDS MULTI-MANAGER GLOBAL REAL ESTATE FD	09/04/2013 01/14/2013	33 0000	NMMGX	587.40	0.00	-26.40	0.00	0.00
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND	09/04/2013 01/14/2013	144.0000	PCRIX	825.12	0.00	-135.36	0.00	0.00
24610C857	MFO DELAWARE VALUE FUND-I	11/04/2013 06/04/2013	285 0000	DDVIX	4,503.00	0.00	353.42	0.00	0.00
33939L407	MFC FLEXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD	11/04/2013 07/16/2013	59 0000	HACAX	2,049.15	0.00	116.33	0.00	0.00
411511504	HARBOR FUND CAP APPRECIATION	11/04/2013 06/04/2013	111.0000	PCRIX	6,088.35	0.00	873.54	0.00	0.00
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND	11/04/2013 01/14/2013	216.0000	PCRIX	1,196.64	0.00	-244.08	0.00	0.00
Total Short Term Sales					31,434.46	0.00	1,004.66	0.00	0.00
665130209	MFB NORTHERN INTL EQTY INDEX FD	01/14/2013 Various	41.0000	NOINX	435.01	0.00	25.30	0.00	0.00

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2013 Tax Information Statement

Page 9 of 21
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Account Number: 23-39320
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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Quantity Sold	Stock or Other Symbol	Cost Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
665162517	MFB NORTHERN FUNDS MULTI-MANAGER LARGE CAP FD	01/14/2013	44.0000	NOBX	389.84	0.00	-27.72	0.00	0.00
665162533	MFB NORTHERN FUNDS BD INDEX FD	01/14/2013	489.0000	NOBX	5,344.77	0.00	-19.57	0.00	0.00
665162558	MFB NORTHERN FUNDS INTL EQTY FD	01/14/2013	56.0000	NMIX	547.12	0.00	-34.72	0.00	0.00
665162566	MFB NORTHERN FUNDS SMALL CAP FD	01/14/2013	36.0000	NMIX	362.16	0.00	-31.68	0.00	0.00
665162772	MFB NORTHERN FUNDS STK INDEX FD	01/14/2013	5.0000	NMIX	91.20	0.00	8.80	0.00	0.00
665130100	MFB NORTHERN MID CAP INDEX FD	04/04/2013	29.0000	NMIX	423.40	0.00	49.59	0.00	0.00
665130209	MFB NORTHERN INTL EQTY INDEX FD	04/04/2013	28.0000	NMIX	302.12	0.00	22.68	0.00	0.00
665162475	MFB NORTHERN FUNDS MULTI-MANAGER GLOBAL REAL ESTATE FD	04/04/2013	385.0000	NMIX	7,469.00	0.00	365.75	0.00	0.00
665162517	MFB NORTHERN FUNDS MULTI-MANAGER LARGE CAP FD	04/04/2013	161.0000	NMIX	1,489.25	0.00	-38.64	0.00	0.00

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2013 Tax Information Statement

Page 10 of 21
 Recipient's Name and Address:
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 QUOGUE, NY 11959-1741

Account Number: 23-39320
 Recipient's Tax ID Number: XX-XXX3765

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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

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Cusip	Description	Date of Sale or Exchange	Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
665162566	MFB NORTHN MULTIMGR SMALL CAP FD	04/04/2013	06/30/2011	NMMSX	509.76	0.00	-15.36	0.00	0.00
48 0000					525.12	0.00			
665162574	MFB NORTHN MULTIMGR MID CAP FD	04/04/2013	04/01/2011	NMCMX	236.70	0.00	8.81	0.00	0.00
18 0000				NSIDX					
665162723	MFB NORTHN FDS SMALL CAP INDEX FD	04/04/2013	03/05/2012	NOSIX	469.58	0.00	67.31	0.00	0.00
53 0000									
665162772	MFB NORTHN FDS STK INDEX FD	04/04/2013	02/28/2011		3,048.80	0.00	532.80	0.00	0.00
185 0000									
665162517	MFB NORTHN FUNDS MULTI MANAGER LARGE CAP FD	06/04/2013	Various	NMMLX	33,408.28	0.00	1,553.50	0.00	0.00
3422 9800									
665162475	MFB NORTHN FDS MULTI-MANAGER GLOBAL REALESTATE FD	07/16/2013	Various	NMMGX	6,500.56	0.00	369.63	0.00	0.00
345.0400									
665162475	MFB NORTHN FDS MULTI-MANAGER GLOBAL REALESTATE FD	07/16/2013	05/08/2012	NMMGX	2,215.77	0.00	170.61	0.00	0.00
117.6100									
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND	07/16/2013	Various	PCRIX	5,741.94	0.00	-1,671.92	0.00	0.00
991.7000									
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND	07/16/2013	Various	PCRIX	2,423.81	0.00	-382.64	0.00	0.00
418.6200									

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2013 Tax Information Statement

Recipient's Name and Address:

KENNETH ROSENBERG FOUNDATION
P.O. BOX 1741
QUOGUE, NY 11959-1741

23-39320

XX-XXX3765

Account Number:

Recipient's Tax ID Number:

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Quantity Sold	Stock or Other Symbol	Other Basis	Wash Sale	Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
33939L506	MFC FLEXSHARES TR IBOX 3 YR TARGET DURATION TIPS INDEX FD	09/04/2013 07/24/2012	72.0000	1,788.44	1,820.00	0.00	0.00	-31.56	0.00	0.00
665130100	MFB NORTHN MID CAP INDEX FD	09/04/2013 03/05/2012	27.0000	NOMIX	348.03	0.00	0.00	72.63	0.00	0.00
665130209	MFB NORTHN INTL EQTY INDEX FD	09/04/2013 12/31/2009	200.0000	NOMIX	1,996.00	0.00	0.00	274.00	0.00	0.00
665162483	MFB NORTHN FDS MULTI-MANAGER EMERGING MKTS EQTY FD	09/04/2013 Various	124.0000	NOMIX	2,864.92	0.00	0.00	-662.68	0.00	0.00
665162533	MFB NORTHERN FUNDS BD INDEX FD	09/04/2013 05/16/2012	810.0000	NOBOX	8,910.00	0.00	0.00	-502.20	0.00	0.00
665162558	MFB NORTHN MULTI-MANAGER INTL EQTY FD	09/04/2013 Various	178.0000	NMIEX	1,827.70	0.00	0.00	-40.58	0.00	0.00
665162566	MFB NORTHN MULTIMGR SMALL CAP FD	09/04/2013 06/30/2011	58.0000	NMMSX	634.52	0.00	0.00	62.64	0.00	0.00
665162574	MFB NORTHN MULTIMGR MID CAP FD	09/04/2013 04/01/2011	17.0000	NMMSX	215.22	0.00	0.00	27.54	0.00	0.00
665162582	MFB NORTHN FUNDS EMERGING MKTS EQTY INDEX FD	09/04/2013 03/05/2012	109.0000	NOEMX	1,297.10	0.00	0.00	-131.89	0.00	0.00

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2013 Tax Information Statement

Page 12 of 21
 Recipient's Name and Address: Ref: AMH
 KENNETH ROSENBERG FOUNDATION
 P.O. BOX 1741
 QUOGUE, NY 11959-1741

Account Number: 23-39320
 Recipient's Tax ID Number: XX-XXX3765

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Quantity Sold	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax	State Income Tax	Other Tax
665162723	MFB NORTN FDS SMALL CAP INDEX FD	09/04/2013	61.0000	NSIDX	540.46	0.00	147.01	0.00	0.00	0.00
665162772	MFB NORTN FDS STK INDEX FD	09/04/2013	227.0000	NOSIX	3,740.96	0.00	932.97	0.00	0.00	0.00
693390841	PIMCO FDS HIGH YIELD FD	09/04/2013	190.0000	PHIYX	1,773.20	0.00	18.50	0.00	0.00	0.00
72201F516	MFO PIMCO FDS EMERGING LOCAL BD FD INSTLCL USD	09/04/2013	80.9500	PELBX	848.35	0.00	101.18	0.00	0.00	0.00
72201F516	MFO PIMCO FDS EMERGING LOCAL BD FD INSTLCL USD	09/04/2013	10.0500	PELBX	109.10	0.00	16.34	0.00	0.00	0.00
33939L506	MFC FLEXSHARES TR TRIBOXX 3 YR TARGET DURATION TIPS INDEX FD	11/04/2013	62.0000	NMMEX	1,545.01	0.00	22.21	0.00	0.00	0.00
665162483	MFB NORTN FDS MULTI-MANAGER EMERGING MKTS EQTY FD	11/04/2013	375.0000	NMMSX	7,638.74	0.00	322.49	0.00	0.00	0.00
665162566	MFB NORTN MULTIMGR SMALL CAP FD	11/04/2013	569.0000	NOEMX	6,224.88	0.00	1,155.05	0.00	0.00	0.00
665162582	MFB NORTN FUNDS EMERGING MKTS EQTY EQTY INDEX FD	11/04/2013	259.0000	NOEMX	3,082.09	0.00	46.61	0.00	0.00	0.00

2013 Tax Information Statement

Recipient's Name and Address:

KENNETH ROSENBERG FOUNDATION
P.O. BOX 1741
QUOGUE, NY 11959-1741

23-39320

XX-XXX3765

Account Number:

Recipient's Tax ID Number:

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY

P.O. BOX 803878

CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Federal Income Tax Withheld	State Tax Withheld
665162723	MFB NORTN FDS SMALL CAP INDEX FD			NSIDX					
594.0000	11/04/2013	03/05/2012			7,246.80	5,262.83	0.00	1,983.97	0.00
665162772	MFB NORTHERN FDS STK INDEX FD			NOSIX					
766.0000	11/04/2013	02/28/2011			16,829.02	12,623.67	0.00	4,205.35	0.00
72201F516	MFO PIMCO FDS EMERGING LOCAL BD FD INSTLCL USD			PELBX					
510.0000	11/04/2013	02/28/2011			4,962.30	5,344.79	0.00	382.49	0.00
Total Long Term Sales					147,298.39	139,726.43	0.00	7,571.96	0.00
Long Term 28% Sales									
78463V107	MFC SPDR GOLD TR GOLD SHS			GLD					
47.0000	01/14/2013	Various			7,583.59	6,208.55	0.00	1,375.04	0.00
78463V107	MFC SPDR GOLD TR GOLD SHS			GLD					
80.0000	04/04/2013	Various			11,992.82	9,857.72	0.00	2,135.10	0.00
Total Long Term 28% Sales					19,576.41	16,066.27	0.00	3,510.14	0.00

This is important tax information and is being furnished to you.

Part XV Supplementary Information**3 - Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILDRENS AID SOCIETY			OTHER	1,000.
QUOGE SOCIETY			OTHER	500.
PLAY FOR PINK			OTHER	1,500.
PURE THOUGHTS INC			OTHER	1,000.
LIGHT ONE LITTLE CANDLE			OTHER	3,000.
JEWISH FEDERATION OF PALM BEACH COUNTY			RELIGIOUS	1,800.
SOLOMON SCHECHTER DAY SCHOOL			EDUCATION	9,000.
DREAM ENTERTAINMENT			OTHER	1,500.
BRIGHT SIDE OF THE ROAD			EDUCATION	2,500.
BOCA RATON REGIONAL HOSPITAL FUND			SCIENTIFIC	15,000.
Total from continuation sheets				93,800.

Part XV Supplementary Information**3 - Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOME SAFE			OTHER	5,000.
GM&SF			OTHER	3,500.
LOCUST VALLEY CEMETERY			OTHER	500.
MINIMALLY INVASIVE UROLOGY FUND			SCIENTIFIC	7,500.
BEVERL HILL - N.A.A.C.P.			OTHER	7,500.
WEST HAMPTON PERFORMANCE CENTER			OTHER	2,500.
WESTHAMPTON PRES CHURCH			RELIGIOUS	5,000.
UNITED CEREBAL PALSEY FOUNDATION			SCIENTIFIC	10,000.
R.S.V.P.			OTHER	1,500.
POLICE ATHLETIC LEAGUE			OTHER	500.
Total from continuation sheets				

3 • Grants and Contributions Paid During the Year (Continuation)

Total from continuation sheets

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
COMMONWEALTH	9,370.	0.	9,370.	9,370.	
NORTHERN TRUST	9,897.	0.	9,897.	9,897.	
TO PART I, LINE 4	19,267.	0.	19,267.	19,267.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	2,500.	2,500.		0.
TO FORM 990-PF, PG 1, LN 16B	2,500.	2,500.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	3
-------------	----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNT MANAGEMENT FEES	2,730.	0.		0.
BANK CHARGES	155.	0.		0.
TO FORM 990-PF, PG 1, LN 23	2,885.	0.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	4
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	417,988.	611,986.
TOTAL TO FORM 990-PF, PART II, LINE 10B	417,988.	611,986.

FORM 990-PF	CORPORATE BONDS	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	212,194.	210,734.
TOTAL TO FORM 990-PF, PART II, LINE 10C	212,194.	210,734.

FORM 990-PF	EXPLANATION CONCERNING PART VII-A, LINE 8B	STATEMENT	6
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EXPLANATION

ATTORNEY GENERAL DOES NOT REQUIRE COPY OF 990-PF

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	KENNETH ROSENBERG FOUNDATION	13-3593765
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	C/O MATTHEWS & CO, 270 MADISON AVE, NO. 16TH F	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10016	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

TAXPAYER - MATTHEWS & CO, 270 MADISON AVE-16TH FL - NEW

- The books are in the care of ☒ YORK, NY 10016

Telephone No ☒ (212) 293-5100

Fax No ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2014

5 For calendar year 2013, or other tax year beginning ☐ , and ending ☐

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension SEE STATEMENT 7

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	0.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☐

Title ☐

Date ☐

Form 8868 (Rev. 1-2014)

FORM 8688

EXPLANATION FOR EXTENSION

STATEMENT

7

EXPLANATION

AN ATTEMPT TO OBTAIN INFORMATION NECESSARY FOR FILING A RETURN WAS REQUESTED IN A TIMELY FASHION, BUT THE INFORMATION WAS NOT FURNISHED IN SUFFICIENT TIME TO PERMIT THE TIMELY FILING OF THE RETURN, OR THE TAXPAYER PERSONALLY VISITED AN IRS OFFICE FOR THE PURPOSE OF SECURING INFORMATION OR ADVICE AND WAS UNABLE TO MEET WITH AN IRS REPRESENTATIVE