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Form **990-PF**

Return of Private Foundation

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013, and ending 12-31-2013

Name of foundation LAWRENCE RUBEN FOUNDATION c/o ruben companies % DANTE MALIWAT		A Employer identification number 13-3124700	
Number and street (or P O box number if mail is not delivered to street address) Room/suite 600 MADISON AVENUE Suite		B Telephone number (see instructions) (212) 293-9400	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10022		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (From Part II, col. (c), line 16) \$ 4,886,674		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	0			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	26	26		
	4 Dividends and interest from securities	85,725	85,725		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-1,737			
	b Gross sales price for all assets on line 6a 319,727				
	7 Capital gain net income (from Part IV, line 2)			0	
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	84,014	85,751			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	4,086	0	0	4,086
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,929	1,373		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
23 Other expenses (attach schedule)	8,249	7,864		385	
24 Total operating and administrative expenses. Add lines 13 through 23	14,264	9,237	0	4,471	
25 Contributions, gifts, grants paid	625,000			625,000	
26 Total expenses and disbursements. Add lines 24 and 25	639,264	9,237	0	629,471	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-555,250				
b Net investment income (if negative, enter -0-)		76,514			
c Adjusted net income (if negative, enter -0-)					

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Part II Balance Sheets		Beginning of year			End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing					
	2	Savings and temporary cash investments	3,417,216	2,138,615	2,138,615		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)	919,595 <input checked="" type="checkbox"/>	937,807	1,635,262		
	c	Investments—corporate bonds (attach schedule)	379,798 <input checked="" type="checkbox"/>	1,084,937	1,112,797		
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)					
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
15	Other assets (describe ▶ _____)						
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,716,609	4,161,359	4,886,674			
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)					
23	Total liabilities (add lines 17 through 22)		0				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds	4,716,609	4,161,359			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund					
29	Retained earnings, accumulated income, endowment, or other funds						
30	Total net assets or fund balances (see page 17 of the instructions)	4,716,609	4,161,359				
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	4,716,609	4,161,359				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,716,609
2	Enter amount from Part I, line 27a	2	-555,250
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	4,161,359
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,161,359

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a	250 RIO TINTO PLC SPNSRD ADR	P		
b	GNMA SER 2013-151 CL SB DUE 08/01/2043 10 602%			
c	SEE ATTACHED STATEMENT A	P		
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	11,627		16,598	-4,971
b	159,691		160,819	-1,128
c	148,409		144,047	4,362
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-4,971
b			-1,128
c			4,362
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-1,737
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	632,096	5,424,191	0.116533
2011	635,615	5,761,056	0.11033
2010	918,825	5,781,766	0.158918
2009	658,281	5,720,670	0.115071
2008	609,026	5,421,014	0.112345

2	Total of line 1, column (d).	2	0.613197
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.122639
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	4,926,183
5	Multiply line 4 by line 3.	5	604,142
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	765
7	Add lines 5 and 6.	7	604,907
8	Enter qualifying distributions from Part XII, line 4.	8	629,471

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	765
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	765
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	765
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,480
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	1,480
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	715
11	Enter the amount of line 10 to be Credited to 2014 estimated tax 715 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? <input checked="" type="checkbox"/>	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> <input checked="" type="checkbox"/>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> <input checked="" type="checkbox"/>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). 11 No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12 No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 Yes
Website address
14 The books are in care of DANTE MALIWAT Telephone no (212) 293-9400
Located at RUBEN COMPANIES-600 MADISON AVE New York NY ZIP +4 10022
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -Check here 15
and enter the amount of tax-exempt interest received or accrued during the year
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? 1b No
Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? 1c No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? Yes No
If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions). 2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.). 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? 4b No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If "Yes" to 6b, file Form 8870.</p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	5b		
	6b		No
	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LAWRENCE RUBEN THE CROSSING PURCHASE STREET purchase, NY 10577	DIRECTOR AS REQ'D 0	0	0	0
RICHARD RUBEN 10 GRACIE SQUARE new york, NY 10028	DIRECTOR AS REQ'D 0	0	0	0
LENORE RUBEN 175 RIVERSIDE DRIVE APT 10E new york, NY 10024	DIRECTOR AS REQ'D 0	0	0	0
ROCHELLE KIVELL 45 COLBY LANE scarsdale, NY 10583	DIRECTOR AS REQ'D 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services.

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	

Total. Add lines 1 through 3.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	2,045,264
b	Average of monthly cash balances.	1b	2,955,937
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	5,001,201
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,001,201
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	75,018
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,926,183
6	Minimum investment return. Enter 5% of line 5.	6	246,309

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	246,309
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	765
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	765
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	245,544
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	245,544
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	245,544

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	629,471
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	629,471
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	765
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	628,706

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				245,544
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 2011, 2010, 2009		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.	373,509			
b From 2009.	376,361			
c From 2010.	632,941			
d From 2011.	352,963			
e From 2012.	362,362			
f Total of lines 3a through e.	2,098,136			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>629,471</u>				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				245,544
e Remaining amount distributed out of corpus	383,927			
5 Excess distributions carryover applied to 2013 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,482,063			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions.		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions.			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).	373,509			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a.	2,108,554			
10 Analysis of line 9				
a Excess from 2009.	376,361			
b Excess from 2010.	632,941			
c Excess from 2011.	352,963			
d Excess from 2012.	362,362			
e Excess from 2013.	383,927			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

LAWRENCE RUBEN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> Weill Medical College 1300 york avenue box 123 new york, NY 10065	none		GENERAL CHARITABLE	625,000
Total				▶ 3a 625,000
b <i>Approved for future payment</i>				
Total				▶ 3b

TY 2013 Accounting Fees Schedule

Name: LAWRENCE RUBEN FOUNDATION

c/o ruben companies

EIN: 13-3124700

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	4,086			4,086

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: LAWRENCE RUBEN FOUNDATION

c/o ruben companies

EIN: 13-3124700

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
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**TY 2013 Investments Corporate
Bonds Schedule**

Name: LAWRENCE RUBEN FOUNDATION
c/o ruben companies

EIN: 13-3124700

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE STATEMENT B ATTACHED	1,084,937	1,112,797

**TY 2013 Investments Corporate
Stock Schedule**

Name: LAWRENCE RUBEN FOUNDATION
c/o ruben companies

EIN: 13-3124700

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE STATEMENT B ATTACHED	937,807	1,635,262

TY 2013 Investments - Land Schedule

Name: LAWRENCE RUBEN FOUNDATION

c/o ruben companies

EIN: 13-3124700

TY 2013 Land, Etc. Schedule

Name: LAWRENCE RUBEN FOUNDATION

c/o ruben companies

EIN: 13-3124700

TY 2013 Other Expenses Schedule

Name: LAWRENCE RUBEN FOUNDATION

c/o ruben companies

EIN: 13-3124700

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS DEPT OF LAW - FILING FEE	250			250
MANAGEMENT FEE	7,864	7,864		
INCISIVE MEDIA - AD	135			135

TY 2013 Taxes Schedule

Name: LAWRENCE RUBEN FOUNDATION

c/o ruben companies

EIN: 13-3124700

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	1,373	1,373		
FEDERAL TAX	556			

The Lawrence Ruben Foundation

STATEMENT A

A/C # 80618023

FEIN 13-3124700

Open Date	Close Date	Quantity	Security	Proceeds	Cost Basis	Long Term
4/1/2004	1/22/2013	6984 085102	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	6,984 09	7,072 36	(88 27)
10/13/2006	1/22/2013	5980 884898	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	5,980 88	5,634 08	346 80
4/1/2004	2/20/2013	7147 550241	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	7,147 55	7,237 89	(90 34)
10/13/2006	2/20/2013	6120 869759	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	6,120 87	5,765 95	354 92
4/1/2004	3/20/2013	7049 853626	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	7,049 85	7,138 96	(89 11)
10/13/2006	3/20/2013	6037 206374	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	6,037 21	5,687 14	350 07
4/1/2004	4/22/2013	7391 005291	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	7,391 01	7,484 42	(93 41)
10/13/2006	4/22/2013	6329 354709	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	6,329 35	5,962 34	367 01
4/1/2004	5/20/2013	6683 232752	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	6,683 23	6,767 71	(84 48)
10/13/2006	5/20/2013	5723 247248	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	5,723 25	5,391 38	331 87
8/14/2003	5/30/2013	9169	FEDERAL NATIONAL MTG ASSN S 4 316% Due 07-25-33	10,721 01	9,104 69	1,616 32
4/1/2004	6/20/2013	6478 552527	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	6,478 55	6,560 44	(81 89)
10/13/2006	6/20/2013	5547 967473	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	5,547 97	5,226 27	321 70
4/1/2004	7/22/2013	6298 032498	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	6,298 03	6,377 64	(79 61)
10/13/2006	7/22/2013	5393 377502	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	5,393 38	5,080 64	312 74
4/1/2004	8/20/2013	6795 915691	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	6,795 92	6,881 81	(85 89)
10/13/2006	8/20/2013	5819 744309	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	5,819 74	5,482 28	337 46
4/1/2004	9/20/2013	6226 83399	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	6,226 83	6,305 54	(78 71)
10/13/2006	9/20/2013	5332 40601	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	5,332 41	5,023 20	309 21
4/1/2004	10/21/2013	5103 285216	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	5,103 29	5,167 79	(64 50)
10/13/2006	10/21/2013	4370 244784	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	4,370 24	4,116 83	253 41
4/1/2004	11/20/2013	3905 230385	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	3,905 23	3,954 59	(49 36)
10/13/2006	11/20/2013	3344 279615	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	3,344 28	3,150 36	193 92
4/1/2004	12/20/2013	4107 265648	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	4,107 27	4,159 18	(51 91)
10/13/2006	12/20/2013	3517 294353	GOVERNMENT NATL MTG ASSN S 7 884% Due 04-20-34	3,517 29	3,313 34	203 95
TOTAL REALIZED GAIN/LOSS				148,408 73	144,046 83	4,361 90

Lawrence Ruben Company
PORTFOLIO APPRAISAL
The Lawrence Ruben Foundation
 December 31, 2013

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Cur. Yield
Domestic Common Stock							
500 00	ABBOTT LABS	22 57	11,287 31	38 33	19,165 00	0 4	4 2
500 00	ABBVIE INC.	25 29	12,646 30	52 81	26,405 00	0 5	?
100 00	AIR PRODUCTS&CHEM	90 73	9,072 57	111 78	11,178 00	0 2	1 6
1,000 00	ALTRIA GROUP INC	12 18	12,181 32	38 39	38,390 00	0 8	3 5
250 00	AMERICAN EXPRESS CO CMN	56 34	14,084 20	90 73	22,682 50	0 5	0 8
125 00	APPLE INC	179 29	22,411 50	561 02	70,127 50	1 4	0 0
200 00	AUTOMATIC DATA PROCESSING INC CMN	42 90	8,579 99	80.80	16,159 80	0 3	1 4
100 00	BLACKROCK INC	162 95	16,294 80	316 47	31,647 00	0 6	0 4
200 00	CATERPILLAR INC DEL	73 25	14,650 00	90 81	18,162 00	0 4	1 9
500 00	CHEVRON CORP	48 56	24,278 13	124 91	62,455 00	1 3	2 2
1,600 00	COCA-COLA COMPANY (THE) CMN	31 76	50,813 25	41 31	66,096 00	1 4	3 3
300 00	COMCAST CORP NEW CL A	45 87	13,761 15	51 97	15,589 50	0 3	0 7
425 00	CONOCOPHILLIPS	31 23	13,270 69	70 65	30,026 25	0 6	2 8
200 00	DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CM	56 54	11,308 00	132 42	26,484 00	0 5	2 0
200 00	DISNEY (WALT) CO COM STK	50 04	10,008 00	76 40	15,280 00	0 3	0 5
200 00	ESTEE LAUDER COS INC CL-A CMN CLASS A	20 08	4,016 00	75 32	15,064 00	0 3	0 7
1,228 00	EXXON MOBIL CORP COM	40 01	49,127 67	101 20	124,273 60	2 5	1 7
225 00	FRANKLIN RES INC	40 14	9,031 70	57 73	12,989 25	0 3	1 5
500 00	FREEMT-MCMRAN CPR & GLD	53 08	26,538 57	37 74	18,870 00	0 4	1 6
1,150 00	GENERAL ELECTRIC	33 42	38,428 13	28 03	32,234 50	0 7	1 4
300 00	HOME DEPOT INC	40 86	12,258 00	82 34	24,702 00	0 5	1 1
283 00	HSBC HOLDINGS PLC SPONSORED ADR CMN	63 37	17,933 63	55 13	15,601 79	0 3	7 9
1,500 00	INTEL CORP	34 68	52,020 70	25 96	38,932 50	0 8	2 4
200 00	INTL BUSINESS MACHINES CORP IBM	142 23	28,445 43	187 57	37,514 00	0 8	1 2
575 00	JOHNSON & JOHNSON CMN	44 12	25,367 25	91 59	52,664 25	1 1	1 8
700 00	JPMORGAN CHASE & CO	46 17	32,315 56	58 48	40,936 00	0 8	0 3
300 00	KINDER MORGAN INC	38 21	11,462 91	36 00	10,800 00	0 2	0 6
151 00	KRAFT FOODS GROUP, INC	20 22	3,053 06	53 91	8,140 41	0 2	?
500 00	MCDONALDS CORP COM	36 93	18,462 50	97 03	48,515 00	1 0	2 3
102 00	MEAD JOHNSON NUTRITI	94 52	9,641 02	83 76	8,543 52	0 2	?
550 00	MERCK AND CO INC SHS	56 60	31,128 69	50 05	27,527 50	0 6	3 0
453 00	MONDELEZ INTERNATIONAL, INC	12 47	5,647 61	35 30	15,990 90	0 3	?
177 00	NEWS CORP (2013)	7 89	1,396 63	18 02	3,189 54	0 1	?

Lawrence Ruben Company
PORTFOLIO APPRAISAL
The Lawrence Ruben Foundation
 December 31, 2013

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Cur. Yield
200 00	NOVO INDUSTRIES A/S	68 80	13,760 00	184 76	36,952 00	0 8	0 2
400 00	OCCIDENTAL PETE CORP CAL	27 98	11,190 00	95 10	38,040 00	0 8	1 4
400 00	PEPSICO INC	39.02	15,606 25	82 94	33,176 00	0 7	2 2
1,000 00	PHILIP MORRIS INTL INC	27 04	27,044 60	87 13	87,130 00	1 8	2 7
200 00	PHILLIPS 66	18 44	3,687 00	77 13	15,426 00	0 3	?
200 00	PRAXAIR INC CMN	52 25	10,449 00	130 03	26,006 00	0 5	0 9
600 00	PROCTER & GAMBLE CO	39 46	23,678.76	81 41	48,846 00	1 0	2 2
200 00	QUALCOMM INC	52 57	10,513 56	74 25	14,850 00	0 3	0 9
175 00	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VA	54 78	9,586 50	70 05	12,258 93	0 3	1 6
400 00	TARGET CORP COM	32 63	13,050 00	63 27	25,308 00	0 5	1 1
500 00	TEXAS INSTRUMENTS INC CMN	36 11	18,056 43	43 91	21,955 00	0 4	0 9
250 00	TIME WARNER CABLE INC SHS	61 86	15,466 10	135 50	33,875 00	0 7	1 2
708 00	TWENTY FIRST CENTURY FOX INC	14 63	10,359 88	35 17	24,900 36	0 5	?
200 00	UNITED TECHS CORP COM	67 71	13,542 50	113 80	22,760 00	0 5	1 4
400 00	WAL-MART STORES INC	45 63	18,253 00	78 69	31,476 00	0 6	1 4
400 00	WALGREEN CO	26 80	10,718 75	57 44	22,976 00	0 5	1 0
300 00	WHOLE FOODS MARKET INC CMN	33 75	10,125 25	57 83	17,349 00	0 4	1 4
			856,009 85		1,519,620 59	31 1	1 6
Foreign Stock							
600 000	NESTLE S A REP RG SH ADR	36 59	21,954 00	73 59	44,154 00	0 9	1 1
400 000	ROYAL DUTCH SHELL PLC SPONS ADR A	52 69	21,075 00	71 27	28,508 00	0 6	4 0
450 000	TOTAL SA SPONSORED ADR CMN	56 36	25,363 27	61 27	27,571 50	0 6	3 9
			68,392 27		100,233 50	2 1	2 7
Collateralized Mtg (CMO) Bonds							
240,547 4100000	GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH 7 884% Due 04-20-34	98 01	235,750.77	111 48	268,154 56	5 5	7 1
			235,750 77		268,154 56	5 5	7 1

Lawrence Ruben Company
 PORTFOLIO APPRAISAL
The Lawrence Ruben Foundation
 December 31, 2013

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Cur. Yield
FNMA							
843,229	GOVERNMENT NATIONAL MTG ASSN SER 2013-151 CL SB DUE 08/01/2043 10.602% 10.602% Due 08-01-43	100.71	849,185.94	100.17	844,641.72	17.3	10.6
			849,185.94		844,641.72	17.3	10.6
American Depository Receipts							
300	SABMILLER PLC SP ADR	44.68	13,405.24	51.36	15,408.30	0.3	?
			13,405.24		15,408.30	0.3	0.0
TOTAL PORTFOLIO			4,161,358.62		4,886,673.22	100.0	2.8

TOTAL STOCKS $\text{E} \textcircled{A} = 937,807$ $\text{E} \textcircled{B} = 1,635,262$
 TOTAL BONDS $\text{E} \textcircled{1} = 1,084,937$ $\text{E} \textcircled{2} = 2,797$