Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation: MERTZ GILMORE FOUNDATION

A Employer identification number: 13-2872722

Number and street (or P O box number if mail is not delivered to street address): 218 EAST 18TH STREET — Room/suite:

B Telephone number: (212) 475-1137

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10003-3694

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 125,045,056.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	3,200,000.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,660,738.	1,660,738.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	5,625,390.			
	b Gross sales price for all assets on line 6a 13,210,222.				
	7 Capital gain net income (from Part IV, line 2)		5,625,390.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	-48,056.	-205,382.		STATEMENT 2
	12 **Total.** Add lines 1 through 11	10,438,072.	7,080,746.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	552,444.	125,919.		426,525.
	14 Other employee salaries and wages STMT C	390,002.	0.		390,002.
	15 Pension plans, employee benefits STMT C	403,839.	42,271.		361,568.
	16a Legal fees				
	b Accounting fees STMT 3	30,902.	20,602.		10,300.
	c Other professional fees STMT 4	591,814.	542,667.		49,147.
	17 Interest				
	18 Taxes STMT 5	60,250.	0.		0.
	19 Depreciation and depletion	116,550.	0.		
	20 Occupancy STMT C	98,727.	5,485.		93,242.
	21 Travel, conferences, and meetings	19,430.	0.		19,430.
	22 Printing and publications				
	23 Other expenses STMT 6	109,742.	6,186.		103,556.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	2,373,700.	743,130.		1,453,770.
	25 Contributions, gifts, grants paid	6,175,250.			6,175,250.
	26 **Total expenses and disbursements.** Add lines 24 and 25	8,548,950.	743,130.		7,629,020.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	1,889,122.			
	b **Net investment income** (if negative, enter -0-)		6,337,616.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	252,692.	74,408.	74,408.
	2 Savings and temporary cash investments	1,200,419.	719,539.	719,539.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	5,899,090.	6,345,421.	10,842,536.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 9	80,765,088.	82,839,077.	110,919,380.
	14 Land, buildings, and equipment: basis ▶ 3,405,894.			
	Less accumulated depreciation STMT 10 ▶ 1,692,889.	1,796,789.	1,713,005.	1,713,005.
	15 Other assets (describe ▶ STATEMENT 11)	476,103.	776,188.	776,188.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	90,390,181.	92,467,638.	125,045,056.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	90,390,181.	92,467,638.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	90,390,181.	92,467,638.	
	31 Total liabilities and net assets/fund balances	90,390,181.	92,467,638.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	90,390,181.
2 Enter amount from Part I, line 27a	2	1,889,122.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	188,335.
4 Add lines 1, 2, and 3	4	92,467,638.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	92,467,638.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	13,210,222.		7,584,832.	5,625,390.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				5,625,390.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } ... 2 5,625,390.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 ... 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	7,142,557.	106,043,008.	.067355
2011	7,591,970.	104,816,396.	.072431
2010	7,034,801.	99,715,451.	.070549
2009	7,844,903.	88,509,066.	.088634
2008	8,366,685.	104,648,352.	.079950

2	Total of line 1, column (d)	2	.378919
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.075784
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	115,013,969.
5	Multiply line 4 by line 3	5	8,716,219.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	63,376.
7	Add lines 5 and 6	7	8,779,595.
8	Enter qualifying distributions from Part XII, line 4	8	7,961,786.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	126,752.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	126,752.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	126,752.
6	Credits/Payments:				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	117,007.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	85,000.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	202,007.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	75,255.
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 75,255. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY,CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.MERTZGILMORE.ORG

14 The books are in care of ▶ MARION CAPPIELLO Telephone no. ▶ (212) 475-1137

Located at ▶ 218 EAST 18TH STREET, NEW YORK, NY ZIP+4 ▶ 10003-3694

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? PART VIII ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** X (No)

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE STMT. E ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		552,444.	143,237.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
VANESSA LLANA - 218 EAST 18TH STREET, NEW YORK, NY 10003	EXECUTIVE ASSISTANT 35.00	68,184.	32,425.	0.
RACHAEL YOUNG - 218 EAST 18TH STREET, NEW YORK, NY 10003	PROGRAM ASSOCIATE 35.00	65,004.	28,831.	0.
MARY PICCIONE - 218 EAST 18TH STREET, NEW YORK, NY 10003	GRANTS/SYSTEMS MGR 30.00	65,076.	28,157.	0.
LEAH KRAUSS - 218 EAST 18TH STREET, NEW YORK, NY 10003	PROGRAM OFFICER 20.00	57,804.	23,854.	0.
PAUL BENNETT - 218 EAST 18TH STREET, NEW YORK, NY 10003	BUILDING/OPERATIONS COORDINATOR 35.00	57,631.	19,519.	0.

Total number of other employees paid over $50,000 ▶ 1

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
SILCHESTER INTERNATIONAL INVESTORS 780 3RD AVENUE, NEW YORK, NY 10017	INVESTMENT MANAGER	133,004.
EDGEWOOD MANAGEMENT - 350 PARK AVENUE 18TH FLOOR, NEW YORK, NY 10022	INVESTMENT MANAGER	88,563.
DARUMA GINKGO FUND, LP 80 WEST 40TH STREET, NEW YORK, NY 10018	INVESTMENT MANAGER	67,524.
MONTICELLO ASSOCIATES 1800 LARIMER STREET, DENVER, CO 80202	CONSULTANT	60,000.
DAVIDSON KEMPNER INSTITUTIONAL PARTNERS, LP - 65 EAST 55TH STREET, 19TH FL, NEW YORK, NY	INVESTMENT MANAGER	57,238.
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 DURING 2013, THE FOUNDATION MADE A PROGRAM RELATED INTEREST FREE LOAN TO A 501(C)3 ORGANIZATION.	300,000.
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	300,000.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	115,245,217.
b	Average of monthly cash balances	1b	1,444,131.
c	Fair market value of all other assets	1c	76,103.
d	**Total** (add lines 1a, b, and c)	1d	116,765,451.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	116,765,451.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,751,482.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	115,013,969.
6	**Minimum investment return.** Enter 5% of line 5	6	5,750,698.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	5,750,698.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	126,752.		
b	Income tax for 2013. (This does not include the tax from Part VI.) ST. F	2b	250.		
c	Add lines 2a and 2b			2c	127,002.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	5,623,696.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	5,623,696.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount as adjusted.** Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	5,623,696.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,629,020.
b	Program-related investments - total from Part IX-B	1b	300,000.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	32,766.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,961,786.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	7,961,786.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				5,623,696.
2 Undistributed income, if any, as of the end of 2013. a Enter amount for 2012 only			2,879,336.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 7,961,786.				
a Applied to 2012, but not more than line 2a			2,879,336.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions) **	3,200,000.			
d Applied to 2013 distributable amount				1,882,450.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,200,000.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				3,741,246.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	3,200,000.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

** SEE STATEMENT 13

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

GOLDEN B. BECKNER, C/O MERTZ GILMORE FOUNDATION, 212-475-1137
218 EAST 18TH STREET, NEW YORK, NY 10003

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT D

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT D

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT B				6,175,250.
Total			▶ 3a	6,175,250.
b Approved for future payment				
SEE STATEMENT H				990,000.
Total			▶ 3b	990,000.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	1,660,738.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	900000	-26,274.	14	-205,382.	
8 Gain or (loss) from sales of assets other than inventory			18	5,625,390.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a ADMINISTRATIVE SVC. FEES					183,600.
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		-26,274.		7,080,746.	183,600.

13 Total. Add line 12, columns (b), (d), and (e) ... 13 7,238,072.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
11A	FEES FOR GRANT APPLICATION REVIEW SERVICES FOR A CHARITABLE TRUST AND
11A	A NOT FOR PROFIT ORGANIZATION

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 11-13-14 Title: Asst. Treasurer

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
LAUREN CRESCI	Lauren Cresci	11/13/14		P01268493

Firm's name ▶ LUTZ AND CARR, CPAS LLP — Firm's EIN ▶ 13-1655065

Firm's address ▶ 300 EAST 42ND STREET NEW YORK, NY 10017 — Phone no. 212-697-2299

Form 990-PF (2013)

323622
10-10-13

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990.*

OMB No 1545-0047

2013

Name of the organization	Employer identification number
MERTZ GILMORE FOUNDATION	13-2872722

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
MERTZ GILMORE FOUNDATION	13-2872722

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	TRUST U/W OF JOYCE MERTZ-GILMORE C/O BANK OF NEW YORK, 1290 AVENUE OF THE AMERICAS, 5TH FLOOR NEW YORK, NY 10104	$ 2,500,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
2	THE BURROUGHS TRUST C/O BANK OF NEW YORK, 1290 AVENUE OF THE AMERICAS, 5TH FLOOR NEW YORK, NY 10104	$ 700,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
MERTZ GILMORE FOUNDATION	13-2872722

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization: MERTZ GILMORE FOUNDATION

Employer identification number: 13-2872722

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	EDGEWATER MGMNT - PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
b	SILCHESTOR INT'L INVESTORS	P	VARIOUS	VARIOUS
c	WELLINGTON DIH	P	VARIOUS	VARIOUS
d	WESTERN ASSET CORE PLUS PORTFOLIO	P	VARIOUS	VARIOUS
e	TIFF ABSOLUTE RETURN POOL	P	VARIOUS	VARIOUS
f	CAPITAL GUARDIAN EMERGING MKTS TOTAL OPPO FD	P	VARIOUS	VARIOUS
g	GMO	P	VARIOUS	VARIOUS
h	DARUMA GINKGO FUND, LP	P	VARIOUS	VARIOUS
i	CAPITAL GAIN PASSTHROUGHS IN LIMITED PARTNERSHIPS	P	VARIOUS	VARIOUS
j	CAPITAL GAINS DIVIDENDS			
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,834,886.		2,370,721.	464,165.
b	133,005.		136,926.	-3,921.
c	37,994.		32,995.	4,999.
d	1,000,000.		869,759.	130,241.
e	1,000,000.		649,227.	350,773.
f	2,000,000.		1,945,765.	54,235.
g	1,000,000.		771,827.	228,173.
h	1,000,000.		807,612.	192,388.
i	2,669,366.			2,669,366.
j	1,534,971.			1,534,971.
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				464,165.
b				-3,921.
c				4,999.
d				130,241.
e				350,773.
f				54,235.
g				228,173.
h				192,388.
i				2,669,366.
j				1,534,971.
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	5,625,390.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

323591
05-01-13

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CAPITAL GAIN DISTRIBUTION - GMO	1,534,971.	1,534,971.	0.	0.	
DIVIDEND & INTEREST INCOME	1,660,738.	0.	1,660,738.	1,660,738.	
TO PART I, LINE 4	3,195,709.	1,534,971.	1,660,738.	1,660,738.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER LOSSES FROM LIMITED PARTNERSHIPS	-205,382.	-205,382.	
UNRELATED BUSINESS INCOME FROM LIMITED PARTNERSHIPS	-26,274.	0.	
ADMINISTRATIVE SVC. FEES	183,600.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-48,056.	-205,382.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AUDIT AND TAX FEES	30,902.	20,602.		10,300.
TO FORM 990-PF, PG 1, LN 16B	30,902.	20,602.		10,300.

FORM 990-PF — OTHER PROFESSIONAL FEES — STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
COMMUNICATION FEES	27,619.	4,143.		23,476.
INVESTMENT & MANAGEMENT FEES	538,458.	538,458.		0.
OUTSIDE ADMINISTRATIVE	4,417.	0.		4,417.
GRANT RELATED FEES	20,000.	0.		20,000.
WEBSITE CONSULTANT	1,320.	66.		1,254.
TO FORM 990-PF, PG 1, LN 16C	591,814.	542,667.		49,147.

FORM 990-PF — TAXES — STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE UNRELATED BUSINESS INCOME TAXES	250.	0.		0.
ESTIMATED FEDERAL EXCISE TAX	60,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	60,250.	0.		0.

FORM 990-PF — OTHER EXPENSES — STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GRANT RELATED EXPENSES	3,826.	0.		3,826.
EQUIPMENT MAINTENANCE & RENTAL	30,330.	3,033.		27,297.
OFFICE OPERATIONS	48,898.	2,445.		46,453.
RESEARCH & MEMBERSHIP	11,021.	0.		11,021.
BOARD OF DIRECTORS TRAVEL & EXPENSES	6,684.	334.		6,350.
INSURANCE	7,473.	374.		7,099.
FILING FEES AND FOUNDATION NOTICE	1,510.	0.		1,510.
TO FORM 990-PF, PG 1, LN 23	109,742.	6,186.		103,556.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 7

DESCRIPTION	AMOUNT
NONTAXABLE INCOME PER K-1'S FROM PARTNERSHIPS	188,335.
TOTAL TO FORM 990-PF, PART III, LINE 3	188,335.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COMMON STOCK - SEE STATEMENT G	6,345,421.	10,842,536.
TOTAL TO FORM 990-PF, PART II, LINE 10B	6,345,421.	10,842,536.

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MONEY MARKETS	COST	206,814.	206,814.
CERTIFICATES OF DEPOSIT	COST	100,000.	100,000.
MUTUAL FUNDS	COST	25,164,578.	28,810,722.
CASH IN TRANSIT	COST	1,004,146.	1,004,146.
HEDGE FUNDS - SEE STATEMENT A	COST	18,899,725.	36,570,885.
COMINGLED FUNDS - SEE STATEMENT A	COST	30,350,682.	35,396,564.
PRIVATE EQUITY FUNDS - SEE STATEMENT A	COST	7,113,132.	8,830,249.
TOTAL TO FORM 990-PF, PART II, LINE 13		82,839,077.	110,919,380.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 10

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LAND	500,000.	0.	500,000.
BUILDING & ACQUISITION	869,591.	516,320.	353,271.
BUILDING IMPROVEMENTS	1,968,201.	1,143,626.	824,575.
EQUIPMENT & FURNITURE	68,102.	32,943.	35,159.
TOTAL TO FM 990-PF, PART II, LN 14	3,405,894.	1,692,889.	1,713,005.

FORM 990-PF OTHER ASSETS STATEMENT 11

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ARTWORK & ANTIQUES	76,103.	76,103.	76,103.
PROGRAM RELATED LOANS RECEIVABLE	400,000.	700,000.	700,000.
ADVANCE	0.	85.	85.
TO FORM 990-PF, PART II, LINE 15	476,103.	776,188.	776,188.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MIKKI SHEPARD C/O MERTZ GILMORE FOUNDATION, 218 EAST 18TH STREET NEW YORK, NY 10003	CHAIRPERSON 1.00	6,500.	0.	0.
WILLIAM B. O'CONNOR C/O MERTZ GILMORE FOUNDATION, 218 EAST 18TH STREET NEW YORK, NY 10003	ASSISTANT SECRETARY 1.00	0.	0.	0.
ANDREA SHOLLER C/O MERTZ GILMORE FOUNDATION, 218 EAST 18TH STREET NEW YORK, NY 10003	DIRECTOR 1.00	0.	0.	0.
RINI BANERJEE C/O MERTZ GILMORE FOUNDATION, 218 EAST 18TH STREET NEW YORK, NY 10003	DIRECTOR 1.00	5,500.	0.	0.
LAURA BUTZEL C/O MERTZ GILMORE FOUNDATION, 218 EAST 18TH STREET NEW YORK, NY 10003	DIRECTOR 1.00	0.	0.	0.
JARED BERNSTEIN C/O MERTZ GILMORE FOUNDATION, 218 EAST 18TH STREET NEW YORK, NY 10003	DIRECTOR 1.00	6,000.	0.	0.

GOLDEN BECKNER, JR. C/O MERTZ GILMORE FOUNDATION, 218 EAST 18TH STREET NEW YORK, NY 10003	PRESIDENT 35.00	245,844.	62,421.	0.
LUKAS HAYNES C/O MERTZ GILMORE FOUNDATION, 218 EAST 18TH STREET NEW YORK, NY 10003	VICE PRESIDENT 35.00	167,184.	41,600.	0.
MARION CAPPIELLO C/O MERTZ GILMORE FOUNDATION, 218 EAST 18TH STREET NEW YORK, NY 10003	SR DIR. OF FINANCE & ADMIN 35.00	121,416.	39,216.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		552,444.	143,237.	0.

FORM 990-PF ELECTION UNDER REGULATIONS SECTION 53.4942(A)-3(D)(2) TO TREAT EXCESS QUALIFYING DISTRIBUTIONS AS DISTRIBUTIONS OUT OF CORPUS STATEMENT 13

PAGE 9, PART XIII - UNDISTRIBUTED INCOME - LINE 4(C)

THE FOUNDATION HEREBY ELECTS TO TREAT $3,200,000 AS A DISTRIBUTION OUT OF CORPUS TO SATISFY THE REQUIREMENTS IMPOSED BY SECTION 4942(H)(2). AN ELECTION IS HEREBY MADE PURSUANT TO REG. 53.4942(A)-3(D)(2) TO TREAT $3,200,000 AS A DISTRIBUTION OUT OF THE 2013 UNDISTRIBUTED INCOME AND OUT OF CORPUS.

BY: Mary Coppiell

DATE: 11-13-14

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	LAND	VARIES	L			500,000.			500,000.			0.
2	BUILDING & ACQUISITION	VARIES	SL	40.00	16	869,591.			869,591.	494,580.		21,740.
3	BUILDING IMPROVEMENTS	VARIES	SL	40.00	16	1968201.			1968201.	1065410.		78,216.
4	EQUIPMENT & FURNITURE	VARIES	SL	5.00	16	68,102.			68,102.	16,349.		16,594.
	* TOTAL 990-PF PG 1 DEPR					3405894.		0.	3405894.	1576339.	0.	116,550.

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

Mertz Gilmore Foundation
Schedule of Investments
December 31, 2013
EIN # 13-2872722

	Cost Basis	Market Value
Hedge Funds		
Viking Global Equities III	3,000,000	5,497,414
Maverick Fund, LTD	5,000,000	5,878,201
Addison Clark	2,373,085	6,632,848
TIFF Absolute Return Pool	3,962,643	13,591,243
Davidson Kempner	4,563,997	4,971,179
	$ 18,899,725	$ 36,570,885
Commingled Funds		
Daruma Ginkgo Fund, LP	$ 4,509,060	$ 7,154,040
NewPort Asia Institutional Fund	4,833,299	6,943,418
The Caravel Fund (Offshore) Ltd	$ 1,992,515	$ 1,972,345
Silchester Int'l Investors	15,238,302	15,154,987
Wellington Management CTF _ DIH	3,777,506	4,171,774
	$ 30,350,682	$ 35,396,564
Private Equity Funds		
Fortress Credit Opportunities Fund III (B)	$ 1,093,215	$ 1,163,939
TIFF Partners III	414,161	440,435
TIFF Partners IV	806,137	1,195,532
TIFF Private Equity Ptnrs 2005	1,610,689	2,339,407
TIFF Private Equity Partners 2007	1,839,832	2,165,173
TIFF Partners V - US	852,607	1,085,235
TIFF Partners V - INT'L	496,491	440,528
	$ 7,113,132	$ 8,830,249

STATEMENT A

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Mertz Gilmore Foundation 990 PF #13-2872722 12/31/2013

GRANTS PAID IN 2013

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
4/25/2013	350.org	20 Jay Street, Suite 1010 Brooklyn, NY 11201	$50,000	General support	509a(1) - 170 (b) (1) (A) (vi) 9/16/2011
6/19/2013	651 Arts	651 Fulton Street Brooklyn, NY 11217	$3,500	Late-Stage Production Stipend: Choreographer Camille Brown	509a(1) - 170 (b) (1) (A) (vi) 12/5/2000
12/12/2013	92nd Street Y	1395 Lexington Avenue New York, NY 10128	$1,000	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 9/30/1996
11/26/2013	92nd Street Y	1395 Lexington Avenue New York, NY 10128	$25,000	HARKNESS DANCE CENTER	509a(1) - 170 (b) (1) (A) (vi) 9/30/1996
1/8/2013	92nd Street Y	1395 Lexington Avenue New York, NY 10128	$2,000	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 9/30/1996
12/19/2013	A.O. Movement Collective	355 Stockton Street, #3A Brooklyn, NY 11206	$2,500	General support	
12/5/2013	Actors Fund	729 Seventh Avenue, 10th Floor New York, NY 10019	$40,000	Affordable Care Act Project	509a(1) - 170 (b) (1) (A) (vi) 9/9/2013
8/29/2013	Advocacy Institute	c/o Center for Popular Democracy 802 Kent Avenue Brooklyn, NY 11205	$35,000	Advocacy Institute and Legislative Directory	509a(1) - 170 (b) (1) (A) (vi)
12/12/2013	Alvin Ailey Dance Foundation	405 West 55th Street New York, NY 10019-4402	$2,000	NYSCA Rehearsal Space Subsidy Grant	509a(2) 1/14/2005
1/8/2013	Alvin Ailey Dance Foundation	405 West 55th Street New York, NY 10019-4402	$4,500	NYSCA Rehearsal Space Subsidy Grant	509a(2) 1/14/2005
4/25/2013	American Dance Abroad	6636 Wilkins Avenue Pittsburgh, PA 15217	$50,000	American Dance Recon	509a(1) - 170 (b) (1) (A) (vi) 10/1/1998
8/6/2013	American Prospect	1710 Rhode Island Avenue, NW, 12th Floor Washington, DC 20036	$25,000	Expanded Coverage on Democracy Issues	509a(1) - 170 (b) (1) (A) (vi) 10/31/1996

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
1/22/2013	American Prospect	1710 Rhode Island Avenue, NW, 12th Floor Washington, DC 20036	$25,000	Special Report: Did Democracy Win or Lose in 2012?	509a(1) - 170 (b) (1) (A) (vi) 10/31/1996
6/19/2013	Apollo Theater Foundation, Inc.	253 West 125th Street New York, NY 10027	$3,300	2013 Spring Gala	509a(1) - 170 (b) (1) (A) (vi) 4/15/2002
5/13/2013	Appalachian Voices	171 Grand Boulevard Boone, NC 28607	$25,000	1. Coal-related Activity 2. Help Raise Money from the Energy Foundation	509a(1) - 170 (b) (1) (A) (vi) 5/24/2002
10/17/2013	Arc Fox Cities	211 E. Franklin Street Appleton, WI 54911	$1,000	211 E. Franklin - The Flight to Building Opportunities	509a(1) - 170 (b) (1) (A) (vi) 9/1/2007
10/17/2013	Asian Americans / Pacific Islanders in Philanthropy	211 Sutter Street, Suite 600 San Francisco, CA 94108	$2,500	Institutional Membership	509a(2) 2/12/2005
12/19/2013	Asian Women Giving Circle	12 MetroTech Center, 26th Floor Brooklyn, NY 11201	$5,000	General support	509a(1) - 170 (b) (1) (A) (vi) 6/19/2009
12/5/2013	Association for Neighborhood and Housing Development	50 Broad Street, Suite 1125 New York, NY 10004	$35,000	Guaranteed Inclusionary Zoning Campaign for Affordable Housing	509a(1) - 170 (b) (1) (A) (vi)
4/25/2013	Bard College	P.O. Box 5000 Annandale-on-Hudson, NY 12504-5000	$15,000	Bard Center for Environmental Policy: C2C Fellows Program	509a(1) - 170 (b) (1) (A) (vi) 10/21/1992
6/25/2013	Baryshnikov Arts Center	450 West 37th Street, Suite 501 New York, NY 10018	$3,500	Late-Stage Production Stipend: Choreographer J. Heginbotham	509a(1) - 170 (b) (1) (A) (vi) 2/10/2011
11/26/2013	BAX / Brooklyn Arts Exchange	421 Fifth Avenue Brooklyn, NY 11215	$25,000	DANCE PRESENTING AND DEVELOPMENT PROGRAM	509a(1) - 170 (b) (1) (A) (vi) 2/17/2000
8/29/2013	BAX / Brooklyn Arts Exchange	421 Fifth Avenue Brooklyn, NY 11215	$3,500	Late-Stage Production Stipend: Choreographer Love\Forté Collective	509a(1) - 170 (b) (1) (A) (vi) 2/17/2000

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
2/14/2013	Bayard Rustin Fund	340 West 28th Street, Suite 9J New York, NY 10001	$10,000	Public Television Broadcast of Brother Outsider: The Life of Bayard Rustin	509a(1) 8/5/1988 Private-Operating Foundation
10/17/2013	Bedford Stuyvesant Restoration Corporation	1368 Fulton Street Brooklyn, NY 11216	$10,000	DanceAfrica	509a(1) - 170 (b) (1) (A) (vi) 4/16/1999
12/19/2013	Bergen County Technical High School	504 Route 46 West Teterboro, NJ 07608	$1,000	Law and Justice Program	501c(3) - University / School
12/19/2013	Big Dance Theater	303 Clinton Street Brooklyn, NY 11231	$3,000	Alan Smithee Project	509a(1) - 170 (b) (1) (A) (vi)
4/25/2013	BlueGreen Alliance Foundation	2828 University Avenue, Suite 200 Minneapolis, MN 55414	$50,000	Future of Coal Project	509a(1) - 170 (b) (1) (A) (vi) 8/20/2009
4/25/2013	Brandworkers International	P.O. Box 1257 Long Island City, NY 11101	$40,000	Food Sector Campaign	509a(1) - 170 (b) (1) (A) (vi) 4/1/2010
8/6/2013	Brennan Center for Justice	161 Avenue of the Americas, 12th Floor New York, NY 10013	$50,000	Efforts in Voting Defense, Affirmative Voting Reform and Research	509a(1) - 170 (b) (1) (A) (vi) 12/29/1999
6/19/2013	Bronx Academy of Arts and Dance	2474 Westchester Avenue Bronx, NY 10461	$3,500	Late-Stage Production Stipend: Choreographer Maverick Dance Experience	509a(1) 6/15/2005
12/12/2013	Bronx Academy of Arts and Dance	2474 Westchester Avenue Bronx, NY 10461	$1,500	NYSCA Rehearsal Space Subsidy Grant	509a(1) 6/15/2005
11/26/2013	Bronx Academy of Arts and Dance	2474 Westchester Avenue Bronx, NY 10461	$20,000	DANCE PROGRAMMING	509a(1) 6/15/2005
1/8/2013	Bronx Academy of Arts and Dance	2474 Westchester Avenue Bronx, NY 10461	$3,000	NYSCA Rehearsal Space Subsidy Grant	509a(1) 6/15/2005
7/16/2013	Bronx Academy of Arts and Dance	2474 Westchester Avenue Bronx, NY 10461	$20,000	To facilitate the move of BAAD!	509a(1) 6/15/2005
1/8/2013	Brooklyn Ballet	160 Schermerhorn Street Brooklyn, NY 11201	$2,000	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi)
10/17/2013	Camp Herrlich	101 Deacon Smith Hill Road Patterson, NY 12563	$1,000	General support	509a(2) 4/1/1972

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
8/6/2013	Campaign Legal Center	215 E Street NE Washington, DC 20002	$50,000	General support	509a(1) - 170 (b) (1) (A) (vi)
12/5/2013	Cause Effective	505 Eighth Avenue, Suite 1212 New York, NY 10018	$40,000	Capacity-building Consultancies	509a(1) - 170 (b) (1) (A) (vi) 3/22/2005
12/12/2013	Cave Organization, Inc.	58 Grand Street Brooklyn, NY 11211	$1,000	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 10/20/2007
1/8/2013	Cave Organization, Inc.	58 Grand Street Brooklyn, NY 11211	$1,500	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 10/20/2007
1/22/2013	CEC Stuyvesant Cove	37 West 26th Street, Suite 209 New York, NY 10010	$50,000	SOLAR ONE: Facility and Park Repair and Rebuilding	509a(1) - 170 (b) (1) (A) (vi) 3/25/2008
12/5/2013	Center for Climate and Security	1025 Connecticut Avenue NW, Suite 1000 Washington, DC 20036	$100,000	General support	509a(1) - 170 (b) (1) (A) (vi) 11/12/2010
12/19/2013	Center for Economic and Policy Research	1611 Connecticut Avenue, NW, Suite 400 Washington, DC 20009	$5,000	General support	509a(1) - 170 (b) (1) (A) (vi)
12/30/2013	Center for Frontline Retail	140 West 31st Street, 2nd Floor New York, NY 10001	$50,000	Just Hours Campaign	509a(1) - 170 (b) (1) (A) (vi) 8/1/1998
12/5/2013	Center for National Policy	1250 I Street NW Washington, DC 20006	$65,000	Operation Free	509a(1) - 170 (b) (1) (A) (vi) 5/1/1977
6/19/2013	Center for Performance Research	361 Manhattan Avenue, Unit 1 Brooklyn, NY 11211	$3,500	Late-Stage Production Stipend: Choreographer Motley Dance	509a(1) - 170 (b) (1) (A) (vi) 4/9/2009
12/5/2013	Center for Performance Research	361 Manhattan Avenue, Unit 1 Brooklyn, NY 11211	$25,000	General support	509a(1) - 170 (b) (1) (A) (vi) 4/9/2009
4/18/2013	Center for Popular Democracy	802 Kent Avenue Brooklyn, NY 11205	$15,000	13 Bold Progressive Ideas for NYC in 2013	509a(1) - 170 (b) (1) (A) (vi) 8/1/1998
12/5/2013	Center for Popular Democracy	802 Kent Avenue Brooklyn, NY 11205	$100,000	Merger with Leadership Center for the Common Good	509a(1) - 170 (b) (1) (A) (vi) 8/1/1998

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
2/14/2013	Center for Popular Democracy	802 Kent Avenue Brooklyn, NY 11205	$50,000	Alliance for a Just Rebuilding	509a(1) - 170 (b) (1) (A) (vi) 8/1/1998
4/25/2013	Center for Traditional Music and Dance	32 Broadway, Suite 1314 New York, NY 10004	$25,000	General support	509a(1) - 170 (b) (1) (A) (vi) 6/20/2005
12/5/2013	Center for Urban Pedagogy	232 Third Street, #D201 Brooklyn, NY 11215	$15,000	What is ULURP? An Envisioning Development Toolkit	509a(1) - 170 (b) (1) (A) (vi) 7/1/2002
7/16/2013	Center for Working Families	1133 Broadway, Suite 332 New York, NY 10010	$1,000	Board Honorarium: Dan Cantor (General support for speaking at Spring 2013 Board Meeting).	509a(1) - 170 (b) (1) (A) (vi) 5/4/2001
12/5/2013	Centro Hispano Cuzcátlan, Inc.	148-13 Hillside Avenue Jamaica, NY 11435	$45,000	General support	509a(1) - 170 (b) (1) (A) (vi) 5/16/2006
4/25/2013	Ceres, Inc.	99 Chauncy Street, 6th Floor Boston, MA 02111-1703	$50,000	Leveraging Messaging on Increasing Taxpayer Burden to Spur Climate Action	509a(1) - 170 (b) (1) (A) (vi) 8/30/2004
12/19/2013	Chamber Music America	UPS Box 458 243 Fifth Avenue New York, NY 10016	$1,000	General support	509a(1) - 170 (b) (1) (A) (vi) 8/7/2003
12/5/2013	Chez Bushwick, Inc.	304 Boerum Street, #23 Brooklyn, NY 11206	$20,000	General support	509a(1) - 170 (b) (1) (A) (vi) 6/18/2007
12/5/2013	Chhaya Community Development Corporation	37-43 77th Street, 2nd Floor Jackson Heights, NY 11372	$40,000	Basement Apartments Safe for Everyone	509a(1) - 170 (b) (1) (A) (vi)
12/19/2013	Children's School	118 Scofieldtown Road Stamford, CT 06903	$2,500	General support	509a(1) - 170 (b) (1) (A) (vi) 12/1/1985 501c(3) - University / School
12/5/2013	Chinese Staff and Workers' Association	P.O. Box 130401 New York, NY 10013-0995	$50,000	General support	509a(1) - 170 (b) (1) (A) (vi) 2/9/1987
6/19/2013	Chocolate Factory Theater	5-49 49th Avenue Long Island City, NY 11101	$3,500	Late-Stage Production Stipend: Choreographer Levi Gonzalez	509a(1) - 170 (b) (1) (A) (vi) 8/16/2004
11/26/2013	Chocolate Factory Theater	5-49 49th Avenue Long Island City, NY 11101	$20,000	DANCE PROGRAM	509a(1) - 170 (b) (1) (A) (vi) 8/16/2004

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
6/19/2013	Churchill School and Center	301 East 29th Street New York, NY 10016	$2,000	General support	509a(1) - 170 (b) (1) (A) (vi) 5/1/1972
12/5/2013	Citizens Climate Education Corp.	1330 Orange Avenue, #300 Coronado, CA 92118	$40,000	National Conference	509a(1) - 170 (b) (1) (A) (vi) 2/1/2010
5/16/2013	Citizens Union Foundation of the City of New York	299 Broadway; Suite 700 New York, NY 10007	$1,000	Spring for Reform Benefit Honoring Make the Road New York	501c(3) 6/10/1998
11/26/2013	Community Voices Heard	115 East 106th Street, 3rd Floor New York, NY 10029	$50,000	General support	509a(1) - 170 (b) (1) (A) (vi) 3/31/2008
12/12/2013	Construction Company Theater/Dance Associates	10 E 18th Street, 3rd Floor New York, NY 10003	$1,000	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 5/24/1976
1/8/2013	Construction Company Theater/Dance Associates	10 E 18th Street, 3rd Floor New York, NY 10003	$1,500	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 5/24/1976
8/29/2013	Consultative Group on Biological Diversity	P.O. Box 29361 San Francisco, CA 94129-0361	$10,000	Climate and Energy Funders Group	509a(1) - 170 (b) (1) (A) (vi) 8/21/1996
8/29/2013	Cornelia Connelly Center for Education	220 East 4th Street New York, NY 10009	$1,000	General support	509a(1) - 170 (b) (1) (A) (vi) 3/23/2006
8/29/2013	Council on Foundations	2121 Crystal Drive, Suite 700 Arlington, VA 22202	$10,650	General support and membership dues	509a(1) 11/27/1970
1/8/2013	Dance New Amsterdam	280 Broadway, 2nd Floor New York, NY 10007	$2,000	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 10/11/2005
1/8/2013	Dance Project Sequence, Inc.	37-18 Northern Blvd., Suite 315 Long Island City, NY 11101	$2,000	NYSCA Rehearsal Space Subsidy Grant	509a(2) 8/26/1999
12/12/2013	Dance Project Sequence, Inc.	37-18 Northern Blvd., Suite 315 Long Island City, NY 11101	$1,000	NYSCA Rehearsal Space Subsidy Grant	509a(2) 8/26/1999

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
4/18/2013	Dance Theatre Etcetera	480 Van Brunt Street, Suite 203 Brooklyn, NY 11231	$6,000	Urban Bush Women's facilitation of a Community Collaborative Lab in Red Hook, Brooklyn.	509a(2) 2/4/1994
12/5/2013	Dance Theatre Etcetera	480 Van Brunt Street, Suite 203 Brooklyn, NY 11231	$10,000	General support	509a(2) 2/4/1994
1/3/2013	Dance/NYC	218 East 18th Street New York, NY 10003	$215,000	NYC Dance Response Fund	501c(3) 10/5/2012
4/25/2013	Dance/NYC	218 East 18th Street New York, NY 10003	$50,000	General support	501c(3) 10/5/2012
6/19/2013	Dancenow/NYC	P.O. Box 710 Springtown, PA 18081	$3,500	Late-Stage Production Stipend: Choreographer Sara Du Jour	509a(2) 10/20/2005
12/19/2013	Dances for a Variable Population	560 Riverside Drive, #9K New York, NY 10027	$5,000	Administrative Support	509a(1) - 170 (b) (1) (A) (vi) 6/6/2009
11/26/2013	Danspace Project, Inc.	St. Mark's Church 131 East 10th Street New York, NY 10003	$50,000	General support	509a(1) - 170 (b) (1) (A) (vi) 2/13/1989
6/19/2013	Danspace Project, Inc.	St. Mark's Church 131 East 10th Street New York, NY 10003	$3,500	Late-Stage Production Stipend: Choreographer Cori Olinghouse	509a(1) - 170 (b) (1) (A) (vi) 2/13/1989
5/13/2013	Demos	220 Fifth Avenue, 2nd Floor New York, NY 10001	$50,000	Same Day Registration Reform Campaign	509a(1) - 170 (b) (1) (A) (vi) 4/1/2001
11/26/2013	Dixon Place	161A Chrystie Street, Ground Floor New York, NY 10002-2995	$15,000	Dance and Presenting Development	509a(1) - 170 (b) (1) (A) (vi) 12/16/2002
6/19/2013	Dixon Place	161A Chrystie Street, Ground Floor New York, NY 10002-2995	$3,500	Late-Stage Production Stipend: Choreographer Urban Dance Collective	509a(1) - 170 (b) (1) (A) (vi) 12/16/2002
12/30/2013	Duke Ellington Society	Box 31 Church Street Station New York, NY 10008-0031	$1,000	General support	509a(1) - 170 (b) (1) (A) (vi) 9/30/2011

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
5/13/2013	Earthjustice	50 California Street, Suite 500 San Francisco, CA 94111-4608	$25,000	1. Coal-related Activity 2. Help Raise Money from the Energy Foundation	509a(2) 4/19/2005
12/5/2013	Earthjustice	50 California Street, Suite 500 San Francisco, CA 94111-4608	$80,000	Curbing the Nation's Dependence on Coal	509a(2) 4/19/2005
12/19/2013	East 11th Street Block Association	16 East 11th Street New York, NY 10003	$6,500	General support	509a(1) - 170 (b) (1) (A) (vi) 2/25/2009
8/29/2013	East Brunswick Public Library Foundation	2 Jean Walling Civic Center East Brunswick, NJ 08816	$3,000	General support	509a(1) - 170 (b) (1) (A) (vi) 12/15/2010
5/13/2013	Eastern Environmental Law Center	744 Broad Street, Suite 1525 Newark, NJ 07102	$25,000	1. Coal-related Activity 2. Help Raise Money from the Energy Foundation	509a(1) - 170 (b) (1) (A) (vi) 9/25/2006
6/6/2013	Education Through Music, Inc.	122 East 42nd Street, Suite 1501 New York, NY 10168	$1,000	General support	509a(1) - 170 (b) (1) (A) (vi) 3/16/2010
12/5/2013	Energy Action Coalition	205 New York Avenue NW Washington, DC 20001	$100,000	General support	509a(1) - 170 (b) (1) (A) (vi) 3/1/1984
10/17/2013	Energy Foundation	301 Battery Street, 5th Floor San Francisco, CA 94111	$20,000	Southeast Energy Projects: Southeastern Coastal Wind Coalition	509a(1) 4/11/2006
5/13/2013	Environmental Integrity Project	1 Thomas Circle NW, Suite 900 Washington, DC 20005	$25,000	1. Coal-related Activity 2. Help Raise Money from the Energy Foundation	509a(1) - 170 (b) (1) (A) (vi) 4/21/2008
12/5/2013	Environmental Integrity Project	1 Thomas Circle NW, Suite 900 Washington, DC 20005	$30,000	Oil and Gas Industry GHG Project	509a(1) - 170 (b) (1) (A) (vi) 4/21/2008
4/25/2013	Environmental Law & Policy Center	35 East Wacker Drive, Suite 1600 Chicago, IL 60601	$40,000	Midwest Coal Campaign	509a(1) - 170 (b) (1) (A) (vi) 5/28/2003

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
5/13/2013	Environmental Law & Policy Center	35 East Wacker Drive, Suite 1600 Chicago, IL 60601	$25,000	1. Coal-related Activity 2. Help Raise Money from the Energy Foundation	509a(1) - 170 (b) (1) (A) (vi) 5/28/2003
12/19/2013	Equity Trust	P.O. Box 746 Amherst, MA 01004-0746	$5,000	General support	509a(1) - 170 (b) (1) (A) (vi) 7/1/1997
6/19/2013	Eva Dean Dance Company	Union Street Dance 725 Union Street, Studio B Brooklyn, NY 11215	$3,500	Late-Stage Production Stipend: Choreographer binbin Factory	509a(1) - 170 (b) (1) (A) (vi) 8/8/1992
1/8/2013	Eva Dean Dance Company	Union Street Dance 725 Union Street, Studio B Brooklyn, NY 11215	$2,000	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 8/8/1992
12/12/2013	Eva Dean Dance Company	Union Street Dance 725 Union Street, Studio B Brooklyn, NY 11215	$1,500	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 8/8/1992
11/4/2013	Families United for Racial and Economic Equality	The Brooklyn Commons 388 Atlantic Avenue Brooklyn, NY 11217	$10,000	Emergency Technical Assistance	509a(1) - 170 (b) (1) (A) (vi) 10/22/2008
9/17/2013	Families United for Racial and Economic Equality	The Brooklyn Commons 388 Atlantic Avenue Brooklyn, NY 11217	$10,000	Emergency Technical Assistance	509a(1) - 170 (b) (1) (A) (vi) 10/22/2008
12/5/2013	Fifth Avenue Committee, Inc.	621 DeGraw Street Brooklyn, NY 11217	$70,000	South Brooklyn Accountable Development Initiative	509a(1) - 170 (b) (1) (A) (vi) 8/11/2005
6/6/2013	Flea Theater	41 White Street New York, NY 10013	$2,500	General support	509a(1) 1/10/1997
8/29/2013	Foundation Center	79 Fifth Avenue New York, NY 10003-3076	$8,500	General support	509a(1) - 170 (b) (1) (A) (vi) 8/16/2007
5/13/2013	Foundation for Contemporary Arts	820 Greenwich Street New York, NY 10014	$10,000	Emergency Grant Program for NYC Dance Artists	509a(1) - 170 (b) (1) (A) (vi) 12/13/2004
1/8/2013	Fourth Arts Block, Inc.	61 East 4th Street New York, NY 10003	$2,500	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 5/23/2008

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
4/25/2013	Fractured Atlas Productions	248 West 35th Street, 10th Floor New York, NY 10001	$50,000	NYC Performing Arts Spaces	509a(2) - 170 (b) (1) (A) (vii) 8/4/2008
12/19/2013	Franklin Street Works	41 Franklin Street Stamford, CT 06901	$3,000	General support	509a(1) 2/1/2003
12/30/2013	Fund for the Republic	11 Dupont Circle, Suite 350 Washington, DC 20036	$150,000	Purpose Discovery Process	509a(1) - 170 (b) (1) (A) (vi)
4/25/2013	Gina Gibney Dance	890 Broadway, 5th Floor New York, NY 10003	$50,000	Individual Donor Campaign	509a(1) - 170 (b) (1) (A) (vi) 2/13/1996
10/17/2013	Global Kids, Inc.	137 East 25th Street 2nd Floor New York, NY 10010	$1,000	General support	509a(1) - 170 (b) (1) (A) (vi) 5/11/2010
4/25/2013	Global Kids, Inc.	137 East 25th Street 2nd Floor New York, NY 10010	$35,000	National Youth Climate Exchange	509a(1) - 170 (b) (1) (A) (vi) 5/11/2010
1/22/2013	Good Jobs First	1616 P Street, NW, Suite 210 Washington, DC 20036	$40,000	GOOD JOBS NEW YORK: Promoting Fair and Transparent Use of Sandy Recovery Funds	509a(1) - 170 (b) (1) (A) (vi) 7/31/2007
11/26/2013	Good Old Lower East Side	169 Avenue B New York, NY 10009	$40,000	General support	509a(1) - 170 (b) (1) (A) (vi) 5/8/1980
1/22/2013	Good Old Lower East Side	169 Avenue B New York, NY 10009	$40,000	Sandy Relief Efforts	509a(1) - 170 (b) (1) (A) (vi) 5/8/1980
8/29/2013	Grantmakers in the Arts	4055 21st Avenue West, Suite 100 Seattle, WA 98199-1247	$4,500	General support and membership dues	509a(1) - 170 (b) (1) (A) (vi) 6/12/2000
12/5/2013	Greenpeace Fund	702 H Street, NW, Suite 300 Washington, DC 20001	$50,000	Quit Coal Campaign	509a(1) - 170 (b) (1) (A) (vi) 6/12/2007
1/8/2013	Harlem School of the Arts	645 Saint Nicholas Avenue New York, NY 10030	$3,500	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 7/1/1965
12/12/2013	Harlem School of the Arts	645 Saint Nicholas Avenue New York, NY 10030	$3,500	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 7/1/1965

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
6/19/2013	Harlem Stage/Aaron Davis Hall	150 Convent Avenue & West 135th Street New York, NY 10031	$3,500	Late-Stage Production Stipend: Choreographer Jawole Willa Jo Zolar	509a(1) - 170 (b) (1) (A) (vi) 8/6/1996
11/26/2013	Harlem Stage/Aaron Davis Hall	150 Convent Avenue & West 135th Street New York, NY 10031	$25,000	DANCE PROGRAM	509a(1) - 170 (b) (1) (A) (vi) 8/6/1996
11/26/2013	Henry Street Settlement	265 Henry Street New York, NY 10002 4899	$20,000	ABRONS ARTS CENTER	509a(1) - 170 (b) (1) (A) (vi) 12/12/2000
6/19/2013	Henry Street Settlement	265 Henry Street New York, NY 10002 4899	$3,500	ABRONS ARTS CENTER: Late-Stage Production Stipend: Choregrapher Pavel Zustiak	509a(1) - 170 (b) (1) (A) (vi) 12/12/2000
6/19/2013	HERE Arts Center	145 Sixth Avenue New York, NY 10013	$3,500	Late-Stage Production Stipend: Choreographer Tara O'Con	509a(1) - 170 (b) (1) (A) (vi) 8/25/1998
11/26/2013	HERE Arts Center	145 Sixth Avenue New York, NY 10013	$30,000	DANCE PROGRAM	509a(1) - 170 (b) (1) (A) (vi) 8/25/1998
12/5/2013	Hester Street Collaborative, Inc.	113 Hester Street New York, NY 10002	$25,000	Technical Assistance to Good Old Lower East Side and Picture the Homeless	509a(1) - 170 (b) (1) (A) (vi) 11/26/2004
12/19/2013	Hospice Care Network	99 Sunnyside Blvd. Woodbury, NY 11797	$3,000	General support	509a(2) 2/19/2010
12/19/2013	Hudson School	601 Park Avenue Hoboken, NJ 07030	$2,500	General support	509a(1) - 170 (b) (1) (A) (vi) 5/26/1990 501c(3) - University / School
12/12/2013	Hundred Grand Dance Foundation, Inc.	100 Grand Street, 2nd Floor New York, NY 10013	$1,500	NYSCA Rehearsal Space Grant	509a(1) - 170 (b) (1) (A) (vi) 10/10/2003
1/8/2013	Hundred Grand Dance Foundation, Inc.	100 Grand Street, 2nd Floor New York, NY 10013	$2,500	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 10/10/2003
6/6/2013	Independent Diplomat	45 East 20th Street, 6th Floor New York, NY 10003	$1,000	General support	509a(1) - 170 (b) (1) (A) (vi) 9/24/2008
5/13/2013	Institute for Energy Economics and Financial Analysis	River's Edge 3430 Rocky River Drive Cleveland, OH 44111	$25,000	1. Coal-related Activity 2. Help Raise Money from the Energy Foundation	509a(1) - 170 (b) (1) (A) (vi) 3/1/1968

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
8/6/2013	Institute for Southern Studies, Inc.	P.O. Box 531 Durham, NC 27702	$25,000	Media, Research, and Outreach Campaign	509a(1) - 170 (b) (1) (A) (vi)
4/25/2013	Interfaith Power & Light	369 Pine Street, Suite 700 San Francisco, CA 94104	$50,000	Growing the Faith Constituency for Climate Action	509a(1) - 170 (b) (1) (A) (vi) 3/1/2000
4/25/2013	Just Detention International	3325 Wilshire Blvd., Suite 340 Los Angeles, CA 90010	$75,000	Let's End it Now: Protecting New York City Youth from Sexual Abuse in Detention	509a(1) - 170 (b) (1) (A) (vi) 3/1/1997
4/25/2013	Kentucky Coalition	P.O. Box 1450 London, KY 40743	$50,000	New Energy and Transition Project	509a(1) - 170 (b) (1) (A) (vi) 7/18/2008
6/6/2013	Kentucky Coalition	P.O. Box 1450 London, KY 40743	$25,000	1. Coal-related Activity 2. Help Raise Money from the Energy Foundation	509a(1) - 170 (b) (1) (A) (vi) 7/18/2008
4/25/2013	Kentucky Environmental Foundation	P.O. Box 467 128 Main Street Berea, KY 40403	$25,000	Clean Air, Clean Energy Project	509a(1) - 170 (b) (1) (A) (vi) 4/17/1995
11/26/2013	Kingsborough Community College Auxiliary Enterprises	ATTN: On Stage At Kingsborough 2001 Oriental Blvd. Brooklyn, NY 11235	$15,000	ON STAGE AT KINGSBOROUGH	509a(2) 5/3/2004
8/29/2013	Kingsborough Community College Foundation	Physical Science Department 2001 Oriental Blvd. Brooklyn, NY 11235	$2,000	Physical Science Department's Oceanography Program	509a(1) - 170 (b) (1) (A) (vi) 1/22/2004
6/19/2013	Kitchen, The	512 West 19th Street New York, NY 10011 2807	$3,500	Late-Stage Production Stipend: Choreographer Maria Hassabi	509a(1) - 170 (b) (1) (A) (vi) 7/14/1989
11/26/2013	Kitchen, The	512 West 19th Street New York, NY 10011 2807	$25,000	DANCE PROGRAMS	509a(1) - 170 (b) (1) (A) (vi) 7/14/1989
6/19/2013	La MaMa Experimental Theatre Club, Inc.	74A East 4th Street New York, NY 10003	$3,500	Late-Stage Production Stipend: Choreographer Maureen Fleming	509a(1) - 170 (b) (1) (A) (vi) 7/7/2008
4/25/2013	Labor Network for Sustainability	11 Pine Avenue Takoma Park, MD 20912	$40,000	Modeling a Just Transition	509a(1) - 170 (b) (1) (A) (vi)

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
1/8/2013	LOTUS Music & Dance	336 West 37th Street, Suite 315 New York, NY 10018	$1,500	NYSCA Rehearsal Space Subsidy Grant	509a(2) 9/25/1999
12/19/2013	LOTUS Music & Dance	336 West 37th Street, Suite 315 New York, NY 10018	$1,500	NYSCA Rehearsal Space Grant	509a(2) 9/25/1999
6/19/2013	Lower Manhattan Cultural Council	125 Maiden Lane, 2nd Floor New York, NY 10038	$3,500	Late-Stage Production Stipend: Choreographer Vanessa Anspaugh	509a(1) - 170 (b) (1) (A) (vi) 3/30/2006
2/14/2013	Lower Manhattan Cultural Council	125 Maiden Lane, 2nd Floor New York, NY 10038	$8,000	Extended Life Artist Workshops	509a(1) - 170 (b) (1) (A) (vi) 3/30/2006
12/19/2013	Magic Box Productions	274 Bedford Road Pleasantville, NY 10570	$2,000	General support	509a(2) - 170 (b) (1) (A) (vii) 2/1/2006
4/25/2013	Make the Road New York	301 Grove Street Brooklyn, NY 11237	$75,000	General support	509a(1) - 170 (b) (1) (A) (vi) 12/10/2007
1/22/2013	Make the Road New York	301 Grove Street Brooklyn, NY 11237	$50,000	Sandy Response Initiative	509a(1) - 170 (b) (1) (A) (vi) 12/10/2007
10/17/2013	MAPP International Productions, Inc.	140 Second Avenue, Suite 502 New York, NY 10003	$15,000	General support	509a(1) - 170 (b) (1) (A) (vi) 4/1/2007
1/8/2013	Martha Graham Center of Contemporary Dance	55 Bethune Street New York, NY 10014	$4,000	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 5/11/2011
12/12/2013	Martha Graham Center of Contemporary Dance	55 Bethune Street New York, NY 10014	$4,000	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 5/11/2011
2/14/2013	Martha Graham Center of Contemporary Dance	55 Bethune Street New York, NY 10014	$35,000	Hurricane Sandy Response Fund	509a(1) - 170 (b) (1) (A) (vi) 5/11/2011
10/17/2013	Minds Matter of NYC	1120 Avenue of the Americas, 4th Floor New York, NY 10036	$1,000	General support	509a(1) - 170 (b) (1) (A) (vi) 9/10/2008
4/25/2013	MinKwon Center for Community Action	136-19 41st Avenue, 3rd Floor Flushing, NY 11355	$40,000	General support	509a(1) - 170 (b) (1) (A) (vi) 12/9/2009

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
12/19/2013	Montclair Early Childhood Corporation	49 Orange Road Montclair, NJ 07042	$1,000	Bilingual Program	509a(2) - 170 (b) (1) (A) (vii) 9/10/2002
6/6/2013	Montclair Early Childhood Corporation	49 Orange Road Montclair, NJ 07042	$1,000	Montclair Community PreK Program	509a(2) - 170 (b) (1) (A) (vii) 9/10/2002
12/19/2013	Montclair Fund for Educational Excellence	22 Valley Road Montclair, NJ 07042	$1,000	Bradford Principal's Fund	509a(1) - 170 (b) (1) (A) (vi) 4/22/1996
12/5/2013	Movement for Justice in El Barrio	1 West 125th Street, 2nd Floor New York, NY 10027	$50,000	General support	509a(1) - 170 (b) (1) (A) (vi)
9/10/2013	Movement Research, Inc.	55 Avenue C New York, NY 10009	$10,000	Challenge grant to implement earned income opportunities and initiate board match of MGF grant.	509a(2) 9/23/1982
12/12/2013	Movement Research, Inc.	55 Avenue C New York, NY 10009	$1,500	NYSCA Rehearsal Space Subsidy Grant	509a(2) 9/23/1982
11/26/2013	Movement Research, Inc.	55 Avenue C New York, NY 10009	$30,000	General support	509a(2) 9/23/1982
1/8/2013	Movement Research, Inc.	55 Avenue C New York, NY 10009	$2,500	NYSCA Rehearsal Space Subsidy Grant	509a(2) 9/23/1982
6/19/2013	Movement Research, Inc.	55 Avenue C New York, NY 10009	$3,500	Late-Stage Production Stipends: Choregrapher Jenine Durning	509a(2) 9/23/1982
12/19/2013	MVworks	46 West 85th Street, # 3C New York, NY 10024	$2,500	General support	509a(2) - 170 (b) (1) (A) (vii) 8/4/2008
12/12/2013	Nancy Meehan Dance Company	463 West Street, #A-1111 New York, NY 10014	$1,000	NYSCA Rehearsal Space Subsidy Grant	509a(2) 1/27/1976
1/8/2013	Nancy Meehan Dance Company	463 West Street, #A-1111 New York, NY 10014	$2,000	NYSCA Rehearsal Space Subsidy Grant	509a(2) 1/27/1976
8/29/2013	National Committee for Responsive Philanthropy	1331 H Street NW, Suite 200 Washington, DC 20005	$3,000	General support of membership	509a(2) 9/25/2002
8/6/2013	National Institute on Money in State Politics	833 N. Last Chance Gulch Helena, MT 59601	$50,000	General support	509a(1) - 170 (b) (1) (A) (vi) 11/1/1999

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
12/5/2013	National Parks Conservation Association	777 6th Street, NW, Suite 700 Washington, DC 20001-3723	$40,000	Coal Plant Litigation Project	509a(1) - 170 (b) (1) (A) (vi) 11/10/2000
5/13/2013	National Parks Conservation Association	777 6th Street, NW, Suite 700 Washington, DC 20001-3723	$25,000	1. Coal-related Activity 2. Help Raise Money from the Energy Foundation	509a(1) - 170 (b) (1) (A) (vi) 11/10/2000
12/5/2013	National Wildlife Federation, Inc.	Northeast Regional Center 149 State Street Montpelier, VT 05602	$50,000	Offshore Wind Coalition	509a(1) - 170 (b) (1) (A) (vi)
10/17/2013	Neighborhood Funders Group	1201 Connecticut Avenue NW Third Floor Washington, DC 20036	$1,500	Institutional Membership	509a(1) - 170 (b) (1) (A) (vi) 9/1/1993
1/8/2013	New 42nd Street, Inc.	229 West 42nd Street, 10th Floor New York, NY 10036	$4,500	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 6/4/2004
12/12/2013	New 42nd Street, Inc.	229 West 42nd Street, 10th Floor New York, NY 10036	$3,000	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 6/4/2004
1/8/2013	New Dance Alliance, Inc.	182 Duane Street, 3rd Floor New York, NY 10013	$2,000	NYSCA Rehearsal Space Subsidy Grant	509a(2) 4/19/2006
12/12/2013	New Dance Alliance, Inc.	182 Duane Street, 3rd Floor New York, NY 10013	$1,500	NYSCA Rehearsal Space Subsidy Grant	509a(2) 4/19/2006
4/18/2013	New Energy Economy	343 East Alameda Street Santa Fe, NM 87501-2229	$25,000	Promoting Coal Plant Retirements and Climate Policy in New Mexico	509a(1) - 170 (b) (1) (A) (vi)
12/5/2013	New Immigrant Community Empowerment	37-41 77th Street, 2nd Floor Jackson Heights, NY 11372	$50,000	Immigrant Consumer Justice Campaign	509a(1) - 170 (b) (1) (A) (vi) 8/1/2001

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
4/25/2013	New York City Center	130 West 56th Street New York, NY 10019-3803	$50,000	Free Delacorte Dance Festival	509a(2) 9/14/2005
7/16/2013	New York Foundation	10 East 34th Street New York, NY 10016	$20,000	Capacity Building Workshop Series	509(a) 2/18/2010 Private Non-Operating Foundation Expenditure Responsibility
6/19/2013	New York Live Arts	219 West 19th Street New York, NY 10011	$3,500	Late-Stage Production Stipend: Choreographer John Jasperse	509a(2) 3/30/2011
9/17/2013	New York Shakespeare Festival	425 Lafayette Street New York, NY 10003	$12,100	General support of Shakespeare in the Park: Love's Labour's Lost	509a(1) - 170 (b) (1) (A) (vi) 1/29/2002
12/19/2013	New York Women's Foundation	39 Broadway, Suite 2300 New York, NY 10006	$5,000	General support	509a(1) - 170 (b) (1) (A) (vi) 6/1/1992
10/17/2013	North Carolina Justice Center	224 S. Dawson Street Raleigh, NC 27605	$2,000	General support	509a(1) - 170 (b) (1) (A) (vi)
12/19/2013	Northeast Parent Teacher Organization	82 Schofieldtown Road Stamford, CT 06903	$2,500	General support	509a(2) 10/1/1992
1/22/2013	Orion Society	187 Main Street Great Barrington, MA 01230	$10,000	30th Anniversary Campaign	509a(1) - 170 (b) (1) (A) (vi) 2/12/2005
12/5/2013	Our Children's Trust	P.O. Box 5181 Eugene, OR 97405	$50,000	TRUST Campaign	509a(1) - 170 (b) (1) (A) (vi) 6/1/2011
11/26/2013	OurGoods	370 Park Place, Apt. #1 Brooklyn, NY 11238	$30,000	CONNECT THE BLOCKS	509a(2) 11/1/1986
4/25/2013	Pace University School of Law	78 North Broadway, E-House Room 201 White Plains, NY 10603	$50,000	PACE ENERGY AND CLIMATE CENTER: CHP in the City	509a(1) - 170 (b) (1) (A) (vi) 9/28/2005
6/6/2013	Part of the Solution, Inc.	2759 Webster Avenue Bronx, NY 10458	$1,000	General support	509a(1) - 170 (b) (1) (A) (vi) 12/27/1993
1/22/2013	Partnership for a Secure America	2000 P Street NW, Suite 505 Washington, DC 20036	$7,650	Bipartisan Climate Statement	509a(1) - 170 (b) (1) (A) (vi) 6/1/2003

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
6/19/2013	Performance Space 122, Inc.	67 West Street, Unit 315 Brooklyn, NY 11222	$3,500	Late-Stage Production Stipend: Choreographer AUNTS	509a(1) - 170 (b) (1) (A) (vi) 8/26/2008
11/26/2013	Performance Space 122, Inc.	67 West Street, Unit 315 Brooklyn, NY 11222	$35,000	General support	509a(1) - 170 (b) (1) (A) (vi) 8/26/2008
4/18/2013	Philadelphia Dance Company	9 North Preston Street Philadanco Way Philadelphia, PA 19104	$25,000	General support	509a(2) - 170 (b) (1) (A) (vii) 7/1/1972
8/29/2013	Philanthropy New York	79 Fifth Avenue, 4th Floor New York, NY 10003-3076	$11,050	General support and membership dues	509a(1) - 170 (b) (1) (A) (vi) 12/3/2009
12/5/2013	Picture the Homeless	2427 Morris Avenue, 2nd Floor Bronx, NY 10468	$50,000	General support	509a(1) - 170 (b) (1) (A) (vi) 5/28/2004
12/19/2013	Political Economy Research Institute	University of Massachusetts, Amherst 418 N. Pleasant Street, Suite A Amherst, MA 01002	$5,000	General support	501c(3) 11/1/2003
4/25/2013	Pratt Center for Community Development	200 Willoughby Avenue Brooklyn, NY 11205	$40,000	General support	509a(1) - 170 (b) (1) (A) (vi) 8/18/2005 501c(3) - University / School
4/25/2013	Pratt Center for Community Development	200 Willoughby Avenue Brooklyn, NY 11205	$35,000	General support	509a(1) - 170 (b) (1) (A) (vi) 8/18/2005 501c(3) - University / School
12/19/2013	Prescott College	220 Grove Avenue Prescott, AZ 86301	$7,500	Liberal Arts and Social Justice Programs	509a(1) - 170 (b) (1) (A) (vi) 6/16/2004 501c(3) - University / School
12/5/2013	Protect Our Winters	1157 Embury Street Pacific Palisades, CA 90272	$100,000	General support	509a(2) - 170 (b) (1) (A) (vii) 11/1/2007
12/5/2013	Proteus Fund	15 Research Drive, Suite B Amherst, MA 01002	$71,500	Piper Fund: Money in Politics and Fair Courts Mapping Project	509a(1) - 170 (b) (1) (A) (vi) 2/26/1999

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
4/22/2013	Proteus Fund	15 Research Drive, Suite B Amherst, MA 01002	$250,000	Piper Fund: Fair Elections for New York Campaign	509a(1) - 170 (b) (1) (A) (vi) 2/26/1999
12/5/2013	Proteus Fund	15 Research Drive, Suite B Amherst, MA 01002	$225,000	Piper Fund: Collaborative Communications Initiative	509a(1) - 170 (b) (1) (A) (vi) 2/26/1999
12/5/2013	Proteus Fund	15 Research Drive, Suite B Amherst, MA 01002	$150,000	Piper Fund: Fair Elections for New York Campaign	509a(1) - 170 (b) (1) (A) (vi) 2/26/1999
1/22/2013	Public Campaign	1133 19th Street, NW, 9th Floor Washington, DC 20036	$1,000	General support	509a(1) - 170 (b) (1) (A) (vi) 3/1/1997
12/5/2013	Public Campaign	1133 19th Street, NW, 9th Floor Washington, DC 20036	$75,000	Fair Elections Initiatives	509a(1) - 170 (b) (1) (A) (vi) 3/1/1997
12/5/2013	Public Interest Projects	45 West 36th Street, Floor 6 New York, NY 10018	$175,000	State Infrastructure Fund	509a(1) - 170 (b) (1) (A) (vi) 1/16/2007
4/25/2013	Public Justice Foundation	1825 K Street NW, Suite 200 Washington, DC 20006	$35,000	Coal Ash Litigation Project	509a(1) - 170 (b) (1) (A) (vi) 2/18/2011
12/5/2013	Queens Theatre in the Park	14 United Nations Avenue South Flushing Meadows Corona Park Queens, NY 11386	$15,000	DANCE SERIES	509a(1) - 170 (b) (1) (A) (vi) 12/26/2001
4/25/2013	Rainforest Action Network	425 Bush Street, Suite 300 San Francisco, CA 94108	$30,000	Coal Finance Campaign	509a(1) - 170 (b) (1) (A) (vi) 12/1/1991
6/6/2013	Residents for a More Beautiful Port Washington	P.O. Box 864 Port Washington, NY 11050	$3,000	General support	509a(1) - 170 (b) (1) (A) (vi) 11/7/2008
8/29/2013	Resource Generation	220 East 23rd Street, Suite 509 New York, NY 10010	$25,000	General support	509a(1) 10/18/2004

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
11/26/2013	Restaurant Opportunities Center of New York	275 Seventh Avenue, Suite 1703 New York, NY 10001	$40,000	General support	509a(1) - 170 (b) (1) (A) (vi) 3/28/2008
1/22/2013	Restaurant Opportunities Center of New York	275 Seventh Avenue, Suite 1703 New York, NY 10001	$10,000	Sandy Relief Aid	509a(1) - 170 (b) (1) (A) (vi) 3/28/2008
11/26/2013	Roulette Intermedium, Inc.	30 Third Avenue, #208 Brooklyn, NY 11217	$20,000	DANCE ROULETTE	509a(1) - 170 (b) (1) (A) (vi) 4/27/2012
12/19/2013	Salzburg Global Seminar	1730 Pennsylvania Avenue NW Suite #250 Washington, DC 20006	$1,000	General support	509a(1) - 170 (b) (1) (A) (vi) 4/3/2008
12/5/2013	South Carolina Coastal Conservation League	P.O. Box 1765 Charleston, SC 29402	$45,000	Offshore Wind Project	509a(1) - 170 (b) (1) (A) (vi) 12/13/2001
5/13/2013	Southern Alliance for Clean Energy	P.O. Box 1842 Knoxville, TN 37901	$25,000	1. Coal-related Activity 2. Help Raise Money from the Energy Foundation	509a(1) - 170 (b) (1) (A) (vi) 1/15/2009
12/5/2013	Southern Alliance for Clean Energy	P.O. Box 1842 Knoxville, TN 37901	$50,000	Coal Plant Retirements Project	509a(1) - 170 (b) (1) (A) (vi) 1/15/2009
12/12/2013	Spoke the Hub Dancing, Inc.	748 Union Street Brooklyn, NY 11215-1209	$1,000	NYSCA Rehearsal Space Subsidy Grant	509a(2) 2/17/2005
1/8/2013	Spoke the Hub Dancing, Inc.	748 Union Street Brooklyn, NY 11215-1209	$2,000	NYSCA Rehearsal Space Subsidy Grant	509a(2) 2/17/2005
12/19/2013	Susan Marshall & Company	1133 Broadway, Suite 641 New York, NY 10010	$2,500	General support	509a(2) - 170 (b) (1) (A) (vii) 5/1/1987
6/19/2013	Topaz Arts, Inc.	P.O. Box 770150 Woodside, NY 11377-0150	$3,500	Late-Stage Production Stipend: Choreographer Molissa Fenley	509a(1) - 170 (b) (1) (A) (vi) 3/22/2006
12/5/2013	Topaz Arts, Inc.	P.O. Box 770150 Woodside, NY 11377-0150	$10,000	General support	509a(1) - 170 (b) (1) (A) (vi) 3/22/2006

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
10/17/2013	Trail Blazers	153 West 27th Street, Suite 1102 New York, NY 10001	$2,000	General support	509a(1) - 170 (b) (1) (A) (vi) 9/20/2006
11/26/2013	Triskelion Arts, Inc.	118 North 11th Street, 3rd Floor Brooklyn, NY 11249	$20,000	General support	509a(1) - 170 (b) (1) (A) (vi) 11/29/2002
6/19/2013	Triskelion Arts, Inc.	118 North 11th Street, 3rd Floor Brooklyn, NY 11249	$3,500	Late-Stage Production Stipend: Choreographer Jessica Gaynor	509a(1) - 170 (b) (1) (A) (vi) 11/29/2002
10/17/2013	Turimiquire Foundation, Inc.	16 Crescent Street Cambridge, MA 02138	$1,000	General support	Private-Operating Foundation 2/18/1998 509a(1)
12/19/2013	Turning Point, Inc.	Barnert Medical Arts Complex 680 Broadway, Suite 104 Paterson, NJ 07514	$3,000	General support	509a(1) - 170 (b) (1) (A) (vi) 2/24/1977
4/25/2013	Union of Concerned Scientists, Inc.	2 Brattle Square Cambridge, MA 02138-3780	$50,000	Confronting the Realities of Climate Change	509a(1) - 170 (b) (1) (A) (vi) 8/24/2009
12/5/2013	United Republic Education Fund	P.O. Box 60008 Florence, MA 01062	$125,000	Represent.Us Campaign	509a(1) - 170 (b) (1) (A) (vi)
5/13/2013	Urban Justice Center	123 William Street, 16th Floor New York, NY 10038-3804	$2,000	STREET VENDOR PROJECT: Board Honorarium (Speaking at 2013 Spring Board Meeting)	509a(1) - 170 (b) (1) (A) (vi) 9/9/1997
4/25/2013	Urban Justice Center	123 William Street, 16th Floor New York, NY 10038-3804	$30,000	STREET VENDOR PROJECT: Lower the Fines Campaign	509a(1) - 170 (b) (1) (A) (vi) 9/9/1997
4/25/2013	Urban Justice Center	123 William Street, 16th Floor New York, NY 10038-3804	$40,000	COMMUNITY DEVELOPMENT PROJECT: Capacity-building Support for Mertz Gilmore Grantees	509a(1) - 170 (b) (1) (A) (vi) 9/9/1997
6/19/2013	Valerie Green Dance Entropy, Inc.	37-24 24th Street, #301 Long Island City, NY 11101	$3,500	GREEN SPACE: Late-Stage Production Stipend: Choreographer Ko-Ryo Dance Theater	509a(2) 5/22/2007

Paid Date	Grantee Name	Address	Amount	Project Title	Tax Statuses and Dates
1/8/2013	Valerie Green Dance Entropy, Inc.	37-24 24th Street, #301 Long Island City, NY 11101	$2,500	NYSCA Rehearsal Space Subsidy Grant	509a(2) 5/22/2007
12/12/2013	Valerie Green Dance Entropy, Inc.	37-24 24th Street, #301 Long Island City, NY 11101	$1,500	NYSCA Rehearsal Space Subsidy Grant	509a(2) 5/22/2007
12/5/2013	Valerie Green Dance Entropy, Inc.	37-24 24th Street, #301 Long Island City, NY 11101	$10,000	GREEN SPACE	509a(2) 5/22/2007
12/5/2013	Washington University School of Law; Interdisciplinary Environmental Clinic	One Brookings Drive Campus Box 1120 St. Louis, MO 63130	$30,000	Meramec/Ameren Project	501c(3) - University / School 8/1/1933
6/19/2013	Works & Process, Inc.	708 Third Avenue, Suite 1005 New York, NY 10017	$3,500	Late-Stage Production Stipend: Choreographer Pam Tanowitz	509a(1) - 170 (b) (1) (A) (vi) 8/27/2001
Grand Totals (249 items)			$6,175,250		

Mertz Gilmore Foundation
Year Ended December 31, 2013
EIN# 13-2872722
Form 990-PF

Part I, Line 14 Other employee salaries and wages
Part I, Line 15 Pension plans, employee benefits
Part I, Line 20 Occupancy

To extend its philanthropic mission, the Mertz Gilmore Foundation makes its conference rooms available for meetings of **nonprofit groups** on a first-come, first-served basis. The room is free of charge during the Foundation's regular hours.

In 2013, there were a total of 205 meetings held by 98 different nonprofit groups with a total of 2,868 guests at the conference rooms of the Mertz Gilmore Foundation.

The amounts on Part I, Lines 14 and 15 include the costs of salaries and benefits of staff who maintain the conference room.

The amount on Part I, Line 20 includes the costs of maintaining the conference rooms.

MERTZ GILMORE FOUNDATION
EIN: 13-2872722
12/31/2013
FORM 990-PF

HOW TO APPROACH THE FOUNDATION

Please submit an inquiry of no more than three pages describing the mission of the organization and the purpose of the request. The Foundation will respond to all letters promptly. Do not send full proposals, video or audio files, press clippings, books or other materials unless they are requested.

PROGRAM GUIDELINES AND INTERESTS

The Mertz Gilmore Foundation prefers to make general support grants to organizations whose work closely parallels its interest.

The Foundation does not make grants for political purposes such as lobbying or propaganda. In addition, the Foundation does not accept proposals for:

- Individuals
- Endowments, annual fund appeals or fundraising events
- Conferences, workshops
- Sectarian religious concerns
- Scholarships, fellowships, research, loans, or travel
- Film or media projects
- Publications

Please visit the Foundation's website, www.mertzgilmore.org, for current funding areas.

STATEMENT D

Mertz Gilmore Foundation
EIN #13-2872722
Attachment to Year Ending 2013 Form 990-PF

EXPENDITURE RESPONSIBILITY REPORT
PURSUANT TO IRC SECTION 4945(h)(3)
and REGULATION 53.4945-5(d)

During 2013, the Mertz Gilmore Foundation made the following grant:

Amount Paid in Current Tax Year: $10,000 Date Paid: 2/14/2013

Organization Name:	Bayard Rustin Fund
Organization Address:	340 West 28th Street, Suite 9J New York, NY 10001

Purpose of Grant: Public Television Broadcast of Brother Outsider: The Life of Bayard Rustin

To support the public television broadcast of Brother Outsider: The Life of Bayard Rustin.

The Public Broadcasting Service (PBS) will rebroadcast Brother Outsider: The Life of Bayard Rustin in February 2013, as part of their programming for Black History Month. The film will be broadcast on America ReFramed, a new documentary series on PBS that takes an unfiltered look at relevant domestic topics like healthcare, immigration, the workplace, and politics. America ReFramed showcases films that give viewers a snapshot of the transforming American life —the guts, the glory, the grit of a new and changing America. It airs nationally on Sunday nights in about 150 cities. It is curated by the producers of POV, the series on which Brother Outsider: The Life of Bayard Rustin was originally broadcast back in 2003. This re-broadcasting opportunity would mean reaching a new national audience and a new generation of viewers for the film. The Foundation grant would help promote and publicize this broadcast and to maximize its impact. Specifically, the Foundation's funds will cover the expenses of hiring a publicist as well as an outreach and engagement coordinator.

Reporting Information: The Foundation has received and reviewed report(s) from this organization on the following date(s):

October 8, 2014

The Mertz Gilmore Foundation reviewed the grant report(s) on the date(s) mentioned above, but did not undertake any verification of the grantee's report(s) as there has been no reason to doubt the accuracy and reliability of the report(s).

The Foundation made a grant to Bayard Rustin Fund, not a private foundation, following pre-grant inquiry and pursuant to a written agreement. The amount of grant funds expended by the grantee during this fiscal year is expected to be the entire amount of the grant. To the best knowledge, information, and belief of the Foundation, none of the funds has been diverted from the purpose of the grant.

Mertz Gilmore Foundation
EIN #13-2872722
Attachment to Year Ending 2013 Form 990-PF

EXPENDITURE RESPONSIBILITY REPORT
PURSUANT TO IRC SECTION 4945(h)(3)
and REGULATION 53.4945-5(d)

During 2013, the Mertz Gilmore Foundation made the following grant:

Amount Paid in Current Tax Year. $20,000 Date Paid 7/16/2013

Organization Name:	New York Foundation
Organization Address	10 East 34th Street New York, NY 10016

Purpose of Grant Capacity Building Workshop Series

To support a series of capacity building workshops for community-based grantees in New York City.

The New York Foundation (NYF), a peer foundation of the Mertz Gilmore Foundation, supports organizations in New York City that are working on problems of urgent concern to residents of under-served communities NYF leads the NYC Capacity Building Funder Collaborative, a group of seven funders including Mertz Gilmore that collectively plan and sponsor an annual series of workshops for a cross-section of community-based grantees. The other participating funders in 2013 are the North Star Fund, Union Square Awards, New York Women's Foundation, Brooklyn Community Foundation, and Stonewall Community Foundation This grant to NYF represents the Mertz Gilmore's contribution to the 2013 workshops, which will include 11 workshops on the following topics. proposal writing, political engagement, complying with lobbying rules, effective advocacy, fiscal management, grassroots fundraising, board leadership, program evaluation, and succession planning.

Reporting Information: The Foundation has received and reviewed report(s) from this organization on the following date(s)

June 17, 2014

The Mertz Gilmore Foundation reviewed the grant report(s) on the date(s) mentioned above, but did not undertake any verification of the grantee's report(s) as there has been no reason to doubt the accuracy and reliability of the report(s)

The Foundation made a grant to New York Foundation, a private non-operating foundation, following pre-grant inquiry and pursuant to a written agreement. The amount of grant funds expended by the grantee during this fiscal year is expected to be the entire amount of the grant To the best knowledge, information, and belief of the Foundation, none of the funds has been diverted from the purpose of the grant.

Mertz Gilmore Foundation
EIN #13-2872722
Attachment to Year Ending 2013 Form 990-PF

EXPENDITURE RESPONSIBILITY REPORT
PURSUANT TO IRC SECTION 4945(h)(3)
and REGULATION 53.4945-5(d)

During 2013, the Mertz Gilmore Foundation made the following grant

Amount Paid in Current Tax Year: $1,000 Date Paid: 10/17/2013

Organization Name	Turimiquire Foundation, Inc.
Organization Address	16 Crescent Street Cambridge, MA 02138

Purpose of Grant: General support

The Turimiquire Foundation supports human service, environmental, and animal welfare projects in the Turimiquire mountain range of northeastern Venezuela It is active in the areas of health and women, education and children, sustainable agriculture, microenterprise and aid to families, solar energy, animal welfare, and cultural preservation.

Reporting Information The Foundation has received and reviewed report(s) from this organization on the following date(s)

October 1, 2014

The Mertz Gilmore Foundation reviewed the grant report(s) on the date(s) mentioned above, but did not undertake any verification of the grantee's report(s) as there has been no reason to doubt the accuracy and reliability of the report(s).

The Foundation made a grant to Turimiquire Foundation, Inc , a private operating foundation, following pre-grant inquiry and pursuant to a written agreement The amount of grant funds expended by the grantee during this fiscal year is expected to be the entire amount of the grant To the best knowledge, information, and belief of the Foundation, none of the funds has been diverted from the purpose of the grant.

Mertz Gilmore Foundation
Year Ended December 31, 2013
EIN# 13-2872722
Form 990-PF

Part XI - line 2b - Income tax for 2013

2012 New York Form CT - 13, Unrelated business income tax	250

STATEMENT F

STATEMENT 6 (1/3)

REPORT RUN: 01/10/2014 AT 02:42 AM

THE MERTZ GILMORE FOUNDATION - EDGEWOOD MANAGEMENT LLC
ACCOUNT # 440581570
BASE CURRENCY: U.S. DOLLAR

FIDUCIARY TRUST COMPANY INTERNATIONAL
INVESTOR SERVICES CURRENCY APPRAISAL

TRADE DATE BASIS
AS OF DEC 31 2013

Units	Security Description	Unit Cost	Cost	Price	Market Value	Estimated Annual Income	Yield	Accrued Income
U.S. Dollar								
4,051.0000	ALLERGAN INC	76.7145	310,770.36	111.0800	449,985.08	810	0.18	0.00
1,291.0000	AMAZON.COM INC	131.2734	169,473.97	398.7900	514,837.89		N/A	0.00
6,734.0000	AMERICAN TOWER REIT INC	44.8081	301,737.65	79.8200	537,507.88	7,811	1.45	0.00

(2/3)

5T. G

THE MERTZ GILMORE FOUNDATION - EDGEWOOD MANAGEMENT LLC
ACCOUNT # 440581570
BASE CURRENCY: U.S. DOLLAR

FIDUCIARY TRUST COMPANY INTERNATIONAL
INVESTOR SERVICES CURRENCY APPRAISAL

TRADE DATE BASIS
AS OF DEC 31 2013

Units	Security Description	Unit Cost	Cost	Price	Market Value	Estimated Annual Income	Yield	Accrued Income
U.S. Dollar			Cont					
776.0000	APPLE INC	361.2870	280,358.71	561.1100	435,421.36	9,467	2.17	0.00
4,839.0000	CELGENE CORP	45.2994	219,203.78	168 9600	817,597.44		N/A	0.00
689.0000	CHIPOTLE MEXICAN GRILL CL A	258.3212	177,983.33	532 7800	367,085.42		N/A	0.00
7,098.0000	CME GROUP INC	58.0308	411,902.85	78.4600	556,909.08	12,776	2 29	18,454.80
5,820.0000	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	45.0754	262,339.09	100.9800	587,703.60		N/A	0.00
3,036.0000	ECOLAB INC	56.2874	170,888.47	104.2700	316,563.72	3,340	1.05	834.90
1,558.0000	EQUINIX INC	185.0294	288,275.81	177.4500	276,467.10		N/A	0.00
6,079.0000	FMC TECHNOLOGIES INC	51.5021	313,081.28	52.2100	317,384.59		N/A	0.00
11,114.0000	GILEAD SCIENCES INC	44.2788	492,114.70	75.1500	835,217.10		N/A	0.00
627.0000	GOOGLE INC	543.1172	340,534.46	1120.7100	702,685.17		N/A	0.00
3,863.0000	IHS INC CLASS A	98.2278	379,454.01	119.7000	462,401.10		N/A	0.00
4,741.0000	ILLUMINA INC	41.7305	197,844.13	110.6200	524,449.42		N/A	0.00
1,345.0000	INTUITIVE SURGICAL INC	393.6795	529,498.97	384.0800	516,587.60		N/A	0.00

(313)

ST-6

THE MERTZ GILMORE FOUNDATION - EDGEWOOD MANAGEMENT LLC
ACCOUNT # 440581570
BASE CURRENCY: U.S. DOLLAR

FIDUCIARY TRUST COMPANY INTERNATIONAL
INVESTOR SERVICES CURRENCY APPRAISAL

TRADE DATE BASIS
AS OF DEC 31 2013

Units	Security Description	Unit Cost	Cost	Price	Market Value	Estimated Annual Income	Yield	Accrued Income
U S. Dollar			Cont					
1,223.0000	PRECISION CASTPARTS CORP	235.2075	287,658 73	269.3000	329,353 90	147	0.04	0.00
6,481.0000	PRICE T ROWE GROUP INC	50.9674	330,319.93	83.7700	542,913.37	9,851	1 81	0.00
3,387.0000	QUALCOMM INC	41 9409	142,053.83	74 2500	251,484.75	4,742	1.89	0.00
2,389.0000	STRATASYS LTD	107.0203	255,671.52	134 7000	321,798.30		N/A	0 00
6,027.0000	VIACOM INC CL B	47 3262	285,234.97	87.3400	526,398.18	7,232	1 37	0.00
2,927.0000	VISA INC CL A	67 9948	199,020 69	222 6800	651,784.36	4,683	0.72	0.00
Total U S. Dollar			6,345,421.24		10,842,536.41	60,860	0 56	19,289.70
Total EQUITIES			6,345,421 24		10,842,536.41	60,860	0.56	19,289.70

ST. H 1/3

Mertz Gilmore Foundation 990 PF #13-2872722 12/31/2013

Grants Payable

(Future grant commitments to be paid after December 31, 2013)

Grantee Name	Address	Grant / Paid / Balance	Last Payment Date/ Next Payment Date	Description	Project Title
Center for Climate and Security	1025 Connecticut Avenue NW, Suite 1000 Washington, DC 20036	Grant: $200,000 Paid: $100,000 Bal.: $100,000 Term: 24 Months	12/5/2013 12/4/2014	MULTI, 2-year grant $100,000 [13] [14]	General support
Center for Performance Research	361 Manhattan Avenue, Unit 1 Brooklyn, NY 11211	Grant. $45,000 Paid· $25,000 Bal . $20,000 Term: 24 Months	12/5/2013 12/4/2014	MULTI; 2-year grant $25,000 [13] / $20,000 [14]	General support
Center for Traditional Music and Dance	32 Broadway, Suite 1314 New York, NY 10004	Grant: $50,000 Paid $50,000 Bal.. $0 Term: 12 Months	4/28/2014	MULTI; 2-year grant $25,000 [13] [14]	General support
Chez Bushwick, Inc.	304 Boerum Street, #23 Brooklyn, NY 11206	Grant: $40,000 Paid. $20,000 Bal.: $20,000 Term: 24 Months	12/5/2013 12/4/2014	MULTI, 2-year grant $20,000 [13] [14]	General support
Chinese Staff and Workers' Association	P.O. Box 130401 New York, NY 10013-0995	Grant: $100,000 Paid: $50,000 Bal.: $50,000 Term· 12 Months	12/5/2013 12/4/2014	MULTI, 2-year grant $50,000 [13] [14]	General support
Dance Theatre Etcetera	480 Van Brunt Street, Suite 203 Brooklyn, NY 11231	Grant: $20,000 Paid: $10,000 Bal.. $10,000 Term: 24 Months	12/5/2013 12/4/2014	MULTI; 2-year grant $10,000 [13] [14]	General support
Dance/NYC	218 East 18th Street New York, NY 10003	Grant: $100,000 Paid. $100,000 Bal.: $0 Term: 12 Months	4/28/2014	MULTI; 2-year grant, $50,000 [13] [14]	General support
Energy Action Coalition	205 New York Avenue NW Washington, DC 20001	Grant: $200,000 Paid· $100,000 Bal.: $100,000 Term· 24 Months	12/5/2013 12/4/2014	MULTI; 2-year grant $100,000 [13] [14]	General support

ST. H 2/3

Grantee Name	Address	Grant / Paid / Balance	Last Payment Date/ Next Payment Date	Description	Project Title
Fifth Avenue Committee, Inc.	621 DeGraw Street Brooklyn, NY 11217	Grant: $140,000 Paid: $70,000 Bal.: $70,000 Term: 12 Months	12/5/2013 12/4/2014	MULTI, 2-year grant $70,000 [13] [14]	South Brooklyn Accountable Development Initiative
Gina Gibney Dance	890 Broadway, 5th Floor New York, NY 10003	Grant: $100,000 Paid: $100,000 Bal.: $0 Term: 12 Months	4/28/2014	MULTI, 2-year grant $50,000 [13] [14]	Individual Donor Campaign
Jamaica Center for Arts and Learning	161-04 Jamaica Avenue Jamaica, NY 11432	Grant: $40,000 Paid: $40,000 Bal.: $0 Term: 11 Months	2/20/2014	MULTI; 2-year grant $20,000 [12] [13]	MAKING MOVES
Make the Road New York	301 Grove Street Brooklyn, NY 11237	Grant: $150,000 Paid: $150,000 Bal: $0 Term: 24 Months	4/28/2014	MULTI; 2-year grant $75,000 [13] [14]	General support
MinKwon Center for Community Action	136-19 41st Avenue, 3rd Floor Flushing, NY 11355	Grant: $80,000 Paid: $80,000 Bal.: $0 Term: 24 Months	4/28/2014	MULTI, 2-year grant $40,000 [13] [14]	General support
Picture the Homeless	2427 Morris Avenue, 2nd Floor Bronx, NY 10468	Grant: $100,000 Paid. $50,000 Bal.: $50,000 Term: 12 Months	12/5/2013 12/4/2014	MULTI; 2-year grant $50,000 [13] [14]	General support
Pratt Center for Community Development	200 Willoughby Avenue Brooklyn, NY 11205	Grant: $80,000 Paid: $80,000 Bal.. $0 Term: 12 Months	4/28/2014	MULTI, 2-year grant, (Climate Change) $40,000 [13] [14] Part of a cross-program general support grant $80,000 = Climate Change Solutions $70,000 = NYC Communities	General support

5T. H 31

Grantee Name	Address	Grant / Paid / Balance	Last Payment Date/ Next Payment Date	Description	Project Title
Pratt Center for Community Development	200 Willoughby Avenue Brooklyn, NY 11205	Grant: $70,000 Paid. $70,000 Bal . $0 Term. 12 Months	4/28/2014	MULTI; 2-year grant, (NYC Communities) $35,000 [13] [14] Part of a cross-program general support grant. $80,000 = Climate Change Solutions $70,000 = NYC Communities	General support
Protect Our Winters	1157 Embury Street Pacific Palisades, CA 90272	Grant. $200,000 Paid· $100,000 Bal.· $100,000 Term: 12 Months	12/5/2013 12/4/2014	MULTI, 2-year grant $100,000 [13] [14]	General support
Proteus Fund	15 Research Drive, Suite B Amherst, MA 01002	Grant: $250,000 Paid $250,000 Bal.. $0 Term· 12 Months	1/29/2014	MULTI; 2-year grant $150,000 [13] / $100,000 [14]	Piper Fund. Fair Elections for New York Campaign
Queens Theatre in the Park	14 United Nations Avenue South Flushing Meadows Corona Park Queens, NY 11386	Grant: $30,000 Paid: $15,000 Bal.: $15,000 Term· 24 Months	12/5/2013 12/4/2014	MULTI, 2-year grant $15,000 [13] [14]	DANCE SERIES
Topaz Arts, Inc.	P.O. Box 770150 Woodside, NY 11377-0150	Grant: $20,000 Paid. $10,000 Bal. $10,000 Term· 24 Months	12/5/2013 12/4/2014	MULTI, 2-year grant $10,000 [13] [14]	General support
Valerie Green Dance Entropy, Inc.	37-24 24th Street, #301 Long Island City, NY 11101	Grant: $20,000 Paid: $10,000 Bal.: $10,000 Term: 24 Months	12/5/2013 12/4/2014	MULTI; 2-year grant $10,000 [13] [14]	GREEN SPACE
Grand Totals (21 items)		**$990,000**			

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions MERTZ GILMORE FOUNDATION	Employer identification number (EIN) or 13-2872722
	Number, street, and room or suite no If a P O box, see instructions 218 EAST 18TH STREET	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions. NEW YORK, NY 10003-3694	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ MARION CAPPIELLO 218 EAST 18TH STREET - NEW YORK, NY 10003-3694

Telephone No ▶ (212) 475-1137 Fax No ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2014.

5 For calendar year 2013 , or other tax year beginning ______, and ending ______

6 If the tax year entered in line 5 is for less than 12 months, check reason. [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS NEEDED TO COMPILE THE INFORMATION NECESSARY TO COMPLETE THE RETURN.

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$ 202,007.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 202,007.
c	**Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	8c	$ 0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ Date ▶

Form **8868**
(Rev. January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**
▶ **Information about Form 8868 and its instructions is at** ***www.irs.gov/form8868***

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing ***(e-file)*** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions MERTZ GILMORE FOUNDATION	**Enter filer's identifying number** Employer identification number (EIN) or 13-2872722
	Number, street, and room or suite no. If a P O box, see instructions. 218 EAST 18TH STREET	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions NEW YORK, NY 10003-3694	

Enter the Return code for the return that this application is for (file a separate application for each return) | 0 | 4 |

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ MARION CAPPIELLO
218 EAST 18TH STREET - NEW YORK, NY 10003-3694
Telephone No. ▶ (212) 475-1137 Fax No ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2014 , to file the exempt organization return for the organization named above The extension is for the organization's return for
▶ [X] calendar year 2013 or
▶ [ ] tax year beginning ______________ , and ending ______________

2 If the tax year entered in line 1 is for less than 12 months, check reason [ ] Initial return [ ] Final return
[ ] Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 202,007.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 117,007.
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 85,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions