Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

**2013**

**Open to Public Inspection**

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation: THE SIDNEY J. WEINBERG, JR. FOUNDATION

A Employer identification number: 13-2998603

Number and street (or P O box number if mail is not delivered to street address): C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR

Room/suite:

B Telephone number (see instructions): (212) 440-0800

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10005

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 50,528,007.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis )

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income N/A | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc , received (attach schedule) | NONE | | | |
| | 2 Check ▶ ☐ if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 6. | 6. | | ATCH 1 |
| | 4 Dividends and interest from securities | 693,109. | 692,363. | | ATCH 2 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 773,695. | | | |
| | b Gross sales price for all assets on line 6a 8,889,390. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 741,326. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) ATCH 3 | 164,983. | 189,337. | | |
| | 12 **Total.** Add lines 1 through 11 | 1,631,793. | 1,623,032. | 0 | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0 | | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) ATCH 4 | 11,500. | 5,750. | | 5,750. |
| | c Other professional fees (attach schedule) * | 277,733. | 277,733. | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) ATCH 6 | 12,250. | | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) ATCH 7 | 106,144. | 81,536. | | 21,951. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 407,627. | 365,019. | | 27,701. |
| | 25 Contributions, gifts, grants paid | 4,670,005. | | | 4,670,000. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 5,077,632. | 365,019. | 0 | 4,697,701. |
| | 27 Subtract line 26 from line 12 | | | | |
| | a **Excess of revenue over expenses and disbursements** | -3,445,839. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 1,258,013. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | 0 | |

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**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

| | | | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash - non-interest-bearing | 360,809. | 904,132. | 904,132. |
| | 2 | Savings and temporary cash investments | 8,846. | 84,011. | 84,011. |
| | 3 | Accounts receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10 a | Investments - U S and state government obligations (attach schedule) | | | |
| | b | Investments - corporate stock (attach schedule) ATCH 8 | 33,566,284. | 29,308,389. | 34,301,712. |
| | c | Investments - corporate bonds (attach schedule) | | | |
| | 11 | Investments - land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other (attach schedule) | | | |
| | 14 | Land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ATCH 9 ) | 11,102,769. | 11,292,091. | 15,238,152. |
| | 16 | **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 45,038,708. | 41,588,623. | 50,528,007. |
| Liabilities | 17 | Accounts payable and accrued expenses | 4,378. | 132. | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | 4,378. | 132. | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, ▶ ☒ check here and complete lines 27 through 31.** | | | |
| | 27 | Capital stock, trust principal, or current funds | 45,034,330. | 41,588,491. | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | **Total net assets or fund balances** (see instructions) | 45,034,330. | 41,588,491. | |
| | 31 | **Total liabilities and net assets/fund balances** (see instructions) | 45,038,708. | 41,588,623. | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 45,034,330. |
| 2 | Enter amount from Part I, line 27a | 2 | -3,445,839. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 41,588,491. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 41,588,491. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | SEE PART IV SCHEDULE | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 Capital gain net income or (net capital loss) | If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 | 2 | 741,326. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | | 3 | 0 |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2012 | 3,168,692. | 48,547,609. | 0.065270 |
| 2011 | 3,042,248. | 43,997,064. | 0.069147 |
| 2010 | 3,315,624. | 31,549,459. | 0.105093 |
| 2009 | 2,618,065. | 30,953,749. | 0.084580 |
| 2008 | 2,345,598. | 20,567,678. | 0.114043 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.438133 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.087627 |
| 4 | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 50,329,805. |
| 5 | Multiply line 4 by line 3 | 5 | 4,410,250. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 12,580. |
| 7 | Add lines 5 and 6 | 7 | 4,422,830. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 4,697,701. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | | |
|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ______________ (attach copy of letter if necessary - see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b | | 1 | 12,580. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 2 | |
| 3 | Add lines 1 and 2 | | 3 | 12,580. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 4 | 0 |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | | 5 | 12,580. |
| 6 | Credits/Payments | | | |
| a | 2013 estimated tax payments and 2012 overpayment credited to 2013 | 6a 5,789. | | |
| b | Exempt foreign organizations - tax withheld at source | 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c 23,000. | | |
| d | Backup withholding erroneously withheld | 6d | | |
| 7 | Total credits and payments Add lines 6a through 6d | | 7 | 28,789. |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | 10 | 16,209. |
| 11 | Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 16,209. **Refunded** ▶ | | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? | 1b | | X |
| | *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | X | |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | X | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY, | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation.* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ BCRS ASSOCIATES, LLC Telephone no ▶ 212-440-0800

Located at ▶ 77 WATER STREET, 9TH FLOOR, NEW YORK, NY ZIP+4 ▶ 10005

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | N/A |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | | N/A |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No<br>If "Yes," list the years ▶ ____ , ____ , ____ , ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions ) | 2b | | N/A |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.<br>▶ ____ , ____ , ____ , ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013 )* | 3b | | N/A |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53 4945-5(d)*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

*If "Yes" to 6b, file Form 8870*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| ATCH 10 | | 0 | 0 | 0 |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | 0 | 0 | 0 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | NONE |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services . . . . . . ▶ | | |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. IT'S PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER SECTION | NONE |
| 2 501(C)(3) OF THE INTERNAL REVENUE CODE. | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | NONE |
| 2 N/A | |
| All other program-related investments See instructions | |
| 3 N/A | |
| **Total.** Add lines 1 through 3 . . . . . . ▶ | |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes: | | |
| a | Average monthly fair market value of securities | 1a | 36,852,132. |
| b | Average of monthly cash balances | 1b | 375,046. |
| c | Fair market value of all other assets (see instructions) | 1c | 13,869,071. |
| d | **Total** (add lines 1a, b, and c) | 1d | 51,096,249. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 51,096,249. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions) | 4 | 766,444. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 50,329,805. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 2,516,490. |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 2,516,490. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a | 12,580. | | |
| b | Income tax for 2013. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 12,580. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 2,503,910. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 2,503,910. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 2,503,910. |

**Part XII** **Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 | 1a | 4,697,701. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 4,697,701. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | 12,580. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 4,685,121. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|---|---|---|---|---|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 2,503,910. |
| 2 Undistributed income, if any, as of the end of 2013 | | | | |
| a Enter amount for 2012 only | | | NONE | |
| b Total for prior years 20____,20____,20____ | | | | |
| 3 Excess distributions carryover, if any, to 2013: | | | | |
| a From 2008 1,753,378. | | | | |
| b From 2009 1,081,400. | | | | |
| c From 2010 1,747,705. | | | | |
| d From 2011 880,628. | | | | |
| e From 2012 759,306. | | | | |
| f Total of lines 3a through e | 6,222,417. | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 4,697,701. | | | | |
| a Applied to 2012, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | | | |
| c Treated as distributions out of corpus (Election required - see instructions) | | | | |
| d Applied to 2013 distributable amount | | | | 2,503,910. |
| e Remaining amount distributed out of corpus | 2,193,791. | | | |
| 5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | | | | |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 8,416,208. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b Taxable amount - see instructions | | | | |
| e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions | | | NONE | |
| f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 | | | | NONE |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) | 1,753,378. | | | |
| 9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a | 6,662,830. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2009 1,081,400. | | | | |
| b Excess from 2010 1,747,705. | | | | |
| c Excess from 2011 880,628. | | | | |
| d Excess from 2012 759,306. | | | | |
| e Excess from 2013 2,193,791. | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . . . . . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | (e) Total |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| SEE LIST ATTACHED | | | | 4,670,005. |
| **Total** . . . . . . ▶ **3a** | | | | 4,670,005. |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 6. | |
| 4 Dividends and interest from securities | 523000 | 746. | 14 | 692,363. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | 523000 | 32,369. | 18 | 741,326. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b ATCH 11 | | -33,077. | | 198,060. | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | 38. | | 1,631,755. | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 1,631,793.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---|---|
| | NOT APPLICABLE |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

| | | Yes | No |
|---|---|---|---|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | N/A | | N/A |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date 10/27/14 — Title TRUSTEE

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| JOHN D COOK | | 10/17/14 | | P01450182 |
| Firm's name ▶ BCRS ASSOCIATES, LLC | | | Firm's EIN ▶ 13-4078147 | |
| Firm's address ▶ 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005 | | | Phone no 212-440-0800 | |

Form 990-PF (2013)

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | | | | 1,901. | |
| | | SEE REALIZED G(L) REPORT I ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 6,351,967. | | 6,481,369. | | | | | -129,402. | |
| | | SEE REALIZED G(L) REPORT II ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 1,054,370. | | 785,839. | | | | | 268,531. | |
| | | SEE REALIZED G(L) REPORT II ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 978,252. | | 847,152. | | | | | 131,100. | |
| | | LTCG THRU GS VINTAGE V | | | | | | |
| 82,551. | | | | | | | 82,551. | |
| | | STCG THRU GS VINTAGE V | | | | | | |
| 626. | | | | | | | 626. | |
| | | LTCG THRU K-1'S | | | | | | |
| 305,141. | | | | | | | 305,141. | |
| | | STCG THRU K-1'S | | | | | | |
| 80,771. | | | | | | | 80,771. | |
| | | SEC 1231 15% G(L) THRU K-1'S | | | | | | |
| 902. | | | | | | | 902. | |
| | | SEC 1250 15% G(L) THRU K-1'S | | | | | | |
| | | 795. | | | | | -795. | |
| TOTAL GAIN(LOSS) | | | | | | | 741,326. | |

**THE SIDNEY J. WEINBERG, JR. FOUNDATION** **13-2998603**

SUPPLEMENTARY STATEMENTS

**PART: XV** **LINE: 2** **SUPPLEMENTAL INFORMATION**

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO INDIVIDUALS THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME |
|---|---|---|---|
| INTEREST ON CREDIT BALANCE | 6. | 6. | |
| TOTAL | 6. | 6. | |

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME |
|---|---|---|---|
| DIVIDENDS THRU: | | | |
| -GOLDMAN SACHS BRKG | 457,289. | 457,289. | |
| -CHARLES SCHWAB BRKG | 46,200. | 46,200. | |
| -K-1'S | 63,416. | 63,416. | |
| INTEREST ON BONDS | 38,469. | 38,469. | |
| INTEREST THRU: | | | |
| -K-1'S | 78,570. | 78,570. | |
| -K-1'S - UBTI | 746. | | |
| SHORT-TERM INTEREST THRU GS VINTAGE V | 8,419. | 8,419. | |
| TOTAL | 693,109. | 692,363. | |

## FORM 990PF, PART I - OTHER INCOME

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME |
|---|---|---|---|
| ORDINARY INCOME THRU K-1'S | 32,141. | 32,141. | |
| ORDINARY INCOME THRU K-1'S - UBTI | -27,340. | | |
| RENTAL REAL ESTATE THRU K-1'S | -3,396. | -3,396. | |
| RENTAL REAL ESTATE THRU K-1'S - UBTI | -5,828. | | |
| SEC. 988 GAIN THRU K-1'S | 14,353. | 14,353. | |
| SEC. 988 GAIN THRU K-1'S - UBTI | -3. | | |
| SEC. 987 GAIN THRU K-1'S | -1,318. | -1,318. | |
| SEC. 987 GAIN THRU K-1'S - UBTI | -1. | | |
| SEC. 986 GAIN THRU K-1'S | 2,575. | 2,575. | |
| SEC. 986 GAIN THRU K-1'S - UBTI | 2. | | |
| SEC 951(A) SUBPART F INCOME THRU K-1'S | 136,641. | 136,641. | |
| SEC 951(A) SUBPART F INC THRU K-1'S-UBTI | 93. | | |
| PORTFOLIO INCOME THRU GS VINTAGE V | 17,064. | 17,064. | |
| UTILIZATION OF PRIOR YEAR SUSP PAL C/F | | -8,723. | |
| TOTALS | 164,983. | 189,337. | |

## FORM 990PF, PART I - ACCOUNTING FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| BCRS ASSOCIATES, LLC | 11,500. | 5,750. | | 5,750. |
| TOTALS | 11,500. | 5,750. | | 5,750. |

## FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| INVESTMENT MANAGEMENT FEES: | | | | |
| -THRU GOLDMAN SACHS | 252,106. | 252,106. | | |
| -THRU CHARLES SCHWAB | 25,627. | 25,627. | | |
| TOTALS | 277,733. | 277,733. | | |

FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| FEDERAL TAX PAID: | | | | |
| -BAL DUE W/ EXT 990-PF 2012 | 12,000. | | | |
| NYS CORPORATION TAX PAID: | | | | |
| -BAL DUE W/ EXT CT-13 2012 | 250. | | | |
| TOTALS | 12,250. | | | |

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| MEAL/CATERING EXPENSES | 312. | | | 312. |
| TRAVEL/LODGING EXPENSES | 19,794. | | | 19,794. |
| CREDIT CARD ANNUAL FEE | 75. | | | 75. |
| NEW YORK STATE FILING FEES | 1,500. | | | 1,500. |
| PUBLIC NOTICE FEES | 270. | | | 270. |
| INTEREST EXPENSE THRU: | | | | |
| -K-1'S | 9,668. | 9,668. | | |
| -K-1'S - UBTI | 1,301. | | | |
| PORTFOLIO DEDUCTIONS THRU: | | | | |
| -K-1'S | 67,868. | 67,868. | | |
| FOREIGN TAX THRU: | | | | |
| -GOLDMAN SACHS BRKG | 1,157. | 1,157. | | |
| -K-1'S | 2,843. | 2,843. | | |
| -K-1'S - UBTI | 5. | | | |
| NON-DEDUCTIBLE EXP THRU K-1'S | 1,351. | | | |
| TOTALS | 106,144. | 81,536. | | 21,951. |

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | BEGINNING BOOK VALUE | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| SEE LONG POSITION I ATTACHED | 28,759,863. | 24,128,087. | 27,340,297. |
| SEE LONG POSITION II ATTACHED | 162. | 162. | 162. |
| SEE LONG POSITION III ATTACHED | 2,859,605. | 2,859,605. | 4,004,606. |
| SEE LONG POSITION IV ATTACHED | 1,946,654. | 2,320,535. | 2,956,647. |
| TOTALS | 33,566,284. | 29,308,389. | 34,301,712. |

ATTACHMENT 9

FORM 990PF, PART II - OTHER ASSETS

| DESCRIPTION | BEGINNING BOOK VALUE | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| ETON PARK OVERSEAS FUND LTD | 1,400,000. | 1,400,000. | 2,814,789. |
| FARALLON CAPITAL INSTL PTRS LP | 2,320,495. | 2,519,797. | 2,535,968. |
| GS CAPITAL PTRS VI PARALLEL | 1,311,440. | 1,045,641. | 1,044,176. |
| OMEGA OVERSEAS PARTNERS CLA B | 2,000,000. | 2,000,000. | 4,241,025. |
| ARTISAN ALPHA PLUS ADVISERS 2 | 1,054,666. | 1,230,353. | 1,711,765. |
| WHITEHALL PARALLEL GLOBAL REAL ESTATE LP 2007 | 1,144,188. | 1,124,531. | 789,964. |
| GSCP VI PARALLEL AIV | 124,594. | 122,631. | 128,424. |
| GS VINTAGE FD V OFFSHORE HLDGS | 597,934. | 571,472. | 627,475. |
| BROAD STREET ENERGY PTRS LP | 98,605. | 173,905. | 159,567. |
| BROAD STREET ENERGY PTRS AIV | 50,847. | 103,761. | 101,150. |
| GS INVESTMENT PARTNERS | 1,000,000. | 1,000,000. | 1,083,849. |
| TOTALS | 11,102,769. | 11,292,091. | 15,238,152. |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES |
|---|---|---|---|---|
| SYDNEY H. WEINBERG<br>1 WEST 72ND STREET<br>NEW YORK, NY 10023 | TRUSTEE/PART-TIME | 0 | 0 | 0 |
| ELIZABETH W. SMITH<br>1 WEST 72ND STREET<br>NEW YORK, NY 10075 | TRUSTEE/PART-TIME | 0 | 0 | 0 |
| PETER A. WEINBERG<br>C/O BCRS ASSOCIATES, LLC<br>77 WATER STREET, 9TH FLOOR<br>NEW YORK, NY 10005 | TRUSTEE/PART-TIME | 0 | 0 | 0 |
| | GRAND TOTALS | 0 | 0 | 0 |

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 11

| DESCRIPTION | BUSINESS CODE | AMOUNT | EXCLUSION CODE | AMOUNT | RELATED OR EXEMPT FUNCTION INCOME |
|---|---|---|---|---|---|
| ORDINARY INCOME THRU K-1'S | 523000 | -27,340. | 01 | 32,141. | |
| RENTAL REAL ESTATE THRU K-1'S | 523000 | -5,828. | 01 | -3,396. | |
| SEC. 988 THRU K-1'S | 523000 | -3. | 01 | 14,353. | |
| SEC. 987 THRU K-1'S | 523000 | -1. | 01 | -1,318. | |
| SEC. 986 THRU K-1'S | 523000 | 2. | 01 | 2,575. | |
| SEC. 951(A) SUBPART F INCOME THRU K-1'S | 523000 | 93. | 01 | 136,641. | |
| PORTFOLIO INCOME THRU GS VINTAGE V | | | 01 | 17,064. | |
| TOTALS | | -33,077. | | 198,060. | |

**SCHEDULE D (Form 1041)**

Department of the Treasury Internal Revenue Service

# Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

**2013**

| Name of estate or trust | Employer identification number |
|---|---|
| THE SIDNEY J. WEINBERG, JR. FOUNDATION | 13-2998603 |

**Note:** *Form 5227 filers need to complete only Parts I and II*

## Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

| See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars | (d) Proceeds (sales price) | (e) Cost (or other basis) | (g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g) | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|
| **1a** Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b | | | | |
| **1b** Totals for all transactions reported on Form(s) 8949 with **Box A** checked | 1,061,242. | 847,152. | | 214,090. |
| **2** Totals for all transactions reported on Form(s) 8949 with **Box B** checked | | | | |
| **3** Totals for all transactions reported on Form(s) 8949 with **Box C** checked | | | | |

| | | |
|---|---|---|
| **4** Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 | 4 | |
| **5** Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 5 | |
| **6** Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet | 6 | ( ) |
| **7** **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back ▶ | 7 | 214,090. |

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars | (d) Proceeds (sales price) | (e) Cost (or other basis) | (g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g) | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|
| **8a** Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b | | | | |
| **8b** Totals for all transactions reported on Form(s) 8949 with **Box D** checked | 7,826,247. | 7,268,543. | | 557,704. |
| **9** Totals for all transactions reported on Form(s) 8949 with **Box E** checked | | | | |
| **10** Totals for all transactions reported on Form(s) 8949 with **Box F** checked | | | | |

| | | |
|---|---|---|
| LONG-TERM CAPITAL GAIN DIVIDENDS | | 1,901. |
| **11** Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 | 11 | |
| **12** Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 12 | |
| **13** Capital gain distributions | 13 | |
| **14** Gain from Form 4797, Part I | 14 | |
| **15** Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet | 15 | ( ) |
| **16** **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶ | 16 | 559,605. |

**Part III Summary of Parts I and II**
**Caution:** *Read the instructions* ***before*** *completing this part.*

| | | | (1) Beneficiaries' (see instr ) | (2) Estate's or trust's | (3) Total |
|---|---|---|---|---|---|
| 17 | **Net short-term gain or (loss)** | 17 | | | 214,090. |
| 18 | **Net long-term gain or (loss):** | | | | |
| a | Total for year | 18a | | | 559,605. |
| b | Unrecaptured section 1250 gain (see line 18 of the wrksht ) | 18b | | | |
| c | 28% rate gain | 18c | | | |
| 19 | **Total net gain or (loss).** Combine lines 17 and 18a ▶ | 19 | | | 773,695. |

**Note:** *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV If line 19, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

**Part IV Capital Loss Limitation**

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 19, column (3) **or** b $3,000 . . . 20 ( )

**Note:** *If the loss on line 19, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *in the instructions to figure your capital loss carryover*

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

**Caution:** *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if:*

- *Either line 18b, col. (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

**Form 990-T trusts.** Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col (2) is more than zero.

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| 21 | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) | | | 21 | | | |
| 22 | Enter the **smaller** of line 18a or 19 in column (2) but not less than zero | 22 | | | | | |
| 23 | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) | 23 | | | | | |
| 24 | Add lines 22 and 23 | 24 | | | | | |
| 25 | If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶ | 25 | | | | | |
| 26 | Subtract line 25 from line 24. If zero or less, enter -0- | | | 26 | | | |
| 27 | Subtract line 26 from line 21. If zero or less, enter -0- | | | 27 | | | |
| 28 | Enter the **smaller** of the amount on line 21 or $2,450 | | | 28 | | | |
| 29 | Enter the **smaller** of the amount on line 27 or line 28 | | | 29 | | | |
| 30 | Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶ | | | | | 30 | |
| 31 | Enter the smaller of line 21 or line 26 | | | 31 | | | |
| 32 | Subtract line 30 from line 26 | | | 32 | | | |
| 33 | Enter the **smaller** of line 21 or $11,950 | | | 33 | | | |
| 34 | Add lines 27 and 30 | | | 34 | | | |
| 35 | Subtract line 34 from line 33 If zero or less, enter -0- | | | 35 | | | |
| 36 | Enter the **smaller** of line 32 or line 35 | | | 36 | | | |
| 37 | Multiply line 36 by 15% ▶ | | | | | 37 | |
| 38 | Enter the amount from line 31 | | | 38 | | | |
| 39 | Add lines 30 and 36 | | | 39 | | | |
| 40 | Subtract line 39 from line 38 If zero or less, enter -0- | | | 40 | | | |
| 41 | Multiply line 40 by 20% ▶ | | | | | 41 | |
| 42 | Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | 42 | | | |
| 43 | Add lines 37, 41, and 42 | | | 43 | | | |
| 44 | Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | 44 | | | |
| 45 | **Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶ | | | | | 45 | |

Form **8949** Department of the Treasury Internal Revenue Service

# Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949*.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

| Name(s) shown on return | Social security number or taxpayer identification number |
|---|---|
| THE SIDNEY J. WEINBERG, JR. FOUNDATION | 13-2998603 |

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box A, B, *or* C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

[X] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[ ] **(C)** Short-term transactions not reported to you on Form 1099-B

| 1 (a) Description of property (Example 100 sh XYZ Co) | (b) Date acquired (Mo, day, yr) | (c) Date sold or disposed (Mo, day, yr) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions | Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions | (g) Amount of adjustment | (h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| SEE REALIZED G(L) REPORT II ATTACHED | VARIOUS | VARIOUS | 978,252. | 847,152. | | | 131,100. |
| STCG THRU GS VINTAGE | | | 626. | | | | 626. |
| STCG THRU K-1'S | | | 80,771. | | | | 80,771. |
| STCG THRU K-1'S - UBT | | | 1,593. | | | | 1,593. |
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| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶ | | | 1,061,242. | 847,152. | | | 214,090. |

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side ) | Social security number or taxpayer identification number

THE SIDNEY J. WEINBERG, JR. FOUNDATION | 13-2998603

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part II** **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box D, E, *or* F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

[X] **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[ ] **(F)** Long-term transactions not reported to you on Form 1099-B

| 1 (a) Description of property (Example 100 sh XYZ Co ) | (b) Date acquired (Mo , day, yr ) | (c) Date sold or disposed (Mo , day, yr ) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions | **Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions | (g) Amount of adjustment | (h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| SEE REALIZED G(L) REPORT I ATTACHED | VARIOUS | VARIOUS | 6,351,967. | 6,481,369. | | | -129,402. |
| SEE REALIZED G(L) REPORT II ATTACHED | VARIOUS | VARIOUS | 1,054,370. | 785,839. | | | 268,531. |
| LTCG THRU GS VINTAGE V | | | 82,551. | | | | 82,551. |
| LTCG THRU K-1'S | | | 305,141. | | | | 305,141. |
| LTCG THRU K-1'S - UBTI | | | | 540. | | | -540. |
| SEC 1231 15% G(L) THRU K-1'S | | | 902. | | | | 902. |
| SEC 1231 15% G(L) THRU K-1'S - UBTI | | | 25,480. | | | | 25,480. |
| SEC 1250 15% G(L) THRU K-1'S | | | | 795. | | | -795. |
| SEC 1250 15% G(L) THRU K-1'S - UBTI | | | 5,836. | | | | 5,836. |
| | | | | | | | |
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| | | | | | | | |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶ | | | 7,826,247 | 7268543. | | | 557,704. |

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

**THE SIDNEY J. WEINBERG, JR. FOUNDATION**
**CHARITABLE CONTRIBUTIONS**
**FYE 12/31/2013**
**EIN: 13-2998603**

| Date | Organization | City, State | | Amount |
|---|---|---|---|---|
| 1/2/2013 | The Coalition for Buzzards Bay | New Bedford, MA | $ | 25,000 |
| 1/14/2013 | Sharon Green Preservation Association | Sharon, CT | $ | 10,000 |
| 1/24/2013 | King's Academy | New York, NY | $ | 200,000 |
| 6/4/2013 | Tri Arts Sharon Playhouse | Sharon, CT | $ | 20,000 |
| 6/5/2013 | The Family Centers, Inc. | Greenwich, CT | $ | 75,000 |
| 6/10/2013 | East Harlem School | New York, NY | $ | 10,000 |
| 6/12/2013 | Christ Church Sharon | Sharon, CT | $ | 25,000 |
| 6/12/2013 | New York-Presbyterian Hospital | New York, NY | $ | 100,000 |
| 6/13/2013 | Woods Hole Oceanographic Institution | Woods Hole, MA | $ | 50,000 |
| 6/17/2013 | The American Friends of Les Arts Florissants | New York, NY | $ | 50,000 |
| 6/18/2013 | City Parks Foundation - Ft. Tryon Trust | New York, NY | $ | 10,000 |
| 12/30/2013 | Columbia University Medical Center | New York, NY | $ | 200,000 |
| 12/26/2013 | Huntington Library | San Marino, CA | $ | 50,000 |
| 12/23/2013 | Library of America | New York, NY | $ | 50,000 |
| 12/24/2013 | Claremont McKenna College - Keck Science Department | Claremont, CA | $ | 400,000 |
| 12/23/2013 | Scripps College - Keck Science Dept. | Claremont, CA | $ | 600,000 |
| 12/23/2013 | YMCA Southcoast | New Bedford, MA | $ | 10,000 |
| 12/20/2013 | Central Park Conservancy | New York, NY | $ | 250,000 |
| 12/23/2013 | Library of America | New York, NY | $ | 100,000 |
| 12/23/2013 | Southcoast Center for Cancer Care | Marion, MA | $ | 200,000 |
| 12/26/2013 | Memorial Sloan-Kettering Cancer Center | New York, NY | $ | 500,000 |
| 12/24/2013 | Scripps College | Claremont, CA | $ | 1,000,000 |
| 12/20/2013 | Central Park Conservancy | New York, NY | $ | 75,000 |
| 12/20/2013 | Chamber Music Society | New York, NY | $ | 50,000 |
| 12/27/2013 | Deerfield Academy | Deerfield, MA | $ | 20,000 |
| 12/20/2013 | Friends of the High Line | New York, NY | $ | 25,000 |
| 12/30/2013 | New Bedford Whaling Museum | New Bedford, MA | $ | 10,000 |
| 12/18/2013 | The American Friends of Les Arts Florissants | New York, NY | $ | 10,000 |
| 12/23/2013 | Scripps College | Claremont, CA | $ | 75,000 |
| 12/24/2013 | Keck Graduate Institute - Jt. Science | Claremont, CA | $ | 20,000 |
| 12/24/2013 | Keck Graduate Institute - Pioneer Fund | Claremont, CA | $ | 25,000 |
| 12/31/2013 | New York Public Library | New York, NY | $ | 60,000 |
| 12/19/2013 | Public Agenda | New York, NY | $ | 25,000 |
| 12/26/2013 | Sippican Historical & Preservation Society | Marion, MA | $ | 5,000 |
| 12/30/2013 | Sippican Land Trust | Marion, MA | $ | 5,000 |
| 12/30/2013 | Tabor Academy | Marion, MA | $ | 10,000 |
| 12/27/2013 | The Coalition for Buzzards Bay | New Bedford, MA | $ | 25,000 |
| 12/26/2013 | The Trustees of Reservations | Beverly, MA | $ | 25,000 |
| 12/30/2013 | Woods Hole Oceanographic Institution | Woods Hole, MA | $ | 25,000 |
| 12/19/2013 | World Monuments Fund | New York, NY | $ | 60,000 |
| 12/23/2013 | Community Nurse & Hospice Care | New Bedford, MA | $ | 30,000 |
| 12/23/2013 | St. Gabriel's Episcopal Church | Marion, MA | $ | 30,000 |
| 12/23/2013 | Tobey Hospital | Wareham, MA | $ | 25,000 |
| 12/23/2013 | The Family Centers, Inc. | Greenwich, CT | $ | 75,000 |
| 12/19/2013 | Young Audiences | New York, NY | $ | 25,000 |

THE SIDNEY J. WEINBERG, JR. FOUNDATION
CHARITABLE CONTRIBUTIONS
FYE 12/31/2013
EIN: 13-2998603

| Date | Organization | City, State | Amount |
|---|---|---|---|
| | Plus charitable contribution paid through:<br>Whitehall Parallel Global Real Estate LP 2007 (EIN: 20-8025906) | | $ 5 |
| | **Total Contributions Paid (990-PF, Part I, Line 25, Column A)*** | | **$ 4,670,005** |
| | Less UBTI attributable to:<br>Whitehall Parallel Global Real Estate LP 2007 (EIN: 20-8025906) | | $ (5) |
| | **Total Contributions Paid (990-PF, Part I, Line 25, Column D)*** | | **$ 4,670,000** |

** ALL CONTRIBUTIONS WERE MADE TO THE GENERAL PURPOSE FUND OF PUBLIC CHARITABLE ORGANIZATIONS EXEMPT UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE*

WEINBERG SIDNEY J JR FDN
From 01-Jan-2013 To 31-Dec-2013

Base Currency :USD

Realized Gains/Losses

| Description | Trade Date | Date Sold | Qty | Proceeds (Base) | Cost (Base) | Gain/Loss (Base) | Gain Type |
|---|---|---|---|---|---|---|---|
| ISHARES CORE TOTAL U.S. BOND MARKET FD ETF | 25-Jan-12 | 15-Oct-13 | 36,400 | 3,889,967 52 | 4,011,290.92 | (121,323.40) | Long-Term |
| U.S. TREASURY NOTE 3.125000% 04/30/2013 AO ON THE RUN | 21-May-08 | 30-Apr-13 | 17,000 | 17,000.00 | 17,055.78 | (55.78) | Long-Term |
| U.S. TREASURY NOTE 3.125000% 04/30/2013 AO ON THE RUN | 21-May-08 | 30-Apr-13 | 2,445,000 | 2,445,000.00 | 2,453,022.66 | (8,022.66) | Long-Term |
| TOTAL LONG-TERM | | | | 6,351,967.52 | 6,481,369.36 | (129,401.84) | |

## SIDNEY J. WEINBERG JR. FOUNDATION
## REALIZED GAINS AND LOSSES - CHARLES SCHWAB
## FYE 12/31/2013

| SECURITY | DATE PURCH | DATE SOLD | QTY | SALES PROCEEDS | COST BASIS | GAIN/LOSS | GAIN TYPE |
|---|---|---|---|---|---|---|---|
| TIME WARNER INC | 1/28/2010 | 1/7/2013 | 100 | $ 4,915.61 | $ 2,700 56 | $ 2,215 05 | Long-Term |
| DISNEY WALT CO | 9/27/2010 | 1/29/2013 | 125 | $ 6,796 03 | $ 4,263 94 | $ 2,532 09 | Long-Term |
| EBAY INC | VARIOUS | 1/29/2013 | 100 | $ 5,507 46 | $ 3,342 29 | $ 2,165 17 | Long-Term |
| GOOGLE INC CLASS A | VARIOUS | 2/6/2013 | 10 | $ 7,737 78 | $ 5,364 32 | $ 2,373 46 | Long-Term |
| SCRIPPS NTWK INTERACTIV CLASS A | 6/10/2011 | 2/11/2013 | 100 | $ 6,210 92 | $ 4,621 65 | $ 1,589 27 | Long-Term |
| GOOGLE INC CLASS A | 8/22/2011 | 2/26/2013 | 10 | $ 8,015 63 | $ 5,355 34 | $ 2,660 29 | Long-Term |
| TIME WARNER INC | 2/9/2010 | 3/4/2013 | 100 | $ 5,281 78 | $ 2,724 28 | $ 2,557 50 | Long-Term |
| TARGET CORPORATION | VARIOUS | 3/6/2013 | 125 | $ 8,011 95 | $ 6,225 54 | $ 1,786 41 | Long-Term |
| TARGET CORPORATION | VARIOUS | 3/7/2013 | 125 | $ 8,253 22 | $ 6,351 16 | $ 1,902 06 | Long-Term |
| TIME WARNER INC | 10/8/2010 | 3/8/2013 | 100 | $ 5,505 23 | $ 3,033 32 | $ 2,471 91 | Long-Term |
| TIME WARNER INC | 10/8/2010 | 3/13/2013 | 100 | $ 5,727 89 | $ 3,033 32 | $ 2,694 57 | Long-Term |
| MICROSOFT CORP | VARIOUS | 3/18/2013 | 350 | $ 9,765 56 | $ 8,975 83 | $ 789 73 | Long-Term |
| MICROSOFT CORP | VARIOUS | 3/20/2013 | 4,450 | $ 124,802 74 | $ 128,263 05 | $ (3,460 31) | Long-Term |
| WILLIAMS SONOMA | VARIOUS | 4/3/2013 | 200 | $ 10,268 36 | $ 6,252 52 | $ 4,015 84 | Long-Term |
| ANHEUSER-BUSCH | VARIOUS | 4/4/2013 | 100 | $ 9,910 83 | $ 5,710 24 | $ 4,200 59 | Long-Term |
| EBAY INC | VARIOUS | 4/4/2013 | 100 | $ 5,618 62 | $ 3,318.97 | $ 2,299 65 | Long-Term |
| TARGET CORPORATION | 8/23/2010 | 4/4/2013 | 100 | $ 6,855 93 | $ 4,969 39 | $ 1,886 54 | Long-Term |
| EBAY INC | VARIOUS | 4/5/2013 | 100 | $ 5,625.71 | $ 3,340 65 | $ 2,285 06 | Long-Term |
| GOOGLE INC CLASS A | 8/23/2011 | 4/5/2013 | 5 | $ 4,061 27 | $ 2,552 43 | $ 1,508 84 | Long-Term |
| SCRIPPS NTWK INTERACTIV CLASS A | VARIOUS | 4/9/2013 | 100 | $ 6,497 94 | $ 4,637 84 | $ 1,860 10 | Long-Term |
| SCRIPPS NTWK INTERACTIV CLASS A | 7/21/2011 | 4/10/2013 | 100 | $ 6,570 98 | $ 4,654 02 | $ 1,916 96 | Long-Term |
| SCRIPPS NTWK INTERACTIV CLASS A | 8/9/2011 | 4/11/2013 | 100 | $ 6,685 42 | $ 4,137 14 | $ 2,548 28 | Long-Term |
| CHEESECAKE FACTORY INC | 1/13/2012 | 4/15/2013 | 100 | $ 3,801 90 | $ 2,914 90 | $ 887 00 | Long-Term |
| EBAY INC | 5/18/2011 | 4/19/2013 | 100 | $ 5,654 00 | $ 3,346 29 | $ 2,307 71 | Long-Term |
| MC DONALDS CORP | VARIOUS | 4/24/2013 | 150 | $ 14,960 68 | $ 13,077 46 | $ 1,883 22 | Long-Term |
| MC DONALDS CORP | 2/21/2012 | 4/29/2013 | 100 | $ 10,096 02 | $ 9,912 62 | $ 183 40 | Long-Term |
| CHEESECAKE FACTORY INC | 2/27/2012 | 4/30/2013 | 150 | $ 6,024 18 | $ 4,442 30 | $ 1,581 88 | Long-Term |
| DISNEY WALT CO | 9/27/2010 | 5/2/2013 | 100 | $ 6,294 11 | $ 3,411 16 | $ 2,882 95 | Long-Term |
| DISNEY WALT CO | 9/27/2010 | 5/9/2013 | 100 | $ 6,501 34 | $ 3,411 16 | $ 3,090 18 | Long-Term |
| GOOGLE INC CLASS A | 1/25/2012 | 5/9/2013 | 10 | $ 8,572 85 | $ 5,881 61 | $ 2,691 24 | Long-Term |
| WILLIAMS SONOMA | VARIOUS | 5/14/2013 | 100 | $ 5,603 69 | $ 3,421 85 | $ 2,181 84 | Long-Term |
| DOMINOS PIZZA INC | 5/17/2012 | 5/17/2013 | 100 | $ 5,884 03 | $ 3,302 99 | $ 2,581 04 | Long-Term |
| GOOGLE INC CLASS A | 1/25/2012 | 5/20/2013 | 5 | $ 4,532 00 | $ 2,940 81 | $ 1,591.19 | Long-Term |
| MACYS INC | 5/16/2012 | 5/20/2013 | 100 | $ 4,831 42 | $ 3,799 47 | $ 1,031.95 | Long-Term |
| DOMINOS PIZZA INC | VARIOUS | 5/29/2013 | 250 | $ 14,648 92 | $ 7,852 19 | $ 6,796 73 | Long-Term |

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|---|---|---|---|---|---|---|---|---|---|---|
| DOMINOS PIZZA INC | VARIOUS | 6/11/2013 | 100 | $ | 5,864 91 | $ | 2,942 41 | $ | 2,922 50 | Long-Term |
| MACYS INC | 5/16/2012 | 6/11/2013 | 100 | $ | 4,814 48 | $ | 3,799 47 | $ | 1,015 01 | Long-Term |
| MACYS INC | 5/16/2012 | 6/17/2013 | 100 | $ | 4,794 06 | $ | 3,799 47 | $ | 994 59 | Long-Term |
| MACYS INC | VARIOUS | 6/18/2013 | 100 | $ | 4,833 55 | $ | 3,732 84 | $ | 1,100 71 | Long-Term |
| CHEESECAKE FACTORY INC | 2/27/2012 | 6/19/2013 | 100 | $ | 4,152 98 | $ | 2,961 53 | $ | 1,191 45 | Long-Term |
| CHEESECAKE FACTORY INC | VARIOUS | 6/24/2013 | 100 | $ | 4,216 27 | $ | 2,944 33 | $ | 1,271 94 | Long-Term |
| GOOGLE INC CLASS A | 1/25/2012 | 6/25/2013 | 5 | $ | 4,450 97 | $ | 2,940 80 | $ | 1,510 17 | Long-Term |
| ANHEUSER-BUSCH | VARIOUS | 7/2/2013 | 100 | $ | 8,992 60 | $ | 5,567 76 | $ | 3,424 84 | Long-Term |
| CHEESECAKE FACTORY INC | VARIOUS | 7/2/2013 | 100 | $ | 4,181 04 | $ | 2,942 57 | $ | 1,238 47 | Long-Term |
| WILLIAMS SONOMA | 1/19/2012 | 7/2/2013 | 100 | $ | 5,547 97 | $ | 3,390 84 | $ | 2,157 13 | Long-Term |
| DISNEY WALT CO | 9/27/2010 | 7/16/2013 | 100 | $ | 6,608 92 | $ | 3,411 15 | $ | 3,197 77 | Long-Term |
| TIME WARNER INC | 10/8/2010 | 7/16/2013 | 100 | $ | 6,178 38 | $ | 3,033 31 | $ | 3,145 07 | Long-Term |
| WILLIAMS SONOMA | 1/19/2012 | 7/16/2013 | 100 | $ | 5,894 19 | $ | 3,390 83 | $ | 2,503 36 | Long-Term |
| DISNEY WALT CO | VARIOUS | 8/9/2013 | 100 | $ | 6,697 17 | $ | 3,369 33 | $ | 3,327 84 | Long-Term |
| WILLIAMS SONOMA | 1/20/2012 | 8/13/2013 | 100 | $ | 6,070 16 | $ | 3,337 98 | $ | 2,732 18 | Long-Term |
| TARGET CORPORATION | VARIOUS | 8/26/2013 | 150 | $ | 9,863 43 | $ | 8,061 47 | $ | 1,801 96 | Long-Term |
| TARGET CORPORATION | VARIOUS | 8/28/2013 | 350 | $ | 22,540 95 | $ | 18,460 76 | $ | 4,080 19 | Long-Term |
| TARGET CORPORATION | VARIOUS | 8/29/2013 | 225 | $ | 14,510 84 | $ | 11,226 37 | $ | 3,284 47 | Long-Term |
| TARGET CORPORATION | VARIOUS | 8/30/2013 | 425 | $ | 26,933 67 | $ | 22,124 43 | $ | 4,809 24 | Long-Term |
| MACYS INC | 5/17/2012 | 9/12/2013 | 100 | $ | 4,547 00 | $ | 3,710 61 | $ | 836 39 | Long-Term |
| SCRIPPS NTWK INTERACTIV CLASS A | 8/9/2011 | 9/19/2013 | 100 | $ | 7,573 76 | $ | 4,137 14 | $ | 3,436 62 | Long-Term |
| DOMINOS PIZZA INC | 6/6/2012 | 9/20/2013 | 75 | $ | 4,951 42 | $ | 2,176 07 | $ | 2,775 35 | Long-Term |
| SCRIPPS NTWK INTERACTIV CLASS A | VARIOUS | 9/20/2013 | 50 | $ | 3,820 04 | $ | 2,035 60 | $ | 1,784 44 | Long-Term |
| CHEESECAKE FACTORY INC | 3/28/2012 | 9/23/2013 | 150 | $ | 6,675 08 | $ | 4,483 43 | $ | 2,191 65 | Long-Term |
| DISNEY WALT CO | 9/28/2010 | 9/23/2013 | 100 | $ | 6,722 23 | $ | 3,355 39 | $ | 3,366 84 | Long-Term |
| SCRIPPS NTWK INTERACTIV CLASS A | VARIOUS | 9/23/2013 | 100 | $ | 7,767 01 | $ | 3,983 04 | $ | 3,783 97 | Long-Term |
| MACYS INC | 5/17/2012 | 10/2/2013 | 500 | $ | 21,797 42 | $ | 18,553 08 | $ | 3,244 34 | Long-Term |
| MACYS INC | VARIOUS | 10/4/2013 | 1,175 | $ | 51,126 40 | $ | 40,943 86 | $ | 10,182 54 | Long-Term |
| MACYS INC | VARIOUS | 10/7/2013 | 725 | $ | 31,549 17 | $ | 27,353 98 | $ | 4,195 19 | Long-Term |
| DOMINOS PIZZA INC | 6/6/2012 | 10/9/2013 | 100 | $ | 6,883 74 | $ | 2,901.43 | $ | 3,982 31 | Long-Term |
| DOMINOS PIZZA INC | VARIOUS | 10/16/2013 | 100 | $ | 6,706 43 | $ | 2,921 42 | $ | 3,785 01 | Long-Term |
| DOMINOS PIZZA INC | 6/15/2012 | 10/22/2013 | 100 | $ | 6,621.53 | $ | 2,941.41 | $ | 3,680.12 | Long-Term |
| GOOGLE INC CLASS A | VARIOUS | 10/23/2013 | 20 | $ | 20,129 00 | $ | 11,558 56 | $ | 8,570 44 | Long-Term |
| ANHEUSER-BUSCH | VARIOUS | 10/25/2013 | 75 | $ | 7,773 51 | $ | 4,243 46 | $ | 3,530 05 | Long-Term |
| BLACKROCK INC | 5/25/2012 | 10/25/2013 | 20 | $ | 6,116 00 | $ | 3,318 72 | $ | 2,797 28 | Long-Term |
| ANHEUSER-BUSCH | 2/14/2011 | 10/30/2013 | 50 | $ | 5,213 62 | $ | 2,816 15 | $ | 2,397 47 | Long-Term |
| KELLOGG COMPANY | 9/13/2012 | 10/30/2013 | 100 | $ | 6,202 61 | $ | 5,016 66 | $ | 1,185.95 | Long-Term |
| KELLOGG COMPANY | 9/13/2012 | 10/31/2013 | 300 | $ | 18,963 92 | $ | 15,049 98 | $ | 3,913.94 | Long-Term |
| KELLOGG COMPANY | 9/13/2012 | 11/1/2013 | 100 | $ | 6,407 20 | $ | 5,016.66 | $ | 1,390 54 | Long-Term |
| BLACKROCK INC | 5/25/2012 | 11/4/2013 | 40 | $ | 12,270 88 | $ | 6,637 44 | $ | 5,633 44 | Long-Term |
| CHEESECAKE FACTORY INC | VARIOUS | 11/4/2013 | 100 | $ | 4,710 97 | $ | 2,982 74 | $ | 1,728 23 | Long-Term |
| GOOGLE INC CLASS A | 4/24/2012 | 11/4/2013 | 5 | $ | 5,165 96 | $ | 3,033 07 | $ | 2,132 89 | Long-Term |
| ANHEUSER-BUSCH | 2/14/2011 | 11/5/2013 | 25 | $ | 2,593 34 | $ | 1,408 08 | $ | 1,185 26 | Long-Term |

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|---|---|---|---|---|---|---|---|---|---|---|
| CHEESECAKE FACTORY INC | 4/2/2012 | 11/5/2013 | 100 | $ | 4,730 97 | $ | 2,980 66 | $ | 1,750 31 | Long-Term |
| GOOGLE INC CLASS A | 4/24/2012 | 11/5/2013 | 5 | $ | 5,171 00 | $ | 3,033 08 | $ | 2,137 92 | Long-Term |
| TIME WARNER INC | VARIOUS | 11/5/2013 | 100 | $ | 6,875 93 | $ | 3,031 23 | $ | 3,844 70 | Long-Term |
| KELLOGG COMPANY | VARIOUS | 11/7/2013 | 975 | $ | 61,735 27 | $ | 52,080 05 | $ | 9,655 22 | Long-Term |
| CHEESECAKE FACTORY INC | 4/2/2012 | 11/8/2013 | 100 | $ | 4,888 10 | $ | 2,980 66 | $ | 1,907 44 | Long-Term |
| BLACKROCK INC | 5/25/2012 | 11/14/2013 | 10 | $ | 2,976 60 | $ | 1,659 36 | $ | 1,317 24 | Long-Term |
| CHEESECAKE FACTORY INC | VARIOUS | 11/14/2013 | 100 | $ | 4,800 99 | $ | 2,967 63 | $ | 1,833 36 | Long-Term |
| COACH INC | 10/25/2012 | 11/15/2013 | 100 | $ | 5,218 42 | $ | 5,483 59 | $ | (265.17) | Long-Term |
| ANHEUSER-BUSCH | 5/16/2011 | 11/19/2013 | 100 | $ | 10,457 76 | $ | 5,848 45 | $ | 4,609 31 | Long-Term |
| BLACKROCK INC | VARIOUS | 11/19/2013 | 30 | $ | 8,991 05 | $ | 5,072 79 | $ | 3,918 26 | Long-Term |
| MC DONALDS CORP | VARIOUS | 11/19/2013 | 200 | $ | 19,487 93 | $ | 19,172 76 | $ | 315 17 | Long-Term |
| MARRIOTT INTL INC CL A | 7/29/2013 | 11/20/2013 | 250 | $ | 11,843 44 | $ | 10,082 04 | $ | 1,761 40 | Long-Term |
| MC DONALDS CORP | VARIOUS | 11/22/2013 | 100 | $ | 9,800 68 | $ | 9,417 24 | $ | 383 44 | Long-Term |
| COACH INC | VARIOUS | 11/29/2013 | 300 | $ | 16,319.11 | $ | 17,085 31 | $ | (766 20) | Long-Term |
| COACH INC | VARIOUS | 12/2/2013 | 150 | $ | 8,432 35 | $ | 8,550 01 | $ | (117 66) | Long-Term |
| BLACKROCK INC | 8/17/2012 | 12/3/2013 | 10 | $ | 3,052 79 | $ | 1,729 48 | $ | 1,323 31 | Long-Term |
| COACH INC | 10/30/2012 | 12/3/2013 | 100 | $ | 5,693 64 | $ | 5,681 31 | $ | 12 33 | Long-Term |
| GOOGLE INC CLASS A | 5/2/2012 | 12/3/2013 | 5 | $ | 5,296 11 | $ | 3,067 19 | $ | 2,228 92 | Long-Term |
| COACH INC | VARIOUS | 12/4/2013 | 100 | $ | 5,772 31 | $ | 5,648 35 | $ | 123 96 | Long-Term |
| BLACKROCK INC | 8/17/2012 | 12/5/2013 | 20 | $ | 6,156 95 | $ | 3,458 97 | $ | 2,697 98 | Long-Term |
| SCRIPPS NTWK INTERACTIV CLASS A | VARIOUS | 12/16/2013 | 150 | $ | 12,068 93 | $ | 6,003 82 | $ | 6,065 11 | Long-Term |
| WILLIAMS SONOMA | 1/20/2012 | 12/18/2013 | 100 | $ | 5,842 02 | $ | 3,337 99 | $ | 2,504 03 | Long-Term |
| EBAY INC | 5/27/2011 | 12/24/2013 | 300 | $ | 15,997 78 | $ | 9,413 87 | $ | 6,583 91 | Long-Term |
| SCRIPPS NTWK INTERACTIV CLASS A | 9/30/2011 | 12/24/2013 | 100 | $ | 8,384 90 | $ | 4,088 71 | $ | 4,296 19 | Long-Term |
| EBAY INC | VARIOUS | 12/26/2013 | 50 | $ | 2,718 55 | $ | 1,550 52 | $ | 1,168 03 | Long-Term |
| EBAY INC | 5/31/2011 | 12/27/2013 | 100 | $ | 5,515 35 | $ | 3,064 13 | $ | 2,451 22 | Long-Term |
| GOOGLE INC CLASS A | 5/2/2012 | 12/27/2013 | 5 | $ | 5,563 95 | $ | 3,067 19 | $ | 2,496 76 | Long-Term |
| TIME WARNER INC | 2/9/2010 | 1/29/2014 | 100 | $ | 5,110 20 | $ | 2,724 28 | $ | 2,385 92 | Long-Term |
| TOTAL LONG-TERM | | | | $ | 1,054,369.86 | $ | 785,838.91 | $ | 268,530 95 | |
| BLACKROCK INC | VARIOUS | 1/9/2013 | 20 | $ | 4,349 83 | $ | 3,715 72 | $ | 634 11 | Short-Term |
| DOMINOS PIZZA INC | VARIOUS | 1/11/2013 | 125 | $ | 5,684 73 | $ | 4,384 90 | $ | 1,299 83 | Short-Term |
| DR PEPPER SNAPPLE GROUP | VARIOUS | 1/15/2013 | 225 | $ | 10,275 35 | $ | 8,810 60 | $ | 1,464 75 | Short-Term |
| BLACKROCK INC | 5/16/2012 | 1/16/2013 | 50 | $ | 10,982 97 | $ | 9,001 85 | $ | 1,981 12 | Short-Term |
| BLACKROCK INC | VARIOUS | 1/18/2013 | 40 | $ | 8,879 25 | $ | 6,986 06 | $ | 1,893 19 | Short-Term |
| LOWES COMPANIES INC | 8/9/2012 | 1/22/2013 | 100 | $ | 3,573 93 | $ | 2,547 27 | $ | 1,026 66 | Short-Term |
| BLACKROCK INC | 5/23/2012 | 1/23/2013 | 30 | $ | 6,905 07 | $ | 5,185 69 | $ | 1,719 38 | Short-Term |
| COACH INC | 10/24/2012 | 1/23/2013 | 100 | $ | 6,153 02 | $ | 5,632 98 | $ | 520 04 | Short-Term |
| LOWES COMPANIES INC | VARIOUS | 1/23/2013 | 1,175 | $ | 43,131 15 | $ | 31,737 55 | $ | 11,393 60 | Short-Term |
| DOMINOS PIZZA INC | 4/24/2012 | 1/24/2013 | 100 | $ | 4,607 05 | $ | 3,477 61 | $ | 1,129 44 | Short-Term |
| DUNKIN BRANDS GROUP INC | 10/5/2012 | 1/24/2013 | 100 | $ | 3,580 07 | $ | 2,893 07 | $ | 687 00 | Short-Term |
| BLACKROCK INC | 5/24/2012 | 1/25/2013 | 30 | $ | 7,079 24 | $ | 5,076 33 | $ | 2,002 91 | Short-Term |
| DOMINOS PIZZA INC | VARIOUS | 1/25/2013 | 100 | $ | 4,656 28 | $ | 3,414 91 | $ | 1,241 37 | Short-Term |

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|---|---|---|---|---|---|---|---|
| DUNKIN BRANDS GROUP INC | 10/5/2012 | 1/25/2013 | 100 | $ 3,607 81 | $ 2,893 07 | $ 714 74 | Short-Term |
| BLACKROCK INC | VARIOUS | 1/29/2013 | 20 | $ 4,727 94 | $ 3,351 47 | $ 1,376 47 | Short-Term |
| NIKE INC CL B | 10/31/2012 | 1/30/2013 | 100 | $ 5,507 23 | $ 9,081 65 | $ (3,574 42) | Short-Term |
| DUNKIN BRANDS GROUP INC | 10/5/2012 | 2/4/2013 | 100 | $ 3,610 97 | $ 2,893 07 | $ 717 90 | Short-Term |
| DOMINOS PIZZA INC | 5/4/2012 | 2/6/2013 | 100 | $ 4,767 15 | $ 3,394 01 | $ 1,373 14 | Short-Term |
| DUNKIN BRANDS GROUP INC | 10/5/2012 | 2/14/2013 | 100 | $ 3,766 37 | $ 2,893 07 | $ 873.30 | Short-Term |
| MCGRAW-HILL COS | VARIOUS | 2/14/2013 | 375 | $ 16,612 78 | $ 16,021 05 | $ 591 73 | Short-Term |
| MCGRAW-HILL COS | VARIOUS | 2/15/2013 | 475 | $ 20,996 70 | $ 20,927 75 | $ 68 95 | Short-Term |
| NIELSEN HOLDINGS B V | VARIOUS | 2/15/2013 | 500 | $ 16,700 08 | $ 13,768 58 | $ 2,931 50 | Short-Term |
| MCGRAW-HILL COS | VARIOUS | 2/19/2013 | 1,050 | $ 46,327 77 | $ 55,302.44 | $ (8,974 67) | Short-Term |
| DR PEPPER SNAPPLE GROUP | VARIOUS | 2/20/2013 | 600 | $ 25,701 49 | $ 23,181.86 | $ 2,519 63 | Short-Term |
| KELLOGG COMPANY | 9/12/2012 | 2/20/2013 | 100 | $ 5,964.51 | $ 5,035 59 | $ 928 92 | Short-Term |
| DR PEPPER SNAPPLE GROUP | 3/2/2012 | 2/21/2013 | 150 | $ 6,434.01 | $ 5,748 52 | $ 685 49 | Short-Term |
| KELLOGG COMPANY | 9/12/2012 | 2/21/2013 | 100 | $ 5,961.56 | $ 5,035 59 | $ 925 97 | Short-Term |
| DR PEPPER SNAPPLE GROUP | 3/2/2012 | 2/22/2013 | 150 | $ 6,441 44 | $ 5,748 52 | $ 692 92 | Short-Term |
| DR PEPPER SNAPPLE GROUP | VARIOUS | 2/25/2013 | 225 | $ 9,618 58 | $ 8,548 67 | $ 1,069 91 | Short-Term |
| DR PEPPER SNAPPLE GROUP | VARIOUS | 2/26/2013 | 750 | $ 31,867 59 | $ 30,672 40 | $ 1,195 19 | Short-Term |
| DR PEPPER SNAPPLE GROUP | VARIOUS | 2/27/2013 | 325 | $ 13,926 32 | $ 14,169 60 | $ (243 28) | Short-Term |
| 3M COMPANY | 9/18/2012 | 3/4/2013 | 100 | $ 10,331 22 | $ 9,110 91 | $ 1,220 31 | Short-Term |
| MACYS INC | 5/16/2012 | 3/4/2013 | 100 | $ 4,052 57 | $ 3,799 47 | $ 253 10 | Short-Term |
| MACYS INC | 5/16/2012 | 3/5/2013 | 100 | $ 4,123 65 | $ 3,799 47 | $ 324 18 | Short-Term |
| DOMINOS PIZZA INC | 5/4/2012 | 3/8/2013 | 175 | $ 8,606 44 | $ 5,939 53 | $ 2,666 91 | Short-Term |
| COACH INC | 10/24/2012 | 3/11/2013 | 100 | $ 4,956 04 | $ 5,632 98 | $ (676 94) | Short-Term |
| COACH INC | 10/24/2012 | 3/18/2013 | 125 | $ 6,185 40 | $ 7,041 23 | $ (855 83) | Short-Term |
| DOMINOS PIZZA INC | 5/4/2012 | 3/27/2013 | 100 | $ 5,105 74 | $ 3,394 01 | $ 1,711 73 | Short-Term |
| BLACKROCK INC | 5/25/2012 | 4/5/2013 | 10 | $ 2,564 23 | $ 1,659 36 | $ 904 87 | Short-Term |
| DOMINOS PIZZA INC | VARIOUS | 4/9/2013 | 100 | $ 5,116 37 | $ 3,355 48 | $ 1,760 89 | Short-Term |
| MACYS INC | 5/16/2012 | 4/9/2013 | 100 | $ 4,344 71 | $ 3,799.47 | $ 545 24 | Short-Term |
| DUNKIN BRANDS GROUP INC | 10/5/2012 | 4/10/2013 | 100 | $ 3,884 92 | $ 2,893 07 | $ 991 85 | Short-Term |
| NIELSEN HOLDINGS B V | 6/5/2012 | 4/11/2013 | 150 | $ 5,212 21 | $ 4,124 13 | $ 1,088 08 | Short-Term |
| NIELSEN HOLDINGS B V | VARIOUS | 4/12/2013 | 125 | $ 4,350 54 | $ 3,400 47 | $ 950 07 | Short-Term |
| NIELSEN HOLDINGS B V | 6/6/2012 | 4/15/2013 | 100 | $ 3,528 40 | $ 2,713 12 | $ 815 28 | Short-Term |
| COACH INC | VARIOUS | 4/16/2013 | 400 | $ 20,963 42 | $ 22,382 54 | $ (1,419 12) | Short-Term |
| NIELSEN HOLDINGS B V | 6/6/2012 | 4/16/2013 | 75 | $ 2,672 29 | $ 2,034.84 | $ 637 45 | Short-Term |
| NIELSEN HOLDINGS B V | VARIOUS | 4/30/2013 | 175 | $ 6,153 99 | $ 4,744 23 | $ 1,409 76 | Short-Term |
| NIKE INC CL B | 10/31/2012 | 4/30/2013 | 100 | $ 6,183 91 | $ 9,081 66 | $ (2,897 75) | Short-Term |
| WILLIAMS SONOMA | 1/18/2012 | 5/2/2013 | 100 | $ 5,312 33 | $ 3,432.18 | $ 1,880 15 | Short-Term |
| DOMINOS PIZZA INC | VARIOUS | 5/3/2013 | 100 | $ 5,517 16 | $ 3,309 98 | $ 2,207 18 | Short-Term |
| APPLE INC | 2/15/2013 | 5/7/2013 | 30 | $ 13,413 71 | $ 14,160 78 | $ (747 07) | Short-Term |
| NIELSEN HOLDINGS B V | 6/18/2012 | 5/8/2013 | 150 | $ 5,323 43 | $ 4,047 37 | $ 1,276 06 | Short-Term |
| APPLE INC | 2/15/2013 | 5/9/2013 | 10 | $ 4,592 86 | $ 4,720 26 | $ (127 40) | Short-Term |
| DOMINOS PIZZA INC | 5/17/2012 | 5/9/2013 | 100 | $ 5,659 85 | $ 3,302.99 | $ 2,356 86 | Short-Term |
| DUNKIN BRANDS GROUP INC | 10/5/2012 | 5/9/2013 | 100 | $ 4,080 96 | $ 2,893 08 | $ 1,187.88 | Short-Term |

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|---|---|---|---|---|---|---|---|---|---|---|
| NIELSEN HOLDINGS B V | 6/29/2012 | 5/9/2013 | 100 | $ | 3,595 74 | $ | 2,606 07 | $ | 989 67 | Short-Term |
| NIELSEN HOLDINGS B V | 7/20/2012 | 5/13/2013 | 100 | $ | 3,636 96 | $ | 2,608 31 | $ | 1,028 65 | Short-Term |
| WILLIAMS SONOMA | 1/18/2012 | 5/13/2013 | 150 | $ | 8,314 80 | $ | 5,148 27 | $ | 3,166 53 | Short-Term |
| NIELSEN HOLDINGS B V | 7/20/2012 | 5/14/2013 | 225 | $ | 8,263 14 | $ | 5,868 71 | $ | 2,394 43 | Short-Term |
| PANERA BREAD CO CL A | 2/25/2013 | 5/17/2013 | 25 | $ | 4,615 95 | $ | 3,877 85 | $ | 738 10 | Short-Term |
| BLACKROCK INC | 5/25/2012 | 5/22/2013 | 20 | $ | 5,790 92 | $ | 3,318 72 | $ | 2,472 20 | Short-Term |
| PANERA BREAD CO CL A | VARIOUS | 5/30/2013 | 50 | $ | 9,500 43 | $ | 7,753 34 | $ | 1,747 09 | Short-Term |
| DUNKIN BRANDS GROUP INC | VARIOUS | 5/31/2013 | 275 | $ | 11,197 22 | $ | 8,653 77 | $ | 2,543 45 | Short-Term |
| DUNKIN BRANDS GROUP INC | VARIOUS | 6/4/2013 | 325 | $ | 12,997 42 | $ | 9,944 04 | $ | 3,053 38 | Short-Term |
| PANERA BREAD CO CL A | 2/26/2013 | 6/4/2013 | 50 | $ | 9,697 50 | $ | 7,731 96 | $ | 1,965 54 | Short-Term |
| PANERA BREAD CO CL A | 2/26/2013 | 6/6/2013 | 25 | $ | 4,788 88 | $ | 3,865 98 | $ | 922 90 | Short-Term |
| PANERA BREAD CO CL A | VARIOUS | 6/7/2013 | 135 | $ | 25,845 21 | $ | 21,506 74 | $ | 4,338 47 | Short-Term |
| NIKE INC CL B | 11/5/2012 | 7/1/2013 | 125 | $ | 7,743 01 | $ | 11,388 55 | $ | (3,645 54) | Short-Term |
| NIKE INC CL B | 11/20/2012 | 7/2/2013 | 100 | $ | 6,225 94 | $ | 9,037 41 | $ | (2,811 47) | Short-Term |
| NIELSEN HOLDINGS B V | VARIOUS | 7/11/2013 | 200 | $ | 7,029 65 | $ | 5,208 04 | $ | 1,821 61 | Short-Term |
| KELLOGG COMPANY | 9/12/2012 | 7/16/2013 | 175 | $ | 11,633 64 | $ | 8,812 30 | $ | 2,821 34 | Short-Term |
| 3M COMPANY | VARIOUS | 7/17/2013 | 100 | $ | 11,444 72 | $ | 9,246 82 | $ | 2,197 90 | Short-Term |
| NIELSEN HOLDINGS B V | VARIOUS | 7/29/2013 | 350 | $ | 11,668 50 | $ | 9,521 36 | $ | 2,147 14 | Short-Term |
| NIELSEN HOLDINGS B V | VARIOUS | 7/30/2013 | 575 | $ | 19,116 03 | $ | 16,344.69 | $ | 2,771.34 | Short-Term |
| NIELSEN HOLDINGS B V | VARIOUS | 7/31/2013 | 325 | $ | 10,743 84 | $ | 9,391 98 | $ | 1,351 86 | Short-Term |
| APPLE INC | VARIOUS | 8/2/2013 | 50 | $ | 22,608 20 | $ | 23,559 59 | $ | (951 39) | Short-Term |
| QUALCOMM INC | 3/20/2013 | 8/2/2013 | 250 | $ | 16,258 72 | $ | 16,400 54 | $ | (141 82) | Short-Term |
| KELLOGG COMPANY | VARIOUS | 8/16/2013 | 200 | $ | 13,144 34 | $ | 10,047 52 | $ | 3,096 82 | Short-Term |
| APPLE INC | 2/19/2013 | 8/19/2013 | 25 | $ | 12,449 40 | $ | 11,696 35 | $ | 753 05 | Short-Term |
| APPLE INC | 2/19/2013 | 8/21/2013 | 20 | $ | 9,999 27 | $ | 9,357 07 | $ | 642 20 | Short-Term |
| QUALCOMM INC | 3/20/2013 | 8/21/2013 | 175 | $ | 11,717 65 | $ | 11,480 38 | $ | 237 27 | Short-Term |
| QUALCOMM INC | 3/20/2013 | 8/23/2013 | 100 | $ | 6,668 98 | $ | 6,560 22 | $ | 108 76 | Short-Term |
| TARGET CORPORATION | VARIOUS | 9/3/2013 | 425 | $ | 26,946 84 | $ | 26,475 79 | $ | 471 05 | Short-Term |
| 3M COMPANY | VARIOUS | 9/13/2013 | 450 | $ | 52,915 08 | $ | 41,122.75 | $ | 11,792 33 | Short-Term |
| QUALCOMM INC | 3/20/2013 | 9/13/2013 | 125 | $ | 8,704 09 | $ | 8,200 27 | $ | 503 82 | Short-Term |
| NIKE INC CL B | 11/20/2012 | 9/30/2013 | 100 | $ | 6,872 13 | $ | 2,259 35 | $ | 4,612 78 | Short-Term |
| PETSMART INC | 5/21/2013 | 9/30/2013 | 150 | $ | 11,110 36 | $ | 10,390 27 | $ | 720 09 | Short-Term |
| PETSMART INC | 5/21/2013 | 10/1/2013 | 100 | $ | 7,445 92 | $ | 6,926 85 | $ | 519 07 | Short-Term |
| NIKE INC CL B | 12/26/2012 | 10/2/2013 | 100 | $ | 7,364 52 | $ | - | $ | 7,364 52 | Short-Term |
| NIKE INC CL B | 12/26/2012 | 10/15/2013 | 125 | $ | 8,780 39 | $ | - | $ | 8,780 39 | Short-Term |
| APPLE INC | 2/19/2013 | 10/18/2013 | 20 | $ | 9,956 08 | $ | 9,357 08 | $ | 599 00 | Short-Term |
| NIKE INC CL B | 12/26/2012 | 10/21/2013 | 100 | $ | 7,430.92 | $ | - | $ | 7,430 92 | Short-Term |
| COCA COLA COMPANY | 1/23/2013 | 11/26/2013 | 225 | $ | 9,063 12 | $ | 8,462 37 | $ | 600.75 | Short-Term |
| COCA COLA COMPANY | VARIOUS | 12/6/2013 | 300 | $ | 12,081 53 | $ | 11,263 59 | $ | 817 94 | Short-Term |
| COCA COLA COMPANY | 1/24/2013 | 12/11/2013 | 100 | $ | 4,033 52 | $ | 3,751 26 | $ | 282 26 | Short-Term |
| APPLE INC | 2/20/2013 | 12/27/2013 | 25 | $ | 14,217 20 | $ | 11,702 44 | $ | 2,514.76 | Short-Term |
| TOTAL SHORT-TERM | | | | $ | 978,252 35 | $ | 847,152.66 | $ | 131,099.69 | |

| | | | |
|---|---|---|---|
| GRAND TOTAL | $ 2,032,622.21 | $ 1,632,991.57 | $ 399,630.64 |

Goldman Sachs

**Statement Detail**

# WEINBERG SIDNEY J JR FDN

Holdings

Period Ended December 31, 2013

The alternative investment(s) described below may not be owned by the account holder(s) for this brokerage account Instead, the investments may be owned by one of the account holders or a related party to the account holder(s)

## CASH, DEPOSITS & MONEY MARKET FUNDS

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity / Current Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **DEPOSITS & MONEY MARKET FUNDS** | | | | | | | | |
| MONEY MARKET FUNDS | | | | | | | | |
| GS FINANCIAL SQUARE FEDERAL FUND - FST SHARES Moody's Aaa | 3,729,947 080 | 1 0000 | 3,729,947 08 | 1 0000 | 3,729,947 08 | 0 00 | 0 0100 | 372 99 |

## FIXED INCOME

| | Quantity / Current Face | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized / Economic Gain (Loss) | Yield to Maturity in Percentage | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **INVESTMENT GRADE FIXED INCOME** | | | | | | | | |
| GS ENHANCED INCOME FUND | | | | | | | | |
| GS ENHANCED INCOME FUND INSTITUTIONAL SHARES | 907,610 001 | 9 4600 | 8,585,990 61 | 9 5316 | 8,650,948 51 | (64,957 90) | | 85,315 34 |

| | Quantity | Market Price | Market Value / Accrued Income | Contributions/ Distributions To Date | Net Contribution To Date | Economic Gain (Loss) |
|---|---|---|---|---|---|---|
| **OTHER FIXED INCOME** | | | | | | |
| STONE HARBOR LOCAL MARKETS | | | | | | |
| STONE HARBOR LOCAL MARKETS I MUTUAL FUND[12] | 95,523 278 | 9 5000 | 907,471 14 | 1,046,275.42 | | (138,804 28) |

| | Quantity / Current Face | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized / Economic Gain (Loss) | Yield to Maturity in Percentage | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **TOTAL FIXED INCOME** | | | **9,493,461.75** | | **9,697,223.93** | **(203,762.18)** | | **111,106.63** |

Statement Detail

# WEINBERG SIDNEY J JR FDN

Holdings (Continued)

Period Ended December 31, 2013

## PUBLIC EQUITY

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| S&P 500 INDEX FUND (SPDR) | | | | | | | | |
| SPDR S&P 500 ETF TRUST (SPY) | 50,542 00 | 184 6900 | 9,334,601 98<br>49,543 80 | 117 5723 | 5,942,341 33 | 3,392,260 65 | 1 8144 | 169,368 26 |
| **NON-US EQUITY** | | | | | | | | |
| MSCI EAFE INDEX FUND (ISHARES) | | | | | | | | |
| ISHARES MSCI EAFE ETF (EFA) | 44,550 00 | 67 0950 | 2,989,082 25 | 67 5199 | 3,008,013 45 | (18,931 20) | 2 5385 | 75,877 56 |
| FTSE EMERGING MARKETS INDEX FUND (VANGUARD) | | | | | | | | |
| VANGUARD FTSE EMERGING MKTS ETF (VWO) | 18,846 00 | 41 1400 | 775,324 44 | 39 2335 | 739,395 04 | 35,929 40 | 2 7346 | 21,201 75 |

| | Quantity | Market Price | Market Value / Accrued Income | Contributions/ Distributions To Date | Net Contribution To Date | Economic Gain (Loss) |
|---|---|---|---|---|---|---|
| WELLS FARGO ADVANTAGE EMERGING MARKETS EQUITY FUND | | | | | | |
| WELLS FARGO ADV-EMG MK EQ MUTUAL FUND (EMGNX)[12] | 46,204 229 | 22 0300 | 1,017,879 16 | | | 6,713 21 |

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **TOTAL NON-US EQUITY** | | | **4,782,285.85** | | **4,758,574.44** | **23,711.41** | **2.1797** | **104,240.97** |
| **TOTAL PUBLIC EQUITY** | | | **14,116,887.83**<br>**49,543.80** | | **10,700,915.77** | **3,415,972.06** | **1.9382** | **273,609.23** |
| TOTAL PORTFOLIO | | | 27,340,296.66 | | 24,128,086.78 | 3,212,209.88 | | |

Goldman Sachs

Statement Detail

# GMS ALPHA+ ADVISERS 2-ARTISAN

Holdings

Period Ended December 31, 2013

The alternative investment(s) described below may not be owned by the account holder(s) for this brokerage account Instead, the investments may be owned by one of the account holders or a related party to the account holder(s)

## PUBLIC EQUITY

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity / Current Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **NON-US EQUITY** | | | | | | | | |
| ARTISAN DYNAMIC EQUITY (NON-US EQUITY) [SERIES] | | | | | | | | |
| GS FINANCIAL SQUARE FEDERAL FUND - FST SHARES (FEDXX) | 162 240 | 1 0000 | 162 24 | 1 0000 | 162 24 | 0.00 | 0 0100 | 0 02 |
| **TOTAL PORTFOLIO** | | | 162.24 | | 162.24 | 0.00 | | |

Goldman Sachs



# SIDNEY J. WEINBERG FDN EXTERNAL MANAGERS
Holdings

Period Ended December 31, 2013

The alternative investment(s) described below may not be owned by the account holder(s) for this brokerage account Instead, the investments may be owned by one of the account holders or a related party to the account holder(s)

## FIXED INCOME

| | Quantity / Current Face | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity in Percentage | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **OTHER FIXED INCOME** | | | | | | | | |
| GS CORPORATE CREDIT INVESTMENT FUND | | | | | | | | |
| GOLDMAN SACHS CORPORATE CREDIT INVESTMENT FUND CLASS A ACCUM SERIES ACCUMULATING Not Rated | 18,391 58 | 152 3467 | 2,801,896 91 | | 2,500,000 00 | 301,896.91 | | |

## PUBLIC EQUITY

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| US STOCKS | | | | | | | | |
| GOLDMAN SACHS GROUP, INC (THE) CMN (GS) | 6,785 00 | 177 2600 | 1,202,709 10 | 53 0000 | 359,605 00 | 843,104 10 | 1 2411 | 14,927 00 |
| TOTAL PORTFOLIO | | | 4,004,606.01 | | 2,859,605.00 | 1,145,001.01 | | |

LONG POSITION III

## SIDNEY J. WEINBERG JR. FOUNDATION
## SECURITIES POSITION LONG - CHARLES SCHWAB
## AS OF DECEMBER 31, 2013

| SECURITY | DATE PURCHASED | QTY | FAIR MARKET VALUE | COST BASIS | UNREALIZED GAIN/LOSS |
|---|---|---|---|---|---|
| APPLE INC | 2/21/2013 | 55 | | 25,484.79 | |
| | 2/22/2013 | 5 | | 2,290 74 | |
| | 2/25/2013 | 10 | | 4,526.80 | |
| | 2/26/2013 | 10 | | 4,450.88 | |
| | 3/1/2013 | 20 | | 8,794 72 | |
| | 3/4/2013 | 10 | | 4,447.33 | |
| | 3/5/2013 | 10 | | 4,445.07 | |
| | 3/6/2013 | 40 | | 17,340.01 | |
| | 3/7/2013 | 40 | | 16,959.37 | |
| | 4/3/2013 | 15 | | 6,654 65 | |
| | 4/4/2013 | 20 | | 8,753 97 | |
| | 4/10/2013 | 20 | | 8,471 93 | |
| | 4/12/2013 | 20 | | 8,486 37 | |
| | 4/17/2013 | 10 | | 4,308.95 | |
| | 4/22/2013 | 25 | | 10,302.04 | |
| | 4/23/2013 | 10 | | 3,952 80 | |
| | 5/20/2013 | 35 | | 14,897 24 | |
| | 7/1/2013 | 15 | | 5,966.60 | |
| | 7/2/2013 | 10 | | 3,954.47 | |
| | 7/3/2013 | 10 | | 3,908.85 | |
| | 9/16/2013 | 15 | | 7,057.45 | |
| | 9/18/2013 | 10 | | 4,662.48 | |
| | | 415 | 232,823.30 | 180,117.51 | 52,705.79 |
| ANHEUSER-BUSCH | 7/15/2011 | 150 | | 8,228.84 | |
| | 9/27/2011 | 100 | | 4,984 00 | |
| | 2/13/2013 | 125 | | 10,771 45 | |
| | | 375 | 39,922.50 | 23,984.29 | 15,938.21 |

SIDNEY J. WEINBERG JR. FOUNDATION
SECURITIES POSITION LONG - CHARLES SCHWAB
AS OF DECEMBER 31, 2013

| SECURITY | DATE PURCHASED | QTY | FAIR MARKET VALUE | COST BASIS | UNREALIZED GAIN/LOSS |
|---|---|---|---|---|---|
| BLACKROCK INC | 9/5/2012 | 10 | | 1,758 85 | |
| | 9/6/2012 | 20 | | 3,508.75 | |
| | 9/24/2012 | 10 | | 1,834 05 | |
| | 9/25/2012 | 20 | | 3,634.93 | |
| | 9/27/2012 | 40 | | 7,257.33 | |
| | | 100 | 31,647.00 | 17,993.91 | 13,653.09 |
| CHEESECAKE FACTORY INC | 4/3/2012 | 50 | | 1,477.30 | |
| | 10/24/2012 | 275 | | 9,344.43 | |
| | 10/29/2012 | 150 | | 4,969.41 | |
| | 12/7/2012 | 175 | | 5,662.40 | |
| | 12/31/2012 | 100 | | 3,298 60 | |
| | 1/18/2013 | 250 | | 8,275 93 | |
| | 1/28/2013 | 100 | | 3,334 88 | |
| | 1/29/2013 | 100 | | 3,330 28 | |
| | 2/19/2013 | 175 | | 5,904 42 | |
| | | 1,375 | 66,371.25 | 45,597.65 | 20,773.60 |
| COCA COLA COMPANY | 1/25/2013 | 850 | | 31,652 33 | |
| | 1/28/2013 | 100 | | 3,718 75 | |
| | 1/29/2013 | 200 | | 7,445.41 | |
| | 1/30/2013 | 250 | | 9,256.30 | |
| | 2/20/2013 | 150 | | 5,529 04 | |
| | 2/21/2013 | 150 | | 5,499 06 | |
| | 3/8/2013 | 100 | | 3,878.15 | |
| | 6/5/2013 | 175 | | 7,068 42 | |
| | 6/6/2013 | 100 | | 4,002.84 | |

SIDNEY J. WEINBERG JR. FOUNDATION
SECURITIES POSITION LONG - CHARLES SCHWAB
AS OF DECEMBER 31, 2013

| SECURITY | DATE PURCHASED | QTY | FAIR MARKET VALUE | COST BASIS | UNREALIZED GAIN/LOSS |
|---|---|---|---|---|---|
| | 8/22/2013 | 100 | | 3,882 94 | |
| | 8/29/2013 | 175 | | 6,716.91 | |
| | 8/30/2013 | 125 | | 4,757.58 | |
| | 9/24/2013 | 100 | | 3,946.28 | |
| | 9/25/2013 | 100 | | 3,902 75 | |
| | 10/3/2013 | 150 | | 5,697.15 | |
| | 10/4/2013 | 100 | | 3,801 39 | |
| | 10/7/2013 | 150 | | 5,615.05 | |
| | 10/18/2013 | 100 | | 3,775.63 | |
| | | 3,175 | 131,159.25 | 120,145.98 | 11,013.27 |
| COACH INC | 10/31/2012 | 125 | | 7,019.23 | |
| | 11/14/2012 | 225 | | 12,392.84 | |
| | 11/20/2012 | 100 | | 5,275.79 | |
| | 12/7/2012 | 100 | | 5,698.39 | |
| | 12/31/2012 | 100 | | 5,526.53 | |
| | 1/15/2013 | 100 | | 5,699.36 | |
| | 2/6/2013 | 250 | | 12,515.15 | |
| | 2/26/2013 | 100 | | 4,737.47 | |
| | 8/21/2013 | 100 | | 5,205.06 | |
| | 8/30/2013 | 100 | | 5,198 83 | |
| | 10/25/2013 | 125 | | 6,254.04 | |
| | 10/28/2013 | 100 | | 4,830.26 | |
| | | 1,525 | 85,598.25 | 80,352.95 | 5,245.30 |
| DIAGEO PLC NEW ADR | 5/10/2010 | 25 | | 1,649.02 | |
| | 5/19/2010 | 75 | | 4,822.63 | |
| | 12/6/2010 | 50 | | 3,587.81 | |

# SIDNEY J. WEINBERG JR. FOUNDATION
## SECURITIES POSITION LONG - CHARLES SCHWAB
## AS OF DECEMBER 31, 2013

| SECURITY | DATE PURCHASED | QTY | FAIR MARKET VALUE | COST BASIS | UNREALIZED GAIN/LOSS |
|---|---|---|---|---|---|
| | 12/17/2010 | 125 | | 9,223 25 | |
| | 12/20/2010 | 100 | | 7,327 76 | |
| | 1/4/2011 | 100 | | 7,403.03 | |
| | 1/29/2013 | 50 | | 5,882.15 | |
| | 2/14/2013 | 100 | | 11,762.39 | |
| | 4/30/2013 | 100 | | 12,082 72 | |
| | 6/21/2013 | 100 | | 11,771 23 | |
| | 11/6/2013 | 50 | | 6,321 45 | |
| | 12/10/2013 | 75 | | 9,379.38 | |
| | 12/17/2013 | 25 | | 3,088.45 | |
| | | 975 | 129,109.50 | 94,301.27 | 34,808.23 |
| DICKS SPORTING GOODS INC | 8/28/2013 | 175 | | 8,090.87 | |
| | 8/30/2013 | 400 | | 18,586.35 | |
| | 9/3/2013 | 425 | | 19,725.68 | |
| | 9/5/2013 | 150 | | 6,968.86 | |
| | 10/24/2013 | 125 | | 6,421.79 | |
| | 11/25/2013 | 150 | | 8,239 92 | |
| | 12/9/2013 | 100 | | 5,458.58 | |
| | | 1,525 | 88,602.50 | 73,492.05 | 15,110.45 |
| DIRECTV | 6/7/2013 | 225 | | 13,867.29 | |
| | 6/10/2013 | 150 | | 9,197 94 | |
| | 6/13/2013 | 100 | | 6,193.55 | |
| | 6/25/2013 | 100 | | 6,108 53 | |
| | 8/6/2013 | 100 | | 6,191.17 | |
| | 8/16/2013 | 200 | | 12,373.05 | |
| | 8/19/2013 | 100 | | 6,157.41 | |

SIDNEY J. WEINBERG JR. FOUNDATION
SECURITIES POSITION LONG - CHARLES SCHWAB
AS OF DECEMBER 31, 2013

| SECURITY | DATE PURCHASED | QTY | FAIR MARKET VALUE | COST BASIS | UNREALIZED GAIN/LOSS |
|---|---|---|---|---|---|
| | 8/20/2013 | 100 | | 6,016 58 | |
| | 8/23/2013 | 100 | | 5,910 25 | |
| | 8/26/2013 | 100 | | 5,795.27 | |
| | 11/1/2013 | 100 | | 6,258.95 | |
| | 11/8/2013 | 150 | | 9,561.70 | |
| | 11/12/2013 | 100 | | 6,308.46 | |
| | 11/25/2013 | 175 | | 11,242.88 | |
| | | 1,800 | 124,308.00 | 111,183.03 | 13,124.97 |
| DISNEY WALT CO | 9/28/2010 | 125 | | 4,194 24 | |
| | 10/4/2010 | 150 | | 4,957 71 | |
| | 5/9/2011 | 100 | | 4,257.83 | |
| | 5/16/2011 | 100 | | 4,189.27 | |
| | 5/18/2011 | 100 | | 4,138.85 | |
| | 8/10/2011 | 225 | | 7,939 37 | |
| | 9/13/2011 | 275 | | 8,881.91 | |
| | 9/27/2011 | 100 | | 2,968 38 | |
| | 9/28/2011 | 125 | | 3,675 10 | |
| | 11/5/2012 | 125 | | 6,131 66 | |
| | 11/15/2012 | 100 | | 4,704.01 | |
| | 12/19/2012 | 175 | | 8,558.28 | |
| | | 1,700 | 129,880.00 | 64,596.61 | 65,283.39 |
| DOMINOS PIZZA INC | 6/15/2012 | 50 | | 1,470 71 | |
| | 8/7/2012 | 100 | | 3,369.97 | |
| | 8/17/2012 | 100 | | 3,395 66 | |
| | 9/17/2012 | 100 | | 3,543.72 | |
| | 9/19/2012 | 200 | | 6,921.95 | |

SIDNEY J. WEINBERG JR. FOUNDATION
SECURITIES POSITION LONG - CHARLES SCHWAB
AS OF DECEMBER 31, 2013

| SECURITY | DATE PURCHASED | QTY | FAIR MARKET VALUE | COST BASIS | UNREALIZED GAIN/LOSS |
|---|---|---|---|---|---|
| | | 550 | 38,307.50 | 18,702.01 | 19,605.49 |
| EBAY INC | 6/20/2011 | 100 | | 2,924 11 | |
| | 11/18/2011 | 200 | | 6,216.38 | |
| | 11/22/2011 | 200 | | 5,979.80 | |
| | 11/30/2011 | 125 | | 3,555.94 | |
| | 12/12/2011 | 200 | | 6,164.75 | |
| | 12/20/2011 | 100 | | 2,981.81 | |
| | 1/9/2012 | 200 | | 6,028.74 | |
| | 3/8/2013 | 150 | | 8,289.72 | |
| | 3/11/2013 | 175 | | 9,404.58 | |
| | 3/18/2013 | 350 | | 17,857 83 | |
| | 3/20/2013 | 150 | | 7,623 78 | |
| | 6/10/2013 | 150 | | 7,685 65 | |
| | 6/12/2013 | 100 | | 5,158 85 | |
| | 6/19/2013 | 100 | | 5,127.75 | |
| | 7/24/2013 | 200 | | 10,502 21 | |
| | 7/25/2013 | 125 | | 6,531 66 | |
| | 7/31/2013 | 225 | | 11,503 12 | |
| | 8/5/2013 | 250 | | 12,909.58 | |
| | 9/5/2013 | 100 | | 4,994.85 | |
| | 10/22/2013 | 125 | | 6,420 30 | |
| | 10/25/2013 | 250 | | 12,978.20 | |
| | 10/30/2013 | 275 | | 14,175.16 | |
| | 11/8/2013 | 175 | | 8,891.90 | |
| | 11/29/2013 | 225 | | 10,951 83 | |
| | | 4,250 | 233,176.25 | 194,858.50 | 38,317.75 |

**SIDNEY J. WEINBERG JR. FOUNDATION**
**SECURITIES POSITION LONG - CHARLES SCHWAB**
**AS OF DECEMBER 31, 2013**

| SECURITY | DATE PURCHASED | QTY | FAIR MARKET VALUE | COST BASIS | UNREALIZED GAIN/LOSS |
|---|---|---|---|---|---|
| GOOGLE INC CLASS A | 5/2/2012 | 10 | | 6,134 37 | |
| | 5/3/2012 | 15 | | 9,149 11 | |
| | 6/6/2012 | 10 | | 5,723.15 | |
| | 6/15/2012 | 20 | | 11,289 33 | |
| | 6/19/2012 | 10 | | 5,602 71 | |
| | 10/24/2012 | 10 | | 6,818 99 | |
| | 11/13/2012 | 5 | | 3,367.48 | |
| | 1/23/2013 | 15 | | 10,721.71 | |
| | 1/24/2013 | 5 | | 3,528 84 | |
| | 4/17/2013 | 5 | | 3,946.21 | |
| | | 105 | 117,674.55 | 66,281.90 | 51,392.65 |
| LULULEMON ATHLETICA INC | 11/13/2013 | 625 | | 43,229.89 | |
| | 11/15/2013 | 225 | | 15,241.63 | |
| | 11/19/2013 | 350 | | 23,197.22 | |
| | 12/16/2013 | 100 | | 6,818.95 | |
| | 12/17/2013 | 175 | | 10,669.51 | |
| | 12/26/2013 | 100 | | 5,883.07 | |
| | 12/27/2013 | 100 | | 5,883.95 | |
| | | 1,675 | 98,875.25 | 110,924.22 | (12,048 97) |
| MARRIOTT INTL INC CL A | 7/29/2013 | 75 | | 3,024.61 | |
| | 8/6/2013 | 675 | | 27,989 93 | |
| | 8/9/2013 | 200 | | 8,367.03 | |
| | 8/16/2013 | 200 | | 8,101.53 | |
| | 8/22/2013 | 300 | | 12,097.18 | |
| | 8/30/2013 | 275 | | 11,146.51 | |
| | 10/2/2013 | 150 | | 6,367 98 | |

SIDNEY J. WEINBERG JR. FOUNDATION
SECURITIES POSITION LONG - CHARLES SCHWAB
AS OF DECEMBER 31, 2013

| SECURITY | DATE PURCHASED | QTY | FAIR MARKET VALUE | COST BASIS | UNREALIZED GAIN/LOSS |
|---|---|---|---|---|---|
| | 10/3/2013 | 100 | | 4,219 85 | |
| | | 1,975 | 97,468.23 | 81,314.62 | 16,153.61 |
| MC DONALDS CORP | 5/17/2012 | 100 | | 9,105 73 | |
| | 6/1/2012 | 50 | | 4,552.41 | |
| | 6/4/2012 | 100 | | 9,027.97 | |
| | 11/6/2012 | 100 | | 8,660.45 | |
| | 12/19/2012 | 75 | | 6,690.39 | |
| | 1/9/2013 | 75 | | 6,746.79 | |
| | 7/22/2013 | 100 | | 9,993.95 | |
| | 7/25/2013 | 100 | | 9,773.08 | |
| | 9/5/2013 | 125 | | 11,805.83 | |
| | 9/24/2013 | 200 | | 19,476 09 | |
| | 10/4/2013 | 50 | | 4,819 80 | |
| | 10/7/2013 | 100 | | 9,490.23 | |
| | | 1,175 | 114,010.25 | 110,142.72 | 3,867.53 |
| NESTLE S A REG B ADR | 1/15/2010 | 250 | | 11,964.36 | |
| | 1/21/2010 | 350 | | 16,752 46 | |
| | 1/27/2010 | 150 | | 7,246.93 | |
| | 1/19/2011 | 150 | | 8,149.86 | |
| | 2/14/2011 | 100 | | 5,305.29 | |
| | 9/26/2011 | 100 | | 5,516.90 | |
| | 12/7/2011 | 125 | | 6,936.80 | |
| | 5/7/2013 | 100 | | 7,068.33 | |
| | 5/8/2013 | 100 | | 7,069 81 | |
| | 5/9/2013 | 100 | | 7,031.21 | |
| | 5/22/2013 | 100 | | 6,891.95 | |

SIDNEY J. WEINBERG JR. FOUNDATION
SECURITIES POSITION LONG - CHARLES SCHWAB
AS OF DECEMBER 31, 2013

| SECURITY | DATE PURCHASED | QTY | FAIR MARKET VALUE | COST BASIS | UNREALIZED GAIN/LOSS |
|---|---|---|---|---|---|
| | 7/26/2013 | 125 | | 8,365.55 | |
| | 7/31/2013 | 100 | | 6,724 29 | |
| | 10/7/2013 | 150 | | 10,345.09 | |
| | 10/11/2013 | 100 | | 6,806.95 | |
| | | 2,100 | 154,539.00 | 122,175.78 | 32,363.22 |
| NIKE INC CL B | 12/26/2012 | 50 | | 0.00 | |
| | 3/8/2013 | 100 | | 5,483 58 | |
| | 3/15/2013 | 125 | | 6,701.79 | |
| | | 275 | 21,626.00 | 12,185.37 | 9,440 63 |
| PETSMART INC | 5/21/2013 | 100 | | 6,926.85 | |
| | 5/22/2013 | 700 | | 48,355 57 | |
| | 6/3/2013 | 200 | | 13,585.55 | |
| | 6/5/2013 | 125 | | 8,505 89 | |
| | 6/6/2013 | 100 | | 6,724.19 | |
| | 6/7/2013 | 100 | | 6,738 77 | |
| | 7/12/2013 | 100 | | 6,953.95 | |
| | 11/25/2013 | 125 | | 9,233 95 | |
| | 12/5/2013 | 150 | | 10,980.93 | |
| | | 1,700 | 123,675.00 | 118,005.65 | 5,669.35 |
| QUALCOMM INC | 3/20/2013 | 800 | | 52,481 74 | |
| | 3/21/2013 | 100 | | 6,402 91 | |
| | 3/28/2013 | 100 | | 6,567 13 | |
| | 4/4/2013 | 200 | | 13,251.63 | |
| | 4/8/2013 | 100 | | 6,620.25 | |

SIDNEY J. WEINBERG JR. FOUNDATION
SECURITIES POSITION LONG - CHARLES SCHWAB
AS OF DECEMBER 31, 2013

| SECURITY | DATE PURCHASED | QTY | FAIR MARKET VALUE | COST BASIS | UNREALIZED GAIN/LOSS |
|---|---|---|---|---|---|
| | 4/10/2013 | 125 | | 8,128 68 | |
| | 4/12/2013 | 100 | | 6,561.35 | |
| | 4/16/2013 | 175 | | 11,715 10 | |
| | 4/17/2013 | 100 | | 6,657 93 | |
| | 4/19/2013 | 100 | | 6,556.95 | |
| | 4/22/2013 | 100 | | 6,479 15 | |
| | 4/30/2013 | 150 | | 9,344.79 | |
| | 5/1/2013 | 75 | | 4,640 16 | |
| | 5/3/2013 | 100 | | 6,173.22 | |
| | 5/10/2013 | 100 | | 6,392 52 | |
| | 5/29/2013 | 75 | | 4,803 20 | |
| | 6/12/2013 | 125 | | 7,713.39 | |
| | 6/24/2013 | 100 | | 6,216.55 | |
| | 7/11/2013 | 100 | | 6,002.55 | |
| | 7/12/2013 | 100 | | 5,964 58 | |
| | | 2,925 | 217,181.25 | 188,673.78 | 28,507.47 |
| RALPH LAUREN CORP CL A | 9/13/2013 | 350 | | 57,169.38 | |
| | 9/27/2013 | 25 | | 4,132 70 | |
| | 9/30/2013 | 125 | | 20,405 28 | |
| | 10/3/2013 | 75 | | 12,363.18 | |
| | 10/7/2013 | 25 | | 4,099 10 | |
| | 10/15/2013 | 50 | | 8,005.56 | |
| | 10/21/2013 | 50 | | 7,932.99 | |
| | 11/1/2013 | 25 | | 4,133 54 | |
| | 12/5/2013 | 25 | | 4,357 66 | |
| | 12/6/2013 | 25 | | 4,307 93 | |
| | | 775 | 136,841.75 | 126,907 32 | 9,934.43 |

## SIDNEY J. WEINBERG JR. FOUNDATION
## SECURITIES POSITION LONG - CHARLES SCHWAB
## AS OF DECEMBER 31, 2013

| SECURITY | DATE PURCHASED | QTY | FAIR MARKET VALUE | COST BASIS | UNREALIZED GAIN/LOSS |
|---|---|---|---|---|---|
| SCRIPPS NTWK INTERACTIV CLASS A | 9/30/2011 | 50 | | 2,044.36 | |
| | 10/4/2011 | 200 | | 7,722.74 | |
| | 11/21/2011 | 125 | | 5,006.36 | |
| | 11/29/2011 | 125 | | 4,711 88 | |
| | 2/7/2012 | 200 | | 8,514.11 | |
| | 12/7/2012 | 100 | | 5,883.82 | |
| | 12/10/2012 | 175 | | 10,187.20 | |
| | 12/12/2012 | 175 | | 9,847.78 | |
| | 11/13/2013 | 100 | | 7,543.18 | |
| | 12/4/2013 | 100 | | 7,463.95 | |
| | 12/5/2013 | 100 | | 7,404.91 | |
| | 12/6/2013 | 100 | | 7,320 93 | |
| | | 1,550 | 133,935.50 | 83,651.22 | 50,284.28 |
| TIME WARNER INC | 10/12/2010 | 150 | | 4,543 71 | |
| | 11/29/2010 | 350 | | 10,505 90 | |
| | 1/21/2011 | 75 | | 2,468 95 | |
| | 8/8/2011 | 225 | | 7,365.91 | |
| | 8/10/2011 | 100 | | 3,210 51 | |
| | 8/23/2011 | 200 | | 5,818 86 | |
| | 11/7/2011 | 300 | | 9,965.22 | |
| | 2/24/2012 | 150 | | 5,648 46 | |
| | 3/12/2012 | 100 | | 3,644 55 | |
| | 3/15/2012 | 100 | | 3,618 95 | |
| | 3/19/2012 | 100 | | 3,600 19 | |
| | 5/3/2012 | 125 | | 4,727.86 | |
| | 5/9/2012 | 100 | | 3,648 40 | |
| | 5/11/2012 | 125 | | 4,425 58 | |

SIDNEY J. WEINBERG JR. FOUNDATION
SECURITIES POSITION LONG - CHARLES SCHWAB
AS OF DECEMBER 31, 2013

| SECURITY | DATE PURCHASED | QTY | FAIR MARKET VALUE | COST BASIS | UNREALIZED GAIN/LOSS |
|---|---|---|---|---|---|
| | 5/22/2012 | 100 | | 3,511.87 | |
| | 5/23/2012 | 100 | | 3,433.95 | |
| | 11/7/2012 | 150 | | 6,503 05 | |
| | 11/8/2012 | 125 | | 5,369 41 | |
| | | 2,675 | 186,501 00 | 92,011.33 | 94,489 67 |
| UNILEVER N V NY SHS NEW | 10/4/2013 | 1,100 | | 41,470 48 | |
| | 10/7/2013 | 375 | | 14,017 38 | |
| | 10/16/2013 | 250 | | 9,280.95 | |
| | 11/6/2013 | 100 | | 3,907.30 | |
| | 11/12/2013 | 200 | | 7,821 47 | |
| | 11/13/2013 | 100 | | 3,891.95 | |
| | 11/27/2013 | 100 | | 3,887 86 | |
| | 12/5/2013 | 100 | | 3,891 85 | |
| | 12/17/2013 | 150 | | 5,779 54 | |
| | | 2,475 | 99,569.25 | 93,948.78 | 5,620.47 |
| WILLIAMS SONOMA | 1/20/2012 | 125 | | 4,172.48 | |
| | 1/31/2012 | 100 | | 3,442.99 | |
| | 3/13/2012 | 225 | | 8,153 75 | |
| | 4/3/2012 | 100 | | 3,769 46 | |
| | 6/26/2012 | 125 | | 4,283 94 | |
| | 6/27/2012 | 125 | | 4,258.68 | |
| | 6/29/2012 | 100 | | 3,301.63 | |
| | 8/20/2012 | 100 | | 3,659.26 | |
| | 12/11/2012 | 200 | | 8,851.87 | |
| | 12/19/2012 | 100 | | 4,318 95 | |

SIDNEY J. WEINBERG JR. FOUNDATION
SECURITIES POSITION LONG - CHARLES SCHWAB
AS OF DECEMBER 31, 2013

| SECURITY | DATE PURCHASED | QTY | FAIR MARKET VALUE | COST BASIS | UNREALIZED GAIN/LOSS |
|---|---|---|---|---|---|
| | 12/31/2012 | 100 | | 4,313 00 | |
| | 1/28/2013 | 100 | | 4,393 45 | |
| | 3/20/2013 | 125 | | 5,633 74 | |
| | 10/18/2013 | 125 | | 6,529 58 | |
| | 10/31/2013 | 175 | | 9,307 89 | |
| | 11/1/2013 | 100 | | 5,314 91 | |
| | 11/5/2013 | 100 | | 5,280 60 | |
| | | 2,125 | 123,845.00 | 88,986.18 | 34,858.82 |
| TOTAL LONG POSITION AS OF 12/31/2013 | | | $ 2,956,647.33 | $ 2,320,534.63 | $ 636,112.70 |

THE SIDNEY J. WEINBERG JR. FOUNDATION
EIN: 13-2998603
FYE 12/31/2013

ATTACHMENT TO FORM 990-PF

SCHEDULE OF SUSPENDED PASSIVE ACTIVITY LOSS CARRY FORWARD:

| FYE | CURRENT YEAR PASSIVE (LOSS) | PASSIVE (LOSS) UTILIZED | NET PASSIVE (LOSS) | TOTAL PASSIVE (LOSS) CARRYOVER |
|---|---|---|---|---|
| 12/31/2012 | (8,723) | 0 | (8,723) | (8,723) |
| 12/31/2013 | 28,852 | 8,723 | 28,852 | - |

Form **8868**

(Rev. January 2014)

Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at www.irs.gov/form8868.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . . . . . . . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

***Do not complete Part II unless*** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (*e-file*).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ ☐

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

**Enter filer's identifying number, see instructions**

| | | |
|---|---|---|
| **Type or print**<br>File by the due date for filing your return See instructions | Name of exempt organization or other filer, see instructions.<br>SIDNEY J. WEINBERG, JR. FOUNDATION | Employer identification number (EIN) or<br>13-2998603 |
| | Number, street, and room or suite no. If a P O. box, see instructions.<br>C/O BCRS ASSOCIATES, LLC; 77 WATER ST - 9TH FL | Social security number (SSN) |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br>NEW YORK, NY 10005 | |

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . . . . 04

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

- The books are in the care of ▶ BCRS ASSOCIATES, LLC

Telephone No. ▶ 212-440-0811 Fax No. ▶ N/A

- If the organization does not have an office or place of business in the United States, check this box . . . . . . . . . . ▶☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box . . . . ▶ ☐ . If it is for part of the group, check this box . . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15 , 20 14 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☒ calendar year 20 13 or

▶ ☐ tax year beginning ______________ , 20 ____ , and ending ______________ , 20 ____ .

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

| | | | |
|---|---|---|---|
| 3a | If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 3a | $ 28,789.00 |
| b | If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b | $ 5,789.00 |
| c | **Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 3c | $ 23,000.00 |

*Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions*

• If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . ▶ ☒

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

**Part II** ***Additional (Not Automatic) 3-Month Extension of Time.*** *Only file the original (no copies needed).*

**Enter filer's identifying number, see instructions**

| | | |
|---|---|---|
| **Type or print** | Name of exempt organization or other filer, see instructions.<br>SIDNEY J. WEINBERG, JR. FOUNDATION | Employer identification number (EIN) or<br>13-2998603 |
| File by the due date for filing your return See instructions | Number, street, and room or suite no. If a P.O. box, see instructions.<br>C/O BCRS ASSOCIATES, LLC; 77 WATER ST - 9T FL | Social security number (SSN) |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions<br>NEW YORK, NY 10005 | |

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . 04

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | | |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

• The books are in the care of ▶ BCRS ASSOCIATES, LLC

Telephone No. ▶ 212-440-0811 Fax No. ▶ N/A

• If the organization does not have an office or place of business in the United States, check this box . . . . . . . . . . ▶ ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______. If this is for the whole group, check this box . . . ▶ ☐. If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 17, 20 14.

5 For calendar year 2013, or other tax year beginning ______, 20 ___, and ending ______, 20 ___.

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension ALL INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE TAX RETURN IS NOT YET AVAILABLE.

| | | | |
|---|---|---|---|
| **8a** | If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | **8a** | $ 28,789.00 |
| **b** | If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | **8b** | $ 28,789.00 |
| **c** | **Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | **8c** | $ 0.00 |

## Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Title ▶ CPA Date ▶ 8/13/2014