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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
HAU'OLI MAU LOA FOUNDATION

A Employer identification number
13-3588071

Number and street (or P O box number if mail is not delivered to street address) 701 BISHOP STREET | Room/suite

B Telephone number (see instructions)
(808) 545-4212

City or town, state or province, country, and ZIP or foreign postal code
HONOLULU, HI 968134814

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 148,022,685

J Accounting method ☐ Cash ☑ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	76	76		
	4	Dividends and interest from securities	1,777,181	1,777,181		
	5a	Gross rents	54,728	54,728		
	b	Net rental income or (loss) 1,722				
	6a	Net gain or (loss) from sale of assets not on line 10	4,947,008			
	b	Gross sales price for all assets on line 6a 4,949,080				
	7	Capital gain net income (from Part IV, line 2)		4,947,008		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	3,350,791	3,347,856		
	12	**Total.** Add lines 1 through 11	10,129,784	10,126,849		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	664,979	152,820		512,159
	14	Other employee salaries and wages	223,734	0		223,734
	15	Pension plans, employee benefits	126,259	8,495		118,810
	16a	Legal fees (attach schedule)	35,696	0		14,866
	b	Accounting fees (attach schedule)	101,178	0		96,178
	c	Other professional fees (attach schedule)	540,669	656,964		0
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	108,403	2,461		38,942
	19	Depreciation (attach schedule) and depletion	102,591	0		
	20	Occupancy	217,969	110,816		107,153
	21	Travel, conferences, and meetings	44,382	0		44,785
	22	Printing and publications				
	23	Other expenses (attach schedule)	271,971	314,947		273,919
	24	**Total operating and administrative expenses.** Add lines 13 through 23	2,437,831	1,246,503		1,430,546
	25	Contributions, gifts, grants paid	13,737,450			6,637,820
	26	**Total expenses and disbursements.** Add lines 24 and 25	16,175,281	1,246,503		8,068,366
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-6,045,497			
	b	**Net investment income** (if negative, enter -0-)		8,880,346		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	623,740	870,277	870,277
	2 Savings and temporary cash investments	3,197,963	418,584	418,584
	3 Accounts receivable ▶ 2,104,694			
	Less allowance for doubtful accounts ▶	32,174	2,104,694	2,104,694
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	125,824	100,641	100,641
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	133,399,413	143,596,108	143,596,108
	14 Land, buildings, and equipment basis ▶ 1,263,245			
	Less accumulated depreciation (attach schedule) ▶ 346,671	1,010,528	916,574	916,574
	15 Other assets (describe ▶)	13,206	15,807	15,807
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	138,402,848	148,022,685	148,022,685
Liabilities	17 Accounts payable and accrued expenses	276,310	160,806	
	18 Grants payable	2,814,560	9,914,190	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)	290,042	262,249	
	23 **Total liabilities** (add lines 17 through 22)	3,380,912	10,337,245	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	135,021,936	137,685,440	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see page 17 of the instructions)	135,021,936	137,685,440	
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	138,402,848	148,022,685	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	135,021,936
2	Enter amount from Part I, line 27a	2	-6,045,497
3	Other increases not included in line 2 (itemize) ▶	3	8,709,001
4	Add lines 1, 2, and 3	4	137,685,440
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	137,685,440

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	4,947,008
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	6,528,999	129,830,717	0 050289
2011	5,679,811	127,465,129	0 044560
2010	5,289,596	119,080,697	0 044420
2009	3,005,045	110,189,465	0 027272
2008	2,958,973	102,755,169	0 028796

2	**Total** of line 1, column (d).	2	0 195337
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 039067
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	140,580,062
5	Multiply line 4 by line 3.	5	5,492,041
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	88,803
7	Add lines 5 and 6.	7	5,580,844
8	Enter qualifying distributions from Part XII, line 4.	8	8,068,366

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ___________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	88,803
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	88,803
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	88,803
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	170,000		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	170,000
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	81,197
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 81,197 **Refunded** ▶			11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	If the answer is "Yes" to ***1a*** *or* ***1b,*** *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶WWW HAUOLIMAULOA ORG

14 The books are in care of ▶THE ORGANIZATION Telephone no ▶(808) 545-4212

Located at ▶701 BISHOP STREET HONOLULU HI ZIP+4 ▶968134814

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
HANS BERTRAM-NOTHNAGEL C/O DEBEVOISE PLIMPTON LLP 919 THIRD AVENUE NEW YORK, NY 10022	PRESIDENT & DIRECTOR 8 00	152,594	0	0
WAYNE M PITLUCK 701 BISHOP STREET HONOLULU, HI 96813	VICE PRESIDENT & DIRECTOR 6 00	157,068	0	0
ANELA SHIMIZU 701 BISHOP STREET HONOLULU, NY 96813	ASST SECRETARY 40 00	89,000	25,105	0
JANIS A REISCHMANN 701 BISHOP STREET HONOLULU, HI 96813	SECRETARY & EXEC DIRECTOR 40 00	266,317	39,056	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
BRANT H CHILLINGWORTH 701 BISHOP STREET HONOLULU, HI 96813	SENIOR PROGRAM OFFIC 40 00	114,334	27,623	0
KEAHI E MAKAIMOKU 701 BISHOP STREET HONOLULU, HI 96813	PROGRAM ASSOCIATE 40 00	58,853	20,250	0

Total number of other employees paid over $50,000 ▶ 3

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
BALL BAKER LEAKE LLP 122 EAST 42 STREET NEW YORK, NY 10168	AUDIT AND TAX	96,178
Total number of others receiving over $50,000 for professional services. . . . . ▶		1

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	0
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0
2	
All other program-related investments See page 24 of the instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	141,070,905
b	Average of monthly cash balances		1b	1,649,970
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	142,720,875
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	142,720,875
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	2,140,813
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	140,580,062
6	**Minimum investment return.** Enter 5% of line 5		6	7,029,003

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	7,029,003
2a	Tax on investment income for 2013 from Part VI, line 5	2a 88,803		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	88,803
3	Distributable amount before adjustments Subtract line 2c from line 1		3	6,940,200
4	Recoveries of amounts treated as qualifying distributions		4	0
5	Add lines 3 and 4		5	6,940,200
6	Deduction from distributable amount (see instructions)		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	6,940,200

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	8,068,366
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	8,068,366
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	88,803
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	7,979,563

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				6,940,200
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			2,366,729	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 8,068,366				
a Applied to 2012, but not more than line 2a			2,366,729	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				5,701,637
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				1,238,563
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				6,637,820
b *Approved for future payment* See Additional Data Table				
Total . . . . ▶ **3b**				9,914,190

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	76	
4 Dividends and interest from securities. . . .			14	1,777,181	
5 Net rental income or (loss) from real estate					
a Debt-financed property.			16	1,722	
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	3,347,856	
8 Gain or (loss) from sales of assets other than inventory			18	4,947,008	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a UBIT INCOME FR LTD PARTNERSHIPS	525990	2,935			
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		2,935		10,073,843	0

13 **Total.** Add line 12, columns (b), (d), and (e). 13 10,076,778

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
11	THE FOUNDATION GENERATED UNRELATED BUSINESS TAXABLE INCOME (UBIT) FROM
11	INVESTMENTS IN PARTNERSHIPS

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2014-10-27	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
JANICE PAGE CPA		2014-10-27		P00059862
Firm's name ▶ BALL BAKER LEAKE LLC			Firm's EIN ▶ 14-1845945	
Firm's address ▶ 122 E 42 STREET 810 NEW YORK, NY 10168			Phone no (212) 661-1630	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
STRATEGIC PRIVATE EQUITY FUND III, LP	P		
STRATEGIC PRIVATE EQUITY FUND III, LP	P		
STRATEGIC PRIVATE EQUITY FUND III, LP	P		
STRATEGIC PRIVATE EQUITY FUND III, LP	P		
STRATEGIC PRIVATE EQUITY FUND II, LLC	P		
STRATEGIC PRIVATE EQUITY FUND II, LLC	P		
STRATEGIC PRIVATE EQUITY FUND II, LLC	P		
STRATEGIC GLOBAL BALANCED INST TRUST I	P		
STRATEGIC GLOBAL BALANCED INST TRUST I	P		
STRATEGIC GLOBAL BALANCED INST TRUST I	P		
STRATEGIC GLOBAL BALANCED INST TRUST I	P		
SALE OF HANAPEPE HOUSE	D		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
10,616			10,616
545,652			545,652
3,850			3,850
		191	-191
		1,881	-1,881
323,051			323,051
10,577			10,577
32,654			32,654
1,044,492			1,044,492
103,478			103,478
2,871,350			2,871,350
3,360			3,360

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			10,616
			545,652
			3,850
			-191
			-1,881
			323,051
			10,577
			32,654
			1,044,492
			103,478
			2,871,350
			3,360

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
'AHA PUNANA LEO 96 PUUHONU PLACE HILO,HI 96720	N/A	NON-PROFIT	HOPE FOR KIDS TO DEVELOP/IMPLEMENT AGE APPROPRIATE MALA CURRICULUM	220,000
ALOHA HARVEST INC 3599 WAIALAE AVENUE SUITE 23 HONOLULU,HI 96816	N/A	NON-PROFIT	FIRST GENERATION OPERATING SUPPORT	300,000
BISHOP MUSEUM 1525 BERNICE STREET HONOLULU,HI 96817	N/A	NON-PROFIT	ENVIRONMENTAL CGAPS STATE BIOFUELING RISK ASSESSMENT	20,000
CHILD AND FAMILY SERVICE 2970 KELE STREET STE 203 LIHUE,HI 96766	N/A	NON-PROFIT	SAFETY NET OPERATING SUPPORT	50,000
DOCTORS WITHOUT BORDERS 333 7TH AVENUE 2ND FL NEW YORK,NY 10001	N/A	NON-PROFIT	HUMANITARIAN RELIEF EMERGENCY RELIEF FUND	425,000
FRIENDS OF THE FUTURE (NA KAHUMOKU) 64-1032 MAMALAHOA HIGHWAY KAMUELA,HI 96743	N/A	NON-PROFIT	HOPE FOR KIDS TO EXPAND AND ENHANCE NA KAHUMOKU ECO-LEADERSHIP	110,000
GLOBAL GREEN GRANTS 2840 WILDERNESS PLACE BOULDER,CO 80301	N/A	NON-PROFIT	ENVIRONMENT FOR GRASSROOTS ENVIRONMENTAL EFFORTS IN THE GLOBAL SOUTH	125,000
HALE KIPA INC 615 PIIKOI STREET HONOLULU,HI 96814	N/A	NON-PROFIT	FIRST GENERATION FOR ORGANIZATIONAL DEVELOPMENT AND CASH FLOW	271,392
HAWAI'I ALLIANCE OF NONPROFIT ORGANIZATIONS AKA HANO 33 SOUTH KING ST STE 501 HONOLULU,HI 96813	N/A	NON-PROFIT	OPERATING SUPPORT	50,000
HAWAI'I CONSERVATION ALLIANCE FOUNDATION 677 ALA MOANA BLVD SUITE 320 HONOLULU,HI 96813	N/A	NON-PROFIT	ENVIRONMENT VARIOUS GRANTS	170,170
HAWAI'I ISLAND COMMUNITY DEVELOPMENT CORP 100 PAUAHI STREET HILO,HI 96720	N/A	NON-PROFIT	TO CONSTRUCT AFFORDABLE SELF-HELP HOMES FOR QUALIFIED LOW/MODERATE INCOME FAMILIES	1,465,500
HAWAI'I NATURE CENTER INC 2131 MAKIKI HEIGHTS DRIVE HONOLULU,HI 96822	N/A	NON-PROFIT	FIRST GENERATION ENABLE LOW-INCOME ELEMENTARY STUDENTS TO PARTICIPATE IN PROGRAMS	250,000
HO'ALA SCHOOL 1067 CALIFORNIA AVE A WAHIAWA,HI 96786	N/A	NON-PROFIT	FIRST GENERATION TUITION SCHOLARSHIP	60,000
HOA'AINA O MAKAHA 84-766 LAHAINA ST WAIANAE,HI 96792	N/A	NON-PROFIT	HOPE FOR KIDS FOR PROGRAM AND CAPITAL ENHANCEMENTS	137,500
HUI MALAMA O KE KAI FOUNDATION 41-1537 KALANIANA OLE HWY STE 201B WAIMANALO,HI 96795	N/A	NON-PROFIT	HOPE FOR KIDS FOR PROGRAM ENHANCEMENTS	150,000
Total . . . . ▶ 3a				6,637,820

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
KA HALE A KE OLA HOMELESS RESOURCE CENTER 670 WAIALE ROAD WAILUKU,HI 96793	N/A	NON-PROFIT	SAFETY NET OPERATING SUPPORT	50,000
KA'ALA FARM INC PO BOX 630 WAIANAE,HI 96792	N/A	NON-PROFIT	HOPE FOR KIDS TO EXPAND AND ENHANCE WORK WITH THE NATURAL RESOURCES ACADEMY	110,000
KAUAI HOUSING DEVELOPMENT CORPORATION 2955 AUKELE STREET SUITE 203 LIHUE,HI 96766	N/A	NON-PROFIT	RETURN OF UNUSED MONEY HOUSING PROJECT WAS NOT FEASIBLE IN SELECTED LOCATION	-246,994
KHM INTERNATIONAL AKA KA HONUA MOMONA PO BOX 482188 KAUNAKAKAI,HI 96748	N/A	NON-PROFIT	HOPE FOR KIDS TO HELP THE ORGANIZATION BUILD CAPACITY	100,000
KOKUA KALIHI VALLEY 2239 N SCHOOL ST HONOLULU,HI 96819	N/A	NON-PROFIT	HOPE FOR KIDS PROGRAM AND CAPITAL ENHANCEMENTS	165,000
KUPU 4211 WAIALAE AVE STE 1020 HONOLULU,HI 96816	N/A	NON-PROFIT	ENVIRONMENT AND HOPE FOR KIDS VARIOUS GRANTS	389,260
MA KA HANA KA 'IKE BUILDING PROGRAM PO BOX 968 HANA,HI 96713	N/A	NON-PROFIT	HOPE FOR KIDS BUILDING PROGRAM	185,000
MAUI FOOD BANK 760 KOLU STREET WAILUKU,HI 96793	N/A	NON-PROFIT	SAFETY NET OPERATING SUPPORT	55,000
NATIONAL TROPICAL BOTANICAL GARDEN 3530 PAPALINA ROAD KALAHEO,HI 96741	N/A	NON-PROFIT	ENVIRONMENT GERMPLASM FACILITIES PLANNING	80,000
ROMAN CATHOLIC CHURCH STATE OF HAWAII 140 B HOLOMUA ST HILO,HI 96820	N/A	NON-PROFIT	SAFETY NET GENERAL OPERATING SUPPORT FOR THE OFFICE OF SOCIAL MINISTRIES	90,000
SAVE THE CHILDREN 54 WILTON ROAD WESTPORT,CT 06880	N/A	NON-PROFIT	HUMANITARIAN RELIEF COORDINATED EFFORTS FOR DISASTER RESPONSE & RISK REDUCTION	425,000
STATE OF HAWAI'I DEPARTMENT OF EDUCATION 3060 EIWA ST LIHUE,HI 96766	N/A	NON-PROFIT	FIRST GENERATION RESOURCE TEACHER AT KOKE'E DISCOVERY CENTER	70,000
STORYBOOK THEATRE OF HAWAII 3814 HANNAPEPE ROAD PO BOX 820 HANAPEPE,HI 96716	N/A	NON-PROFIT	FIRST GENERATION OPERATING SUPPORT	30,000
THE KOHALA CENTER INC PO BOX 437462 KAMUELA,HI 96743	N/A	NON-PROFIT	HOPE FOR KIDS EXPAND/ENHANCE SCHOOL GARDEN NETWORK	152,500
THE NATURE CONSERVANCY 923 NUUANU AVENUE HONOLULU,HI 96817	N/A	NON-PROFIT	ENVIRONMENT MARINE FELLOWS	100,000
Total . . . . ▶ **3a**				6,637,820

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TRI-ISLE RESOURCE CONSERVATION & DEVELOPMENT COUNCIL 244 PAPA PLACE HONOLULU, HI 96817	N/A	NON-PROFIT	ENVIRONMENT VARIOUS ENVIRONMENTAL GRANTS	108,292
UNIVERSITY OF HAWAI'I 2444 DOLE STREET HONOLULU, HI 96822	N/A	NON-PROFIT	ENVIRONMENTAL CGAPS PREVENTION PROJECTS	68,767
UNIVERSITY OF HAWAI'I AT HILO 640 NORTH AOHOKU STREET SUITE 105 HILO, HI 96720	N/A	NON-PROFIT	ENVIRONMNET PACIFIC ISLAND PROGRAMS FOR EXPLORING SCIENCE INTERNSHIP PROJECT	100,000
UNIVERSITY OF HAWAI'I FOUNDATION 2444 DOLE STREET HONOLULU, HI 96828	N/A	NON-PROFIT	ENVIRONMENT VARIOUS GRANTS	663,933
WAIPA FOUNDATION PO BOX 1189 HANALEI, HI 96714	N/A	NON-PROFIT	HOPE FOR KIDS EXPANSION OF THE YOUTH OUTREACH	137,500
Total . . . . ▶ **3a**				6,637,820

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Form **4562**
Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172
2013
Attachment Sequence No **179**

Name(s) shown on return	Business or activity to which this form relates	Identifying number
HAU'OLI MAU LOA FOUNDATION	FORM 990-PF PAGE 1	13-3588071

Part I Election To Expense Certain Property Under Section 179
Note: *If you have any listed property, complete Part V before you complete Part I.*

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014 Add lines 9 and 10, less line 12 ▶	13	

Note: *Do not use Part II or Part III below for listed property. Instead, use Part V.*

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property **)** (See instructions)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property.**)** (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	101,444
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B—Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property		1,859	5 0	HY	S/L	620
c 7-year property		2,460	7 0	HY	S/L	527
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (see instructions.)

21	Listed property Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	102,591
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V **Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)
Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete* ***only*** *24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.*

Section A—Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles.***)**

24a Do you have evidence to support the business/investment use claimed? Yes No | **24b** If "Yes," is the evidence written? Yes No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation/ deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)						**25**		
26 Property used more than 50% in a qualified business use								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use								
		%				S/L -		
		%				S/L -		
		%				S/L -		

28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1 . . . **28**

29 Add amounts in column (i), line 26 Enter here and on line 7, page 1 . . . . **29**

Section B—Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person
If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle 1		(b) Vehicle 2		(c) Vehicle 3		(d) Vehicle 4		(e) Vehicle 5		(f) Vehicle 6	
30 Total business/investment miles driven during the year (**do not** include commuting miles) . . .												
31 Total commuting miles driven during the year .												
32 Total other personal(noncommuting) miles driven												
33 Total miles driven during the year Add lines 30 through 32												
	Yes	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use? .												

Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions)

	Yes	**No**
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners . . .		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions)		

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles

Part VI **Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2013 tax year (see instructions)					
COMPUTER SOFTWARE	2013-06-24	3,229		5M	628

43 Amortization of costs that began before your 2013 tax year **43**

44 Total. Add amounts in column (f) See the instructions for where to report **44** 628

TY 2013 Accounting Fees Schedule

Name: HAU'OLI MAU LOA FOUNDATION

EIN: 13-3588071

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BALL BAKER LEAKE LLP	91,989	0		86,989
AKAMINE OYADOMARI & KOSAKI CPAS INC	9,189	0		9,189

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Amortization Schedule

Name: HAU'OLI MAU LOA FOUNDATION

EIN: 13-3588071

Description of Amortized Expenses	Date Acquired, Completed, or Expended	Amount Amortized	Deduction for Prior Years	Amortization Method	Current Year Amortization	Net Investment Income	Adjusted Net Income	Total Amount of Amortization
COMPUTER SOFTWARE	2013-06-24	3,229		5 000000000000	628	0		628

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: HAU'OLI MAU LOA FOUNDATION

EIN: 13-3588071

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
EQUIPMENT	2008-12-15	8,945	7,686	SL	5 000000000000	1,259	0		
FURNITURE & FIXTURE	2008-11-21	1,274	750	SL	7 000000000000	182	0		
EQUIPMENT	2009-09-02	3,011	2,208	SL	5 000000000000	602	0		
LEASEHOLD IMPROVEMENTS	2010-06-01	1,093,444	181,899	SL	15 000000000000	77,674	0		
FURNITURE & FIXTURE	2010-06-15	93,377	34,906	SL	7 000000000000	14,716	0		
EQUIPMENT	2010-06-15	24,980	13,530	SL	5 000000000000	6,104	0		
LEASEHOLD IMPROVEMENTS	2011-07-01	6,873	622	SL	15 000000000000	458	0		
EQUIPMENT	2011-12-29	2,247	449	SL	5 000000000000	449	0		
COMPUTER	2013-03-06	3,718		SL	5 000000000000	620	0		
FURNITURE & FIXTURE	2013-04-17	4,920		SL	7 000000000000	527	0		

TY 2013 Investments - Other Schedule

Name: HAU'OLI MAU LOA FOUNDATION

EIN: 13-3588071

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
STRATEGIC PRIVATE EQUITY FD II, LLC	AT COST	4,485,390	4,485,390
GLOBAL BALANCED INST TRUST I	AT COST	132,768,803	132,768,803
STRATEGIC PRIVATE EQUITY FD III, LP	AT COST	6,341,850	6,341,850
NORTHERN TRUST #9285	AT COST	65	65

TY 2013 Land, Etc. Schedule

Name: HAU'OLI MAU LOA FOUNDATION

EIN: 13-3588071

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
EQUIPMENT	8,945	8,945	0	
FURNITURE & FIXTURE	1,274	932	342	
EQUIPMENT	3,011	2,810	201	
LEASEHOLD IMPROVEMENTS	1,093,444	259,573	833,871	
FURNITURE & FIXTURE	93,377	49,622	43,755	
EQUIPMENT	24,980	19,634	5,346	
LEASEHOLD IMPROVEMENTS	6,873	1,080	5,793	
EQUIPMENT	2,247	898	1,349	
COMPUTER	3,718	620	3,098	
FURNITURE & FIXTURE	4,920	527	4,393	
COMPUTER SOFTWARE	3,229	628	2,601	

TY 2013 Legal Fees Schedule

Name: HAU'OLI MAU LOA FOUNDATION

EIN: 13-3588071

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DEBEVOISE & PLIMPTON LLP	21,383	0		5,553
PITLUCK KIDO & AIPA LLLP	773	0		773
CADES SCHUTTE LLP	4,188	0		4,188
GOODSILL ANDERSON QUINN & STIFEL	7,007	0		2,007
BRYAN CAVE LLP	2,345	0		2,345

TY 2013 Other Assets Schedule

Name: HAU'OLI MAU LOA FOUNDATION

EIN: 13-3588071

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
SECURITY DEPOSIT	13,206	13,206	13,206
SOFTWARE	0	2,601	2,601

TY 2013 Other Expenses Schedule

Name: HAU'OLI MAU LOA FOUNDATION

EIN: 13-3588071

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROGRAM EXPENSES	132,451	0		132,451
DELAWARE FRANCHISE TAX	786	778		0
OFFICE SUPPLIES	3,142	0		3,142
PROPERTY EXPENSE	-773	0		-773
UTILITIES	11,536	3,692		7,844
REPAIRS AND MAINTENANCE	5,804	0		5,804
ADMINISTRATIVE EXPENSES	76,990	0		84,044
CONSULTING EXPENSE	41,003	0		41,003
STRATEGIC PRIVATE EQUITY FUND II LLC	0	83,033		0
STRATEGIC PRIVATE EQUITY FUND III LP	0	227,444		0
POSTAGE AND DELIVERY	404	0		404
AMORTIZATION	628	0		0

TY 2013 Other Income Schedule

Name: HAU'OLI MAU LOA FOUNDATION

EIN: 13-3588071

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
STRATEGIC PRIVATE EQUITY FUND III LP	115,388	115,388	115,388
STRATEGIC GLOBAL BALANCED INSTITUTIONAL TRUST I	864	864	864
STRATEGIC GLOBAL BALANCED INSTITUTIONAL TRUST I	3,539,569	3,539,569	3,539,569
STRATEGIC PRIVATE EQUITY FUND II LP	-83,033	-83,033	-83,033
SPEF II (UBIT ADDBACK)	2,512	2,512	2,512
STRATEGIC PRIVATE EQUITY FUND III LP	-227,444	-227,444	-227,444
UBIT INCOME FR LTD PARTNERSHIPS	2,935		2,935

TY 2013 Other Increases Schedule

Name: HAU'OLI MAU LOA FOUNDATION

EIN: 13-3588071

Description	Amount
UNREALIZED GAIN	8,709,001

TY 2013 Other Liabilities Schedule

Name: HAU'OLI MAU LOA FOUNDATION

EIN: 13-3588071

Description	Beginning of Year - Book Value	End of Year - Book Value
PENSION PLAN PAYABLE	2,073	0
DEFERRED RENT BENEFITS	54,969	59,249
DEFERRED EXCISE TAX PAYABLE	233,000	203,000

TY 2013 Other Professional Fees Schedule

Name: HAU'OLI MAU LOA FOUNDATION

EIN: 13-3588071

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMT FEES	540,669	656,964		0

TY 2013 Taxes Schedule

Name: HAU'OLI MAU LOA FOUNDATION

EIN: 13-3588071

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	67,000	0		0
PAYROLL TAXES	41,403	2,461		38,942