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Return of Private Foundation

2013

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

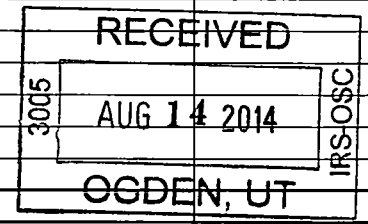
Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation HOLMES FOUNDATION INC		A Employer identification number 13-1946867
Number and street (or P O box number if mail is not delivered to street address) 3111 CARDINAL DRIVE	Room/suite B	B Telephone number 772-231-6900
City or town, state or province, country, and ZIP or foreign postal code VERO BEACH, FL 32963-1920		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,683,072.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		1.	1.		STATEMENT 1
4 Dividends and interest from securities		55,311.	52,401.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		60,380.			
b Gross sales price for all assets on line 6a		1,337,873.			
7 Capital gain net income (from Part IV, line 2)			60,380.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		115,692.	112,782.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 3		5,315.	3,986.		1,329.
b Accounting fees STMT 4		9,250.	6,938.		2,312.
c Other professional fees STMT 5		23,582.	23,582.		0.
17 Interest					
18 Taxes STMT 6		2,960.	711.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		16,555.	12,416.		4,139.
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		57,662.	47,633.		7,780.
25 Contributions, gifts, grants paid		85,000.			85,000.
26 Total expenses and disbursements. Add lines 24 and 25		142,662.	47,633.		92,780.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-26,970.			
b Net investment income (if negative, enter -0-)			65,149.		
c Adjusted net income (if negative, enter -0-)				N/A	



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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	1,033.	1,041.	1,041.
	2	Savings and temporary cash investments	23,293.	21,334.	21,334.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 7	1,445,074.	1,420,055.	1,660,697.
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶				
12	Investments - mortgage loans				
13	Investments - other				
14	Land, buildings, and equipment: basis ▶				
	Less accumulated depreciation ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	1,469,400.	1,442,430.	1,683,072.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	709,029.	709,029.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds	760,371.	733,401.		
30	Total net assets or fund balances	1,469,400.	1,442,430.		
31	Total liabilities and net assets/fund balances	1,469,400.	1,442,430.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,469,400.
2	Enter amount from Part I, line 27a	2	-26,970.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,442,430.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,442,430.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS #14294 - SEE ATTACHED	P		
b	UBS #14294 - SEE ATTACHED	P		
c	UBS #14295 - SEE ATTACHED	P		
d	UBS #14295 - SEE ATTACHED	P		
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	489,201.		479,379.	9,822.
b	381,743.		358,524.	23,219.
c	237,175.		239,632.	-2,457.
d	229,754.		199,958.	29,796.
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(j) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			9,822.
b			23,219.
c			-2,457.
d			29,796.
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	60,380.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	83,312.	1,556,149.	.053537
2011	86,528.	1,622,051.	.053345
2010	74,571.	1,577,025.	.047286
2009	88,202.	1,496,140.	.058953
2008	36,860.	839,604.	.043902

2	Total of line 1, column (d)	2	.257023
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051405
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,598,788.
5	Multiply line 4 by line 3	5	82,186.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	651.
7	Add lines 5 and 6	7	82,837.
8	Enter qualifying distributions from Part XII, line 4	8	92,780.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	651.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	651.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	651.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,480.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,480.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	829.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input checked="" type="checkbox"/> 829. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> FL, NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X		
14	The books are in care of ► MICHAEL O'HAIRE Telephone no. ► 772-231-6900 Located at ► 3111 CARDINAL DRIVE, SUITE B, VERO BEACH, FL ZIP+4 ► 32963			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		15	N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►		16	Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____, _____, _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No **N/A**

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No **N/A**

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No **N/A**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,587,271.
b	Average of monthly cash balances	1b	35,864.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,623,135.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,623,135.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	24,347.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,598,788.
6	Minimum investment return. Enter 5% of line 5	6	79,939.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	79,939.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	651.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	651.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	79,288.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	79,288.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	79,288.

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	92,780.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	92,780.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	651.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	92,129.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				79,288.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009	13,667.			
c From 2010				
d From 2011	6,384.			
e From 2012	6,983.			
f Total of lines 3a through e	27,034.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 92,780.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				79,288.
e Remaining amount distributed out of corpus	13,492.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	40,526.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	40,526.			
10 Analysis of line 9:				
a Excess from 2009	13,667.			
b Excess from 2010				
c Excess from 2011	6,384.			
d Excess from 2012	6,983.			
e Excess from 2013	13,492.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> BETTER CHANGE FOR WILTON P.O. BOX 7658 WILTON, CT 06897		PUBLIC CHARITY	GENERAL	2,000.
CENTAURUS MONARCH CMTY SCHOLARSHIP P.O. BOX 212 LOUISVILLE, CO 80027		PUBLIC CHARITY	GENERAL	6,000.
CU FOUNDATION 1800 GRANT STREET, SUITE 725 DENVER, CO 80203		PUBLIC CHARITY	GENERAL	5,000.
CUMBERLAND COLLEGE 6191 COLLEGE STATION DR. WILLIAMSBURG, KY 40769		PUBLIC CHARITY	GENERAL	3,000.
DANBURY HOSPITAL 24 HOSPITAL AVENUE DANBURY, CT 06810		PUBLIC CHARITY	GENERAL	12,500.
Total	SEE CONTINUATION SHEET(S)		▶ 3a	85,000.
<i>b Approved for future payment</i> NONE				
Total			▶ 3b	0.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FRIENDS OF THE LOUISVILLE ARBORETUM 643 FAIRFIELD LN LOUISVILLE, CO 80027		PUBLIC CHARITY	GENERAL	10,000.
HESSTON COLLEGE 325 S. COLLEGE DRIVE HESSTON, KS 67062		PUBLIC CHARITY	GENERAL	4,000.
I HAVE A DREAM FDT OF BOULDER CNTY 3012 STERLING CIRCLE #200 BOULDER, CO 80301		PUBLIC CHARITY	GENERAL	10,000.
INDIAN RIVER LAND TRUST 80 ROYAL PALM POINTE, SUITE 301 VERO BEACH, FL 32960		PUBLIC CHARITY	GENERAL	5,000.
JOHN W. NICK FOUNDATION P.O. BOX 4133 VERO BEACH, FL 32964		PUBLIC CHARITY	GENERAL	2,000.
JUNIOR ACHIEVEMENT OF SW CT 200 CONNECTICUT AVENUE NORWALK, CT 06854		PUBLIC CHARITY	GENERAL	7,500.
MONARCH HIGH SCHOOL 329 CAMPUS DRIVE LOUISVILLE, CO 80027		PUBLIC CHARITY	GENERAL	5,000.
SOUTHERN SCHOLARSHIP FOUNDATION 322 STADIUM DR, TALLAHASSEE, FL 32304		PUBLIC CHARITY	GENERAL	3,000.
VERO BEACH MUSEUM OF ART 3001 RIVERSIDE PARK DRIVE VERO BEACH, FL 32963		PUBLIC CHARITY	GENERAL	10,000.
Total from continuation sheets				56,500.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MARINE BANK	1.	1.	
TOTAL TO PART I, LINE 3	1.	1.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UBS	52,401.	0.	52,401.	52,401.	
UBS	2,910.	0.	2,910.	0.	
TO PART I, LINE 4	55,311.	0.	55,311.	52,401.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	5,315.	3,986.		1,329.
TO FM 990-PF, PG 1, LN 16A	5,315.	3,986.		1,329.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	9,250.	6,938.		2,312.
TO FORM 990-PF, PG 1, LN 16B	9,250.	6,938.		2,312.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	23,582.	23,582.		0.
TO FORM 990-PF, PG 1, LN 16C	23,582.	23,582.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES - FEDERAL	1,999.	0.		0.
TAXES - STATE	250.	0.		0.
TAXES - FOREIGN	711.	711.		0.
TO FORM 990-PF, PG 1, LN 18	2,960.	711.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS #14294 - STOCK	701,418.	772,713.
UBS #14295 - STOCK	718,637.	887,984.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,420,055.	1,660,697.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 8

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JAY TYLER HOLMES 3111 CARDINAL DRIVE, STE B VERO BEACH, FL 32963	PRESIDENT 1.00	0.	0.	0.
CLAUDETTE HOLMES 3111 CARDINAL DRIVE, STE B VERO BEACH, FL 32963	VICE PRESIDENT 1.00	0.	0.	0.
MICHAEL O'HAIRE 3111 CARDINAL DRIVE, STE B VERO BEACH, FL 32963	SECRETARY 1.00	0.	0.	0.
PHIL FIORE 3111 CARDINAL DRIVE, STE B VERO BEACH, FL 32963	TREASURER 1.00	0.	0.	0.
MIRIAM W. HOLMES 3111 CARDINAL DRIVE, STE B VERO BEACH, FL 32963	DIRECTOR 1.00	0.	0.	0.
CORTNEY HOLMES RIDENS 3111 CARDINAL DRIVE, STE B VERO BEACH, FL 32963	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.



Portfolio Management Program
December 2013

Account name: HOLMES FOUNDATION INC.
Account number: BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC, within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	8,976.75	9,992.29					250,000.00

Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
FIRST TRUST EXCHANGE TRADED FUND II ISE CLOUD COMPUTING INDEX FD ETF	297,000	24.470	7,267.59	7,267.59	26.550	7,885.35	617.76	617.76	ST

GUGGENHEIM S&P GLOBAL WATER INDEX ETF

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Your assets • Equities • Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
Symbol CGW									
Trade date Oct 10, 13	236 000	25 780	6,084 12	6,084 12	27 650	6,525 40	441 28		ST
Trade date Oct 10, 13	44 000	25 740	1,132 56	1,132 56	27 650	1,216 60	84 04		ST
Trade date Oct 10, 13	3 000	25 720	77 16	77 16	27 650	82 95	5 79		ST
EAI \$119 Current yield 1.52%									
Security total	283 000	25 773	7,293 84	7,293 84		7,824 95	531 11	531 11	
ISHARES MSCI MEXICO CAPPED ETF									
Symbol EWW									
Trade date Oct 10, 13	115 000	64 990	7,473 85	7,473 85	68 000	7,820 00	346 15	346 15	ST
EAI \$153 Current yield 1.96%									
ISHARES MSCI SOUTH KOREA CAPPED ETF									
Symbol EWY									
Trade date Oct 10, 13	117 000	63 200	7,394 40	7,394 40	64 670	7,566 39	171 99		ST
Trade date Nov 13, 13	6 000	61 255	367 53	367 53	64 670	388 02	20 49		ST
Trade date Dec 19, 13	1 000	61 860	61 86	61 86	64 670	64 67	2 81		ST
EAI \$111 Current yield 1.38%									
Security total	124 000	63 095	7,823 79	7,823 79		8,019 08	195 29	195 29	
ISHARES RUSSELL 1000 GROWTH ETF									
Symbol IWF									
Trade date Dec 3, 12	364 000	65 792	23,948 52	23,948 52	85 950	31,285 80	7,337 28	7,337 28	LT
EAI \$403 Current yield 1.29%									
ISHARES MICRO-CAP ETF									
Symbol IWC									
Trade date Feb 13, 13	218 000	56 341	12,282 47	12,282 47	75 120	16,376 16	4,093 69		ST
Trade date Nov 13, 13	98 000	69 947	6,854 89	6,854 89	75 120	7,361 76	506 87		ST
EAI \$240 Current yield 1.01%									
Security total	316 000	60 561	19,137 36	19,137 36		23,737 92	4,600 56	4,600 56	
ISHARES MSCI POLAND CAPPED ETF									
Symbol EPOL									

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Portfolio Management Program
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Account name:
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HOLMES FOUNDATION INC.
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Your assets > Equities > Closed end funds & Exchange traded products (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
EAI: \$257 Current yield 3 28%	Dec 19, 13	255 000	28 919	7,374 54	7,374 54	29,680	7,568 40	193 86		ST
Security total		9 000	28,888	260 00	260 00	29,680	267 12	7 12		ST
POWERSHARES ETF INTL DIVID										
ACHIEVERS PORTFOLIO										
Symbol PID										
Trade date	Oct 12, 11	1,280 000	14 674	18,783 65	18,783 65	18 420	23,577 60	4,793 95		LT
EAI: \$511 Current yield 2 17%										
SPDR S&P HOMEBUILDERS ETF										
Symbol XHB										
Trade date	Oct 10, 13	241,000	29 610	7,136 01	7,136 01	33 300	8,025 30	889 29		ST
EAI: \$23 Current yield 0 29%										
SPDR S&P OIL & GAS EXPLORATION										
Symbol XOP										
Trade date	Oct 10, 13	110 000	67 129	7,384 23	7,384 23	68 530	7,538 30	154 07		ST
Trade date	Dec 19, 13	4,000	66 787	267 15	267 15	68 530	274 12	6 97		ST
EAI: \$65 Current yield 0 83%										
Security total		114 000	67 117	7,651 38	7,651 38		7,812 42	161 04		
VANGUARD EXTD MKT ETF										
Symbol VXF										
Trade date	Jan 20, 09	291 000	29 304	8,527 55	8,527 55	82 700	24,065 70	15,538 15		LT
Trade date	Nov 13, 13	229 000	79,308	18,161 75	18,161 75	82 700	18,938 30	776 55		ST
EAI: \$476 Current yield 1 11%										
Security total		520 000	51 326	26,689 30	26,689 30		43,004 00	16,314 70		
VANGUARD TOTAL WORLD STK INDEX										
FD ETF										
Symbol VT										
Trade date	Oct 12, 11	595 000	44 046	26,207 57	26,207 57	59 400	35,343 00	9,135 43		LT
EAI: \$727 Current yield 2 06%										

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Your assets > Equities > Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
VANGUARD FTSE ALL WO X-US SC									
Symbol VSS									
Trade date Dec 19, 13	49 000	101 100	4,953 90	4,953 90	102 940	5,044 06	90 16		ST
Trade date Dec 19, 13	20 000	101 038	2,020 76	2,020 76	102 940	2,058 80	38 04		ST
Trade date Dec 19, 13	6 000	101 078	606 47	606 47	102 940	617 64	11 17		ST
EAI: \$209 Current yield 2.71%									
Security total	75 000	101 082	7,581 13	7,581 13		7,720 50	139 37	139 37	
VANGUARD DIVID APPRECIATION									
ETF									
Symbol VIG									
Trade date Jul 21, 10	207 000	46 140	9,550 98	9,550 98	75 240	15,574 68	6,023 70	6,023 70	LT
EAI: \$287 Current yield 1.84%									
WISDOMTREE TRUST EUROPE									
SMALLCAP DIVID FUND									
Symbol DFE									
Trade date Dec 19, 13	138 000	55 393	7,644 30	7,644 30	57 860	7,984 68	340 38	340 38	ST
EAI: \$190 Current yield 2.38%									
WISDOMTREE EQUITY INCOME FUND									
INC									
Symbol DHS									
Trade date Dec 3, 12	277 000	46 100	12,769 90	12,769 90	55 120	15,268 24	2,498 34		LT
Trade date Dec 19, 13	5 000	54 290	271 45	271 45	55 120	275 60	4 15		ST
EAI: \$497 Current yield 3.20%									
Security total	282 000	46 246	13,041 35	13,041 35		15,543 84	2,502 49	2,502 49	
WISDOMTREE EMERGING MARKETS									
EQUITY INCOM									
Symbol DEM									
Trade date Oct 12, 11	416 000	51 762	21,533 25	21,533 25	51 030	21,228 48	-304 77		LT
Trade date Nov 13, 13	32 000	50 671	1,621 50	1,621 50	51 030	1,632 96	11 46		ST
Trade date Dec 19, 13	9 000	50 386	453 48	453 48	51 030	459 27	5 79		ST
EAI: \$956 Current yield 4.10%									

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Portfolio Management Program
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Your assets > Equities > Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Security total	457,000	51,659	23,608,23	23,608,23	23,320,71	23,320,71	-287,52	-287,52	
Total			\$228,473.39	\$228,473.39		\$282,315.35	\$53,841.96	\$53,841.96	

Total estimated annual income: \$5,224

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
AMERICAN FUNDS NEW WORLD									
F-1									
Symbol	NWFFX								
Trade date	Oct 10, 13	58,090	14,731.24	14,731.24	58,300	14,784.47	53.23		ST
Trade date	Nov 13, 13	57,713	419.06	419.06	58,300	423.32	4.26		ST
Total reinvested	5,493	57,691		316.90	58,300	320.24	3.34		
EAI	\$160 Current yield	1.03%							
Security total	266,347	58,072	15,150.30	15,467.20	15,528.03	15,528.03	60.83	377.73	

CALAMOS INTERNATIONAL

GROWTH FUND CLASS A

Symbol CIGRX

Trade date	Oct 12, 11	15,840	16,549.16	16,549.16	19,960	20,853.61	4,304.45		LT
Trade date	Feb 1, 12	16,719	4,294.63	4,294.63	19,960	5,126.84	832.21		LT
Trade date	Jul 9, 12	15,869	711.99	711.99	19,960	895.49	183.50		LI
Trade date	Feb 13, 13	18,110	312.69	312.69	19,960	344.63	31.94		ST
Trade date	Jun 12, 13	17,610	225.92	225.92	19,960	256.07	30.15		ST
Total reinvested	9,067	18,701		169.57	19,960	180.98	11.41		
EAI	\$109 Current yield	0.39%							
Security total	1,385,652	16,067	22,094.39	22,263.96	27,657.61	27,657.61	5,393.66	5,563.23	

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Portfolio Management Program
December 2013

Account name: HOLMES FOUNDATION INC
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Your Financial Advisor:
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203-357-0700/800-942-2045

Your assets • Equities • Mutual funds (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
HENNESSY CORNERSTONE									
MID CAP 30 FUND INVESTOR									
CLASS									
Symbol HFMDX									
Trade date Feb 13, 13	891 751	15 819	14,107 50	14,107 50	17 040	15,195 43	1,087 93		ST
Trade date May 17, 13	414 478	17 309	7,174 61	7,174 61	17 040	7,062 70	-111 91		ST
Trade date Jun 12, 13	40 807	16 199	661 07	661 07	17 040	695 35	34 28		ST
Trade date Nov 13, 13	784,520	17 760	13,933 08	13,933 08	17,040	13,368 22	-564.86		ST
Total reinvested	165 059	16 810	2,774 65	2,774 65	17 040	2,812 60	37 95		
Security total	2,296 615	16 830	35,876 26	38,650 91		39,134 31	483 39	3,258 04	
OAKMARK INTL FUND									
CLASS I									
Symbol OAKIX									
Trade date Oct 10, 13	997 646	26 039	25,978 69	25,978 69	26 320	26,258 04	279 35		ST
Trade date Nov 13, 13	4 998	26 442	132 16	132 16	26 320	131 55	-0 61		ST
Trade date Dec 19, 13	21 449	25 549	548 02	548 02	26 320	564 54	16 52		ST
Total reinvested	28 631	25 549	731 52	731 52	26 320	753 57	22 05		
EAI \$459 Current yield 1 66%									
Security total	1,052 724	26 019	26,658 87	27,390 39		27,707 69	317.31	1,048 83	
PIMCO SMALL CAP STOCKS									
PLUS AR STRATEGY FUND A									
Symbol PCKAX									
Trade date May 17, 13	2,999 952	9 420	28,259 55	28,259 55	9 610	28,829 54	569 99		ST
Trade date Nov 13, 13	1,330 157	10 279	13,674 01	13,674 01	9 610	12,782 81	-891 20		ST
Total reinvested	571 942	9 554	5,464 86 ²	5,464 86 ²	9 610	5,496 36	31 50		
EAI \$2.912 Current yield 6 18%									
Security total	4,902 051	9 669	41,933 56	47,398 42		47,108 71	-289 71	5,175 15	
TOUCHSTONE SANDS CAPITAL									
SELECT GROWTH A									
Symbol TSNAX									
Trade date Mar 18, 13	211 443	13 169	2,784 70	2,784 70	17 470	3,693 91	909 21		ST

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Portfolio Management Program
December 2013

Account name: HOLMES FOUNDATION INC
Account number: BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Your assets • Equities • Mutual funds (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
	May 17, 13	1,539,785	14,309	22,034,32	22,034.32	17,470	26,900.04	4,865.72		ST
	Jun 12, 13	21,733	13,549	294.48	294.48	17,470	379.68	85.20		ST
	Total reinvested	13,886	16,780		233.01	17,470	242.59	9.58		
	Security total	1,786,847	14,185	25,113.50	25,346.51		31,216.21	5,869.71	6,102.72	
WASATCH FRONTIER EMERG										
SM COUNTRS CLASS INV										
Symbol WAFMX										
	Trade date Aug 14, 13	7,370,618	3,009	22,185.56	22,185.56	3,110	22,922.61	737.05		ST
	Trade date Dec 19, 13	123,659	3,080	380.87	380.87	3,110	384.58	3.71		ST
	Total reinvested	12,744	3,079		39.25	3,110	39.63	0.38		
	EAI \$15 Current yield 0.06%									
	Security total	7,507,021	3,011	22,566.43	22,605.68		23,346.83	741.14	780.39	
Total				\$189,393.31	\$199,123.07		\$211,699.39	\$12,576.33	\$22,306.08	

² Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Fixed income

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
SPDR BLACKSTONE/GEO SEN LN ETF										
Symbol SRLN										
	Trade date Oct 10, 13	231,000	49,874	11,521.04	11,521.04	50.020	11,554.62	33.58		ST
	Trade date Oct 10, 13	215,000	49,860	10,719.90	10,719.90	50.020	10,754.30	34.40		ST
	Trade date Oct 10, 13	1,000	49,850	49.85	49.85	50.020	50.02	0.17		ST



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Portfolio Management Program
December 2013

Account name:
Account number:

HOLMES FOUNDATION INC
BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Your assets • Fixed income • Closed end funds & Exchange traded products (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
	Nov 13, 13	6 000	50 030	300 18	300 18	50 020	300 12	-0 06		ST
	Dec 19, 13	7 000	50 061	350 43	350 43	50 020	350 14	-0 29		ST
EAI \$585 Current yield 2.54%										
Security total		460 000	49 873	22,941 40	22,941 40		23,009 20	67 80	67 80	

Mutual funds

Total reinvested is the total of all reinvested dividends it does not include any cash dividends it is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot

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Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
DOUBLE LINE TOTAL RETURN										
FUND N										
Symbol: DLTNX										
Trade date	Oct 19, 11	829 925	11 179	9,278 56	9,278 56	10 780	8,946 59	-331 97		LT
Trade date	Feb 1, 12	38 841	11 129	432 30	432 30	10 780	418 71	-13 59		LT
Trade date	Oct 2, 12	71 751	11 399	817 96	817 96	10 780	773 48	-44 48		LT
Trade date	Feb 13, 13	73 994	11 339	839 09	839 09	10 780	797 66	-41 43		ST
Trade date	May 17, 13	1 594	11 380	18 14	18 14	10 780	17 18	-0 96		ST
Trade date	Dec 19, 13	17 042	10 850	184 91	184 91	10 780	183 71	-1 20		ST
Total reinvested		378 590	11 176		4,231 20	10 780	4,081 20	-150 00		
EAI \$744 Current yield 4.89%										
Security total		1,411 737	11 193	11,570 96	15,802 16		15,218 52	-583 63	3,647 57	

MANAGERS GW&K MUNICIPAL

BOND FUND INV CL

Symbol: GWMTX

Trade date	Nov 13, 13	3,058 287	11 129	34,038 73	34,038 73	11 020	33,702 32	-336 41		ST
Trade date	Dec 19, 13	45 830	11 089	508 25	508 25	11 020	505 05	-3 20		ST
Total reinvested		20 369	11 044	224 96	224 96	11 020	224 47	-0 49		

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Portfolio Management Program
December 2013

Account name: HOLMES FOUNDATION INC
Account number: BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Your assets • Fixed income • Mutual funds (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
EAI \$531 Current yield 1.54%	3,124,486	11.129	34,546.98	34,771.94	34,431.83	-340.10	-115.14		
PIMCO INCOME FUND									
CLASS A									
Symbol PONAX									
Trade date Dec 3, 12	1,706,267	12.379	21,123.58	21,123.58	12.260	20,918.83	-204.75		LT
Trade date Feb 13, 13	7,955	12.529	99.67	99.67	12.260	97.53	-2.14		ST
Trade date Nov 13, 13	19,081	12.289	234.50	234.50	12.260	233.93	-0.57		ST
Trade date Dec 19, 13	16,130	12.270	197.92	197.92	12.260	197.75	-0.17		ST
Total reinvested	124,999	12.428		1,553.56 ²	12.260	1,532.49	-21.07		
EAI \$1,166 Current yield 5.07%	1,874,432	12.382	21,655.67	23,209.23	22,980.53	-228.70	1,324.86		
TEMPLETON GLOBAL TOTAL									
RETURN CLASS A									
Symbol TGTRX									
Trade date Jun 12, 13	645,724	13.399	8,652.70	8,652.70	13.480	8,704.36	51.66		ST
Trade date Oct 10, 13	1,012,482	13.440	13,607.76	13,607.76	13.480	13,648.26	40.50		ST
Total reinvested	54,412	13.426		730.54 ²	13.480	733.47	2.93		
EAI \$907 Current yield 3.93%	1,712,618	13.424	22,260.46	22,991.00	23,086.09	95.09	825.63		
THIRD AVENUE FOCUSED									
CREDIT INVESTOR CLASS									
Symbol TFCVX									
Trade date Jun 12, 13	2,135,531	11.149	23,811.17	23,811.17	11.220	23,960.66	149.49		ST
Trade date Oct 10, 13	540,322	10.809	5,840.88	5,840.88	11.220	6,062.41	221.53		ST
Total reinvested	175,493	11.061		1,941.21 ²	11.220	1,969.03	27.82		
EAI \$2,363 Current yield 7.39%	2,851,346	11.080	29,652.05	31,593.26	31,992.10	398.84	2,340.05		
THORNBURG LIMITED TERM									
MUNICIPAL FUND CLASS A									
Symbol LTMFX									



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Your assets • Fixed income • Mutual funds (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
	Oct 10, 13	228 650	14 369	3,285 70	3,285 70	14 370	3,285 70			ST
	Nov 13, 13	30 513	14 409	439 69	439 69	14 370	438 47	-1 22		ST
	Total reinvested	4 693	14 423		67 69	14 370	67 44	-0 25		
EAI \$69 Current yield 1 82%										
Security total		263 856	14 376	3,725 39	3,793 08		3,791 61	-1 47	66 22	
Total				\$123,411.51	\$132,160.67		\$131,500.68	-\$659.97	\$8,089.17	

Total estimated annual income: \$5,780

* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Non-traditional

Mutual funds

Total/reinvested is the total of all reinvested dividends it does not include any cash dividends it is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

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Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
MAINSTAY MARKETFIELD										
FUND CLASS I										
Symbol: MFLDX										
	Dec 3, 12	829 537	15 629	12,965 66	12,965 66	18 520	15,363 02	2,397 36		LT
	Nov 13, 13	3 472	18 090	62 81	62 81	18 520	64 30	1 49		ST
	Dec 19, 13	1,275 521	18 159	23,163 46	23,163 46	18 520	23,622 65	459 19		ST
	Total reinvested	4 899	15 562		76 24	18 520	90 73	14 49		
EAI \$4 Current yield 0 01%										
Security total		2,113 429	17 161	36,191 93	36,268 17		39,140 70	2,872 53	2,948 77	

NATIXIS ASG GLOBAL

ALTERNATIVE A

Symbol GAFAX

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Portfolio Management Program
December 2013

Account name: HOLMES FOUNDATION INC.
Account number: BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Your assets • Non-traditional • Mutual funds (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
	Jul 15, 11	1,376.128	11.060	15,219.98	15,219.98	11.330	15,591.53	371.55		LT
	Feb 1, 12	20.112	10.680	214.80	214.80	11.330	227.87	13.07		LT
	Jul 9, 12	165.732	10.019	1,660.63	1,660.63	11.330	1,877.74	217.11		LT
	Dec 3, 12	2.377	10.521	25.01	25.01	11.330	26.93	1.92		LT
	Feb 13, 13	22.116	10.820	239.30	239.30	11.330	250.57	11.27		ST
	Jun 12, 13	0.189	11.111	2.10	2.10	11.330	2.14	0.04		ST
Total reinvested		142.047	11.160	1,585.37	1,585.37	11.330	1,609.39	24.02		
Security total		1,728.701	10.960	17,361.82	18,947.19		19,586.18	638.98	2,224.35	

PIMCO EQS LONG/SHORT

FUND CLASS A

Symbol PIMHAX

Trade date: Jun 12, 13

Total reinvested

Security total

Total

Total estimated annual income: \$4

		3,073.587	10.979	33,747.98	33,747.98	11.870	36,483.47	2,735.49		ST
		177.965	11.795	2,099.23	2,099.23	11.870	2,112.44	13.21		
		3,251.552	11.025	33,747.98	35,847.21		38,595.92	2,748.70	4,847.93	
				\$87,301.73	\$91,062.57		\$97,322.80	\$6,260.21	\$10,021.07	

Other

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

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Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
PIMCO ALL ASSETS ALL										
AUTH-A										
Symbol PAUAX										
Trade date: Dec 19, 13		2,632.161	10.199	26,848.04	26,848.04	9.900	26,058.39	-789.65		ST

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Portfolio Management Program
December 2013

Account name: HOLMES FOUNDATION INC
BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Your assets • Other • Mutual funds (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Value on Dec 31 (\$)	Investment return (\$)	Holding period
Total reinvested	81,494	9,919		808,42	9,900	806,79	-1,63			
EAI \$1,397 Current yield 5.20%										
Security total	2,713,655	10,192	26,848,04	27,656,46		26,865,18	-791,28		17,14	

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	9,992.29	1.28%	9,992.29		
Equities					
Closed end funds & Exchange traded products	282,315.35		228,473.39	5,224.00	53,841.96
Mutual funds	211,699.39		199,123.07	3,655.00	12,576.33
Total equities	494,014.74	63.11%	427,596.46	8,879.00	66,418.29
Fixed income					
Closed end funds & Exchange traded products	23,009.20		22,941.40	585.00	67.80
Mutual funds	131,500.68		132,160.67	5,780.00	-659.97
Total fixed income	154,509.88	19.74%	155,102.07	6,365.00	-592.17
Non-traditional	97,322.80	12.44%	91,062.57	4.00	6,260.21
Other	26,865.18	3.43%	27,656.46	1,397.00	-791.28
Total	\$782,704.89	100.00%	\$711,409.85	\$16,645.00	\$71,295.05

Account activity this month

For more information about the price/value shown for restricted securities, see Important information about your statement at the end of this document.

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Nov 29		Cash and money balance					\$8,976.75
Dec 2	Dividend	PIMCO INCOME FUND CLASS A AS OF 11/29/13		95.22		95.22	
Dec 2	Reinvestment	PIMCO INCOME FUND CLASS A DIVIDEND REINVESTED AT 12.31 NAV ON 11/29/13 AS OF 11/29/13		7,735		-95.22	
Dec 2	Dividend	DOUBLE LINE TOTAL RETURN FUND N AS OF 11/29/13				61.02	

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Portfolio Management Program
December 2013

Account name: HOLMES FOUNDATION INC
Account number: BW 14295 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	8,887.65	11,341.99					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ABBOTT LABS								
Symbol: ABL Exchange NYSE								
EAI \$294 Current yield 2.30%	Jan 8, 10	162,000	26.427	4,281.23	38.330	6,209.46	1,928.23	LT
	Dec 3, 12	12,000	30.869	370.43	38.330	459.96	89.53	LT
	Feb 28, 13	160,000	33.869	5,419.05	38.330	6,132.80	713.75	ST
Security total		334,000	30.152	10,070.71		12,802.22	2,731.51	
AFLAC INC								
Symbol: AFL Exchange NYSE								
EAI \$619 Current yield 2.22%	Jun 14, 13	212,000	57.766	12,246.50	66.800	14,161.60	1,915.10	ST
	Oct 9, 13	206,000	62.275	12,828.83	66.800	13,760.80	931.97	ST
Security total		418,000	59.989	25,075.33		27,922.40	2,847.07	
AMERICAN TOWER CORP REIT								
Symbol: AMT Exchange NYSE								
EAI \$123 Current yield 1.45%	Feb 1, 12	99,000	63.698	6,306.13	79.820	7,902.18	1,596.05	LT



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Portfolio Management Program
December 2013

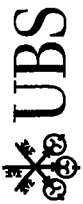
Account name: HOLMES FOUNDATION INC
Account number: BW 14295 LP

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203-357-0700/800-942-2045

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Feb 28, 13	2,000	77,665	155,333	79,820	159,640	4,310	ST
	Mar 12, 13	4,000	76,635	306,540	79,820	319,280	12,740	ST
	Jun 14, 13	1,000	77,790	77,790	79,820	79,820	2,030	ST
Security total		106,000	64,583	6,845,790		8,460,920	1,615,130	
AMERIPRISE FINANCIAL INC								
Symbol AMP Exchange NYSE								
EAI \$570 Current yield 1.81%	Jun 14, 13	149,000	82,653	12,315,360	115,050	17,142,450	4,827,090	ST
	Nov 12, 13	125,000	103,010	12,876,250	115,050	14,381,250	1,505,000	ST
Security total		274,000	91,940	25,191,610		31,523,700	6,332,090	
AMERISOURCEBERGEN CORP								
Symbol ABC Exchange NYSE								
EAI \$140 Current yield 1.34%	Jan 18, 11	149,000	35,606	5,305,410	70,310	10,476,190	5,170,780	LT
ANALOG DEVICES INC								
Symbol ADI Exchange OTC								
EAI \$371 Current yield 2.67%	Jan 18, 11	185,000	39,410	7,290,850	50,930	9,422,050	2,131,200	LT
	Jul 15, 11	3,000	35,710	107,130	50,930	152,790	45,660	LT
	Dec 3, 12	71,000	40,569	2,880,400	50,930	3,616,030	735,630	LT
	Jun 14, 13	14,000	45,296	634,150	50,930	713,020	78,870	ST
Security total		273,000	39,973	10,912,530		13,903,890	2,991,360	
APPLE INC								
Symbol AAPL Exchange OTC								
EAI \$354 Current yield 2.18%	Feb 28, 13	29,000	446,300	12,942,700	561,020	16,269,580	3,326,880	ST
APPLIED MATERIALS INC								
Symbol AMAT Exchange OTC								
EAI \$408 Current yield 2.26%	Dec 3, 12	788,000	10,720	8,447,360	17,680	13,931,840	5,484,480	LT
	Jul 30, 13	231,000	16,300	3,765,300	17,680	4,084,080	318,780	ST
Security total		1,019,000	11,985	12,212,660		18,015,920	5,803,260	
ASTRAZENECA PLC SPON ADR								
Symbol AZN Exchange NYSE								
EAI \$680 Current yield 4.71%	Dec 3, 12	234,000	47,795	11,184,260	59,370	13,892,580	2,708,320	LT
	Feb 28, 13	9,000	45,656	410,910	59,370	534,330	123,420	ST
Security total		243,000	47,717	11,595,170		14,426,910	2,831,740	

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Portfolio Management Program
December 2013

Account name:
Account number:

HOLMES FOUNDATION INC.
BW 14295 LP

Your Financial Advisor:
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203-357-0700/800-942-2045

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AUTOMATIC DATA PROCESSING INC								
Symbol ADP Exchange OTC								
EAI \$348 Current yield 2.38%	Jan 8, 10	181 000	42 169	7,632 60	80 799	14,624 61	6,992 01	LT
BLACKROCK INC								
Symbol BLK Exchange NYSE								
EAI \$302 Current yield 2.12%	Dec 3, 12	45 000	195 918	8,816 33	316 470	14,241 15	5,424 82	LT
COCA COLA CO COM								
Symbol KO Exchange NYSE								
EAI \$340 Current yield 2.71%	May 7, 12	190 000	38 468	7,308 98	41 310	7,848 90	539 92	LT
	Oct 2, 12	4 000	38 277	153 11	41.310	165 24	12 13	LT
	Dec 3, 12	3 000	37 540	112 62	41 310	123 93	11.31	LT
	Feb 28, 13	107 000	38 809	4,152 64	41 310	4,420 17	267 53	ST
Security total		304,000	38 577	11,727.35		12,558 24	830 89	
COLGATE PALMOLIVE CO								
Symbol CL Exchange NYSE								
EAI \$287 Current yield 2.09%	Nov 23, 10	136 000	38 858	5,284 69	65 210	8,868 56	3,583 87	LT
	Mar 12, 13	72 000	57 781	4,160 30	65 210	4,695 12	534.82	ST
	Jun 14, 13	3 000	58 770	176 31	65 210	195 63	19 32	ST
Security total		211 000	45 599	9,621 30		13,759 31	4,138 01	
COMCAST CORP NEW CL A								
Symbol CMCSA Exchange OTC								
EAI \$244 Current yield 1.50%	Dec 3, 12	295 000	37 072	10,936 53	51 965	15,329 67	4,393 14	LT
	Jun 14, 13	18 000	39 295	707 32	51 965	935 37	228 05	ST
Security total		313,000	37 201	11,643 85		16,265 04	4,621 19	
COVIDIEN PLC								
Symbol COV Exchange NYSE								
EAI \$161 Current yield 1.88%	Jul 15, 11	122 000	47 429	5,786 41	68 100	8,308 20	2,521 79	LT
	Jun 14, 13	4 000	59 592	238 37	68 100	272 40	34 03	ST
Security total		126 000	47 816	6,024 78		8,580 60	2,555 82	
FORD MOTOR CO COM NEW								
Symbol F Exchange NYSE								
EAI \$298 Current yield 2.60%	Oct 9, 13	744 000	16 529	12,298 29	15 430	11,479 92	-818 37	ST

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Your assets > Equities > Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
HOME DEPOT INC								
Symbol HD Exchange NYSE	Nov 23, 10	122 000	30 948	3,775 70	82 340	10,045 48	6,269 78	LT
EAI \$251 Current yield 1 89%	Dec 3, 12	39 000	65 126	2,539 92	82 340	3,211 26	671 34	LT
Security total		161 000	39 227	6,315 62		13,256 74	6,941 12	
INTL BUSINESS MACH								
Symbol IBM Exchange NYSE	Mar 22, 06	57 000	84 170	4,797 69	187 570	10,691 49	5,893 80	LT
EAI \$232 Current yield 2 03%	Jun 14, 13	4 000	203 645	814 58	187 570	750 28	-64 30	ST
Security total		61 000	92 004	5,612 27		11,441 77	5,829 50	
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE	Nov 23, 10	97 000	63 037	6,114 68	91 590	8,884 23	2,769 55	LT
EAI \$256 Current yield 2 88%								
LORILLARD INC								
Symbol LO Exchange NYSE	Jan 18, 11	156 000	25 745	4,016.37	50 680	7,906 08	3,889 71	LT
EAI \$409 Current yield 4 34%	Feb 1, 12	9 000	35 873	322 86	50 680	456 12	133 26	LT
	Oct 2, 12	18 000	38 742	697 36	50 680	912 24	214 88	LT
	Feb 28, 13	3 000	38 616	115 85	50 680	152 04	36 19	ST
Security total		186 000	27 701	5,152 44		9,426 48	4,274 04	
MACY'S INC								
Symbol M Exchange NYSE	Nov 12, 13	212 000	46 494	9,856 83	53 400	11,320 80	1,463 97	ST
EAI \$212 Current yield 1 87%								
MERCK & CO INC NEW COM								
Symbol MRK Exchange NYSE	Nov 23, 10	247 000	35 264	8,710 45	50 050	12,362 35	3,651.90	LT
EAI \$451 Current yield 3 52%	Dec 3, 12	3 000	44 490	133 47	50 050	150 15	16 68	LT
	Feb 28, 13	6 000	42 906	257 44	50 050	300 30	42 86	ST
Security total		256 000	35 552	9,101 36		12,812 80	3,711 44	
MICROSOFT CORP								
Symbol MSFT Exchange OTC	Sep 8, 10	243 000	24 165	5,872 10	37 410	9,090 63	3,218 53	LT
EAI \$402 Current yield 2 99%	Jul 9, 12	4 000	29 930	119 72	37 410	149 64	29 92	LT
	Oct 2, 12	3 000	29 566	88 70	37 410	112 23	23 53	LT
								<i>continued next page</i>



Portfolio Management Program
December 2013

Account name:
Account number:

HOLMES FOUNDATION INC.
BW 14295 LP

Your Financial Advisor:
THE FDG GROUP
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Dec 3, 12	109 000	26 496	2,888 17	37 410	4,077 69	1,189 52	LT
NESTLE S A SPONSORED ADR		359 000	24 982	8,968 69		13,430 19	4,461 50	
REPSTG REG SHS SWITZ ADR								
Symbol NSRGY Exchange OTC								
EAI \$336 Current yield 2 47%	Dec 3, 12	168 000	65 629	11,025 83	73 590	12,363 12	1,337 29	LT
Security total	Jun 14, 13	17 000	66 881	1,136 99	73 590	1,251 03	114 04	ST
		185 000	65 745	12,162 82		13,614 15	1,451 33	
NORFOLK STHN CORP								
Symbol NSC Exchange NYSE								
EAI \$333 Current yield 2 24%	Jan 18, 11	101 000	65 068	6,571 95	92 830	9,375 83	2,803 88	LT
Security total	Sep 23, 11	1 000	61 770	61 77	92 830	92 83	31 06	LT
	May 7, 12	1 000	71 830	71 83	92 830	92 83	21 00	LT
	Oct 2, 12	13 000	64 356	836 63	92 830	1,206 79	370 16	LT
	Dec 3, 12	44 000	59 496	2,617 85	92 830	4,084 52	1,466 67	LT
Security total		160 000	63 500	10,160 03		14,852 80	4,692 77	
NOVARTIS AG SPON ADR								
Symbol NYS Exchange NYSE								
EAI \$352 Current yield 2 56%	Nov 23, 10	135 000	55 409	7,480 24	80 380	10,851 30	3,371 06	LT
Security total	Jan 18, 11	3 000	57 650	172 95	80 380	241 14	68 19	LT
	Mar 31, 11	9 000	54 580	491 22	80 380	723 42	232 20	LT
	Jul 15, 11	24 000	61 509	1,476 22	80 380	1,929 12	452 90	LT
Security total		171 000	56 261	9,620 63		13,744 98	4,124 35	
PETSMART INC								
Symbol PETM Exchange OTC								
EAI \$131 Current yield 1 07%	Oct 9, 13	168 000	71 797	12,062 03	72 750	12,222 00	159 97	ST
PHILLIPS 66								
Symbol PSX Exchange NYSE								
EAI \$619 Current yield 2 02%	Dec 3, 12	182 000	51 385	9,352 13	77 130	14,037 66	4,685 53	LT
Security total	Jun 14, 13	9 000	64 233	578 10	77 130	694 17	116 07	ST
	Oct 9, 13	206 000	57 093	11,761 36	77 130	15,888 78	4,127 42	ST
		397 000	54 639	21,691 59		30,620 61	8,929 02	



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Portfolio Management Program
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Account name:
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BW 14295 LP

Your Financial Advisor:
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
PRICE T ROWE GROUP INC								
Symbol TROW Exchange OTC								
EAI \$249 Current yield 1 81%	Nov 12, 13	164 000	78 617	12,893 28	83 770	13,738 28	845 00	ST
QUALCOMM INC								
Symbol QCOM Exchange OTC								
EAI \$360 Current yield 1 89%	Jul 15, 11	136 000	54 950	7,473 20	74 250	10,098 00	2,624 80	LT
	Feb 1, 12	39 000	59 427	2,317 68	74 250	2,895 75	578 07	LT
	Feb 28, 13	5 000	65 920	329 60	74 250	371 25	41 65	ST
	Mar 12, 13	1 000	66 710	66 71	74 250	74 25	7 54	ST
	Jun 14, 13	19 000	61 640	1,171 16	74 250	1,410 75	239 59	ST
	Jul 30, 13	57 000	64 526	3,677 99	74 250	4,232 25	554 26	ST
Security total		257 000	58 507	15,036 34		19,082 25	4,045 91	
ROBERT HALF INTL INC								
Symbol RHI Exchange NYSE								
EAI \$212 Current yield 1 53%	Nov 12, 13	331 000	38 879	12,869 13	41 990	13,898 69	1,029 56	ST
ROYAL DUTCH SHELL PLC CL A								
SPON ADR								
Symbol RDSA Exchange NYSE								
EAI \$575 Current yield 4 29%	Dec 3, 12	167 000	66 862	11,166 09	71 270	11,902 09	736 00	LT
	Feb 28, 13	13 000	65 890	856 57	71 270	926 51	69 94	ST
	Mar 12, 13	2 000	66 210	132 42	71 270	142 54	10 12	ST
	Jun 14, 13	6 000	65 640	393 84	71 270	427 62	33 78	ST
Security total		188 000	66 750	12,548 92		13,398 76	849 84	
SANOFI SPON ADR								
Symbol SNY Exchange NYSE								
EAI \$287 Current yield 2 34%	Jun 14, 13	229 000	53 867	12,335 64	53 630	12,281 27	-54 37	ST
SCHLUMBERGER LTD NETHERLANDS								
ANTILLES								
Symbol SLB Exchange NYSE								
EAI \$115 Current yield 1 39%	Nov 12, 13	92 000	91 805	8,446 15	90 110	8,290 12	-156 03	ST
SIEMENS A G SPON ADR								
Symbol SI Exchange NYSE								
EAI \$348 Current yield 2 17%	Dec 3, 12	108 000	99 984	10,798 33	138 510	14,959 08	4,160 75	LT
	Feb 28, 13	3 000	100 593	301 78	138 510	415 53	113 75	ST

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Portfolio Management Program
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Account name:
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Your Financial Advisor:
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Jun 14, 13	5 000	102 378	511.89	138 510	692 55	180 66	ST
SMUCKER J M CO NEW		116 000	100 103	11,612.00		16,067.16	4,455 16	
Symbol SJM Exchange NYSE								
EAI \$278 Current yield 2.24%	Feb 1, 12	83 000	79 696	6,614.77	103 620	8,600.46	1,985.69	LT
Security total	Mar 12, 13	37 000	97 296	3,599.97	103 620	3,833.94	233.97	ST
		120 000	85 123	10,214.74		12,434.40	2,219.66	
STARBUCKS CORP								
Symbol SBUX Exchange OTC								
EAI \$194 Current yield 1.32%	Jan 18, 11	136 000	33 079	4,498.85	78 390	10,661.04	6,162.19	LT
Security total	Jul 9, 12	7 000	51 880	363.16	78 390	548.73	185.57	LT
	Oct 2, 12	7 000	49 130	343.91	78 390	548.73	204.82	LT
	Dec 3, 12	37 000	51 691	1,912.60	78 390	2,900.43	987.83	LT
		187 000	38.067	7,118.52		14,658.93	7,540.41	
STARWOOD HOTELS & RESORTS								
WORLDWIDE INC NEW								
Symbol HOT Exchange NYSE								
EAI \$247 Current yield 1.70%	Feb 1, 12	131 000	54 734	7,170.26	79 450	10,407.95	3,237.69	LT
Security total	Dec 3, 12	52 000	53 427	2,778.24	79 450	4,131.40	1,353.16	LT
		183 000	54 363	9,948.50		14,539.35	4,590.85	
TOTAL S A FRANCE SPON ADR								
Symbol TOT Exchange NYSE								
EAI \$649 Current yield 4.29%	Apr 22, 13	247 000	47 121	11,639.01	61 270	15,133.69	3,494.68	ST
UNILEVER PLC AMER SHS NEW SPON ADR								
Symbol UL Exchange NYSE								
EAI \$278 Current yield 3.39%	Jul 15, 11	193 000	32 017	6,179.37	41 200	7,951.60	1,772.23	LT
Security total	Feb 28, 13	3 000	39 870	119.61	41 200	123.60	3.99	ST
	Jun 14, 13	3 000	41,260	123.78	41 200	123.60	-0.18	ST
		199 000	32 275	6,422.76		8,198.80	1,776.04	
UNITED PARCEL SERVICE INC CL B								
Symbol UPS Exchange NYSE								
EAI \$355 Current yield 2.36%	Nov 23, 10	94 000	67 828	6,375.92	105 080	9,877.52	3,501.60	LT



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Portfolio Management Program
December 2013

Account name:
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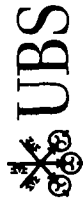
HOLMES FOUNDATION INC
BW 14295 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Your assets ▸ Equities ▸ Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		143 000	69 497	9,938 09	105 080	15,026 44	5,088 35	
UNIVERSAL HEALTH SVCS								
INC CL B								
Symbol UHS Exchange NYSE								
EAI \$42 Current yield 0.24%								
Security total		212 000	80 684	17,105 19	81 260	17,227 12	121 93	ST
UNTD TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE								
EAI \$309 Current yield 2.07%								
Security total		131 000	61 234	8,021 65	113 800	14,907 80	6,886 15	
VF CORP								
Symbol VFC Exchange NYSE								
EAI \$189 Current yield 1.68%								
Security total		180 000	54 433	9,797 96	62 340	11,221 20	1,423 24	ST
VISA INC CL A								
Symbol V Exchange NYSE								
EAI \$109 Current yield 0.72%								
Security total		68 000	149 126	10,140 57	222 680	15,142 24	5,001 67	LT
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol DIS Exchange NYSE								
EAI \$165 Current yield 1.12%								
Security total		192 000	42 014	8,066 74	76 400	14,668 80	6,602 06	
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI \$362 Current yield 2.64%								
Security total		302 000	32 898	9,935 27	45 400	13,710 80	3,775 53	LT

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Portfolio Management Program
December 2013

Account name: HOLMES FOUNDATION INC
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Your assets • Equities • Common stock (continued)

Holding	Symbol	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
3M CO	MMM Exchange NYSE								
	EAI \$380 Current yield: 2.44%	Jun 11, 09	80,000	60.779	4,862.33	140.250	11,220.00	6,357.67	LT
		Dec 3, 12	31,000	90.316	2,799.82	140.250	4,347.75	1,547.93	LT
			111,000	69.028	7,662.15		15,567.75	7,905.60	
Security total									
Total					\$516,488.01		\$690,132.00	\$173,643.99	

Total estimated annual income: \$15,226

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

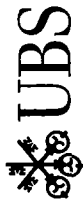
Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Symbol	Trade date	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
AMERICAN BEACON FLEXIBLE BOND FUND INVESTOR CLASS	AFXPX										
		May 7, 12	2,014,835	10.390	20,934.14	20,934.14	10.160	20,470.72	-463.42		LT
		Oct 2, 12	68,397	10.579	723.64	723.64	10.160	694.91	-28.73		LT
		Feb 28, 13	88,145	10.620	936.10	936.10	10.160	895.55	-40.55		ST
		Mar 12, 13	30,901	10.650	329.10	329.10	10.160	313.95	-15.15		ST
		Apr 22, 13	760,812	10.719	8,155.90	8,155.90	10.160	7,729.85	-426.05		ST
		Jun 14, 13	907,840	10.460	9,496.01	9,496.01	10.160	9,223.65	-272.36		ST
Total reinvested			144,507	10.380	1,500.00	1,500.00	10.160	1,468.19	-31.81		
EAI \$602 Current yield 1.48%											
Security total			4,015,437	40,574.89	42,074.89	42,074.89	40,796.83	-1,278.07	221.93		

DOUBLE LINE TOTAL RETURN

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Portfolio Management Program
December 2013

Account name: HOLMES FOUNDATION INC
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Your Financial Advisor:
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Your assets • Fixed income • Mutual funds (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec. 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
FUND N									
Symbol DLTNX									
Trade date May 7, 12	1,872,920	11,210	20,995.44	20,995.44	10,780	20,190.07	-805.37		LT
Trade date Oct 2, 12	48,560	11,399	553.58	553.58	10,780	523.48	-30.10		LT
Trade date Feb 28, 13	81,070	11,349	920.14	920.14	10,780	873.93	-46.21		ST
Trade date Mar 12, 13	41,387	11,329	468.91	468.91	10,780	446.15	-22.76		ST
Trade date Jun 14, 13	44,573	11,250	501.45	501.45	10,780	480.50	-20.95		ST
Total reinvested	168,421	11,192	1,884.99	1,884.99	10,780	1,815.58	-69.41		
EAI \$1,189 Current yield 4.89%								890.19	
Security total	2,256,931	11,221	23,439.52	25,324.51		24,329.71	-994.80		
FIRST TRUST PREFERRED SECURITIES AND INCOME FUND A									
Symbol FPEAX									
Trade date Feb 1, 12	781,981	20,769	16,241.74	16,241.74	20,070	15,694.35	-547.39		LT
Trade date Oct 2, 12	190,210	22,289	4,239.78	4,239.78	20,070	3,817.51	-422.27		LT
Trade date Feb 28, 13	32,229	22,359	720.64	720.64	20,070	646.84	-73.80		ST
Trade date Mar 12, 13	14,299	22,410	320.45	320.45	20,070	286.98	-33.47		ST
Trade date Jun 14, 13	52,072	21,830	1,136.74	1,136.74	20,070	1,045.08	-91.66		ST
Total reinvested	105,287	21,576	2,271.76	2,271.76	20,070	2,113.11	-158.65		
EAI \$1,312 Current yield 5.56%								944.52	
Security total	1,176,078	21,199	22,659.35	24,931.11		23,603.88	-1,327.24		
PIMCO INCOME FUND CLASS A									
Symbol PONAX									
Trade date Feb 28, 13	2,523,037	12,549	31,664.11	31,664.11	12,260	30,932.43	-731.68		ST
Trade date Mar 12, 13	49,522	12,529	620.51	620.51	12,260	607.14	-13.37		ST
Trade date Apr 22, 13	657,477	12,599	8,284.21	8,284.21	12,260	8,060.67	-223.54		ST
Trade date Jun 14, 13	37,119	12,510	464.36	464.36	12,260	455.08	-9.28		ST
Total reinvested	122,900	12,361	1,519.22	1,519.22	12,260	1,506.75	-12.47		
EAI \$2,109 Current yield 5.07%								528.88	
Security total	3,390,055	12,552	41,033.19	42,552.41		41,562.07	-990.34		<i>continued next page</i>



Portfolio Management Program
December 2013

Account name: HOLMES FOUNDATION INC
Account number: BW 14295 LP

Your Financial Advisor:
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Your assets • Fixed income • Mutual funds (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
TEMPLETON GLOBAL TOTAL									
RETURN CLASS A									
Symbol TGTRX									
Trade date Jun 14, 13	3,065 282	13 459	41,258 69	41,258 69	13 480	41,320 00	61 31		ST
Trade date Oct 9, 13	1,824 894	13 359	24,380 58	24,380 58	13 480	24,599 57	218 99		ST
Total reinvested	121 657	13 370		1,626 62	13 480	1,639 94	13 32		
EAI: \$2,656 Current yield 3.93%									
Security total	5,011.833	13 421	65,639 27	67,265 89		67,559 50	293 62	1,920 24	
Total			\$193,346.22	\$202,148.81		\$197,851.99	-\$4,296.83	\$4,505.77	
Total estimated annual income: \$7,868									

Your total assets

Cash	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances	11,341.99	1.26%	11,341.99		
Equities	690,132.00	76.74%	516,488.01	15,226.00	173,643.99
Fixed income	197,851.99	22.00%	202,148.81	7,868.00	-4,296.83
Total	\$899,325.98	100.00%	\$729,978.81	\$23,094.00	\$169,347.16

Account activity this month

For more information about the price/value shown for restricted securities, see Important information about your statement at the end of this document

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Nov 29							
		Cash and money balance					\$8,887.65
Dec 2	Dividend	AMERISOURCEBERGEN CORP PAID ON	149			35 02	
Dec 2	Dividend	FORD MOTOR CO COM NEW PAID ON	744			74 40	
Dec 2	Dividend	AFLAC INC PAID ON	418			154 66	
Dec 2	Dividend	PHILLIPS 66 PAID ON	397			154 83	
Dec 2	Dividend	WELLS FARGO & CO NEW PAID ON	302 AS OF 12/01/13			90 60	



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Portfolio Management Program
December 2013

Account name:
Account number:

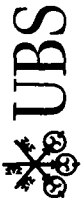
HOLMES FOUNDATION INC
BW 14295 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 2	Dividend	PIMCO INCOME FUND CLASS A AS OF 11/29/13			174.59	174.59	
Dec 2	Reinvestment	PIMCO INCOME FUND CLASS A DIVIDEND REINVESTED AT 12.31 NAV ON 11/29/13 AS OF 11/29/13		14.183		-174.59	
Dec 2	Dividend	DOUBLE LINE TOTAL RETURN FUND N AS OF 11/29/13			98.75	98.75	
Dec 2	Reinvestment	DOUBLE LINE TOTAL RETURN FUND N DIVIDEND REINVESTED AT 10.90 NAV ON 11/29/13 AS OF 11/29/13		9.060		-98.75	
Dec 2	Dividend	FIRST TRUST PREFERRED SECURITIES AND INCOME FUND A AS OF 11/29/13			109.20	109.20	
Dec 2	Reinvestment	FIRST TRUST PREFERRED SECURITIES AND INCOME FUND A DIVIDEND REINVESTED AT 20.27 NAV ON 11/29/13 AS OF 11/29/13		5.387		-109.20	
Dec 2	Dividend	SMUCKER J M CO NEW PAID ON	120		69.60	69.60	9,466.76
Dec 3	Dividend	VISA INC CL A PAID ON	68		27.20	27.20	
Dec 3	Dividend	AMERICAN BEACON FLEXIBLE BOND FUND INVESTOR CLASS			30.29	30.29	
Dec 3	Reinvestment	AMERICAN BEACON FLEXIBLE BOND FUND INVESTOR CLASS DIVIDEND REINVESTED AT 10.16 NAV ON 12/02/13		2.981		-30.29	9,493.96
Dec 4	Dividend	UNITED PARCEL SERVICE INC CL B PAID ON 143			88.66	88.66	9,582.62
Dec 6	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 12/05/13			19	19	9,582.81
Dec 10	Dividend	INTL BUSINESS MACH PAID ON	61		57.95	57.95	
Dec 10	Dividend	JOHNSON & JOHNSON COM PAID ON	97		64.02	64.02	
Dec 10	Dividend	LORILLARD INC PAID ON	186		102.30	102.30	
Dec 10	Dividend	NORFOLK STHN CORP PAID ON	160		83.20	83.20	
Dec 10	Dividend	UNTD TECHNOLOGIES CORP PAID ON	131		77.29	77.29	9,967.57
Dec 11	Foreign Dividend	UNILEVER PLC AMER SHS NEW SPON ADR PAID ON 199 CUSIP 904767704			73.19	73.19	10,040.76
Dec 12	Dividend	APPLIED MATERIALS INC PAID ON	1019		101.90	101.90	
Dec 12	Dividend	MICROSOFT CORP PAID ON	359		100.52	100.52	

continued next page



UBS Financial Services Inc
 750 Washington Blvd
 11th Floor
 Stamford CT 06901-3722

CPZ2001115363 12:13 BW 1

2013 Year End Summary

Duplicate statement for the account of:

HOLMES FOUNDATION INC
 3111 CARDINAL DRIVE
 VERO BEACH FL 32963-1920
 Account number BW 14294 LP

GINA WISNIEWSKI CPA
 5070 N A1A
 SUITE 250
 VERO BEACH FL 32963-1472

Summary of gains and losses

	Amount (\$)
Short term	9,821.89
Long term	23,218.70
Total	\$33,040.59





Portfolio Management Program

Account name: HOLMES FOUNDATION INC
 Account number: BW 14294 LP

Your Financial Advisor:
 THE FDG GROUP
 203-357-0700/800-942-2045

Realized gains and losses

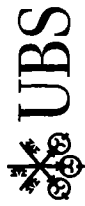
Estimated 2013 gains and losses for transactions with trade dates through 12/31/13 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

See important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ALLIANCE BERNSTEIN HIGH INCOME MUNI CLASS A	FIFO	9 802	Jun 20, 12	Jun 12, 13	110 37	108 31			2 06
	FIFO	605 252	Jul 09, 12	Jun 12, 13	6,815 13	6,724 35			90 78
	FIFO	10 740	Jul 20, 12	Jun 12, 13	120 94	121 04		-0 10	
	FIFO	11 037	Aug 20, 12	Jun 12, 13	124 27	124 39		-0 12	
	FIFO	11 543	Sep 20, 12	Jun 12, 13	129 98	131 01		-1 03	
	FIFO	9 853	Oct 19, 12	Jun 12, 13	110 94	112 92		-1 98	
	FIFO	8 147	Nov 20, 12	Jun 12, 13	91 74	95 08		-3 34	
	FIFO	7 921	Dec 20, 12	Jun 12, 13	89 19	91 41		-2 22	
	FIFO	2 955	Dec 31, 12	Jun 12, 13	33 27	34 13		-0 86	
	FIFO	8 273	Jan 31, 13	Jun 12, 13	93 16	96 13		-2 97	
	FIFO	85 371	Feb 13, 13	Jun 12, 13	961 27	990 30		-29 03	
	FIFO	7 546	Feb 28, 13	Jun 12, 13	84 97	87 61		-2 64	
	FIFO	8 491	Mar 28, 13	Jun 12, 13	95 61	97 90		-2 29	
	FIFO	7 953	Apr 30, 13	Jun 12, 13	89 55	92 41		-2 86	
	FIFO	7 797	May 17, 13	Jun 12, 13	87 79	90 84		-3 05	
FIFO	8 401	May 31, 13	Jun 12, 13	94 60	96 61		-2 01		
ALLIANCEBERNSTEIN GLOBAL BOND FUND INC FD CLA	FIFO	5 138	Oct 19, 12	Oct 10, 13	42 33	44 39		-2 06	
	FIFO	5 640	Nov 20, 12	Oct 10, 13	46 48	48 84		-2 36	
	FIFO	27 840	Dec 07, 12	Oct 10, 13	229 40	239 70		-10 30	
	FIFO	5 281	Dec 20, 12	Oct 10, 13	43 51	45 36		-1 85	
	FIFO	1 875	Dec 31, 12	Oct 10, 13	15 45	16 16		-0 71	
	FIFO	5 191	Jan 31, 13	Oct 10, 13	42 78	44 38		-1 60	
	FIFO	112 698	Feb 13, 13	Oct 10, 13	928 63	963 57		-34 94	

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Portfolio Management Program

Account name:
Account number:

HOLMES FOUNDATION INC.
BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	FIFO	4 883	Feb 28, 13	Oct 10, 13	40 24	42 09		-1.85	
	FIFO	5 577	Mar 28, 13	Oct 10, 13	45 95	48.24		-2.29	
	FIFO	5 717	Apr 30, 13	Oct 10, 13	47 11	49 85		-2.74	
	FIFO	5 592	May 31, 13	Oct 10, 13	46 08	47 92		-1.84	
	FIFO	4,153	Jun 28, 13	Oct 10, 13	34 22	34 72		-0.50	
	FIFO	4 830	Jul 31, 13	Oct 10, 13	39 80	40 23		-0.43	
	FIFO	4 990	Aug 30, 13	Oct 10, 13	41 11	40 92			0.19
	FIFO	4 110	Sep 30, 13	Oct 10, 13	33.87	33 95		-0.08	
AMERICAN FUNDS NEW WORLD F-1	FIFO	1 574	Oct 10, 13	Dec 19, 13	92 05	91 43			0.62
BLACKROCK LATIN AMERICA FUND INC A	FIFO	19,098	Oct 02, 12	Feb 13, 13	1,193 45	1,124 29			69.16
	FIFO	3 242	Oct 02, 12	Mar 25, 13	193 23	190 86			2.37
	FIFO	10,593	Oct 02, 12	Apr 23, 13	640 34	623 61			16.73
	FIFO	3 831	Oct 02, 12	Jun 20, 13	190 57	190 57			
	FIFO	239 334	Oct 02, 12	Aug 14, 13	12,490 84	14,089 58		-1,598.74	
	FIFO	8 244	Dec 03, 12	Aug 14, 13	430 25	472 77		-42.52	
	FIFO	4 104	Dec 19, 12	Aug 14, 13	214 19	246 53		-32.34	
	FIFO	11 666	May 17, 13	Aug 14, 13	608 85	711 84		-102.99	
	FIFO	3 831	Jun 12, 13	Aug 14, 13	199 94	240 41		-40.47	
	FIFO	17 709	Jun 12, 13	Aug 14, 13	924.23	949.73		-25.50	
CAMBIAR SMALL CAP FUND INVESTOR CLASS	FIFO	14 995	Feb 13, 13	Mar 25, 13	307 25	305 60			1.65
	FIFO	48 718	Feb 13, 13	Apr 23, 13	958.28	992.87		-34.59	
	FIFO	1,177 952	Feb 13, 13	May 17, 13	24,713 43	24,006 67			706.76
EATON VANCE ATLANTA CAPITAL SMID-CAP FUND CLASS A	FIFO	936 249	Jul 09, 12	Feb 13, 13	17,451 68	14,895 72			2,555.96
	FIFO	16 358	Oct 02, 12	Feb 13, 13	304.92	270 24			34.68
	FIFO	3 145	Dec 13, 12	Feb 13, 13	58.62	53.06			5.56

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
EATON VANCE TAX-ADV BOND STRATEGIES SHORT TERM FUND A									
	FIFO	2 371	Feb 29, 12	Feb 13, 13	25 27	25 65		-0 38	
	FIFO	1 611	Mar 30, 12	Feb 13, 13	17 17	17 27		-0 10	
	FIFO	1 794	Apr 30, 12	Feb 13, 13	19 13	19 38		-0 25	
	FIFO	1 533	May 31, 12	Feb 13, 13	16 34	16 59		-0 25	
	FIFO	1 843	Jun 29, 12	Feb 13, 13	19 65	19 87		-0 22	
	FIFO	0 999	Jul 31, 12	Feb 13, 13	10 65	10 85		-0 20	
	FIFO	0 701	Aug 31, 12	Feb 13, 13	7 47	7 61		-0 14	
	FIFO	0 795	Sep 28, 12	Feb 13, 13	8 47	8 67		-0 20	
	FIFO	58 475	Oct 02, 12	Feb 13, 13	623 35	637 96		-14 61	
	FIFO	0 472	Oct 31, 12	Feb 13, 13	5 03	5 14		-0 11	
	FIFO	0 510	Nov 30, 12	Feb 13, 13	5 43	5 59		-0 16	
	FIFO	1 510	Dec 13, 12	Feb 13, 13	16 10	16 13		-0 03	
	FIFO	14 107	Dec 13, 12	Feb 13, 13	150 38	150 66		-0 28	
	FIFO	0 255	Dec 31, 12	Feb 13, 13	2 72	2 72			
	FIFO	0 181	Jan 31, 13	Feb 13, 13	1 93	1 93			
ETFs: PRECIOUS METALS BASKET TR									
	VSP	5 000	Dec 03, 12	May 17, 13	373 41	489 57		-116 16	
	VSP	2 000	Feb 13, 13	Mar 25, 13	178 65	186 80		-8 15	
	VSP	7 000	Feb 13, 13	Apr 23, 13	532 06	653 79		-121 73	
	VSP	5 000	Feb 13, 13	May 17, 13	373 42	467 00		-93 58	
FIRST TRUST EXCHANGE TRADED FUND II USE CLOUD COMPUTING INDEX									
	VSP	4 000	Oct 10, 13	Nov 13, 13	100 58	97 88			2 70
	VSP	3 000	Oct 10, 13	Dec 19, 13	77 15	73 41			3 74
GUGGENHEIM S&P GLOBAL WATER INDEX ETF									
	VSP	5 000	Oct 10, 13	Nov 13, 13	132 49	128 90			3 59
	VSP	1 000	Oct 10, 13	Dec 19, 13	26 95	25 78			1 17

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Portfolio Management Program

Account name: HOLMES FOUNDATION INC
 Account number: BW 14294 LP

Your Financial Advisor:
 THE FDG GROUP
 203-357-0700/800-942-2045

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
HENNESSY CORNERSTONE MID CAP 30 FUND INVESTOR CLASS									
	FIFO	12,877	Feb 13, 13	Mar 25, 13	210.03	203.71			6.32
	FIFO	41,472	Feb 13, 13	Apr 23, 13	665.21	656.09			9.12
	FIFO	19,484	Feb 13, 13	Jun 20, 13	311.75	308.24			3.51
	FIFO	82,669	Feb 13, 13	Oct 10, 13	1,385.54	1,307.82			77.72
	FIFO	16,418	Feb 13, 13	Dec 19, 13	272.86	259.74			13.12
IQ HEDGE MULTI-STRAT TRACKER									
	VSP	9,000	Feb 13, 13	Mar 25, 13	251.72	251.51			0.21
	VSP	24,000	Feb 13, 13	Apr 23, 13	677.26	670.71			6.55
ISHARES MICRO-CAP ETF									
	VSP	4,000	Feb 13, 13	Mar 25, 13	234.69	225.37			9.32
	VSP	12,000	Feb 13, 13	Apr 23, 13	689.26	676.10			13.16
	VSP	18,000	Feb 13, 13	May 17, 13	1,101.84	1,014.14			87.70
	VSP	10,000	Feb 13, 13	Jun 12, 13	613.60	563.42			50.18
	VSP	3,000	Feb 13, 13	Jun 20, 13	182.66	169.03			13.63
	VSP	35,000	Feb 13, 13	Oct 10, 13	2,381.50	1,971.95			409.55
	VSP	9,000	Nov 13, 13	Dec 19, 13	654.08	629.53			24.55
ISHARES MSCI MEXICO CAPPED ETF									
	VSP	5,000	Nov 13, 13	Dec 19, 13	332.69	313.98			18.71
ISHARES RUSSELL 1000 GROWTH ETF									
	VSP	252,000	Dec 03, 12	Mar 18, 13	17,806.24	16,579.74			1,226.50
	VSP	6,000	Dec 03, 12	Mar 25, 13	423.79	394.76			29.03
	VSP	19,000	Dec 03, 12	Apr 23, 13	1,364.55	1,250.06			114.49
	VSP	52,000	Dec 03, 12	Aug 14, 13	3,998.74	3,421.22			577.52
	VSP	31,000	Dec 03, 12	Oct 10, 13	2,415.01	2,039.57			375.44
	VSP	12,000	Dec 03, 12	Nov 13, 13	982.35	789.51			192.84
	VSP	237,000	Feb 13, 13	Mar 18, 13	16,746.35	16,387.13			359.22
	VSP	2,000	May 17, 13	Jun 12, 13	146.94	151.59		-4.65	
	VSP	8,000	May 17, 13	Jun 20, 13	583.59	606.38		-22.79	
	VSP	170,000	May 17, 13	Aug 14, 13	13,072.81	12,885.54			187.27

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Portfolio Management Program

Account name: HOLMES FOUNDATION INC
Account number: BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)	
ISHARES 20+ YEAR TREAS BOND ETF	VSP	3 000	Feb 13, 13	Mar 25, 13	351 86	346 86			5 00	
	VSP	9 000	Feb 13, 13	Apr 23, 13	1,103 38	1,040 58			62 80	
	VSP	10 000	Feb 13, 13	Jun 12, 13	1,129 98	1,156 20		-26 22		
	VSP	2 000	May 17, 13	Jun 12, 13	226 00	234 77		-8 77		
JHANCOCK2 GLOBAL ABSOLUTE RETURN STRATEGIES FUND CLASS A	FIFO	18 631	Dec 03, 12	Mar 25, 13	202 89	199 91			2 98	
	FIFO	62 502	Dec 03, 12	Apr 23, 13	690 02	670 65			19 37	
	FIFO	28 712	Dec 03, 12	May 17, 13	322 72	308 08			14 64	
	FIFO	0 631	Dec 03, 12	Jun 12, 13	6 82	6 77			0 05	
	FIFO	18 061	Dec 03, 12	Jun 20, 13	193 98	193 79			0 19	
	FIFO	53 263	Dec 03, 12	Oct 10, 13	576 31	571 51			4 80	
	FIFO	1,331 755	Dec 03, 12	Nov 13, 13	14,649 31	14,289 74			359 57	
	FIFO	3 146	Dec 21, 12	Nov 13, 13	34 60	33 50			1 10	
	FIFO	6 489	Dec 21, 12	Nov 13, 13	71 38	69 11			2 27	
	FIFO	4 007	Dec 21, 12	Nov 13, 13	44 08	42 67			1 41	
	FIFO	37 121	Feb 13, 13	Nov 13, 13	408 33	400 16			8 17	
	JP MORGAN TAX AWARE REAL RETURN A	FIFO	3 016	Feb 29, 12	Feb 13, 13	31 70	31 76		-0 06	
		FIFO	3 140	Mar 30, 12	Feb 13, 13	33 00	32 69			0 31
FIFO		3 135	Apr 30, 12	Feb 13, 13	32 95	32 76			0 19	
FIFO		2 984	May 31, 12	Feb 13, 13	31 36	31 03			0 33	
FIFO		3 013	Jun 29, 12	Feb 13, 13	31 66	31 09			0 57	
FIFO		3 123	Jul 31, 12	Feb 13, 13	32 83	32 57			0 26	
FIFO		2 806	Aug 31, 12	Feb 13, 13	29 49	29 24			0 25	
FIFO		2 643	Sep 28, 12	Feb 13, 13	27 78	27 75			0 03	
FIFO		48 942	Oct 02, 12	Feb 13, 13	514 38	514 87		-0 49		
FIFO		2 577	Oct 31, 12	Feb 13, 13	27 08	27 08				
FIFO		2 332	Nov 30, 12	Feb 13, 13	24 51	24 77			-0 26	
FIFO		2 192	Dec 31, 12	Feb 13, 13	23 04	22 99			0 05	

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Portfolio Management Program

Account name: HOLMES FOUNDATION INC
Account number: BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	FIFO	2,338	Jan 31, 13	Feb 13, 13	24 57	24 55			0 02
KAYNE ANDERSON MLP INVESTMENT CO									
	FIFO	8,000	Apr 13, 12	Feb 13, 13	272 58	243 29			29 29
	FIFO	1,000	Jul 09, 12	Feb 13, 13	34 07	30 60			3 47
	FIFO	8,000	Jul 13, 12	Feb 13, 13	272 57	249 94			22 63
	FIFO	10,000	Oct 02, 12	Feb 13, 13	340 71	311 91			28 80
	FIFO	9,000	Oct 12, 12	Feb 13, 13	306 65	282 87			23 78
	FIFO	9,000	Jan 11, 13	Feb 13, 13	306 64	288 50			18 14
LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS A									
	FIFO	9,148	Nov 30, 12	Nov 13, 13	95 78	102 09		-6 31	
	FIFO	6,797	Dec 31, 12	Nov 13, 13	71 16	74 83		-3 67	
	FIFO	6,288	Jan 31, 13	Nov 13, 13	65 84	69 36		-3 52	
	FIFO	1,651,307	Feb 13, 13	Nov 13, 13	17,289.18	18,213.92		-924.74	
	FIFO	7,757	Feb 28, 13	Nov 13, 13	81 22	85 71		-4 49	
	FIFO	9,475	Mar 28, 13	Nov 13, 13	99 20	104 32		-5 12	
	FIFO	9,500	Apr 30, 13	Nov 13, 13	99 46	105 36		-5 90	
	FIFO	41,602	May 17, 13	Nov 13, 13	435 58	459 70		-24 12	
	FIFO	9,157	May 31, 13	Nov 13, 13	95 87	100 18		-4 31	
	FIFO	9,767	Jun 28, 13	Nov 13, 13	102 26	103 33		-1 07	
	FIFO	9,699	Jul 31, 13	Nov 13, 13	101 55	102 03		-0 48	
	FIFO	10,035	Aug 30, 13	Nov 13, 13	105 07	103 56			1 51
	FIFO	10,418	Sep 30, 13	Nov 13, 13	109 07	109 28		-0 21	
	FIFO	10,397	Oct 31, 13	Nov 13, 13	108 86	109 58		-0 72	
MAINSTAY MARKETFIELD FUND CLASS I									
	FIFO	27,892	Dec 03, 12	Feb 13, 13	464 68	435 95			28 73
	FIFO	12,179	Dec 03, 12	Mar 25, 13	203 64	190 36			13 28
	FIFO	40,345	Dec 03, 12	Apr 23, 13	669.72	630 59			39 13
	FIFO	7,767	Dec 03, 12	May 17, 13	133 28	121 40			11 88
	FIFO	28,534	Dec 03, 12	Jun 12, 13	482 23	445 99			36 24
	FIFO	11,462	Dec 03, 12	Jun 20, 13	195 43	179 15			16 28



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Portfolio Management Program

Account name:
Account number:

HOLMES FOUNDATION INC
BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PIMCO ALL ASSET FUND CL A	FIFO	81 340	Dec 03, 12	Oct 10, 13	1,468 19	1,271 34			196 85
PIMCO ALL ASSET FUND CL A	FIFO	3,017 820	Nov 18, 13	Dec 19, 13	37,149 36	37,511 50		-362 14	
PIMCO ALL ASSETS ALL AUTH-A	FIFO	103 454	Dec 27, 12	Nov 18, 13	1,068 68	1,152 48		-83 80	
	FIFO	20 493	Dec 31, 12	Nov 18, 13	211 70	226 86		-15 16	
	FIFO	83 843	Feb 13, 13	Nov 18, 13	866 09	926 46		-60 37	
	FIFO	21 606	Mar 21, 13	Nov 18, 13	223 19	236 15		-12 96	
	FIFO	55 429	May 17, 13	Nov 18, 13	572 59	609 16		-36 57	
	FIFO	41.270	Jun 12, 13	Nov 18, 13	426 31	434 99		-8 68	
	FIFO	26 235	Jun 20, 13	Nov 18, 13	271 01	268 38			2 63
	FIFO	26 565	Sep 19, 13	Nov 18, 13	274 42	274 15			0 27
	FIFO	123 277	Nov 13, 13	Nov 18, 13	1,273 45	1,258 66			14 79
PIMCO COMMODITY REAL RETURN STRATEGY FUND CLASS A	FIFO	45 804	Oct 02, 12	Mar 25, 13	297 27	321 38	-1 08	-24 11	
	FIFO	154 693	Oct 02, 12	Apr 23, 13	948 27	1,089 04		-140 77	
	FIFO	3,262.501	Oct 02, 12	May 17, 13	19,999 13	22,968 01		-2,968 88	
	FIFO	129 258	Dec 03, 12	May 17, 13	792 35	881 54		-89 19	
	FIFO	26 694	Dec 12, 12	May 17, 13	163 64	177 25		-13.61	
	FIFO	10 837	Dec 12, 12	May 17, 13	66 43	71 96		-5 53	
	FIFO	0 006	Dec 27, 12	May 17, 13	0 03	0 04		-0 01	
	FIFO	214 111	Feb 13, 13	May 17, 13	1,312 51	1,410 99		-98 48	
	FIFO	1 960	Mar 21, 13	May 17, 13	12 01	13 80	1 08	-1 79	
PIMCO EQS LONG/SHORT FUND CLASS A	FIFO	44 327	Jun 12, 13	Jun 20, 13	484 94	486 71		-1 77	
	FIFO	328 330	Jun 12, 13	Oct 10, 13	3,854.60	3,605 06			249 54
	FIFO	87 758	Jun 12, 13	Nov 13, 13	1,068 01	963 59			104 42
	FIFO	50 952	Jun 12, 13	Dec 19, 13	601 74	559 45			42 29
PIMCO INCOME FUND CLASS A	FIFO	23 991	Dec 03, 12	Mar 25, 13	300 61	297 01			3 60
	FIFO	81 065	Dec 03, 12	Apr 23, 13	1,022 23	1,003 58			18 65

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Portfolio Management Program

Account name: HOLMES FOUNDATION INC.
Account number: BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
	FIFO	31,295	Dec 03, 12	May 17, 13	400 89	387 44			13 45
	FIFO	23,272	Dec 03, 12	Jun 12, 13	289 74	288 10			1 64
	Adjustment	23,906	Dec 03, 12	Jun 20, 13	293 81	294.53	-1 43	-0.72	
	Adjustment	78,079	Dec 03, 12	Oct 10, 13	955 69	964 41	-2 21	-8 72	
PIMCO SMALL CAP STOCKS									
PLUS AR STRATEGY FUND A	FIFO	23,339	May 17, 13	Jun 12, 13	211 45	211.45	-8 40		
	FIFO	57,190	May 17, 13	Jun 20, 13	504 99	536 52	-2 21	-31 53	
	FIFO	1,165,940	May 17, 13	Oct 10, 13	11,472 85	10,983 16			489 69
	FIFO	73,911	May 17, 13	Dec 19, 13	720 63	696 24			24 39
POWERSHARES ETF INTL									
DIVID ACHIEVERS PORTFOLIO	VSP	8,000	Jun 12, 13	Jun 20, 13	131 84	134 72		-2.88	
SPDR S&P HOMEBUILDERS									
ETF	VSP	3,000	Oct 10, 13	Nov 13, 13	91 43	88 83			2 60
	VSP	6,000	Oct 10, 13	Dec 19, 13	190.63	177 66			12 97
SPDR S&P OIL & GAS									
EXPLORATION ETF	VSP	1,000	Oct 10, 13	Nov 13, 13	68 17	67 13			1 04
TCW EMERGING MARKETS									
INCOME FUND CLASS N	FIFO	24,957	Jul 09, 12	Mar 25, 13	298 73	282 02			16 71
	FIFO	84,366	Jul 09, 12	Apr 23, 13	1,014 08	953 33			60.75
	FIFO	1,184,925	Jul 09, 12	Jun 12, 13	13,377 81	13,389 66		-11 85	
	FIFO	6,752	Jul 31, 12	Jun 12, 13	76 23	78 12		-1.89	
	FIFO	6,666	Aug 31, 12	Jun 12, 13	75 25	77 73		-2.48	
	FIFO	6,640	Sep 28, 12	Jun 12, 13	74 97	78 09		-3 12	
	FIFO	701,095	Oct 02, 12	Jun 12, 13	7,915 36	8,286 94		-371 58	
	FIFO	9,809	Nov 01, 12	Jun 12, 13	110 75	117 02		-6 27	
	FIFO	9,484	Nov 30, 12	Jun 12, 13	107.07	114 09		-7.02	
	FIFO	9,318	Dec 28, 12	Jun 12, 13	105 20	111.91		-6 71	
	FIFO	36,425	Dec 28, 12	Jun 12, 13	411 24	437 46		-26 22	
	FIFO	9,472	Jan 31, 13	Jun 12, 13	106 94	114 42		-7 48	
	FIFO	11,847	Feb 13, 13	Jun 12, 13	133 75	142 64		-8 89	

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Portfolio Management Program

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THE FDG GROUP
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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	FIFO	9 633	Feb 28, 13	Jun 12, 13	108 76	115 60		-6 84	
	FIFO	9 667	Mar 28, 13	Jun 12, 13	109 14	114 75		-5 61	
	FIFO	9 190	Apr 30, 13	Jun 12, 13	103 75	110 65		-6 90	
	FIFO	2 352	May 17, 13	Jun 12, 13	26 56	28 41		-1 85	
	FIFO	9 552	May 31, 13	Jun 12, 13	107 84	111 28		-3 44	
TEMPLETON FRONTIER MARKETS CLASS A	FIFO	12 593	Dec 10, 12	Aug 14, 13	218 36	195 82			22 54
	FIFO	897 332	Feb 13, 13	Aug 14, 13	15,559 74	15,496 92			62 82
TEMPLETON GLOBAL TOTAL RETURN CLASS A	Adjustment	22 487	Jun 12, 13	Jun 20, 13	295 03	298 30	-3 03	-3 27	
	FIFO	1,086 030	Jun 12, 13	Nov 13, 13	14,520 22	14,552 36	-0 44	-32 14	
	FIFO	6 979	Jun 12, 13	Dec 19, 13	93 52	93 52			
THIRD AVENUE FOCUSED CREDIT INVESTOR CLASS	FIFO	36 426	Jun 12, 13	Jun 20, 13	394 49	394 49	-11 66		2 76
	FIFO	55 295	Jun 12, 13	Nov 13, 13	619 30	616 54			
	FIFO	596 208	Jun 12, 13	Dec 19, 13	6,623 87	6,645 01	-2 71	-21 14	
THORNBURG LIMITED TERM MUNICIPAL FUND CLASS A	FIFO	1,839 007	Oct 10, 13	Dec 19, 13	26,444 92	26,426 53			18 39
TOUCHSTONE SANDS CAPITAL SELECT GROWTH A	FIFO	31 383	Mar 18, 13	Mar 25, 13	413 94	413 31			0 63
	FIFO	102 416	Mar 18, 13	Apr 23, 13	1,370 33	1,348 82			21 51
	FIFO	52 972	Mar 18, 13	Jun 20, 13	709 83	697 64			12 19
	FIFO	1,856 929	Mar 18, 13	Aug 14, 13	27,315 43	24,455 76			2,859 67
	FIFO	205 772	Mar 18, 13	Oct 10, 13	3,280 01	2,710 02			569 99
	FIFO	91 953	Mar 18, 13	Nov 13, 13	1,542 97	1,211 02			331 95
	FIFO	51 940	Mar 18, 13	Dec 19, 13	889 21	684 05			205 16
VANGUARD DIVID APPRECIATION ETF	VSP	3 000	Dec 03, 12	Feb 13, 13	191 10	178 58			12 52
VANGUARD EXTID MKT ETF	VSP	31 000	Oct 02, 12	Feb 13, 13	2,038 29	1,846 98			191 31
	VSP	6 000	Oct 02, 12	Mar 25, 13	405 95	357 48			48 47
	VSP	20 000	Oct 02, 12	Apr 23, 13	1,342 97	1,191 60			151 37
	VSP	3 000	May 17, 13	Jun 12, 13	208 80	215 34		-6 54	

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THE FDG GROUP
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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
VANGUARD REIT ETF	VSP	7 000	May 17, 13	Jun 20, 13	484 21	502 45		-18 24	340 17
	VSP	70 000	May 17, 13	Oct 10, 13	5 364 71	5 024 54			8 85
	VSP	5 000	Nov 13, 13	Dec 19, 13	405 39	396 54			1 243 25
	VSP	250 000	Oct 02, 12	Feb 13, 13	17 376 10	16 132 85			10 61
	VSP	2 000	Dec 03, 12	Feb 13, 13	139 01	128 40			543 20
VANGUARD SECTOR INDEX FD	VSP	111 000	Dec 03, 12	Feb 13, 13	8 619 09	8 075 89			
VANGUARD HEALTH CARE ETF	VSP	8 000	Jun 12, 13	Jun 20, 13	414 15	420 96		-6 81	
VANGUARD TOTAL WORLD STK INDEX FD ETF	VSP	374 327	Aug 14, 13	Oct 10, 13	1 134 21	1 126 72			7 49
WASATCH FRONTIER EMERG SM COUNTRS CLASS INV	FIFO	5 798	Aug 14, 13	Nov 13, 13	17 80	17 46			0 34
WESTERN ASSET MANAGED MUNICIPALS FUND CLASS A	FIFO	5 775	Oct 31, 12	Oct 10, 13	91 47	99 73		-8 26	
	FIFO	6 014	Nov 30, 12	Oct 10, 13	95 27	105 67		-10 40	
	FIFO	5 733	Dec 31, 12	Oct 10, 13	90 81	98 78		-7 97	
	FIFO	5 754	Jan 31, 13	Oct 10, 13	91 14	99 54		-8 40	
	FIFO	567 475	Feb 13, 13	Oct 10, 13	8 988 80	9 817 31		-828 51	
	FIFO	6 012	Feb 28, 13	Oct 10, 13	95 23	104 07		-8 84	
	FIFO	7 888	Mar 28, 13	Oct 10, 13	124 95	135 36		-10 41	
	FIFO	7 424	Apr 30, 13	Oct 10, 13	117 60	128 44		-10 84	
	FIFO	26 307	May 17, 13	Oct 10, 13	416 70	454 58		-37 88	
	FIFO	8 304	Jul 31, 13	Oct 10, 13	131 53	132 86		-1 33	2 19
	FIFO	9 123	Aug 30, 13	Oct 10, 13	144 51	142 32			
	FIFO	7 529	Sep 30, 13	Oct 10, 13	119 26	119 79		-0 53	
WISDOMTREE BRAZILIAN REAL FD	VSP	25 000	Dec 06, 12	Feb 13, 13	494 64	465 50			29 14
	VSP	849 000	Dec 06, 12	Mar 12, 13	16 937 17	15 808 31			1 128 86
	VSP	1 000	Dec 06, 12	Mar 12, 13	19 95	18 51			1 44



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Portfolio Management Program

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	VSP	2 000	Dec 06, 12	Mar 12, 13	39 90	37 16			2 74
WISDOMTREE EMERGING MARKETS EQUITY INCOM	VSP	5 000	Jul 09, 12	Feb 13, 13	283 19	255 14			28 05
	VSP	7 000	Jul 09, 12	Mar 25, 13	379 37	357 19			22 18
	VSP	16 000	Jul 09, 12	Apr 23, 13	867 82	816 45			51 37
	VSP	9 000	Dec 03, 12	Feb 13, 13	509 75	480 15			29 60
WISDOMTREE EQUITY INCOME FUND INC	VSP	98 000	Dec 03, 12	Feb 13, 13	4,794 34	4,517 87			276 47
	VSP	5 000	Dec 03, 12	Mar 25, 13	252 44	230 50			21 94
	VSP	17 000	Dec 03, 12	Apr 23, 13	895 03	783 72			111 31
	VSP	32 000	Dec 03, 12	May 17, 13	1,723 22	1,475 22			248 00
	VSP	3 000	Dec 03, 12	Aug 14, 13	158 53	138 30			20 23
	VSP	90 000	Dec 03, 12	Oct 10, 13	4,696 31	4,149 07			547 24
	VSP	7 000	Dec 03, 12	Nov 13, 13	381 28	322 70			58 58
	VSP	7 000	Jun 12, 13	Jun 20, 13	359 30	363 60		-4 30	
	VSP	146 000	Jun 12, 13	Aug 14, 13	7,715 12	7,583 66			131 46
Total					\$489,200.92	\$479,379.03		-\$8,871.28	\$18,693.17
Net short-term capital gains and losses									\$9,821.89

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ALLIANCE BERNSTEIN HIGH INCOME MUNI CLASS A	FIFO	25 896	Apr 15, 10	Mar 25, 13	298 58	259 74			38 84
	FIFO	10 718	Apr 15, 10	Apr 23, 13	124 22	107 50			16 72
	FIFO	0 438	Apr 20, 10	Apr 23, 13	5 08	4 42			0 66
	FIFO	12 765	May 20, 10	Apr 23, 13	147 95	130 08			17 87
	FIFO	12 893	Jun 18, 10	Apr 23, 13	149 43	130 74			18 69
	FIFO	12 301	Jul 20, 10	Apr 23, 13	142 57	126 33			16 24
	FIFO	8 883	Aug 20, 10	Apr 23, 13	102 95	93 98			8 97
	FIFO	7 265	Sep 20, 10	Apr 23, 13	84 20	77 30			6 90

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Portfolio Management Program

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	FIFO	7 437	Oct 20, 10	Apr 23, 13	86.20	79.80			6.40
	FIFO	8 429	Nov 19, 10	Apr 23, 13	97.69	84.29			13.40
	FIFO	6 422	Nov 23, 10	Apr 23, 13	74.43	64.67			9.76
	FIFO	200.750	Nov 23, 10	Jun 12, 13	2,260.44	2,021.55			238.89
	FIFO	4 624	Dec 20, 10	Jun 12, 13	52.07	44.62			7.45
	FIFO	8 078	Dec 20, 10	Jun 12, 13	90.96	78.76			12.20
	FIFO	3 034	Dec 31, 10	Jun 12, 13	34.16	29.55			4.61
	FIFO	5.842	Jan 20, 11	Jun 12, 13	65.78	54.68			11.10
	FIFO	147 219	Jan 28, 11	Jun 12, 13	1,657.69	1,398.58			259.11
	FIFO	9 642	Feb 18, 11	Jun 12, 13	108.57	92.18			16.39
	FIFO	8.247	Mar 18, 11	Jun 12, 13	92.86	79.25			13.61
	FIFO	9.359	Apr 20, 11	Jun 12, 13	105.38	89.47			15.91
	FIFO	9 396	May 20, 11	Jun 12, 13	105.80	92.27			13.53
	FIFO	8 274	Jun 20, 11	Jun 12, 13	93.17	82.16			11.01
	FIFO	8 880	Jul 20, 11	Jun 12, 13	99.98	89.24			10.74
	FIFO	8 642	Aug 19, 11	Jun 12, 13	97.31	87.63			9.68
	FIFO	7 491	Sep 20, 11	Jun 12, 13	84.35	76.63			7.72
	FIFO	7 003	Oct 20, 11	Jun 12, 13	78.86	71.36			7.50
	FIFO	6 899	Nov 18, 11	Jun 12, 13	77.68	70.58			7.10
	FIFO	6 540	Dec 20, 11	Jun 12, 13	73.64	67.69			5.95
	FIFO	2.371	Dec 30, 11	Jun 12, 13	26.70	24.66			2.04
	FIFO	4 699	Jan 20, 12	Jun 12, 13	52.91	50.14			2.77
	FIFO	791 843	Feb 01, 12	Jun 12, 13	8,916.15	8,543.99			372.16
	FIFO	7 873	Feb 17, 12	Jun 12, 13	88.65	85.11			3.54
	FIFO	9 415	Mar 20, 12	Jun 12, 13	106.01	100.83			5.18
	FIFO	10 404	Apr 20, 12	Jun 12, 13	117.15	113.30			3.85
	FIFO	8 807	May 18, 12	Jun 12, 13	99.17	97.32			1.85

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Portfolio Management Program

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HOLMES FOUNDATION INC
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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ALLIANCEBERNSTEIN GLOBAL BOND FUND INC FD CL A	FIFO	35 060	Dec 17, 09	Mar 25, 13	302 92	280 48			22 44
	FIFO	118 295	Dec 17, 09	Apr 23, 13	1,030 35	946 36			83 99
	FIFO	12 169	Dec 17, 09	May 17, 13	105 75	97 35			8 40
	FIFO	486.754	Dec 17, 09	Jun 12, 13	4,113 07	3,894 03			219 04
	FIFO	29 363	Dec 17, 09	Jun 20, 13	246 06	234 91			11 15
	FIFO	203.574	Dec 17, 09	Oct 10, 13	1,677 45	1,628 59			48 86
	FIFO	19 940	Dec 18, 09	Oct 10, 13	164 31	159 52			4 79
	FIFO	11 710	Dec 31, 09	Oct 10, 13	96 49	92 98			3 51
	FIFO	171 437	Jan 08, 10	Oct 10, 13	1,412 64	1,376 64			36 00
	FIFO	20 808	Jan 20, 10	Oct 10, 13	171 45	167 71			3 74
	FIFO	31 254	Feb 19, 10	Oct 10, 13	257 54	250 66			6 88
	FIFO	25 333	Mar 19, 10	Oct 10, 13	208 74	206 21			2 53
	FIFO	26 916	Apr 20, 10	Oct 10, 13	221 79	220 44			1 35
	FIFO	6 432	May 20, 10	Oct 10, 13	53 00	52 68			0 32
	FIFO	6 457	Jun 18, 10	Oct 10, 13	53 20	52 88			0 32
	FIFO	6 350	Jul 20, 10	Oct 10, 13	52 33	52 64		-0 31	
	FIFO	6 581	Aug 20, 10	Oct 10, 13	54 23	55 74		-1 51	
	FIFO	5 770	Sep 20, 10	Oct 10, 13	47 54	48 70		-1 16	
	FIFO	5 920	Oct 20, 10	Oct 10, 13	48 78	50 56		-1 78	
	FIFO	6 457	Nov 19, 10	Oct 10, 13	53 21	54 50		-1 29	
	FIFO	184 161	Nov 23, 10	Oct 10, 13	1,517 48	1,558 00		-40 52	
	FIFO	5 994	Dec 20, 10	Oct 10, 13	49 39	50 11		-0 72	
	FIFO	2 351	Dec 31, 10	Oct 10, 13	19 38	19 70		-0 32	
	FIFO	4 384	Jan 20, 11	Oct 10, 13	36 12	36 56		-0 44	
	FIFO	69 478	Jan 28, 11	Oct 10, 13	572 50	580 84		-8 34	
	FIFO	7 449	Feb 18, 11	Oct 10, 13	61 38	62 05		-0 67	
	FIFO	6 132	Mar 18, 11	Oct 10, 13	50 53	51 20		-0 67	
	FIFO	23 764	Mar 31, 11	Oct 10, 13	195 81	197 48		-1 67	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
	FIFO	7 120	Apr 20, 11	Oct 10, 13	58 67	59 45		-0 78	
	FIFO	7 542	May 20, 11	Oct 10, 13	62 15	63 58		-1 43	
	FIFO	6 833	Jun 20, 11	Oct 10, 13	56 30	57 60		-1 30	
	FIFO	6 883	Jul 20, 11	Oct 10, 13	56 72	58 23		-1 51	
	FIFO	6 807	Aug 19, 11	Oct 10, 13	56 09	57 93		-1 84	
	FIFO	5 763	Sep 20, 11	Oct 10, 13	47 48	48 81		-1 33	
	FIFO	4 847	Oct 20, 11	Oct 10, 13	39 94	40 47		-0 53	
	FIFO	4 173	Nov 18, 11	Oct 10, 13	34 39	34 97		-0 58	
	FIFO	21 850	Dec 13, 11	Oct 10, 13	180 04	182 01		-1 97	
	FIFO	3 782	Dec 20, 11	Oct 10, 13	31 17	31 47		-0 30	
	FIFO	1 382	Dec 30, 11	Oct 10, 13	11 38	11 54		-0 16	
	FIFO	2 781	Jan 20, 12	Oct 10, 13	22 92	23 25		-0 33	
	FIFO	105 445	Feb 01, 12	Oct 10, 13	868 87	889 96		-21 09	
	FIFO	4 266	Feb 17, 12	Oct 10, 13	35 15	35 92		-0 77	
	FIFO	4 332	Mar 20, 12	Oct 10, 13	35 69	36 26		-0 57	
	FIFO	4 995	Apr 20, 12	Oct 10, 13	41 16	42 16		-1 00	
	FIFO	4 359	May 18, 12	Oct 10, 13	35 92	36 75		-0 83	
	FIFO	4 531	Jun 20, 12	Oct 10, 13	37 34	38 29		-0 95	
	FIFO	4 731	Jul 20, 12	Oct 10, 13	38 98	40 69		-1 71	
	FIFO	3 819	Aug 20, 12	Oct 10, 13	31 47	32 69		-1 22	
	FIFO	3 852	Sep 20, 12	Oct 10, 13	31 74	33 17		-1 43	
	FIFO	1,032 291	Oct 02, 12	Oct 10, 13	8,506 08	8,918 99		-412.91	
CALAMOS INTERNATIONAL GROWTH FUND CLASS A									
	FIFO	19 791	Oct 12, 11	Mar 25, 13	353 67	313 49			40 18
	FIFO	64 636	Oct 12, 11	Apr 23, 13	1,142 77	1,023 84			118 93
	FIFO	4 926	Oct 12, 11	May 17, 13	90 93	78 03			12 90
	FIFO	20 667	Oct 12, 11	Jun 20, 13	351 54	327 36			24 18
	FIFO	137 768	Oct 12, 11	Oct 10, 13	2,598.31	2,182 25			416 06
	FIFO	15 922	Oct 12, 11	Nov 13, 13	305 22	252 20			53 02

CALAMOS INTERNATIONAL GROWTH FUND CLASS A

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
	FIFO	5 214	Oct 12, 11	Dec 19, 13	100 78	82 59			18 19
DOUBLE LINE TOTAL RETURN									
FUND N	FIFO	35 345	Jul 21, 10	Mar 25, 13	401 52	380 32			21 20
	FIFO	119 467	Jul 21, 10	Apr 23, 13	1,363 12	1,285 46			77 66
	FIFO	60 348	Jul 21, 10	Jun 12, 13	677 11	649 35			27 76
	FIFO	35 255	Jul 21, 10	Jun 20, 13	392 74	379 34			13 40
	FIFO	99 095	Jul 21, 10	Oct 10, 13	1,084 10	1,066 26			17 84
	FIFO	226 499	Jul 21, 10	Nov 13, 13	2,475 63	2,437 13			38 50
	FIFO	11 516	Jul 30, 10	Nov 13, 13	125 87	123 68			2 19
	FIFO	11 805	Aug 31, 10	Nov 13, 13	129 03	128 91			0 12
	FIFO	11 515	Sep 30, 10	Nov 13, 13	125 86	127 01		-1 15	
	FIFO	10 237	Oct 29, 10	Nov 13, 13	111 89	114 35		-2 46	
	FIFO	85 796	Nov 23, 10	Nov 13, 13	937 75	960 91		-23 16	
	FIFO	12 482	Nov 30, 10	Nov 13, 13	136 43	138 80		-2 37	
	FIFO	15 822	Dec 31, 10	Nov 13, 13	172 94	172 93			0 01
	FIFO	836 211	Jan 28, 11	Nov 13, 13	9,139 78	9,256 86		-117 08	
	FIFO	15 380	Jan 31, 11	Nov 13, 13	168 11	169 03		-0 92	
	FIFO	15 995	Feb 28, 11	Nov 13, 13	174 82	175 95		-1 13	
	FIFO	4 766	Mar 31, 11	Nov 13, 13	52 10	52 24		-0 14	
	FIFO	16 812	Mar 31, 11	Nov 13, 13	183 75	184 26		-0 51	
	FIFO	16 125	Apr 29, 11	Nov 13, 13	176 25	177 54		-1 29	
	FIFO	15 246	May 31, 11	Nov 13, 13	166 64	169 54		-2 90	
	FIFO	16 237	Jun 30, 11	Nov 13, 13	177 47	178 61		-1 14	
	FIFO	13 692	Jul 29, 11	Nov 13, 13	149 65	151 84		-2 19	
EATON VANCE TAX-ADV BOND STRATEGIES SHORT TERM FUND A									
	FIFO	607 035	Jul 15, 11	Feb 13, 13	6,470 99	6,392 08			78 91
	FIFO	2 023	Jul 31, 11	Feb 13, 13	21 57	21 32			0 25
	FIFO	2 715	Aug 31, 11	Feb 13, 13	28 94	29 00		-0 06	
	FIFO	2 568	Sep 30, 11	Feb 13, 13	27 38	27 37			0 01
	FIFO	2 310	Oct 31, 11	Feb 13, 13	24 62	24 44			0 18

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Portfolio Management Program

Account name: HOLMES FOUNDATION INC
 Account number: BW 14294 LP

Your Financial Advisor:
 THE FDG GROUP
 203-357-0700/800-942-2045

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
	FIFO	2,507	Nov 30, 11	Feb 13, 13	26 73	26 72			0 01
	FIFO	5 283	Dec 22, 11	Feb 13, 13	56 31	56 63		-0 32	
	FIFO	11,098	Dec 22, 11	Feb 13, 13	118 31	118 97		-0 66	
	FIFO	2,272	Dec 30, 11	Feb 13, 13	24 22	24 42		-0 20	
	FIFO	1,832	Jan 31, 12	Feb 13, 13	19 53	19 80		-0 27	
	FIFO	29,243	Feb 01, 12	Feb 13, 13	311 73	316 41		-4 68	
ETFs PRECIOUS METALS									
BASKET TR	VSP	161 000	Jul 15, 11	May 17, 13	12,024 02	16,351 58		-4,327 56	
IQ HEDGE MULTI-STRAT TRACKER									
	VSP	6 000	Feb 01, 12	Apr 23, 13	169 32	166 09			3 23
	VSP	4 000	Feb 01, 12	May 17, 13	114 39	110 72			3 67
	VSP	385,000	Feb 01, 12	Jun 12, 13	10,718 53	10,657 20			61 33
	VSP	41 000	Feb 01, 12	Jun 12, 13	1,141 01	1,134 92			6 09
	VSP	285 000	Feb 01, 12	Jun 12, 13	7,925 74	7,889 10			36 64
ISHARES RUSSELL 1000 GROWTH ETF	VSP	5 000	Dec 03, 12	Dec 19, 13	420 63	328 96			91 67
ISHARES 20+ YEAR TREAS BOND ETF	VSP	196 000	Feb 01, 12	Jun 12, 13	22,147 61	23,341 67		-1,194 06	
JP MORGAN TAX AWARE REAL RETURN A									
	FIFO	607 297	Apr 15, 10	Feb 13, 13	6,382 69	6,036 53			346 16
	FIFO	7 385	May 03, 10	Feb 13, 13	77 62	73 70			3 92
	FIFO	5 985	Jun 01, 10	Feb 13, 13	62 90	59 79			3 11
	FIFO	7 096	Jul 01, 10	Feb 13, 13	74 58	70 46			4 12
	FIFO	6 668	Aug 02, 10	Feb 13, 13	70 08	66 41			3 67
	FIFO	6 674	Sep 01, 10	Feb 13, 13	70 15	66 54			3 61
	FIFO	6 688	Oct 01, 10	Feb 13, 13	70 29	66 68			3 61
	FIFO	6 303	Nov 01, 10	Feb 13, 13	66 24	63 47			2 77
	FIFO	376 023	Nov 23, 10	Feb 13, 13	3,952 00	3,752 71			199 29
	FIFO	7 261	Dec 01, 10	Feb 13, 13	76 32	72 32			4 00
	FIFO	7,764	Jan 03, 11	Feb 13, 13	81 60	76 71			4 89
	FIFO	4 040	Feb 01, 11	Feb 13, 13	42 46	39 87			2 59



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Portfolio Management Program

Account name: HOLMES FOUNDATION INC
 Account number: BW 14294 LP

Your Financial Advisor:
 THE FDG GROUP
 203-357-0700/800-942-2045

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
	FIFO	3 625	Mar 01, 11	Feb 13, 13	38 10	36 32			1 78
	FIFO	4 000	Apr 01, 11	Feb 13, 13	42 04	40 04			2 00
	FIFO	3 726	Apr 29, 11	Feb 13, 13	39 16	37 97			1 19
	FIFO	3 896	May 31, 11	Feb 13, 13	40 94	39 86			1 08
	FIFO	3 720	Jun 30, 11	Feb 13, 13	39 10	38 13			0 97
	FIFO	357 585	Jul 15, 11	Feb 13, 13	3,758 22	3,683 13			75 09
	FIFO	4 628	Jul 29, 11	Feb 13, 13	48 64	47 90			0 74
	FIFO	4 538	Aug 31, 11	Feb 13, 13	47 69	46 47			1 22
	FIFO	4 201	Sep 30, 11	Feb 13, 13	44 16	42 51			1 65
	FIFO	3 898	Nov 01, 11	Feb 13, 13	40 96	39 72			1 24
	FIFO	3 894	Dec 01, 11	Feb 13, 13	40 93	39 80			1 13
	FIFO	3 888	Jan 03, 12	Feb 13, 13	40 86	39 89			0 97
	FIFO	3 988	Jan 31, 12	Feb 13, 13	41 92	41 87			0 05
KAYNE ANDERSON MLP INVESTMENT CO									
	FIFO	358 000	Apr 15, 10	Feb 13, 13	12,197 59	9,514 86			2,682 73
	FIFO	18 000	Jul 09, 10	Feb 13, 13	613 28	426 92			186 36
	FIFO	18 000	Oct 15, 10	Feb 13, 13	613 29	434 12			179 17
	FIFO	10 000	Jan 14, 11	Feb 13, 13	340 72	277 27			63 45
	FIFO	9 000	Apr 15, 11	Feb 13, 13	306 64	247 66			58 98
	FIFO	48 000	Jul 15, 11	Feb 13, 13	1,635 43	1,385 09			250 34
	FIFO	9 000	Jul 15, 11	Feb 13, 13	306 64	246 23			60 41
	FIFO	11 000	Oct 14, 11	Feb 13, 13	374 79	275 68			99 11
	FIFO	10 000	Jan 13, 12	Feb 13, 13	340 71	285 17			55 54
LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS A									
	FIFO	54 437	Jul 15, 11	Mar 25, 13	598 26	562 33			35 93
	FIFO	8 000	Jul 15, 11	Apr 23, 13	88 64	82 64			6 00
	FIFO	9 380	Jul 29, 11	Apr 23, 13	103 93	97 08			6 85
	FIFO	10 483	Aug 31, 11	Apr 23, 13	116 15	109 76			6 39
	FIFO	9 472	Sep 30, 11	Apr 23, 13	104 95	99 36			5 59
	FIFO	146 199	Oct 19, 11	Apr 23, 13	1,619 89	1,519 01			100 88

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Portfolio Management Program

Account name: HOLMES FOUNDATION INC
Account number: BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Realized gains and losses (continued)

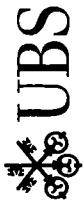
Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
	FIFO	65 073	Oct 19, 11	Jun 12, 13	703 44	676 11			27 33
	FIFO	55 152	Oct 19, 11	Jun 20, 13	588 47	573 02			15 45
	FIFO	87 312	Oct 19, 11	Oct 10, 13	912 41	907 18			5 23
	FIFO	597 167	Oct 19, 11	Nov 13, 13	6,252 34	6,204 56			47 78
	FIFO	9 540	Oct 31, 11	Nov 13, 13	99 88	99 41			0 47
	FIFO	11 439	Nov 30, 11	Nov 13, 13	119 77	119 65			0 12
	FIFO	10 673	Dec 30, 11	Nov 13, 13	111 75	113 67		-1 92	
	FIFO	10 202	Jan 31, 12	Nov 13, 13	106 81	110 49		-3 68	
	FIFO	1,458 588	Feb 01, 12	Nov 13, 13	15,271 42	15,911 09		-539 67	
	FIFO	13 338	Feb 29, 12	Nov 13, 13	139 65	144 32		-4 67	
	FIFO	13 672	Mar 30, 12	Nov 13, 13	143 14	146 70		-3 56	
	FIFO	13 482	Apr 30, 12	Nov 13, 13	141 16	146 14		-4 98	
	FIFO	13 181	May 31, 12	Nov 13, 13	138 00	143 41		-5 41	
	FIFO	12 896	Jun 29, 12	Nov 13, 13	135 02	139 79		-4 77	
	FIFO	254 235	Jul 09, 12	Nov 13, 13	2,661 84	2,760 99		-99 15	
	FIFO	12 814	Jul 31, 12	Nov 13, 13	134 17	140 44		-6 27	
	FIFO	12 906	Aug 31, 12	Nov 13, 13	135 12	141 32		-6 20	
	FIFO	12 810	Sep 28, 12	Nov 13, 13	134 12	140 78		-6 66	
	FIFO	9 771	Oct 31, 12	Nov 13, 13	102 31	107 68		-5 37	
NATIXIS ASG GLOBAL									
ALTERNATIVE A									
	FIFO	23 224	Nov 23, 10	Mar 25, 13	253 37	250 59			2 78
	FIFO	23 660	Nov 23, 10	Apr 23, 13	260 26	255 29			4 97
	FIFO	55 373	Dec 31, 10	Apr 23, 13	609 10	590 83			18 27
	FIFO	5 896	Dec 31, 10	May 17, 13	68 28	62 91			5 37
	FIFO	71 888	Dec 31, 10	May 17, 13	832 46	767 04			65 42
	FIFO	8 815	Apr 12, 11	May 17, 13	102 07	95 55			6 52
	FIFO	5 469	Apr 12, 11	May 17, 13	63 33	59 28			4 05
	FIFO	29 624	Jul 15, 11	May 17, 13	343 05	327 64			15 41
	FIFO	21 923	Jul 15, 11	Jun 20, 13	243 34	242 47			0 87

NATIXIS ASG GLOBAL
ALTERNATIVE A

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
	FIFO	97 363	Jul 15, 11	Oct 10, 13	1,108 96	1,076 83			32 13
	FIFO	18 320	Jul 15, 11	Nov 13, 13	212 33	202 62			9 71
	FIFO	0 149	Jul 15, 11	Dec 19, 13	1 76	1 65			0 11
PIMCO ALL ASSETS ALL									
AUTH-A	FIFO	45 614	Jan 20, 09	Mar 25, 13	498 56	410 53			88 03
	FIFO	153 446	Jan 20, 09	Apr 23, 13	1,692 51	1,381 01			311 50
	FIFO	47 045	Jan 20, 09	Jun 20, 13	481 27	423 41			57 86
	FIFO	20 406	Jan 20, 09	Oct 10, 13	211 81	183 65			28 16
	FIFO	13 000	Mar 19, 09	Oct 10, 13	134 94	115 96			18 98
	FIFO	24 497	Jun 18, 09	Oct 10, 13	254 28	239 83			14 45
	FIFO	22 679	Sep 17, 09	Oct 10, 13	235 41	238 81		-3 40	
	FIFO	74 084	Dec 30, 09	Oct 10, 13	768 99	756 40			12 59
	FIFO	47 831	Dec 30, 09	Nov 18, 13	494 09	488 35			5 74
	FIFO	29 344	Jan 08, 10	Nov 18, 13	303 13	302 83			0 30
	FIFO	16 961	Mar 18, 10	Nov 18, 13	175 20	175 89		-0 69	
	FIFO	21 822	Jun 17, 10	Nov 18, 13	225 43	230 44		-5 01	
	FIFO	19 715	Sep 16, 10	Nov 18, 13	203 65	215 48		-11 83	
	FIFO	1,012.833	Nov 23, 10	Nov 18, 13	10,462 57	11,181 68		-719 11	
	FIFO	8.266	Dec 08, 10	Nov 18, 13	85 39	89 44		-4 05	
	FIFO	127 444	Dec 31, 10	Nov 18, 13	1,316 49	1,339 44		-22 95	
	FIFO	14 126	Mar 17, 11	Nov 18, 13	145 92	149 88		-3 96	
	FIFO	24 642	Jun 16, 11	Nov 18, 13	254 56	265 64		-11 08	
	FIFO	1,559 884	Jul 15, 11	Nov 18, 13	16,113 60	16,955 94		-842 34	
	FIFO	40 697	Sep 15, 11	Nov 18, 13	420 40	429 76		-9 36	
	FIFO	202 882	Dec 28, 11	Nov 18, 13	2,095 77	2,012 59			83 18
	FIFO	33 018	Mar 22, 12	Nov 18, 13	341 08	347 35		-6 27	
	FIFO	17 571	Jun 21, 12	Nov 18, 13	181 50	180 81			0 69
	FIFO	26 268	Sep 20, 12	Nov 18, 13	271 35	291 57		-20 22	

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Portfolio Management Program

Account name: HOLMES FOUNDATION INC.
Account number: BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
POWERSHARES ETF INTL DIVID ACHIEVERS PORTFOLIO	VSP	54 000	Oct 12, 11	Feb 13, 13	894.77	792.44			102.33
	VSP	18 000	Oct 12, 11	Mar 25, 13	301.80	264.14			37.66
	VSP	61 000	Oct 12, 11	Apr 23, 13	1,033.93	895.16			138.77
	VSP	54 000	Oct 12, 11	May 17, 13	945.15	792.44			152.71
	VSP	10,000	Oct 12, 11	Jun 20, 13	164.79	146.75			18.04
	VSP	88,000	Oct 12, 11	Oct 10, 13	1,513.01	1,291.37			221.64
	VSP	11 000	Oct 12, 11	Nov 13, 13	194.25	161.42			32.83
	VSP	6,000	Oct 12, 11	Dec 19, 13	107.75	88.05			19.70
TEMPLETON FRONTIER MARKETS CLASS A	FIFO	23,502	Jul 21, 10	Mar 25, 13	396.95	340.78			56.17
	FIFO	77,710	Jul 21, 10	Apr 23, 13	1,296.21	1,126.80			169.41
	FIFO	248,988	Jul 21, 10	May 17, 13	4,334.88	3,610.32			724.56
	FIFO	7,351	Nov 23, 10	May 17, 13	127.98	117.47			10.51
	FIFO	1,741	Dec 14, 10	May 17, 13	30.31	27.98			2.33
	FIFO	0,978	Dec 14, 10	May 17, 13	17.03	15.71			1.32
	FIFO	38,943	Mar 31, 11	May 17, 13	678.00	617.25			60.75
	FIFO	6,206	Aug 25, 11	May 17, 13	108.04	88.99			19.05
	FIFO	2,460	Sep 23, 11	May 17, 13	42.83	33.41			9.42
	FIFO	4,653	Dec 13, 11	May 17, 13	81.01	60.40			20.61
	FIFO	9,059	Dec 13, 11	May 17, 13	157.72	117.59			40.13
	FIFO	3,461	Dec 13, 11	May 17, 13	60.25	44.93			15.32
	FIFO	130,457	Feb 01, 12	May 17, 13	2,271.26	1,815.96			455.30
	FIFO	40,235	Feb 01, 12	Jun 12, 13	697.68	560.07			137.61
	FIFO	17,720	Feb 01, 12	Jun 20, 13	298.41	246.66			51.75
	FIFO	432,583	Feb 01, 12	Aug 14, 13	7,500.99	6,021.56			1,479.43
VANGUARD DIVID APPRECIATION ETF	VSP	295,000	Jul 21, 10	Feb 13, 13	18,791.23	13,611.30			5,179.93
	VSP	4,000	Jul 21, 10	Mar 25, 13	259.82	184.56			75.26

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
VSP		13 000	Jul 21, 10	Apr 23, 13	860 19	599 82			260 37
VSP		20 000	Jul 21, 10	May 17, 13	1,380 83	922 80			458 03
VSP		2 000	Jul 21, 10	Jun 12, 13	134 48	92 28			42 20
VSP		4 000	Jul 21, 10	Jun 20, 13	267 07	184 56			82 51
VSP		75 000	Jul 21, 10	Oct 10, 13	5,214 11	3,460 50			1,753 61
VSP		6 000	Jul 21, 10	Nov 13, 13	438 47	276 84			161 63
VSP		53 000	Nov 23, 10	Feb 13, 13	3,376 05	2,656 36			719 69
VSP		18 000	Jan 20, 09	Oct 10, 13	1,379 50	527 48			852 02
VSP		24 000	Jul 21, 10	Oct 10, 13	1,839 32	1,051 77			787 55
VSP		68 000	Jan 28, 11	Oct 10, 13	5,211 43	3,739 27			1,472 16
VSP		21 000	Aug 25, 11	Oct 10, 13	1,609 41	1,024 16			585 25
VSP		66 000	Oct 02, 12	Oct 10, 13	5,058 15	3,932 28			1,125 87
VSP		2 000	Sep 10, 09	Apr 23, 13	187 05	155 97			31 08
VSP		1 000	Sep 10, 09	May 17, 13	97 21	77 98			19 23
VSP		83 000	Sep 10, 09	Jun 12, 13	7,664.12	6,472.59			1,191 53
VSP		2 000	Jul 21, 10	Apr 23, 13	187 06	161 30			25 76
VSP		2 000	Aug 25, 11	Feb 13, 13	189 05	174 40			14 65
VSP		1 000	Aug 25, 11	Mar 25, 13	93 60	87 20			6 40
VANGUARD TOTAL WORLD STK									
VSP		196 000	Oct 12, 11	Feb 13, 13	10,148 82	8,633 09			1,515 73
VSP		13 000	Oct 12, 11	Mar 25, 13	672 96	572 60			100 36
VSP		42 000	Oct 12, 11	Apr 23, 13	2,202 01	1,849 95			352 06
VSP		43 000	Oct 12, 11	May 17, 13	2,366 38	1,893 99			472 39
VSP		4 000	Oct 12, 11	Jun 20, 13	207 08	176 18			30 90
VSP		165 000	Oct 12, 11	Aug 14, 13	9,061 38	7,267 65			1,793 73
VSP		194 000	Oct 12, 11	Oct 10, 13	10,820 39	8,544 99			2,275 40
VSP		3 000	Oct 12, 11	Nov 13, 13	171 60	132 14			39 46
VSP		1 000	Oct 12, 11	Dec 19, 13	58 02	44 05			13 97

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Portfolio Management Program

Account name: HOLMES FOUNDATION INC.
Account number: BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
WESTERN ASSET MANAGED MUNICIPALS FUND CLASS A									
	FIFO	28 915	Feb 01, 12	Mar 25, 13	496 18	485 20			10 98
	FIFO	97 635	Feb 01, 12	Apr 23, 13	1,685 18	1,638 31			46 87
	FIFO	75 033	Feb 01, 12	Jun 12, 13	420 31	420 06			0 25
	Adjustment	29 636	Feb 01, 12	Jun 20, 13	488 11	492 55	-4 74	-4 44	
	FIFO	636 933	Feb 01, 12	Oct 10, 13	10,089 02	10,687 73		-598 71	
	FIFO	3 342	Feb 29, 12	Oct 10, 13	52 94	56 58	0 47	-3 64	
	FIFO	4 436	Mar 30, 12	Oct 10, 13	70 26	74 66	0 62	-4 40	
	FIFO	3 904	Apr 30, 12	Oct 10, 13	61 84	65 70		-3 86	
	FIFO	4 111	May 31, 12	Oct 10, 13	65 12	69 64		-4 52	
	FIFO	4 124	Jun 29, 12	Oct 10, 13	65 32	69 57		-4 25	
	FIFO	3 306	Jul 31, 12	Oct 10, 13	52 37	56 63		-4 26	
	FIFO	3 407	Aug 31, 12	Oct 10, 13	53 97	58 33		-4 36	
	FIFO	2 687	Sep 28, 12	Oct 10, 13	42 56	46 16		-3 60	
	FIFO	996 522	Oct 02, 12	Oct 10, 13	15,784 91	17,140 18		-1,355 27	
	FIFO	7 998	May 31, 13	Oct 10, 13	126 69	138 93	2 48	-12 24	
	FIFO	7 298	Jun 28, 13	Oct 10, 13	115 60	121 29	2 26	-5 69	
WISDOMTREE EMERGING MARKETS EQUITY INCOM									
	VSP	27 000	Oct 12, 11	May 17, 13	1,515 75	1,397 59			118 16
	VSP	19 000	Oct 12, 11	Oct 10, 13	1,016 95	983 49			33 46
	VSP	8 000	Feb 01, 12	Apr 23, 13	433 91	453 55		-19 64	
	Adjustment	102 000	Feb 01, 12	May 17, 13	5,726 16	5,765 04	-17 78	-38 88	
	VSP	6 000	Jun 12, 13	Jun 20, 13	289 49	307 03	3 33	-17 54	
	VSP	26 000	Jun 12, 13	Oct 10, 13	1,391 62	1,330 49	14 45		61 13
Total					\$381,742.83	\$358,524.13		-\$10,636.07	\$33,854.77
Net long-term capital gains or losses									\$23,218.70
Net capital gains/losses:									\$33,040.59





Your notes



UBS Financial Services Inc
 750 Washington Blvd.
 11th Floor
 Stamford CT 06901-3722

CPZ2001115409 1213 BW 1

2013 Year End Summary

Duplicate statement for the account of:

HOLMES FOUNDATION INC.
 3111 CARDINAL DRIVE
 VERO BEACH FL 32963-1920
 Account number BW 14295 LP

GINA WISNIEWSKI CPA
 5070 N A1A
 SUITE 250
 VERO BEACH FL 32963-1472

Summary of gains and losses

	Amount (\$)
Short term	-2,456 72
Long term	29,795 71
Total	\$27,338.99





Portfolio Management Program

Account name: HOLMES FOUNDATION INC
Account number: BW 14295 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Realized gains and losses

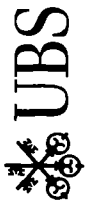
Estimated 2013 gains and losses for transactions with trade dates through 12/31/13 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

See Important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ABBOTT LABS	VSP	3 000	Feb 28, 13	Mar 12, 13	104 51	101 61			2 90
	VSP	11 000	Feb 28, 13	Jun 14, 13	406 00	372 56			33 44
ABBVIE INC COM	VSP	12 000	Dec 03, 12	Feb 28, 13	441 02	401 69			39 33
ACCENTURE PLC IRELAND CL A	VSP	5 000	Dec 03, 12	Feb 28, 13	373 29	340 20			33 09
	VSP	5 000	Dec 03, 12	Mar 12, 13	391 79	340 20			51 59
	VSP	2 000	Dec 03, 12	Jun 14, 13	161 89	136 08			25 81
	VSP	46 000	Dec 03, 12	Jul 30, 13	3,395 43	3,129 84			265 59
AIR PROD & CHEMICAL INC	VSP	11 000	Apr 22, 13	Jun 14, 13	1,059 37	950 90			108 47
	VSP	129 000	Apr 22, 13	Aug 13, 13	13,427 04	11,151 51			2,275 53
ALLIANCEBERNSTEIN GLOBAL BOND FUND INC FD CL A	FIFO	5 605	Oct 19, 12	Oct 09, 13	46 24	48 43		-2 19	
	FIFO	5 258	Nov 20, 12	Oct 09, 13	43 38	45 53		-2 15	
	FIFO	25 670	Dec 07, 12	Oct 09, 13	211 78	221 02		-9 24	
	FIFO	4 894	Dec 20, 12	Oct 09, 13	40 37	42 04		-1 67	
	FIFO	1 729	Dec 31, 12	Oct 09, 13	14 27	14 90		-0 63	
	FIFO	4 786	Jan 31, 13	Oct 09, 13	39 48	40 92		-1 44	
	FIFO	134 664	Feb 28, 13	Oct 09, 13	1,110 98	1,160 80		-49 82	
	FIFO	4 437	Feb 28, 13	Oct 09, 13	36 60	38 25		-1 65	
	FIFO	45 300	Mar 12, 13	Oct 09, 13	373 73	390 49		-16 76	
	FIFO	5 222	Mar 28, 13	Oct 09, 13	43 08	45 17		-2 09	
	FIFO	5 517	Apr 30, 13	Oct 09, 13	45 51	48 11		-2 60	
	FIFO	5 597	May 31, 13	Oct 09, 13	46 18	47 97		-1 79	
	FIFO	103 400	Jun 14, 13	Oct 09, 13	853 05	877 87		-24 82	
	FIFO	4 591	Jun 28, 13	Oct 09, 13	37 88	38 38		-0 50	

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Portfolio Management Program

Account name: HOLMES FOUNDATION INC.
Account number: BW 14295 LP

Your Financial Advisor:
THE FDG GROUP
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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	FIFO	6 149	Jul 31, 13	Oct 09, 13	50 72	51 22		-0 50	
	FIFO	6 356	Aug 30, 13	Oct 09, 13	52 44	52 12			0 32
	FIFO	5 241	Sep 30, 13	Oct 09, 13	43 24	43 29		-0 05	
ANADARKO PETROLEUM CORP	VSP	1 000	May 07, 12	Feb 28, 13	79 74	68 48			11 26
	VSP	4 000	May 07, 12	Mar 12, 13	337 41	273 94			63 47
ANALOG DEVICES INC	VSP	14 000	Dec 03, 12	Feb 28, 13	635 92	567 97			67 95
	VSP	2 000	Dec 03, 12	Mar 12, 13	93 08	81 13			11 95
APPLE INC	VSP	19 000	Dec 03, 12	Apr 01, 13	8,284 99	10,896 83	-307 28	-2,611 84	
	VSP	5 000	Feb 28, 13	Jun 14, 13	2,160 46	2,231 50		-71 04	
	VSP	2 000	Mar 12, 13	Jun 14, 13	864 19	1,171 00	307 28	-306 81	
APPLIED MATERIALS INC	VSP	178 000	Dec 03, 12	Feb 28, 13	2,451 72	1,908 16			543 56
	VSP	78 000	Dec 03, 12	Jun 14, 13	1,217 62	836 16			381 46
	VSP	23 000	Mar 12, 13	Jun 14, 13	359 04	310 63			48 41
ASTRAZENECA PLC SPON ADR	VSP	17 000	Feb 28, 13	Jun 14, 13	865 01	776 17			88 84
	VSP	3 000	Mar 12, 13	Jun 14, 13	152 65	137 58			15 07
AUTOMATIC DATA PROCESSING INC	VSP	5 000	Dec 03, 12	Feb 28, 13	307 44	282 40			25 04
	VSP	2 000	Dec 03, 12	Mar 12, 13	127 63	112 96			14 67
BANK OF NOVA SCOTIA CANADA CAD	VSP	3 000	Mar 12, 13	Jun 14, 13	168 42	176 79		-8 37	
BARRICK GOLD CORP CAD	VSP	325 000	Dec 03, 12	Apr 15, 13	6,524 88	11,088 12		-4,563 24	
	VSP	63 000	Feb 28, 13	Apr 15, 13	1,264 82	1,914 35		-649 53	
	VSP	21 000	Mar 12, 13	Apr 15, 13	421 61	614 60		-192 99	
BLACKROCK INC	VSP	8 000	Dec 03, 12	Feb 28, 13	1,927 16	1,567 35			359 81
	VSP	1 000	Dec 03, 12	Mar 12, 13	248 49	195 92			52 57
	VSP	3 000	Dec 03, 12	Jun 14, 13	810 17	587 75			222 42
CHEVRON CORP	VSP	5 000	Dec 03, 12	Feb 28, 13	585 04	524 13			60 91
	VSP	6 000	Dec 03, 12	Oct 09, 13	692 07	628 96			63 11
	VSP	1 000	Jun 14, 13	Oct 09, 13	115 34	121 16		-5 82	

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Portfolio Management Program

Account name:
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HOLMES FOUNDATION INC
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Your Financial Advisor:
THE FDG GROUP
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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
COCA COLA CO COM	VSP	1 000	Feb 28, 13	Jun 14, 13	40 54	38 81			1 73
	VSP	3 000	Mar 12, 13	Jun 14, 13	121 61	117 18			4 43
COMCAST CORP NEW CL A	VSP	6 000	Dec 03, 12	Feb 28, 13	240 49	222 44			18 05
COVIDIEN PLC	VSP	3 000	Dec 03, 12	Feb 28, 13	190 31	172 91			17 40
CVS CAREMARK CORP	VSP	8 000	Dec 03, 12	Feb 28, 13	412 23	371 04			41 19
	VSP	1 000	Mar 12, 13	Jun 14, 13	59 80	52 16			7 64
CYPRESS SEMICONDUCTOR CP	Adjustment	3 000	Dec 03, 12	Feb 28, 13	31 69	29 35			2 34
	Adjustment	32 000	Dec 03, 12	Mar 12, 13	355 67	313 04			42 63
	VSP	1,092 000	Dec 03, 12	Oct 09, 13	9,642 19	10,322 14		-679 95	
	VSP	60 000	Jun 14, 13	Oct 09, 13	529 79	626 73		-96 94	
	VSP	296 000	Jul 30, 13	Oct 09, 13	2,613 64	3,729 57		-1,115 93	
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	FIFO	10 637	Sep 28, 12	Feb 28, 13	87 22	87 01			0 21
	FIFO	46 460	Oct 02, 12	Feb 28, 13	380 98	380 04			0 94
	FIFO	11 217	Oct 31, 12	Feb 28, 13	91 97	91 42			0 55
	FIFO	10,716	Nov 30, 12	Feb 28, 13	87 88	87 23			0 65
	FIFO	10 895	Dec 31, 12	Feb 28, 13	89 33	89 12			0 21
	FIFO	10 897	Jan 31, 13	Feb 28, 13	89 36	89 57		-0 21	
ENI SPA IT SPON ADR	VSP	236 000	Dec 03, 12	Aug 13, 13	10,809 61	11,162 75		-353 14	
	VSP	7 000	Feb 28, 13	Mar 12, 13	331 05	319 52			11 53
	VSP	17 000	Feb 28, 13	Aug 13, 13	778 65	775 97			2 68
	VSP	22 000	Jun 14, 13	Aug 13, 13	1,007 68	988 70			18 98
EXXON MOBIL CORP	VSP	3 000	Dec 03, 12	Aug 13, 13	268 87	263 34			5 53
	VSP	3 000	Feb 28, 13	Aug 13, 13	268 87	267 63			1 24
	VSP	1 000	Mar 12, 13	Aug 13, 13	89 62	89 68		-0 06	
	VSP	1 000	Jun 14, 13	Aug 13, 13	89 62	90 80		-1 18	
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	FIFO	8 082	Jun 15, 12	Jun 14, 13	106 28	101 59			4 69
	FIFO	6 744	Jul 16, 12	Jun 14, 13	88 68	87 07			1 61
	FIFO	6 620	Aug 15, 12	Jun 14, 13	87 06	87 38		-0 32	

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Portfolio Management Program

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THE FDG GROUP
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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	FIFO	5 201	Sep 17, 12	Jun 14, 13	68 39	69 54		-1 15	
	FIFO	4 298	Oct 02, 12	Jun 14, 13	56 52	57 51		-0 99	
	FIFO	5 199	Oct 15, 12	Jun 14, 13	68 36	69 93		-1 57	
	FIFO	5 068	Nov 15, 12	Jun 14, 13	66 65	68 06		-1 41	
	FIFO	31 665	Dec 17, 12	Jun 14, 13	416 39	419 56		-3 17	
	FIFO	0 212	Dec 17, 12	Jun 14, 13	2 79	2 81		-0 02	
	FIFO	21 164	Dec 17, 12	Jun 14, 13	278 31	280 42		-2 11	
	FIFO	5 062	Jan 15, 13	Jun 14, 13	66 56	68 13		-1 57	
	FIFO	5 070	Feb 15, 13	Jun 14, 13	66 67	68 34		-1 67	
	FIFO	53 719	Feb 28, 13	Jun 14, 13	706 41	722 52		-16 11	
	FIFO	13 327	Mar 12, 13	Jun 14, 13	175 25	180 98		-5 73	
	FIFO	5 280	Mar 15, 13	Jun 14, 13	69 43	71 23		-1 80	
	FIFO	5 261	Apr 15, 13	Jun 14, 13	69 18	71 44		-2 26	
	FIFO	5 234	May 15, 13	Jun 14, 13	68 83	71 65		-2 82	
GENL MILLS INC	VSP	73 000	Feb 28, 13	Mar 12, 13	3,365 48	3,376 72		-11 24	
HEINEKEN NV SPON ADR	VSP	14 000	Dec 03, 12	Feb 28, 13	522 75	462 98			59 77
	VSP	211 000	Dec 03, 12	Oct 09, 13	7,078 93	6,977 77			101 16
	VSP	109 000	Mar 12, 13	Oct 09, 13	3,656 88	4,096 22		-439 34	
	VSP	42 000	Jun 14, 13	Oct 09, 13	1,409 08	1,430 94		-21 86	
HOME DEPOT INC	VSP	1 000	Dec 03, 12	Mar 12, 13	70 72	65 13			5 59
	VSP	9 000	Dec 03, 12	Jun 14, 13	689 18	586 13			103 05
	VSP	2 000	Feb 28, 13	Mar 12, 13	141 43	137 40			4 03
ISHARES IBOXX \$ INVT GRADE CORPORATE BOND ETF	VSP	9 000	Feb 28, 13	Apr 22, 13	1,095 67	1,082 29			13 38
	VSP	3 000	Mar 12, 13	Apr 22, 13	365 22	357 15			8 07
ISHARES 20+ YEAR TREAS BOND ETF	VSP	8 000	Oct 02, 12	Jun 14, 13	914 06	994 78		-80 72	
	VSP	26 000	Feb 28, 13	Jun 14, 13	2,970 71	3,081 69		-110 98	
	VSP	12 000	Mar 12, 13	Jun 14, 13	1,371 10	1,384 64		-13 54	

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THE FDG GROUP
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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
JPMORGAN CHASE & CO	VSP	2 000	Feb 28, 13	Mar 12, 13	100 79	98 66			2 13
	VSP	158 000	Feb 28, 13	Nov 12, 13	8,536 75	7,793 77			742 98
	VSP	72 000	Jun 14, 13	Nov 12, 13	3,890 17	3,855 77			34 40
	VSP	239 000	Oct 09, 13	Nov 12, 13	12,911 27	12,154 10			757 17
	VSP	9 000	Oct 09, 13	Nov 12, 13	486 27	457 69			28 58
KAYNE ANDERSON MLP INVESTMENT CO	FIFO	16 000	Apr 13, 12	Feb 28, 13	566 39	486 58			79 81
	FIFO	12 000	Jul 13, 12	Feb 28, 13	424 79	374 90			49 89
	FIFO	10 000	Oct 12, 12	Feb 28, 13	353 99	314 30			39 69
	FIFO	2 000	Dec 03, 12	Feb 28, 13	70 80	61 64			9 16
	FIFO	10 000	Jan 11, 13	Feb 28, 13	353 99	320 56			33 43
LORILLARD INC	VSP	17 000	Feb 28, 13	Jun 14, 13	751 94	656 49			95 45
	VSP	3 000	Mar 12, 13	Jun 14, 13	132 70	116 37			16 33
MALLINCKRODT PUB LTD CO	VSP	0 500	Jun 14, 13	Jul 30, 13	23 46	23 67		-0 21	
MERCK & CO INC NEW COM	VSP	8 000	Feb 28, 13	Mar 12, 13	360 39	343 25			17 14
	VSP	11 000	Feb 28, 13	Jun 14, 13	526 89	471 98			54 91
MICROSOFT CORP	VSP	62 000	Dec 03, 12	Jun 14, 13	2,131 73	1,642 82			488 91
	VSP	5 000	Feb 28, 13	Jun 14, 13	171 91	139 30			32 61
	VSP	7 000	Mar 12, 13	Jun 14, 13	240 68	194 39			46 29
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	VSP	2 000	Dec 03, 12	Mar 12, 13	142 69	131 26			11 43
NORFOLK STHN CORP	VSP	25 000	Dec 03, 12	Feb 28, 13	1,833 33	1,487 41			345 92
	VSP	2 000	Dec 03, 12	Mar 12, 13	149 99	118 99			31 00
OAKTREE CAP GROUP LLC	VSP	36 000	Dec 03, 12	Feb 28, 13	1,793 39	1,474 23			319 16
	VSP	7 000	Dec 03, 12	Mar 12, 13	362 59	286 66			75 93
	VSP	232 000	Dec 03, 12	Apr 15, 13	11,526 97	9,500 62			2,026 35
PHILLIPS 66	VSP	27 000	Dec 03, 12	Feb 28, 13	1,718 79	1,387 40			331 39
	VSP	7 000	Dec 03, 12	Mar 12, 13	461 08	359 70			101 38

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Portfolio Management Program

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
POTASH CORP SASK INC CANADA CAD	VSP	288 000	Dec 03, 12	Aug 07, 13	8,456 20	11,162 02		-2,705 82	
	VSP	7 000	Feb 28, 13	Mar 12, 13	291 12	279 44			11 68
	VSP	3 000	Feb 28, 13	Aug 07, 13	88.09	119 76		-31 67	
	VSP	13 000	Jun 14, 13	Aug 07, 13	381 70	525 03		-143 33	
SIEMENS A G SPON ADR	Adjustment	108.000	Dec 03, 12	Jul 25, 13	324 65	394 78		-70 13	
	VSP	3 000	Feb 28, 13	Mar 12, 13	323 36	312 81			10 55
	Adjustment	3 000	Feb 28, 13	Jul 25, 13	9 02	11.03		-2 01	
	Adjustment	5 000	Jun 14, 13	Jul 25, 13	15 03	18 71		-3 68	
SMUCKER J M CO NEW	VSP	4 000	Mar 12, 13	Jun 14, 13	410 01	389 19			20 82
STARBUCKS CORP	VSP	10 000	Dec 03, 12	Mar 12, 13	583 63	516 92			66 71
	VSP	19 000	Dec 03, 12	Jun 14, 13	1,257 67	982 15			275 52
STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW	VSP	12 000	Dec 03, 12	Feb 28, 13	725 42	641 13			84 29
	VSP	5 000	Dec 03, 12	Mar 12, 13	312.34	267 14			45.20
	VSP	9 000	Dec 03, 12	Jun 14, 13	601 73	480 85			120 88
TANGER FACTORY OUTLET CENTERS INC	VSP	4 000	Mar 12, 13	Jun 14, 13	137 18	141 64		-4.46	
TARGET CORP	VSP	27 000	Jun 14, 13	Nov 12, 13	1,766 40	1,871 28		-104.88	
	VSP	151 000	Jun 14, 13	Nov 12, 13	9,875 72	10,465 33		-589 61	
	VSP	124 000	Aug 07, 13	Nov 12, 13	8,112.34	8,890 47		-778 13	
TCW EMERGING MARKETS INCOME FUND CLASS N	FIFO	1,208 293	Jul 09, 12	Jun 14, 13	13,859 12	13,653 71			205 41
	FIFO	6 295	Jul 31, 12	Jun 14, 13	72 20	72 83		-0 63	
	FIFO	6 219	Aug 31, 12	Jun 14, 13	71 33	72 51		-1 18	
	FIFO	6 195	Sep 28, 12	Jun 14, 13	71 06	72 85		-1 79	
	FIFO	321.066	Oct 02, 12	Jun 14, 13	3,682 63	3,795 00		-112 37	
	FIFO	7 615	Nov 01, 12	Jun 14, 13	87 34	90 85		-3 51	
	FIFO	7 363	Nov 30, 12	Jun 14, 13	84 45	88 58		-4 13	
	FIFO	7 158	Dec 28, 12	Jun 14, 13	82 11	85 97		-3 86	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
	FIFO	27 981	Dec 28, 12	Jun 14, 13	320 94	336 05		-15 11	
	FIFO	7 276	Jan 31, 13	Jun 14, 13	83 45	87 89		-4 44	
	FIFO	38 814	Feb 28, 13	Jun 14, 13	445 20	465 77		-20 57	
	FIFO	7 358	Feb 28, 13	Jun 14, 13	84 40	88 30		-3 90	
	FIFO	20 706	Mar 12, 13	Jun 14, 13	237 50	249 71		-12 21	
	FIFO	7 749	Mar 28, 13	Jun 14, 13	88 88	91 98		-3 10	
	FIFO	7 674	Apr 30, 13	Jun 14, 13	88 02	92 39		-4 37	
	FIFO	7 968	May 31, 13	Jun 14, 13	91 39	92 83		-1 44	
TORONTO DOMINION BK NEW CANADA CAD	VSP	154 000	Apr 22, 13	Jun 14, 13	12,392 18	12,108 41			283 77
TOTAL S. A. FRANCE SPON ADR	VSP	10 000	Apr 22, 13	Jun 14, 13	499 33	471 22			28 11
UNILEVER PLC AMER SHS NEW SPON ADR	VSP	2 000	Feb 28, 13	Mar 12, 13	81 87	79 74			2 13
UNITED PARCEL SERVICE INC CL B	VSP	11 000	Dec 03, 12	Feb 28, 13	911 00	797 79			113 21
	VSP	1 000	Dec 03, 12	Mar 12, 13	84 60	72 53			12 07
UNTD TECHNOLOGIES CORP	VSP	9 000	Dec 03, 12	Feb 28, 13	814 93	719 70			95 23
	VSP	1 000	Dec 03, 12	Mar 12, 13	92 81	79 97			12 84
US BANCORP DEL (NEW)	VSP	13 000	Dec 03, 12	Oct 09, 13	467 28	417 26			50 02
	VSP	1 000	Feb 28, 13	Oct 09, 13	35 94	33 94			2 00
	VSP	1 000	Mar 12, 13	Oct 09, 13	35 95	34 35			1 60
VISA INC CL A	VSP	117 000	Jun 14, 13	Oct 09, 13	4,205 48	4,117 99			87 49
	VSP	7 000	Dec 03, 12	Jun 14, 13	1,268 56	1,043 88			224 68
WALT DISNEY CO (HOLDING CO) DISNEY COM	VSP	9 000	Dec 03, 12	Feb 28, 13	492 35	444 48			47 87
	VSP	6 000	Dec 03, 12	Mar 12, 13	344 21	296 32			47 89
	VSP	19 000	Dec 03, 12	Jun 14, 13	1,217 40	938 34			279 06
WELLS FARGO & CO NEW	VSP	2 000	Dec 03, 12	Feb 28, 13	70 28	65 80			4 48
	VSP	12 000	Dec 03, 12	Mar 12, 13	445 07	394 78			50 29
	VSP	23 000	Dec 03, 12	Jun 14, 13	934 31	756 65			177 66

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

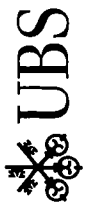
Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
3M CO	VSP	9 000	Dec 03, 12	Feb 28, 13	935 65	812 85			122 80
	VSP	3 000	Dec 03, 12	Jun 14, 13	334.55	270.95			63 60
Total					\$237,174.93	\$239,631.65		-\$16,121.87	\$13,665.15
Net short-term capital gains and losses									-\$2,456.72

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ABBVIE INC COM	VSP	162.000	Jan 08, 10	Feb 28, 13	5,953 76	4,642 62			1,311 14
ACCENTURE PLC IRELAND CL A	VSP	4.000	Jul 15, 11	Jul 30, 13	295.26	242 43			52 83
	VSP	51 000	Jul 15, 11	Jul 30, 13	3,765 52	3,091 07			674 45
	VSP	51 000	Jul 15, 11	Jul 30, 13	3,763.99	3,091.07			672 92
ALLIANCEBERNSTEIN GLOBAL BOND FUND INC FD CL A	FIFO	549 566	Dec 17, 09	Oct 09, 13	4,533 92	4,396 53			137 39
	FIFO	34 349	Dec 18, 09	Oct 09, 13	283 38	274.79			8 59
	FIFO	21 979	Dec 31, 09	Oct 09, 13	181.32	174.51			6 81
	FIFO	32 664	Jan 20, 10	Oct 09, 13	269 48	263 27			6 21
	FIFO	34 484	Feb 19, 10	Oct 09, 13	284 50	276 56			7 94
	FIFO	27.948	Mar 19, 10	Oct 09, 13	230 57	227.50			3 07
	FIFO	30 611	Apr 20, 10	Oct 09, 13	252.54	250 70			1 84
	FIFO	28 774	May 20, 10	Oct 09, 13	237 38	235.66			1 72
	FIFO	28 884	Jun 18, 10	Oct 09, 13	238.30	236 56			1 74
	FIFO	28.416	Jul 20, 10	Oct 09, 13	234.43	235 57		-1 14	
	FIFO	26.864	Aug 20, 10	Oct 09, 13	221.63	227.54		-5.91	
	FIFO	23 115	Sep 20, 10	Oct 09, 13	190 69	195 09		-4.40	
	FIFO	23.718	Oct 20, 10	Oct 09, 13	195 68	202 55		-6 87	
	FIFO	25 867	Nov 19, 10	Oct 09, 13	213 40	218 32		-4 92	
	FIFO	15 627	Dec 20, 10	Oct 09, 13	128 92	130 64		-1 72	

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Portfolio Management Program

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	FIFO	5 136	Dec 31, 10	Oct 09, 13	42 38	43 04		-0 66	
	FIFO	9 582	Jan 20, 11	Oct 09, 13	79 05	79 91		-0 86	
	FIFO	13 486	Feb 18, 11	Oct 09, 13	111 26	112 34		-1 08	
	FIFO	10 975	Mar 18, 11	Oct 09, 13	90 54	91 64		-1 10	
	FIFO	11 679	Mar 31, 11	Oct 09, 13	96 35	97 05		-0 70	
	FIFO	12 692	Apr 20, 11	Oct 09, 13	104 71	105 98		-1 27	
	FIFO	13 388	May 20, 11	Oct 09, 13	110 45	112 86		-2 41	
	FIFO	12 132	Jun 20, 11	Oct 09, 13	100 09	102 27		-2 18	
	FIFO	12 125	Jul 20, 11	Oct 09, 13	100 03	102 58		-2 55	
	FIFO	9 175	Aug 19, 11	Oct 09, 13	75 70	78 08		-2 38	
	FIFO	8 254	Sep 20, 11	Oct 09, 13	68 09	69 91		-1 82	
	FIFO	1,294 211	Sep 23, 11	Oct 09, 13	10,677 24	10,897 26		-220 02	
	FIFO	9 898	Oct 20, 11	Oct 09, 13	81 66	82 65		-0 99	
	FIFO	9 197	Nov 18, 11	Oct 09, 13	75 87	77 07		-1 20	
	FIFO	48 080	Dec 13, 11	Oct 09, 13	396 66	400 51		-3 85	
	FIFO	8 328	Dec 20, 11	Oct 09, 13	68 71	69 29		-0 58	
	FIFO	3 043	Dec 30, 11	Oct 09, 13	25 11	25 41		-0 30	
	FIFO	6 117	Jan 20, 12	Oct 09, 13	50 46	51 14		-0 68	
	FIFO	8 587	Feb 17, 12	Oct 09, 13	70 84	72 30		-1 46	
	FIFO	7 974	Mar 20, 12	Oct 09, 13	65 79	66 74		-0 95	
	FIFO	9 256	Apr 20, 12	Oct 09, 13	76 36	78 12		-1 76	
	FIFO	7 668	May 18, 12	Oct 09, 13	63 26	64 64		-1 38	
	FIFO	7 314	Jun 20, 12	Oct 09, 13	60 34	61 80		-1 46	
	FIFO	7 299	Jul 20, 12	Oct 09, 13	60 22	62 77		-2 55	
	FIFO	5 341	Aug 20, 12	Oct 09, 13	44 06	45 72		-1 66	
	FIFO	5 395	Sep 20, 12	Oct 09, 13	44 51	46 45		-1 94	
	FIFO	84 973	Oct 02, 12	Oct 09, 13	701 03	734 17		-33 14	
AMERISOURCEBERGEN CORP	VSP	8 000	Jan 18, 11	Feb 28, 13	378 66	284 85			93 81
	VSP	3 000	Jan 18, 11	Mar 12, 13	145 63	106 83			38 80
	VSP	15 000	Jan 18, 11	Jun 14, 13	825 95	534 10			291 85

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Portfolio Management Program

Account name: HOLMES FOUNDATION INC
Account number: BW 14295 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ANADARKO PETROLEUM CORP	VSP	89 000	Jan 18, 11	Nov 12, 13	8,007.74	7,083.51			924.23
	VSP	3 000	Jul 15, 11	Nov 12, 13	269.92	240.21			29.71
	VSP	1,000	May 07, 12	Jun 14, 13	86.70	68.48			18.22
	VSP	2 000	May 07, 12	Nov 12, 13	179.95	136.97			42.98
AUTOMATIC DATA PROCESSING INC	VSP	1 000	Jan 08, 10	Mar 12, 13	63.82	42.17			21.65
	VSP	8,000	Jan 08, 10	Jun 14, 13	546.15	337.35			208.80
BANK OF NOVA SCOTIA CANADA CAD	VSP	133 000	Jan 08, 10	Jun 14, 13	7,466.84	6,064.24			1,402.60
CHEVRON CORP	VSP	95 000	Nov 23, 10	Oct 09, 13	10,957.72	7,768.07			3,189.65
COVIDIEN PLC	VSP	1,000	Jul 15, 11	Feb 28, 13	63.44	52.14			11.30
	VSP	2 000	Jul 15, 11	Mar 12, 13	130.63	104.28			26.35
CVS CAREMARK CORP	VSP	15 000	Feb 01, 12	Jun 14, 13	896.96	642.39			254.57
	VSP	138 000	Feb 01, 12	Nov 12, 13	8,823.79	5,909.99			2,913.80
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	FIFO	2,616 326	Feb 01, 12	Feb 28, 13	21,453.87	21,192.24			261.63
	FIFO	8 304	Feb 29, 12	Feb 28, 13	68.10	67.76	0.33		0.34
	FIFO	11 279	Mar 30, 12	Feb 28, 13	92.48	91.47	0.45		1.01
	FIFO	10 808	Apr 30, 12	Feb 28, 13	88.63	87.54	0.21		1.09
	FIFO	11,392	May 31, 12	Feb 28, 13	93.41	90.34	0.23		3.07
	FIFO	10 940	Jun 29, 12	Feb 28, 13	89.71	88.39	1.09		1.32
	FIFO	6 455	Jul 31, 12	Feb 28, 13	52.94	52.16	0.26		0.78
	FIFO	4 776	Jul 31, 12	Feb 28, 13	39.16	38.88	0.48		0.28
	FIFO	11,170	Aug 31, 12	Feb 28, 13	91.59	90.70	0.45		0.89
EXXON MOBIL CORP	VSP	82 000	Jan 18, 11	Aug 13, 13	7,349.01	6,427.10			921.91
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	FIFO	999 364	Jul 15, 11	Jun 14, 13	13,141.64	13,871.17		-729.53	
	FIFO	8 442	Aug 15, 11	Jun 14, 13	111.01	115.91		-4.90	
	FIFO	8 740	Sep 15, 11	Jun 14, 13	114.93	116.33		-1.40	
	FIFO	453 812	Sep 23, 11	Jun 14, 13	5,967.63	5,767.95			199.68



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Portfolio Management Program

Account name: HOLMES FOUNDATION INC
Account number: BW 14295 LP

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	FIFO	10 744	Oct 19, 11	Jun 14, 13	141 28	139 46			1 82
	FIFO	10 491	Nov 17, 11	Jun 14, 13	137 96	134 81			3 15
	FIFO	54 397	Dec 19, 11	Jun 14, 13	715 32	669 08			46 24
	FIFO	17 385	Dec 19, 11	Jun 14, 13	228 62	213 83			14 79
	FIFO	10 991	Jan 17, 12	Jun 14, 13	144 53	138 93			5 60
	FIFO	8 947	Feb 15, 12	Jun 14, 13	117 65	117 74		-0 09	-
	FIFO	8 947	Mar 15, 12	Jun 14, 13	117 65	118 19		-0 54	1 62
	FIFO	9 019	Apr 16, 12	Jun 14, 13	118 60	116 98			1 62
	FIFO	8 012	May 15, 12	Jun 14, 13	105 36	101 19			4 17
GENL MILLS INC	VSP	174 000	Oct 15, 08	Mar 12, 13	8,021 83	5,689 56			2,332 27
	VSP	9 000	Feb 01, 12	Mar 12, 13	414 93	361 77			53 16
HEINZ H J CO **MERGER EFF 06/13**	VSP	127 000	Feb 01, 12	Feb 28, 13	9,203 82	6,639 34			2,564 48
INTL BUSINESS MACH	VSP	2 000	Mar 22, 06	Mar 12, 13	420 75	168 34			252 41
ISHARES IBOXX \$ INVT GRADE CORPORATE BOND ETF	VSP	57 000	Mar 22, 06	Apr 22, 13	6,938 69	6,073 92			864 77
	VSP	10 000	Sep 23, 11	Apr 22, 13	1,217 31	1,131 83			85 48
	VSP	56 000	Sep 23, 11	Apr 22, 13	6,817 51	6,338 28			479 23
ISHARES 20+ YEAR TREAS BOND ETF	VSP	233 000	Feb 01, 12	Jun 14, 13	26,622 13	27,748 01		-1,125 88	
JOHNSON & JOHNSON COM	VSP	4 000	Nov 23, 10	Feb 28, 13	305 24	252 15			53 09
	VSP	1 000	Nov 23, 10	Mar 12, 13	78 58	63 04			15 54
	VSP	5 000	Nov 23, 10	Jun 14, 13	427 14	315 19			111 95
KAYNE ANDERSON MLP INVESTMENT CO	FIFO	152 000	Apr 15, 10	Feb 28, 13	5,380 67	4,039 83			1,340 84
	FIFO	22 000	Jul 09, 10	Feb 28, 13	778 78	521 80			256 98
	FIFO	21 000	Oct 15, 10	Feb 28, 13	743 38	506 46			236 92
	FIFO	18 000	Jan 14, 11	Feb 28, 13	637 19	499 11			138 08
	FIFO	16 000	Apr 15, 11	Feb 28, 13	566 38	440 29			126 09
	FIFO	102 000	Jul 15, 11	Feb 28, 13	3,610 71	2,943 28			667 43

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Portfolio Management Program

Account name: HOLMES FOUNDATION INC
 Account number: BW 14295 LP

Your Financial Advisor:
 THE FDG GROUP
 203-357-0700/800-942-2045

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
	FIFO	17 000	Jul 15, 11	Feb 28, 13	601 79	465 11			136 68
	FIFO	170 000	Sep 23, 11	Feb 28, 13	6,017 85	4,575 34			1,442 51
	FIFO	25 000	Oct 14, 11	Feb 28, 13	884 98	626 56			258 42
	FIFO	22 000	Jan 13, 12	Feb 28, 13	778 78	627 36			151 42
MALLINCKRODT PUB LTD CO	FIFO	0 750	Jul 15, 11	Jul 01, 13	33 11	28 25			4 86
	VSP	14 500	Jul 15, 11	Jul 30, 13	680 37	546 19			134 18
NOVARTIS AG SPON ADR	VSP	5 000	Jul 15, 11	Feb 28, 13	340 29	307 54			32 75
	VSP	1 000	Jul 15, 11	Mar 12, 13	69 49	61 51			7 98
	VSP	2 000	Jul 15, 11	Jun 14, 13	144 77	123 02			21 75
PUBLIC STORAGE REIT	VSP	52 000	Jan 18, 11	Feb 28, 13	7,892 79	5,400 89			2,491 90
SMUCKER J M CO NEW	VSP	1 000	Feb 01, 12	Feb 28, 13	95 32	79 69			15 63
TANGER FACTORY OUTLET CENTERS INC	VSP	3 000	Jul 15, 11	Feb 28, 13	105 56	83 94			21 62
	VSP	223 000	Jul 15, 11	Jun 14, 13	7,647 78	6,239 36			1,408 42
US BANCORP DEL (NEW)	VSP	218 000	Jul 15, 11	Oct 09, 13	7,835 85	5,393 11			2,442 74
Total					\$229,754.19	\$199,958.48		-\$2,178.23	\$31,973.94
Net long-term capital gains or losses									\$29,795.71
Net capital gains/losses:									\$27,338.99





Your notes

Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury
Internal Revenue Service

▶ **File a separate application for each return.**

▶ **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print	Name of exempt organization or other filer, see instructions HOLMES FOUNDATION INC	Employer identification number (EIN) or 13-1946867
File by the due date for filing your return See instructions	Number, street, and room or suite no. If a P O box, see instructions 3111 CARDINAL DRIVE, NO. B	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions VERO BEACH, FL 32963-1920	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

MICHAEL O'HAIRE

- The books are in the care of ▶ **3111 CARDINAL DRIVE, SUITE B - VERO BEACH, FL 32963**
Telephone No ▶ **772-231-6900** Fax No ▶ _____
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

- 1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ▶ calendar year **2013** or
 - ▶ tax year beginning _____, and ending _____.
- 2** If the tax year entered in line 1 is for less than 12 months, check reason Initial return Final return Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	651.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	1,480.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions