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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation RESEARCH CORPORATION DBA Research Corporation for Science Advancement		A Employer identification number 13-1963407
Number and street (or P.O. box number if mail is not delivered to street address) 4703 E. Camp Lowell	Room/suite 201	B Telephone number 520-571-1111
City or town, state or province, country, and ZIP or foreign postal code Tucson, AZ 85712		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 160,741,837. (Part I, column (d) must be on cash basis)	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		217,327.			
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		3,052,494.	3,052,494.	3,052,494.	Statement 1
5a Gross rents		6,594.	6,594.	6,594.	Statement 2
b Net rental income or (loss)	6,594.				
6a Net gain or (loss) from sale of assets not on line 10		4,931,401.			
b Gross sales price for all assets on line 6a	4,931,401.				
7 Capital gain net income (from Part IV, line 2)			4,931,401.		
8 Net short-term capital gain				626,542.	
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		6,623,577.	<464,802.>	6,623,577.	Statement 3
12 Total. Add lines 1 through 11		14,831,393.	7,525,687.	10,309,207.	
13 Compensation of officers, directors, trustees, etc		592,196.	51,508.	0.	540,688.
14 Other employee salaries and wages		908,079.	0.	0.	908,079.
15 Pension plans, employee benefits		352,224.	8,726.	0.	343,498.
16a Legal fees Stmt 4		41,493.	0.	0.	41,493.
b Accounting fees Stmt 5		51,250.	0.	0.	51,250.
c Other professional fees Stmt 6		253,577.	25,625.	0.	227,952.
17 Interest					
18 Taxes Stmt 7		106,245.	0.	0.	94,246.
19 Depreciation and depletion		31,312.	0.	0.	
20 Occupancy		242,714.	8,966.	0.	233,748.
21 Travel, conferences, and meetings		322,482.	0.	0.	322,482.
22 Printing and publications		428.	0.	0.	428.
23 Other expenses Stmt 8		767,565.	163,510.	0.	2,250,578.
24 Total operating and administrative expenses. Add lines 13 through 23		3,669,565.	258,335.	0.	5,014,442.
25 Contributions, gifts, grants paid		2,605,054.			3,041,798.
26 Total expenses and disbursements. Add lines 24 and 25		6,274,619.	258,335.	0.	8,056,240.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		8,556,774.			
b Net investment income (if negative, enter -0-)			7,267,352.		
c Adjusted net income (if negative, enter -0-)				10,309,207.	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year		End of year
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	1,584,904.	1,264,220.	1,264,220.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ 1,664,500.			
	Less: allowance for doubtful accounts ▶ 0.	1,841,045.	1,664,500.	1,664,500.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 10	66,290,504.	79,803,791.	79,803,791.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶			
Liabilities	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other Stmt 11	76,571,782.	77,353,618.	77,353,618.
	14 Land, buildings, and equipment: basis ▶ 818,127.			
	Less: accumulated depreciation ▶ 771,559.	53,260.	46,568.	46,568.
	15 Other assets (describe ▶ Statement 12)	383,001.	609,140.	609,140.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	146,724,496.	160,741,837.	160,741,837.
	17 Accounts payable and accrued expenses	615,172.	115,607.	
	18 Grants payable	1,309,249.	924,875.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ Statement 13)	3,475,387.	2,328,429.	
	23 Total liabilities (add lines 17 through 22)	5,399,808.	3,368,911.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	140,741,645.	156,463,444.	
	25 Temporarily restricted	37,043.	147,482.	
	26 Permanently restricted	546,000.	762,000.	
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	141,324,688.	157,372,926.	
	31 Total liabilities and net assets/fund balances	146,724,496.	160,741,837.	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	141,324,688.
2	Enter amount from Part I, line 27a	2	8,556,774.
3	Other increases not included in line 2 (itemize) ▶ NET UNREALIZED GAIN ON INVESTMENTS	3	8,931,272.
4	Add lines 1, 2, and 3	4	158,812,734.
5	Decreases not included in line 2 (itemize) ▶ See Statement 9	5	1,439,808.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	157,372,926.

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Part IV Schedule - Statement 22	P	Various	Various
b See Part IV Schedule - Statement 22	P	Various	Various
c See Part IV Schedule - Statement 22	P	Various	Various
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 626,542.			626,542.
b 4,260,568.			4,260,568.
c 44,291.			44,291.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			626,542.
b			4,260,568.
c			44,291.
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	4,931,401.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	626,542.

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

N/A

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes
☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012			
2011			
2010			
2009			
2008			

2 Total of line 1, column (d)	2
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4
5 Multiply line 4 by line 3	5
6 Enter 1% of net investment income (1% of Part I, line 27b)	6
7 Add lines 5 and 6	7
8 Enter qualifying distributions from Part XII, line 4	8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☒ and enter "N/A" on line 1. Date of ruling or determination letter: <u>06/25/87</u> (attach copy of letter if necessary-see instructions)		1	N/A
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	0.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ <u>0.</u> (2) On foundation managers. ☐ \$ <u>0.</u>		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ <u>0.</u>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ <u>AL, AZ, CA, CT, DC, MD, MA, NY, PA, TX</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	X	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.RESCORP.ORG</u>	13	X	
14	The books are in care of ► <u>DANNY GASCH, CFO</u> Telephone no. ► <u>520-571-1111</u> Located at ► <u>4703 E. CAMP LOWELL, SUITE 201, TUCSON, AZ</u> ZIP+4 ► <u>85712</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ► _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 14		592,196.	65,155.	48,370.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SILVIA RONCO - 4703 E. CAMP LOWELL, #201, TUCSON, AZ 85712	PROGRAM DIRECTOR 40.00	127,275.	19,091.	12,727.
RICHARD WIENER - 4703 E. CAMP LOWELL, #201, TUCSON, AZ 85712	PROGRAM DIRECTOR 40.00	127,275.	15,272.	12,727.
GAIL CRAIG-JAGER - 4703 E. CAMP LOWELL, #201, TUCSON, AZ 85712	FINANCIAL ADMINISTRATOR 40.00	94,350.	3,145.	7,076.
ELAINE NATHANSON - 4703 E. CAMP LOWELL, #201, TUCSON, AZ 85712	COMMUNICATIONS DIRECTOR 40.00	85,846.	8,585.	8,585.
ALICIA SANCHEZ - 4703 E. CAMP LOWELL, #201, TUCSON, AZ 85712	DIRECTOR OF STRATEGIC PARTNERSHIPS 40.00	70,225.	7,022.	7,022.

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ANGELO, GORDON & CO. 245 PARK AVENUE, NEW YORK, NY 10167	INVESTMENT MANAGEMENT	1,996,680.
ISAACSON MILLER - 1000 SANSOME STREET, SUITE 300, SAN FRANCISCO, CA 94111	EXECUTIVE RECRUITING	159,982.
CAPITAL GUARDIAN TRUST COMPANY P.O. BOX 35353, SANTA ANA, CA 92799-5353	INVESTMENT MANAGEMENT	159,510.
BERNUTH & WILLIAMSON 1720 N STREET NW, WASHINGTON, DC 20036	FUNDRAISING/MEDIA CONSULTING	89,250.
BRYAN, PENDLETON, SWATS & MCALLISTER LLC - 11807 BRINLEY AVENUE, SUITE 101, LOUISVILLE,	BENEFITS CONSULTING	64,687.
Total number of others receiving over \$50,000 for professional services		2

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 See Statement 18	8,056,240.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	98,179,513.
b	Average of monthly cash balances	1b	2,596,941.
c	Fair market value of all other assets	1c	59,965,383.
d	Total (add lines 1a, b, and c)	1d	160,741,837.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	160,741,837.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,411,128.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	158,330,709.
6	Minimum investment return. Enter 5% of line 5	6	7,916,535.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2013 from Part VI, line 5	2a	
b	Income tax for 2013 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	8,056,240.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,056,240.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	8,056,240.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years:				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling **06/25/87**
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☒ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	7,916,535.	7,226,181.	3,654,348.	6,799,015.	25,596,079.
b 85% of line 2a	6,729,055.	6,142,254.	3,106,196.	5,779,163.	21,756,667.
c Qualifying distributions from Part XII, line 4 for each year listed	8,056,240.	8,554,535.	9,554,955.	8,047,464.	34,213,194.
d Amounts included in line 2c not used directly for active conduct of exempt activities	0.	0.	0.	0.	0.
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	8,056,240.	8,554,535.	9,554,955.	8,047,464.	34,213,194.
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets	160,741,837.	146,724,496.	121,161,757.	139,087,454.	567,715,544.
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0.
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	5,277,690.	4,817,454.	4,207,978.	4,532,677.	18,835,799.
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0.
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0.
(3) Largest amount of support from an exempt organization					0.
(4) Gross investment income					0.

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Daniel Gasch, (520)571-1111
4703 E. Camp Lowell, Suite 201, Tucson, AZ 85712

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

None.

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Individuals performing scientific research at academic institutions of all levels.

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
See Attached Statements				3,041,798.
Total			▶ 3a	3,041,798.
b Approved for future payment				
See Attached Statements				964,875.
Total			▶ 3b	964,875.

Part XVII

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here  

Signature of officer or trustee Date 10/10/14 Title **CFO**

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature <i>SCA</i>	Date <i>10/9/14</i>	Check ☐ if self-employed	PTIN P00596839
	Firm's name ▶ Keegan, Linscott & Kenon, P.C.			Firm's EIN ▶ 86-0750225	
	Firm's address ▶ 33 N. Stone Avenue, Suite 1100 Tucson, AZ 85701			Phone no. 520-884-0176	

Form 990-PF	Dividends and Interest from Securities				Statement	1
Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income	
Interest & Dividend Income	3,051,840.	0.	3,051,840.	3,051,840.	3,051,840.	
MMA Interest	654.	0.	654.	654.	654.	
To Part I, line 4	3,052,494.	0.	3,052,494.	3,052,494.	3,052,494.	

Form 990-PF	Rental Income		Statement	2
Kind and Location of Property	Activity Number	Gross Rental Income		
Real estate - AG Realty Fund IV, L.P.	2	<1,972.>		
Real estate - AG Realty Fund V, L.P.	3	<1,678.>		
Real estate - AG Realty Fund VI, L.P.	4	6,662.		
Real estate - AG Super Fund, L.P.	5	3,582.		
Total to Form 990-PF, Part I, line 5a		6,594.		

Form 990-PF	Other Income		Statement	3
Description	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income	
Other Income (Loss) From K-1s	<464,802.>	<464,802.>	<464,802.>	
Other Income from Investments - PFIC	7,088,379.	0.	7,088,379.	
Total to Form 990-PF, Part I, line 11	6,623,577.	<464,802.>	6,623,577.	

Form 990-PF	Legal Fees			Statement	4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
LEGAL FEES	41,493.	0.	0.	41,493.	
To Fm 990-PF, Pg 1, ln 16a	41,493.	0.	0.	41,493.	

Form 990-PF	Accounting Fees			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
AUDIT AND TAX FEES	51,250.	0.	0.	51,250.	
To Form 990-PF, Pg 1, ln 16b	51,250.	0.	0.	51,250.	

Form 990-PF	Other Professional Fees			Statement	6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
CONSULTING	253,577.	25,625.	0.	227,952.	
To Form 990-PF, Pg 1, ln 16c	253,577.	25,625.	0.	227,952.	

Form 990-PF	Taxes			Statement	7
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
FICA AND STATE UNEMPLOYMENT	101,076.	0.	0.	94,246.	
UBI TAXES	4,885.	0.	0.	0.	
OTHER TAXES	284.	0.	0.	0.	
To Form 990-PF, Pg 1, ln 18	106,245.	0.	0.	94,246.	

Form 990-PF	Other Expenses			Statement 8
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ADVERTISING	22,609.	0.	0.	22,609.
OUTSIDE SERVICES	9,861.	0.	0.	9,861.
MISCELLANEOUS	57,632.	0.	0.	57,632.
OFFICE SUPPLIES	26,924.	0.	0.	26,924.
COMPUTER-RELATED EXPENSES	150,213.	0.	0.	150,213.
INSURANCE	26,362.	0.	0.	26,362.
INVESTMENT EXPENSES	163,510.	163,510.	0.	0.
POSTAGE AND SHIPPING	8,491.	0.	0.	8,491.
PROGRAM RELATED EXPENSES	83,960.	0.	0.	83,960.
LOCAL BUSINESS MEETINGS	1,394.	0.	0.	1,394.
PRESIDENTIAL TRANSITION	193,977.	0.	0.	193,977.
TELEPHONE	22,632.	0.	0.	22,632.
ACCRUAL-TO-CASH ADJUSTMENT	0.	0.	0.	1,646,523.
To Form 990-PF, Pg 1, ln 23	767,565.	163,510.	0.	2,250,578.

Form 990-PF	Other Decreases in Net Assets or Fund Balances	Statement 9
Description	Amount	
BOOK/TAX DIFFERENCES FROM SCHEDULE K-1'S	1,439,808.	
Total to Form 990-PF, Part III, line 5	1,439,808.	

Form 990-PF	Corporate Stock		Statement 10
Description	Book Value	Fair Market Value	
CGT INVESTMENTS	79,803,791.	79,803,791.	
Total to Form 990-PF, Part II, line 10b	79,803,791.	79,803,791.	

Form 990-PF	Other Investments	Statement	11
Description	Valuation Method	Book Value	Fair Market Value
PORTFOLIO INVESTMENTS	FMV	52,093,218.	52,093,218.
PIMCO INVESTMENTS	FMV	25,260,400.	25,260,400.
Total to Form 990-PF, Part II, line 13		77,353,618.	77,353,618.

Form 990-PF	Other Assets	Statement	12
Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value
DIVIDENDS AND INTEREST REC.	153,968.	375,475.	375,475.
OTHER ASSETS	229,033.	233,665.	233,665.
To Form 990-PF, Part II, line 15	383,001.	609,140.	609,140.

Form 990-PF	Other Liabilities	Statement	13
Description	BOY Amount	EOY Amount	
POSTRETIREMENT PENSION LIABILITY	1,012,582.	944,570.	
PENSION LIABILITY	1,078,946.	0.	
LBT LIABILITY	1,383,859.	1,383,859.	
Total to Form 990-PF, Part II, line 22	3,475,387.	2,328,429.	

Form 990-PF

Part VIII - List of Officers, Directors
Trustees and Foundation Managers

Statement 14

Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Expense Contrib Account	
DR. JOHN R. PLADZIEWICZ 4703 E. CAMP LOWELL, SUITE 201 TUCSON, AZ 85712	PRESIDENT AND BOARD MEMBER 40.00	262,000.	22,500.	22,500.
MR. DANIEL GASCH 4703 E. CAMP LOWELL, SUITE 201 TUCSON, AZ 85712	CHIEF FINANCIAL OFFICER 40.00	192,700.	28,905.	19,270.
DR. JAMES M. GENTILE 4703 E. CAMP LOWELL, SUITE 201 TUCSON, AZ 85712	PAST PRESIDENT 40.00	137,496.	13,750.	6,600.
MS. SUZANNE D. JAFFE 4703 E. CAMP LOWELL, SUITE 201 TUCSON, AZ 85712	BOARD MEMBER 3.00	0.	0.	0.
DR. GAYLE P.W. JACKSON 4703 E. CAMP LOWELL, SUITE 201 TUCSON, AZ 85712	BOARD MEMBER 4.00	0.	0.	0.
MS. PATRICIA C. BARRON 4703 E. CAMP LOWELL, SUITE 201 TUCSON, AZ 85712	BOARD MEMBER 2.00	0.	0.	0.
MR. SCOTT CLEMONS 4703 E. CAMP LOWELL, SUITE 201 TUCSON, AZ 85712	BOARD MEMBER 2.00	0.	0.	0.
DR. PETER K. DORHOUT 4703 E. CAMP LOWELL, SUITE 201 TUCSON, AZ 85712	BOARD MEMBER 2.00	0.	0.	0.
MR. ROBERT HOLLAND, JR. 4703 E. CAMP LOWELL, SUITE 201 TUCSON, AZ 85712	BOARD MEMBER 2.00	0.	0.	0.
DR. ROBERT B. HALLOCK 4703 E. CAMP LOWELL, SUITE 201 TUCSON, AZ 85712	SECRETARY AND BOARD MEMBER 2.00	0.	0.	0.
MR. JONATHAN HOOK 4703 E. CAMP LOWELL, SUITE 201 TUCSON, AZ 85712	BOARD MEMBER 2.00	0.	0.	0.

DR. BRENT IVERSON 4703 E. CAMP LOWELL, SUITE 201 TUCSON, AZ 85712	BOARD MEMBER 2.00	0.	0.	0.
DR. ELIZABETH MCCORMICK 4703 E. CAMP LOWELL, SUITE 201 TUCSON, AZ 85712	BOARD MEMBER 2.00	0.	0.	0.
DR. PATRICK S. OSMER 4703 E. CAMP LOWELL, SUITE 201 TUCSON, AZ 85712	CHAIRMAN OF THE BOARD 2.00	0.	0.	0.
MR. DAVE WENNER 4703 E. CAMP LOWELL, SUITE 201 TUCSON, AZ 85712	BOARD MEMBER 2.00	0.	0.	0.

Totals included on 990-PF, Page 6, Part VIII

592,196.

65,155.

48,370.

Research Corporation
EIN: 13-1963407

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Type of Property Sold	How Acquired	Gain or Loss	Character of Gain (Loss) and Holding Period
CG Global Equity Fund	K-1 FLOW THROUGH	\$ 265,482 00	Short-Term Capital Gain
CG Global Equity Fund	K-1 FLOW THROUGH	3,364,838 00	Long-Term Capital Gain
AG Core Plus Realty Fund, L P	K-1 FLOW THROUGH	-	Short-Term Capital Gain
AG Core Plus Realty Fund, L P	K-1 FLOW THROUGH	-	Long-Term Capital Gain
AG Capital Recovery Partners V, L P	K-1 FLOW THROUGH	-	Short-Term Capital Gain
AG Capital Recovery Partners V, L P	K-1 FLOW THROUGH	-	Long-Term Capital Gain
AG Capital Recovery Partners VI, L P	K-1 FLOW THROUGH	11,331 00	Short-Term Capital Gain
AG Capital Recovery Partners VI, L P	K-1 FLOW THROUGH	67,982 00	Long-Term Capital Gain
AG Realty Fund IV, L P	K-1 FLOW THROUGH	(1,153 00)	Long-Term Capital Gain
AG Realty Fund IV, L P	K-1 FLOW THROUGH	1,531 00	Long-Term Capital Gain - 1231 gain
AG Realty Fund V, L P	K-1 FLOW THROUGH	(46,111 00)	Long-Term Capital Gain
AG Realty Fund V, L P	K-1 FLOW THROUGH	727 00	Long-Term Capital Gain - 1231 gain
AG Realty Fund VI, L P	K-1 FLOW THROUGH	(19,825 00)	Short-Term Capital Gain
AG Realty Fund VI, L P	K-1 FLOW THROUGH	(1,152 00)	Long-Term Capital Gain
AG Realty Fund VI, L P	K-1 FLOW THROUGH	(46,443 00)	Long-Term Capital Gain - 1231 gain
AG Super Fund, LP	K-1 FLOW THROUGH	355,925 00	Short-Term Capital Gain
AG Super Fund, LP	K-1 FLOW THROUGH	297,699 00	Long-Term Capital Gain
AG Super Fund, LP	K-1 FLOW THROUGH	559 00	Long-Term Capital Gain - 1231 gain
Emerging Markets Growth Fund	RC Reconciliation	-	Short-Term Capital Gain
Emerging Markets Growth Fund	RC Reconciliation	473,743 00	Long-Term Capital Gain
PIMCO Global Advantage Strat Bond Ins December Statement		13,629 00	Short-Term Capital Gain
PIMCO Global Advantage Strat Bond Ins December Statement		192,639 00	Long-Term Capital Gain

Capital Gain Net Income or (Net Capital Loss) \$ 4,931,401 00 **Form 990-PF, Part IV, Line 2**

Net short-term capital gain or (loss) as defined
in IRC Sec 1225(5) and (6) \$ 626,542.00 **Form 990-PF, Part IV, Line 3**

Total Gains/Losses	\$ 4,931,401 00
Less Short Term Gains	\$ (626,542 00)
Less UBTI	\$ (44,291 00)
Long Term Gains	\$ 4,260,568 00

RESEARCH CORPORATION
EIN: 13-1963407

FORM 990-PF, Part IX-A, Summary of Direct Charitable Activities
STATEMENT 23

	2013 NET PROGRAM AWARD PAID	2013 INDIRECT EXPENSES ALLOCATED	2013 TOTAL PROGRAM EXPENSE
AWARD PROGRAMS.			
DEPARTMENT DEVELOPMENT AWARDS	\$ -	\$ -	\$ -
SCIALOG	\$ 837,500.00	1,390,596.00	2,228,096.00
COTTRELL SCHOLAR'S AWARDS	\$ 970,000 00	1,610,600 00	2,580,600.00
PARTNER IN SCIENCE AWARDS	\$ 56,000.00	92,983.00	148,983.00
PRESIDENT'S DISCRETIONARY AWARDS	\$ 229,321 00	380,767.00	610,088.00
COTTRELL COLLEGE SCIENCE AWARDS	\$ 927,177.00	1,539,496.00	2,466,673.00
GENERAL AWARDS	\$ -	-	-
	<u>\$ 3,019,998.00</u>	<u>\$ 5,014,442.00</u>	<u>\$ 8,034,440 00</u>
OTHER PROGRAM:			
CHARITABLE CONTRIBUTIONS	21,800.00		21,800.00
TOTAL PROGRAM	<u><u>\$ 3,041,798.00</u></u>	<u><u>\$ 5,014,442.00</u></u>	<u><u>\$ 8,056,240.00</u></u>

Schedule of Appropriations and Payments, by Request ID

Fiscal Year 2013

12/31/2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
University of Utah						
201 Presidents Circle						
Salt Lake City, UT 84112						
<i>Nanoplasmonic focusing of light fields to amplify non-linear optical effects in composite photovoltaics</i>						
\$250,000.00						
4/1/2010 ID 19489		(\$125,000.00)	\$0.00	\$125,000.00	\$0.00	\$0.00
Indiana University at Bloomington						
107 S. Indiana Avenue						
Bloomington, IN 47405						
<i>Towards Converting CO2 to Fuel A Computer-Aided Experimental Discovery of Novel CO2 Reduction Catalysts</i>						
\$100,000.00						
4/1/2010 ID 19612		\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
Missouri State University						
901 S. National Avenue						
Springfield, MO 65897						
<i>Investigation of Major Groove Modifications of Nucleic Acids on DNA Polymerase Activity</i>						
\$22,303.00						
5/1/2011 ID 20203		\$12,697.00	\$0.00	(\$12,697.00)	\$0.00	\$0.00
Denison University						
100 W College Street						
Granville, OH 43023-1359						
<i>The Structural Foundation of the Force Response of Molecular Motors</i>						
\$35,000.00						
5/1/2011 ID 20257		\$15,609.00	\$0.00	\$0.00	\$15,609.00	\$0.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
Hobart and William Smith Colleges 300 Pulteney Street Geneva, NY 14456-3397 <i>Multicanonical simulations of protein aggregation the role of the potential energy surface in oligomer and fibril formation.</i> \$29,617.00 5/1/2011 ID 20265		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Willamette University 900 State Street Salem, OR 97301-3930 <i>Stress Steroids as Neuromodulators: Studying the Cellular Effects of Stress Steroids on Neurons.</i> \$35,000.00 5/1/2011 ID 20280		\$2,350.00	\$0.00	\$0.00	\$2,350.00	\$0.00
University of Denver 2199 S. University Blvd. Denver, CO 80208-0001 <i>Energy Pooling as a Novel Thermodynamic Mechanism for Organic Photovoltaics</i> \$100,000.00 4/1/2011 ID 20304		\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
University of Washington 301 Gerberding Hall Seattle, WA 98195 <i>Dopant-carrier Auger de-excitation as a new approach to multi-threshold solar energy conversion devices</i> \$125,000.00 4/1/2011 ID 20334		\$62,500.00	\$0.00	\$0.00	\$62,500.00	\$0.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
Purdue University 610 Purdue Mall West Lafayette, IN 47907 <i>Uranium Complexes Supported by Redox-Active Ligands for Small Molecule Activation</i> \$75,000.00 5/1/2012 ID 20790		\$2,500.00	\$0.00	\$0.00	\$2,500.00	\$0.00
Indiana University at Bloomington 107 S. Indiana Avenue Bloomington, IN 47405 <i>Chemoselective Enrichment Tools for Natural Products Discovery</i> \$75,000.00 5/1/2012 ID 20793		\$2,500.00	\$0.00	\$0.00	\$2,500.00	\$0.00
University of California, Los Angeles 607 Charles E. Young Drive E. Box 951569 Los Angeles, CA 90095 <i>Keeping Time with the Nucleus A Solid-State Optical Clock Based on a Nuclear Transition</i> \$75,000.00 5/1/2012 ID 20801		\$2,500.00	\$0.00	\$0.00	\$2,500.00	\$0.00
Indiana University at Bloomington 107 S. Indiana Avenue Bloomington, IN 47405 <i>New Synthetic Strategies to Multi-Metal Nanocrystals with Controlled Compositions and Structures</i> \$75,000.00 5/1/2012 ID 20803		\$2,500.00	\$0.00	\$0.00	\$2,500.00	\$0.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
Cornell University Ithaca, NY 14853 <i>Predictable Assembly of Ordered Heterojunctions Using Covalent Organic Frameworks</i> \$75,000.00 5/1/2012 ID 20809		\$2,500.00	\$0.00	\$0.00	\$2,500.00	\$0.00
Yale University 246 Church Street P.O. Box 208120 New Haven, CT 06520- <i>Computational Cosmology in Classrooms and in Research</i> \$75,000.00 5/1/2012 ID 20824		\$2,500.00	\$0.00	\$0.00	\$2,500.00	\$0.00
California Institute of Technology 1200 E. California Blvd. Pasadena, CA 91125 <i>The Development and Application of Heterocycle Forming Reactions in Natural Product Total Synthesis</i> \$75,000.00 5/1/2012 ID 20845		\$2,500.00	\$0.00	\$0.00	\$2,500.00	\$0.00
University of Denver 2199 S. University Blvd Denver, CO 80208-0001 <i>Designing biological toys genetic switches, and clocks</i> \$75,000.00 5/1/2012 ID. 20856		\$2,500.00	\$0.00	\$0.00	\$2,500.00	\$0.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
University of Toledo 2801 W. Bancroft Street Toledo, OH 43606 <i>After the Fall -- Why Galaxies Die, and How</i>		\$2,500.00	\$0.00	\$0.00	\$2,500.00	\$0.00
\$75,000.00 5/1/2012 ID 20859						
Yale University 246 Church Street P.O. Box 208120 New Haven, CT 06520- <i>Synthetic and Chemical Biological Studies of Lomaiviticins A and B</i>		\$2,500.00	\$0.00	\$0.00	\$2,500.00	\$0.00
\$75,000.00 5/1/2012 ID 20860						
University of Pittsburgh 4200 Fifth Ave Pittsburgh, PA 15213 <i>Molecular Piezoelectrics: Building Responsive Electromechanical Materials From the Bottom Up</i>		\$2,500.00	\$0.00	\$0.00	\$2,500.00	\$0.00
\$75,000.00 5/1/2012 ID 20863						
Allegheny College 520 N. Main Street Meadville, PA 16335 <i>Molecular Torsion Balances for Probing the Distance Dependency of CH-π Interactions</i>		\$17,000.00	\$0.00	\$0.00	\$17,000.00	\$0.00
\$35,000.00 5/1/2012 ID 20883						

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
St. Catherine University 2004 Randolph Avenue Saint Paul, MN 55105-1789 <i>Enzymatic and chemical synthesis of strained ring containing proteins and oligonucleotides for use in tetrazine cycloaddition</i> \$35,000.00 5/1/2012 ID 20888		\$20,400.00	\$0.00	\$0.00	\$20,400.00	\$0.00
Chapman University One University Drive Orange, CA 92866-1099 <i>The Protein Structure and Mobility control of Electron Transfer Reactions in Ru-modified proteins</i> \$35,000.00 5/1/2012 ID 20890		\$15,957.00	\$0.00	\$0.00	\$15,957.00	\$0.00
Kenyon College 200 N College Drive Gambier, OH 43022 <i>Defining the Role of Arabidopsis thaliana Methylmalonate Semialdehyde Dehydrogenase in Lipid Metabolism</i> \$35,000.00 5/1/2012 ID 20901		\$12,506.00	\$0.00	\$0.00	\$12,506.00	\$0.00
Seattle University 901 12th Avenue Box 222000 Seattle, WA 98122-4340 <i>The Synthesis and Reactivity of Novel Triple-layer Biometallic Complexes Model Systems for Organometallic Molecular Wires</i> \$35,000.00 5/1/2012 ID 20904		\$17,500.00	\$0.00	\$0.00	\$17,500.00	\$0.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
Central Michigan University 1200 S. Franklin Street Mount Pleasant, MI 48859-0001 <i>Chemical unzipping of doped multi-walled carbon nanotubes for energy storage applications</i> \$75,000.00 5/1/2012 ID 20906		\$28,535.00	\$0.00	\$0.00	\$28,535.00	\$0.00
California State University, Fullerton 800 N. State College Blvd. P O. Box 34080 Fullerton, CA 92834-6850 <i>Equilibrium dynamics and binding kinetics of intrinsically disordered cancer proteins by EPR spectroscopy</i> \$35,000.00 5/1/2012 ID 20907		\$17,840.00	\$0.00	\$0.00	\$17,840.00	\$0.00
Harvey Mudd College 301 Platt Blvd. Claremont, CA 91711 <i>Particle-scale dynamics of impurity defect expulsion in colloidal crystals</i> \$35,000.00 5/1/2012 ID 20910		\$25,000.00	\$0.00	\$0.00	\$25,000.00	\$0.00
Smith College 7 College Lane Northampton, MA 01063 <i>Selectivity in Complex Contexts Directing Chemical Reactions with DNA-Small Molecule Conjugates</i> \$35,000.00 5/1/2012 ID 20911		\$17,574.00	\$0.00	\$0.00	\$17,574.00	\$0.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
University of Richmond 28 Westhampton Way Richmond, VA 23173 <i>Rhenium(V)-Oxo Catalyzed Addition Reactions</i> \$35,000.00 5/1/2012 ID 20917		\$14,073.00	\$0.00	\$0.00	\$14,073.00	\$0.00
Northland College 1411 Ellis Avenue Ashland, WI 54806-3999 <i>Synthesis of High Molecular Weight Polyester and Polyamide Architectures Derived from Biorenewable Monomers</i> \$35,000.00 5/1/2012 ID 20919		\$15,609.00	\$0.00	\$0.00	\$15,609.00	\$0.00
Amherst College PO Box 5000 Amherst, MA 01002-5000 <i>Quantum Logic Spectroscopy of Charged Molecules</i> \$35,000.00 5/1/2012 ID 20929		\$10,074.00	\$0.00	\$0.00	\$10,074.00	\$0.00
Illinois State University Campus Box 8000 Normal, IL 61790-8000 <i>Construction and Modification of Stimuli-Responsive Hollow Polymer Nanoparticles for Targeted Drug-Delivery Vehicles</i> \$35,000.00 5/1/2012 ID 20930		\$10,390.00	\$0.00	\$0.00	\$10,390.00	\$0.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
Kennesaw State University 1000 Chastain Road Kennesaw, GA 30144-5591 <i>Radiation Induced Prebiotic Chemistry at Ice-Mineral Interfaces</i> \$35,000.00 5/1/2012 ID: 20932		\$12,658.00	\$0.00	\$0.00	\$12,658.00	\$0.00
California State University, Long Beach 1250 Bellflower Blvd. Long Beach, CA 90840 <i>r-mode Oscillations and Gravitational Wave Signatures of Hybrid Neutron Stars</i> \$35,000.00 5/1/2012 ID 20950		\$15,342.00	\$0.00	\$0.00	\$15,342.00	\$0.00
University of North Florida One UNF Drive Jacksonville, FL 32224 <i>A genetic-chemical approach for exploring biotic interactions as activators of natural product biosynthesis among marine bacteria</i> \$35,000.00 5/1/2012 ID 20955		\$17,500.00	\$0.00	\$0.00	\$17,500.00	\$0.00
Texas State University, San Marcos 601 University Drive San Marcos, TX 78666 <i>Regulation of the essential splicing helicase Brr2 by US snRNP proteins during spliceosome assembly</i> \$35,000.00 5/1/2012 ID 20957		\$11,875.00	\$0.00	\$0.00	\$11,875.00	\$0.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
Rhodes College 2000 North Parkway Memphis, TN 38112 <i>Feedback in Major Galaxy Mergers A Comprehensive Survey of Wind Structure and Power Sources</i> \$35,000.00 5/1/2012 ID 20962		\$15,609.00	\$0.00	\$0.00	\$15,609.00	\$0.00
Hobart and William Smith Colleges 300 Pulteney Street Geneva, NY 14456-3397 <i>The effects of macromolecular crowding on enzyme kinetics in the mitochondria</i> \$35,000.00 5/1/2012 ID 20963		\$11,949.00	\$0.00	\$0.00	\$11,949.00	\$0.00
Rochester Institute of Technology 116 Lomb Memorial Drive Rochester, NY 14623 <i>Optomechanical rotation sensors Theoretically identifying and circumventing the quantum limits to their sensitivity</i> \$35,000.00 5/1/2012 ID 20966		\$18,574.00	\$0.00	\$0.00	\$18,574.00	\$0.00
Fort Lewis College 1000 Rim Drive Durango, CO 81301 <i>From carbon nanotubes to nanotubes New approaches to the chemical synthesis, elaboration, and application of the [n]cycloparaphenylene family</i> \$35,000.00 5/1/2012 ID 20967		\$17,500.00	\$0.00	\$0.00	\$17,500.00	\$0.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
Wilkes University 84 W South Street Wilkes-Barre, PA 18766-0001 <i>Modeling RNA Tertiary Structure by Merging SHAPE Chemistry with Comparative Sequence Alignment</i> \$35,000.00 5/1/2012 ID 20974		\$15,600.00	\$0.00	\$0.00	\$15,600.00	\$0.00
Hamilton College 198 College Hill Road Clinton, NY 13323-1218 <i>Novel computational techniques for investigating gene interaction networks in Ichthyophthirius multifiliis and its endosymbiotic bacteria</i> \$100,000.00 5/1/2012 ID 20976		\$44,025.00	\$0.00	\$0.00	\$44,025.00	\$0.00
The College of New Jersey 2000 Pennington Road Ewing, NJ 08628-0178 <i>New Ni(II) and Pd(II) Complexes Containing Hemilabile Pendant Arene Groups to Catalyze the Polymerization of Olefins and Polar Monomers</i> \$35,000.00 5/1/2012 ID 20989		\$17,500.00	\$0.00	\$0.00	\$17,500.00	\$0.00
John Carroll University One John Carroll Blvd. University Heights, OH 44118 <i>Modeling the light propagation in brain tissues using Monte Carlo simulation with a dynamic and heterogeneous tissue model</i> \$35,000.00 5/1/2012 ID 21002		\$11,000.00	\$0.00	\$0.00	\$11,000.00	\$0.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
College of Wooster 1189 Beall Ave Wooster, OH 44691 <i>Microbial Degradation of Pharmaceuticals and Personal Care Products During Wastewater Treatment</i> \$100,000.00 5/1/2012 ID 21004		\$38,000 00	\$0 00	\$0.00	\$38,000 00	\$0 00
Lafayette College Quad Drive Easton, PA 18042 <i>Investigating potential amyloid-membrane interactions The role of an auxilin-type molecular chaperone in yeast prion propagation</i> \$35,000.00 5/1/2012 ID 21010		\$18,750 00	\$0 00	\$0 00	\$18,750.00	\$0.00
University of Michigan, Dearborn 4901 Evergreen Road Dearborn, MI 48128-1491 <i>Algal toxins as phosphatase inhibitors Identification of new targets and structure-activity characterization</i> \$35,000.00 5/1/2012 ID 21014		\$17,500 00	\$0.00	\$0.00	\$0.00	\$17,500 00
Xavier University of Louisiana One Drexel Drive New Orleans, LA 70125 <i>Aptamer-based biosensors with co-factor modulated enzyme signaling</i> \$35,000.00 5/1/2012 ID 21015		\$17,500 00	\$0.00	\$0.00	\$17,500.00	\$0.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
University of San Francisco 2130 Fulton Street San Francisco, CA 94117 <i>Rational Design, Chemical Synthesis, and Biochemical Evaluation of Small-Molecule Inhibitors of the LuxS Enzyme</i> \$35,000.00 5/1/2012 ID 21021		\$9,892.00	\$0.00	\$0.00	\$9,892.00	\$0.00
College of Charleston 66 George Street Charleston, SC 29424 <i>Extrastellar Planet Imaging Studies with the Hubble and Spitzer Telescopes</i> \$35,000.00 5/1/2012 ID 21026		\$17,500.00	\$0.00	\$0.00	\$0.00	\$17,500.00
Texas State University, San Marcos 601 University Drive San Marcos, TX 78666 <i>Responsive Hydrogels Based on Binary Nucleic Acid Probes for Molecular Detection and Triggered Drug Release</i> \$35,000.00 5/1/2012 ID 21032		\$13,805.00	\$0.00	\$0.00	\$13,805.00	\$0.00
University of St. Thomas 2115 Summit Ave St. Paul, MN 55105-1079 <i>Shedding Light on the Mechanism of Action of an Enzyme that Confers Resistance to a Common Antimicrobial Agent</i> \$35,000.00 5/1/2012 ID 21038		\$17,500.00	\$0.00	\$0.00	\$17,500.00	\$0.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
James Madison University 800 S. Main Street Harrisonburg, VA 22807 <i>Transient Glassy Dynamics of Dense Emulsions at the Particle Level</i> \$35,000.00 5/1/2012 ID 21041		\$16,391.00	\$0.00	\$0.00	\$16,391.00	\$0.00
Bowdoin College 5700 College Station Brunswick, ME 04011 <i>Synthesis of Peptid/Thiopeptid Hybrids as Polypyrrolone Type II Helix Mimics</i> \$35,000.00 5/1/2012 ID 21046		\$16,426.00	\$0.00	\$0.00	\$16,426.00	\$0.00
Bard College 30 Campus Road PO Box 5000 Annandale-on-Hudson, NY 12504-5000 <i>Synthesis of Novel Purine Analogs and Evaluation of their Binding to Xanthine Phosphoribosyl Transferase (XPT) mRNA</i> \$35,000.00 5/1/2012 ID 21054		\$16,000.00	\$0.00	\$0.00	\$16,000.00	\$0.00
Pomona College 333 N College Way Claremont, CA 91711 <i>An integrated study of the enzymology, microbiology and geology of sulfur-based respiration from molecular mechanisms to the bio and geosphere</i> \$100,000.00 5/1/2012 ID 21071		\$41,500.00	\$0.00	\$0.00	\$41,500.00	\$0.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
University of Arizona Foundation 1111 N. Cherry Avenue Tucson, AZ 85721 Arizona Assurance Scholars Program \$100,000 00 9/16/2011 ID 21079		\$60,000.00	\$0 00	\$0.00	\$20,000.00	\$40,000 00
Franklin and Marshall College PO Box 3003 Lancaster, PA 17604 <i>Investigation of the effect of counterpropagating light on perturbative-regime frequency conversion</i> \$35,000 00 5/1/2012 ID 21084		\$11,426.00	\$0 00	\$0.00	\$11,426 00	\$0 00
Hendrix College 1600 Washington Avenue Conway, AR 72032-3080 <i>Visible Light Photocatalytic Oxidation of Amines to Iminium Ions Amine Scope and Mannich Cyclizations with Allyl and Vinyl Silanes</i> \$35,000.00 5/1/2012 ID 21087		\$13,995 00	\$0 00	\$0 00	\$13,995 00	\$0.00
Illinois State University Campus Box 8000 Normal, IL 61790-8000 <i>Toward the Building Blocks for the Convergent Total Synthesis of Muironolide A</i> \$35,000.00 5/1/2012 ID 21093		\$17,500 00	\$0.00	\$0.00	\$17,500.00	\$0 00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
Lewis and Clark College 0615 S.W. Palatine Hill Road Portland, OR 97219 <i>Understanding Noise from Quantum Interference for a Novel Atomic Magnetometer</i> \$35,000.00 5/1/2012 ID 21101		\$15,358.00	\$0.00	\$0.00	\$15,358.00	\$0.00
University of St. Thomas 2115 Summit Ave St. Paul, MN 55105-1079 <i>Characterization of the Interaction Between Cell-Penetrating Compounds and Glycosaminoglycans</i> \$35,000.00 5/1/2012 ID 21106		\$16,353.00	\$0.00	\$0.00	\$16,353.00	\$0.00
Western Washington University 516 High Street Bellingham, WA 98225 <i>Conducting Polymer/Nanoparticle Interfacial Layers for Hydrogen Fuel Cells</i> \$35,000.00 5/1/2012 ID 21109		\$11,842.00	\$0.00	\$0.00	\$11,842.00	\$0.00
California State University, Fullerton 800 N. State College Blvd. P.O. Box 34080 Fullerton, CA 92834-6850 <i>Discovery and Optimization of Small Molecule Inhibitors for the Botulinum Neurotoxin Type E Light Chain</i> \$35,000.00 5/1/2012 ID 21110		\$16,765.00	\$0.00	\$0.00	\$16,765.00	\$0.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
Iowa State University 1750 Beardshear Hall Ames, IA 50011 <i>Self-Destructing Latent Fluorophores for Dynamic Imaging</i> \$75,000.00 1/10/2013 ID 22260		\$0.00	\$75,000.00	\$0.00	\$72,500.00	\$2,500.00
Montana State University, Bozeman P.O. Box 173840 Bozeman, MT 59717 <i>Superconductivity and Magnetism in New Materials and New Environments</i> \$75,000.00 1/10/2013 ID 22266		\$0.00	\$75,000.00	\$0.00	\$72,500.00	\$2,500.00
University of Colorado at Boulder 914 Broadway Boulder, CO 80309 <i>Compositionally Complex Nanoscale Materials for Solar Fuel Generation and Integration of Solar Energy Research into Physical Chemistry Curriculum</i> \$75,000.00 1/10/2013 ID 22269		\$0.00	\$75,000.00	\$0.00	\$72,500.00	\$2,500.00
California Institute of Technology 1200 E. California Blvd. Pasadena, CA 91125 <i>Heterometallic Manganese-Oxide Clusters for Studies of Oxygen Chemistry</i> \$75,000.00 1/10/2013 ID 22280		\$0.00	\$75,000.00	\$0.00	\$72,500.00	\$2,500.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
Boston University One Silber Way Boston, MA 02215 <i>Extracting Excellence from Traditionally Underrepresented Populations</i> <i>Constraining the Formation of Low-Mass Dwarfs and BU Pre-MaP</i> \$75,000.00 1/10/2013 ID 22283		\$0.00	\$75,000.00	\$0.00	\$72,500.00	\$2,500.00
University of Pennsylvania 3451 Walnut Street Philadelphia, PA 19104 <i>The Crisis in the Global Supply of Rare Earth Elements as a Scientific and Educational Opportunity</i> \$75,000.00 1/10/2013 ID 22289		\$0.00	\$75,000.00	\$0.00	\$72,500.00	\$2,500.00
Tufts University 419 Boston Boulevard Medford, MA 02155 <i>Revealing the Monsters Characterization of Ultra-massive Galaxies in the Early Universe</i> \$75,000 00 1/10/2013 ID 22296		\$0 00	\$75,000.00	\$0 00	\$72,500.00	\$2,500.00
University of Michigan, Ann Arbor 530 S. State Street Ann Arbor, MI 48109 <i>Flows in Theoretical Physics and a Communication-Based Approach to Peer Instruction</i> \$75,000.00 1/10/2013 ID 22297		\$0 00	\$75,000.00	\$0.00	\$72,500 00	\$2,500 00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
University of California, Los Angeles 607 Charles E. Young Drive E. Box 951569 Los Angeles, CA 90095 <i>Novel Chemical Probes for Dissecting the Mechanisms of Cell Division</i> \$75,000.00 1/10/2013 ID 22300		\$0.00	\$75,000.00	\$0.00	\$72,500.00	\$2,500.00
Yale University 246 Church Street P.O. Box 208120 New Haven, CT 06520- <i>The Search for Stop with the Large Hadron Collider and Addressing the National Crisis in STEM Education</i> \$75,000.00 1/10/2013 ID 22306		\$0.00	\$75,000.00	\$0.00	\$72,500.00	\$2,500.00
University of Delaware 210 S. College Avenue Newark, DE 19716 <i>Transition Metal Catalyzed Silyl-Sonogashira Reactions</i> \$75,000.00 1/10/2013 ID 22309		\$0.00	\$75,000.00	\$0.00	\$72,500.00	\$2,500.00
University of Notre Dame 400 Main Building Notre Dame, IN 46556 <i>Chemical Instrumentation Developing a Label Free Spectroscopic Detector for Flow Analysis of Microparticles in Blood Serum</i> \$75,000.00 1/10/2013 ID 22311		\$0.00	\$75,000.00	\$0.00	\$72,500.00	\$2,500.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
University of Houston 4800 Calhoun Boulevard Houston, TX 77204 <i>Lessons from Nature</i> <i>Compartmentalization, Reaction Discovery,</i> <i>and Parallel Synthesis in Kinetically</i> <i>Self-Sorting Libraries</i> \$75,000.00 11/10/2013 ID 22316		\$0.00	\$75,000.00	\$0.00	\$72,500.00	\$2,500.00
Boston University One Silber Way Boston, MA 02215 <i>Photo-induced CO2 Reduction Using</i> <i>Reverse TCA Cycle Enzymes</i> \$50,000.00 11/1/2012 ID 22344		\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
University of Denver 2199 S. University Blvd. Denver, CO 80208-0001 <i>Supramolecular Non-Fullerene Electron</i> <i>Acceptors for Organic PVs--A Pathway</i> <i>Towards 20% Efficient Cells a Cost Less</i> <i>than \$0.50/W</i> \$25,000.00 11/1/2012 ID 22345		\$25,000.00	\$0.00	\$0.00	\$25,000.00	\$0.00
University of Florida 219 Grinter Hall PO Box 115500 Gainesville, FL 32611 <i>BaSi2, a New Earth-Abundant Solar Cell</i> <i>Material</i> \$40,000.00 11/1/2012 ID 22351		\$40,000.00	\$0.00	\$0.00	\$40,000.00	\$0.00

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Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
University of Colorado at Boulder 914 Broadway Boulder, CO 80309 <i>Photo-induced CO2 Reduction Using Reverse TCA Cycle Enzymes</i> \$50,000.00 11/1/2012 ID. 22354		\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
Colorado School of Mines 1500 Illinois Street Golden, CO 80401 <i>Supramolecular Non-Fullerene Electron Acceptors for Organic PVs--A Pathway Towards 20% Efficient Cells a Cost Less than \$0.50/W</i> \$50,000.00 11/8/2012 ID. 22355		\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
University of California, Santa Barbara 5221 Cheadle Hall Santa Barbara, CA 93106-9510 <i>Supramolecular Non-Fullerene Electron Acceptors for Organic PVs--A Pathway Towards 20% Efficient Cells a Cost Less than \$0.50/W</i> \$25,000.00 11/1/2012 ID. 22356		\$25,000.00	\$0.00	\$0.00	\$25,000.00	\$0.00
University of Wisconsin, Madison 500 Lincoln Drive Madison, WI 53706 <i>BaSi2, a New Earth-Abundant Solar Cell Material</i> \$40,000.00 11/1/2012 ID. 22357		\$40,000.00	\$0.00	\$0.00	\$40,000.00	\$0.00

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Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
University of Illinois at Urbana-Champaign 600 S Mathews Avenue Urbana, IL 61801 <i>BaSi2, a New Earth-Abundant Solar Cell Material</i> \$20,000.00 11/1/2012 ID 22358		\$20,000.00	\$0.00	\$0.00	\$20,000.00	\$0.00
American Physical Society One Physics Ellipse College Park, MD 20740-3844 <i>APS Award for Research at an Undergraduate Institution - Sponsorship</i> \$26,000.00 11/28/2012 ID 22364		\$13,000.00	\$0.00	\$0.00	\$13,000.00	\$0.00
University of Arizona 1401 E University Blvd. Tucson, AZ 85721 <i>Using Solar Energy To Make Hydrogen</i> \$14,000.00 2/1/2013 ID 22365		\$0.00	\$14,000.00	\$0.00	\$14,000.00	\$0.00
University of Arizona 1401 E. University Blvd. Tucson, AZ 85721 <i>Identifying alternative functions of the Telomerase Reverse Transcriptase (TERT)</i> \$14,000.00 2/1/2013 ID 22366		\$0.00	\$14,000.00	\$0.00	\$14,000.00	\$0.00
University of Arizona 1401 E University Blvd. Tucson, AZ 85721 <i>The Critical Challenge of Antibiotic Resistance Are Wastewater Treatment Plants a Concern?</i> \$14,000.00 2/1/2013 ID 22369		\$0.00	\$14,000.00	\$0.00	\$14,000.00	\$0.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
University of North Carolina at Chapel Hill 200 E. Cameron Avenue Chapel Hill, NC 27514 20% Efficiency Nanostructured PbS Solar Cells \$100,000.00 4/1/2013 ID 22371		\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
University at Buffalo SUNY 12 Capen Hall Buffalo, NY 14260-1660 Towards a Tunable Platform for Exploring Band Alignment and Mediating Efficient Charge Transfer Combining Quantum Confinement with Mid-Gap States \$100,000.00 4/1/2013 ID 22380		\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
University of Maryland, College Park 1101 Main Admin Bldg College Park, MD 20742-0001 Probing Fundamental Light-Matter Interactions in Colloidal Hybrid Quantum Structures for Novel Plasmon-Enhanced Solar Energy Conversion \$100,000.00 4/1/2013 ID 22382		\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
University of Utah 201 Presidents Circle Salt Lake City, UT 84112 The Goldilocks Platform for Efficient H2 Fuel Generation Enhanced Solar-Matched Photocatalysis of H2O using GaN Surface States \$125,000.00 4/1/2013 ID 22383		\$0.00	\$125,000.00	\$0.00	\$62,500.00	\$62,500.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
Colorado State University 200 W. Lake Street Fort Collins, CO 80523-1371 <i>Non-Toxic, Low Temperature Preparations of Earth Abundant Nanocrystal Inks for High Efficiency Photovoltaic Modules</i> \$100,000.00 4/1/2013 ID 22391		\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
University of Colorado Denver 1250 14th Street Denver, CO 80217 <i>Hydrophobic and Electrostatic Driving Forces for Protein-Membrane Docking. An Experimental and Computational Approach</i> \$75,000.00 4/15/2013 ID. 22399		\$0.00	\$75,000.00	\$0.00	\$43,500.00	\$31,500.00
Sienna College 515 Loudon Road Loudonville, NY 12211-1462 <i>Cholesteric Liquid Crystalline Porphyrins and their Efficacy as VOC Sensors</i> \$75,000.00 4/15/2013 ID 22410		\$0.00	\$75,000.00	\$0.00	\$38,320.00	\$36,680.00
Swarthmore College 500 College Avenue Swarthmore, PA 19081 <i>Chiral Biodegradation of Pesticides</i> \$75,000.00 4/15/2013 ID 22411		\$0.00	\$75,000.00	\$0.00	\$41,805.00	\$33,195.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
University of Richmond 28 Westhampton Way Richmond, VA 23173 <i>Structural investigations of DNA replication of analogues of the mutagenic nucleotide, 8-oxo-2'-deoxyguanosine</i> \$75,000.00 4/15/2013 ID 22414		\$0.00	\$75,000.00	\$0.00	\$45,000.00	\$30,000.00
University of Arizona Foundation 1111 N. Cherry Avenue Tucson, AZ 85721 \$15,000.00 1/9/2013 ID 22419		\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
Salpointe Catholic High School 1545 E Copper Street Tucson, AZ 85719 <i>Incorporation of Inquiry-Based Learning in the High School Chemistry Curriculum to Enhance Science Technology Engineering and Math</i> \$7,000.00 2/1/2013 ID 22420		\$0.00	\$7,000.00	\$0.00	\$7,000.00	\$0.00
BASIS Tucson North 5740 E River Road Tucson, AZ 85750 <i>Increasing Student Inquiry through the use of Vernier Probeware</i> \$7,000.00 2/1/2013 ID 22421		\$0.00	\$7,000.00	\$0.00	\$7,000.00	\$0.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
Bio5 Institute						
The University of Arizona 1657 E. Helen St. Tucson, AZ 85721 <i>Keep Engaging Youth in Science (KEYS)</i> \$5,000 00		\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0 00
4/9/2013 ID 22422						
SciEnTek12 Foundation						
<i>SciEnTek12 Foundation and SARSEF</i> \$5,000.00		\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0 00
3/13/2013 ID 22424						
Hope College						
PO Box 9000 Holland, MI 49423-9000 <i>Gentile Interdisciplinary Lecture Fund</i> \$10,000 00		\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0 00
3/21/2013 ID 22425						
Institute of the Environment						
4715 E. Ft. Lowell Road Tucson, AZ 85712 <i>Second Annual UA/ASU Student Conference on Renewable Energy Science, Technology and Policy</i> \$600 00		\$0.00	\$600.00	\$0.00	\$600 00	\$0 00
4/9/2013 ID 22426						
University of Texas at Austin						
One University Station Austin, TX 78712 <i>Freshman Research Initiative</i> \$5,000.00		\$0.00	\$5,000.00	\$0.00	\$5,000 00	\$0.00
4/9/2013 ID 22427						

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
Science Friday, Inc. 19 West 44th Street, Suite 412 New York, NY 10036 <i>Science Friday Initiative Operating Support</i> \$25,000.00 4/9/2013 ID 22429		\$0.00	\$25,000 00	\$0.00	\$25,000.00	\$0.00
National Academy of Sciences 500 Fifth Street NW Washington, DC 20001 <i>Key Challenge Areas for Convergence and Health</i> \$10,000.00 8/6/2013 ID 22431		\$0.00	\$10,000 00	\$0 00	\$10,000.00	\$0.00
Smith College 7 College Lane Northampton, MA 01063 <i>Recognition of Dr Gayle P. W Jackson's service as RCSA's recent chair of its Board of Director's towards support of Undergraduate Faculty-Directed Research</i> \$5,000.00 4/18/2013 ID 22432		\$0.00	\$5,000 00	\$0.00	\$5,000.00	\$0.00
American Association for the Advancement of Science 1200 New York Ave NW Washington, DC 20005 <i>Teaching Science Like we do Science</i> \$1,000.00 4/19/2013 ID 22433		\$0.00	\$1,000 00	\$0.00	\$0.00	\$1,000 00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
Trinity University One Trinity Place San Antonio, TX 78212 <i>ACS Award for Research at an Undergraduate Institution</i> \$5,000.00 4/23/2013 ID 22434		\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Association of American Colleges and Universities 1818 R. St., NW Washington, DC 20009 <i>The Foundation Workshop on Transforming Undergraduate STEM Education</i> \$15,000.00 4/25/2013 ID 22436		\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
University at Buffalo SUNY 12 Capen Hall Buffalo, NY 14260-1660 <i>Towards a Tunable Platform for Exploring Band Alignment and Mediating Efficient Charge Transfer Combining Quantum Confinement with Mid-Gap States</i> \$100,000.00 4/1/2013 ID 22437		\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
University of Utah 201 Presidents Circle Salt Lake City, UT 84112 <i>The Goldilocks Platform for Efficient H2 Fuel Generation Enhanced Solar-Matched Photocatalysis of H2O using GaN Surface States</i> \$125,000.00 4/1/2013 ID 22438		\$0.00	\$125,000.00	\$0.00	\$62,500.00	\$62,500.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
University of Arizona 1401 E. University Blvd. Tucson, AZ 85721 <i>Support of the March 15, 2014 Hruby Symposium</i> \$2,500.00 5/9/2013 ID 22441		\$0.00	\$2,500.00	\$0.00	\$2,500.00	\$0.00
The Military Child Education Coalition 909 Mountain Lion Circle Harker Heights, TX 76548 <i>In Honor of Patricia Barron's Service to RCSA and its Board of Directors and in recognition of Patty Shunski's work for children of military families.</i> \$5,000.00 5/9/2013 ID 22442		\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Trustees of the University of Pennsylvania University of Pennsylvania 624 Franklin Building 3451 Walnut Street Philadelphia, PA 19101 <i>Gift to the Milton C. Denbo Scholarship Fund in Honor of Suzanne Jaffe's Service to RCSA and its Board of Directors</i> \$5,000.00 5/9/2013 ID 22443		\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Gordon and Betty Moore Foundation 1661 Page Mill Road Palo Alto, CA 94304 <i>Science Funders Coalition - Market Branding to Advance Basic Research</i> \$4,221.00 5/9/2013 ID 22444		\$0.00	\$5,000.00	(\$779.00)	\$4,221.00	\$0.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
American Chemical Society PO Box 57136 Washington, DC 20037-0136 <i>Organic Chemistry Division Fall ACS Symposium</i> \$1,000.00 5/30/2013 ID 22458		\$0.00	\$1,000.00	\$0.00	\$1,000.00	\$0.00
American Chemical Society PO Box 57136 Washington, DC 20037-0136 <i>Presidential Initiatives for 2013</i> \$3,000.00 5/30/2013 ID 22459		\$0.00	\$3,000.00	\$0.00	\$3,000.00	\$0.00
American Physical Society One Physics Ellipse College Park, MD 20740-3844 <i>Physics Heritage Fund</i> \$25,000.00 7/18/2013 ID 22749		\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
American Chemical Society PO Box 57136 Washington, DC 20037-0136 <i>ACS Award for Research at an Undergraduate Institution - Sponsorship</i> \$5,000.00 8/29/2013 ID 22847		\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Gordon Research Conferences 512 Liberty Lane West Kingston, RI 02892 <i>2014 Gordon Research Seminar and Gordon Research Conference on Renewable Energy Solar Fuels</i> \$7,500.00 11/18/2013 ID 22849		\$0.00	\$7,500.00	\$0.00	\$7,500.00	\$0.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
Indiana University at Bloomington 107 S Indiana Avenue Bloomington, IN 47405 <i>Targeting a New Product for Electrocatalytic CO2 Reduction Formaldehyde</i> \$50,000.00 11/15/2013 ID 22853		\$0.00	\$50,000.00	\$0.00	\$0.00	\$50,000.00
Arizona State University PO Box 871006 Tempe, AZ 85287 <i>Targeting a New Product for Electrocatalytic CO2 Reduction Formaldehyde</i> \$50,000.00 11/15/2013 ID 22854		\$0.00	\$50,000.00	\$0.00	\$0.00	\$50,000.00
University of Utah 201 Presidents Circle Salt Lake City, UT 84112 <i>Spectrum Splitting for Low-Cost Hybrid PV/Solar Thermal Generation</i> \$25,000.00 11/15/2013 ID 22855		\$0.00	\$25,000.00	\$0.00	\$0.00	\$25,000.00
Pennsylvania State University, University Park 201 Old Main University Park, PA 16802 <i>Spectrum Splitting for Low-Cost Hybrid PV/Solar Thermal Generation</i> \$25,000.00 11/15/2013 ID 22856		\$0.00	\$25,000.00	\$0.00	\$0.00	\$25,000.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
University of Southern California University Park Campus Los Angeles, CA 90089 <i>Investigation into Interfacial States in Hybrid Polymer Nanocrystal Solar Cells - Finding a Path to High Efficiencies</i> \$33,000.00 11/15/2013 ID 22859		\$0.00	\$33,333.00	(\$333.00)	\$0.00	\$33,000.00
University of Southern California University Park Campus Los Angeles, CA 90089 <i>Investigation into Interfacial States in Hybrid Polymer Nanocrystal Solar Cells - Finding a Path to High Efficiencies</i> \$33,000.00 11/15/2013 ID 22860		\$0.00	\$33,333.00	(\$333.00)	\$0.00	\$33,000.00
University of California, Davis One Shields Avenue Davis, CA 95616 <i>Investigation into Interfacial States in Hybrid Polymer Nanocrystal Solar Cells - Finding a Path to High Efficiencies</i> \$34,000.00 11/15/2013 ID 22861		\$0.00	\$33,334.00	\$666.00	\$0.00	\$34,000.00
Colorado School of Mines 1500 Illinois Street Golden, CO 80401 <i>Silicon Diselenide 417 eV Solar Absorber for Tandem Silicon Photovoltaics</i> \$25,000.00 11/15/2013 ID 22868		\$0.00	\$25,000.00	\$0.00	\$0.00	\$25,000.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
Pennsylvania State University, University Park						
201 Old Main		\$0.00	\$25,000.00	\$0.00	\$0.00	\$25,000.00
University Park, PA 16802						
<i>Silicon Diselenide A 17 eV Solar</i>						
<i>Absorber for Tandem Silicon Photovoltaics</i>						
\$25,000.00						
11/15/2013 ID 22869						
Colorado School of Mines						
1500 Illinois Street		\$0.00	\$25,000.00	\$0.00	\$0.00	\$25,000.00
Golden, CO 80401						
<i>Silicon Diselenide A 17 eV Solar</i>						
<i>Absorber for Tandem Silicon Photovoltaics</i>						
\$25,000.00						
11/15/2013 ID 22870						
Colorado School of Mines						
1500 Illinois Street		\$0.00	\$25,000.00	\$0.00	\$0.00	\$25,000.00
Golden, CO 80401						
<i>Silicon Diselenide A 17 eV Solar</i>						
<i>Absorber for Tandem Silicon Photovoltaics</i>						
\$25,000.00						
11/15/2013 ID 22871						
New Venture Fund (NVF)						
1201 Connecticut Avenue, NW		\$0.00	\$32,500.00	\$0.00	\$32,500.00	\$0.00
Washington, DC 20036						
<i>New Venture Fund--Science Philanthropy</i>						
<i>Alliance</i>						
\$32,500.00						
11/20/2013 ID 22881						
University of Arizona						
1401 E University Blvd		\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Tucson, AZ 85721						
<i>Galileo Circle for 2014</i>						
\$5,000.00						
12/4/2013 ID 22882						
Grand Total						
		\$1,244,249.00	\$2,629,100.00	\$111,524.00	\$3,019,998.00	\$964,875.00

Fiscal Year 2013

Recipient and/or Purpose	Tax Status	Beginning Balance 2013	Newly Allocated 2013	Amended 2013	Amount Paid 2013	Ending Balance 2013
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(136 items)

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print	Name of exempt organization or other filer, see instructions. RESEARCH CORPORATION DBA Research Corporation for Science Advancement	Employer identification number (EIN) or 13-1963407
	Number, street, and room or suite no. If a P.O. box, see instructions. 4703 E. Camp Lowell, No. 201	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. Tucson, AZ 85712	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

DANNY GASCH, CFO

- The books are in the care of ► **4703 E. CAMP LOWELL, SUITE 201 - TUCSON, AZ 85712**
Telephone No ► **520-571-1111** Fax No ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **August 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2013** or
► ☐ tax year beginning _____, and ending _____

- If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Name of exempt organization or other filer, see instructions

RESEARCH CORPORATION DBA Research Corporation for Science Advancement

Employer identification number (EIN) or

13-1963407

Number, street, and room or suite no. If a P.O. box, see instructions.

4703 E. Camp Lowell, No. 201

Social security number (SSN)

City, town or post office, state, and ZIP code For a foreign address, see instructions.

Tucson, AZ 85712

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

DANNY GASCH, CFO

The books are in the care of **4703 E. CAMP LOWELL, SUITE 201 - TUCSON, AZ 85712**

Telephone No. **520-571-1111**

Fax No. ☐

If the organization does not have an office or place of business in the United States, check this box ☐

If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **November 15, 2014**

5 For calendar year **2013**, or other tax year beginning ☐ , and ending ☐

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

Taxpayer respectfully requests additional time to gather information necessary to file a complete and accurate tax return.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	0.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **Danny Gasch** Title **CPA**

Date **8/13/14**

Form 8868 (Rev. 1-2014)