



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation THE MARC HAAS FOUNDATION, INC.		A Employer identification number 13-3073137
Number and street (or P.O. box number if mail is not delivered to street address) C/O WEISERMAZARS LLP, 135 W. 50TH STREE	Room/suite	B Telephone number 212-375-6723
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10020		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 113,965,988.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		571,622.	571,622.		STATEMENT 1
5a Gross rents					
b. Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		6,316,118.			
b Gross sales price for all assets on line 6a			6,316,118.		
7 Capital gain net income (from Part IV, line 2)					
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		794,487.	788,631.		STATEMENT 2
12 Total. Add lines 1 through 11		7,682,227.	7,676,371.		
13 Compensation of officers, directors, trustees, etc		400,000.	200,000.		200,000.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		41,207.	10,302.		30,905.
c Other professional fees STMT 4		15,700.	3,925.		11,775.
17 Interest		32,893.	32,893.		0.
18 Taxes STMT 5		182,975.	70,675.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		1,000,280.	999,767.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		1,673,055.	1,317,562.		242,680.
25 Contributions, gifts, grants paid		5,490,000.			5,490,000.
26 Total expenses and disbursements. Add lines 24 and 25		7,163,055.	1,317,562.		5,732,680.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		519,172.			
b Net investment income (if negative, enter -0-)			6,358,809.		
c Adjusted net income (if negative, enter -0-)				N/A	

323501
10-10-13

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2013)

6/19
2/6

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash - non-interest-bearing	5,863,096.	9,181,001.	9,181,001.
	2 Savings and temporary cash investments	52,861.		
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 7	69,509,826.	66,763,954.	104,784,987.
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	75,425,783.	75,944,955.	113,965,988.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	75,425,783.	75,944,955.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	75,425,783.	75,944,955.	
	31 Total liabilities and net assets/fund balances	75,425,783.	75,944,955.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	75,425,783.
2 Enter amount from Part I, line 27a	2	519,172.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	75,944,955.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	75,944,955.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FOREFRONT (CHARLES SCHWAB) NOMINEE		VARIOUS	VARIOUS
b ELLIOT INTERNATIONAL		VARIOUS	VARIOUS
c PASS THRU FROM PARTNERSHIPS		VARIOUS	VARIOUS
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			5,132.
b			2,000,000.
c			4,310,986.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			5,132.
b			2,000,000.
c			4,310,986.
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	6,316,118.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	5,862,951.	102,550,833.	.057171
2011	5,815,938.	102,489,303.	.056747
2010	5,973,360.	101,731,773.	.058717
2009	5,912,287.	91,349,491.	.064722
2008	5,782,152.	96,790,410.	.059739

2 Total of line 1, column (d)	2	.297096
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.059419
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	107,598,926.
5 Multiply line 4 by line 3	5	6,393,421.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	63,588.
7 Add lines 5 and 6	7	6,457,009.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	5,732,680.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	127,176.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	127,176.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	127,176.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	74,752.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	40,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	114,752.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	207.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	12,631.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY, CA, MA, CT</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **N/A**

14 The books are in care of **WEISERMAZARS LLP** Telephone no. **212-812-7000**
 Located at **135 WEST 50TH STREET, NEW YORK, NY** ZIP+4 **10020**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year **15** **N/A**

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? **16** Yes No **X**
 See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☒ Yes ☐ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT H. HAINES C/O MARC HAAS FOUNDATION	PRESIDENT/TREASURER 20.00	200,000.	0.	0.
STANLEY S. SHUMAN C/O MARC HAAS FOUNDATION	CHAIRMAN/SECRETARY 20.00	200,000.	0.	0.
JUDY E. TENNEY C/O MARC HAAS FOUNDATION	DIRECTOR 1.00	0.	0.	0.
SYDNEY R. SHUMAN C/O MARC HAAS FOUNDATION	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2013)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
WEISERMAZARS LLP 135 WEST 50TH STREET, NEW YORK, NY 10020	ACCOUNTING AND TAX	0.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2013)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	105,600,196.
b Average of monthly cash balances	1b	3,637,292.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	109,237,488.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	109,237,488.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,638,562.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	107,598,926.
6 Minimum investment return. Enter 5% of line 5	6	5,379,946.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	5,379,946.
2a Tax on investment income for 2013 from Part VI, line 5	2a	127,176.	
b Income tax for 2013. (This does not include the tax from Part VI.)	2b		
c Add lines 2a and 2b	2c	127,176.	
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	5,252,770.	
4 Recoveries of amounts treated as qualifying distributions	4	0.	
5 Add lines 3 and 4	5	5,252,770.	
6 Deduction from distributable amount (see instructions)	6	0.	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	5,252,770.	

Part XII**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	5,732,680.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,732,680.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	5,732,680.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				5,252,770.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010	873,078.			
d From 2011	789,517.			
e From 2012	882,764.			
f Total of lines 3a through e	2,545,359.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$	5,732,680.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				5,252,770.
e Remaining amount distributed out of corpus	479,910.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,025,269.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	3,025,269.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010	873,078.			
c Excess from 2011	789,517.			
d Excess from 2012	882,764.			
e Excess from 2013	479,910.			

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED SCHEDULE	NONE	501 (C) (3)	CHARITABLE	5,490,000.
Total			3a	5,490,000.
b Approved for future payment				
NONE				
Total			3b	0.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	THE MARC HAAS FOUNDATION, INC.	13-3073137
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	C/O WEISERMAZARS LLP, 135 W. 50TH STREET	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	NEW YORK, NY 10020	

Enter the Return code for the return that this application is for (file a separate application for each return) **0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

WEISERMAZARS LLP

- The books are in the care of **135 WEST 50TH STREET - NEW YORK, NY 10020**
Telephone No. **212-812-7000** Fax No. ☐
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014.**
- 5 For calendar year **2013**, or other tax year beginning _____, and ending _____.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension
INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN IS NOT YET AVAILABLE

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$ 70,000.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$ 111,840.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$ 0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **Nancy Schaeff, CPA** Title **Att Agent** Date **7/28/14**
Form 8868 (Rev. 1-2014)

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

► File a separate application for each return.
► Information about Form 8868 and its instructions is at www.irs.gov/form8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number

Type or print	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	THE MARC HAAS FOUNDATION, INC.	13-3073137
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
File by the due date for filing your return. See instructions.	C/O WEISERMAZARS LLP, 135 W. 50TH STREET	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	NEW YORK, NY 10020	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

WEISERMAZARS LLP

- The books are in the care of ► 135 WEST 50TH STREET - NEW YORK, NY 10020

Telephone No. ► 212-812-7000

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year 2013 or
- ☐ tax year beginning _____, and ending _____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	111,840.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	71,840.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	40,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

Marc Haas Fdn
Charitable Contribution List - Comparative 2012 / 2013
as of 12/31/2013

2012 Date	Name	Chk No:	2012 Amount
12/4	Albert Einstein College of Medicine	3782	25,000
6/22	Alzheimer's Drug Discovery Foundation	3685	5,000
12/4	American Association for the Advancement of Science	3783	10,000
4/4	American Committee for the Weizman Institute of Science, Inc.	3623	25,000
9/30	American Friends of Israel Museum - (Formerly American Israel Museum)	3722	25,000
9/30	American Museum of the Moving Image	3723	10,000
4/4	American Museum of Natural History	3624	50,000
9/30	Amencorps	3724	25,000
9/30	Asia Society	3725	30,000
	Audobon Society		
4/4	Autism Speaks	3625	25,000
12/4	Big Apple Circus	3784	25,000
	Bill, Hillary & Chelsea Clinton Fdn		
	Breakthrough New York		
9/30	Brooklyn Academy of Music	3726	10,000
9/30	Caranoor Center for Music and the Arts	3727	25,000
4/4	Careers through Culinary Arts Program	3633	6,000
4/4	Carnegie Hall Society, Inc.	3626	150,000
12/4	Carnegie Hall 2011 & 2012 Pledge	3631	200,000
9/30	Center for Educational Innovation	3728	25,000
	Center for Hearing and Communication		
12/4	Central Park Conservatory	3786	100,000
6/22	Chelsea Piers Scholarship Fund	3686 V	10,000
8/24	Chelsea Piers Scholarship Fund	3686 V	-10,000
9/6	Chelsea Piers Scholarship Fund	3717	10,000
4/4	Chess-in-the-Schools	3627	25,000
6/22	Citizens Budget Committee	3687	25,000
	CIA Officers Memorial Foundation		
9/30	Citizens Committee for Children	3729	10,000
4/4	Citizens Committee for New York City	3628	10,000
12/4	Citizens Union	3787	25,000
4/4	City Year, Inc.	3629	25,000
6/22	Classroom Inc.	3688	25,000
12/4	Cold Spring Laboratory	3788	30,000
4/4	Committee to Protect Journalists	3630	20,000
9/30	Concord Coalition	3730	25,000
4/4	Conservation International	3631	40,000
6/22	Cooke Center for Learning and Development	3689	10,000
12/4	Council on Foreign Relations	3789	50,000
4/4	Council on Foreign Relations	3632	100,000
	Council on Foreign Relations		
6/25	Council on Foreign Relations (1st of 5 payments - 2007)	3713	200,000
12/4	East Hampton Historical Society	3790	10,000
4/4	Eldridge Street Museum	3634	50,000
9/30	Els for Autism Foundation	3731	10,000
12/4	Endeavor	3791	25,000
9/30	Facing History and Ourselves	3732	100,000
12/4	FedCap	3792	25,000
9/30	Franklin Delano Roosevelt Memorial Fund - (500K Pledge in 2 pymts 2008-2009)	3733	10,000
9/30	Global Fund for Children	3734	30,000
12/4	Global Kids, Inc.	3793	25,000

2013 Date	Chk No:	2013 Amount		NOTES
12/10	3984	25,000	RHH	
6/27	3892	5,000	SSS	
			DH/SSS	
5/1	3846	25,000	RHH	
9/26	3926	25,000	SSS	
9/26	3927	10,000	SSS	
5/1	3847	50,000	RHH	
9/26	3928	25,000	SSS	
9/19		30,000	SSS	NY Community Trust
5/1	3848	25,000	SSS	
5/1	3849	15,000	SSS	
12/10	3885	25,000	SSS	
9/26	3973	100,000	SSS	
12/10	3986	10,000	JT/SSS	
9/26	3929	10,000	RHH	
9/26	3930	25,000	RHH	
			SSS	
5/1	3851	200,000	SSS	
12/10	3987	100,000	SSS	
9/19		25,000	SSS	NY Community Trust
12/10	3988	10,000	MH/SSS	
12/10	3990	100,000	RHH	
			SSS	
			SSS	
5/1	3852	25,000	SSS	
6/27	3893	25,000	SSS	
5/1	3853	25,000	SSS	
9/19		10,000	SSS	NY Community Trust
5/1	3854	10,000	SSS	
12/10	3891	25,000	SSS	
5/1	3855	25,000	SSS	
6/27	3894	25,000	SSS	
12/10	3992	35,000	MH/SSS	
5/1	3856	20,000	SSS	
9/26	3931	25,000	SSS	
5/1	3857	40,000	SSS	
6/27	3895	10,000	SSS	
12/10	3993	50,000	DL/RHH	
5/1	3858	100,000	SSS	
12/18	4033	5,000	DL/RHH	
5/1	3859	200,000	SSS	
12/10	3894	10,000	SSS	
5/1	3860	50,000	RHH	
			SSS	
12/10	3995	25,000	SSS	
9/26	3932	100,000	SSS	
12/10	3996	27,500	MH/SSS	
9/26	3933	10,000	SSS	
9/26	3934	30,000	SSS	
12/10	3997	25,000	SSS	

Marc Haas Fdn
Charitable Contribution List - Comparative 2012 / 2013
as of 12/31/2013

2012 Date	Name	Chk No.	2012 Amount
12/4	Global Partnership for Afghanistan	3794	10,000
	Guggenheim Museum (Solomon R)		
	Guild Hall of East Hampton		
6/22	Harlem Day Charter School	3690 V	25,000
6/22	Harlem Day Charter School	3690 V	-25,000
4/4	Harlem Educational Activities	3635	10,000
9/30	Harvard University/ Villa I Tatti	3735	10,000
4/4	Henry Street Settlement	3636	10,000
	Henry Street Settlement		
	High Museum of Art		
6/22	SenousFun Children's Network. Formerly Hole in the Wall Camp	3691	25,000
9/30	Human Rights First (Formerly Lawyers' Committee for Human Rights)	3736	25,000
12/4	IFAR	3795	10,000
9/30	Inner City Scholarship Fund, Inc.	3737	25,000
12/4	Institute for Civic Leadership	3796	10,000
9/30	International Center of Photography	3738	15,000
4/4	International Rescue Committee	3637	50,000
6/22	International Women's Health Coalition	3692	10,000
6/22	James P. McCarron Medical Foundation	3693	10,000
12/4	Jazz at Lincoln Center	3797	10,000
4/4	JBI International	3638	100,000
12/4	Kessler Foundation	3798	25,000
9/30	Learning Leader	3739	10,000
9/30	Lenox Hill Hospital	3740	25,000
4/4	Lenox Hill Neighborhood House	3639	50,000
4/4	Lenox Hill Neighborhood House	3640	25,000
12/4	Lighthouse	3799	10,000
6/22	Lincoln Center Consolidated Corp Fund	3694	50,000
9/30	Literacy Partners	3741	25,000
12/4	Lung Cancer Research Foundation	3800	10,000
12/4	Malania No More	3801	10,000
9/30	Manhattan Institute for Policy Research	3742	25,000
6/22	Manhattan Theatre Club, Inc	3695	10,000
9/30	Medecins Sans Frontiers	3743	25,000
12/4	Memorial Sloan Kettering - c/o The Shuman Fund for GIST Research	3802	25,000
9/30	Memorial Sloan Kettering Cancer Center - Evelyn Lauder Breast Center	3744	25,000
9/30	MENTOR/The National Mentoring Partnership (Formerly One to One)	3745	25,000
9/30	Metropolitan Opera Guild	3746	10,000
9/30	Montefiore Medical Center	3747	25,000
6/22	MOUSE	3696	10,000
4/4	Municipal Art Society of New York	3641	25,000
9/30	NAACP Legal Defense and Educational Fund, Inc	3748	10,000
6/22	National Center on Addiction & Substance Abuse	3697	25,000
9/30	National Multiple Sclerosis Society - New York City Chapter	3749	25,000
4/4	National Public Radio Foundation	3642	25,000
6/22	Neighborhood Coalition for Shelter, Inc	3698	5,000
9/30	New Visions for Public Schools	3750	25,000
9/30	New York Botanical Gardens	3751	25,000
9/30	New York City Center	3752	25,000
4/4	New York City Partnership	3643	30,000
6/22	New York Historical Society	3699	25,000

Grants Paid

2013 Date	Chk No.	2013 Amount	NOTES
12/10	3998	10,000	JT/SSS
12/10	3999	50,000	DJ/RHH
5/1	3861	25,000	SSS
			SSS
			SSSS
5/1	3862	10,000	RHH
9/26	3935	10,000	SSS
5/1	3863	10,000	SSS
5/29	3863 V	-10,000	SSS
3/25	3840	10,000	SSS
8/31	3896	25,000	SSS
9/26	3936	25,000	SSS
12/10	4000	12,500	MH/SSS
9/26	3937	25,000	SSS
12/10	4001	12,500	MH/SSS
9/26	3938	15,000	SSS
5/1	3864	50,000	SSS
6/27	3897	10,000	SSS
6/27	3898	10,000	SSS
12/10	4002	10,000	SSS
5/1	3865	100,000	RHH
			DH/SSS
9/26	3939	10,000	SSS
9/26	3940	25,000	RHH
5/1	3866	50,000	SSS
			SSS
12/10	4003	10,000	SSS
6/27	3899	50,000	RHH
9/26	3941	25,000	SSS
12/10	4004	10,000	SRS/RHH
12/10	4005	10,000	SSS
9/26	3942	25,000	SSS
6/27	3900	10,000	SSS
9/26	3943	25,000	RHH
12/10	4006	25,000	SSS
7/15	3916	25,000	SSS
9/26	3944	25,000	SSS
9/26	3945	10,000	SSS
9/26	3946	50,000	SSS
5/1	3891	20,000	SSS
5/1	3867	25,000	RHH
9/26	3947	10,000	SSS
6/27	3901	25,000	SSS
9/26	3948	25,000	RHH
5/1	3868	25,000	SSS
6/27	3902	5,000	SSS
9/26	3949	25,000	SSS
9/26	3950	25,000	RHH
			SSS
5/1	3869	30,000	SSS
6/27	3903	25,000	SSS

Alpha Order Marc Haas Fdn
Charitable Contribution List - Comparative 2012 / 2013
as of 12/31/2013

2012 Date	Name	Chk No.	2012 Amount
12/4	New York Landmark Conservancy	3803	10,000
4/4	New York Law School, Center for New York City Law	3644	50,000
4/4	New York Presbyterian Hospital - Originally dated 4/7/2011, replaced 5/1/2011	3645	50,000
12/4	New York Rugby Club	3804	25,000
12/4	New York University Medical Center	3805	25,000
4/4	Ninety-Second Street Y	3646	25,000
9/30	Northside Center for Development	3753	15,000
6/22	NYC Ballet	3700	10,000
6/22	NYC Mission Society	3701	10,000
4/4	ORBIS International (In honor David Paton, MD)	3647 V	10,000
8/24	ORBIS International (In honor David Paton, MD)	3647 V	-10,000
4/4	Paley Center for Media (Formerly Museum of Television and Radio)	3648	100,000
5/3	Park Avenue Armory	3806	10,000
12/4	Partners in Health	3807	25,000
6/22	Partnership for Children's Rights (Formerly Legal Services for Children)	3702	50,000
	Partnership for Children's Rights (Formerly Legal Services for Children)		
4/4	Partnership for Children's Rights (Formerly Legal Services for Children)	3649	25,000
9/30	Paul Taylor Dance Foundation	3754	10,000
	PAYE Academy Charter School		
9/30	PEN	3755	20,000
6/22	PENCIL	3703 V	20,000
8/24	PENCIL	3703 V	-20,000
9/6	PENCIL	3720	20,000
9/30	Performa 13	3756	25,000
6/22	Planned Parenthood	3704	25,000
12/4	Ploughshares Fund	3808	10,000
4/4	Prep for Prep	3650	25,000
12/4	Primary Stages	3809	10,000
6/22	Prisoner's Legal Services of New York	3705	10,000
12/4	ProPublica	3810	25,000
9/30	Public Art Fund, Inc.	3757	10,000
9/30	Publiccolor	3758	50,000
12/4	Publiccolor	3811	40,000
12/4	Publiccolor		
12/4	Reading Reform Foundation of New York	3812	10,000
12/4	Reel Fathers / Warehouse 21 - Originally issued on 12/7/11, returned 2/1/2012	3832	10,000
12/4	Robert F. Kennedy Center for Justice and Human Rights (Honoring Vincent Mai)	3814	10,000
4/4	Robin Hood Foundation	3651	25,000
12/4	Roundabout Theatre Company	3815	10,000
9/30	Saban Center for Middle East Policy (List of two payments - 2004)	3759	12,500
6/22	SCAN	3706	25,000
4/4	Second Stage	3653	25,000
12/4	Second Stage	3816	10,000
4/4	Sesame Workshop	3654	25,000
4/4	S.L.E. Lupus Foundation	3652	5,000
12/4	Sing for Hope	3817	10,000
12/4	Southern Poverty Law Center	3818	10,000
4/4	Steadman Hawkins Foundation	3655	50,000

2013 Date	Chk No.	2013 Amount	NOTES
12/10	4007	10,000	SSS
5/1	3870	50,000	SSS
5/1	3871	50,000	SSS
12/10	4008	27,500	MH/SSS
12/10	4009	25,000	SSS
5/1	3872	50,000	RHH
9/26	3951	15,000	SSS
6/27	3904	10,000	SSS
			SSS
			SSS
5/1	3873	150,000	SSS
12/10	4034	15,000	JT/SSS
6/27	3905	50,000	DH/SSS
8/28	3905	-50,000	RHH
8/28	3923	50,000	RHH
5/1	3874	25,000	SSS
9/26	3952	10,000	RHH
12/10	4011	10,000	DL/RHH
9/26	3953	20,000	SSS
			RHH
			RHH
			RHH
12/10	4012	5,000	DL/RHH
9/26	3954	25,000	SSS
6/27	3906	25,000	RHH
12/10	4013	10,000	SRS/RHH
5/1	3875	25,000	RHH
12/10	4014	10,000	RHH
6/27	3807	10,000	SSS
12/18	4035	25,000	SSS
9/26	3955	10,000	RHH
9/26	3956	50,000	SSS
12/10	4016	50,000	MAS/RHH
12/10	4017	5,000	DL/RHH
12/10	4018	10,000	RHH
12/10	4019	15,000	MAS/RHH
			SSS/RHH
5/1	3876	25,000	SSS
12/10	4020	10,000	RHH
9/26	3957	12,500	SSS
6/27	3908	25,000	SSS
5/1	3878	25,000	SSS
12/10	4021	25,000	SRS/RHH
5/1	3879	25,000	SSS
5/1	3877	10,000	SSS
12/10	4022	10,000	SSS
12/10	4023	10,000	RHH
5/1	3880	50,000	SSS

Marc Haas Fdn
Charitable Contribution List - Comparative 2012 / 2013
as of 12/31/2013

2012 Date	Name	Chk No:	2012 Amount
12/4	Story Corps	3819	40,000
	Story Corps		
4/4	Story Corps/Sound Portrait	3656	50,000
12/4	Story Corps/Sound Portrait	3820	50,000
9/30	Teach America	3760	25,000
12/4	The Alex Foundation	3821	10,000
4/4	The Atlantic Council	3657	25,000
9/30	The Baseball Hall of Fame	3761	10,000
	The Bone Marrow Foundation		
6/22	The Buoniconti Fund	3707	25,000
12/4	The Doe Fund	3822	25,000
9/30	The East Harlem School	3762	10,000
9/30	The Hospital for Special Surgery	20-Apr	50,000
12/4	The Hypertension Education Fdn, Inc	3823	10,000
4/4	The Justice Project - Hugh L. Carey Legacy Award	3658 V	10,000
6/22	The Justice Project - Hugh L. Carey Legacy Award	3658 V	-10,000
4/4	The Main Idea	3659	7,500
9/30	The Mayor's Fund to Advance New York City	3764	100,000
12/4	The Mayor's Fund to Advance New York City (should go in June)	3824	100,000
11/6	The Mayor's Fund to Advance New York City - Hurricane Sandy Relief	3777	250,000
4/4	The Metropolitan Museum of Art	3660	60,000
9/30	The Morgan Library and Museum (Formerly: Pierpont Morgan Library)	3765	50,000
9/30	The Mount Sinai Medical Center	3766	50,000
4/4	The Museum of Modern Art	3661	50,000
6/22	The New York Philharmonic	3708	10,000
4/4	The New York Public Library	3662	50,000
9/30	The Paul Robert Carey Foundation	3767	25,000
9/30	The Playwrights Horizons, Inc	3768	20,000
6/22	The Public Theatre	3709	25,000
12/4	The Rockefeller University	3825	50,000
6/22	The Rockefeller University (1M Pledge 200K/yr 2009-2013)	3711	200,000
6/22	The Rockefeller University	3710	100,000
	The Rockefeller University		
12/4	The Rusk Institute of Rehabilitation Medicine	3826	10,000
4/4	The Spina Bifida Foundation	3663	10,000
	The Washington Inst for New East Policy		
4/4	The Whitney Museum of American Art	3664	25,000
9/30	The Wilderness Society	3769	10,000
4/4	Thirteen/WNET	3665	100,000
9/30	Thirteen/WNET	3770	50,000
4/4	Tickle Up Program	3666	15,000
6/22	U.S. Friends of the Hoedspruit Endangered Species Centre	3712	10,000
9/30	United Nations Association - Original check 10/18/2011, replaced 12/15/2011	3711	25,000
9/30	United Neighborhood Houses	3772	10,000
12/4	Westchester Community College Fund	3827	10,000
12/4	Wikipedia	3828	5,000
4/4	Wildlife Conservation Society	3667	15,000
12/4	William J. Clinton Foundation - Originally issued on 12/7/2011, reissued on 12/9/2011	3829	75,000
12/4	WNYC Campaign for Public Radio	3830	100,000
9/30	WNYC Radio	3773	30,000

Grants Paid

2013 Date	Chk No:	2013 Amount	NOTES
9/26	3858	50,000	MAS/RHH
12/18	4038	50,000	MAS/RHH
5/1	3881	50,000	SSS
12/10	4024	50,000	SSS
9/26	3959	25,000	SSS
12/10	4025	10,000	MAS/RHH
			SSS
9/26	3960	10,000	RHH
5/1	3850	25,000	SSS
6/27	3909	25,000	SSS
			DH/SSS
9/26	3961	10,000	RHH
9/26	3962	50,000	SSS
			JT/SSS
			SSS
			SSS
5/1	3882	7,500	RHH
9/26	3963	100,000	SSS
12/10	4026	100,000	SSS
			SSS/RHH
5/1	3883	60,000	SSS
9/26	3964	50,000	SSS
9/26	3965	50,000	RHH
5/1	3884	50,000	RHH
6/27	3910	10,000	RHH
5/1	3885	50,000	SSS
9/26	3966	25,000	SSS
9/26	3967	25,000	RHH
6/27	3911	25,000	SSS
			SRS/RHH
6/27	3913	200,000	SSS
6/27	3912	100,000	SSS
12/10	4027	50,000	SRS/RHH
			DH/SSS
5/1	3886	10,000	SSS
9/26	3968	10,000	SSS
5/1	3887	50,000	RHH
9/26	3969	10,000	RHH
5/1	3888	100,000	SSS
9/26	3970	0	SSS
5/1	3889	25,000	SSS
6/27	3914	10,000	RHH
9/26	3971	25,000	SSS
9/26	3972	10,000	SSS
12/10	4028	10,000	JT/SSS
			DH/SSS
5/1	3890	15,000	SSS
12/10	4029	100,000	RHH
9/26	3974	30,000	RHH

Marc Haas Fdn
Charitable Contribution List - Comparative 2012 / 2013
as of 12/31/2013

2012 Date	Name	Chk Nos	2012 Amount
	TOTAL:		\$5,606,000
	<i>Prior Year Voids / Replacement Checks:</i>		
	<i>Total Voids/Adjustments:</i>		-
	Adj Total:		\$5,606,000

2013 Date	Chk No:	2013 Amount	NOTES
		\$5,490,000	
		\$5,490,000	

Date Order

Marc Haas Fdn
Charitable Contribution List - Comparative 2012 / 2013
as of 12/31/2013

2012 Date	Name	Chk No.	2012 Amount
3/25	High Museum of Art		
4/4	American Committee for the Weizman Institute of Science, Inc.	3623	25,000
4/4	American Museum of Natural History	3624	50,000
	Audubon Society		
4/4	Autism Speaks	3625	25,000
4/4	Careers through Culinary Arts Program	3633	6,000
4/4	Carnegie Hall Society, Inc.	3626	150,000
4/4	Chess-in-the-Schools	3627	25,000
	CIA Officers Memorial Foundation		
4/4	Citizens Committee for New York City	3628	10,000
4/4	City Year, Inc.	3629	25,000
4/4	Committee to Protect Journalists	3630	20,000
4/4	Conservation International	3631	40,000
4/4	Council on Foreign Relations	3632	100,000
6/25	Council on Foreign Relations (1st of 5 payments - 2007)	3713	200,000
4/4	Eldridge Street Museum	3634	50,000
	Guild Hall of East Hampton		
4/4	Harlem Educational Activities	3635	10,000
4/4	Henry Street Settlement	3636	10,000
	Henry Street Settlement		
4/4	International Rescue Committee	3637	50,000
4/4	JBI International	3638	100,000
4/4	Lenox Hill Neighborhood House	3639	50,000
4/4	Lenox Hill Neighborhood House	3640	25,000
	MOUSE		
4/4	Municipal Art Society of New York	3641	25,000
4/4	National Public Radio Foundation	3642	25,000
4/4	New York City Partnership	3643	30,000
4/4	New York Law School, Center for New York City Law	3644	50,000
4/4	New York Presbyterian Hospital - Originally dated 4/7/2011, replaced 3/16/2011	3645	50,000
4/4	Ninety-Second Street Y	3646	25,000
4/4	ORBIS International (1x hon. David Paton, MD)	3647 V	10,000
	ORBIS International (1x hon. David Paton, MD)	3647 V	-10,000
4/4	Paley Center for Media (Formerly Museum of Television and Radio)	3648	100,000
4/4	Partnership for Public Service	3649	25,000
4/4	Prep for Prep	3650	25,000
4/4	Robin Hood Foundation	3651	25,000
4/4	S.L.E. Lupus Foundation	3652	5,000
4/4	Second Stage	3653	25,000
4/4	Sesame Workshop	3654	25,000
4/4	Steadman Hawkins Foundation	3655	50,000
4/4	Story Corps	3656	50,000
4/4	The Atlantic Council	3657	25,000
	The Bone Marrow Foundation		
4/4	The Justice Project - Hugh L. Carey Legacy Award	3658 V	10,000
6/22	The Justice Project - Hugh L. Carey Legacy Award	3658 V	-10,000
4/4	The Main Idea	3659	7,500
4/4	The Metropolitan Museum of Art	3660	60,000
4/4	The Museum of Modern Art	3661	50,000
4/4	The New York Public Library	3662	50,000
4/4	The Spina Bifida Foundation	3663	10,000
4/4	The Whitney Museum of American Art	3664	25,000
4/4	Thirteen/WNET	3665	100,000
4/4	Trickle Up Program	3666	15,000

2013 Date	Chk No.	2013 Amount	NOTES
3/25	3840	10,000	SSS
5/1	3846	25,000	RHH
5/1	3847	50,000	RHH
5/1	3848	25,000	SSS
5/1	3849	15,000	SSS
			SSS
5/1	3851	200,000	SSS
5/1	3852	25,000	SSS
5/1	3853	25,000	SSS
5/1	3854	10,000	SSS
5/1	3855	25,000	SSS
5/1	3856	20,000	SSS
5/1	3857	40,000	SSS
5/1	3858	100,000	SSS
5/1	3859	200,000	SSS
5/1	3860	50,000	RHH
5/1	3861	25,000	SSS
5/1	3862	10,000	RHH
5/1	3863	10,000	SSS
5/1	3863V	-10,000	SSS
5/1	3864	50,000	SSS
5/1	3865	100,000	RHH
5/1	3866	50,000	SSS
			SSS
5/1	3869	20,000	SSS
5/1	3867	25,000	RHH
5/1	3868	25,000	SSS
5/1	3869	30,000	SSS
5/1	3870	50,000	SSS
5/1	3871	50,000	SSS
5/1	3872	50,000	RHH
			SSS
			SSS
5/1	3873	150,000	SSS
5/1	3874	25,000	SSS
5/1	3875	25,000	RHH
5/1	3876	25,000	SSS
5/1	3877	10,000	SSS
5/1	3878	25,000	SSS
5/1	3879	25,000	SSS
5/1	3880	50,000	SSS
5/1	3881	50,000	SSS
			SSS
5/1	3880	25,000	SSS
			SSS
5/1	3882	7,500	RHH
5/1	3883	60,000	SSS
5/1	3884	50,000	RHH
5/1	3885	50,000	SSS
5/1	3886	10,000	SSS
5/1	3887	50,000	RHH
5/1	3888	100,000	SSS
5/1	3889	25,000	SSS

2012 Date	Name	Chk No:	2012 Amount
4/4	Wildlife Conservation Society	3667	15,000
6/22	Alzheimer's Drug Discovery Foundation	3685	5,000
6/22	Chelsea Piers Scholarship Fund	3686 V	10,000
8/24	Chelsea Piers Scholarship Fund	3686 V	-10,000
9/6	Chelsea Piers Scholarship Fund	3717	10,000
6/22	Citizens Budget Committee	3687	25,000
6/22	Classroom Inc	3688	25,000
6/22	Cooke Center for Learning and Development	3689	10,000
6/22	Harlem Day Charter School	3690 V	25,000
6/22	Harlem Day Charter School	3690 V	-25,000
6/22	SeriousFun Children's Network: Formerly, Hole In the Wall Camp	3691	25,000
6/22	International Women's Health Coalition	3692	10,000
6/22	James P. McCarron Medical Foundation	3693	10,000
6/22	Lincoln Center Consolidated Corp Fund	3694	50,000
6/22	Manhattan Theatre Club, Inc	3695	10,000
6/22	MOUSE	3696	10,000
6/22	National Center on Addiction & Substance Abuse	3697	25,000
6/22	Neighborhood Coalition for Shelter, Inc	3698	5,000
6/22	New York Historical Society	3699	25,000
6/22	NYC Ballet	3700	10,000
6/22	NYC Mission Society	3701	10,000
6/22	Partnership for Children's Rights (Formerly, Legal Services for Children)	3702	50,000
6/22	Partnership for Children's Rights (Formerly, Legal Services for Children)		
6/22	Partnership for Children's Rights (Formerly, Legal Services for Children)		
6/22	PENCIL	3703 V	20,000
8/24	PENCIL	3703 V	-20,000
9/6	PENCIL	3720	20,000
6/22	Planned Parenthood	3704	25,000
6/22	Prisoner's Legal Services of New York	3705	10,000
6/22	SCAN	3706	25,000
6/22	The Buoniconti Fund	3707	25,000
6/22	The New York Philharmonic	3708	10,000
6/22	The Public Theatre	3709	25,000
6/22	The Rockefeller University	3710	100,000
6/22	The Rockefeller University (1M Pledge 200K/yr 2009-2013)	3711	200,000
6/22	U.S. Friends of the Hoedspruit Endangered Species Centre	3712	10,000
	Asia Society		
	Center for Educational Innovation		
	Citizens Committee for Children		
9/30	American Friends of Israel Museum - (Formerly, American Israel Museum)	3722	25,000
9/30	American Museum of the Moving Image	3723	10,000
9/30	Americorps	3724	25,000
9/30	Asia Society	3725	30,000
	Bill, Hillary & Chelsea Clinton Fdn		
9/30	Brooklyn Academy of Music	3726	10,000
9/30	Caramoor Center for Music and the Arts	3727	25,000
9/30	Center for Educational Innovation	3728	25,000
9/30	Citizens Committee for Children	3729	10,000
9/30	Concord Coalition	3730	25,000
9/30	Eis for Autism Foundation	3731	10,000
9/30	Facing History and Ourselves	3732	100,000
9/30	Franklin Delano Roosevelt Memorial Fund - (500K Pledge in 2 pymts 2008-2009)	3733	10,000
9/30	Global Fund for Children	3734	30,000
9/30	Harvard University/ Villa I Tatti	3735	10,000
9/30	Human Rights First (Formerly, Lawyers' Committee for Human Rights)	3736	25,000
9/30	Inner City Scholarship Fund, Inc	3737	25,000
9/30	International Center of Photography	3738	15,000
9/30	Learning Leader	3739	10,000
9/30	Lenox Hill Hospital	3740	25,000

2013 Date	Chk No:	2013 Amount	NOTES
5/1	3890	15,000	SSS
6/27	3892	5,000	SSS
			SSS
			SSS
6/27	3893	25,000	SSS
6/27	3894	25,000	SSS
6/27	3895	10,000	SSS
			SSS
6/27	3896	25,000	SSS
6/27	3897	10,000	SSS
6/27	3898	10,000	SSS
6/27	3899	50,000	RHH
6/27	3900	10,000	SSS
6/27	3901	25,000	SSS
6/27	3902	5,000	SSS
6/27	3903	25,000	SSS
6/27	3904	10,000	SSS
6/27	3905	50,000	RHH
8/28	3905	-50,000	RHH
	3923	50,000	RHH
			RHH
			RHH
6/27	3906	25,000	RHH
6/27	3907	10,000	SSS
6/27	3908	25,000	SSS
6/27	3909	25,000	SSS
6/27	3910	10,000	RHH
6/27	3911	25,000	SSS
6/27	3912	100,000	SSS
6/27	3913	200,000	SSS
6/27	3914	10,000	RHH
9/19		30,000	SSS
9/19		25,000	SSS
9/19		10,000	SSS
9/26	3926	25,000	SSS
9/26	3927	10,000	SSS
9/26	3928	25,000	SSS
			SSS
9/26	3973	100,000	SSS
9/26	3929	10,000	RHH
9/26	3930	25,000	RHH
			SSS
			SSS
9/26	3931	25,000	SSS
9/26	3932	100,000	SSS
9/26	3933	10,000	SSS
9/26	3934	30,000	SSS
9/26	3935	10,000	SSS
9/26	3936	25,000	SSS
9/26	3937	25,000	SSS
9/26	3938	15,000	SSS
9/26	3939	10,000	SSS
9/26	3940	25,000	RHH

2012 Date	Name	Chk No:	2012 Amount
9/30	Literacy Partners	3741	25,000
9/30	Manhattan Institute for Policy Research	3742	25,000
9/30	Medecins Sans Frontiers	3743	25,000
9/30	Memorial Sloan Kettering Cancer Center - Evelyn Launder Breast Center	3744	25,000
9/30	MENTOR/The National Mentoring Partnership (Formerly One to One)	3745	25,000
9/30	Metropolitan Opera Guild	3746	10,000
9/30	Montefiore Medical Center	3747	25,000
9/30	NAACP Legal Defense and Educational Fund, Inc	3748	10,000
9/30	National Multiple Sclerosis Society - New York City Chapter	3749	25,000
9/30	New Visions for Public Schools	3750	25,000
9/30	New York Botanical Gardens	3751	25,000
9/30	New York City Center	3752	25,000
9/30	Northside Center for Development	3753	15,000
9/30	Paul Taylor Dance Foundation	3754	10,000
9/30	PEN	3755	20,000
9/30	Phoenix House	3756	25,000
9/30	Public Art Fund, Inc	3757	10,000
9/30	Publiccolor	3758	50,000
9/30	Saban Center for Middle East Policy	3759	12,500
9/30	Sioux Corps		
9/30	Teach America	3760	25,000
9/30	The Baseball Hall of Fame	3761	10,000
9/30	The East Harlem School	3762	10,000
9/30	The Hospital for Special Surgery	3763	50,000
9/30	The Mayor's Fund to Advance New York City	3764	100,000
9/30	The Morgan Library and Museum (Formerly: Pierpont Morgan Library)	3765	50,000
9/30	The Mount Sinai Medical Center	3766	50,000
9/30	The Paul Robert Carey Foundation	3767	25,000
9/30	The Playwrights Horizons, Inc.	3768	20,000
9/30	The Washington Institute for New East Policy		
9/30	The Wilderness Society	3769	10,000
9/30	Thirteen / WNET	3770	50,000
9/30	United Nations Association	3771	25,000
9/30	United Neighborhood Houses	3772	10,000
9/30	WNYC Radio	3773	30,000
12/4	Albert Einstein College of Medicine	3782	25,000
12/4	American Association for the Advancement of Science (AAAS)	3783	10,000
12/4	Big Apple Circus	3784	25,000
12/4	Breakthrough New York		
12/4	Carnegie Hall	3831	200,000
12/4	Center for Hearing and Communication		
12/4	Central Park Conservatory	3786	100,000
12/4	Citizens Union	3787	25,000
12/4	Cold Spring Laboratory	3788	30,000
12/4	Council on Foreign Relations	3789	50,000
12/4	East Hampton Historical Society	3790	10,000
12/4	Endeavor	3791	25,000
12/4	FedCap	3792	25,000
12/4	Global Kids, Inc	3793	25,000
12/4	Global Partnership for Afghanistan	3794	10,000
12/4	Guggenheim Museum (Solomon R)		
12/4	IFAR	3795	10,000
12/4	Institute for Civic Leadership	3796	10,000
12/4	Jazz at Lincoln Center	3797	10,000
12/4	Kessler Foundation	3798	25,000
12/4	Lighthouse	3799	10,000
12/4	Lung Cancer Research Foundation	3800	10,000
12/4	Malaria No More	3801	10,000

2013 Date	Chk No:	2013 Amount	NOTES
9/26	3941	25,000	SSS
9/26	3942	25,000	SSS
9/26	3943	25,000	RHH
7/15	3916	25,000	SSS
9/26	3944	25,000	SSS
9/26	3945	10,000	SSS
9/26	3946	50,000	SSS
9/26	3947	10,000	SSS
9/26	3948	25,000	RHH
9/26	3949	25,000	SSS
9/26	3950	25,000	RHH
9/26	3951	15,000	SSS
9/26	3952	10,000	RHH
9/26	3953	20,000	SSS
9/26	3954	25,000	SSS
9/26	3955	10,000	RHH
9/26	3956	50,000	SSS
9/26	3957	12,500	SSS
9/26	3958	50,000	SSS
9/26	3959	25,000	SSS
9/26	3960	10,000	RHH
9/26	3961	10,000	RHH
9/26	3962	50,000	SSS
9/26	3963	100,000	SSS
9/26	3964	50,000	SSS
9/26	3965	50,000	RHH
9/26	3966	25,000	SSS
9/26	3967	25,000	RHH
9/26	3968	10,000	SSS
9/26	3969	10,000	RHH
9/26	3970	0	Voided
9/26	3971	25,000	SSS
9/26	3972	10,000	SSS
9/26	3974	30,000	RHH
12/10	3984	25,000	RHH
12/10	3985	25,000	DH/SSS
12/10	3986	10,000	JT/SSS
12/10	3987	100,000	SSS
12/10	3988	10,000	MH/SSS
12/10	3990	100,000	RHH
12/10	3991	25,000	SSS
12/10	3992	35,000	MH/SSS
12/10	3993	50,000	DL/RHH
12/18	4033	5,000	DL/RHH
12/10	3994	10,000	SSS
12/10	3995	25,000	SSS
12/10	3996	27,500	MH/SSS
12/10	3997	25,000	SSS
12/10	3998	10,000	JT/SSS
12/10	3999	50,000	DL/RHH
12/10	4000	12,500	MH/SSS
12/10	4001	12,500	MH/SSS
12/10	4002	10,000	SSS
12/10	4003	10,000	DH/SSS
12/10	4004	10,000	SSS
12/10	4005	10,000	SRS/RHH
12/10			SSS

2012 Date	Name	Chk No:	2012 Amount
12/4	Memorial Sloan Kettering - c/o The Shuman Fund for GIST Research	3802	25,000
12/4	New York Landmark Conservancy	3803	10,000
12/4	New York Rugby Club	3804	25,000
12/4	New York University Medical Center	3805	25,000
12/4	Park Avenue Armory	3806	10,000
12/4	Partners in Health	3807	25,000
	PAVE Academy Charter School		
	Performa 13		
12/4	Ploughshares Fund	3808	10,000
12/4	Primary Stages	3809	10,000
12/4	ProPublica	3810	25,000
12/4	Publicolor	3811	40,000
	Publicolor		
12/4	Reading Reform Foundation of New York	3812	10,000
12/4	Reel Fathers / Warehouse 21	3832	10,000
12/4	Robert F. Kennedy Center for Justice and Human Rights (Honoring Vindent Mai)	3814	10,000
12/4	Roundabout Theatre Company	3815	10,000
12/4	Second Stage	3816	10,000
12/4	Sing for Hope	3817	10,000
12/4	Southern Poverty Law Center	3818	10,000
12/4	Story Corps	3819	40,000
12/4	Story Corps/Sound Portrait	3820	50,000
12/4	The Alex Foundation	3821	10,000
12/4	The Doe Fund	3822	25,000
12/4	The Hypertension Education Fdn. Inc	3823	10,000
12/4	The Mayor's Fund to Advance New York City (should go in June)	3824	100,000
11/6	The Mayor's Fund to Advance New York City - Hurricane Sandy Relief	3777	250,000
12/4	The Rockefeller University	3825	50,000
12/4	The Rusk Institute of Rehabilitation Medicine	3826	10,000
12/4	Westchester Community College Fund	3827	10,000
12/4	Wikipedia	3828	5,000
12/4	William J. Clinton Foundation	3829	75,000
12/4	WNYC - Campaign for Public Radio	3830	100,000
	TOTAL:		\$5,606,000
	Prior Year Voids / Replacement Checks:		
	Total Voids/Adjustments:		
	Adj Total:		\$5,606,000

2013 Date	Chk No:	2013 Amount	NOTES
12/10	4006	25,000	SSS
12/10	4007	10,000	SSS
12/10	4008	27,500	MH/SSS
12/10	4009	25,000	SSS
12/18	4034	15,000	JT/SSS
			DH/SSS
12/10	4011	10,000	DL/RHH
12/10	4012	5,000	DL/RHH
12/10	4013	10,000	SRS/RHH
12/10	4014	10,000	RHH
12/18	4035	25,000	SSS
12/10	4016	50,000	MAS/RHH
12/10	4017	5,000	DL/RHH
12/10	4018	10,000	RHH
12/10	4019	15,000	MAS/RHH
			SSS/RHH
12/10	4020	10,000	RHH
12/10	4021	25,000	SRS/RHH
12/10	4022	10,000	SSS
12/10	4023	10,000	RHH
12/18	4038	50,000	MAS/RHH
12/10	4024	50,000	SSS
12/10	4025	10,000	MAS/RHH
			DH/SSS
			JT/SSS
12/10	4026	100,000	SSS
			SSS/RHH
12/10	4027	50,000	SRS/RHH
			DH/SSS
12/10	4028	10,000	JT/SSS
			DH/SSS
			SSS
12/10	4029	100,000	RHH
		\$5,490,000	
		\$5,490,000	

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
-------------	--	-----------	---

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND PASS THRU FROM PTNRSHPS	263,517.	0.	263,517.	263,517.	
INTEREST PASS THRU FROM PTNSHPS	308,105.	0.	308,105.	308,105.	
TO PART I, LINE 4	571,622.	0.	571,622.	571,622.	

FORM 990-PF	OTHER INCOME	STATEMENT	2
-------------	--------------	-----------	---

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER PORTFOLIO INCOME FROM K-1'S	788,631.	788,631.	
TAX REFUND	5,856.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	794,487.	788,631.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
-------------	-----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
WEISERMAZARS LLP	41,207.	10,302.		30,905.
TO FORM 990-PF, PG 1, LN 16B	41,207.	10,302.		30,905.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MERRICK WOODS LLC	15,700.	3,925.		11,775.
TO FORM 990-PF, PG 1, LN 16C	15,700.	3,925.		11,775.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE TAXES FROM K-1'S	11,725.	11,725.		0.
STATE TAXES	260.	260.		0.
FOREIGN TAXES FROM K-1'S	58,690.	58,690.		0.
FEDERAL EXCISE TAX	112,300.	0.		0.
TO FORM 990-PF, PG 1, LN 18	182,975.	70,675.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES FROM K-1'S	632,057.	632,057.		0.
NON DEDUCTIBLE EXPENSE FROM K-1'S	513.	0.		0.
OFFICE EXPENSES	2,902.	2,902.		0.
PORTFOLIO DEDUCTIONS FROM K-1'S	353,308.	353,308.		0.
ADMINISTRATION FEE	10,000.	10,000.		0.
NY STATE DEPT OF LAW	1,500.	1,500.		0.
TO FORM 990-PF, PG 1, LN 23	1,000,280.	999,767.		0.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 7

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BAUPOST PARTNERS	COST	56,105,999.	60,403,392.
ARCHSTONE OFFSHORE PARTNERS	COST	5,203,281.	11,685,664.
MDM PARTNERS	COST	144,711.	191,000.
WATERVIEW PARTNERS	COST	8,133.	12,948.
FOREFRONT (CHARLES SCHWAB)	COST	301,830.	393,722.
NORTHWOOD OFFSHORE FUND LTD	COST	5,000,000.	5,299,857.
ELLIOT INTERNATIONAL	COST	0.	26,798,404.
TOTAL TO FORM 990-PF, PART II, LINE 13		66,763,954.	104,784,987.

FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT	8
	PART XV, LINES 2A THROUGH 2D		

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ROBERT H. HAINES, ESQ.
C/O WEISERMAZARS 135 W 50TH ST
NEW YORK, NY 10020

TELEPHONE NUMBER

212-812-7000

FORM AND CONTENT OF APPLICATIONS

APPLICATIONS SHOULD BE SUBMITTED IN WRITING, INDICATING THE CHARITABLE PURPOSE OF THE ORGANIZATION WITH SUPPORTING MATERIALS.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE