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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
ROBERT K. & BARBARA J. STRAUS FAMILY FOUNDATION, INC.

A Employer identification number
13-3377987

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
C/O DBNTM 200 E. CARRILLO ST | 303

B Telephone number
805-963-1837

City or town, state or province, country, and ZIP or foreign postal code
SANTA BARBARA, CA 93101

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 3,176,650.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	2.	2.		STATEMENT 1
	4 Dividends and interest from securities	79,304.	79,304.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	31,501.			
	b Gross sales price for all assets on line 6a 454,291.				
	7 Capital gain net income (from Part IV, line 2)		31,501.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total. Add lines 1 through 11	110,807.	110,807.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	4,894.	2,447.		2,447.
	c Other professional fees STMT 4	14,955.	14,955.		0.
	17 Interest				
	18 Taxes STMT 5	1,843.	1,833.		10.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	300.	0.		300.
	24 Total operating and administrative expenses. Add lines 13 through 23	21,992.	19,235.		2,757.
	25 Contributions, gifts, grants paid	177,950.			177,950.
	26 Total expenses and disbursements Add lines 24 and 25	199,942.	19,235.		180,707.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-89,135.			
	b Net investment income (if negative, enter -0-)		91,572.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	223,662.	101,050.	101,050.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 8	311,457.	209,868.	210,288.
	b Investments - corporate stock STMT 9	1,401,880.	1,536,946.	2,323,990.
	c Investments - corporate bonds STMT 10	348,217.	348,217.	383,112.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 11	118,456.	118,456.	158,210.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item 1)	2,403,672.	2,314,537.	3,176,650.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	2,403,672.	2,314,537.	
	30 **Total net assets or fund balances**	2,403,672.	2,314,537.	
	31 **Total liabilities and net assets/fund balances**	2,403,672.	2,314,537.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,403,672.
2	Enter amount from Part I, line 27a	2	-89,135.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,314,537.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,314,537.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NORTHERN TRUST #1346	P	01/01/10	12/31/13
b	NORTHERN TRUST #1346	P	01/02/13	12/31/13
c	CAPITAL GAINS DIVIDENDS			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,979.		2,404.	-425.
b	444,907.		420,386.	24,521.
c	7,405.			7,405.
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				-425.
b				24,521.
c				7,405.
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	31,501.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	145,888.	2,912,949.	.050083
2011	148,253.	3,001,825.	.049388
2010	135,723.	2,801,536.	.048446
2009	145,221.	2,604,565.	.055756
2008	108,806.	2,787,979.	.039027

2	**Total** of line 1, column (d)	2	.242700
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.048540
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	3,071,300.
5	Multiply line 4 by line 3	5	149,081.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	916.
7	Add lines 5 and 6	7	149,997.
8	Enter qualifying distributions from Part XII, line 4	8	180,707.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	916.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	916.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	916.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 2,504.		
b	Exempt foreign organizations - tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	2,504.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,588.
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 1,588. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA, NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ DAMITZ, BROOKS, NIGHTINGALE Telephone no. ▶ 805-963-1837

Located at ▶ 200 E CARRILLO, #303, SANTA BARBARA, CA ZIP+4 ▶ 93101

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ▶ _____, _____, _____, _____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ _____, _____, _____, _____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	2,900,889.
b	Average of monthly cash balances		1b	217,182.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	3,118,071.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	3,118,071.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	46,771.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	3,071,300.
6	**Minimum investment return.** Enter 5% of line 5		6	153,565.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	153,565.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 916.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	916.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	152,649.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	152,649.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	152,649.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	180,707.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	180,707.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	916.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	179,791.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				152,649.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			11,234.	
b Total for prior years: _____, _____, _____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 180,707.				
a Applied to 2012, but not more than line 2a			11,234.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				152,649.
e Remaining amount distributed out of corpus	16,824.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	16,824.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014** Subtract lines 7 and 8 from line 6a	16,824.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013 16,824.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 **Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED STATEMENT		501C3	GENERAL	177,950.
Total			▶ 3a	177,950.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	2.	
4 Dividends and interest from securities			14	79,304.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	31,501.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		110,807.	0.
13 **Total** Add line 12, columns (b), (d), and (e)				13	110,807.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 5/14/14 | Title SECRETARY

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MARSHALL H TURNER JR		5/14/14		P00098744

Firm's name ▶ DAMITZ, BROOKS, NIGHTINGALE | Firm's EIN ▶ 77-0076647

Firm's address ▶ 200 EAST CARRILLO STREET, SUITE 303 SANTA BARBARA, CA 93101 | Phone no. 805-963-1837

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST	2.	2.	
TOTAL TO PART I, LINE 3	2.	2.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST	86,709.	7,405.	79,304.	79,304.	
TO PART I, LINE 4	86,709.	7,405.	79,304.	79,304.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,894.	2,447.		2,447.
TO FORM 990-PF, PG 1, LN 16B	4,894.	2,447.		2,447.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	14,955.	14,955.		0.
TO FORM 990-PF, PG 1, LN 16C	14,955.	14,955.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FRANCHISE TAX BOARD	10.	0.		10.
FOREIGN TAXES	1,833.	1,833.		0.
TO FORM 990-PF, PG 1, LN 18	1,843.	1,833.		10.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	300.	0.		300.
TO FORM 990-PF, PG 1, LN 23	300.	0.		300.

FOOTNOTES STATEMENT 7

FORM 990-PF, PART V11-B, LINE 1A(4)

THE FOUNDATION UTILIZES THE SERVICES OF DAMITZ, BROOKS, NIGHTINGALE, TURNER AND MORRISSET OF WHICH MR. MARSHALL H. TURNER IS A PRINCIPAL; MR. TURNER IS THE SECRETARY OF THE FOUNDATION. FOR THESE SERVICES THE FOUNDATION PAYS THE USUAL AND CUSTOMARY FEES AS BILLED TO IT BY THIS ENTITY.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 8

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US GOV'T OBLIGATIONS	X		209,868.	210,288.
TOTAL U.S. GOVERNMENT OBLIGATIONS			209,868.	210,288.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			209,868.	210,288.

FORM 990-PF CORPORATE STOCK STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	1,381,786.	2,169,595.
COMMODITIES	155,160.	154,395.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,536,946.	2,323,990.

FORM 990-PF CORPORATE BONDS STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	348,217.	383,112.
TOTAL TO FORM 990-PF, PART II, LINE 10C	348,217.	383,112.

FORM 990-PF OTHER INVESTMENTS STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MFB GLOGAL REAL ESTATE INDEX FND	COST	118,456.	158,210.
TOTAL TO FORM 990-PF, PART II, LINE 13		118,456.	158,210.

FEDERAL STATEMENT
ROBERT K. & BARBARA J. STRAUS FAMILY FOUNDATION, INC.
FIN 13-3377987
2013 FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME	ADDRESS	DONEE RELATION-SHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
American Red Cross	2707 State St, Santa Barbara, CA 93105	NONE	501C3	GENERAL	500
Attitudinal Healing Connection	3278 West St , Oakland, CA 94608	NONE	501C3	GENERAL	12,500
Big Brother Big Sister	800 S Figueroa St , Ste 620, Los Angeles, CA 90017	NONE	501C66	GENERAL	1,000
Billions Rising Self Reliance Foundation	PO Box 1517, Truckee, CA 96160	NONE	501C65	GENERAL	2,500
Bowdoin College	4100 College Station, Brunswick, ME 04011-8432	NONE	501C64	GENERAL	1,000
Branson Foundation	P O Box 262, Lone Pine, CA 93545	NONE	501C63	GENERAL	2,000
Bread for the Journey International	267 Miller Ave , Mill Valley, CA 94941	NONE	501C62	GENERAL	1,000
Carriage and Western Art Museum	129 Castillo St , Santa Barbara, CA 93101	NONE	501C61	GENERAL	2,000
Chronicle Season of Sharing	P O Box 44740, San Francisco, CA 94144	NONE	501C59	GENERAL	20,000
Colgate University	13 Oak Dr , Hamilton, NY 13346	NONE	501C58	GENERAL	3,000
Corporation of the Fine Arts Museum	Golden Gate Park, 50 Hagiwara Tea Garden Dr, SF, CA 94118	NONE	501C57	GENERAL	5,000
CorStone	33 Buchanan Dr , Sasalito, CA 94966	NONE	501C56	GENERAL	10,000
Dream Foundation	1528 Chapala Street, Suite 304 , Santa Barbara, CA 93101	NONE	501C52	GENERAL	2,500
EcoMom Alliance	8737 Venice Blvd Ste #101, Los Angeles, CA 90034	NONE	501C50	GENERAL	500
Exploratorium	3601 Lyon St , San Francisco, CA 94123	NONE	501C49	GENERAL	2,000
Family Services Agency of Marin County	555 Northgate Dr , San Rafael, CA 94903	NONE	501C48	GENERAL	10,000
First Graduate	37 Graham St , San Francisco, CA 94129	NONE	501C47	GENERAL	500
Future Without Violencce	100 Montgomery Street, San Francisco, CA 94129	NONE	501C45	GENERAL	1,000
Global Fund for Women	1375 Sutter, San Francisco, CA 94109	NONE	501C44	GENERAL	2,500
Habitat for Humanity	6725 Hollister Ave #110, Goleta, CA 93117	NONE	501C43	GENERAL	2,500
Homeward Bound	138 N Hamilton Dr , Novato, CA 94949	NONE	501C41	GENERAL	5,000
Image for Success	4460 Redwood Hwy, San Rafael, CA 94903	NONE	501C39	GENERAL	2,500
Institute for Noetice Sciences	625 2nd St , Petaluma, CA 94952	NONE	501C37	GENERAL	1,000
Juma Ventures	131 Steuart St, San Francisco, CA 94105	NONE	501C36	GENERAL	1,000
Kiva Microfunds	875 Howard Street Ste 340, San Francisco, CA 94103	NONE	501C34	GENERAL	500
Lauren A Herrera Memorial Foundation	242 Fir Tree Pl , Goleta, CA 93117	NONE	501C33	GENERAL	2,500
Lick-Wilmerding High School	755 Ocean Ave , San Francisco, CA 94112	NONE	501C32	GENERAL	500
Los Angeles County Museum of Art	5905 Wilshire Blvd , Los Angeles, CA 90036	NONE	501C31	GENERAL	1,000
Marin Academy	1600 Mission Ave , San Rafael, CA 94901	NONE	501C30	GENERAL	1,000
Marin Advocates for Children	30 North San Pedro Rd Ste #275, San Rafael, CA 94903	NONE	501C29	GENERAL	2,500
Marin Community Clinic	300 Professional Center Dr #311, Novato, CA 94947	NONE	501C27	GENERAL	5,000
Mount Tamalpais School	100 Harvard Ave , Mill Valley, CA 94941	NONE	501C20	GENERAL	500
Movember	P O Box 2726, Venice, CA 90294	NONE	501C19	GENERAL	500
New York University	25 West Fourth St , 4th floor, New York, NY 10012	NONE	501C18	GENERAL	1,000
Next Generation Scholars	912 Lootens Pl , San Rafael, CA 94901	NONE	501C17	GENERAL	500
North Hawaii Community Hospital	67-1125 Mamalahoa Highway, Kamuela, HI 96743	NONE	501C16	GENERAL	2,500
Ovarian Cancer Research Fund	14 Pennsylvania Plaza, Ste 1710, New York, NY 10122	NONE	501C15	GENERAL	1,950
Patel Foundation for Global Understanding	5600 Mariner Street, Ste 200, Tampa, FL 33609	NONE	501C14	GENERAL	500

FEDERAL STATEMENT
ROBERT K. & BARBARA J. STRAUS FAMILY FOUNDATION, INC.
FIN 13-3377987
2013 FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME	ADDRESS	DONEE RELATION-SHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
Performing Stars of Marin	271 Drake Ave , Sausalito, CA 94965	NONE	501C12	GENERAL	1,000
Ploughshares Fund	Fort Mason Center Bldg B, Suite 330, San Francisco, 94123	NONE	501C11	GENERAL	2,000
Prep for Prep	328 West 71st St , New York, NY 10023	NONE	501C9	GENERAL	2,000
Raphael House of San Francisco, Inc	1065 Sutter, San Francisco, CA 94109	NONE	501C6	GENERAL	3,000
Ritter Center	P O Box 3517, San Rafael, CA 94912	NONE	501C5	GENERAL	2,500
San Francisco Main Food Bank	900 Pennsylvania Ave, San Francisco, CA 94107	NONE	501C4	GENERAL	5,000
San Francisco Museum of Modern Art	151 Third St , San Francisco, CA, 94103	NONE	501C3	GENERAL	15,000
Santa Barbara Cottage Hospital Foundation	515 E Micheltorena St , Santa Barbara, CA 93103	NONE	501C2	GENERAL	5,000
Santa Barbara Zoological Foundation	500 Ninos Dr , Santa Barbara, CA 93103-0110	NONE	501C1	GENERAL	7,500
SPCALA	5026 West Jefferson Blvd , Los Angeles, CA 90016	NONE	501C0	GENERAL	500
Spirit Rock Meditation Center	5000 Sir Francis Drake Blvd , Woodacre, CA 94973	NONE	501C1	GENERAL	500
St Anthony Foundation	150 Golden Gate Avenue San Francisco, CA 94102	NONE	501C2	GENERAL	500
St Jude Children's Hospital	262 Danny Thomas Pl, Memphis, TN 38105	NONE	501C3	GENERAL	500
St Vincent de Paul Society	P O Box 150527, San Rafael, CA 94915	NONE	501C3	GENERAL	5,000
Stand Up To Cancer	File 1224, 1801 W Olympic Blvd , Pasadena, CA 91199	NONE	501C3	GENERAL	500
Straus Family Historical Society, Inc	P O Box 416, Smithtown, NY	NONE	501C3	GENERAL	2,500
Sunny Hills Children's Garden	300 Sunny Hills Drive, San Anselmo, CA 94960	NONE	501C3	GENERAL	1,000
Susan G Komen	PO Box 650309, Dallas, TX 75265-0309	NONE	501C3	GENERAL	500
That Man May See	10 Kirkham St, San Francisco, CA 94143	NONE	501C3	GENERAL	2,500
The Children's Village	1315 Lia Lane, Santa Rosa, CA 95404	NONE	501C3	GENERAL	2,500
The Foundation for AIDS Research	120 Wall St , 13th Floor, New York, NY 10005	NONE	501C3	GENERAL	500
The Fund for Sarah Lawrence	1 Mead Way, Bronxville, NY, 10708	NONE	501C3	GENERAL	500
The Giraffe Foundation	P O Box 759 Langley, WA 98260	NONE	501C3	GENERAL	2,000
The Women's Foundation of California	340 Pine St Suite 302, San Francisco, CA 94104	NONE	501C3	GENERAL	1,000
Tipping Point Community	703 Market St , Suite 708, San Francisco, CA 94103	NONE	501C3	GENERAL	500
Zen Hospice Project	273 Page St , San Francisco, CA 94102	NONE	501C3	GENERAL	2,000
				Total	177,950

1105 30

Portfolio Details

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
Equity Securities - Large Cap									
AMERICAN EXPRESS CO., COMMON STOCK, $.60PAR (AXP)	$90.730	295.00	$26,765.35	$14,064.00	$12,701.35		$271 40	1.0%	1.2%
AMGEN INC COMMON STOCK (AMGN)	114.160	155.00	17,694.80	10,897.28	6,797.52		378.20	2 1%	0.8%
APPLE INC COM STK (AAPL)	561.110	75.00	42,083.25	8,627.23	33,456.02		915.00	2 2%	1.9%
BRISTOL MYERS SQUIBB CO COMMON STOCK (BMY)	53.150	440.00	23,386.00	13,993.87	9,392.13	158 40	633 60	2 7%	1.1%
CHEVRON CORP COM (CVX)	124.910	190.00	23,732.90	12,076.40	11,656.50		760.00	3.2%	1.1%
COCA COLA CO COM (KO)	41.310	485.00	20,035.35	16,494.85	3,540.50		543.20	2 7%	0.9%
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A (CTSH)	100.980	200.00	20,196.00	18,528.00	1,668.00				0.9%
COSTCO WHOLESALE CORP NEW COM (COST)	119.010	180.00	21,421.80	8,809.13	12,612.67		223 20	1 0%	1.0%
COVIDIEN PLC USD0.20(POST CONSOLIDATION) (COV)	68.100	195.00	13,279.50	6,953.51	6,325.99		249 60	1.9%	0.6%
CVS CAREMARK CORP COM STK (CVS)	71.570	360.00	25,765.20	16,274.25	9,490.95		396.00	1.5%	1.2%
DANAHER CORP. COMMON STOCK $ 01 PAR (DHR)	77.200	415.00	32,038.00	10,733.97	21,304.03	10.37	41 50	0.1%	1.5%
DOMINION RES INC VA NEW COM (D)	64.690	255.00	16,495.95	11,235.30	5,260.65		573.75	3.5%	0.8%
DU PONT E I DE NEMOURS & CO COM STK (DD)	64.970	180.00	11,694.60	7,160.04	4,534.56		324.00	2.8%	0.5%
EATON CORP PLC COM USD0.50 (ETN)	76.120	230.00	17,507.60	11,880.65	5,626.95		386.40	2.2%	0 8%

Continued on next page.

Portfolio Details *(continued)*

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
EMC CORP COM (EMC)	25.150	640 00	16,096.00	13,113.60	2,982.40		256.00	1.6%	0 7%
EMERSON ELECTRIC CO COM (EMR)	70.180	210.00	14,737.80	14,055.30	682.50		361.20	2 5%	0.7%
EXPRESS SCRIPTS HLDG CO COM (ESRX)	70.240	290.00	20,369.60	15,444.10	4,925.50				0.9%
EXXON MOBIL CORP COM (XOM)	101.200	305.00	30,866.00	22,232.23	8,633.77		768.60	2.5%	1.4%
FRANKLIN RES INC. COMMON STOCK, (BEN)	57.730	360.00	20,782.80	13,460.63	7,322.17	43.20	172.80	0.8%	1.0%
GENERAL ELECTRIC CO (GE)	28.030	670.00	18,780.10	10,951.95	7,828.15	147.40	589.60	3.1%	0.9%
GILEAD SCIENCES INC (GILD)	75.150	125.00	9,393.75	9,321.25	72.50				0.4%
GOOGLE INC CL A CL A (GOOG)	1,120.710	35 00	39,224.85	19,931.20	19,293.65				1 8%
HOME DEPOT INC., COMMON STOCK $.05 PAR (HD)	82.340	405.00	33,347.70	20,277.42	13,070.28		631.80	1.9%	1 5%
INTEL CORP COM (INTC)	25.960	445 00	11,552.20	12,081.75	(529 55)		400.50	3.5%	0.5%
INTERCONTINENTALEXCHANGE GROUP INC COM (ICE)	224.920	80 00	17,993.60	10,366.40	7,627.20		208.00	1.2%	0.8%
INTERNATIONAL BUSINESS MACHS CORP COM (IBM)	187.570	85.00	15,943.45	6,437.90	9,505.55		323.00	2.0%	0.7%
JOHNSON CONTROLS INC. COMMON STOCK $2.50 PAR (JCI)	51.300	285.00	14,620.50	7,630.75	6,989.75	62.70	250.80	1.7%	0.7%
JPMORGAN CHASE & CO COM (JPM)	58.480	530.00	30,994.40	14,672.60	16,321.80		805.60	2.6%	1.4%
MC DONALDS CORP. COMMON STOCK, NO PAR (MCD)	97.030	200.00	19,406.00	18,143.75	1,262.25		648.00	3.3%	0 9%
METLIFE INC COM STK USD0 01 (MET)	53.920	340.00	18,332.80	17,805.80	527.00		374.00	2.0%	0 8%

Continued on next page.

Portfolio Details *(continued)*

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
MONDELEZ INTL INC COM (MDLZ)	35.300	390.00	13,767.00	13,119.60	647.40	54.60	218.40	1.6%	0.6%
NATIONAL OILWELL VARCO COM STK (NOV)	79.530	185.00	14,713.05	4,253.80	10,459.25		192.40	1.3%	0 7%
NIKE INC CL B CL B (NKE)	78.640	180.00	14,155.20	6,888.60	7,266.60	43.20	172.80	1 2%	0.7%
NOBLE ENERGY INC COM (NBL)	68 110	180.00	12,259.80	6,220.02	6,039.78		100.80	0.8%	0 6%
NORFOLK SOUTHN CORP COM (NSC)	92.830	195.00	18,101.85	14,408.94	3,692.91		405.60	2.2%	0.8%
NUCOR CORP. COMMON STOCK. $.40 PAR (NUE)	53 380	170.00	9,074.60	6,267.90	2,806.70	62.90	251.60	2.8%	0.4%
OMNICOM GROUP INC., COMMON STOCK $.50 PAR (OMC)	74.370	255.00	18,964.35	11,728.72	7,235.63	102 00	408.00	2.2%	0.9%
ORACLE CORPORATION COM (ORCL)	38.260	580.00	22,190.80	18,359.90	3,830.90		278.40	1.3%	1.0%
PFIZER INC COM (PFE)	30.630	660.00	20,215.80	15,899.40	4,316.40		633.60	3.1%	0 9%
PRECISION CASTPARTS CORP COM (PCP)	269 300	65.00	17,504.50	11,089.50	6,415.00		7.80	0.0%	0 8%
PROCTER & GAMBLE COM NPV (PG)	81 410	245.00	19,945.45	6,847.42	13,098.03		589.47	3.0%	0.9%
QUALCOMM INC COM (QCOM)	74 250	375.00	27,843 75	21,699.49	6,144.26		525.00	1.9%	1.3%
SALESFORCE COM INC COM STK (CRM)	55.190	400.00	22,076.00	21,100.00	976.00				1 0%
SCHLUMBERGER LTD COM COM (SLB)	90.110	170.00	15,318.70	13,568.38	1,750.32	53 12	212.50	1 4%	0.7%
SPECTRA ENERGY CORP COM STK (SE)	35.620	360.00	12,823.20	5,144.11	7,679.09		439.20	3.4%	0.6%
ST JUDE MED INC COM (STJ)	61.950	260.00	16,107.00	9,290.86	6,816.14	65.00	260.00	1.6%	0.7%
STARBUCKS CORP COM (SBUX)	78.390	265.00	20,773.35	13,162.55	7,610.80		275.60	1.3%	1.0%
UNITED TECHNOLOGIES CORP COM (UTX)	113.800	115.00	13,087.00	2,418.65	10,668.35		271.40	2.1%	0.6%

Continued on next page.

Portfolio Details *(continued)*

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
UNITEDHEALTH GROUP INC COM (UNH)	75.300	260.00	19,578.00	7,939.88	11,638.12		291.20	1.5%	0.9%
US BANCORP (USB)	40.400	465.00	18,786.00	11,245.65	7,540.35	106.95	427.80	2.3%	0.9%
V. F. CORP., COMMON STOCK, NO PAR (VFC)	62.340	520.00	32,416.80	14,914.33	17,502.47		546 00	1.7%	1.5%
VERIZON COMMUNICATIONS COM (VZ)	49.140	200.00	9,828.00	7,468.00	2,360.00		424 00	4.3%	0.5%
WALT DISNEY CO (DIS)	76.400	250 00	19,100.00	4,945.00	14,155.00	215.00	215.00	1.1%	0.9%
WELLS FARGO & CO NEW COM STK (WFC)	45.400	655.00	29,737.00	16,598.68	13,138.32		786.00	2.6%	1.4%
WHOLE FOODS MKT INC COM (WFM)	57.830	280.00	16,192.40	6,771.08	9,421.32		28.00	0.2%	0.7%
Total Large Cap			**$1,099,097.45**	**$665,005.57**	**$434,091.88**	**$1,124 84**	**$19,446.32**	**1 8%**	**50.7%**
Equity Securities - Mid Cap									
AMERICAN WTR WKS CO INC NEW COM (AWK)	$42.260	175.00	$7,395.50	$5,929.00	$1,466.50		$196.00	2.7%	0.3%
C R BARD (BCR)	133.940	95.00	12,724.30	13,219.25	(494.95)		79 80	0.6%	0.6%
MI C SPDR S&P MIDCAP 400 ETF TR UNIT SER 1 STANDARD & POORS DEP RCPT (MDY)	244.200	440.00	107,448.00	35,319.46	72,128.54		1,146.20	1.1%	5.0%
NOBLE CORP PLC COMMON STOCK (NE)	37.470	360.00	13,489.20	12,370.28	1,118.92		360.00	2.7%	0.6%
Total Mid Cap			**$141,057.00**	**$66,837.99**	**$74,219.01**		**$1,782.00**	**1.3%**	**6.5%**
Equity Securities - Small Cap									
MFC ISHARES TR CORE S&P SMALL-CAP ETF (IJR)	$109.210	720.00	$78,631.20	$26,098.92	$52,532.28		$786.96	1.0%	3.6%
Total Small Cap			**$78,631.20**	**$26,098.92**	**$52,532.28**		**$786.96**	**1.0%**	**3.6%**

Continued on next page.

Portfolio Details *(continued)*

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
Equity Securities - International Developed									
BHP BILLITON LTD SPONSORED ADR (BHP)	$68.200	130.00	$8,866.00	$9,416.75	($550.75)		$286.00	3 2%	0.4%
Total Australia - USD			**$8,866.00**	**$9,416.75**	**($550.75)**		**$286.00**	**3.2%**	**0.4%**
MFC ISHARES TR MSCI EAFE ETF (EFA)	67.060	8,105.00	543,521.30	410,161.72	133,359.58		13,802 82	2.5%	25.1%
Total Europe, Australia, and Far East Region - USD			**$543,521.30**	**$410,161.72**	**$133,359.58**		**$13,802.82**	**2.5%**	**25.1%**
Total International Developed			**$552,387.30**	**$419,578.47**	**$132,808.83**		**$14,088.82**	**2.6%**	**25.5%**
Equity Securities - International Emerging									
MFB NORTHERN FUNDS EMERGING MKTS EQUITY INDEX FUND (NOEMX)	$11.280	26,455.83	$298,421.76	$204,251.84	$94,169.92		$5,979.02	2 0%	13.8%
Total Emerging Markets Region - USD			**$298,421.76**	**$204,251.84**	**$94,169.92**		**$5,979.02**	**2.0%**	**13.8%**
Total International Emerging			**$298,421.76**	**$204,251.84**	**$94,169.92**		**$5,979.02**	**2.0%**	**13.8%**
Total Equity Securities			**$2,169,594.71** (1)	**$1,381,772.79**	**$787,821.92**	**$1,124.84**	**$42,083.11**	**1.9%**	**100.0%**

	Market Price	Par Value/ Rating	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield/ YTM	% of Assets
Fixed Income Securities - Corporate/ Government									
2024 GNMA POOL #390185 SER 2024 7.5% DUE 03-15-2024 REG (GNMA)	$111.797	5,506.18 Aaa	$6,155.74	$5,591.26 *		$34.41	$412.96	6.7% 5.9%	1 0%

Equity Securities Rec to Balance Sheet
1,381,772.79 +
13.57 +

1,381,786.36 *

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Portfolio Details *(continued)*

	Market Price	Par Value/ Rating	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield/ YTM	% of Assets
2025 GNMA POOL #780241 SER 2025 8.0% DUE 09-15-2025 REG (GNMA)	109.019	327.25 Aaa	356.76	336.46	20.30	2.18	26.18	7.3% 6.9%	0.1%
2028 GNMA POOL #471043 SER 2028 7.0% DUE 06-15-2028 REG (GNMA)	105.094	2,195.06 Aaa	2,306.87	2,220.58	86.29	6.82	153.65	6.7% 6.5%	0.4%
2029 GNMA POOL #492712 SER 2029 6.5% DUE 03-15-2029 REG (GNMA)	111.613	4,793.21 Aaa	5,349.84	4,771.15	578.69	13.84	311.56	5.8% 5.4%	0.9%
GNMA POOL #514717 SER 2029 7.5% DUE 08-15-2029 REG (GNMA)	101.220	3,087.98 Aaa	3,125.65	3,075.33	50.32	10.29	231.60	7.4% 7.4%	0.5%
Funds MFB NORTHERN FDS FIXED INCOME FD (NOFIX)	10.090	14,224.15	143,521.67	148,212.89	(4,691.22)		4,708.19	3.3%	24.2%
MFB NORTHERN HIGH YIELD FIXED INCOME FUND (NHFIX)	7.490	43,405.76	325,109.14 (1)	290,393.03 (2)	34,716.11		21,051.79	6.5%	54.8%
MFC ISHARES 1-3 YEAR CREDIT BOND ETF (CSJ)	105.460	550.00	58,003.00 (1)	57,824.25 (2)	178.75	46.75	677.05	1.2%	9.8%
MFO VANGUARD FIXED INCOME SECS FD INC INFLATION-PROTECTED SECS FD #119 (VIPSX)	12.980	3,811.36	49,471.45	45,660.08	3,811.37		823.25	1.7%	8.3%
Total Corporate/ Government			**$593,400.12 (3)**	**$558,085.03 (5)**	**$34,750.61**	**$114.29**	**$28,396.24**	**4.8%**	**100.0%**
Total Fixed Income Securities			**$593,400.12**	**$558,085.03**	**$34,750.61**	**$114.29**	**$28,396.24**	**4.8%**	**100.0%**

FMV - Corporate Bonds
325,109.14 +
58,003.00 +

383,112.14 *

Cost Basis - Corporate Bonds
290,393.03 +
57,824.25 +

348,217.28 *

FMV - US Govt Obligations
593,400.12 +
383,112.14 -

210,287.98 *

Cost Basis - US Govt Obligations
558,085.00 +
348,217.28 -

209,867.72 *

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Portfolio Details *(continued)*

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
Real Estate - Real Estate Funds									
MFB NORTHERN FDS GLOBAL REAL ESTATE INDEX FD (NGRLX)	$9.070	17,443.19	$158,209.73	$118,456.36	$39,753.37		$5,407.39	3.4%	100.0%
Total Real Estate Funds			**$158,209.73**	**$118,456.36**	**$39,753.37**		**$5,407.39**	**3.4%**	**100.0%**
Total Real Estate			**$158,209.73**	**$118,456.36**	**$39,753.37**		**$5,407.39**	**3.4%**	**100.0%**

	Market Price	Units	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
Commodities - Commodities									
MLC ETFXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD (GUNR)	$34.310	4,500.00	$154,395.00	$155,159.55	($764.55)	$3,128.31	$3,127.50	2.0%	100.0%
Total Global Region - USD			**$154,395.00**	**$155,159.55**	**($764.55)**	**$3,128.31**	**$3,127.50**	**2.0%**	**100.0%**
Total Commodities			**$154,395.00**	**$155,159.55**	**($764.55)**	**$3,128.31**	**$3,127.50**	**2.0%**	**100.0%**
Total Commodities			**$154,395.00**	**$155,159.55**	**($764.55)**	**$3,128.31**	**$3,127.50**	**2.0%**	**100.0%**

Continued on next page.

Portfolio Details *(continued)*

	Market Price		Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield/ YTM	% of Assets
Cash and Short Term Investments - Cash									
Available cash balances are invested daily in MFB NORTHERN FDS MONEY MKT FD MONEY MARKET FUND .									
PRINCIPAL CASH	$1 000	(253.95)	($253.95)	($253.95)	$0.00				
INCOME CASH	1.000	91,074.43	91,074.43	91,074.43	0.00				
Total Cash			**$90,820.48**	**$90,820.48**	**$0.00**	**$0.63**	**$9.08**	**0.0%**	
Total Cash and Short Term Investments			**$90,820.48**	**$90,820.48**	**$0.00**	**$0.63**	**$9.08**	**0.0%**	
Total Portfolio			**$3,166,420.04**	**$2,304,294.21**	**$861,561.35**	**$4,368.07**	**$79,023.32**	**2.5%**	

Total Accrual **$4,368.07**

Total Value **$3,170,788.11**

*** Complete cost information not available**

2013 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O BOX 803878
CHICAGO, IL 60680

Account Number: 23-61346
Recipient's Tax ID Number: 13-3377987

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
ROBERT & BARBARA STRAUS
FOUNDATION
15 ALLENSBY LN.
SAN RAFAEL, CA 94901

2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip / Quantity Sold	Description / Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol / Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales								
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND		PCRIX					
354.6400	11/26/2013	Various	1,978.89	2,404.44	0.00	-425.55	0.00	0.00
Total Short Term Sales			**1,978.89**	**2,404.44**	**0.00**	**-425.55**	**0 00**	**0 00**
Long Term Sales								
36205GNA5	GNMA POOL #390185 SER 2024 7.5% DUE 03-15-2024 REG		GNMA					
26.9900	01/15/2013	03/01/1994	26.99	26.99	0.00	0.00	0.00	0.00
36209GHU4	GNMA POOL #471043 SER 2028 7% DUE 06-15-2028 REG		GNMA					
6.7200	01/15/2013	06/03/1998	6.72	6.72	0.00	0.00	0.00	0.00
36210HKZ4	GNMA POOL #492712 SER 2029 6 5% DUE 03-15-2029 REG		GNMA					
14.4700	01/15/2013	02/25/1999	14.47	14.47	0.00	0.00	0.00	0.00
36211JYN1	GNMA POOL #514717 SER 2029 7.5% DUE 08-15-2029 REG		GNMA					
9.5200	01/15/2013	08/04/1999	9.52	9.52	0.00	0.00	0.00	0.00
36225AHS2	GNMA POOL #780241 SER 2025 8% DUE 09-15-2025 REG		GNMA					
3.0500	01/15/2013	03/15/1996	3.05	3.05	0.00	0.00	0.00	0.00
36205GNA5	GNMA POOL #390185 SER 2024 7.5% DUE 03-15-2024 REG		GNMA					
32.1400	02/15/2013	03/01/1994	32.14	32.14	0.00	0.00	0.00	0.00
36209GHU4	GNMA POOL #471043 SER 2028 7% DUE 06-15-2028 REG		GNMA					
6.7700	02/15/2013	06/03/1998	6.77	6.77	0.00	0.00	0.00	0.00

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2013 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 23-61346
Recipient's Tax ID Number: 13-3377987

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
ROBERT & BARBARA STRAUS FOUNDATION
15 ALLENSBY LN.
SAN RAFAEL, CA 94901

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip / Description / Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
36210HKZ4 GNMA POOL #492712 SER 2029 6.5% DUE 03-15-2029 REG GNMA	14.5500	02/15/2013	02/25/1999	14.55	14.55	0.00	0.00	0.00	0.00
36211JYN1 GNMA POOL #514717 SER 2029 7.5% DUE 08-15-2029 REG GNMA	9.5800	02/15/2013	08/04/1999	9.58	9.58	0.00	0.00	0.00	0.00
36225AHS2 GNMA POOL #780241 SER 2025 8% DUE 09-15-2025 REG GNMA	18.0500	02/15/2013	03/15/1996	18.05	18.05	0.00	0.00	0.00	0.00
36205GNA5 GNMA POOL #390185 SER 2024 7.5% DUE 03-15-2024 REG GNMA	27.3900	03/15/2013	03/01/1994	27.39	27.39	0.00	0.00	0.00	0.00
36209GHU4 GNMA POOL #471043 SER 2028 7% DUE 06-15-2028 REG GNMA	6.8100	03/15/2013	06/03/1998	6.81	6.81	0.00	0.00	0.00	0.00
36210HKZ4 GNMA POOL #492712 SER 2029 6.5% DUE 03-15-2029 REG GNMA	14.6300	03/15/2013	02/25/1999	14.63	14.63	0.00	0.00	0.00	0.00
36211JYN1 GNMA POOL #514717 SER 2029 7.5% DUE 08-15-2029 REG GNMA	9.6500	03/15/2013	08/04/1999	9.65	9.65	0.00	0.00	0.00	0.00
36225AHS2 GNMA POOL #780241 SER 2025 8% DUE 09-15-2025 REG GNMA	10.3500	03/15/2013	03/15/1996	10.35	10.35	0.00	0.00	0.00	0.00
36205GNA5 GNMA POOL #390185 SER 2024 7.5% DUE 03-15-2024 REG GNMA	32.5700	04/15/2013	03/01/1994	32.57	32.57	0.00	0.00	0.00	0.00

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This is important tax information and is being furnished to you.

2013 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 23-61346
Recipient's Tax ID Number: 13-3377987

☐ **Corrected** ☐ **2nd TIN notice**

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15 ALLENSBY LN.
SAN RAFAEL, CA 94901

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip / Description / Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
36209GHU4 GNMA POOL #471043 SER 2028 7% DUE 06-15-2028 REG GNMA	6.8500	04/15/2013	06/03/1998	6.85	6.85	0.00	0.00	0.00	0.00
36210HKZ4 GNMA POOL #492712 SER 2029 6.5% DUE 03-15-2029 REG GNMA	14.7200	04/15/2013	02/25/1999	14.72	14.72	0.00	0.00	0.00	0.00
36211JYN1 GNMA POOL #514717 SER 2029 7.5% DUE 08-15-2029 REG GNMA	9.7100	04/15/2013	08/04/1999	9.71	9.71	0.00	0.00	0.00	0.00
36225AHS2 GNMA POOL #780241 SER 2025 8% DUE 09-15-2025 REG GNMA	2.5400	04/15/2013	03/15/1996	2.54	2.54	0.00	0.00	0.00	0.00
36205GNA5 GNMA POOL #390185 SER 2024 7.5% DUE 03-15-2024 REG GNMA	31.0400	05/15/2013	03/01/1994	31.04	31.04	0.00	0.00	0.00	0.00
36209GHU4 GNMA POOL #471043 SER 2028 7% DUE 06-15-2028 REG GNMA	6.8900	05/15/2013	06/03/1998	6.89	6.89	0.00	0.00	0.00	0.00
36210HKZ4 GNMA POOL #492712 SER 2029 6.5% DUE 03-15-2029 REG GNMA	16.1000	05/15/2013	02/25/1999	16.10	16.10	0.00	0.00	0.00	0.00
36211JYN1 GNMA POOL #514717 SER 2029 7.5% DUE 08-15-2029 REG GNMA	9.7700	05/15/2013	08/04/1999	9.77	9.77	0.00	0.00	0.00	0.00
36225AHS2 GNMA POOL #780241 SER 2025 8% DUE 09-15-2025 REG GNMA	4.7700	05/15/2013	03/15/1996	4.77	4.77	0.00	0.00	0.00	0.00

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2013 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 23-61346
Recipient's Tax ID Number: 13-3377987

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
ROBERT & BARBARA STRAUS FOUNDATION
15 ALLENSBY LN
SAN RAFAEL, CA 94901

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip / Description / Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
36205GNA5 GNMA POOL #390185 SER 2024 7 5% DUE 03-15-2024 REG GNMA	28.0000	06/17/2013	03/01/1994	28 00	28 00	0.00	0 00	0.00	0 00
36209GHU4 GNMA POOL #471043 SER 2028 7% DUE 06-15-2028 REG GNMA	6.9400	06/17/2013	06/03/1998	6.94	6 94	0.00	0.00	0.00	0.00
36210HKZ4 GNMA POOL #492712 SER 2029 6.5% DUE 03-15-2029 REG GNMA	15.5200	06/17/2013	02/25/1999	15.52	15 52	0.00	0.00	0.00	0.00
36211JYN1 GNMA POOL #514717 SER 2029 7 5% DUE 08-15-2029 REG GNMA	10.3700	06/17/2013	08/04/1999	10.37	10.37	0.00	0.00	0.00	0 00
36225AHS2 GNMA POOL #780241 SER 2025 8% DUE 09-15-2025 REG GNMA	2.3100	06/17/2013	03/15/1996	2.31	2.31	0 00	0 00	0 00	0.00
G5785G107 MALLINCKRODT PLC COMMON STOCK MNK	3800	07/01/2013	04/28/2010	16.75	12.65	0.00	4.10	0.00	0.00
36205GNA5 GNMA POOL #390185 SER 2024 7.5% DUE 03-15-2024 REG GNMA	28 1800	07/15/2013	03/01/1994	28.18	28.18	0.00	0.00	0 00	0 00
36209GHU4 GNMA POOL #471043 SER 2028 7% DUE 06-15-2028 REG GNMA	6.9800	07/15/2013	06/03/1998	6.98	6.98	0.00	0.00	0.00	0.00
36210HKZ4 GNMA POOL #492712 SER 2029 6 5% DUE 03-15-2029 REG GNMA	15.6100	07/15/2013	02/25/1999	15.61	15.61	0.00	0.00	0 00	0 00

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2013 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: **23-61346**
Recipient's Tax ID Number: **13-3377987**

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
ROBERT & BARBARA STRAUS
FOUNDATION
15 ALLENSBY LN.
SAN RAFAEL, CA 94901

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip / Description / Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
36211JYN1 GNMA POOL #514717 SER 2029 7 5% DUE 08-15-2029 REG GNMA	9.9100	07/15/2013	08/04/1999	9.91	9.91	0.00	0.00	0.00	0.00
36225AHS2 GNMA POOL #780241 SER 2025 8% DUE 09-15-2025 REG GNMA	2.0600	07/15/2013	03/15/1996	2.06	2.06	0.00	0.00	0.00	0.00
36205GNA5 GNMA POOL #390185 SER 2024 7.5% DUE 03-15-2024 REG GNMA	34.8600	08/15/2013	03/01/1994	34.86	34.86	0.00	0.00	0.00	0.00
36209GHU4 GNMA POOL #471043 SER 2028 7% DUE 06-15-2028 REG GNMA	35.1000	08/15/2013	06/03/1998	35.10	35.10	0.00	0.00	0.00	0.00
36210HKZ4 GNMA POOL #492712 SER 2029 6 5% DUE 03-15-2029 REG GNMA	15.0800	08/15/2013	02/25/1999	15.08	15.08	0.00	0.00	0.00	0.00
36211JYN1 GNMA POOL #514717 SER 2029 7 5% DUE 08-15-2029 REG GNMA	9.9800	08/15/2013	08/04/1999	9.98	9.98	0.00	0.00	0.00	0.00
36225AHS2 GNMA POOL #780241 SER 2025 8% DUE 09-15 2025 REG GNMA	11.6800	08/15/2013	03/15/1996	11.68	11.68	0.00	0.00	0.00	0.00
36205GNA5 GNMA POOL #390185 SER 2024 7 5% DUE 03-15-2024 REG GNMA	34.3500	09/16/2013	03/01/1994	34.35	34.35	0.00	0.00	0.00	0.00
36209GHU4 GNMA POOL #471043 SER 2028 7% DUE 06-15-2028 REG GNMA	7.2400	09/16/2013	06/03/1998	7.24	7.24	0.00	0.00	0.00	0.00

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This is important tax information and is being furnished to you.

2013 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 23-61346
Recipient's Tax ID Number: 13-3377987

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
ROBERT & BARBARA STRAUS FOUNDATION
15 ALLENSBY LN.
SAN RAFAEL, CA 94901

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
36210HKZ4	GNMA POOL #492712 SER 2029 6.5% DUE 03-15-2029 REG	GNMA						
15.7500	09/16/2013	02/25/1999	15.75	15.75	0.00	0.00	0.00	0.00
36211JYN1	GNMA POOL #514717 SER 2029 7.5% DUE 08-15-2029 REG	GNMA						
10.0400	09/16/2013	08/04/1999	10.04	10.04	0.00	0.00	0.00	0.00
36225AHS2	GNMA POOL #780241 SER 2025 8% DUE 09-15-2025 REG	GNMA						
29.7200	09/16/2013	03/15/1996	29.72	29.72	0.00	0.00	0.00	0.00
G5785G107	MALLINCKRODT PLC COMMON STOCK	MNK						
24.0000	09/19/2013	Various	1,028.51	653.51	0.00	375.00	0.00	0.00
36205GNA5	GNMA POOL #390185 SER 2024 7.5% DUE 03-15-2024 REG	GNMA						
28.8300	10/15/2013	03/01/1994	28.83	28.83	0.00	0.00	0.00	0.00
36209GHU4	GNMA POOL #471043 SER 2028 7% DUE 06-15-2028 REG	GNMA						
7.2900	10/15/2013	06/03/1998	7.29	7.29	0.00	0.00	0.00	0.00
36210HKZ4	GNMA POOL #492712 SER 2029 6.5% DUE 03-15-2029 REG	GNMA						
15.8800	10/15/2013	02/25/1999	15.88	15.88	0.00	0.00	0.00	0.00
36211JYN1	GNMA POOL #514717 SER 2029 7.5% DUE 08-15-2029 REG	GNMA						
10.1100	10/15/2013	08/04/1999	10.11	10.11	0.00	0.00	0.00	0.00
36225AHS2	GNMA POOL #780241 SER 2025 8% DUE 09-15-2025 REG	GNMA						
8.9000	10/15/2013	03/15/1996	8.90	8.90	0.00	0.00	0.00	0.00

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2013 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 23-61346
Recipient's Tax ID Number: 13-3377987

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
ROBERT & BARBARA STRAUS FOUNDATION
15 ALLENSBY LN.
SAN RAFAEL, CA 94901

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip / Description / Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
36205GNA5 GNMA POOL #390185 SER 2024 7 5% DUE 03-15-2024 REG GNMA	33.9900	11/15/2013	03/01/1994	33.99	33.99	0.00	0.00	0.00	0.00
36209GHU4 GNMA POOL #471043 SER 2028 7% DUE 06-15-2028 REG GNMA	7.3300	11/15/2013	06/03/1998	7.33	7.33	0.00	0.00	0.00	0.00
36210HKZ4 GNMA POOL #492712 SER 2029 6.5% DUE 03-15-2029 REG GNMA	15.9700	11/15/2013	02/25/1999	15.97	15.97	0.00	0.00	0.00	0.00
36211JYN1 GNMA POOL #514717 SER 2029 7.5% DUE 08-15-2029 REG GNMA	10.1800	11/15/2013	08/04/1999	10.18	10.18	0.00	0.00	0.00	0.00
36225AHS2 GNMA POOL #780241 SER 2025 8% DUE 09-15-2025 REG GNMA	2.0500	11/15/2013	03/15/1996	2.05	2.05	0.00	0.00	0.00	0.00
177376100 CITRIX SYS INC CTXS	40.0000	11/25/2013	10/01/2010	2,351.16	2,716.98	0.00	-365.82	0.00	0.00
177376100 CITRIX SYS INC CTXS	75.0000	11/25/2013	06/27/2011	4,408.42	5,907.85	0.00	-1,499.43	0.00	0.00
384802104 W W GRAINGER INC GWW	40.0000	11/25/2013	10/01/2010	10,257.42	4,787.20	0.00	5,470.22	0.00	0.00
464287804 MFC ISHARES TR S&P SMALLCAP 600 INDEX FD S&PSMALLCAP 600 INDEX FD IJR	550.0000	11/25/2013	Various	58,513.48	28,490.68	0.00	30,022.80	0.00	0.00

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2013 Tax Information Statement

THE NORTHERN TRUST COMPANY
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CHICAGO, IL 60680

Account Number: 23-61346
Recipient's Tax ID Number: 13-3377987

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
ROBERT & BARBARA STRAUS FOUNDATION
15 ALLENSBY LN.
SAN RAFAEL, CA 94901

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol					
Quantity Sold	Date of Sale or Exchange / Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
665162806	MFB NORTHERN FDS FIXED INCOME FD	NOFIX					
9500.4900	11/25/2013 Various	97,000.00	100,701.11	0.00	-3,701.11	0.00	0.00
78467Y107	SPDR S&P MIDCAP 400 ETF TRUST 1 STD & POORS DEP RCPT	MDY					
265.0000	11/25/2013 11/20/2008	62,914.09	21,271.95	0.00	41,642.14	0.00	0.00
828806109	SIMON PPTY GROUP INC NEW	SPG					
65.0000	11/25/2013 10/01/2010	9,669.23	6,062.94	0.00	3,606.29	0.00	0.00
828806109	SIMON PPTY GROUP INC NEW	SPG					
30.0000	11/25/2013 06/27/2011	4,462.72	3,399.75	0.00	1,062.97	0.00	0.00
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND	PCRIX					
28428.5700	11/26/2013 Various	158,631.39	205,494.61	0.00	-46,863.22	0.00	0.00
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND	PCRIX					
6230.5300	11/26/2013 05/22/2012	34,766.35	40,000.00	0.00	-5,233.65	0.00	0.00
36205GNA5	GNMA POOL #390185 SER 2024 7.5% DUE 03-15-2024 REG	GNMA					
34.2100	12/16/2013 03/01/1994	34.21	34.21	0.00	0.00	0.00	0.00
36209GHU4	GNMA POOL #471043 SER 2028 7% DUE 06-15-2028 REG	GNMA					
7.3800	12/16/2013 06/03/1998	7.38	7.38	0.00	0.00	0.00	0.00
36210HKZ4	GNMA POOL #492712 SER 2029 6.5% DUE 03-15-2029 REG	GNMA					
16.0600	12/16/2013 02/25/1999	16.06	16.06	0.00	0.00	0.00	0.00

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2013 Tax Information Statement

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CHICAGO, IL 60680

Account Number: 23-61346
Recipient's Tax ID Number: 13-3377987

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Recipient's Name and Address:
ROBERT & BARBARA STRAUS FOUNDATION
15 ALLENSBY LN.
SAN RAFAEL, CA 94901

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip / Description / Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
36211JYN1 GNMA POOL #514717 SER 2029 7.5% DUE 08-15-2029 REG GNMA	10.2400	12/16/2013	08/04/1999	10.24	10.24	0.00	0.00	0.00	0.00
36225AHS2 GNMA POOL #780241 SER 2025 8% DUE 09-15-2025 REG GNMA	3.3200	12/16/2013	03/15/1996	3.32	3.32	0.00	0.00	0.00	0.00
Total Long Term Sales				**444,906.57**	**420,386.28**	**0.00**	**24,520.29**	**0.00**	**0.00**

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FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
PENELOPE STRAUS MORE 200 E. CARRILLO, STE 303 SANTA BARBARA, CA 93101	PRES./DIR. 0.00	0.	0.	0.
MARSHALL H. TURNER, JR. 200 E. CARRILLO, STE 303 SANTA BARBARA, CA 93101	SECRETARY 0.00	0.	0.	0.
CHRISTOPHER STRAUS 200 E. CARRILLO, STE 303 SANTA BARBARA, CA 93101	VP/DIRECTOR 0.00	0.	0.	0.
BRADEN MORE 200 E. CARRILLO, STE 303 SANTA BARBARA, CA 93101	TREASURER/DIR 0.00	0.	0.	0.
ALEXANDRA MORE 200 E. CARRILLO, STE 303 SANTA BARBARA, CA 93101	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.