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Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

- Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990 and its instructions is at www.irs.gov/form990.

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

A For the 2013 calendar year, or tax year beginning										, 2013, and ending											
B Check if applicable		C Name of organization CHINESE AMERICAN MEDICAL SOCIETY										D Employer Identification Number									
☐ Address change		Doing Business As										13-3418133									
☐ Name change		Number and street (or P O box if mail is not delivered to street address)										Room/suite									
☐ Initial return		41 ELIZABETH STREET										600									
☐ Terminated		City or town, state or province, country, and ZIP or foreign postal code										E Telephone number									
☐ Amended return		NEW YORK NY 10013										(212) 334-4760									
☐ Application pending		F Name and address of principal officer										G Gross receipts \$ 2,666,200.									
		WARREN W. CHIN M.D. 254 CANAL ST, STE 3002 NEW YORK NY 10013										H(a) Is this a group return for subordinates? ☐ Yes ☒ No									
												H(b) Are all subordinates included? ☐ Yes ☐ No									
												H(c) Group exemption number									
I Tax-exempt status		☒ 501(c)(3) ☐ 501(c) () (insert no) ☐ 4947(a)(1) or ☐ 527																			
J Website: WWW.CAMSOCIETY.ORG																					
K Form of organization		☒ Corporation ☐ Trust ☐ Association ☐ Other										L Year of formation 1964									
												M State of legal domicile NY									

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: The Chinese American Medical Society (the "Society") was created in the State of NY in 1964. The principal purpose of the Society is to advance medical knowledge and scientific research. It does this primarily through the holding of annual membership scientific meetings and special scientific meetings for the purpose of exchanging scientific and medical information. All expenses of this Society during the year are directly or indirectly attributable to this program. This program benefits the Society's entire membership of approximately 1300.				
	2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.				
	3	Number of voting members of the governing body (Part VI, line 1a)	3	13	
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	13	
	5	Total number of individuals employed in calendar year 2013 (Part V, line 2a)	5	2	
	6	Total number of volunteers (estimate if necessary)	6	1	
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.	
	7b	Net unrelated business taxable income from Form 990-T, line 34	7b		
	Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
		9	Program service revenue (Part VIII, line 2g)	201,209.	305,324.
10		Investment income (Part VIII, column (A), lines 3, 4, and 7d)	103,772.	107,222.	
11		Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	112,860.	264,713.	
12		Total revenue — add lines 8 through 11 (must equal Part VIII, column (A), line 12)	417,841.	677,259.	
Expenses		13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	158,755.	196,718.
		14	Benefits paid to or for members (Part IX, column (A), line 4)		
		15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	69,076.	96,442.
		16a	Professional fundraising fees (Part IX, column (A), line 11e)		
		b	Total fundraising expenses (Part IX, column (D), line 25)	0.	
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	342,120.	401,186.	
	18	Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)	569,951.	694,346.	
	19	Revenue less expenses. Subtract line 18 from line 12	-152,110.	-17,087.	
	Net Assets or Fund Balances	20	Total assets (Part X, line 16)	Beginning of Current Year	End of Year
		21	Total liabilities (Part X, line 26)	1,823,251.	1,894,317.
22		Net assets or fund balances. Subtract line 21 from line 20	9,025.	5,828.	
			1,814,226.	1,888,489.	

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer		Date		
	WARREN W. CHIN M.D.		EXECUTIVE DIRECTOR		
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
	WALLACE LAU CPA		08/12/14		P00302671
	Firm's name	Firm's EIN		13-3599844	
	Firm's address	Phone no		(212) 941-6694	
NEW YORK		NY 10013-4503			

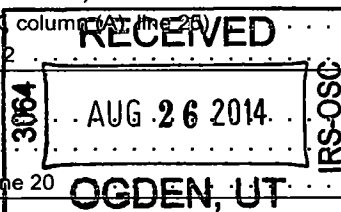
May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

BAA For Paperwork Reduction Act Notice, see the separate instructions.

TEEA0101 11/08/13

Form 990 (2013)

SCANNED SEP 12 2014



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Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☐**1** Briefly describe the organization's mission:

The Chinese American Medical Society (the "Society") was created in the State of NY in 1964. The principal purpose of the Society is to advance medical knowledge and scientific research. It does this primarily through See Form 990, Page 2, Part III, Line 1 (continued)

2 Did the organization undertake any significant program services during the year which were not listed on the priorForm 990 or 990-EZ? ☐ Yes ☒ No

If 'Yes,' describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If 'Yes,' describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported**4 a** (Code:) (Expenses \$ 455,856. including grants of \$ 196,718.) (Revenue \$ 264,713.)

THE SOCIETY MADE DONATION TO COMMUNITY HEALTH ORGANIZATIONS AND EDUCATIONAL ORGANIZATIONS. THE PURPOSE IS TO ADVANCE MEDICAL KNOWLEDGE AND TO SERVE THE COMMUNITY.

SEE ATTACHMENT I FOR DETAILS

4 b (Code:) (Expenses \$ including grants of \$) (Revenue \$)**4 c** (Code:) (Expenses \$ including grants of \$) (Revenue \$)**4 d** Other program services (Describe in Schedule O)

(Expenses \$ including grants of \$) (Revenue \$)

4 e Total program service expenses ▶ 455,856.

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If 'Yes,' complete Schedule A.	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If 'Yes,' complete Schedule C, Part I.		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If 'Yes,' complete Schedule C, Part II		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If 'Yes,' complete Schedule C, Part III		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If 'Yes,' complete Schedule D, Part I.		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If 'Yes,' complete Schedule D, Part II		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If 'Yes,' complete Schedule D, Part III.		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If 'Yes,' complete Schedule D, Part IV		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If 'Yes,' complete Schedule D, Part V		X
11 If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings and equipment in Part X, line 10? If 'Yes,' complete Schedule D, Part VI.	X	
b Did the organization report an amount for investments — other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VII.		X
c Did the organization report an amount for investments — program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VIII		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part IX		X
e Did the organization report an amount for other liabilities in Part X, line 25? If 'Yes,' complete Schedule D, Part X	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If 'Yes,' complete Schedule D, Part X		X
12a Did the organization obtain separate, independent audited financial statements for the tax year? If 'Yes,' complete Schedule D, Parts XI, and XII.		X
b Was the organization included in consolidated, independent audited financial statements for the tax year? If 'Yes,' and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI and XII is optional		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If 'Yes,' complete Schedule E.		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If 'Yes,' complete Schedule F, Parts I and IV		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If 'Yes,' complete Schedule F, Parts II and IV		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If 'Yes,' complete Schedule F, Parts III and IV		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If 'Yes,' complete Schedule G, Part I (see instructions)		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If 'Yes,' complete Schedule G, Part II		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If 'Yes,' complete Schedule G, Part III.		X
20 a Did the organization operate one or more hospital facilities? If 'Yes,' complete Schedule H		X
b If 'Yes' to line 20a, did the organization attach a copy of its audited financial statements to this return?		

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organizations or government on Part IX, column (A), line 1? If 'Yes,' complete Schedule I, Parts I and II	X	
22 Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? If 'Yes,' complete Schedule I, Parts I and III	X	
23 Did the organization answer 'Yes' to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If 'Yes,' complete Schedule J		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If 'Yes,' answer lines 24b through 24d and complete Schedule K. If 'No,' go to line 25a		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an 'on behalf of' issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If 'Yes,' complete Schedule L, Part I		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If 'Yes,' complete Schedule L, Part I		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If so, complete Schedule L, Part II		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If 'Yes,' complete Schedule L, Part III		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)		
a A current or former officer, director, trustee, or key employee? If 'Yes,' complete Schedule L, Part IV		X
b A family member of a current or former officer, director, trustee, or key employee? If 'Yes,' complete Schedule L, Part IV		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If 'Yes,' complete Schedule L, Part IV		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If 'Yes,' complete Schedule M		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If 'Yes,' complete Schedule M		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If 'Yes,' complete Schedule N, Part I		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If 'Yes,' complete Schedule N, Part II		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If 'Yes,' complete Schedule R, Part I		X
34 Was the organization related to any tax-exempt or taxable entity? If 'Yes,' complete Schedule R, Parts II, III, IV, and V, line 1	X	
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' complete Schedule R, Part V, line 2		X
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If 'Yes,' complete Schedule R, Part V, line 2		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If 'Yes,' complete Schedule R, Part VI		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	X	

BAA

Form 990 (2013)

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☐

	Yes	No
1 a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1 a 2	
b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1 b 0	
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1 c	X
2 a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2 a 2	
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2 b X	
Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)		
3 a Did the organization have unrelated business gross income of \$1,000 or more during the year?	3 a	X
b If 'Yes,' has it filed a Form 990-T for this year? If 'No,' to line 3b, provide an explanation in Schedule O	3 b	
4 a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4 a	X
b If 'Yes,' enter the name of the foreign country: ▶ See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5 a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5 a	X
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5 b	X
c If 'Yes,' to line 5a or 5b, did the organization file Form 8886-T?	5 c	
6 a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6 a	X
b If 'Yes,' did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6 b	
7 Organizations that may receive deductible contributions under section 170(c).		
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7 a X	
b If 'Yes,' did the organization notify the donor of the value of the goods or services provided?	7 b X	
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7 c	X
d If 'Yes,' indicate the number of Forms 8282 filed during the year	7 d	
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7 e	X
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7 f	X
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7 g	
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7 h	
8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8	X
9 Sponsoring organizations maintaining donor advised funds.		
a Did the organization make any taxable distributions under section 4966?	9 a	X
b Did the organization make a distribution to a donor, donor advisor, or related person?	9 b	X
10 Section 501(c)(7) organizations. Enter:		
a Initiation fees and capital contributions included on Part VIII, line 12.	10 a	
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10 b	
11 Section 501(c)(12) organizations. Enter:		
a Gross income from members or shareholders.	11 a	
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11 b	
12 a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12 a	
b If 'Yes,' enter the amount of tax-exempt interest received or accrued during the year	12 b	
13 Section 501(c)(29) qualified nonprofit health insurance issuers.		
a Is the organization licensed to issue qualified health plans in more than one state?	13 a	
Note. See the instructions for additional information the organization must report on Schedule O.		
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13 b	
c Enter the amount of reserves on hand	13 c	
14 a Did the organization receive any payments for indoor tanning services during the tax year?	14 a	X
b If 'Yes,' has it filed a Form 720 to report these payments? If 'No,' provide an explanation in Schedule O	14 b	

Part VI Governance, Management and Disclosure For each 'Yes' response to lines 2 through 7b below, and for a 'No' response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.Check if Schedule O contains a response or note to any line in this Part VI. ☒ X**Section A. Governing Body and Management**

	Yes	No
1 a Enter the number of voting members of the governing body at the end of the tax year. 1 a 13 If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.		
b Enter the number of voting members included in line 1a, above, who are independent 1 b 13		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee or key employee? 2		X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? 3		X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? 4		X
5 Did the organization become aware during the year of a significant diversion of the organization's assets? 5		X
6 Did the organization have members or stockholders? 6		X
7 a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? 7 a		X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or other persons other than the governing body? 7 b		X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body? 8 a	X	
b Each committee with authority to act on behalf of the governing body? 8 b		X
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If 'Yes,' provide the names and addresses in Schedule O 9		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10 a Did the organization have local chapters, branches, or affiliates? 10 a	X	
b If 'Yes,' did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 10 b	X	
11 a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? 11 a	X	
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12 a Did the organization have a written conflict of interest policy? If 'No,' go to line 13. 12 a	X	
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? 12 b	X	
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If 'Yes,' describe in Schedule O how this was done 12 c	X	
13 Did the organization have a written whistleblower policy? 13		X
14 Did the organization have a written document retention and destruction policy? 14	X	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official 15 a		X
b Other officers of key employees of the organization 15 b		X
If 'Yes' to line 15a or 15b, describe the process in Schedule O. (See instructions.)		
16 a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? 16 a		X
b If 'Yes,' did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements? 16 b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶ See Form 990, Page 6, Line 17 (continued)

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.

☐ Own website ☒ Another's website ☐ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization makes its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization:

▶ JAMIE LOVE 41 ELIZABETH ST, SUITE 600 NEW YORK NY 10013 (212) 334-4760

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent ContractorsCheck if Schedule O contains a response or note to any line in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1 a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any. See instructions for definition of 'key employee.'

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☒ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) SEE ATTACHMENT II										
(2)										
(3)										
(4)										
(5)										
(6)										
(7)										
(8)										
(9)										
(10)										
(11)										
(12)										
(13)										
(14)										

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(15) -----	-----									
(16) -----	-----									
(17) -----	-----									
(18) -----	-----									
(19) -----	-----									
(20) -----	-----									
(21) -----	-----									
(22) -----	-----									
(23) -----	-----									
(24) -----	-----									
(25) -----	-----									
1 b Sub-total.										
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)										

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ►

3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? If 'Yes,' complete Schedule J for such individual

	Yes	No
3		X

4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If 'Yes,' complete Schedule J for such individual

4		X
----------	--	---

5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If 'Yes,' complete Schedule J for such person

5		X
----------	--	---

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ►

Part VIII Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
CONTRIBUTIONS, GIFTS, GRANTS AND OTHER SIMILAR AMOUNTS	1 a Federated campaigns	1 a				
	b Membership dues	1 b	125,790.			
	c Fundraising events	1 c	179,534.			
	d Related organizations	1 d				
	e Government grants (contributions) . .	1 e				
	f All other contributions, gifts, grants, and similar amounts not included above . .	1 f				
	g Noncash contributions included in lines 1a-1f \$					
	h Total. Add lines 1a-1f		305,324.			
PROGRAM SERVICE REVENUE	Business Code					
	2 a					
	b					
	c					
	d					
	e					
	f All other program service revenue . . .					
	g Total. Add lines 2a-2f					
OTHER REVENUE	3 Investment income (including dividends, interest and other similar amounts)		49,511.	49,511.	0.	0.
	4 Income from investment of tax-exempt bond proceeds . .					
	5 Royalties					
	6 a Gross rents	(i) Real (ii) Personal				
	b Less: rental expenses					
	c Rental income or (loss) . .					
	d Net rental income or (loss)					
	7 a Gross amount from sales of assets other than inventory .	(i) Securities (ii) Other	2,046,652.			
	b Less cost or other basis and sales expenses . . .		1,988,941.			
	c Gain or (loss)		57,711.			
	d Net gain or (loss)		57,711.	57,711.	0.	0.
	8 a Gross income from fundraising events (not including \$ 179,534. of contributions reported on line 1c). See Part IV, line 18.	a				
	b Less direct expenses	b				
	c Net income or (loss) from fundraising events					
	9 a Gross income from gaming activities. See Part IV, line 19.	a				
	b Less direct expenses	b				
	c Net income or (loss) from gaming activities					
	10 a Gross sales of inventory, less returns and allowances	a				
	b Less cost of goods sold	b				
	c Net income or (loss) from sales of inventory					
Miscellaneous Revenue Business Code						
11 a						
b						
c						
d All other revenue		264,713.	264,713.	0.	0.	
e Total. Add lines 11a-11d		264,713.				
12 Total revenue. See instructions		677,259.	371,935.	0.	0.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX. ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	196,718.	196,718.		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members.				
5 Compensation of current officers, directors, trustees, and key employees				
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).				
7 Other salaries and wages.	89,125.	0.	89,125.	0.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).				
9 Other employee benefits				
10 Payroll taxes	7,317.	0.	7,317.	0.
11 Fees for services (non-employees)				
a Management				
b Legal	1,500.	0.	1,500.	0.
c Accounting	9,450.	0.	9,450.	0.
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other (If line 11g amt exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)				
12 Advertising and promotion				
13 Office expenses	9,138.	0.	9,138.	0.
14 Information technology				
15 Royalties				
16 Occupancy				
17 Travel	5,227.	0.	5,227.	0.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	236,638.	236,638.	0.	0.
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	1,127.	0.	1,127.	0.
23 Insurance	12,053.	0.	12,053.	0.
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a <u>PRINTING & PUBLICATION</u>	21,556.	0.	21,556.	0.
b <u>TELEPHONE</u>	2,973.	0.	2,973.	0.
c <u>CONSULTANT</u>	1,720.	0.	1,720.	0.
d <u>NEWSPAPER HEALTH ARTICLES</u>	888.	888.	0.	0.
e All other expenses	98,916.	21,612.	77,304.	0.
25 Total functional expenses. Add lines 1 through 24e.	694,346.	455,856.	238,490.	0.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ☐ if following SOP 98-2 (ASC 958-720).				

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
ASSETS	1 Cash — non-interest-bearing		1	
	2 Savings and temporary cash investments	511,272.	2	554,048.
	3 Pledges and grants receivable, net		3	
	4 Accounts receivable, net		4	
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	42,633.	9	0.
	10a Land, buildings, and equipment cost or other basis. Complete Part VI of Schedule D	10a 4,600.		
	b Less: accumulated depreciation	10b 1,784.	10c 3,943.	2,816.
	11 Investments — publicly traded securities	1,265,403.	11	1,337,453.
	12 Investments — other securities. See Part IV, line 11		12	
	13 Investments — program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
15 Other assets. See Part IV, line 11	0.	15		
16 Total assets. Add lines 1 through 15 (must equal line 34)	1,823,251.	16	1,894,317.	
LIABILITIES	17 Accounts payable and accrued expenses		17	
	18 Grants payable		18	
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	9,025.	25	5,828.
	26 Total liabilities. Add lines 17 through 25	9,025.	26	5,828.
NET ASSETS OR FUND BALANCES	Organizations that follow SFAS 117 (ASC 958), check here ☐ and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets		27	
	28 Temporarily restricted net assets		28	
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117 (ASC 958), check here ☒ and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds	1,814,226.	32	1,888,489.
	33 Total net assets or fund balances	1,814,226.	33	1,888,489.
34 Total liabilities and net assets/fund balances	1,823,251.	34	1,894,317.	

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Form 990 (2013)

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI. ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	677,259.
2	Total expenses (must equal Part IX, column (A), line 25)	2	694,346.
3	Revenue less expenses Subtract line 2 from line 1	3	-17,087.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	1,814,226.
5	Net unrealized gains (losses) on investments	5	91,350.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	1,888,489.

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII. ☐

		Yes	No
1	Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other _____		
If the organization changed its method of accounting from a prior year or checked 'Other,' explain in Schedule O.			
2 a	Were the organization's financial statements compiled or reviewed by an independent accountant?	X	
If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:			
☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
2 b	Were the organization's financial statements audited by an independent accountant?		X
If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both			
☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
2 c	If 'Yes' to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	X	
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			
3 a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
3 b	If 'Yes,' did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

BAA

Form 990 (2013)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ.

► Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Name of the organization

CHINESE AMERICAN MEDICAL SOCIETY

Employer identification number

13-3418133

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is. (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 ☒ An organization that normally receives: (1) more than 33-1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions — subject to certain exceptions, and (2) no more than 33-1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 - a ☐ Type I b ☐ Type II c ☐ Type III — Functionally integrated d ☐ Type III — Non-functionally integrated
 - e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**.
 - f ☐ If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box _____
 - g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

	Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	11 g (i)	
(ii) A family member of a person described in (i) above?	11 g (ii)	
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	11 g (iii)	

h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in column (i) listed in your governing document?		(v) Did you notify the organization in column (i) of your support?		(vi) Is the organization in column (i) organized in the U.S.?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
(A)									
(B)									
(C)									
(D)									
(E)									
Total									

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2013

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any 'unusual grants')						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge.						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 Total support. Add lines 7 through 10						
12 Gross receipts from related activities, etc (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ☐						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f))	14	%
15 Public support percentage from 2012 Schedule A, Part II, line 14	15	%
16a 33-1/3% support test — 2013. If the organization did not check the box on line 13, and the line 14 is 33-1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ☐		
b 33-1/3% support test — 2012. If the organization did not check a box on line 13 or 16a, and line 15 is 33-1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ☐		
17a 10%-facts-and-circumstances test — 2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the 'facts-and-circumstances' test, check this box and stop here. Explain in Part IV how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization ☐		
b 10%-facts-and-circumstances test — 2012. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the 'facts-and-circumstances' test, check this box and stop here. Explain in Part IV how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization ☐		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ☐		

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal yr beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions and membership fees received. (Do not include any 'unusual grants'.)	239,209.	339,545.	291,826.	201,209.	305,325.	1,377,114.
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5	239,209.	339,545.	291,826.	201,209.	305,325.	1,377,114.
7 a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						1,377,114.

Section B. Total Support

Calendar year (or fiscal yr beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6	239,209.	339,545.	291,826.	201,209.	305,325.	1,377,114.
10 a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	41,333.	51,686.	80,571.	103,772.	107,222.	384,584.
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b	41,333.	51,686.	80,571.	103,772.	107,222.	384,584.
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 Total Support. (Add lines 9, 10c, 11 and 12)	280,542.	391,231.	372,397.	304,981.	412,547.	1,761,698.
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ▶ ☐						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	78.17 %
16 Public support percentage from 2012 Schedule A, Part III, line 15.	16	79.82 %

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f))	17	21.83 %
18 Investment income percentage from 2012 Schedule A, Part III, line 17	18	20.18 %

- 19 a 33-1/3% support tests — 2013.** If the organization did not check the box on line 14, and line 15 is more than 33-1/3%, and line 17 is not more than 33-1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization. ▶ ☒
- b 33-1/3% support tests — 2012.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33-1/3%, and line 18 is not more than 33-1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization. ▶ ☐
- 20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions. ▶ ☐

Part IV. **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information.
(See instructions).

[illegible]

**SCHEDULE D
(Form 990)**

Department of the Treasury
Internal Revenue Service
Name of the organization

Supplemental Financial Statements

▶ Complete if the organization answered 'Yes,' to Form 990,
Part IV, lines 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.

▶ Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Employer identification number

CHINESE AMERICAN MEDICAL SOCIETY

13-3418133

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	☐ Yes ☐ No	
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?	☐ Yes ☐ No	

Part II Conservation Easements.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (e.g., recreation or education)	☐ Preservation of an historically important land area
☐ Protection of natural habitat	☐ Preservation of a certified historic structure
☐ Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2 a
b Total acreage restricted by conservation easements	2 b
c Number of conservation easements on a certified historic structure included in (a)	2 c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2 d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 8.

1 a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ▶ \$ _____

(ii) Assets included in Form 990, Part X ▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 ▶ \$ _____

b Assets included in Form 990, Part X ▶ \$ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered 'Yes' to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1 a Is the organization an agent, trustee, custodian, or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If 'Yes,' explain the arrangement in Part XIII and complete the following table

	Amount
c Beginning balance	1 c
d Additions during the year	1 d
e Distributions during the year	1 e
f Ending balance	1 f

2 a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If 'Yes,' explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII ☐

Part V Endowment Funds. Complete if the organization answered 'Yes' to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1 a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment ☐ %
b Permanent endowment ☐ %
c Temporarily restricted endowment ☐ %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3 a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

- (i) unrelated organizations ☐ 3a(i) Yes No
(ii) related organizations ☐ 3a(ii) Yes No

b If 'Yes' to 3a(ii), are the related organizations listed as required on Schedule R? ☐ 3b Yes No

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1 a Land				
b Buildings				
c Leasehold improvements				
d Equipment		4,600.	1,784.	2,816.
e Other				
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c))				2,816.

BAA Schedule D (Form 990) 2013

Part VII Investments – Other Securities.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____		
(A) _____		
(B) _____		
(C) _____		
(D) _____		
(E) _____		
(F) _____		
(G) _____		
(H) _____		
(I) _____		
Total. (Column (b) must equal Form 990, Part X, column (B) line 12) . . . ▶		

Part VIII Investments – Program Related.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) _____		
(2) _____		
(3) _____		
(4) _____		
(5) _____		
(6) _____		
(7) _____		
(8) _____		
(9) _____		
(10) _____		
Total. (Column (b) must equal Form 990, Part X, column (B) line 13) . . . ▶		

Part IX Other Assets.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) _____	
(2) _____	
(3) _____	
(4) _____	
(5) _____	
(6) _____	
(7) _____	
(8) _____	
(9) _____	
(10) _____	
Total. (Column (b) must equal Form 990, Part X, column (B), line 15) ▶	

Part X Other Liabilities.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

(a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) DUE TO AFFILIATED ORGANIZATION	2,105.
(3) ACCRUED PAYROLL TAXES	3,503.
(4) ACCRUED EXPENSES	220.
(5) _____	
(6) _____	
(7) _____	
(8) _____	
(9) _____	
(10) _____	
(11) _____	
Total. (Column (b) must equal Form 990, Part X, column (B) line 25) . . . ▶	5,828.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☐

Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4; Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information

Part XIII	Supplemental Information <i>(continued)</i>
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[illegible]

SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization

CHINESE AMERICAN MEDICAL SOCIETY

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered 'Yes' to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) AMERICAN CANCER SOCIETY 250 WILLIAMS STREET WASHINGTON DC 30303	13-1788491		9,000.				SPRING SPONSOR
(2) CHARLES B. WANG COMMUNITY 268 CANAL STREET NEW YORK NY 10013	13-2739694		12,750.				MEDICAL SERVIC
(3) CHINA AIDS FUND 136-30 MAPLE AVENUE, SUIT FLUSHING NY 11355	46-0502387		20,000.				GALA SPONSOR
(4) CHINESE-AMERICAN PLANNING 150 ELIZABETH STREET NEW YORK NY 10012	13-6202692		6,200.				GALA SPONSOR
(5) COALITION FOR ASIAN AMERI 50 BROAD STREET, 18TH FL NEW YORK NY 10004	13-3682471		10,215.				MEDICAL JOURNA
(6) DIVISION OF ENDOCRINOLOGY 317 EAST 17TH STREET NEW YORK NY 10003	13-3255377		16,750.				MEDICAL RESEAR
(7) MOUNT SINAI SCHOOL OF MED ONE GUSTAVE L. LEVY PLACE NEW YORK NY 10029	13-6171197		5,650.				MEDICAL PROGRA
(8) NEW YORK DOWNTOWN HOSPITA 59 MAIDEN LANE, 6TH FL NEW YORK NY 10038	11-3614596		16,900.				MEDICAL SERVIC

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

3 Enter total number of other organizations listed in the line 1 table

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

TEEA3901 07/12/13

Schedule I (Form 990) (2013)

2013

► **Attach to Form 990 to list additional information for Schedule I (Form 990), Part II and Part III.**

Continuation Page 1 of 1

Name of the organization

Employer identification number

CHINESE AMERICAN MEDICAL SOCIETY

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

[illegible]

TEEA4001 07/12/13

Schedule I Cont (Form 990) 2013

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Name of the organization

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ.

► Information about Schedule O (Form 990 or 990-EZ) and its instructions is
at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Employer identification number

CHINESE AMERICAN MEDICAL SOCIETY

13-3418133

Pt VI, Line 11b The Form was reviewed by the Board during meeting.

Pt VI, Line 12c During the meeting, the officers, directors or trustees

and key employees are required to disclose at least

annually if they have any conflict of interest.

Pt VI, Line 19 The public can request the governing documents, conflict

of interest policy and financial statements thru mail

or email to the organization.

SCHEDULE R
(Form 990)

Related Organizations and Unrelated Partnerships

- ▶ Complete if the organization answered 'Yes' on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.
- ▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

Department of the Treasury
Internal Revenue Service

Name of the organization

CHINESE AMERICAN MEDICAL SOCIETY

Employer identification number

13-3418133

OMB No 1545-0047

2013

Open to Public
Inspection

Part I Identification of Disregarded Entities Complete if the organization answered 'Yes' on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) ----- ----- ----- -----					
(2) ----- ----- ----- -----					
(3) ----- ----- ----- -----					

Part II Identification of Related Tax-Exempt Organizations Complete if the organization answered 'Yes' on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Sec 512(b)(13) controlled entity?	
						Yes	No
(1) CHINESE AMERICAN MEDICAL SOCIETY EDUCATIONAL FUND INC 41 ELIZABETH STREET SUITE 600 NEW YORK, NY 10013 13-3061917	GRANTS SCHOLARSHIP, FELLOWSHIP RESEARCH GRANTS TO MEDICAL STUDENTS NY		501 (C) (3)	7	N/A		
(2) ----- ----- ----- -----							
(3) ----- ----- ----- -----							
(4) ----- ----- ----- -----							

Part III Identification of Related Organizations Taxable as a Partnership Complete if the organization answered 'Yes' on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Dispropor- tionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) -----												

(2) -----												

(3) -----												

Part IV Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered 'Yes' on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Sec 512(b)(13) controlled entity?	
								Yes	No
(1) -----									

(2) -----									

(3) -----									

Part V Transactions With Related Organizations Complete if the organization answered 'Yes' on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity	☐	☒
b Gift, grant, or capital contribution to related organization(s)	☐	☒
c Gift, grant, or capital contribution from related organization(s)	☐	☒
d Loans or loan guarantees to or for related organization(s)	☐	☒
e Loans or loan guarantees by related organization(s)	☐	☒
f Dividends from related organization(s)	☐	☒
g Sale of assets to related organization(s)	☐	☒
h Purchase of assets from related organization(s)	☐	☒
i Exchange of assets with related organization(s)	☐	☒
j Lease of facilities, equipment, or other assets to related organization(s)	☐	☒
k Lease of facilities, equipment, or other assets from related organization(s)	☐	☒
l Performance of services or membership or fundraising solicitations for related organization(s)	☐	☒
m Performance of services or membership or fundraising solicitations by related organization(s)	☐	☒
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	☐	☒
o Sharing of paid employees with related organization(s)	☐	☒
p Reimbursement paid to related organization(s) for expenses	☐	☒
q Reimbursement paid by related organization(s) for expenses	☐	☒
r Other transfer of cash or property to related organization(s)	☐	☒
s Other transfer of cash or property from related organization(s)	☐	☒

2 If the answer to any of the above is 'Yes,' see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

Part VI Unrelated Organizations Taxable as a Partnership Complete if the organization answered 'Yes' on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under section 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 Form (1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	
(1) -----													

(2) -----													

(3) -----													

(4) -----													

(5) -----													

(6) -----													

(7) -----													

(8) -----													

Part VII	Supplemental Information
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Supplemental information
Provide additional information for responses to questions on Schedule R (see instructions).

[illegible]

Schedule O (Form 990), Supplemental Information to Form 990
Form 990, Page 2, Part III, Line 1 (continued)

Briefly describe the organization's mission:

the holding of annual membership scientific meetings and special scientific meetings for the purpose of exchanging scientific and medical information. All expenses of this Society during the year are directly or indirectly attributable to this program. This program benefits the Society's entire membership of approximately 1300.

Schedule O (Form 990), Supplemental Information to Form 990
Form 990, Page 6, Line 17 (continued)

Massachusetts

New York

Schedule O (Form 990 or 990-EZ), Supplemental Information to Form 990 or 990-EZ
Form 990, Page 10, Line 24e All Other Expenses (continued)

Description	(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
Postage & shipping	1,199.		1,199.	
Temp help	2,845.		2,845.	
Advisor fee	13,412.		13,412.	
Bank Charges	310.		310.	
Rent	1,941.		1,941.	
DUE	3,125.		3,125.	
FOREIGN TAX	406.		406.	
CREDIT CARD FEE	3,901.		3,901.	
BOOKS AND VIDEO	50,165.		50,165.	
GOLF & SUMMER OUTING	21,612.	21,612.		

Form **4562**Department of the Treasury
Internal Revenue Service (99)**Depreciation and Amortization**
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2013Attachment
Sequence No **179**

Name(s) shown on return

CHINESE AMERICAN MEDICAL SOCIETY

Identifying number

13-3418133

Business or activity to which this form relates

Form 990 / Form 990EZ

Part I Election To Expense Certain Property Under Section 179**Note:** If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions.	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instrs)	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11.	12	
13	Carryover of disallowed deduction to 2014. Add lines 9 and 10, less line 12.	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.**Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.)** (See instructions.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2013.	17	1,127.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here.		

Section B – Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only — see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19 a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27.5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	

Section C – Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20 a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations — see instructions.	22	1,127.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs.	23	

BAA For Paperwork Reduction Act Notice, see separate instructions.

FDIZ0812 06/10/13

Form **4562** (2013)

Part V Listed Property (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.

Section A – Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.)

24 a Do you have evidence to support the business/investment use claimed? ☐ **Yes** ☐ **No** **24b** If 'Yes,' is the evidence written? ☐ **Yes** ☐ **No**

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
--	----------------------------------	---	-------------------------------	--	---------------------------	------------------------------	----------------------------------	---------------------------------------

25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions) **25**

26 Property used more than 50% in a qualified business use:

27 Property used 50% or less in a qualified business use:

28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1 **28**

29 Add amounts in column (i), line 26. Enter here and on line 7, page 1 **29**

Section B – Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other 'more than 5% owner,' or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle 1	(b) Vehicle 2	(c) Vehicle 3	(d) Vehicle 4	(e) Vehicle 5	(f) Vehicle 6
30 Total business/investment miles driven during the year (do not include commuting miles).						
31 Total commuting miles driven during the year						
32 Total other personal (noncommuting) miles driven						
33 Total miles driven during the year. Add lines 30 through 32						
	Yes	No	Yes	No	Yes	No
34 Was the vehicle available for personal use during off-duty hours?						
35 Was the vehicle used primarily by a more than 5% owner or related person?						
36 Is another vehicle available for personal use?						

Section C – Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons (see instructions).

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions.)		

Note: If your answer to 37, 38, 39, 40, or 41 is 'Yes,' do not complete Section B for the covered vehicles.

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
-----------------------------	------------------------------------	------------------------------	------------------------	--	--------------------------------------

42 Amortization of costs that begins during your 2013 tax year (see instructions).

43 Amortization of costs that began before your 2013 tax year. **43**

44 **Total.** Add amounts in column (f). See the instructions for where to report **44**

**Application for Extension of Time To File an
Exempt Organization Return**► **File a separate application for each return.**

OMB No. 1545-1709

► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	CHINESE AMERICAN MEDICAL SOCIETY	13-3418133
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	41 ELIZABETH STREET, #600	
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	NEW YORK	NY 10013

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ 01

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► JAMIE LOVE

Telephone No. ► (212) 334-4760 Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until Aug 15, 2014, to file the exempt organization return for the organization named above.
The extension is for the organization's return for.

► ☒ calendar year 20 13 or► ☐ tax year beginning _____, 20____, and ending _____, 20____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

CHINESE AMERICAN MEDICAL SOCIETY
2013 FORM 990
ATTACHMENT I : RELATING TO PART I, LINE 1 , AND PART III LINE 4A

(A) The Society has a program of making direct donations to community health organizations and to organizations devoted to providing funds for education or directly to educational institutions During the year, the Society made such donations totally \$196,718 42 as follows

American Cancer Society	\$ 9,000 00
Alzheimer's Association	3,000 00
APAMSA Project H+EAL	3,200 00
Auxilliary of Gouverneur Hospital	3,500 00
Beth Israel Medical Center Foundation	2,000 00
Charles B. Wang Community Health Center Inc	12,750 00
China Aids Fund	20,000 00
Chinese-American Planning Council Inc	6,200.00
Chinese-American Planning Council Brooklyn Branch	1,100 00
Chinatown Health Clinic Foundation	5,000 00
Chinatown Manpower Project Inc	5,000.00
Chinatown Partnership Local Development Corporation	5,000.00
Coalition for Asian American Children and Families	10,215 00
Division of Endocrinology Beth Israel Medical Center	16,750.00
Hamilton Madison House Inc	5,000.00
Homecrest Community Services Inc	1,000 00
Mount Sinai School of Medicine	5,650 00
Museum of Chinese in America	2,000 00
Myanma American Medical Education Society	8,000 00
Myanmar Chinese Association of New York	1,000 00
New York Downtown Hospital	16,900.00
New York Hospital Queens	2,250 00
New York Presbyterian Fund	10,000 00
OCA-NY	500.00
Philippine Chinese American Medical Association Inc	2,000 00
PS130M Parents Association	350 00
Student Activity Fund	4,075 00
Transfiguration School Support	500.00
Tzu Chi Foundation	1,000 00
United Chinese Association of Brooklyn	500 00
CVS	5,148 00
Community Service Coalition	5,000 00
CAMS-Education Fund	15,055.30
CAMS-Boston Chapter	942 13
Other organziations	7,132 76
	\$ 196,718 19

CHINESE AMERICAN MEDICAL SOCIETY

2013 FORM 990

Attachment II relating to Part VII

(All persons listed as officers are also Directors. Terms expired at the end of calendar year as indicated.)

Board of Directors

Last Name	First Name	Title	Average hours per week	Reportable compensation from the organization	Reportable compensation from related organization	Estimated amount of other compensation from the organization and related organizations
Chan, M D	Richard	Voting Board Member	2	0	0	0
Chang, M D	Victor	Voting Board Member	2	0	0	0
Chang, Ph D	Ming-der	Voting Board Member	2	0	0	0
Chin, M D	Warren W	Executive Director, Voting Board Member	10	0	0	0
Chiu, M D	David T W	President Emeritus, Ex-Officio, Representing FCMS Foundation, Non-Voting	2	0	0	0
Fong, M D	Danny	President, Voting Board Member Advisory Council Chair Past	5	0	0	0
Fong, M D	Raymond	President, Board Member Emeritus, Non Voting Member	2	0	0	0
Foo, M D	Sun-hoo	Board Member Emeritus- Non-Voting Board Member	2	0	0	0
Huang, M D	Kathy	Voting Board Member	2	0	0	0
Ko, M D	Wilson	Past President, Non-Voting Board Member	2	0	0	0
Ky, M D	Alex J	Voting Board Member	2	0	0	0
Lee-Wong, M D	Mary	Treasurer, Voting Board Member	2	0	0	0
Lee, M D	Paul C	Vice President, Voting Board Member	2	0	0	0
Lee, M D	Yick Moon	Voting Board Member	2	0	0	0
Leung, M D	Blanche	Secretary, Voting Board Member	2	0	0	0
Lu, M D	Bing	Voting Board Member	2	0	0	0
Pong, M D	Perry	Voting Board Member	2	0	0	0
Sheng	Peggy	Ex-Officio, Representing CAIPA, Non-Voting	1	0	0	0
Wang, M D	Hsueh hwa	Executive Director Emeritus, Non-Voting	2	0	0	0
Yung, M D	Raymond	Immediate Past President, Non-Voting Member	2	0	0	0

CHAPTERS

Last Name	First Name	Position	Average hours per week	Reportable compensation from the organization	Reportable compensation from related organization	Estimated amount of other compensation from the organization and related organizations
Cheng, M D	Mabel	ALBANY CHAPTER PRESIDENT	5	0	0	0
Liao, M D	Joshua	BOSTON Chapter President	5	0	0	0

Note Board members spent average of 1.5 - 2 hours per week and sometime may last up to 4 hours

CHINESE AMERICAN MEDICAL SOCIETY
2013 FORM 990
ATTACHMENT III : RELATING TO PART VIII, LINE 7

				<i>Wash Sale</i>	
<u>Account number</u>		<u>Sales proceed</u>	<u>Cost basis</u>	<u>(disallowed)</u>	<u>Gain/(Loss)</u>
MERRILL LYNN	805-04023	27,821	21,273	186	6,733
	805-04024	208,776	191,647		17,129
	805-04025	103,041	94,457		8,584
	805-04026	316	248		69
		<u>339,954</u>	<u>307,624</u>	<u>186</u>	<u>32,515</u>
SMITH BARNEY	654-133697 128	882,847	862,284	105	20,667
	654-133735 128	456,108	457,309	2	(1,199)
	654-133748 128	367,743	362,099	82	5,727
		<u>1,706,698</u>	<u>1,681,692</u>	<u>189</u>	<u>25,195</u>
TOTAL		<u>2,046,652</u>	<u>1,989,316</u>	<u>375</u>	<u>57,710</u>
			375		
Adjusted basis for tax purpose			<u>1,988,941</u>		

THE CHINESE AMERICAN MEDICAL

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
AMERICAN INTERNATIONAL GROUP INC		CUSIP Number 026874784						
7 0000	Sale	02/04/13	10/29/13	363.57	269.43	0.00	94.14	
BEST BUY CO INC		CUSIP Number 086516101						
11 0000	Sale	11/08/12	04/10/13	281.06	171.27	0.00	109.79	
MARATHON PETROLEUM CORP		CUSIP Number 56585A102						
1 0000	Sale	04/30/13	10/07/13	64.26	80.06	0.00	(15.80)	
1 0000	Sale	05/01/13	10/07/13	64.26	75.55	0.00	(11.29)	
Security Subtotal				128.52	155.61	0.00	(27.09)	
STANLEY BLACK & DECKER INC		CUSIP Number 854502101						
3 0000	Sale	10/18/12	09/12/13	268.82	207.54	0.00	61.28	
SYSCO CORPORATION		CUSIP Number 871829107						
10 0000	Sale	02/04/13	07/25/13	345.63	308.80	0.00	36.83	

THE CHINESE AMERICAN MEDICAL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	TRAVELERS COS INC	CUSIP Number	89417E109					
2 0000	Sale	03/21/12	02/04/13	156 65	117 12	0 00	39 53	
	Covered Short Term Capital Gains and Losses Subtotal			1,544.25	1,229.77	0.00	314.48	
	NET SHORT TERM CAPITAL GAINS AND LOSSES			1,544.25	1,229.77	0.00	314.48	

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

BANK OF AMERICA CORP	CUSIP Number	060505104						
28 0000	Sale	08/30/11	02/04/13	322 69	229 88	0 00	92 81	
40 0000	Sale	12/01/11	02/04/13	461 00	216 72	0 00	244 28	
	Security Subtotal			783.69	446.60	0 00	337.09	
BEST BUY CO INC	CUSIP Number	086516101						
5 0000	Sale	02/04/11	04/10/13	127.74	174 65	0 00	(46 91)	
8 0000	Sale	03/30/11	04/10/13	204 40	234 48	0 00	(30 08)	
	Security Subtotal			332.14	409.13	0.00	(76.99)	
DOVER CORP	CUSIP Number	260003108						
1 0000	Sale	11/22/11	04/03/13	70.68	50 96	0 00	19 72	
5 0000	Sale	11/22/11	08/14/13	441.95	254 80	0 00	187 15	
	Security Subtotal			512.63	305.76	0.00	206.87	
FREEMPT-MCMRAN CPR & GLD	CUSIP Number	35671D857						
6 0000	Sale	05/30/08	10/07/13	201.12	325 94	0 00 (Y)	(124 82)	
4 0000	Sale	05/30/08	10/07/13	134 08	221 77	0 00 (Y)	(87 69)	
	Security Subtotal			335.20	547.71	0.00	(212.51)	
FIFTH THIRD BANCORP	CUSIP Number	316773100						
16 0000	Sale	03/03/11	02/04/13	259 35	225 60	0 00	33 75	
15 0000	Sale	03/03/11	06/03/13	269 24	211 50	0 00	57 74	
6 0000	Sale	03/30/11	06/03/13	107.70	84 06	0 00	23 64	
10 0000	Sale	03/30/11	08/14/13	190 89	140 10	0 00	50 79	
	Security Subtotal			827.18	661.26	0.00	165.92	

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2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GENERAL ELECTRIC								
	CUSIP Number	369604103						
28 0000	Sale	02/01/12	07/25/13	689 64	531 44	0 00	158.20	
5 0000	Sale	02/28/12	07/25/13	123 15	95 75	0 00	27.40	
	Security Subtotal			812.79	627.19	0.00	185.60	
MARATHON PETROLEUM CORP								
	CUSIP Number	56585A102						
12 0000	Sale	08/30/11	10/07/13	771 11	429 00	0 00	342.11	
16 0000	Sale	05/10/12	10/07/13	1,028 16	617 44	0 00	410 72	
	Security Subtotal			1,799.27	1,046.44	0.00	752.83	
MURPHY USA INC SHS								
	CUSIP Number	626755102						
1 0000	Sale	02/10/11	09/05/13	38 31	38 43	0 00	(0 12)	
4 0000	Sale	03/07/11	09/05/13	153 27	160 41	0 00	(7 14)	
1 0000	Sale	03/30/11	09/05/13	38 32	40 46	0 00	(2 14)	
1 0000	Sale	08/30/11	09/05/13	38 32	28 40	0 00	9 92	
3 0000	Sale	06/25/12	09/05/13	114 97	72 21	0 00	42 76	
	Cash/Lieu	06/25/12	10/03/13	30 71	22 16	0 00	8 55	
	Security Subtotal			413.90	362.07	0.00	51.83	
MICROSOFT CORP								
	CUSIP Number	594918104						
7 0000	Sale	01/06/11	08/14/13	225 67	199 29	0 00	26 38	
NATIONAL-OILWELL VARCO INC								
	CUSIP Number	637071101						
1 0000	Sale	05/10/12	10/08/13	78 89	69 44	0 00	9 45	
NUCOR CORPORATION								
	CUSIP Number	670346105						
3 0000	Sale	09/18/12	11/27/13	154 94	119 28	0 00	35 66	
ST JUDE MEDICAL INC								
	CUSIP Number	790849103						
2 0000	Sale	06/10/08	10/07/13	113 00	82.35	0 00 (Y)	30 65	
STAPLES INC								
	CUSIP Number	855030102						
39 0000	Sale	11/22/11	07/17/13	647 00	543 27	0 00	103 73	

THE CHINESE AMERICAN MEDICAL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SYSKO CORPORATION								
	CUSIP Number	871829107						
7 0000	Sale	04/29/11	04/15/13	238 97	203 14	0.00	35 83	
15 0000	Sale	04/29/11	07/17/13	533 39	435 30	0 00	98 09	
18 0000	Sale	04/29/11	07/25/13	622 11	522 36	0 00	99 75	
10 0000	Sale	06/21/12	07/25/13	345 62	293 40	0 00	52 22	
	Security Subtotal			1,740.09	1,454.20	0.00	285.89	
TRAVELERS COS INC								
	CUSIP Number	89417E109						
5 0000	Sale	03/21/12	04/23/13	435 64	292 80	0 00	142 84	
5 0000	Sale	03/21/12	09/12/13	416 74	292 80	0 00	123 94	
	Security Subtotal			852.38	585.60	0.00	266.78	
Covered Long Term Capital Gains and Losses Subtotal				9,628.77	7,459.59	0.00	2,169.18	
NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)								
ALLSTATE CORP DEL COM								
	CUSIP Number	020002101						
1 0000	Sale	02/25/09	02/15/13	46 06	17 43	0.00	28 63	
5 0000	Sale	03/27/09	02/15/13	230 35	103 25	0 00	127 10	
	Security Subtotal			276.41	120.68	0.00	155.73	
ARCHER DANIELS MIDLD								
	CUSIP Number	039483102						
13 0000	Sale	06/29/10	08/14/13	499.58	339 17	0 00	160 41	
BANK OF AMERICA CORP								
	CUSIP Number	060505104						
15 0000	Sale	05/30/08	02/04/13	172 86	512 53	0 00	(339 67)	
1 0000	Sale	01/27/09	02/04/13	11 52	6 51	0 00	5 01	
14 0000	Sale	03/27/09	02/04/13	161.34	103 46	0 00	57 88	
29 0000	Sale	04/02/09	02/04/13	334 21	218 37	0 00	115 84	
7 0000	Sale	05/05/09	02/04/13	80 67	75 74	0 00	4 93	
4 0000	Sale	07/17/09	02/04/13	46 09	53 20	0 00	(7 11)	
21 0000	Sale	03/02/10	02/04/13	242 02	349 86	0 00	(107 84)	
26.0000	Sale	07/15/10	02/04/13	299 64	401 70	0 00	(102 06)	
	Security Subtotal			1,348.35	1,721.37	0.00	(373.02)	

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2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BEST BUY CO INC								
23 0000	Sale	CUSIP Number 086516101 09/16/09	04/10/13	587 63	879 87	0 00	(292 24)	
14 0000	Sale	03/01/10	04/10/13	357 69	515 06	0 00	(157 37)	
1.0000	Sale	12/14/10	04/10/13	25 54	35 28	0 00	(9 74)	
	Security Subtotal			970.86	1,430.21	0.00	(459.35)	
CVS CAREMARK CORP								
6 0000	Sale	CUSIP Number 126650100 05/28/09	04/15/13	341 69	174 97	0 00	166.72	
CISCO SYSTEMS INC COM								
7 0000	Sale	CUSIP Number 17275R102 06/15/10	01/29/13	146 36	163 52	0 00	(17.16)	
10 0000	Sale	06/15/10	08/14/13	261 59	233 60	0 00	27 99	
	Security Subtotal			407.95	397.12	0.00	10.83	
DANAHER CORP DEL COM								
2 0000	Sale	CUSIP Number 235851102 11/17/09	11/27/13	150 89	72 98	0 00	77 91	
FREEPRT-MCMRAN CPR & GLD								
7 0000	Sale	CUSIP Number 35671D857 05/30/08	08/14/13	220 14	406 15	186 01 (W)	0.00	
3 0000	Sale	05/30/08	08/14/13	94 35	174 06	0 00	(79 71)	
4 0000	Sale	05/30/08	10/07/13	134 07	232 09	0 00	(98 02)	
	Security Subtotal			448.56	812.30	186.01	(177.73)	
GENL DYNAMICS CORP COM								
4 0000	Sale	CUSIP Number 369550108 11/18/08	07/08/13	318 47	210 46	0 00	108.01	
GOLDMAN SACHS GROUP INC								
6 0000	Sale	CUSIP Number 38141G104 07/01/09	02/04/13	887.62	892 63	0 00	(5 01)	
2 0000	Sale	07/01/09	06/03/13	323 45	297 54	0 00	25.91	
	Security Subtotal			1,211.07	1,190 17	0.00	20.90	
GENERAL ELECTRIC								
1 0000	Sale	CUSIP Number 369604103 07/15/08	06/26/13	23 26	26 89	0 00	(3 63)	
21 0000	Sale	10/03/08	06/26/13	488.66	469 94	0 00	18 72	
1 0000	Sale	01/27/09	06/26/13	23 27	12.91	0 00	10 36	
13.0000	Sale	03/27/09	06/26/13	302 50	141 18	0 00	161 32	
5 0000	Sale	05/05/09	06/26/13	116 36	65 45	0 00	50 91	

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1099-B
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GENERAL ELECTRIC								
		<i>CUSIP Number</i>	<i>369604103</i>					
1 0000	Sale	05/05/09	07/25/13	24 62	13 09	0 00	11 53	
22 0000	Sale	04/15/10	07/25/13	541 86	431 64	0 00	110 22	
	Security Subtotal			1,520.53	1,161.10	0.00	359.43	
JPMORGAN CHASE & CO								
		<i>CUSIP Number</i>	<i>46625H100</i>					
5 0000	Sale	08/11/05	05/17/13	256 94	173 80	0.00	83 14	
1 0000	Sale	08/11/05	06/03/13	53 75	34 76	0 00	18 99	
	Security Subtotal			310.69	208.56	0.00	102.13	
JOHNSON AND JOHNSON COM								
		<i>CUSIP Number</i>	<i>478160104</i>					
3.0000	Sale	05/30/08	02/21/13	229 91	200 13	0 00	29 78	
KIMBERLY CLARK								
		<i>CUSIP Number</i>	<i>494368103</i>					
5 0000	Sale	05/30/08	04/15/13	503 04	319 84	0 00	183 20	
ELI LILLY & CO								
		<i>CUSIP Number</i>	<i>532457108</i>					
2 0000	Sale	05/30/08	01/28/13	105 71	96 51	0 00	9 20	
3 0000	Sale	05/30/08	04/15/13	171 26	144 77	0 00	26 49	
	Security Subtotal			276 97	241.28	0 00	35.69	
METLIFE INC COM								
		<i>CUSIP Number</i>	<i>59156R108</i>					
3.0000	Sale	05/30/08	10/11/13	143 30	180 21	0 00	(36 91)	
7.0000	Sale	05/30/08	10/29/13	342 92	420 48	0 00	(77 56)	
	Security Subtotal			486.22	600.69	0.00	(114.47)	
MCKESSON CORPORATION COM								
		<i>CUSIP Number</i>	<i>58155Q103</i>					
2 0000	Sale	01/23/09	07/01/13	232 43	79 45	0 00	152 98	
1 0000	Sale	01/27/09	07/01/13	116 22	45 18	0 00	71 04	
3 0000	Sale	03/27/09	10/24/13	452 40	109 05	0 00	343 35	
2 0000	Sale	05/05/09	10/24/13	301 60	77 18	0 00	224 42	
1 0000	Sale	10/16/09	10/24/13	150 81	61 13	0 00	89 68	
3 0000	Sale	10/16/09	11/20/13	477 41	183 37	0 00	294 04	
3 0000	Sale	10/16/09	12/03/13	492 23	183 38	0 00	308 85	
	Security Subtotal			2,223.10	738.74	0.00	1,484.36	

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MARATHON PETROLEUM CORP								
	CUSIP Number	56585A102						
4 0000	Sale	03/27/09	02/01/13	299 15	87 52	0 00	211 63	
3 0000	Sale	03/27/09	03/01/13	251 99	65 64	0 00	186 35	
2 0000	Sale	05/05/09	03/01/13	168 00	49 63	0 00	118 37	
1 0000	Sale	09/16/09	10/07/13	64 25	26 95	0 00	37 30	
2 0000	Sale	03/19/10	10/07/13	128 51	52 13	0 00	76 38	
1 0000	Sale	11/02/10	10/07/13	64 25	27 51	0 00	36 74	
	Security Subtotal			976.15	309.38	0.00	666.77	
PFIZER INC								
	CUSIP Number	717081103						
6 0000	Sale	03/27/09	02/12/13	162 59	84 42	0 00	78 17	
1 0000	Sale	04/08/09	02/12/13	27 10	13 44	0 00	13 66	
3 0000	Sale	05/05/09	02/12/13	81 30	42 74	0 00	38 56	
4 0000	Sale	05/05/09	03/19/13	112 55	56 99	0 00	55 56	
50 0000	Sale	10/16/09	03/19/13	1,406 97	881 80	0 00	525 17	
12 0000	Sale	05/13/10	03/19/13	337 67	198 84	0 00	138 83	
1 0000	Sale	06/17/10	03/19/13	28 13	15 34	0 00	12 79	
8 0000	Sale	06/29/10	03/19/13	225 13	114 24	0 00	110 89	
	Security Subtotal			2,381.44	1,407.81	0.00	973.63	
ST JUDE MEDICAL INC								
	CUSIP Number	790849103						
2 0000	Sale	05/30/08	08/19/13	102 59	81 50	0 00	21 09	
3 0000	Sale	05/30/08	10/07/13	169 49	122 24	0 00	47 25	
1 0000	Sale	01/27/09	10/07/13	56 49	35 23	0 00	21 26	
2 0000	Sale	03/27/09	10/07/13	113 01	75 80	0 00	37 21	
	Security Subtotal			441.58	314.77	0.00	126.81	
STATE STREET CORP								
	CUSIP Number	857477103						
1 0000	Sale	05/14/09	01/18/13	54 05	37 75	0 00	16 30	
6 0000	Sale	05/14/09	06/03/13	395 65	226 48	0 00	169 17	
	Security Subtotal			449.70	264.23	0.00	185.47	
UNITEDHEALTH GROUP INC								
	CUSIP Number	91324P102						
5 0000	Sale	03/01/10	07/17/13	332 89	170 85	0 00	162 04	
1 0000	Sale	03/01/10	10/08/13	72 11	34 17	0 00	37 94	
	Security Subtotal			405.00	205.02	0.00	199.98	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
<i>V F CORPORATION</i>								
		<i>CUSIP Number</i>	<i>918204108</i>					
1 0000	Sale	05/05/09	12/03/13	234 86	59 82	0.00	175 04	
1 0000	Sale	10/28/10	12/03/13	234 87	83 32	0.00	151 55	
	<i>Security Subtotal</i>			<i>469.73</i>	<i>143.14</i>	<i>0.00</i>	<i>326.59</i>	
	Noncovered Long Term Capital Gains and Losses Subtotal			16,647.89	12,584.12	186.01	4,249.78	
	NET LONG TERM CAPITAL GAINS AND LOSSES			26,276.66	20,043.71	186.01	6,418.96	
	TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES			27,820.91				
	TOTAL REPORTED SALES PROCEEDS			27,820.91				

(Y) The gain or loss of this transaction includes an adjustment to basis for the deferred loss amount on one or more previous "Wash Sales"

(W) This transaction has been identified as a "Wash Sale" based on IRS regulations. The Wash Sale Loss Disallowed column reflects the deferred loss amount. The cost basis of the related transaction(s) has been adjusted by the deferred loss amount from either the cost basis on purchases or proceeds from short sales. Please refer to the instructions for Form 8949 for how to report the deferred loss amount and applicable adjustment code

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
314.48	0.00	2,169.18	4,249.78

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In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B		2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS						
1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)								
ORACLE CORP		CUSIP Number 68389XAC9						
GLB								
05 750% APR 15 2018								
20000 0000	Sale	11/05/08	01/11/13	24,203 60	18,540 40	0 00	5,663 20	
VERIZON COMMUNICATIONS		CUSIP Number 92343VAV6						
6 35% DUE 4/1/2019								
25000 0000	Sale	04/07/09	01/11/13	31,373 75	25,096 29	0 00	6,277 46	
WALT DISNEY COMPANY		CUSIP Number 25468PCK0						
GLB								
05 500% MAR 15 2019								
25000 0000	Sale	04/07/09	01/11/13	30,375 00	25,180 38	0.00	5,194 62	
AMERICAN INTL GROUP		CUSIP Number 026874859						
PFD								
7.70% DEC 18 2062								
1000 0000	Sale	06/13/08	01/14/13	25,321 08	24,005 00	0 00	1,316 08	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ALLIANZ SE CLD CUSIP Number 018805200								
	8 375% UNDATED SUBORDINA							
	CALLABLE BONDS							
1000 0000	Redemption	06/03/08	06/17/13	25,000 00	25,000 00	0 00	0 00	
BANK OF AMERICA CLD CUSIP Number 060505559								
	NON-CUMULATIVE PFD STOCK							
	8.625% PERPETUAL SER 8							
1000 0000	Redemption	06/13/08	05/28/13	25,000 00	23,905 00	0 00	1,095 00	
EATON VANCE GLBL MACRO CUSIP Number 277923488								
	ABSOLUTE RETURN CL C							
.0740	Sale	06/18/10	04/26/13	0 73	0 77	0 00	(0 04)	
4803 0000	Sale	04/29/10	04/26/13	47,501 67	49,676 97	0 00	(2,175 30)	
	Security Subtotal			47,502.40	49,677.74	0.00	(2,175.34)	
	Noncovered Long Term Capital Gains and Losses Subtotal			208,775.83	191,404.81	0.00	17,371.02	
	NET LONG TERM CAPITAL GAINS AND LOSSES			208,775.83	191,404.81	0.00	17,371.02	
	TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES			208,775.83				
	TOTAL REPORTED SALES PROCEEDS			208,775.83				

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
0.00	0.00	0.00	17,371.02

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In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
ASTRAZENECA PLC SPND ADR		CUSIP Number	046353108					
8.0000	Sale	06/26/12	03/25/13	396.31	347.19	0.00	49.12	
BARRICK GOLD CORPORATION		CUSIP Number	067901108					
27.0000	Sale	04/16/13	11/15/13	489.25	527.26	0.00	(38.01)	
BALFOUR BEATTY PLC SPN ADR		CUSIP Number	05845R306					
77.0000	Sale	03/08/13	11/15/13	655.26	651.28	0.00	3.98	
29.0000	Sale	03/11/13	11/15/13	246.78	243.63	0.00	3.15	
60.0000	Sale	05/17/13	11/15/13	510.59	421.20	0.00	89.39	
28.0000	Sale	05/23/13	11/15/13	238.28	202.80	0.00	35.48	
33.0000	Sale	08/15/13	11/15/13	280.83	235.58	0.00	45.25	
7.0000	Sale	08/16/13	11/15/13	59.57	53.64	0.00	5.93	
	Security Subtotal			1,991.31	1,808.13	0.00	183.18	
BRIDGESTONE CORP ADR		CUSIP Number	108441205					
14.0000	Sale	10/04/12	03/14/13	924.28	636.09	0.00	288.19	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CHINA MOBILE LTD SPN ADR								
11.0000	Sale	CUSIP Number 16941M109 10/23/13	11/15/13	590 26	569 02	0 00	21.24	
COMMERZBANK AG-SPONS ADR								
	Cash/Lieu	CUSIP Number 202597605 03/25/13	05/08/13	7 17	7 72	0 00	(0 55)	
43 0000	Sale	03/25/13	11/15/13	579 20	507 14	0 00	72 06	
50.0000	Sale	06/26/13	11/15/13	673 49	449 45	0 00	224 04	
	Security Subtotal			1,259.86	964.31	0.00	295.55	
DANONE-SPONS ADR								
98 0000	Sale	CUSIP Number 23636T100 01/24/13	11/15/13	1,455 27	1,358 64	0 00	96.63	
31 0000	Sale	09/09/13	11/15/13	460 35	454 54	0 00	5 81	
	Security Subtotal			1,915.62	1,813.18	0.00	102.44	
GOLD FIELDS SP ADR NEW								
53 0000	Sale	CUSIP Number 38059T106 04/17/13	11/15/13	241 25	339 11	0 00	(97 86)	
85 0000	Sale	08/23/13	11/15/13	386 93	479 56	0 00	(92 63)	
	Security Subtotal			628.18	818.67	0.00	(190.49)	
KINROSS GOLD CORP								
63 0000	Sale	CUSIP Number 496902404 04/16/13	11/15/13	323 83	338 14	0 00	(14 31)	
KONINKLIJKE AHOLD NV ADR								
20 0000	Sale	CUSIP Number 500467402 03/12/13	11/15/13	345 40	297 91	0 00	47 49	
MAKITA CORP SPOND ADR								
9 0000	Sale	CUSIP Number 560877300 08/22/12	01/11/13	411.96	335 75	0 00	76 21	
NTT DOCOMO INC SPD ADR								
60 0000	Sale	CUSIP Number 62942M201 10/11/13	11/15/13	967 18	964 85	0 00	2 33	
7 0000	Sale	11/06/13	11/15/13	112 83	111 25	0 00	1 58	
11 0000	Sale	11/07/13	11/15/13	177 31	173 54	0 00	3 77	
15 0000	Sale	11/08/13	11/15/13	241 81	237 62	0 00	4 19	
	Security Subtotal			1,499.13	1,487.26	0.00	11.87	
NEWMONT MINING CORP								
24 0000	Sale	CUSIP Number 651639106 04/16/13	11/15/13	669 49	822 31	0 00	(152 82)	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ORKLA AS A SHS ADR								
	CUSIP Number	686331109						
109 0000	Sale	03/20/13	11/15/13	852 36	902 79	0 00	(50 43)	
54 0000	Sale	03/26/13	11/15/13	422 27	442 20	0 00	(19 93)	
35 0000	Sale	05/08/13	11/15/13	273 69	309 11	0 00	(35 42)	
2 0000	Sale	05/16/13	11/15/13	15 63	18 00	0 00	(2 37)	
17 0000	Sale	06/26/13	11/15/13	132 94	138 47	0 00	(5 53)	
104 0000	Sale	06/27/13	11/15/13	813 28	864 71	0 00	(51 43)	
70 0000	Sale	08/02/13	11/15/13	547 40	549 15	0 00	(1 75)	
	Security Subtotal			3,057.57	3,224.43	0.00	(166.86)	
PETROFAC LTD-								
	CUSIP Number	716473103						
70 0000	Sale	11/15/13	12/05/13	661.76	809 90	0 00	(148 14)	
1 0000	Sale	11/20/13	12/05/13	9 46	9 51	0 00	(0 05)	
	Security Subtotal			671.22	819.41	0.00	(148.19)	
SCHNEIDER ELEC SA ADR								
	CUSIP Number	80687P106						
30 0000	Sale	06/21/12	02/04/13	451 64	329 91	0 00	121 73	
SIGNET JEWELERS LTD SHS								
	CUSIP Number	G81276100						
2 0000	Sale	11/15/13	11/26/13	155 71	157 38	0 00	(1 67)	
4 0000	Sale	11/15/13	11/27/13	308 48	314 76	0 00	(6 28)	
	Security Subtotal			464.19	472 14	0.00	(7.95)	
SHIN-ETSU CHEM-UNSPON								
	CUSIP Number	824551105						
115 0000	Sale	11/21/12	11/15/13	1,718 07	1,664 57	0 00	53 50	
SHANGHAI ELECTRC ADR LTD								
	CUSIP Number	81943J108						
8 0000	Sale	05/16/13	11/15/13	56 15	61 55	0 00	(5 40)	
27 0000	Sale	05/16/13	11/15/13	189 53	206 91	0 00	(17 38)	
7 0000	Sale	08/05/13	11/15/13	49 13	48 89	0 00	0 24	
57 0000	Sale	05/29/13	11/15/13	400 14	435 02	0 00	(34 88)	
29.0000	Sale	06/07/13	11/15/13	203 58	218 39	0 00	(14 81)	
27 0000	Sale	08/02/13	11/15/13	189 54	188 14	0 00	1 40	
14 0000	Sale	08/06/13	11/15/13	98 28	98 20	0 00	0 08	
30 0000	Sale	10/21/13	11/15/13	210 61	221 36	0 00	(10 75)	
	Security Subtotal			1,396.96	1,478.46	0.00	(81.50)	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SIBANYE GOLD LTD SHS ADR								
5 0000	Sale	06/26/12	02/25/13	29 90	39 33	0 00	(9.43)	
4 0000	Sale	06/27/12	02/25/13	23 93	31 39	0 00	(7.46)	
	Security Subtotal			53.83	70.72	0.00	(16.89)	
SMC CORP - UNSP ADR								
21 0000	Sale	10/21/13	11/15/13	484 03	504 31	0 00	(20 28)	
20 0000	Sale	10/22/13	11/15/13	461 00	471 90	0 00	(10 90)	
	Security Subtotal			945.03	976.21	0.00	(31.18)	
WOLSELEY PLC SHS ADR								
	Cash/Lieu	11/15/13	12/17/13	2 54	2 66	0 00	(0 12)	
TEVA PHARMACTCL INDS ADR								
21 0000	Sale	11/28/12	11/15/13	801 97	848 67	0 00	(46 70)	
12 0000	Sale	12/12/12	11/15/13	458 28	474 22	0 00	(15 94)	
	Security Subtotal			1,260.25	1,322.89	0.00	(62.64)	
TNT EXPRESS NV SHS ADR								
116 0000	Sale	02/05/13	11/15/13	1,027 74	885 18	0 00	142 56	
113.0000	Sale	02/06/13	11/15/13	1,001 16	859 15	0 00	142 01	
102.0000	Sale	02/27/13	11/15/13	903 71	763 59	0 00	140 12	
19 0000	Sale	02/28/13	11/15/13	168 33	144 65	0 00	23 68	
1 0000	Sale	05/14/13	11/15/13	8 86	13 74	0 00	(4 88)	
1 0000	Sale	08/29/13	11/15/13	8 87	10 30	0 00	(1 43)	
	Security Subtotal			3,118.67	2,676.61	0.00	442.06	
Covered Short Term Capital Gains and Losses Subtotal				24,584.85	23,803.23	0.00	781.62	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

COMMERZBANK AG-SPONS ADR								
43 0000	Prin Payment	06/13/13	06/13/13	156.95	156 95	0 00	0 00	
SIEMENS AG ADR								
27 0000	Prin Payment	07/25/13	07/25/13	82.51	82 51	0 00	0 00	

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
Noncovered Short Term Capital Gains and Losses Subtotal				239.46	239.46	0.00	0.00	
NET SHORT TERM CAPITAL GAINS AND LOSSES				24,824.31	24,042.69	0.00	781.62	
LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
AXA -SPONS ADR		CUSIP Number 054536107						
26.0000	Sale	10/24/12	11/15/13	647.91	409.48	0.00	238.43	
28.0000	Sale	11/09/12	11/15/13	697.75	424.38	0.00	273.37	
Security Subtotal				1,345.66	833.86	0.00	511.80	
ASTRAZENECA PLC SPND ADR		CUSIP Number 046353108						
9.0000	Sale	02/09/11	01/23/13	441.55	439.33	0.00	2.22	
3.0000	Sale	02/09/11	01/24/13	147.87	146.44	0.00	1.43	
5.0000	Sale	02/10/11	03/22/13	245.85	243.38	0.00	2.47	
1.0000	Sale	02/10/11	03/25/13	49.53	48.68	0.00	0.85	
Security Subtotal				884.80	877.83	0.00	6.97	
BARRICK GOLD CORPORATION		CUSIP Number 067901108						
12.0000	Sale	01/24/11	11/15/13	217.44	565.16	0.00	(347.72)	
2.0000	Sale	09/27/11	11/15/13	36.24	96.79	0.00	(60.55)	
Security Subtotal				253.68	661.95	0.00	(408.27)	
BP PLC SPON ADR		CUSIP Number 055622104						
8.0000	Sale	01/09/12	11/15/13	376.95	352.14	0.00	24.81	
31.0000	Sale	01/10/12	11/15/13	1,460.70	1,385.60	0.00	75.10	
Security Subtotal				1,837.65	1,737.74	0.00	99.91	
BAE SYS PLC SPN ADR		CUSIP Number 05523R107						
24.0000	Sale	01/10/12	08/16/13	656.55	451.72	0.00	204.83	
120.0000	Sale	01/10/12	11/15/13	3,491.94	2,258.60	0.00	1,233.34	
Security Subtotal				4,148.49	2,710.32	0.00	1,438.17	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BELGACOM SA DE DROIT		CUSIP Number 077701100						
PUBLIC SHS								
114 0000	Sale	01/10/12	11/15/13	633 82	702 84	0 00	(69 02)	
109 0000	Sale	01/12/12	11/15/13	606 03	680 17	0 00	(74 14)	
107 0000	Sale	01/13/12	11/15/13	594 91	663 56	0 00	(68 65)	
81 0000	Sale	01/17/12	11/15/13	450 36	500 66	0 00	(50 30)	
Security Subtotal				2,285.12	2,547.23	0 00	(262 11)	
BRIDGESTONE CORP ADR		CUSIP Number 108441205						
20 0000	Sale	10/04/12	11/15/13	360 19	227 17	0 00	133 02	
32 0000	Sale	11/09/12	11/15/13	576 31	366 33	0 00	209 98	
Security Subtotal				936 50	593 50	0 00	343.00	
CHINA MOBILE LTD SPN ADR		CUSIP Number 16941M109						
4 0000	Sale	01/09/12	11/15/13	214 63	198 08	0 00	16 55	
22 0000	Sale	01/10/12	11/15/13	1,180 50	1,095 72	0 00	84 78	
7 0000	Sale	03/06/12	11/15/13	375 61	363 21	0 00	12 40	
10 0000	Sale	04/09/12	11/15/13	536 59	536 51	0 00	0 08	
4 0000	Sale	04/10/12	11/15/13	214 64	215 22	0 00	(0 58)	
Security Subtotal				2,521.97	2,408.74	0 00	113 23	
DEUTSCHE BK AG REG SHS		CUSIP Number D18190898						
2 0000	Sale	01/09/12	11/15/13	91 39	66 87	0 00	24 52	
23 0000	Sale	01/10/12	11/15/13	1,051 09	808 50	0 00	242 59	
Security Subtotal				1,142.48	875.37	0 00	267.11	
DAI NIPPON PRTG		CUSIP Number 233806306						
8 0000	Sale	03/24/11	01/18/13	62 46	95 83	0 00	(33 37)	
19 0000	Sale	03/24/11	03/12/13	179 22	227 60	0 00	(48 38)	
4 0000	Sale	03/24/11	11/15/13	41.99	47 92	0 00	(5 93)	
90 0000	Sale	01/10/12	11/15/13	944 99	887 81	0 00	57 18	
Security Subtotal				1,228.66	1,259.16	0 00	(30.50)	
ERICSSON AMERICAN		CUSIP Number 294821608						
SEK 10 NEW								
90 0000	Sale	03/27/12	11/15/13	1,125 87	928 27	0 00	197 60	
41 0000	Sale	03/28/12	11/15/13	512 90	424 60	0 00	88 30	
45 0000	Sale	07/18/12	11/15/13	562 95	395 35	0 00	167 60	
Security Subtotal				2,201.72	1,748.22	0 00	453.50	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FUJIFILM HLDGS CORP ADR								
48.0000	Sale	CUSIP Number 01/10/12	35958N107 11/15/13	1,227.34	1,181.77	0.00	45.57	
GOLD FIELDS SP ADR NEW								
18.0000	Sale	CUSIP Number 06/26/12	38059T106 11/15/13	81.93	194.72	0.00	(112.79)	
18.0000	Sale	06/27/12	11/15/13	81.93	191.67	0.00	(109.74)	
Security Subtotal				163.86	386.39	0.00	(222.53)	
HUANENG PWR INTL SP ADR								
4.0000	Sale	CUSIP Number 01/24/12	443304100 04/19/13	166.82	94.78	0.00	72.04	
3.0000	Sale	01/25/12	04/22/13	129.38	71.34	0.00	58.04	
4.0000	Sale	01/26/12	04/23/13	173.78	94.12	0.00	79.66	
3.0000	Sale	01/27/12	04/23/13	130.35	69.27	0.00	61.08	
3.0000	Sale	01/27/12	10/23/13	127.92	69.26	0.00	58.66	
6.0000	Sale	01/30/12	10/24/13	253.59	143.00	0.00	110.59	
1.0000	Sale	01/30/12	11/15/13	40.90	23.83	0.00	17.07	
4.0000	Sale	01/31/12	11/15/13	163.63	96.43	0.00	67.20	
3.0000	Sale	02/01/12	11/15/13	122.73	73.51	0.00	49.22	
2.0000	Sale	02/02/12	11/15/13	81.81	48.98	0.00	32.83	
3.0000	Sale	02/03/12	11/15/13	122.73	74.47	0.00	48.26	
2.0000	Sale	02/06/12	11/15/13	81.82	49.24	0.00	32.58	
2.0000	Sale	02/07/12	11/15/13	81.82	49.77	0.00	32.05	
2.0000	Sale	02/08/12	11/15/13	81.82	49.65	0.00	32.17	
3.0000	Sale	02/09/12	11/15/13	122.73	74.95	0.00	47.78	
1.0000	Sale	02/10/12	11/15/13	40.92	25.05	0.00	15.87	
Security Subtotal				1,922.75	1,107.65	0.00	815.10	
HOME RETAIL GROUP PLC								
60.0000	Sale	CUSIP Number 01/10/12	43731T102 03/14/13	532.41	334.24	0.00	198.17	
26.0000	Sale	01/12/12	03/14/13	230.72	134.96	0.00	95.76	
94.0000	Sale	01/12/12	11/15/13	1,163.69	487.94	0.00	675.75	
30.0000	Sale	01/13/12	11/15/13	371.39	158.65	0.00	212.74	
88.0000	Sale	01/17/12	11/15/13	1,089.43	491.09	0.00	598.34	
14.0000	Sale	01/18/12	11/15/13	173.32	81.11	0.00	92.21	
Security Subtotal				3,560.96	1,687.99	0.00	1,872.97	
IMPALA PLATINUM SPON ADR								
4.0000	Sale	CUSIP Number 01/10/12	452553308 01/11/13	79.04	84.98	0.00	(5.94)	
33.0000	Sale	01/10/12	03/11/13	492.02	701.13	0.00	(209.11)	
Security Subtotal				571.06	786.11	0.00	(215.05)	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
INTESA SANPAOLO SPON ADR								
91 0000	Sale	CUSIP Number 01/10/12	46115H107 11/15/13	1,251 23	813.88	0 00	437 35	
INDRA SISTEMAS SA SHS								
82 0000	Sale	CUSIP Number 01/10/12	45579R106 11/15/13	620.72	526 57	0 00	94 15	
58 0000	Sale	01/12/12	11/15/13	439.05	376 61	0 00	62 44	
65 0000	Sale	01/13/12	11/15/13	492 04	418.59	0 00	73 45	
120 0000	Sale	01/17/12	11/15/13	908 39	780 60	0 00	127 79	
71 0000	Sale	01/18/12	11/15/13	537 47	467.07	0 00	70 40	
9 0000	Sale	01/19/12	11/15/13	68 13	60 80	0 00	7 33	
Security Subtotal				3,065.80	2,630.24	0.00	435.56	
KT CORP ADR								
66 0000	Sale	CUSIP Number 01/10/12	48268K101 11/15/13	1,023 97	969 37	0 00	54 60	
55 0000	Sale	01/12/12	11/15/13	853 31	801 74	0 00	51 57	
13 0000	Sale	01/12/12	11/15/13	201 69	190 92	0 00	10 77	
8 0000	Sale	01/13/12	11/15/13	124 13	117 10	0 00	7 03	
Security Subtotal				2,203.10	2,079.13	0.00	123.97	
KAO CORP SPD ADR								
3 0000	Sale	CUSIP Number 01/10/12	485537302 02/26/13	96 38	82 71	0 00	13 67	
61 0000	Sale	01/10/12	11/15/13	2,094 09	1,681 77	0 00	412 32	
Security Subtotal				2,190.47	1,764.48	0.00	425.99	
KINROSS GOLD CORP								
15 0000	Sale	CUSIP Number 01/21/11	496902404 11/15/13	77 09	253 82	0 00	(176 73)	
9 0000	Sale	01/24/11	11/15/13	46 26	152.94	0 00	(106 68)	
19 0000	Sale	02/28/11	11/15/13	97 66	300 66	0 00	(203 00)	
Security Subtotal				221.01	707.42	0.00	(486 41)	
KONINKLIJKE AHOLD NV ADR								
100 0000	Sale	CUSIP Number 04/26/12	500467402 11/15/13	1,726 96	1,257 20	0 00	469 76	
KBC GROUPE SA SHS								
35 0000	Sale	CUSIP Number 10/22/12	48241F104 11/15/13	944 98	422 59	0 00	522 39	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
LLOYDS BANKING GROUP PLC ADR		CUSIP Number 539439109						
4 0000	Sale	01/09/12	05/10/13	14 50	6 35	0 00	8 15	
140 0000	Sale	01/10/12	05/10/13	507 79	232 54	0 00	275.25	
126 0000	Sale	01/10/12	05/13/13	448 21	209 29	0 00	238 92	
66 0000	Sale	01/10/12	05/14/13	236 78	109 62	0 00	127 16	
Security Subtotal				1,207.28	557.80	0.00	649.48	
MS&AD INS GROUP HLDGS UNSP ADR		CUSIP Number 553491101						
51 0000	Sale	04/27/11	11/15/13	679 82	584 64	0 00	95 18	
15 0000	Sale	04/28/11	11/15/13	199.95	173 70	0 00	26 25	
Security Subtotal				879.77	758.34	0.00	121.43	
MAGNA INTL INC CL A VTG		CUSIP Number 559222401						
2 0000	Sale	01/09/12	02/15/13	107 99	72 50	0 00	35 49	
5 0000	Sale	01/10/12	02/15/13	269 98	188 63	0 00	81 35	
7 0000	Sale	01/10/12	06/07/13	474 49	264 08	0 00	210 41	
1 0000	Sale	01/10/12	09/05/13	80 64	37.73	0 00	42 91	
3 0000	Sale	01/11/12	09/05/13	241 94	115 63	0 00	126 31	
4 0000	Sale	01/12/12	09/05/13	322 60	155.14	0 00	167.46	
9 0000	Sale	01/12/12	11/15/13	765 71	349 05	0 00	416 66	
Security Subtotal				2,263.35	1,182.76	0.00	1,080.59	
NINTENDO LTD ADR		CUSIP Number 654445303						
8 0000	Sale	05/26/11	11/15/13	130 48	230 28	0 00	(99 80)	
22 0000	Sale	08/02/11	11/15/13	358 83	421 55	0 00	(62 72)	
Security Subtotal				489 31	651.83	0.00	(162.52)	
NOVARTIS ADR		CUSIP Number 66987V109						
5 0000	Sale	01/09/12	02/06/13	343 90	285 08	0 00	58 82	
2 0000	Sale	01/10/12	02/06/13	137 57	114 17	0 00	23 40	
Security Subtotal				481.47	399.25	0.00	82.22	
NEWMONT MINING CORP		CUSIP Number 651639106						
9 0000	Sale	01/09/12	11/15/13	251 05	553 39	0 00	(302 34)	
29 0000	Sale	01/10/12	11/15/13	808 96	1,821 88	0 00	(1,012 92)	
3 0000	Sale	01/11/12	11/15/13	83 68	189 46	0 00	(105 78)	
Security Subtotal				1,143.69	2,564.73	0.00	(1,421.04)	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ROYAL DUTCH SHEL PLC		CUSIP Number 780259107						
SPONS ADR B								
2 0000	Sale	01/09/12	11/15/13	140 75	153 50	0 00	(12 75)	
32 0000	Sale	01/10/12	11/15/13	2,252 13	2,475 24	0.00	(223 11)	
Security Subtotal				2,392.88	2,628.74	0.00	(235.86)	
ROHM CO LTD SHS		CUSIP Number 775376106						
2 0000	Sale	12/22/11	10/18/13	41 46	45 22	0 00	(3 76)	
9 0000	Sale	12/27/11	10/18/13	186 60	205 10	0 00	(18 50)	
6 0000	Sale	01/10/12	10/18/13	124 40	139 75	0 00	(15 35)	
24 0000	Sale	01/10/12	10/21/13	501 32	559 01	0 00	(57.69)	
1 0000	Sale	01/10/12	10/22/13	21 08	23 29	0 00	(2 21)	
12 0000	Sale	01/12/12	10/22/13	253 03	281 00	0 00	(27.97)	
11 0000	Sale	01/13/12	10/22/13	231 95	261 02	0 00	(29 07)	
Security Subtotal				1,359.84	1,514.39	0.00	(154.55)	
SIEMENS AG		CUSIP Number 826197501						
ADR								
3 0000	Sale	09/28/11	11/15/13	390 05	267 54	0 00	122.51	
3 0000	Sale	01/09/12	11/15/13	390 05	282 69	0 00	107 36	
10 0000	Sale	01/10/12	11/15/13	1,300 19	937 62	0.00	362 57	
Security Subtotal				2,080.29	1,487.85	0.00	592.44	
STORA ENSO OYJ SPD ADR		CUSIP Number 86210M106						
63 0000	Sale	01/10/12	04/23/13	416 04	413 66	0 00	2 38	
40 0000	Sale	01/10/12	06/10/13	274 38	262 64	0 00	11.74	
100 0000	Sale	01/10/12	11/15/13	962 98	656 60	0 00	306 38	
Security Subtotal				1,653.40	1,332.90	0.00	320.50	
SECOM CO LTD		CUSIP Number 813113206						
ADR								
89 0000	Sale	03/27/12	11/15/13	1,400 83	1,111 96	0 00	288 87	
57 0000	Sale	03/28/12	11/15/13	897 16	697 33	0 00	199 83	
52.0000	Sale	10/17/12	11/15/13	818 48	664 40	0 00	154 08	
Security Subtotal				3,116.47	2,473.69	0.00	642.78	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SEGA SAMMY HOLDINGS INC ADR		CUSIP Number 815794102						
54 0000	Sale	01/10/12	04/09/13	278 54	303 59	0 00	(25 05)	
47 0000	Sale	01/10/12	04/23/13	283 13	264 23	0 00	18 90	
70 0000	Sale	01/10/12	04/30/13	452 31	393 54	0 00	58 77	
145 0000	Sale	01/10/12	10/11/13	988 42	815 19	0 00	173 23	
Security Subtotal				2,002 40	1,776 55	0 00	225 85	
SCHNEIDER ELEC SA ADR		CUSIP Number 80687P106						
23 0000	Sale	06/21/12	11/18/13	384 32	252 93	0 00	131 39	
57 0000	Sale	06/27/12	11/18/13	952 45	572 49	0 00	379 96	
35 0000	Sale	07/19/12	11/18/13	584 85	379 86	0 00	204 99	
Security Subtotal				1,921 62	1,205 28	0 00	716 34	
SHISEIDO LTD SPONSRD ADR		CUSIP Number 824841407						
13 0000	Sale	01/10/12	11/15/13	230 36	238 62	0 00	(8 26)	
SUNCOR ENERGY INC NEW		CUSIP Number 867224107						
6 0000	Sale	01/10/12	11/15/13	214 67	192 24	0 00	22 43	
6 0000	Sale	01/11/12	11/15/13	214 67	192 55	0 00	22 12	
36 0000	Sale	01/12/12	11/15/13	1,288 07	1,161 49	0 00	126 58	
Security Subtotal				1,717 41	1,546 28	0 00	171 13	
SUMITOMO MITSUI TR HLDGS INC SPONSORED ADR		CUSIP Number 86562X106						
95 0000	Sale	04/04/11	03/25/13	436 75	332 50	0 00	104 25	
18 0000	Sale	04/04/11	11/15/13	90 53	63 00	0 00	27 53	
Security Subtotal				527 28	395 50	0 00	131 78	
TESCO PLC SPNRD ADR		CUSIP Number 881575302						
94 0000	Sale	01/10/12	11/15/13	1,615 83	1,719 55	0 00	(103 72)	
TELECOM ITALIA SPA ADR		CUSIP Number 87927Y201						
21 0000	Sale	01/10/12	03/07/13	135 65	190 23	0 00	(54 58)	
25 0000	Sale	01/12/12	03/07/13	161 50	234 38	0 00	(72 88)	
36 0000	Sale	01/13/12	03/07/13	232 57	333 98	0 00	(101 41)	
Security Subtotal				529 72	758 59	0 00	(228 87)	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
WOLTERS KLUWR NV SPN ADR								
	CUSIP Number	977874205						
10.0000	Sale	01/10/12	11/15/13	278 59	171 27	0 00	107 32	
14.0000	Sale	11/07/12	11/15/13	390 04	264 61	0 00	125 43	
14 0000	Sale	11/08/12	11/15/13	390 04	260 67	0 00	129 37	
	Security Subtotal			1,058 67	696.55	0.00	362.12	
Covered Long Term Capital Gains and Losses Subtotal				64,507.29	54,967.97	0.00	9,539.32	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

ASTRAZENECA PLC SPND ADR								
	CUSIP Number	046353108						
9 0000	Sale	04/21/10	01/23/13	441 54	408 01	0 00	33 53	
BARRICK GOLD CORPORATION								
	CUSIP Number	067901108						
2.0000	Sale	04/09/09	11/15/13	36 23	57 75	0 00	(21 52)	
21.0000	Sale	08/18/09	11/15/13	380 51	702 94	0 00	(322 43)	
17.0000	Sale	02/04/10	11/15/13	308 04	583 93	0 00	(275 89)	
	Security Subtotal			724.78	1,344.62	0.00	(619.84)	
DAI NIPPON PRTG								
	CUSIP Number	233806306						
55 0000	Sale	12/07/09	01/11/13	428 55	710 10	0 00	(281 55)	
21 0000	Sale	12/07/09	01/18/13	163 94	271 13	0 00	(107 19)	
	Security Subtotal			592.49	981.23	0.00	(388 74)	
FUJIFILM HLDGS CORP ADR								
	CUSIP Number	35958N107						
21 0000	Sale	06/02/08	09/09/13	475 88	773 26	0 00	(297 38)	
37 0000	Sale	06/02/08	11/15/13	946 07	1,362 40	0 00	(416 33)	
	Security Subtotal			1,421.95	2,135.66	0.00	(713.71)	
GOLD FIELDS SP ADR NEW								
	CUSIP Number	38059T106						
39 0000	Sale	06/02/08	11/15/13	177 52	423 84	0 00	(246 32)	
43.0000	Sale	02/04/10	11/15/13	195 73	403 92	0 00	(208 19)	
	Security Subtotal			373.25	827.76	0.00	(454.51)	

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1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
HACHIJUNI BANK LTD ADR								
	<i>CUSIP Number</i> 404508202							
1 0000	Sale	06/23/08	11/15/13	63 61	64 27	0 00	(0 66)	
8 0000	Sale	07/10/08	11/15/13	508 95	495 04	0 00	13 91	
6 0000	Sale	10/06/09	11/15/13	381 72	322 83	0 00	58 89	
	Security Subtotal			954.28	882.14	0.00	72.14	
KINROSS GOLD CORP								
	<i>CUSIP Number</i> 496902404							
15 0000	Sale	10/30/09	06/11/13	88 84	273 96	0 00	(185 12)	
19 0000	Sale	10/30/09	11/15/13	97 65	347 01	0 00	(249 36)	
14 0000	Sale	07/08/10	11/15/13	71 96	221 73	0 00	(149 77)	
13 0000	Sale	08/18/10	11/15/13	66 82	201 06	0 00	(134 24)	
	Security Subtotal			325.27	1,043.76	0.00	(718.49)	
MS&AD INS GROUP HLDGS UNSP ADR								
	<i>CUSIP Number</i> 553491101							
26 0000	Sale	08/10/10	11/15/13	346 57	297 10	0 00	49 47	
NINTENDO LTD ADR								
	<i>CUSIP Number</i> 654445303							
6 0000	Sale	08/03/09	11/15/13	97 85	203 70	0 00	(105 85)	
14 0000	Sale	08/20/09	11/15/13	228 33	453 17	0 00	(224 84)	
15 0000	Sale	08/28/09	11/15/13	244 64	499 19	0 00	(254 55)	
11 0000	Sale	10/06/10	11/15/13	179 41	355 55	0 00	(176 14)	
	Security Subtotal			750.23	1,511.61	0.00	(761.38)	
ROHM CO LTD SHS								
	<i>CUSIP Number</i> 775376106							
13 0000	Sale	01/16/09	03/25/13	235 45	323 12	0 00	(87 67)	
14 0000	Sale	01/30/09	03/25/13	253 56	354 42	0 00	(100 86)	
11 0000	Sale	08/30/10	03/25/13	199 23	333 80	0 00	(134 57)	
8 0000	Sale	08/30/10	10/18/13	165 86	242 77	0 00	(76 91)	
	Security Subtotal			854.10	1,254.11	0.00	(400.01)	
SIEMENS AG ADR								
	<i>CUSIP Number</i> 826197501							
2 0000	Sale	09/29/08	11/15/13	260 03	180 47	0 00	79 56	
9 0000	Sale	10/24/08	11/15/13	1,170 16	425 84	0 00	744 32	
	Security Subtotal			1,430.19	606 31	0.00	823.88	
SANOFI ADR								
	<i>CUSIP Number</i> 80105N105							
24 0000	Sale	06/02/08	11/15/13	1,294 78	881 52	0 00	413.26	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHISEIDO LTD SPONSRD ADR <i>CUSIP Number 824841407</i>								
25 0000	Sale	06/02/08	11/15/13	442 99	603 76	0 00	(160 77)	
25 0000	Sale	12/18/08	11/15/13	442 99	527 67	0 00	(84 68)	
32 0000	Sale	04/01/09	11/15/13	567 03	466 53	0 00	100 50	
	Security Subtotal			1,453.01	1,597.96	0.00	(144.95)	
SIBANYE GOLD LTD SHS ADR <i>CUSIP Number 825724206</i>								
9 0000	Sale	06/02/08	02/22/13	52 24	72 07	0 00	(19 83)	
9 0000	Sale	02/04/10	02/22/13	52 25	62 96	0 00	(10 71)	
2.0000	Sale	02/04/10	02/25/13	11 96	14 00	0 00	(2 04)	
	Security Subtotal			116.45	149.03	0.00	(32.58)	
WOLTERS KLUWR NV SPN ADR <i>CUSIP Number 977874205</i>								
13.0000	Sale	02/24/09	11/15/13	362 17	211 72	0 00	150 45	
5 0000	Sale	02/25/09	11/15/13	139 29	79 50	0 00	59 79	
46 0000	Sale	03/27/09	11/15/13	1,281 54	725 88	0 00	555 66	
30 0000	Sale	06/15/09	11/15/13	835 79	508 15	0 00	327 64	
	Security Subtotal			2,618.79	1,525.25	0.00	1,093.54	
Noncovered Long Term Capital Gains and Losses Subtotal				13,697.68	15,446.07	0.00	(1,748.39)	
NET LONG TERM CAPITAL GAINS AND LOSSES				78,204.97	70,414.04	0.00	7,790.93	
OTHER TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)								
SIBANYE GOLD LTD SHS ADR <i>CUSIP Number 825724206</i>								
	Cash/Lieu	03/06/13	03/06/13	2.89	N/A	0 00	N/A	
TNT EXPRESS NV SHS ADR <i>CUSIP Number 87262N109</i>								
	Cash/Lieu	05/23/13	05/23/13	6 59	N/A	0 00	N/A	
	Cash/Lieu	09/09/13	09/09/13	1 78	N/A	0 00	N/A	
	Security Subtotal			8.37		0.00		
Other Transactions Subtotal				11.26		0.00		

THE CHINESE AMERICAN MEDICAL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES				103,040.54				
TOTAL REPORTED SALES PROCEEDS				103,040.54				

N/A Results which cannot be calculated because of insufficient data in the Capital Gain (or Loss) Column and are not included in the Realized Capital Gain and Loss summary

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
781.62	0.00	9,539.32	(1,748.39)

THE CHINESE AMERICAN MEDICAL

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)								
	MALLINCKRODT PLC SHS	CUSIP Number	G5785G107					
6 0000	Sale	05/30/08	07/03/13	253.43	209.78	0.00	43.65	
1 0000	Sale	05/06/09	07/03/13	42.24	23.30	0.00	18.94	
	Cash/Lieu	05/06/09	07/15/13	20.70	14.57	0.00	6.13	
	Security Subtotal			316.37	247.65	0.00	68.72	
	Noncovered Long Term Capital Gains and Losses Subtotal			316.37	247.65	0.00	68.72	
	NET LONG TERM CAPITAL GAINS AND LOSSES			316.37	247.65	0.00	68.72	
	TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES			316.37				
	TOTAL REPORTED SALES PROCEEDS			316.37				

Corporate Tax Statement
Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 13-3418133
Account Number 654 133697 128
Customer Service: 866-324-6088

CHINESE AMER MED SOCIETY
CAMS/CAIPA COMMUNITY SERV FD
41 ELIZABETH ST
STE 600
NEW YORK NY 10013-4637

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALERIAN MLP ETF		CUSIP: 00162Q866	Symbol (Box 1d): AMLP					
	24 000	10/24/12	02/01/13	\$414.47	\$395.88	\$0.00	\$18.59	\$0.00
	493 000	10/24/12	05/29/13	\$8,741.81	\$7,873.11	\$0.00	\$868.70	\$0.00
	218 000	10/24/12	06/20/13	\$3,759.12	\$3,481.42	\$0.00	\$277.70	\$0.00
	273 000	11/29/12	06/20/13	\$4,707.53	\$4,311.36	\$0.00	\$396.17	\$0.00
Security Subtotal	1,008,000			\$17,622.93	\$16,061.77	\$0.00	\$1,561.16	\$0.00
ALLIANCE BERNSTEIN GL HIGH CEF		CUSIP: 01879R106	Symbol (Box 1d): AWF					
	304 000	03/21/13	06/05/13	\$4,685.16	\$4,996.73	\$0.00	(\$311.57)	\$0.00
ANNALY CAPITAL MINGMT INC		CUSIP: 035710409	Symbol (Box 1d): NLY					
	433 000	08/06/13	08/22/13	\$4,780.23	\$4,991.10	\$0.00	(\$210.87)	\$0.00
DOUBLELINE TOTAL RETURN I		CUSIP: 258620103	Symbol (Box 1d): DBLTX					
	18,178	07/31/12	05/29/13	\$205.96	\$204.68	\$0.00	\$1.28	\$0.00
	18,561	08/31/12	05/29/13	\$210.30	\$210.48	\$0.00	(\$0.18)	\$0.00
	17,093	09/28/12	05/29/13	\$193.66	\$194.86	\$0.00	(\$1.20)	\$0.00
	6,713	10/31/12	05/29/13	\$76.06	\$76.33	\$0.00	(\$0.27)	\$0.00
	4,829	11/30/12	05/29/13	\$54.71	\$54.86	\$0.00	(\$0.15)	\$0.00
	5,402	12/31/12	05/29/13	\$61.20	\$61.21	\$0.00	(\$0.01)	\$0.00
	4,437	01/31/13	05/29/13	\$50.27	\$50.32	\$0.00	(\$0.05)	\$0.00
	2,839	02/28/13	05/29/13	\$32.17	\$32.22	\$0.00	(\$0.05)	\$0.00
	2,940	03/28/13	05/29/13	\$33.31	\$33.34	\$0.00	(\$0.03)	\$0.00
	2,855	04/30/13	05/29/13	\$32.35	\$32.58	\$0.00	(\$0.23)	\$0.00
Security Subtotal	83,847			\$949.99	\$950.88	\$0.00	(\$0.89)	\$0.00

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

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41 ELIZABETH ST
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Identification Number 26-4310632
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Account Number 654 133697 128
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
FIDELITY ADV REAL ESTATE I								
		CUSIP: 315918292	Symbol (Box 1d): FHEIX					
	1,049,882	10/24/12	02/01/13	\$21,732.56	\$20,546.19	\$0.00	\$1,186.37	\$0.00
	55,401	11/29/12	02/01/13	\$1,146.80	\$1,074.78	\$0.00	\$72.02	\$0.00
	212,108	11/29/12	06/20/13	\$4,203.98	\$4,114.90	\$0.00	\$89.08	\$0.00
	594,708	11/29/12	10/01/13	\$11,923.89	\$11,537.34	\$0.00	\$386.55	\$0.00
	16,860	12/14/12	10/01/13	\$338.04	\$325.06	\$0.00	\$12.98	\$0.00
	12,100	12/14/12	10/01/13	\$242.60	\$233.28	\$0.00	\$9.32	\$0.00
	1,080	03/01/13	10/01/13	\$21.65	\$22.57	\$0.00	(\$0.92)	\$0.00
	2,562	06/07/13	10/01/13	\$51.37	\$54.40	\$0.00	(\$3.03)	\$0.00
	12,299	09/06/13	10/01/13	\$246.61	\$237.12	\$0.00	\$9.49	\$0.00
	2,272	09/06/13	10/01/13	\$45.65	\$43.91	\$0.00	\$1.74	\$0.00
Security Subtotal	1,959,277			\$39,953.15	\$38,189.55	\$0.00	\$1,763.60	\$0.00
FIRST TRUST DJ INTERNET IDX								
		CUSIP: 33733E302	Symbol (Box 1d): FDN					
	433,000	06/20/13	07/02/13	\$19,832.70	\$19,615.42	\$0.00	\$217.28	\$0.00
	214,000	10/01/13	11/18/13	\$11,868.91	\$11,671.56	\$0.00	\$197.35	\$0.00
Security Subtotal	647,000			\$31,701.61	\$31,286.98	\$0.00	\$414.63	\$0.00
GUGG RUSSELL TOP 50 MEG CP ETF								
		CUSIP: 78355W205	Symbol (Box 1d): XLG					
	29,000	11/06/12	02/01/13	\$3,137.72	\$3,052.95	\$0.00	\$84.77	\$0.00
ISHARES BARCLAYS20+YR TSY BD								
		CUSIP: 464287432	Symbol (Box 1d): TLT					
	111,000	03/21/13	05/14/13	\$12,956.73	\$12,996.99	\$0.00	(\$40.26)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorNew York, NY 10004
Identification Number 26-4310632CHINESE AMER MED SOCIETY
CAMS/CAIPA COMMUNITY SERV FD
41 ELIZABETH ST
STE 600
NEW YORK NY 10013-4637Taxpayer ID Number 13-3418133
Account Number 654 133697 128

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ISHARES DJ US INDL SCTR	CUSIP: 464287754	Symbol (Box 1d): IYJ						
	28 000	11/06/12	03/21/13	\$2,271.86	\$2,030.00	\$0.00	\$241.86	\$0.00
	14 000	11/06/12	06/12/13	\$1,167.03	\$1,015.00	\$0.00	\$152.03	\$0.00
	12 000	02/01/13	06/12/13	\$1,000.31	\$941.88	\$0.00	\$58.43	\$0.00
	82 000	05/14/13	06/12/13	\$6,835.43	\$6,951.62	\$0.00	(\$116.19)	\$0.00
Security Subtotal	136 000			\$11,274.63	\$10,938.50	\$0.00	\$336.13	\$0.00
ISHARES DJ US TELECOMM SCTR	CUSIP: 464287713	Symbol (Box 1d): IYZ						
	329 000	03/21/13	05/28/13	\$8,837.44	\$7,973.35	\$0.00	\$864.09	\$0.00
ISHARES FTSE/CHINA 25 INDEX FD	CUSIP: 464287184	Symbol (Box 1d): FXI						
	125 000	11/29/12	02/01/13	\$5,234.19	\$4,614.40	\$0.00	\$619.79	\$0.00
	153 000	11/29/12	05/28/13	\$5,690.21	\$5,648.03	\$0.00	\$42.18	\$0.00
	27 000	03/21/13	05/28/13	\$1,004.16	\$994.68	\$0.00	\$9.48	\$0.00
Security Subtotal	305 000			\$11,928.56	\$11,257.11	\$0.00	\$671.45	\$0.00
ISHARES MSCI BRAZIL CAPPED ETF	CUSIP: 464286400	Symbol (Box 1d): EWZ						
	634 000	07/09/13	07/16/13	\$27,333.10	\$26,726.33	\$0.00	\$606.77	\$0.00
	498 000	07/09/13	09/25/13	\$23,969.76	\$20,993.24	\$0.00	\$2,976.52	\$0.00
	331 000	07/23/13	09/25/13	\$15,931.71	\$14,950.05	\$0.00	\$981.66	\$0.00
	554 000	07/23/13	11/20/13	\$26,475.19	\$25,022.14	\$0.00	\$1,453.05	\$0.00
Security Subtotal	2,017 000			\$93,709.76	\$87,691.76	\$0.00	\$6,018.00	\$0.00
ISHARES MSCI EMERGING MKTS FD	CUSIP: 464287234	Symbol (Box 1d): EEM						
	98 000	10/24/12	05/29/13	\$4,118.87	\$4,036.18	\$0.00	\$82.69	\$0.00
	92 000	02/01/13	05/29/13	\$3,866.69	\$4,101.36	\$0.00	(\$234.67)	\$0.00
Security Subtotal	190 000			\$7,985.56	\$8,137.54	\$0.00	(\$151.98)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

CHINESE AMER MED SOCIETY
CAM/CAIPA COMMUNITY SERV FD
41 ELIZABETH ST
STE 600
NEW YORK NY 10013-4637

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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Identification Number 26-4310632
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ISHARES NASDAQ BIOTECH ETF								
		CUSIP: 464287556	Symbol (Box 1d): IBB					
	84 000	05/29/13	07/16/13	\$15,998.55	\$15,231.72	\$0.00	\$766.83	\$0.00
	93 000	06/20/13	07/16/13	\$17,712.67	\$15,703.98	\$0.00	\$2,008.69	\$0.00
	56 000	06/20/13	09/25/13	\$11,609.92	\$9,456.16	\$0.00	\$2,153.76	\$0.00
	22 000	08/22/13	09/25/13	\$4,561.04	\$4,270.42	\$0.00	\$290.62	\$0.00
	39,000	08/22/13	11/18/13	\$8,154.02	\$7,570.29	\$0.00	\$583.73	\$0.00
Security Subtotal	294,000			\$58,036.20	\$52,232.57	\$0.00	\$5,803.63	\$0.00
ISHARES RUSSELL 2000 GRWTH ETF								
		CUSIP: 464287648	Symbol (Box 1d): IWO					
	144 000	02/01/13	03/21/13	\$15,399.54	\$14,797.76	\$0.00	\$601.78	\$0.00
	305,000	10/01/13	10/25/13	\$29,555.83	\$28,790.63	\$0.00	\$765.20	\$0.00
Security Subtotal	449,000			\$54,955.37	\$53,588.39	\$0.00	\$1,366.98	\$0.00
ISHARES S&P PREF STK INDX								
		CUSIP: 464288687	Symbol (Box 1d): PPF					
	172 000	03/21/13	05/14/13	\$7,024.32	\$6,961.72	\$0.00	\$62.60	\$0.00
JP MORGAN SMALL CAP VAL SEL								
		CUSIP: 4812C1793	Symbol (Box 1d): PSOPX					
	1,045 836	06/20/13	10/01/13	\$28,519.94	\$25,748.48	\$0.00	\$2,771.46	\$0.00
MARKET VECTORS GOLD MINERS								
		CUSIP: 57060U100	Symbol (Box 1d): GDV					
	167 000	03/21/13	05/14/13	\$4,803.63	\$6,412.78	\$0.00	(\$1,609.15)	\$0.00
MORGAN STANLEY CHINA A FD, INC								
		CUSIP: 617468103	Symbol (Box 1d): CAF					
	1,010 000	06/20/13	07/09/13	\$19,403.28	\$19,610.97	\$0.00	(\$207.69)	\$0.00
PIMCO BROAD US TIPS INDX FN								
		CUSIP: 72201R403	Symbol (Box 1d): TIPZ					
	166 000	11/29/12	01/25/13	\$10,077.13	\$10,267.10	\$0.00	(\$189.97)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorNew York, NY 10004
Identification Number 26-4310632Taxpayer ID Number 13-3418133
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
POWERSHARES SENIOR LOAN PORT	199 000	03/21/13	05/14/13	\$5,032.99	\$4,989.51	\$0.00	\$43.48	\$0.00
PROCTER & GAMBLE	84 000	04/25/13	05/28/13	\$6,803.88	\$6,441.88	\$0.00	\$362.00	\$0.00
SPDR BARCLAYS CAPITAL HIGH YIE	208 000	02/01/13	04/30/13	\$8,675.83	\$8,472.98	\$0.00	\$202.85	\$0.00
SPDR TRUST SERIES 1	28 000	11/06/12	02/01/13	\$4,234.06	\$4,013.80	\$0.00	\$220.26	\$0.00
	42 000	05/29/13	06/05/13	\$6,789.60	\$6,951.42	\$0.00	(\$161.82)	\$0.00
Security Subtotal	70 000			\$11,023.66	\$10,955.22	\$0.00	\$68.44	\$0.00
SUNAMERICA FOC ALP GRWOTH A	81 132	02/01/13	10/01/13	\$1,945.55	\$1,629.94	\$0.00	\$315.61	\$0.00
SUNAMERICA STRATEGIC BD A	23 211	07/31/12	05/29/13	\$83.10	\$82.40	\$0.00	\$0.70	\$0.00
	26 382	08/31/12	05/29/13	\$94.45	\$93.92	\$0.00	\$0.53	\$0.00
	21 028	09/28/12	05/29/13	\$75.28	\$75.49	\$0.00	(\$0.21)	\$0.00
	3,250 718	10/16/12	05/29/13	\$11,637.69	\$11,735.21	\$97.52	(\$97.52)	\$0.00
	44 283	10/16/12	05/29/13	\$158.42	\$159.75	\$1.33	(\$1.33)	\$0.00
	39 719	10/16/12	05/29/13	\$142.19	\$143.38	\$1.19	(\$1.19)	\$0.00
	239 707	10/24/12	05/29/13	\$858.13	\$862.94	\$4.81	(\$4.81)	\$0.00
	39 719	10/16/12	07/09/13	\$136.24	\$146.56	\$0.00	(\$10.32)	\$0.00
	44 283	10/18/12	07/09/13	\$151.89	\$159.42	\$0.00	(\$7.53)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

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New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 13-3418133
Account Number 654 133697 128
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SUNAMERICA STRATEGIC BD A	4,871,099	10/24/12	07/09/13	\$16,707.82	\$17,535.91	\$0.00	(\$828.09)	\$0.00
	28,844	10/31/12	07/09/13	\$98.93	\$103.84	\$0.00	(\$4.91)	\$0.00
	3,250,718	11/07/12	07/09/13	\$11,149.93	\$11,312.50	\$0.00	(\$162.57)	\$0.00
	239,707	11/15/12	07/09/13	\$822.19	\$831.80	\$0.00	(\$9.61)	\$0.00
	800,549	11/19/12	07/09/13	\$2,745.88	\$2,873.96	\$0.00	(\$128.08)	\$0.00
	53,983	11/30/12	07/09/13	\$185.16	\$194.88	\$0.00	(\$9.72)	\$0.00
	88,695	12/31/12	07/09/13	\$304.22	\$320.19	\$0.00	(\$15.97)	\$0.00
	53,383	01/31/13	07/09/13	\$183.10	\$192.18	\$0.00	(\$9.08)	\$0.00
	38,853	02/28/13	07/09/13	\$133.27	\$139.87	\$0.00	(\$6.60)	\$0.00
	40,858	03/28/13	07/09/13	\$140.14	\$147.09	\$0.00	(\$6.95)	\$0.00
	7,438,372	06/20/13	07/09/13	\$25,513.54	\$25,662.38	\$0.00	(\$148.84)	\$0.00
	23,151	06/28/13	07/09/13	\$79.58	\$79.64	\$0.00	(\$0.06)	\$0.00
Security Subtotal	20,657,262			\$71,401.15	\$72,853.31	\$104.85	(\$1,452.16)	\$0.00
THE TECHNOLOGY SEL SEC SPDR FD								
		CUSIP: 81369Y803	Symbol (Box 1d): XLK					
	57,000	01/25/13	02/01/13	\$1,694.00	\$1,673.52	\$0.00	\$20.48	\$0.00
	66,000	01/25/13	03/21/13	\$1,981.27	\$1,937.76	\$0.00	\$43.51	\$0.00
	220,000	01/25/13	06/20/13	\$6,851.23	\$6,459.20	\$0.00	\$392.03	\$0.00
	127,000	05/14/13	06/20/13	\$3,955.03	\$4,012.62	\$0.00	(\$57.59)	\$0.00
	132,000	05/29/13	06/20/13	\$4,110.74	\$4,202.87	\$0.00	(\$92.13)	\$0.00
	199,000	06/05/13	06/20/13	\$6,192.25	\$6,252.58	\$0.00	(\$60.33)	\$0.00
Security Subtotal	801,000			\$24,789.52	\$24,538.55	\$0.00	\$250.97	\$0.00
UTILITIES SEL SECT SPDR FUND								
		CUSIP: 81369Y886	Symbol (Box 1d): XLU					
	478,000	11/19/12	02/01/13	\$17,525.09	\$16,394.30	\$0.00	\$1,130.79	\$0.00
	275,000	05/14/13	06/05/13	\$10,260.07	\$10,971.13	\$0.00	(\$711.06)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorNew York, NY 10004
Identification Number 26-4310632Taxpayer ID Number 13-3418133
Account Number 654 133697 128

Customer Service: 866-324-6088

CHINESE AMER MED SOCIETY
CAMS/CAIPA COMMUNITY SERV FD
41 ELIZABETH ST
STE 600
NEW YORK NY 10013-4637

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
UTILITIES SEL SECT SPDR FUND	513.000	07/09/13	08/22/13	\$19,172.32	\$19,359.08	\$0.00	(\$186.76)	\$0.00
Security Subtotal	1,266.000			\$46,957.48	\$46,724.51	\$0.00	\$232.97	\$0.00
VANGUARD CONSUMER DSC ETF								
		CUSIP: 92204A108	Symbol (Box 1d): VCR					
	71.000	05/29/13	06/20/13	\$6,347.97	\$6,496.50	\$0.00	(\$148.53)	\$0.00
	115.000	06/05/13	06/20/13	\$10,281.93	\$10,260.29	\$0.00	\$21.64	\$0.00
Security Subtotal	186.000			\$16,629.90	\$16,756.79	\$0.00	(\$126.89)	\$0.00
VANGUARD FTSE EMERGING MARKETS								
		CUSIP: 922042858	Symbol (Box 1d): VWO					
	44.000	04/30/13	05/29/13	\$1,870.40	\$1,930.10	\$0.00	(\$59.70)	\$0.00
	153.000	04/30/13	06/05/13	\$6,274.77	\$6,711.50	\$0.00	(\$436.73)	\$0.00
Security Subtotal	197.000			\$8,145.17	\$8,641.60	\$0.00	(\$496.43)	\$0.00
VANGUARD HEALTH CARE ETF								
		CUSIP: 92204A504	Symbol (Box 1d): VHT					
	172.000	05/29/13	06/20/13	\$14,738.08	\$15,161.78	\$0.00	(\$423.70)	\$0.00
VANGUARD MATERIAL ETF								
		CUSIP: 92204A801	Symbol (Box 1d): VAW					
	141.000	05/14/13	06/20/13	\$12,494.14	\$12,864.08	\$0.00	(\$369.94)	\$0.00
VANGUARD MIDCAP GROWTH ETF								
		CUSIP: 922908538	Symbol (Box 1d): VOT					
	172.000	02/01/13	03/21/13	\$13,068.69	\$12,699.45	\$0.00	\$369.24	\$0.00
	245.000	05/29/13	07/02/13	\$19,242.33	\$19,528.95	\$0.00	(\$286.62)	\$0.00
	263.000	06/20/13	07/02/13	\$20,656.05	\$20,332.53	\$0.00	\$323.52	\$0.00
	449.000	11/04/13	11/20/13	\$38,932.15	\$39,341.25	\$0.00	(\$409.10)	\$0.00
Security Subtotal	1,129.000			\$91,899.22	\$91,902.18	\$0.00	(\$2.96)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

CHINESE AMER MED SOCIETY
CAMS/CAIPA COMMUNITY SERV FD
41 ELIZABETH ST
STE 600
NEW YORK NY 10013-4637

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 13-3418133
Account Number 654 133697 128
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VANGUARD SHORT TERM BND								
		CUSIP: 921937827		Symbol (Box 1d): BSV				
	62.000	08/06/13	10/01/13	\$4,977.90	\$4,975.50	\$0.00	\$2.40	\$0.00
	490.000	10/25/13	11/04/13	\$39,425.64	\$39,463.57	\$0.00	(\$37.93)	\$0.00
				\$44,403.54	\$44,439.07	\$0.00	(\$35.53)	\$0.00
Security Subtotal								
	552.000							
VANGUARD SM CAP GROWTH ETF								
		CUSIP: 922908595		Symbol (Box 1d): VBK				
	188.000	05/29/13	06/12/13	\$19,186.94	\$19,563.86	\$0.00	(\$376.92)	\$0.00
	66.000	06/05/13	06/12/13	\$6,735.84	\$6,718.80	\$0.00	\$17.04	\$0.00
				\$25,922.78	\$26,282.66	\$0.00	(\$359.88)	\$0.00
Security Subtotal								
	254.000							
WISDOMTREE TRUST JAPN HEDGE EQ								
		CUSIP: 97717W851		Symbol (Box 1d): DXJ				
	332.000	06/20/13	07/16/13	\$16,096.23	\$14,725.89	\$0.00	\$1,370.34	\$0.00
	110.000	06/20/13	08/06/13	\$5,174.69	\$4,879.06	\$0.00	\$295.63	\$0.00
				\$21,270.92	\$19,604.95	\$0.00	\$1,665.97	\$0.00
Security Subtotal								
	442.000							
Total Short Term Covered Securities								
				\$844,477.15	\$825,616.23	\$104.85	\$18,860.92	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 13-3418133
Account Number 654 133697 128
Customer Service: 866-324-6088

CHINESE AMER MED SOCIETY
CAMS/CAIPA COMMUNITY SERV FD
41 ELIZABETH ST
STE 600
NEW YORK NY 10013-4637

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
DOUBLELINE TOTAL RETURN I								
CUSIP: 258620103 Symbol (Box 1d): DBLTX								
	342 378	04/10/12	02/01/13	\$3,882.57	\$3,841.48	\$0.00	\$41.09	\$0.00
	19 121	06/04/12	05/29/13	\$216.64	\$213.96	\$0.00	\$2.68	\$0.00
	18,691	07/03/12	05/29/13	\$211.77	\$208.97	\$0.00	\$2.80	\$0.00
Security Subtotal	380,190			\$4,310.98	\$4,264.41	\$0.00	\$46.57	\$0.00
SUNAMERICA STRATEGIC BD A								
CUSIP: 866918675 Symbol (Box 1d): SDIAX								
	1,850 940	02/16/12	02/01/13	\$6,663.38	\$6,367.23	\$0.00	\$296.15	\$0.00
	6 084	03/01/12	02/01/13	\$21.90	\$21.11	\$0.00	\$0.79	\$0.00
	13 188	04/02/12	02/01/13	\$47.48	\$45.50	\$0.00	\$1.98	\$0.00
	2,103 774	04/10/12	02/01/13	\$7,573.59	\$7,258.02	\$0.00	\$315.57	\$0.00
	32 432	06/01/12	05/29/13	\$116.11	\$111.89	\$0.00	\$4.22	\$0.00
	28,231	07/02/12	05/29/13	\$101.07	\$97.96	\$0.00	\$3.11	\$0.00
Security Subtotal	4,034,649			\$14,523.53	\$13,901.71	\$0.00	\$621.82	\$0.00
Total Short Term Noncovered Securities				\$18,834.51	\$18,166.12	\$0.00	\$668.39	\$0.00
Total Short Term Covered and Noncovered Securities				\$863,311.66	\$843,782.35	\$104.85	\$19,529.31	\$0.00

Morgan Stanley

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Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorNew York, NY 10004
Identification Number 26-4310632CHINESE AMER MED SOCIETY
CAMS/CAIPA COMMUNITY SERV FD
41 ELIZABETH ST
STE 600
NEW YORK NY 10013-4637Taxpayer ID Number 13-3418133
Account Number 654 133697 128

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
DOUBLELINE TOTAL RETURN I								
		CUSIP: 258620103		Symbol (Box 1d): DBLTX				
	622 505	04/10/12	05/29/13	\$7,052.98	\$6,984.51	\$0.00	\$68.47	\$0.00
	18,024	05/02/12	05/29/13	\$204.21	\$201.87	\$0.00	\$2.34	\$0.00
Security Subtotal	640,529			\$7,257.19	\$7,186.38	\$0.00	\$70.81	\$0.00
SUNAMERICA STRATEGIC BD A								
		CUSIP: 866918675		Symbol (Box 1d): SDIAX				
	3,408 226	04/10/12	05/29/13	\$12,201.45	\$11,758.38	\$0.00	\$443.07	\$0.00
	21,359	05/01/12	05/29/13	\$76.47	\$74.33	\$0.00	\$2.14	\$0.00
Security Subtotal	3,429,585			\$12,277.92	\$11,832.71	\$0.00	\$445.21	\$0.00
Total Long Term Noncovered Securities				\$19,535.11	\$19,019.09	\$0.00	\$516.02	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$882,846.77	\$862,801.44	\$104.85	\$20,045.33	\$0.00
Form 1099-B Total Reportable Amounts								
Total Sales Price (Box 2a)				\$882,846.77				
Total Cost or Other Basis (Box 3)					\$825,616.23			
Total Wash Sale Loss Disallowed (Box 5)					\$104.85			
Total Fed Tax Withheld (Box 4)								\$0.00

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS

Corporate Tax Statement
Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part 1 with box A checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
IVY HIGH INC C	9,216 590	06/05/13	06/20/13	\$78,287.56	\$79,999.91	\$0.00	(\$1,712.35)	\$0.00
CUSIP: 466000643 Symbol (Box 1d): WRHIX								
JP MORGAN LARGE CAP GRW C	2 841	12/18/12	06/20/13	\$63.78	\$60.71	\$0.00	\$3.07	\$0.00
	0.537	12/31/12	06/20/13	\$12.06	\$11.31	\$0.00	\$0.75	\$0.00
Security Subtotal	3.378			\$75.84	\$72.02	\$0.00	\$3.82	\$0.00
CUSIP: 4812C0522 Symbol (Box 1d): OLGXC								
JP MORGAN MTGE BACKED SEC C	1,286 449	11/29/12	05/08/13	\$14,884.20	\$15,000.00	\$0.00	(\$115.80)	\$0.00
	2 321	11/30/12	05/08/13	\$26.85	\$27.02	\$0.00	(\$0.17)	\$0.00
	3 000	12/31/12	05/08/13	\$34.71	\$34.80	\$0.00	(\$0.09)	\$0.00
	2 011	01/31/13	05/08/13	\$23.27	\$23.25	\$0.00	\$0.02	\$0.00
	2 124	02/28/13	05/08/13	\$24.57	\$24.58	\$0.00	(\$0.01)	\$0.00
	2 242	03/28/13	05/08/13	\$25.94	\$25.92	\$0.00	\$0.02	\$0.00
	1,906	04/30/13	05/08/13	\$22.07	\$22.07	\$0.00	\$0.00	\$0.00
Security Subtotal	1,300.053			\$15,041.61	\$15,157.64	\$0.00	(\$116.03)	\$0.00
CUSIP: 4812C1785 Symbol (Box 1d): OSVCX								
JP MORGAN SMALL CAP VAL C	718 319	05/08/13	06/20/13	\$14,725.54	\$15,041.61	\$0.00	(\$316.07)	\$0.00
CUSIP: 72200Q596 Symbol (Box 1d): PSOCX								
PIMCO STOCKPLUS ABSOLUTE RET C	4,747 475	06/03/13	06/20/13	\$44,368.00	\$46,999.96	\$0.00	(\$2,631.96)	\$0.00
CUSIP: 72369B307 Symbol (Box 1d): PYICX								
PIONEER HIGH YIELD C	17 511	07/02/12	06/03/13	\$194.37	\$175.29	\$0.00	\$19.08	\$0.00
	17 401	07/31/12	06/03/13	\$193.15	\$175.92	\$0.00	\$17.23	\$0.00
	14 559	08/31/12	06/03/13	\$161.60	\$149.96	\$0.00	\$11.64	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

CHINESE AMER MED SOCIETY
CAMS/CAIPA COMMUNITY SERV FD
41 ELIZABETH ST
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NEW YORK NY 10013-4637

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE/LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PIONEER HIGH YIELD C	13 775	09/28/12	06/03/13	\$152 90	\$143 40	\$0 00	\$9 50	\$0 00
	13 726	10/31/12	06/03/13	\$152 36	\$143 16	\$0 00	\$9 20	\$0 00
	14 211	11/30/12	06/03/13	\$157 74	\$148 50	\$0 00	\$9 24	\$0 00
	34 302	12/31/12	06/03/13	\$380 75	\$360 86	\$0 00	\$19 89	\$0 00
	13 869	01/31/13	06/03/13	\$153 95	\$149 78	\$0 00	\$4 17	\$0 00
	13 836	02/28/13	06/03/13	\$153 58	\$149 01	\$0 00	\$4 57	\$0 00
	13 259	03/28/13	06/03/13	\$147 17	\$145 32	\$0 00	\$1 85	\$0 00
	13 110	04/30/13	06/03/13	\$145 52	\$145 26	\$0 00	\$0 26	\$0 00
	12 672	05/31/13	06/03/13	\$140 78	\$141 09	\$0 00	(\$0 31)	\$0 00
Security Subtotal	192 236			\$2,133.87	\$2,027.55	\$0.00	\$106.32	\$0.00
SUNAMERICA STRATEGIC BD C								
	1,114 206	09/06/12	05/30/13	\$4,000 00	\$3,988 85	\$0 00	\$11 15	\$0 00
	16,598 113	09/06/12	06/05/13	\$59,089 70	\$59,421 51	\$0 00	(\$331 81)	\$0 00
	109 891	09/06/12	06/05/13	\$390 79	\$392 98	\$2 19	(\$2 19)	\$0 00
	5,000 000	09/25/12	06/05/13	\$17,800 00	\$18,000 00	\$0 00	(\$200 00)	\$0 00
	54 067	09/28/12	06/05/13	\$192 48	\$194 64	\$0 00	(\$2 16)	\$0 00
	709 839	10/16/12	06/05/13	\$2,527 03	\$2,576 71	\$0 00	(\$49 68)	\$0 00
	109 891	09/06/12	06/20/13	\$381 47	\$395 60	\$0 00	(\$14 13)	\$0 00
	12,463 930	10/16/12	06/20/13	\$43,249 71	\$45,243 94	\$0 00	(\$1,994 23)	\$0 00
	92 814	10/31/12	06/20/13	\$322 06	\$335 06	\$0 00	(\$13 00)	\$0 00
	2,762 431	11/29/12	06/20/13	\$9,585 61	\$9,999 97	\$0 00	(\$414 36)	\$0 00
	100 997	11/30/12	06/20/13	\$350 46	\$365 61	\$0 00	(\$15 15)	\$0 00
	184 758	12/31/12	06/20/13	\$641 11	\$670 67	\$0 00	(\$29 56)	\$0 00
	101 660	01/31/13	06/20/13	\$352 76	\$368 01	\$0 00	(\$15 25)	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

CHINESE AMER MED SOCIETY
CAMS/CAIPA COMMUNITY SERV. FD
41 ELIZABETH ST
STE 600
NEW YORK NY 10013-4637

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 13-3418133
Account Number 654 133735 128
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SUNAMERICA STRATEGIC BD C	91 734	02/28/13	06/20/13	\$318.32	\$331.16	\$0.00	(\$12.84)	\$0.00
	101 582	03/28/13	06/20/13	\$352.49	\$366.71	\$0.00	(\$14.22)	\$0.00
	98.962	04/30/13	06/20/13	\$343.40	\$363.19	\$0.00	(\$19.79)	\$0.00
Security Subtotal	39.694.875			\$139,897.39	\$143,014.61	\$2.19	(\$3,117.22)	\$0.00
WELLS FARGO HIGH YLD BD C		CUSIP: 94985D186	Symbol (Box 1d): EKHGX					
	87 699	07/02/12	06/20/13	\$279.76	\$273.62	\$0.00	\$6.14	\$0.00
	89 706	07/31/12	06/20/13	\$286.16	\$283.47	\$0.00	\$2.69	\$0.00
	103 934	08/31/12	06/20/13	\$331.55	\$330.51	\$0.00	\$1.04	\$0.00
	73 231	09/28/12	06/20/13	\$233.61	\$234.34	\$0.00	(\$0.73)	\$0.00
	70 707	10/31/12	06/20/13	\$225.55	\$226.97	\$0.00	(\$1.42)	\$0.00
	81 457	11/30/12	06/20/13	\$259.85	\$262.29	\$0.00	(\$2.44)	\$0.00
	63 636	12/31/12	06/20/13	\$203.00	\$208.09	\$0.00	(\$5.09)	\$0.00
	62 231	01/31/13	06/20/13	\$198.52	\$204.74	\$0.00	(\$6.22)	\$0.00
	71 866	02/28/13	06/20/13	\$229.25	\$235.72	\$0.00	(\$6.47)	\$0.00
	67 061	03/28/13	06/20/13	\$213.92	\$220.63	\$0.00	(\$6.71)	\$0.00
	62 657	04/30/13	06/20/13	\$199.88	\$209.90	\$0.00	(\$10.02)	\$0.00
	72.526	05/31/13	06/20/13	\$231.56	\$240.06	\$0.00	(\$8.50)	\$0.00
Security Subtotal	906.711			\$2,892.61	\$2,930.34	\$0.00	(\$37.73)	\$0.00
Total Short Term Covered Securities				\$297,422.42	\$305,243.64	\$2.19	(\$7,821.22)	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorNew York, NY 10004
Identification Number 26-4310632CHINESE AMER MED SOCIETY
CAMS/CAIPA COMMUNITY SERV FD
41 ELIZABETH ST
STE 600
NEW YORK NY 10013-4637Taxpayer ID Number 13-3418133
Account Number 654 133735 128

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
JP MORGAN LARGE CAP GRW C	CUSIP: 4812C0522	Symbol (Box 1d): OLG CX						
	2,099.793	05/08/12	06/20/13	\$47,140.35	\$44,872.58	\$0.00	\$2,267.77	\$0.00
PIONEER HIGH YIELD C	CUSIP: 72369B307	Symbol (Box 1d): PYICX						
	41.972	01/03/12	06/03/13	\$465.89	\$404.19	\$0.00	\$61.70	\$0.00
	18.166	02/01/12	06/03/13	\$201.64	\$183.48	\$0.00	\$18.16	\$0.00
	18.304	03/01/12	06/03/13	\$203.17	\$188.35	\$0.00	\$14.82	\$0.00
	17.267	04/02/12	06/03/13	\$191.66	\$177.85	\$0.00	\$13.81	\$0.00
	16.825	05/01/12	06/03/13	\$186.76	\$172.62	\$0.00	\$14.14	\$0.00
	17.459	06/01/12	06/03/13	\$193.79	\$173.19	\$0.00	\$20.60	\$0.00
Security Subtotal	129.993			\$1,442.91	\$1,299.68	\$0.00	\$143.23	\$0.00
WELLS FARGO HIGH YLD BD C	CUSIP: 94985D186	Symbol (Box 1d): EKH CX						
	11,913.184	06/05/12	06/20/13	\$38,002.94	\$36,335.21	\$0.00	\$1,667.73	\$0.00
	6,557.377	06/05/12	06/20/13	\$20,917.97	\$20,000.00	\$0.00	\$917.97	\$0.00
	2,287.582	06/06/12	06/20/13	\$7,297.36	\$7,000.00	\$0.00	\$297.36	\$0.00
Security Subtotal	20,758.143			\$66,218.27	\$63,335.21	\$0.00	\$2,883.06	\$0.00
Total Long Term Covered Securities				\$114,801.53	\$109,507.47	\$0.00	\$5,294.06	\$0.00

Morgan Stanley

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Corporate Tax Statement Tax Year 2013

CHINESE AMER MED SOCIETY
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1 New York Plaza
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New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 13-3418133
Account Number 654 133735 128
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PIONEER HIGH YIELD C								
		CUSIP: 72369B307	Symbol (Box 1d): PYICX					
	3,820 298	04/19/11	06/03/13	\$42,405 27	\$41,221 02	\$0 00	\$1,184 25	\$0 00
	2 231	05/02/11	06/03/13	\$24 76	\$24 54	\$0 00	\$0 22	\$0 00
	16 936	06/01/11	06/03/13	\$187 99	\$184 09	\$0 00	\$3 90	\$0 00
	17 326	07/01/11	06/03/13	\$192 32	\$184 70	\$0 00	\$7 62	\$0 00
	17 699	08/01/11	06/03/13	\$196 46	\$185 84	\$0 00	\$10 62	\$0 00
	18 970	09/01/11	06/03/13	\$210 57	\$186 48	\$0 00	\$24 09	\$0 00
	20 829	10/03/11	06/03/13	\$231 20	\$190 79	\$0 00	\$40 41	\$0 00

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

CHINESE AMER MED SOCIETY
CAMS/CAIPA COMMUNITY SERV FD
41 ELIZABETH ST
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked) (Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PIONEER HIGH YIELD C	19.046	11/01/11	06/03/13	\$211.41	\$187.41	\$0.00	\$24.00	\$0.00
	20.165	12/01/11	06/03/13	\$223.83	\$192.78	\$0.00	\$31.05	\$0.00
Security Subtotal	3,953.500			\$43,883.81	\$42,557.65	\$0.00	\$1,326.16	\$0.00
Total Long Term Noncovered Securities				\$43,883.81	\$42,557.65	\$0.00	\$1,326.16	\$0.00
Total Long Term Covered and Noncovered Securities				\$158,685.34	\$152,065.12	\$0.00	\$6,620.22	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$456,107.76	\$457,308.76	\$2.19	(\$1,201.00)	\$0.00
Form 1099-B Total Reportable Amounts								
Total Sales Price (Box 2a)				\$456,107.76				
Total Cost or Other Basis (Box 3)					\$414,751.11			
Total Wash Sale Loss Disallowed (Box 5)						\$2.19		
Total Fed Tax Withheld (Box 4)								\$0.00

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor

CHINESE AMER MED SOCIETY
C/O RAYMOND L YUNG
41 ELIZABETH ST
STE 600
NEW YORK NY 10013-4637

New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number 13-3418133
Account Number 654 133748 128

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SUNAMERICA STRATEGIC BD A	58.423	06/01/12	07/09/13	\$200.39	\$201.56	\$0.00	(\$1.17)	\$0.00
	54.378	07/02/12	07/09/13	\$186.52	\$188.69	\$0.00	(\$2.17)	\$0.00
Security Subtotal	5,574.870			\$19,225.23	\$19,235.97	\$0.00	(\$10.74)	\$0.00
Total Long Term Noncovered Securities				\$50,975.26	\$48,568.18	\$0.00	\$2,407.08	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$367,743.48	\$362,098.50	\$81.59	\$5,644.98	\$0.00

Form 1099-B Total Reportable Amounts

Total Sales Price (Box 2a)	\$367,743.48
Total Cost or Other Basis (Box 3)	\$289,293.43
Total Wash Sale Loss Disallowed (Box 5)	\$81.59
Total Fed Tax Withheld (Box 4)	\$0.00

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

CHINESE AMER MED SOCIETY
C/O RAYMOND L YUNG
41 ELIZABETH ST
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NEW YORK NY 10013-4637

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 13-3418133
Account Number 654 133748 128
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALERIAN MLP ETF	458.182	04/03/12	05/14/13	\$8,143.12	\$7,174.03	\$0.00	\$969.09	\$0.00
ANNUALY CAPITAL MNGMT INC	227.848	01/18/12	02/01/13	\$3,370.61	\$3,713.90	\$0.00	(\$343.29)	\$0.00
	10.076	01/27/12	02/01/13	\$149.06	\$171.00	\$0.00	(\$21.94)	\$0.00
Security Subtotal	237.924			\$3,519.67	\$3,884.90	\$0.00	(\$365.23)	\$0.00
DOUBLELINE TOTAL RETURN I	408.140	03/26/12	05/29/13	\$4,624.22	\$4,579.33	\$0.00	\$44.89	\$0.00
	9.054	05/02/12	05/29/13	\$102.58	\$101.41	\$0.00	\$1.17	\$0.00
Security Subtotal	417.194			\$4,726.80	\$4,680.74	\$0.00	\$46.06	\$0.00
FIDELITY ADV REAL ESTATE I	330.157	08/03/11	02/01/13	\$6,834.25	\$5,772.47	\$0.00	\$1,061.78	\$0.00
	430.181	04/10/12	06/20/13	\$8,526.19	\$7,820.07	\$0.00	\$706.12	\$0.00
Security Subtotal	760.338			\$15,360.44	\$13,592.54	\$0.00	\$1,767.90	\$0.00
SUNAMERICA STRATEGIC BD A	205.040	03/19/12	06/20/13	\$707.39	\$707.39	\$0.00	\$0.00	\$0.00
	34.777	04/02/12	06/20/13	\$119.98	\$119.98	\$0.00	\$0.00	\$0.00
	4,931.351	04/03/12	06/20/13	\$17,013.16	\$17,013.16	\$0.00	\$0.00	\$0.00
	238.188	04/03/12	07/09/13	\$816.98	\$821.75	\$0.00	(\$4.77)	\$0.00
	52.713	05/01/12	07/09/13	\$180.81	\$183.44	\$0.00	(\$2.63)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2013

CHINESE AMER MED SOCIETY
C/O RAYMOND L YUNG
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NEW YORK NY 10013-4637

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Identification Number 26-4310632
Taxpayer ID Number 13-3418133
Account Number 654 133748 128
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALERIAN MLP ETF								
	119 000	04/03/12	02/01/13	\$2,055.35	\$1,925.73	\$0.00	\$129.62	\$0.00
	158 000	04/03/12	03/21/13	\$2,749.13	\$2,515.61	\$0.00	\$233.52	\$0.00
	20,818	05/17/12	05/14/13	\$369.99	\$313.45	\$0.00	\$56.54	\$0.00
Security Subtotal	297,818			\$5,174.47	\$4,754.79	\$0.00	\$419.68	\$0.00
ANNALY CAPITAL MNGMT INC								
	20 594	04/27/12	02/01/13	\$304.65	\$335.54	\$0.00	(\$30.89)	\$0.00
DOUBLELINE TOTAL RETURN I								
	953 597	03/26/12	02/01/13	\$10,813.79	\$10,699.36	\$0.00	\$114.43	\$0.00
	9 606	06/04/12	05/29/13	\$108.84	\$107.49	\$0.00	\$1.35	\$0.00
	9,390	07/03/12	05/29/13	\$106.39	\$104.98	\$0.00	\$1.41	\$0.00
Security Subtotal	972,593			\$11,029.02	\$10,911.83	\$0.00	\$117.19	\$0.00
FIDELITY ADV REAL ESTATE I								
	0 814	03/06/12	02/01/13	\$16.85	\$14.79	\$0.00	\$2.06	\$0.00
	416,988	04/10/12	02/01/13	\$8,631.66	\$7,580.24	\$0.00	\$1,051.42	\$0.00
Security Subtotal	417,802			\$8,648.51	\$7,595.03	\$0.00	\$1,053.48	\$0.00
PHILIP MORRIS INTL INC								
	1 000	07/20/12	02/01/13	\$87.91	\$89.02	\$0.00	(\$1.11)	\$0.00
SUNAMERICA STRATEGIC BDA								
	159 617	03/19/12	02/01/13	\$574.62	\$550.68	\$0.00	\$23.94	\$0.00
Total Short Term Noncovered Securities				\$25,819.18	\$24,236.89	\$0.00	\$1,582.29	\$0.00
Total Short Term Covered and Noncovered Securities				\$316,768.22	\$313,530.32	\$81.59	\$3,237.90	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VANGUARD MIDCAP GROWTH ETF								
				CUSIP: 922908538	Symbol (Box 1d): VOT			
	111 000	02/01/13	03/12/13	\$8,433.87	\$8,189.80	\$0.00	\$244.07	\$0.00
	70 000	05/29/13	07/02/13	\$5,497.81	\$5,581.80	\$0.00	(\$83.99)	\$0.00
	12 000	06/14/13	07/02/13	\$942.48	\$946.08	\$0.00	(\$3.60)	\$0.00
	22 000	06/20/13	07/02/13	\$1,727.88	\$1,700.82	\$0.00	\$27.06	\$0.00
	92 000	11/04/13	11/20/13	\$7,972.19	\$8,061.01	\$0.00	(\$88.82)	\$0.00
	307 000			\$24,579.23	\$24,479.51	\$0.00	\$99.72	\$0.00
				CUSIP: 921937827	Symbol (Box 1d): BSV			
VANGUARD SHORT TERM BND								
	124 000	08/06/13	10/01/13	\$9,956.26	\$9,951.77	\$0.00	\$4.49	\$0.00
	101 000	10/25/13	11/04/13	\$8,126.50	\$8,134.33	\$0.00	(\$7.83)	\$0.00
	225 000			\$18,082.76	\$18,086.10	\$0.00	(\$3.34)	\$0.00
				CUSIP: 922908595	Symbol (Box 1d): VBK			
VANGUARD SM CAP GROWTH ETF								
	54 000	05/29/13	06/20/13	\$5,491.16	\$5,625.18	\$0.00	(\$134.02)	\$0.00
	25 000	06/05/13	06/20/13	\$2,542.21	\$2,542.50	\$0.00	(\$0.29)	\$0.00
	79 000			\$8,033.37	\$8,167.68	\$0.00	(\$134.31)	\$0.00
				CUSIP: 97717W851	Symbol (Box 1d): DXJ			
WISDOMTREE TRUST JAPN HEDGE EQ								
	43 000	06/04/13	06/20/13	\$1,907.01	\$1,988.60	\$81.59	(\$81.59)	\$0.00
	24 000	06/14/13	06/20/13	\$1,064.38	\$1,029.55	\$0.00	\$34.83	\$0.00
	43 000	06/04/13	07/16/13	\$2,085.03	\$1,926.21	\$0.00	\$158.82	\$0.00
	49 000	06/14/13	07/16/13	\$2,375.97	\$2,102.00	\$0.00	\$273.97	\$0.00
	159 000			\$7,432.39	\$7,046.36	\$81.59	\$386.03	\$0.00
Security Subtotal				\$290,949.04	\$289,293.43	\$81.59	\$1,655.61	\$0.00
Total Short Term Covered Securities								

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor

CHINESE AMER MED SOCIETY
C/O RAYMOND L YUNG
41 ELIZABETH ST
STE 600
NEW YORK NY 10013-4637

New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 13-3418133
Account Number 654 133748 128

Customer Service: 866-324-6088

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SUNAMERICA STRATEGIC BD A	28.669	05/31/13	07/09/13	\$98.33	\$102.35	\$0.00	(\$4.02)	\$0.00
	21.840	06/28/13	07/09/13	\$74.95	\$75.13	\$0.00	(\$0.18)	\$0.00
Security Subtotal	2,987.033			\$10,245.52	\$10,720.58	\$0.00	(\$475.06)	\$0.00
THE TECHNOLOGY SEL SEC SPDR FD								
		CUSIP: 81369Y803		Symbol (Box 1d): XLK				
	37.000	01/25/13	02/01/13	\$1,099.61	\$1,086.32	\$0.00	\$13.29	\$0.00
	186.000	01/25/13	03/21/13	\$5,588.04	\$5,460.96	\$0.00	\$127.08	\$0.00
	88.000	05/29/13	06/20/13	\$2,740.49	\$2,800.16	\$0.00	(\$59.67)	\$0.00
Security Subtotal	311.000			\$9,428.14	\$9,347.44	\$0.00	\$80.70	\$0.00
UTILITIES SEL SECT SPDR FUND								
		CUSIP: 81369Y886		Symbol (Box 1d): XLU				
	105.000	07/09/13	08/22/13	\$3,924.16	\$3,962.39	\$0.00	(\$38.23)	\$0.00
VANGUARD FTSE EMERGING MARKETS								
		CUSIP: 922042858		Symbol (Box 1d): VWO				
	98.000	04/30/13	05/29/13	\$4,163.94	\$4,298.87	\$0.00	(\$134.93)	\$0.00
	36.000	04/30/13	05/30/13	\$1,531.05	\$1,579.18	\$0.00	(\$48.13)	\$0.00
	63.000	04/30/13	06/05/13	\$2,581.69	\$2,763.56	\$0.00	(\$181.87)	\$0.00
Security Subtotal	197.000			\$8,276.68	\$8,641.61	\$0.00	(\$364.93)	\$0.00
VANGUARD HEALTH CARE ETF								
		CUSIP: 92204A504		Symbol (Box 1d): VHT				
	63.000	05/29/13	06/20/13	\$5,398.24	\$5,554.71	\$0.00	(\$156.47)	\$0.00
VANGUARD MATERIAL ETF								
		CUSIP: 92204A801		Symbol (Box 1d): VAW				
	139.000	05/14/13	05/29/13	\$12,719.66	\$12,681.61	\$0.00	\$38.05	\$0.00
	16.000	05/14/13	05/30/13	\$1,470.37	\$1,459.75	\$0.00	\$10.62	\$0.00
	45.000	05/14/13	06/20/13	\$3,987.49	\$4,105.56	\$0.00	(\$118.07)	\$0.00
Security Subtotal	200.000			\$18,177.52	\$18,246.92	\$0.00	(\$69.40)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

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PROCTER & GAMBLE		CUSIP: 742718109	Symbol (Box 1d): PG					
	11 000	04/25/13	05/14/13	\$872.94	\$643.58	\$0.00	\$29.36	\$0.00
SPDR BARCLAYS CAPITAL HIGH YIE		CUSIP: 78464A417	Symbol (Box 1d): JNK					
	135 000	02/01/13	04/30/13	\$5,630.95	\$5,497.89	\$0.00	\$133.06	\$0.00
	73 000	03/21/13	04/30/13	\$3,044.88	\$2,996.65	\$0.00	\$48.23	\$0.00
Security Subtotal	208 000			\$8,675.83	\$8,494.54	\$0.00	\$181.29	\$0.00
SPDR TRUST SERIES 1		CUSIP: 78462F103	Symbol (Box 1d): SPY					
	29 000	10/23/12	02/01/13	\$4,383.25	\$4,090.61	\$0.00	\$292.64	\$0.00
SUNAMERICA FOC ALP GRWOTH A		CUSIP: 86704E800	Symbol (Box 1d): FOCAX					
	57 287	02/01/13	06/20/13	\$1,222.51	\$1,150.90	\$0.00	\$71.61	\$0.00
SUNAMERICA STRATEGIC BD A		CUSIP: 866918675	Symbol (Box 1d): SDIAX					
	52 569	07/31/12	07/09/13	\$180.31	\$186.62	\$0.00	(\$6.31)	\$0.00
	59 770	08/31/12	07/09/13	\$205.01	\$212.78	\$0.00	(\$7.77)	\$0.00
	47 604	09/28/12	07/09/13	\$163.28	\$170.90	\$0.00	(\$7.62)	\$0.00
	54 139	10/31/12	07/09/13	\$185.70	\$194.90	\$0.00	(\$9.20)	\$0.00
	2,531 103	11/19/12	07/09/13	\$8,681.66	\$9,086.66	\$0.00	(\$405.00)	\$0.00
	43 449	11/30/12	07/09/13	\$149.03	\$156.85	\$0.00	(\$7.82)	\$0.00
	44 831	12/31/12	07/09/13	\$153.77	\$161.84	\$0.00	(\$8.07)	\$0.00
	26 981	01/31/13	07/09/13	\$92.54	\$97.13	\$0.00	(\$4.59)	\$0.00
	23 931	02/28/13	07/09/13	\$82.08	\$86.15	\$0.00	(\$4.07)	\$0.00
	26 450	03/28/13	07/09/13	\$90.72	\$95.22	\$0.00	(\$4.50)	\$0.00
	25 697	04/30/13	07/09/13	\$88.14	\$94.05	\$0.00	(\$5.91)	\$0.00

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ISHARES RUSSELL 2000 GRWTH ETF		CUSIP: 464287648	Symbol (Box 1d): IWO					
	93 000	02/01/13	03/21/13	\$9,959 14	\$9,552 03	\$0 00	\$407 11	\$0 00
	63 000	10/01/13	10/25/13	\$8,170.54	\$8,018.01	\$0.00	\$152.53	\$0.00
Security Subtotal	156.000			\$18,129.68	\$17,570.04	\$0.00	\$559.64	\$0.00
ISHARES S&P PREF STK INDX		CUSIP: 464288687	Symbol (Box 1d): PFF					
	197 000	03/21/13	05/14/13	\$8,045 29	\$7,974 11	\$0 00	\$71 18	\$0 00
JP MORGAN HIGH YIELD SEL		CUSIP: 4812C0803	Symbol (Box 1d): OHYFX					
	1,200 480	05/29/13	06/20/13	\$9,711 87	\$10,000 00	\$0 00	(\$288 13)	\$0 00
	5.807	05/31/13	06/20/13	\$46.99	\$48.02	\$0.00	(\$1.03)	\$0.00
Security Subtotal	1,206.287			\$9,758.86	\$10,048.02	\$0.00	(\$289.16)	\$0.00
JP MORGAN SMALL CAP VAL SEL		CUSIP: 4812C1793	Symbol (Box 1d): PSOPX					
	374 672	06/20/13	08/06/13	\$9,999 99	\$9,224 42	\$0 00	\$775 57	\$0 00
MARKET VECTORS GOLD MINERS		CUSIP: 57060U100	Symbol (Box 1d): GDX					
	129 000	03/21/13	05/14/13	\$3,711 70	\$4,962 18	\$0 00	(\$1,250 48)	\$0 00
MORGAN STANLEY CHINA A FD, INC		CUSIP: 617468103	Symbol (Box 1d): CAF					
	207 000	06/20/13	07/09/13	\$3,976 71	\$4,019 28	\$0 00	(\$42 57)	\$0 00
PIMCO BROAD US TIPS INDX FN		CUSIP: 72201R403	Symbol (Box 1d): TIPZ					
	108 000	11/29/12	01/25/13	\$6,556 21	\$6,678 40	\$0 00	(\$122 19)	\$0 00
POWERSHARES SENIOR LOAN PORT		CUSIP: 73936Q769	Symbol (Box 1d): BKLN					
	199 000	03/21/13	05/14/13	\$5,032 99	\$4,990 03	\$0 00	\$42.96	\$0.00

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ISHARES MSCI BRAZIL CAPPED ETF								
		CUSIP: 464286400		Symbol (Box 1d): EVWZ				
	116 000	07/09/13	08/06/13	\$4,972.09	\$4,889.99	\$0.00	\$82.10	\$0.00
	73 000	07/09/13	09/25/13	\$3,513.63	\$3,077.32	\$0.00	\$436.31	\$0.00
	49 000	07/09/13	11/20/13	\$2,341.66	\$2,065.60	\$0.00	\$276.06	\$0.00
	238 000			\$10,827.38	\$10,032.91	\$0.00	\$794.47	\$0.00
		CUSIP: 464287234		Symbol (Box 1d): EEM				
Security Subtotal								
	123 000	02/01/13	05/29/13	\$5,165.92	\$5,482.79	\$0.00	(\$316.87)	\$0.00
	47 000	03/21/13	05/29/13	\$1,973.97	\$1,967.89	\$0.00	\$6.08	\$0.00
	170 000			\$7,139.89	\$7,450.68	\$0.00	(\$310.79)	\$0.00
ISHARES MSCI EMERGING MKTS FID								
		CUSIP: 464286848		Symbol (Box 1d): EWJ				
Security Subtotal								
	97 000	03/21/13	05/30/13	\$1,074.74	\$1,041.39	\$0.00	\$33.35	\$0.00
	182 000	03/21/13	06/04/13	\$1,994.70	\$1,953.95	\$0.00	\$40.75	\$0.00
	279 000			\$3,069.44	\$2,995.34	\$0.00	\$74.10	\$0.00
ISHARES NASDAQ BIOTECH ETF								
		CUSIP: 464287556		Symbol (Box 1d): IBB				
Security Subtotal								
	31 000	05/29/13	07/16/13	\$5,915.01	\$5,628.05	\$0.00	\$286.96	\$0.00
	17 000	06/05/13	07/16/13	\$3,243.71	\$2,895.10	\$0.00	\$348.61	\$0.00
	10 000	08/22/13	09/25/13	\$2,073.20	\$1,941.10	\$0.00	\$132.10	\$0.00
	10 000	08/22/13	11/18/13	\$2,090.77	\$1,941.10	\$0.00	\$149.67	\$0.00
	17 000	10/01/13	11/18/13	\$3,554.32	\$3,623.38	\$0.00	(\$69.06)	\$0.00
	85 000			\$16,877.01	\$16,028.73	\$0.00	\$848.28	\$0.00

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GUGG RUSSELL TOP 50 MEG CP ETF	20 000	11/06/12	02/01/13	\$2,163.95	\$2,105.48	\$0.00	\$58.47	\$0.00
ISHARES BARCLAYS20+YR TSY BD	85 000	03/21/13	05/14/13	\$9,921.82	\$9,950.09	\$0.00	(\$28.27)	\$0.00
	72 000	03/21/13	05/14/13	\$8,404.37	\$8,430.48	\$0.00	(\$26.11)	\$0.00
Security Subtotal	157 000			\$18,326.19	\$18,380.57	\$0.00	(\$54.38)	\$0.00
ISHARES DJ US INDL SCTR	18 000	11/06/12	03/21/13	\$1,462.10	\$1,305.00	\$0.00	\$157.10	\$0.00
	11 000	11/06/12	06/12/13	\$917.05	\$797.50	\$0.00	\$119.55	\$0.00
	6 000	02/01/13	06/12/13	\$500.21	\$470.82	\$0.00	\$29.39	\$0.00
	94 000	05/14/13	06/12/13	\$7,836.65	\$7,968.93	\$0.00	(\$132.28)	\$0.00
Security Subtotal	129 000			\$10,716.01	\$10,542.25	\$0.00	\$173.76	\$0.00
ISHARES DJ US TELECOMM SCTR	205 000	03/21/13	05/28/13	\$5,506.61	\$4,974.88	\$0.00	\$531.73	\$0.00
ISHARES FTSE/CHINA 25 INDEX FD	9 000	11/29/12	02/01/13	\$376.83	\$331.99	\$0.00	\$44.84	\$0.00
	51 000	11/29/12	05/14/13	\$1,923.68	\$1,881.27	\$0.00	\$42.41	\$0.00
	48 000	11/29/12	05/28/13	\$1,785.16	\$1,770.61	\$0.00	\$14.55	\$0.00
	27 000	03/21/13	05/28/13	\$1,004.16	\$995.49	\$0.00	\$8.67	\$0.00
Security Subtotal	135 000			\$5,089.83	\$4,979.36	\$0.00	\$110.47	\$0.00

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ALLIANCE BERNSTEIN GL HIGH CEF	CUSIP: 01879R106	Symbol (Box 1d): AMF						
	304.000	03/21/13	05/29/13	\$4,950.25	\$4,996.67	\$0.00	(\$46.42)	\$0.00
ANNA LY CAPITAL MNGMT INC	CUSIP: 035710409	Symbol (Box 1d): NLY						
	19.482	07/26/12	02/01/13	\$288.20	\$337.51	\$0.00	(\$49.31)	\$0.00
	434.000	08/06/13	08/22/13	\$4,791.27	\$4,998.16	\$0.00	(\$206.89)	\$0.00
Security Subtotal	453.482			\$5,079.47	\$5,335.67	\$0.00	(\$256.20)	\$0.00
DOUBLELINE TOTAL RETURN I	CUSIP: 258620103	Symbol (Box 1d): DBLTX						
	9.132	07/31/12	05/29/13	\$103.47	\$102.83	\$0.00	\$0.64	\$0.00
	9.325	08/31/12	05/29/13	\$105.65	\$105.74	\$0.00	(\$0.09)	\$0.00
	8.587	09/28/12	05/29/13	\$97.29	\$97.89	\$0.00	(\$0.60)	\$0.00
	8.607	10/31/12	05/29/13	\$97.52	\$97.86	\$0.00	(\$0.34)	\$0.00
	6.366	11/30/12	05/29/13	\$72.13	\$72.32	\$0.00	(\$0.19)	\$0.00
	7.122	12/31/12	05/29/13	\$80.69	\$80.69	\$0.00	\$0.00	\$0.00
	5.850	01/31/13	05/29/13	\$66.28	\$66.34	\$0.00	(\$0.06)	\$0.00
	1.850	02/28/13	05/29/13	\$20.96	\$21.00	\$0.00	(\$0.04)	\$0.00
	1.916	03/28/13	05/29/13	\$21.71	\$21.73	\$0.00	(\$0.02)	\$0.00
	1.862	04/30/13	05/29/13	\$21.09	\$21.24	\$0.00	(\$0.15)	\$0.00
Security Subtotal	60.617			\$686.79	\$687.64	\$0.00	(\$0.85)	\$0.00
FIRST TRUST DJ INTERNET IDX	CUSIP: 33733E302	Symbol (Box 1d): FDN						
	89.000	06/20/13	07/02/13	\$4,076.46	\$4,031.81	\$0.00	\$44.65	\$0.00
	45.000	10/01/13	11/18/13	\$2,495.79	\$2,452.03	\$0.00	\$43.76	\$0.00
Security Subtotal	134.000			\$6,572.25	\$6,483.84	\$0.00	\$88.41	\$0.00

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