Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation: BRISTOL-MYERS SQUIBB FOUNDATION, INC.

A Employer identification number: 13-3127947

Number and street (or P O box number if mail is not delivered to street address): 345 PARK AVENUE, 3RD FLOOR

Room/suite:

B Telephone number (see instructions): 212-546-4835

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10154

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 81,880,667

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	2,823,034		STATEMENT 1	
	2	Check ▶ ☐ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments	5,800	5,800	STATEMENT 2	
	4	Dividends and interest from securities				
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	2,828,834	5,800		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	61		STATEMENT 3	
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) STATEMENT 4	3,302,912		STATEMENT 4	
	24	**Total operating and administrative expenses.** Add lines 13 through 23	3,302,973			
	25	Contributions, gifts, grants paid	31,251,274			31,251,274
	26	**Total expenses and disbursements.** Add lines 24 and 25	34,554,247			31,251,274
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	(31,725,413)			
	b	**Net investment income** (if negative, enter -0-)		5,800		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments		880,232	880,232
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less. allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule) STATEMENT 5	119,600,000	81,000,000	81,000,000
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ Accrued Interest Receivable)	626	435	435
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	119,600,626	81,880,667	81,880,667
Liabilities	17	Accounts payable and accrued expenses	7,119,294	3,465,489	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ See Statement 6)	2,340,741	0	
	23	**Total liabilities** (add lines 17 through 22)	9,460,035	3,465,489	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted	110,140,591	78,415,178	
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	110,140,591	78,415,178	
	31	**Total liabilities and net assets/fund balances** (see instructions)	119,600,626	81,880,667	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	110,140,591
2	Enter amount from Part I, line 27a	2	(31,725,413)
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	78,415,178
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	78,415,178

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	31,175,633	133,516,750	0.233496
2011	28,850,815	145,486,143	0.198306
2010	35,357,136	158,775,498	0.222686
2009	20,729,066	129,992,732	0.159463
2008	28,305,789	57,993,933	0.488082

2	**Total** of line 1, column (d)	2	1.302033
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.260407
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	99,663,052
5	Multiply line 4 by line 3	5	25,952,956
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	58
7	Add lines 5 and 6	7	25,953,014
8	Enter qualifying distributions from Part XII, line 4	8	34,554,247

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	116	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0	
3	Add lines 1 and 2	3	116	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	116	
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a — 9,245			
b	Exempt foreign organizations—tax withheld at source — 6b			
c	Tax paid with application for extension of time to file (Form 8868) — 6c — 0			
d	Backup withholding erroneously withheld — 6d			
7	Total credits and payments. Add lines 6a through 6d	7	9,245	
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	9,129	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 9,129 **Refunded** ▶	11		

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✓
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ Florida			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✓

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	

Website address ▶ WWW.BMS.COM/FOUNDATION

14 The books are in care of ▶ GERARD PLUNKETT Telephone no. ▶ (609) 419-5097

Located at ▶ 345 PARK AVENUE, 3RD FL., NEW YORK, NY ZIP+4 ▶ 10154

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to.			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		✓
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 BRISTOL-MYERS SQUIBB FOUNDATION REVIEWS, EVALUATES AND ADMINISTERS REQUESTS FOR FINANCIAL SUPPORT TO STRATEGIC INITIATIVES THAT HELP ADDRESS KEY HEALTH DISPARITIES - HIV/AIDS IN AFRICA; HEPATITIS IN ASIA; SERIOUS MENTAL ILLNESS IN THE U.S. AND CANCER IN EUROPE	31,251,274
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	100,300,000
b	Average of monthly cash balances	1b	880,232
c	Fair market value of all other assets (see instructions)	1c	531
d	**Total** (add lines 1a, b, and c)	1d	101,180,763
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	101,180,763
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	1,517,711
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	99,663,052
6	**Minimum investment return.** Enter 5% of line 5	6	4,983,153

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	4,983,153
2a	Tax on investment income for 2013 from Part VI, line 5	2a	116		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	0		
c	Add lines 2a and 2b			2c	116
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	4,983,037
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	4,983,037
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	4,983,037

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	31,251,274
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	31,251,274
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	31,251,274

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				4,983,037
2	Undistributed income, if any, as of the end of 2013:				
a	Enter amount for 2012 only			0	
b	Total for prior years: 20____,20____,20____		0		
3	Excess distributions carryover, if any, to 2013:				
a	From 2008 25,417,121				
b	From 2009 14,229,429				
c	From 2010 27,419,784				
d	From 2011 21,576,889				
e	From 2012 24,499,977				
f	**Total** of lines 3a through e	113,143,200			
4	Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 31,251,274				
a	Applied to 2012, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see instructions)		0		
c	Treated as distributions out of corpus (Election required—see instructions)	0			
d	Applied to 2013 distributable amount				4,983,037
e	Remaining amount distributed out of corpus	26,268,237			
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	139,411,437			
b	Prior years' undistributed income. Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d	Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e	Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f	Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	25,417,121			
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	113,994,316			
10	Analysis of line 9:				
a	Excess from 2009 14,229,429				
b	Excess from 2010 27,419,784				
c	Excess from 2011 21,576,889				
d	Excess from 2012 24,499,977				
e	Excess from 2013 26,268,237				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

John Damonti, 345 Park Avenue, 3rd Fl., New York, NY 10154 (212) 546-4566.

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 8

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 9				31,251,274
Total . . . . ▶ 3a				31,251,274
b *Approved for future payment*				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	5,800	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				5,800	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 5,800

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee | Date 8/15/14 | Title President

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

2013

Name of the organization	**Employer identification number**
BRISTOL-MYERS SQUIBB FOUNDATION, INC.	13-3127947

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year . . . . ▶ $

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
BRISTOL-MYERS SQUIBB FOUNDATION, INC.	13-3127947

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
	BRISTOL-MYERS SQUIBB COMPANY & SUBSIDIARIES 345 PARK AVENUE, 3rd FLOOR NEW YORK, NY 10154	$ 2,823,034	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
BRISTOL-MYERS SQUIBB FOUNDATION, INC.	13-3127947

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	Employer identification number
BRISTOL-MYERS SQUIBB FOUNDATION, INC.	13-3127947

Part III ***Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry.
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

BRISTOL-MYERS SQUIBB FOUNDATION, INC.
FEIN: 13-3127947
FOR THE TAX YEAR ENDING DECEMBER 31, 2013

FORM 990PF, PART I, LINE 1 - CONTRIBUTIONS, GIFTS AND GRANTS RECEIVED

NAME AND ADDRESS

BRISTOL-MYERS SQUIBB COMPANY & SUBSIDIARIES 345 PARK AVENUE NEW YORK, NY 10154	2,823,034
TOTAL CONTRIBUTION AMOUNTS	2,823,034

BRISTOL-MYERS SQUIBB FOUNDATION, INC.(THE "FOUNDATION") IS REGISTERED AS A CHARITABLE CORPORATION AND IS ADMINISTERED BY THE BOARD OF DIRECTORS OF THE FOUNDATION THE FOUNDATION REVIEWS, EVALUATES AND ADMINISTERS REQUESTS FOR FINANCIAL SUPPORT FUNDING FOR THE FOUNDATION COMES SOLELY FROM THE BRISTOL-MYERS SQUIBB COMPANY(THE "COMPANY") AND ITS SUBSIDIARIES

BRISTOL-MYERS SQUIBB FOUNDATION, INC.
FEIN: 13-3127947
FOR THE TAX YEAR ENDING DECEMBER 31, 2013

FORM 990PF, PART I, LINE 3 - INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS

DESCRIPTIONS		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT
INTEREST ON SHORT-TERM INVESTMENTS		5,800	5,800
	TOTAL	5,800	5,800

BRISTOL-MYERS SQUIBB FOUNDATION, INC.
FEIN: 13-3127947
FOR THE TAX YEAR ENDING DECEMBER 31, 2013

FORM 990PF, PART I, LINE 18 - TAXES

DESCRIPTIONS		REVENUE AND EXPENSES PER BOOKS
BMS Foundation Filing Fee		61
	TOTAL	61

BRISTOL-MYERS SQUIBB FOUNDATION, INC.
FEIN: 13-3127947
FOR THE TAX YEAR ENDING DECEMBER 31, 2013

FORM 990PF, PART I, LINE 23 - OTHER EXPENSES

DESCRIPTIONS

BANKING SERVICE CHARGE		52
ADMINISTRATIVE EXPENSES		3,302,860
	TOTAL	3,302,912

BRISTOL-MYERS SQUIBB FOUNDATION, INC.
FEIN: 13-3127947
FOR THE TAX YEAR ENDING DECEMBER 31, 2013

FORM 990PF, PART II, LINE 13 - OTHER INVESTMENTS

DESCRIPTION		BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SHORT TERM INVESTMENTS		119,600,000	81,880,667	81,880,667
	TOTAL	119,600,000	81,880,667	81,880,667

BRISTOL-MYERS SQUIBB FOUNDATION, INC.
FEIN: 13-3127947
FOR THE TAX YEAR ENDING DECEMBER 31, 2013

FORM 990PF, PART II, LINE 22 - OTHER LIABILITIES

DESCRIPTION		BEGINNING BOOK VALUE	ENDING BOOK VALUE
CASH OVERDRAFT		2,340,741	-
	TOTAL	2,340,741	-

BRISTOL-MYERS SQUIBB FOUNDATION, INC.
FEIN: 13-3127947
FOR THE TAX YEAR ENDING DECEMBER 31, 2013

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS AND TRUSTEES

NAME AND ADDRESS

BOARD OF DIRECTORS

LAMBERTO ANDREOTTI
ROUTE 206 & PROVINCE LINE ROAD, PRINCETON, NJ 08543

CHARLES BANCROFT
ROUTE 206 & PROVINCE LINE ROAD, PRINCETON, NJ 08543

FRAN HELLER
ROUTE 206 & PROVINCE LINE ROAD, PRINCETON, NJ 08543

JOHN L DAMONTI
345 PARK AVENUE, NEW YORK, NY 10154

GIOVANNI CAFORIO
ROUTE 206 & PROVINCE LINE ROAD, PRINCETON, NJ 08543

SANDRA LEUNG
345 PARK AVENUE, NEW YORK, NY 10154

BRIAN DANIELS
ROUTE 206 & PROVINCE LINE ROAD, PRINCETON, NJ 08543

OFFICERS

JOHN L DAMONTI -- PRESIDENT
345 PARK AVENUE, NEW YORK, NY 10154

MARY VANHATTEN -- SECRETARY
ROUTE 206 & PROVINCEVLINE ROAD, PRINCETON, NJ 08543

JEFFREY GALIK -- TREASURER
ROUTE 206 & PROVINCE LINE ROAD, PRINCETON, NJ 08543

RONALD GIMBEL
ROUTE 206 & PROVINCE LINE ROAD, PRINCETON, NJ 08543

Enhancing health outcomes
around the world

Bristol-Myers Squibb Foundation
Our Staff
Reducing Health Disparities
Foundation News
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Bristol-Myers Squibb Foundation

Our commitment to corporate social responsibility has remained consistently strong since the Bristol-Myers Fund—now the Bristol-Myers Squibb Foundation—was established in 1955. Since then, our company has invested in a broad range of programs that reflect our values and serve the needs of society.

The mission of the Bristol-Myers Squibb Foundation is to promote health equity and improve the health outcomes of populations disproportionately affected by serious diseases and health conditions

Bristol-Myers Squibb Foundation, World Health Organization, Announce African TB Collaboration
Read more >>

Dr Margaret Chan, director-general, World Health Organization and Lamberto Andreotti, chief executive officer, Bristol-Myers Squibb

Learn about our Actions and Partnerships to fight Non-Communicable Diseases around the Globe ›

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Bristol-Myers Squibb

Enhancing health outcomes
around the world

Our Company | R&D | Products | News | Investors | Partnering | Responsibility | Careers

Bristol-Myers Squibb Foundation

Our Staff

Reducing Health Disparities

Foundation News

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How to Apply for Bristol-Myers Squibb Foundation Grants

We receive many requests for funding annually Bristol-Myers Squibb is proud to support strategic initiatives that help address health disparities in four key areas HIV/AIDS in Africa, hepatitis in Asia, serious mental illness in the United States and cancer in central and eastern Europe

Although we are unable to fund all requests, we will review all complete proposals that fall into the above mentioned categories Please allow at least six to eight weeks for the processing of your completed funding application Funding requests submitted without complete information may be rejected

Apply for a U S -based grant
Apply for a non-U S -based grant

Please note that in order to be eligible for a charitable contribution, any U S recipient organization must be a tax-exempt organization under Section 501(c) (3) of the U S Internal Revenue Code

Before you start the process, please be sure to have the following information available:

1 U S -- Federal tax I D number for your organization
2 U S -- Copy of your organization's 501(c)(3) document & mission statement
3 Copy of the organizing documents, i e , certificate of incorporation or bylaws
4 Description of the rules applicable to the applicant with respect to the distribution of the organization's income, if any
5 Description of the rules applicable to the organization with respect to participation in any political or similar activities
6 Description of the applicant's other sources of financial support, if any
7 If and where available and relevant, an affidavit stating that the applicant is a public charity or the equivalent of a public charity
8 Valid e-mail address
9 Verification of your role within the requesting organization
10 List of organization's board of directors and executive officers

Bristol-Myers Squibb does not provide funding for:

Individuals

Endowments

Capital Campaigns

Scholarships

Sponsorships

Church, religious, sectarian organizations

Dinners, galas, sporting events

Souvenir Journals, advertising

Political causes

Legal Notice » Privacy Policy » Trademarks

Addressing significant unmet medical needs

Foundation Staff

Foundation Board of Directors

Lamberto Andreotti, Chairman
Charles Bancroft
Giovanni Caforio, M D
John L Damonti
Sandra Leung

Foundation Staff

John L Damonti, President
Patricia Doykos
Catharine Grimes
Beryl Mohr
Phangisile Mtshali
Christine Newman
Vivienne Stewart-Seaton
Damon Young
Lilibeth Zandueta

Statement 8

BRISTOL-MYERS SQUIBB FOUNDATION, INC.
FEIN: 13-3127947
FOR THE TAX YEAR ENDING DECEMBER 31, 2013

FORM 990PF, PART XV, LINE 3A - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

			REFERENCE
BRISTOL-MYERS SQUIBB FOUNDATION PAYMENTS		31,251,274	STATEMENT 9 1
		-	
	TOTAL	31,251,274	

Payee Name	Payment Date	Amount	
Brookings Institution	1/17/2013	15,000 00	
ESF College Foundation, Inc	1/17/2013	15,000 00	
Gbc Health Inc	1/17/2013	30,000 00	
Iraq and Afghanistan Veterans of America Inc	1/17/2013	81,765 00	
Montclair State University Foundation	1/17/2013	50,000 00	
New Jersey Commission on Holocaust Education	1/17/2013	10,000 00	
World Environment Center	1/17/2013	12,000 00	
Quinnipiac University	1/18/2013	25,000 00	
Anderson Foundation for Autism	1/24/2013	5,000 00	
Alpha Consulting Corp	1/25/2013	32,951 61	
Rider University	1/25/2013	50,000 00	
			$326,716.61
United Hospital Fund	2/6/2013	-1,118,331 00	
United Hospital Fund	2/11/2013	1,003,649 00	
Rush University Medical Center	2/7/2013	199,506 00	
Volunteer Consulting Group	2/11/2013	10,000 00	
Peer Health Exchange	2/12/2013	30,000 00	
Council on Foundations	2/20/2013	15,000 00	
Johns Hopkins University	2/20/2013	5,000 00	
Partnership for Quality Medical Donations	2/20/2013	1,000 00	
Rutgers the State University of NJ	2/20/2013	79,275 00	
			$225,099.00
Alliance for Children & Families	3/11/2013	10,000 00	
NAMI Georgia Inc	3/11/2013	149,475 00	
Lynn Anyaele	3/12/2013	818 38	
Grantmakers In Health	3/14/2013	14,000 00	
Riverview Medical Center Foundation	3/15/2013	-50,857 00	
Control	3/26/2013	607,904 00	
International Society of Nurses in Cancer Care	3/26/2013	154,492 00	
issued in Dec 2012 which was voided in Feb 2013		114,682 00	
			$1,000,514.38
Cancer Research Institute Inc	4/11/2013	50,000 00	
Capital Health System Foundation Inc	4/11/2013	1,000,000 00	
Lynn Anyaele	4/11/2013	104	
Worldwide Orphans Foundation	4/11/2013	10,000 00	
Johannesburg Society for the Blind	4/12/2013	32,544 91	
Maseru Women Senior Citizens Association	4/12/2013	11,924 47	
Morehouse School of Medicine - National Center	4/18/2013	410,526 00	
TB Action Group	4/18/2013	41,028 00	
The Carter Center Inc	4/22/2013	95,118 00	
Employee Giving Program	4/26/2013	1,471,899.00	
Employee Volunteer Award Program	4/26/2013	12,315 00	
New York Academy of Medicine	4/26/2013	50,000 00	
Lynn Anyaele	4/29/2013	892 21	
Rush University Medical Center	4/29/2013	175,000 00	
			$3,361,351.59
Casita Maria Inc	5/3/2013	15,000 00	
National Council of NGOs in the DRC (CNOS)	5/10/2013	79,497 00	
World Health Organization Geneva	5/10/2013	655,000 00	
Employee Giving Program	5/15/2013	344 999 46	
St Mary Medical Center Foundation	5/20/2013	500,000 00	
Mental Health Association in New York State Inc	5/29/2013	145,489 00	
Association	5/30/2013	340,240 00	
Disability HIV and AIDS Trust	5/30/2013	73,100 00	
Alpha Consulting Corp	5/31/2013	39,518 63	
			$2,192,844.09
Swaziland Breast and Cervical Cancer Network	6/5/2013	32,217 28	
European Oncology Nursing Society	6/7/2013	35,725 52	
University of Washington School of Medicine	6/14/2013	-52,964 22	
Akhmadeeva Leila Rinatovna	6/19/2013	59,161 00	
International Society of Nurses in Cancer Care	6/19/2013	6,213 76	

Payee Name	Payment Date	Amount	
Committee Encouraging Corporate Philanthropy	6/25/2013	10,000 00	
Texas Childrens Hospital	6/26/2013	825	
			$91,178.34
Health Oriented Programmes and Education Initiative	7/1/2013	270,491 00	
Hospice Casa Sperantei	7/1/2013	31,960 00	
Liver Foundation West Bengal	7/1/2013	355,740 00	
Employee Giving Program	7/2/2013	1,030,701 00	
Employee Volunteer Award Program	7/2/2013	9,390 00	
NAMI	7/2/2013	400,000 00	
Reach Out and Read of Greater New York Inc	7/2/2013	5,000 00	
Silver Shield Foundation	7/2/2013	15,000 00	
Wildlife Habitat Council	7/2/2013	10,000 00	
National Merit Scholarship	7/12/2013	391,527 00	
New Jersey Future	7/17/2013	20,000 00	
China Soong Ching Ling Foundation	7/25/2013	280,140 00	
St Paul's University	7/25/2013	174,344 00	
Hepatitis B Foundation	7/26/2013	55,000 00	
Employee Giving Program	7/29/2013	232,546 59	
Institute of Development Management	7/29/2013	79,644 25	
Institute of Development Management	7/29/2013	75,486 06	
American Diabetes Association Inc	7/30/2013	1,000 00	
Malaria No More	7/30/2013	50,000 00	
University of North Carolina at Chapel Hill	7/30/2013	1,000 00	
			$3,488,969.90
Kate Long	8/7/2013	1,040 59	
Kate Long	8/7/2013	3,500 00	
Lynn Anyaele	8/7/2013	1,020 01	
American Association of Diabetes Educators Inc	8/9/2013	592,940 00	
Doctors with Africa CUAMM	8/9/2013	124,151 00	
Johns Hopkins University	8/9/2013	1,118,534 00	
Mississippi Public Health Institute	8/9/2013	1,052,604 00	
Trustees of Boston University	8/9/2013	350,375 00	
Johns Hopkins University	8/14/2013	19,500 00	
New York Academy of Medicine	8/14/2013	3,500 00	
Morehouse School of Medicine - National Center	8/15/2013	998 78	
Morehouse School of Medicine - National Center	8/15/2013	3,500 00	
President And Fellows of Harvard College	8/15/2013	331,719 00	
Rush University Medical Center	8/20/2013	188,072 00	
Inc	8/22/2013	2,500 00	
Sixteenth Street Community Health Center	8/22/2013	129,040 00	
All India Institute of Diabetes and Research	8/23/2013	185,953 00	
Control	8/23/2013	138,288 00	
Health Oriented Programmes and Education Initiative	8/23/2013	114,026 00	
MAMTA Health Institute for Mother & Child	8/23/2013	288,044 00	
Disease Control and Prevention, Chinese Center for	8/23/2013	95,238 00	
Shanghai Charity Foundation	8/23/2013	105,775 00	
National Council on the Aging Inc	8/27/2013	1,704,588 00	
Hudson Institute	8/28/2013	25,000 00	
American Council on Science And Health	8/29/2013	15,000 00	
James R Gavin III	8/29/2013	3,500 00	
James R Gavin III	8/29/2013	1,314 08	
Sanjivani Health & Relief Committee	8/30/2013	100,000 00	
Camp Summit Inc	8/30/2013	1,000 00	
			$6,700,720.46
Employee Giving Program	9/6/2013	3,000 00	
Alpha Consulting Corp	9/12/2013	40,633 00	
Cornell University	9/16/2013	25,000 00	
Boot Campaign Inc	9/24/2013	10,000 00	
Pregnancy	9/30/2013	15,000 00	
Seeds of Africa Foundation	9/30/2013	10,000 00	
			$103,633.00

Payee Name	Payment Date	Amount	
Advertising Council	10/8/2013	15,000 00	
Dr Lawrence Fisher	10/8/2013	2,500 00	
Dr Lawrence Fisher	10/8/2013	1,186 07	
United Negro College Fund Inc	10/8/2013	15,000 00	
Cancer Research Institute Inc	10/18/2013	50,000 00	
Research America	10/18/2013	15,000 00	
Mercy & Sharing	10/22/2013	10,000 00	
Whittier Street Health Center	10/22/2013	1,138 78	
[redacted]			
Employee Volunteer Award Program	10/23/2013	12,000 00	
Feeding America	10/24/2013	1,142,373 00	
Marshall University Research Corporation	10/24/2013	619,032 00	
The Cooper Foundation Inc	10/24/2013	689,445 00	
University of Kansas Center for Research Inc	10/24/2013	560,646 00	
Global Medical Relief Program	10/30/2013	10,000 00	
[redacted]			
			$4,350,738.23
Alpha Consulting Corp	11/5/2013	37,686 95	
Restore NYC Inc	11/5/2013	25,000 00	
And Malaria	11/7/2013	15,000 00	
Global Health Corps Inc	11/7/2013	60,000 00	
Partnership At Drugfree Org	11/7/2013	15,000 00	
Direct Relief	11/18/2013	75,000 00	
Disease Control and Prevention, Chinese Center for	11/18/2013	39,344 00	
Hospice Casa Sperantei	11/25/2013	84,073 00	
Hospices of Hope	11/25/2013	73,843 00	
Russian Nurses Association	11/25/2013	65,383 00	
Triedinstvo	11/25/2013	70,780 00	
International Society of Nurses in Cancer Care	11/26/2013	81,950 00	
Touch Foundation Inc	11/27/2013	42,055 00	
Family AIDS Caring Trust-Chiredzi	11/29/2013	38,992 00	
Healthcare	11/29/2013	31,250 00	
Giving Back Fund Inc	11/30/2013	10,000 00	
Save The Children Federation Inc	11/30/2013	75,000 00	
			$840,356.95
Africaid	12/13/2013	74,480 00	
African Medical and Research Foundation	12/13/2013	84,163 00	
Americares Inc	12/18/2013	55,000 00	
Bambisanani Project	12/4/2013	29,707 43	
Bedford Va Research Corporation	12/16/2013	170,874 00	
Cancer Association of Zimbabwe	12/5/2013	59,936 00	
Centre for Positive Care	12/18/2013	29,635 65	
Entreprises au Congo	12/13/2013	136,384 00	
Connecticut Veterans Legal Center	12/12/2013	180,240 00	
Association	12/13/2013	151,257 00	
Diane Nguyen	12/11/2013	879 51	
Discovery USA	12/12/2013	237,000 00	
Duke University	12/20/2013	2,296,652 00	
East Carolina University	12/4/2013	140,658 00	
Easter Seals Inc	12/10/2013	25,000 00	
[redacted]			
Employee Volunteer Award Program	1/23/2014	9,000 00	
Family Care International Inc	12/11/2013	20,000 00	
Foundation Femme Plus	12/13/2013	130,286 00	
George W Bush Foundation	12/18/2013	25,000 00	
God's Love We Deliver	12/16/2013	10,000 00	
Grandmothers Against Poverty and AIDS	12/18/2013	42,583 26	
Grassroots Poverty Alleviation Programme	12/4/2013	43,038 00	
Health Choice Network Of Florida Inc	12/11/2013	125,181 00	

Payee Name	Payment Date	Amount	
Humana People to People India	12/16/2013	171,541 00	
International Society of Nurses in Cancer Care	12/18/2013	9,749 00	
International Society of Nurses in Cancer Care	12/12/2013	195,425 00	
Isibani Development Partners	12/18/2013	25,929 83	
IYL Foundation Inc	12/18/2013	10,000 00	
Jane Spinner	12/10/2013	1,213 89	
Keck Graduate Institute	12/13/2013	25,000 00	
Liver Foundation West Bengal	12/16/2013	154,686 00	
Liver Foundation West Bengal	12/13/2013	156,720 00	
Marcia Thacker Valenstein	12/10/2013	1,139 00	
Mbeya NIV/AIDS Network Tanzania	12/16/2013	86,485 00	
Medical Women Association of Tanzania	12/16/2013	120,000 00	
Foundation	12/20/2013	241,381 00	
Mpilonhle Project	12/18/2013	30,813 73	
Mpilonhle Project	12/18/2013	26,184 00	
Mpilonhle Project	12/4/2013	30,459 36	
Muslim AIDS Programme	12/4/2013	36,416 68	
National Center on Family Homelessness Inc	12/16/2013	150,000 00	
National Network of Public Health Institutes	12/10/2013	216,127 00	
New Dimension Consulting Zimbabwe	12/13/2013	78,514 00	
New York Legal Assistance Group Incorporated	12/3/2013	162,600 00	
Partners Healthcare System Inc	12/16/2013	379,744 00	
Pathfinder International	12/16/2013	63,728 00	
Paula K Rauch M D	12/10/2013	523 46	
Phoenix House Foundation	12/18/2013	15,000 00	
Points of Light Foundation	12/6/2013	79,063 00	
President and Fellows of Harvard College	12/26/2013	91,324 00	
Princeton in Africa	12/13/2013	45,000 00	
Project Hope	12/23/2013	95,000 00	
Project Hope Poland	12/13/2013	47,803 00	
Regents of The University of Colorado	12/6/2013	168,254 00	
Regents of The University of Michigan	12/17/2013	335,658 00	
Regents of The University of Michigan	12/10/2013	191,257 00	
Richard Gengler	12/10/2013	744 79	
Robert Wood Johnson University Hospital Foundation	12/16/2013	1,000,000 00	
Russian Nurses Association	12/11/2013	39,028 00	
Save The Children Federation Inc	12/20/2013	125,000 00	
South Florida Behavioral Health Network	12/4/2013	460,521 00	
St Mary's Residential Training School	12/24/2013	5,000 00	
T-Marc Tanzania	12/13/2013	120,000 00	
Talaku Community Based Organization	12/3/2013	23,100 00	
Tanzania Youth Alliance	12/16/2013	70,000 00	
Ukuzakha Nokuzenzela Womens Association	12/4/2013	35,273 96	
United Way of Mumbai	12/13/2013	182,188 00	
United Way of Mumbai	12/20/2013	100,507 00	
University of California, San Francisco Foundation	12/18/2013	275,000 00	
University of Washington School of Medicine	12/20/2013	76,934 00	
Well Project Inc A Not for Profit Corporation	12/18/2013	5,000 00	
World Services of La Crosse Inc	12/6/2013	191,110 00	
World Vision Inc	12/4/2013	53,353 00	
Wu Jie Ping Medical Foundation	12/13/2013	158,802 00	
			$11,446,916.30
		Total	**$34,129,038.85**

Adjustments:	
Adjustment for 2013 payment for Capital Health & Robert Wood Johnson	(2,000,000 00)
Adjustment for 2013 amortization for Capital Health & Robert Wood Johnson	90,414 23
Adjustment for 2013 payment for St Mary's Medical Center Foundation	(500,000 00)
Adjustment for PV of St Mary Medical Center Foundation 3-yr commitment - $1 5M of commitment was recorded in SAP without discount	11,646 51
Adjustment to correct duplicate entry/miscoded filling fee (see "Adj Entry 5 Proof" tab for support)	(479,825 96)
TOTAL MicroEdge	**$31,251,273.63**