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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

For calendar year 2013, or tax year beginning , 2013, and ending

The Statler Foundation
Plaza Suites #222, 1207 Delaware
Buffalo, NY 14209A Employer identification number
13-1889077B Telephone number (see the instructions)
716-852-1104

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
▶ \$ 33,247,020.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc. received (att sch)				
2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	41,724.	41,724.		
4 Dividends and interest from securities	635,832.	635,832.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	1,132,425.			
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)		1,132,425.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
See Statement 1	70,662.	70,662.		
12 Total. Add lines 1 through 11	1,880,643.	1,880,643.	0.	
13 Compensation of officers, directors, trustees, etc	251,339.	41,974.		209,365.
14 Other employee salaries and wages	34,231.	3,423.		30,808.
15 Pension plans, employee benefits				
16a Legal fees (attach schedule) See St 2	8,100.	2,025.		6,075.
b Accounting fees (attach sch) See St 3	51,670.	12,918.		38,752.
c Other prof fees (attach sch) See St 4	396,805.	396,805.		
17 Interest	17,075.	17,075.		
18 Taxes (attach schedule) (see insts) See Stm 5	11,549.	3,078.		2,471.
19 Depreciation (attach sch) and depletion	354.	172.		
20 Occupancy	10,380.	5,190.		5,190.
21 Travel, conferences, and meetings	5,325.			5,325.
22 Printing and publications				
23 Other expenses (attach schedule)				
See Statement 6	23,315.	4,316.		18,991.
24 Total operating and administrative expenses. Add lines 13 through 23	810,143.	486,976.		316,977.
25 Contributions, gifts, grants paid Part XV	1,044,033.			1,044,033.
26 Total expenses and disbursements. Add lines 24 and 25	1,854,176.	486,976.	0.	1,361,010.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	26,467.			
b Net investment income (if negative, enter -0-)		1,393,667.		
c Adjusted net income (if negative, enter -0-)			0.	

REVENUE
EXPENSES
ADMINISTRATIVE
OPERATING AND

18 C14

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash — non-interest-bearing	130,688.	187,414.	187,414.
	2 Savings and temporary cash investments	226,201.	396,284.	396,284.
	3 Accounts receivable	51,011.		
	Less: allowance for doubtful accounts		51,011.	51,011.
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	30,058,363.	29,807,790.	32,610,311.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment: basis			
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)				
14 Land, buildings, and equipment: basis	12,381.			
Less: accumulated depreciation (attach schedule) See Stmt 7.	12,057.	678.	324.	
15 Other assets (describe)			2,000.	
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	30,415,930.	30,442,823.	33,247,020.	
L I A B I L I T I E S	17 Accounts payable and accrued expenses	1,027.	1,453.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	1,027.	1,453.	
N E T A S S E T S O R F U N D B A L A N C E S	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.		X	
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	30,414,903.	30,441,370.	
	30 Total net assets or fund balances (see instructions)	30,414,903.	30,441,370.	
	31 Total liabilities and net assets/fund balances (see instructions)	30,415,930.	30,442,823.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	30,414,903.
2 Enter amount from Part I, line 27a	2	26,467.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	30,441,370.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	30,441,370.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	— [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	1,132,425.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	— [If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8]	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	1,701,505.	31,883,009.	0.053367
2011	1,880,304.	32,041,521.	0.058683
2010	1,427,690.	30,771,319.	0.046397
2009	1,882,732.	28,360,057.	0.066387
2008	1,733,610.	33,071,933.	0.052419

2 Total of line 1, column (d)	2	0.277253
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.055451
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	32,676,167.
5 Multiply line 4 by line 3	5	1,811,926.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13,937.
7 Add lines 5 and 6	7	1,825,863.
8 Enter qualifying distributions from Part XII, line 4	8	1,361,010.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. <input type="checkbox"/> and enter 1% of Part I, line 27b		1	27,873.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2.		3	27,873.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	27,873.
6 Credits/Payments:			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	12,972.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c	21,438.	
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d	7	34,410.	
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	254.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,283.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax 6,283. Refunded	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes', attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>Robert M. Bennett</u> Telephone no <u>716-852-1104</u> Located at <u>Plaza Suites #222, 1207 Delaware Avenue Buff</u> ZIP + 4 <u>14209</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country <u></u>	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.**

		Yes	No
1 a During the year did the foundation (either directly or indirectly):			
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1 b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20</u> <u>20</u> <u>20</u> <u>20</u>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20</u> <u>20</u> <u>20</u> <u>20</u>		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3 b	N/A
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

5b N/A

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

6b X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 8		251,340.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Julianna D. Petronella 705 Busti Avenue Buffalo, NY	Office Manager 24	34,231.	0.	0.

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
New England Pension Consultants One Main Street Cambridge, MA 02142	Investment Advisory	108,558.
Wellington Trust Co. N.A. 280 Congress St. Boston, MA 02210	Investment Fees	61,526.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 None	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	33,047,505.
b Average of monthly cash balances	1b	73,258.
c Fair market value of all other assets (see instructions)	1c	53,011.
d Total (add lines 1a, b, and c)	1d	33,173,774.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	33,173,774.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	497,607.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	32,676,167.
6 Minimum investment return. Enter 5% of line 5.	6	1,633,808.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	1,633,808.
2a Tax on investment income for 2013 from Part VI, line 5	2a	27,873.
b Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	27,873.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	1,605,935.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	1,605,935.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,605,935.

Part XIII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	1,361,010.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,361,010.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,361,010.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,605,935.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			651,063.	
b Total for prior years: 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: \$ 1,361,010.				
a Applied to 2012, but not more than line 2a			651,063.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2013 distributable amount				709,947.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions.		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount — see instructions.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				895,988.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

BAA

Form 990-PF (2013)

N/A

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities .

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a 'Assets' alternative test – enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c 'Support' alternative test – enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization .

(4) Gross investment income

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

See Statement 9

b The form in which applications should be submitted and information and materials they should include:

See Statement for Line 2a

c Any submission deadlines:

See Statement for Line 2a

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See Statement for Line 2a

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Attached			Various - See Attached	1,044,033.
Total			3 a	1,044,033.
b Approved for future payment				
Total			3 b	

2013

Federal Statements

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The Statler Foundation

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Statement 1
Form 990-PF, Part I, Line 11
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Other Investment Income	\$ 70,662.	\$ 70,662.	
Total	<u>\$ 70,662.</u>	<u>\$ 70,662.</u>	<u>\$ 0.</u>

Statement 2
Form 990-PF, Part I, Line 16a
Legal Fees

	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
	\$ 8,100.	\$ 2,025.		\$ 6,075.
Total	<u>\$ 8,100.</u>	<u>\$ 2,025.</u>	<u>\$ 0.</u>	<u>\$ 6,075.</u>

Statement 3
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
	\$ 51,670.	\$ 12,918.		\$ 38,752.
Total	<u>\$ 51,670.</u>	<u>\$ 12,918.</u>	<u>\$ 0.</u>	<u>\$ 38,752.</u>

Statement 4
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment Fees	\$ 396,805.	\$ 396,805.		
Total	<u>\$ 396,805.</u>	<u>\$ 396,805.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

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The Statler Foundation

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Statement 5
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise Tax	\$ 6,000.			
Filing fees	750.	\$ 750.		
Foreign Taxes	2,053.	2,053.		
Payroll taxes	2,746.	275.		\$ 2,471.
Total	\$ 11,549.	\$ 3,078.	\$ 0.	\$ 2,471.

Statement 6
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Insurance	\$ 5,356.	\$ 536.		\$ 4,820.
Maintenance	1,699.	850.		849.
miscellaneous	8.			
Office	12,990.	1,299.		11,691.
Telephone	3,262.	1,631.		1,631.
Total	\$ 23,315.	\$ 4,316.	\$ 0.	\$ 18,991.

Statement 7
Form 990-PF, Part II, Line 14
Land, Buildings, and Equipment

Category	Basis	Accum. Deprec.	Book Value	Fair Market Value
Furniture and Fixtures	\$ 12,381.	\$ 12,057.	\$ 324.	\$ 2,000.
Total	\$ 12,381.	\$ 12,057.	\$ 324.	\$ 2,000.

Statement 8
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title and Average Hours Per Week Devoted	Compen- sation	Contri- bution to EBP & DC	Expense Account/ Other
Arthur F. DuC. Musarra 468 Lebrun Road Eggertsville, NY 14226	Trustee 0	\$ 20,945.	\$ 0.	\$ 0.

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The Statler Foundation

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Statement 8 (continued)
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compen- sation</u>	<u>Contri- bution to EBP & DC</u>	<u>Expense Account/ Other</u>
William J. Cunningham, Jr. 573 Cherry Oak Way Jensen Beach, FL 34957	Trustee 0	\$ 20,945.	\$ 0.	\$ 0.
Peter J. Fiorella, Jr. 155 Summer Street Buffalo, NY 14222	Trustee 0	20,945.	0.	0.
Marguerite Collesano 120 Rivermist Drive Buffalo, NY 14202	Trustee 0	20,945.	0.	0.
Edward M. Flynn 7 Clearwater Dr. Amherst, NY 14228	Trustee 0	20,945.	0.	0.
Carlo M. Perfetto PO Box 252 Hamburg, NY 14075	Trustee 0	20,945.	0.	0.
Peter A. Vinolus 6033-4 Webster Road Orchard Park, NY 14127	Trustee 0	20,945.	0.	0.
Herbert M. Siegel 426 Franklin Street Buffalo, NY 14202	Trustee 0	20,945.	0.	0.
Robert M. Bennett 201 Millwood Lane Tonawanda, NY 14150	Trustee 0	20,945.	0.	0.
Arthur V. Sabia 14079 Mill Street Springville, NY 14141	Trustee 0	20,945.	0.	0.
Ernestine R. Green 101 Gull Landing Buffalo, NY 14202	Trustee 0	20,945.	0.	0.
Bernard A. Tolbert 1238 Bonshaw Trail Marietta, GA 30064	Trustee 0	20,945.	0.	0.
Total		\$ 251,340.	\$ 0.	\$ 0.

The Statler Foundation
December 31, 2013
EIN 13-1889077
Schedule to Part II - Balance Sheet

<u>Par Value/ Shares</u>	<u>Description</u>	<u>Cost</u>	<u>Market</u>
	Cash - Schwab Account	64,820.61	64,820.61
	Cash in Bank	122,593.03	122,593.03
	Total Cash and Equivalents - Line 1	187,413.64	187,413.64
396,284.43	MTB Prime Money Market	396,284.43	396,284.43
	Temporary Investments - Line 2	396,284.43	396,284.43
Stock Mutual Funds			
2,416.00	EGShares ETF Emerging Markets	62,163.68	64,942.08
1,160.00	Ishares ETF AAA-A Rated Corporate Bond Fund	60,718.93	57,756.40
9,711.00	Ishares Gold Trust	147,214.30	113,424.48
8,174.00	Ishares MSCI Germany Index Fund	200,414.43	259,606.24
6,530.00	Ishares MSCI UTD Kingdm ETF	122,581.81	136,346.40
916.00	Ishares TR Bond 7-10 Year Treasury Bond Fund	88,503.92	90,903.84
2,511.00	Ishares TR RUSSELL 2000 Index Fund	223,062.83	289,668.96
4,053.00	Powershares S&P ETF 500 Low Volatility Portfolio	104,960.55	134,397.48
4,410.00	Powershares DB Commodity Index	108,223.56	113,160.60
3,218.00	Powershares QQQ Trust SER 1	197,063.89	283,055.28
1,365.00	SPDR S&P 500 ETF TR	215,008.53	252,101.85
3,761.00	Vanguard Dividend Appreciation	212,981.72	282,977.64
2,160.00	Vanguard FTSE Emerging Markets ETF	98,375.38	88,862.40
3,981.00	Vanguard FTSE ETF Developed Markets	153,369.97	165,928.08
1,112.00	Vanguard INTL Equity ETF	62,987.91	60,581.76
942.00	Vanguard REIT	59,183.89	60,815.52
1,647.00	Vanguard Total Stock MKT	90,918.81	157,980.24
1,733.00	Wisdomtree Asia ETF	90,133.33	82,854.73
5,259.00	Wisdomtree JPN HDGD Equity	209,132.52	267,367.56
6,775.00	Wisdomtree Trust Brazilian Real Fund	121,960.51	117,207.50
1,319.87	Cube Global Multi Strategy Fund	1,400,000.00	1,464,849.06
75,754.75	Lazard Emerging Markets Small Cap Equity Trust	1,025,263.00	1,032,484.00
276,889.25	GMO Benchmark - Free Allocation Fund III	5,100,677.13	5,626,006.84
	GMO Multi-Strategy Fund (Offshore), L.P.	1,675,064.43	1,422,454.21
492,449.70	Wellington Trust Co. - CTF OPP Investment	4,891,584.43	5,924,169.88
434,638.27	Pimco All Asset Inst	4,355,671.09	4,302,918.90
33.67	Federal Street Associates Offshore Fund, LTD	33,673.15	38,397.15
	Panagora Risk Parity Total Return Fund, LLC	4,478,837.18	4,799,052.60
	Total Mutual Funds	25,589,730.88	27,690,271.68
Private Equity			
	Tennenbaum DIP Opportunity Fund, LLC	4,404.07	3,694.00
	Permal Private Equity Opportunities IV, LP	447,724.48	707,936.00
	Siguler Guff Distressed Opportunities Fund IV, LP	631,107.44	751,338.00
	Private Advisors Small Company Buyout Fund IV, LP	387,634.97	421,443.00
	Perella Weinberg Partners ABV Opportunity Offshore Fund III B	784,292.55	888,830.00
	ORCHARD LANDMARK LIMITED	998,002.54	1,011,074.76
	DRI LSRC III S.a.r.l.	(5,137.00)	(5,137.00)
	Landmark Equity Partners	120,030.00	171,388.00
	Marathon European Credit Opportunity Fund SPC	850,000.00	969,473.04
	Total Private Equity	4,218,059.05	4,920,039.80
	Total Investments - Line 10(b)	29,807,789.93	32,610,311.48

The Statler Foundation

12/31/13

EIN 13-1889077

Schedule to Part IV - Capital Gains

Transaction Date	Description	Proceeds	Federal Tax Cost	Gain/ (Loss)
1/17/2013	Benchmark Plus	149,999.96	132,104.59	17,895.37
1/17/2013	Powershs Exch Trad FD Tr Emerging Mkts	121,905.87	122,144.40	(238.53)
1/31/2013	Gottex Market Neutral Plus Funds SPC	1,401,416.59	1,340,546.92	60,869.67
2/1/2013	Wellington Trust CO, NA CTF OPP Investment	14,968.66	13,196.64	1,772.02
2/6/2013	Powershs QQQ Trust SER 1	54,501.87	46,211.03	8,290.84
2/6/2013	Powershs QQQ Trust SER 1	68,950.58	54,359.53	14,591.05
2/6/2013	Vanguard REIT	135,147.66	102,136.17	33,011.49
2/13/2013	Benchmark Plus	150,000.00	129,227.90	20,772.10
2/20/2013	Market Vectors ETF Trust Gold Miners	65,915.16	83,658.40	(17,743.24)
2/20/2013	Market Vectors ETF Trust Gold Miners	20,553.37	23,012.79	(2,459.42)
2/20/2013	SPDR Gold Trust	31,854.34	31,276.85	577.49
3/28/2013	GMO Benchmark Free Fund	8,966.04	9,142.46	823.58
4/1/2013	Ishares FTSE EPRA	15,018.86	12,293.43	2,725.43
4/1/2013	Wisdomtree JPN HDGD EQTY	17,956.26	16,533.69	1,422.57
4/1/2013	Ishares TR Russell 2000	23,491.79	20,549.67	2,942.12
4/1/2013	Powershares S&P ETF	17,086.78	14,295.14	2,791.64
4/1/2013	Powershs QQQ Trust SER 1	6,839.35	5,298.20	1,541.15
4/1/2013	Powershs QQQ Trust SER 1	13,063.15	11,696.46	1,366.69
4/1/2013	Vanguard Div Appreciation	33,225.00	27,285.65	5,939.35
4/1/2013	Vanguard MSCI EAFE ETF	21,003.09	22,384.72	(1,381.63)
4/1/2013	Vanguard REIT	18,664.86	13,690.48	4,974.38
4/1/2013	Vanguard Total Stock Mkt	18,495.04	14,789.73	3,705.31
4/4/2013	PIMCO All Asset Inst	50,000.00	46,529.40	3,470.60
4/15/2013	PIMCO All Asset Inst.	100,000.00	92,543.84	7,456.16
4/30/2013	GMO Benchmark Free Fund	354,581.32	317,916.67	36,664.65
5/1/2013	Wellington Trust CO, NA CTF OPP Investment	15,494.53	13,631.93	1,862.60
5/31/2013	GMO Benchmark Free Fund	10,193.40	9,193.45	999.95
6/7/2013	Ishares MSCI HK IDX FD Hong Kong	127,253.73	122,229.40	5,024.33
6/7/2013	Ishares MSCI HK IDX FD Hong Kong	120,345.50	125,517.78	(5,172.28)
6/12/2013	Ishares FTSE EPRA	97,730.95	91,437.22	6,293.73
6/12/2013	Ishares FTSE EPRA	131,959.02	114,043.55	17,915.47
6/25/2013	Vanguard REIT	107,752.91	84,777.67	22,975.24
6/25/2013	Vanguard REIT	3,020.50	2,890.08	130.42
7/1/2013	Wisdomtree JPN HDGD EQTY	28,915.59	23,966.26	4,949.33
7/1/2013	Powershs QQQ Trust SER 1	17,844.59	15,309.50	2,535.09
8/1/2013	Benchmark Plus	1,468,546.00	1,020,407.51	448,138.49
8/1/2013	Wellington Trust CO, NA CTF OPP Investment	15,458.55	13,806.09	1,652.46
8/30/2013	GMO Benchmark Free Fund	9,928.57	9,193.52	735.05
9/1/2013	UBS Federal Street Associates Offshore Fund	14,246.61	12,921.60	1,325.01
9/25/2013	PIMCO All Asset Inst	200,000.00	193,719.65	6,280.35
9/30/2013	GMO Benchmark Free Fund	53,012.07	47,663.27	5,348.80
10/2/2013	UBS Federal Street Associates Offshore Fund	1,027,622.34	995,731.66	31,890.68
10/3/2013	Powershares S&P ETF	26,152.95	21,908.86	4,244.09
10/3/2013	Vanguard REIT	25,611.31	24,440.05	1,171.26
10/9/2013	SPDR Barclays ETF	116,229.68	116,550.31	(320.63)
10/9/2013	Ishares Gold Trust	37,996.50	50,709.24	(12,712.74)
11/1/2013	Wellington Trust CO, NA CTF OPP Investment	15,604.25	13,174.41	2,429.84
11/29/2013	GMO Benchmark Free Fund	9,964.67	8,630.61	1,334.06
12/2/2013	Wellington Trust CO, NA CTF OPP Investment	200,000.00	167,191.48	32,808.52
12/11/2013	SPDR S&P 500 ETF TR Expiring 1/22/2118	21,675.75	18,443.58	3,232.17
12/11/2013	Ishares MSCI Grmny	32,590.43	25,026.07	7,564.36
12/11/2013	Ishares TR Russell 2000	30,673.02	22,742.72	7,930.30
12/11/2013	Powershs QQQ Trust SER 1	44,183.49	31,721.28	12,462.21
12/11/2013	Vanguard Div Appreciation	29,945.88	22,021.88	7,924.00
12/11/2013	Vanguard Total Stock Mkt	3,249.05	2,250.61	998.44
12/11/2013	Vanguard Total Stock Mkt	18,937.34	8,184.41	10,752.93
12/31/2013	GMO Benchmark Free Fund	347,155.66	314,739.92	32,415.74
				<u>\$ 876,900.11</u>

		Gains	Losses	Gain/ (Loss)
1/24/2013	PanAgora Risk	14,369 68		
2/28/2013	Permal Private Equity	1,466 05		
2/28/2013	PanAgora Risk	6,451 12		
2/28/2013	PanAgora Risk	46,594 26		
3/31/2013	Permal Private Equity	17,767 00		
3/31/2013	PanAgora Risk	41,409 06		
4/30/2013	PanAgora Risk	3,620 12		
5/31/2013	PanAgora Risk		(58,159 78)	
6/30/2013	Permal Private Equity	4,925 00		
6/30/2013	Permal Private Equity	17,584 00		
6/30/2013	PanAgora Risk	28,829 67		
6/30/2013	Siguler Guff Distressed Opportunities Fund IV, L	14,732 77		
7/8/2013	GMO Benchmark Free Fund	65,083 84		
7/31/2013	PanAgora Risk		(49,286 10)	
8/31/2013	PanAgora Risk		(82,123 32)	
9/30/2013	PanAgora Risk	19,871 74		
9/30/2013	Permal Private Equity	3,134 00		
10/31/2013	Lazard Asset Management	7,795 86		
10/31/2013	Juniper Networks	210 72		
10/31/2013	PanAgora Risk	11,444 11		
11/30/2013	PanAgora Risk		(32,587 31)	
11/30/2013	Lazard Asset Management	13,532 72		
12/31/2013	Enron	5,212 17		
12/31/2013	Cendant Corp	304 69		
12/31/2013	GMO Benchmark Free Fund	12,309 66		
12/31/2013	GMO Benchmark Free Fund	62,223 53		
12/31/2013	PanAgora Risk		(103,109 52)	
12/31/2013	Perella Weinberg	110,888 00		
12/31/2013	Permal Private Equity	11,318 00		
12/31/2013	Private Advisors	47,722 00		
12/31/2013	Siguler Guff Distressed Opportunities Fund IV, L	7,835 23		
12/31/2013	Tennenbaum Capital Partners LLC	706 00		
12/31/2013	Landmark Equity Partners	1,310 00		
12/31/2013	Lazard Asset Management LAZ 12	2,140 42		
		<u>580,791 42</u>	<u>(325,266 03)</u>	\$ 255,525.39

Total Capital Gain Sales

876,900 11

Grand Total Capital Gains

1,132,425 50

The Statler Foundation **2013 Scholarships and Grants**

Attachment to 2013 Form 990-PF Part XV Section 3a

<u>Date</u>	<u>Num</u>	<u>Name</u>	<u>Memo</u>	<u>Amount</u>
1/14/2013	1662	Mercyhurst College	N. Anderson #0329010	6,667.00
1/14/2013	1663	Johnson & Wales University	C. Cloud #J01881622/books	234.84
5/1/2013	1665	Paul Smith's College	Spring Scholarships	25,000.00
5/1/2013	1666	Niagara County Community College	2013 Spring Scholarships	15,000.00
5/1/2013	1667	Niagara University	Spring Scholarships	90,000.00
5/1/2013	1668	Monroe Community College	2013 Spring Scholarships	5,000.00
5/1/2013	1670	Trocaire College	2013 Spring Scholarships	12,000.00
5/1/2013	1671	Johnson & Wales University	C. Cloud #J01881622/books	5,852.00
5/1/2013	1672	Florida International University	David Maggard #3788767	3,282.90
5/1/2013	1673	Buffalo State College	Schol Excel R. O'Leary	3,424.82
5/1/2013	1674	Paul Smith's College	M. Bramley 023834	7,400.00
5/1/2013	1675	Purdue University	Christopher Willis #023667341	10,000.00
5/1/2013	1676	Michigan State University	Paul Finstad #A 42084400	5,902.00
5/1/2013	1677	Mercyhurst College	N. Anderson #0329010	6,666.00
5/1/2013	1679	Pennsylvania State University	Madeline Parks #667264280	10,000.00
5/1/2013	1680	Buffalo State College	2013 Spring Scholarships	35,911.50
5/21/2013	1681	Erie Community College	2013 Spring Scholarships	37,500.00
5/21/2013	1682	Johnson & Wales University	2013 Spring Scholarships	25,000.00
6/12/2013	1683	Cornell University	V. Cusma #2083760	10,000.00
7/15/2013	1684	Florida International University	David Maggard #3788767	1,444.11
12/9/2013	1686	Niagara University	2013 Fall Scholarships	83,000.00
12/9/2013	1687	Erie Community College	2013 Fall Scholarships	35,000.00
12/9/2013	1688	Johnson & Wales University	2013 Fall Scholarships	19,000.00
12/9/2013	1689	Paul Smith's College	2013 Fall Scholarships	19,000.00
12/9/2013	1690	Buffalo State College	2013 Fall Scholarships	16,500.00
12/9/2013	1691	Trocaire College	2013 Fall Scholarships	15,000.00
12/9/2013	1692	Niagara County Community College	2013 Fall Scholarships	12,500.00
12/9/2013	1693	Monroe Community College	2013 Fall Scholarships	5,000.00
12/9/2013	1694	Culinary Institute of America	2013 Fall Scholarships	3,000.00
12/9/2013	1695	Say Yes to Education	Statler Scholarships	30,273.00
12/9/2013	1696	Rochester Institute of Technology	C. Anderson #583000176	7,143.51
12/9/2013	1697	Pennsylvania State University	J. Glassmire #917887565	7,500.00
12/9/2013	1698	Culinary Institute of America	L. Aclan #743707	7,500.00
12/9/2013	1699	Niagara University	D. Caloia #0752918	4,436.00
12/9/2013	1700	Johnson & Wales University	C. Stewart #J01932529	5,666.00
12/9/2013	1701	Michigan State University	A. Wendzinski #PID A 43306525	5,961.00

The Statler Foundation

2013 Scholarships and Grants

Attachment to 2013 Form 990-PF Part XV Section 3a

<u>Date</u>	<u>Num</u>	<u>Name</u>	<u>Memo</u>	<u>Amount</u>
12/9/2013	1702	Cornell University	H. Berl #2519437	3,500.00
12/9/2013	1703	Florida International University	D. Deal #4171416	4,493.31
12/9/2013	1704	Mercyhurst College	D. Karlheim #0530587	5,312.00
12/9/2013	1705	Paul Smith's College	R. Kruppenbacher #227677403	2,603.00
12/9/2013	1706	Purdue University	Christopher Willis #023667341	5,860.00
12/10/2013	1714	Niagara University	2014 Spring Scholarships	83,000.00
12/10/2013	1715	Buffalo State College	2014 Spring Scholarships	16,500.00
12/10/2013	1716	Monroe Community College	2014 Spring Scholarships	5,000.00
12/10/2013	1717	Erie Community College	2014 Spring Scholarships	35,000.00
12/10/2013	1718	Johnson & Wales University	2014 Spring Scholarships	19,000.00
12/10/2013	1719	Paul Smith's College	2014 Spring Scholarships	19,000.00
12/10/2013	1720	Trocaire College	2014 Spring Scholarships	15,000.00
12/10/2013	2093	Niagara County Community College	2014 Spring Scholarships	12,500.00
12/10/2013	2094	Culinary Institute of America	2014 Spring Scholarships	3,000.00

TOTAL SCHOLARSHIPS 822,532.99

1/15/2013	1664	Diocese of Buffalo	2013 Grant	40,000
12/9/2013	1707	SUNY Buffalo State	2013 Grant	20,500
12/9/2013	1708	Rochester Institute of Technology	2013 Grant	20,500
12/9/2013	1709	Erie Community College	2013 Grant	20,500
12/9/2013	1710	Florida International University	2013 Professorship and Review	20,000
12/9/2013	1711	Olmstead Center for Sight	2013 Grant	25,000
12/9/2013	1712	Niagara University	2013 Grant	40,000
12/9/2013	1713	Paul Smith's College	2013 Grant	35,000

TOTAL GRANTS 221,500

TOTAL SCHOLARSHIPS AND GRANTS 1,044,032.99

2013

Federal Statements

Page 4

Client STATLER

The Statler Foundation

13-1889077

10/29/14

02:28PM

**Statement 9
Form 990-PF, Part XV, Line 2a-d
Application Submission Information**

Name of Grant Program:

Name:

Care Of:

Street Address:

City, State, Zip Code:

Telephone:

E-Mail Address:

Form and Content: See attached application

Submission Deadlines:

Restrictions on Awards:

THE STATLER FOUNDATION

107 Delaware Avenue, Suite 508
Buffalo, New York 14202

Scholarship Application



"For research work for the benefit of the hotel industry of the United States, not only in the construction and operation of hotels, but in the training and making more proficient the workers in hotels, for the benefit of the hotel industry, as a whole." — Ellsworth M. Statler

.....
(name of sponsoring organization)

State

Address

.....
(name of authorized officer of sponsoring organization)

NAME OF APPLICANT (FIRST) (MIDDLE) (LAST)

ADDRESS
(STREET) (CITY) (STATE) (ZIP CODE)

SOCIAL SECURITY NO

I. Personal Information (Please Print)

1. Name of applicant
(FIRST) (MIDDLE INITIAL) (LAST)
2. Permanent Address
(STREET)
.....
(City) (State) (Zip Code) Telephone No.
(Area Code)
3. Date of Birth
4. Sex. Male ☐ Female ☐
5. Health: (Check one) Excellent Good Fair
6. Parent's or Guardian's full name
7. Parent's or Guardian's address
8. Parent's or Guardian's occupation: Father
Mother
- (a) Number in immediate family of public, high school and college age
9. Are you a U.S. Citizen? Yes No
10. If your parents are or have been employed in the hotel-motel or foods industry, indicate by
name, location and position held:
.....

II. School Information

1. Name of school you are now attending
2. Date of graduation
3. Name of your president or principal.
4. Address of the school you are now attending
.....
5. What foreign language, if any, have you taken in school?

(see back page)

6. What foreign language if any can you speak?
How well? Fluently Understandably
7. What paying jobs have you held (indicate whether during the summer or school year, the approximate number of hours per week, and your earnings.)
.
8. List the extra-curricular or work activity you feel has contributed the most to your development.
.

III. Personal History

Write of your personal background, interests, etc., (Not more than 500 words.) to include:

1. When you became interested in the Hospitality Field.
2. Your experiences with the field as a customer, as a worker, in your personal contacts with those in the field.
3. Why you think you have the aptitudes and interests necessary for success in this field.
4. What progress you expect to make in the next ten years in this field.

IV. Financial Status (Confidential)

1. Do you expect financial assistance from your family? (check box)
Yes ☐ No ☐
2. Do you expect to receive any financial loans or grants from other private or public sources?
If so, please list.
.
.
3. If financial assistance is available pursuant to the foregoing, how much of the total tuition can you assume? State amount in dollars.

V. **Important, please note** — Three letters of recommendation are required from responsible citizens in your area attesting to your character and integrity.

VI. After study of application, you may be notified of your personal interview.

APPLICANT'S NAME

I Scholarship

1. List the four-year colleges to which you have applied for hotel, motel, restaurant management or culinary arts.

Name and address of School	Date Submitted	Accepted?	Major Study	Costs per Year
				Tuition
				Books
				Fees
				Room & Board
				Total

2. List the two-year colleges if any to which you have applied for hotel, motel, restaurant management or culinary arts

Name and address of College	Date Submitted	Accepted?	Major Study	Costs per Year
				Tuition
				Books
				Fees
				Room & Board
				Total

3. List the hotel, motel or restaurant employers to which you may apply for on-the-job training during the vacation period.

Name and address of Business	Date Submitted	Accepted?	Training Objective	Estimated Income
				Salary or Wage \$

4. Application must be submitted by April 15 for following academic year.

5. Please enclose proof of acceptance by the school you are entering.

Date

Applicant's Signature

Form CHAR500 <small>This form used for Article 7-A, EPTL and dual filers (replaces forms CHAR 497, CHAR 010 and CHAR 006)</small>	Annual Filing for Charitable Organizations New York State Department of Law (Office of the Attorney General) Charities Bureau - Registration Section 120 Broadway New York, NY 10271 http://www.charitiesnys.com	2013 Open to Public Inspection
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1. General Information			
a For the fiscal year beginning (mm/dd/yyyy) <u>01/01</u> / 2013 and ending (mm/dd/yyyy) <u>12/31/2013</u>			
b. Check if applicable for NYS. <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial filing <input type="checkbox"/> Final filing <input type="checkbox"/> Amended filing <input type="checkbox"/> NY registration pending	c Name of organization The Statler Foundation Number and street (or P O box if mail not delivered to street address) Room/suite Plaza Suites, 1207 Delaware Ave. 222 City or town, state or country and zip + 4 Buffalo, NY 14209		d Fed employer ID no (EIN) (##-####) 13 - 1889077 e NY State registration no (##-###) 00 - 65 - 05 f Telephone number (716) 852-1104 g Email

2. Certification - Two Signatures Required			
We certify under penalties of perjury that we reviewed this report, including all attachments, and to the best of our knowledge and belief, they are true, correct and complete in accordance with the laws of the State of New York applicable to this report.			
a President or Authorized Officer	Robert M. Bennett Signature Printed Name	Chairman Title	Date
b Chief Financial Officer or Treas	Signature Printed Name	Title	Date

3. Annual Report Exemption Information	
a Article 7-A annual report exemption (Article 7-A registrants and dual registrants) Check <input type="checkbox"/> if total contributions from NY State (including residents, foundations, corporations, government agencies, etc) did not exceed \$25,000 <u>and</u> the organization did not engage a professional fund raiser (PFR) or fund raising counsel (FRC) to solicit contributions during this fiscal year NOTE: An organization may claim this exemption if no PFR or FRC was used <u>and</u> either: 1) it received an allocation from a federated fund, United Way or incorporated community appeal <u>and</u> contributions from other sources did not exceed \$25,000 <u>or</u> 2) it received all or substantially all of its contributions from one government agency to which it submitted an annual report similar to that required by Article 7-A	
b EPTL annual report exemption (EPTL registrants and dual registrants) Check <input type="checkbox"/> if gross receipts did not exceed \$25,000 <u>and</u> assets (market value) did not exceed \$25,000 at any time during this fiscal year	
For EPTL or Article-7A registrants claiming the annual report exemption under the one law under which they are registered and for dual registrants claiming the annual report exemptions under both laws, simply complete part 1 (General Information), part 2 (Certification) and part 3 (Annual Report Exemption Information) above <i>Do not submit a fee, do not complete the following schedules and do not submit any attachments to this form.</i>	

4. Article 7-A Schedules	
If you did not check the Article 7-A annual report exemption above, complete the following for this fiscal year	
a Did the organization use a professional fund raiser, fund raising counsel or commercial co-venturer for fund raising activity in NY State? * If "Yes", complete Schedule 4a.	<input type="checkbox"/> Yes* <input type="checkbox"/> No
b Did the organization receive government contributions (grants)? * If "Yes", complete Schedule 4b	<input type="checkbox"/> Yes* <input type="checkbox"/> No

5. Fee Submitted: See last page for summary of fee requirements	
Indicate the filing fee(s) you are submitting along with this form.	
a Article 7-A filing fee \$ _____ b EPTL filing fee \$ 750 c Total fee \$ 750	Submit only one check or money order for the total fee, payable to "NYS Department of Law"

6. Attachments - For organizations that are not claiming annual report exemptions under both laws, see last page for required attachments →→→
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Schedule 4a: Professional Fund Raisers (PFR), Fund Raising Counsels (FRC), Commercial Co-Venturers (CCV)

If you checked the box in question 4.a. on page 1, complete the following schedule for each PFR, FRC or CCV that the organization engaged for fund raising activity in NY State

1. Type of fund raising professional (FRP).

Professional fund raiser ☐
Fund raising counsel ☐
Commercial co-venturer ☐

2. Name of FRP.

Number and street (or P O. box if mail is not delivered to street address).

City or town, state or country and zip + 4:

3. FRP telephone number.

() -

4. Services provided by FRP (provide description):**5. Compensation arrangement with FRP (provide description):**

6. Dates of contract through
(mm/dd/yyyy) (mm/dd/yyyy)

7. Amount paid to FRP \$

8 If services were provided by a CCV, did the CCV provide the charitable organization with the interim report(s) required by §§ 173-a. 3 of the Executive Law? ☐ Yes ☐ No

Schedule 4b: Government Contributions (Grants)

If you checked the box in question 4.b. on page 1, complete the following schedule for each government contribution (grant). Use additional copies of this page if necessary to list each government contribution (grant) separately.

[illegible]

5. Fee Instructions

The filing fee depends on the organization's Registration Type For details on Registration Type and filing fees, see the Instructions for Form CHAR500.

Organization's Registration Type Fee Instructions

- **Article 7-A** Calculate the Article 7-A filing fee using the table in **part a** below. The EPTL filing fee is \$0
- **EPTL** Calculate the EPTL filing fee using the table in **part b** below The Article 7-A filing fee is \$0
- **Dual** Calculate both the Article 7-A and EPTL filing fees using the tables in **parts a and b** below Add the Article 7-A and EPTL filing fees together to calculate the total fee Submit a single check or money order for the total fee

a) Article 7-A filing fee

Total Support & Revenue	Article 7-A Fee
more than \$250,000	\$25
up to \$250,000 *	\$10

* Any organization that contracted with or used the services of a professional fund raiser (PFR) or fund raising counsel (FRC) during the reporting period must pay an Article 7-A filing fee of \$25, regardless of total support and revenue

b) EPTL filing fee

Net Worth at End of Year	EPTL Fee
Less than \$50,000	\$25
\$50,000 or more, but less than \$250,000	\$50
\$250,000 or more, but less than \$1,000,000	\$100
\$1,000,000 or more, but less than \$10,000,000	\$250
\$10,000,000 or more, but less than \$50,000,000	\$750
\$50,000,000 or more	\$1500

6. Attachments – Document Attachment Check-List

Check the boxes for the documents you are attaching.

For All Filers

Filing Fee

☒ Single check or money order payable to "NYS Department of Law"

Copies of Internal Revenue Service Forms

☐ IRS Form 990

☐ All required schedules (including Schedule B)

☐ IRS Form 990-T

☐ IRS Form 990-EZ

☐ All required schedules (including Schedule B)

☐ IRS Form 990-T

☒ IRS Form 990-PF

☒ All required schedules (including Schedule B)

☐ IRS Form 990-T

Additional Article 7-A Document Attachment Requirement

Independent Accountant's Report

☐ Audit Report (total support & revenue more than \$250,000)

☐ Review Report (total support & revenue \$100,001 to \$250,000)

☐ No Accountant's Report Required (total support & revenue not more than \$100,000)