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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Department of the Treasury
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013, or tax year beginning , 2013, and ending

Name of foundation THE KURT BERLINER FOUNDATION, INC.		A Employer identification number 13-3320078
Number and street (or P O box number if mail is not delivered to street address) 280 ROUND HILL RD, c/o R.E.PATTI-AVELLINO		B Telephone number (see the instructions) (203) 918-6691
City or town, state or province, country, and ZIP or foreign postal code GREENWICH CT 06830		C If exemption application is pending, check here. <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial Return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization. <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 2,535,975.	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (att sch) . . .				
	2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	40,403.	40,403.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	201,847.	L-6a Stmt		
	b Gross sales price for all assets on line 6a	980,114.			
	7 Capital gain net income (from Part IV, line 2)		201,847.		
	8 Net short-term capital gain			8,025.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11.	242,250.	242,250.	8,025.		
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc . . .	48,000.	36,000.		12,000.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) L-16b Stmt.	8,000.	6,400.		1,600.
	c Other prof fees (attach sch) L-16c Stmt.	19,872.	19,872.		
	17 Interest	27.			27.
	18 Taxes (attach schedule) (See Instrs) See Line 18 Stmt	2,147.	105.		2,042.
	19 Depreciation (attach sch) and depletion	361.	361.		
	20 Occupancy				
	21 Travel, conferences, and meetings	900.			900.
	22 Printing and publications				
	23 Other expenses (attach schedule) See Line 23 Stmt	2,831.	362.		2,469.
	24 Total operating and administrative expenses. Add lines 13 through 23 . . .	82,138.	63,100.		19,038.
	25 Contributions, gifts, grants paid	146,600.			146,600.
26 Total expenses and disbursements. Add lines 24 and 25	228,738.	63,100.		165,638.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	13,512.				
b Net investment income (if negative, enter -0-)		179,150.			
c Adjusted net income (if negative, enter -0-)			8,025.		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing				
	2	Savings and temporary cash investments		363,266.	89,544.	89,544.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		292.	0.	0.
	10a	Investments — U.S. and state government obligations (attach schedule)				
	b	Investments — corporate stock (attach schedule) L-10b Stmt		934,213.	1,242,452.	2,211,480.
	c	Investments — corporate bonds (attach schedule)		127,639.	0.	0.
	LIABILITIES	11	Investments — land, buildings, and equipment: basis	61,364.		
		Less: accumulated depreciation (attach schedule) L-11 Stmt	61,350.	375.	14.	14.
12		Investments — mortgage loans				
13		Investments — other (attach schedule) L-13 Stmt		143,726.	254,521.	234,687.
14		Land, buildings, and equipment: basis				
		Less: accumulated depreciation (attach schedule)				
15		Other assets (describe L-15 Stmt)		2,958.	250.	250.
16		Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)		1,572,469.	1,586,781.	2,535,975.
17		Accounts payable and accrued expenses		4,950.	5,750.	
18		Grants payable				
NET ASSETS OR FUND BALANCES	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
	23	Total liabilities (add lines 17 through 22)		4,950.	5,750.	
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	X			
27	Capital stock, trust principal, or current funds		2,201,071.	2,201,071.		
28	Paid-in or capital surplus, or land, building, and equipment fund					
29	Retained earnings, accumulated income, endowment, or other funds		-633,552.	-620,040.		
30	Total net assets or fund balances (see instructions)		1,567,519.	1,581,031.		
31	Total liabilities and net assets/fund balances (see instructions)		1,572,469.	1,586,781.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,567,519.
2	Enter amount from Part I, line 27a	2	13,512.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	1,581,031.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	1,581,031.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a 130kBds GENERAL ELECTRIC 5% 2013	P	04/10/06	02/01/13
b SEE SUPPORT SCHED I RBC ADVISORS LT	P	01/01/10	12/31/13
c SEE SUPPORT SCHED I MORG STANLEY ST	P	01/01/13	12/31/13
d 79shs H J HEINZ	P	01/07/13	02/26/13
e See Columns (a) thru (d)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 130,000.		127,639.	2,361.
b 787,218.		595,757.	191,461.
c 40,438.		33,886.	6,552.
d 5,727.		4,593.	1,134.
e See Columns (e) thru (h)		16,390.	339.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			2,361.
b			191,461.
c			6,552.
d			1,134.
e See Columns (i) thru (l)			339.

2 Capital gain net income or (net capital loss).	— If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	201,847.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	8,025.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	139,517.	2,153,687.	0.064781
2011	184,868.	2,182,457.	0.084706
2010	171,895.	2,399,059.	0.071651
2009	153,100.	2,135,513.	0.071692
2008	142,114.	2,926,360.	0.048563
2 Total of line 1, column (d)		2	0.341393
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3	0.068279
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5		4	2,278,261.
5 Multiply line 4 by line 3		5	155,557.
6 Enter 1% of net investment income (1% of Part I, line 27b)		6	1,792.
7 Add lines 5 and 6		7	157,349.
8 Enter qualifying distributions from Part XII, line 4		8	165,638.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary — see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	1,792.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,792.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,792.
6 Credits/Payments:			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	292.	
b Exempt foreign organizations — tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c	2,500.	
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	2,792.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,000.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 1,000. Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV.	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) NY - New York		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address N/A				
14	The books are in care of R. ELLEN PATTI AVELLINO Telephone no (203) 918-6691 Located at 280 Round Hill Road, Greenwich, CT ZIP + 4 06830			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1 b		X
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? 1 c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years 20 __ , 20 __ , 20 __ , 20 __ .		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.) 2 b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20 __ , 20 __ , 20 __ , 20 __ .		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) 3 b		
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4 a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? 4 b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?. ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc. organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CLEMENT S. PATTI, ESQ. 22 DWIGHT LANE, BEDFORD HILLS NY 10507	PRES&DIRECTOR 1.00	0.	0.	0.
ARIADNE ANNE AVELLINO 7262 THOMAS DRIVE MADIERA OH 45243	AVP&DIRECTOR 1.00	0.	0.	0.
R.E. PATTI-AVELLINO, ESQ. 280 ROUND HILL ROAD GREENWICH CT 06831	FOUNDATION MGR 27.30	48,000.	0.	0.
See Information about Officers, Directors, Trustees, Etc.		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

None

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1 a	2,142,973.
b	Average of monthly cash balances	1 b	169,968.
c	Fair market value of all other assets (see instructions)	1 c	14.
d	Total (add lines 1a, b, and c)	1 d	2,312,955.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,312,955.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	34,694.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,278,261.
6	Minimum investment return. Enter 5% of line 5	6	113,913.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	113,913.
2 a	Tax on investment income for 2013 from Part VI, line 5	2 a	1,792.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2 b	
c	Add lines 2a and 2b	2 c	1,792.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	112,121.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	112,121.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	112,121.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	165,638.
b	Program-related investments — total from Part IX-B.	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	165,638.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,792.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	163,846.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				112,121.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			106,348.	
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2013				
a From 2008	275,628.			
b From 2009	153,100.			
c From 2010	171,895.			
d From 2011	185,440.			
e From 2012	140,853.			
f Total of lines 3a through e	926,916.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 165,638.				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2013 distributable amount				
e Remaining amount distributed out of corpus	165,638.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,092,554.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions			106,348.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				112,121.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	275,628.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	816,926.			
10 Analysis of line 9:				
a Excess from 2009	153,100.			
b Excess from 2010	171,895.			
c Excess from 2011	185,440.			
d Excess from 2012	140,853.			
e Excess from 2013	165,638.			

BAA

Form 990-PF (2013)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
GREEN FINGERS 159 STANWICH RD GREENWICH CT 06830	N/A	TAX EXEMPT	RESTRICTED TO COMMUNITY PROJECTS	11,000.
METROPOLITAN MUSEUM OF ART 1000 FIFTH AVENUE NEW YORK NY 10028	N/A	TAX EXEMPT	UNRESTRICTED GIFT	2,500.
METROPOLITAN OPERA ASSOC LINCOLN CENTER NEW YORK NY 10023	N/A	TAX EXEMPT	UNRESTRICTED GIFT FOR GEN DEVELOPMT FD	4,000.
MUSEUM OF THE CITY OF NEW YORK 1220 FIFTH AVENUE NEW YORK NY 10029	N/A	TAX EXEMPT	UNRESTRICTED GIFT	2,500.
AMERICAN HEART ASSOC 7272 GREENVILLE AVENUE DALLAS TX 75231	N/A	TAX EXEMPT	UNRESTRICTED GENERAL FUND	100.
PRESERVATION SOC OF NEWPORT 424 BELLEVUE AVENUE NEWPORT RI 02840	N/A	TAX EXEMPT	UNRESTRICTED GIFT	4,000.
DAVID LYNCH FOUNDATION 216 E 45th ST SUITE 1301 NEW YORK NY 10017	N/A	TAX EXEMPT	RESTRICTED GIFT FOR UNDERWRITING FREE SERVICES	10,000.
FRIENDS OF KAREN 875 THIRD AVENUE 19th FL NEW YORK NY 10001	N/A	TAX EXEMPT	UNRESTRICTED GIFT	5,000.
GRASS RIVER GARDEN CLUB 117 BEVERLY ROAD W PALM BEACH FL 33405	N/A	TAX EXEMPT	RESTRICTED TO CONSERVATION EVERGLADES PROJECT	500.
See Line 3a statement				107,000.
Total			3 a	146,600.
b Approved for future payment				
Total			3 b	

Form **4562**Department of the Treasury
Internal Revenue Service (99)**Depreciation and Amortization**
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2013Attachment
Sequence No **179**

Name(s) shown on return

THE KURT BERLINER FOUNDATION, INC.

Identifying number

13-3320078

Business or activity to which this form relates

Form 990-PF page 1

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	
4	Reduction in limitation Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions.	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instrs)	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11.	12	
13	Carryover of disallowed deduction to 2014. Add lines 9 and 10, less line 12.	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2013.	17	361.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here. <input type="checkbox"/>		

Section B — Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only — see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19 a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27.5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	

Section C — Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20 a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	0.
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations — see instructions	22	361.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V Listed Property (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.

Section A — Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.)

24 a Do you have evidence to support the business/investment use claimed?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	24b If 'Yes,' is the evidence written?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost					
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)								25					
26 Property used more than 50% in a qualified business use:													
COMPUTER EQUIPMENT	01/04/99	100.00	8,226.	8,226.	5.00	SL-HY	0.						
27 Property used 50% or less in a qualified business use:													
28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1								28		0.			
29 Add amounts in column (i), line 26. Enter here and on line 7, page 1								29					

Section B — Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other 'more than 5% owner,' or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle 1		(b) Vehicle 2		(c) Vehicle 3		(d) Vehicle 4		(e) Vehicle 5		(f) Vehicle 6	
30 Total business/investment miles driven during the year (do not include commuting miles)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year. Add lines 30 through 32												
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C — Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons (see instructions).

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions.)		
Note: If your answer to 37, 38, 39, 40, or 41 is 'Yes,' do not complete Section B for the covered vehicles		

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2013 tax year (see instructions):					
43 Amortization of costs that began before your 2013 tax year					43
44 Total. Add amounts in column (f). See the instructions for where to report					44

Form 990-PF
Part I, Line 6a

Net Gain or Loss From Sale of Assets

2013

Name THE KURT BERLINER FOUNDATION, INC.	Employer Identification Number 13-3320078
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Asset Information:

Description of Property: <u>SALE OF STOCKS - RBC ADVISORS</u>	
Date Acquired: . <u>Various</u>	How Acquired: . . . <u>Purchased</u>
Date Sold: . . . <u>Various</u>	Name of Buyer: . . . _____
Sales Price: . . . <u>787,218.</u>	Cost or other basis (do not reduce by depreciation) . . . <u>595,757.</u>
Sales Expense: . . . _____	Valuation Method: _____
Total Gain (Loss): . . . <u>191,461.</u>	Accumulation Depreciation: . . . _____
Description of Property: <u>79shs H J HEINZ CO</u>	
Date Acquired: . <u>01/07/13</u>	How Acquired: . . . <u>Purchased</u>
Date Sold: . . . <u>02/26/13</u>	Name of Buyer: . . . _____
Sales Price . . . <u>5,727.</u>	Cost or other basis (do not reduce by depreciation) . . . <u>4,593.</u>
Sales Expense: . . . _____	Valuation Method: _____
Total Gain (Loss): . . . <u>1,134.</u>	Accumulation Depreciation: . . . _____
Description of Property: <u>289shs INTEL CORP</u>	
Date Acquired: . <u>01/07/13</u>	How Acquired: . . . <u>Purchased</u>
Date Sold: . . . <u>04/18/13</u>	Name of Buyer: . . . _____
Sales Price: . . . <u>6,436.</u>	Cost or other basis (do not reduce by depreciation) . . . <u>6,133.</u>
Sales Expense . . . _____	Valuation Method: _____
Total Gain (Loss): . . . <u>303.</u>	Accumulation Depreciation: . . . _____
Description of Property: <u>3shs JOHNSON & JOHNSON</u>	
Date Acquired: . <u>01/07/13</u>	How Acquired: . . . <u>Purchased</u>
Date Sold: . . . <u>01/25/13</u>	Name of Buyer: . . . _____
Sales Price: . . . <u>221.</u>	Cost or other basis (do not reduce by depreciation) . . . <u>214.</u>
Sales Expense: . . . _____	Valuation Method: _____
Total Gain (Loss): . . . <u>7.</u>	Accumulation Depreciation: . . . _____
Description of Property: <u>59shs OCCIDENTAL PETRO LEUM CRP</u>	
Date Acquired: . <u>01/07/13</u>	How Acquired: . . . <u>Purchased</u>
Date Sold: . . . <u>03/19/13</u>	Name of Buyer: . . . _____
Sales Price: . . . <u>4,790.</u>	Cost or other basis (do not reduce by depreciation) . . . <u>4,704.</u>
Sales Expense . . . _____	Valuation Method: _____
Total Gain (Loss): . . . <u>86.</u>	Accumulation Depreciation: . . . _____
Description of Property: <u>64shs CANADA CAD</u>	
Date Acquired: . <u>01/07/13</u>	How Acquired: . . . <u>Purchased</u>
Date Sold: . . . <u>05/03/13</u>	Name of Buyer: . . . _____
Sales Price: . . . <u>5,282.</u>	Cost or other basis (do not reduce by depreciation) . . . <u>5,339.</u>
Sales Expense . . . _____	Valuation Method: _____
Total Gain (Loss): . . . <u>-57.</u>	Accumulation Depreciation: . . . _____
Description of Property: <u>SALE OF STOCKS - MORGAN STANLEY PMAA A/C</u>	
Date Acquired: . <u>Various</u>	How Acquired: . . . <u>Purchased</u>
Date Sold: . . . <u>Various</u>	Name of Buyer: . . . _____
Sales Price . . . <u>40,438.</u>	Cost or other basis (do not reduce by depreciation) . . . <u>33,886.</u>
Sales Expense: . . . _____	Valuation Method: _____
Total Gain (Loss) . . . <u>6,552.</u>	Accumulation Depreciation: . . . _____
Description of Property: <u>See Net Gain or Loss from Sale of Assets</u>	
Date Acquired: . _____	How Acquired: . . . _____
Date Sold: . . . _____	Name of Buyer: . . . _____
Sales Price . . . _____	Cost or other basis (do not reduce by depreciation) . . . _____
Sales Expense: . . . _____	Valuation Method: _____
Total Gain (Loss): . . . _____	Accumulation Depreciation: . . . _____

Form 990-PF, Part I, Lines 6a

Net Gain or Loss from Sale of Assets**Asset Information:**

Description of Property 130Kbds GENERAL ELECTRIC CO 5% 2013
Business Code Exclusion Code.
Date Acquired 04/10/06 How Acquired Purchased
Date Sold 02/01/13 Name of Buyer.
Check Box, if Buyer is a Business. ☐
Sales Price 130,000. Cost or other basis (do not reduce by depreciation) 127,639.
Sales Expense Valuation Method
Total Gain (Loss) 2,361. Accumulated Depreciation

Description of Property 177shs PIMCO TOTAL RETURN FD CL P
Business Code Exclusion Code.
Date Acquired 12/11/13 How Acquired Purchased
Date Sold 12/27/13 Name of Buyer.
Check Box, if Buyer is a Business. ☐
Sales Price 2. Cost or other basis (do not reduce by depreciation) 2.
Sales Expense Valuation Method
Total Gain (Loss) 0. Accumulated Depreciation

Miscellaneous Statement

PAGE 10 PART XV 2-b

WRITTEN PROPOSAL INCLUDING GENERAL HISTORY
OF GRANTEE INCLUDING MISSION STATEMENT
AND LISTING OF DIRECTORS, OPERATING AND
FINANCIAL DETAILS OF THE SPECIFIC PROJECT
FOR WHICH FUNDING IS REQUESTED, MINIMUM
TWO(2) YEARS TAX RETURNS AND FINANCIAL
STATEMENTS. A COPY OF IRS 501 TAX
EXEMPTION LETTER MUST BE PROVIDED.

Total

Miscellaneous Statement

PAGE 10 PART XV 2-d

IN GENERAL, THE FOUNDATION DOES NOT FUND
START-UP CHARITIES AND/OR PROJECTS
WHICH ARE NOT ALREADY 50% FUNDED OR
COMMITTED TO BE FUNDED; NOR ENDOWMENT
OR ENDOWMENT-TYPE NEEDS OF ANY NATURE,
NOR CHARITIES THAT DO NOT HAVE EITHER
AUDITED OR CERTIFIED FINANCIAL
STATEMENTS. IN ADDITION, ONLY IRS
501(c)(3) ORGANIZATIONS WILL BE
CONSIDERED FOR GRANT FUNDING.

Total

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
NYS CHARITIES FEE	250.			250.
FOREIGN TAXES	105.	105.		
FEDERAL EXCISE TAX	1,792.			1,792.
Total	2,147.	105.		2,042.

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
COMPUTER EXPENSES	810.			810.
OFFICE SECRETARY	400.			400.
OFFICE EXPENSES	1,031.			1,031.
CREDIT CARD FEES	123.			123.
BROKER PROCESSING FEES	362.	362.		
BANK CHARGES	105.			105.
Total	2,831.	362.		2,469.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
289shs INTEL CORP	P	01/07/13	04/18/13
3shs JOHNSON & JOHNSON	P	01/07/13	01/25/13
59shs OCCIDENTAL PETROLEUM CORP	P	01/07/13	03/19/13
64shs CANADA CAD	P	01/07/13	05/03/13

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,436.		6,133.	303.
221.		214.	7.
4,790.		4,704.	86.
5,282.		5,339.	-57.
Total		16,390.	339.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (column (h) gain minus column (k), but not less than -0-) or losses (from column (h))
			303.
			7.
			86.
			-57.
Total			339.

Form 990-PF, Page 6, Part VIII, Line 1

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person . . <input checked="" type="checkbox"/> Business . <input type="checkbox"/> GABRIELLA AVELLINO 280 ROUND HILL ROAD GREENWICH CT 06831	SECY&DIRECTOR 1.00	0.	0.	0.
Person . . <input checked="" type="checkbox"/> Business . <input type="checkbox"/> FRANK E. SELNES 47 POTTER AVE. STATEN ISLAND NY 10312	VP & DIRECTOR 1.00	0.	0.	0.
Person . . <input checked="" type="checkbox"/> Business . <input type="checkbox"/> JOHN T. AVELLINO 280 ROUND HILL ROAD GREENWICH CT 06831	<i>Treasurer</i> AVP&DIRECTOR 1.00	0.	0.	0.

Total

0. 0. 0.

Form 990-PF, Page 11, Part XV, line 3a

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
NEW YORK BOTANICAL GARDENS 200TH ST. & KAZIMIROFF BLVD BRONX NY 10458	N/A	TAX EXEMPT	UNRESTRICTED GIFT	Person or Business <input checked="" type="checkbox"/> 5,000.
GARDEN CLUB OF AMERICA 14 EAST 50th ST., NY NY 10022	N/A	TAX EXEMPT	UNRESTRICTED GIFT	Person or Business <input checked="" type="checkbox"/> 24,500.
MUSEUM OF ART and DESIGN TWO COLUMBUS CIRCLE NEW YORK NY 10019	N/A	TAX EXEMPT	UNRESTRICTED GIFT	Person or Business <input checked="" type="checkbox"/> 500.
AMERICAN SOCIETY OF HYPERTENSION 45 MAIN ST SUITE #712 BROOKLYN NY 11201	N/A	TAX EXEMPT	RESTRICTED TO INDIVIDUALS UNABLE TO PAY FOR CARE	Person or Business <input checked="" type="checkbox"/> 1,000.
MEALS ON WHEELS 89 MAPLE AVENUE GREENWICH CT 06831	N/A	TAX EXEMPT	UNRESTRICTED GIFT	Person or Business <input checked="" type="checkbox"/> 500.
BOSTON UNIV MED SCHOOL 72 E. CONCORD ST L-219 BOSTON MA 06878	N/A	TAX EXEMPT	RESTRICTED GIFT TO CAPITAL CAMPAIGN BUILDING ON CAMPUS	Person or Business <input checked="" type="checkbox"/> 15,000.
RUSSIAN ARTS MUSIC FOUNDATION 225 WEST 106th ST STE 15M NEW YORK NY 10025	N/A	TAX EXEMPT	UNRESTRICTED GIFT	Person or Business <input checked="" type="checkbox"/> 2,500.
NATIONAL GARDEN CLUBS 4401 MAGNOLIA AVANUE ST. LOUIS MO 63110	N/A	TAX EXEMPT	RESTRICTED TO FLOWER SHOWS & FUNDRAISING	Person or Business <input checked="" type="checkbox"/> 6,000.
EXCHANGE FOR WOMEN'S WORK INC 28 SHERWOOD PLACE GREENWICH CT 06830	N/A	TAX EXEMPT	UNRESTRICTED GIFT	Person or Business <input checked="" type="checkbox"/> 2,500.
THE HORTICULTURAL SOCIETY OF NY 148 WEST 37TH STREET 13TH FL NEW YORK NY 10018	N/A	TAX EXEMPT	UNRESTRICTED GIFT	Person or Business <input checked="" type="checkbox"/> 11,000.
AUDUBON SOCIETY OF AMERICA 613 RIVERSVILLE ROAD GREENWICH CT 06831	N/A	TAX EXEMPT	RESTRICTED GIFT FOR OPEN SPACE	Person or Business <input checked="" type="checkbox"/> 3,000.
COOPER HEWITT, NATL DESGN MUSE OF SMITHSONIAN INST TWO E 91ST STREET NEW YORK NY 10128	N/A	TAX EXEMPT	UNRESTRICTED GIFT	Person or Business <input checked="" type="checkbox"/> 1,000.
GARDEN EDUCATION CENTER PO BOX 1600 GREENWICH CT 06831	N/A	TAX EXEMPT	UNRESTRICTED GIFT	Person or Business <input checked="" type="checkbox"/> 18,000.
SOCIETY OF THE FOUR ARTS FOUR ARTS PLAZA PALM BEACH FL 33480	N/A	TAX EXEMPT	UNRESTRICTED GIFT	Person or Business <input checked="" type="checkbox"/> 2,500.
PENNSYLVANIA HORTICULTURAL SOCIETY 100 NORTH 20TH ST. 5TH FLR PHILADELPHIA PA 19103	N/A	TAX EXEMPT	UNRESTRICTED GIFT	Person or Business <input checked="" type="checkbox"/> 13,500.

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Continued

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year THE UNIVERSITY OF PENNSYLVANIA 3401 WALNUT STREET PHILADELPHIA PA 19104	N/A	TAX EXEMPT	UNRESTRICTED GIFT	Person or Business <input checked="" type="checkbox"/> 500.

Total

107,000.

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ROY J MACCHIAROLA CPA	ACCOUNTING AND TAX SERVICES	8,000.	6,400.		1,600.
Total		<u>8,000.</u>	<u>6,400.</u>		<u>1,600.</u>

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MERRILL LYNCH	INVESTMENT ADVISOR	6,326.	6,326.		
DSM CAPITAL	INVESTMENT ADVISOR	11,787.	11,787.		
UBS - INVEST A/C	INVESTMENT ADVISOR	1,053.	1,053.		
UBS - BUSINESS A/C	INVESTMENT ADVISOR	150.	150.		
MORGAN STAN - PMAA	INVESTMENT ADVISOR	556.	556.		
Total		<u>19,872.</u>	<u>19,872.</u>		

Form 990-PF, Line 19

Allocated Depreciation

Description	Date Acquire	Cost or Basis	Prior Yr. Depr	Mthd	Life	Current Depr	Net Invest Income	Adjusted Net Income
COMPUTER EQUIPMENT	01/04/99	8226	8226	SL	5.00	0		

Form 990-PF, Line 19

Continued

Allocated Depreciation

Description	Date Acquire	Cost or Basis	Prior Yr. Depr	Mthd	Life	Current Depr	Net Invest Income	Adjusted Net Income
FURNISHINGS	03/01/00	2290	2290	200DB	5.00	0		
FURNITURE & FIXTURES	03/01/00	4272	4272	200DB	7.00	0		
OFFICE EQUIPMENT	03/01/00	4270	4270	200DB	5.00	0		
OFFICE EQUIPMENT	07/22/02	930	930	SL	5.00	0		
OFFICE FURNITURE	07/01/02	4674	4660	SL	7.00	0		
FURNISHINGS	07/01/02	6128	6128	SL	7.00	0		
FURNISHINGS	07/01/03	1221	1221	200DB	7.00	0		
FURNITURE & FIXTURES	07/01/03	9007	9007	200DB	5.00	0		
OFFICE FURNITURE	07/01/04	8212	8212	200DB	7.00	0		
OFFICE EQUIPMENT	07/01/04	3847	3847	200DB	5.00	0		
OFFICE FURNITURE	07/01/05	2240	2240	SL	7.00	0		
COMPUTER EQUIPMENT	07/01/05	2440	2440	SL	5.00	0		
COMPUTER EQUIPMENT	07/01/08	3607	3246	SL	5.00	361	361	

Total

361

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
SEE SUPPORTING SCHEDULE ATTACHED - MORG STAN AAA	106,982.	624,524.
SEE SUPPORTING SCHEDULE ATTACHED - RBC ADVISORS	881,964.	1,244,499.
SEE SUPPORTING SCHEDULE ATTACHED - RBC ADVISORS	144,354.	206,254.
SEE SUPPORTING SCHEDULE ATTACHED - MORG STAN PMAA	109,152.	136,203.
Total	<u>1,242,452.</u>	<u>2,211,480.</u>

Form 990-PF, Page 2, Part II, Line 13

L-13 Stmt

Line 13 - Investments - Other:	End of Year	
	Book Value	Fair Market Value
13283shs PIMCO TOTAL RETURN FD (MORG STAN AAA)	147,809.	141,996.
3050shs ISHARES GOLD TRUST (MORG STAN AAA)	49,135.	35,624.
427shs ALERIAN MLP ETF (MORG STAN PMAA)	7,082.	7,597.
1580shs COHEN & STEERS PREF SEC&INC (MORG STAN PMAA)	21,255.	20,348.
1442shs LORD ABBETT FLT RT F (MORG STAN PMAA)	13,600.	13,683.
1284shs ANGEL OAK MULTI STRATEGY (UBS)	15,640.	15,439.
Total	<u>254,521.</u>	<u>234,687.</u>

Form 990-PF, Page 2, Part II, Line 11

L-11 Stmt

Line 11b - Description of Investments Land, Buildings and Equipment	(a) Cost/Other Basis	(b) Accumulated Depreciation	(c) Book Value
OFFICE FURNISHINGS	9,639.	9,639.	0.
OFFICE FURNITURE & FIXTURES	28,405.	28,391.	14.
OFFICE EQUIPMENT	9,047.	9,047.	0.
COMPUTER HARDWARE	14,273.	14,273.	0.
Total	<u>61,364.</u>	<u>61,350.</u>	<u>14.</u>

Form 990-PF, Page 2, Part II, Line 15

Other Assets Stmt

Line 15 - Other Assets:	Beginning Year Book Value	End of Year	
		Book Value	Fair Market Value
ACCRUED INTEREST RECEIVABLE	2,708.	0.	0.
NYS CHARITIES RECEIVABLE	250.	250.	250.
Total	<u>2,958.</u>	<u>250.</u>	<u>250.</u>

Supporting Statement of:

Form 990-PF, p2/Line 2(a)

Description	Amount
CASH IN BANK - CITIBANK	137,434.
CASH IN BANK - ML EMA	2,675.
CASH IN BANK - RBC ADVISORS	149,970.
CASH IN BANK - UBS FINANCIAL	23,187.
CASH IN BANK - UBS FINANCIAL MONEY MKT	50,000.
Total	363,266.

Supporting Statement of:

Form 990-PF, p2/Line 17(a)

Description	Amount
ACCRUED EXPENSES	4,000.
ACCRUED FED EXCISE TAX	700.
ACCRUED NYS CHARITIES FEE	250.
Total	4,950.

Supporting Statement of:

Form 990-PF, p2/Line 2(b)

Description	Amount
CASH IN BANK - CITIBANK NA	72,462.
CASH - MERRILL LYNCH EMA	2.
CASH - MORGAN STANLEY PMAA A/C	10,553.
CASH - RBC ADVISORS	6,527.
Total	89,544.

Supporting Statement of:

Form 990-PF, p2/Line 17(b)

Description	Amount
ACCRUED EXPENSES PAYABLE	4,000.
ACCRUED FED EXCISE TAX PAYABLE	1,500.
ACCRUED NYS CHARITIES FEE	250.
Total	5,750.

Supporting Statement of:

Form 990-PF, p12/Line 4 Column (e)

Description	Amount
DIVIDENDS - MERRILL LYNCH	18,878.
DIVIDENDS - UBS INVEST A/C	3,174.
DIVIDENDS - MORGAN STANLEY PMAA	2,205.
DIVIDENDS - RBC ADVISORS	12,836.
INTEREST INCOME - CITIBANK	45.
INTEREST INCOME - MERRILL LYNCH	3,264.
INTEREST INCOME - MORGAN STANLEY PMAA	1.
Total	<u>40,403.</u>