efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493132003074

Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter Social Security numbers on this form as it may be made public By law, the IRS generally cannot redact the information on the form
▶ Information about Form 990 and its instructions is at *www.IRS.gov/form990*

OMB No 1545-0047

2013

Open to Public Inspection

A For the 2013 calendar year, or tax year beginning 01-01-2013 , 2013, and ending 12-31-2013

B Check if applicable
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Terminated
- ☐ Amended return
- ☐ Application pending

C Name of organization
THE FOUNDATION CENTER

Doing Business As

Number and street (or P O box if mail is not delivered to street address) | Room/suite
79 FIFTH AVENUE
Suite

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 100033076

D Employer identification number
13-1837418

E Telephone number
(212) 620-4230

G Gross receipts $ 30,721,441

F Name and address of principal officer
BRADFORD K SMITH
79 FIFTH AVENUE
NEW YORK, NY 100033076

H(a) Is this a group return for subordinates? ☐ Yes ☑ No

H(b) Are all subordinates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J **Website:** ▶ WWW FOUNDATIONCENTER ORG

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1956

M State of legal domicile NY

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
THE FOUNDATION CENTER'S MISSION IS TO STRENGTHEN THE SOCIAL SECTOR BY ADVANCING KNOWLEDGE ABOUT PHILANTHROPY IN THE UNITED STATES AND AROUND THE WORLD

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	14
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	13
5	Total number of individuals employed in calendar year 2013 (Part V, line 2a)	5	202
6	Total number of volunteers (estimate if necessary)	6	13
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	82,265
b	Net unrelated business taxable income from Form 990-T, line 34	7b	-3,961

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	14,326,112	15,907,716
	9	Program service revenue (Part VIII, line 2g)	13,612,122	13,629,131
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	453,259	625,132
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	91,075	82,265
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	28,482,568	30,244,244
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	0	0
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	13,941,762	14,651,733
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0	0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶819,702		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	8,629,022	10,356,759
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	22,570,784	25,008,492
	19	Revenue less expenses Subtract line 18 from line 12	5,911,784	5,235,752

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	33,493,379	41,457,861
	21	Total liabilities (Part X, line 26)	7,777,701	8,058,413
	22	Net assets or fund balances Subtract line 21 from line 20	25,715,678	33,399,448

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

****** | 2014-05-09
Signature of officer | Date

LORENA NOGARA TREASURER AND CONTROLLER
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
KPMG LLP				

Firm's name ▶ KPMG LLP | Firm's EIN ▶

Firm's address ▶ 345 Park Avenue
New York, NY 101540102 | Phone no (212) 758-9700

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III . . . . ☑

1 Briefly describe the organization's mission

SEE SCHEDULE O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ Yes ☑ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ Yes ☑ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 10,136,293 including grants of $) (Revenue $ 11,550,018)

DATA COLLECTION AND PUBLICATIONS - SEE SCHEDULE O

4b (Code) (Expenses $ 4,431,614 including grants of $) (Revenue $ 1,193,508)

LIBRARY/LEARNING CENTERS AND OTHER PUBLIC SERVICES - SEE SCHEDULE O

4c (Code) (Expenses $ 5,229,141 including grants of $) (Revenue $ 967,870)

RESEARCH AND OTHER PROGRAMS - SEE SCHEDULE O

4d Other program services (Describe in Schedule O)

(Expenses $ including grants of $) (Revenue $)

4e **Total program service expenses** ▶ 19,797,048

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4		No
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		No
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	Yes	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI.*	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b	Yes	
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		No
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a	Yes	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b		No
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16		No
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I (see instructions)*	17		No
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		No
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		No
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

Part IV Checklist of Required Schedules *(continued)*

21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21		No
22	Did the organization report more than $5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		No
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? *If so, complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34		No
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V . . . . ☐

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable . .	1a	87			
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	202			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			2b	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year? . . .			3a	Yes	
b	If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O* . . .			3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a		No
b	If "Yes," enter the name of the foreign country ▶ See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . .			5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? . . .			6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a		No
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . .			7f		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12 . . .	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**					
a	Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O			13a		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b				
c	Enter the amount of reserves on hand	13c				
14a	Did the organization receive any payments for indoor tanning services during the tax year?			14a		No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O* . .			14b		

Part VI **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response or note to any line in this Part VI . . . ☑

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	**1a** 14			
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O				
b	Enter the number of voting members included in line 1a, above, who are independent	**1b** 13			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		**2**		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		**3**		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		**4**		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		**5**		No
6	Did the organization have members or stockholders?		**6**		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		**7a**		No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		**7b**		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body?		**8a**	Yes	
b	Each committee with authority to act on behalf of the governing body?		**8b**	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*		**9**		No

Section B. Policies (*This Section B requests information about policies not required by the Internal Revenue Code.*)

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	**10a**	Yes	
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	**10b**	Yes	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	**11a**	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	**12a**	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	**12b**	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done*	**12c**	Yes	
13	Did the organization have a written whistleblower policy?	**13**	Yes	
14	Did the organization have a written document retention and destruction policy?	**14**	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	**15a**	Yes	
b	Other officers or key employees of the organization	**15b**	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	**16a**		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	**16b**		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶CA , NY , OH

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

☑ Own website ☐ Another's website ☑ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization
▶MS LORENA NOGARA 79 FIFTH AVENUE
NEW YORK, NY 100033076 (212) 620-4230

Part VII Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII . . . . ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(1) P RUSSELL HARDIN CHAIRMAN	1 0	X		X				0	0	0
(2) BARRON M TENNY VICE CHAIRMAN	1 0	X		X				0	0	0
(3) MELISSA A BERMAN TRUSTEE UNTIL MARCH 2013	1 0	X						0	0	
(4) JOHN COLBORN TRUSTEE	1 0	X						0	0	0
(5) PATRICK COLLINS TRUSTEE	1 0	X						0	0	0
(6) CLOTILDE PEREZ-BODE DEDECKER TRUSTEE	1 0	X						0	0	0
(7) MICHAEL F HAYES TRUSTEE	1 0	X						0	0	0
(8) DOMINICK J IMPEMBA TRUSTEE	1 0	X						0	0	0
(9) SUSAN KISH TRUSTEE	1 0	X						0	0	0
(10) PATRICK MCCARTHY TRUSTEE	1 0	X						0	0	0
(11) VALDEMAR DE OLIVEIRA NETO TRUSTEE	1 0	X						0	0	0
(12) GEORGE PENICK TRUSTEE UNTIL MARCH 2013	1 0	X						0	0	0
(13) MARCIA A SMITH TRUSTEE	1 0	X						0	0	0
(14) MAUREEN H SMYTH TRUSTEE	1 0	X						0	0	0
(15) YVETTE J ALBERDINGK THIJM TRUSTEE	1 0	X						0	0	0
(16) BRADFORD K SMITH PRESIDENT	50 0	X		X				570,812	0	51,171
(17) LORENA NOGARA TREASURER & CONTROLLER	50 0			X				133,590	0	16,575

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(18) LISA L PHILP SECRETARY & VP STRATEGIC PHIL	50 0			X				215,062	0	7,259
(19) LAWRENCE T MCGILL VP - RESEARCH	50 0					X		185,940	0	49,803
(20) JEFFREY A FALKENSTEIN VP - DATA ACQUISITION	50 0					X		162,272	0	46,278
(21) EDWARD J VENTURI VP - INFORMATION TECH	50 0					X		161,090	0	46,038
(22) ANJULA DUGGAL VP - MARKETING & COMMUNICATION	50 0					X		154,481	0	28,109
(23) ROBERT J YAEGER VP - FINANCE & ADMINISTRATION	50 0					X		151,300	0	9,304

		(D)	(E)	(F)
1b	**Sub-Total** . . . . ▶			
c	**Total from continuation sheets to Part VII, Section A** . . . . ▶			
d	**Total (add lines 1b and 1c)** . . . . ▶	1,734,547	0	254,537

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶21

			Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	**3**		No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	**4**	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	**5**		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
BEACONFIRE CONSULTING, 2300 CLARENDON BLVD SUITE 1100 ARLINGTON VA 22201	IT CONSULTING	521,591
CONTEGRA, 200 MARAMORECK AVENUE WHITE PLAINS NY 10601	IT CONSULTING	439,244
ACCENTURE, 1255 TREAT BLVD SUITE 250 WALNUT CREEK CA 94597	MANAGEMENT CONSULT	300,000
COMMONFUND, 15 OLD DANBURY ROAD PO BOX 812 WILTON CT 068970812	INVESTMENT SERVICES	216,241
ITAD Ltd, 12 ENGLISH BUSINESS PARKENGLISH CLOSEHOVEUKBN3 7ET	DEVELOPMENT CONSULT	174,415

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶13

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII . . . . ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts						
1a	Federated campaigns . . 1a					
b	Membership dues 1b					
c	Fundraising events 1c					
d	Related organizations . . . 1d					
e	Government grants (contributions) 1e					
f	All other contributions, gifts, grants, and similar amounts not included above 1f	15,907,716				
g	Noncash contributions included in lines 1a-1f $					
h	**Total.** Add lines 1a-1f . . . . ▶		15,907,716			
Program Service Revenue		Business Code				
2a	PRODUCT REVENUE	611710	11,550,018	11,550,018		
b	EDUCATIONAL PROGRAM REVENUE	611710	530,584	530,584		
c	ASSOCIATES PROGRAM MEMBERSHIP FEES	611710	87,095	87,095		
d	DATABASE SEARCH REVENUE	611710	92,262	92,262		
e	FUNDING INFO NETWORK MEMBERSHIP FEES	611710	483,567	483,567		
f	All other program service revenue		885,605	885,605		
g	**Total.** Add lines 2a–2f . . . . ▶		13,629,131			

Other Revenue

		(i) Real	(ii) Personal	(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
3	Investment income (including dividends, interest, and other similar amounts) . . . . ▶			424,982			424,982
4	Income from investment of tax-exempt bond proceeds . . ▶			0			
5	Royalties . . . . ▶			0			
6a	Gross rents						
b	Less rental expenses						
c	Rental income or (loss)	0	0				
d	Net rental income or (loss) . . . . ▶			0			
		(i) Securities	(ii) Other				
7a	Gross amount from sales of assets other than inventory	677,347					
b	Less cost or other basis and sales expenses	477,197					
c	Gain or (loss)	200,150					
d	Net gain or (loss) . . . . ▶			200,150			200,150
8a	Gross income from fundraising events (not including $ ______ of contributions reported on line 1c) See Part IV, line 18 . . a						
b	Less direct expenses . . . b						
c	Net income or (loss) from fundraising events . . ▶			0			
9a	Gross income from gaming activities See Part IV, line 19 . . . a						
b	Less direct expenses . . . b						
c	Net income or (loss) from gaming activities . . ▶			0			
10a	Gross sales of inventory, less returns and allowances . a						
b	Less cost of goods sold . . b						
c	Net income or (loss) from sales of inventory . . ▶			0			
	Miscellaneous Revenue	Business Code					
11a	PHILANTHROPY NEWS DIGEST & JOB CORNER	541800		81,325		81,325	
b	FEE FOR FACILITIES SET UP FROM	561000		940		940	
c							
d	All other revenue						
e	**Total.** Add lines 11a–11d . . . . . . ▶			82,265			
12	**Total revenue.** See Instructions . . . . . ▶			30,244,244	13,629,131	82,265	625,132

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX . . . . ☐

	Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States See Part IV, line 21	0			
2	Grants and other assistance to individuals in the United States See Part IV, line 22	0			
3	Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16	0			
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees	998,274	720,788	195,925	81,561
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7	Other salaries and wages	10,508,326	8,376,008	1,690,543	441,775
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	1,014,892	804,590	164,127	46,175
9	Other employee benefits	1,275,058	1,010,843	206,202	58,013
10	Payroll taxes	855,183	677,975	138,299	38,909
11	Fees for services (non-employees)				
a	Management	0			
b	Legal	48,613	36,584	12,029	
c	Accounting	118,000		118,000	
d	Lobbying	0			
e	Professional fundraising services See Part IV, line 17	0			
f	Investment management fees	231,241	0	231,241	
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	2,001,967	1,695,318	306,549	100
12	Advertising and promotion	62,786	62,786		
13	Office expenses	711,714	665,319	38,044	8,351
14	Information technology	2,135,963	1,832,259	289,815	13,889
15	Royalties . .	2,796	2,796		
16	Occupancy	2,590,224	2,081,875	404,065	104,284
17	Travel	604,396	509,340	92,297	2,759
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19	Conferences, conventions, and meetings	143,456	91,402	51,664	390
20	Interest	0			
21	Payments to affiliates	0			
22	Depreciation, depletion, and amortization	469,412	370,221	77,919	21,272
23	Insurance	137,601		137,601	
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a	PRINTING AND DUPLICATING	262,580	249,833	12,747	
b	SEMINAR INSTRUCTION	90,135	90,135		
c	LIBRARY ACQUISITION	79,230	79,153	77	
d	PUBLICATION DISTRIBUTION	26,044	26,044		
e	All other expenses	640,601	413,779	224,598	2,224
25	**Total functional expenses.** Add lines 1 through 24e	25,008,492	19,797,048	4,391,742	819,702
26	**Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ if following SOP 98-2 (ASC 958-720)				

Part X **Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X . . . . ☐

			(A) Beginning of year		(B) End of year
Assets	1	Cash–non-interest-bearing	164,980	1	126,397
	2	Savings and temporary cash investments	11,543,865	2	12,309,556
	3	Pledges and grants receivable, net	6,528,994	3	12,148,677
	4	Accounts receivable, net	649,339	4	739,681
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L	0	5	0
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L	0	6	0
	7	Notes and loans receivable, net	0	7	0
	8	Inventories for sale or use	111,271	8	89,289
	9	Prepaid expenses and deferred charges	362,974	9	315,978
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D — 10a: 12,124,208			
	b	Less accumulated depreciation — 10b: 10,909,756	1,552,699	10c	1,214,452
	11	Investments—publicly traded securities	0	11	0
	12	Investments—other securities See Part IV, line 11	12,579,257	12	14,513,831
	13	Investments—program-related See Part IV, line 11	0	13	0
	14	Intangible assets	0	14	0
	15	Other assets See Part IV, line 11	0	15	0
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)	33,493,379	16	41,457,861
Liabilities	17	Accounts payable and accrued expenses	907,358	17	1,437,025
	18	Grants payable	0	18	0
	19	Deferred revenue	4,882,998	19	4,955,572
	20	Tax-exempt bond liabilities	0	20	0
	21	Escrow or custodial account liability Complete Part IV of Schedule D	0	21	0
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L	0	22	0
	23	Secured mortgages and notes payable to unrelated third parties	0	23	0
	24	Unsecured notes and loans payable to unrelated third parties	0	24	0
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D	1,987,345	25	1,665,816
	26	**Total liabilities.** Add lines 17 through 25	7,777,701	26	8,058,413
Net Assets or Fund Balances		**Organizations that follow SFAS 117 (ASC 958), check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**			
	27	Unrestricted net assets	12,190,523	27	14,463,153
	28	Temporarily restricted net assets	11,811,155	28	17,222,295
	29	Permanently restricted net assets	1,714,000	29	1,714,000
		Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.			
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	25,715,678	33	33,399,448
	34	Total liabilities and net assets/fund balances	33,493,379	34	41,457,861

Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . ☑

1	Total revenue (must equal Part VIII, column (A), line 12)	1	30,244,244
2	Total expenses (must equal Part IX, column (A), line 25)	2	25,008,492
3	Revenue less expenses Subtract line 2 from line 1	3	5,235,752
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	25,715,678
5	Net unrealized gains (losses) on investments	5	2,172,069
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	275,949
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	33,399,448

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . ☐

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ________ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		No
	If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both ☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	Yes	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		No
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b		

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions.**
▶ **Information about Schedule A (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
THE FOUNDATION CENTER

Employer identification number
13-1837418

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state ______

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Non-functionally integrated

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	**11g(i)**		
(ii) A family member of a person described in (i) above?	**11g(ii)**		
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s)

(i) Name of supported organization	**(ii)** EIN	**(iii)** Type of organization (described on lines 1- 9 above or IRC section **(see instructions))**	**(iv)** Is the organization in col **(i)** listed in your governing document? Yes	No	**(v)** Did you notify the organization in col **(i)** of your support? Yes	No	**(vi)** Is the organization in col **(i)** organized in the U S ? Yes	No	**(vii)** Amount of monetary support
Total									

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")	5,301,901	7,239,719	7,884,538	14,326,112	15,907,716	50,659,986
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
3 The value of services or facilities furnished by a governmental unit to the organization without charge						0
4 **Total.** Add lines 1 through 3	5,301,901	7,239,719	7,884,538	14,326,112	15,907,716	50,659,986
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						20,565,298
6 **Public support.** Subtract line 5 from line 4						30,094,688

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4	5,301,901	7,239,719	7,884,538	14,326,112	15,907,716	50,659,986
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	427,048	386,663	419,328	379,577	424,982	2,037,598
9 Net income from unrelated business activities, whether or not the business is regularly carried on						0
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						0
11 **Total support** (Add lines 7 through 10)						52,697,584

12 Gross receipts from related activities, etc (see instructions) | 12 | 66,372,025

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** . . . . . ▶☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f)) | 14 | 57 108 %

15 Public support percentage for 2012 Schedule A, Part II, line 14 | 15 | 63 201 %

16a **33 1/3% support test—2013.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☑

b **33 1/3% support test—2012.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

17a **10%-facts-and-circumstances test—2013.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

b **10%-facts-and-circumstances test—2012.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support.** (Add lines 9, 10c, 11, and 12)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2012 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2013** (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from **2012** Schedule A, Part III, line 17	18	

19a **33 1/3% support tests—2013.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support tests—2012.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶☐

Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference	Explanation	

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93493132003074

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b
▶ Attach to Form 990. ▶ See separate instructions. ▶ Information about Schedule D (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
THE FOUNDATION CENTER

Employer identification number
13-1837418

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)
☐ Preservation of land for public use (e g , recreation or education)
☐ Preservation of an historically important land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $

(ii) Assets included in Form 990, Part X ▶ $

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $

b Assets included in Form 990, Part X ▶ $

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table

			Amount
c	Beginning balance	1c	
d	Additions during the year	1d	
e	Distributions during the year	1e	
f	Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII . . . . ☐

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

		(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a	Beginning of year balance	12,099,108	11,367,208	11,432,208	10,841,954	9,716,954
b	Contributions			125,000	125,000	125,000
c	Net investment earnings, gains, and losses	2,633,535	758,488	-190,000	1,500,000	3,092,623
d	Grants or scholarships					
e	Other expenditures for facilities and programs	24,422	26,588		1,034,746	
f	Administrative expenses					2,092,623
g	End of year balance	14,708,221	12,099,108	11,367,208	11,432,208	10,841,954

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ▶ 88 347 %

b Permanent endowment ▶ 11 653 %

c Temporarily restricted endowment ▶

The percentages in lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

			Yes	No
	(i) unrelated organizations	3a(i)		No
	(ii) related organizations	3a(ii)		No
b	If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		4,684,394	4,181,082	503,312
d Equipment		3,101,499	2,744,606	356,893
e Other		4,338,315	3,984,068	354,247
Total. Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶				1,214,452

Part VII Investments—Other Securities. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other (A) ALTERNATIVE INVESTMENTS	14,513,831	F
Total. *(Column (b) must equal Form 990, Part X, col (B) line 12)* ▶	14,513,831	

Part VIII Investments—Program Related. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) must equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11d See Form 990, Part X, line 15

(a) Description	(b) Book value
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 15.)* . . . . ▶	

Part X Other Liabilities. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1 (a) Description of liability	(b) Book value
Federal income taxes	0
BENEFIT OBLIGATION	1,665,816
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25)* ▶	1,665,816

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☑

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	32,692,262
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a	2,172,069		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII)	2d	275,949		
e	Add lines **2a** through **2d**			2e	2,448,018
3	Subtract line **2e** from line **1**			3	30,244,244
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total revenue Add lines **3** and **4c.** (This must equal Form 990, Part I, line 12)			5	30,244,244

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return. Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	25,008,492
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII)	2d			
e	Add lines **2a** through **2d**			2e	
3	Subtract line **2e** from line **1**			3	25,008,492
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total expenses Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18)			5	25,008,492

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

Return Reference	Explanation
SCHEDULE D, PART V, LINE 4	THE CENTER'S ENDOWMENT FUNDS AND BOARD-DESIGNATED AMOUNTS FOR LONG-TERM INVESTMENT CONSIST OF PERMANENTLY RESTRICTED NET ASSETS (ENDOWMENT FUNDS) AND A BOARD-DESIGNATED AMOUNT FOR LONG-TERM INVESTMENT THE PERMANENTLY RESTRICTED NET ASSETS (ENDOWMENT FUNDS) ARE SUBJECT TO DONOR-IMPOSED STIPULATIONS THAT THE PRINCIPAL BE MAINTAINED PERMANENTLY BY THE CENTER THE CENTER HAS THREE SEPARATE ENDOWMENTS AND IT IS PERMITTED TO USE THE INCOME EARNED ON THE RELATED INVESTMENTS FOR GENERAL PURPOSES, LIBRARY ACQUISITIONS AND PUBLIC EDUCATION THE BOARD-DESIGNATED AMOUNT FOR LONG-TERM INVESTMENT IS A FUND SET ASIDE BY THE BOARD OF TRUSTEES FOR LONG-TERM INVESTMENT THAT ENSURE THE CENTER'S FINANCIAL STRENGTH AND AGILITY, AND PROVIDE A RESERVE FUND IN THE EVENT OF A FINANCIAL EMERGENCY
SCHEDULE D, PART X, LINE 2	THE CENTER RECOGNIZES THE EFFECT OF INCOME TAX POSITIONS ONLY IF THOSE POSITIONS ARE MORE LIKELY THAN NOT OF BEING SUSTAINED INCOME GENERATED FROM ACTIVITIES UNRELATED TO THE CENTER'S EXEMPT PURPOSE IS SUBJECT TO TAX UNDER INTERNAL REVENUE CODE SECTION 511 THE CENTER HAD NO UNRELATED BUSINESS INCOME TAX EXPENSE FOR THE YEARS ENDED DECEMBER 31, 2013 AND 2012
SCHEDULE D, PART XI, LINE 2D	AS OF DECEMBER 31, 2013, AN ACTUARIAL GAIN OF $275,949 WAS NOT YET RECOGNIZED AS A COMPONENT OF NET PERIODIC POSTRETIREMENT BENEFIT COST THIS GAIN IS RECORDED ON THE DECEMBER 31, 2013 STATEMENT OF CHANGES IN UNRESTRICTED NET ASSETS, INCREASING UNRESTRICTED NET ASSETS

Part XIII Supplemental Information *(continued)*

Return Reference	Explanation

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493132003074

SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule F (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
THE FOUNDATION CENTER

Employer identification number
13-1837418

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . ☐ **Yes** ☐ **No**

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activites per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

	(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
	Sub-Saharan Africa			Program Services	SPECIAL TRAINING	221,549
3a	Sub-total					221,549
b	Total from continuation sheets to Part I					
c	**Totals** (add lines 3a and 3b)					221,549

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶

3 Enter total number of other organizations or entities . . . . ▶

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	**(b)** Region	**(c)** Number of recipients	**(d)** Amount of cash grant	**(e)** Manner of cash disbursement	**(f)** Amount of non-cash assistance	**(g)** Description of non-cash assistance	**(h)** Method of valuation (book, FMV, appraisal, other)

Part IV Foreign Forms

		Yes	No
1	Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes,"the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*	☑ Yes	☐ No
2	Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)*	☐ Yes	☑ No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)*	☑ Yes	☐ No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)*	☑ Yes	☐ No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships. (see Instructions for Form 8865)*	☐ Yes	☑ No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713).*	☐ Yes	☑ No

Part V **Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

ReturnReference	Explanation
SCHEDULE F, PART I, LINE 3, COLUMN (F)	THE CENTER USES THE ACCRUAL METHOD OF ACCOUNTING TO REPORT TOTAL EXPENDITURES BY REGION IN ITS FINANCIAL STATEMENTS

Schedule J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule J (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047
2013
Open to Public Inspection

Name of the organization
THE FOUNDATION CENTER

Employer identification number
13-1837418

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☐ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax idemnification and gross-up payments ☐ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	**1b**		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?	**2**		
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III ☑ Compensation committee ☐ Written employment contract ☑ Independent compensation consultant ☑ Compensation survey or study ☐ Form 990 of other organizations ☑ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	**4a**		No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**	Yes	
c	Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III	**4c**		No
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	**5a**		No
b	Any related organization? If "Yes," to line 5a or 5b, describe in Part III	**5b**		No
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	**6a**		No
b	Any related organization? If "Yes," to line 6a or 6b, describe in Part III	**6b**		No
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**	Yes	
8	Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III	**8**		No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	**9**		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1)BRADFORD K SMITH PRESIDENT	(i)	504,980	40,808	25,024	34,839	18,043	623,694	0
	(ii)	0	0	0	0	0	0	0
(2)LORENA NOGARA TREASURER & CONTROLLER	(i)	133,500	0	90	16,575	383	150,548	0
	(ii)	0	0	0	0	0	0	0
(3)LISA L PHILP SECRETARY & VP STRATEGIC PHIL	(i)	214,972	0	90	7,259	1,711	224,032	0
	(ii)	0	0	0	0	0	0	0
(4)LAWRENCE T MCGILL VP - RESEARCH	(i)	185,682	0	258	25,569	27,322	238,831	0
	(ii)	0	0	0	0	0	0	0
(5)JEFFREY A FALKENSTEIN VP - DATA ACQUISITION	(i)	162,182	0	90	22,044	27,322	211,638	0
	(ii)	0	0	0	0	0	0	0
(6)EDWARD J VENTURI VP - INFORMATION TECH	(i)	160,832	0	258	21,804	27,072	209,966	0
	(ii)	0	0	0	0	0	0	0
(7)ANJULA DUGGAL VP - MARKETING & COMMUNICATION	(i)	144,421	10,000	60	18,729	11,091	184,301	0
	(ii)	0	0	0	0	0	0	0
(8)ROBERT J YAEGER VP - FINANCE & ADMINISTRATION	(i)	151,258	0	42	0	9,471	160,771	0
	(ii)	0	0	0	0	0	0	0

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II
Also complete this part for any additional information

Return Reference	Explanation
SCHEDULE J, PART I, LINE 4B	THE FOLLOWING INDIVIDUAL CONTRIBUTED AN AMOUNT TO A SUPPLEMENTAL NONQUALIFIED RETIREMENT PLAN, AND THIS AMOUNT WAS INCLUDED AS TAXABLE COMPENSATION IN COLUMN (B)(III), OTHER REPORTABLE COMPENSATION, OF THE TABLE ABOVE - BRADFORD K SMITH, PRESIDENT, PARTICIPATED IN A SECTION 457(F) PLAN AND CONTRIBUTED $17,500 NON-FIXED PAYMENTS SCHEDULE J, PART I, LINE 7 THE CENTER HAS AN INCENTIVE COMPENSATION PROGRAM FOR ITS STAFF SELECTED EMPLOYEES WITH EXCEPTIONAL PERFORMANCE DURING THE YEAR ARE ELIGIBLE TO RECEIVE AN AWARD OF 5% OF SALARY UP TO $5,000, SUBJECT TO THE AVAILABILITY OF RESOURCES
SCHEDULE J, PART II, COLUMN B (II)	EACH YEAR, EMPLOYEES EARNING MORE THAN CERTAIN STATUTORY LIMITATIONS ARE ELIGIBLE TO RECEIVE ADDITIONAL COMPENSATION PURSUANT TO A FIXED FORMULA AS SPECIFIED IN THE CENTER'S SUPPLEMENTAL EXECUTIVE RETIREMENT PLAN FOR THE 2013 CALENDAR YEAR, THE PRESIDENT WAS PAID PURSUANT TO THE PLAN $40,808 WHICH IS REPORTED ON SCHEDULE J, PART II, COL B (II) THE CENTER HAS AN INCENTIVE COMPENSATION PROGRAM WHEREIN PAYMENTS ARE ONLY MADE IF A SPECIFIC REVENUE TARGET IS ATTAINED IN 2013, ANJULA DUGGAL, VICE PRESIDENT OF MARKETING AND COMMUNICATIONS, MET SPECIFIC REVENUE GOALS AND RECEIVED $10,000 IN INCENTIVE COMPENSATION

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93493132003074

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.
▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization	Employer identification number
THE FOUNDATION CENTER	13-1837418

Return Reference	Explanation
FORM 990, PART III, LINE 1	THE FOUNDATION CENTER'S MISSION IS TO STRENGTHEN THE SOCIAL SECTOR BY ADVANCING KNOWLEDGE ABOUT PHILANTHROPY IN THE U S AND AROUND THE WORLD THE CENTER ACCOMPLISHES ITS MISSION BY - OPERATING LIBRARY/LEARNING CENTERS IN FIVE LOCATIONS - NEW YORK CITY, WASHINGTON, DC, ATLANTA, CLEVELAND, AND SAN FRANCISCO - THAT OFFER FREE ACCESS TO INFORMATION RESOURCES AND EDUCATIONAL PROGRAMS - MAINTAINING UNIQUE DATABASES OF INFORMATION ON MORE THAN 110,000 FOUNDATIONS, CORPORATE DONORS, AND GRANTMAKING PUBLIC CHARITIES WORLDWIDE AND OVER 3 MILLION OF THEIR RECENT GRANTS ITS FOUNDATION DIRECTORY ONLINE SUBSCRIPTION SERVICE IS THE MOST POPULAR MEANS FOR SEARCHING THESE DATABASES - PROVIDING A CONTENT-RICH WEB SITE WITH A VARIETY OF FREE SEARCH TOOLS, TUTORIALS, DOWNLOADABLE REPORTS, AND OTHER INFORMATION UPDATED DAILY, INCLUDING PHILANTHROPY NEWS DIGEST, ITS DAILY NEWS SERVICE, AND PUBHUB, ITS SEARCHABLE ONLINE COLLECTION OF THOUSANDS OF REPORTS PUBLISHED BY FOUNDATIONS AND NONPROFIT ORGANIZATIONS - CONDUCTING RESEARCH AND PUBLISHING REPORTS ON THE GROWTH OF THE FOUNDATION FIELD AND ON TRENDS IN FOUNDATION SUPPORT OF THE NONPROFIT SECTOR - PUBLISHING BOOKS RANGING FROM BASIC PRIMERS ON FUNDRAISING AND NONPROFIT MANAGEMENT TO COMPREHENSIVE REFERENCE WORKS - COORDINATING A NETWORK OF FUNDING INFORMATION CENTERS NATIONWIDE AND AROUND THE WORLD - MORE THAN 485 FUNDING INFORMATION NETWORK (FIN) PARTNERS THAT OFFER FREE LOCAL ACCESS TO CORE CENTER RESOURCES AND TRAINING ESTABLISHED IN 1956, THE FOUNDATION CENTER IS THE LEADING SOURCE OF INFORMATION ABOUT PHILANTHROPY WORLDWIDE THROUGH DATA, ANALYSIS, AND TRAINING, IT CONNECTS PEOPLE WHO WANT TO CHANGE THE WORLD TO THE RESOURCES THEY NEED TO SUCCEED THE CENTER MAINTAINS THE MOST COMPREHENSIVE DATABASE ON U S AND, INCREASINGLY, GLOBAL GRANTMAKERS AND THEIR GRANTS - A ROBUST, ACCESSIBLE KNOWLEDGE BANK FOR THE SECTOR IT ALSO OPERATES RESEARCH, EDUCATION, AND TRAINING PROGRAMS DESIGNED TO ADVANCE KNOWLEDGE OF PHILANTHROPY AT EVERY LEVEL THOUSANDS OF PEOPLE VISIT THE CENTER'S WEB SITE EACH DAY AND ARE SERVED IN ITS FIVE REGIONAL LIBRARY/LEARNING CENTERS AND ITS NETWORK OF MORE THAN 485 FIN PARTNERS LOCATED IN PUBLIC LIBRARIES, COMMUNITY FOUNDATIONS, AND EDUCATIONAL INSTITUTIONS NATIONWIDE AND AROUND THE WORLD

Return Reference	Explanation	
	FORM 990, PART III, LINE 4A	EXPENSES $10,136,293 AND REVENUE $11,550,018 THE CENTER MAINTAINS UNIQUE DATABASES OF INFO RMATION ON MORE THAN 110,000 FOUNDATIONS, CORPORATE DONORS, AND GRANTMAKING PUBLIC CHARITI ES WORLDWIDE AND OVER 3 MILLION OF THEIR RECENT GRANTS ITS FOUNDATION DIRECTORY ONLINE SU BSCRIPTION SERVICE IS THE MOST POPULAR MEANS FOR SEARCHING THESE DATABASES THIS SUBSCRIPT ION SERVICE IS MADE AVAILABLE FOR FREE USE IN OVER 485 FIN PARTNERS NATIONWIDE AND AROUND THE WORLD THE CENTER ALSO PUBLISHES BOOKS RANGING FROM BASIC PRIMERS ON FUNDRAISING AND N ONPROFIT MANAGEMENT TO COMPREHENSIVE REFERENCE WORKS OTHER MAJOR DATA COLLECTION AND PUBL ICATIONS PROGRAM ACCOMPLISHMENTS IN 2013 INCLUDE THE FOLLOWING PARTNERSHIPS TO IMPROVE DA TA COLLECTION, MANAGEMENT, AND SHARING -- -------------- THE FOUNDATION CENTER IS CULTIVATING EXISTING PARTNERSHIPS WITH OFFICIAL AI D AND PHILANTHROPY SUPPORT NETWORKS AND FORGING NEW PARTNERSHIPS THE CENTER IS MAKING SIG NIFICANT STRIDES IN ITS DECADE-LONG EFFORTS TO IMPROVE DATA ABOUT FOUNDATION GIVING IN SE PTEMBER OF 2013, THE CENTER ANNOUNCED A PARTNERSHIP WITH GUIDESTAR, AN ALLIANCE DESIGNED T O LEVERAGE THE ORGANIZATIONS' UNIQUE STRENGTHS AND COMPLEMENTARY MISSIONS TO SUPPORT THE F IELD IN NEW AND INNOVATIVE WAYS THIS PARTNERSHIP WILL INCLUDE EFFORTS TO EXPAND THE AVAI LABILITY OF RESOURCES FOR THE FIELD BY DISSEMINATING TRAINING, DATA TOOLS, AND KNOWLEDGE S ERVICES, ALIGN BOTH ORGANIZATIONS' DATA COLLECTIONS TO UNCOVER NEW INSIGHTS THAT WILL BENE FIT THE SECTOR, CHAMPION TRANSPARENCY AND DATA SHARING SO NONPROFITS AND GRANTMAKERS ARE B ETTER EQUIPPED TO MAKE STRATEGIC DECISIONS ABOUT THEIR WORK, AND EMPOWER INDIVIDUALS AND O RGANIZATIONS TO EXPLORE, COMBINE, AND LEARN FROM DATA IN NEW WAYS BY DELIVERING AN INCREAS ING AMOUNT OF INFORMATION IN FORMATS THAT ARE ACCESSIBLE, OPEN, OR FREE. NEW DATA COLLECTI ON ------------------- IN THE PAST YEAR THE CENTER SUCCESSFULLY IMPLEMENTED A STRATEGY FOR PROCESSING GRANTS DATA THROUGH AUTOMATION AND OUTSOURCING, AND HAS EXPANDED THE BREADTH A ND QUALITY OF ITS DOMESTIC AND INTERNATIONAL DATA IN ORDER TO SERVE THE SECTOR'S GROWING RANGE OF PHILANTHROPIC CONTRIBUTIONS, THE CENTER FOCUSED ON THE NEED TO UPDATE ITS TAXONOM Y SO THAT USERS COULD COMPARE THEIR ACTIVITIES OR CONDUCT GLOBAL SCALE QUALITATIVE RESEARC H WORKING WITH A CONSULTANT, THE CENTER COMPLETED A REVISED DRAFT OF THE TAXONOMY AND STA RTED IMPLEMENTING SMARTLOGIC'S SEMAPHORE TAXONOMY TOOL THE CENTER ALSO FOCUSED ON TRACKIN G PHILANTHROPIC DATA RELATING TO CURRENT AFFAIRS AND EMERGING INTERESTS, SUCH AS DISASTER RESPONSES FOR HURRICANE SANDY RELIEF IN TOTAL, IT ADDED INFORMATION ON 64,568 GRANTS FROM NON-U S FUNDERS, AND 58,344 NEW NON-U S GRANTMAKER PROFILES TO ITS DATABASE. ELECTRONIC GRANT REPORTING -------------------------- THE FOUNDATION CENTER'S EXISTING HGRANT EXPORT FORMATS ARE NOW AVAILABLE IN FLUXX, GIFTS ONLINE, AND GOOD DONE GREAT IN 2013, THE CENTE R INTENSIFIED EFFORTS TO CONVERT GRANTMAKERS INTO EREPORTERS AND AT THE END OF 2013, HAD 8 21 EGRANT REPORTERS WORKING IN CLOSE PARTNERSHIP WITH THE GRANTS MANAGERS NETWORK AND THE FORUM FOR REGIONAL ASSOCIATIONS, IN 2013 THE CENTER DESIGNED AND STARTED DELIVERING A WEB INAR SERIES CALLED BEYOND THE TRANSACTION HOW TO SHARE AND BENEFIT FROM YOUR GRANTS DATA, ABOUT THE IMPORTANCE OF SHARING GRANTS DATA AND GENERATING EREPORTS THE FIRST 4 WEBINARS REACHED MORE THAN 150 PARTICIPANTS FOUNDATION DIRECTORY ONLINE (FDO) ------------------- ------------- THE CENTER CONTINUED TO UPDATE AND EXPAND THE SCOPE OF ITS SEARCHABLE GRANTS DATABASE, FDO IN 2013, FDO USERS WERE ABLE TO ACCESS - PROFILES OF 8,828 NEW U S GRANT MAKERS AND 15,473 NEW PROFILES OF NON-U S GRANTMAKERS, FOR A TOTAL OF 133,096 GRANTMAKERS , - IT ADDED INFORMATION ON 295,352 NEW U S GRANTS FOR A TOTAL OF 3,354,916 GRANT RECORDS TO MAKE IT EASIER FOR GRANTMAKERS TO PROVIDE THE CENTER WITH CURRENT INFORMATION, IT IMP ROVED FOUNDATION CENTER UPDATER [UPDATER FOUNDATIONCENTER ORG] WITH A FEATURE THAT ALLOWS GRANTMAKERS TO MAKE DIRECT UPDATES TO THEIR FDO PROFILE. WITH THIS IMPROVEMENT, GRANTMAKER S ARE HELPING TO KEEP THEIR PUBLIC FACING INFORMATION TIMELY AND ACCURATE. IN ADDITION, TH E CENTER MERGED ISSUELAB REPORTS TO FDO PROFESSIONAL'S POWER SEARCH, SO THAT SEARCH RESULT S NOW REFERENCE THIS LARGE, COMPREHENSIVE SET OF RESEARCH REPORTS PRODUCED BY THE PHILANTH ROPIC SECTOR INTERNATIONAL DATA ------------------ THE CENTER IS FORMALIZING ITS RELATION SHIP WITH THE ORGANIZATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT (OECD), MAPPING THEIR RESPECTIVE STANDARDS AND TAXONOMIES, AND ALLOWING FOR CROSS-POLLINATION OF DATA TO BE USE D IN RESEARCH AND PORTALS DISCUSSIONS INCLUDE THE DEVELOPMENT OF CUSTOM PORTALS FOR THE O ECD AROUND THE ISSUES OF FOOD SECURITY AND WOMEN'S RIGHTS ADDITIONALLY, THE CENTER WAS IN VITED BY THE OECD DEVELOPMENT CENTRE TO BE A MEMBER OF THEIR NEWLY-FORMED GLOBAL NETWORK O F FOUNDATIONS THIS NETWORK, COMPRISED OF 20 TO 30

Return Reference	Explanation	
	FORM 990, PART III, LINE 4A	GLOBAL FOUNDATIONS, IS A PLATFORM FOR KNOWLEDGE SHARING AND POLICY DIALOGUE THE NETWORK BRINGS TOGETHER A BROAD RANGE OF STAKEHOLDERS AROUND TRANSPARENCY ISSUES, AND HIGH PRIORIT Y DEVELOPMENT CONCERNS THIS WORK BUILDS ON ITS EXISTING PARTNERSHIPS WITH DEVELOPMENT GAT EWAY, THE INTERNATIONAL AID TRANSPARENCY INITIATIVE (IATI) AND THE WORLD BANKS'S OPEN AID PARTNERSHIP STRENGTHENING EXISTING PARTNERSHIPS HAS BEEN INTEGRAL TO THE CENTER'S SUCCESS IN BUILDING THE GLOBAL DATA PLATFORM TOGETHER WITH DONORS AND FOUNDATIONS NETWORK IN EUR OPE (DAFNE), THE CENTER IS WORKING WITH SELECT NATIONAL ASSOCIATIONS OF EUROPEAN COUNTRIES TO HELP SYSTEMATIZE THE TYPES OF MEMBER DATA THEY COLLECT THE PARTNERSHIP WITH WORLDWIDE INITIATIVE FOR GRANTMAKER SUPPORT (WINGS) TO CREATE A GLOBAL DATA STANDARD FOR PHILANTHRO PY THAT WILL IMPROVE DATA COLLECTION WORLDWIDE IS ONGOING DURING 2013, A CRITICAL SUCCESS FACTOR HAS BEEN IMPORTING MORE NON-US FOUNDATION AND GRANT RECORDS THROUGH PARTNERSHIPS W ITH THE CHINA FOUNDATION CENTER, THE ASAN INSTITUTE FOR POLICY STUDIES, ALTERNATIVAS Y CAP ACIDADES AND INSTITUTO TECNOLGICO AUTNOMO DE MXICO (ITAM) IN MEXICO, THE UK CHARITY COMMIS SION, AS WELL AS THE CANADA REVENUE AGENCY THE CENTER'S DATABASE NOW INCLUDES PHILANTHROP IC DATA FROM OVER 120 COUNTRIES, AND IT CONTINUES TO SEEK POTENTIAL NEW PARTNERS FONDOS A LA VISTA ----------------- DEVELOPED IN PARTNERSHIP WITH THE PHILANTHROPY AND CIVIL SOCIE TY PROJECT AT INSTITUTO TECNOLGICO AUTNOMO DE MXICO (ITAM) AND ALTERNATIVES Y CAPACIDADES IN MEXICO, THE CENTER DEVELOPED AN ONLINE SPANISH-LANGUAGE DIRECTORY INCLUDING DATA ON OVE R 22,000 NONPROFIT ORGANIZATIONS IN MEXICO THE DIRECTORY OFFERS USERS RELIABLE AND PUBLIC ALLY ACCESSIBLE INFORMATION ABOUT MEXICAN DONORS, INCLUDING THE ORGANIZATIONS THEY FUND S INCE ITS LAUNCH ON JANUARY 30, 2013, FONDOS A LA VISTA HAS HAD 220,676 PAGE VISITS AND 27, 483 UNIQUE VISITORS, 88 PERCENT OF WHOM ARE LOCATED IN MEXICO, WHILE THE REST SPAN THE GLO BE FOUNDATION GRANTS TO INDIVIDUAL ONLINE -------------------------------------- IN EARLY 2013, THE CENTER COMPLETELY REDESIGNED ITS SEARCHABLE GRANTS DATABASE, FOUNDATION GRANTS TO INDIVIDUALS ONLINE (FGTIO) TO MAKE IT MORE USER-FRIENDLY AND EASY TO NAVIGATE THE CENT ER ADDED VALUABLE NEW TOOLS AND CONTENT WHILE MAINTAINING THE FUNDER INFORMATION AND SEARC H FEATURES USERS NEED TO FUND THEIR EDUCATION, RESEARCH, OR CREATIVE WORK THE FGTIO INCLU DES PROGRAMS BENEFITTING STUDENTS, RESEARCHERS, AND OTHER INDIVIDUALS, AND PROVIDES VALUAB LE RESOURCES INCLUDING FREE AND FEE-BASED TRAINING OPPORTUNITIES, FAQS, AND REQUESTS FOR P ROPOSALS FGTIO INCLUDES NEARLY 10,000 FUNDERS FOUNDATION STATS ---------------- IN 2013, THE CENTER LAUNCHED FOUNDATION STATS, AN INTERACTIVE ONLINE TOOL THAT REPRESENTS THE NEXT GENERATION OF ITS STATIC FC STATS TABLES, PROVIDING FREE AND OPEN ACCESS TO A WEALTH OF D ATA ON THE U S FOUNDATION COMMUNITY THE INTUITIVE WEB-BASED PLATFORM CAN BE USED BY ANYO NE TO GENERATE THOUSANDS OF CUSTOM TABLES AND CHARTS ON THE SIZE, SCOPE, AND GIVING PRIORI TIES OF THE NEARLY 82,000 INDEPENDENT, CORPORATE, COMMUNITY, AND GRANTMAKING OPERATING FOU NDATIONS IN THE U S IT INCLUDES AN APPLICATION PROGRAMMING INTERFACE (API) SO THAT WEB DE VELOPERS CAN FREELY EXTRACT THE DATA FOR THEIR OWN USE FOUNDATION STATS AVERAGES 1,500 PA GE-VIEWS PER WEEK, A FIVEFOLD INCREASE OVER THE PREVIOUS, STATIC, ITERATION FOUNDATION DI RECTORY ONLINE FREE -------------------------------- IN SEPTEMBER OF 2013, THE CENTER LAUN CHED FOUNDATION DIRECTORY ONLINE (FDO) FREE, AN ONLINE TOOL THAT DRAMATICALLY EXPANDS PUBL IC ACCESS TO THE CENTER'S AUTHORITATIVE COLLECTION OF FOUNDATION INFORMATION AT NO COST, USERS CAN SEARCH THE BASIC PROFILES AND IRS FORMS 990-PF OF NEARLY 90,000 GRANTMAKERS, LES S THAN 7 PERCENT OF WHICH HAVE WEB SITES OF THEIR OWN THE CENTER HAS ALSO RELEASED AN FDO FREE SEARCH "WIDGET" THAT CAN BE EMBEDDED ON ANY WEB SITE TO FURTHER WIDEN ACCESS FDO FR EE CURRENTLY RECEIVES MORE THAN 2,500 VI

Return Reference	Explanation	
	FORM 990, PART III, LINE 4B	EXPENSES $4,431,614 AND REVENUE $1,193,508 THE CENTER OPERATES PROFESSIONALLY STAFFED LIBR ARY/LEARNING CENTERS IN FIVE LOCATIONS - NEW YORK CITY, WASHINGTON, DC, ATLANTA, CLEVELAND , AND SAN FRANCISCO - THAT OFFER FREE ACCESS TO THE CENTER'S ONLINE SUBSCRIPTION DATABASES AND BOOKS, OTHER RESOURCES ON FUNDERS AND ALL ASPECTS OF PHILANTHROPY AND NONPROFIT MANAG EMENT AND FREE EDUCATIONAL PROGRAMS IN 2013, THE CENTER'S LIBRARIES WERE UTILIZED BY OVER 17,861 VISITORS THE CENTER HAS AN ONLINE LIBRARIAN WHO RESPONDS TO REFERENCE QUESTIONS F ROM PEOPLE WHO PREFER USE ITS RESOURCES ONLINE THE CENTER'S ROTATION OF 22 FREE, IN-PERSO N CLASSES GIVES PARTICIPANTS A COMPREHENSIVE UNDERSTANDING OF THE GRANTSEEKING PROCESS ON ITS WEBSITE 13 WEBINARS OF ITS FREE CLASSES, AS WELL AS FREE TUTORIALS AND 6 INTERACTIVE COURSES AND AUDIO/VIDEO RECORDINGS OF ITS SPECIAL EVENTS, EXTEND TRAINING OPPORTUNITIES TO THOSE WHO ARE UNABLE TO ATTEND CLASSROOM PRESENTATIONS OR WHO PREFER TO LEARN AT THEIR OW N PACE IN THEIR HOME OR OFFICE TO 7,255 ONLINE PARTICIPANTS THESE ONLINE COURSES SAVE TRA VEL TIME AND COSTS, AND BROADEN THE CENTER'S REACH INTO UNDERSERVED AND RURAL COMMUNITIES IN 2013, APPROXIMATELY 26,803 PEOPLE PARTICIPATED IN THE CENTER'S FREE TRAINING, BOTH IN- PERSON AND ONLINE THE CENTER ALSO COORDINATES A NETWORK OF FUNDING INFORMATION CENTERS NA TIONWIDE AND AROUND THE WORLD - MORE THAN 485 FIN PARTNERS THAT OFFER FREE LOCAL ACCESS TO CORE CENTER RESOURCES, INCLUDING THE FOUNDATION DIRECTORY ONLINE PROFESSIONAL AND TRAININ G OTHER MAJOR LIBRARY/LEARNING CENTER ACCOMPLISHMENTS IN 2013 INCLUDE THE FOLLOWING GRAN TSPACE [WWW GRANTSPACE ORG] ------------------------------- THIS DYNAMIC, INTERACTIVE SITE SERVES NONPROFITS WORLDWIDE, OFFERING ACCESS TO FUNDRAISING AND MANAGEMENT KNOW-HOW TO AN YONE, ANYWHERE GRANTSPACE IS AN IMPORTANT PLATFORM FOR THE CENTER'S ONGOING RELEVANCE, PR OMOTING A THRIVING LEARNING COMMUNITY AND REDUCING TRAINING AND TRAVEL COSTS BY PROVIDING FREE ACCESS TO THE CENTER'S RESOURCES - IN 2013, GRANTSPACE HAD 1,641,352 UNIQUE VISITORS (A 152% INCREASE OVER 2012) AND 4,352,215 PAGE VIEWS - KNOWLEDGE BASE ARTICLES REMAIN TH E MOST POPULAR GRANTSPACE CONTENT, WITH MORE THAN 1 8 MILLION UNIQUE PAGE VIEWS (A 158% IN CREASE OVER 2012) - THE CENTER'S ORIGINAL MULTIMEDIA CONTENT IS INTEGRAL TO ITS ONGOING E FFORTS TO ENHANCE THE SITE IN THIS PERIOD, ITS MULTIMEDIA ARCHIVES HAD 100,000 UNIQUE PAG E VIEWS AND IT ADDED OVER 100 ORIGINAL PIECES OF MULTIMEDIA CONTENT, INCLUDING 11 WEBINAR RECORDINGS, 79 VIDEO RECORDINGS, AND 3 PODCASTS - GRANTSPACE ALSO HOSTED 10 LIVE CHAT EVE NTS, HOSTING 962 PARTICIPANTS AS WELL AS NEARLY 29,000 REPLAYS - IN SEPTEMBER, 2013, THE CENTER BEGAN SENDING A MONTHLY "NEW AT GRANTSPACE" E-NEWSLETTER TO ALERT USERS TO UPCOMING ONLINE EVENTS AND NEWLY ADDED CONTENT TO DATE, IT HAS MORE THAN 14,000 SUBSCRIBERS FREE ACCESS TO FOUNDATION CENTER RESOURCES AND TRAINING COURSES ----------------------------- --------------------------------- THE CENTER RECOGNIZES THAT AT TIMES WHEN THERE ARE GREAT ER DEMANDS FOR PROGRAM FUNDING, THE FIRST ITEMS CUT FROM NONPROFIT BUDGETS ARE STAFF TRAVE L AND TRAINING LINES, PREVENTING STAFF FROM LEARNING ABOUT AND ACCESSING THE FOUNDATION CE NTER'S CAPACITY-BUILDING RESOURCES THE CENTER CONTINUED TO OFFER ONLINE WEBINARS, FREE TR AININGS ON THE GROUND, AND SCHOLARSHIPS TO ITS FEE-BASED CLASSES TO NONPROFIT REPRESENTATI VES THE CENTER EXPANDED ACCESS TO ITS RESOURCES TO EMPOWER UNDER-RESOURCED NONPROFITS TO IDENTIFY COLLABORATION OPPORTUNITIES, FUNDRAISE SUCCESSFULLY, AND MANAGE THEIR ORGANIZATIO NS EFFICIENTLY THE CENTER CONTINUED ADDING NEW PROGRAMS THAT ADDRESS CRITICAL SECTOR ISSU ES, INCLUDING NON-PROFIT SUSTAINABILITY, COLLABORATION, AND EARNED INCOME IT IS ALSO BUIL DING ON THE LEADERSHIP GROUP INITIATIVE, BRINGING A COMMUNITY OF NONPROFIT LEADERS TOGETHE R TO SHARE CHALLENGES AND STRATEGIES FOR ORGANIZATIONAL EFFECTIVENESS, THESE PROGRAMS SPEC IFICALLY SERVE EXECUTIVE DIRECTORS AND DEVELOPMENT DIRECTORS IN 2013 - THE CENTER PROVID ED FREE IN-PERSON PROGRAMS TO 26,803 PARTICIPANTS THROUGH A ROTATION OF 22 FREE IN-PERSON CLASSES ACROSS OUR FIVE REGIONAL LIBRARY/LEARNING CENTERS IN NEW YORK CITY, WASHINGTON, D C , ATLANTA, CLEVELAND, AND SAN FRANCISCO, A SERIES OF SPECIAL PROGRAMS AND SPECIAL EVENTS AT ITS LIBRARIES, AND OUTREACH TRAININGS OFFSITE - AN ADDITIONAL 17,861 PEOPLE VISITED I TS LIBRARY/LEARNING CENTERS TO CONDUCT RESEARCH - THE CENTER PROVIDED 13 WEBINARS OF OUR FREE CLASSES AND TUTORIALS AND SIX FREE, INTERACTIVE COURSES TO ABOUT 7,255 ONLINE PARTICI PANTS EXPANDING ITS OUTREACH ----------------------- THE CENTER'S LOCAL AND NATIONAL SCHO LARSHIP PROGRAM TARGETS NONPROFIT REPRESENTATIVES WHO ARE UNABLE TO PARTICIPATE IN ITS FEE -BASED TRAINING DUE TO FINANCIAL BARRIERS THE CENTER EXPANDED ITS REACH TO A BROADER CONS TITUENT BASE BY ALSO OFFERING SCHOLARSHIPS TO INDIVIDUALS WHO ARE UNEMPLOYED, UNDEREMPLOYE D, OR STUDENTS SEEKING TO JOIN THE NONPROFIT SECTO

Return Reference	Explanation	
	FORM 990, PART III, LINE 4B	R LAST YEAR THE CENTER PROVIDED 77 SCHOLARSHIPS TO NONPROFIT REPRESENTATIVES AND INDIVIDU AL GRANTSEEKERS WHO WOULD OTHERWISE BE UNABLE TO ATTEND ITS FEE-BASED TRAINING DUE TO FINA NCIAL BARRIERS THE CENTER ALSO CONTINUED TO OFFER SPANISH-LANGUAGE CLASSES IN ITS LIBRARY /LEARNING CENTERS, WHILE ITS AUDIO EDITIONS OF GUIDE TO PROPOSAL WRITING AND TRAINING VIDE OS OF GRANTSEEKING BASICS REMAIN AVAILABLE FOR FREE DOWNLOAD IN BOTH ENGLISH AND SPANISH THESE MULTIMEDIA RESOURCES ARE ALSO SERVING PEOPLE WITH VISUAL IMPAIRMENTS - GRANTSPACE N OW INCLUDES 67 SPANISH LANGUAGE KNOWLEDGE-BASED ARTICLES, WHICH WERE VIEWED DURING THIS PE RIOD OVER 100,000 TIMES (A 273% INCREASE OVER 2012), WHILE THE NUMBER OF SPANISH-LANGUAGE VISITORS TO GRANTSPACE INCREASED 485 PERCENT - IN AUGUST OF 2013, THE CENTER RESPONDED TO THIS GROWING AUDIENCE BY COLLECTING ALL GRANTSPACE CONTENT IN SPANISH ON ONE PAGE, RECURS OS EN ESPANOL, FOR EASIER BROWSING AND DISCOVERY IN ITS FIRST 4 1/2 MONTHS, THE RECURSOS PAGE HAD 987 UNIQUE VISITORS, WITH 1,487 TOTAL PAGEVIEWS FUNDING INFORMATION NETWORK (FIN) -------------------------------- THE CENTER CONTINUES TO OFFER CONVENIENT, LOCAL ACCESS TO ITS RESOURCES, ONLINE AND ON THE GROUND, BEYOND THE GEOGRAPHIC SCOPE OF ITS FIVE REGIO NAL LIBRARY/LEARNING CENTERS, THROUGH ITS FUNDING INFORMATION NETWORK (FIN) PARTNERS A KE Y INITIATIVE OF THE CENTER IS TO EXPAND THIS NETWORK TO ENSURE THAT GRANTSEEKERS ACROSS TH E NATION, AND SELECTIVELY IN OTHER LOCATIONS AROUND THE GLOBE, HAVE ACCESS TO HIGH-QUALITY RESOURCES AND EXPERTISE, ENABLING THEM TO ATTRACT AND SUSTAIN SUPPORT FOR THEIR ORGANIZAT IONS FIN HOST AGENCIES, WHICH INCLUDE PUBLIC, ACADEMIC, AND SPECIAL LIBRARIES, COMMUNITY FOUNDATIONS, AND NONPROFIT RESOURCE CENTERS, PROVIDE A CORE COLLECTION OF FOUNDATION CENTE R MATERIALS TO THE PUBLIC FREE OF CHARGE THE CENTER SEEKS TO DESIGNATE NEW FIN PARTNERS W HERE THEY CAN DO THE MOST GOOD, AND HAVE MAINTAINED STEADY GROWTH IN TOTAL NUMBER OF FINS NEW FIN PARTNERS ADDED IN 2013 INCLUDED INTERNATIONAL SITES IN NIGERIA, SPAIN, AND GERMAN Y - MORE THAN 485 FIN PARTNER SITES IN ALL 50 STATES ALLOW USERS TO TAP THE POWER OF THE CENTER'S MANY TOOLS, INCLUDING FOUNDATION DIRECTORY ONLINE (FDO), - IN 2013, 7,498,148 MIL LION FDO SEARCHES WERE PERFORMED FROM FIN PARTNER SITES - ON AVERAGE, FIN PARTNER SITES P ROVIDED CAPACITY-BUILDING FUNDRAISING AND NONPROFIT MANAGEMENT TRAININGS TO OVER 5,000 ATT ENDEES PER MONTH IN 2013 PHILANTHROPY NEWS DIGEST (PND) ------------------------------ IN 2013 THE CENTER REDESIGNED PND, ITS DAILY NEWS SERVICE PROVIDING PHILANTHROPY-RELATED ART ICLES AND FEATURES CULLED FROM PRINT AND ELECTRONIC MEDIA OUTLETS THE NEW PND HAS AN UPDA TED LOOK, IMPROVED NAVIGATION, DETAILED TAGGING AND CATEGORIZATION, AND CUTTING-EDGE SEARC H FUNCTIONALITY THAT PROVIDES READERS WITH BETTER ACCESS TO NEWS ON THE SECTOR IN 2013 PN D POSTED 29 ORIGINAL COLUMN/COMMENTARY ITEMS (A 70% INCREASE OVER THE PRIOR YEAR), 726 RFP S AND 4,098 JOBS AND GREW ITS TWITTER FOLLOWERS TO 23,274

Return Reference	Explanation	
	FORM 990, PART III, LINE 4C	EXPENSES $5,229,141 AND REVENUE $967,870 THE CENTER CONDUCTS RESEARCH AND PUBLISHES REPORT S ON THE GROWTH OF THE FOUNDATION FIELD AND ON TRENDS IN FOUNDATION SUPPORT OF THE NONPROF IT SECTOR TO MAXIMIZE THE IMPACT OF ITS RESEARCH, IT HOSTS BRIEFINGS AND PANELS TO DISCUS S KEY FINDINGS, AND "SPREADS THE WORD" THROUGH SOCIAL MEDIA TO MAKE KNOWLEDGE ACCESSIBLE TO ALL, IT MAKES ITS FULL REPORTS OR HIGHLIGHTS FROM THEM AVAILABLE FOR FREE DOWNLOAD AT I TS WEB SITE SPECIAL EFFORTS IN RESEARCH AND OTHER PROGRAMS IN 2013 INCLUDE THE FOLLOWING GRANTCRAFT [WWW GRANTCRAFT ORG] ------------------------------- A JOINT PROJECT OF THE FO UNDATION CENTER AND THE BRUSSELS-BASED EUROPEAN FOUNDATION CENTRE, GRANTCRAFT INCREASES TH E COLLECTIVE KNOWLEDGE OF THE FIELD AND IMPROVES THE PRACTICE OF PHILANTHROPY BY PUBLISHIN G RESOURCES AND FACILITATING THE EXCHANGE OF INFORMATION AMONG FUNDERS AROUND THE WORLD I N 2013 - THIRTY-ONE GUIDES WIDELY USED BY GRANTMAKERS IN THE U S WERE TRANSLATED INTO CH INESE FOR FREE DISTRIBUTION, AND THREE GUIDES WERE TRANSLATED INTO PORTUGUESE - GRANTCRAF T ORG RECEIVED 62,110 UNIQUE VISITORS FROM 190 DIFFERENT COUNTRIES, FOR A TOTAL OF 80,704 VISITS, AND THE SITE'S RESOURCES WERE DOWNLOADED 17,576 TIMES - SIXTY-SIX NEW CONTENT ITE MS WERE PRODUCED, INCLUDING GUIDES, INFOGRAPHICS, AND TRANSLATIONS - GRANTCRAFT LAUNCHED AN INTERACTIVE COLLABORATION TOOL FINDER [WWW COLLABORATION GRANTCRAFT ORG] TO EMPOWER FUN DERS TO IDENTIFY THE MOST APPROPRIATE WEB-BASED TOOLS TO MEET THEIR COLLABORATION NEEDS T HIS TOOL WAS VISITED 5,126 TIMES WITHIN 3 MONTHS OF ITS LAUNCH BY VISITORS FROM OVER 80 CO UNTRIES - GRANTCRAFT PRODUCED TEN NEW PODCASTS IN 2013, WHICH WERE LISTENED TO 1,075 TIME S THESE INCLUDED SHAPING THE FUTURE OF PHILANTHROPY VOICES FROM NEXT GEN DONORS, AND TRA NSPARENCY CHAT THE FOUNDATION REGISTRY I3 -------------------------- THE FOUNDATION REGIS TRY I3 [FOUNDATIONREGISTRYI3 ORG] WAS CREATED BY EDUCATION FUNDERS TO SUPPORT THE INVESTIN G IN INNOVATION (I3) PROGRAM OF THE U S DEPARTMENT OF EDUCATION (ED) ED PROVIDES COMPETI TIVE GRANTS TO I3 APPLICANTS TO EXPAND GROUNDBREAKING PRACTICES THAT IMPROVE STUDENT ACHIE VEMENT, CLOSE ACHIEVEMENT GAPS, DECREASE DROP-OUT RATES, INCREASE HIGH SCHOOL GRADUATION R ATES, OR INCREASE COLLEGE ENROLLMENT OR COMPLETION RATES THE SITE HELPS FACILITATE MATCHE S BY PROVIDING AN EFFICIENT LINK BETWEEN I3 APPLICANTS AND POTENTIAL SUPPORTERS THE FOUND ATION CENTER ADMINISTERED FOUNDATION REGISTRY I3 IN 2013, PROVIDING OUTREACH AND SUPPORT T O APPLICANTS AND FUNDERS - AS A RESULT, ALL 25 OF THE 2013 I3 HIGHEST-RATED APPLICANTS (H RAS) MET ED'S PRIVATE-SECTOR MATCH REQUIREMENTS FOR THE FIRST HALF OF THE COMPETITION ADV ANCED CUSTOM WEB PORTALS --------------------------- THE CENTER IS COMMUNICATING PHILANTHR OPY'S IMPACT ON PARTICULAR AREAS OF INTEREST BY CURATING AND CENTRALIZING RESOURCES AND DA TA STREAMS AND MAKING THEM ACCESSIBLE TO THE GENERAL PUBLIC THROUGH ITS CUSTOM WEB PORTALS THE CENTER'S AIM IS TO CREATE NEW PORTALS ON CURRENT ISSUES THAT ARE NOT COMPREHENSIVELY SUPPORTED BY EXISTING ONLINE RESOURCES IN MARCH, 2013, THE CENTER LAUNCHED ITS MOST RECE NT WEB PORTAL, BMAFUNDERS ORG, WHICH SERVES AS A GO-TO SOURCE FOR DATA AND INFORMATION REL ATED TO BLACK MALE ACHIEVEMENT AND IS INTENDED TO FACILITATE ENGAGEMENT, CATALYZE COLLABOR ATION, AND PROMOTE STRATEGIC DECISION-MAKING THIS PORTAL IS INTENDED TO STRENGTHEN THE FI ELD OF BLACK MALE ACHIEVEMENT BY PUTTING UP-TO-DATE RESEARCH, DATA, INFORMATION, AND INSIG HTS AT THE FINGERTIPS OF FUNDERS, NONPROFITS, POLICYMAKERS, AND OTHER STAKEHOLDERS IN ITS FIRST 10 MONTHS, BMAFUNDERS ORG ATTRACTED MORE THAN 12,000 VISITORS IN OCTOBER 2013, WAS HFUNDERS ORG CELEBRATED ITS TWO YEAR ANNIVERSARY THE CENTER REGULARLY POSTS NEW CONTENT T O THE SITE, INCLUDING WEEKLY BLOG POSTS, UP-TO-DATE GRANTMAKING DATA, AND RECENTLY RELEASE D REPORTS THE CENTER ALSO ADDED SEVERAL NEW DATA STREAMS TO THE SITE'S FUNDING MAP AND CO NTINUES TO ADD FOUNDATIONS OUTSIDE OF THE U S TO ITS DATABASE, GIVING DONORS AND OTHER ST AKEHOLDERS IN THE SECTOR A MORE COMPREHENSIVE PICTURE OF THE WASH FUNDING LANDSCAPE TRAFF IC TO WASHFUNDERS ORG CONTINUES TO GROW, AVERAGING 1,800 VISITORS PER MONTH THE CENTER AL SO CONTINUES TO BUILD UPON THE FOUNDATIONS FOR EDUCATION EXCELLENCE (FFEE) PORTAL [FOUNDAT IONCENTER ORG/EDUCATIONEXCELLENCE] DEVELOPED IN COLLABORATION WITH THE USDE TO HELP EDUCAT ION FUNDERS AND STAKEHOLDERS MAKE INFORMED DECISIONS ABOUT HOW TO MAXIMIZE BOTH PRIVATE AN D PUBLIC FUNDS TO IMPROVE EDUCATIONAL OPPORTUNITY FFEE WAS CREATED TO SERVE AS AN INFORMA TION BRIDGE BETWEEN THE SIGNIFICANT AMOUNT OF STIMULUS SPENDING PLANNED BY THE USDE AND FO UNDATION SPENDING IN THE AREAS OF ACCOUNTABILITY, EARLY LEARNING, EDUCATIONAL STANDARDS, L OW-PERFORMING SCHOOLS, PREPARATION FOR COLLEGE, AND TEACHER QUALITY IN 2013, FFEE'S RESOU RCES WERE VIEWED MORE THAN 20,000 TIMES THE CENTER POSTED 18 NEW RESEARCH REPORTS ACROSS A VARIETY OF KNOWLEDGE FROM THE FIELD CATEGORIES I

Return Reference	Explanation	
	FORM 990, PART III, LINE 4C	NCLUDING DIGITAL LEARNING AND EDUCATIONAL STANDARDS FOUNDATION FINANCIAL BENCHMARKER TOOL ------------------------------------ THIS ONLINE TOOL, LAUNCHED IN MARCH OF 2013, HARNES SES FOUNDATION CENTER DATA TO EMPOWER FUNDERS' STRATEGIC DECISION-MAKING BY ALLOWING FUNDE RS TO COMPARE ADMINISTRATIVE EXPENSES AND PAYOUT RATES TO A PEER GROUP AND GENERATE CUSTOM IZED REPORTS, INCLUDING TABLES AND CHARTS THAT CAN BE USED TO ILLUSTRATE FINDINGS TO TRUST EES AND OTHER STAKEHOLDERS THE TOOL WAS UPDATED IN NOVEMBER OF 2013 AND ACCESS WAS OFFERE D TO ALL FOUNDATIONS CURRENTLY IN THE TOOL ADVANCING HUMAN RIGHTS ---------------------- IN PARTNERSHIP WITH THE INTERNATIONAL HUMAN RIGHTS FUNDERS GROUP (IHRFG), IN 2013 THE CENT ER LAUNCHED ADVANCING HUMAN RIGHTS, A FIRST-EVER INITIATIVE TO TRACK, REPORT ON, AND OPEN PUBLIC ACCESS TO FOUNDATION SUPPORT FOR HUMAN RIGHTS WORLDWIDE. IN JUNE OF 2013, THE TWO O RGANIZATIONS PUBLISHED THE FIRST BENCHMARK STUDY OF FOUNDATION FUNDING FOR HUMAN RIGHTS, A DVANCING HUMAN RIGHTS THE STATE OF GLOBAL FOUNDATION GRANTMAKING THIS ANALYSIS OF $1 2 B ILLION OF WORLDWIDE FOUNDATION FUNDING OF 6,800 ORGANIZATIONS INCLUDES ACTIVITIES SUCH AS ENSURING THE RIGHT TO PEACEFUL ASSEMBLY AND FREEDOM FROM DISCRIMINATION ISSUELAB [ISSUELA B ORG] ----------------------- THIS ONLINE KNOWLEDGE SHARING PLATFORM PROVIDES FREE AND OP EN ACCESS TO RESOURCES THAT ANALYZE THE WORLD'S MOST PRESSING SOCIAL, ECONOMIC, AND ENVIRO NMENTAL CHALLENGES AND THEIR POTENTIAL SOLUTIONS ISSUELAB REPRESENTS ONE OF THE LARGEST P OOLS OF SOCIAL SECTOR KNOWLEDGE. THE COLLECTION SPANS 40 ISSUE AREAS AND CONTAINS OVER 14, 000 RESOURCES (PRODUCED BY MORE THAN 2,000 ORGANIZATIONS) IN 2013 ISSUELAB ADDED MORE THA N 2,500 NEW TITLES TO ITS COLLECTION ISSUELAB HIGHLIGHTS THE RELEVANT KNOWLEDGE, ORGANIZA TIONS, AND PEOPLE SERVING THE SOCIAL SECTOR BY CURATING SPECIAL COLLECTIONS IN 2013, ISSU ELAB CURATED NEW SPECIAL COLLECTIONS ON - IMPLEMENTING THE AFFORDABLE CARE ACT, - EMPLOYM ENT-BASED IMMIGRATION, - AND CASE STUDIES AND RESEARCH REPORTS ABOUT THE BENEFITS OF ARTS EDUCATION THE CENTER ALSO MAKES ACCESS TO ISSUELAB AVAILABLE THROUGH OTHER ONLINE SERVICE S THAT HARVEST AND SHARE ISSUELAB'S METADATA ADDITIONALLY, IN 2013 ISSUELAB BUILT FOUR NE W CUSTOMIZED VERSIONS OF ITS PLATFORM FOR USE BY OTHER ORGANIZATIONS AND KNOWLEDGE BROKERS IN THE SECTOR THESE CUSTOM "KNOWLEDGE CENTERS" ENABLE ORGANIZATIONS TO BUILD ON THE PUBL IC ISSUELAB LIBRARY WHILE OFFERING THEIR OWN MEMBERS AND USERS A MORE FOCUSED COLLECTION O F RESOURCES THESE INCLUDED A KNOWLEDGE CENTER FOR THE EUROPEAN FOUNDATION CENTER, ANOTHER FOR THE NEW YORK FOUNDATION FOCUSED ON SOCIAL JUSTICE WORK IN THE NEW YORK METRO AREA, AN D ANOTHER FOR A NETWORK OF GRANTMAKERS ADDRESSING ISSUES RELATED TO JOURNALISM AND NEW FOR MS OF MEDIA PUBLICATIONS ------------ IN 2013, THE CENTER RELEASED REPORTS ON TOPICS RELE VANT TO FUNDERS, THE MEDIA, RESEARCHERS, AND NON-PROFITS - GROWTH IN FOUNDATION SUPPORT F OR MEDIA IN THE UNITED STATES PROVIDES A CHRONOLOGICAL VIEW OF MEDIA-RELATED FUNDING ACROS S ORGANIZATION TYPES AND AREAS OF FOCUS AND IS PART OF A LARGER PROJECT THAT INCLUDES AN I NTERACTIVE DATA VISUALIZATION TOOL AND KNOWLEDGE CENTER - HARNESSING COLLABORATIVE TECHNO LOGIES HELPING FUNDERS WORK TOGETHER BETTER SHEDS LIGHT ON HOW ONLINE TOOLS ARE CHANGING THE WAY FUNDERS COLLABORATE. IT HELPS FUNDERS LEARN ABOUT THE DIFFERENT PHASES OF COLLABOR ATION AND ONLINE TOOLS THAT CAN HELP THEM ADVANCE ALL TYPES OF SHARING, COORDINATION, AND COOPERATION A COMPANION "INTERACTIVE TOOL FINDER" AIDS THE SEARCH FOR TECHNOLOGY SOLUTION S - KEY FACTS ON U S FOUNDATIONS, THE FOUNDATION CENTER'S NEW ANNUAL RESEARCH STUDY, FOU ND THAT EVEN THROUGH A PERIOD OF UNPREDICTABILITY, DOMESTIC FOUNDATION GIVING HAS CONTINUE D TO GROW AT A MODERATE PACE. - PHILANTHROPY AND THE SOCIAL ECONOMY BLUEPRINT 2014, THE A NNUAL FORECAST FOR THE INDUSTRY OF PHILANTHROPY WRITTEN BY LEADING SCHOLAR LUCY BERNHOLZ, EXPLAINS THE SHIFTS THAT COME AS CIVIL S

Return Reference	Explanation
--	GLASSPOCKETS IS THE FOUNDATION CENTER INITIATIVE THAT CHAMPIONS PHILANTHROPIC TRANSPARENCY IN AN ONLINE WORLD, AND IT REMAINS ITS PRIMARY MECHANISM FOR PROMOTING FOUNDATION TRANSPARENCY THE SITE PROVIDES CRITICAL INFORMATION ABOUT THE ENTIRE FIELD, GIVES CLEAR EXAMPLES OF THE RELEVANCY OF FOUNDATIONS' WORK TO THE CHALLENGES OF OUR TIME, AND INCLUDES A RANGE OF TOOLS THAT IDENTIFY BEST PRACTICES AMONG FOUNDATIONS IN PROMOTING TRANSPARENCY IN THE DIGITAL AGE SINCE ITS LAUNCH IN 2010, A GROWING NUMBER OF FOUNDATIONS ARE USING THE SITE AS A MEANS TO BENCHMARK THEIR OWN TRANSPARENCY EFFORTS INCLUDING WEB DESIGN AND SOCIAL MEDIA PRACTICES THE SITE INCLUDES 57 FOUNDATION PROFILES, AND ATTRACTED A TOTAL OF 62,638 SITE VISITS IN 2013 THE SITE WAS REDESIGNED IN NOVEMBER 2013 TO IMPROVE THE WAY IT PROVIDES THE DATA, RESOURCES, EXAMPLES, AND ACTION STEPS THAT HELP FOUNDATIONS UNDERSTAND THE VALUE OF TRANSPARENCY AND BE MORE OPEN IN THEIR OWN COMMUNICATIONS IN THE FIRST MONTH AFTER THE GLASSPOCKETS ORG REDESIGN, TRAFFIC TO THE SITE INCREASED BY 75% TO 8,603 EYE ON THE GIVING PLEDGE ------------------------ THIS INITIATIVE COMBINES THE FOUNDATION CENTER'S AUTHORITATIVE DATA ON PHILANTHROPY WITH PUBLIC INFORMATION TO OFFER AN IN-DEPTH PICTURE OF THE GIVING PLEDGE, AN EFFORT SPEARHEADED BY WARREN BUFFETT AND BILL AND MELINDA GATES THAT ENCOURAGES THE WEALTHIEST AMERICANS TO COMMIT THE MAJORITY OF THEIR ASSETS TO PHILANTHROPIC CAUSES IN 2013, THE CENTER CREATED A LANDING PAGE ON ITS GLASSPOCKETS WEB SITE TO INCLUDE INFORMATION ON GIVING PLEDGE PARTICIPANTS, THEIR CHARITABLE ACTIVITIES, AND THE POTENTIAL IMPACT OF THIS COLLECTIVE EFFORT CONTENT INCLUDES - PROFILES OF THE 122 INDIVIDUALS AND FAMILIES THAT HAVE SIGNED THE PLEDGE, WITH A COMBINED NET WORTH OF ROUGHLY $600 BILLION - EACH PROFILE DETAILS THE PARTICIPANT'S NET WORTH, PRIMARY INDUSTRY AFFILIATION, GIVING INTERESTS, RELATED FOUNDATIONS, CHARITABLE BOARD SERVICE, AND PHILANTHROPIC PRESS COVERAGE - CHARTS REVEAL DEMOGRAPHIC TRENDS IN PARTICIPATION, INCLUDING GEOGRAPHIC DISTRIBUTION, INDUSTRY AFFILIATION, NET WORTH, AND AGE OF THE PLEDGERS - CURATED READING LISTS PROVIDE INSIGHTS AND OPINION ABOUT THE GIVING PLEDGE MORE BROADLY HGRANT REPORTING ---------------- THE 17 LARGEST U S FOUNDATIONS ARE WORKING WITH THE CENTER TO ASSIST IN THE REPORTING COMMITMENT (HGRANT) INITIATIVE AIMED TO ADVANCE TRANSPARENCY HGRANT INFORMATION IS REPORTED AT LEAST QUARTERLY (DAILY IN SOME CASES) BY EACH FOUNDATION, CODED FOR GEOGRAPHIC FOCUS, AND MADE AVAILABLE ON GLASSPOCKETS, WHICH INCLUDES AN INTERACTIVE MAP OF THE DATA [GLASSPOCKETS ORG/WORK/REPORTINGCOMMITMENT/MAP HTML] THAT ILLUSTRATES THE NATIONAL AND GLOBAL REACH OF AMERICA'S LARGEST FOUNDATIONS ALL DATA IS COMPLETELY OPEN AND CAN BE DOWNLOADED THROUGH AN API LOCAL CHAPTERS, BRANCHES, OR AFFILIATES FORM 990, PART VI, LINE 10A THE CENTER MAINTAINS AND OPERATES A NETWORK OF 5 PROFESSIONALLY-STAFFED LIBRARY/LEARNING CENTERS IN NEW YORK CITY, SAN FRANCISCO, WASHINGTON D C , CLEVELAND AND ATLANTA THESE ARE UNINCORPORATED DIVISIONS THAT USE THE SAME TAX IDENTIFICATION NUMBER AS THE CENTER THE LIBRARY/LEARNING CENTERS ARE GOVERNED BY THE SAME WRITTEN POLICIES AND PROCEDURES AS THE CENTER TO ENSURE THEIR OPERATIONS ARE CONSISTENT WITH THOSE OF THE CENTER'S

Return Reference	Explanation
FORM 990, PART VI, LINE 11B	THE CENTER'S FORM 990 IS PREPARED BY THE ACCOUNTING MANAGER UNDER THE DIRECT SUPERVISION OF THE CONTROLLER AND THE VICE PRESIDENT FOR FINANCE AND ADMINISTRATION REVIEWS THE RETURN THE DRAFT FORM 990 IS THEN REVIEWED BY MEMBERS OF THE TAX DEPARTMENT OF THE CENTER'S INDEPENDENT ACCOUNTING FIRM, KPMG LLP, AND THEN BY THE ORGANIZATION'S PRESIDENT SUBSEQUENTLY, THE AUDIT COMMITTEE OF THE CENTER'S BOARD OF TRUSTEES AND THE FULL BOARD REVIEWS THE RETURN AFTER RESPONDING TO ALL INQUIRIES AND MAKING ANY NECESSARY CHANGES, THE FINAL FORM 990 IS PROVIDED TO ALL MEMBERS OF THE BOARD PRIOR TO ITS FILING WITH THE IRS

Return Reference	Explanation
FORM 990, PART VI, LINE 12C	A CONFLICT OF INTEREST DISCLOSURE STATEMENT IS OBTAINED ANNUALLY FROM ALL TRUSTEES, OFFICERS, MANAGERS AND OTHER KEY EMPLOYEES WHO ARE CURRENTLY SERVING THE CENTER A REPORT SUMMARIZING THE DISCLOSURE STATEMENTS IS PROVIDED TO THE BOARD OF TRUSTEES ANNUALLY IT IS THE CENTER'S POLICY THAT IN THE EVENT OF A CONFLICT THE FOLLOWING IS DONE IF THERE IS A CONFLICT RELEVANT TO A MATTER REQUIRING ACTION BY THE BOARD OF TRUSTEES, THE INTERESTED PERSON SHALL CALL IT TO THE ATTENTION OF THE BOARD OF TRUSTEES, AND THE TRUSTEE CONCERNED SHALL NOT VOTE ON THE MATTER MOREOVER, THE PERSON HAVING A CONFLICT SHALL RETIRE FROM THE ROOM IN WHICH THE BOARD IS MEETING AND SHALL NOT PARTICIPATE IN THE FINAL DELIBERATION OR DECISION REGARDING THE MATTER UNDER CONSIDERATION WHEN THERE IS DOUBT AS TO WHETHER A CONFLICT OF INTEREST EXISTS, THE MATTER SHALL BE RESOLVED BY VOTE OF THE BOARD OF TRUSTEES OR ITS COMMITTEE, EXCLUDING FROM THE ROOM AND THE VOTE THE PERSON WHOSE SITUATION WILL BE DISCUSSED WHEN A CONFLICT OF INTEREST ARISES FOR ANY STAFF MEMBER EXCEPT THE PRESIDENT, THAT STAFF MEMBER SHALL REPORT IT TO THE PRESIDENT IN WRITING A CONFLICT OF INTEREST RELATING TO THE PRESIDENT SHALL BE REPORTED IN WRITING TO THE CHAIRMAN OF THE BOARD

Return Reference	Explanation
FORM 990, PART VI, LINES 15A AND 15B	THE CENTER'S BOARD OF TRUSTEES, CONSISTING OF INDEPENDENT PERSONS, ESTABLISHES COMPENSATION FOR THE PRESIDENT AND REVIEWS THE COMPENSATION FOR THE OTHER OFFICER(S) AND VICE PRESIDENTS ON AN ANNUAL BASIS PRIOR TO MAKING COMPENSATION DECISIONS, THE BOARD, THROUGH THE USE OF AN INDEPENDENT CONSULTANT, OBTAINS INFORMATION ON THE COMPENSATION OF FUNCTIONALLY COMPARABLE POSITIONS FOR SIMILARLY QUALIFIED PERSONS AT SIMILARLY SITUATED ORGANIZATIONS AND/OR BENCHMARK SALARIES FOR SELECT POSITIONS OBTAINED FROM SALARY SURVEYS DOCUMENTATION OF THE DELIBERATIONS AND DECISIONS MADE ARE MAINTAINED IN THE MINUTES IN THE CORPORATE SECRETARY'S OFFICE A FULL INDEPENDENT COMPENSATION REVIEW FOR ALL STAFF WAS COMPLETED IN THE FALL OF 2013

Return Reference	Explanation
FORM 990, PART VI, LINE 19	THE CENTER'S AUDITED FINANCIAL STATEMENTS AND ANNUAL REPORT FOR THE PAST FIVE YEARS ARE POSTED ON ITS WEBSITE AND ARE DOWNLOADABLE. IN ADDITION, GOVERNANCE AND STAFFING POLICIES ARE POSTED, INCLUDING THE CODE OF CONDUCT (WHICH INCLUDES THE CONFLICT OF INTEREST POLICY), DIVERSITY STATEMENT AND THE EXECUTIVE COMPENSATION POLICY

Return Reference	Explanation
FORM 990 PART XI, LINE 9	AS OF DECEMBER 31, 2013, THE $275,949 IN OTHER CHANGES IN NET ASSETS CONSISTS OF AN ACTUARIAL GAIN OF $275,949, WHICH REPRESENTS PENSION AND POSTRETIREMENT CHANGES OTHER THAN NET PERIODIC BENEFIT COST