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**Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation**

2013

Department of the Treasury
Internal Revenue Service

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013, or tax year beginning , 2013, and ending ,

GERDA LISSNER FOUNDATION INC.
15 EAST 65TH STREET 4 FL
NEW YORK, NY 10065-6501

A Employer identification number
13-3566516

B Telephone number (see the instructions)
212-826-6100

G Check all that apply Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

C If exemption application is pending, check here

D 1 Foreign organizations, check here

2 Foreign organizations meeting the 85% test, check here and attach computation

H Check type of organization Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ▶ \$ 9,400,962.
J Accounting method Cash Accrual
 Other (specify) (Part I, column (d) must be on cash basis)

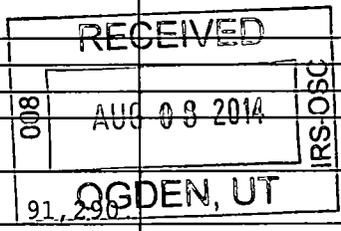
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (att sch)	200.			
	2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	46,043.	46,043.		
	4 Dividends and interest from securities <i>ST/B</i>	172,207.	172,207.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	335,569.			
	b Gross sales price for all assets on line 6a 3,705,308.				
	7 Capital gain net income (from Part IV, line 2) <i>ST/D+15</i>		335,569.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	554,019.	553,819.	0.		
ADMINISTRATIVE AND EXPENSES	13 Compensation of officers, directors, trustees, etc.	104,923.	5,246.		99,677.
	14 Other employee salaries and wages	57,623.			57,623.
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) SEE ST 1	7,844.			7,844.
	b Accounting fees (attach sch) SEE ST 2	29,059.	2,906.		26,153.
	c Other prof fees (attach sch) SEE ST 3	87,544.	81,544.		6,000.
	17 Interest				
	18 Taxes (attach schedule)(see instrs) SEE STM 4	15,826.	1,594.		10,232.
	19 Depreciation (attach sch) and depletion SEE STMT 5	199.			
	20 Occupancy	119,211.			119,211.
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) SEE STATEMENT 6	156,385.			156,385.	
24 Total operating and administrative expenses. Add lines 13 through 23	578,614.	91,290.		483,125.	
25 Contributions, gifts, grants paid PART XV	330,500.			330,500.	
26 Total expenses and disbursements. Add lines 24 and 25	909,114.	91,290.	0.	813,625.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-355,095.				
b Net investment income (if negative, enter -0)		462,529.			
c Adjusted net income (if negative, enter -0)			0.		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
ASSETS	1	Cash – non-interest-bearing		37,313.	13,483.	13,483.	
	2	Savings and temporary cash investments		738,478.	834,224.	834,224.	
	3	Accounts receivable ▶					
		Less allowance for doubtful accounts ▶					
	4	Pledges receivable ▶					
		Less allowance for doubtful accounts ▶					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach sch) ▶					
		Less allowance for doubtful accounts ▶					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges			21,062.	18,200.	18,200.
	10a	Investments – U S and state government obligations (attach schedule)					
		b Investments – corporate stock (attach schedule) <i>SEE ST 17</i>			3,156,246.	3,295,756.	4,174,993.
		c Investments – corporate bonds (attach schedule) <i>SEE ST 18</i>			4,654,671.	4,299,372.	4,345,812.
	11	Investments – land, buildings, and equipment basis ▶					
	Less accumulated depreciation (attach schedule) ▶						
12	Investments – mortgage loans						
13	Investments – other (attach schedule)			191,199.			
14	Land, buildings, and equipment basis ▶	11,951.					
	Less accumulated depreciation (attach schedule) <i>SEE STMT 7</i> ▶	11,951.		199.			
15	Other assets (describe ▶ <i>SEE STATEMENT 8</i>)			14,345.	14,250.	14,250.	
16	Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)			8,813,513.	8,475,285.	9,400,962.	
LIABILITIES	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, & other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ <i>SEE STATEMENT 9</i>)			1,043.	17,910.	
	23	Total liabilities (add lines 17 through 22)			1,043.	17,910.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>						
	27	Capital stock, trust principal, or current funds			8,812,470.	8,457,375.	
	28	Paid-in or capital surplus, or land, building, and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
30	Total net assets or fund balances (see instructions)			8,812,470.	8,457,375.		
31	Total liabilities and net assets/fund balances (see instructions)			8,813,513.	8,475,285.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 8,812,470.
2	Enter amount from Part I, line 27a	2 -355,095.
3	Other increases not included in line 2 (itemize) ▶	3
4	Add lines 1, 2, and 3	4 8,457,375.
5	Decreases not included in line 2 (itemize) ▶	5
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6 8,457,375.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 10 ✓			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	335,569.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8]	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	843,899.	9,199,356.	0.091735
2011	1,112,963.	10,427,039.	0.106738
2010	879,623.	11,136,448.	0.078986
2009	843,945.	9,959,391.	0.084739
2008	781,284.	13,395,258.	0.058325
2 Total of line 1, column (d)			0.420523
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.084105
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			8,698,511.
5 Multiply line 4 by line 3			731,588.
6 Enter 1% of net investment income (1% of Part I, line 27b)			4,625.
7 Add lines 5 and 6			736,213.
8 Enter qualifying distributions from Part XII, line 4			813,625.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter. _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	4,625.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	4,625.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	4,625.
6 Credits/Payments			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	8,833.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c	5,000.	
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d	7	13,833.	
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	5.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	9,203.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ▶ \$ 0. (2) On foundation managers ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY DE		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>WWW.GERDALISSNER.COM</u>	13	X	
14	The books are in care of <u>IRA L. WEINER CPA</u> Telephone no <u>732 536-6653</u> Located at <u>710 TENNENT ROAD, STE 102 MANALAPAN NJ</u> ZIP + 4 <u>07726</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1 a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1 b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u>		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3 b	N/A
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d) N/A

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

	5 b	N/A
6 b		X
7 b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL A. FORNABAIO 420 EAST 64TH STREET NEW YORK, NY 10065	VP/TREASURER 5.00	11,000.	0.	0.
DOROTHY MOORE 2403 WINFROW DRIVE PRINCETON, NJ 08540	TRUSTEE 5.00	11,000.	0.	0.
BARBARA TESTA 870 UNITED NATIONS PLAZA NEW YORK, NY 10017	TRUSTEE 5.00	11,000.	0.	0.
STEPHEN DE MAIO 110 EAST 36TH STREET NEW YORK, NY 10016	PRESIDENT 20.00	71,923.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a Average monthly fair market value of securities	1 a	8,777,755.
b Average of monthly cash balances	1 b	43,221.
c Fair market value of all other assets (see instructions)	1 c	10,000.
d Total (add lines 1a, b, and c)	1 d	8,830,976.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	8,830,976.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	132,465.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,698,511.
6 Minimum investment return. Enter 5% of line 5	6	434,926.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	434,926.
2 a Tax on investment income for 2013 from Part VI, line 5	2 a	4,625.
b Income tax for 2013 (This does not include the tax from Part VI)	2 b	
c Add lines 2a and 2b	2 c	4,625.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	430,301.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	430,301.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	430,301.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1 a	813,625.
b Program-related investments – total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	813,625.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	4,625.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	809,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				430,301.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010	229,147.			
d From 2011	596,601.			
e From 2012	394,659.			
f Total of lines 3a through e	1,220,407.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 813,625.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2013 distributable amount				430,301.
e Remaining amount distributed out of corpus	383,324.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,603,731.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount – see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	1,603,731.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010	229,147.			
c Excess from 2011	596,601.			
d Excess from 2012	394,659.			
e Excess from 2013	383,324.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

SEE STATEMENT 11

b The form in which applications should be submitted and information and materials they should include.

SEE STATEMENT FOR LINE 2A

c Any submission deadlines

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT FOR LINE 2A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><i>a Paid during the year</i> SEE STATEMENT 12</p>				
Total				▶ 3 a 330,500.
<p><i>b Approved for future payment</i></p>				
Total				▶ 3 b

GERDA LISSNER FOUNDATION INC.

13-3566516

STATEMENT 1
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP	\$ 7,844.			\$ 7,844.
TOTAL	<u>\$ 7,844.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 7,844.</u>

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
IRA L WEINER CPA	\$ 29,059.	\$ 2,906.		\$ 26,153.
TOTAL	<u>\$ 29,059.</u>	<u>\$ 2,906.</u>	<u>\$ 0.</u>	<u>\$ 26,153.</u>

STATEMENT 3
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AMORTIZATION OF BOND PREMIUMS	\$ 6,372.	\$ 6,372.		
CONSULTING FEES	6,000.			\$ 6,000.
INV ADV FEES - SEE STMNT 19	71,894.	71,894.		
OTHER INVESTMENT FEES	3,278.	3,278.		
TOTAL	<u>\$ 87,544.</u>	<u>\$ 81,544.</u>	<u>\$ 0.</u>	<u>\$ 6,000.</u>

STATEMENT 4
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES	\$ 4,000.			
FOREIGN TAXES	1,594.	\$ 1,594.		
PAYROLL TAXES	10,232.			\$ 10,232.
TOTAL	<u>\$ 15,826.</u>	<u>\$ 1,594.</u>	<u>\$ 0.</u>	<u>\$ 10,232.</u>

GERDA LISSNER FOUNDATION INC.

13-3566516

STATEMENT 5
FORM 990-PF, PART I, LINE 19
ALLOCATED DEPRECIATION

<u>DATE ACQUIRED</u>	<u>COST BASIS</u>	<u>PRIOR YR DEPR</u>	<u>METHOD</u>	<u>RATE</u>	<u>LIFE</u>	<u>CURRENT YR DEPR</u>	<u>NET INVEST INCOME</u>	<u>ADJUSTED NET INCOME</u>
OFFICE EQUIPMENT - COPIER								
2/12/08	1,349	1,271	200DB	0.0576		78	0	0
COMPUTER EQUIPMENT								
5/01/08	2,114	1,993	200DB	0.0576		121	0	0

STATEMENT 6
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	<u>(A)</u> <u>EXPENSES</u> <u>PER BOOKS</u>	<u>(B) NET</u> <u>INVESTMENT</u> <u>INCOME</u>	<u>(C)</u> <u>ADJUSTED</u> <u>NET INCOME</u>	<u>(D)</u> <u>CHARITABLE</u> <u>PURPOSES</u>
ADVERTISING AND PROMOTIONS	\$ 15,282.			\$ 15,282.
BOARD MEETING EXPENSES	936.			936.
COMPUTER RELATED EXPENSES	1,110.			1,110.
COPYING AND REPRODUCTION COSTS	3,443.			3,443.
DUES AND SUBSCRIPTIONS	1,684.			1,684.
FILING FEES	676.			676.
GRANTEE RELATED EXPENSES - COMPETITION	22,817.			22,817.
INSURANCE - DIRECTORS' LIABILITY	3,511.			3,511.
INSURANCE - EMPL HEALTH	4,607.			4,607.
INSURANCE - GENERAL	2,944.			2,944.
INSURANCE - W/COMP	925.			925.
INTERNET ACCESS AND CABLE	2,810.			2,810.
LOCAL TRAVEL	1,338.			1,338.
MISCELLANEOUS COSTS	950.			950.
OFFICE EXPENSE AND SUPPLIES	6,784.			6,784.
OPERA EVENTS, FUNCTIONS AND TICKETS	8,939.			8,939.
PAYROLL ADMIN COSTS - PAYCHEX	2,369.			2,369.
POSTAGE AND DELIVERY	1,435.			1,435.
SPEC EVENT - 2013 CONCERT AND RECEPTION	63,378.			63,378.
SPECIAL EVENT - 2013 ARTISTS IN CONCERT	2,550.			2,550.
TELEPHONE	3,258.			3,258.
VOICE LESSONS	400.			400.
WEB-SITE COSTS	4,239.			4,239.
TOTAL	\$ 156,385.	\$ 0.	\$ 0.	\$ 156,385.

GERDA LISSNER FOUNDATION INC.

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STATEMENT 7
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
MACHINERY AND EQUIPMENT	\$ 11,951.	\$ 11,951.	\$ 0.	\$ 0.
TOTAL	\$ 11,951.	\$ 11,951.	\$ 0.	\$ 0.

STATEMENT 8
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
SECURITY DEPOSIT - RENT	\$ 14,250.	\$ 14,250.
TOTAL	\$ 14,250.	\$ 14,250.

STATEMENT 9
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

CREDIT CARDS PAYABLE	\$ 648.
SECURIT DEPOSIT PAYABLE	17,262.
TOTAL	\$ 17,910.

STATEMENT 10
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	LONG-TEM CAP GAIN DISTRIB - SEE STMNT 15	PURCHASED	VARIOUS	VARIOUS
2	LONG-TERM CAP GAINS - SEE STMNT 15, 15A	PURCHASED	VARIOUS	VARIOUS
3	SHORT-TERM CAP GAINS -SEE STMNT 15, 15A	PURCHASED	VARIOUS	VARIOUS
4	SHORT-TERM CAP GAINS -SEE STMNT 15, 15B	PURCHASED	VARIOUS	VARIOUS
5	NET LONG TERM GAINS - SEE STMNT 15, 15B	PURCHASED	VARIOUS	VARIOUS
6	SHORT-TERM CAP GAINS -SEE STMNT 15, 15C	PURCHASED	VARIOUS	VARIOUS
7	NET LONG TERM GAINS - SEE STMNT 15, 15C	PURCHASED	VARIOUS	VARIOUS
8	SHORT-TERM CAP GAINS -SEE STMNT 15, 15D	PURCHASED	VARIOUS	VARIOUS
9	NET LONG TERM GAINS - SEE STMNT 15, 15D	PURCHASED	VARIOUS	VARIOUS
10	SHORT-TERM CAP GAINS -SEE STMNT 15, 15E	PURCHASED	VARIOUS	VARIOUS
11	NET LONG TERM GAINS - SEE STMNT 15, 15E	PURCHASED	VARIOUS	VARIOUS
12	SHORT-TERM CAP GAINS -SEE STMNT 15, 15F	PURCHASED	VARIOUS	VARIOUS
13	NET LONG TERM LOSS - SEE STMNT 15, 15F	PURCHASED	VARIOUS	VARIOUS
14	SHORT-TERM CAP GAINS -SEE STMNT 15, 15G	PURCHASED	VARIOUS	VARIOUS
15	SHORT-TERM CAP GAINS -SEE STMNT 15, 15G	PURCHASED	1/23/2013	12/19/2013
16	NET LONG TERM GAINS - SEE STMNT 15, 15G	PURCHASED	VARIOUS	VARIOUS
17	NET LONG TERM GAINS - SEE STMNT 15, 15G	PURCHASED	VARIOUS	VARIOUS
18	SHORT TRM CAP LOSSES - SEE STMNT 15, 15H	PURCHASED	VARIOUS	VARIOUS
19	SHORT TRM CAP LOSSES - SEE STMNT 15, 15H	PURCHASED	VARIOUS	VARIOUS

GERDA LISSNER FOUNDATION INC.

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STATEMENT 10 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
20	LONG-TERM CAP LOSS - SEE STMT 15, 15H	PURCHASED	VARIOUS	VARIOUS								
21	LONG-TERM CAP GAINS - SEE STMT 15, 15H	PURCHASED	6/28/2012	7/08/2013								
1					60,715.		0.	60,715.				\$ 60,715.
2					1778863.		1591715.	187,148.				187,148.
3					709,157.		657,123.	52,034.				52,034.
4					167,791.		162,829.	4,962.				4,962.
5					90,195.		77,809.	12,386.				12,386.
6					214,294.		209,565.	4,729.				4,729.
7					37,069.		36,838.	231.				231.
8					24,916.		21,509.	3,407.				3,407.
9					11,460.		9,067.	2,393.				2,393.
10					33,472.		25,538.	7,934.				7,934.
11					31,966.		26,723.	5,243.				5,243.
12					312,100.		304,926.	7,174.				7,174.
13					27,696.		30,669.	-2,973.				-2,973.
14					7,748.		6,929.	819.				819.
15					1,243.		826.	417.				417.
16					9,774.		6,664.	3,110.				3,110.
17					2,330.		1,516.	814.				814.
18					101,594.		120,908.	-19,314.				-19,314.
19					68,693.		65,008.	3,685.				3,685.
20					4,238.		4,748.	-510.				-510.
21					9,994.		8,829.	1,165.				1,165.
											TOTAL	\$ 335,569.

STATEMENT 11
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:
NAME: SEE APPLICATION - STMT 14
CARE OF:
STREET ADDRESS:
CITY, STATE, ZIP CODE:
TELEPHONE:
E-MAIL ADDRESS:
FORM AND CONTENT: SEE STATEMENT 14
SUBMISSION DEADLINES: NOVEMBER 1
RESTRICTIONS ON AWARDS: SEE STATEMENT 14

GERDA LISSNER FOUNDATION INC.

13-3566516

STATEMENT 12
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
THE LICIA ALBANESE-PUCCINI FOUNDATION 8 EAST 69TH STREET NEW YORK, NY 10021	NONE	501C3	CHARITABLE - GEN'L OPERATING SUPPORT	\$ 10,000.
GEORGE LONDON FOUNDATION FOR SINGERS 460 WEST 49TH STREET NEW YORK, NY 10019	NONE	501C3	CHARITABLE - GEN'L OPERATING SUPPORT	4,000.
OPERA INDEX INC P.O. BOX 237153 ANSONIA STATION NEW YORK, NY 10023	NONE	501C3	CHARITABLE - GEN'L OPERATING SUPPORT	1,000.
OPERA ORCHESTRA OF NY 344 EAST 63RD STREET, SUITE B1 NEW YORK, NY 10065	NONE	501C3	CHARITABLE - GEN'L OPERATING SUPPORT	10,000.
DEANNA BREIWICK 211 SW 196TH PLACE NORMAND PARK, WA 98166	NONE		SEE STATEMENT 13	10,000.
JONATHAN WINELL 185 WEST END AVE NEW YORK, NY 10023	NONE		SEE STATEMENT 13	5,000.
MARTINA ARROYO FOUNDATION 200 CENTRAL PARK SOUTH NEW YORK, NY 10019	NONE	501C3	CHARITABLE - GEN'L OPERATING SUPPORT	20,000.
OPERA AT FLORHAM - FDU 285 MADISON AVENUE MADISON, NJ 07961	NONE	501C3	CHARITABLE - GEN'L OPERATING SUPPORT	5,000.
AMERICAN CENTER FOR MUSICAL ARTS INC 201 WEST 72ND STREET, #3K NEW YORK, NY 10023	NONE	501C3	CHARITABLE - GEN'L OPERATING SUPPORT	20,000.
THE GUILIO GARI FOUNDATION 175 WEST 72ND STREET, STE 4F NEW YORK, NY 10023	NONE	501C3	CHARITABLE - GEN'L OPERATING SUPPORT	10,000.
INSTITUTE OF YOUNG DRAMATIC VOICES 1765 GARNET AVE, #86 SAN DIEGO, CA 92109	NONE	501C3	CHARITABLE - GEN'L OPERATING SUPPORT	15,000.

GERDA LISSNER FOUNDATION INC.

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STATEMENT 12 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
NEW TRIAD FOR COLLABORATIVE ARTS 89-12 70TH AVENUE FOREST HILLS, NY 11375	NONE	501C3	CHARITABLE - GEN'L OPERATING SUPPORT	\$ 5,000.
OPERA EXPOSURES P.O. BOX 531 STATEN ISLAND, NY 10302	NONE	501C3	CHARITABLE - GEN'L OPERATING SUPPORT	1,000.
YASMINE LEVI-ELLENTUCK CHEN STREET 4 , KARMIEL 21797 ISRAEL	NONE		SEE STATEMENT 13	5,000.
CHLOE MOORE 1531 EARL STREET PHILADELPHIA, PA 19125	NONE		SEE STATEMENT 13	10,000.
JUAN JOSE DELEON, JR. 5407 STANTON AVE, 1ST FL PITTSBURGH, PA 15206	NONE		SEE STATEMENT 13	5,000.
TAKAOKI ONISHI 60 LINCOLN CENTER PLAZA, #4 NEW YORK, NY 10023	NONE		SEE STATEMENT 13	15,000.
NORMAN GARRETT 8025 13TH STREET, #412 SILVER SPRING, MD 20910	NONE		SEE STATEMENT 13	5,000.
YI LI 8560 2ND AVE, #1109 SILVER SPRING, MD 20910.	NONE		SEE STATEMENT 13	3,000.
ADAM BONANNI 2 W HIGH STREET NAZARETH, PA 18064	NONE		SEE STATEMENT 13	5,000.
DIEGO SILVA 2120 SANSOM STREET, #2 PHILADELPHIA, PA 19103	NONE		SEE STATEMENT 13	2,000.
JOENGCHEOL CHA 2 RIVERSIDE STREET, #15 LITTLE FERRY, NJ 07643	NONE		SEE STATEMENT 13	5,000.
SYDNEY MANCASOLA 2314 A. SOUTH STREET PHILADELPHIA, PA 19146	NONE		SEE STATEMENT 13	15,000.
TOBIAS GREENHALGH 66 MOORELAND ROAD ROCHESTER, NY 14612	NONE		SEE STATEMENT 13	3,000.

GERDA LISSNER FOUNDATION INC.

13-3566516

STATEMENT 12 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
THIRTEEN 450 WEST 33RD STREET NEW YORK, NY 10001	NONE	501C3	CHARITABLE - GEN'L OPERATING SUPPORT	\$ 500.
ARTHUR LEVY 484 WEST 43RD STREET, #29B NEW YORK, NY 10036	NONE		SEE STATEMENT 13	5,000.
RUTH FALCON 400 WEST END AVENUE, #2B NEW YORK, NY 10024	NONE		SEE STATEMENT 13	5,000.
CLASSICAL LYRICS ARTS 820 WEST END AVENUE, #9B NEW YORK, NY 10025	NONE	501C3	CHARITABLE - GEN'L OPERATING SUPPORT	1,000.
MID ATLANTIC OPERA, INC. 163 MADISON AVENUE, STE# 220-49 MORRISTOWN, NJ 07960	NONE	501C3	CHARITABLE- GEN'L OPERATING SUPPORT	1,000.
TEATRO GRATTACIELO 2 RIVERSIDE DRIVE, #2C NEW YORK, NY 10023	NONE	501C3	CHARITABLE - GEN'L OPERATING SUPPORT	1,000.
ANTHONY CLARK EVANS 869 WEST BUENA AVE, #105 CHICAGO, IL 60613	NONE		SEE STATEMENT 13	15,000.
ANTHONY KALIL 2209 SOUTH MAIN STREET GOSHEN, IN 46526	NONE		SEE STATEMENT 13	5,000.
CECILIA HALL 5706 OLD STONY WAY DURHAM, NC 27705	NONE		SEE STATEMENT 13	3,000.
DOMINICK CHENES 2006 SPRUCE STREET, #6 PHILADELPHIA, PA 19103	NONE		SEE STATEMENT 13	5,000.
EMMETT O'HANLON 9 FOXHURST LANE MANHASSET, NY 11030	NONE		SEE STATEMENT 13	3,000.
EVAN HUGHES 2176 EAST VALLEY ROAD SANTA BARBARA, CA 93108	NONE		SEE STATEMENT 13	10,000.
JENNIFER JOHNSON CANO 47 FORT WASHINGTON AVE NEW YORK, NY 10032	NONE		SEE STATEMENT 13	5,000.

GERDA LISSNER FOUNDATION INC.

13-3566516

STATEMENT 12 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
JOHN HOLIDAY 1 MORNINGSIDE DR., #1404 NEW YORK, NY 10025	NONE		SEE STATEMENT 13	\$ 10,000.
MINGJIE LEI 1616 LOCUST STREET, RM 904C PHILADELPHIA, PA 19103	NONE		SEE STATEMENT 13	3,000.
SUSANNAH C. BILLER 626 EADS BLUFF ROAD NW GEORGETOWN, TN 37336	NONE		SEE STATEMENT 13	3,000.
JAMEZ MC CORKLE 5510 CHRISTIAN LANE NEW ORLEANS, LA 70126	NONE		SEE STATEMENT 13	3,000.
YING FANG P.O. BOX 273 60 LINCOLN CNTR PLAZA NEW YORK, NY 10023	NONE		SEE STATEMENT 13	10,000.
ANDREW KROES 1025 WINDHILL STREET ONALASKA, WI 54650	NONE		SEE STATEMENT 13	2,000.
BENJAMIN WAGER 1610 PELHAM AVE HAVESTOWN, PA 19083	NONE		SEE STATEMENT 13	2,000.
HEATHER PHILLIPS 2301 LOMBARD STREET, #1R PHILADELPHIA, PA 19146	NONE		SEE STATEMENT 13	2,000.
LIANA GUBERMAN 304 W. 92ND STREET, #2A NEW YORK, NY 10025	NONE		SEE STATEMENT 13	2,000.
MAYA LAHYANI 1776 SACRAMENTO STREET, #408 SAN FRANCISCO, CA 94109	NONE		SEE STATEMENT 13	2,000.
MELINDA WHITTINGTON 2301 LOMBARD STREET, #1 PHILADELPHIA, PA 19146	NONE		SEE STATEMENT 13	2,000.
RAQUEL GONZALEZ 75 WEST END AVE, C22F NEW YORK, NY 10023	NONE		SEE STATEMENT 13	2,000.

GERDA LISSNER FOUNDATION INC.

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STATEMENT 12 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
SEAN M. PLUMB 1512 PINE STREET, #2F PHILADELPHIA, PA 19102	NONE		SEE STATEMENT 13	\$ 2,000.
SEAN M. SULLIVAN 126 GRATTAN STREET, #3R BROOKLYN, NY 11237	NONE		SEE STATEMENT 13	2,000.
SHELLEY JACKSON 747 S. 17ND STREET, #2F PHILADELPHIA, PA 19146	NONE		SEE STATEMENT 13	2,000.
VANESSA ISIGUEN 3416 GORHAM GATE DR. CHARLOTTE, NC 28269	NONE		SEE STATEMENT 13	2,000.
ANDERS HAKANSSON OTTEESTENS VAG 8 , SVANESUND 47132 SWEDEN	NONE		SEE STATEMENT 13	3,000.
JENNI LATTILA TOPELIUKSENWTU 13 C22 , HELSINUI 00250 FINLAND	NONE		SEE STATEMENT 13	3,000.
MARCY STONIKAS 3404 S. 368TH PLACE AUBURN, WA 98001	NONE		SEE STATEMENT 13	10,000.
NOAH BAETGE 77 W. 104TH STREET, #5A NEW YORK, NY 10025	NONE		SEE STATEMENT 13	10,000.
ERIC OWENS 620 EAST GORGAS LANE PHILADELPHIA, PA 19119	NONE		SEE STATEMENT 13	5,000.
			TOTAL	\$ <u>330,500.</u>

GERDA LISSNER FOUNDATION INC.

13-3566516

STATEMENT 13

FORM 990-PF, PART XV

THE FOUNDATION MAKES GRANTS TO THE WINNERS OF THE ANNUAL COMPETITION AND ENCOURAGEMENT AWARDS TO A LIMITED NUMBER OF PARTICIPANTS TO PROVIDE YOUNG OPERA SINGERS WITH THE FINANCIAL SUPPORT THEY NEED TO PURSUE THEIR CRAFT AND EXCEL IN THE WORLD OF OPERA. THE TRUSTEES BELIEVE THAT THE ENCOURAGEMENT AND ASSISTANCE TO YOUNG ARTISTS TOWARD ACHIEVING THEIR GOALS IS IMPERATIVE FOR THE CONTINUATION OF THIS DEMANDING ART FORM.

The Gerda Lissner Foundation

15 East 65th Street; 4th Floor
New York, N.Y. 10065
212.826.6100
www.gerdalissner.org

International Vocal Competition Application

Please read carefully! All applicable blanks must be completed.

Full Name _____
Address _____ City _____ State _____ Zip Code _____
Telephone (Day) _____ (Evening) _____ (Cell) _____
E-mail Address _____ Fax Number _____
Date of Birth _____

A copy of passport or drivers license or birth certificate must be submitted with application
Voice classification _____ How long have you studied? _____
Education. College _____ Degree/Date _____

Present Voice Teacher _____
Address _____ Telephone _____
Past Voice Teachers _____
Vocal Coaches _____

List any recent public appearances (operatic or otherwise), mentioning dates, places and type of engagement:

I am applying for General Division (21-35 years of age only) Wagnerian Division (30-40 years of age only)

Upcoming operatic engagements. Please list _____

Have you previously auditioned for the Gerda Lissner Foundation International Vocal Competition?

Yes No What year? _____

Awards and/or Grants: _____

Union affiliation (if any): _____

If under management contract, list name and address _____

Attach two (2) signed and sealed letters of recommendation by two qualified individuals regarding your ability. (Any omission will render this application ineligible.)

Please mail this application along with a resume, photo, and a list of four arias you have selected for your audition (no changes in arias will be allowed) to The Gerda Lissner Foundation, 15 East 65th Street, 4th Floor, New York, NY 10065.

Eligible participants will be advised of the date and time for the audition. Note that submitted repertoire cannot be changed.

Submission of the application does not guarantee an audition or grant.

Applicant's Signature _____ Date _____

Statement 15 - Part IV, Line 1a

Gain On Sale of Securities

	<u>STCG</u>	<u>LTCG</u>	<u>Total</u>	<u>See Statement</u>
JPMorgan	52,033 63	187,147 59	239,181 22	15A
BNY Mellon	4,961.91	12,385 71	17,347 62	15B
GW&K	4,729 58	230 68	4,960 26	15C
Confluence	3,406 86	2,392.82	5,799 68	15D
ACR-Alpine	7,934.29	5,243 27	13,177.56	15E
WCA	7,175.02	(2,972 39)	4,202 63	15F
Riverbridge	819.09	3,109 48	3,928 57	15G
Riverbridge	417 28	814.34	1,231.62	15G
Stifel	(19,315 64)	(510 37)	(19,826 01)	15H
Stifel	3,684.31	1,165 50	<u>4,849 81</u>	15H
			274,852.96	

Capital Gain Distributions

JPMorgan	38,040 50		
BNY Mellon	22,355 76		
Confluence	309 47		
WCA	<u>8 83</u>		
		<u>60,714 56</u>	

Total per line 7 990-PF 335,567 52

Dividend Income

JPMorgan	94,987 04
BNY Mellon	50,668 43
GW&K	1,196.26
Confluence	6,307 02
ACR-Alpine	4,246 37
WCA	11,452 46
Riverbridge	1,675 21
Stifel	<u>1,674 04</u>

Total per line 4 990-PF 172,206.83



2013 Tax Information Summary

THE GERDA LISSNER FOUNDATION
Account Number: A 22838-00-6

Our records show that DELAWARE is your state of residence.

Note: C indicates correction

Dividend Income	
Total Domestic Dividends	94,987.04
Qualifying Domestic Dividends	22,820.71
Non-Qualifying Domestic Dividends	72,166.33
Total Gain Distributions	38,049.49
Long Term Capital Gain Distributions	38,040.50
Non-Dividend Distributions	24.76
Interest Income	23.30
Corporate, Mortgage, Savings Interest	23.30
Miscellaneous Income	
Foreign Exchange Gain/Loss on Capital Transactions (See Capital Gain/Loss Schedule)	-5,780.96
Other	73.01
Disbursements	
Fees and Expenses	-20,352.33
Foreign Tax Withheld	-690.35
Withholding - Qualifying Foreign Dividends	-620.97
Withholding - Non-Qualifying Foreign Div/Interest	-69.38
Capital Gain and Loss	
Total Short-Term Gain and Loss	52,033.63
Short-Term Covered Gain and Loss	52,033.63
Total Long-Term Gain and Loss	192,928.55
Long-Term Non Covered Gain and Loss	192,928.55

ST 15 A





Capital Gain and Loss Schedule

THE GERDA LISSNER FOUNDATION
Account Number: A 22838-00-6

Short-Term Covered

Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

description	Stock and other symbol CUSIP	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
YODGE & COX INTERNATIONAL STOCK	DODF 256206103		12/21/12 04/24/13	60.760	2,230.50	2,098.65		131.85
3SHARES BARCLAYS TIPS BOND FUND	TIP 464287176		08/16/13 10/30/13	750.000	85,076.50	82,897.50		2,179.00
3SHARES MSCI EMERGING MARKET INDEX	EEM 464287234		11/07/12 04/24/13	1,250.000	52,873.81	51,794.00		1,079.81
3SHARES RUSSELL MIDCAP INDEX FUND	IWR 464287499		11/07/12 04/24/13	380.000	48,341.53	41,647.96		6,693.57
PM HIGH YIELD FD - SEL UND 3580	OHYF 4812C0803		Various 04/24/13	2,110.875	17,625.81	17,102.92		522.89
PM HIGH YIELD FD - SEL UND 3580	OHYF 4812C0803		Various 08/16/13	603.269	4,880.45	4,947.54		-67.09
PM LARGE CAP GROWTH FD - SEL UND 3118	SEEG 4812C0530		12/13/12 10/30/13	2,198.492	65,954.76	52,500.00		13,454.76
PM SHORT DURATION BOND FD - SEL UND 3133	HLLV 4812C1330		Various 02/07/13	182.587	2,006.63	2,005.90		.73
PM SHORT DURATION BOND FD - SEL UND 3133	HLLV 4812C1330		Various 04/24/13	142.329	1,562.77	1,562.79		-.02
PM US LARGE CAP CORE PLUS FD - SEL UND 1002	JLPS 4812A2389		Various 04/24/13	716.050	17,686.44	15,838.77		1,847.67
IFS INTL VALUE-I	MINI 55273E822		11/07/12 04/24/13	1,762.567	55,750.00	48,576.35		7,173.65
IMCO COMMODITYPL STRAT-P	PCLP 72201P167		03/05/13 08/01/13	2,345.216	25,000.00	25,539.40		-539.40





Capital Gain and Loss Schedule
THE GERDA LISSNER FOUNDATION
 Account Number: A 22838-00-6

Short-Term Covered

* Code W indicates Wash Sale
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 ** Ordinary Income O indicates Ordinary Income gain or loss
 F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol	Code*	Date Acquired / Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
SPDR S&P 500 ETF TRUST	SPY		12/13/12	650.000	100,324.28	92,766.70		7,557.58
	78462F103		03/05/13					
SPDR S&P 500 ETF TRUST	SPY		Various	522.000	92,034.83	73,334.92		18,699.91
	78462F103		10/30/13					
VANGUARD FI SECS F INTR TRM CP PT FUND 71	VFIC		Various	1,822.948	18,685.22	18,907.38		-222.16
	922031885		02/07/13					
VANGUARD INTM TRM INV G-INV	VFIC		Various	1,369.125	13,294.20	13,844.21		-550.01
	922031885		08/16/13					
VANGUARD FTSE EUROPE ETF	VGK		09/28/12	148.000	7,439.79	6,758.58		681.21
	922042874		04/24/13					
VIRTUS EMERGING MKTS OPPOR-I	HIEM		02/13/13	4,496.090	45,320.59	46,939.18		-1,618.59
	92828T889		10/30/13					
VIRTUS EMERGING MKTS OPPOR-I	HIEM		02/13/13	4,847.775	45,569.09	50,610.77		-5,041.68
	92828T889		12/20/13					
VIRTUS INSIGHT TR VIRTUS EMRG FD I	HIEM		02/13/13	713.606	7,500.00	7,450.05		49.95
	92828T889		04/24/13					
Total Short-Term Covered					709,167.20	657,123.57		52,033.63



Capital Gain and Loss Schedule

THE GERDA LISSNER FOUNDATION

Account Number: A 22838-00-6

Long-Term Non Covered

* Code W indicates Wash Sale
 L indicates a Nondeductible Loss other than a Wash Sale
 ** Ordinary Income O indicates Ordinary Income gain or loss
 F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
DB BREN ASIA BASKET 12/11/13	25152RAD5		11/21/12	55,000 000	61,380.00	55,000.00		6,380.00
10%BUFFER-2 XLEV- 5.8%CAP			12/11/13					
11.6%MAXRTRN								
INITIAL LEVEL-11/21/12 ASIA								
BASKET :100.00								
DELL INC	DELL		00/00/00		7.82	.00		7.82
REPRESENTS PRO RATA SHARE OF THE	24702R101		01/17/13					
NET SETTLEMENT FROM THE DELL INC								
CLASS ACTION. DUE A22838006 THE								
GERDA LISSNER FOUNDATION FUTURE								
DODGE & COX INTERNATIONAL STOCK	DODF		09/17/09	1,437.469	52,769.50	45,984.64		6,784.86
	256206103		04/24/13					
DREYFUS EMG MKT DEBT LOC C-1	DDBI		01/07/11	2,083.833	28,527.68	29,882.16		-1,354.48
	261980494		09/09/13					
GOLDMAN SACHS GROUP INC	GS		00/00/00		59.08	.00		59.08
REPRESENTS PRO RATA SHARE OF THE	38141G104		10/16/13					
NET SETTLEMENT FROM THE GOLDMAN								
SACHS GROUP INC CLASS ACTION. DUE								
A22838006 THE GERDA LISSNER								
GS BREN SPX 12/04/13	38141GJE5		11/16/12	55,000 000	61,022.50	55,000.00		6,022.50
10%BUFFER-1.5 XLEV- 7.3%CAP			12/05/13					
10.95%MAXRTRN								
INITIAL LEVEL-11/16/12 SPX:1359.88								
GS BREN EAFE 12/04/13	38141GJGO		11/16/12	55,000.000	64,207.00	55,000.00		9,207.00
10%BUFFER-2 XLEV- 8.37%CAP			12/04/13					
16.74%MAXRTRN								
INITIAL LEVEL-11/16/12 SX5E:2427.32								
UKX:5605 59 TPX:751.34								



Capital Gain and Loss Schedule

THE GERDA LISSNER FOUNDATION
Account Number: A 22838-00-6

Long-Term Non Covered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Description
Stock and
other symbol
Cusip

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
GS 95% PP CMIT BASKET 11/18/13 LINKED TO CNY,MXN,DR, TRY /USD 215% UPSIDE LEV, UNCAPPED 11/11/11	38143UZV6	11/11/11 11/18/13	75,000.000	71,250.00	77,030.96		.00 -5,780.96 F
HIGHBRIDGE DYN COMMODO STR FD - SEL FUND 2015	HDCS 48121A670	10/14/11 03/05/13	6,077.328	83,380.94	116,198.51		-32,817.57
ISHARES BARCLAYS TIPS BOND FUND	TIP 464287176	10/16/08 10/30/13	1,001.000	113,548.76	95,417.66		18,131.10
ISHARES RUSSELL MIDCAP INDEX FUND	IWR 464287499	09/17/08 04/24/13	16.000	2,035.43	1,398.84		636.59
ISHARES RUSSELL MIDCAP INDEX FUND	IWR 464287499	09/17/08 10/30/13	281.000	40,627.67	24,567.10		16,060.57
JPM HIGH YIELD FD - SEL FUND 3580	OHYF 4812C0803	11/19/08 04/24/13	4,176.550	34,874.19	23,806.33		11,067.86
JPM HIGH YIELD FD - SEL FUND 3580	OHYF 4812C0803	Various 08/16/13	11,757.670	95,119.55	66,553.50		28,566.05
JPM INTL CURR INCOME FD - SEL FUND 3831	JCIS 4812A3296	04/09/10 07/29/13	4,938.495	54,767.91	53,535.95		1,231.96
JPM LARGE CAP GROWTH FD - SEL FUND 3118	SEEG 4812C0530	04/27/11 10/30/13	2,205.028	66,150.84	49,546.98		16,603.86
JPM SHORT DURATION BOND FD - SEL FUND 3133	HLLV 4812C1330	04/02/08 02/07/13	8,916.594	97,993.37	95,585.89		2,407.48
JPM SHORT DURATION BOND FD - SEL FUND 3133	HLLV 4812C1330	04/02/08 04/24/13	3,045.285	33,437.23	32,645.45		791.78
JPM US LARGE CAP CORE PLUS FD - SEL FUND 1002	JLPS 4812A2389	10/16/08 04/24/13	611.773	15,110.80	8,540.35		6,570.45



Capital Gain and Loss Schedule

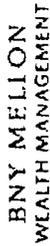
THE GERDA LISSNER FOUNDATION
Account Number: A 22838-00-6

Long-Term Non Covered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
JPM US LARGE CAP CORE PLUS FD - SEL FUND 1002	JLPS 4812A2389		10/16/08 10/30/13	1,708.624	48,678.69	23,852.39		24,826.30
PARNASSUS FD EQUITY INCM INST	PRIL 701769408		12/06/11 02/13/13	3,863.783	121,979.63	101,617.49		20,362.14
TYCO INTERNATIONAL LTD REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE TYCO INTERNATIONAL LTD CLASS ACTION. DUE A22838006 THE GERDA LISSNER	TYC 902124106		00/00/00 07/03/13		133.01	.00		133.01
VANGUARD FI SECS F INTR TRM CP PT FUND 71	VFIC 922031885		04/09/10 02/07/13	7,933.150	81,314.78	77,586.21		3,728.57
VANGUARD INTM TRM INV G-INV	VFIC 922031885		Various 08/16/13	56,692.698	550,486.14	502,964.52		47,521.62
Total Long-Term Non Covered					1,778,862.52	1,591,714.93		192,928.55

-5,780.96 F



GERDA LISSNER FOUNDATION, INC.-JMA

15B

Income for 2013

This section reports your proceeds from sales during the year. We are not required nor do we report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully before preparing your tax return.

Additionally, to provide you with complete information for your tax returns, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment. Rather, under IRC Section 988, they are either ordinary income or loss.

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
US BANCORP DEL	USB	902973304	50	06/01/12	01/17/13	\$1,644 41	\$1,527 40	\$117 01
US BANCORP DEL	USB	902973304	40	06/22/12	01/17/13	\$1,315 53	\$1,269 92	\$45 61
US BANCORP DEL	USB	902973304	50	08/02/12	01/17/13	\$1,644 41	\$1,645 40	\$-0 99
US BANCORP DEL	USB	902973304	40	10/25/12	01/17/13	\$1,315 53	\$1,340 32	\$-24 79
GILEAD SCIENCES INC	GILD	375558103	20	10/25/12	01/18/13	\$1,554 40	\$1,375 76	\$178 64
UNION PACIFIC CORP	UNP	907818108	9	10/25/12	01/28/13	\$1,195 79	\$1,105 18	\$90 61
UNION PACIFIC CORP	UNP	907818108	7	08/02/12	01/28/13	\$930 06	\$852 66	\$77 40
DISNEY (WALT) COMPANY HOLDING DIS	DIS	254687106	30	10/25/12	02/01/13	\$1,637 65	\$1,527 54	\$110 11
QUALCOMM INC	QCOM	747525103	20	11/19/12	02/04/13	\$1,317 91	\$1,245 34	\$72 57
NXP SEMICONDUCTORS N V	NXPI	N6596X109	40	08/02/12	02/04/13	\$1,185 17	\$862 72	\$322 45
PFIZER INC COM	PFE	717081103	100	10/25/12	02/04/13	\$2,725 81	\$2,558 80	\$167 01
PFIZER INC COM	PFE	717081103	70	08/02/12	02/04/13	\$1,908 07	\$1,671 46	\$236 61
EMC CORP(MASS) USD 0 01	EMC	268648102	50	10/25/12	02/07/13	\$1,229 97	\$1,224 96	\$5 01
EMC CORP(MASS) USD 0 01	EMC	268648102	10	06/01/12	02/12/13	\$244 76	\$233 03	\$11 73



BNY MELLON
WEALTH MANAGEMENT

GERDA LISSNER FOUNDATION, INC.-JMA

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
EMC CORP(MASS) USD 0 01	EMC	268648102	60	06/01/12	02/12/13	\$1,468 58	\$1,404 48	\$64 10
SCHLUMBERGER LIMITED COM	SLB	8068857108	10	08/02/12	02/13/13	\$784 52	\$708 68	\$75 84
SCHLUMBERGER LIMITED COM	SLB	8068857108	20	10/25/12	02/13/13	\$1,569 04	\$1,417 56	\$151 48
HALLIBURTON COMPANY COM	HAL	406216101	40	10/25/12	02/13/13	\$1,630 36	\$1,316 83	\$313 53
APPLE COMPUTER INC COM	AAPL	037833100	1	10/25/12	02/20/13	\$452 09	\$616 73	\$-164 64
VALERO ENERGY CORP NEW	VLO	91913Y100	30	11/09/12	02/20/13	\$1,354 82	\$890 20	\$464 62
VALERO ENERGY CORP NEW	VLO	91913Y100	10	10/25/12	02/20/13	\$451 61	\$287 18	\$164 43
MARATHON OIL CORP	MRO	565849106	40	10/25/12	02/20/13	\$1,388 36	\$1,205 12	\$183 24
TERADATA CORP DEL	TDC	88076W103	20	10/25/12	03/01/13	\$1,173 92	\$1,380 61	\$-206 69
TERADATA CORP DEL	TDC	88076W103	20	06/22/12	03/01/13	\$1,173 92	\$1,423 56	\$-249 64
APPLE COMPUTER INC COM	AAPL	037833100	3	10/25/12	03/01/13	\$1,294 02	\$1,850 20	\$-556 18
NXP SEMICONDUCTORS N V	NXPI	N6596X109	10	08/02/12	03/04/13	\$316 45	\$215 68	\$100 77
NXP SEMICONDUCTORS N V	NXPI	N6596X109	20	06/22/12	03/04/13	\$632 90	\$426 38	\$206 52
TERADATA CORP DEL	TDC	88076W103	10	10/25/12	03/05/13	\$589 68	\$690 31	\$-100 63
TERADATA CORP DEL	TDC	88076W103	20	08/02/12	03/05/13	\$1,179 37	\$1,348 98	\$-169 61
OCCIDENTAL PETROLEUM CORP, OXY	OXY	674599105	20	12/12/12	03/06/13	\$1,632 74	\$1,530 70	\$102 04
TERADATA CORP DEL	TDC	88076W103	10	08/02/12	03/07/13	\$574 81	\$674 49	\$-99 68
TERADATA CORP DEL	TDC	88076W103	30	06/01/12	03/07/13	\$1,724 43	\$1,946 34	\$-221 91
QUALCOMM INC	QCOM	747525103	20	08/02/12	03/13/13	\$1,335 37	\$1,177 36	\$158 01
ACTAVIS INC		00507K103	10	10/25/12	03/14/13	\$913 30	\$868 18	\$45 12
SOUTHWESTERN ENERGY CO	SWN	845467109	30	09/27/12	03/22/13	\$1,129 85	\$1,047 60	\$82 25
BROADCOM CORP CL A	BRCM	111320107	40	09/27/12	03/22/13	\$1,370 83	\$1,403 38 *	\$-32 55
COMCAST CORP NEW CL A	CMCSA	20030N101	20	10/25/12	03/26/13	\$829 23	\$737 56	\$91 67
COMCAST CORP NEW CL A	CMCSA	20030N101	10	08/02/12	03/26/13	\$414 62	\$341 26	\$73 36
NXP SEMICONDUCTORS N V	NXPI	N6596X109	50	06/01/12	04/03/13	\$1,373 54	\$1,025 90	\$347 64
NXP SEMICONDUCTORS N V	NXPI	N6596X109	40	06/22/12	04/03/13	\$1,098 84	\$852 75	\$246 09
COCA-COLA CO USD	KO	191216100	30	08/02/12	04/04/13	\$1,209 67	\$1,195 77	\$13 90
MEAD JOHNSON NUTRITION CO-A	MJN	582839106	10	10/25/12	04/04/13	\$756 64	\$653 68	\$102 96



BNY MELLON
WEALTH MANAGEMENT

2013 Tax Information

Account Number 10570719200

GERDA LISSNER FOUNDATION, INC.-JMA

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
MEAD JOHNSON NUTRITION CO-A	MJN	582839106	10	08/02/12	04/04/13	\$756 64	\$721 58	\$35 06
MEAD JOHNSON NUTRITION CO-A	MJN	582839106	20	06/22/12	04/04/13	\$1,513 29	\$1,752 76	\$-239 47
MEAD JOHNSON NUTRITION CO-A	MJN	582839106	10	06/01/12	04/04/13	\$756 64	\$802 68	\$-46 04
BB&T CORP COM	BBT	054937107	40	10/25/12	04/15/13	\$1,215 68	\$1,163 92	\$51 76
BB&T CORP COM	BBT	054937107	70	06/01/12	04/15/13	\$2,127 44	\$2,073 26	\$54 18
BB&T CORP COM	BBT	054937107	10	06/22/12	04/15/13	\$303 92	\$303 88	\$0 04
BB&T CORP COM	BBT	054937107	30	08/02/12	04/15/13	\$911 76	\$934 14	\$-22 38
QUALCOMM INC	QCOM	747525103	10	10/25/12	04/17/13	\$641.62	\$579 47	\$62 15
QUALCOMM INC	QCOM	747525103	20	08/02/12	04/17/13	\$1,283 24	\$1,177 36	\$105.88
INTERNATIONAL BUSINESS MACHINI IBM	IBM	459200101	6	03/13/13	04/19/13	\$1,144 42	\$1,272 89	\$-128 47
INTERNATIONAL BUSINESS MACHINI IBM	IBM	459200101	6	04/03/13	04/19/13	\$1,144 42	\$1,244 28	\$-99 86
INTERNATIONAL BUSINESS MACHINI IBM	IBM	459200101	6	09/28/12	04/19/13	\$1,144 42	\$1,244 28	\$-99 86
INTERNATIONAL BUSINESS MACHINI IBM	IBM	459200101	13	08/02/12	04/19/13	\$2,479 57	\$2,526 91	\$-47 34
INTERNATIONAL BUSINESS MACHINI IBM	IBM	459200101	9	06/22/12	04/19/13	\$1,716 63	\$1,745 08	\$-28 45
INTERNATIONAL BUSINESS MACHINI IBM	IBM	459200101	4	06/22/12	04/23/13	\$768 16	\$775 59	\$-7 43
INTERNATIONAL BUSINESS MACHINI IBM	IBM	459200101	4	10/25/12	04/23/13	\$768 16	\$767 95	\$0 21
CENTERPOINT ENERGY INC	CNP	15189T107	60	09/25/12	04/24/13	\$1,449 07	\$1,284 77	\$164 30
ELI LILLY & CO COM	LLY	532457108	20	10/25/12	04/24/13	\$1,127 21	\$1,017 63	\$109 58
AIR PRODUCTS AND CHEMICALS INC APD	APD	009158106	20	10/25/12	04/24/13	\$1,712 53	\$1,555 96	\$156 57
AIR PRODUCTS AND CHEMICALS INC APD	APD	009158106	10	08/02/12	04/24/13	\$856 27	\$802 88	\$53 39
AIR PRODUCTS AND CHEMICALS INC APD	APD	009158106	10	06/22/12	04/24/13	\$856 27	\$782 58	\$73 69
AIR PRODUCTS AND CHEMICALS INC APD	APD	009158106	10	06/01/12	04/24/13	\$856 27	\$776 68	\$79 59
EATON CORP PLC	ETN	G29183103	20	11/30/12	04/24/13	\$1,169 00	\$1,031 28	\$137 72
QUALCOMM INC	QCOM	747525103	20	10/25/12	04/25/13	\$1 247 17	\$1,158 94	\$88 23
QUALCOMM INC	QCOM	747525103	10	06/01/12	04/25/13	\$623 59	\$560 18	\$63 41
C S T BRANDS INC	CST	12646R105	4 44	06/22/12	05/03/13	\$132 14	\$81 47	\$50 67
C S T BRANDS INC	CST	12646R105	3 33	10/25/12	05/03/13	\$99 11	\$74 58	\$24 53
C S T BRANDS INC	CST	12646R105	4 44	04/24/13	05/03/13	\$132 14	\$145 71	\$-13 57



BNY MELLON
WEALTH MANAGEMENT

2013 Tax Information

Account Number 10570719200

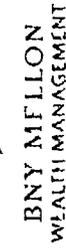
GERDA LISSNER FOUNDATION, INC.-IMA

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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
C S T BRANDS INC	CST	12646R105	5 78	06/01/12	05/03/13	\$171 79	\$92 81	\$78 98
GILEAD SCIENCES INC	GILD	375558103	20	10/25/12	05/13/13	\$1,085 91	\$687 88	\$398 03
GILEAD SCIENCES INC	GILD	375558103	10	08/02/12	05/13/13	\$542 95	\$284 94	\$258 01
C S T BRANDS INC	CST	12646R105	0 89	06/01/12	05/22/13	\$29 49	\$14 28	\$15 21
ELI LILLY & CO COM	LLY	532457108	90	08/10/12	05/24/13	\$4,864 74	\$3,926 65	\$938 09
ELI LILLY & CO COM	LLY	532457108	10	10/25/12	05/24/13	\$540 53	\$508 81	\$31 72
PFIZER INC COM	PFE	717081103	20	08/02/12	05/24/13	\$580 31	\$477 56	\$102 75
PFIZER INC COM	PFE	717081103	50	06/22/12	05/24/13	\$1,450 76	\$1,136 40	\$314 36
MONDELEZ INTERNATIONAL INC	MDLZ	609207105	80	10/10/12	06/04/13	\$2,354 95	\$2,188 92	\$166 03
MONDELEZ INTERNATIONAL INC	MDLZ	609207105	20	10/25/12	06/04/13	\$588 74	\$533 54	\$55 20
ACCENTURE PLC IRELAND SHS CL A ACN	G1151C101		20	03/05/13	06/05/13	\$1,594 46	\$1,536 89	\$57 57
VERIZON COMMUNICATIONS INC	VZ	92343V104	20	04/19/13	06/07/13	\$997 98	\$1,040 52	\$-42 54
COMCAST CORP NEW CL A	CMCSA	20030N101	30	08/02/12	06/07/13	\$1,229 03	\$1,023 78	\$205 25
APPLE COMPUTER INC COM	AAPL	037833100	6	10/25/12	06/17/13	\$2,593 74	\$3,700 41	\$-1 106 67
VERIZON COMMUNICATIONS INC	VZ	92343V104	20	04/19/13	06/17/13	\$1,010 75	\$1,040 51	\$-29 76
COMCAST CORP NEW CL A	CMCSA	20030N101	10	07/11/12	06/20/13	\$390 52	\$316 05	\$74 47
COMCAST CORP NEW CL A	CMCSA	20030N101	30	08/02/12	06/20/13	\$1,171 56	\$1,023 78	\$147 78
CARNIVAL CORP	CCL	143658300	30	06/22/12	06/21/13	\$998 30	\$1,011 54	\$-13 24
CARNIVAL CORP	CCL	143658300	30	08/02/12	06/21/13	\$998 30	\$992 34	\$5 96
CARNIVAL CORP	CCL	143658300	40	10/25/12	06/21/13	\$1,331 07	\$1,569 52	\$-238 45
APPLE COMPUTER INC COM	AAPL	037833100	8	08/02/12	06/26/13	\$3,178 39	\$4,864 46	\$-1 686 07
MALLINCKRODT PLC	MNK	G5785G107	2 5	10/25/12	07/02/13	\$108 73	\$100 76	\$7 97
DISNEY (WALT) COMPANY HOLDING DIS	DIS	254687106	10	08/02/12	07/02/13	\$633 67	\$489 18	\$144 49
DISNEY (WALT) COMPANY HOLDING DIS	DIS	254687106	10	10/25/12	07/02/13	\$633 67	\$509 18	\$124 49
MALLINCKRODT PLC	MNK	G5785G107	2 5	08/02/12	07/02/13	\$108 73	\$99 59	\$9 14
VERIZON COMMUNICATIONS INC	VZ	92343V104	10	04/03/13	07/10/13	\$507 46	\$489 49	\$17 97
VERIZON COMMUNICATIONS INC	VZ	92343V104	20	03/26/13	07/10/13	\$1,014 92	\$990 87	\$24 05
ACCENTURE PLC IRELAND SHS CL A ACN	G1151C101		10	08/02/12	07/10/13	\$742 43	\$590 68	\$151 75



Short term transactions

This category includes sales of assets held 12 months or less

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ACCENTURE PLC IRELAND SHS CL A ACN		G1151C101	20	10/25/12	07/10/13	\$1,484 85	\$1,339 96	\$144 89
INTERNATIONAL BUSINESS MACHINI IBM		459200101	8	10/25/12	07/19/13	\$1,557 86	\$1,535 90	\$21 96
VERIZON COMMUNICATIONS INC VZ		92343V104	10	04/03/13	07/23/13	\$503 16	\$489 48	\$13 68
VERIZON COMMUNICATIONS INC VZ		92343V104	10	10/25/12	07/23/13	\$503 16	\$445 28	\$57 88
VERIZON COMMUNICATIONS INC VZ		92343V104	10	08/02/12	07/23/13	\$503 16	\$445 48	\$57 68
SANDISK CORP COM	SNDK	80004C101	40	06/17/13	07/29/13	\$2,220 99	\$2,451 49	\$-230 50
SANDISK CORP COM	SNDK	80004C101	40	06/18/13	07/29/13	\$2,220 99	\$2,502 32	\$-281 33
SANDISK CORP COM	SNDK	80004C101	20	06/20/13	07/29/13	\$1,110 49	\$1,219 68	\$-109 19
YAHOO INC	YHOO	984332106	20	03/07/13	08/09/13	\$549 42	\$455 59	\$93 83
EQUINIX INC	EQIX	29444U502	4	03/04/13	08/14/13	\$690 73	\$850 96	\$-160 23
EQUINIX INC	EQIX	29444U502	4	03/07/13	08/14/13	\$690 73	\$862 89	\$-172 16
EQUINIX INC	EQIX	29444U502	16	03/01/13	08/15/13	\$2,642 70	\$3,398 32	\$-755 62
EQUINIX INC	EQIX	29444U502	6	06/07/13	08/15/13	\$991 01	\$1,155 48	\$-164 47
HOME DEPOT INC USD 0 05	HD	437076102	30	10/25/12	08/16/13	\$2,270 49	\$1,850 64	\$419 85
HALLIBURTON COMPANY COM	HAL	406216101	20	03/22/13	08/23/13	\$969 41	\$794 32	\$175 09
EXXON MOBIL CORP	XOM	30231G102	20	10/25/12	08/23/13	\$1,743 89	\$1,809 56	\$-65 67
GLAXO SMITHKLINE PLC	GSK	37733W105	20	04/24/13	09/16/13	\$1,023 52	\$1,028 99	\$-5 47
EXPRESS SCRIPTS HLDG CO	ESRX	30219G108	30	10/25/12	09/16/13	\$1,974 72	\$1,883 04	\$91 68
CHEVRONTEXACO CORP	CVX	166764100	13	03/06/13	08/16/13	\$1,614 99	\$1,538 79	\$76 20
CHEVRONTEXACO CORP	CVX	166764100	7	10/25/12	09/16/13	\$869 61	\$772 88	\$96 73
SALESFORCE COM INC	CRM	79466L302	20	06/07/13	09/20/13	\$1,060 36	\$786 94	\$273 42
BROADCOM CORP CL A	BRCM	11320107	40	09/27/12	09/20/13	\$1,103 00	\$1,394 58 *	\$-291 58
TAIWAN SEMICONDUCTOR ADR	TSM	874039100	120	07/03/13	09/20/13	\$2,080 47	\$2,178 80	\$-98 33
BROADCOM CORP CL A	BRCM	11320107	10	10/09/12	10/01/13	\$263 97	\$329 47 *	\$-65 50
BROADCOM CORP CL A	BRCM	11320107	10	10/09/12	10/02/13	\$263 73	\$329 46 *	\$-65 73
BROADCOM CORP CL A	BRCM	11320107	50	10/25/12	10/02/13	\$1,318 63	\$1,591 55 *	\$-272 92
BROADCOM CORP CL A	BRCM	11320107	40	11/07/12	10/02/13	\$1,054 90	\$1 231 37 *	\$-176 47
BROADCOM CORP CL A	BRCM	11320107	40	07/23/13	10/02/13	\$1,054 90	\$1,279 42 *	\$-224 52



BNY MELLON
WEALTH MANAGEMENT

2013 Tax Information

Account Number 10570719200

GERDA LISSNER FOUNDATION, INC.-IMA

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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
CITRIX SYS INC COM	CTXS	177376100	50	07/10/13	10/14/13	\$2,928.96	\$3,252.67	\$-323.71
CITRIX SYS INC COM	CTXS	177376100	20	07/29/13	10/14/13	\$1,171.59	\$1,371.45	\$-199.86
INTERNATIONAL BUSINESS MACHINI	IBM	459200101	2	10/25/12	10/17/13	\$348.45	\$383.98	\$-35.53
COVIDIEN PLC	COV	G2554F113	10	10/25/12	10/22/13	\$640.66	\$507.50	\$133.16
SALESFORCE COM INC	CRM	79466L302	20	10/25/12	10/24/13	\$1,084.32	\$738.71	\$345.61
ADOBE SYS INC COM	ADBE	00724F101	30	03/22/13	10/24/13	\$1,627.05	\$1,289.09	\$337.96
YAHOO INC	YHOO	984332106	40	03/07/13	10/24/13	\$1,324.17	\$911.17	\$413.00
YAHOO INC	YHOO	984332106	20	02/07/13	10/24/13	\$662.08	\$404.30	\$257.78
SHIRE PHARMACEUTICALS GROUP	SHPG	82481R106	8	05/13/13	11/05/13	\$1,096.00	\$748.94	\$347.06
GLAXO SMITHKLINE PLC	GSK	37733W105	30	02/04/13	11/14/13	\$1,561.93	\$1,366.73	\$195.20
VMWARE INC	VMW	928563402	10	07/29/13	11/26/13	\$813.97	\$825.94	\$-11.97
VALERO ENERGY CORP NEW	VLO	91913Y100	30	04/24/13	12/09/13	\$1,391.67	\$1,153.14	\$238.53
VALERO ENERGY CORP NEW	VLO	91913Y100	10	04/24/13	12/12/13	\$462.13	\$384.38	\$77.75
VALERO ENERGY CORP NEW	VLO	91913Y100	10	05/03/13	12/12/13	\$462.13	\$379.60	\$82.53
OCCIDENTAL PETROLEUM CORPOR, OXY	OXY	674599105	20	12/12/12	12/12/13	\$1,824.71	\$1,530.70	\$294.01
ADOBE SYS INC COM	ADBE	00724F101	10	03/22/13	12/16/13	\$587.54	\$429.69	\$157.85
ADOBE SYS INC COM	ADBE	00724F101	10	02/12/13	12/16/13	\$587.54	\$390.72	\$196.82
GLAXO SMITHKLINE PLC	GSK	37733W105	70	02/04/13	12/18/13	\$3,563.82	\$3,189.02	\$374.80
GLAXO SMITHKLINE PLC	GSK	37733W105	20	03/14/13	12/18/13	\$1,018.24	\$904.11	\$114.13
ALCATEL-LUCENT-SPONSORED ADR	ADR	9EE000600	1420	12/19/13	12/19/13	\$234.83	\$0.00	\$234.83
Total short term gain/loss from sales:						\$167,791.27	\$162,829.36	\$4,961.91

Long term transactions

This category includes sales of assets held more than 12 months

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
QUALCOMM INC	QCOM	747525103	20	06/01/12	06/17/13	\$1,237.85	\$1,120.36	\$117.49
CARNIVAL CORP	CCL	143658300	40	06/01/12	06/21/13	\$1,331.07	\$1,263.12	\$67.95



BNY MELLON
WEALTH MANAGEMENT

GERDA LISSNER FOUNDATION, INC.-JMA

2013 Tax Information

Account Number 10570719200

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Long term transactions

This category includes sales of assets held more than 12 months

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
MALLINCKRODT PLC	MNK	G5785G107	3 75	06/22/12	07/02/13	\$163 09	\$142 73	\$20 36
MALLINCKRODT PLC	MNK	G5785G107	2 25	06/01/12	07/02/13	\$97 85	\$83 14	\$14 71
QUALCOMM INC	QCOM	747525103	30	06/22/12	07/03/13	\$1,828 72	\$1,667 04	\$161 68
QUALCOMM INC	QCOM	747525103	10	06/01/12	07/03/13	\$609 57	\$560 18	\$49 39
MALLINCKRODT PLC	MNK	G5785G107	0 25	06/01/12	07/22/13	\$10 90	\$9 24	\$1 66
PNC FINANCIAL SERVICES GROUP I PNC	PNC	693475105	30	06/01/12	07/25/13	\$2,278 82	\$1,807 74	\$471 08
PNC FINANCIAL SERVICES GROUP I PNC	PNC	693475105	10	07/19/12	07/25/13	\$759 61	\$620 17	\$139 44
PNC FINANCIAL SERVICES GROUP I PNC	PNC	693475105	20	06/22/12	07/30/13	\$1,512 44	\$1,182 76	\$329 68
PNC FINANCIAL SERVICES GROUP I PNC	PNC	693475105	10	06/22/12	07/31/13	\$762 89	\$591 38	\$171 51
HIGHBRIDGE DYNAMIC COMM STRA HDCSX	HDCSX	48121A670	620 35	06/22/12	08/09/13	\$7,406 94	\$10,000 00	\$-2,593 06
HIGHBRIDGE DYNAMIC COMM STRA HDCSX	HDCSX	48121A670	611 62	05/31/12	08/09/13	\$7,302 77	\$10,000 00	\$-2,697 23
HOME DEPOT INC USD 0 05	HD	437076102	10	06/22/12	08/16/13	\$756 83	\$519 18	\$237 65
DISNEY (WALT) COMPANY HOLDING DIS	DIS	254687106	40	08/02/12	08/16/13	\$2,492 68	\$1,956 72	\$535 96
BNY MELLON SMALL/MID CAP FUND- MCMCX	MCMCX	05569M442	1996 81	05/31/12	08/22/13	\$31,050 32	\$25,000 00	\$6 050 32
HOME DEPOT INC USD 0 05	HD	437076102	10	06/22/12	08/23/13	\$734 48	\$519 18	\$215 30
GILEAD SCIENCES INC	GILD	375558103	30	08/02/12	09/16/13	\$1,884 39	\$854 82	\$1 029 57
ACTAVIS INC	BRCM	00507K103	13	08/02/12	09/16/13	\$1,777 37	\$1,019 04	\$758 33
EXPRESS SCRIPTS HLDG CO	ESRX	30219G108	10	08/02/12	09/16/13	\$658 24	\$561 98	\$96 26
GILEAD SCIENCES INC	GILD	375558103	10	06/22/12	09/16/13	\$628 13	\$251 15	\$376 98
BROADCOM CORP CLA	BRCM	111320107	10	09/27/12	10/01/13	\$263 97	\$348 65 *	\$-84 68
ACTAVIS INC	BRCM	00507K103	10	06/22/12	10/01/13	\$1,440 10	\$707 50	\$732 60
ACTAVIS INC	BRCM	00507K103	20	06/01/12	10/01/13	\$2,880 20	\$1,411 56	\$1,468 64
ACTAVIS INC	BRCM	00507K103	7	08/02/12	10/01/13	\$1,008 07	\$548 72	\$459 35
INTERNATIONAL BUSINESS MACHINI IBM	IBM	459200101	14	06/01/12	10/17/13	\$2,439 12	\$2,667 25	\$-228 13
ACCENTURE PLC IRELAND SHS CLA ACN	ACN	G1151C101	10	06/22/12	10/24/13	\$734 55	\$574 58	\$159 97
ALLEGION PLC	ALLE	G0176J109	9 67	06/22/12	12/03/13	\$415 27	\$235 07	\$180 20
ALLEGION PLC	ALLE	G0176J109	13 33	08/02/12	12/03/13	\$572 78	\$338 05	\$234 73
ALLEGION PLC	ALLE	G0176J109	10	10/25/12	12/03/13	\$429 59	\$282 06	\$147 53



GERDA LISSNER FOUNDATION, INC.-IMA

Long term transactions

This category includes sales of assets held more than 12 months

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ALLEGION PLC	ALLE	G0176J109	10	06/01/12	12/03/13	\$429 59	\$244 45	\$185 14
ALLEGION PLC	ALLE	G0176J109	0 33	06/22/12	12/09/13	\$13 56	\$8 11	\$5 45
TRAVELERS COS INC	TRV	89417E109	20	08/02/12	12/09/13	\$1,769 19	\$1,258 36	\$510 83
TRAVELERS COS INC	TRV	89417E109	10	10/25/12	12/09/13	\$884 59	\$733 84	\$150 75
TRAVELERS COS INC	TRV	89417E109	40	07/19/12	12/09/13	\$3,538 37	\$2,545 74	\$992 63
OCCIDENTAL PETROLEUM CORPOR. OXY		674599105	30	12/12/12	12/16/13	\$2,731 51	\$2,296 06	\$435 45
COVIDIEN PLC	COV	G2554F113	10	10/25/12	12/20/13	\$670 80	\$507 50	\$163 30
COVIDIEN PLC	COV	G2554F113	20	08/02/12	12/20/13	\$1,341 59	\$1,003 22	\$338 37
COVIDIEN PLC	COV	G2554F113	10	06/22/12	12/20/13	\$670 80	\$479 20	\$191 60
COVIDIEN PLC	COV	G2554F113	20	06/01/12	12/23/13	\$1,337.97	\$930 58	\$407 39
COVIDIEN PLC	COV	G2554F113	20	06/22/12	12/23/13	\$1,337 97	\$958 41	\$379 56
						\$90,194 55	\$77,808 84	\$12,385 71

Total long term gain/loss from sales:

STIFEL

ONE FINANCIAL PLAZA
501 NORTH BROADWAY
ST. LOUIS, MO 63102

DUPLICATE FOR THE ACCOUNT OF:
THE GERDA LISSNER FOUNDATION
GW&K MGD ACCT

PAYER INFORMATION:
STIFEL, NICOLAUS & COMPANY, INCORPORATED
FEDERAL ID NO: 43-0538770
F.A. ACCOUNT PAGF
N130 1580-1356 1 OF 4
TAXPAYER ID: XX-XXX6516

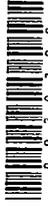
1099-B DETAIL INFORMATION

The following detail is being provided for your information only and will not be furnished to the IRS.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS

Gross Proceeds **SHORT-TERM** transactions with cost basis NOT reported to the IRS -Report on Form 8949, Part I, with Box B checked

<u>DESCRIPTION</u>	<u>QUANTITY</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>PROCEEDS</u>	<u>COST BASIS</u>	<u>WASH SALE (LOSS) DISALLOWED</u>	<u>GAIN/(LOSS)</u>	<u>ACTIVITY</u>
AMER TOWER 4.5 011518 CUSIP/SEC NO: 029912BD3	10,000.00	06/22/12	03/27/13	\$11,033.90	\$10,511.80		\$522.10	Sale
ARCH COAL 7.25 100120 CUSIP/SEC NO: 039380AC4	5,000.00	07/02/12	01/11/13	\$4,512.50	\$4,283.42		\$229.08	Sale
AVIS BUDGET 9 625 031518 CUSIP/SEC NO: 053773ALL1	5,000.00	06/25/12	01/16/13	\$5,593.75	\$5,206.61		\$387.14	Sale
BAC NOTE 6 0 090117 CUSIP/SEC NO: 060505DH4	5,000.00	06/28/12	03/14/13	\$5,818.45	\$5,384.60		\$433.85	Sale
CHS CMNTY 7.125 071520 CUSIP/SEC NO: 12543DAQ3	5,000.00	02/13/13	09/11/13	\$5,037.50	\$5,362.94		(\$325.44)	Sale
CENTURYLINK 7.6 091539 CUSIP/SEC NO: 156700AM8	5,000.00	07/10/12	02/22/13	\$4,937.50	\$4,993.50		(\$56.00)	Sale
CINEMARK USA8.625 061519 CUSIP/SEC NO: 172441AS6	5,000.00	06/21/12	01/15/13	\$5,575.00	\$5,500.00		\$75.00	Sale
COMSTOCK RES8.375 101517 CUSIP/SEC NO: 205768AG9	10,000.00	Various	10/15/13	\$10,418.80	\$10,342.91		\$75.89	Redemption
COPANO ENRGY7 125 040121 CUSIP/SEC NO: 217203AE8	5,000.00	01/28/13	03/07/13	\$5,712.50	\$5,447.23		\$265.27	Sale
CRICKET COMMS7.75 101520 CUSIP/SEC NO: 226566AM9	5,000.00	10/16/12	03/14/13	\$4,987.50	\$5,145.31		(\$157.81)	Sale
DISH DBS 7.875 090119 CUSIP/SEC NO: 25470XAB1	10,000.00	Various	05/22/13	\$11,375.00	\$11,812.50		(\$437.50)	Sale
GECC MTN 5.625 050118 CUSIP/SEC NO: 36962G3U6	10,000.00	06/25/12	03/20/13	\$11,829.00	\$11,295.42		\$533.58	Sale
GENON ESCROW9.875 101520 CUSIP/SEC NO: 37244DAF6	5,000.00	11/08/12	06/17/13	\$5,587.50	\$5,573.35		\$14.15	Sale



STIFEL

ONE FINANCIAL PLAZA
501 NORTH BROADWAY
ST. LOUIS, MO 63102

DUPLICATE FOR THE ACCOUNT OF:
THE GERDA LISSNER FOUNDATION
GW&K MGD ACCT

PAYER INFORMATION:
STIFEL, NICOLAUS & COMPANY, INCORPORATED
FEDERAL ID NO: 43-0538770
F.A. ACCOUNT PAGE
N130 1580-1356 2 OF 4
TAXPAYER ID: XX-XXX6516

1099-B DETAIL INFORMATION (CONTINUED)

The following detail is being provided for your information only and will not be furnished to the IRS.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds SHORT-TERM transactions with cost basis NOT reported to the IRS -Report on Form 8949, Part I, with Box B checked (continued)

<u>DESCRIPTION</u>	<u>QUANTITY ACQUIRED</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>PROCEEDS</u>	<u>COST BASIS</u>	<u>WASH SALE (LOSS) DISALLOWED</u>	<u>GAIN/(LOSS)</u>	<u>ACTIVITY</u>
GOLDCORP INC 3.7 031523 CUSIP/SEC NO: 380956AD4	10,000.00	06/28/13	10/24/13	\$9,426.00	\$8,885.62		\$540.38	Sale
INTL PAPER 7.5 081521 CUSIP/SEC NO: 460146CE1	5,000.00	03/12/13	06/27/13	\$6,136.50	\$6,436.75		(\$300.25)	Sale
JPM SR NT 6.0 011518 CUSIP/SEC NO: 46625HGY0	5,000.00	06/13/12	01/16/13	\$5,971.85	\$5,555.69		\$416.16	Sale
LEAR CORP CUSIP/SEC NO: 521865AS4	1,000.00	06/13/12	03/26/13	\$1,030.00	\$1,097.85		(\$67.85)	Redemption
NJ ST TPK 7.414 010140 CUSIP/SEC NO: 646139W35	10,000.00	06/20/12	02/15/13	\$14,368.70	\$14,760.31		(\$391.61)	Sale
PIONEER NATURA 7.5 011520 CUSIP/SEC NO: 723787A16	5,000.00	07/17/12	06/26/13	\$6,109.40	\$6,138.25		(\$28.85)	Sale
POLYONE CORP 7.375 091520 CUSIP/SEC NO: 73179PAH9	5,000.00	07/12/12	05/01/13	\$5,625.00	\$5,330.18		\$294.82	Sale
RIO TINTO FIN 9 0 050119 CUSIP/SEC NO: 767201AH9	10,000.00	06/18/12	06/06/13	\$13,449.20	\$13,225.38		\$223.82	Sale
ROYAL CARIBBEN 7.25 031518 CUSIP/SEC NO: 780153AJ1	5,000.00	02/28/13	11/06/13	\$5,743.75	\$5,656.03		\$87.72	Sale
ROYAL CARIBB 7.25 061516 CUSIP/SEC NO: 780153AR3	10,000.00	06/05/12	02/28/13	\$11,300.00	\$10,643.39		\$656.61	Sale
SCIENTIFIC 9.25 061519 CUSIP/SEC NO: 80874YAG5	5,000.00	07/11/12	04/02/13	\$5,518.75	\$5,525.00		(\$6.25)	Sale
SEAGATE HDD 7.0 110121 CUSIP/SEC NO: 81180WAF8	5,000.00	09/25/12	02/07/13	\$5,412.50	\$5,367.58		\$44.92	Sale
SPRINT CORP 6.9 050119 CUSIP/SEC NO: 852060AG7	5,000.00	08/31/12	05/21/13	\$5,462.50	\$5,131.49		\$331.01	Sale

STIFEL

ONE FINANCIAL PLAZA
501 NORTH BROADWAY
ST. LOUIS, MO 63102

DUPLICATE FOR THE ACCOUNT OF:
THE GERDA LISSNER FOUNDATION
GW&K MGD ACCT

PAYER INFORMATION:

STIFEL, NICOLAUS & COMPANY, INCORPORATED
FEDERAL ID NO: 43-0538770
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1099-B DETAIL INFORMATION (CONTINUED)

The following detail is being provided for your information only and will not be furnished to the IRS.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds **SHORT-TERM** transactions with cost basis NOT reported to the IRS - Report on Form 8949, Part I, with Box B checked (continued)

<u>DESCRIPTION</u>	<u>QUANTITY ACQUIRED</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>PROCEEDS</u>	<u>COST BASIS</u>	<u>WASH SALE (LOSS) DISALLOWED</u>	<u>GAIN/(LOSS)</u>	<u>ACTIVITY</u>
TESORO CORP 9 75 060119 CUSIP/SEC NO: 881609AW1	5,000.00	06/18/12	02/21/13	\$5,662.50	\$5,637.75		\$24.75	Sale
UTD RENTALS 9.25 121519 CUSIP/SEC NO: 911365AU8	5,000.00	06/22/12	01/30/13	\$5,743.75	\$5,540.23		\$203.52	Sale
UST NOTE 3.5 051520 CUSIP/SEC NO: 912828ND8	5,000.00	06/14/12	05/29/13	\$5,664.84	\$5,751.16		(\$86.32)	Sale
US STEEL CORP 6.65 060137 CUSIP/SEC NO: 912909AD0	10,000.00	07/10/12	05/20/13	\$9,250.00	\$8,022.31		\$1,227.69	Sale
Total Gross Proceeds SHORT-TERM transactions with cost basis NOT reported to the IRS	191,000.00			\$214,294.14	\$209,564.56	\$0.00	\$4,729.58	

Gross Proceeds **LONG-TERM** transactions with cost basis NOT reported to the IRS - Report on Form 8949, Part II, with Box E checked

<u>DESCRIPTION</u>	<u>QUANTITY ACQUIRED</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>PROCEEDS</u>	<u>COST BASIS</u>	<u>WASH SALE (LOSS) DISALLOWED</u>	<u>GAIN/(LOSS)</u>	<u>ACTIVITY</u>
CCO HLDGS 7 375 060120 CUSIP/SEC NO: 1248EPAW3	10,000.00	06/20/12	07/22/13	\$10,875.00	\$10,812.75		\$62.25	Sale
CHS CMNTY 7.125 071520 CUSIP/SEC NO: 12543DAQ3	5,000.00	07/09/12	09/11/13	\$5,037.50	\$5,083.59		(\$46.09)	Sale
CIT GROUP 5.25 031518 CUSIP/SEC NO: 125581GL6	10,000.00	07/24/12	11/06/13	\$10,750.00	\$10,474.44		\$275.56	Sale



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GW&K MGD ACCT

PAYER INFORMATION:

STIFEL, NICOLAUS & COMPANY, INCORPORATED
FEDERAL ID NO: 43-0538770
F.A. N130
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1099-B DETAIL INFORMATION (CONTINUED)

The following detail is being provided for your information only and will not be furnished to the IRS.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds LONG-TERM transactions with cost basis NOT reported to the IRS - Report on Form 8949, Part II, with Box E checked (continued)

DESCRIPTION	QUANTITY ACQUIRED	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
INTL PAPER 7.5 081521 CUSIP/SEC NO. 460146CE1	5,000.00	06/25/12	06/27/13	\$6,136.50	\$6,232.12		(\$95.62)	Sale
IRON MTN 8.375 081521 CUSIP/SEC NO: 46299ALF5	4,000.00	06/20/12	08/22/13	\$4,270.00	\$4,235.42		\$34.58	Tender
Total Gross Proceeds LONG-TERM transactions with cost basis NOT reported to the IRS	34,000.00			\$37,069.00	\$36,838.32	\$0.00	\$230.68	

TOTAL SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS

\$251,363.14

1099-OID DETAIL INFORMATION

The following detail is being provided for your information only and will not be furnished to the IRS.

ORIGINAL ISSUE DISCOUNT

CINEMARK USA 8.625 061519
CINEMARK USA INC
CUSIP: 172441AS6

TOTAL FOR CUSIP: 172441AS6

OTHER PERIODIC INTEREST (BOX 2) \$39.53

OID ON US TREASURY OBLIGATIONS (BOX 8) \$0.00

ORIGINAL ISSUE DISCOUNT (BOX 1) \$0.50

STAD

PAYER INFORMATION:
 STIFEL, NICOLAUS & COMPANY, INCORPORATED
 FEDERAL ID NO: 43-0538770
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1099-DIV DETAIL INFORMATION (CONTINUED)

The following detail is being provided for your information only and will not be furnished to the IRS.

CAPITAL GAINS DISTRIBUTIONS (INCLUDES BOXES 2B, 2C, 2D)

<u>DESCRIPTION</u>	<u>CUSIP/</u>	<u>SEC NO</u>	<u>DATE</u>	<u>ACTIVITY</u>	<u>AMOUNT</u>	<u>IRS</u>	<u>BOX</u>	<u>CNTRY</u>
		754907103	12/30/13	Long Term Capital Gain	\$31.68		2A	
				CUSIP TOTAL:	\$87.88			
				TOTAL CAPITAL GAINS DISTRIBUTIONS (INCLUDES BOXES 2B, 2C, 2D)	\$309.47			

NON DIVIDEND DISTRIBUTIONS

<u>DESCRIPTION</u>	<u>CUSIP/</u>	<u>SEC NO</u>	<u>DATE</u>	<u>ACTIVITY</u>	<u>AMOUNT</u>	<u>IRS</u>	<u>BOX</u>	<u>CNTRY</u>
FRANKLIN STREET PPTYS		35471R106	02/14/13	Return Of Capital	\$17.51		3	
		35471R106	05/16/13	Return Of Capital	\$17.51		3	
				CUSIP TOTAL:	\$35.02			
GOLUB CAPITAL BDC INC		38173M102	03/28/13	Return Of Capital	\$8.62		3	
		38173M102	06/27/13	Return Of Capital	\$11.24		3	
		38173M102	09/27/13	Return Of Capital	\$11.24		3	
				CUSIP TOTAL:	\$31.10			
				TOTAL NON DIVIDEND DISTRIBUTIONS	\$66.12			

1099-B DETAIL INFORMATION

The following detail is being provided for your information only and will not be furnished to the IRS.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS

Gross Proceeds SHORT-TERM transactions with cost basis reported to the IRS - Report on Form 8949, Part I, with Box A checked

<u>DESCRIPTION</u>	<u>QUANTITY</u>	<u>ACQUIRED</u>	<u>DATE</u>	<u>DATE</u>	<u>PROCEEDS</u>	<u>COST</u>	<u>SALE (LOSS)</u>	<u>WASH</u>	<u>DISALLOWED</u>	<u>GAIN/(LOSS)</u>	<u>ACTIVITY</u>
ANNALY CAP MGMT INC	219.00	Various	05/03/13		\$3,310.19	\$3,534.75				(\$224.56)	Sale



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ONE FINANCIAL PLAZA
501 NORTH BROADWAY
ST. LOUIS, MO 63102

DUPLICATE FOR THE ACCOUNT OF:
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CONFLUENCE MANAGED ACCT

PAYER INFORMATION:
STIFEL, NICOLAUS & COMPANY, INCORPORATED
FEDERAL ID NO: 43-0538770
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1099-B DETAIL INFORMATION (CONTINUED)

The following detail is being provided for your information only and will not be furnished to the IRS.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds SHORT-TERM transactions with cost basis reported to the IRS - Report on Form 8949, Part I, with Box A checked (continued)

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	SALE (LOSS) DISALLOWED	WASH	GAIN/(LOSS)	ACTIVITY
FIDELITY NATL FINL INC CUSIP/SEC NO: 31620R105	30.00	07/20/12	01/02/13	\$736.47	\$567.26			\$169.21	Sale
FRANKLIN STREET PPTY'S CUSIP/SEC NO: 35471R106	45.00 30.00 228.00	06/04/12 06/04/12 06/04/12	05/03/13 05/06/13 05/08/13	\$668.50 \$445.42 \$3,312.26	\$426.05 \$284.04 \$2,158.67			\$242.45 \$161.38 \$1,153.59	Sale Sale Sale
CUSIP/SEC NO 35471R106 TOTAL:	303.00			\$4,426.18	\$2,868.76		\$0.00	\$1,557.42	
HNI CORP CUSIP/SEC NO: 404251100	11.00 11.00 30.00	06/04/12 06/04/12 06/04/12	01/07/13 01/08/13 01/24/13	\$338.24 \$337.63 \$950.76	\$244.31 \$244.31 \$666.30			\$93.93 \$93.32 \$284.46	Sale Sale Sale
CUSIP/SEC NO 404251100 TOTAL:	133.00			\$4,187.82	\$2,953.92		\$0.00	\$1,233.90	
LANDAUER INC CUSIP/SEC NO: 51476K103	1.00 28.00 7.00 4.00 2.00 1.00	01/22/13 01/22/13 01/22/13 01/22/13 01/22/13 01/22/13	09/26/13 09/26/13 09/30/13 10/01/13 10/11/13 10/14/13	\$50.99 \$1,427.98 \$358.08 \$204.99 \$101.99 \$51.03	\$63.39 \$1,774.92 \$443.73 \$253.56 \$126.78 \$63.39			(\$12.40) (\$346.94) (\$85.65) (\$48.57) (\$24.79) (\$12.36)	Sale Sale Sale Sale Sale Sale
CUSIP/SEC NO 51476K103 TOTAL:	43.00			\$2,195.06	\$2,725.77		\$0.00	(\$530.71)	
MCGRAW HILL FINL INC CUSIP/SEC NO: 580645109	49.00	01/22/13	06/11/13	\$2,670.00	\$2,656.29			\$13.71	Sale

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PAYER INFORMATION:
STIFEL, NICOLAUS & COMPANY, INCORPORATED
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1099-B DETAIL INFORMATION (CONTINUED)

The following detail is being provided for your information only and will not be furnished to the IRS.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds **SHORT-TERM** transactions with cost basis reported to the IRS - Report on Form 8949, Part I, with Box A checked (continued)

<u>DESCRIPTION</u>	<u>QUANTITY ACQUIRED</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>PROCEEDS</u>	<u>COST BASIS</u>	<u>SALE (LOSS) DISALLOWED</u>	<u>WASH</u>	<u>GAIN/(LOSS)</u>	<u>ACTIVITY</u>
VECTREN CORP									
CUSIP/SEC NO: 92240G101	153.00	Various	05/10/13	\$5,516.55	\$4,577.23			\$939.32	Sale
	52.00	01/22/13	05/10/13	\$1,873.57	\$1,625.00			\$248.57	Sale
CUSIP/SEC NO 92240G101 TOTAL:	205.00			\$7,390.12	\$6,202.23		\$0.00	\$1,187.89	
Total Gross Proceeds SHORT-TERM transactions with cost basis reported to the IRS	982.00			\$24,915.84	\$21,508.98		\$0.00	\$3,406.86	

Gross Proceeds **LONG-TERM** transactions with cost basis reported to the IRS - Report on Form 8949, Part II, with Box D checked

<u>DESCRIPTION</u>	<u>QUANTITY ACQUIRED</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>PROCEEDS</u>	<u>COST BASIS</u>	<u>SALE (LOSS) DISALLOWED</u>	<u>WASH</u>	<u>GAIN/(LOSS)</u>	<u>ACTIVITY</u>
LANDAUER INC									
CUSIP/SEC NO: 51476K103	15.00	06/04/12	09/24/13	\$769.62	\$761.10			\$8.52	Sale
	44.00	06/04/12	09/26/13	\$2,243.95	\$2,232.56			\$11.39	Sale
CUSIP/SEC NO 51476K103 TOTAL:	59.00			\$3,013.57	\$2,993.66		\$0.00	\$19.91	
MCGRAW HILL FINL INC									
CUSIP/SEC NO: 580645109	69.00	06/04/12	06/11/13	\$3,759.79	\$2,940.08			\$819.71	Sale



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ONE FINANCIAL PLAZA
501 NORTH BROADWAY
ST. LOUIS, MO 63102

DUPLICATE FOR THE ACCOUNT OF:
THE GERDA LISSNER FOUNDATION
CONFLUENCE MANAGED ACCT

PAYER INFORMATION:
STIFEL, NICOLAUS & COMPANY, INCORPORATED
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1099-B DETAIL INFORMATION (CONTINUED)

The following detail is being provided for your information only and will not be furnished to the IRS.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds LONG-TERM transactions with cost basis reported to the IRS - Report on Form 8949, Part II, with Box D checked (continued)

<u>DESCRIPTION</u>	<u>QUANTITY ACQUIRED</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>PROCEEDS</u>	<u>COST BASIS</u>	<u>WASH SALE (LOSS) DISALLOWED</u>	<u>GAIN/(LOSS)</u>	<u>ACTIVITY</u>
RLI CORP								
CUSIP/SEC NO: 749607107	45.00	08/01/12	10/29/13	\$4,305.89	\$2,877.59		\$1,428.30	Sale
	4.00	08/01/12	10/31/13	\$380.68	\$255.78		\$124.90	Sale
CUSIP/SEC NO 749607107 TOTAL:	49.00			\$4,686.57	\$3,133.37	\$0.00	\$1,553.20	
Total Gross Proceeds LONG-TERM transactions with cost basis reported to the IRS	177.00			\$11,459.93	\$9,067.11	\$0.00	\$2,392.82	

TOTAL SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS

\$36,375.77

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ONE FINANCIAL PLAZA
501 NORTH BROADWAY
ST. LOUIS, MO 63102

DUPLICATE FOR THE ACCOUNT OF:
THE GERDA LISSNER FOUNDATION
ACR-ALPINE CAP MGD ACCT

PAYER INFORMATION:
STIFEL, NICOLAUS & COMPANY, INCORPORATED
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1099-B DETAIL INFORMATION

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS

Gross Proceeds SHORT-TERM transactions with cost basis reported to the IRS - Report on Form 8949, Part I, with Box A checked

DESCRIPTION	QUANTITY ACQUIRED	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
DST SYSTEMS INC DEL CUSIP/SEC NO: 233326107	120 00	06/04/12	05/09/13	\$8,310.48	6,020.40		\$2,290.08	Sale
DELL INC CUSIP/SEC NO: 24702R101	180 00	11/14/12	10/30/13	\$2,475 00	1,727.96		\$747.04	Merger
MAGNA INTL INC CLASS A CUSIP/SEC NO: 559222401	88 00 23.00	06/04/12 06/04/12	05/14/13 05/15/13	\$5,643.18 \$1,471.52	3,237.52 846.17		\$2,405 66 \$625 35	Sale Sale
CUSIP/SEC NO 559222401 TOTAL:	111.00			\$7,114.70	\$4,083.69	\$0.00	\$3,031.01	
NYSE EURONEXT CUSIP/SEC NO: 629491101	345.00	Various	01/22/13	\$11,502 11	7,782 31		\$3,719.80	Sale
STRAYER ED INC CUSIP/SEC NO: 863236105	100.00	Various	08/29/13	\$4,069 76	5,923.40		(\$1,853 64)	Sale
Total Gross Proceeds SHORT-TERM transactions with cost basis reported to the IRS	856.00			\$33,472.05	25,537.76	\$0.00	\$7,934.29	

Gross Proceeds LONG-TERM transactions with cost basis reported to the IRS - Report on Form 8949, Part II, with Box D checked

DESCRIPTION	QUANTITY ACQUIRED	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
CALAMOS ASSET MGMT CL A CUSIP/SEC NO: 12811R104	405.00 150 00	06/04/12 06/04/12	11/20/13 11/21/13	\$4,244.32 \$1,575 27	4,364 77 1,616 58		(\$120.45) (\$41.31)	Sale Sale
CUSIP/SEC NO 12811R104 TOTAL:	555.00			\$5,819.59	\$5,981.35	\$0.00	(\$161.76)	

STIFEL

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501 NORTH BROADWAY
ST. LOUIS, MO 63102

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ACR-ALPINE CAP MGD ACCT

PAYER INFORMATION:
STIFEL, NICOLAUS & COMPANY, INCORPORATED
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1099-B DETAIL INFORMATION (CONTINUED)

The following detail is being provided for your information only and will not be furnished to the IRS.

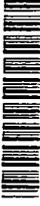
SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds LONG-TERM transactions with cost basis reported to the IRS - Report on Form 8949, Part II, with Box D checked (continued)

DESCRIPTION	QUANTITY ACQUIRED	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH		ACTIVITY
						SALE (LOSS) DISALLOWED	GAIN/(LOSS)	
DELL INC								
CUSIP/SEC NO: 24702R101	634.00	Various	10/30/13	\$8,717.50	7,609.44		\$1,108.06	Merger
LOCKHEED MARTIN CORP								
CUSIP/SEC NO: 539830109	50.00	06/04/12	07/24/13	\$5,947.49	4,058.50		\$1,888.99	Sale
MKT VCTR PHARMA ETF								
CUSIP/SEC NO: 57060U217	165.00	06/04/12	07/09/13	\$7,619.58	5,987.85		\$1,631.73	Sale
VODAFONE GRP PLC NEW ADR								
CUSIP/SEC NO: 92857W209	115.00	06/04/12	09/19/13	\$3,861.69	3,085.44		\$776.25	Sale
Total Gross Proceeds LONG-TERM transactions with cost basis reported to the IRS	1,519.00			\$31,965.85	26,722.58	\$0.00	\$5,243.27	

TOTAL SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS

\$65,437.90



STIFEL

ONE FINANCIAL PLAZA
501 NORTH BROADWAY
ST. LOUIS, MO 63102

DUPLICATE FOR THE ACCOUNT OF:
THE GERDA LISSNER FOUNDATION
WCA MANAGED ACCOUNT

PAYER INFORMATION:
STIFEL, NICOLAUS & COMPANY, INCORPORATED
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1099-B DETAIL INFORMATION

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS

Gross Proceeds SHORT-TERM transactions with cost basis reported to the IRS - Report on Form 8949, Part I, with Box A checked

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
ISHS CORE TTL US BD ETF CUSIP/SEC NO: 464287226	194.00	06/28/12	05/13/13	\$21,401.71	\$21,633.53		(\$231.82)	Sale
ISHS MSCI EMG MKT ETF CUSIP/SEC NO: 464287234	118.00	12/19/12	05/13/13	\$5,096.32	\$5,143.20		(\$46.88)	Sale
ISHS INVSTMT BD ETF CUSIP/SEC NO 464287242	427.00	06/28/12	06/21/13	\$48,036.02	\$50,262.17		(\$2,226.15)	Sale
ISHS 7-10Y TRSY ETF CUSIP/SEC NO: 464287440	325.00 195.00	06/28/12 Various	06/21/13 08/02/13	\$33,269.15 \$19,819.26	\$35,246.22 \$20,881.74		(\$1,977.07) (\$1,062.48)	Sale Sale
CUSIP/SEC NO 464287440 TOTAL:	520.00			\$53,088.41	\$56,127.96	\$0.00	(\$3,039.55)	
ISHS RUSS 1000 VAL ETF CUSIP/SEC NO 464287598	170.00	06/28/12	05/13/13	\$14,295.24	\$11,257.39		\$3,037.85	Sale
ISHS RUSS 1000 GRW ETF CUSIP/SEC NO: 464287614	191.00	06/28/12	05/13/13	\$14,295.60	\$11,750.31		\$2,545.29	Sale
ISHS RUSS 2000 INDX ETF CUSIP/SEC NO: 464287655	75.00	06/28/12	05/13/13	\$7,253.18	\$5,785.46		\$1,467.72	Sale
ISHS RUSS 3000 INDX ETF CUSIP/SEC NO: 464287689	391.00	Various	04/12/13	\$36,834.07	\$30,632.16		\$6,201.91	Sale
ISHS US RL EST ETF CUSIP/SEC NO 464287739	20.00	06/28/12	05/13/13	\$1,478.08	\$1,235.40		\$242.68	Sale
ISHS MBS ETF CUSIP/SEC NO: 464288588	273.00	05/13/13	10/01/13	\$28,845.41	\$29,355.48		(\$510.07)	Sale
SPDR BARC HI YLD BD ETF CUSIP/SEC NO: 78464A417	831.00	06/28/12	05/13/13	\$34,503.59	\$32,525.27		\$1,978.32	Sale

STIFEL

ONE FINANCIAL PLAZA
501 NORTH BROADWAY
ST. LOUIS, MO 63102

DUPLICATE FOR THE ACCOUNT OF:
THE GERDA LISSNER FOUNDATION
WCA MANAGED ACCOUNT

PAYER INFORMATION:
STIFEL, NICOLAUS & COMPANY, INCORPORATED
FEDERAL ID NO: 43-0538770
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1099-B DETAIL INFORMATION (CONTINUED)

The following detail is being provided for your information only and will not be furnished to the IRS.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds SHORT-TERM transactions with cost basis reported to the IRS - Report on Form 8949, Part I, with Box A checked (continued)

DESCRIPTION	QUANTITY ACQUIRED	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH		ACTIVITY
						SALE (LOSS) DISALLOWED	GAIN/(LOSS)	
SPDR BARC INTL IRSY ETF CUSIP/SEC NO 78464A516	476 00	Various	05/13/13	\$27,705.71	\$27,919.39		(\$213.68)	Sale
Total Gross Proceeds SHORT-TERM transactions with cost basis reported to the IRS	3,686.00			\$292,833.34	\$283,627.72	\$0.00	\$9,205.62	

Gross Proceeds SHORT-TERM transactions with cost basis NOT reported to the IRS - Report on Form 8949, Part I, with Box B checked

DESCRIPTION	QUANTITY ACQUIRED	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH		ACTIVITY
						SALE (LOSS) DISALLOWED	GAIN/(LOSS)	
SPDR GOLD TRUST GOLD ETF CUSIP/SEC NO: 78463V107	127.00	06/28/12	05/13/13	\$17,620.22	\$19,175.84		(\$1,555.62)	Sale
	13.00	01/18/13	08/02/13	\$1,646.91	\$2,121.89		(\$474.98)	Sale
CUSIP/SEC NO 78463V107 TOTAL:	140.00			\$19,267.13	\$21,297.73	\$0.00	(\$2,030.60)	
Total Gross Proceeds SHORT-TERM transactions with cost basis NOT reported to the IRS	140.00			\$19,267.13	\$21,297.73	\$0.00	(\$2,030.60)	

TOTALS 312 100.47 304925 45 7175.02



ONE FINANCIAL PLAZA
 501 NORTH BROADWAY
 ST. LOUIS, MO 63102

DUPLICATE FOR THE ACCOUNT OF:
 THE GERDA LISSNER FOUNDATION
 WCA MANAGED ACCOUNT

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 STIFEL, NICOLAUS & COMPANY, INCORPORATED
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1099-B DETAIL INFORMATION (CONTINUED)

The following detail is being provided for your information only and will not be furnished to the IRS.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds LONG-TERM transactions with cost basis reported to the IRS - Report on Form 8949, Part II, with Box D checked

<u>DESCRIPTION</u>	<u>QUANTITY ACQUIRED</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>PROCEEDS</u>	<u>COST BASIS</u>	<u>WASH SALE (LOSS) DISALLOWED</u>	<u>GAIN/(LOSS)</u>	<u>ACTIVITY</u>
ISHS 7-10Y TRSY ETF CUSIP/SEC NO: 464287440	184.00	06/28/12	08/02/13	\$18,701.24	\$19,954.78		(\$1,253.54)	Sale

Total Gross Proceeds LONG-TERM transactions with cost basis reported to the IRS

184.00 \$18,701.24 \$19,954.78 \$0.00 (\$1,253.54)

Gross Proceeds LONG-TERM transactions with cost basis NOT reported to the IRS - Report on Form 8949, Part II, with Box E checked

<u>DESCRIPTION</u>	<u>QUANTITY ACQUIRED</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>PROCEEDS</u>	<u>COST BASIS</u>	<u>WASH SALE (LOSS) DISALLOWED</u>	<u>GAIN/(LOSS)</u>	<u>ACTIVITY</u>
SPDR GOLD TRUST GOLD ETF CUSIP/SEC NO: 78463V107	71.00	06/28/12	08/02/13	\$8,994.65	\$10,713.50		(\$1,718.85)	Sale

Total Gross Proceeds LONG-TERM transactions with cost basis NOT reported to the IRS

71.00 \$8,994.65 \$10,713.50 \$0.00 (\$1,718.85)

✓ 7695.89 30668.28 (2972.39)

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ONE FINANCIAL PLAZA
501 NORTH BROADWAY
ST. LOUIS, MO 63102

DUPLICATE FOR THE ACCOUNT OF:
THE GERDA LISSNER FOUNDATION
RIVERBRIDGE MODEL ACCOUNT

PAYER INFORMATION:
STIFEL, NICOLAUS & COMPANY, INCORPORATED
FEDERAL ID NO: 43-0538770
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1099-B DETAIL INFORMATION

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS

Gross Proceeds **SHORT-TERM** transactions with cost basis reported to the IRS - Report on Form 8949, Part I, with Box A checked

<u>DESCRIPTION</u>	<u>QUANTITY ACQUIRED</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>PROCEEDS</u>	<u>COST BASIS</u>	<u>WASH SALE (LOSS) DISALLOWED</u>	<u>GAIN/(LOSS)</u>	<u>ACTIVITY</u>
HORMEL FOODS CORP CUSIP/SEC NO: 440452100	42.00	01/23/13	10/31/13	\$1,827.34	1,464.96		\$362.38	Sale
INNERWORKINGS INC CUSIP/SEC NO: 45773Y105	40.00	01/23/13	10/31/13	\$385.78	570.80		(\$185.02)	Sale
PROTO LABS INC CUSIP/SEC NO: 743713109	2.00	04/24/13	07/12/13	\$127.87	97.70		\$30.17	Sale
SCHLUMBERGER LTD CUSIP/SEC NO: 806857108	18.00	Various	05/24/13	\$1,330.18	1,243.56		\$86.62	Sale
TECHNE CORP CUSIP/SEC NO: 878377100	15.00	06/01/12	02/15/13	\$1,021.16	997.14		\$24.02	Sale
QIAGEN NV REG SHARES CUSIP/SEC NO: N72482107	148.00	Various	03/18/13	\$3,055.51	2,554.59		\$500.92	Sale
Total Gross Proceeds SHORT-TERM transactions with cost basis reported to the IRS	265.00			\$7,747.84	6,928.75	\$0.00	\$819.09	

Gross Proceeds **LONG-TERM** transactions with cost basis reported to the IRS - Report on Form 8949, Part II, with Box D checked

<u>DESCRIPTION</u>	<u>QUANTITY ACQUIRED</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>PROCEEDS</u>	<u>COST BASIS</u>	<u>WASH SALE (LOSS) DISALLOWED</u>	<u>GAIN/(LOSS)</u>	<u>ACTIVITY</u>
BEACON ROOFING SUPPLY CUSIP/SEC NO: 073685109	4.00	06/01/12	07/12/13	\$160.99	93.68		\$67.31	Sale
BIO REF LABS NEW \$0.01 CUSIP/SEC NO: 09057G602	4.00	06/01/12	07/12/13	\$105.87	74.00		\$31.87	Sale

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501 NORTH BROADWAY
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DUPLICATE FOR THE ACCOUNT OF:
THE GERDA LISSNER FOUNDATION
RIVERBRIDGE MODEL ACCOUNT

PAYER INFORMATION:
STIFEL, NICOLAUS & COMPANY, INCORPORATED
FEDERAL ID NO: 43-0538770
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1099-B DETAIL INFORMATION (CONTINUED)

The following detail is being provided for your information only and will not be furnished to the IRS.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds LONG-TERM transactions with cost basis reported to the IRS - Report on Form 8949, Part II, with Box D checked (continued)

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
CEPHEID INC								
CUSIP/SEC NO: 15670R107	4.00	06/01/12	07/12/13	\$138.67	141.20		(\$2.53)	Sale
CONCUR TECHNOLOGIES INC								
CUSIP/SEC NO: 206708109	2.00	06/01/12	07/12/13	\$173.49	116.80		\$56.69	Sale
COSTAR GROUP INC								
CUSIP/SEC NO: 22160N109	2.00	07/02/12	07/12/13	\$267.63	163.96		\$103.67	Sale
GRAND CANYON EDUCATION								
CUSIP/SEC NO: 38526M106	4.00	06/01/12	07/12/13	\$143.87	72.76		\$71.11	Sale
HORMEL FOODS CORP								
CUSIP/SEC NO: 440452100	65.00	06/01/12	10/31/13	\$2,828.02	1,912.30		\$915.72	Sale
IPC THE HOSPITALIST								
CUSIP/SEC NO: 44984A105	2.00	06/01/12	07/12/13	\$104.75	69.76		\$34.99	Sale
INNERWORKINGS INC								
CUSIP/SEC NO: 45773Y105	60.00	06/01/12	10/31/13	\$578.67	697.19		(\$118.52)	Sale
LKQ CORP								
CUSIP/SEC NO: 501889208	6.00	06/01/12	07/12/13	\$155.87	104.01		\$51.86	Sale
	75.00	06/01/12	11/07/13	\$2,332.93	1,300.12		\$1,032.81	Sale
CUSIP/SEC NO 501889208 TOTAL:	81.00			\$2,488.80	\$1,404.13	\$0.00	\$1,084.67	
NATIONAL INSTRS CORP								
CUSIP/SEC NO: 636518102	4.00	06/01/12	07/12/13	\$116.99	100.00		\$16.99	Sale
NEOGEN CORP								
CUSIP/SEC NO: 640491106		07/18/12	10/30/13	\$21.21	14.62		\$6.59	Cash In Lieu
PRAXAIR INC								
CUSIP/SEC NO: 74005P104	2.00	06/01/12	07/12/13	\$234.21	207.34		\$26.87	Sale
ROLLINS INC								
*CUSIP/SEC NO: 775711104	4.00	06/01/12	07/12/13	\$108.31	83.32		\$24.99	Sale



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DUPLICATE FOR THE ACCOUNT OF:
THE GERDA LISSNER FOUNDATION
RIVERBRIDGE MODEL ACCOUNT

PAYER INFORMATION:

STIFEL, NICOLAUS & COMPANY, INCORPORATED
FEDERAL ID NO: 43-0538770
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1099-B DETAIL INFORMATION (CONTINUED)

The following detail is being provided for your information only and will not be furnished to the IRS.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds LONG-TERM transactions with cost basis reported to the IRS - Report on Form 8949, Part II, with Box D checked (continued)

DESCRIPTION	QUANTITY ACQUIRED	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
STARBUCKS CORP CUSIP/SEC NO: 855244109	4.00	06/01/12	07/12/13	\$277.11	208.72		\$68.39	Sale
	25.00	06/01/12	10/31/13	\$2,025.17	1,304.50		\$720.67	Sale
CUSIP/SEC NO 855244109 TOTAL:	29.00			\$2,302.28	\$1,513.22	\$0.00	\$789.06	

Total Gross Proceeds LONG-TERM transactions with cost basis reported to the IRS

\$9,773.76 \$0.00 \$3,109.48

Changes in Corporate Control And Capital Structure SHORT-TERM transactions with cost basis reported to the IRS - Report on Form 8949, Part I, with Box A checked

DESCRIPTION	QUANTITY ACQUIRED	DATE ACQUIRED	DATE SOLD	AGGREGATE PROCEEDS RECEIVED	COST BASIS	SECURITY	ALLOCATED PROCEEDS	ACTIVITY
PERRIGO COMPANY CUSIP/SEC NO: 714290103	8.00	01/23/13	12/19/13	\$1,242.80	825.52	Common Stock	0.08	Cash Rec Eligible
Total Changes in Corporate Control And Capital Structure SHORT-TERM with cost basis reported to the IRS	8.00			\$1,242.80	825.52		1,242.72	FMV Eligible

GAIN
~~ALLOCATED PROCEEDS~~
1,242.80
147.28

STIFEL

ONE FINANCIAL PLAZA
501 NORTH BROADWAY
ST. LOUIS, MO 63102

DUPLICATE FOR THE ACCOUNT OF:
THE GERDA LISSNER FOUNDATION
RIVERBRIDGE MODEL ACCOUNT

PAYER INFORMATION:

STIFEL, NICOLAUS & COMPANY, INCORPORATED
FEDERAL ID NO: 43-0538770
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1099-B DETAIL INFORMATION (CONTINUED)

The following detail is being provided for your information only and will not be furnished to the IRS.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Changes in Corporate Control And Capital Structure LONG-TERM transactions with cost basis reported to the IRS - Report on Form 8949, Part II, with Box D checked

DESCRIPTION	QUANTITY ACQUIRED	DATE	DATE SOLD	AGGREGATE PROCEEDS RECEIVED	COST BASIS	SECURITY	ALLOCATED PROCEEDS	ACTIVITY
PERRIGO COMPANY CUSIP/SEC NO: 714290103	15.00	06/01/12	12/19/13	\$2,330.24	1,515.90		2,330.09	
Total Changes in Corporate Control And Capital Structure LONG-TERM with cost basis reported to the IRS	15.00			\$2,330.24	1,515.90		2,330.24 214.34	

TOTAL SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS

\$21,094.64



STIFEL
 ONE FINANCIAL PLAZA
 501 NORTH BROADWAY
 ST. LOUIS, MO 63102

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 THE GERDA LISSNER FOUNDATION

PAYER INFORMATION:
 STIFEL, NICOLAUS & COMPANY, INCORPORATED
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1099-B DETAIL INFORMATION

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS

Gross Proceeds SHORT-TERM transactions with cost basis reported to the IRS - Report on Form 8949, Part I, with Box A checked

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
ABBOTT LABORATORIES								
CUSIP/SEC NO. 002824100	300.00	02/15/13	04/03/13	\$10,745.78	10,734.15		\$11.63	Sale
	300.00	05/06/13	10/17/13	\$10,904.92	11,010.67		(\$105.75)	Sale
CUSIP/SEC NO 002824100 TOTAL:	600.00			\$21,650.70	\$22,611.39	\$0.00	(\$94.12)	
APPLE INC								
CUSIP/SEC NO: 037833100	25.00	10/17/12	01/24/13	\$11,389.74	16,241.00		(\$4,851.26)	Sale
	25.00	10/17/12	04/18/13	\$9,644.78	16,241.00		(\$6,596.22)	Sale
CUSIP/SEC NO 037833100 TOTAL:	50.00			\$21,034.52	\$32,482.00	\$0.00	(\$11,447.48)	
DU PONT E.I.DE NEMOUR&CO								
CUSIP/SEC NO: 263534109	200.00	10/23/12	03/26/13	\$9,572.80	9,477.00		\$95.80	Sale
HATTERAS FINL CORP REIT								
CUSIP/SEC NO: 41902R103	300.00	10/19/12	09/09/13	\$5,300.89	8,221.87		(\$2,920.98)	Sale
KERYX BIOPHARM INC								
CUSIP/SEC NO: 492515101	1,000.00	02/01/13	07/26/13	\$8,995.34	8,934.10		\$61.24	Sale
NII HLDGS INC CL B NEW								
CUSIP/SEC NO: 62913F201	500.00	10/19/12	04/25/13	\$4,458.67	3,963.56		\$495.11	Sale
	500.00	10/19/12	08/12/13	\$3,376.62	3,963.56		(\$586.94)	Sale
CUSIP/SEC NO 62913F201 TOTAL:	1,000.00			\$7,835.29	\$7,927.12	\$0.00	(\$91.83)	
ONCOLYTICS BIOTECH INC								
CUSIP/SEC NO: 682310107	500.00	02/21/13	09/04/13	\$1,305.47	2,089.95		(\$784.48)	Sale
SILVER BAY REALTY TR								
CUSIP/SEC NO: 82735Q102	200.00	02/28/13	07/08/13	\$3,238.26	4,183.42		(\$945.16)	Sale
	100.00	02/28/13	08/01/13	\$1,555.98	2,091.71		(\$535.73)	Sale
CUSIP/SEC NO 82735Q102 TOTAL:	300.00			\$4,794.24	\$6,275.13	\$0.00	(\$1,480.89)	

STIFEL

ONE FINANCIAL PLAZA
501 NORTH BROADWAY
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DUPLICATE FOR THE ACCOUNT OF:
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PAYER INFORMATION:
STIFEL, NICOLAUS & COMPANY, INCORPORATED
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1099-B DETAIL INFORMATION (CONTINUED)

The following detail is being provided for your information only and will not be furnished to the IRS.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds **SHORT-TERM** transactions with cost basis reported to the IRS - Report on Form 8949, Part I, with Box A checked (continued)

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
SWIFT TRANSPORTATION CUSIP/SEC NO. 87074U101	500.00	10/03/12	01/10/13	\$4,650.33	4,556.59		\$93.74	Sale
TCP CAPITAL CORP CUSIP/SEC NO: 87238Q103	500.00	08/13/12	03/12/13	\$7,701.35	7,542.86		\$158.49	Sale
TRANSOCEAN LTD NAMED AKT CUSIP/SEC NO H8817H100	200.00	01/30/13	10/02/13	\$8,752.86	11,657.00		(\$2,904.14)	Sale
Total Gross Proceeds SHORT-TERM transactions with cost basis reported to the IRS	5,150.00			\$101,593.79	120,908.44	\$0.00	(\$19,314.65)	

Gross Proceeds **SHORT-TERM** transactions with cost basis **NOT** reported to the IRS - Report on Form 8949, Part I, with Box B checked

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
BREITBURN ENERGY PARTNER CUSIP/SEC NO: 106776107	500.00	10/14/13	12/20/13	\$9,736.51	9,287.16		\$449.35	Sale
EMERGE ENERGY SVCS LP CUSIP/SEC NO: 29102H108	400.00 400.00	08/13/13 09/27/13	08/23/13 10/23/13	\$10,954.41 \$13,694.64	10,760.09 12,481.96		\$194.32 \$1,212.68	Sale Sale
CUSIP/SEC NO 29102H108 TOTAL:	800.00			\$24,649.05	\$23,242.05	\$0.00	\$1,407.00	
ENERGY TRANSFER PTNRS LP CUSIP/SEC NO. 29273R109	100.00	07/12/12	07/08/13	\$4,997.25	4,596.99		\$400.26	Sale



STIFEL

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STIFEL, NICOLAUS & COMPANY, INCORPORATED
FEDERAL ID NO: 43-0538770
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1099-B DETAIL INFORMATION (CONTINUED)

The following detail is being provided for your information only and will not be furnished to the IRS.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds **SHORT-TERM** transactions with cost basis NOT reported to the IRS - Report on Form 8949, Part I, with Box B checked (continued)

<u>DESCRIPTION</u>	<u>QUANTITY ACQUIRED</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>PROCEEDS</u>	<u>COST BASIS</u>	<u>WASH SALE (LOSS) DISALLOWED</u>	<u>GAIN/(LOSS)</u>	<u>ACTIVITY</u>
HI CRUSH PARTNERS LP CUSIP/SEC NO: 428337109	400.00	11/06/13	12/18/13	\$13,647.30	12,340.16		\$1,307.14	Sale
PACIFIC COAST OIL TR CUSIP/SEC NO: 694103102	110.00 190.00	05/30/12 05/30/12	02/06/13 02/26/13	\$1,949.34 \$3,420.27	1,956.11 3,378.75		(\$6.77) \$41.52	Sale Sale
CUSIP/SEC NO 694103102 TOTAL:	300.00			\$5,369.61	\$5,334.86	\$0.00	\$34.75	
WILLIAMS PARTNERS LP CUSIP/SEC NO: 96950F104	200.00	06/03/13	07/12/13	\$10,292.81	10,207.00		\$85.81	Sale
Total Gross Proceeds SHORT-TERM transactions with cost basis NOT reported to the IRS	2,300.00			\$68,692.53	65,008.22	\$0.00	\$3,684.31	

Gross Proceeds **LONG-TERM** transactions with cost basis reported to the IRS - Report on Form 8949, Part II, with Box D checked

<u>DESCRIPTION</u>	<u>QUANTITY ACQUIRED</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>PROCEEDS</u>	<u>COST BASIS</u>	<u>WASH SALE (LOSS) DISALLOWED</u>	<u>GAIN/(LOSS)</u>	<u>ACTIVITY</u>
HORIZON TECH FIN CORP CUSIP/SEC NO: 44045A102	100.00	07/18/12	10/03/13	\$1,235.75	1,686.86		(\$451.11)	Sale
KCAP FINL INC 7.375% CUSIP/SEC NO: 48668E200	30.00 90.00	10/15/12 10/15/12	12/04/13 12/05/13	\$731.94 \$2,270.00	765.30 2,295.90		(\$33.36) (\$25.90)	Sale Sale

STIFEL

ONE FINANCIAL PLAZA
501 NORTH BROADWAY
ST. LOUIS, MO 63102

DUPLICATE FOR THE ACCOUNT OF:
THE GERDA LISSNER FOUNDATION

PAYER INFORMATION:
STIFEL, NICOLAUS & COMPANY, INCORPORATED
FEDERAL ID NO: 43-0538770
F.A. ACCOUNT PAGE
N130 3302-0847 4 of 4
TAXPAYER ID: XX-XXX6516

1099-B DETAIL INFORMATION (CONTINUED)

The following detail is being provided for your information only and will not be furnished to the IRS.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds LONG-TERM transactions with cost basis reported to the IRS - Report on Form 8949, Part II, with Box D checked (continued)

<u>DESCRIPTION</u>	<u>QUANTITY ACQUIRED</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>PROCEEDS</u>	<u>COST BASIS</u>	<u>WASH SALE (LOSS) DISALLOWED</u>	<u>GAIN/(LOSS)</u>	<u>ACTIVITY</u>
CUSIP/SEC NO 48668E200 TOTAL:	120.00			\$3,001.94	\$3,061.20	\$0.00	(\$59.26)	

Total Gross Proceeds LONG-TERM transactions with cost basis reported to the IRS

\$4,237.69
4,748.06
(\$510.37)

Gross Proceeds LONG-TERM transactions with cost basis NOT reported to the IRS - Report on Form 8949, Part II, with Box E checked

<u>DESCRIPTION</u>	<u>QUANTITY ACQUIRED</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>PROCEEDS</u>	<u>COST BASIS</u>	<u>WASH SALE (LOSS) DISALLOWED</u>	<u>GAIN/(LOSS)</u>	<u>ACTIVITY</u>
ENERGY TRANSFER PTNRS LP CUSIP/SEC NO: 29273R109	200.00	06/28/12	07/08/13	\$9,994.48	8,828.98		\$1,165.50	Sale

Total Gross Proceeds LONG-TERM transactions with cost basis NOT reported to the IRS

\$9,994.48
8,828.98
\$1,165.50

TOTAL SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS

\$184,518.49



THE GERDA LISSNER FOUNDATION, INC.
13-3566516
DECEMBER 31, 2013

STATEMENT 16
PART II, LINE 10c - CASH AND TEMPORARY INVESTMENTS

<u>INVESTMENT ADVISOR</u>	<u>Value</u>
JP Morgan Chase	454,302.66
BNY Mellon Wealth Management	103,499.37
Stifel Nicolaus - GW&K Managed	27,763.47
Stifel Nicolaus - Confluence Managed	20,119.62
Stifel Nicolaus - ACR-Alpine Capital	84,914.01
Stifel Nicolaus - WCA Managed	15,428.81
Stifel Nicolaus - Riverbridge Managed	2,842.18
Stifel Nicolaus	<u>125,353.60</u>
	<u>834,223.72</u>

STATEMENT 16

THE GERDA LISSNER FOUNDATION, INC.

13-3566516

DECEMBER 31, 2013

STATEMENT 17

PART II, LINE 10b - CORPORATE STOCK, EQUITY FUNDS AND ALTERNATIVE ASSETS

<u>INVESTMENT ADVISOR</u>	<u>SEE STATEMENT</u>	<u>COST OR ACQUISITION VALUE</u>	<u>MARKET VALUE</u>
JP Morgan Chase	17A	1,374,896.36	1,820,348.84
JP Morgan Chase	17A1	186,460.60	188,441.48
BNY Mellon Wealth Management	17B	1,060,680.71	1,318,417.38
Stifel Nicolaus - Confluence Managed	17C	191,202.65	235,461.43
Stifel Nicolaus - ACR-Alpine Capital	17D	105,956.25	145,045.61
Stifel Nicolaus - WCA Managed	17E	157,889.98	182,675.09
Stifel Nicolaus - Riverbridge Managed	17F	175,999.95	243,700.09
Stifel Nicolaus	17G	42,669.30	40,902.80
		<u>3,295,755.80</u>	<u>4,174,992.72</u>

STATEMENT 17

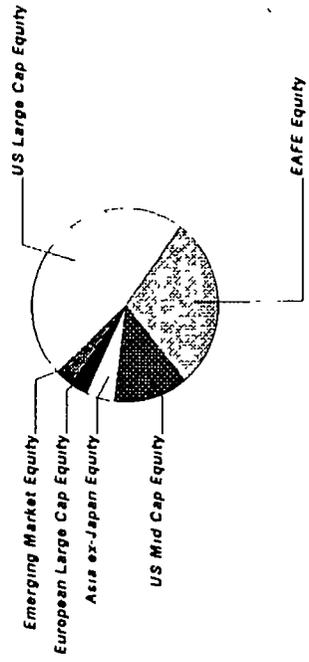


THE GERDA LISSNER FOUNDATION ACCT. A22838006
For the Period 12/1/13 to 12/31/13

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	896,319 61	851,399 39	(44,920 22)	18%
US Mid Cap Equity	245,119 50	251,216 50	6,097 00	5%
EAFE Equity	584,960 55	526,236 07	(58,724 48)	11%
European Large Cap Equity	57,187 92	58,623 60	1,435 68	1%
Asia ex-Japan Equity	148,210 90	86,112 55	(62,098 35)	2%
Emerging Market Equity	46,877 98	46,760 73	(117 25)	1%
Total Value	\$1,978,676.46	\$1,820,348.84	(\$158,327.62)	38%

Market Value/Cost	Current Period Value
Market Value	1,820,348 84
Tax Cost	1,374,896 36
Unrealized Gain/Loss	445,452 48
Estimated Annual Income	24,008 36
Yield	1 31%



Equity as a percentage of your portfolio - 38 %

Equity Detail

US Large Cap Equity	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
CULLEN HIGH DIVIDEND EQ-I 230001-40-6 CHDV X	16 73	12,190 435	203,945 98	154,330 91	49,615 07	4,998 07	2 45%

SR 17A





THE GERDA LISSNER FOUNDATION ACCT. A22838006
For the Period 12/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
GS BREN SPX 1/2/14	109.97	55,000,000	60,484.05	55,000.00	5,484.05		
10%BUFFER-1.5 XLEV- 6.65%CAP	12/27/13						
9.975%MAXRTRN							
INITIAL LEVEL-12/14/12 SPX 1413.58							
38141G-KX-1							
JPM US LARGE CAP CORE PLUS FD - SEL	27.74	12,401,337	344,013.09	185,154.01	158,859.08	1,426.15	0.41%
FUND 1002							
4812A2-38-9 JLPS X							
SG BREN SPX 02/05/14	108.96	55,000,000	59,928.00	55,000.00	4,928.00		
10%BUFFER-1.5 XLEV- 6.1%CAP							
9.15%MAXRTRN							
INITIAL LEVEL-01/18/13 SPX 1485.98							
78423E-FM-1							
SG CONT BUFF EQ SPX 3/12/14	113.77	50,000,000	56,885.00	50,000.00	6,885.00		
80% CONTIN BARRIER- 0.5%CPN							
15% CAP							
INITIAL LEVEL-2/22/13 SPX 1515.60							
78423E-FZ-2							
SPDR S&P 500 ETF TRUST	184.69	683,000	126,143.27	95,592.68	30,550.59	2,288.73	1.81%
78462F-10-3 SPY							
Total US Large Cap Equity			\$851,399.39	\$595,077.60	\$256,321.79	\$8,712.95	1.02%
US Mid Cap Equity							
ISHARES RUSSELL MIDCAP INDEX FUND	149.98	1,675,000	251,216.50	136,189.64	115,026.86	3,301.42	1.31%
464287-49-9 IWR							



THE GERDA LISSNER FOUNDATION ACCT. A22838006
For the Period 12/1/13 to 12/31/13



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	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div	Yield
EAFE Equity							
DODGE & COX INTL STOCK FUND 256206-10-3 DODF X	43.04	4,879,866	210,029.43	192,676.79	17,352.64	3,391.50	1.61%
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	67.10	2,928,000	196,454.16	194,010.45	2,443.71	4,986.38	2.54%
JPM BREN EAFE 01/10/14 10%BUFFER-2 XLEV-7 65%CAP 15 3%MAXRTRN INITIAL LEVEL-12/21/12 SX5E:2651.09 UKX 5939 99 TPX 832 72 48126D-PZ-6	115.79	55,000,000	63,684.50	55,000.00	8,684.50		
MFS INTL VALUE-I 55273E-82-2 MINI X	35.18	1,593,746	56,067.98	43,923.65	12,144.33	1,053.46	1.88%
Total EAFE Equity			\$526,236.07	\$485,610.89	\$40,625.18	\$9,431.34	1.79%

European Large Cap Equity

VANGUARD FTSE EUROPE ETF 922042-87-4 VGK	58.80	997,000	58,623.60	45,529.10	13,094.50	1,624.11	2.77%
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Asia ex-Japan Equity

MATTHEWS PACIFIC TIGER FD-IS 577130-83-4 MIPT X	24.97	1,328,744	33,178.74	25,599.41	7,579.33		
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THE GERDA LISSNER FOUNDATION ACCT. A22838006
For the Period 12/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
Asia ex-Japan Equity							
T ROWE PRICE NEW ASIA 77956H-50-0 PRAS X	16 01	3,306.297	52,933.81	40,933.72	12,000.09	495.94	0.94%
Total Asia ex-Japan Equity			\$86,112.55	\$66,533.13	\$19,579.42	\$495.94	0.58%
Emerging Market Equity							
DELAWARE EMERGING MARKETS-I 245914-81-7 DEMI X	16 27	2,874.046	46,760.73	45,956.00	804.73	442.60	0.95%
Totals			1,820,348.64	1,374,896.36			



THE GERDA LISSNER FOUNDATION ACCT. A22838006
For the Period 12/1/13 to 12/31/13

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hard Assets	185,808.75	188,441.48	2,632.73	4%

Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost	Est Annual Income Accrued Income
Hard Assets					
BARC CBEN BROAD COMMODITY 06/12/14 LKD TO CO1, C1, LOCADY & PLDMLNPM 75% BARRIER, 5 00% CPN, 11 00% CAP 12/07/12, CO1 107 02 C1 732 75 LOCADY 7965 5 PLDMLNPM 698 06741T-LH-8	102.33	55,000,000	56,281.50	55,000.00	
JPM CONT BUFF EQ BRENT 08/13/14 LNKD TO CO1 80% BARRIER- 4 6%CPN 15 00%CAP INITIAL LEVEL-08/01/13 CO1 109 43 48126D-6D-6	103.58	25,000,000	25,895.00	25,000.00	
JPM ENHANCED BETA DAILY RETURN NOTE LNKD TO JBACDJST MATURITY DATE 11/27/18 DD 11/22/13 48126N-TE-7	101.89	50,000,000	50,945.00	50,000.00	



17A1



THE GERDA LISSNER FOUNDATION ACCT. A22838006
For the Period 12/1/13 to 12/31/13

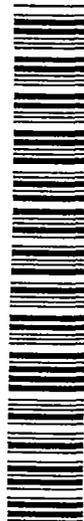
	Price	Quantity	Estimated Value	Cost	Est. Annual Income Accrued Income
Hard Assets					
PIMCO COMMODITYPL STRAT-P 72201P-16-7 PCLP X	10 67	5,184 628	55,319 98	56,460 60	176 27
Total Hard Assets			\$188,441.48	\$186,460.60	\$176.27

ST 17B

Equities

U.S. large cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
37	Actavis PLC (ACT)	\$ 168 0000	\$ 6,216.00	\$ 5,328.37	\$ 887.63	\$ 0 00	0.0%	0.3%
130	Aon PLC (AON)	83 8900	10,905 70	6,314 44	4,591 26	91 00	0.8	0.5
30	Accenture PLC (ACN)	82 2200	2,466 60	1,697 74	768 86	55 80	2.3	0.1
110	Eaton Corp PLC (ETN)	76.1200	8,373 20	5,682 05	2,691.15	184.80	2.2	0.4
150	Ingersoll-Rand PLC (IR)	61 6000	9,240 00	5,465 86	3,774 14	126.00	1.4	0.4
280	Invesco Limited (IVZ)	36 4000	10,192 00	6,215.46	3,976 54	252 00	2.5	0.4
140	Avago Technologies (AVGO)	52 8790	7,403 06	5,966.83	1,436 23	140 00	1.9	0.3



Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
80	AT&T Inc (T)	35 1600	2,812 80	2,768 54	44.26	147 20	5.2	0.1
120	Adobe Systems Inc (ADBE)	59 8790	7,185 48	4,634.19	2,551.29	0 00	0.0	0.3
1,420	Alcatel-Lucent (ALU) Sponsored ADR	4 4000	6,248.00	5,510 54	737.46	0 00	0.0	0.3
51	Allergan Inc (AGN)	111 0800	5,665 08	5,512.05	153.03	10 20	0.2	0.3
26	Amazon Com Inc (AMZN)	398 7900	10,368 54	5,768 56	4,599 98	0 00	0.0	0.5
64	Amgen Inc (AMGN)	114 0800	7,301 12	7,477 31	-176 19	156 16	2.1	0.3
33	Apple Inc (AAPL)	561 0200	18,513 66	18,499.28	14 38	402 60	2.2	0.8
100	Beam Inc (BEAM)	68 0600	6,806.00	6,262 96	543 04	90 00	1.3	0.3
150	Bristol-Myers Squibb Company (BMY)	53 1500	7,972 50	7,068 72	903 78	216 00	2.7	0.4
110	Cabot Oil & Gas Corp Cl A (COG)	38 7600	4,263.60	3,952.26	311.34	8.80	0.2	0.2
80	Capital One Financial Corporation (COF)	76 6100	6,128 80	4,303 52	1,825 28	96 00	1.6	0.3
60	Caterpillar Inc (CAT)	90 8100	5,448 60	5,044.03	404 57	144 00	2.6	0.2
110	Celanese Corp (CE) Series A	55 3100	6,084.10	4,153.88	1,930 22	79 20	1.3	0.3
250	Centerpoint Energy Inc (CNP)	23 1800	5,795 00	5,086 32	708 68	207 50	3.6	0.3
57	Chevron Corporation (CVX)	124 9100	7,119 87	5,926 95	1,192 92	228.00	3.2	0.3
130	Coca - Cola Co (KO)	41 3100	5,370 30	4,863 63	506 67	145 60	2.7	0.2
170	Comcast Corp Cl A (CMCSA)	51 9650	8,834 05	6,006 71	2,827 34	132 60	1.5	0.4
60	Costco Whsl Corp New (COST)	119 0200	7,141 20	5,561 32	1,579 88	74 40	1.0	0.3
90	The Walt Disney Company (DIS)	76 4000	6,876 00	4,141 22	2,734 78	77 40	1.1	0.3
90	Dover Corp (DOV)	96 5400	8,688 60	4,938 02	3,750 58	135 00	1.6	0.4
90	Express Scripts Holdings, Inc (ESRX)	70 2400	6,321 60	4,791 02	1,530 58	0 00	0.0	0.3
60	Exxon Mobil Corp (XOM)	101 2000	6,072.00	4,925.68	1,146 32	151 20	2.5	0.3
40	Facebook Inc (FB)	54 6490	2,185 96	1,455 62	730 34	0 00	0.0	0.1
50	Family Dlr Stores Inc (FDO)	64 9700	3,248.50	3,401.27	-152.77	52 00	1.6	0.1
160	Fidelity National Financial Inc (FNF)	32 4500	5,192 00	4,815 74	376 26	115 20	2.2	0.2

December 1 - December 31, 2013

Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
120	Freeport McMoran Copper & Gold Inc (FCX)	37 7400	4,528 80	4,087 05	441 75	150 00	3 3	0 2
310	General Electric Company (GE)	28.0300	8,689.30	6,491.90	2,197.40	272 80	3.1	0 4
90	Gilead Sciences Inc (GILD)	75 1000	6,759 00	2,236 89	4,522 11	0 00	0 0	0 3
14	Google Inc (GOOG)	1,120 7100	15,689.94	11,156 98	4,532.96	0 00	0 0	0 7
120	Halliburton Co (HAL)	50 7500	6,090 00	3,525 76	2,564 24	72 00	1 2	0 3
100	Harley Davidson Inc (HOG)	69 2400	6,924 00	5,865 60	1,058 40	84 00	1 2	0 3
80	Home Depot Inc (HD)	82 3400	6,587.20	4,045 14	2,542 06	124 80	1 9	0 3
100	Honeywell Intl Inc (HON)	91 3700	9,137 00	5,746 60	3,390 40	180 00	2 0	0 4
46	Intercontinentalexchange Group, Inc (ICE)	224 9200	10,346 32	6,028 47	4,317 85	119 60	1 2	0 5
90	JP Morgan Chase & Co (JPM)	58 4800	5,263.20	3,206 22	2,056 98	136 80	2 6	0 2
130	Johnson Controls Inc (JCI)	51 3000	6,669 00	3,467 62	3,201.38	114.40	1.7	0 3
40	K L A - Tencor Corp (KLAC)	64 4600	2,578 40	2,576.11	2 29	72 00	2 8	0 1
110	Las Vegas Sands Corp (LVS)	78 8700	8,675 70	4,722 82	3,952 88	154 00	1 8	0 4
210	Marathon Oil Corp (MRO)	35 3000	7,413 00	5,679 84	1,733 16	159 60	2 2	0 3
180	Mettlife Inc (MET)	53 9200	9,705 60	5,759 69	3,945 91	198 00	2 0	0 4
190	Mondelez International (MDLZ)	35 3000	6,707 00	4,849 20	1,857 80	106 40	1 6	0 3
100	National Oilwell Varco Inc (NOV)	79 5300	7,953 00	7,170.99	782.01	104 00	1 3	0 3
110	Nucor Corp (NUE)	53 3800	5,871.80	4,792 76	1,079 04	162 80	2 8	0 3
90	Ocwen Financial Corporation (OCN)	55 4500	4,990 50	4,234 56	755 94	0 00	0 0	0 2
80	PNC Financial Services Group (PNC)	77 5800	6,206 40	4,679 05	1,527 35	140 80	2 3	0 3
47	P V H Corp (PVH)	136 0200	6,392 94	5,735 92	657.02	7 05	0 1	0 3
110	Pepsico Inc (PEP)	82 9400	9,123 40	7,709 67	1,413 73	249 70	2 7	0 4
250	Pfizer Inc (PFE)	30 6300	7,657 50	6,366 54	1,290 96	260 00	3 4	0 3
70	Philip Morris International Inc (PM)	87 1300	6,099 10	6,088 59	10 51	263 20	4 3	0 3
130	The Procter & Gamble Company (PG)	81.4100	10,583 30	8,262 84	2,320 46	312 78	3 0	0 5
144	Salesforce Com Inc (CRM)	55 1900	7,947 36	4,790 20	3,157.16	0 00	0 0	0 3



Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings	
80	Schlumberger Ltd (SLB)	90 1100	7,208 80	5,529.73	1,679.07	100.00	1.4	0.3	
70	Sempra Energy (SRE)	89 7600	6,283 20	4,672.06	1,611.14	176.40	2.8	0.3	
110	Servicenow, Inc (NOW)	56.0100	6,161.10	4,874.00	1,287.10	0.00	0.0	0.3	
52	Shire PLC (SHPG) ADR	141 2900	7,347.08	4,608.14	2,738.94	27.46	0.4	0.3	
210	Southwestern Energy Co (SWN)	39 3300	8,259 30	7,442.92	816.38	0.00	0.0	0.4	
150	State Street Corp (STT)	73.3900	11,008.50	6,335.44	4,673.06	156.00	1.4	0.5	
280	Taiwan Semiconductor Mfg Co Ltd (TSM) Sponsored ADR	17 4400	4,883.20	4,810.77	72.43	112.28	2.3	0.2	
200	Teva Pharmaceutical Inds Ltd ADR (TEVA)	40 0800	8,016.00	8,093.87	-77.87	217.20	2.7	0.4	
44	Thermo Fisher Scientific Inc. (TMO)	111.3500	4,899.40	4,658.68	240.72	26.40	0.5	0.2	
24	Union Pac Corp (UNP)	168 0000	4,032.00	2,725.88	1,306.12	75.84	1.9	0.2	
80	United Technologies Corp (UTX)	113 8000	9,104.00	5,998.44	3,104.56	188.80	2.1	0.4	
130	Valero Energy Corp New (VLO)	50 4000	6,552.00	2,776.60	3,775.40	117.00	1.8	0.3	
50	Verizon Communications Inc (VZ)	49 1400	2,457.00	2,150.40	306.60	106.00	4.3	0.1	
40	Vmware Inc (VMW)	89 7100	3,588.40	3,296.65	291.75	0.00	0.0	0.2	
280	Wells Fargo & Company (WFC)	45.4000	12,712.00	9,288.43	3,443.57	336.00	2.6	0.6	
240	Yahoo Inc (YHOO)	40 4400	9,705.60	4,283.54	5,422.06	0.00	0.0	0.4	
80	Yum! Brands Inc (YUM)	75.6100	6,048.80	5,337.06	711.74	118.40	2.0	0.3	
10,133 711	BNY Mellon Income Stock Fund (MPISX)	9 1700	92,926.13	75,000.00	17,926.13	2,057.14	2.2	4.0	
5,751 673	BNY Mellon Focused Equity (MFOMX) Opportunities Fund	16 2400	93,407.17	75,000.00	18,407.17	810.99	0.9	4.0	
Total U.S. large cap					\$ 721,628.36	\$ 549,680.71	\$ 171,947.65	\$ 11,589.30	31.2%

U.S. mid cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
7,930.631	The BNY Mellon Mid Cap (MIPMCX) Multi-Strategy Fund	\$ 14.5000	\$ 114,994.15	\$ 90,000.00	\$ 24,994.15	\$ 428.25	0.4%	5.0%
894.661	Dreyfus Midcap Index Fund (PESPX)	36.7500	32,878.79	31,000.00	1,878.79	288.08	0.9	1.4
Total U.S. mid cap			\$ 147,872.94	\$ 121,000.00	\$ 26,872.94	\$ 716.33		6.4%

U.S. small cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
1,131.474	Dreyfus Select Managers Small Cap (DSGIX) Growth Fund	\$ 26.1700	\$ 29,610.67	\$ 20,000.00	\$ 9,610.67	\$ 0.00	0.0%	1.3%
2,983.14	Dreyfus Select Managers Small Cap (DMVIX) Value Fund	24.8300	74,071.37	55,000.00	19,071.37	251.78	0.3	3.2
Total U.S. small cap			\$ 103,682.04	\$ 75,000.00	\$ 28,682.04	\$ 251.78		4.5%

Developed international

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
7,412.302	Strategic International Stock Fund (DISRX)	\$ 15.5800	\$ 115,483.67	\$ 100,000.00	\$ 15,483.67	\$ 1,771.54	1.5%	5.0%
Total developed international			\$ 115,483.67	\$ 100,000.00	\$ 15,483.67	\$ 1,771.54		5.0%

Emerging markets

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
17,127.162	BNY Mellon Emerging Markets Fund (MEMKX)	\$ 9.9400	\$ 170,243.99	\$ 160,000.00	\$ 10,243.99	\$ 1,815.48	1.1%	7.4%
Total emerging markets			\$ 170,243.99	\$ 160,000.00	\$ 10,243.99	\$ 1,815.48		7.4%



Equity reits

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
2,699,055	Dreyfus Global Real Estate (DRLIX) Securities Fund	\$ 8.1000	\$ 21,862.35	\$ 20,000.00	\$ 1,862.35	\$ 720.65	3.3%	1.0%
Total equity reits			\$ 21,862.35	\$ 20,000.00	\$ 1,862.35	\$ 720.65		1.0%
Total equities			\$ 1,280,773.35	\$ 1,025,680.71	\$ 255,092.64	\$ 16,865.08		55.4%

Alternative investments
Managed futures

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
1,171,875	Advantage Global Alpha Fund	\$ 14.6300	\$ 17,144.53	\$ 15,000.00	\$ 2,144.53	\$ 0.00	0.0%	0.7%
1,998,002	ASG Managed Futures Strategy Fund	10.2600	20,499.50	20,000.00	499.50	0.40	0.0	0.9
Total managed futures			\$ 37,644.03	\$ 35,000.00	\$ 2,644.03	\$ 0.40		1.6%
Total alternative investments			\$ 37,644.03	\$ 35,000.00	\$ 2,644.03	\$ 0.40		1.6%
Total assets			\$ 2,310,417.31	\$ 2,094,180.08	\$ 216,232.23	\$ 41,548.37		100.0%

Less Fixed Income (888,495.56) (930,000.00)
Less Cash (103,499.37) (103,499.37)

Total Equities 1,318,417.38 1,060,680.71

THE GERDA LISSNER FOUNDATION
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STIFEL

ASSET DETAILS

This section shows the cash equivalents and/or securities in your account. Prices obtained from outside sources are considered reliable but are not guaranteed by Stifel. Actual prices may vary, and upon sale, you may receive more or less than your original purchase price. Contact your Financial Advisor for current price quotes. Gain/Loss is provided for informational purposes only. Cost basis may be adjusted for, but not limited to, amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales. The Gain/Loss information should not be used for tax preparation without the assistance of your tax advisor.

NET CASH EQUIVALENTS

	Current value	Cost Basis	Estimated Annualized Income	Estimated Yield %
INSURED BANK PROGRAM	20,119.62	20,119.62	2.01	0.01%
Total Net Cash Equivalents	20,119.62	20,119.62	\$2.01	0.01%

Insured Bank Program deposits may be held at multiple banks. For information regarding specific banks visit IMPORTANT DISCLOSURES on www.stifel.com. The deposits are not covered by the Securities Investor Protection Act (SIPC). Balances in the Program are insured up to the FDIC limit in each bank. Balances in the Stifel Insured Bank Deposit Program or in any money market fund offered as an available fund for Cash Investment Services at Stifel can be liquidated upon request and returned to your brokerage account or remitted directly to you.

PORTFOLIO ASSETS - HELD AT STIFEL

Equities	Symbol/Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
ARES CAPITAL CORP CUSIP: 04010L103	ARCC Cash	357	17.7700 6,343.89	16.2883 5,814.94	528.95	542.64	8.55%
BROADRIDGE FINANCIAL SOLUTIONS INC CUSIP: 11133T103	BR Cash	276	39.5200 10,907.52	21.7674 6,007.81	4,899.71	231.84	2.13%
C H ROBINSON WORLDWIDE INC NEW CUSIP: 12541W209	CHRW Cash	115	58.3500 6,710.25	58.9487 6,779.10	-68.85	161.00	2.40%
CHEVRON CORP CUSIP: 166764100	CVX Cash	62	124.9100 7,744.42	106.1305 6,580.09	1,164.33	248.00	3.20%
DIAGEO PLC NEW SPONSORED ADR CUSIP: 25243Q205	DEO Cash	55	132.4200 7,283.10	122.7216 6,749.69	533.41	164.91	2.26%



THE GERDA LISSNER FOUNDATION
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Gerda Lissner Foundation, Inc.



ASSET DETAILS (continued)

ORTFOLIO ASSETS - HELD AT STIFEL (continued)									
Equities	Symbol/Type	Quantity	Current Price/Current Value	Average Unit Cost/Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %		
U PONT E I DE NEMOURS & COMPANY CUSIP: 263534109	DD Cash	138	64.9700 8,965.86	47.8111 6,597.93	2,367.93	248.40	2.77%		
MERSON ELECTRIC COMPANY CUSIP: 291011104	EMR Cash	124	70.1800 8,702.32	49.2955 6,112.64	2,589.68	213.28	2.45%		
IDELITY NATIONAL FINANCIAL INC NEW CLASS A CUSIP: 31620R105	FNF Cash	285	32.4500 9,248.25	22.5220 6,418.76	2,829.49	205.20	2.22%		
LAXOSMITHKLINE PLC SPONSORED ADR CUSIP: 37733W105	GSK Cash	144	53.3900 7,688.16	44.0899 6,348.95	1,339.21	346.89	4.51%		
LUB CAPITAL BDC INC CUSIP: 38173M102 <i>Original Cost. 4,268.59</i>	GBDC Cash	266	19.1100 5,083.26	16.0419 4,267.14	816.12	340.48	6.70%		
RACO INC CUSIP: 384109104	GGG Cash	63	78.1200 4,921.56	46.4400 2,925.72	1,995.84	63.00	1.28%		
RON MOUNTAIN INC DE CUSIP: 462846106	IRM Cash	279	30.3500 8,467.65	28.3229 7,902.09	565.56	301.32	3.56%		
OHNSON & JOHNSON CUSIP: 478160104	JNJ Cash	88	91.5900 8,059.92	67.2033 5,913.89	2,146.03	232.32	2.88%		
INDER MORGAN INC DE CUSIP: 49456B101	KMI Cash	203	36.0000 7,308.00	37.6620 7,645.38	-337.38	332.92	4.56%		
OHL'S CORP CUSIP: 500255104	KSS Cash	142	56.7500 8,058.50	46.1424 6,552.22	1,506.28	198.80	2.47%		
RAFT FOODS GRP INC CUSIP: 50076Q106	KRFT Cash	138	53.9100 7,439.58	46.8895 6,470.75	968.83	289.80	3.90%		
INEAR TECHNOLOGY CORP CUSIP: 535678106	LLTC Cash	126	45.5500 5,739.30	30.2856 3,815.98	1,923.32	131.04	2.28%		
OCKHEED MARTIN CORP CUSIP: 539830109	LMT Cash	68	148.6600 10,108.88	87.7006 5,963.64	4,145.24	361.76	3.58%		

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PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
MARTIN MARIETTA MATERIALS INC CUSIP: 573284106	MLM Cash	67	99.9400 6,695.98	76.1067 5,099.15	1,596.83	107.20	1.60%
MICROSOFT CORP CUSIP: 594918104	MSFT Cash	236	37.4100 8,828.76	27.7394 6,546.50	2,282.26	264.32	2.99%
NORTHERN TRUST CORP CUSIP: 665859104	NTRS Cash	64	61.8900 3,960.96	57.4083 3,674.13	286.83	79.36	2.00%
PAYCHEX INC CUSIP: 704326107	PAYX Cash	101	45.5300 4,598.53	29.3500 2,964.35	1,634.18	141.40	3.07%
PEPCO HLDGS INC CUSIP: 713291102	POM Cash	318	19.1300 6,083.34	21.9826 6,990.48	-907.14	343.44	5.65%
PEPSICO INC CUSIP: 713448108	PEP Cash	88	82.9400 7,298.72	71.4592 6,288.41	1,010.31	199.76	2.74%
PFIZER INC CUSIP: 717081103	PFE Cash	234	30.6300 7,167.42	24.0666 5,631.58	1,535.84	243.36	3.40%
PLUM CREEK TIMBER COMPANY INC CUSIP: 729251108	PCL Cash	154	46.5100 7,162.54	40.3853 6,219.34	943.20	271.04	3.78%
PRAXAIR INC CUSIP: 74005P104	PX Cash	56	130.0300 7,281.68	108.1575 6,056.82	1,224.86	134.40	1.85%
PROGRESSIVE CORP OH CUSIP: 743315103	PGR Cash	280	27.2700 7,635.60	22.0545 6,175.27	1,460.33	79.66	1.04%
RAYONIER INC CUSIP: 754907103	RYN Cash	167	42.1000 7,030.70	48.2247 8,053.52	-1,022.82	327.32	4.66%
SOUTHERN COMPANY CUSIP: 842587107	SO Cash	146	41.1100 6,002.06	43.4927 6,349.94	-347.88	296.38	4.94%
3M COMPANY CUSIP: 88579Y101	MMM Cash	61	140.2500 8,555.25	94.8634 5,786.67	2,768.58	208.62	2.44%



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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
WILLIS GROUP HOLDINGS PUBLIC LIMITED COMPANY CUSIP: G96666105	WSH Cash	187	44.8100 8,379.47	34.7581 6,499.77	1,879.70	209.44	2.50%
Total Equities			\$235,461.43	\$191,202.66	\$44,258.78	\$7,519.30	3.19%

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STIFEL

ASSET DETAILS

This section shows the cash equivalents and/or securities in your account. Prices obtained from outside sources are considered reliable but are not guaranteed by Stifel. Actual prices may vary, and upon sale, you may receive more or less than your original purchase price. Contact your Financial Advisor for current price quotes. Gain/Loss is provided for informational purposes only. Cost basis may be adjusted for, but not limited to, amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales. The Gain/Loss information should not be used for tax preparation without the assistance of your tax advisor.

NET CASH EQUIVALENTS

	Current Value	Cost Basis	Estimated Annualized Income	Estimated Yield %
INSURED BANK PROGRAM	84,914.01	84,914.01	8.49	0.01%
Total Net Cash Equivalents	\$84,914.01	\$84,914.01	\$8.49	0.01%

Insured Bank Program deposits may be held at multiple banks. For information regarding specific banks visit IMPORTANT DISCLOSURES on www.stifel.com. The deposits are not covered by the Securities Investor Protection Act (SIPC). Balances in the Program are insured up to the FDIC limit in each bank. Balances in the Stifel Insured Bank Deposit Program or in any money market fund offered as an available fund for Cash Investment Services at Stifel can be liquidated upon request and returned to your brokerage account or remitted directly to you.

PORTFOLIO ASSETS - HELD AT STIFEL

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
BERKSHIRE HATHAWAY INC DE CL B NEW CUSIP: 084670702	BRKB Cash	95	118.5600 11,263.20	78.8200 7,487.90	3,775.30	N/A	N/A
FAIRFAX FINANCIAL HOLDING PLC SUB VOTING CUSIP: 303901102	FRFH Cash	29	400.0600 11,601.74	384.9141 11,162.51	439.23	290.00	2.50%
HUMANA INC CUSIP: 444859102	HUM Cash	135	103.2200 13,934.70	70.4450 9,510.08	4,424.62	145.80	1.05%
ILLINOIS TOOL WORKS INC CUSIP: 452308109	ITW Cash	95	84.0800 7,987.60	62.3294 5,921.29	2,066.31	159.60	2.00%
INTEL CORP CUSIP: 458140100	INTC Cash	465	25.9550 12,069.07	20.7974 9,670.80	2,398.27	418.50	3.47%



THE GERDA LISSNER FOUNDATION
ACR-ALPINE CAP MGD ACCT

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
JPMORGAN CHASE & COMPANY CUSIP: 46625H100	JPM Cash	235	58.4800 13,742.80	31.4000 7,379.00	6,363.80	357.20	2.60%
JOHNSON & JOHNSON CUSIP: 478160104	JNJ Cash	135	91.5900 12,364.65	63.3656 8,554.35	3,810.30	356.40	2.88%
LOCKHEED MARTIN CORP CUSIP: 539830109	LMT Cash	55	148.6600 8,176.30	84.5409 4,649.75	3,526.55	292.60	3.58%
MERCK & COMPANY INC NEW CUSIP: 58933Y105	MRK Cash	225	50.0500 11,261.25	37.9344 8,535.25	2,726.00	396.00	3.52%
MICROSOFT CORP CUSIP: 594918104	MSFT Cash	355	37.4100 13,280.55	28.9206 10,266.80	3,013.75	397.60	2.99%
SYSCO CORP CUSIP: 871829107	SY Cash	345	36.1000 12,454.50	29.0565 10,024.49	2,430.01	400.20	3.21%
VODAFONE GROUP PLC NEW SPONSORED ADR CUSIP: 92857W209	VOD Cash	260	39.3100 10,220.60	26.5095 6,892.48	3,328.12	422.37	4.13%
WAL-MART STORES INC CUSIP: 931142103	WMT Cash	85	78.6900 6,688.65	69.4300 5,901.55	787.10	159.80	2.39%
Total Equities			\$145,045.61	\$103,956.25	\$39,089.36	\$3,796.07	2.62%

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THE GERDA LISSNER FOUNDATION
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ASSET DETAILS

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NET CASH EQUIVALENTS

	Current value	Cost Basis	Estimated Annualized Income	Estimated Yield %
INSURED BANK PROGRAM	15,428.81	15,428.81	1.54	0.01%
Total Net Cash Equivalents	\$15,428.81	\$15,428.81	\$1.54	0.01%

Insured Bank Program deposits may be held at multiple banks. For information regarding specific banks visit IMPORTANT DISCLOSURES on www.stifel.com. The deposits are not covered by the Securities Investor Protection Act (SIPC). Balances in the Program are insured up to the FDIC limit in each bank. Balances in the Stifel Insured Bank Deposit Program or in any money market fund offered as an available fund for Cash Investment Services at Stifel can be liquidated upon request and returned to your brokerage account or remitted directly to you.

PORTFOLIO ASSETS - HELD AT STIFEL

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
ISHARES MSCI EMERGING MARKETS ETF CUSIP: 464287234	EEM Cash	268	41,7950 11,201.06	43,7043 11,712.76	-511.70	230.05	2.05%
ISHARES RUSSELL 1000 VALUE ETF CUSIP: 464287598	IWD Cash	207	94,1700 19,493.19	66,2668 13,717.23	5,775.96	379.92	1.95%
ISHARES RUSSELL 1000 GROWTH ETF CUSIP: 464287614	IWF Cash	232	85,9500 19,940.40	62,0203 14,388.70	5,551.70	277.70	1.39%
ISHARES RUSSELL 2000 ETF CUSIP: 464287655	IWM Cash	372	115,3600 42,913.92	97,7828 36,375.19	6,538.73	526.11	1.23%
ISHARES RUSSELL 3000 ETF CUSIP: 464287689	IWV Cash	387	110,6500 42,821.55	98,2964 38,040.69	4,780.86	716.33	1.67%



THE GERDA LISSNER FOUNDATION
WCA MANAGED ACCOUNT

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
ISHARES U S REAL ESTATE ETF CUSIP: 464287739	IYR Cash	236	63.0800 14,886.88	62.0844 14,651.92	234.96	562.55	3.78%
ISHARES CORE MSCI EAFE ETF CUSIP: 46432F842	IEFA Cash	517	60.7700 31,418.09	56.0996 29,003.49	2,414.60	680.16	2.16%
Total Equities			\$182,673.09	\$157,889.98	\$24,786.11	\$3,372.82	1.85%

Gerda Lissner Foundation, Inc. 13-3566516 Statement # 17F

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THE GERDA LISSNER FOUNDATION
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ASSET DETAILS

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NET CASH EQUIVALENTS

Current value	Cost Basis	Estimated Annualized Income	Estimated Yield %
2,842.18	2,842.18	0.28	0.01%
Total Net Cash Equivalents	2,842.18	\$0.28	0.01%

INSURED BANK PROGRAM
Insured Bank Program deposits may be held at multiple banks. For information regarding specific banks visit IMPORTANT DISCLOSURES on www.stifel.com. The deposits are not covered by the Securities Investor Protection Act (SIPC). Balances in the Program are insured up to the FDIC limit in each bank. Balances in the Stifel Insured Bank Deposit Program or in any money market fund offered as an available fund for Cash Investment Services at Stifel can be liquidated upon request and returned to your brokerage account or remitted directly to you.

PORTFOLIO ASSETS - HELD AT STIFEL

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
ABAXIS INC CUSIP: 002567105	ABAX Cash	65	40.0120 2,600.78	46.3669 3,013.85	-413.07	N/A	N/A
ADVISORY BOARD COMPANY CUSIP: 00762W107	ABCO Cash	57	63.6700 3,629.19	53.6914 3,060.41	568.78	N/A	N/A
ANSYS INC CUSIP: 03662Q105	ANSS Cash	48	87.2000 4,185.60	64.7012 3,105.66	1,079.94	N/A	N/A
ATHENAHEALTH INC CUSIP: 04685W103	ATHN Cash	37	134.5000 4,976.50	77.7359 2,876.23	2,100.27	N/A	N/A
BEACON ROOFING SUPPLY INC CUSIP: 073685109	BECN Cash	141	40.2800 5,679.48	28.8369 4,066.00	1,613.48	N/A	N/A
BIO REFERENCE LABS NEW COM PAR \$0.01 CUSIP: 09057G602	BRLI Cash	100	25.5400 2,554.00	23.7051 2,370.51	183.49	N/A	N/A



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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
BURBERRY GROUP PLC CUSIP: 12082W204	BURBY Cash	66	50.6500 3,336.30	41.9032 2,765.61	570.69	38.04	1.14%
CABOT MICROELECTRONICS CORP CUSIP: 12709P103	COMP Cash	57	45.7000 2,604.90	32.9196 1,876.42	728.48	N/A	N/A
CEPHEID INC CUSIP: 15670R107	CPHD Cash	207	46.6720 9,661.10	36.7284 7,602.77	2,058.33	N/A	N/A
CHEMED CORP NEW CUSIP: 16359R103	CHE Cash	59	76.9200 4,520.58	63.0176 3,718.04	802.54	47.20	1.04%
CISCO SYSTEMS INC CUSIP: 17275R102	CSCO Cash	139	22.4300 3,117.77	17.8020 2,474.48	643.29	94.52	3.03%
CONCUR TECHNOLOGIES INC CUSIP: 206708109	CNOR Cash	37	103.1800 3,817.66	63.0314 2,332.16	1,485.50	N/A	N/A
COSTAR GROUP INC CUSIP: 22160N109	CSGP Cash	32	184.5800 5,906.56	86.2850 2,761.12	3,145.44	N/A	N/A
CREE INC CUSIP: 225447101	CREE Cash	61	62.5200 3,813.72	30.7282 1,874.42	1,939.30	N/A	N/A
DEALERTRACK TECHNOLOGIES INC CUSIP: 242309102	TRAK Cash	89	48.0800 4,279.12	30.8421 2,744.95	1,534.17	N/A	N/A
ECOLAB INC CUSIP: 278865100	ECL Cash	73	104.2700 7,611.71	66.2378 4,835.36	2,776.35	80.30	1.05%
FASTENAL COMPANY CUSIP: 311900104	FAST Cash	116	47.5100 5,511.16	44.7894 5,195.57	315.59	116.00	2.10%
FISERV INC CUSIP: 337738108	FISV Cash	96	59.0500 5,668.80	35.7690 3,433.82	2,234.98	N/A	N/A
GENTEX CORP CUSIP: 371901109	GNTX Cash	160	32.9800 5,276.80	20.4686 3,274.98	2,001.82	89.60	1.70%
GOOGLE INC CL A CUSIP: 38259P508	GOOG Cash	4	1,120.7100 4,482.84	658.2350 2,632.94	1,849.90	N/A	N/A

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THE GERDA LISSNER FOUNDATION
RIVERBRIDGE MANAGED ACCT

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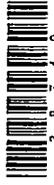
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STIFEL

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
GRAND CANYON EDUCATION INC CUSIP: 38526M106	LOPE Cash	134	43.6000 5,842.40	20.9271 2,804.23	3,038.17	N/A	N/A
IPC THE HOSPITALIST COMPANY INC CUSIP: 44984A105	IPCM Cash	71	59.3900 4,216.69	39.5221 2,806.07	1,410.62	N/A	N/A
IHS INC CLASS A CUSIP: 451734107	IHS Cash	46	119.7000 5,506.20	100.9626 4,644.28	861.92	N/A	N/A
JOHNSON CONTROLS INC CUSIP: 478366107	JCI Cash	68	51.3000 3,488.40	29.6894 2,018.88	1,469.52	59.84	1.72%
LKQ CORP CUSIP: 501889208	LKQ Cash	254	32.9000 8,356.60	20.0910 5,103.12	3,253.48	N/A	N/A
LINEAR TECHNOLOGY CORP CUSIP: 535678106	LLTC Cash	114	45.5500 5,192.70	36.7039 4,184.25	1,008.45	118.56	2.28%
MDU RESOURCES GROUP INC CUSIP: 552690109	MDU Cash	161	30.5500 4,918.55	26.5161 4,269.10	649.45	114.31	2.32%
MEDNAX INC CUSIP: 58502B106	MD Cash	128	53.3800 6,832.64	34.9456 4,473.04	2,359.60	N/A	N/A
MICROSOFT CORP CUSIP: 594918104	MSFT Cash	171	37.4100 6,397.11	28.1670 4,816.55	1,580.56	191.52	2.99%
NATIONAL INSTRUMENTS CORP CUSIP: 636518102	NATI Cash	178	32.0200 5,699.56	26.0233 4,632.14	1,067.42	99.68	1.75%
NEOGEN CORP CUSIP: 640491106	NEOG Cash	88	45.7000 4,021.60	29.9440 2,635.07	1,386.53	N/A	N/A
PATTERSON COMPANIES INC CUSIP: 703395103	PDCO Cash	73	41.2000 3,007.60	33.8895 2,473.93	533.67	46.72	1.55%
PORTFOLIO RECOVERY ASSOC INC CUSIP: 73640Q105	PRAA Cash	81	52.8400 4,280.04	28.0022 2,268.18	2,011.86	N/A	N/A
POWER INTEGRATIONS INC CUSIP: 739276103	POWI Cash	55	55.8200 3,070.10	38.4218 2,113.20	956.90	17.60	0.57%



ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
PRAXAIR INC CUSIP: 74005P104	PX Cash	30	130.0300 3,900.90	106.6680 3,200.04	700.86	72.00	1.85%
PROTO LABS INC CUSIP: 743713109	PRLB Cash	63	71.1800 4,484.34	48.8500 3,077.55	1,406.79	N/A	N/A
QUALCOMM INC CUSIP: 747525103	QCOM Cash	41	74.2500 3,044.25	58.8468 2,412.72	631.53	57.40	1.89%
RITCHIE BROTHERS AUCTIONEERS INC CUSIP: 767744105	RBA Cash	196	22.9300 4,494.28	20.9555 4,107.28	387.00	101.92	2.27%
ROCHE HOLDING LIMITED SPONSORED ADR CUSIP: 771195104	RHHBY Cash	39	70.2000 2,737.80	44.3764 1,730.68	1,007.12	75.61	2.76%
ROCKWOOD HOLDINGS INC CUSIP: 774415103	ROC Cash	48	71.9200 3,452.16	48.6350 2,334.48	1,117.68	86.40	2.50%
ROLLINS INC CUSIP: 775711104	ROL Cash	137	30.2900 4,149.73	22.1747 3,037.94	1,111.79	49.32	1.19%
ROPER INDUSTRIES INC NEW CUSIP: 776696106	ROP Cash	32	138.6800 4,437.76	105.1222 3,363.91	1,073.85	25.60	0.58%
STAPLES INC CUSIP: 855030102	SPLS Cash	248	15.8900 3,940.72	13.8185 3,426.98	513.74	119.04	3.02%
STARBUCKS CORP CUSIP: 855244109	SBUX Cash	121	78.3900 9,485.19	53.2759 6,446.38	3,038.81	125.84	1.33%
STERICYCLE INC CUSIP: 858912108	SRCL Cash	69	116.1700 8,015.73	88.8313 6,129.36	1,886.37	N/A	N/A
TARGET CORP CUSIP: 87612E106	TGT Cash	41	63.2700 2,594.07	58.6815 2,405.94	188.13	70.52	2.72%
TECHNE CORP CUSIP: 878377100	TECH Cash	40	94.6700 3,786.80	68.9045 2,756.18	1,030.62	49.60	1.31%
3M COMPANY CUSIP: 88579Y101	MMM Cash	25	140.2500 3,506.25	89.4640 2,236.60	1,269.65	85.50	2.44%

Gerda Lissner Foundation, Inc. 13-3566516 Statement # 17F

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THE GERDA LISSNER FOUNDATION
RIVERBRIDGE MANAGED ACCT

STIFEL

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
JLTIMATE SOFTWARE GROUP INC CUSIP: 90385D107	ULTI Cash	30	153.2200 4,596.60	83.1100 2,493.30	2,103.30	N/A	N/A
UNITED NATURAL FOODS CUSIP: 911163103	UNFI Cash	41	75.3900 3,090.99	49.7156 2,038.34	1,052.65	N/A	N/A
VMWARE INC CLASS A CUSIP: 928563402	VMW Cash	37	89.7100 3,319.27	90.8546 3,361.62	-42.35	N/A	N/A
WAL-MART STORES INC CUSIP: 931142103	WMT Cash	39	78.6900 3,068.91	66.9359 2,610.50	458.41	73.32	2.39%
PERRIGO COMPANY PLC CUSIP: G97822103	PRGO Cash	23	153.4600 3,529.58	N/A Incomplete	N/A	N/A	N/A
Total Equities			\$243,700.09	\$172,457.14	\$67,243.37	\$2,105.96	0.86%

17599995

Gerda Lissner Foundation, Inc. 13-3566516 Statement # 17G

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THE GERDA LISSNER FOUNDATION

ASSET DETAILS

This section shows the cash equivalents and/or securities in your account. Prices obtained from outside sources are considered reliable but are not guaranteed by Stifel. Actual prices may vary, and upon sale, you may receive more or less than your original purchase price. Contact your Financial Advisor for current price quotes. Gain/Loss is provided for informational purposes only. Cost basis may be adjusted for, but not limited to, amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales. The Gain/Loss information should not be used for tax preparation without the assistance of your tax advisor.

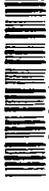
STIFEL

NET CASH EQUIVALENTS

Current Value	Cost Basis	Estimated Annualized Income	Estimated Yield %
125,353.60	125,353.60	12.54	0.01%
Total Net Cash Equivalents	\$125,353.60	\$12.54	0.01%

PORTFOLIO ASSETS - HELD AT STIFEL

Symbol/Type	Quantity	Current Price/Current Value	Average Unit Cost/Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
HORIZON TECHNOLOGY FIN CORP CUSIP: 44045A102	200	14 2100 2,842.00	16.8686 3,373.71	-531.71	276.00	9.71%
INTERNATIONAL BUSINESS MACHINES CORP CUSIP: 459200101	100	187.5700 18,757.00	192.9000 19,290.00	-533.00	380.00	2.03%
OPHTHO TECH CORP CUSIP: 683745103	300	32.3500 9,705.00	34.3726 10,311.79	-606.79	N/A	N/A
Total Equities		\$31,304.00	\$32,975.50	-\$1,671.50	\$656.00	2.10%



ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Preferreds	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
KCAP FINANCIAL INC	KAP	380	25.2600	25.5100	-95.00	700.64	7.30%
SR NOTE 7.375% 09/30/19	Cash		9,598.80	9,693.80			
CALL 09/30/15 @ 25.00							
CUSIP: 48668E200							
Total Preferreds			\$9,598.80	\$9,693.80	-\$95.00	\$700.64	7.30%
Total Portfolio Assets - Held at Stifel			\$40,902.80	\$42,669.30	-\$1,766.50	\$1,356.64	3.32%

THE GERDA LISSNER FOUNDATION, INC.

13-3566516

DECEMBER 31, 2013

STATEMENT 18

PART II, LINE 10c - CORPORATE BONDS AND FIXED INCOME

<u>INVESTMENT ADVISOR</u>	<u>SEE STATEMENT</u>	<u>COST OR ACQUISITION VALUE</u>	<u>MARKET VALUE</u>
JP Morgan Chase	18A	2,250,918 23	2,342,717.32
BNY Mellon Wealth Management	18B	930,000 00	888,495 56
Stifel Nicolaus - GW&K Managed	18C	730,074.23	733,303 43
Stifel Nicolaus - WCA Managed	18D	<u>388,379 57</u>	<u>381,295 44</u>
		<u>4,299,372 03</u>	<u>4,345,811 75</u>

STATEMENT 18



THE GERDA LISSNER FOUNDATION ACCT. A22838006
For the Period 12/1/13 to 12/31/13

ST/18A

Note ¹ This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
Cash							
US DOLLAR	1 00	454,302 66	454,302 66	454,302 66		136 29 10 85	0 03 % ¹
US Fixed Income							
ISHARES BARCLAYS TIPS BOND FUND 464287-17-6	109 90	1,289 00	141,661 10	122,870 50	18,790 60	1,626 71	1 15 %
DOUBLELINE TOTAL RET BD-I 258620-10-3	10 78	21,632 36	233,196 84	240,000 00	(6,803 16)	12,049 22	5 17 %
EATON VANCE FLOATING RATE-I 277911-49-1	9 19	18,974 84	174,378 75	162,971 53	11,407 22	6,811 96	3 91 %
JPM STRAT INCOME OPPORT FD - SEL FUND 3844 4812A4-35-1	11 89	18,436 41	219,208 88	214,772 16	4,436 72	5,696 84 497 78	2 60 %
JPM CORE BOND FD - SEL FUND 3720 4812C0-38-1	11 47	32,467 53	372,402 59	375,000 00	(2,597 41)	10,227 27 1,038 96	2 75 %
JPM HIGH YIELD FD - SEL FUND 3580 4812C0-80-3	7 98	18,849 52	150,419 15	108,675 42	41,743 73	9,462 45 904 78	6 29 %



THE GERDA LISSNER FOUNDATION ACCT. A22838006
For the Period 12/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost		Unrealized Gain/Loss	Est Annual Income		Yield
				Original Cost			Accrued Interest		
US Fixed Income									
JPM SHORT DURATION BOND FD - SEL FUND 3133 4812C1-33-0	10.89	79,691.58	867,841.30	846,224.65		21,616.65	8,287.92	1,115.68	0.96%
RIDGEWORTH SEIX FLOATING-I 76628T-67-8	9.06	17,553.79	159,037.37	155,000.00		4,037.37	6,652.88		4.18%
Total US Fixed Income			\$2,318,145.98	\$2,225,514.26		\$92,631.72	\$60,815.25	\$3,557.20	2.63%
Foreign Exchange & Non-USD Fixed Income									
DREYFUS EMG MKT DEBT LOC C-I 261980-49-4	13.87	1,771.55	24,571.34	25,403.97		(832.63)	1,087.72		4.43%
Total Fixed Income			2,342,717.32			2,250,918.23			



Asset detail

5788

Fixed income
Taxable

Pooled vehicle

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
9,442,243	BNY Mellon Corporate Bond Fund	\$ 12.5500	\$ 118,500.15	\$ 120,000.00	\$ -1,499.85	\$ 3,701.36	3.1%	5.1%
9,830,766	BNY Mellon Short-Term U S Government Securities Fund	12.0100	118,067.50	120,000.00	-1,932.50	1,317.32	1.1	5.1
12,114,382	BNY Mellon Intermediate Bond Fund	12.6700	153,489.22	160,000.00	-6,510.78	3,319.34	2.2	6.6
29,268,781	BNY Mellon Bond Fund	12.7200	372,298.89	400,000.00	-27,701.11	10,917.26	2.9	16.1
3,591,369	Dreyfus Inflation Adjusted Securities Fund	12.4500	44,712.54	50,000.00	-5,287.46	696.73	1.6	1.9
Total taxable pooled vehicle				\$ 850,000.00	\$ -42,931.70	\$ 19,952.01		34.9%
Total taxable fixed income				\$ 850,000.00	\$ -42,931.70	\$ 19,952.01		34.9%



Account officer
Sharon P. Schoenherr
212 922 8190

High yield		Emerging markets		Total fixed income				
Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
6,261,023	Dreyfus High Yield Fund	\$ 6,7500	\$ 42,261,91	\$ 40,000,00	\$ 2,261,91	\$ 2,704,76	6.4%	1.8%
Total high yield pooled vehicle			\$ 42,261,91	\$ 40,000,00	\$ 2,261,91	\$ 2,704,76		1.8%
Total high yield fixed income			\$ 42,261,91	\$ 40,000,00	\$ 2,261,91	\$ 2,704,76		1.8%
Pooled vehicle								
Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
1,442,146	Dreyfus Emerging Markets Debt Local Currency Fund	\$ 13,8700	\$ 20,002,57	\$ 20,000,00	\$ 2,57	\$ 885,48	4.4%	0.9%
2,281,283	Tow Emerging Markets Income Fund-I	8,4000	19,162,78	20,000,00	-837,22	1,140,64	6,0	0,8
Total emerging markets pooled vehicle			\$ 39,165,35	\$ 40,000,00	\$ -834,65	\$ 2,026,12		1,7%
Total emerging markets fixed income			\$ 39,165,35	\$ 40,000,00	\$ -834,65	\$ 2,026,12		1,7%
Total fixed income			\$ 888,495,56	\$ 930,000,00	\$ -41,504,44	\$ 24,682,89		38,5%

Gerda Lissner Foundation, Inc. 13-3566516 Statement # 18C

December 1 -
December 31, 2013
Account Number:

THE GERDA LISSNER FOUNDATION
GW&K MGD ACCT

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STIFEL

ASSET DETAILS

This section shows the cash equivalents and/or securities in your account. Prices obtained from outside sources are considered reliable but are not guaranteed by Stifel. Actual prices may vary, and upon sale, you may receive more or less than your original purchase price. Contact your Financial Advisor for current price quotes. Gain/Loss is provided for informational purposes only. Cost basis may be adjusted for, but not limited to, amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales. The Gain/Loss information should not be used for tax preparation without the assistance of your tax advisor.

NET CASH EQUIVALENTS

	Current value	Cost Basis	Estimated Annualized Income	Estimated Yield %
INSURED BANK PROGRAM	27,763.47	27,763.47	2.78	0.01%
Total Net Cash Equivalents	27,763.47	27,763.47	\$2.78	0.01%

Insured Bank Program deposits may be held at multiple banks. For information regarding specific banks visit IMPORTANT DISCLOSURES on www.stifel.com. The deposits are not covered by the Securities Investor Protection Act (SIPC). Balances in the Program are insured up to the FDIC limit in each bank. Balances in the Stifel Insured Bank Deposit Program or in any money market fund offered as an available fund for Cash Investment Services at Stifel can be liquidated upon request and returned to your brokerage account or remitted directly to you.

PORTFOLIO ASSETS - HELD AT STIFEL

Municipal Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
ILLINOIS ST TXBL B/E S&J IL ST TAX CPN 5.877% DUE 03/01/19 DTD 03/10/11 FC 09/01/11 CUSIP: 462152HU8 Original Cost: 11,626.90	S&P: A- Moody: A3 Cash	10,000	109.2020 10,920.20	113.7245 11,372.45	195.90	-452.25	587.70	5.38%
NEW JERSEY ECON DEV AUTH ST PENSION FDG REV NPPFG SER 97A B/E TXBL CPN 7.425% DUE 02/15/29 DTD 06/15/97 FC 08/15/97 CUSIP: 645913AA2 Original Cost: 13,000.00	S&P: A+ Moody: A1 Cash	10,000	120.6400 12,064.00	129.1275 12,912.75	280.50	-848.75	742.50	6.15%



ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Municipal Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimatec Yield %
CALIFORNIA ST VARIOUS PURP BABS B/E TXBL CPN 7.550% DUE 04/01/39 DTD 04/28/09 FC 10/01/09 CUSIP: 13063A5G5 Original Cost: 13,067.30	S&P: A Moody: A1 Cash	10,000	129.3470 12,934.70	129.8606 12,986.06	188.75	-51.36	755.00	5.84%
Total Municipal Bonds		30,000	\$3,591,890	\$3,771,125	\$65,115	-\$1,352.36	\$2,085.20	5.81%

Municipal Bonds held may or may not be tax free. Please consult with your tax advisor.

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimatec Yield %
BANK AMER CORP DEP SHS REPSTG 1/25TH SER M PFD PERPETUAL CPN 8.125% DTD 04/30/08 FC 11/15/08 CUSIP: 060505DT8	BACYL S&P: BB+ Moody: Ba3 Cash	5,000	111.8750 5,593.75	113.5500 5,677.50	N/A	-83.75	406.25	7.26%
PNC FINL SVCS GRP INC DEP SHS REPSTG 1/100TH PERPTL SER O FXD/VAR B/E CPN 6.750% DTD 07/27/11 FC 02/01/12 CALL 08/01/21 @ 100.000 CUSIP: 693475AK1	PNCXL S&P: BBB Moody: Baa3 Cash	10,000	104.0500 10,405.00	115.8750 11,587.50	N/A	-1,182.50	675.00	0.01%

CONSOL ENERGY INC SR NOTE CPN 8.000% DUE 04/01/17 DTD 10/01/10 FC 04/01/11 CALL 04/01/14 @ 104.000 CUSIP: 20854PAD1	S&P: BB Moody: B1 Cash	5,000	105.3750 5,268.75	104.0000 5,200.00	100.00	68.75	400.00	7.59%
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DOMTAR CORP SR NOTE CPN 10.750% DUE 06/01/17 DTD 06/09/09 FC 12/01/09 CUSIP: 257559AG9	S&P: BBB- Moody: Baa3 Cash	10,000	124.8120 12,481.20	129.1425 12,914.25	89.58	-433.05	1,075.00	8.61%
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Gerda Lissner Foundation, Inc. 13-3566516 Statement # 18C

THE GERDA LISSNER FOUNDATION
GW&K MGD ACCT

December 1 -
December 31, 2013
Account Number:

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STIFEL

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
WINDSTREAM CORP SR NOTE CPN 7.875% DUE 11/01/17 DTD 10/08/09 FC 05/01/10 CUSIP: 97381WAJ3 Original Cost: 10,800.00	S&P: B Moody: B1 Cash	10,000	114.2500 11,425.00	105.9802 10,598.02	131.25	826.98	787.50	6.89%
AUTONATION INC SR NOTE CPN 6.750% DUE 04/15/18 DTD 04/14/10 FC 10/15/10 CUSIP: 05329WAJ1 Original Cost: 5,375.00	S&P: BB+ Moody: Baa3 Cash	5,000	115.7500 5,787.50	105.7156 5,285.78	71.25	501.72	337.50	5.83%
JPMORGAN CHASE & CO DEP SHS REPSTG 1/10 PFD SER 1 PERPETUAL FXDMAR CPN 7.900% DTD 04/23/08 FC 10/30/08 CALL 04/30/18 @ 100.000 CUSIP: 46625HHA1	S&P: BBB Cash	10,000	110.2500 11,025.00	115.5000 11,550.00	N/A	-525.00	790.00	7.17%
AMKOR TECH INC SR NOTE CPN 7.375% DUE 05/01/18 DTD 11/01/10 FC 05/01/11 CALL 05/01/14 @ 103.688 CUSIP: 031652BC3 Original Cost: 5,025.00	S&P: BB Moody: B2 Cash	5,000	105.5000 5,275.00	100.3220 5,016.10	61.46	258.90	368.75	6.99%
GENL MOTORS FINL CO INC SR NOTE CPN 6.750% DUE 06/01/18 DTD 12/01/11 FC 06/01/12 CUSIP: 37045XAB2 Original Cost: 5,850.00	S&P: BB- Moody: Ba2 Cash	5,000	114.0000 5,700.00	115.0174 5,750.87	28.13	-50.87	337.50	5.92%
UNITED CONTINENTAL HLDGS INC SR NOTE CPN 6.375% DUE 06/01/18 DTD 05/07/13 FC 12/01/13 CUSIP: 910047AF6 Original Cost: 10,425.00	S&P: B Moody: B2 Cash	10,000	104.5000 10,450.00	104.0440 10,404.40	53.13	45.60	637.50	6.10%



ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
CRH AMER INC								
SENIOR NOTE	S&P: BBB+	10,000	122.4920	117.9729	374.65	451.91	812.50	6.63%
CPN 8.125% DUE 07/15/18	Moody: Baa2		12,249.20	11,797.29				
DTD 07/23/08 FC 01/15/09	Cash							
CUSIP: 12628PAJ2								
Original Cost: 12,181.80								
PEABODY ENERGY CORP								
SR NOTE	S&P: BB	10,000	106.5000	103.6604	76.67	283.96	600.00	5.63%
CPN 6.000% DUE 11/15/18	Moody: Ba2		10,650.00	10,366.04				
DTD 05/15/12 FC 11/15/12	Cash							
CUSIP: 704549AK0								
Original Cost: 10,400.00								
WEATHERFORD INTL LTD								
INTL LTD GTD	S&P: BBB-	10,000	128.4860	125.0710	320.83	341.50	962.50	7.49%
CPN 9.625% DUE 03/01/19	Moody: Baa2		12,848.60	12,507.10				
DTD 01/08/09 FC 03/01/09	Cash							
CUSIP: 947075AF4								
Original Cost: 13,137.40								
VALERO ENERGY CORP NEW								
NOTE	S&P: BBB	5,000	128.8780	126.2536	138.02	131.22	468.75	7.27%
CPN 9.375% DUE 03/15/19	Moody: Baa2		6,443.90	6,312.68				
DTD 03/17/09 FC 09/15/09	Cash							
CUSIP: 91913YANO								
Original Cost: 6,644.50								
CALUMET SPECIALTY PRODS								
FIN PARTNERS LP SR NOTE	S&P: B+	5,000	111.0000	106.1478	78.13	242.61	468.75	8.45%
CPN 9.375% DUE 05/01/19	Moody: B2		5,550.00	5,307.39				
DTD 11/01/11 FC 05/01/12	Cash							
CALL 05/01/15 @ 104.688								
CUSIP: 131477AE1								
Original Cost: 5,362.50								
SPRINT CAP CORP								
GTD NOTE	S&P: BB-	10,000	109.2500	102.2758	115.00	697.42	690.00	6.32%
MAKE WHOLE CALL +25BP	Moody: B1		10,925.00	10,227.58				
CPN 6.900% DUE 05/01/19	Cash							
DTD 05/06/99 FC 11/01/99								
CUSIP: 852060AG7								
Original Cost: 10,237.50								

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PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
AMERICAN TOWER CORP SR NOTE CPN 7.250% DUE 05/15/19 DTD 05/15/10 FC 11/15/10 CUSIP: 029912AY8 Original Cost: 12,423.50	S&P: BBB- Moody: Baa3 Cash	10,000	118.0040 11,800.40	121.7522 12,175.22	92.64	-374.82	725.00	6.14%
CITIGROUP INC NOTE CPN 8.500% DUE 05/22/19 DTD 05/22/09 FC 11/22/09 CUSIP: 172967EV9 Original Cost: 13,402.65	S&P: A- Moody: Baa2 Cash	10,000	128.1590 12,815.90	129.1685 12,916.85	92.08	-100.95	850.00	6.63%
ARCELORMITTAL SA NOTE STEP CPN 10.350% DUE 06/01/19 DTD 05/20/09 FC 12/01/09 CUSIP: 03938LAM6	S&P: BB+ Moody: Ba1 Cash	10,000	126.5000 12,650.00	121.1125 12,111.25	86.25	538.75	1,035.00	8.18%
CHRYSLER GRP LLC SECD SR NOTE CPN 8.000% DUE 06/15/19 DTD 12/15/11 FC 06/15/12 CALL 06/15/15 @ 104.000 CUSIP: 17121EAB3 Original Cost: 10,675.00	S&P: B Moody: B1 Cash	10,000	110.5000 11,050.00	105.5195 10,551.95	35.56	498.05	800.00	7.24%
SCIENTIFIC GAMES INTL INC GTD SR SUBD NOTE CPN 9.250% DUE 06/15/19 DTD 05/21/09 FC 12/15/09 CALL 06/15/14 @ 104.625 CUSIP: 80874YAG5	S&P: B Moody: B2 Cash	5,000	107.2500 5,362.50	110.5000 5,525.00	20.56	-162.50	462.50	8.62%



ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(c)/Loss*	Estimated Annualized Income	Estimated Yield %
OFFSHORE GRP INVT LTD SR SECD 1ST LIEN NOTE CPN 7.500% DUE 11/01/19 DTD 05/01/13 FC 11/01/13 CALL 11/01/15 @ 105.625 CUSIP: 676253AJ6 Original Cost: 10,625.00	S&P: B- Moody: B3 Cash	10,000	108.7500 10,875.00	105.6250 10,562.50	125.00	312.50	750.00	6.90%
CLOUD PEAK ENERGY RES SR NOTE CPN 8.500% DUE 12/15/19 DTD 06/15/10 FC 12/15/10 CALL 12/15/14 @ 104.250 CUSIP: 18911MAD3 Original Cost: 10,850.00	S&P: BB- Moody: B1 Cash	10,000	108.5000 10,850.00	107.8630 10,786.30	37.78	63.70	850.00	7.83%
TEEKAY CORP SR NOTE CPN 8.500% DUE 01/15/20 DTD 01/27/10 FC 07/15/10 CUSIP: 87900YAA1 Original Cost: 10,518.75	S&P: B+ Moody: B2 Cash	10,000	108.1250 10,812.50	104.6001 10,460.01	391.94	352.49	850.00	7.86%
ATWOOD OCEANICS INC SR NOTE CPN 6.500% DUE 02/01/20 DTD 01/18/12 FC 08/01/12 CALL 02/01/16 @ 103.350 CUSIP: 050095AM0 Original Cost: 10,856.25	S&P: BB Moody: Ba3 Cash	10,000	106.7500 10,675.00	106.9966 10,699.66	270.83	-24.66	650.00	6.09%
ALLY FINL INC GTD SR NOTE CPN 8.000% DUE 03/15/20 DTD 09/15/10 FC 03/15/11 CUSIP: 02005NAE0 Original Cost: 11,625.00	S&P: BB Moody: B1 Cash	10,000	119.8750 11,987.50	115.1229 11,512.29	235.56	475.21	800.00	6.67%

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Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
DIRECTV HLDGS LLC SR NOTE CPN 5.200% DUE 03/15/20 DTD 03/11/10 FC 09/15/10 CUSIP: 25459HAT2 Original Cost: 5,540.70	S&P: BBB Moody: Baa2 Cash	5,000	108.8970 5,444.85	108.9018 5,445.09	76.56	-0.24	260.00	4.78%
LEAR CORP SR NOTE CPN 8.125% DUE 03/15/20 DTD 03/26/10 FC 09/15/10 CALL 03/15/15 @ 104.063 CUSIP: 521865ASA Original Cost: 8,950.00	S&P: BB Moody: Ba2 Cash	8,000	110.2500 8,820.00	107.6261 8,610.09	191.39	209.91	650.00	7.37%
HORNBECK OFFSHORE SVCS INC NEW SR NOTE CPN 5.875% DUE 04/01/20 DTD 03/16/12 FC 10/01/12 CALL 04/01/16 @ 102.938 CUSIP: 440543AL0 Original Cost: 5,275.00	S&P: BB- Moody: Ba3 Cash	5,000	103.2500 5,162.50	104.8574 5,242.87	73.44	-80.37	293.75	5.69%
TEREX CORP NEW SR NOTE CPN 6.500% DUE 04/01/20 DTD 03/27/12 FC 10/01/12 CALL 04/01/16 @ 103.250 CUSIP: 880779AX1 Original Cost: 5,137.50	S&P: BB- Moody: B2 Cash	5,000	107.0000 5,350.00	102.4436 5,122.18	81.25	227.82	325.00	6.07%
FRONTIER COMMS CORP SR NOTE CPN 8.500% DUE 04/15/20 DTD 04/12/10 FC 10/15/10 CUSIP: 35906AAH1 Original Cost: 10,600.00	S&P: BB- Moody: Ba2 Cash	10,000	112.0000 11,200.00	105.1104 10,511.04	179.44	688.96	850.00	7.59%



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Corporate/Government Bonds

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
CF INDS INC SR NOTE CPN 7.125% DUE 05/01/20 DTD 04/23/10 FC 11/01/10 CUSIP: 12527GAB9 Original Cost: 6,281.85	S&P: BBB- Moody: Baa2 Cash	5,000	117.2140 5,860.70	122.7942 6,139.71	59.38	-279.01	356.25	6.08%
RYLAND GRP INC SR NOTE CPN 6.625% DUE 05/01/20 DTD 04/29/10 FC 11/01/10 CUSIP: 783764AP8 Original Cost: 5,281.25	S&P: BB- Moody: B1 Cash	5,000	105.7500 5,287.50	105.3578 5,267.89	55.21	19.61	331.25	6.26%
U S TREASURY NOTE CPN 3.500% DUE 05/15/20 DTD 05/15/10 FC 11/15/10 CUSIP: 912828ND8 Original Cost: 12,838.24	Moody: Aaa Cash	11,000	107.8830 11,867.13	113.7990 12,517.89	49.98	-650.76	385.00	3.24%
AES CORP SR NOTE CPN 8.000% DUE 06/01/20 DTD 05/19/08 FC 06/01/09 CUSIP: 00130HBN4 Original Cost: 5,768.50	S&P: BB- Moody: Ba3 Cash	5,000	117.0000 5,850.00	113.0080 5,650.40	33.33	199.60	400.00	6.84%
COMSTOCK RES INC SR NOTE CPN 9.500% DUE 06/15/20 DTD 06/05/12 FC 12/15/12 CALL 06/15/16 @ 100.000 CUSIP: 205768AJ3	S&P: B- Moody: B3 Cash	10,000	112.0000 11,200.00	111.2500 11,125.00	42.22	75.00	950.00	8.48%
GOODYEAR TIRE & RUBBER CO SR NOTE CPN 8.250% DUE 08/15/20 DTD 08/13/10 FC 02/15/11 CALL 08/15/15 @ 104.125 CUSIP: 382550BB6 Original Cost: 5,225.00	S&P: B+ Moody: B1 Cash	5,000	111.7500 5,587.50	104.3382 5,216.91	155.83	370.59	412.50	7.38%

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PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
BE AEROSPACE INC SR NOTE CPN 6.875% DUE 10/01/20 DTD 09/16/10 FC 04/01/11 CALL 10/01/15 @ 103.440 CUSIP: 055381AR8 Original Cost: 5,512.50	S&P: BB Moody: Ba2 Cash	5,000	109.7500 5,487.50	107.2006 5,360.03	85.94	127.47	343.75	6.26%
ENERGY TRANSFER EQTY LP SR NOTE CPN 7.500% DUE 10/15/20 DTD 09/20/10 FC 04/15/11 CUSIP: 29273VAC4 Original Cost: 5,487.50	S&P: BB Moody: Ba2 Cash	5,000	112.2500 5,612.50	108.3164 5,415.82	79.17	196.68	375.00	6.68%
REYNOLDS GRP ISSUER INC LLC SR SECD NOTE CPN 5.750% DUE 10/15/20 DTD 09/28/12 FC 04/15/13 CALL 10/15/15 @ 104.313 CUSIP: 761735AP4 Original Cost: 5,287.50	S&P: B+ Moody: B1 Cash	5,000	102.0000 5,100.00	105.3866 5,269.33	60.69	-169.33	287.50	5.64%
METROPICS WIRELESS INC NOTE CPN 6.625% DUE 11/15/20 DTD 11/17/10 FC 05/15/11 CALL 11/15/15 @ 103.313 CUSIP: 591709AL4 Original Cost: 9,818.75	S&P: BB Moody: Ba3 Cash	10,000	106.0000 10,600.00	98.3497 9,834.97	84.65	765.03	662.50	6.25%
HANESBRANDS INC SR NOTE CPN 6.375% DUE 12/15/20 DTD 11/09/10 FC 06/15/11 CALL 12/15/15 @ 103.188 CUSIP: 410345AG7 Original Cost: 5,275.00	S&P: BB Moody: Ba3 Cash	5,000	109.2500 5,462.50	104.5530 5,227.65	14.17	234.85	318.75	5.84%



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PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimate Yield %
INTL LEASE FIN CORP SR NOTE CPN 8.250% DUE 12/15/20 DTD 12/07/10 FC 06/15/11 CUSIP: 459745GF6 Original Cost: 12,047.50	S&P: BBB- Moody: Ba3 Cash	10,000	117.0000 11,700.00	118.2767 11,827.67	36.67	-127.67	825.00	7.05%
HERTZ CORP SR NOTE CPN 7.375% DUE 01/15/21 DTD 07/15/11 FC 01/15/12 CALL 01/15/16 @ 103.688 CUSIP: 428040CG2 Original Cost: 5,587.50	S&P: B Moody: B2 Cash	5,000	109.7500 5,487.50	109.3128 5,465.64	170.04	21.86	368.75	6.72%
RSC EQUIPMENT RENT INC HLDGS III LLC SR NOTE CPN 8.250% DUE 02/01/21 DTD 01/19/11 FC 08/01/11 CALL 02/01/16 @ 104.125 CUSIP: 78108AAE4 Original Cost: 5,712.50	S&P: BB- Moody: B2 Cash	5,000	112.7500 5,637.50	111.3126 5,565.63	171.88	71.87	412.50	7.32%
CHESAPEAKE ENERGY CORP SR NOTE CPN 6.125% DUE 02/15/21 DTD 02/11/11 FC 08/15/11 CUSIP: 165167CG0 Original Cost: 9,675.00	S&P: BB- Moody: Ba3 Cash	10,000	107.2500 10,725.00	97.2050 9,720.50	231.39	1,004.50	612.50	5.71%
L-3 COMINS CORP SR NOTE CPN 4.950% DUE 02/15/21 DTD 02/07/11 FC 08/15/11 CALL 11/15/20 @ 100.000 CUSIP: 502413BA4 Original Cost: 5,398.25	S&P: BBB- Moody: Baa3 Cash	5,000	104.5950 5,229.75	106.6952 5,334.76	93.50	-105.01	247.50	4.73%

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PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
DIRECTV HLDGS LLC / FING INC SR NOTE CPN 5.000% DUE 03/01/21 DTD 03/10/11 FC 09/01/11 CUSIP: 25459HBA2 Original Cost: 5,559.25	S&P: BBB Moody: Baa2 Cash	5,000	105.0510 5,252.55	110.0662 5,503.31	83.33	-250.76	250.00	4.76%
HUNTSMAN INTL LLC SR SUB NOTE CPN 8.625% DUE 03/15/21 DTD 03/15/11 FC 09/15/11 CALL 09/15/15 @ 104.313 CUSIP: 44701QAX0 Original Cost: 5,562.50	S&P: B+ Moody: B3 Cash	5,000	113.0000 5,650.00	108.1384 5,406.92	126.98	243.08	431.25	7.63%
GAP INC NOTE CPN 5.950% DUE 04/12/21 DTD 04/12/11 FC 10/12/11 CALL 01/12/21 @ 100.000 CUSIP: 364760AK4 Original Cost: 5,631.25	S&P: BBB- Moody: Baa3 Cash	5,000	110.4970 5,524.85	111.4468 5,572.34	65.29	-47.49	297.50	5.38%
MORGAN STANLEY SR NOTE CPN 5.500% DUE 07/28/21 DTD 07/28/11 FC 01/28/12 CUSIP: 61747WAL3 Original Cost: 10,632.90	S&P: A- Moody: Baa2 Cash	10,000	111.7460 11,174.60	105.7257 10,572.57	233.75	602.03	550.00	4.92%
IRON MOUNTAIN INC DE SR SUB NOTE CPN 8.375% DUE 08/15/21 DTD 08/10/09 FC 02/15/10 CALL 08/15/14 @ 104.188 CUSIP: 46284PAM6	S&P: B Moody: B1 Cash	6,000	107.7500 6,465.00	N/A Incomplete	189.83	N/A	502.50	7.77%



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Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
PLAINS EXPLORATION & PRODUCTION COMPANY SR NOTE CPN 6.750% DUE 02/01/22 DTD 11/21/11 FC 02/01/12 CALL 02/01/17 @ 103.380 CUSIP: 726505AL4 Original Cost: 5,075.00	S&P: BBB Moody: Baa3 Cash	5,000	110.1520 5,507.60	101.4504 5,072.52	140.63	435.08	337.50	6.13%
LEVI STRAUSS & CO SR NOTE CPN 6.875% DUE 05/01/22 DTD 05/08/12 FC 11/01/12 CALL 05/01/17 @ 100.000 CUSIP: 52736RBD3 Original Cost: 5,225.00	S&P: BB- Moody: B1 Cash	5,000	110.0000 5,500.00	103.4380 5,171.90	57.29	328.10	343.75	6.25%
ADT CORP NOTE CPN 3.500% DUE 07/15/22 DTD 01/15/13 FC 07/15/13 CUSIP: 00101JAF3 Original Cost: 8,350.00	S&P: BB- Moody: Ba2 Cash	10,000	87.0450 8,704.50	83.9177 8,391.77	161.39	312.73	350.00	4.02%
CONTINENTAL RES SR NOTE CPN 5.000% DUE 09/15/22 DTD 03/08/12 FC 09/15/12 CALL 03/15/17 @ 102.500 CUSIP: 212015AH4 Original Cost: 5,100.00	S&P: BBB- Moody: Baa3 Cash	5,000	103.8750 5,193.75	101.9754 5,098.77	73.61	94.98	250.00	4.81%
AMERICAN AXLE & MFG INC GTD SR NOTE CPN 6.625% DUE 10/15/22 DTD 09/17/12 FC 04/15/13 CALL 10/15/17 @ 103.313 CUSIP: 02406PAL4 Original Cost: 5,100.00	S&P: B+ Moody: B2 Cash	5,000	105.2500 5,262.50	101.8708 5,093.54	69.93	168.96	331.25	6.29%

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Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
VERIZON COMMUNICATIONS INC NOTE CPN 5.150% DUE 09/15/23 DTD 09/18/13 FC 03/15/14 CUSIP: 92343VBR4 Original Cost: 10,898.00	S&P: BBB+ Moody: Baa1 Cash	10,000	107.3690 10,736.90	108.8535 10,885.35	147.35	-148.45	515.00	4.80%
MOSAIC CO NEW SR NOTE CPN 4.250% DUE 11/15/23 DTD 11/13/13 FC 05/15/14 CUSIP: 61945CAC7 Original Cost: 10,041.60	S&P: BBB Moody: Baa1 Cash	10,000	98.7600 9,876.00	100.4117 10,041.17	56.67	-165.17	425.00	4.30%
GEORGIA PAC CORP SR NOTES CPN 8.000% DUE 01/15/24 DTD 12/11/03 FC 07/15/04 CUSIP: 373298CF3 Original Cost: 13,330.70	S&P: A+ Moody: Baa2 Cash	10,000	128.7040 12,870.40	129.7944 12,979.44	368.89	-109.04	800.00	6.22%
REGAL ENTERTAINMENT CORP GRP SR NOTE CPN 5.750% DUE 02/01/25 DTD 01/17/13 FC 08/01/13 CALL 02/01/18 @ 100.000 CUSIP: 758766AF6 Original Cost: 5,050.00	S&P: B- Moody: B3 Cash	5,000	94.2500 4,712.50	100.8532 5,041.66	119.79	-329.16	287.50	6.10%
FEDL NATL MTG ASSN POOL #AL0517 CPN 5.500% DUE 08/01/25 DTD 06/01/11 FC 07/25/11 CUSIP: 3138EGSF2 Remaining Balance: \$10,113.24 Original Cost: 23,072.65	Cash	32,000	109.0743 11,030.94	119.0773 12,042.57	46.35	-1,011.63	556.22	5.04%



ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
FEDL NATL MTG ASSN POOL #AE0988 CPN 4.000% DUE 09/01/25 DTD 02/01/11 FC 03/25/11 CUSIP: 31419BC29 Remaining Balance: \$15,325.18 Original Cost: 22,540.64	Cash	49,000	106.0526 16,252.74	109.6623 16,805.95	51.08	-553.21	613.00	3.77%
FEDL NATL MTG ASSN POOL #AL2645 CPN 4.500% DUE 01/01/27 DTD 10/01/12 FC 11/25/12 CUSIP: 3138EJ5F1 Remaining Balance: \$15,404.05 Original Cost: 23,257.36	Cash	25,000	106.4148 16,392.18	110.7375 17,058.06	57.77	-665.88	693.18	4.23%
LIBERTY MEDIA GRP BOND CPN 8.250% DUE 02/01/30 DTD 02/02/00 FC 08/01/00 CUSIP: 530715AJ0 Original Cost: 10,300.00	S&P: BB Moody: B2 Cash	10,000	106.5000 10,650.00	102.8792 10,287.92	343.75	362.08	825.00	7.75%
FORD MOTOR CO GLBL LANDMARK SECS GLOBL CPN 7.450% DUE 07/16/31 DTD 07/16/99 FC 01/16/00 CUSIP: 345370CA6 Original Cost: 12,837.50	S&P: BBB- Moody: Baa3 Cash	10,000	122.4670 12,246.70	126.9669 12,696.69	341.46	-449.99	745.00	6.08%
EL PASO ENERGY CORP MEDIUM TERM NOTE CPN 7.750% DUE 01/15/32 DTD 01/11/02 FC 07/15/02 CUSIP: 28368EAE6 Original Cost: 5,856.25	S&P: BB Moody: Ba2 Cash	5,000	101.5050 5,075.25	116.4418 5,822.09	178.68	-746.84	387.50	7.64%

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Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
WEYERHAEUSER CO DEBENTURES CPN 7.375% DUE 03/15/32 DTD 09/15/02 FC 03/15/03 CUSIP: 962166BR4 Original Cost: 6,188.35	S&P: BBB- Moody: Baa3 Cash	5,000	122.3650 6,118.25	123.1200 6,156.00	108.58	-37.75	368.75	6.03%
GOLDMAN SACHS GROUP INC SR NOTE MAKE WHOLE CALL +20BP CPN 6.125% DUE 02/15/33 DTD 02/13/03 FC 08/15/03 CUSIP: 38141GCU6 Original Cost: 10,406.60	S&P: A- Moody: Baa1 Cash	10,000	112.4840 11,248.40	103.9110 10,391.10	231.39	857.30	612.50	5.45%
COMCAST CORP NOTE CPN 7.050% DUE 03/15/33 DTD 03/14/03 FC 09/15/03 CUSIP: 20030NAC5 Original Cost: 6,314.00	S&P: A- Moody: A3 Cash	5,000	120.9840 6,049.20	125.1330 6,256.65	103.79	-207.45	352.50	5.83%
TECK COMINCO LIMITED NOTES CPN 6.125% DUE 10/01/35 DTD 09/28/05 FC 04/01/06 CUSIP: 878742AE5 Original Cost: 10,936.60	S&P: BBB Moody: Baa2 Cash	10,000	98.5730 9,857.30	109.0499 10,904.99	153.13	-1,047.69	612.50	6.21%
FEDL NATL MTG ASSN POOL #745336 CPN 5.000% DUE 03/01/36 DTD 02/01/06 FC 03/25/06 CUSIP: 31403DBD0 Remaining Balance: \$22,928.06 Original Cost: 48,822.44	Cash	177,000	108.5516 24,888.77	116.5252 26,716.97	95.53	-1,828.21	1,146.40	4.61%



ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
FEDL NATL MTG ASSN POOL #AE0689. CPN 6.000% DUE 08/01/37 DTD 12/01/10 FC 01/25/11 CUSIP: 31419AXT9 Remaining Balance: \$13,089.61 Original Cost: 26,171.41	Cash	42,000	112.0383 14,665.37	120.3163 15,748.93	65.45	-1,083.57	785.37	5.36%
FEDL NATL MTG ASSN POOL #AD0198 CPN 5.500% DUE 09/01/38 DTD 08/01/09 FC 09/25/09 CUSIP: 31418MGG1 Remaining Balance: \$11,249.39 Original Cost: 26,412.93	Cash	55,000	109.9291 12,366.35	121.0718 13,619.84	51.56	-1,253.49	618.71	5.00%
CENTURYLINK INC SR NOTE SER P CPN 7.600% DUE 09/15/39 DTD 09/21/09 FC 03/15/10 CUSIP: 156700AM8	S&P: BB Moody: Ba2 Cash	5,000	89.0000 4,450.00	99.8700 4,993.50	111.89	-643.50	380.00	8.54%
FEDL NATL MTG ASSN POOL #AL2071 CPN 5.500% DUE 03/01/40 DTD 06/01/12 FC 07/25/12 CUSIP: 3138EJUR0 Remaining Balance: \$17,176.22 Original Cost: 24,921.53	Cash	37,000	109.9357 18,882.80	111.9038 19,220.85	78.72	-338.05	944.69	5.00%

Gerda Lissner Foundation, Inc. 13-3566516 Statement # 18C

THE GERDA LISSNER FOUNDATION
GW&K MGD ACCT

December 1 -
December 31, 2013
Account Number:

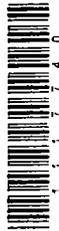
Page 17 of 17
N130 1580-1356

STIFEL

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
WELLS FARGO & CO PERPETUAL PFD SER K B/E FXDVAR CPN 7.980% DTD 02/08/08 FC 09/15/08 CALL 03/15/18 @ 100.000 CUSIP: 949746PM7	WFCNO S&P: BBB+ Moody: Ba1 Cash	10,000	111.5000 11,150.00	115.7500 11,575.00	N/A	-425.00	N/A	N/A
Total Corporate/Government Bonds		10,000	\$1,115,000	\$115.7500	\$0	-\$425.00	\$0	N/A
Principal Protected Notes are subject to the credit risk of the issuer. Principal Protected Market Linked CDs are subject to applicable limits.								
Total Portfolio Assets - Held at Stifel			\$7,300,743	\$7,300,743		-\$3,235.82	\$44,655.27	6.09%



57187

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
ISHARES CORE TOTAL U S BOND MARKET ETF CUSIP: 464287226	AGG Cash	788	106.4300 83,866.84	111.4471 87,820.35	N/A	-3,953.51	1,939.18	2.31%
ISHARES IBOXX \$INVESTMENT GRADE CORP BOND ETF CUSIP: 464287242	LQD Cash	443	114.1880 50,585.28	118.3769 52,440.97	N/A	-1,855.69	1,938.61	3.83%
ISHARES 1-3 YR TREASURY BOND ETF CUSIP: 464287457	SHY Cash	1,001	84.3800 84,464.38	84.4055 84,489.90	N/A	-25.52	221.62	0.26%
ISHARES MBS ETF CUSIP: 464288588	MBB Cash	590	104.5700 61,696.30	107.5292 63,442.22	N/A	-1,745.92	784.11	1.27%
ISHARES FLOATING RATE BOND ETF CUSIP: 464298655	FLOT Cash	1,650	50.7200 83,688.00	50.6481 83,569.37	N/A	118.63	395.83	0.47%

THE GERDA LISSNER FOUNDATION
WCA MANAGED ACCOUNT

December 1 -
December 31, 2013
Account Number:
N130 4024-7054

STIFEL

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
PDR BARCLAYS CAPITAL HIGH YIELD BOND ETF CUSIP: 78464A417	JNK Cash	419	40.5600 16,994.64	39.6581 16,616.76	N/A	377.88	1,028.05	6.05%
Total Corporate/Government Bonds		419	\$17,295.75	\$39,883.79	\$0.00	\$7084.13	\$6,307.40	1.65%



THE GERDA LISSNER FOUNDATION, INC.

13-3566516

DECEMBER 31, 2013

Statement 19 - Investment Advisory fees

JPMorgan	20,352 33
BNY Mellon	23,607 61
GW&K	10,029.17
Confluence	2,843 38
ACR-Alpine	3,528 66
WCA	8,030.28
Riverbridge	<u>3,502 63</u>
	<u>71,894 06</u>

STATEMENT 19

Application for Extension of Time To File an Exempt Organization Return

File a separate application for each return.

Information about Form 8868 and its instructions is at www.irs.gov/form8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer. See instructions	Employer identification number (EIN) or
	GERDA LISSNER FOUNDATION INC.	13-3566516
File by the due date for filing your return. See instructions	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	15 EAST 65TH STREET 4 FL City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10065-6501	

Enter the Return code for the return that this application is for (file a separate application for each return) **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

The books are in the care of IRA L. WEINER CPA

Telephone No 732 536-6653 Fax No 732 536-6676

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for.

- calendar year 2013 or
- tax year beginning _____, 20____, and ending _____, 20____

2 If the tax year entered in line 1 is for less than 12 months, check reason. Initial return Final return Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	13,833.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	8,833.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	5,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions