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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation DAVID & SHEILA CORNSTEIN FOUNDATION C/O TROUTMAN SANDERS LLP		A Employer identification number 13-3519327	
Number and street (or P O box number if mail is not delivered to street address) 405 LEXINGTON AVENUE		B Telephone number (see instructions) (212) 632-3787	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 101740208		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 462,938	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	95,572			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	2,500	2,500		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	32,518			
	b Gross sales price for all assets on line 6a 205,074				
	7 Capital gain net income (from Part IV, line 2) . . .		32,518		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	3	3		
	12 Total. Add lines 1 through 11	130,593	35,021		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	3,500	1,750		1,750
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	7	0		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule).	691	526		165
	24 Total operating and administrative expenses. Add lines 13 through 23	4,198	2,276		1,915
	25 Contributions, gifts, grants paid	54,475			54,475
	26 Total expenses and disbursements. Add lines 24 and 25	58,673	2,276		56,390
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	71,920			
	b Net investment income (if negative, enter -0-)		32,745		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	18,811	40,906	40,906
	2	Savings and temporary cash investments	7,713	4,667	4,667
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	239,388	336,556	369,475
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	84,006	43,326	47,890
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	349,918	425,455	462,938	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	349,918	425,455	
	30	Total net assets or fund balances (see page 17 of the instructions)	349,918	425,455	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	349,918	425,455	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	349,918
2	Enter amount from Part I, line 27a	2	71,920
3	Other increases not included in line 2 (itemize) ▶ _____	3	3,617
4	Add lines 1, 2, and 3	4	425,455
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	425,455

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	32,518
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	59,169	312,791	0 189165
2011	57,650	218,597	0 263727
2010	81,771	180,154	0 453895
2009	71,720	169,045	0 424266
2008	112,183	268,653	0 417576

2	Total of line 1, column (d).	2	1 748629
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 349726
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	364,418
5	Multiply line 4 by line 3.	5	127,446
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	327
7	Add lines 5 and 6.	7	127,773
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	56,390

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	655
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	655
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	655
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	795
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	795
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	140
11	Enter the amount of line 10 to be Credited to 2014 estimated tax 140 Refunded	11	0

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions): ☐ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> 	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of CBIZ MHM LLC Telephone no (212) 790-5700 Located at 1065 AVENUE OF AMERICAS NEW YORK NY ZIP+4 10018			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID CORNSTEIN	TRUSTEE	0	0	0
700 PARK AVENUE APT 17A NEW YORK, NY 10018	0 50			
SHEILA CORNSTEIN	TRUSTEE	0	0	0
700 PARK AVENUE APT 17A NEW YORK, NY 10018	0 50			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	334,109
b	Average of monthly cash balances.	1b	35,859
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	369,968
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	369,968
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	5,550
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	364,418
6	Minimum investment return. Enter 5% of line 5.	6	18,221

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	18,221
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	655
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	655
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	17,566
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	17,566
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	17,566

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	56,390
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	56,390
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	56,390
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				17,566
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.	98,814			
b From 2009.	63,268			
c From 2010.	72,781			
d From 2011.	46,751			
e From 2012.	43,622			
f Total of lines 3a through e.	325,236			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 56,390				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				17,566
e Remaining amount distributed out of corpus	38,824			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	364,060			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	98,814			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	265,246			
10 Analysis of line 9				
a Excess from 2009. . . .	63,268			
b Excess from 2010. . . .	72,781			
c Excess from 2011. . . .	46,751			
d Excess from 2012. . . .	43,622			
e Excess from 2013. . . .	38,824			

Part XIV

--	--

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

85% of line 2a

Qualifying distributions from Part XII, line 4 for each year listed

Amounts included in line 2c not used directly for active conduct of exempt activities

Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c

Complete 3a, b, or c for the alternative test relied upon

"Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

"Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV

1

See Additional Data Table

D

2

Check here ☒

a

b

C

d

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	54,475
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
235 059 SHRS LOEWS CORP	P	1999-01-09	2013-11-20
422 SHRS AT&T CORP	P	1999-01-09	2013-11-20
THRU MS#119916-SCH #1	P		
THRU MS#119916-SCH #1	P		
THRU MS#119916-SCH #1	P		
THRU MS#135130-SCH #2	P		
THRU MS#135130-SCH #2	P		
THRU MS#135130-SCH #2	P		
THRU MS#135130-SCH #2	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,149			11,149
15,002			15,002
1,584		1,474	110
1,052		890	162
1,298		1,025	273
142,152		139,500	2,652
2,593		2,611	-18
23,761		21,020	2,741
6,483		6,036	447

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11,149
			15,002
			110
			162
			273
			2,652
			-18
			2,741
			447

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

DAVID CORNSTEIN
SHEILA CORNSTEIN

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALBERT EINSTEIN COLLEGE OF MEDICINE 500 W 185TH STREET NEW YORK,NY 10033	NONE	EXEMPT	CHARITABLE	1,375
AMERICAN FRIENDS OF THE ISRAEL MUSEUM 500 FIFTH AVE 2540 NEW YORK,NY 10110	NONE	EXEMPT	CHARITABLE	1,000
AMERICAN JEWISH COMMITTEE 165 EAST 56TH STREET NEW YORK,NY 10022	NONE	EXEMPT	CHARITABLE	500
BREAST CANCER REASEARCH FOUNDATION 60 EAST 56TH STREET NEW YORK,NY 10022	NONE	EXEMPT	CHARITABLE	2,500
BRIGHAM & WOMENS HOSPITAL 75 FRANCIS STREET BOSTON,MA 02115	NONE	EXEMPT	CHARITABLE	1,000
CENTRAL PARK CONSERVANCY 14 E60TH STREET NEW YORK,NY 10022	NONE	EXEMPT	CHARITABLE	400
CITY OF HOPE 1500 EAST DUARTE ROAD DUARTE,CA 91010	NONE	EXEMPT	CHARITABLE	350
CROHN'S & COLITIS FOUNDATION OF AMERICA 386 PARK AVE SOUTH NEW YORK,NY 10016	NONE	EXEMPT	CHARITABLE	500
DANA FARBER CANCER INSTITUTE 450 BROOKLINE AVE BOSTON,MA 02215	NONE	EXEMPT	CHARITABLE	500
DYSTONIA ONE EAST WACKER DRIVE CHICAGO,IL 60601	NONE	EXEMPT	CHARITABLE	500
EDUCATIONAL ALLIANCE 179 HENRY STREET NEW YORK,NY 10002	NONE	EXEMPT	CHARITABLE	2,000
FEGS 315 HUDSON STREET NEW YORK,NY 10013	NONE	EXEMPT	CHARITABLE	1,000
FOOD ALLERGY INITIATIVE 1414 AVE OF THE AMERICAS NEW YORK,NY 10019	NONE	EXEMPT	CHARITABLE	2,000
FRIENDS OF ISRAEL DEFENSE FORCES 1430 BROADWAY NEW YORK,NY 10018	NONE	EXEMPT	CHARITABLE	1,000
FRIENDS OF MORSE LIFE 4847 FRED GLADSTONE DRIVE WEST PALM BEACH,FL 33417	NONE	EXEMPT	CHARITABLE	500
Total  3a				54,475

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FUND FOR PARK AVENUE 445 PARK AVESTE 1000 NEWYORK,NY 10022	NONE	EXEMPT	CHARITABLE	150
HEALING FOR HOME RETIREMENT-ADOPTION CENTER PO BOX 499 NEWYORK,NY 10022	NONE	EXEMPT	CHARITABLE	250
HORACE MANN SCHOOL 231 WEST 246TH STREET BRONX,NY 10471	NONE	EXEMPT	CHARITABLE	5,000
JEWISH FEDERATION OF PALM BEACH COUNTY 4601 COMMUNITY DR WEST PALM BEACH,FL 33417	NONE	EXEMPT	CHARITABLE	500
JEWISH GUILD HEALTHCARE 15 W 65TH STREET NEWYORK,NY 10023	NONE	EXEMPT	CHARITABLE	1,000
KENNY GORDON FOUNDATION 400 EAST 56TH STREET NEWYORK,NY 10022	NONE	EXEMPT	CHARITABLE	1,000
LAFAYETTE COLLEGE 307 MARKLE HALL EASTON,PA 18042	NONE	EXEMPT	CHARITABLE	1,000
LUNG CANCER RESEARCH FOUNDATION 845 3RD AVE 6TH FL NEWYORK,NY 10022	NONE	EXEMPT	CHARITABLE	1,500
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE NEWYORK,NY 10065	NONE	EXEMPT	CHARITABLE	1,000
MT SINAI BREAST HEALTH RESOURCE PROGRAM 1 GUSTAVE L LEVY PL NEWYORK,NY 10029	NONE	EXEMPT	CHARITABLE	500
NEW YORK LAW SCHOOL 185 WEST BROADWAY NEWYORK,NY 10013	NONE	EXEMPT	CHARITABLE	2,500
PARK EAST SYNAGOGUE 163 EAST 67TH STREET NEWYORK,NY 10065	NONE	EXEMPT	CHARITABLE	13,850
PLAY FOR PINK 175 EAST 74TH STREET 17-B NEWYORK,NY 10021	NONE	EXEMPT	CHARITABLE	700
SAM & ETHEL LEFRAK SCHOOL AT THE LIGHTHOUSE 111 E 59TH STREET NEWYORK,NY 10022	NONE	EXEMPT	CHARITABLE	500
SOUTHAMPTON VOLUNTEER FIREMAN'S BENEVOLENT PO BOX 499 SOUTHAMPTON,NY 11969	NONE	EXEMPT	CHARITABLE	250
Total  3a				54,475

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SOUTHAMPTON VILLAGE PBA 168 OLD COUNTRY RD SPEONK,NY 11972	NONE	EXEMPT	CHARITABLE	500
SPECIAL OLYMPICS 1133 19TH STREET NW WASHINGTON,DC 20036	NONE	EXEMPT	CHARITABLE	500
THE CHEMOTHERAPY FOUNDATION 183 MADISON AVENUE NEWYORK,NY 10016	NONE	EXEMPT	CHARITABLE	1,000
THE FRIARS FOUNDATION 57 EAST 55TH STREET NEWYORK,NY 10022	NONE	EXEMPT	CHARITABLE	5,000
			CHARITABLE	0
THIRTEEN 825 EIGHTH AVE NEWYORK,NY 10019	NONE	EXEMPT	CHARITABLE	150
UJA FEDERATION OF NEWYORK 130 EAST 59TH STR NEWYORK,NY 10022	NONE	EXEMPT	CHARITABLE	1,000
UPPER EAST SIDE HATZOLAH 125 E 85TH STR NEWYORK,NY 10028	NONE	EXEMPT	CHARITABLE	500
YOUNG WOMENS LEADERSHIP 2641 S CALUMET AVE CHICAGO,IL 60616	NONE	EXEMPT	CHARITABLE	1,000
Total  3a				54,475

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.	OMB No 1545-0047 2013
	Name of the organization DAVID & SHEILA CORNSTEIN FOUNDATION C/O TROUTMAN SANDERS LLP	Employer identification number 13-3519327

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation ☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization DAVID & SHEILA CORNSTEIN FOUNDATION C/O TROUTMAN SANDERS LLP	Employer identification number 13-3519327
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	DAVID CORNSTEIN 700 PARK AVENUE APT 17A NEW YORK, NY 10018	\$ 35,041	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
<u>2</u>	DAVID CORNSTEIN 700 PARK AVENUE APT 17A NEW YORK, NY 10018	\$ 30,455	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
<u>3</u>	DAVID CORNSTEIN 700 PARK AVENUE APT 17A NEW YORK, NY 10018	\$ 30,076	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
<u> </u>		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
<u> </u>		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
<u> </u>		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization DAVID & SHEILA CORNSTEIN FOUNDATION C/O TROUTMAN SANDERS LLP	Employer identification number 13-3519327
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

TY 2013 Accounting Fees Schedule**Name:** DAVID & SHEILA CORNSTEIN FOUNDATION

C/O TROUTMAN SANDERS LLP

EIN: 13-3519327

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CBIZ	3,500	1,750		1,750

**TY 2013 Investments Corporate
Stock Schedule**

Name: DAVID & SHEILA CORNSTEIN FOUNDATION
C/O TROUTMAN SANDERS LLP

EIN: 13-3519327

Name of Stock	End of Year Book Value	End of Year Fair Market Value
STOCKS-MS A/C#119916	336,556	369,475

TY 2013 Investments - Other Schedule

Name: DAVID & SHEILA CORNSTEIN FOUNDATION

C/O TROUTMAN SANDERS LLP

EIN: 13-3519327

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
INVESTMENTS THRU MS A/C#135130	AT COST	43,326	47,890

TY 2013 Other Expenses Schedule

Name: DAVID & SHEILA CORNSTEIN FOUNDATION

C/O TROUTMAN SANDERS LLP

EIN: 13-3519327

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	526	526		0
BANK CHARGES	30	0		30
NEW YORK LAW JOURNAL	135	0		135

TY 2013 Other Income Schedule

Name: DAVID & SHEILA CORNSTEIN FOUNDATION

C/O TROUTMAN SANDERS LLP

EIN: 13-3519327

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
REBATE CREDIT	3	3	3

TY 2013 Other Increases Schedule

Name: DAVID & SHEILA CORNSTEIN FOUNDATION
C/O TROUTMAN SANDERS LLP
EIN: 13-3519327

Description	Amount
UNREALIZED APPRICIATION SECURITIES DONATED	3,617

TY 2013 Substantial Contributors Schedule

Name: DAVID & SHEILA CORNSTEIN FOUNDATION
C/O TROUTMAN SANDERS LLP

EIN: 13-3519327

Name	Address
DAVID CORNSTEIN	700 PARK AVENUE APT 17A NEW YORK, NY 10018

TY 2013 Taxes Schedule**Name:** DAVID & SHEILA CORNSTEIN FOUNDATION

C/O TROUTMAN SANDERS LLP

EIN: 13-3519327

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	7	0		0

Corporate Tax Statement
Tax Year 2013

DAVID AND SHEILA CORNSTEIN
FOUNDATION
700 PARK AVENUE
APT 17A
NEW YORK NY 10021-7098

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 13-3519327
Account Number 654 119916 444
Customer Service: 866-324-6088



This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LOEWS CORPORATION		CUSIP 540424108	Symbol (Box 1d): L					
	7 228	12/14/12	11/20/13	\$342.82	\$297.47	\$0.00	\$45.35	\$0.00
	8 820	03/15/13	11/20/13	\$418.33	\$391.67	\$0.00	\$26.66	\$0.00
	8 836	06/14/13	11/20/13	\$419.09	\$392.22	\$0.00	\$26.87	\$0.00
	8 522	09/16/13	11/20/13	\$404.19	\$392.78	\$0.00	\$11.41	\$0.00
Security Subtotal	33.406			\$1,584.43	\$1,474.14	\$0.00	\$110.29	\$0.00
Total Short Term Covered Securities				\$1,584.43	\$1,474.14	\$0.00	\$110.29	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2013

DAVID AND SHEILA CORNSTEIN
FOUNDATION
700 PARK AVENUE
APT 17A
NEW YORK NY 10021-7098

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 13-3519327
Account Number 654 119916 444
Customer Service: 866-324-6088



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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LOEWS CORPORATION		CUSIP 540424108	Symbol (Box 1d) L					
	7 574	03/15/12	11/20/13	\$359.23	\$296.09	\$0.00	\$63.14	\$0.00
	7 412	06/15/12	11/20/13	\$351.55	\$296.56	\$0.00	\$54.99	\$0.00
	7 187	09/14/12	11/20/13	\$340.88	\$297.02	\$0.00	\$43.86	\$0.00
Security Subtotal	22.173			\$1,051.66	\$889.67	\$0.00	\$161.99	\$0.00
Total Long Term Covered Securities				\$1,051.66	\$889.67	\$0.00	\$161.99	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 9 of 12

Corporate Tax Statement
Tax Year 2013

DAVID AND SHEILA CORNSTEIN
FOUNDATION
700 PARK AVENUE
APT 17A
NEW YORK NY 10021-7098

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 13-3519327
Account Number 654 119916 444
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities [#] (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LOEWS CORPORATION		CUSIP 540424108	Symbol (Box 1d) L					
	3 166	12/14/09	11/20/13	\$150 16	\$113 13	\$0 00	\$37 03	\$0 00
	3 021	03/15/10	11/20/13	\$143 28	\$113 32	\$0 00	\$29 96	\$0 00
	3 442	06/15/10	11/20/13	\$163 25	\$113 51	\$0 00	\$49 74	\$0 00
	3 109	09/15/10	11/20/13	\$147 46	\$113 73	\$0 00	\$33 73	\$0 00
	2 934	12/13/10	11/20/13	\$139 16	\$113 92	\$0 00	\$25 24	\$0 00
	2 782	03/15/11	11/20/13	\$131 95	\$114 10	\$0 00	\$17 85	\$0 00
	2 803	06/15/11	11/20/13	\$132 94	\$114 28	\$0 00	\$18 66	\$0 00

CONTINUED ON NEXT PAGE

Corporate Tax Statement Tax Year 2013

DAVID AND SHEILA CORNSTEIN
FOUNDATION
700 PARK AVENUE
APT 17A
NEW YORK NY 10021-7098

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 13-3519327
Account Number 654 119916 444
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LOEWS CORPORATION	3.079	09/15/11	11/20/13	\$146.04	\$114.45	\$0.00	\$31.59	\$0.00
	3.026	12/13/11	11/20/13	\$143.52	\$114.65	\$0.00	\$28.87	\$0.00
Security Subtotal	262.421			1,297.76	\$1,025.09	\$0.00	\$272.67	\$0.00



**Corporate Tax Statement
Tax Year 2013**

DAVID & SHEILA CORNSTEIN
FOUNDATION - PM ACCOUNT
700 PARK AVENUE
APT 17A
NEW YORK NY 10021-7098

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 13-3519327
Account Number 654 135130 444

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ABERDEEN ASIA-PAC PR INC FD	CUSIP: 003009107		Symbol (Box 1d) FAX					
	5 000	05/20/13	07/10/13	\$30 36	\$36 92	\$6 56	(\$6 56)	\$0 00
	53 000	05/20/13	07/23/13	\$328 79	\$391 32	\$0 00	(\$62 53)	\$0 00
	5 000	05/20/13	07/23/13	\$31 02	\$39 81	\$0 00	(\$8 79)	\$0 00
	14 000	06/04/13	07/23/13	\$86 85	\$92 22	\$0 00	(\$5 37)	\$0 00
	21,000	06/17/13	07/23/13	\$130 27	\$139.64	\$0 00	(\$9.37)	\$0.00
Security Subtotal	98.000			\$607.29	\$699.91	\$6 56	(\$92 62)	\$0 00
BARCAP IPATH DJ UBS COMM INDX	CUSIP: 06738C778		Symbol (Box 1d) DJP					
	15 000	07/10/13	07/23/13	\$572 39	\$564 37	\$0 00	\$8 02	\$0 00
BLACKROCK EMRG MKT L/S EQ INST	CUSIP: 091936690		Symbol (Box 1d) BLSIX					
	22 987	01/31/13	02/08/13	\$243 20	\$245 26	\$2 06	(\$2 06)	\$0 00
	95 843	01/31/13	02/08/13	\$1,014 02	\$1,022 65	\$0 00	(\$8 63)	\$0 00
	20 161	01/31/13	02/11/13	\$213 50	\$215 12	\$0 00	(\$1 62)	\$0 00
	1 091	01/31/13	04/15/13	\$11 50	\$11 64	\$0 00	(\$0 14)	\$0 00
	84 981	01/31/13	07/23/13	\$919 50	\$906 75	\$0 00	\$12 75	\$0 00
	22 987	02/17/13	07/23/13	\$248 72	\$244 11	\$0 00	\$4 61	\$0 00
	2 006	06/11/13	07/23/13	\$21 70	\$21 38	\$0 00	\$0 32	\$0 00
Security Subtotal	250.056			\$2,672 14	\$2,666.91	\$2 06	\$5.23	\$0 00
BLACKROCK GLOBAL L/S CREDIT I	CUSIP: 091936732		Symbol (Box 1d) BGCIX					
	53 440	02/16/12	02/08/13	\$567 00	\$542 32	\$0 00	\$24 68	\$0 00
	90 911	02/27/12	02/08/13	\$964 57	\$925 32	\$0 00	\$39 25	\$0 00
	21 139	05/03/12	02/08/13	\$224 28	\$216 43	\$0 00	\$7 85	\$0 00
	23 861	05/03/12	02/11/13	\$253 17	\$244 30	\$0 00	\$8 87	\$0 00
	4 249	08/22/12	02/11/13	\$45 08	\$43 97	\$0 00	\$1 11	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2013DAVID & SHEILA CORNSTEIN
FOUNDATION - PM ACCOUNT
700 PARK AVENUE
APT 17A
NEW YORK NY 10021-7098Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 13-3519327
Account Number 654 135130 444

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BLACKROCK GLOBAL L/S CREDIT I	1 510	08/22/12	04/15/13	\$16 11	\$15 63	\$0 00	\$0 48	\$0 00
	2 577	08/22/12	06/11/13	\$27 39	\$26 64	\$0 00	\$0 75	\$0 00
	28 728	08/22/12	07/10/13	\$304 52	\$296 98	\$0 00	\$7 54	\$0 00
	30 262	08/22/12	07/23/13	\$321 99	\$312 85	\$0 00	\$9 14	\$0 00
	56 768	01/17/13	07/23/13	\$604 01	\$603 90	\$0 00	\$0 11	\$0 00
	31 711	02/25/13	07/23/13	\$337 41	\$337 08	\$0 00	\$0 33	\$0 00
	46 582	05/13/13	07/23/13	\$495 63	\$500 15	\$0 00	(\$4 52)	\$0 00
Security Subtotal	391 738			\$4,161.16	\$4,065 57	\$0 00	\$95 59	\$0 00
BLACKROCK STRATEGIC INC OPP I		CUSIP 09256H286	Symbol (Box 1d) BSLIX					
	46 952	05/03/12	02/08/13	\$477 03	\$459 66	\$0 00	\$17 37	\$0 00
	52 961	06/19/12	02/08/13	\$538 09	\$515 32	\$0 00	\$22 77	\$0 00
	29 410	06/19/12	02/11/13	\$298 81	\$286 16	\$0 00	\$12 65	\$0 00
	1 582	06/19/12	04/15/13	\$16 12	\$15 39	\$0 00	\$0 73	\$0 00
	1 215	06/19/12	06/11/13	\$12 28	\$11 82	\$0 00	\$0 46	\$0 00
	7 875	08/22/12	07/10/13	\$78 67	\$77 51	\$0 00	\$1 16	\$0 00
	76 548	08/22/12	07/23/13	\$767 01	\$753 40	\$0 00	\$13 61	\$0 00
	17 456	01/17/13	07/23/13	\$174 91	\$177 70	\$0 00	(\$2 79)	\$0 00
	33 199	02/25/13	07/23/13	\$332 65	\$337 30	\$0 00	(\$4 65)	\$0 00
	48 011	05/13/13	07/23/13	\$481 07	\$494 51	\$0 00	(\$13.44)	\$0 00
Security Subtotal	315 209			\$3,176.64	\$3,128.77	\$0 00	\$47 87	\$0 00
CALAMOS EVOLVING WORLD GW I		CUSIP 128119138	Symbol (Box 1d) CNWIX					
	28 236	02/25/13	07/23/13	\$371 30	\$371 59	\$0 00	(\$0 29)	\$0 00
	7 188	06/11/13	07/23/13	\$94 53	\$92.72	\$0 00	\$1.81	\$0 00
Security Subtotal	35 424			\$465 83	\$464 31	\$0 00	\$1 52	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2013DAVID & SHEILA CORNSTEIN
FOUNDATION - PM ACCOUNT
700 PARK AVENUE
APT. 17A
NEW YORK NY 10021-7098Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 13-3519327
Account Number 654 135130 444

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
DB X-TRACKER MSCI EAFE HEDGED								
		CUSIP: 233051200	Symbol (Box 1d): DBEF					
	92.000	05/15/13	07/23/13	\$2,322.86	\$2,405.80	\$0.00	(\$82.94)	\$0.00
	4.000	06/11/13	07/23/13	\$100.99	\$97.76	\$0.00	\$3.23	\$0.00
Security Subtotal	96.000			\$2,423.85	\$2,503.56	\$0.00	(\$79.71)	\$0.00
DELAWARE EXT DURATION BOND A								
		CUSIP: 245908835	Symbol (Box 1d): DEEAX					
	16.835	06/10/13	07/10/13	\$103.87	\$109.43	\$5.56	(\$5.56)	\$0.00
	16.835	06/10/13	07/23/13	\$106.90	\$114.48	\$0.00	(\$7.58)	\$0.00
	110.356	06/10/13	07/23/13	\$700.76	\$717.31	\$0.00	(\$16.55)	\$0.00
	8.749	06/18/13	07/23/13	\$55.56	\$56.61	\$0.00	(\$1.05)	\$0.00
	0.143	06/21/13	07/23/13	\$0.91	\$0.89	\$0.00	\$0.02	\$0.00
	0.514	07/22/13	07/23/13	\$3.26	\$3.27	\$0.00	(\$0.01)	\$0.00
Security Subtotal	153.432			\$971.26	\$1,001.99	\$5.56	(\$30.73)	\$0.00
DOUBLELINE LOW DURATION BD I								
		CUSIP: 258620863	Symbol (Box 1d): DBLSX					
	93.966	08/07/12	01/17/13	\$960.33	\$956.58	\$0.00	\$3.75	\$0.00
	36.723	08/22/12	01/17/13	\$375.31	\$374.57	\$0.00	\$0.74	\$0.00
	0.289	08/31/12	01/17/13	\$2.95	\$2.95	\$0.00	\$0.00	\$0.00
	0.228	09/28/12	01/17/13	\$2.33	\$2.33	\$0.00	\$0.00	\$0.00
	0.229	10/31/12	01/17/13	\$2.34	\$2.34	\$0.00	\$0.00	\$0.00
	0.235	11/30/12	01/17/13	\$2.40	\$2.40	\$0.00	\$0.00	\$0.00
	0.040	12/05/12	01/17/13	\$0.41	\$0.41	\$0.00	\$0.00	\$0.00
	0.260	12/31/12	01/17/13	\$2.66	\$2.65	\$0.00	\$0.01	\$0.00
Security Subtotal	131.970			\$1,348.73	\$1,344.23	\$0.00	\$4.50	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2013

DAVID & SHEILA CORNSTEIN
FOUNDATION - PM ACCOUNT
700 PARK AVENUE
APT 17A
NEW YORK NY 10021-7098

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number 13-3519327
Account Number 654 135130 444

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

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DOUBLELINE TOTAL RETURN I		CUSIP: 258620103	Symbol (Box 1d): DBLTX					
	20 962	02/10/12	02/08/13	\$237 92	\$234 15	\$0 00	\$3 77	\$0 00
	26 952	04/05/12	02/08/13	\$305 91	\$301 59	\$0 00	\$4 32	\$0 00
	38 000	04/26/12	02/08/13	\$431 30	\$427 50	\$0 00	\$3 80	\$0 00
	44 876	05/03/12	02/08/13	\$509 34	\$503 06	\$0 00	\$6 28	\$0 00
	42 046	05/03/12	02/11/13	\$477 22	\$471 34	\$0 00	\$5 88	\$0 00
	10 444	08/22/12	02/11/13	\$118 54	\$118 64	\$0 00	(\$0 10)	\$0 00
	2 825	08/22/12	04/15/13	\$32 26	\$32 09	\$0 00	\$0 17	\$0 00
	24 984	08/22/12	07/10/13	\$273 58	\$283 82	\$0 00	(\$10 24)	\$0 00
	13 595	08/22/12	07/10/13	\$148 86	\$154 44	\$5 58	(\$5 58)	\$0 00
	69 140	08/22/12	07/23/13	\$763 31	\$785 43	\$0 00	(\$22 12)	\$0 00
	13 595	08/22/12	07/23/13	\$150 09	\$158 39	\$0 00	(\$8 30)	\$0 00
	112 960	01/17/13	07/23/13	\$1,247 08	\$1,286 62	\$0 00	(\$39 54)	\$0 00
	59 831	02/25/13	07/23/13	\$660 53	\$681 48	\$0 00	(\$20 95)	\$0 00
Security Subtotal	480,210			\$5,355.94	\$5,438 55	\$5.58	(\$82.61)	\$0.00
DWS ENHANCED COMMODITY STRAT S		CUSIP: 23337G258	Symbol (Box 1d): SKSRX					
	190 274	07/10/13	07/23/13	\$587 95	\$584 14	\$0 00	\$3 81	\$0 00
EDGEWOOD GROWTH INSTL		CUSIP: 0075W0759	Symbol (Box 1d): EGFIX					
	15 788	06/14/12	01/17/13	\$228 61	\$199 09	\$0 00	\$29 52	\$0 00
	276 748	06/14/12	02/08/13	\$4,082 03	\$3,489 79	\$0 00	\$592 24	\$0 00
	48 222	06/14/12	02/11/13	\$707 41	\$608 08	\$0 00	\$99 33	\$0 00
	35,561	06/11/13	07/23/13	\$573 24	\$556 53	\$0 00	\$16 71	\$0 00
Security Subtotal	376 319			\$5,591.29	\$4,853.49	\$0 00	\$737 80	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2013DAVID & SHEILA CORNSTEIN
FOUNDATION - PM ACCOUNT
700 PARK AVENUE
APT 17A
NEW YORK NY 10021-7098Morgan Stanley Smith Barney Holdings LLC
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FEDERATED STRAT VAL DIV INST	CUSIP: 314172560	Symbol (Box 1d): SVAIX						
	36 417	01/17/13	02/07/13	\$189 38	\$186 09	\$0 00	\$3 29	\$0 00
FIDEL ADV FLOATING RT HI INC I	CUSIP: 315807552	Symbol (Box 1d): FFRIX						
	88 500	10/25/12	02/08/13	\$879 69	\$879 69	\$0 00	\$0 00	\$0 00
	15 030	10/25/12	02/11/13	\$149 40	\$149 40	\$0 00	\$0 00	\$0 00
	3 685	10/25/12	03/20/13	\$36 78	\$36 63	\$0 00	\$0 15	\$0 00
	0 021	10/31/12	03/20/13	\$0 21	\$0 21	\$0 00	\$0 00	\$0 00
	0 288	11/30/12	03/20/13	\$2 87	\$2 86	\$0 00	\$0 01	\$0 00
	0 532	12/07/12	03/20/13	\$5 31	\$5 27	\$0 00	\$0 04	\$0 00
	0 293	12/31/12	03/20/13	\$2 92	\$2 91	\$0 00	\$0 01	\$0 00
	58 934	01/17/13	03/20/13	\$588 16	\$587 57	\$0 00	\$0 59	\$0 00
	0 333	01/31/13	03/20/13	\$3 32	\$3 32	\$0 00	\$0 00	\$0 00
	0 259	02/28/13	03/20/13	\$2 59	\$2 58	\$0 00	\$0 01	\$0 00
Security Subtotal	167.875			\$1,671 25	\$1,670.44	\$0.00	\$0 81	\$0 00
GOLDMAN SACHS COMM STRAT INST	CUSIP: 38143H381	Symbol (Box 1d): GCCIX						
	104 311	07/10/13	07/23/13	\$590 40	\$584 14	\$0 00	\$6 26	\$0 00
GOLDMAN SACHS N-11 EQUITY I	CUSIP: 38145L844	Symbol (Box 1d): GSYIX						
	23 868	09/12/12	01/17/13	\$274 00	\$244 17	\$0 00	\$29 83	\$0 00
	131 792	09/12/12	02/08/13	\$1,485 30	\$1,348 23	\$0 00	\$137 07	\$0 00
	22 630	09/12/12	02/11/13	\$254 59	\$231 51	\$0 00	\$23 08	\$0 00
	1 238	09/12/12	04/15/13	\$14 15	\$12 66	\$0 00	\$1 49	\$0 00
	94 241	09/12/12	07/23/13	\$1,037 59	\$964 09	\$0 00	\$73 50	\$0 00
	0 824	12/10/12	07/23/13	\$9 07	\$8 87	\$0 00	\$0 20	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Tax Year 2013

DAVID & SHEILA CORNSTEIN
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OMB NO. 1545-0715

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(Continued)

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GOLDMAN SACHS N-11 EQUITY I	26 288	02/25/13	07/23/13	\$289 43	\$293 90	\$0 00	(\$4 47)	\$0 00
	4 081	06/11/13	07/23/13	\$44 94	\$44 12	\$0 00	\$0 82	\$0 00
Security Subtotal	304.962			\$3,409.07	\$3,147.55	\$0 00	\$261.52	\$0 00
GUGGENHEIM MACRO OPPORT I		CUSIP 814291647	Symbol (Box 1d) GIOIX					
	0 600	04/02/13	04/15/13	\$16 55	\$16 55	\$0 00	\$0 00	\$0 00
	7 368	04/02/13	07/10/13	\$194 95	\$203 21	\$0 00	(\$8 26)	\$0 00
	2 007	04/02/13	07/10/13	\$53 10	\$55 35	\$2 25	(\$2 25)	\$0 00
	19 656	04/02/13	07/23/13	\$523 44	\$542 10	\$0 00	(\$18 66)	\$0 00
	2 007	04/02/13	07/23/13	\$53 45	\$56 77	\$0 00	(\$3 32)	\$0 00
	30 489	04/12/13	07/23/13	\$811 92	\$840 89	\$0 00	(\$28 97)	\$0 00
	0 159	04/30/13	07/23/13	\$4 23	\$4 42	\$0 00	(\$0 19)	\$0 00
	0 300	05/31/13	07/23/13	\$7 99	\$8 25	\$0 00	(\$0 26)	\$0 00
	0 301	06/28/13	07/23/13	\$8 02	\$8 01	\$0 00	\$0 01	\$0 00
Security Subtotal	62 887			\$1,673 65	\$1,735 55	\$2.25	(\$61.90)	\$0 00
GUGGENHEIM SOLAR ETF NEW		CUSIP: 18383Q739	Symbol (Box 1d) TAN					
	18 000	08/08/13	10/24/13	\$714 51	\$483 47	\$0 00	\$231 04	\$0 00
	50 000	09/26/13	10/24/13	\$1,984 76	\$1,633 00	\$0 00	\$351 76	\$0 00
Security Subtotal	68.000			\$2,699.27	\$2,116 47	\$0.00	\$582.80	\$0 00
HATTERAS ALPHA HEDGED STR INST		CUSIP: 41902V872	Symbol (Box 1d) ALPIX					
	217 797	04/26/12	01/31/13	\$2,384 88	\$2,343 50	\$0 00	\$41 38	\$0 00
	0 106	01/17/13	01/31/13	\$1 16	\$1 15	\$0 00	\$0 01	\$0 00
Security Subtotal	217.903			\$2,386.04	\$2,344 65	\$0.00	\$41.39	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Tax Year 2013DAVID & SHEILA CORNSTEIN
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APT 17A
NEW YORK NY 10021-7098Morgan Stanley Smith Barney Holdings LLC
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ING MID CAP OPPORT I		CUSIP: 44978A806	Symbol (Box 1d): NMCIX					
	23 388	02/28/13	07/23/13	\$601 77	\$537 22	\$0 00	\$64 55	\$0 00
	15 083	06/11/13	07/23/13	\$388 09	\$367 41	\$0 00	\$20 68	\$0 00
Security Subtotal	38,471			\$989.86	\$904.63	\$0.00	\$85 23	\$0 00
ISHARES MSCI ACWI ETF		CUSIP: 464288257	Symbol (Box 1d): ACWI					
	42 000	09/13/12	02/08/13	\$2,102 89	\$2,000 95	\$0 00	\$101 94	\$0 00
	7 000	09/13/12	02/11/13	\$349 78	\$333 49	\$0 00	\$16 29	\$0 00
	1 000	09/13/12	04/15/13	\$50 76	\$47 64	\$0 00	\$3 12	\$0 00
	28 000	09/13/12	07/23/13	\$1,480 13	\$1 333 97	\$0 00	\$146 16	\$0 00
	8 000	02/25/13	07/23/13	\$422 89	\$396 16	\$0 00	\$26 73	\$0 00
	1 000	06/11/13	07/23/13	\$52 86	\$51.74	\$0 00	\$1.12	\$0 00
Security Subtotal	87 000			\$4,459.31	\$4,163.95	\$0.00	\$295 36	\$0 00
ISHARES MSCI ACWI EX US ETF		CUSIP: 464288240	Symbol (Box 1d): ACWX					
	110 000	09/06/13	09/13/13	\$4,881 75	\$4,761 24	\$0 00	\$120 51	\$0 00
ISHARES N AME TECH M/M NTW ETF		CUSIP: 464287531	Symbol (Box 1d): IGN					
	62 000	07/23/13	11/22/13	\$1,870 56	\$1,880 68	\$0 00	(\$10 12)	\$0 00
	2 000	08/27/13	11/22/13	\$60 34	\$58 60	\$0 00	\$1 74	\$0 00
Security Subtotal	64 000			\$1,930.90	\$1,939.28	\$0 00	(\$8 38)	\$0 00
ISHARES US HEALTHCARE PROV ETF		CUSIP: 464288828	Symbol (Box 1d): IHF					
	42 000	07/23/13	09/27/13	\$3,659 24	\$3,730 02	\$0 00	(\$70 78)	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2013

DAVID & SHEILA CORNSTEIN
FOUNDATION - PM ACCOUNT
700 PARK AVENUE
APT 17A
NEW YORK NY 10021-7098

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 13-3519327
Account Number 654 135130 444
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ISHARES US OIL EQUIP & SER ETF		CUSIP: 464288844	Symbol (Box 1d): IEZ					
	10 000	07/23/13	09/04/13	\$609 77	\$616 14	\$0 00	(\$6 37)	\$0 00
	29 000	07/23/13	11/29/13	\$1,867 02	\$1,786 82	\$0 00	\$80 20	\$0 00
Security Subtotal	39 000			\$2,476 79	\$2,402 96	\$0 00	\$73 83	\$0 00
ISHARES US REAL ESTATE ETF		CUSIP: 464287739	Symbol (Box 1d): IYR					
	15 000	08/01/12	02/08/13	\$1,013 37	\$980 99	\$0 00	\$32 38	\$0 00
	3 000	08/01/12	02/11/13	\$203 96	\$196 20	\$0 00	\$7 76	\$0 00
	1 000	08/01/12	04/15/13	\$71 67	\$65 40	\$0 00	\$6 27	\$0 00
	8 000	08/01/12	07/23/13	\$555 38	\$523 19	\$0 00	\$32 19	\$0 00
	1 000	01/17/13	07/23/13	\$69 42	\$67 10	\$0 00	\$2 32	\$0 00
	3 000	02/25/13	07/23/13	\$208 27	\$202 89	\$0 00	\$5 38	\$0 00
	1 000	06/11/13	07/23/13	\$69 42	\$67 48	\$0 00	\$1 94	\$0 00
Security Subtotal	32 000			\$2,191 49	\$2,103 25	\$0 00	\$88 24	\$0 00
JP MORGAN UNDISC MGR BEHV VL A		CUSIP: 904504586	Symbol (Box 1d): UBVAX					
	0 227	02/28/13	04/15/13	\$9 53	\$9 46	\$0 00	\$0 07	\$0 00
	21 876	02/28/13	07/23/13	\$1,046 99	\$911 80	\$0 00	\$135 19	\$0 00
Security Subtotal	22 103			\$1,056 52	\$921 26	\$0 00	\$135 26	\$0 00
LOOMIS SAYLES STRAT ALPHA Y		CUSIP: 63872T620	Symbol (Box 1d): LASYX					
	1 568	03/20/13	04/15/13	\$16 29	\$16 32	\$0 00	(\$0 03)	\$0 00
	5 108	03/20/13	07/10/13	\$50 98	\$53 17	\$2 19	(\$2 19)	\$0 00
	16 383	03/20/13	07/10/13	\$163 50	\$170 55	\$0 00	(\$7 05)	\$0 00
	5 108	03/20/13	07/23/13	\$51 49	\$54 55	\$0 00	(\$3 06)	\$0 00
	133 237	03/20/13	07/23/13	\$1,343 03	\$1,387 00	\$0 00	(\$43 97)	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2013DAVID & SHEILA CORNSTEIN
FOUNDATION - PM ACCOUNT
700 PARK AVENUE
APT 17A
NEW YORK NY 10021-7098Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number 13-3519327
Account Number 654 135130 444

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LOOMIS SAYLES STRAT ALPHA Y	0.676	03/21/13	07/23/13	\$6.81	\$7.00	\$0.00	(\$0.19)	\$0.00
	1.130	06/20/13	07/23/13	\$11.39	\$11.36	\$0.00	\$0.03	\$0.00
Security Subtotal	163.210			\$1,643.49	\$1,699.95	\$2.19	(\$56.46)	\$0.00
LORD ABBETT CORE FIXED INC F		CUSIP: 543916431		Symbol (Box 1d): LCRFX				
	95.158	12/19/12	02/08/13	\$1,058.16	\$1,062.92	\$0.00	(\$4.76)	\$0.00
	62.282	12/19/12	02/08/13	\$692.57	\$695.68	\$3.11	(\$3.11)	\$0.00
	26.740	12/19/12	02/11/13	\$297.35	\$298.69	\$0.00	(\$1.34)	\$0.00
	62.282	12/19/12	04/04/13	\$696.93	\$698.80	\$0.00	(\$1.87)	\$0.00
	51.738	12/19/12	04/04/13	\$578.95	\$577.91	\$0.00	\$1.04	\$0.00
Security Subtotal	298.200			\$3,323.96	\$3,334.00	\$3.11	(\$10.04)	\$0.00
LORD ABBETT SHT DURATION INC F		CUSIP: 543916464		Symbol (Box 1d): LDLFX				
	272.337	06/15/12	02/08/13	\$1,263.64	\$1,247.30	\$0.00	\$16.34	\$0.00
	135.000	06/21/12	02/08/13	\$626.40	\$618.30	\$0.00	\$8.10	\$0.00
	66.644	08/22/12	02/08/13	\$309.23	\$307.23	\$0.00	\$2.00	\$0.00
	80.500	08/22/12	02/11/13	\$373.52	\$371.11	\$0.00	\$2.41	\$0.00
	3.431	08/22/12	04/15/13	\$15.92	\$15.82	\$0.00	\$0.10	\$0.00
	19.750	08/22/12	05/13/13	\$91.64	\$91.05	\$0.00	\$0.59	\$0.00
	148.392	09/28/12	05/13/13	\$688.54	\$688.54	\$0.00	\$0.00	\$0.00
	171.643	01/17/13	05/13/13	\$796.42	\$798.14	\$0.00	(\$1.72)	\$0.00
Security Subtotal	897.697			\$4,165.31	\$4,137.49	\$0.00	\$27.82	\$0.00
MAINSTAY MARKETFIELD I		CUSIP: 56064B852		Symbol (Box 1d): MFLDX				
	3.242	12/12/12	01/17/13	\$53.04	\$50.58	\$0.00	\$2.46	\$0.00
	77.482	12/12/12	02/08/13	\$1,280.78	\$1,208.72	\$0.00	\$72.06	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2013DAVID & SHEILA CORNSTEIN
FOUNDATION - PM ACCOUNT
700 PARK AVENUE
APT 17A
NEW YORK NY 10021-7098Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 13-3519327
Account Number 654 135130 444

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MAINSTAY MARKETFIELD I	13 206	12/12/12	02/11/13	\$218 03	\$206 01	\$0 00	\$12 02	\$0 00
	0 703	12/12/12	04/15/13	\$11 54	\$10 97	\$0 00	\$0 57	\$0 00
	55 714	12/12/12	07/23/13	\$977 78	\$869 14	\$0 00	\$108 64	\$0 00
	13 570	02/25/13	07/23/13	\$238 15	\$220 65	\$0 00	\$17 50	\$0 00
Security Subtotal	163 917			\$2,779 32	\$2,566 07	\$0 00	\$213.25	\$0 00
MFS DIVERSE TARGET RETURN I		CUSIP 55276T404	Symbol (Box 1d): DVRIX					
	127 065	12/19/12	02/08/13	\$1,261 76	\$1,241 42	\$0 00	\$20 34	\$0 00
	21 742	12/19/12	02/11/13	\$215 68	\$212 42	\$0 00	\$3 26	\$0 00
	0 923	12/19/12	04/15/13	\$9 32	\$9 02	\$0 00	\$0 30	\$0 00
	91 095	12/19/12	07/23/13	\$921 88	\$890 00	\$0 00	\$31 88	\$0 00
	0 571	01/17/13	07/23/13	\$5 78	\$5 65	\$0 00	\$0 13	\$0 00
	24 010	06/11/13	07/23/13	\$242 98	\$243 70	\$0 00	(\$0 72)	\$0 00
Security Subtotal	265 406			\$2,657.40	\$2,602 21	\$0 00	\$55 19	\$0 00
MFS INTERNATIONAL VALUE I		CUSIP 55273E822	Symbol (Box 1d): MINIX					
	262 266	04/02/12	02/08/13	\$7,728 98	\$7,145 67	\$0 00	\$583 31	\$0 00
	44 968	04/02/12	02/11/13	\$1,320 26	\$1,225 19	\$0 00	\$95 07	\$0 00
	1 424	01/17/13	07/23/13	\$47 12	\$41 33	\$0 00	\$5 79	\$0 00
	41 934	02/25/13	07/23/13	\$1,387 60	\$1,233.71	\$0 00	\$153.89	\$0 00
Security Subtotal	350.592			\$10,483.96	\$9,645.90	\$0 00	\$838 06	\$0 00
NUVEEN SANTA BARBARA DIV GR I		CUSIP 67065W639	Symbol (Box 1d): NSBRX					
	114 565	02/07/13	06/11/13	\$3,419 77	\$3,246 78	\$0 00	\$172 99	\$0 00
	92 331	02/07/13	07/23/13	\$2,827 18	\$2,616 66	\$0 00	\$210 52	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2013DAVID & SHEILA CORNSTEIN
FOUNDATION - PM ACCOUNT
700 PARK AVENUE
APT 17A
NEW YORK NY 10021-7098Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
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Taxpayer ID Number 13-3519327
Account Number 654 135130 444
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
NUVEEN SANTA BARBARA DIV GR I	0.911	03/28/13	07/23/13	\$27.89	\$26.69	\$0.00	\$1.20	\$0.00
	0.450	06/28/13	07/23/13	\$13.78	\$13.18	\$0.00	\$0.60	\$0.00
Security Subtotal	208.257			\$6,288.62	\$5,903.31	\$0.00	\$385.31	\$0.00
PIONEER DYNAMIC CREDIT Y		CUSIP: 72388E308	Symbol (Box 1d): RCRYX					
	33.109	05/03/12	02/08/13	\$331.76	\$319.50	\$0.00	\$12.26	\$0.00
	30.891	05/03/12	02/11/13	\$309.53	\$298.10	\$0.00	\$11.43	\$0.00
	6.419	08/22/12	02/11/13	\$64.32	\$62.91	\$0.00	\$1.41	\$0.00
	2.012	08/22/12	04/15/13	\$20.32	\$19.72	\$0.00	\$0.60	\$0.00
	32.323	08/22/12	07/10/13	\$317.09	\$316.77	\$0.00	\$0.32	\$0.00
	47.168	08/22/12	07/23/13	\$466.49	\$462.25	\$0.00	\$4.24	\$0.00
	77.591	01/17/13	07/23/13	\$767.37	\$779.01	\$0.00	(\$11.64)	\$0.00
	41.911	02/25/13	07/23/13	\$414.50	\$420.79	\$0.00	(\$6.29)	\$0.00
	6.674	06/11/13	07/23/13	\$66.01	\$66.81	\$0.00	(\$0.80)	\$0.00
	41.272	06/18/13	07/23/13	\$408.18	\$413.55	\$0.00	(\$5.37)	\$0.00
Security Subtotal	319.370			\$3,165.57	\$3,159.41	\$0.00	\$6.16	\$0.00
PIONEER MLTIAST ULT SHT INC Y		CUSIP: 72388E605	Symbol (Box 1d): MYFRX					
	48.855	04/26/12	02/08/13	\$493.44	\$490.02	\$0.00	\$3.42	\$0.00
	59.000	05/03/12	02/08/13	\$595.90	\$591.77	\$0.00	\$4.13	\$0.00
	89.060	08/22/12	02/08/13	\$899.51	\$895.05	\$0.00	\$4.46	\$0.00
	21.305	01/17/13	02/08/13	\$215.17	\$215.18	\$0.00	(\$0.01)	\$0.00
	37.060	01/17/13	02/11/13	\$374.31	\$374.31	\$0.00	\$0.00	\$0.00
	158.016	01/17/13	04/02/13	\$1,594.38	\$1,595.96	\$0.00	(\$1.58)	\$0.00
	41.638	02/25/13	04/02/13	\$420.13	\$420.54	\$0.00	(\$0.41)	\$0.00
Security Subtotal	454.934			\$4,592.84	\$4,582.83	\$0.00	\$10.01	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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**Corporate Tax Statement
Tax Year 2013**

DAVID & SHEILA CORNSTEIN
FOUNDATION - PM ACCOUNT
700 PARK AVENUE
APT 17A
NEW YORK NY 10021-7098

Morgan Stanley Smith Barney Holdings LLC
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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(Continued)

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PIONEER MULTI ASSET REAL RET Y		CUSIP: 72387S605	Symbol (Box 1d): PMYRX					
	17 105	02/25/13	07/23/13	\$221 86	\$217 92	\$0 00	\$3 94	\$0 00
PROSHARES SHORT MSCI EMER		CUSIP: 74347R396	Symbol (Box 1d): EUM					
	186 000	11/12/13	12/31/13	\$4,914 03	\$5,121 53	\$0 00	(\$207 50)	\$0 00
PROSHARES SHORT RUSSELL2000		CUSIP: 74347R826	Symbol (Box 1d): RWM					
	139 000	08/15/13	08/28/13	\$2,721 76	\$2,686 87	\$0 00	\$34 89	\$0 00
	252 000	08/06/13	09/04/13	\$4,894 38	\$4,792 41	\$0 00	\$101 97	\$0 00
	58 000	08/15/13	09/04/13	\$1,126 49	\$1,121 14	\$0 00	\$5 35	\$0 00
Security Subtotal	449 000			\$8,742 63	\$8,600 42	\$0.00	\$142.21	\$0.00
PRUDENTIAL ABSOLUTE RET BD Z		CUSIP: 74441J829	Symbol (Box 1d): PADZX					
	87 950	10/25/12	02/08/13	\$881 26	\$876 56	\$0 00	\$4 70	\$0 00
	14 930	10/25/12	02/11/13	\$149 60	\$148 80	\$0 00	\$0 80	\$0 00
	22 376	10/25/12	03/25/13	\$223 76	\$222 61	\$0 00	\$1 15	\$0 00
	0 028	10/31/12	03/25/13	\$0 28	\$0 28	\$0 00	\$0 00	\$0 00
	0 362	11/30/12	03/25/13	\$3 62	\$3 61	\$0 00	\$0 01	\$0 00
	0 287	12/31/12	03/25/13	\$2 87	\$2 87	\$0 00	\$0 00	\$0 00
	40 309	01/17/13	03/25/13	\$403 09	\$404 02	\$1 61	(\$0 93)	\$0 00
	0 337	01/31/13	03/25/13	\$3 37	\$3 38	\$0 00	(\$0 01)	\$0 00
	16 805	02/25/13	03/25/13	\$168 05	\$167 95	\$0 00	\$0 10	\$0 00
	0 303	02/28/13	03/25/13	\$3 03	\$3 03	\$0 00	\$0 00	\$0 00
	1 634	01/27/13	04/15/13	\$16 37	\$16 40	\$0 00	(\$0 03)	\$0 00
	23 971	01/27/13	07/10/13	\$234 92	\$240 47	\$0 00	(\$5 55)	\$0 00
	14 704	01/27/13	07/23/13	\$145 42	\$148 50	\$0 00	(\$3 08)	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2013DAVID & SHEILA CORNSTEIN
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700 PARK AVENUE
APT 17A
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OMB NO. 1545-0715

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(Continued)

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PRUDENTIAL ABSOLUTE RET BD Z	41 292	04/04/13	07/23/13	\$408.38	\$411.67	\$0.00	(\$3.29)	\$0.00
	81 988	04/05/13	07/23/13	\$810.86	\$819.88	\$0.00	(\$9.02)	\$0.00
	0 248	04/30/13	07/23/13	\$2.45	\$2.49	\$0.00	(\$0.04)	\$0.00
	0 386	05/31/13	07/23/13	\$3.82	\$3.85	\$0.00	(\$0.03)	\$0.00
	3 905	06/11/13	07/23/13	\$38.62	\$38.57	\$0.00	\$0.05	\$0.00
	0 362	06/28/13	07/23/13	\$3.58	\$3.54	\$0.00	\$0.04	\$0.00
Security Subtotal	352 177			\$3,503.35	\$3,518.48	\$1.61	(\$15.13)	\$0.00
PRUDENTIAL REAL ASST FD Z		CUSIP: 74440K777	Symbol (Box 1d): PUDZX					
	109 469	02/28/13	03/20/13	\$1,163.66	\$1,151.61	\$0.00	\$12.05	\$0.00
	1 812	03/25/13	04/15/13	\$19.12	\$19.26	\$0.00	(\$0.14)	\$0.00
	176 183	03/25/13	07/23/13	\$1,834.07	\$1,872.83	\$0.00	(\$38.76)	\$0.00
	53 613	06/11/13	07/23/13	\$558.11	\$546.32	\$0.00	\$11.79	\$0.00
Security Subtotal	341.077			\$3,574.96	\$3,590.02	\$0.00	(\$15.06)	\$0.00
ROYCE PREMIER INV		CUSIP: 780905600	Symbol (Box 1d): RYPRX					
	7 552	01/17/13	02/28/13	\$150.51	\$150.66	\$0.00	(\$0.15)	\$0.00
	0 037	01/17/13	04/15/13	\$0.71	\$0.74	\$0.00	(\$0.03)	\$0.00
	0 098	02/25/13	04/15/13	\$1.87	\$1.93	\$0.00	(\$0.06)	\$0.00
	13 201	02/25/13	06/11/13	\$273.92	\$260.46	\$0.00	\$13.46	\$0.00
Security Subtotal	20.888			\$427.01	\$413.79	\$0.00	\$13.22	\$0.00
SPDR DJ WILSHIRE INTL REAL EST		CUSIP: 78463X863	Symbol (Box 1d): RWX					
	37 000	12/28/12	02/08/13	\$1,532.50	\$1,516.18	\$0.00	\$16.32	\$0.00
	7 000	12/28/12	02/11/13	\$290.63	\$286.84	\$0.00	\$3.79	\$0.00
	1 000	12/28/12	04/15/13	\$44.53	\$40.98	\$0.00	\$3.55	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2013DAVID & SHEILA CORNSTEIN
FOUNDATION - PM ACCOUNT
700 PARK AVENUE
APT 17A
NEW YORK NY 10021-7098Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632Taxpayer ID Number 13-3519327
Account Number 654 135130 444

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SPDR DJ WILSHIRE INTL REAL EST	24 000	12/28/12	07/23/13	\$998.89	\$983.47	\$0.00	\$15.42	\$0.00
	8 000	02/25/13	07/23/13	\$332.96	\$329.20	\$0.00	\$3.76	\$0.00
	3 000	06/11/13	07/23/13	\$124.87	\$121.23	\$0.00	\$3.64	\$0.00
Security Subtotal	80.000			\$3,324.38	\$3,277.90	\$0.00	\$46.48	\$0.00
SPDR S&P BIOTECH		CUSIP 78464A870	Symbol (Box 1d): XBI					
	15 000	07/23/13	08/08/13	\$1,807.93	\$1,790.05	\$0.00	\$17.88	\$0.00
SPDR S&P REGIONAL BANKING ETF		CUSIP 78464A698	Symbol (Box 1d): KRE					
	48 000	07/23/13	09/04/13	\$1,686.81	\$1,788.54	\$0.00	(\$101.73)	\$0.00
	4 000	07/23/13	09/04/13	\$140.56	\$149.04	\$8.48	(\$8.48)	\$0.00
	50 000	07/23/13	09/26/13	\$1,768.04	\$1,863.06	\$0.00	(\$95.02)	\$0.00
	4 000	07/23/13	09/26/13	\$141.44	\$150.03	\$0.00	(\$8.59)	\$0.00
Security Subtotal	106.000			\$3,736.85	\$3,950.67	\$8.48	(\$213.82)	\$0.00
SPDR S&P RETAIL		CUSIP 78464A714	Symbol (Box 1d): XRT					
	23 000	07/23/13	10/08/13	\$1,843.77	\$1,860.36	\$0.00	(\$16.59)	\$0.00
	1,000	08/27/13	10/08/13	\$80.16	\$77.39	\$0.00	\$2.77	\$0.00
Security Subtotal	24 000			\$1,923.93	\$1,937.75	\$0.00	(\$13.82)	\$0.00
THE FINANCIAL SEL SECT SPDR FD		CUSIP: 81369Y605	Symbol (Box 1d): XLF					
	86 000	07/23/13	08/19/13	\$1,707.07	\$1,792.24	\$0.00	(\$85.17)	\$0.00
	96 000	07/23/13	08/20/13	\$1,917.52	\$2,000.64	\$0.00	(\$83.12)	\$0.00
Security Subtotal	182 000			\$3,624.59	\$3,792.88	\$0.00	(\$168.29)	\$0.00
TOCQUEVILLE GOLD		CUSIP 888894862	Symbol (Box 1d): TGLDX					
	5 196	06/19/12	02/08/13	\$305.31	\$346.57	\$41.26	(\$41.26)	\$0.00
	18 732	06/19/12	02/08/13	\$1,100.67	\$1,249.43	\$0.00	(\$148.76)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2013DAVID & SHEILA CORNSTEIN
FOUNDATION - PM ACCOUNT
700 PARK AVENUE
APT 17A
NEW YORK NY 10021-7098Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 13-3519327
Account Number 654 135130 444
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TOCQUEVILLE GOLD	4 110	06/19/12	02/11/13	\$236 22	\$274 14	\$0 00	(\$37 92)	\$0 00
	5 196	06/19/12	03/04/13	\$261 51	\$369 67	\$0 00	(\$108 16)	\$0 00
	11 878	06/19/12	03/04/13	\$597 82	\$792 26	\$0 00	(\$194 44)	\$0 00
Security Subtotal	45 112			\$2,501 53	\$3,032 07	\$41 26	(\$530.54)	\$0 00
VAN ECK CM COMMODITY INDEX A		CUSIP: 921075313	Symbol (Box 1d) CMCAX					
	76 458	07/10/13	07/23/13	\$589 49	\$584 14	\$0 00	\$5 35	\$0 00
WISDOMTREE TRUST JAPN HEDGE EQ		CUSIP: 97717W851	Symbol (Box 1d) DXJ					
	40 000	11/29/13	12/16/13	\$1,959 15	\$2,014 40	\$0 00	(\$55 25)	\$0 00
Total Short Term Covered Securities				\$142,151 55	\$139,500.47	\$78.66	\$2,651.08	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2013

DAVID & SHEILA CORNSTEIN
FOUNDATION - PM ACCOUNT
700 PARK AVENUE
APT 17A
NEW YORK NY 10021-7098

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities [#] (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ISHARES GOLD TRUST		CUSIP 464285105	Symbol (Box 1d) IAU					
	77 000	08/22/12	02/08/13	\$1,248.14	\$1,230.32	\$0.00	\$17.82	\$0.00
	13 000	08/22/12	02/11/13	\$208.51	\$207.72	\$0.00	\$0.79	\$0.00
	54 000	08/22/12	02/28/13	\$829.42	\$862.82	\$0.00	(\$33.40)	\$0.00
	1 000	01/17/13	02/28/13	\$15.36	\$16.43	\$0.00	(\$1.07)	\$0.00
	19 000	02/25/13	02/28/13	\$291.83	\$293.93	\$0.00	(\$2.10)	\$0.00
Security Subtotal	164,000			\$2,593.26	\$2,611.22	\$0.00	(\$17.96)	\$0.00
Total Short Term Noncovered Securities				\$2,593.26	\$2,611.22	\$0.00	(\$17.96)	\$0.00
Total Short Term Covered and Noncovered Securities				\$144,744.81	\$142,111.69	\$78.66	\$2,633.12	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2013DAVID & SHEILA CORNSTEIN
FOUNDATION - PM ACCOUNT
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APT 17A
NEW YORK NY 10021-7098Morgan Stanley Smith Barney Holdings LLC
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BLACKROCK STRATEGIC INC OPP I		CUSIP: 09256H286	Symbol (Box 1d): BSIIX					
	20 723	06/19/12	07/10/13	\$207 02	\$201 64	\$0 00	\$5 38	\$0 00
CALAMOS EVOLVING WORLD GW I		CUSIP: 128119138	Symbol (Box 1d): CNWIX					
	1 311	01/10/12	01/17/13	\$17 50	\$16 23	\$0 00	\$1 27	\$0 00
	151 686	01/10/12	02/08/13	\$2,034 11	\$1,877 87	\$0 00	\$156 24	\$0 00
	26 194	01/10/12	02/11/13	\$347 59	\$324 28	\$0 00	\$23 31	\$0 00
	1 408	01/10/12	04/15/13	\$18 12	\$17 43	\$0 00	\$0 69	\$0 00
	108,867	01/10/12	07/23/13	\$1,431 60	\$1,347 77	\$0 00	\$83 83	\$0 00
Security Subtotal	289,466			\$3,848 92	\$3,583 58	\$0 00	\$265 34	\$0 00
EDGEWOOD GROWTH INSTL		CUSIP: 0075W0759	Symbol (Box 1d): EGFIX					
	204 204	06/14/12	07/23/13	\$3,291 77	\$2,575 01	\$0 00	\$716 76	\$0 00
FEDERATED STRAT VAL DIV INST		CUSIP: 314172560	Symbol (Box 1d): SVAIX					
	1,089 001	01/10/12	02/07/13	\$5,662 79	\$5,248 98	\$0 00	\$413 81	\$0 00
HATTERAS ALPHA HEDGED STR INST		CUSIP: 41902V872	Symbol (Box 1d): ALPIX					
	2 096	01/24/12	01/31/13	\$22 95	\$22 30	\$0 00	\$0 65	\$0 00
MFS INTERNATIONAL VALUE I		CUSIP: 55273E822	Symbol (Box 1d): MINIX					
	2 336	04/02/12	04/15/13	\$72 22	\$63 65	\$0 00	\$8 57	\$0 00
	82 096	04/02/12	05/15/13	\$2,704 24	\$2,236 78	\$0 00	\$467 46	\$0 00
	104 996	04/02/12	07/23/13	\$3,474 31	\$2,860 71	\$0 00	\$613 60	\$0 00
Security Subtotal	189 428			\$6,250 77	\$5,161 14	\$0 00	\$1,089 63	\$0 00
PIONEER DYNAMIC CREDIT Y		CUSIP: 72388E308	Symbol (Box 1d): RCRYX					
	47 950	01/27/12	02/08/13	\$480 46	\$457 44	\$0 00	\$23 02	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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**Corporate Tax Statement
Tax Year 2013**

DAVID & SHEILA CORNSTEIN
FOUNDATION - PM ACCOUNT
700 PARK AVENUE
APT. 17A
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PIONEER MULTI ASSET REAL RET Y		CUSIP: 72387S605	Symbol (Box 1d) PMYRX					
	19 399	01/10/12	07/23/13	\$251.60	\$213.00	\$0.00	\$38.60	\$0.00
ROYCE PREMIER INV		CUSIP 780905600	Symbol (Box 1d) RYPRX					
	101 570	01/10/12	02/08/13	\$2,070.00	\$1,950.15	\$0.00	\$119.85	\$0.00
	17 391	01/10/12	02/11/13	\$353.39	\$333.91	\$0.00	\$19.48	\$0.00
	66 299	01/10/12	02/28/13	\$1,321.31	\$1,272.94	\$0.00	\$48.37	\$0.00
Security Subtotal	185,260			\$3,744.70	\$3,557.00	\$0.00	\$187.70	\$0.00
Total Long Term Covered Securities				\$23,760.98	\$21,020.09	\$0.00	\$2,740.89	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2013DAVID & SHEILA CORNSTEIN
FOUNDATION - PM ACCOUNT
700 PARK AVENUE
APT 17A
NEW YORK NY 10021-7098Morgan Stanley Smith Barney Holdings LLC
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities [#] (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BLACKROCK STRATEGIC INC OPP I	CUSIP: 09256H286		Symbol (Box 1d): BSIIX					
	21 247	05/23/11	02/08/13	\$215 87	\$212 25	\$0 00	\$3 62	\$0 00
	45 000	06/15/11	02/08/13	\$457 20	\$446 85	\$0 00	\$10 35	\$0 00
	6 000	10/07/11	02/08/13	\$60 96	\$56 88	\$0 00	\$4 08	\$0 00
	1 000	12/16/11	02/08/13	\$10 16	\$9 49	\$0 00	\$0 67	\$0 00
Security Subtotal	73.247			\$744.19	\$725 47	\$0 00	\$18 72	\$0 00
DOUBLELINE TOTAL RETURN I	CUSIP: 258620103		Symbol (Box 1d): DBLTX					
	77 877	04/13/11	02/08/13	\$883 90	\$855 09	\$0 00	\$28 81	\$0 00
	1 724	04/15/11	02/08/13	\$19 57	\$19 00	\$0 00	\$0 57	\$0 00
	80 927	05/17/11	02/08/13	\$918 52	\$900 72	\$0 00	\$17 80	\$0 00
	17 984	11/04/11	02/08/13	\$204 12	\$200 34	\$0 00	\$3 78	\$0 00
Security Subtotal	178.512			\$2,026.11	\$1,975.15	\$0 00	\$50.96	\$0 00
PIONEER DYNAMIC CREDIT Y	CUSIP: 72388E308		Symbol (Box 1d): RCRYX					
	42 695	09/20/11	02/08/13	\$427 80	\$407 31	\$0 00	\$20 49	\$0 00
	33 172	09/23/11	02/08/13	\$332 38	\$317 46	\$0 00	\$14 92	\$0 00
	11 987	10/07/11	02/08/13	\$120 11	\$111 84	\$0 00	\$8 27	\$0 00
	50 787	10/28/11	02/08/13	\$508 88	\$485 52	\$0 00	\$23 36	\$0 00
Security Subtotal	138.641			\$1,389 17	\$1,322.13	\$0 00	\$67 04	\$0 00
PIONEER MULTI ASSET REAL RET Y	CUSIP: 72387S605		Symbol (Box 1d): PMYRX					
	11 201	10/17/11	01/17/13	\$140 91	\$123 88	\$0 00	\$17 03	\$0 00
	100 183	10/17/11	02/08/13	\$1,271 32	\$1,108 02	\$0 00	\$163.30	\$0 00
	17 060	10/17/11	02/11/13	\$217 00	\$188 68	\$0 00	\$28 32	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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**Corporate Tax Statement
Tax Year 2013**

DAVID & SHEILA CORNSTEIN
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PIONEER MULTI ASSET REAL RET Y	0.911	10/17/11	04/15/13	\$11.86	\$10.08	\$0.00	\$1.78	\$0.00
	52.642	10/17/11	07/23/13	\$682.76	\$682.22	\$0.00	\$100.54	\$0.00
Security Subtotal	181.997			\$2,323.85	\$2,012.88	\$0.00	\$310.97	\$0.00
Total Long Term Noncovered Securities				\$6,483.32	\$6,035.63	\$0.00	\$447.69	\$0.00