Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public.**

▶ **Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation LISA WENDEL MEMORIAL FOUNDATION
C/O DORFMAN MIZRACH & THALER, LLP

A Employer identification number 13-3217479

Number and street (or P O box number if mail is not delivered to street address) 555 ROUTE ONE SOUTH

Room/suite

B Telephone number (see instructions) (732) 404-1860

City or town, state or province, country, and ZIP or foreign postal code ISELIN, NJ 08830

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 3,666,843.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1 Contributions, gifts, grants, etc , received (attach schedule)	61,243.	ATCH 1		
2 Check ▶ ☐ if the foundation is **not** required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	96,528.	96,528.	96,528.	ATCH 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	29,588.			
b Gross sales price for all assets on line 6a 1,428,798.				
7 Capital gain net income (from Part IV, line 2)		26,478.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 **Total.** Add lines 1 through 11	187,359.	123,006.	96,528.	
Operating and Administrative Expenses 13 Compensation of officers, directors, trustees, etc	0			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions) ATCH 3	1,219.	219.	219.	
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	1,524.			1,524.
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 4	12,582.	3,260.	3,260.	9,072.
24 **Total operating and administrative expenses.** Add lines 13 through 23	15,325.	3,479.	3,479.	10,596.
25 Contributions, gifts, grants paid	187,279.			187,279.
26 **Total expenses and disbursements** Add lines 24 and 25	202,604.	3,479.	3,479.	197,875.
27 Subtract line 26 from line 12				
a **Excess of revenue over expenses and disbursements**	-15,245.			
b **Net investment income** (if negative, enter -0-)		119,527.		
c **Adjusted net income** (if negative, enter -0-)			93,049.	

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	1,255,230.	1,557,298.	1,565,604.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 5	1,084,335.	733,715.	832,998.
	c	Investments - corporate bonds (attach schedule) ATCH 6	1,192,960.	1,226,267.	1,268,241.
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	3,532,525.	3,517,280.	3,666,843.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ [X] **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	3,532,525.	3,517,280.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, ▶ [ ] **check here and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	3,532,525.	3,517,280.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	3,532,525.	3,517,280.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,532,525.
2	Enter amount from Part I, line 27a	2	-15,245.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,517,280.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,517,280.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	26,478.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	149,978.	3,629,874.	0.041318
2011	116,800.	3,663,222.	0.031884
2010	217,406.	3,607,806.	0.060260
2009	233,551.	3,632,720.	0.064291
2008	162,311.	3,642,663.	0.044558

2 **Total** of line 1, column (d)	2	0.242311
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.048462
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	3,628,662.
5 Multiply line 4 by line 3	5	175,852.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,195.
7 Add lines 5 and 6	7	177,047.
8 Enter qualifying distributions from Part XII, line 4	8	197,875.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ______________ (attach copy of letter if necessary - see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	1,195.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	1,195.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	1,195.
6	Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a 2,571.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	2,571.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	1,376.
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 1,376. **Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ GERALD WENDEL Telephone no ▶ (702)735-3911

Located at ▶ 777 JOYCE LANE INCLINE VILLAGE, NV ZIP+4 ▶ 89451

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 7		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		0

Total number of others receiving over $50,000 for professional services . . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 . . . . . ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	2,052,898.
b	Average of monthly cash balances	1b	1,631,023.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	3,683,921.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,683,921.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	55,259.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,628,662.
6	**Minimum investment return.** Enter 5% of line 5	6	181,433.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	181,433.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,195.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,195.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	180,238.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	180,238.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	180,238.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	197,875.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	197,875.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,195.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	196,680.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				180,238.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			177,566.	
b Total for prior years 20 11 ,20 10 ,20 09				
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f **Total** of lines 3a through e	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 197,875.				
a Applied to 2012, but not more than line 2a			177,566.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				20,309.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				159,929.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 8				
Total . . . . ▶ **3a**				187,279.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	96,528.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	29,588.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				126,116.	

13 Total. Add line 12, columns (b), (d), and (e) . . . . 13 126,116.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Gerald Wendel | 5/5/14 | Trustee/Pres.

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
DAVID DORFMAN		5/12/14		
Firm's name ▶ DORFMAN MIZRACH & THALER, LLP			Firm's EIN ▶	
Firm's address ▶ 555 ROUTE ONE SOUTH ISELIN, NJ 08830			Phone no 732-404-1860	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs gov/form990.***

OMB No 1545-0047

2013

Name of the organization
LISA WENDEL MEMORIAL FOUNDATION
C/O DORFMAN MIZRACH & THALER, LLP

Employer identification number
13-3217479

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
LISA WENDEL MEMORIAL FOUNDATION C/O DORFMAN MIZRACH & THALER, LLP	13-3217479

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	GERALD WENDEL 777 JOYCE LANE INCLINE VILLAGE, NV 89451	$ 60,993.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
2	JAMES & DANIELLE MASON 3 BRADFORD TERRACE MIDDLETOWN, NJ 07748	$ 250.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization	Employer identification number
LISA WENDEL MEMORIAL FOUNDATION C/O DORFMAN MIZRACH & THALER, LLP	13-3217479

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization LISA WENDEL MEMORIAL FOUNDATION
C/O DORFMAN MIZRACH & THALER, LLP

Employer identification number
13-3217479

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year. (Enter this information once See instructions) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property / Description	P or D	Date acquired	Date sold	Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)
SCHEDULE ATTACHED - MERRILL LYNCH 02011 PROPERTY TYPE: SECURITIES	P	VAR	VAR	144,705.		135,333.				9,447.
SCHEDULE ATTACHED - MERRILL LYNCH 02011 PROPERTY TYPE: SECURITIES	P	VAR	VAR	42,081.		34,172.				7,913.
4M PIMCO 0-5 YEAR H/Y CORP BOND PROPERTY TYPE: SECURITIES	P	10/19/2012	05/30/2013	419,161.		411,047.				8,114.
25M AVON PRODUCTS INC 5.625% PROPERTY TYPE: SECURITIES	P	12/08/2011	04/15/2013	26,086.		25,748.				338.
50M DELL INC 5.625% PROPERTY TYPE: SECURITIES	P	05/07/2009	11/29/2013	50,947.		50,281.				666.
100M JP MORGAN CHASE & CO 5.75% PROPERTY TYPE: SECURITIES	P	10/20/2011	01/02/2013	100,000.		100,000.				
97M CD CIT BANK 5.0% PROPERTY TYPE: SECURITIES	P	09/18/2006	09/30/2013	97,000.		97,000.				
98M CD PIONEER BK 4.8% PROPERTY TYPE: SECURITIES	P	04/09/2007	04/25/2013	98,000.		98,000.				
50M CD BMO HARRIS BK 3.65% PROPERTY TYPE: SECURITIES	P	01/28/2008	02/13/2013	50,000.		50,000.				
98M CD BMW BK OF NORTH AMER 3.5% PROPERTY TYPE: SECURITIES	P	01/28/2008	02/06/2013	98,000.		98,000.				
98M CD WEBSTER BANK 3.5% PROPERTY TYPE: SECURITIES	P	02/04/2008	02/20/2013	98,000.		98,000.				
98M CD CAPMARK BANK 3.65% PROPERTY TYPE: SECURITIES	P	02/04/2008	02/13/2013	98,000.		98,000.				
TOTAL GAIN(LOSS)										26,478.

FORM 990PF, PART I - CONTRIBUTIONS, GIFTS AND GRANTS RECEIVED

ATTACHMENT 1

NAME AND ADDRESS	DATE	DIRECT PUBLIC SUPPORT
GERALD WENDEL 777 JOYCE LANE INCLINE VILLAGE, NV 89451	12/09/2013	60,993.
JAMES & DANIELLE MASON 3 BRADFORD TERRACE MIDDLETOWN, NJ 07748	04/20/2013	250.
TOTAL CONTRIBUTION AMOUNTS		61,243.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
MERRILL LYNCH		96,528.	96,528.	96,528.
	TOTAL	96,528.	96,528.	96,528.

FORM 990PF, PART I - TAXES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
FOREIGN TAXES		219.	219.	219.
FEDERAL TAX		1,000.		
	TOTALS	1,219.	219.	219.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
BANK CHARGES	2,535.	2,535.	2,535.	
FOUNDATION DUES	725.	725.	725.	
INSURANCE	3,933.			3,933.
FILING FEES	250.			
RENT	5,100.			5,100.
POSTAGE	39.			39.
TOTALS	12,582.	3,260.	3,260.	9,072.

ATTACHMENT 5

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
VARIOUS STOCKS	733,715.	832,998.
TOTALS	733,715.	832,998.

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
GOLDMAN SACHS GROUP INC	51,730.	53,155.
CONOCOPHILLIPS	51,354.	55,944.
COCA-COLA CO	51,833.	56,930.
DELL INC.		
E.I. DUPONT DE NEMOURS	8,006.	8,014.
JP MORGAN CHASE	42,113.	42,357.
MORGAN STANLEY	100,681.	101,907.
WAL-MART STORES INC.	103,354.	112,448.
WAL-MART STORES INC.	104,827.	115,559.
APACHE CORPORATION	77,702.	84,292.
HEWLETT PACKARD CO	50,476.	50,801.
COCA-COLA ENTERPISES		
MERCK & CO INC	51,085.	52,533.
PROCTER AND GAMBLE CO	50,526.	52,110.
CISCO SYSTEMS	100,408.	102,189.
AVON PRODUCTS INC		
GEORGIA PACIFIC CORP	53,746.	54,746.
JP MORGAN CHASE & CO	122,243.	121,722.
JP MORGAN CHASE & CO		
GOLDMAN SACHS GROUP	206,183.	203,534.
TOTALS	1,226,267.	1,268,241.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 7

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION
GERALD WENDEL 777 JOYCE LANE INCLINE VILLAGE, NV 89451	PRESIDENT
THOMAS BERGMAN 4695 LAKE FOREST DRIVE CINCINNATI, OH 45242	VICE-PRESIDENT
MICHAEL WENDEL 155 EAST 29TH STREET NEW YORK, NY 10016	TREASURER
DAVID DORFMAN 555 ROUTE ONE SOUTH ISELIN, NJ 08830	SECRETARY
BRIAN WENDEL 2800 NIELSON WAY, SUITE 206 SANTA MONICA, CA 90405	
DIANE WENDEL 777 JOYCE LANE INCLINE VILLAGE, NV 89451	

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 8

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MISSION HILLS SCHOLARSHIPS DINAH SHORE RD RANCHO MIRAGE, CA 92270	NONE PC	GENERAL CHARITABLE PURPOSES	525
AMERICAN HEART ASSN. 1700 IOWA AVE RIVERSIDE, CA 92507	NONE PC	GENERAL CHARITABLE PURPOSES	2,250.
MERCY & SHARING 201 NORTH MILL STREET, SUITE 201 ASPEN, CO 81611	NONE PC	GENERAL CHARITABLE PURPOSES	5,000
COMPASSIONATE CARE 400 BLACKWELL ST DOVER, NJ 07801	NONE PC	GENERAL CHARITABLE PURPOSES	200
INTERFAITH COMMUNITY SVS 75 E 3RD ST NEW YORK, NY 10003	NONE PC	GENERAL CHARITABLE PURPOSES	350
KASE ANIMAL SHELTER PO BOX 682 THOUSAND PALMS, CA 92276	NONE PC	GENERAL CHARITABLE PURPOSES	200.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 8 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ROCKINGHAM VNA 137 EPPING ROAD EXETER, NH 03833	NONE PC	GENERAL CHARITABLE PURPOSES	100
LAKE TAHOE SUMMERFEST 948 INCLINE WAY INCLINE VILLAGE, NV 89451	NONE PC	GENERAL CHARITABLE PURPOSES	5,000
NATHAN ADELSON HOSPICE 4131 SWENSON ST LAS VEGAS, NV 89119	NONE PC	GENERAL CHARITABLE PURPOSES	3,000
LEUKEMIA SOCIETY PO BOX 9031 PITTSFIELD, MA 01201	NONE PC	GENERAL CHARITABLE PURPOSES	250.
GODS LITTLE ACRES 4551 NW 39TH AVE COCONUT CREEK, FL 33073	NONE PC	GENERAL CHARITABLE PURPOSES	2,000
ADAPTIVE SPORTS FDN PO BOX 266 WINDHAM, NY 12496	NONE PC	GENERAL CHARITABLE PURPOSES	10,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 8 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
RED, WHITE AND TAHOE BLUE PO BOX 3789 INCLINE VILLAGE, NV 89450	NONE PC	GENERAL CHARITABLE PURPOSES	250
AMERICAN RED CROSS 2025 E STREET WASHINGTON, DC 20006	NONE PC	GENERAL CHARITABLE PURPOSES	1,000
CIRCLE OF HELP 1011 GOODRICH BLVD LOS ANGELES, CA 90022	NONE PC	GENERAL CHARITABLE PURPOSES	1,000
SIERRA NEVADA COLLEGE 999 TAHOE BLVD INCLINE VILLAGE, NV 89451	NONE PC	GENERAL CHARITABLE PURPOSES	101,000
OASIS SANCTUARY FDN PO BOX 2166 SCOTTSDALE, AZ 85252	NONE PC	GENERAL CHARITABLE PURPOSES	1,000
DOCTORS WITHOUT BORDERS 333 SEVENTH AVE NEW YORK, NY 10001	NONE PC	GENERAL CHARITABLE PURPOSES	1,500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 8 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
PLANNED PARENTHOOD 3220 W CHARLESTON BLVD LAS VEGAS, NV 89102	NONE PC	GENERAL CHARITABLE PURPOSES	6,000
PHYSICANS COMM FOR RESP MEDICINE 5100 WISCONSIN AVE WASHINGTON, DC 20016	NONE PC	GENERAL CHARITABLE PURPOSES	1,000
HOSPICE OF THE VALLEY PO BOX 3768 BASALT, CO 81614	NONE PC	GENERAL CHARITABLE PURPOSES	100
HONORS 520 W 57TH STREET NEW YORK, NY 10107	NONE PC	GENERAL CHARITABLE PURPOSES	250
INCLINE VILLAGE COMM HOSPITAL 880 ALDER AVE INCLINE VILLAGE, NV 89451	NONE PC	GENERAL CHARITABLE PURPOSES	5,000
ALL HALLOWS SCHOOL 2390 NAUTILUS ST LA JOLLA, CA 92037	NONE PC	GENERAL CHARITABLE PURPOSES	1,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 8 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ST BALLDRICKS 1333 S. MAYFLOWER AVE MONROVIA, CA 91016	NONE PC	GENERAL CHARITABLE PURPOSES	100.
NY COALITION FOR HEALTY SCHOOLS PO BOX 737 MAMARONECK, NY 10543	NONE PC	GENERAL CHARITABLE PURPOSES	1,500
KID'S N HORSES 2869 ESAW ST MINDEN, NV 89451	NONE PC	GENERAL CHARITABLE PURPOSES	1,000
RENOWN HEALTH FDN 1155 MILL ST RENO, NV 89502	NONE PC	GENERAL CHARITABLE PURPOSES	9,000
LAS VEGAS SUMMER CAMP FUND 2360 CORPORATE CR HENDERSON, NV 89074	NONE PC	GENERAL CHARITABLE PURPOSES	500.
THREE SQUARE FOOD BANK 4190 N PECOS LAS VEGAS, NV 89115	NONE PC	GENERAL CHARITABLE PURPOSES	250

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 8 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ST JUDE CHILDRENS 501 ST. JUDE PLACE MEMPHIS, TN 38105	NONE PC	GENERAL CHARITABLE PURPOSES	1,000.
MERCY FOR ANIMALS 8033 SUNSET BLVD LOS ANGELES, CA 90046	NONE PC	GENERAL CHARITABLE PURPOSES	1,000
TAHOE FAMILY SOLUTIONS 948 INCLINE WAY INCLINE VILLAGE, NV 89451	NONE PC	GENERAL CHARITABLE PURPOSES	1,950
COSTA RICAN SCHOOLS COSTA RICA	NONE PC	GENERAL CHARITABLE PURPOSES	11,804
MC CALLUM THEATRE 73000 FRED WARING DRIVE PALM DESERT, CA 92260	NONE PC	GENERAL CHARITABLE PURPOSES	11,200.
		TOTAL CONTRIBUTIONS PAID	187,279.

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2013

Name of estate or trust: LISA WENDEL MEMORIAL FOUNDATION
C/O DORFMAN MIZRACH & THALER, LLP

Employer identification number: 13-3217479

Note: *Form 5227 filers need to complete **only** Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked	563,866.	546,380.	75.	17,561.
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked				

4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	
6 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet	6	( )
7 **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back ▶	7	17,561.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked	42,081.	34,172.	4.	7,913.
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked	822,851.	818,737.		4,114.
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked				

11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	12	
13 Capital gain distributions	13	
14 Gain from Form 4797, Part I	14	
15 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet	15	( )
16 **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back ▶	16	12,027.

Part III Summary of Parts I and II
Caution: *Read the instructions* ***before*** *completing this part.*

			(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
17	**Net short-term gain or (loss)**	17			17,561.
18	**Net long-term gain or (loss):**				
a	Total for year	18a			12,027.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b			
c	28% rate gain	18c			
19	**Total net gain or (loss).** Combine lines 17 and 18a ▶	19			29,588.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV If line 19, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
a The loss on line 19, column (3) **or** b $3,000 . . . 20 ( )

Note: *If the loss on line 19, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if*

- *Either line 18b, col (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			21			
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22					
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23					
24	Add lines 22 and 23	24					
25	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	25					
26	Subtract line 25 from line 24 If zero or less, enter -0-			26			
27	Subtract line 26 from line 21 If zero or less, enter -0-			27			
28	Enter the **smaller** of the amount on line 21 or $2,450			28			
29	Enter the **smaller** of the amount on line 27 or line 28			29			
30	Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at 0% ▶					30	
31	Enter the smaller of line 21 or line 26			31			
32	Subtract line 30 from line 26			32			
33	Enter the **smaller** of line 21 or $11,950			33			
34	Add lines 27 and 30			34			
35	Subtract line 34 from line 33 If zero or less, enter -0-			35			
36	Enter the **smaller** of line 32 or line 35			36			
37	Multiply line 36 by 15% ▶					37	
38	Enter the amount from line 31			38			
39	Add lines 30 and 36			39			
40	Subtract line 39 from line 38 If zero or less, enter -0-			40			
41	Multiply line 40 by 20% ▶					41	
42	Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			42			
43	Add lines 37, 41, and 42			43			
44	Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			44			
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶					45	

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No 12A

Name(s) shown on return: LISA WENDEL MEMORIAL FOUNDATION

Social security number or taxpayer identification number: 13-3217479

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term For long-term transactions, see page 2

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 1a, you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

[X] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[ ] **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
SCHEDULE ATTACHED - MERRILL LYNCH 0201	VAR	VAR	144,705.	135,333.	W	75.	9,447.
4M PIMCO 0-5 YEAR H/Y CORP BOND	10/19/2012	05/30/2013	419,161.	411,047.			8,114.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			563,866.	546,380.		75.	17,561.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)
LISA WENDEL MEMORIAL FOUNDATION

Social security number or taxpayer identification number
13-3217479

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

- [X] **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- [ ] **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- [ ] **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
SCHEDULE ATTACHED - MERRILL LYNCH 02011	VAR	VAR	42,081.	34,172.	W	4.	7,913.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			42,081.	34,172.		4.	7,913.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

JSA
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Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	Social security number or taxpayer identification number
LISA WENDEL MEMORIAL FOUNDATION	13-3217479

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

- [ ] **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- [X] **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- [ ] **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
25M AVON PRODUCTS INC 5.625%	12/08/2011	04/15/2013	26,086.	25,748.			338.
100M COCA-COLA ENTERPRISES 4.25%	06/01/2010	04/05/2013	106,818.	103,708.			3,110.
50M DELL INC 5.625%	05/07/2009	11/29/2013	50,947.	50,281.			666.
100M JP MORGAN CHASE & CO 5.75%	10/20/2011	01/02/2013	100,000.	100,000.			
97M CD CIT BANK 5.0%	09/18/2006	09/30/2013	97,000.	97,000.			
98M CD PIONEER BK 4.8%	04/09/2007	04/25/2013	98,000.	98,000.			
50M CD BMO HARRIS BK 3.65%	01/28/2008	02/13/2013	50,000.	50,000.			
98M CD BMW BK OF NORTH AMER 3.5%	01/28/2008	02/06/2013	98,000.	98,000.			
98M CD WEBSTER BANK 3.5%	02/04/2008	02/20/2013	98,000.	98,000.			
98M CD CAPMARK BANK 3.65%	02/04/2008	02/13/2013	98,000.	98,000.			
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			822,851.	818,737.			4,114.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Merrill Lynch
Bank of America Corporation

Account No.	Taxpayer No.	Page
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LISA WENDEL MEMORIAL

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
ABBOTT LABS		*CUSIP Number*	*002824100*					
3.0000	Sale	08/01/12	01/07/13	99 55	95 68	0 00	3.87	
2 0000	Sale	09/13/12	01/07/13	66.36	66 37	0.00	(0 01)	
61.0000	Sale	10/19/12	01/07/13	2,024.18	1,936.15	0 00	88 03	
124.0000	Sale	12/06/12	01/07/13	4,114.74	3,839.72	0 00	275 02	
299 0000	Sale	01/02/13	01/07/13	9,921.84	9,522.82	0 00	399 02	
	Security Subtotal			***16,226.67***	***15,460.74***	***0.00***	***765.93***	
ABBVIE INC SHS		*CUSIP Number*	*00287Y109*					
3 0000	Sale	08/01/12	01/02/13	104 08	103 75	0.00	0 33	
2.0000	Sale	09/13/12	01/02/13	69.17	71 97	2 80 (W)	0 00	
61 0000	Sale	10/19/12	01/02/13	2,128 71	2,099.60	0 00	29 11	
124 0000	Sale	12/06/12	01/02/13	4,327 81	4 163.85	0 00	163 96	
2.0000	Sale	09/18/12	01/31/13	73 01	71 78	0.00 (Y)	1.23	
63.0000	Sale	01/07/13	01/31/13	2,300.10	2,172.98	0 00	127.12	
	Security Subtotal			***9,002.88***	***8,683.93***	***2.80***	***321.75***	

Merrill Lynch
Bank of America Corporation

Account No. 61Z-02011

Taxpayer No. 13-3217479

LISA WENDEL MEMORIAL

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BRISTOL-MYERS SQUIBB CO		CUSIP Number	110122108					
62 0000	Sale	12/13/12	01/31/13	2,264 42	2,039.80	0 00	224 62	
4.0000	Sale	12/13/12	07/16/13	180 75	131.60	0.00	49.15	
	Security Subtotal			**2,445.17**	**2,171.40**	**0.00**	**273.77**	
CMS ENERGY CORP		CUSIP Number	125896100					
2 0000	Sale	11/20/12	01/02/13	49 48	47 22	0 00	2 26	
49 0000	Sale	11/20/12	01/31/13	1,257.00	1,156.90	0.00	100 10	
222 0000	Sale	11/20/12	06/28/13	6,035 58	5,241 46	0.00	794 12	
243.0000	Sale	12/06/12	06/28/13	6,606.52	6,003.32	0.00	603 20	
20.0000	Sale	04/05/13	06/28/13	543 75	561.60	0 00	(17.85)	
	Security Subtotal			**14,492.33**	**13,010.50**	**0.00**	**1,481.83**	
CONAGRA FOODS INC		CUSIP Number	205887102					
82.0000	Sale	12/13/12	01/31/13	2,690 36	2,473.92	0.00	216 44	
DU PONT E I DE NEMOURS		CUSIP Number	263534109					
10 0000	Sale	10/16/12	01/31/13	474 88	497.27	22.39 (W)	0 00	
5.0000	Sale	10/16/12	01/31/13	237.44	248.64	0 00	(11 20)	
	Security Subtotal			**712.32**	**745.91**	**22.39**	**(11.20)**	
EATON CORP PLC		CUSIP Number	G29183103					
17.0000	Sale	12/04/12	01/31/13	961.75	882.40	0.00	79.35	
16.0000	Sale	12/04/12	07/16/13	1,090 38	826.18	0.00	264.20	
	Security Subtotal			**2,052.13**	**1,708.58**	**0.00**	**343.55**	
GENERAL ELECTRIC		CUSIP Number	369604103					
75 0000	Sale	10/02/12	01/31/13	1,672 41	1,722.00	49.59 (W)	0.00	
JPMORGAN CHASE & CO		CUSIP Number	46625H100					
29 0000	Sale	10/19/12	01/31/13	1,366 29	1,230.26	0.00	136 03	
KRAFT FOODS GROUP INC SHS		CUSIP Number	50076Q106					
1 0000	Sale	09/13/12	01/31/13	46.56	41.65	0.00	4.91	
15 0000	Sale	10/04/12	01/31/13	698 53	694.01	0.00	4 52	
	Security Subtotal			**745.09**	**735.66**	**0.00**	**9.43**	

LISA WENDEL MEMORIAL

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MERCK AND CO INC SHS		*CUSIP Number*	*58933Y105*					
89.0000	Sale	12/06/12	11/01/13	4,002 34	3 960.50	0 00	41 84	
37.0000	Sale	01/02/13	11/01/13	1,663.89	1,530.20	0.00	133.69	
19.0000	Sale	04/05/13	11/01/13	854.43	856 33	0.00	(1.90)	
208 0000	Sale	06/28/13	11/01/13	9,353 80	9,859 20	0 00	(505 40)	
	Security Subtotal			***15,874.46***	***16,206.23***	***0.00***	***(331.77)***	
MICROSOFT CORP		*CUSIP Number*	*594918104*					
7 0000	Sale	08/01/12	07/16/13	252.00	207.06	0 00	44 94	
2 0000	Sale	09/13/12	07/16/13	72 00	62 14	0.00	9 86	
300 0000	Sale	12/06/12	11/18/13	11,210.35	8 014.50	0 00	3.195.85	
33 0000	Sale	01/02/13	11/18/13	1,233 14	900.22	0 00	332 92	
73.0000	Sale	04/05/13	11/18/13	2,727.86	2,059.02	0.00	668.84	
	Security Subtotal			***15,495.35***	***11,242.94***	***0.00***	***4,252.41***	
PACCAR INC		*CUSIP Number*	*693718108*					
19 0000	Sale	02/16/12	01/31/13	915 58	860.98	0 00	54 60	
14.0000	Sale	02/16/12	01/31/13	674 65	605 13	0 00 (Y)	69.52	
	Security Subtotal			***1,590.23***	***1,466.11***	***0.00***	***124.12***	
PG&E CORP		*CUSIP Number*	*69331C108*					
326 0000	Sale	06/28/13	11/12/13	13 107 61	15,103.58	0.00	(1.995.97)	
ROYAL DUTCH SHEL PLC SPONS ADR B		*CUSIP Number*	*780259107*					
2 0000	Sale	09/13/12	02/04/13	142 48	151.24	0 00	(8.76)	
3 0000	Sale	08/01/12	02/04/13	213 73	212.22	0 00	1 51	
57 0000	Sale	10/19/12	02/04/13	4,060 94	4,008 87	0.00	52 07	
115 0000	Sale	12/06/12	02/04/13	8,193 14	7 980.66	0 00	212 48	
23 0000	Sale	01/02/13	02/04/13	1,638 64	1 643.42	0.00	(4 78)	
	Security Subtotal			***14,248.93***	***13,996.41***	***0.00***	***252.52***	
SEMPRA ENERGY		*CUSIP Number*	*816851109*					
17.0000	Sale	02/29/12	01/31/13	1,277 01	1.002.65	0.00	274.36	
2 0000	Sale	08/01/12	06/28/13	160.01	141.30	0.00	18.71	
3 0000	Sale	09/13/12	06/28/13	240 02	201 53	0 00	38 49	
44 0000	Sale	10/19/12	06/28/13	3,520 38	2.981.44	0.00	538.94	
84.0000	Sale	12/06/12	06/28/13	6,720.73	5,991.72	0.00	729 01	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SEMPRA ENERGY		*CUSIP Number*	*816851109*					
14 0000	Sale	01/02/13	06/28/13	1,120.12	1.008.62	0.00	111 50	
9.0000	Sale	04/05/13	06/28/13	720.09	729 33	0.00	(9.24)	
	Security Subtotal			***13,758.36***	***12,056.59***	***0.00***	***1,701.77***	
TIME WARNER INC SHS		*CUSIP Number*	*887317303*					
3 0000	Sale	08/01/12	03/15/13	170.03	116.19	0 00	53 84	
45.0000	Sale	10/19/12	03/15/13	2,550.50	2,016.00	0.00	534.50	
86 0000	Sale	12/06/12	03/15/13	4,874.29	3,985 24	0.00	889 05	
9 0000	Sale	01/02/13	03/15/13	510.11	440 06	0.00	70 05	
	Security Subtotal			***8,104.93***	***6,557.49***	***0.00***	***1,547.44***	
XCEL ENERGY INC		*CUSIP Number*	*98389B100*					
5.0000	Sale	08/01/12	06/28/13	141 83	146.50	0 00	(4.67)	
7.0000	Sale	09/13/12	06/28/13	198.56	198.36	0 00	0.20	
107 0000	Sale	10/19/12	06/28/13	3,035 16	2.999 75	0 00	35 41	
221.0000	Sale	12/06/12	06/28/13	6,268 90	6,008.77	0 00	260 13	
52 0000	Sale	01/02/13	06/28/13	1,475 04	1,407 11	0.00	67 93	
	Security Subtotal			***11,119.49***	***10,760.49***	***0.00***	***359.00***	
Covered Short Term Capital Gains and Losses Subtotal				**144,705.01**	**135,332.74**	**74.78**	**9,447.05**	
NET SHORT TERM CAPITAL GAINS AND LOSSES				**144,705.01**	**135,332.74**	**74.78**	**9,447.05**	

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ABBOTT LABS		*CUSIP Number*	*002824100*					
3 0000	Sale	09/06/11	01/07/13	99 55	72 88	0 00	26 67	
19 0000	Sale	10/03/11	01/07/13	630.48	460.00	0.00	170 48	
18 0000	Sale	11/09/11	01/07/13	597.30	458.28	0 00	139 02	
	Security Subtotal			***1,327.33***	***991.16***	***0.00***	***336.17***	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ALTRIA GROUP INC		*CUSIP Number*	*02209S103*					
3 0000	Sale	09/06/11	01/31/13	101 39	80.09	0 00	21 30	
18 0000	Sale	10/03/11	01/31/13	608.36	483.75	0.00	124 61	
7 0000	Sale	11/09/11	01/31/13	236.59	190.79	0.00	45 80	
	Security Subtotal			***946.34***	***754.63***	***0.00***	***191.71***	
ABBVIE INC SHS		*CUSIP Number*	*00287Y109*					
3 0000	Sale	09/06/11	01/02/13	104.32	79 03	0.00	25 29	
19 0000	Sale	10/03/11	01/02/13	662 94	498.83	0 00	164 11	
18 0000	Sale	11/09/11	01/02/13	627 77	496.97	0.00	130 80	
	Security Subtotal			***1,395.03***	***1,074.83***	***0.00***	***320.20***	
AT&T INC		*CUSIP Number*	*00206R102*					
8.0000	Sale	09/06/11	01/31/13	277 61	221.91	0 00	55.70	
18 0000	Sale	10/03/11	01/31/13	624 63	510.21	0.00	114 42	
	Security Subtotal			***902.24***	***732.12***	***0.00***	***170.12***	
BCE INC		*CUSIP Number*	*05534B760*					
4.0000	Sale	09/06/11	01/31/13	177 35	154.68	0.00	22 67	
17 0000	Sale	10/03/11	01/31/13	753 77	629.89	0.00	123 88	
	Security Subtotal			***931.12***	***784.57***	***0.00***	***146.55***	
CHEVRON CORP		*CUSIP Number*	*166764100*					
8.0000	Sale	09/06/11	01/31/13	930 73	751.68	0.00	179.05	
10 0000	Sale	10/03/11	01/31/13	1,163.43	902.30	0.00	261 13	
	Security Subtotal			***2,094.16***	***1,653.98***	***0.00***	***440.18***	
DIGITAL RLTY TR INC		*CUSIP Number*	*253868103*					
5 0000	Sale	10/03/11	01/31/13	332.61	266.71	0 00	65.90	
1 0000	Sale	11/09/11	01/31/13	66.53	62 84	0.00	3 69	
	Security Subtotal			***399.14***	***329.55***	***0.00***	***69.59***	
INTEL CORP		*CUSIP Number*	*458140100*					
18.0000	Sale	09/06/11	01/31/13	382.72	348 59	0 00	34.13	
31 0000	Sale	10/03/11	01/31/13	659 14	641.69	0.00	17 45	
	Security Subtotal			***1,041.86***	***990.28***	***0.00***	***51.58***	

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed		Gain or (Loss)	Remarks
KIMBERLY CLARK		CUSIP Number	494368103						
2 0000	Sale	09/06/11	01/31/13	176 93	136 25	0.00		40 68	
14 0000	Sale	10/03/11	01/31/13	1,238.58	991.48	0 00		247.10	
2 0000	Sale	11/09/11	01/31/13	176 94	140.09	0.00		36.85	
	Security Subtotal			***1,592.45***	***1,267.82***	***0.00***		***324.63***	
MERCK AND CO INC SHS		CUSIP Number	58933Y105						
4.0000	Sale	09/06/11	01/31/13	174 14	127.54	0.00		46 60	
15 0000	Sale	10/03/11	01/31/13	653 06	477 75	0 00		175.31	
3.0000	Sale	11/09/11	01/31/13	130 62	101 53	0.00		29.09	
11 0000	Sale	11/09/11	11/01/13	494 67	372.30	0 00		122.37	
2 0000	Sale	08/01/12	11/01/13	89.94	88.96	0 00		0.98	
1.0000	Sale	09/13/12	11/01/13	44.97	44.62	0.00		0.35	
43.0000	Sale	10/19/12	11/01/13	1,933 71	2,021.43	0 00		(87 72)	
	Security Subtotal			***3,521.11***	***3,234.13***	***0.00***		***286.98***	
MCDONALDS CORP COM		CUSIP Number	580135101						
5.0000	Sale	08/19/11	01/31/13	473 59	457 99	0.00	(Y)	15.60	
5.0000	Sale	09/06/11	01/31/13	473.59	460.99	0 00	(Y)	12.60	
5 0000	Sale	09/16/11	01/31/13	473 59	441 65	0 00		31 94	
6 0000	Sale	09/16/11	01/31/13	568.31	554.50	0 00	(Y)	13.81	
	Security Subtotal			***1,989.08***	***1,915.13***	***0.00***		***73.95***	
MICROSOFT CORP		CUSIP Number	594918104						
14 0000	Sale	11/09/11	01/31/13	389 62	365.72	0.00		23 90	
35 0000	Sale	01/10/12	01/31/13	974 07	977.90	3 83	(W)	0 00	
49 0000	Sale	01/10/12	07/16/13	1,763 96	1,369 06	0 00		394 90	
35 0000	Sale	01/10/12	07/16/13	1,259 98	958.60	0.00	(Y)	301 38	
6 0000	Sale	09/13/12	11/18/13	224 20	186.40	0 00		37 80	
140 0000	Sale	10/19/12	11/18/13	5,231 50	3 996.44	0.00		1,235 06	
	Security Subtotal			***9,843.33***	***7,854.12***	***3.83***		***1,993.04***	
NEXTERA ENERGY INC SHS		CUSIP Number	65339F101						
3.0000	Sale	09/06/11	01/31/13	216.17	164.34	0 00		51.83	
11.0000	Sale	10/03/11	01/31/13	792.65	586.41	0.00		206.24	
	Security Subtotal			***1,008.82***	***750.75***	***0.00***		***258.07***	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PACCAR INC		CUSIP Number	693718108					
21 0000	Sale	02/16/12	07/16/13	1,202 22	907.70	0.00 (Y)	294 52	
10.0000	Sale	02/16/12	07/16/13	572.50	428 53	0.00 (Y)	143.97	
	Security Subtotal			***1,774.72***	***1,336.23***	***0.00***	***438.49***	
PHILIP MORRIS INTL INC		CUSIP Number	718172109					
2 0000	Sale	09/06/11	01/31/13	176.29	135.48	0 00	40 81	
6.0000	Sale	10/03/11	01/31/13	528 89	375.84	0 00	153 05	
	Security Subtotal			***705.18***	***511.32***	***0.00***	***193.86***	
ROYAL DUTCH SHEL PLC SPONS ADR B		CUSIP Number	780259107					
6.0000	Sale	09/06/11	01/31/13	441.20	381.84	0.00	59 36	
13.0000	Sale	10/03/11	01/31/13	955 96	785 26	0 00	170.70	
3.0000	Sale	10/03/11	02/04/13	213.73	181.21	0 00	32.52	
14.0000	Sale	11/09/11	02/04/13	997 42	986.86	0.00	10 56	
	Security Subtotal			***2,608.31***	***2,335.17***	***0.00***	***273.14***	
SEMPRA ENERGY		CUSIP Number	816851109					
12.0000	Sale	02/29/12	06/28/13	960 10	707 76	0.00	252.34	
SPECTRA ENERGY CORP		CUSIP Number	847560109					
25 0000	Sale	09/06/11	01/31/13	692.85	616.37	0.00	76.48	
7 0000	Sale	10/03/11	01/31/13	194 01	169 99	0.00	24.02	
33 0000	Sale	10/03/11	07/16/13	1,185.34	801 38	0 00	383 96	
19 0000	Sale	11/09/11	07/16/13	682.47	551.00	0 00	131 47	
	Security Subtotal			***2,754.67***	***2,138.74***	***0.00***	***615.93***	
TIME WARNER INC SHS		CUSIP Number	887317303					
13 0000	Sale	10/03/11	01/31/13	650 80	380.32	0 00	270 48	
2 0000	Sale	10/03/11	03/15/13	113 35	58.51	0.00	54 84	
14 0000	Sale	11/09/11	03/15/13	793 48	474.51	0.00	318 97	
	Security Subtotal			***1,557.63***	***913.34***	***0.00***	***644.29***	
TORONTO DOMINION BANK		CUSIP Number	891160509					
5 0000	Sale	10/03/11	01/31/13	415 39	339.75	0 00	75 64	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
VENTAS INC REIT		CUSIP Number	92276F100					
19.0000	Sale	10/03/11	01/31/13	1,261 00	919 03	0.00	341.97	
1 0000	Sale	11/09/11	01/31/13	66.37	52.84	0 00	13 53	
	Security Subtotal			*1,327.37*	*971.87*	*0.00*	*355.50*	
VERIZON COMMUNICATNS COM		CUSIP Number	92343V104					
14 0000	Sale	09/06/11	01/31/13	612.34	491 04	0.00	121 30	
5 0000	Sale	10/03/11	01/31/13	218 70	182.53	0.00	36.17	
	Security Subtotal			*831.04*	*673.57*	*0.00*	*157.47*	
XCEL ENERGY INC		CUSIP Number	98389B100					
18 0000	Sale	09/06/11	01/31/13	495 28	431.64	0.00	63 64	
17.0000	Sale	10/03/11	01/31/13	467 78	413.87	0 00	53.91	
13.0000	Sale	10/03/11	06/28/13	368 75	316.48	0 00	52 27	
29 0000	Sale	11/09/11	06/28/13	822 61	748 78	0 00	73 83	
	Security Subtotal			*2,154.42*	*1,910.77*	*0.00*	*243.65*	
Covered Long Term Capital Gains and Losses Subtotal				**42,080.84**	**34,171.59**	**3.83**	**7,913.08**	
NET LONG TERM CAPITAL GAINS AND LOSSES				**42,080.84**	**34,171.59**	**3.83**	**7,913.08**	
TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES				**186,785.85**				
TOTAL REPORTED SALES PROCEEDS				**186,785.85**				

(W) This transaction has been identified as a "Wash Sale' based on IRS regulations The Wash Sale Loss Disallowed column reflects the deferred loss amount The cost basis of the related transaction(s) has been adjusted by the deferred loss amount from either the cost basis on purchases or proceeds from short sales Please refer to the instructions for Form 8949 for how to report the deferred loss amount and applicable adjustment code

(Y) The gain or loss of this transaction includes an adjustment to basis for the deferred loss amount on one or more previous "Wash Sales."