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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation LUCIUS N. LITTAUER FOUNDATION, INC.		A Employer identification number 13-1688027
Number and street (or P.O. box number if mail is not delivered to street address) 220 FIFTH AVENUE 19TH FLOOR	Room/suite	B Telephone number 212-697-2677
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10001		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☒ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 44,253,675.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		325.	325.		STATEMENT 1
4 Dividends and interest from securities		1,089,775.	1,089,775.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		721,933.			
b Gross sales price for all assets on line 6a		12,423,811.			
7 Capital gain net income (from Part IV, line 2)			721,933.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		1,812,033.	1,812,033.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 3		7,201.	1,800.		5,401.
b Accounting fees STMT 4		39,058.	9,765.		29,293.
c Other professional fees STMT 5		131,195.	131,195.		0.
17 Interest					
18 Taxes STMT 6		21,123.	373.		750.
19 Depreciation and depletion		1,818.	0.		
20 Occupancy		11,680.	0.		11,680.
21 Travel, conferences, and meetings		4,458.	0.		4,458.
22 Printing and publications					
23 Other expenses STMT 7		95,306.	0.		95,306.
24 Total operating and administrative expenses. Add lines 13 through 23		311,839.	143,133.		146,888.
25 Contributions, gifts, grants paid		2,667,511.			2,667,511.
26 Total expenses and disbursements. Add lines 24 and 25		2,979,350.	143,133.		2,814,399.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<1,167,317.>			
b Net investment income (if negative, enter -0-)			1,668,900.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		150.	149.	149.
	2	Savings and temporary cash investments		3,022,732.	3,652,085.	3,652,085.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 8	30,641,334.	29,644,426.	37,309,879.
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment basis ▶				
Liabilities		Less: accumulated depreciation ▶				
	12	Investments - mortgage loans				
	13	Investments - other	STMT 9	3,861,109.	3,030,886.	3,291,319.
	14	Land, buildings, and equipment: basis ▶	33,880.			
		Less: accumulated depreciation	STMT 10 ▶	1,818.	32,062.	0.
	15	Other assets (describe ▶)	STATEMENT 11	1,843.	243.	243.
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		37,527,168.	36,359,851.	44,253,675.
	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒				
	27	Capital stock, trust principal, or current funds		20,952,398.	20,952,398.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		16,574,770.	15,407,453.	
	30	Total net assets or fund balances		37,527,168.	36,359,851.	
	31	Total liabilities and net assets/fund balances		37,527,168.	36,359,851.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	37,527,168.
2	Enter amount from Part I, line 27a	2	<1,167,317.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	36,359,851.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	36,359,851.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED STATEMENT C	P	VARIOUS	VARIOUS
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 12,423,811.		11,701,878.	721,933.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			721,933.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	721,933.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	2,607,004.	39,823,376.	.065464
2011	2,577,014.	40,281,636.	.063975
2010	2,049,790.	38,119,344.	.053773
2009	2,063,501.	36,095,083.	.057168
2008	2,807,666.	41,250,390.	.068064

2 Total of line 1, column (d)	2	.308444
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.061689
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	42,135,203.
5 Multiply line 4 by line 3	5	2,599,279.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	16,689.
7 Add lines 5 and 6	7	2,615,968.
8 Enter qualifying distributions from Part XII, line 4	8	2,814,399.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**1a** Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b**c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).**2** Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)**3** Add lines 1 and 2**4** Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)**5** **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-**6** Credits/Payments:**a** 2013 estimated tax payments and 2012 overpayment credited to 2013**b** Exempt foreign organizations - tax withheld at source**c** Tax paid with application for extension of time to file (Form 8868)**d** Backup withholding erroneously withheld**7** Total credits and payments. Add lines 6a through 6d**8** Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached**9** **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed****10** **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid****11** Enter the amount of line 10 to be: **Credited to 2014 estimated tax** **3,772.** **Refunded****Part VII-A Statements Regarding Activities****1a** During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?**b** Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?**d** Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:(1) On the foundation. **\$ 0.** (2) On foundation managers. **\$ 0.****e** Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. **\$ 0.****2** Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes**4a** Did the foundation have unrelated business gross income of \$1,000 or more during the year?**b** If "Yes," has it filed a tax return on Form 990-T for this year?**5** Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV**8a** Enter the states to which the foundation reports or with which it is registered (see instructions) **NY****b** If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation**9** Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV**10** Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

Yes No

1a X

1b X

1c X

2 X

3 X

4a X

4b

5 X

6 X

7 X

8b X

9 X

10 X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>LITTAUERFOUNDATION.ORG</u>	13	X	
14	The books are in care of ► <u>THOMAS H. ENGELHARDT, CPA</u> Telephone no. ► <u>212-503-8800</u> Located at ► <u>C/O MARKS PANETH - 685 THIRD AVE., NEW YORK, NY</u> ZIP+4 ► <u>10017</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b****X**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000**0**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	40,192,269.
b	Average of monthly cash balances	1b	2,551,894.
c	Fair market value of all other assets	1c	32,693.
d	Total (add lines 1a, b, and c)	1d	42,776,856.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	42,776,856.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	641,653.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	42,135,203.
6	Minimum investment return. Enter 5% of line 5	6	2,106,760.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,106,760.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	16,689.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	16,689.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,090,071.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,090,071.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,090,071.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,814,399.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,814,399.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	16,689.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	2,797,710.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				2,090,071.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	806,490.			
b From 2009	309,853.			
c From 2010	160,674.			
d From 2011	616,652.			
e From 2012	837,273.			
f Total of lines 3a through e	2,730,942.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 2,814,399.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				2,090,071.
e Remaining amount distributed out of corpus	724,328.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,455,270.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	806,490.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	2,648,780.			
10 Analysis of line 9:				
a Excess from 2009	309,853.			
b Excess from 2010	160,674.			
c Excess from 2011	616,652.			
d Excess from 2012	837,273.			
e Excess from 2013	724,328.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test - enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SEE ATTACHED STATEMENT B				2,667,511.
Total			▶ 3a	2,667,511.
b <i>Approved for future payment</i>				
NONE				
Total			▶ 3b	0.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | |
|----------|--|--------------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | Yes |
| | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | |
| | (1) Cash | 1a(1) |
| | (2) Other assets | 1a(2) |
| b | Other transactions: | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) |
| | (4) Reimbursement arrangements | 1b(4) |
| | (5) Loans or loan guarantees | 1b(5) |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date	
------	--

Check ☐ if
self-employed

PTIN

THOMAS ENGELHARDT

Firm's name ► **MARKS PANETH LLP**

Firm's address ► **685 THIRD AVENUE
NEW YORK, NY 10017**

P00360933

Firm's EIN ► 11-3518842

Phone no. **212 503-8800**

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
JP MORGAN CHASE	325.	325.	
TOTAL TO PART I, LINE 3	325.	325.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
JP MORGAN CHASE	1,089,775.	0.	1,089,775.	1,089,775.	
TO PART I, LINE 4	1,089,775.	0.	1,089,775.	1,089,775.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEE	7,201.	1,800.		5,401.
TO FM 990-PF, PG 1, LN 16A	7,201.	1,800.		5,401.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	39,058.	9,765.		29,293.
TO FORM 990-PF, PG 1, LN 16B	39,058.	9,765.		29,293.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODIAN FEES	131,195.	131,195.		0.
TO FORM 990-PF, PG 1, LN 16C	131,195.	131,195.		0.

FORM 990-PF	TAXES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	20,000.	0.		0.
FOREIGN TAXES WITHHELD	373.	373.		0.
NYS ANNUAL FILING FEE	750.	0.		750.
TO FORM 990-PF, PG 1, LN 18	21,123.	373.		750.

FORM 990-PF	OTHER EXPENSES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BOOKS & PERIODICALS	135.	0.		135.
DUES & MEMBERSHIPS	1,250.	0.		1,250.
INSURANCE	8,859.	0.		8,859.
OFFICE SUPPLIES & EXPENSE	4,970.	0.		4,970.
OFFICE MAINTENANCE	77,601.	0.		77,601.
POSTAGE & DELIVERY	488.	0.		488.
TELEPHONE & FACSIMILE	2,003.	0.		2,003.
TO FORM 990-PF, PG 1, LN 23	95,306.	0.		95,306.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT A ATTACHED	5,120,931.	8,648,730.
SEE STATEMENT A ATTACHED	24,523,495.	28,661,149.
TOTAL TO FORM 990-PF, PART II, LINE 10B	29,644,426.	37,309,879.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT A ATTACHED	COST	30,886.	32,142.
SEE STATEMENT A ATTACHED	COST	3,000,000.	3,259,177.
SEE STATEMENT A ATTACHED	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,030,886.	3,291,319.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	10
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER	3,030.	1,818.	1,212.
DEFERRED SOFTWARE- NOT IN SERVICE	6,100.	0.	6,100.
WEBSITE - NOT IN SERVICE	24,750.	0.	24,750.
TOTAL TO FM 990-PF, PART II, LN 14	33,880.	1,818.	32,062.

FORM 990-PF	OTHER ASSETS	STATEMENT	11
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
SECURITY DEPOSIT	1,600.	0.	0.
PAYROLL TAXES	243.	243.	243.
TO FORM 990-PF, PART II, LINE 15	1,843.	243.	243.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
HENRY A. LOWET 220 FIFTH AVENUE, NEW YORK, NY 10001	DIRECTOR 0.50	0.	0.	0.
CHARLES BERLIN 220 FIFTH AVENUE, NEW YORK, NY 10001	DIRECTOR 1.00	0.	0.	0.
BERTHOLD BILSKI 220 FIFTH AVENUE, NEW YORK, NY 10001	DIRECTOR 0.50	0.	0.	0.
GEORGE HARRIS 220 FIFTH AVENUE, NEW YORK, NY 10001	DIRECTOR 0.82	0.	0.	0.
PETER J. SOLOMON 220 FIFTH AVENUE, NEW YORK, NY 10001	DIRECTOR 0.50	0.	0.	0.
MARK A. BILSKI 220 FIFTH AVENUE, NEW YORK, NY 10001	DIRECTOR 1.50	0.	0.	0.
ROBERT D. FROST 220 FIFTH AVENUE, NEW YORK, NY 10001	PRESIDENT 20.00	0.	0.	0.
NOAH B. PERLMAN 220 FIFTH AVENUE, NEW YORK, NY 10001	SECRETARY 1.00	0.	0.	0.
GEULA R. SOLOMON 220 FIFTH AVENUE, NEW YORK, NY 10001	TREASURER, CHAIR OF AUDIT 1.00	0.	0.	0.
SARAH K. LEVY 220 FIFTH AVENUE, NEW YORK, NY 10001	DIRECTOR 0.50	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
7	(D) COMPUTER	083004SL		5.00	16	2,871.			2,871.	2,871.		0.
8	(D) OFFICE FURNITURE	080204SL		7.00	16	2,100.			2,100.	2,100.		0.
9	COMPUTER	080113200DE		5.00	19B	3,030.		1,515.	1,515.			1,818.
10	DEFERRED SOFTWARE - NOT IN SERVICE	123113SL		3.00	16	6,100.			6,100.			0.
11	WEBSITE - NOT IN SERVICE	123113SL		3.00	16	24,750.			24,750.			0.
	* TOTAL 990-PF PG 1 DEPR					38,851.		1,515.	37,336.	4,971.	0.	1,818.

Depreciation and Amortization 990-PF (Including Information on Listed Property)

Department of the Treasury
Internal Revenue Service (99)

▶ See separate instructions.

▶ Attach to your tax return.

Name(s) shown on return

Business or activity to which this form relates

Identifying number

LUCIUS N. LITTAUER FOUNDATION, INC.

FORM 990-PF PAGE 1

13-1688027

Part I Election To Expense Certain Property Under Section 179 *Note: If you have any listed property, complete Part V before you complete Part I.*

1	Maximum amount (see instructions)	500,000.
2	Total cost of section 179 property placed in service (see instructions)	
3	Threshold cost of section 179 property before reduction in limitation	2,000,000.
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	
6	(a) Description of property	(b) Cost (business use only)
		(c) Elected cost
7	Listed property. Enter the amount from line 29	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	
9	Tentative deduction. Enter the smaller of line 5 or line 8	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	0.
13	Carryover of disallowed deduction to 2014. Add lines 9 and 10, less line 12	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	1,515.
15	Property subject to section 168(f)(1) election	
16	Other depreciation (including ACRS)	

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)
Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2013	
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here	☐

Section B - Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property		1,515.	5 YRS.	HY	200DB	303.
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property	/		27 5 yrs.	MM	S/L	
	/		27 5 yrs.	MM	S/L	
i Nonresidential real property	/		39 yrs.	MM	S/L	
	/			MM	S/L	

Section C - Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a	Class life				S/L	
b	12-year		12 yrs.		S/L	
c	40-year	/	40 yrs.	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr	1,818.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	

Part V Listed Property (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)**Note:** For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.**Section A - Depreciation and Other Information** (Caution: See the instructions for limits for passenger automobiles.)**24a** Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No **24b** If "Yes," is the evidence written? ☐ Yes ☐ No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
--	-------------------------------------	--	-------------------------------	--	---------------------------	------------------------------	----------------------------------	---------------------------------------

25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use**25****26** Property used more than 50% in a qualified business use:

		%						
		%						
		%						

27 Property used 50% or less in a qualified business use

		%			S/L -			
		%			S/L -			
		%			S/L -			

28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1**28****29** Add amounts in column (i), line 26. Enter here and on line 7, page 1**29****Section B - Information on Use of Vehicles**

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle	(b) Vehicle	(c) Vehicle	(d) Vehicle	(e) Vehicle	(f) Vehicle
30 Total business/investment miles driven during the year (do not include commuting miles)						
31 Total commuting miles driven during the year						
32 Total other personal (noncommuting) miles driven						
33 Total miles driven during the year Add lines 30 through 32						
34 Was the vehicle available for personal use during off-duty hours?	Yes	No	Yes	No	Yes	No
35 Was the vehicle used primarily by a more than 5% owner or related person?						
36 Is another vehicle available for personal use?						

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons.

37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?	Yes	No
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.**Part VI Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
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42 Amortization of costs that begins during your 2013 tax year:

43 Amortization of costs that began before your 2013 tax year**43****44** Total. Add amounts in column (f). See the instructions for where to report**44**

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions	Enter filer's identifying number
	LUCIUS N. LITTAUER FOUNDATION, INC.	Employer identification number (EIN) or 13-1688027
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P O box, see instructions 220 FIFTH AVENUE 19TH FLOOR	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions NEW YORK, NY 10001	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THOMAS H. ENGELHARDT, CPA

- The books are in the care of ► **C/O MARKS PANETH - 685 THIRD AVE. - NEW YORK, NY 10017**
- Telephone No ► **212-503-8800** Fax No ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year **2013** or
- ☐ tax year beginning _____, and ending _____.

- 2** If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	16,689.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	20,461.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

Client **1102686-0001 - The Lucius N. Littauer Foundation, Inc.**
Engagement **The Lucius N. Littauer Foundation, Inc.**
Period Ending **12/31/2013**
Trial Balance **TB**
Workpaper **3100.02 - Tax Grouping TB**

7/7/2014
4 04 PM

Account	Description	1st PP-FINAL 12/31/2012	1st PP-FTAX 12/31/2012	FINAL 12/31/2013	FTAX 12/31/2013
Group : [6100.00] Net Assets					
Subgroup : [6100.] Unrestricted					
250-00	Fund Balance	(28,533,568 44)	(28,533,568 44)	(28,533,568 44)	(28,533,568 44)
299-00	P&L Summary	(760,864 11)	(760,864 11)	(8,993,600 44)	(8,993,600 44)
Subtotal [6100.02] Unrestricted		<u>(29,294,432.55)</u>	<u>(29,294,432.55)</u>	<u>(37,527,168.88)</u>	<u>(37,527,168.88)</u>
Total [6100.00] Net Assets		<u>(29,294,432.55)</u>	<u>(29,294,432.55)</u>	<u>(37,527,168.88)</u>	<u>(37,527,168.88)</u>
Group : [7300.00] Other Revenue					
Subgroup : [7300.] Interest					
302-00	Interest Income	(107 01)	(107 01)	(118 70)	(118 70)
302-02	Custody A/C - M/M Fds	(419 35)	(419 35)	(207 20)	(207 20)
302-03	Interest - Bonds & Notes	(95,282 19)	(95,282 19)	(2,233 80)	(2,233 80)
302-06	Interest - U S Treasury Bills	(29,206 07)	(29,206 07)	0 00	0 00
Subtotal [7300.10] Interest		<u>(125,014.62)</u>	<u>(125,014.62)</u>	<u>(2,559.70)</u>	<u>(2,559.70)</u>
Subgroup : [7300.] Dividend Income					
300-01	Dividends - Common Stock	(361,722 50)	(361,722 50)	(188,606 62)	(188,606 62)
300-02	Dividends - Mutual Funds	(508,328 68)	(508,328 68)	(898,934 45)	(898,934 45)
Subtotal [7300.20] Dividend Income		<u>(870,051.18)</u>	<u>(870,051.18)</u>	<u>(1,087,541.07)</u>	<u>(1,087,541.07)</u>
Subgroup : [7300.] Realized Gain (loss) on sale of securities					
304-00	Capital Gains & Losses	(10,164,943 95)	(10,164,943 95)	(721,933 36)	(721,933 36)
Subtotal [7300.40] Realized Gain (loss) on sale of securities		<u>(10,164,943.95)</u>	<u>(10,164,943.95)</u>	<u>(721,933.36)</u>	<u>(721,933.36)</u>
Total [7300.00] Other Revenue		<u>(11,160,009.75)</u>	<u>(11,160,009.75)</u>	<u>(1,812,034.13)</u>	<u>(1,812,034.13)</u>
Group : [7500.00] Expenses					
Subgroup : [7520] Payroll Taxes and Fringe Benefits					
368-05	NYS Annual Filing Fee	1,500 00	1,500 00	750 00	750 00
368-07	Foreign Taxes Withheld	3,921 22	3,921 22	372 69	372 69
Subtotal [7520] Payroll Taxes and Fringe Benefits		<u>5,421.22</u>	<u>5,421.22</u>	<u>1,122.69</u>	<u>1,122.69</u>
Subgroup : [7540] Professional Fees					
362-04	Professional Fees - accounting fee	63,362 08	63,362 08	46,259 42	46,259 42
Subtotal [7540] Professional Fees		<u>63,362.08</u>	<u>63,362.08</u>	<u>46,259.42</u>	<u>46,259.42</u>
Subgroup : [7560] Insurance					
362-02	Insurance	8,182 50	8,182 50	8,858 86	8,858 86
Subtotal [7560] Insurance		<u>8,182.50</u>	<u>8,182.50</u>	<u>8,858.86</u>	<u>8,858.86</u>
Subgroup : [7570] Office Supplies, etc.					
362-09	Office Supplies & Exp	4,311 73	4,311 73	4,683 86	4,683 86
362-11	Honorarium	4,500 00	4,500 00	0 00	0 00
363-00	Computer	0 00	0 00	237 30	237 30
Subtotal [7570] Office Supplies, etc.		<u>8,811.73</u>	<u>8,811.73</u>	<u>4,921.16</u>	<u>4,921.16</u>
Subgroup : [7580] Travel and Transportation					
362-01	Fares & Travel	533 15	533 15	871 63	871 63
Subtotal [7580] Travel and Transportation		<u>533.15</u>	<u>533.15</u>	<u>871.63</u>	<u>871.63</u>
Subgroup : [7590] Telephone					
362-07	Telephone & Telegraph	1,615 40	1,615 40	2,003 14	2,003 14
Subtotal [7590] Telephone		<u>1,615.40</u>	<u>1,615.40</u>	<u>2,003.14</u>	<u>2,003.14</u>
Subgroup : [7600] Office Care and Maintenance					
362-03	Office Care & Maint	34,450 00	34,450 00	77,650 00	77,650 00
Subtotal [7600] Office Care and Maintenance		<u>34,450.00</u>	<u>34,450.00</u>	<u>77,650.00</u>	<u>77,650.00</u>
Subgroup : [7630] Depreciation and Amortization Expenses					
369-01	Depreciation - Computer	0 00	0 00	1,818 00	1,818 00
Subtotal [7630] Depreciation and Amortization Expenses		<u>0.00</u>	<u>0.00</u>	<u>1,818.00</u>	<u>1,818.00</u>
Subgroup : [7670] Grant Expense					
360-00	Grants Made	2,603,900 00	2,603,900 00	2,667,511 08	2,667,511 08
Subtotal [7670] Grant Expense		<u>2,603,900.00</u>	<u>2,603,900.00</u>	<u>2,667,511.08</u>	<u>2,667,511.08</u>
Subgroup : [7500.] Books and Periodicals					
350-00	Books & Periodicals	130 00	130 00	135 00	135 00
Subtotal [7500.01] Books and Periodicals		<u>130.00</u>	<u>130.00</u>	<u>135.00</u>	<u>135.00</u>

Client 1102686-0001 - The Lucius N. Littauer Foundation, Inc.
Engagement The Lucius N. Littauer Foundation, Inc.
Period Ending 12/31/2013
Trial Balance TB
Workpaper 3100.02 - Tax Grouping TB

7/7/2014
4 04 PM

Account	Description	1st PP-FINAL 12/31/2012	1st PP-FTAX 12/31/2012	FINAL 12/31/2013	FTAX 12/31/2013
Subgroup : [7500.] Conferences and Meetings					
352-00	Conferences & Meetings	1,184.49	1,184.49	3,586.94	3,586.94
Subtotal [7500.02]	Conferences and Meetings	1,184.49	1,184.49	3,586.94	3,586.94
Subgroup : [7500.] Custodian Fees and Services					
354-00	Mgt Fees - JPMorgan Chase #A64881005	49,868.98	49,868.98	107,602.58	107,602.58
354-01	Mgt Fees - JPMorgan Chase #A65243007	10,963.72	10,963.72	14,457.14	14,457.14
354-02	Management Fees - Fieldpoint	7,546.07	7,546.07	9,135.09	9,135.09
Subtotal [7500.03]	Custodian Fees and Services	68,378.77	68,378.77	131,194.81	131,194.81
Subgroup : [7500.] Dues and Membership					
356-00	Dues & Membership	500.00	500.00	1,250.00	1,250.00
Subtotal [7500.05]	Dues and Membership	500.00	500.00	1,250.00	1,250.00
Subgroup : [7500.] Postage and Delivery					
362-05	Postage & Delivery	595.08	595.08	488.23	488.23
Subtotal [7500.06]	Postage and Delivery	595.08	595.08	488.23	488.23
Total [7500.00]	Expenses	2,797,064.42	2,797,064.42	2,947,670.96	2,947,670.96
Group : [7530.00] Occupancy					
Subgroup : [7530.] Rent					
364-00	Rent	8,800.00	8,800.00	11,680.00	11,680.00
Subtotal [7530.01]	Rent	8,800.00	8,800.00	11,680.00	11,680.00
Total [7530.00]	Occupancy	8,800.00	8,800.00	11,680.00	11,680.00
Group : [7680.00] Federal Excise Tax exp on Investment					
Subgroup : None					
368-04	Federal Excise	121,409.00	121,409.00	20,000.00	20,000.00
Subtotal : None		121,409.00	121,409.00	20,000.00	20,000.00
Total [7680.00]	Federal Excise Tax exp on Investment	121,409.00	121,409.00	20,000.00	20,000.00
Sum of Account Groups					
		0.00	0.00	0.00	0.00
Net (Income) Loss					
		(8,232,736.33)	(8,232,736.33)	1,167,316.83	1,167,316.83

STATEMENT A
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
12/31/13

<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Total Cost</u>	<u>Market Value</u>
COMMON STOCKS				
4/10/2012	300	3M CO	25,359.48	42,075.00
4/10/2012	399	ACE LIMITED	28,440.91	41,308.47
8/3/2012	352	ADOBE SYSTEMS	11,532.74	21,077.41
5/7/2013	168	ALEXION PHARMACEUTICALS INC COM	17,618.61	22,324.51
4/10/2012	157	ALLERGAN INC	14,538.78	17,439.56
5/9/2013	58	ALLIANCE DATA SYSTEMS CORP	10,241.50	15,249.94
4/10/2012	1150	ALTRIA GROUP INC COM	35,709.11	44,148.50
2/10/2009	57	AMAZON.COM INC	3,837.59	22,731.03
4/10/2012	270	ANADARKO PETROLEUM CORP	20,082.22	21,416.40
4/4/2013	213	APACHE CORP	16,773.60	18,305.22
6/23/2009	154	APPLE INC	20,764.92	86,397.08
2/13/2013	270	ARCHER-DANIELS-MIDLAND CO	8,609.11	11,718.00
4/10/2012	820	ASTRAZENECA PLC ADS	36,068.19	48,683.40
1/1/1970	1100	AT&T INC	7,614.23	38,676.00
4/10/2012	39	AUTOZONE INC	14,603.23	18,639.66
4/25/2013	923	AVAGO TECHNOLOGIES LTD SHS	31,613.69	48,807.32
4/10/2012	3752	BANK OF AMERICA CORP	35,976.72	58,418.64
2/14/2013	870	BCE INC	38,562.40	37,662.30
4/10/2012	116	BIOGEN IDEC INC	14,471.55	32,430.35
4/10/2012	410	BOEING CO	29,053.66	55,960.90
3/13/2013	1040	BRISTOL MYERS SQUIBB CO COM	42,498.32	55,276.00
4/8/2013	224	C V S / CAREMARK CORP	12,655.99	16,031.68
3/4/2013	335	CAPITAL ONE FINL CORP	18,077.73	25,664.35
7/12/2013	354	CBS CORP CL B COM	18,670.91	22,563.96
4/10/2012	198	CELGENE CORP	15,585.02	33,455.66
11/7/2012	287	CERNER CORP COM	12,553.71	15,997.38
10/19/2012	287	CHENIERE ENERGY INC	4,492.59	12,375.44
1/1/1970	340	CHEVRON CORP	2,248.34	42,469.40
4/10/2012	1809	CISCO SYSTEMS INC	38,601.84	40,575.87
9/14/2012	1620	CISCO SYSTEMS INC	33,705.83	36,336.60
3/10/2009	1021	CITIGROUP INC. CMN	17,361.51	53,204.31
12/19/2013	575	COCA COLA CO	22,895.07	23,753.25
4/10/2012	1097	COMCAST CORP CL A	32,603.38	57,005.61
4/10/2012	700	CONOCOPHILLIPS	38,655.05	49,455.00
10/31/2013	116	COSTCO WHSL CORP NEW	14,092.97	13,806.32
7/20/2012	866	CSX CORPORATION	19,501.82	24,914.82
4/10/2012	260	DIAGEO PLC SPRD ADR NEW	24,703.82	34,429.20
2/6/2013	565	DIAMOND OFFSHORE DRILLING INC	40,596.51	32,159.80
12/20/2012	405	DISH NETWORK CORP CLA	15,110.48	23,457.60
4/10/2012	460	DOMINION RES INC	23,128.43	29,757.40
10/17/2012	624	DOW CHEMICAL CO	19,081.77	27,705.60
12/6/1935	660	DUPONT E I DE NEMOURS & CO	26,591.21	42,880.20
12/13/2013	170	EATON CORP COM	12,601.98	12,940.40
7/16/2013	626	EBAY INC COM	34,102.90	34,345.49
4/10/2012	335	EMERSON ELEC CO COM	16,070.07	23,510.30
4/10/2012	55	EOG RESOURCES INC	5,738.98	9,231.20
1/1/1970	492	EXXON MOBIL CORP	7,444.82	49,790.40
7/18/2012	626	FLUOR CORP	34,843.22	50,261.54
8/14/2013	928	FREEPORT-MCMORAN COPPER & GOLD INC CL B	31,159.13	35,022.72
4/10/2012	1500	GENERAL ELECTRIC CO COM	28,132.65	42,045.00
4/10/2012	385	GENERAL MILLS INC COM	14,840.90	19,215.35
4/10/2012	1086	GENERAL MOTORS COMPANY	26,873.36	44,384.82
4/10/2012	440	GENUINE PARTS CO	26,607.85	36,603.60
7/11/2012	72	GOOGLE INC CL A	47,673.49	80,691.12
5/7/2013	507	HARTFORD FINANCIAL SRVCS GROUP CMN	15,305.09	18,368.61
4/10/2012	790	HEALTH CARE PPTY INVS INC COM	29,719.32	28,692.80

STATEMENT A
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
12/31/13

<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Total Cost</u>	<u>Market Value</u>
4/10/2012	538	HOME DEPOT INC	29,418.66	44,298.92
4/10/2012	691	HONEYWELL INT'L INC	44,338.85	63,136.67
4/10/2012	550	HSBC HOLDINGS PLC	23,311.81	30,321.50
4/10/2012	157	HUMANA INC	13,843.62	16,205.54
4/10/2012	1800	INTEL CORP	49,438.80	46,719.00
2/1/2013	130	INTERCONTINENTALEXCHANGE GROUP INC	20,871.01	29,239.60
4/10/2012	241	INVESCO LTD. CMN	5,945.47	8,772.40
10/18/2012	1036	JOHNSON & JOHNSON COM	80,135.26	94,887.24
4/10/2012	530	JOHNSON & JOHNSON COM	34,094.69	48,542.70
4/10/2012	514	JOHNSON CTLS INC	16,001.44	26,368.20
1/1/1970	510	JPMORGAN CHASE & CO	6,323.69	29,824.80
4/10/2012	340	KIMBERLY CLARK CORP COM	25,008.84	35,516.40
12/13/2013	137	KLA-TENCOR CORP	8,388.94	8,831.02
4/10/2012	263	KRAFT FOODS GROUP INC COM	10,271.43	14,178.35
2/4/2013	391	LAM RESH CORP	16,442.52	21,289.95
4/10/2012	700	LILLY ELI & CO COM	27,722.73	35,700.00
4/10/2012	852	LOWES COS INC COM	25,973.56	42,216.60
9/5/2013	514	MARATHON OIL CORP	18,584.08	18,144.20
12/3/2013	98	MARATHON PETE CORP COM	8,534.52	8,989.54
9/19/2013	313	MARSH & MCLENNAN COS COM	13,714.67	15,136.68
7/30/2012	1288	MASCO CORP	18,985.40	29,327.76
4/10/2012	55	MASTERCARD INCORPORATED CMN CLASS A	24,612.14	45,950.30
11/15/2013	131	MCKESSON CORP	21,179.90	21,143.40
4/10/2012	402	MERCK & CO INC COM	15,522.91	20,120.10
4/10/2012	1060	MERCK & CO INC COM	40,924.80	53,053.00
4/10/2012	933	METLIFE INC	31,357.05	50,307.36
3/22/2013	735	METLIFE INC	29,616.48	39,631.20
2/28/2013	60	METTLER-TOLEDO INTERNATIONAL INC	12,559.51	14,555.40
4/10/2012	2164	MICROSOFT CORP	66,390.69	80,955.24
4/10/2012	1330	MICROSOFT CORP	40,391.97	49,755.30
4/10/2012	646	MONDELEZ INTERNATIONAL INC COM	15,584.31	22,803.80
10/2/2013	254	MONSANTO CO	27,388.13	29,603.70
1/16/2013	1204	MORGAN STANLEY COM NEW	26,534.99	37,757.44
6/4/2013	299	NEXTERRA ENERGY INC	23,603.80	25,600.38
4/10/2012	520	NEXTERRA ENERGY INC	32,366.46	44,522.40
2/26/2013	505	NISOURCE INC	14,300.00	16,604.40
4/10/2012	380	OCCIDENTAL PETROLEUM CORP	33,944.62	36,138.00
4/10/2012	1117	ORACLE CORPORATION	31,584.07	42,736.42
4/10/2012	612	PACCAR INC	26,610.49	36,212.04
4/10/2012	209	PENTAIR INC	7,231.72	16,233.06
7/27/2012	309	PEPSICO INC	22,790.78	25,628.46
12/19/2013	160	PERRIGO CO PLC	24,352.80	24,553.60
4/10/2012	298	PHILIP MORRIS INTERNATIONAL INC	25,800.56	25,964.74
4/10/2012	410	PHILIP MORRIS INTERNATIONAL INC	35,689.68	35,723.30
10/16/2012	477	PHILLIPS 66	25,322.65	36,791.01
3/28/2013	18	PRICELINE COM INC	12,541.27	20,923.20
1/1/1970	484	PROCTER & GAMBLE CO	637.93	39,402.44
10/24/2013	463	QEP RES INC COM	15,066.35	14,190.95
4/10/2012	603	QUALCOMM INC	39,401.37	44,772.75
4/20/2012	690	RAYTHEON CO COM NEW	36,784.04	62,583.00
4/10/2012	605	ROYAL DUTCH SHELL PLC SPONSORED ADR RESPTG	40,879.03	45,441.55
4/10/2012	760	SCHLUMBERGER LIMITED	54,740.87	68,483.60
2/23/2009	21577	SPDR S&P 500 ETF	1,703,828.98	3,985,056.13
3/18/2013	325	STATE STREET CORP	19,246.87	23,851.75
7/3/2013	115	TIME WARNER CABLE INC COM CL A	13,043.54	15,582.50
4/10/2012	1165	TIME WARNER INC NEW COM NEW	41,244.85	81,223.80
4/10/2012	430	TRAVELERS COMPANIES INC THE	24,966.45	38,932.20

STATEMENT A
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
12/31/13

<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Total Cost</u>	<u>Market Value</u>
11/26/2013	363	UAL CORP NEW	13,983.50	13,732.29
4/10/2012	860	UNILEVER N V NEW YORK SHS NE	28,038.84	34,597.80
4/10/2012	702	UNITED TECHNOLOGIES CORP	55,475.90	79,887.60
4/10/2012	629	UNITEDHEALTH GROUP INC	37,964.81	47,363.70
4/5/2012	9388	VANGUARD INTL EQTY IDX EMR MKT ETF	395,436.53	386,222.32
5/25/1994	440	VERIZON COMMUNICATIONS INC COM	19,845.31	21,621.60
4/10/2012	135	VERTEX PHARMACEUTICALS INC	4,951.77	10,030.50
4/10/2012	1450	VODAFONE GROUP PLC SPONSORED ADR	39,096.64	56,999.50
9/13/2013	367	WALT DISNEY CO	24,401.02	28,038.80
4/10/2012	1634	WELLS FARGO & CO NEW	53,492.85	74,183.60
4/10/2012	606	WILLIAMS COMPANIES INC. (THE) CMN	19,292.08	23,373.42
4/10/2012	402	YUM BRANDS INC	27,561.40	30,395.22
			5,120,931.00	8,648,729.83
MUTUAL FUNDS				
8/28/2012	28602.074	ARTISAN PTRNS FDS INC INTL VALUE INV	800,000.00	1,051,698.26
5/24/2012	75527.675	BBH INC TAX EEFIC EQ N	1,196,042.52	1,616,292.25
4/5/2012	61473.922	DODGE & COX INTERNATLSTOCK FUND	2,100,000.00	2,645,837.60
4/11/2012	222617.988	DOUBLELINE TOTAL RETURN BD FUND CL I	2,500,000.00	2,399,821.91
4/5/2012	276416.039	EATON VANCE MUT FDS TR FLT RT CL I	2,500,000.00	2,540,263.40
4/5/2012	183654.729	HARBOUR HIGH YIELD BOND FUND	2,000,000.00	1,985,307.62
4/11/2012	11688	ISHARES RUSSELL 1000 GROWTH INDEX FUND	755,746.08	1,004,583.60
4/9/2012	20375	ISHARES RUSSELL MIDCAP GROWTH IDX	1,257,613.47	1,718,835.00
4/9/2012	13945	ISHARES S&P MIDCAP 400 INDEX FD	1,402,194.34	1,865,980.45
4/11/2012	21831.749	JPM LARGE CAP GROWTH FD - SEL	541,209.06	693,812.98
5/24/2012	36597.44	JPM VALUE ADVANTAGE FD - INSTL FUND	707,259.62	993,620.50
4/5/2012	32670.419	MATTHEWS PACIFIC TIGER INSTL FUND	750,000.00	815,780.36
4/9/2012	225034.247	NEUBERGER BERMAN MULTI CAP OPPORT FUND	2,339,544.85	3,454,275.69
5/10/2013	81627.611	OAKMARK INTERNATIONAL #109	2,000,000.00	2,148,438.72
11/14/2013	211946.05	PIMCO LOW DURATION FUND	2,200,000.00	2,189,402.70
5/3/2012	66633.234	T ROWE PRICE INTL FDS NEW ASIA FD	1,100,000.00	1,066,798.08
9/25/2012	8000	VANGUARD MSCI EUROPE ETF	373,884.80	470,400.00
			24,523,494.74	28,661,149.12
INVESTMENTS- OTHER				
04/10/12	600	HEALTH CARE REIT INC	30,885.78	32,142.00
06/28/12	1025.4	KLS Diversified Fund Ltd	1,500,000.00	1,583,936.58
06/28/12	7966.3764	Sandler Offshore Fund	1,000,000.00	1,058,364.39
06/28/12	2249.36836	SEG Partners Offshore Fund	500,000.00	616,875.98
			3,030,885.78	3,291,318.95
TOTAL PORTFOLIO			32,675,311.52	40,601,197.90

**STATEMENT B
GRANTS MADE
THE LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID#13-1688027
From 1-1-13 to 12-31-13**

If recipient is an individual, show any relationship to any foundation manager or substantial contributor

NAME	ADDRESS	manager or substantial contributor	Foundation status of recipient	Grantees: Type	PURPOSE	AMOUNT	
Collegiate School	260 West End Avenue	NY	10124-9680	None	501 (c) (3) School	Matching gift - Noah Perlman	1,250
Harvard University	124 Mt Auburn Street	MA	02138-5795	None	501 (c) (3) University/College	Matching gift - Noah & Stephanie Perlman	1,250
Williams College Alumni Fund	75 Park Street	MA	01267	None	501 (c) (3) University/College	Matching gift - Sarah & Daniel Levy	1,000
American Ballroom Theater Co	Dancing Classrooms	NY	10001	None	501 (c) (3) School	Support of the "Dancing Classrooms" in New York City public schools	7,500
	25 West 31st St , 4th floor						-
American Federation for Aging Research	16th Floor, 55 West 39th Street	NY	10018	None	501 (c) (3) Research Institute	Support of research in the science of aging	5,000
American Friends of Leket Israel	PO Box 2090	NJ	07666	None	501 (c) (3) Jewish Social Welfare Org	Support of Leket Israel's role as leading food bank and its largest food rescue network	10,000
American Friends of the Jaffa Institute	171-06 76th Street	NY	11366	None	501 (c) (3) Jewish School	Support of "Building Better Bridges Program" which encourages respect, understanding and friendship between	10,000
American Museum of Natural History	Central Park West at 79th Street	NY	10024	None	501 (c) (3) Museum	Support to continue to offer diverse educational opportunities to learners of all ages and backgrounds	7,500
Arthritis Foundation/NY Chapter	122 East 42nd Street	NY	10168	None	501 (c) (3) Research Institute	Support for the prevention, control, and cure of arthritis in women	10,000
Asian American Legal Defense and Education Fund	99 Hudson Street, 12th floor	NY	10013	None	501 (c) (3) Social Welfare Org	Support of programs of the AALDEF	2,500
Brandeis University	Mailstop 045	MA	02453	None	501 (c) (3) University/College	Support of the publication of Volume 26 of "POLIN Studies in Polish Jewry"	7,500
Brandeis University	Mailstop 045	MA	02453	None	501 (c) (3) University/College	Support of the Schusterman Center for Israel Studies	10,000
Brooklyn Botanic Garden	1000 Washington Avenue	NY	11225	None	501 (c) (3) Educational Org	Renewed support of the Garden Apprentice Program and Project Green Reach	20,000
Center for an Urban Future	120 Wall Street, 20th Fl	NY	10005	None	501 (c) (3) Public Affairs Org	Support of the Center's important public-policy work focused on improving NY and the people who live in their vibrant city	5,000
Cooper Union	30 Cooper Square	NY	10003	None	501 (c) (3) University/College	Renewed support of the Re-training Program for Immigrant Engineers	15,000
Correctional Association of New York	Suite 200 2090 Adam Clayton Powell, Jr Blvd	NY	3,000	None	501 (c) (3) Social Welfare Org	Support of programs to create a more fair, efficient, and humane criminal justice system	3,000
Educational Alliance	197 East Broadway	NY	10002	None	501 (c) (3) Educational Org	Renewed support of "Project Help" which offers substance abuse and rehabilitation services to members of the Russian-speaking community	15,000
Friends of the Jewish Chapel	326 First Street, Suite 22	MD	21403	None	501 (c) (3) Cultural Org	Renewed support of the Friends of the Jewish Chapel Program	6,000
Friends of Van Cortland Park	80 Van Cortlandt Park South	NY	10463	None	501 (c) (3) Educational Org	Endowment, U S Naval Academy Support of Van Cortland's Adventures - free environmental education programs for school and community groups in 2013	6,000
Global Kids, Inc	Suite E1 2nd Floor 137 East 25th Street	NY	10010	None	501 (c) (3) Social Welfare Org	Support of low-income youth program in New York City	12,500

STATEMENT B
GRANTS MADE
THE LUCIUS N. LITTAUER FOUNDATION, INC.
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From 1-1-13 to 12-31-13

Harmony Program	535 East 80th Street	New York	NY	10075	None	501 (c) (3)	Educational Org	Support of programs to bring the joys and benefits of studying instrumental music to underserved children	2,500
Hazon	Suite 813 125 Maiden Lane	New York	NY	10038	None	501 (c) (3)	Jewish Social Welfare Org	Support of JFEN "Jewish Food Education Network"	20,000
Humanity in Action	144 East 39th Street	New York	NY	10014	None	501 (c) (3)	Educational Org	Renewed support to educate, connect and inspire young leaders committed to promoting human rights, diversity and active citizenship around the world	3,500
Israel Guide Dog Center for the Blind	968 Eastin Road, Suite H	Warrington	PA	18976	None	501 (c) (3)	Social Welfare Org	Renewed support of the programs for the Israel Guide Dog Center for the Blind	5,000
Jewish Braille Institute of America (JBI)	110 East 30th Street	New York	NY	10016	None	501 (c) (3)	Jewish Social Welfare Org	\$15,000 for ongoing support of JBI's programs and \$5,000 for a one-time grant in support of JBI's Talking Books Digitization	20,000
Jewish Labor Committee	140 West 31st Street, 3rd Fl	New York	NY	10001	None	501 (c) (3)	Jewish Educational Org	Support of programs for the voice of the Jewish community in the labor movement and the voice of labor in the Jewish community	5,000
Jewish Theological Seminary of America	3080 Broadway	New York	NY	100276	None	501 (c) (3)	Jewish Educational Org	Support of publishing "Reading the Bible for Meaning Atheology of Exegesis"	2,500
Jewish Theological Seminary of America	3080 Broadway	New York	NY	100276	None	501 (c) (3)	Jewish Educational Org	Continued support in 2013 for the "Context" learning program at JTS	15,000
MinKwon Center for Community Action	Steven Choi 136-19 41th Avenue, 3rd	Flushing,	NY	11355	None	501 (c) (3)	Social Welfare Org	Support for Youth Empowerment Project	5,000
Museum of the City of New York	1220 Fifth Avenue	New York	NY	10029	None	501 (c) (3)	Museum	Continued support (unrestricted)	15,000
National Association of Professors of Hebrew	16th Floor 55 West 39th Street	New York,	NY	10018	None	501 (c) (3)	Educational Org	Support of the publication of the 2013 volume 53 of "Hebrew Studies"	5,000
Nature Conservancy	322 Eight Ave., 16th Fl	New York	NY	10001	None	501 (c) (3)	Social Welfare Org	Continued Support for The Nature Conservancy in 2013	7,500
New York Public Library	Fifth Ave & 42nd Street	New York	NY	10018	None	501 (c) (3)	Libraries/Archives	Renewed support of to the library in support of the acquisitions of new materials for the Dorot Jewish Division	20,000
North American Conference on Ethiopian Jewry (NACOEJ)	255 West 36th Street, Suite 701	New York	NY	10018	None	501 (c) (3)	Social Welfare Org	Renewed support of programs in Israel for Ethiopian Jews	10,000
P E F Israel Endowment Funds, Inc	317 Madison Avenue #607	New York	NY	10017	None	501 (c) (3)	Jewish Organization	Support for the Inter-religious Coordinating Council in Israel	15,000
Safe Horizon	2 Lafayette Street	New York	NY	10007	None	501 (c) (3)	Social Welfare Org	Renewed support of Streetwork Project which provides lifesaving services to homeless youth	10,000
Sharsheret	Suite #A 1086 Teaneck Road	Teaneck	NJ	07686	None	501 (c) (3)	Jewish Social Welfare Org	Renewed support of young Jewish women facing breast cancer	10,000
To the Top With Laptops, Inc	60 East 42nd Street Suite 1320	New York	NY	10165	None	Charitable	Social Welfare Org	Support of the programs to provide laptop computers and printers to worthy scholarship college-bound students	5,000
United Hospital Fund	1411 Broadway 12th Floor	New York	NY	10018-3496	None	501 (c) (3)	Hospital/Medical Org	Renewed support of the programs to help improve health care throughout New York City	10,000
Visiting Nurse Assoc of Greater Philadelphia	3300 Henry Ave., Suite 500	Philadelphia	PA	19129	None	501 (c) (3)	Hospital/Medical Org	Support of the studying and promotion of palliative care	5,000
Visiting Nurse Association of Hudson Valley	540 White Plains Road, Suite 300	Tarrytown	NY	10591	None	501 (c) (3)	Hospital/Medical Org	Renewed support of their Palliative Care Program	5,000
Wildlife Conservation Society	2300 Southern Blvd	Bronx	NY	10460	None	501 (c) (3)	Social Welfare Org	Support of efforts to preserve its archives	7,500
Zamir Choral Foundation	475 Riverside Drive, Suite 1948	New York	NY	10115	None	501 (c) (3)	Jewish Cultural Org	Renewed support of the programs of Zamir Choral Foundation	15,000
Bronx County Historical Society	3309 Bainbridge Avenue	Bronx	NY	10467	None	501 (c) (3)	Learned Society	Continued support of programs	5,000

STATEMENT B
GRANTS MADE
THE LUCIUS N. LITTAUER FOUNDATION, INC.
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Concerts in Motion	139 West 74th Street, Suite 4A	New York	NY	10023	None	501 (c) (3)	Social Welfare Org	Support of music performances to homebound seniors, veterans, the disabled and medical patients	5,000
Council On Foundations	2121 Crystal Drive, Suite 700	Arlington	VA	22202	None	501 (c) (3)	Educational Org	General support	4,100
Brearley School Annual Fund	610 East 83rd Street	New York,	NY	10028	None	501 (c) (3)	Educational Org	Matching gift - Abigail Solomon	5,000
Archives Partnership Trust	Cultural Education Center, Suite 9C49	Albany	NY	12230	None	501 (c) (3)	Research Institute	Renewed support to strengthen the Trust's Lucius N Littauer Foundation Educational Program endowment	25,000
AVODAH - The Jewish Service Corps	8th Floor 45 West 36th Street	New York	NY	10018	None	501 (c) (3)	Social Welfare Org	Renewed support of programs to help anti-poverty organizations around the country bring critical services to individuals living in poverty	25,000
Contemporary Jewish Museum	736 Mission Street	San Francisco	CA	94103	None	501 (c) (3)	Museum	General operating support	10,000
Federal Drug Agents Foundation	Attn Dennis J Schmur North Shore Plaza 380 North Broadway Suite 203	New York	NY	11753	None	501 (c) (3)	Government	Renewed support for FDAF youth outreach programs including ATF's G R E A T program and DEA's efforts with Big Brothers/Sisters and its Explorers program	25,000
Columbia Law School	430 Columbus Avenue	New York	NY	10027	None	501 (c) (3)	University/College	Support of 2013 Pledge of \$100,000 First payment of \$25,000	25,000
Columbia Law School	430 Columbus Avenue	New York	NY	10027	None	501 (c) (3)	University/College	Support of Loan Repayment and Assistance Fund This is the Foundation's second payment in 2013 toward \$100,000	25,000
Columbia University Graduate School of Business	33 West 60th Street	New York	NY	10023	None	501 (c) (3)	University/College	Support of the 'Loan Assistance Program,' of the school's Social Enterprise Program - First payment of \$100,000 pledge	50,000
Forward Association	125 Maiden Lane	New York	NY	10038-5015	None	Charitable	Jewish Organization	Support of efforts to digitize the archives of The Yiddish Forward, "Foverts"	10,000
Jewish Communal Fund	575 Madison Ave, Suite 703	New York	NY	10022	None	501 (c) (3)	Jewish Educational Org	Support of the Shefa School - a Jewish day school targeting a population of bright students with learning and communication challenges	50,000
Learning Leaders	80 Maiden Lane, 11th Fl	New York	NY	10038	None	501 (c) (3)	Public Affairs Org	Renewed support of educational and parental involvement in programs in New York City public schools	25,000
Lower East Side Tenement Museum	81 Orchard Street	New York	NY	10002	None	501 (c) (3)	Museum .	Renewed support for the dynamic programs of the museum in 2013	10,000
Manhattan Theatre Club	311 West 43rd Street, 8th Fl	New York	NY	10036	None	Charitable	Cultural Org	Renewed support of the CORE Program, an in-school education program for high school students	25,000
New York Botanical Garden		Bronx	NY	10458-5126	None	501 (c) (3)	Educational Org	Support of the Professional Development Program for Teachers and to extend the impact of the Children's Education Program	25,000
Outward Bound of New York City	29-46 Northern Blvd	Long Island Cit	NY	11101	None	501 (c) (3)	Social Welfare Org	Support of efforts to bring transformational opportunities to the young people of New York City	25,000
UJA Federation of New York	130 East 59th Street	New York,	NY	10022	None	501 (c) (3)	Jewish Social Welfare Org	Support of general efforts (\$125,000 and to the Jeannette Solomon Intergenerational Cultural Arts Program at NORCs (\$25,000)	150,000
University of North Carolina (Chapel Hill)	Office of Gift Services PO Box 309	Chapel Hill,	NC	27514-0309	None	501 (c) (3)	University/College	Support of the endowment for the acquisition of Jewish Studies resources	25,000
Williams College Alumni Fund	75 Park Street	Williamstown	MA	01267	None	501 (c) (3)	University/College	Matching gift - Peter J Solomon Family Foundation	500
Young Women's Leadership Network	322 Eighth Avenue 14th Floor	New York	NY	10001	None	501 (c) (3)	Educational Org	Part I payment of \$50,000 pledge over 2 years	25,000
Battery Park City Parks Conservancy	75 Battery Place	New York	NY	10280	None	501 (c) (3)	Social Welfare Org	Support of the "BPCP's Marine Education Program"	5,000
Cornell Hillel	Cornell University Box 223623	Pittsburgh,	PA	15251-2631	None	501 (c) (3)	Jewish Cultural Org	Renewed support of Cornell Hillel and, specifically, for you annual program in Carmiel, Israel	15,000
Scenic Hudson, Inc	One Civic Center Plaza	Poughkeepsie	NY	12601-3152	None	501 (c) (3)	Educational Org	Renewed support of Scenic Hudson's Environmental Education and Park Programs	7,500
New York Hall of Science	47-01 111th Street	Queens	NY	11368	None	501 (c) (3)	Museum	Renewed support to Science Coach Initiative	10,000

STATEMENT B
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Harvard University	124 Mt Auburn Street	Cambridge	MA	02138-5795	None	501 (c) (3)	University/College	Matching gift - Charles Berlin '58 Gift assigned to the Berlin Family Judaica Book Fund	1,000
Hillel Foundation of Cambridge, Inc	52 Mount Auburn Street	Cambridge	MA	02138	None	501 (c) (3)	Hillel	Matching gift - Judith & Dr Charles Berlin	1,000
Advocates for Children of New York	151 West 30th Street, 5th Fl	New York	NY	10001	None	501 (c) (3)	Social Welfare Org	Support of programs which benefit children and youth who are most likely to experience failure or discrimination in school because of their poverty, race, disability, homelessness, or involvement in the foster care or juvenile justice systems	7,500
Association of Jewish Librarians	PO Box 1118	Teaneck	NJ	07666	None	501 (c) (3)	Libraries/Archives	Renewed support for travel funds for librarians attending their annual convention	5,000
Bellevue Literary Press	NYU School of Medicine 550 First Avenue OBV A 612	New York	NY	10016	None	Charitable	Press, Non-University	Renewed support to enhance the human experience through the promotion of science literacy	20,000
DOROT, Inc	171 West 88th Street	New York	NY	10024	None	501 (c) (3)	Jewish Educational Org	Support of programs on behalf of isolated and homebound seniors	15,000
Foundation for the Jewish Historical Museum in Amsterdam, Inc	44 South Bagles Avenue Suite 304	Port Washington	ny	11050	None	501 (c) (3)	Museum	Continued support	10,000
Friends of Yad L'kashish/Lifeline for the Old GROW NYC	P O Box 494 Room 228 51 Chambers Street	Englewood New York	NJ NY	07631 10007	None	501 (c) (3)	Jewish Social Welfare Org Unknown/Other	Renewed support for Jerusalem's elderly and disabled Support for the Grow to Learn itywide School Guardian Initiative and for general operating support	15,000 10,000
Hebrew Senior Life	1200 Centre Street	Boston	MA	02131	None	501 (c) (3)	Social Welfare Org	Support of the Clinical Pastoral Education Program which trains over 20 students to meet the spiritual needs of seniors	10,000
Jewish Student Press Service	125 Maideen Lanes 8th Floor	New York	NY	10038	None	501 (c) (3)	University Press	Support of "New Voices" and related programs	7,500
Kneseth Israel Synagogue	34 East Fulton Street	Gloversville	NY	12078	None	501 (c) (3)	Synagogue/Religious Org	Continued support of the synagogue and the Jewish community of Gloversville	3,000
Harvard University	124 Mt Auburn Street	Cambridge	MA	02138-5795	None	501 (c) (3)	University/College	2013 Pledge (remaining pledge is \$150,000)	50,000
Harvard University	124 Mt Auburn Street	Cambridge	MA	02138-5795	None	501 (c) (3)	University/College	Completion of Foundation's pledge of \$250,000 mad in June 2012	150,000
New York Landmarks Conservancy	One Whitehall Street	New York	NY	10004	None	501 (c) (3)	Cultural Org	Support of the "Sacred Sites" Program	5,000
Harvard University Judaica Library	Hard University Judaica Division	Cambridge	MA	02138	None	501 (c) (3)	University/College	Second 2013 pledge payment To be added sd spendable income to Fund #560153	-
Outward Bound of New York City	Widener Library M 29-46 Northern Blvd	Long Island Cit	NY	11101	None	501 (c) (3)	Social Welfare Org	Support to direct grant for Channel View School for Research which was deeply affected by Hurricane Sandy	10,000
P E F Israel Endowment Funds, Inc	317 Madison Avenue #607	New York	NY	10017	None	501 (c) (3)	Jewish Organization	Support of Keren Klita and its Youth at Risk and Kehilla Project programs	10,000
Southern Polytechnic State University	2517 Hartford Drive	Ellenwood	GA	30294	None	501 (c) (3)	University/College	Support of Southern Jewish History, Journal of the Southern Jewish Historical Society	5,000
United States Soccer Association	1211 Connecticut Avenue, NW Suite 500	Washington	DC	20036	None	Charitable	Educational Org	Support of "Soccer for Success"	5,000
Abraham Joshua Heschel School	30 West End Avenue	New York	NY	10023	None	501 (c) (3)	School	Matching gift - Navah & Robert Frost	1,800
Breatley School Annual Fund	610 East 83rd Street	New York,	NY	10028	None	501 (c) (3)	Educational Org	Matching gift - Navah & Robert Frost	500
Brown University	Box 1877 163 George Street	Providence	RI	02912	None	501 (c) (3)	University/College	Matching gift - Navah & Robert Frost	500

**STATEMENT B
GRANTS MADE
THE LUCIUS N. LITTAUER FOUNDATION, INC.**

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From 1-1-13 to 12-31-13

Collegiate School	260 West End Avenue	New York	NY	10124-9680	None	501 (c) (3)	School	Matching gift - Navah and Robert Frost	500
Columbia University Graduate School of Business	33 West 60th Street	New York	NY	10023	None	501 (c) (3)	University/College	Matching gift - Navah & Robert Frost	500
Harvard College Fund	124 Mount Auburn Street	Cambridge	MA	02138	None	501 (c) (3)	University/College	Matching gift - Navah & Robert Frost	500
Collegiate School	260 West End Avenue	New York	NY	10124-9680	None	501 (c) (3)	School	Matching gift - The Peter J Solomon Family Foundation	1,000
University of North Carolina (Chapel Hill)	Office of Gift Services PO Box 309	Chapel Hill,	NC	27514-0309	None	501 (c) (3)	University/College	Matching gift - Henry A Lowet, Esq	1,006
Yale Law School	PO Box 208341	New Haven,	CT	06520-8341	None	501 (c) (3)	University/College	Matching gift - Henry A Lowet, Esq	1,006
Harvard College Fund	124 Mount Auburn Street	Cambridge	MA	02138	None	501 (c) (3)	University/College	Matching gift - Sarah Levy	2,500
Albert Einstein School of Medicine Yeshiva University	1300 Morris Park Avenue Mazer Bldg , Room 719	New York,	NY	10461	None	501 (c) (3)	Hospital/Medical Org	Support to the Bronx Science and Health Opportunities Partnership	12,500
American Friends of the Medem Library, Inc	115 East 89th Street, #5A	New York	NY	10128-1527	None	501 (c) (3)	Cultural Org	Support of cataloging and digitizing of the sound archives of the Paris Yiddish Center	3,800
City Meals on Wheels	355 Lexington Avenue	New York	NY	10017-6603	None	501 (c) (3)	Social Welfare Org	Support mission of providing nutritious meals to the homebound elderly	3,000
J-Teen Leadership	701 Westchester Avenue Suite 203E	White Plains	NY	10604	None	501 (c) (3)	Jewish Educational Org	Support of programs to engage Jewish teens in service, leadership and advocacy	20,000
Senior Citizen Services Center of Gloversville and Fulton County, Inc	53 Church Street	Gloversville	NY	12078	None	501 (c) (3)	Social Welfare Org	Support of programs and services which benefit the senior community of Gloversville, NY	3,000
Southern Institute for Education and Research	Tulane University MR Box 1692	New Orleans	LA	70118-5555	None	501 (c) (3)	Jewish Educational Org	Support of programs to provide teacher education concerning the Holocaust	3,000
Trinity School	31 McAlister Drive	New York	NY	10019	None	501 (c) (3)	School	Matching Gift - Sarah & Daniel Levy	5,000
Center for Jewish History	139 West 91st Street	New York	NY	10011	None	501 (c) (3)	Jewish Cultural Org	Continued support for several upcoming publications	15,000
New Heights	15 West 16th Street	New York	NY	10025	None	501 (c) (3)	Educational Org	Support of promising city youth for future success in academics and life	4,000
New Yorkers for Parks	2576 Broadway, PMB 213	New York	NY	10004	None	501 (c) (3)	Public Affairs Org	Renewed support of efforts to champion quality parks and open space for all New Yorkers	10,000
Prospect Park Alliance	95 Prospect Park West	Brooklyn,	NY	11215	None	501 (c) (3)	Educational Org	Renewed support of educational programs and initiatives that support physical activity for children and young people	10,000
University of Central Florida	PO Box 161992	Orlando	FL	32816-1992	None	501 (c) (3)	University/College	General publishing support	2,500
Jewish Telegraphic Agency (JTA)	17th Floor	New York	NY	10001	None	501 (c) (3)	Press, Non- University	Renewed support of programs of JTA	25,000
MY Jewish Learning	330 Seventh Avenue 377 Fifth Avenue, 2nd Floor	New York	NY	10016	None	501 (c) (3)	Educational Org	Matching Gift - Geula Solomon	5,000
University of Michigan	Office of Gift Administration 3003 South State St., Suite 8000	Ann Arbor	MI	48109-1288	None	501 (c) (3)	University/College	Matching Gift - Geula & Joshua Solomon	550
American Friends of Tel Aviv University	39 Broadway, Suite 1510	New York	NY	10006	None	501 (c) (3)	University/College	Continued support	5,000
American Friends of the Israel Philharmonic Orchestra	122 East 42nd Street Suite 4507	New York	NY	10168	None	501 (c) (3)	Jewish Cultural Org	Renewal support of the publication of "AFIPO Passport to Music" and support of AFIPO	15,000
American Society for the Protection of Nature in Israel	ASPNI Director 28 Arrandale Ave	Great Neck,	NY	10024	None	501 (c) (3)	Jewish Educational Org	Support of efforts as the environmental voice of Israel	5,000

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Anti-Defamation League	605 Third Avenue	New York	NY	10158	None	501 (c) (3)	Jewish Social Welfare Org	Support of programs to combat bias and bigotry	5,000
Archivists Round Table of Metropolitan New York	P O Box 151	New York,	NY	10274	None	501 (c) (3)	Libraries/Archives	Renewed support to highlight the importance of the preservation and accessibility of the historical records of New York	1,000
Association for Jewish Studies	15 West 16th Street	New York	NY	10011	None	501 (c) (3)	Jewish Educational Org	Support of AJS's Conference Travel Grant program and the AJS Journal	10,000
Jewish Child Care Association of New York	120 Wall Street	New York	NY	10005	None	501 (c) (3)	Jewish Educational Org	Support of the Family Child Care Programs which provide in-home day care services to low-income emigres	10,000
Museum of the City of New York	1220 Fifth Avenue	New York	NY	10029	None	501 (c) (3)	Museum	Support of "Second Avenue Meets Broadway," an important exhibition examining the artistic, social and ideological roles played by Yiddish theater in shaping American theater and Support to Mesivta of Bet Shemesh to provide the highest level of secular education for high school boys	5,000
P E F Israel Endowment Funds, Inc #607	317 Madison Avenue	New York	NY	10017	None	501 (c) (3)	Jewish Organization	Support of the Russian, Yiddish and Hebrew collections	10,000
Queens Library Foundation	89-11 Merrick Blvd	Jamaica	NY	11432	None	501 (c) (3)	Libraries/Archives	Support of improvement of literacy skills of New York City public school students	15,000
Reading Reform Foundation of New York	333 West 57th Street Suite 1L	New York	NY	10019	None	501 (c) (3)	Educational Org	Continued support to programs that are provided to enhance the lives of all New Yorkers	10,000
Rite of Summer Music Festival	PO Box 1024	JNew York	NY	10024	None	501 (c) (3)	Cultural Org	Support of membership dues (\$750 of \$5,600 membership dues and \$4850 as a grant)	5,000
Philanthropy New York	79 Fifth Avenue, Fourth Floor	New York	NY	10003-3076	None	501 (c) (3)	Public Affairs Org	Renewed support	4,850
American Friends of Israel Free Loan Association	1077 30th Street NW Apt 402	Washington	DC	20007	None	501 (c) (3)	Jewish Social Welfare Org	Support for the "International Center for University Teaching of Jewish Culture" at the Hebrew University	10,000
American Jewish Joint Distribution Committee	Attn MS Freyda Weiss 711 Third Avenue	New York	NY	10017	None	501 (c) (3)	Educational Org	Renewed support of financial assistance to Holocaust survivors	25,000
Blue Card, Inc	Attn Elie Rubenstein Suite 1405	New York	NY	10016	None	501 (c) (3)	Jewish Social Welfare Org	Support of the Doctoral Dissertation Fellowship in Jewish Studies	20,000
Foundation for Jewish Culture	171 Madison Avenue 330 Seventh Ave, 21st Floor	New York	NY	10001	None	501 (c) (3)	Jewish Cultural Org	Support of the programming of vital mental health services of Jewish Family Services of Greater Passaic-Clifton	20,000
Jewish Family Services Clifton/Passaic Legal Aid Society	925 Allwood Road	Clifton	NJ	07012	None	501 (c) (3)	Social Welfare Org	Support of Society's Civil Practice	10,000
New York University Silver School of Social Work	199 Water Street	New York,	ny	10038	None	501 (c) (3)	Social Welfare Org	Support of NYU/Silver School of Social Work's Leadership Fellowship in Palliative Care and End-of-Life Care	50,000
Abraham Joshua Heschel School Brearley School Annual Fund	1 Washington Square North	New York	NY	10003-6654	None	501 (c) (3)	University/College	Matching gift - Geula & Joshua Solomon	4,400
Collegiate School	30 West End Avenue	New York	NY	10023	None	501 (c) (3)	School	Matching gift - Noah & Stephanie Perlman	1,000
Harvard College Fund	610 East 83rd Street	New York,	NY	10028	None	501 (c) (3)	Educational Org	Matching gift - Noah & Stephanie Perlman	1,500
American Friends of Rabin Medical Center	260 West End Avenue	New York	NY	10124-9680	None	501 (c) (3)	School	Matching gift - Noah & Stephanie Perlman	1,250
American Friends of the Hebrew University	124 Mount Auburn Street Cambridge	New York	MA	02138	None	501 (c) (3)	University/College	Support of nursing scholarships or underprivileged students	7,000
American Jewish Committee	636 Broadway, Suite 218	New York	NY	10012-2607	None	501 (c) (3)	Hospital/Medical Org	General support	5,000
Central Park Conservancy	P O Box 2035	New York, NY	NY	10021	None	501 (c) (3)	University/College	Support of programs	10,000
	165 East 56th Street	New York,	NY	10022	None	501 (c) (3)	Social Welfare Org	Support of Education and Youth Development Programs	15,000

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Foundation Center Hebrew Free Loan Society	79 Fifth Ave Suite 1905 675 Third Avenue	New York New York	NY NY	10003 10017	None None	501 (c) (3) 501 (c) (3)	Public Affairs Org Jewish Social Welfare Org	Continued support Support of the programs of the Hebrew Free Loan Society that provide vital financial support to people struggling to help themselves	5,000 10,000
Moving Tradition	The Pavilion 261 Old York Road, Suite 734	Jenkintown	PA	19046	None	501 (c) (3)	Jewish Educational Org	Support of educators to engage Jewish teens two programs 1) "Rosh Hodesh It's a Girl Thing" and "Shevet Achim The Brotherhood"	5,000
MYJewish Learning	377 Fifth Avenue, 2nd Floor	New York	NY	10016	None	501 (c) (3)	Educational Org	Support of cost needed to launch & market two new Jewish blogs	10,000
P E F Israel Endowment Funds, Inc	317 Madison Avenue #607	New York	NY	10017	None	501 (c) (3)	Jewish Organization	Support for programs of Dental Volunteers for Israel	5,000
United Jewish Appeal - Federation of Jewish Philanthropies of New York Inc	130 East 59th Street	New York	NY	10022	None	501 (c) (3)	Jewish Social Welfare Org	Support of the pledge for 2013 (remaining pledge is \$150,00)	5,000
Abraham Joshua Heschel School	30 West End Avenue	New York	NY	10023	None	501 (c) (3)	School	Matching gift - Navah & Robert Frost	1,000
Harvard University Judaica Library	Hard University Judaica Division	Cambridge	MA	02138	None	501 (c) (3)	University/College	Judaica Division of Harvard Library for the digitization of the photo archive of Israel Sun Ltd	435,000
American Friends of the Tel Aviv Museum of Art	Widener Library M Suite 1209 36 W 44th Street	New York	NY	10036	None	501 (c) (3)	Museum	Support of completion of art workshop classroom	7,500
Macula Vision Research Foundation	One Tower Bridge	West Conshoh	PA	19428	None	501 (c) (3)	Research Institute	Support of research programs to treat diseases causing blindness	5,000
Metropolitan Opera Guild	70 Lincoln Central Plaza, 6th Floor	New York	NY	11023	None	501 (c) (3)	Cultural Org	Support of the Guild's adult and youth education programs	10,000
Mountain School	151 Mountain School Road	Vershire	vt	05079	None	501 (c) (3)	Educational Org	Matching gift - Peter J Solomon Family Foundation	500
Brearely School Annual Fund	610 East 83rd Street	New York,	NY	10028	None	501 (c) (3)	Educational Org	Matching gift - The Peter J Solomon Family Foundation	1,250
Brown RISD Hillel	80 Brown Street	Providence	RI	02906	None	501 (c) (3)	Jewish Organization	Support of promoting students' engagement in Jewish life	7,500
City Harvest	6 East 32nd Street, 5th Floor	New York	NY	10016	None	501 (c) (3)	Social Welfare Org	Support of City Harvest programs for hunger relief in New York City	5,000
Easter Seals New York	40 West 37th Street, #503	New York	NY	10018	None	501 (c) (3)	Social Welfare Org	Support to enhance the lives of children and adults with disabilities	10,000
HealthCare Chaplaincy	65 Broadway	New York	NY	10006-2503	None	501 (c) (3)	Synagogue/Religio us Org	Support to sustain current successful Jewish programming and to launch new efforts to meet emerging spiritual care needs in the Jewish community	10,000
Mental Health Association of Westchester	580 White Plains Road Suite 510	Tarrytown	NY	10591	None	501 (c) (3)	Social Welfare Org	Support of promoting the learning of the impact of mental illness	10,000
Museum at Eldridge Street	12 Eldridge Street	New York	NY	10002	None	501 (c) (3)	Museum	Support of the museum's educational programs and to enhance accessibility to students with autism	10,000
Brown School of Public Health	Box G-S121-14	Providence	RI	02912	None	501 (c) (3)	University/College	Support of Debt Forgiveness Program and for the Goals of Care Conversations Curriculum	200,000
F E G S (Federation of Employee Guidance Service, Inc)	315 Hudson Street	New York	NY	10013	None	501 (c) (3)	Educational Org	Support of Citizenship Program for Russian-speaking Jewish Immigrants from the FSU	25,000
Jewish Institute for National Security Affairs	1307 New York Ave NW	Washington	DC	50,000	None	501 (c) (3)	Jewish Organization	Support of the Law Enforcement Exchange Program (LEEP)	50,000
KIVUNIM New Directions	Suite 200 300 Central Park West Suite 1212	New York	NY	10024	None	501 (c) (3)	Jewish Cultural Org	Support to continue international education programs for young Jews integrating exposure to communities in Israel and the Diaspora	25,000

STATEMENT B
GRANTS MADE
THE LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID#13-168027
From 1-1-13 to 12-31-13

Memorial Sloan -Kettering Cancer Center	622 Third Avenue	New York	NY	10017	None	501 (c) (3)	Hospital/Medical Org	Support for the important work of Dr Michel Sadelain, Director of MSKCCC's Center for Cell Engineering	25,000
Visiting Nurse Service of New York	107 East 70th Street	New York	NY	10019	None	501 (c) (3)	Hospital/Medical Org	Support to provide services which are not reimbursed by Medicaid	25,000

2,667,511

STATEMENT C
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
12/31/13

	<u>Cost</u>		<u>Gain Or (Loss)</u>	
	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u>	<u>Long Term</u>
Account No. A64881005	10,875,640.85	11,361,096.92		
Total Gains			227,595.44	669,144.34
Total Losses			(183,742.76)	(227,540.78)
 Account No. A65243007	 826,236.70	 1,062,713.80		
Total Gains			112,928.69	129,378.32
Total Losses			(5,554.58)	(275.33)
TOTAL GAINS			340,524.13	798,522.66
TOTAL LOSSES			(189,297.34)	(227,816.11)
	<u>11,701,877.55</u>	<u>12,423,810.72</u>	<u>151,226.79</u>	<u>570,706.55</u>
 TOTAL REALIZED GAIN	 <u><u>721,933.34</u></u>			

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open</u> <u>Date</u>	<u>Close</u> <u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Cost</u> <u>Basis</u>	<u>Proceeds</u>	<u>Gain Or (Loss)</u>	
						<u>Short Term</u>	<u>Long Term</u>
1/14/2013	2/4/2013	45	3M CO	4,371.45	4,536.34	164.89	
1/14/2013	4/22/2013	128	3M CO	12,434.36	13,526.59	1,092.23	
4/10/2012	2/4/2013	125	ACE LIMITED	8,936.15	10,607.27	1,671.12	
4/10/2012	2/4/2013	55	ALLERGAN INC	5,093.20	5,805.65	712.45	
5/7/2013	6/24/2013	11	ALLERGAN INC	1,149.51	928.57	(220.94)	
5/7/2013	6/24/2013	6	ALLERGAN INC	627.00	504.33	(122.67)	
5/7/2013	6/24/2013	5	ALLERGAN INC	522.50	417.74	(104.76)	
5/22/2013	6/24/2013	9	ALLERGAN INC	887.81	751.94	(135.87)	
5/22/2013	6/24/2013	11	ALLERGAN INC	1,085.10	942.83	(142.27)	
5/22/2013	6/24/2013	9	ALLERGAN INC	887.81	752.77	(135.04)	
5/22/2013	6/24/2013	3	ALLERGAN INC	295.94	253.97	(41.97)	
5/22/2013	6/24/2013	6	ALLERGAN INC	591.87	492.84	(99.03)	
4/10/2012	6/24/2013	1	ALLERGAN INC	92.60	82.14		(10.46)
4/10/2012	6/24/2013	5	ALLERGAN INC	463.02	429.33		(33.69)
4/10/2012	6/25/2013	7	ALLERGAN INC	648.23	594.64		(53.59)
4/10/2012	6/25/2013	21	ALLERGAN INC	1,944.68	1,766.19		(178.49)
2/10/2009	2/4/2013	40	AMAZON.COM INC	2,693.04	10,473.36		7,780.32
2/10/2009	5/22/2013	23	AMAZON.COM INC	1,548.50	6,125.74		4,577.24
2/10/2009	5/22/2013	14	AMAZON.COM INC	942.56	3,711.67		2,769.11
2/10/2009	5/22/2013	21	AMAZON.COM INC	1,413.85	5,578.61		4,164.76
2/10/2009	7/18/2013	17	AMAZON.COM INC	1,144.54	5,172.28		4,027.74
2/10/2009	10/17/2013	22	AMAZON.COM INC	1,481.17	6,804.67		5,323.50
10/22/2012	2/1/2013	15	AMERICAN EXPRESS COMPANY	849.26	900.36	51.10	
10/22/2012	2/1/2013	8	AMERICAN EXPRESS COMPANY	452.94	479.03	26.09	
10/22/2012	2/1/2013	8	AMERICAN EXPRESS COMPANY	452.94	478.23	25.29	
10/22/2012	2/1/2013	3	AMERICAN EXPRESS COMPANY	169.85	179.76	9.91	
10/22/2012	2/1/2013	4	AMERICAN EXPRESS COMPANY	226.47	239.54	13.07	
10/23/2012	2/1/2013	14	AMERICAN EXPRESS COMPANY	784.23	838.37	54.14	
10/23/2012	2/1/2013	6	AMERICAN EXPRESS COMPANY	336.10	359.21	23.11	
10/23/2012	2/1/2013	8	AMERICAN EXPRESS COMPANY	446.64	478.95	32.31	
10/23/2012	2/1/2013	1	AMERICAN EXPRESS COMPANY	55.83	59.88	4.05	
10/23/2012	2/1/2013	20	AMERICAN EXPRESS COMPANY	1,116.59	1,198.37	81.78	
10/23/2012	2/4/2013	3	AMERICAN EXPRESS COMPANY	167.49	178.59	11.10	
10/23/2012	2/4/2013	13	AMERICAN EXPRESS COMPANY	725.78	770.71	44.93	
10/23/2012	2/4/2013	20	AMERICAN EXPRESS COMPANY	1,116.59	1,189.09	72.50	
10/23/2012	2/4/2013	10	AMERICAN EXPRESS COMPANY	558.29	595.29	37.00	
10/23/2012	2/4/2013	3	AMERICAN EXPRESS COMPANY	167.49	178.45	10.96	
10/23/2012	2/4/2013	8	AMERICAN EXPRESS COMPANY	446.64	476.11	29.47	
10/23/2012	2/4/2013	20	AMERICAN EXPRESS COMPANY	1,116.59	1,190.02	73.43	
10/23/2012	2/4/2013	3	AMERICAN EXPRESS COMPANY	167.49	178.24	10.75	
10/23/2012	2/5/2013	9	AMERICAN EXPRESS COMPANY	502.47	540.64	38.17	
10/23/2012	2/5/2013	18	AMERICAN EXPRESS COMPANY	1,004.93	1,086.50	81.57	
10/23/2012	2/5/2013	6	AMERICAN EXPRESS COMPANY	334.98	361.81	26.83	
10/23/2012	2/5/2013	1	AMERICAN EXPRESS COMPANY	55.83	60.26	4.43	
10/23/2012	2/5/2013	7	AMERICAN EXPRESS COMPANY	390.81	423.09	32.28	
10/23/2012	2/5/2013	8	AMERICAN EXPRESS COMPANY	446.64	482.28	35.64	
10/23/2012	2/5/2013	2	AMERICAN EXPRESS COMPANY	111.57	120.57	9.00	
10/23/2012	2/5/2013	7	AMERICAN EXPRESS COMPANY	389.83	421.99	32.16	
10/23/2012	2/5/2013	6	AMERICAN EXPRESS COMPANY	334.14	361.58	27.44	
4/8/2013	5/7/2013	65	AMERICAN INTERNATIONAL GROUP INC	2,548.56	2,907.64	359.08	
4/8/2013	5/7/2013	6	AMERICAN INTERNATIONAL GROUP INC	233.60	268.40	34.80	
4/8/2013	5/7/2013	7	AMERICAN INTERNATIONAL GROUP INC	272.54	311.52	38.98	
4/8/2013	5/7/2013	45	AMERICAN INTERNATIONAL GROUP INC	1,748.03	2,002.64	254.61	
4/8/2013	5/7/2013	53	AMERICAN INTERNATIONAL GROUP INC	2,058.72	2,358.67	299.95	
4/8/2013	5/7/2013	81	AMERICAN INTERNATIONAL GROUP INC	3,143.62	3,604.75	461.13	
4/8/2013	5/7/2013	19	AMERICAN INTERNATIONAL GROUP INC	734.22	845.56	111.34	
4/10/2012	2/4/2013	101	ANADARKO PETROLEUM CORP	7,517.98	8,138.39	620.41	
5/20/2013	7/3/2013	12	APACHE CORP	1,007.96	967.84	(40.12)	
5/20/2013	7/3/2013	4	APACHE CORP	335.99	322.29	(13.70)	
5/20/2013	7/3/2013	6	APACHE CORP	503.98	480.41	(23.57)	
6/23/2009	2/4/2013	70	APPLE INC	9,438.60	31,524.49		22,085.89
6/23/2009	8/9/2013	9	APPLE INC	1,213.53	4,092.83		2,879.30
6/23/2009	8/9/2013	16	APPLE INC	2,157.39	7,332.14		5,174.75
6/23/2009	8/9/2013	4	APPLE INC	539.35	1,831.15		1,291.80
6/23/2009	8/9/2013	11	APPLE INC	1,483.21	5,023.41		3,540.20

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>			<u>Gain Or (Loss)</u>	
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>		<u>Short Term</u>	<u>Long Term</u>
6/23/2009	9/11/2013	8	APPLE INC	1,078.70	3,747.86			2,669.16
6/23/2009	9/19/2013	6	APPLE INC	809.02	2,839.10			2,030.08
6/23/2009	9/20/2013	10	APPLE INC	1,348.37	4,721.02			3,372.65
5/2/2013	12/13/2013	11	APPLIED MATERIALS INC	162.83	184.12		21.29	
5/2/2013	12/13/2013	23	APPLIED MATERIALS INC	340.45	384.11		43.66	
5/1/2013	12/13/2013	49	APPLIED MATERIALS INC	720.65	818.32		97.67	
5/1/2013	12/13/2013	64	APPLIED MATERIALS INC	940.34	1,068.83		128.49	
5/2/2013	12/13/2013	49	APPLIED MATERIALS INC	718.74	818.32		99.58	
5/1/2013	12/13/2013	7	APPLIED MATERIALS INC	102.27	116.90		14.63	
5/1/2013	12/13/2013	20	APPLIED MATERIALS INC	291.69	334.01		42.32	
5/2/2013	12/13/2013	2	APPLIED MATERIALS INC	29.13	33.40		4.27	
5/1/2007	12/13/2013	21	APPLIED MATERIALS INC	304.72	350.71			45.99
4/30/2013	12/13/2013	36	APPLIED MATERIALS INC	522.13	601.22		79.09	
4/29/2013	12/13/2013	26	APPLIED MATERIALS INC	375.68	434.21		58.53	
4/29/2013	12/13/2013	2	APPLIED MATERIALS INC	28.84	33.40		4.56	
4/29/2013	12/13/2013	2	APPLIED MATERIALS INC	28.83	33.42		4.59	
4/29/2013	12/13/2013	105	APPLIED MATERIALS INC	1,513.43	1,753.00		239.57	
4/30/2013	12/13/2013	4	APPLIED MATERIALS INC	57.46	66.78		9.32	
4/29/2013	12/13/2013	21	APPLIED MATERIALS INC	300.93	350.60		49.67	
4/29/2013	12/13/2013	6	APPLIED MATERIALS INC	85.71	100.17		14.46	
4/29/2013	12/13/2013	9	APPLIED MATERIALS INC	128.52	150.26		21.74	
4/29/2013	12/13/2013	1	APPLIED MATERIALS INC	14.26	16.70		2.44	
4/29/2013	12/13/2013	1	APPLIED MATERIALS INC	14.26	16.70		2.44	
4/29/2013	12/13/2013	3	APPLIED MATERIALS INC	42.77	50.09		7.32	
4/29/2013	12/13/2013	16	APPLIED MATERIALS INC	227.67	267.12		39.45	
4/29/2013	12/13/2013	2	APPLIED MATERIALS INC	28.45	33.39		4.94	
4/26/2013	12/13/2013	17	APPLIED MATERIALS INC	240.98	283.82		42.84	
4/29/2013	12/13/2013	7	APPLIED MATERIALS INC	99.20	116.87		17.67	
4/25/2013	12/13/2013	296	APPLIED MATERIALS INC	4,190.50	4,941.76		751.26	
4/25/2013	12/16/2013	60	APPLIED MATERIALS INC	849.43	1,002.14		152.71	
4/25/2013	12/16/2013	31	APPLIED MATERIALS INC	438.64	517.77		79.13	
4/26/2013	12/16/2013	103	APPLIED MATERIALS INC	1,455.26	1,720.33		265.07	
4/26/2013	12/16/2013	50	APPLIED MATERIALS INC	706.43	835.32		128.89	
4/26/2013	12/16/2013	12	APPLIED MATERIALS INC	168.84	200.48		31.64	
4/26/2013	12/16/2013	4	APPLIED MATERIALS INC	55.74	66.82		11.08	
5/17/2013	11/12/2013	9	ARCHER-DANIELS-MIDLAND CO	313.99	363.55		49.56	
5/17/2013	11/13/2013	6	ARCHER-DANIELS-MIDLAND CO	209.33	245.44		36.11	
5/17/2013	11/13/2013	6	ARCHER-DANIELS-MIDLAND CO	208.87	245.44		36.57	
5/17/2013	11/13/2013	8	ARCHER-DANIELS-MIDLAND CO	278.50	327.38		48.88	
5/17/2013	11/14/2013	4	ARCHER-DANIELS-MIDLAND CO	139.25	167.16		27.91	
5/20/2013	11/14/2013	2	ARCHER-DANIELS-MIDLAND CO	69.56	83.58		14.02	
5/20/2013	11/14/2013	3	ARCHER-DANIELS-MIDLAND CO	104.33	125.37		21.04	
5/20/2013	11/14/2013	4	ARCHER-DANIELS-MIDLAND CO	138.79	167.16		28.37	
5/20/2013	11/14/2013	1	ARCHER-DANIELS-MIDLAND CO	34.57	41.79		7.22	
5/20/2013	11/14/2013	1	ARCHER-DANIELS-MIDLAND CO	34.57	41.79		7.22	
5/21/2013	11/14/2013	1	ARCHER-DANIELS-MIDLAND CO	34.52	41.79		7.27	
5/17/2013	11/14/2013	4	ARCHER-DANIELS-MIDLAND CO	137.93	167.18		29.25	
5/20/2013	11/15/2013	1	ARCHER-DANIELS-MIDLAND CO	34.47	40.75		6.28	
5/17/2013	11/15/2013	11	ARCHER-DANIELS-MIDLAND CO	375.35	448.21		72.86	
5/17/2013	11/15/2013	9	ARCHER-DANIELS-MIDLAND CO	307.11	365.04		57.93	
5/17/2013	11/18/2013	4	ARCHER-DANIELS-MIDLAND CO	136.49	163.60		27.11	
5/17/2013	11/18/2013	10	ARCHER-DANIELS-MIDLAND CO	341.23	408.80		67.57	
5/17/2013	11/19/2013	9	ARCHER-DANIELS-MIDLAND CO	307.11	368.01		60.90	
5/17/2013	11/19/2013	1	ARCHER-DANIELS-MIDLAND CO	34.12	41.20		7.08	
5/17/2013	11/19/2013	5	ARCHER-DANIELS-MIDLAND CO	170.62	204.63		34.01	
5/17/2013	11/20/2013	15	ARCHER-DANIELS-MIDLAND CO	511.85	605.13		93.28	
2/19/2013	11/20/2013	3	ARCHER-DANIELS-MIDLAND CO	98.84	121.03		22.20	
2/19/2013	11/21/2013	15	ARCHER-DANIELS-MIDLAND CO	494.18	616.00		121.83	
2/19/2013	11/21/2013	9	ARCHER-DANIELS-MIDLAND CO	296.45	369.60		73.15	
2/19/2013	11/21/2013	39	ARCHER-DANIELS-MIDLAND CO	1,284.27	1,601.60		317.33	
2/19/2013	11/21/2013	2	ARCHER-DANIELS-MIDLAND CO	65.71	82.13		16.42	
2/20/2013	11/21/2013	44	ARCHER-DANIELS-MIDLAND CO	1,440.13	1,806.95		366.82	
11/15/2012	2/4/2013	85	ASML HOLDINGS NV	5,953.31	6,544.85		591.54	
11/15/2012	8/15/2013	43	ASML HOLDINGS NV	3,011.68	3,928.73		917.05	
11/15/2012	9/11/2013	59	ASML HOLDINGS NV	4,132.30	5,266.19		1,133.89	

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open</u> <u>Date</u>	<u>Close</u> <u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Cost</u> <u>Basis</u>	<u>Proceeds</u>	<u>Gain Or (Loss)</u>	
						<u>Short Term</u>	<u>Long Term</u>
11/15/2012	9/18/2013	50	ASML HOLDINGS NV	3,501.95	4,689.12	1,187.17	
12/14/2012	9/18/2013	7	ASML HOLDINGS NV	445.02	656.48	211.46	
12/14/2012	11/14/2013	84	ASML HOLDINGS NV	5,340.18	7,450.84	2,110.66	
4/10/2012	2/4/2013	20	AUTOZONE INC	7,544.40	7,408.83	(135.57)	
6/17/2013	10/17/2013	18	AVAGO TECHNOLOGIES LTD SHS	686.17	807.18	121.01	
6/17/2013	10/17/2013	3	AVAGO TECHNOLOGIES LTD SHS	114.36	135.17	20.81	
6/17/2013	10/17/2013	2	AVAGO TECHNOLOGIES LTD SHS	76.20	90.11	13.91	
6/17/2013	10/18/2013	17	AVAGO TECHNOLOGIES LTD SHS	647.72	779.78	132.06	
6/17/2013	10/21/2013	5	AVAGO TECHNOLOGIES LTD SHS	190.51	234.02	43.51	
5/31/2013	10/21/2013	26	AVAGO TECHNOLOGIES LTD SHS	987.41	1,216.90	229.49	
5/31/2013	10/22/2013	1	AVAGO TECHNOLOGIES LTD SHS	37.98	46.90	8.92	
5/31/2013	10/22/2013	9	AVAGO TECHNOLOGIES LTD SHS	341.80	420.24	78.44	
5/31/2013	10/23/2013	1	AVAGO TECHNOLOGIES LTD SHS	37.98	44.99	7.01	
5/31/2013	10/23/2013	2	AVAGO TECHNOLOGIES LTD SHS	75.95	90.86	14.91	
5/31/2013	10/25/2013	10	AVAGO TECHNOLOGIES LTD SHS	379.77	454.81	75.04	
5/31/2013	10/25/2013	3	AVAGO TECHNOLOGIES LTD SHS	113.93	136.63	22.70	
5/31/2013	10/25/2013	23	AVAGO TECHNOLOGIES LTD SHS	873.48	1,048.33	174.85	
5/31/2013	10/28/2013	23	AVAGO TECHNOLOGIES LTD SHS	873.48	1,046.55	173.07	
5/31/2013	10/29/2013	34	AVAGO TECHNOLOGIES LTD SHS	1,291.23	1,562.33	271.10	
1/18/2013	2/14/2013	1	AXIALL CORPORATION	103.47	5.37	(98.10)	
1/18/2013	10/2/2013	51	AXIALL CORPORATION	2,144.34	1,944.54	(199.80)	
4/12/2013	10/2/2013	20	AXIALL CORPORATION	1,145.03	762.60	(382.43)	
4/12/2013	10/3/2013	93	AXIALL CORPORATION	5,324.45	3,526.99	(1,797.46)	
2/8/2013	10/3/2013	36	AXIALL CORPORATION	1,979.81	1,365.27	(614.54)	
2/8/2013	10/4/2013	36	AXIALL CORPORATION	1,979.84	1,371.79	(608.05)	
2/7/2013	10/4/2013	20	AXIALL CORPORATION	1,098.54	762.10	(336.44)	
2/7/2013	10/4/2013	14	AXIALL CORPORATION	762.93	533.47	(229.46)	
2/7/2013	10/4/2013	12	AXIALL CORPORATION	651.75	457.25	(194.50)	
2/7/2013	10/4/2013	38	AXIALL CORPORATION	2,063.92	1,492.29	(571.63)	
4/10/2012	4/4/2013	103	BAIDU COM INC SPON ADR REP A	14,914.40	8,770.41	(6,143.99)	
9/26/2012	4/4/2013	43	BAIDU COM INC SPON ADR REP A	4,858.48	3,661.44	(1,197.04)	
11/8/2012	2/4/2013	930	BANK OF AMERICA CORP	8,823.84	10,718.94	1,895.10	
10/22/2012	2/4/2013	64	BANK OF AMERICA CORP	606.07	737.65	131.58	
10/23/2012	2/4/2013	6	BANK OF AMERICA CORP	56.63	69.15	12.52	
8/13/2013	10/22/2013	7	BAXTER INTL INC COM	517.79	457.09	(60.70)	
8/9/2013	10/22/2013	7	BAXTER INTL INC COM	517.27	457.09	(60.18)	
8/13/2013	10/22/2013	3	BAXTER INTL INC COM	221.49	195.89	(25.60)	
8/9/2013	10/22/2013	3	BAXTER INTL INC COM	221.39	195.89	(25.50)	
8/9/2013	10/22/2013	1	BAXTER INTL INC COM	73.73	65.30	(8.43)	
8/13/2013	10/22/2013	1	BAXTER INTL INC COM	73.73	65.30	(8.43)	
8/9/2013	10/22/2013	1	BAXTER INTL INC COM	73.69	65.30	(8.39)	
8/12/2013	10/22/2013	4	BAXTER INTL INC COM	294.58	261.19	(33.39)	
8/12/2013	10/22/2013	1	BAXTER INTL INC COM	73.62	65.30	(8.32)	
8/12/2013	10/22/2013	2	BAXTER INTL INC COM	147.20	130.60	(16.60)	
8/14/2013	10/22/2013	1	BAXTER INTL INC COM	73.60	65.30	(8.30)	
8/14/2013	10/22/2013	2	BAXTER INTL INC COM	147.20	130.60	(16.60)	
8/12/2013	10/22/2013	4	BAXTER INTL INC COM	294.39	261.19	(33.20)	
8/14/2013	10/22/2013	1	BAXTER INTL INC COM	73.53	65.30	(8.23)	
8/14/2013	10/22/2013	1	BAXTER INTL INC COM	73.52	65.30	(8.22)	
8/14/2013	10/22/2013	1	BAXTER INTL INC COM	73.52	65.30	(8.22)	
8/9/2013	10/22/2013	3	BAXTER INTL INC COM	219.76	195.89	(23.87)	
8/8/2013	10/22/2013	4	BAXTER INTL INC COM	292.80	261.19	(31.61)	
8/8/2013	10/22/2013	6	BAXTER INTL INC COM	438.85	391.79	(47.06)	
8/8/2013	10/22/2013	1	BAXTER INTL INC COM	73.01	65.30	(7.71)	
8/8/2013	10/22/2013	1	BAXTER INTL INC COM	72.93	65.30	(7.63)	
8/8/2013	10/22/2013	1	BAXTER INTL INC COM	72.59	65.30	(7.29)	
8/8/2013	10/22/2013	2	BAXTER INTL INC COM	145.02	130.60	(14.42)	
8/15/2013	10/22/2013	3	BAXTER INTL INC COM	216.51	195.89	(20.62)	
8/15/2013	10/22/2013	4	BAXTER INTL INC COM	288.65	261.19	(27.46)	
8/15/2013	10/22/2013	3	BAXTER INTL INC COM	216.44	195.89	(20.55)	
8/26/2013	10/22/2013	6	BAXTER INTL INC COM	432.62	391.79	(40.83)	
9/11/2013	10/22/2013	1	BAXTER INTL INC COM	72.02	65.30	(6.72)	
9/11/2013	10/22/2013	2	BAXTER INTL INC COM	143.96	130.60	(13.36)	
9/11/2013	10/22/2013	1	BAXTER INTL INC COM	71.97	65.30	(6.67)	
9/11/2013	10/22/2013	1	BAXTER INTL INC COM	71.92	65.30	(6.62)	

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open</u> <u>Date</u>	<u>Close</u> <u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Cost</u> <u>Basis</u>	<u>Proceeds</u>	<u>Gain Or (Loss)</u>	
						<u>Short Term</u>	<u>Long Term</u>
8/23/2013	10/22/2013	1	BAXTER INTL INC COM	71.90	65.30	(6.60)	
8/26/2013	10/22/2013	4	BAXTER INTL INC COM	287.54	261.19	(26.35)	
8/23/2013	10/22/2013	1	BAXTER INTL INC COM	71.88	65.30	(6.58)	
9/12/2013	10/22/2013	1	BAXTER INTL INC COM	71.87	65.30	(6.57)	
8/23/2013	10/22/2013	1	BAXTER INTL INC COM	71.82	65.30	(6.52)	
8/23/2013	10/22/2013	3	BAXTER INTL INC COM	215.43	195.89	(19.54)	
8/16/2013	10/22/2013	8	BAXTER INTL INC COM	574.33	522.38	(51.95)	
9/12/2013	10/22/2013	2	BAXTER INTL INC COM	143.57	130.60	(12.97)	
8/20/2013	10/22/2013	1	BAXTER INTL INC COM	71.64	65.30	(6.34)	
8/23/2013	10/22/2013	3	BAXTER INTL INC COM	214.82	195.89	(18.93)	
8/20/2013	10/22/2013	2	BAXTER INTL INC COM	143.21	130.60	(12.61)	
8/20/2013	10/22/2013	6	BAXTER INTL INC COM	429.18	391.79	(37.39)	
8/16/2013	10/22/2013	5	BAXTER INTL INC COM	357.42	326.49	(30.93)	
8/19/2013	10/22/2013	2	BAXTER INTL INC COM	142.95	130.60	(12.35)	
8/19/2013	10/22/2013	1	BAXTER INTL INC COM	71.42	65.30	(6.12)	
8/22/2013	10/22/2013	2	BAXTER INTL INC COM	142.84	130.60	(12.24)	
8/21/2013	10/22/2013	6	BAXTER INTL INC COM	427.55	391.79	(35.76)	
9/10/2013	10/22/2013	2	BAXTER INTL INC COM	142.50	130.60	(11.90)	
9/10/2013	10/22/2013	1	BAXTER INTL INC COM	71.25	65.30	(5.95)	
8/19/2013	10/22/2013	3	BAXTER INTL INC COM	213.74	195.89	(17.85)	
8/22/2013	10/22/2013	1	BAXTER INTL INC COM	71.24	65.30	(5.94)	
8/21/2013	10/22/2013	1	BAXTER INTL INC COM	71.19	65.30	(5.89)	
8/22/2013	10/22/2013	1	BAXTER INTL INC COM	71.08	65.30	(5.78)	
8/28/2013	10/22/2013	9	BAXTER INTL INC COM	637.45	587.68	(49.77)	
8/27/2013	10/22/2013	1	BAXTER INTL INC COM	70.69	65.30	(5.39)	
8/28/2013	10/22/2013	7	BAXTER INTL INC COM	494.73	457.09	(37.64)	
8/27/2013	10/22/2013	1	BAXTER INTL INC COM	70.65	65.30	(5.35)	
8/27/2013	10/22/2013	1	BAXTER INTL INC COM	70.64	65.30	(5.34)	
8/27/2013	10/22/2013	4	BAXTER INTL INC COM	282.53	261.11	(21.42)	
8/27/2013	10/22/2013	7	BAXTER INTL INC COM	494.44	456.95	(37.49)	
8/29/2013	10/22/2013	2	BAXTER INTL INC COM	141.03	130.56	(10.47)	
8/29/2013	10/22/2013	2	BAXTER INTL INC COM	140.62	130.56	(10.06)	
8/29/2013	10/22/2013	9	BAXTER INTL INC COM	632.27	587.51	(44.76)	
9/9/2013	10/22/2013	1	BAXTER INTL INC COM	70.24	65.28	(4.96)	
8/30/2013	10/22/2013	1	BAXTER INTL INC COM	70.23	65.28	(4.95)	
8/29/2013	10/22/2013	1	BAXTER INTL INC COM	70.21	65.28	(4.93)	
9/9/2013	10/22/2013	5	BAXTER INTL INC COM	350.48	326.39	(24.09)	
8/29/2013	10/22/2013	1	BAXTER INTL INC COM	70.09	65.28	(4.81)	
8/30/2013	10/23/2013	2	BAXTER INTL INC COM	140.04	130.39	(9.65)	
8/30/2013	10/23/2013	1	BAXTER INTL INC COM	69.94	65.19	(4.75)	
9/4/2013	10/23/2013	2	BAXTER INTL INC COM	139.87	130.39	(9.48)	
9/4/2013	10/23/2013	3	BAXTER INTL INC COM	209.78	195.58	(14.20)	
9/5/2013	10/23/2013	2	BAXTER INTL INC COM	139.77	130.39	(9.38)	
9/6/2013	10/23/2013	1	BAXTER INTL INC COM	69.88	65.19	(4.69)	
9/5/2013	10/23/2013	2	BAXTER INTL INC COM	139.66	130.39	(9.27)	
9/4/2013	10/23/2013	3	BAXTER INTL INC COM	209.37	195.58	(13.79)	
9/5/2013	10/23/2013	3	BAXTER INTL INC COM	209.35	195.58	(13.77)	
9/4/2013	10/23/2013	1	BAXTER INTL INC COM	69.78	65.19	(4.59)	
9/4/2013	10/23/2013	7	BAXTER INTL INC COM	488.45	456.35	(32.10)	
9/5/2013	10/23/2013	1	BAXTER INTL INC COM	69.75	65.19	(4.56)	
9/3/2013	10/23/2013	4	BAXTER INTL INC COM	277.47	260.76	(16.71)	
5/24/2012	10/11/2013	7267.442	BBH INC TAX EFFIC EQ N	115,116.28	150,000.00		34,883.72
5/24/2012	12/18/2013	11921.793	BBH INC TAX EFFIC EQ N	188,841.20	250,000.00		61,158.80
4/10/2012	2/4/2013	70	BIOGEN IDEC INC	8,732.83	10,962.45	2,229.62	
4/10/2012	4/15/2013	17	BIOGEN IDEC INC	2,120.83	3,405.43		1,284.60
4/10/2012	5/7/2013	10	BIOGEN IDEC INC	1,247.55	2,137.21		889.66
4/10/2012	5/7/2013	3	BIOGEN IDEC INC	374.26	637.55		263.29
4/10/2012	5/7/2013	4	BIOGEN IDEC INC	499.02	859.65		360.63
4/10/2012	5/20/2013	18	BIOGEN IDEC INC	2,245.58	4,054.98		1,809.40
4/10/2012	10/31/2013	2	BIOGEN IDEC INC	249.51	492.78		243.27
4/10/2012	10/31/2013	3	BIOGEN IDEC INC	374.26	739.77		365.51
4/10/2012	11/1/2013	11	BIOGEN IDEC INC	1,372.30	2,670.08		1,297.78
4/10/2012	11/4/2013	1	BIOGEN IDEC INC	124.75	243.46		118.71
4/10/2012	11/4/2013	1	BIOGEN IDEC INC	124.75	240.20		115.45
4/10/2012	11/4/2013	1	BIOGEN IDEC INC	124.75	240.07		115.32

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u> <u>Long Term</u>
4/10/2012	11/4/2013	1	BIOGEN IDEC INC	124.75	242.57	117.82
4/10/2012	11/4/2013	2	BIOGEN IDEC INC	249.51	483.50	233.99
4/10/2012	11/5/2013	1	BIOGEN IDEC INC	124.75	239.71	114.96
4/10/2012	11/5/2013	3	BIOGEN IDEC INC	374.26	731.29	357.03
4/10/2012	11/5/2013	1	BIOGEN IDEC INC	124.75	241.74	116.99
4/10/2012	11/6/2013	1	BIOGEN IDEC INC	124.75	240.83	116.08
8/13/2012	2/4/2013	200	BROADCOM CORP CL A	7,008.28	6,598.85	(409.43)
8/13/2012	10/25/2013	198	BROADCOM CORP CL A	6,938.20	5,236.04	(1,702.16)
11/19/2012	10/25/2013	62	BROADCOM CORP CL A	1,943.52	1,639.57	(303.95)
11/19/2012	10/25/2013	29	BROADCOM CORP CL A	906.84	766.89	(139.95)
11/20/2012	10/25/2013	28	BROADCOM CORP CL A	871.80	740.45	(131.35)
11/20/2012	10/25/2013	34	BROADCOM CORP CL A	1,058.62	899.39	(159.23)
11/16/2012	10/25/2013	42	BROADCOM CORP CL A	1,276.66	1,111.00	(165.66)
11/16/2012	10/25/2013	45	BROADCOM CORP CL A	1,367.85	1,194.73	(173.12)
11/16/2012	10/25/2013	6	BROADCOM CORP CL A	182.38	158.66	(23.72)
11/15/2012	10/25/2013	27	BROADCOM CORP CL A	819.63	713.97	(105.66)
4/25/2013	9/19/2013	11	C V S / CAREMARK CORP	638.11	657.66	19.55
4/25/2013	9/19/2013	12	C V S / CAREMARK CORP	696.12	717.26	21.14
4/25/2013	9/19/2013	4	C V S / CAREMARK CORP	232.04	239.04	7.00
4/25/2013	9/19/2013	8	C V S / CAREMARK CORP	464.08	478.53	14.45
4/11/2013	9/19/2013	20	C V S / CAREMARK CORP	1,158.13	1,196.32	38.19
4/11/2013	9/19/2013	4	C V S / CAREMARK CORP	231.63	238.63	7.00
4/11/2013	9/20/2013	32	C V S / CAREMARK CORP	1,853.01	1,900.50	47.49
4/11/2013	9/20/2013	3	C V S / CAREMARK CORP	173.49	178.17	4.68
4/11/2013	9/20/2013	4	C V S / CAREMARK CORP	230.96	237.57	6.61
4/11/2013	9/20/2013	1	C V S / CAREMARK CORP	57.74	58.86	1.12
4/11/2013	9/20/2013	7	C V S / CAREMARK CORP	404.18	409.13	4.95
4/16/2013	9/20/2013	23	C V S / CAREMARK CORP	1,325.27	1,344.27	19.00
4/15/2013	9/20/2013	7	C V S / CAREMARK CORP	402.61	409.13	6.52
4/12/2013	9/20/2013	10	C V S / CAREMARK CORP	574.42	584.46	10.04
4/11/2013	9/20/2013	3	C V S / CAREMARK CORP	172.17	175.34	3.17
4/19/2013	9/20/2013	3	C V S / CAREMARK CORP	172.09	175.34	3.25
4/12/2013	9/20/2013	12	C V S / CAREMARK CORP	687.90	701.36	13.46
4/17/2013	9/20/2013	19	C V S / CAREMARK CORP	1,089.04	1,110.48	21.44
4/17/2013	9/20/2013	11	C V S / CAREMARK CORP	629.28	642.91	13.63
4/15/2013	9/20/2013	27	C V S / CAREMARK CORP	1,544.05	1,578.06	34.01
4/16/2013	9/20/2013	6	C V S / CAREMARK CORP	342.66	350.67	8.01
10/19/2012	2/4/2013	8	CAMERON INT'L CORP	443.18	517.75	74.57
10/19/2012	2/4/2013	73	CAMERON INT'L CORP	4,037.60	4,724.46	686.86
10/19/2012	2/4/2013	19	CAMERON INT'L CORP	1,048.85	1,229.65	180.80
2/13/2013	4/4/2013	29	CAMERON INT'L CORP	1,865.47	1,775.79	(89.68)
2/13/2013	4/4/2013	53	CAMERON INT'L CORP	3,408.48	3,245.42	(163.06)
2/13/2013	4/5/2013	15	CAMERON INT'L CORP	964.67	911.49	(53.18)
10/19/2012	4/5/2013	8	CAMERON INT'L CORP	441.62	486.13	44.51
10/16/2012	4/5/2013	10	CAMERON INT'L CORP	549.16	607.66	58.50
10/16/2012	10/24/2013	57	CAMERON INT'L CORP	3,130.18	3,094.02	(36.16)
10/16/2012	10/24/2013	31	CAMERON INT'L CORP	1,702.38	1,671.49	(30.89)
10/16/2012	10/24/2013	26	CAMERON INT'L CORP	1,426.69	1,401.89	(24.80)
10/16/2012	10/24/2013	39	CAMERON INT'L CORP	2,132.44	2,102.84	(29.60)
11/5/2012	10/24/2013	86	CAMERON INT'L CORP	4,474.54	4,637.03	162.49
11/5/2012	10/24/2013	2	CAMERON INT'L CORP	104.06	108.36	4.30
11/5/2012	10/24/2013	1	CAMERON INT'L CORP	51.73	54.18	2.45
4/10/2012	2/4/2013	177	CARDINAL HEALTH INC	7,292.12	7,752.42	460.30
4/10/2012	4/8/2013	3	CARDINAL HEALTH INC	123.60	127.89	4.29
4/10/2012	4/8/2013	33	CARDINAL HEALTH INC	1,359.55	1,403.56	44.01
4/10/2012	4/8/2013	1	CARDINAL HEALTH INC	41.20	42.29	1.09
4/10/2012	4/8/2013	3	CARDINAL HEALTH INC	123.60	127.21	3.61
4/10/2012	4/8/2013	131	CARDINAL HEALTH INC	5,396.99	5,570.45	173.46
4/10/2012	9/3/2013	104	CARDINAL HEALTH INC	4,284.63	5,231.29	946.66
4/10/2012	9/3/2013	61	CARDINAL HEALTH INC	2,513.10	3,061.22	548.12
4/10/2012	9/3/2013	24	CARDINAL HEALTH INC	988.76	1,203.46	214.70
4/10/2012	9/4/2013	62	CARDINAL HEALTH INC	2,554.30	3,127.84	573.54
4/10/2012	9/4/2013	7	CARDINAL HEALTH INC	288.39	351.81	63.42
4/10/2012	9/4/2013	4	CARDINAL HEALTH INC	164.79	202.37	37.58
4/10/2012	9/5/2013	73	CARDINAL HEALTH INC	3,007.48	3,677.30	669.82

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
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12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u> <u>Long Term</u>
9/21/2012	2/4/2013	3	CAREFUSION CORP COM	85.91	92.75	6.84
9/19/2012	2/4/2013	2	CAREFUSION CORP COM	57.06	61.83	4.77
9/18/2012	2/4/2013	1	CAREFUSION CORP COM	28.48	30.92	2.44
9/20/2012	2/4/2013	2	CAREFUSION CORP COM	56.79	61.83	5.04
9/18/2012	2/4/2013	1	CAREFUSION CORP COM	28.31	30.92	2.61
9/12/2012	2/4/2013	5	CAREFUSION CORP COM	139.80	154.58	14.78
9/14/2012	2/4/2013	5	CAREFUSION CORP COM	139.71	154.58	14.87
9/13/2012	2/4/2013	9	CAREFUSION CORP COM	251.22	278.25	27.03
9/11/2012	2/4/2013	60	CAREFUSION CORP COM	1,672.52	1,855.00	182.48
9/17/2012	2/4/2013	2	CAREFUSION CORP COM	55.72	61.83	6.11
9/12/2012	2/4/2013	3	CAREFUSION CORP COM	83.53	92.75	9.22
9/7/2012	2/4/2013	7	CAREFUSION CORP COM	194.89	216.42	21.53
9/13/2012	2/4/2013	1	CAREFUSION CORP COM	27.84	30.92	3.08
9/12/2012	2/4/2013	43	CAREFUSION CORP COM	1,196.75	1,329.41	132.66
9/7/2012	2/4/2013	15	CAREFUSION CORP COM	416.99	463.75	46.76
9/11/2012	2/4/2013	3	CAREFUSION CORP COM	83.34	92.75	9.41
9/7/2012	2/4/2013	4	CAREFUSION CORP COM	111.07	123.67	12.60
9/10/2012	2/4/2013	38	CAREFUSION CORP COM	1,052.44	1,174.83	122.39
9/10/2012	2/4/2013	1	CAREFUSION CORP COM	27.70	30.91	3.22
9/10/2012	4/8/2013	3	CAREFUSION CORP COM	83.09	102.89	19.81
9/10/2012	4/8/2013	1	CAREFUSION CORP COM	27.53	34.25	6.72
9/10/2012	4/8/2013	12	CAREFUSION CORP COM	330.32	411.26	80.94
5/1/2012	4/8/2013	31	CAREFUSION CORP COM	810.47	1,062.43	251.96
4/30/2012	4/8/2013	38	CAREFUSION CORP COM	989.52	1,302.34	312.82
4/30/2012	4/8/2013	15	CAREFUSION CORP COM	389.39	514.08	124.69
4/30/2012	4/8/2013	8	CAREFUSION CORP COM	207.67	274.12	66.45
4/27/2012	4/8/2013	5	CAREFUSION CORP COM	129.76	171.32	41.56
4/27/2012	4/9/2013	8	CAREFUSION CORP COM	207.62	277.16	69.54
4/30/2012	4/9/2013	11	CAREFUSION CORP COM	285.29	381.10	95.81
4/26/2012	4/9/2013	3	CAREFUSION CORP COM	77.76	103.93	26.17
4/26/2012	4/9/2013	4	CAREFUSION CORP COM	103.68	138.30	34.62
4/30/2012	4/9/2013	1	CAREFUSION CORP COM	25.92	34.57	8.65
4/30/2012	4/9/2013	4	CAREFUSION CORP COM	103.68	138.30	34.62
4/27/2012	4/9/2013	13	CAREFUSION CORP COM	336.90	449.46	112.56
4/13/2012	4/9/2013	16	CAREFUSION CORP COM	414.35	553.18	138.83
4/27/2012	4/9/2013	1	CAREFUSION CORP COM	25.89	34.57	8.68
4/26/2012	4/9/2013	1	CAREFUSION CORP COM	25.88	34.58	8.70
4/26/2012	4/9/2013	11	CAREFUSION CORP COM	284.71	379.67	94.96
4/25/2012	4/9/2013	7	CAREFUSION CORP COM	181.13	241.61	60.48
4/26/2012	4/9/2013	1	CAREFUSION CORP COM	25.87	34.52	8.65
4/20/2012	4/9/2013	3	CAREFUSION CORP COM	77.58	103.53	25.95
4/20/2012	4/10/2013	4	CAREFUSION CORP COM	103.44	138.72	35.28
5/1/2012	4/10/2013	3	CAREFUSION CORP COM	77.58	104.04	26.46
4/20/2012	4/10/2013	3	CAREFUSION CORP COM	77.55	104.03	26.48
4/20/2012	4/10/2013	2	CAREFUSION CORP COM	51.70	69.38	17.68
4/20/2012	4/10/2013	11	CAREFUSION CORP COM	284.29	381.58	97.29
4/20/2012	4/10/2013	3	CAREFUSION CORP COM	77.52	104.07	26.55
4/20/2012	4/10/2013	15	CAREFUSION CORP COM	387.61	520.34	132.73
4/25/2012	4/10/2013	4	CAREFUSION CORP COM	103.36	138.76	35.40
4/26/2012	4/10/2013	1	CAREFUSION CORP COM	25.84	34.69	8.85
4/20/2012	4/10/2013	15	CAREFUSION CORP COM	387.50	520.32	132.82
4/20/2012	4/10/2013	5	CAREFUSION CORP COM	129.17	173.54	44.37
4/30/2012	8/5/2013	8	CAREFUSION CORP COM	206.63	296.62	89.99
4/26/2012	8/5/2013	1	CAREFUSION CORP COM	25.81	37.08	11.27
4/25/2012	8/5/2013	5	CAREFUSION CORP COM	128.87	185.39	56.52
4/13/2012	8/5/2013	47	CAREFUSION CORP COM	1,206.64	1,742.63	535.99
4/13/2012	8/5/2013	1	CAREFUSION CORP COM	25.61	37.08	11.47
4/23/2012	8/5/2013	7	CAREFUSION CORP COM	179.19	259.54	80.35
4/18/2012	8/5/2013	23	CAREFUSION CORP COM	588.34	852.78	264.44
4/19/2012	8/5/2013	9	CAREFUSION CORP COM	230.17	333.70	103.53
4/19/2012	8/5/2013	3	CAREFUSION CORP COM	76.70	111.23	34.53
4/17/2012	8/5/2013	3	CAREFUSION CORP COM	76.67	111.23	34.56
4/19/2012	8/5/2013	1	CAREFUSION CORP COM	25.55	37.08	11.53
4/19/2012	8/5/2013	33	CAREFUSION CORP COM	843.03	1,223.55	380.52
4/19/2012	8/5/2013	13	CAREFUSION CORP COM	331.96	482.00	150.04

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u> <u>Long Term</u>
4/17/2012	8/5/2013	6	CAREFUSION CORP COM	153.19	222.46	69.27
4/17/2012	8/5/2013	11	CAREFUSION CORP COM	280.83	407.85	127.02
4/17/2012	8/5/2013	5	CAREFUSION CORP COM	127.65	185.39	57.74
4/24/2012	8/5/2013	12	CAREFUSION CORP COM	306.36	444.93	138.57
4/24/2012	8/5/2013	4	CAREFUSION CORP COM	102.06	148.31	46.25
4/17/2012	8/5/2013	7	CAREFUSION CORP COM	178.54	259.54	81.00
4/13/2012	8/5/2013	1	CAREFUSION CORP COM	25.49	37.08	11.59
4/24/2012	8/5/2013	18	CAREFUSION CORP COM	458.24	667.39	209.15
4/18/2012	8/5/2013	4	CAREFUSION CORP COM	101.82	148.31	46.49
4/17/2012	8/5/2013	6	CAREFUSION CORP COM	152.58	222.46	69.88
4/23/2012	8/5/2013	27	CAREFUSION CORP COM	686.02	1,001.09	315.07
4/16/2012	8/5/2013	32	CAREFUSION CORP COM	811.91	1,186.47	374.56
4/16/2012	8/5/2013	1	CAREFUSION CORP COM	25.35	37.06	11.71
12/3/2012	2/4/2013	160	CBS CORP CL B COM	5,782.27	6,716.66	934.39
12/3/2012	2/4/2013	11	CBS CORP CL B COM	395.62	461.77	66.15
12/4/2012	2/4/2013	1	CBS CORP CL B COM	35.73	41.98	6.25
12/4/2012	2/4/2013	3	CBS CORP CL B COM	107.17	125.94	18.77
12/4/2012	3/19/2013	12	CBS CORP CL B COM	428.67	560.12	131.45
12/4/2012	3/19/2013	18	CBS CORP CL B COM	643.00	822.97	179.97
12/4/2012	3/19/2013	12	CBS CORP CL B COM	428.67	558.36	129.69
12/4/2012	3/19/2013	25	CBS CORP CL B COM	893.05	1,144.84	251.79
12/4/2012	3/19/2013	7	CBS CORP CL B COM	250.05	319.82	69.77
12/4/2012	3/19/2013	17	CBS CORP CL B COM	607.28	775.44	168.16
12/4/2012	3/19/2013	9	CBS CORP CL B COM	321.50	410.19	88.69
12/4/2012	3/20/2013	20	CBS CORP CL B COM	714.44	927.49	213.05
12/4/2012	3/20/2013	5	CBS CORP CL B COM	178.61	231.71	53.10
12/4/2012	3/20/2013	20	CBS CORP CL B COM	714.44	926.87	212.43
12/4/2012	3/25/2013	27	CBS CORP CL B COM	964.50	1,226.38	261.88
12/4/2012	3/25/2013	35	CBS CORP CL B COM	1,250.27	1,591.95	341.68
12/5/2012	3/25/2013	23	CBS CORP CL B COM	821.01	1,046.14	225.13
12/5/2012	3/25/2013	18	CBS CORP CL B COM	642.53	816.70	174.17
12/4/2012	3/26/2013	19	CBS CORP CL B COM	678.72	869.33	190.61
12/4/2012	3/26/2013	6	CBS CORP CL B COM	214.33	273.13	58.80
12/5/2012	3/26/2013	14	CBS CORP CL B COM	499.75	637.30	137.55
12/5/2012	3/26/2013	14	CBS CORP CL B COM	499.75	635.19	135.44
12/5/2012	3/28/2013	22	CBS CORP CL B COM	785.32	1,027.19	241.87
12/5/2012	3/28/2013	15	CBS CORP CL B COM	535.44	695.97	160.53
12/5/2012	4/1/2013	14	CBS CORP CL B COM	499.75	651.68	151.93
12/5/2012	4/1/2013	7	CBS CORP CL B COM	249.87	319.77	69.90
12/5/2012	4/1/2013	4	CBS CORP CL B COM	142.41	182.73	40.32
12/5/2012	4/1/2013	9	CBS CORP CL B COM	320.42	411.74	91.32
12/5/2012	4/1/2013	11	CBS CORP CL B COM	391.63	502.15	110.53
12/5/2012	4/2/2013	13	CBS CORP CL B COM	462.83	589.97	127.14
12/5/2012	4/3/2013	19	CBS CORP CL B COM	676.44	852.27	175.83
12/5/2012	4/4/2013	32	CBS CORP CL B COM	1,139.27	1,441.29	302.02
4/10/2012	2/4/2013	100	CELGENE CORP	7,871.22	10,002.77	2,131.55
4/10/2012	5/7/2013	54	CELGENE CORP	4,250.46	6,620.03	2,369.57
4/10/2012	9/11/2013	3	CELGENE CORP	236.14	441.86	205.72
4/10/2012	9/11/2013	3	CELGENE CORP	236.14	445.85	209.71
4/10/2012	9/11/2013	22	CELGENE CORP	1,731.67	3,274.53	1,542.86
1/14/2013	2/4/2013	40	CERNER CORP COM	3,281.87	3,326.31	44.44
1/15/2013	2/4/2013	16	CERNER CORP COM	1,285.52	1,330.53	45.01
1/15/2013	8/15/2013	13	CERNER CORP COM	522.24	613.49	91.25
1/15/2013	8/15/2013	25	CERNER CORP COM	1,004.31	1,177.97	173.66
11/8/2012	8/15/2013	5	CERNER CORP COM	200.18	235.59	35.42
11/8/2012	8/16/2013	19	CERNER CORP COM	760.67	895.55	134.89
11/7/2012	8/16/2013	33	CERNER CORP COM	1,314.92	1,555.43	240.51
11/7/2012	8/19/2013	14	CERNER CORP COM	557.85	668.16	110.31
12/14/2012	2/4/2013	17	CHENIERE ENERGY INC	290.28	364.57	74.29
12/14/2012	2/4/2013	110	CHENIERE ENERGY INC	1,875.74	2,358.96	483.22
12/14/2012	2/4/2013	98	CHENIERE ENERGY INC	1,670.51	2,101.62	431.11
2/13/2013	4/4/2013	165	CHENIERE ENERGY INC	3,566.33	4,180.98	614.65
2/13/2013	4/5/2013	8	CHENIERE ENERGY INC	172.91	205.71	32.80
12/14/2012	4/5/2013	27	CHENIERE ENERGY INC	460.24	694.27	234.03
11/1/2012	4/5/2013	3	CHENIERE ENERGY INC	48.84	77.14	28.30

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open</u> <u>Date</u>	<u>Close</u> <u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Cost</u> <u>Basis</u>	<u>Proceeds</u>	<u>Gain Or (Loss)</u>	
						<u>Short Term</u>	<u>Long Term</u>
11/2/2012	4/5/2013	2	CHENIERE ENERGY INC	32.52	51.43	18.91	
10/31/2012	4/5/2013	1	CHENIERE ENERGY INC	16.14	25.71	9.57	
10/31/2012	4/5/2013	1	CHENIERE ENERGY INC	16.11	25.71	9.60	
10/26/2012	4/5/2013	4	CHENIERE ENERGY INC	64.32	102.86	38.54	
10/26/2012	4/5/2013	1	CHENIERE ENERGY INC	16.06	25.71	9.65	
10/26/2012	4/5/2013	1	CHENIERE ENERGY INC	16.00	25.71	9.71	
10/31/2012	4/5/2013	2	CHENIERE ENERGY INC	31.93	51.43	19.50	
10/26/2012	4/5/2013	3	CHENIERE ENERGY INC	47.82	77.14	29.32	
10/22/2012	4/5/2013	56	CHENIERE ENERGY INC	892.05	1,439.99	547.94	
10/22/2012	5/17/2013	5	CHENIERE ENERGY INC	79.65	153.23	73.58	
10/22/2012	5/17/2013	3	CHENIERE ENERGY INC	47.79	91.92	44.13	
10/22/2012	5/17/2013	7	CHENIERE ENERGY INC	111.51	214.84	103.33	
10/22/2012	5/17/2013	3	CHENIERE ENERGY INC	47.66	92.07	44.41	
11/5/2012	5/17/2013	2	CHENIERE ENERGY INC	31.72	61.38	29.66	
11/5/2012	5/17/2013	1	CHENIERE ENERGY INC	15.86	30.69	14.83	
10/24/2012	5/17/2013	7	CHENIERE ENERGY INC	110.81	214.84	104.03	
10/24/2012	5/17/2013	8	CHENIERE ENERGY INC	126.64	244.87	118.23	
10/24/2012	5/17/2013	6	CHENIERE ENERGY INC	94.98	183.56	88.58	
10/24/2012	5/20/2013	1	CHENIERE ENERGY INC	15.83	31.11	15.28	
10/24/2012	5/20/2013	7	CHENIERE ENERGY INC	110.81	216.78	105.97	
10/24/2012	5/20/2013	2	CHENIERE ENERGY INC	31.66	61.51	29.85	
10/24/2012	5/20/2013	4	CHENIERE ENERGY INC	63.32	123.02	59.70	
10/24/2012	5/20/2013	1	CHENIERE ENERGY INC	15.83	30.55	14.72	
10/24/2012	5/20/2013	3	CHENIERE ENERGY INC	47.49	92.06	44.57	
10/24/2012	5/20/2013	2	CHENIERE ENERGY INC	31.66	61.37	29.71	
10/24/2012	5/21/2013	4	CHENIERE ENERGY INC	63.28	122.31	59.03	
10/24/2012	5/21/2013	15	CHENIERE ENERGY INC	237.30	458.84	221.54	
10/24/2012	5/21/2013	1	CHENIERE ENERGY INC	15.82	30.62	14.80	
10/23/2012	5/21/2013	1	CHENIERE ENERGY INC	15.81	30.61	14.80	
10/26/2012	5/22/2013	1	CHENIERE ENERGY INC	15.81	29.97	14.16	
10/23/2012	5/22/2013	2	CHENIERE ENERGY INC	31.61	59.93	28.32	
10/23/2012	5/22/2013	2	CHENIERE ENERGY INC	31.61	59.26	27.65	
10/23/2012	5/22/2013	2	CHENIERE ENERGY INC	31.61	61.25	29.64	
10/23/2012	5/22/2013	12	CHENIERE ENERGY INC	189.68	369.09	179.41	
10/23/2012	5/22/2013	3	CHENIERE ENERGY INC	47.42	92.12	44.70	
10/23/2012	5/23/2013	12	CHENIERE ENERGY INC	189.68	350.07	160.39	
10/23/2012	5/23/2013	6	CHENIERE ENERGY INC	94.84	174.27	79.43	
10/23/2012	5/23/2013	2	CHENIERE ENERGY INC	31.61	57.96	26.35	
10/23/2012	10/23/2013	9	CHENIERE ENERGY INC	142.26	344.53	202.27	
10/24/2012	10/23/2013	48	CHENIERE ENERGY INC	757.96	1,837.47	1,079.51	
10/22/2012	10/23/2013	14	CHENIERE ENERGY INC	220.76	535.93		315.17
1/16/2013	2/4/2013	1	CISCO SYSTEMS INC	21.13	21.05	(0.08)	
1/16/2013	2/4/2013	385	CISCO SYSTEMS INC	8,129.78	8,105.42	(24.36)	
4/10/2012	2/4/2013	331	CISCO SYSTEMS INC	6,517.52	6,968.55	451.03	
2/8/2013	4/25/2013	76	CISCO SYSTEMS INC	1,612.74	1,565.56	(47.18)	
2/8/2013	4/25/2013	33	CISCO SYSTEMS INC	700.27	682.01	(18.26)	
2/8/2013	4/25/2013	124	CISCO SYSTEMS INC	2,631.32	2,562.38	(68.94)	
4/10/2012	4/25/2013	14	CISCO SYSTEMS INC	275.67	289.30		13.63
4/10/2012	4/25/2013	235	CISCO SYSTEMS INC	4,627.24	4,851.47		224.23
4/10/2012	4/26/2013	83	CISCO SYSTEMS INC	1,634.30	1,708.20		73.90
4/10/2012	4/26/2013	30	CISCO SYSTEMS INC	590.71	616.49		25.78
4/10/2012	4/26/2013	9	CISCO SYSTEMS INC	177.21	185.08		7.87
4/10/2012	4/26/2013	4	CISCO SYSTEMS INC	78.76	82.33		3.57
4/10/2012	4/26/2013	78	CISCO SYSTEMS INC	1,535.85	1,605.58		69.73
4/10/2012	4/29/2013	42	CISCO SYSTEMS INC	827.00	874.39		47.39
4/10/2012	5/7/2013	81	CISCO SYSTEMS INC	1,594.92	1,646.30		51.38
4/10/2012	5/8/2013	212	CISCO SYSTEMS INC	4,174.36	4,365.64		191.28
4/10/2012	5/8/2013	136	CISCO SYSTEMS INC	2,677.89	2,814.02		136.13
10/22/2012	2/4/2013	23	CITIGROUP INC. CMN	862.92	978.17	115.25	
10/23/2012	2/4/2013	88	CITIGROUP INC. CMN	3,262.65	3,742.56	479.91	
3/10/2009	2/4/2013	139	CITIGROUP INC. CMN	2,057.20	5,911.53		3,854.33
4/10/2012	2/4/2013	66	CITRIX SYSTEMS INC	4,868.82	4,839.01	(29.81)	
4/10/2012	11/14/2013	94	CITRIX SYSTEMS INC	6,934.38	5,182.50		(1,751.88)
12/12/2012	11/14/2013	89	CITRIX SYSTEMS INC	5,755.65	4,906.84	(848.81)	
4/10/2012	2/4/2013	156	CME GROUP INC. CMN CLASS A	8,801.52	9,105.53	304.01	

STATEMENT C (2)
REALIZED GAINS AND LOSSES
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12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u> <u>Long Term</u>
4/10/2012	3/18/2013	16	CME GROUP INC. CMN CLASS A	902.72	1,002.04	99.32
4/10/2012	3/18/2013	33	CME GROUP INC. CMN CLASS A	1,861.86	2,070.91	209.05
4/10/2012	3/18/2013	9	CME GROUP INC. CMN CLASS A	507.78	562.66	54.88
4/10/2012	3/19/2013	12	CME GROUP INC. CMN CLASS A	677.04	746.57	69.53
4/10/2012	3/19/2013	34	CME GROUP INC. CMN CLASS A	1,918.28	2,089.36	171.08
4/10/2012	3/20/2013	18	CME GROUP INC. CMN CLASS A	1,015.56	1,109.94	94.38
4/10/2012	3/20/2013	4	CME GROUP INC. CMN CLASS A	225.68	247.93	22.25
4/10/2012	3/20/2013	3	CME GROUP INC. CMN CLASS A	169.26	186.24	16.98
4/10/2012	3/20/2013	19	CME GROUP INC. CMN CLASS A	1,071.98	1,175.10	103.12
4/10/2012	3/20/2013	23	CME GROUP INC. CMN CLASS A	1,297.66	1,426.39	128.73
4/10/2012	3/20/2013	6	CME GROUP INC. CMN CLASS A	338.52	371.26	32.74
4/10/2012	3/20/2013	4	CME GROUP INC. CMN CLASS A	225.68	247.81	22.13
6/5/2012	3/20/2013	7	CME GROUP INC. CMN CLASS A	375.32	431.33	56.01
4/10/2012	4/19/2013	63	CME GROUP INC. CMN CLASS A	3,554.46	3,705.92	151.46
6/5/2012	4/19/2013	21	CME GROUP INC. CMN CLASS A	1,125.95	1,235.30	109.35
6/5/2012	5/9/2013	26	CME GROUP INC. CMN CLASS A	1,394.03	1,589.11	195.08
6/5/2012	5/9/2013	61	CME GROUP INC. CMN CLASS A	3,270.60	3,711.44	440.84
12/14/2012	5/9/2013	19	CME GROUP INC. CMN CLASS A	973.35	1,156.02	182.67
12/14/2012	5/10/2013	23	CME GROUP INC. CMN CLASS A	1,178.27	1,416.41	238.14
12/14/2012	5/10/2013	10	CME GROUP INC. CMN CLASS A	510.70	615.83	105.13
12/14/2012	5/10/2013	20	CME GROUP INC. CMN CLASS A	1,021.40	1,214.58	193.18
12/14/2012	5/10/2013	13	CME GROUP INC. CMN CLASS A	661.15	789.47	128.32
4/10/2012	2/4/2013	107	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	8,020.63	8,421.71	401.08
4/10/2012	4/16/2013	96	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	7,196.08	7,041.52	(154.56)
4/10/2012	4/16/2013	2	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	149.92	147.22	(2.70)
4/10/2012	4/25/2013	22	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	1,649.10	1,369.25	(279.85)
4/10/2012	4/25/2013	20	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	1,499.18	1,227.03	(272.15)
4/10/2012	4/25/2013	1	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	74.96	63.16	(11.80)
4/10/2012	4/26/2013	16	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	1,199.35	989.62	(209.73)
4/10/2012	4/26/2013	41	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	3,073.33	2,542.73	(530.60)
5/7/2012	4/26/2013	21	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	1,225.15	1,302.38	77.23
5/7/2012	4/26/2013	3	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	175.02	185.70	10.68
5/7/2012	4/26/2013	5	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	291.70	309.50	17.80
5/7/2012	4/26/2013	10	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	583.41	621.64	38.23
5/7/2012	4/26/2013	6	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	350.04	371.19	21.15
5/7/2012	4/26/2013	4	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	233.36	247.54	14.18
5/7/2012	4/29/2013	8	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	466.72	503.16	36.44
5/7/2012	4/29/2013	1	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	58.34	62.89	4.55
5/7/2012	4/29/2013	7	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	408.38	437.98	29.60
5/7/2012	4/29/2013	10	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	583.41	626.74	43.33
5/7/2012	4/29/2013	1	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	58.34	62.60	4.26
5/7/2012	4/29/2013	5	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	291.70	314.47	22.77
5/7/2012	4/29/2013	13	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	758.43	814.11	55.68
5/7/2012	4/29/2013	11	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	641.75	691.54	49.79
5/7/2012	4/29/2013	6	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	350.04	375.50	25.46
5/7/2012	4/29/2013	2	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CI	116.68	125.36	8.68
4/10/2012	2/4/2013	325	COMCAST CORP CL A	9,314.96	12,409.83	3,094.87
6/15/2012	2/4/2013	37	COSTCO WHSL CORP NEW	3,370.29	3,808.69	438.40
6/15/2012	9/13/2013	44	COSTCO WHSL CORP NEW	4,007.92	5,158.50	1,150.58
6/15/2012	9/13/2013	11	COSTCO WHSL CORP NEW	1,001.98	1,297.52	295.54
6/15/2012	9/16/2013	42	COSTCO WHSL CORP NEW	3,825.74	4,959.53	1,133.79
7/27/2012	2/4/2013	81	COVIDIEN PLC SHS	4,440.51	5,049.60	609.09
4/10/2012	2/4/2013	144	COVIDIEN PLC SHS	7,523.38	8,977.08	1,453.70
4/10/2012	4/8/2013	33	COVIDIEN PLC SHS	1,724.11	2,209.73	485.62
4/10/2012	4/9/2013	10	COVIDIEN PLC SHS	522.46	673.90	151.44
4/10/2012	4/10/2013	2	COVIDIEN PLC SHS	104.49	135.72	31.23
4/10/2012	4/10/2013	12	COVIDIEN PLC SHS	626.95	816.23	189.28
4/10/2012	4/11/2013	3	COVIDIEN PLC SHS	156.74	205.58	48.84
4/10/2012	4/11/2013	6	COVIDIEN PLC SHS	313.47	409.83	96.36
4/10/2012	4/11/2013	8	COVIDIEN PLC SHS	417.97	548.38	130.41
4/10/2012	4/11/2013	3	COVIDIEN PLC SHS	156.74	205.22	48.48
4/10/2012	4/12/2013	12	COVIDIEN PLC SHS	626.95	813.97	187.02
4/10/2012	4/15/2013	2	COVIDIEN PLC SHS	104.49	132.63	28.14
4/10/2012	4/16/2013	9	COVIDIEN PLC SHS	470.21	599.06	128.85

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open Date</u>	<u>Close Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Cost Basis</u>	<u>Proceeds</u>	<u>Gain Or (Loss)</u>	
						<u>Short Term</u>	<u>Long Term</u>
4/10/2012	4/16/2013	8	COVIDIEN PLC SHS	417.97	532.83		114.86
4/10/2012	4/16/2013	5	COVIDIEN PLC SHS	261.23	332.24		71.01
4/10/2012	4/17/2013	3	COVIDIEN PLC SHS	156.74	198.19		41.45
4/10/2012	4/17/2013	4	COVIDIEN PLC SHS	208.98	264.32		55.34
4/10/2012	4/17/2013	2	COVIDIEN PLC SHS	104.49	132.13		27.64
4/10/2012	4/18/2013	8	COVIDIEN PLC SHS	417.97	528.51		110.54
4/10/2012	8/8/2013	1	COVIDIEN PLC SHS	47.68	63.83		16.15
4/10/2012	8/8/2013	7	COVIDIEN PLC SHS	333.75	447.26		113.51
4/10/2012	8/8/2013	2	COVIDIEN PLC SHS	95.36	127.66		32.30
4/10/2012	8/9/2013	1	COVIDIEN PLC SHS	47.68	63.72		16.04
4/10/2012	8/9/2013	4	COVIDIEN PLC SHS	190.71	254.58		63.87
4/10/2012	8/12/2013	4	COVIDIEN PLC SHS	190.71	255.30		64.59
4/10/2012	8/12/2013	4	COVIDIEN PLC SHS	190.71	255.13		64.42
4/10/2012	8/13/2013	2	COVIDIEN PLC SHS	95.36	127.14		31.78
4/10/2012	8/13/2013	5	COVIDIEN PLC SHS	238.39	317.90		79.51
4/10/2012	8/13/2013	6	COVIDIEN PLC SHS	286.07	381.45		95.38
4/10/2012	8/13/2013	1	COVIDIEN PLC SHS	47.68	63.52		15.84
4/10/2012	8/14/2013	1	COVIDIEN PLC SHS	47.68	63.60		15.92
4/10/2012	8/14/2013	1	COVIDIEN PLC SHS	47.68	63.58		15.90
4/10/2012	8/14/2013	3	COVIDIEN PLC SHS	143.03	190.49		47.46
4/10/2012	8/14/2013	4	COVIDIEN PLC SHS	190.71	253.03		62.32
4/10/2012	8/15/2013	3	COVIDIEN PLC SHS	143.03	185.74		42.71
4/10/2012	8/15/2013	1	COVIDIEN PLC SHS	47.68	62.42		14.74
4/10/2012	8/15/2013	1	COVIDIEN PLC SHS	47.68	62.37		14.69
4/10/2012	8/19/2013	10	COVIDIEN PLC SHS	476.78	609.95		133.17
4/10/2012	8/20/2013	7	COVIDIEN PLC SHS	333.75	427.60		93.85
4/10/2012	8/20/2013	3	COVIDIEN PLC SHS	143.03	182.94		39.91
4/10/2012	8/21/2013	1	COVIDIEN PLC SHS	47.68	60.61		12.93
4/10/2012	8/22/2013	4	COVIDIEN PLC SHS	190.71	242.81		52.10
4/10/2012	8/22/2013	6	COVIDIEN PLC SHS	286.07	363.50		77.43
4/10/2012	8/23/2013	2	COVIDIEN PLC SHS	95.36	121.38		26.02
4/10/2012	8/23/2013	4	COVIDIEN PLC SHS	190.71	243.44		52.73
4/10/2012	8/23/2013	6	COVIDIEN PLC SHS	286.07	363.90		77.83
4/10/2012	8/26/2013	1	COVIDIEN PLC SHS	47.68	61.20		13.52
4/10/2012	8/26/2013	3	COVIDIEN PLC SHS	143.03	183.81		40.78
4/10/2012	8/26/2013	3	COVIDIEN PLC SHS	143.03	183.16		40.13
4/10/2012	8/27/2013	2	COVIDIEN PLC SHS	95.36	119.94		24.58
4/10/2012	8/27/2013	3	COVIDIEN PLC SHS	143.03	179.95		36.92
4/10/2012	8/28/2013	6	COVIDIEN PLC SHS	286.07	359.05		72.98
4/10/2012	8/28/2013	1	COVIDIEN PLC SHS	47.68	59.82		12.14
4/10/2012	8/29/2013	1	COVIDIEN PLC SHS	47.68	60.24		12.56
4/10/2012	8/29/2013	1	COVIDIEN PLC SHS	47.68	60.26		12.58
4/10/2012	8/29/2013	10	COVIDIEN PLC SHS	476.78	602.70		125.92
4/10/2012	8/30/2013	1	COVIDIEN PLC SHS	47.68	59.63		11.95
4/10/2012	8/30/2013	2	COVIDIEN PLC SHS	95.36	118.77		23.41
4/10/2012	9/3/2013	11	COVIDIEN PLC SHS	524.46	657.20		132.74
4/10/2012	9/3/2013	6	COVIDIEN PLC SHS	286.07	357.26		71.19
4/10/2012	9/4/2013	3	COVIDIEN PLC SHS	143.03	182.20		39.17
4/10/2012	9/4/2013	5	COVIDIEN PLC SHS	238.39	304.44		66.05
4/10/2012	9/4/2013	2	COVIDIEN PLC SHS	95.36	119.87		24.51
4/10/2012	9/4/2013	19	COVIDIEN PLC SHS	905.88	1,152.83		246.95
4/10/2012	9/4/2013	1	COVIDIEN PLC SHS	47.68	60.31		12.63
4/10/2012	9/4/2013	2	COVIDIEN PLC SHS	95.36	119.52		24.16
4/10/2012	9/5/2013	6	COVIDIEN PLC SHS	286.07	364.30		78.23
4/10/2012	9/5/2013	2	COVIDIEN PLC SHS	95.36	121.58		26.22
4/10/2012	9/6/2013	9	COVIDIEN PLC SHS	429.10	547.88		118.78
4/10/2012	9/9/2013	8	COVIDIEN PLC SHS	381.42	486.90		105.48
4/10/2012	9/9/2013	1	COVIDIEN PLC SHS	47.68	61.03		13.35
4/10/2012	9/9/2013	5	COVIDIEN PLC SHS	238.39	305.35		66.96
4/10/2012	9/10/2013	8	COVIDIEN PLC SHS	381.42	490.86		109.44
4/10/2012	9/10/2013	3	COVIDIEN PLC SHS	143.03	184.31		41.28
4/10/2012	9/11/2013	1	COVIDIEN PLC SHS	47.68	62.46		14.78
4/10/2012	9/11/2013	1	COVIDIEN PLC SHS	47.68	62.08		14.40
4/10/2012	9/11/2013	4	COVIDIEN PLC SHS	190.71	247.05		56.34

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u> <u>Long Term</u>
4/10/2012	9/12/2013	9	COVIDIEN PLC SHS	429.10	554.03	124.93
4/10/2012	9/12/2013	4	COVIDIEN PLC SHS	190.71	245.57	54.86
4/10/2012	9/12/2013	1	COVIDIEN PLC SHS	47.68	61.82	14.14
4/10/2012	9/12/2013	4	COVIDIEN PLC SHS	190.71	245.67	54.96
4/10/2012	9/13/2013	1	COVIDIEN PLC SHS	47.68	60.73	13.05
4/10/2012	9/13/2013	1	COVIDIEN PLC SHS	47.68	60.91	13.23
7/20/2012	2/4/2013	275	CSX CORPORATION	6,150.21	5,994.35	(155.86)
11/7/2012	2/4/2013	42	DAVITA INC	4,763.22	4,835.77	72.55
10/18/2012	2/4/2013	2	DAVITA INC	225.48	230.27	4.80
9/20/2013	11/15/2013	33	DAVITA INC	1,947.92	1,945.12	(2.80)
9/20/2013	11/15/2013	5	DAVITA INC	295.14	295.01	(0.13)
9/20/2013	11/15/2013	2	DAVITA INC	118.06	117.80	(0.26)
9/20/2013	11/15/2013	10	DAVITA INC	590.11	589.00	(1.11)
9/20/2013	11/15/2013	2	DAVITA INC	117.65	117.80	0.15
9/19/2013	11/15/2013	34	DAVITA INC	1,991.65	2,002.60	10.95
9/19/2013	11/15/2013	6	DAVITA INC	350.70	353.40	2.70
10/18/2012	11/15/2013	4	DAVITA INC	225.48	235.60	10.13
11/1/2012	11/15/2013	4	DAVITA INC	224.06	235.60	11.54
10/31/2012	11/15/2013	2	DAVITA INC	111.85	117.80	5.95
10/22/2012	11/15/2013	2	DAVITA INC	111.58	117.80	6.22
10/24/2012	11/15/2013	2	DAVITA INC	111.58	117.80	6.22
10/23/2012	11/15/2013	2	DAVITA INC	111.53	117.80	6.27
10/23/2012	11/15/2013	6	DAVITA INC	334.41	353.40	18.99
10/23/2012	11/15/2013	2	DAVITA INC	111.40	117.80	6.40
10/22/2012	11/15/2013	4	DAVITA INC	222.78	235.60	12.82
10/25/2012	11/15/2013	6	DAVITA INC	333.68	353.40	19.72
10/26/2012	11/15/2013	3	DAVITA INC	166.45	176.71	10.26
10/26/2012	11/18/2013	1	DAVITA INC	55.48	59.25	3.77
10/26/2012	11/18/2013	2	DAVITA INC	110.97	119.39	8.42
10/24/2012	11/18/2013	14	DAVITA INC	776.73	835.73	59.00
10/19/2012	11/18/2013	10	DAVITA INC	554.05	596.95	42.90
10/19/2012	11/18/2013	12	DAVITA INC	664.47	716.35	51.88
10/19/2012	11/18/2013	1	DAVITA INC	55.37	59.99	4.62
10/19/2012	11/18/2013	1	DAVITA INC	55.37	59.41	4.04
10/17/2012	11/18/2013	2	DAVITA INC	110.48	118.81	8.33
10/17/2012	11/19/2013	4	DAVITA INC	220.96	233.53	12.57
10/12/2012	11/19/2013	20	DAVITA INC	1,103.03	1,167.62	64.60
10/12/2012	11/19/2013	4	DAVITA INC	220.61	233.87	13.27
10/11/2012	11/19/2013	6	DAVITA INC	329.42	350.80	21.38
10/11/2012	11/20/2013	1	DAVITA INC	54.90	58.56	3.66
10/11/2012	11/20/2013	3	DAVITA INC	164.71	174.52	9.81
10/16/2012	11/20/2013	2	DAVITA INC	109.76	116.35	6.59
10/10/2012	11/20/2013	6	DAVITA INC	328.74	349.05	20.31
10/10/2012	11/20/2013	4	DAVITA INC	219.16	232.42	13.26
10/15/2012	11/20/2013	1	DAVITA INC	54.79	58.10	3.31
10/15/2012	11/21/2013	15	DAVITA INC	821.85	854.87	33.02
10/15/2012	11/21/2013	8	DAVITA INC	438.32	455.98	17.66
10/11/2012	11/21/2013	2	DAVITA INC	109.55	113.99	4.44
10/16/2012	11/21/2013	1	DAVITA INC	54.64	57.76	3.12
10/16/2012	11/22/2013	1	DAVITA INC	54.64	56.39	1.75
10/15/2012	11/22/2013	6	DAVITA INC	327.39	338.36	10.97
10/4/2012	11/22/2013	11	DAVITA INC	596.58	620.34	23.76
10/4/2012	11/22/2013	1	DAVITA INC	54.23	56.47	2.24
10/4/2012	11/22/2013	4	DAVITA INC	216.94	225.57	8.63
10/4/2012	11/22/2013	6	DAVITA INC	325.41	338.05	12.64
10/4/2012	11/25/2013	10	DAVITA INC	542.34	599.06	56.72
10/8/2012	11/25/2013	6	DAVITA INC	324.94	359.44	34.50
10/8/2012	11/25/2013	4	DAVITA INC	216.63	243.90	27.27
10/5/2012	11/25/2013	20	DAVITA INC	1,082.48	1,219.52	137.04
10/8/2012	11/25/2013	6	DAVITA INC	324.48	365.86	41.38
10/5/2012	11/25/2013	6	DAVITA INC	324.20	365.86	41.66
10/8/2012	11/25/2013	6	DAVITA INC	324.01	365.86	41.85
10/9/2012	11/25/2013	3	DAVITA INC	161.61	182.93	21.32
10/9/2012	11/25/2013	3	DAVITA INC	161.61	180.38	18.77
10/9/2012	11/25/2013	10	DAVITA INC	538.70	607.92	69.22

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u> <u>Long Term</u>
12/12/2012	2/4/2013	119	DOW CHEMICAL CO	3,719.02	3,859.08	140.06
12/12/2012	2/4/2013	20	DOW CHEMICAL CO	624.30	648.58	24.28
3/13/2013	9/13/2013	69	DOW CHEMICAL CO	2,298.71	2,735.72	437.01
3/13/2013	9/13/2013	68	DOW CHEMICAL CO	2,259.78	2,696.08	436.30
10/22/2013	11/7/2013	28	DOW CHEMICAL CO	1,163.89	1,094.20	(69.69)
10/22/2013	11/7/2013	3	DOW CHEMICAL CO	124.70	117.86	(6.84)
10/22/2013	11/7/2013	7	DOW CHEMICAL CO	290.97	274.53	(16.44)
10/22/2013	11/8/2013	11	DOW CHEMICAL CO	457.24	434.29	(22.95)
10/22/2013	11/11/2013	6	DOW CHEMICAL CO	249.40	237.66	(11.74)
10/22/2013	11/11/2013	14	DOW CHEMICAL CO	581.94	553.70	(28.24)
10/22/2013	11/12/2013	8	DOW CHEMICAL CO	332.54	314.52	(18.02)
10/22/2013	11/12/2013	3	DOW CHEMICAL CO	124.70	118.53	(6.17)
10/22/2013	11/13/2013	4	DOW CHEMICAL CO	166.27	156.91	(9.36)
10/22/2013	11/13/2013	17	DOW CHEMICAL CO	706.65	668.93	(37.72)
3/13/2013	11/13/2013	7	DOW CHEMICAL CO	232.62	275.44	42.82
3/1/2013	11/13/2013	34	DOW CHEMICAL CO	1,080.87	1,337.85	256.98
3/1/2013	11/13/2013	5	DOW CHEMICAL CO	158.95	196.50	37.55
3/1/2013	11/13/2013	1	DOW CHEMICAL CO	31.79	39.32	7.53
3/1/2013	11/13/2013	4	DOW CHEMICAL CO	127.16	157.10	29.94
3/1/2013	11/14/2013	22	DOW CHEMICAL CO	699.39	879.17	179.78
3/1/2013	11/14/2013	2	DOW CHEMICAL CO	63.58	79.61	16.03
8/2/2013	10/17/2013	1	DTE ENERGY HOLDING CO	71.60	66.31	(5.29)
8/2/2013	10/17/2013	1	DTE ENERGY HOLDING CO	71.43	66.31	(5.12)
8/1/2013	10/17/2013	4	DTE ENERGY HOLDING CO	285.26	265.25	(20.01)
8/2/2013	10/17/2013	1	DTE ENERGY HOLDING CO	71.22	66.31	(4.91)
8/2/2013	10/17/2013	1	DTE ENERGY HOLDING CO	71.21	66.31	(4.90)
8/8/2013	10/17/2013	1	DTE ENERGY HOLDING CO	71.19	66.31	(4.88)
8/5/2013	10/17/2013	1	DTE ENERGY HOLDING CO	71.02	66.31	(4.71)
8/5/2013	10/17/2013	1	DTE ENERGY HOLDING CO	70.99	66.31	(4.68)
8/5/2013	10/17/2013	2	DTE ENERGY HOLDING CO	141.96	132.63	(9.33)
8/6/2013	10/17/2013	1	DTE ENERGY HOLDING CO	70.96	66.34	(4.62)
8/6/2013	10/17/2013	7	DTE ENERGY HOLDING CO	496.70	468.22	(28.48)
8/6/2013	10/17/2013	6	DTE ENERGY HOLDING CO	424.83	401.33	(23.50)
8/9/2013	10/17/2013	1	DTE ENERGY HOLDING CO	70.81	66.89	(3.92)
8/9/2013	10/17/2013	6	DTE ENERGY HOLDING CO	424.83	400.29	(24.54)
8/6/2013	10/17/2013	1	DTE ENERGY HOLDING CO	70.76	66.72	(4.04)
8/7/2013	10/17/2013	1	DTE ENERGY HOLDING CO	70.71	66.72	(3.99)
8/7/2013	10/17/2013	1	DTE ENERGY HOLDING CO	70.68	66.72	(3.96)
8/7/2013	10/17/2013	1	DTE ENERGY HOLDING CO	70.66	66.72	(3.94)
7/31/2013	10/17/2013	4	DTE ENERGY HOLDING CO	282.02	266.86	(15.16)
8/12/2013	10/17/2013	1	DTE ENERGY HOLDING CO	70.31	66.72	(3.59)
8/13/2013	10/17/2013	1	DTE ENERGY HOLDING CO	70.14	66.72	(3.42)
8/13/2013	10/17/2013	1	DTE ENERGY HOLDING CO	69.97	66.72	(3.25)
8/13/2013	10/17/2013	3	DTE ENERGY HOLDING CO	209.86	200.15	(9.71)
8/14/2013	10/17/2013	2	DTE ENERGY HOLDING CO	138.82	133.43	(5.39)
8/14/2013	10/17/2013	2	DTE ENERGY HOLDING CO	138.72	133.43	(5.29)
8/15/2013	10/17/2013	2	DTE ENERGY HOLDING CO	137.59	133.43	(4.16)
8/15/2013	10/17/2013	2	DTE ENERGY HOLDING CO	137.52	133.43	(4.09)
8/15/2013	10/17/2013	3	DTE ENERGY HOLDING CO	205.69	200.15	(5.54)
8/16/2013	10/17/2013	1	DTE ENERGY HOLDING CO	67.83	66.72	(1.11)
8/16/2013	10/17/2013	1	DTE ENERGY HOLDING CO	67.66	66.72	(0.94)
8/20/2013	10/17/2013	5	DTE ENERGY HOLDING CO	338.04	333.58	(4.46)
8/20/2013	10/17/2013	1	DTE ENERGY HOLDING CO	67.59	66.72	(0.87)
8/16/2013	10/17/2013	1	DTE ENERGY HOLDING CO	67.42	66.68	(0.74)
8/23/2013	10/17/2013	2	DTE ENERGY HOLDING CO	134.56	134.25	(0.31)
8/28/2013	10/17/2013	5	DTE ENERGY HOLDING CO	336.26	335.61	(0.65)
8/19/2013	10/17/2013	1	DTE ENERGY HOLDING CO	67.21	67.12	(0.09)
8/27/2013	10/17/2013	2	DTE ENERGY HOLDING CO	134.42	133.21	(1.21)
8/23/2013	10/17/2013	1	DTE ENERGY HOLDING CO	67.21	66.61	(0.60)
8/23/2013	10/17/2013	1	DTE ENERGY HOLDING CO	67.21	66.19	(1.02)
8/23/2013	10/17/2013	1	DTE ENERGY HOLDING CO	67.19	66.19	(1.00)
8/27/2013	10/17/2013	4	DTE ENERGY HOLDING CO	268.70	264.78	(3.92)
8/19/2013	10/17/2013	1	DTE ENERGY HOLDING CO	67.14	66.20	(0.94)
8/19/2013	10/18/2013	5	DTE ENERGY HOLDING CO	335.69	336.83	1.14
8/19/2013	10/18/2013	2	DTE ENERGY HOLDING CO	134.25	134.73	0.48

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u> <u>Long Term</u>
8/26/2013	10/18/2013	5	DTE ENERGY HOLDING CO	335.55	336.83	1.28
8/21/2013	10/18/2013	1	DTE ENERGY HOLDING CO	67.11	67.36	0.26
8/21/2013	10/18/2013	5	DTE ENERGY HOLDING CO	335.53	336.86	1.34
8/29/2013	10/18/2013	3	DTE ENERGY HOLDING CO	201.06	202.11	1.05
8/29/2013	10/18/2013	1	DTE ENERGY HOLDING CO	67.02	67.39	0.37
8/22/2013	10/18/2013	1	DTE ENERGY HOLDING CO	66.94	67.39	0.45
8/21/2013	10/18/2013	1	DTE ENERGY HOLDING CO	66.93	67.39	0.46
9/11/2013	10/18/2013	3	DTE ENERGY HOLDING CO	200.42	202.19	1.77
9/11/2013	10/18/2013	5	DTE ENERGY HOLDING CO	334.03	336.38	2.35
9/11/2013	10/21/2013	4	DTE ENERGY HOLDING CO	267.22	267.26	0.04
9/11/2013	10/21/2013	9	DTE ENERGY HOLDING CO	601.26	600.09	(1.17)
9/11/2013	10/21/2013	2	DTE ENERGY HOLDING CO	133.58	133.35	(0.23)
9/11/2013	10/21/2013	11	DTE ENERGY HOLDING CO	734.71	734.82	0.11
9/11/2013	10/22/2013	13	DTE ENERGY HOLDING CO	868.29	877.58	9.29
9/11/2013	10/22/2013	7	DTE ENERGY HOLDING CO	467.54	472.12	4.58
9/11/2013	10/22/2013	7	DTE ENERGY HOLDING CO	464.90	472.12	7.22
8/14/2013	10/23/2013	2	EATON CORP COM	133.80	135.47	1.67
8/14/2013	10/23/2013	43	EATON CORP COM	2,874.23	2,912.65	38.42
8/14/2013	10/23/2013	20	EATON CORP COM	1,336.49	1,354.72	18.23
8/14/2013	10/23/2013	14	EATON CORP COM	934.92	948.30	13.38
8/5/2013	10/23/2013	79	EATON CORP COM	5,241.69	5,351.15	109.46
8/5/2013	10/23/2013	19	EATON CORP COM	1,260.66	1,286.97	26.31
8/5/2013	10/24/2013	83	EATON CORP COM	5,507.09	5,652.16	145.07
8/15/2013	10/24/2013	4	EATON CORP COM	263.13	272.39	9.26
8/15/2013	10/24/2013	9	EATON CORP COM	589.38	612.88	23.50
8/15/2013	10/24/2013	15	EATON CORP COM	980.99	1,021.48	40.49
6/17/2013	9/11/2013	1	EDISON INTERNATIONAL	47.78	44.91	(2.87)
6/17/2013	9/11/2013	14	EDISON INTERNATIONAL	668.87	630.79	(38.08)
6/18/2013	9/11/2013	1	EDISON INTERNATIONAL	47.68	45.06	(2.62)
6/18/2013	9/11/2013	3	EDISON INTERNATIONAL	142.70	135.17	(7.53)
6/18/2013	9/11/2013	3	EDISON INTERNATIONAL	142.66	135.17	(7.49)
6/19/2013	9/11/2013	3	EDISON INTERNATIONAL	142.49	135.17	(7.32)
6/7/2013	9/11/2013	4	EDISON INTERNATIONAL	189.64	180.21	(9.43)
6/7/2013	9/11/2013	1	EDISON INTERNATIONAL	47.41	44.98	(2.43)
6/17/2013	9/11/2013	2	EDISON INTERNATIONAL	94.77	89.95	(4.82)
6/17/2013	9/12/2013	6	EDISON INTERNATIONAL	284.30	268.74	(15.56)
6/14/2013	9/12/2013	1	EDISON INTERNATIONAL	47.36	44.79	(2.57)
6/7/2013	9/12/2013	2	EDISON INTERNATIONAL	94.66	89.58	(5.08)
6/7/2013	9/12/2013	2	EDISON INTERNATIONAL	94.66	89.20	(5.46)
6/7/2013	9/12/2013	1	EDISON INTERNATIONAL	47.31	44.60	(2.71)
6/10/2013	9/12/2013	14	EDISON INTERNATIONAL	661.85	624.38	(37.47)
6/10/2013	9/12/2013	5	EDISON INTERNATIONAL	236.33	222.99	(13.34)
6/7/2013	9/12/2013	3	EDISON INTERNATIONAL	141.78	133.80	(7.98)
6/10/2013	9/12/2013	3	EDISON INTERNATIONAL	141.74	133.80	(7.94)
6/7/2013	9/12/2013	14	EDISON INTERNATIONAL	661.15	624.38	(36.77)
6/10/2013	9/12/2013	1	EDISON INTERNATIONAL	47.22	44.60	(2.62)
6/14/2013	9/12/2013	1	EDISON INTERNATIONAL	47.20	44.60	(2.60)
6/14/2013	9/12/2013	13	EDISON INTERNATIONAL	613.50	579.78	(33.72)
6/19/2013	9/12/2013	3	EDISON INTERNATIONAL	141.57	133.80	(7.77)
6/19/2013	9/12/2013	3	EDISON INTERNATIONAL	141.57	134.33	(7.24)
6/19/2013	9/13/2013	3	EDISON INTERNATIONAL	141.57	134.86	(6.71)
6/10/2013	9/13/2013	2	EDISON INTERNATIONAL	94.35	89.91	(4.44)
6/19/2013	9/13/2013	7	EDISON INTERNATIONAL	330.03	314.68	(15.35)
6/10/2013	9/13/2013	1	EDISON INTERNATIONAL	47.08	44.95	(2.13)
6/10/2013	9/13/2013	4	EDISON INTERNATIONAL	188.30	179.90	(8.40)
6/10/2013	9/13/2013	8	EDISON INTERNATIONAL	376.54	359.80	(16.74)
6/10/2013	9/13/2013	1	EDISON INTERNATIONAL	47.01	44.97	(2.04)
6/13/2013	9/13/2013	16	EDISON INTERNATIONAL	744.44	719.59	(24.85)
6/20/2013	9/13/2013	3	EDISON INTERNATIONAL	137.65	134.92	(2.73)
6/20/2013	9/13/2013	1	EDISON INTERNATIONAL	45.68	44.97	(0.71)
6/21/2013	9/13/2013	11	EDISON INTERNATIONAL	502.46	494.72	(7.74)
6/21/2013	9/13/2013	3	EDISON INTERNATIONAL	136.44	134.92	(1.52)
6/20/2013	9/13/2013	6	EDISON INTERNATIONAL	272.45	269.85	(2.60)
6/20/2013	9/13/2013	2	EDISON INTERNATIONAL	90.50	89.96	(0.54)
4/10/2012	2/4/2013	115	EMERSON ELEC CO COM	5,683.30	6,623.85	940.55

STATEMENT C (2)
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LUCIUS N. LITTAUER FOUNDATION, INC.
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12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u> <u>Long Term</u>
4/10/2012	4/12/2013	140	EMERSON ELEC CO COM	6,918.80	7,829.77	
4/10/2012	4/12/2013	68	EMERSON ELEC CO COM	3,360.56	3,790.95	
9/19/2013	12/13/2013	73	EMERSON ELEC CO COM	4,855.75	4,834.71	(21.04)
9/19/2013	12/16/2013	8	EMERSON ELEC CO COM	532.14	535.69	3.55
4/10/2012	12/16/2013	19	EMERSON ELEC CO COM	938.98	1,272.27	
4/10/2012	12/17/2013	23	EMERSON ELEC CO COM	1,136.66	1,532.42	
4/10/2012	12/19/2013	26	EMERSON ELEC CO COM	1,284.92	1,767.79	
7/18/2012	2/4/2013	31	ENSCO INT'L INC.	1,550.64	1,988.92	
7/18/2012	2/4/2013	81	ENSCO INT'L INC.	4,041.45	5,196.84	
7/18/2012	2/4/2013	13	ENSCO INT'L INC.	647.44	834.06	
7/18/2012	9/19/2013	1	ENSCO INT'L INC.	49.80	56.17	
7/18/2012	9/19/2013	4	ENSCO INT'L INC.	199.21	222.46	
7/18/2012	9/19/2013	6	ENSCO INT'L INC.	298.49	333.68	
7/18/2012	9/19/2013	1	ENSCO INT'L INC.	49.75	56.33	
7/18/2012	9/19/2013	1	ENSCO INT'L INC.	49.75	55.44	
7/3/2012	9/19/2013	10	ENSCO INT'L INC.	485.68	554.41	
7/3/2012	9/20/2013	31	ENSCO INT'L INC.	1,505.60	1,704.77	
7/3/2012	11/25/2013	1	ENSCO INT'L INC.	48.57	59.70	
7/3/2012	11/25/2013	3	ENSCO INT'L INC.	145.70	178.40	
7/3/2012	11/25/2013	4	ENSCO INT'L INC.	194.27	238.78	
7/3/2012	11/25/2013	4	ENSCO INT'L INC.	194.27	237.47	
7/3/2012	11/25/2013	47	ENSCO INT'L INC.	2,282.68	2,802.51	
7/3/2012	11/25/2013	18	ENSCO INT'L INC.	874.22	1,066.48	
7/3/2012	11/25/2013	8	ENSCO INT'L INC.	388.54	479.11	
7/3/2012	11/25/2013	3	ENSCO INT'L INC.	145.70	179.45	
7/3/2012	11/26/2013	1	ENSCO INT'L INC.	48.57	59.27	
7/3/2012	11/26/2013	3	ENSCO INT'L INC.	145.70	177.04	
7/3/2012	11/26/2013	1	ENSCO INT'L INC.	48.57	59.28	
7/3/2012	11/26/2013	9	ENSCO INT'L INC.	437.11	532.74	
7/3/2012	11/26/2013	9	ENSCO INT'L INC.	437.11	533.92	
7/3/2012	11/26/2013	25	ENSCO INT'L INC.	1,210.88	1,483.10	
7/3/2012	11/26/2013	1	ENSCO INT'L INC.	48.31	59.32	
7/2/2012	11/26/2013	11	ENSCO INT'L INC.	514.53	652.56	
7/2/2012	11/26/2013	10	ENSCO INT'L INC.	467.76	599.17	
7/2/2012	11/26/2013	28	ENSCO INT'L INC.	1,309.72	1,660.41	
7/2/2012	11/26/2013	46	ENSCO INT'L INC.	2,151.68	2,754.89	
7/2/2012	11/27/2013	3	ENSCO INT'L INC.	140.33	178.63	
7/2/2012	11/27/2013	7	ENSCO INT'L INC.	327.43	418.04	
7/2/2012	11/27/2013	8	ENSCO INT'L INC.	374.21	477.92	
9/7/2012	1/18/2013	5	EOG RESOURCES INC	563.09	630.96	
4/24/2012	1/18/2013	35	EOG RESOURCES INC	3,652.35	4,416.73	
4/24/2012	1/18/2013	10	EOG RESOURCES INC	1,043.53	1,255.47	
4/24/2012	2/4/2013	7	EOG RESOURCES INC	730.47	887.16	
4/10/2012	2/4/2013	43	EOG RESOURCES INC	4,486.84	5,449.69	
4/10/2012	5/17/2013	27	EOG RESOURCES INC	2,817.32	3,638.58	
4/10/2012	12/3/2013	53	EOG RESOURCES INC	5,530.29	8,757.87	
1/1/1970	2/4/2013	150	EXXON MOBIL CORP	568.33	13,339.20	
1/1/1970	5/20/2013	93	EXXON MOBIL CORP	352.36	8,598.07	
1/1/1970	5/31/2013	43	EXXON MOBIL CORP	162.92	3,944.69	
12/21/2012	2/4/2013	49	FLUOR CORP	2,884.53	3,192.77	
11/15/2012	2/4/2013	101	FLUOR CORP	5,237.13	6,581.01	
4/10/2012	2/4/2013	225	FREEMPORT-MCMORAN COPPER & GOLD INC CL	8,197.99	8,025.59	
4/10/2012	3/1/2013	227	FREEMPORT-MCMORAN COPPER & GOLD INC CL	8,270.86	7,123.24	
4/13/2012	2/4/2013	80	GENERAL MILLS INC COM	3,108.62	3,345.52	
4/12/2012	2/4/2013	128	GENERAL MILLS INC COM	4,955.32	5,352.84	
4/12/2012	2/13/2013	20	GENERAL MILLS INC COM	774.27	857.48	
4/12/2012	2/13/2013	122	GENERAL MILLS INC COM	4,723.04	5,235.86	
4/10/2012	2/13/2013	102	GENERAL MILLS INC COM	3,931.88	4,377.53	
12/21/2012	2/4/2013	108	GENERAL MOTORS COMPANY	2,933.71	3,040.24	
12/4/2012	2/4/2013	41	GENERAL MOTORS COMPANY	1,043.01	1,154.17	
12/4/2012	2/4/2013	105	GENERAL MOTORS COMPANY	2,665.69	2,955.79	
12/5/2012	2/4/2013	61	GENERAL MOTORS COMPANY	1,547.78	1,717.17	
12/5/2012	2/4/2013	23	GENERAL MOTORS COMPANY	582.13	647.46	
9/19/2012	2/4/2013	37	GENERAL MOTORS COMPANY	925.96	1,041.56	
4/10/2012	1/16/2013	3	GOLDMAN SACHS GROUP INC	346.97	421.73	

STATEMENT C (2)
REALIZED GAINS AND LOSSES
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<u>Open</u> <u>Date</u>	<u>Close</u> <u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Cost</u> <u>Basis</u>	<u>Proceeds</u>	<u>Gain Or (Loss)</u>	
						<u>Short Term</u>	<u>Long Term</u>
4/10/2012	1/16/2013	21	GOLDMAN SACHS GROUP INC	2,428.79	2,932.69	503.90	
4/10/2012	1/16/2013	1	GOLDMAN SACHS GROUP INC	115.66	141.03	25.37	
4/10/2012	1/16/2013	24	GOLDMAN SACHS GROUP INC	2,775.76	3,383.79	608.03	
4/10/2012	1/16/2013	22	GOLDMAN SACHS GROUP INC	2,544.45	3,100.66	556.21	
4/10/2012	1/16/2013	6	GOLDMAN SACHS GROUP INC	693.94	837.53	143.59	
4/10/2012	1/16/2013	13	GOLDMAN SACHS GROUP INC	1,503.54	1,810.45	306.91	
4/10/2012	2/4/2013	50	GOLDMAN SACHS GROUP INC	5,782.84	7,383.33	1,600.49	
4/10/2012	4/18/2013	30	GOLDMAN SACHS GROUP INC	3,469.70	4,182.31		712.61
4/10/2012	4/19/2013	102	GOLDMAN SACHS GROUP INC	11,796.99	14,136.63		2,339.64
9/26/2012	2/4/2013	16	GOOGLE INC CL A	12,061.54	12,287.40	225.86	
8/13/2012	2/4/2013	4	GOOGLE INC CL A	2,614.35	3,071.85	457.50	
5/22/2013	7/16/2013	4	GOOGLE INC CL A	3,623.80	3,666.00	42.20	
5/22/2013	7/16/2013	2	GOOGLE INC CL A	1,810.61	1,833.00	22.39	
5/22/2013	7/16/2013	5	GOOGLE INC CL A	4,519.83	4,589.57	69.74	
4/4/2013	7/16/2013	1	GOOGLE INC CL A	794.08	917.91	123.84	
4/4/2013	7/17/2013	2	GOOGLE INC CL A	1,588.15	1,838.30	250.15	
4/4/2013	7/17/2013	1	GOOGLE INC CL A	794.08	918.01	123.94	
4/16/2013	7/17/2013	1	GOOGLE INC CL A	793.06	918.00	124.94	
4/16/2013	7/17/2013	2	GOOGLE INC CL A	1,586.13	1,836.84	250.72	
1/7/2013	2/13/2013	47	HALLIBURTON COMPANY	1,722.06	1,926.17	204.11	
1/7/2013	2/13/2013	76	HALLIBURTON COMPANY	2,784.61	3,101.91	317.30	
1/4/2013	2/13/2013	35	HALLIBURTON COMPANY	1,282.31	1,428.51	146.20	
1/4/2013	2/13/2013	76	HALLIBURTON COMPANY	2,782.28	3,101.91	319.63	
1/4/2013	2/13/2013	69	HALLIBURTON COMPANY	2,519.54	2,816.20	296.66	
1/4/2013	2/13/2013	7	HALLIBURTON COMPANY	255.12	285.70	30.58	
1/4/2013	2/13/2013	16	HALLIBURTON COMPANY	583.11	653.03	69.92	
8/13/2013	9/19/2013	13	HEWLETT PACKARD CO	357.09	277.77	(79.32)	
8/13/2013	9/19/2013	19	HEWLETT PACKARD CO	520.71	405.98	(114.73)	
8/13/2013	9/19/2013	18	HEWLETT PACKARD CO	492.99	384.61	(108.38)	
8/5/2013	9/19/2013	172	HEWLETT PACKARD CO	4,649.52	3,675.15	(974.37)	
8/5/2013	9/19/2013	299	HEWLETT PACKARD CO	8,082.60	6,434.28	(1,648.32)	
8/12/2013	9/19/2013	6	HEWLETT PACKARD CO	160.53	129.12	(31.41)	
8/9/2013	9/19/2013	21	HEWLETT PACKARD CO	561.74	451.91	(109.83)	
8/12/2013	9/19/2013	2	HEWLETT PACKARD CO	53.48	43.04	(10.44)	
8/12/2013	9/19/2013	44	HEWLETT PACKARD CO	1,176.16	946.85	(229.31)	
8/12/2013	9/19/2013	35	HEWLETT PACKARD CO	934.84	753.18	(181.66)	
8/9/2013	9/19/2013	42	HEWLETT PACKARD CO	1,120.49	903.80	(216.69)	
8/9/2013	9/20/2013	3	HEWLETT PACKARD CO	80.03	64.01	(16.02)	
4/10/2012	2/4/2013	150	HOME DEPOT INC	7,438.50	10,003.27	2,564.77	
4/10/2012	2/4/2013	165	HONEYWELL INT'L INC	9,419.59	11,340.21	1,920.62	
4/10/2012	2/4/2013	120	HUMANA INC	10,581.11	9,436.58	(1,144.53)	
3/1/2013	9/26/2013	12	INTUITIVE SURGICAL INC NEW	6,641.04	4,407.38	(2,233.66)	
3/1/2013	9/26/2013	2	INTUITIVE SURGICAL INC NEW	1,106.71	734.56	(372.15)	
3/6/2013	9/26/2013	2	INTUITIVE SURGICAL INC NEW	1,057.96	734.56	(323.40)	
3/6/2013	9/26/2013	1	INTUITIVE SURGICAL INC NEW	520.36	367.28	(153.08)	
3/8/2013	9/26/2013	1	INTUITIVE SURGICAL INC NEW	515.68	367.28	(148.40)	
3/7/2013	9/26/2013	1	INTUITIVE SURGICAL INC NEW	514.92	367.28	(147.64)	
3/8/2013	9/26/2013	1	INTUITIVE SURGICAL INC NEW	514.59	367.28	(147.31)	
3/7/2013	9/26/2013	1	INTUITIVE SURGICAL INC NEW	513.14	367.28	(145.86)	
3/7/2013	9/26/2013	1	INTUITIVE SURGICAL INC NEW	512.96	367.30	(145.66)	
12/14/2012	2/4/2013	92	INVESCO LTD. CMN	2,344.72	2,482.56	137.84	
12/14/2012	2/4/2013	66	INVESCO LTD. CMN	1,681.71	1,780.97	99.26	
12/14/2012	2/4/2013	30	INVESCO LTD. CMN	763.22	809.53	46.31	
12/14/2012	2/4/2013	17	INVESCO LTD. CMN	432.40	458.73	26.33	
4/10/2012	2/4/2013	95	INVESCO LTD. CMN	2,343.65	2,563.52	219.87	
4/10/2012	6/28/2013	2	INVESCO LTD. CMN	49.34	63.67		14.33
4/10/2012	6/28/2013	8	INVESCO LTD. CMN	197.36	254.41		57.05
4/10/2012	6/28/2013	6	INVESCO LTD. CMN	148.02	191.39		43.37
4/10/2012	6/28/2013	13	INVESCO LTD. CMN	320.71	413.64		92.93
4/10/2012	6/28/2013	8	INVESCO LTD. CMN	197.36	255.17		57.81
4/10/2012	7/1/2013	1	INVESCO LTD. CMN	24.67	32.11		7.44
4/10/2012	7/1/2013	4	INVESCO LTD. CMN	98.68	128.46		29.78
4/10/2012	7/1/2013	1	INVESCO LTD. CMN	24.67	31.90		7.23
4/10/2012	7/2/2013	3	INVESCO LTD. CMN	74.01	94.57		20.56
4/10/2012	7/3/2013	3	INVESCO LTD. CMN	74.01	94.55		20.54

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TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u> <u>Long Term</u>
4/10/2012	7/5/2013	4	INVESCO LTD. CMN	98.68	127.19	28.51
4/10/2012	7/8/2013	5	INVESCO LTD. CMN	123.35	159.71	36.36
4/10/2012	7/9/2013	1	INVESCO LTD. CMN	24.67	31.73	7.06
4/10/2012	7/9/2013	10	INVESCO LTD. CMN	246.70	320.07	73.37
4/10/2012	7/10/2013	1	INVESCO LTD. CMN	24.67	31.58	6.91
4/10/2012	7/10/2013	8	INVESCO LTD. CMN	197.36	251.35	53.99
4/10/2012	7/11/2013	18	INVESCO LTD. CMN	444.06	577.09	133.03
4/10/2012	7/11/2013	7	INVESCO LTD. CMN	172.69	224.45	51.76
4/10/2012	7/12/2013	3	INVESCO LTD. CMN	74.01	96.00	21.99
4/10/2012	7/12/2013	1	INVESCO LTD. CMN	24.67	32.04	7.37
4/10/2012	7/12/2013	29	INVESCO LTD. CMN	715.43	924.94	209.51
4/10/2012	7/15/2013	4	INVESCO LTD. CMN	98.68	127.77	29.09
4/10/2012	7/15/2013	7	INVESCO LTD. CMN	172.69	223.12	50.43
4/10/2012	7/15/2013	1	INVESCO LTD. CMN	24.67	31.96	7.29
4/10/2012	7/15/2013	2	INVESCO LTD. CMN	49.34	63.56	14.22
4/10/2012	7/16/2013	1	INVESCO LTD. CMN	24.67	31.93	7.26
4/10/2012	7/16/2013	5	INVESCO LTD. CMN	123.35	159.59	36.24
4/10/2012	7/16/2013	4	INVESCO LTD. CMN	98.68	127.71	29.03
4/10/2012	7/16/2013	1	INVESCO LTD. CMN	24.67	31.90	7.23
4/10/2012	7/17/2013	3	INVESCO LTD. CMN	74.01	95.90	21.89
4/10/2012	7/18/2013	5	INVESCO LTD. CMN	123.35	162.34	38.99
4/10/2012	7/18/2013	7	INVESCO LTD. CMN	172.69	228.02	55.33
4/10/2012	7/18/2013	5	INVESCO LTD. CMN	123.35	162.85	39.50
4/10/2012	7/18/2013	1	INVESCO LTD. CMN	24.67	32.52	7.85
4/10/2012	7/19/2013	1	INVESCO LTD. CMN	24.67	32.52	7.85
4/10/2012	7/19/2013	1	INVESCO LTD. CMN	24.67	32.26	7.59
4/10/2012	7/19/2013	7	INVESCO LTD. CMN	172.69	226.80	54.11
4/10/2012	7/19/2013	2	INVESCO LTD. CMN	49.34	64.43	15.09
4/10/2012	7/19/2013	2	INVESCO LTD. CMN	49.34	64.48	15.14
4/10/2012	7/22/2013	4	INVESCO LTD. CMN	98.68	131.29	32.61
4/10/2012	7/22/2013	2	INVESCO LTD. CMN	49.34	65.47	16.13
4/10/2012	7/22/2013	4	INVESCO LTD. CMN	98.68	131.57	32.89
4/10/2012	7/22/2013	4	INVESCO LTD. CMN	98.68	131.70	33.02
4/10/2012	7/22/2013	2	INVESCO LTD. CMN	49.34	65.98	16.64
4/10/2012	7/22/2013	3	INVESCO LTD. CMN	74.01	98.86	24.85
4/10/2012	7/22/2013	2	INVESCO LTD. CMN	49.34	65.28	15.94
4/10/2012	10/17/2013	178	INVESCO LTD. CMN	4,391.26	5,758.61	1,367.35
4/10/2012	11/12/2013	166	INVESCO LTD. CMN	4,095.22	5,513.24	1,418.02
2/4/2013	8/5/2013	4576	ISHARES MSCI CHINA INDEX FD	224,202.95	197,639.49	(26,563.46)
2/5/2013	8/5/2013	131	ISHARES MSCI CHINA INDEX FD	6,401.92	5,657.95	(743.97)
2/4/2013	8/5/2013	2288	ISHARES MSCI CHINA INDEX FD	111,706.11	98,819.74	(12,886.37)
2/6/2013	8/5/2013	687	ISHARES MSCI CHINA INDEX FD	33,493.04	29,671.84	(3,821.20)
2/6/2013	8/5/2013	98	ISHARES MSCI CHINA INDEX FD	4,762.57	4,232.66	(529.91)
2/6/2013	8/5/2013	220	ISHARES MSCI CHINA INDEX FD	10,645.03	9,501.90	(1,143.13)
4/9/2012	10/11/2013	2300	ISHARES RUSSELL MIDCAP GROWTH IDX	142,450.50	179,972.32	37,521.82
10/31/2013	12/19/2013	75	JOHNSON & JOHNSON COM	6,968.51	6,878.10	(90.41)
9/3/2013	12/19/2013	11	JOHNSON & JOHNSON COM	948.73	1,008.79	60.06
4/10/2012	1/18/2013	210	JOHNSON CTLS INC	6,414.58	6,454.25	39.67
4/10/2012	1/18/2013	36	JOHNSON CTLS INC	1,099.64	1,106.83	7.19
4/10/2012	2/4/2013	216	JOHNSON CTLS INC	6,597.85	6,667.77	69.92
4/10/2012	2/7/2013	108	JOHNSON CTLS INC	3,298.92	3,307.82	8.90
4/10/2012	2/8/2013	36	JOHNSON CTLS INC	1,099.64	1,115.20	15.56
5/20/2013	7/30/2013	12	JOHNSON CTLS INC	452.55	494.12	41.57
5/20/2013	7/30/2013	3	JOHNSON CTLS INC	113.14	123.60	10.46
5/20/2013	7/30/2013	2	JOHNSON CTLS INC	75.42	81.32	5.90
5/20/2013	7/30/2013	2	JOHNSON CTLS INC	75.42	80.92	5.50
5/20/2013	7/30/2013	8	JOHNSON CTLS INC	301.70	324.99	23.29
5/20/2013	7/31/2013	18	JOHNSON CTLS INC	678.82	727.95	49.13
5/20/2013	7/31/2013	1	JOHNSON CTLS INC	37.71	40.47	2.76
5/20/2013	7/31/2013	3	JOHNSON CTLS INC	113.14	121.00	7.86
5/20/2013	7/31/2013	1	JOHNSON CTLS INC	37.71	40.17	2.46
5/20/2013	8/1/2013	16	JOHNSON CTLS INC	603.40	657.74	54.34
5/20/2013	8/1/2013	7	JOHNSON CTLS INC	263.99	287.11	23.12
5/20/2013	8/1/2013	1	JOHNSON CTLS INC	37.71	41.07	3.36
5/20/2013	8/2/2013	9	JOHNSON CTLS INC	339.41	370.91	31.50

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
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Account # A64881005
12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u> <u>Long Term</u>
5/20/2013	8/2/2013	1	JOHNSON CTLS INC	37.71	41.18	3.47
5/20/2013	8/2/2013	1	JOHNSON CTLS INC	37.71	41.29	3.58
5/20/2013	8/5/2013	3	JOHNSON CTLS INC	113.14	123.16	10.02
5/20/2013	8/5/2013	1	JOHNSON CTLS INC	37.71	41.02	3.31
5/20/2013	8/6/2013	3	JOHNSON CTLS INC	113.14	121.38	8.24
4/9/2012	1/10/2013	16135.539	JPM LARGE CAP GROWTH FD - SEL	404,517.96	400,000.00	(4,517.96)
4/9/2012	1/23/2013	33325.969	JPM LARGE CAP GROWTH FD - SEL	835,482.04	832,482.70	(2,999.34)
4/11/2012	1/23/2013	6706.057	JPM LARGE CAP GROWTH FD - SEL	166,243.15	167,517.30	1,274.15
4/11/2012	3/28/2013	9815.469	JPM LARGE CAP GROWTH FD - SEL	243,325.48	250,000.00	6,674.52
4/11/2012	5/10/2013	9331.84	JPM LARGE CAP GROWTH FD - SEL	231,336.31	250,000.00	18,663.69
4/11/2012	10/11/2013	5195.705	JPM LARGE CAP GROWTH FD - SEL	128,801.53	150,000.00	21,198.47
4/11/2012	12/18/2013	8030.838	JPM LARGE CAP GROWTH FD - SEL	199,084.47	250,000.00	50,915.53
4/5/2012	5/1/2013	72859.745	JPM SHORT DURATION BOND FD - SEL	800,000.00	800,000.00	-
4/5/2012	11/14/2013	154826.958	JPM SHORT DURATION BOND FD - SEL	1,700,000.00	1,692,258.65	(7,741.35)
5/24/2012	10/11/2013	4807.692	JPM VALUE ADVANTAGE	92,740.38	125,000.00	32,259.62
4/10/2012	2/4/2013	208	JUNIPER NETWORKS	4,377.82	4,684.05	306.23
4/10/2012	3/14/2013	79	JUNIPER NETWORKS	1,662.73	1,613.17	(49.56)
4/10/2012	3/14/2013	50	JUNIPER NETWORKS	1,052.36	1,022.50	(29.86)
4/10/2012	3/14/2013	93	JUNIPER NETWORKS	1,957.39	1,905.26	(52.13)
4/10/2012	3/15/2013	87	JUNIPER NETWORKS	1,831.11	1,768.59	(62.52)
4/10/2012	3/15/2013	277	JUNIPER NETWORKS	5,830.07	5,592.36	(237.71)
6/29/2012	1/14/2013	10	KINDER MORGAN INC	322.30	369.30	47.00
6/29/2012	1/15/2013	1	KINDER MORGAN INC	32.20	36.78	4.58
6/29/2012	1/15/2013	2	KINDER MORGAN INC	64.40	73.51	9.11
6/29/2012	1/15/2013	11	KINDER MORGAN INC	354.22	404.90	50.68
6/29/2012	1/15/2013	6	KINDER MORGAN INC	193.21	220.98	27.77
6/29/2012	1/16/2013	4	KINDER MORGAN INC	128.81	146.34	17.53
6/29/2012	1/16/2013	4	KINDER MORGAN INC	128.81	146.83	18.02
6/29/2012	1/16/2013	1	KINDER MORGAN INC	32.15	36.71	4.56
6/29/2012	1/16/2013	1	KINDER MORGAN INC	32.09	36.67	4.58
6/29/2012	1/16/2013	3	KINDER MORGAN INC	95.64	110.02	14.38
6/29/2012	1/16/2013	5	KINDER MORGAN INC	159.40	182.78	23.38
6/22/2012	1/16/2013	9	KINDER MORGAN INC	285.37	329.01	43.64
6/29/2012	1/16/2013	5	KINDER MORGAN INC	158.54	182.78	24.24
6/29/2012	1/16/2013	1	KINDER MORGAN INC	31.71	36.56	4.85
6/29/2012	1/16/2013	4	KINDER MORGAN INC	126.82	146.67	19.85
6/22/2012	1/16/2013	10	KINDER MORGAN INC	316.79	366.87	50.08
6/22/2012	1/16/2013	8	KINDER MORGAN INC	253.43	293.15	39.72
6/22/2012	1/16/2013	2	KINDER MORGAN INC	63.26	73.29	10.03
6/22/2012	1/17/2013	1	KINDER MORGAN INC	31.63	37.29	5.66
6/22/2012	1/17/2013	6	KINDER MORGAN INC	189.78	224.25	34.47
6/22/2012	1/17/2013	1	KINDER MORGAN INC	31.62	37.17	5.55
6/22/2012	1/17/2013	9	KINDER MORGAN INC	284.24	334.57	50.33
6/25/2012	1/17/2013	4	KINDER MORGAN INC	124.62	148.70	24.08
6/28/2012	1/17/2013	24	KINDER MORGAN INC	747.07	892.20	145.13
6/28/2012	1/17/2013	2	KINDER MORGAN INC	62.26	74.42	12.16
6/28/2012	1/17/2013	3	KINDER MORGAN INC	93.38	111.45	18.07
6/28/2012	1/17/2013	7	KINDER MORGAN INC	217.81	260.05	42.24
6/28/2012	1/17/2013	1	KINDER MORGAN INC	31.12	37.23	6.11
6/28/2012	1/17/2013	2	KINDER MORGAN INC	62.23	74.44	12.21
6/27/2012	1/17/2013	9	KINDER MORGAN INC	280.04	334.97	54.94
6/27/2012	1/17/2013	6	KINDER MORGAN INC	186.69	223.10	36.41
6/27/2012	1/17/2013	1	KINDER MORGAN INC	31.12	37.21	6.10
6/27/2012	1/18/2013	4	KINDER MORGAN INC	124.46	148.74	24.28
6/25/2012	1/18/2013	4	KINDER MORGAN INC	124.18	148.90	24.72
6/25/2012	1/18/2013	5	KINDER MORGAN INC	155.23	186.01	30.78
6/27/2012	1/18/2013	19	KINDER MORGAN INC	589.18	706.86	117.68
6/25/2012	1/18/2013	3	KINDER MORGAN INC	92.99	111.61	18.62
6/25/2012	1/18/2013	13	KINDER MORGAN INC	402.95	485.12	82.17
6/25/2012	1/18/2013	1	KINDER MORGAN INC	31.00	37.17	6.17
6/28/2012	1/18/2013	1	KINDER MORGAN INC	30.99	37.17	6.18
6/28/2012	1/18/2013	18	KINDER MORGAN INC	557.76	669.76	112.00
6/27/2012	1/18/2013	1	KINDER MORGAN INC	30.98	37.21	6.23
6/27/2012	1/22/2013	2	KINDER MORGAN INC	61.97	75.16	13.19
6/27/2012	1/22/2013	6	KINDER MORGAN INC	185.90	225.47	39.57

STATEMENT C (2)
REALIZED GAINS AND LOSSES
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12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u> <u>Long Term</u>
6/27/2012	1/22/2013	10	KINDER MORGAN INC	309.84	376.88	67.04
6/27/2012	1/22/2013	1	KINDER MORGAN INC	30.98	37.69	6.71
6/27/2012	1/22/2013	4	KINDER MORGAN INC	123.92	149.84	25.92
6/27/2012	1/22/2013	4	KINDER MORGAN INC	123.88	149.84	25.96
6/27/2012	1/22/2013	15	KINDER MORGAN INC	464.56	563.18	98.62
6/27/2012	1/22/2013	1	KINDER MORGAN INC	30.97	37.55	6.58
6/28/2012	1/22/2013	1	KINDER MORGAN INC	30.97	37.54	6.57
6/28/2012	1/22/2013	3	KINDER MORGAN INC	92.91	112.99	20.08
6/28/2012	1/22/2013	6	KINDER MORGAN INC	185.79	225.99	40.20
6/26/2012	1/22/2013	1	KINDER MORGAN INC	30.96	37.66	6.70
6/27/2012	1/22/2013	1	KINDER MORGAN INC	30.92	37.66	6.74
6/27/2012	1/22/2013	4	KINDER MORGAN INC	123.67	150.66	26.99
6/26/2012	1/22/2013	2	KINDER MORGAN INC	61.64	75.34	13.70
6/26/2012	1/22/2013	7	KINDER MORGAN INC	215.74	263.02	47.28
6/26/2012	1/23/2013	2	KINDER MORGAN INC	61.64	75.36	13.72
6/26/2012	1/23/2013	4	KINDER MORGAN INC	123.21	150.73	27.52
6/26/2012	1/23/2013	4	KINDER MORGAN INC	123.21	150.97	27.76
6/26/2012	1/23/2013	9	KINDER MORGAN INC	277.22	339.21	61.99
6/26/2012	1/23/2013	8	KINDER MORGAN INC	246.42	300.83	54.41
6/26/2012	1/24/2013	6	KINDER MORGAN INC	184.82	223.24	38.42
6/26/2012	1/24/2013	5	KINDER MORGAN INC	154.01	187.06	33.05
6/26/2012	1/24/2013	4	KINDER MORGAN INC	123.21	150.71	27.50
6/26/2012	1/25/2013	1	KINDER MORGAN INC	30.80	37.41	6.61
6/26/2012	1/25/2013	5	KINDER MORGAN INC	154.01	186.33	32.32
6/26/2012	1/25/2013	11	KINDER MORGAN INC	338.83	409.68	70.85
6/25/2012	1/25/2013	9	KINDER MORGAN INC	277.01	335.20	58.20
6/25/2012	1/25/2013	4	KINDER MORGAN INC	123.11	149.41	26.30
6/25/2012	1/28/2013	5	KINDER MORGAN INC	153.89	187.48	33.59
6/25/2012	1/28/2013	17	KINDER MORGAN INC	523.13	637.45	114.32
6/25/2012	1/28/2013	4	KINDER MORGAN INC	123.06	149.99	26.93
6/25/2012	1/28/2013	3	KINDER MORGAN INC	92.30	112.43	20.13
6/25/2012	1/28/2013	1	KINDER MORGAN INC	30.77	37.57	6.80
6/25/2012	1/29/2013	1	KINDER MORGAN INC	30.77	37.31	6.54
6/26/2012	1/29/2013	6	KINDER MORGAN INC	184.29	223.89	39.60
4/10/2012	2/4/2013	300	LENNAR CORP CL A	7,505.85	12,323.20	4,817.35
4/10/2012	9/19/2013	316	LENNAR CORP CL A	7,906.16	11,458.72	3,552.56
4/10/2012	1/14/2013	1	LINKEDIN CORPORATION COM CL A	98.13	117.49	19.36
4/10/2012	1/14/2013	10	LINKEDIN CORPORATION COM CL A	981.30	1,175.34	194.04
4/10/2012	1/14/2013	6	LINKEDIN CORPORATION COM CL A	588.78	705.15	116.37
4/10/2012	1/15/2013	4	LINKEDIN CORPORATION COM CL A	392.52	471.19	78.67
4/10/2012	1/15/2013	11	LINKEDIN CORPORATION COM CL A	1,079.43	1,296.71	217.28
4/10/2012	1/15/2013	37	LINKEDIN CORPORATION COM CL A	3,630.81	4,358.73	727.92
4/10/2012	1/16/2013	7	LINKEDIN CORPORATION COM CL A	686.91	820.45	133.54
4/10/2012	1/16/2013	4	LINKEDIN CORPORATION COM CL A	392.52	472.99	80.47
4/10/2012	1/16/2013	21	LINKEDIN CORPORATION COM CL A	2,060.73	2,464.48	403.75
4/10/2012	1/16/2013	8	LINKEDIN CORPORATION COM CL A	785.04	944.55	159.51
4/10/2012	1/17/2013	1	LINKEDIN CORPORATION COM CL A	98.13	117.98	19.85
4/10/2012	1/17/2013	1	LINKEDIN CORPORATION COM CL A	98.13	118.33	20.20
4/10/2012	1/17/2013	5	LINKEDIN CORPORATION COM CL A	490.65	591.98	101.33
4/10/2012	1/17/2013	10	LINKEDIN CORPORATION COM CL A	981.30	1,183.92	202.62
5/7/2013	7/29/2013	25	LINKEDIN CORPORATION COM CL A	4,482.68	5,066.78	584.10
3/25/2013	7/29/2013	20	LINKEDIN CORPORATION COM CL A	3,518.15	4,053.42	535.27
3/19/2013	7/29/2013	1	LINKEDIN CORPORATION COM CL A	173.61	202.67	29.06
3/19/2013	12/13/2013	2	LINKEDIN CORPORATION COM CL A	347.22	467.16	119.94
3/19/2013	12/13/2013	38	LINKEDIN CORPORATION COM CL A	6,597.11	8,772.36	2,175.25
4/4/2013	12/13/2013	19	LINKEDIN CORPORATION COM CL A	3,255.26	4,386.18	1,130.92
4/10/2012	2/4/2013	325	LOWES COS INC COM	9,907.76	12,492.74	2,584.98
4/10/2012	7/29/2013	1	MALLINCKRODT PUB LTD C SHS	22.84	27.22	4.38
4/10/2012	8/15/2013	30	MALLINCKRODT PUB LTD C SHS	1,096.23	1,292.00	195.77
12/18/2012	2/4/2013	104	MASCO CORP	1,727.22	1,909.40	182.18
12/14/2012	2/4/2013	89	MASCO CORP	1,453.64	1,634.00	180.36
12/17/2012	2/4/2013	88	MASCO CORP	1,433.07	1,615.64	182.57
7/30/2012	2/4/2013	119	MASCO CORP	1,587.39	2,184.79	597.40
12/14/2012	2/4/2013	10	MASTERCARD INCORPORATED CMN CLASS A	4,822.08	5,159.88	337.80
10/15/2012	2/4/2013	8	MASTERCARD INCORPORATED CMN CLASS A	3,798.77	4,127.91	329.14

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12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u> <u>Long Term</u>
4/10/2012	2/4/2013	625	MERCK & CO INC COM	24,133.88	25,598.74	1,464.86
3/12/2013	5/1/2013	78	MERCK & CO INC COM	3,525.11	3,569.22	44.11
3/12/2013	5/1/2013	60	MERCK & CO INC COM	2,711.63	2,721.94	10.31
4/10/2012	5/1/2013	14	MERCK & CO INC COM	540.60	635.12	94.52
4/10/2012	5/1/2013	27	MERCK & CO INC COM	1,042.58	1,232.23	189.65
4/10/2012	5/1/2013	36	MERCK & CO INC COM	1,390.11	1,621.43	231.32
4/10/2012	5/1/2013	34	MERCK & CO INC COM	1,312.88	1,563.45	250.57
4/10/2012	5/1/2013	5	MERCK & CO INC COM	193.07	230.17	37.10
4/10/2012	5/1/2013	6	MERCK & CO INC COM	231.69	274.05	42.36
4/10/2012	5/1/2013	13	MERCK & CO INC COM	501.98	593.46	91.48
4/10/2012	5/1/2013	8	MERCK & CO INC COM	308.91	360.50	51.59
4/10/2012	5/1/2013	3	MERCK & CO INC COM	115.84	137.78	21.94
4/10/2012	5/1/2013	8	MERCK & CO INC COM	308.91	364.31	55.40
4/10/2012	5/1/2013	13	MERCK & CO INC COM	501.98	586.40	84.42
4/10/2012	5/1/2013	5	MERCK & CO INC COM	193.07	228.07	35.00
4/10/2012	5/13/2013	168	MERCK & CO INC COM	6,487.19	7,751.27	1,264.08
4/10/2012	2/4/2013	300	METLIFE INC	10,509.99	11,237.77	727.78
4/8/2013	6/13/2013	102	METLIFE INC	3,706.17	4,552.71	846.54
4/8/2013	6/13/2013	20	METLIFE INC	725.96	892.69	166.73
4/10/2012	2/4/2013	950	MICROSOFT CORP	28,864.70	26,381.95	(2,482.75)
4/10/2012	3/15/2013	89	MICROSOFT CORP	2,704.17	2,494.57	(209.60)
4/10/2012	5/9/2013	15	MICROSOFT CORP	455.76	491.03	35.27
4/10/2012	5/9/2013	28	MICROSOFT CORP	850.75	918.07	67.32
4/10/2012	5/10/2013	10	MICROSOFT CORP	303.84	326.40	22.56
4/10/2012	5/10/2013	81	MICROSOFT CORP	2,461.10	2,635.60	174.50
4/10/2012	5/13/2013	161	MICROSOFT CORP	4,891.81	5,278.72	386.91
4/10/2012	6/6/2013	209	MICROSOFT CORP	6,350.23	7,264.38	914.15
8/23/2013	11/19/2013	182	MICROSOFT CORP	6,237.94	6,706.13	468.19
9/11/2013	11/19/2013	69	MICROSOFT CORP	2,265.33	2,542.44	277.11
9/11/2013	12/19/2013	201	MICROSOFT CORP	6,599.01	7,313.17	714.16
10/15/2012	2/4/2013	32	MONDELEZ INTERNATIONAL INC COM	879.52	895.34	15.82
10/15/2012	2/4/2013	69	MONDELEZ INTERNATIONAL INC COM	1,893.64	1,930.58	36.94
10/16/2012	2/4/2013	175	MONDELEZ INTERNATIONAL INC COM	4,746.89	4,896.39	149.50
4/10/2012	2/4/2013	39	MONDELEZ INTERNATIONAL INC COM	940.85	1,091.19	150.34
4/10/2012	12/19/2013	243	MONDELEZ INTERNATIONAL INC COM	5,862.21	8,309.99	2,447.78
4/10/2012	2/4/2013	200	NETAPP CORP (Formerly Network Appliance)	8,441.94	7,243.83	(1,198.11)
4/10/2012	2/8/2013	85	NETAPP CORP (Formerly Network Appliance)	3,587.82	3,054.99	(532.83)
4/10/2012	2/8/2013	9	NETAPP CORP (Formerly Network Appliance)	379.89	321.42	(58.47)
4/10/2012	2/8/2013	13	NETAPP CORP (Formerly Network Appliance)	548.73	467.80	(80.93)
4/10/2012	2/8/2013	42	NETAPP CORP (Formerly Network Appliance)	1,772.81	1,494.33	(278.48)
4/10/2012	2/11/2013	47	NETAPP CORP (Formerly Network Appliance)	1,983.86	1,668.24	(315.62)
7/6/2012	2/11/2013	167	NETAPP CORP (Formerly Network Appliance)	4,957.36	5,927.58	970.22
4/9/2012	10/11/2013	10533.708	NEUBERGER BERMAN MULTI CAP OPPORT FUN	110,287.92	150,000.00	39,712.08
4/9/2012	12/18/2013	33444.816	NEUBERGER BERMAN MULTI CAP OPPORT FUN	350,167.22	500,000.00	149,832.78
4/5/2013	7/31/2013	2	NISOURCE INC	59.50	61.83	2.33
4/5/2013	8/1/2013	7	NISOURCE INC	208.27	216.11	7.84
4/5/2013	8/2/2013	9	NISOURCE INC	267.77	279.21	11.44
4/5/2013	8/5/2013	2	NISOURCE INC	59.50	61.96	2.46
4/5/2013	8/6/2013	12	NISOURCE INC	357.03	364.29	7.26
4/5/2013	8/7/2013	5	NISOURCE INC	148.76	153.29	4.53
4/5/2013	8/12/2013	24	NISOURCE INC	714.06	732.00	17.94
4/5/2013	8/13/2013	4	NISOURCE INC	119.01	123.14	4.13
4/4/2013	8/13/2013	101	NISOURCE INC	2,982.45	3,109.39	126.94
2/27/2013	8/13/2013	10	NISOURCE INC	274.10	307.87	33.77
2/27/2013	8/14/2013	4	NISOURCE INC	109.64	122.81	13.17
2/27/2013	8/14/2013	21	NISOURCE INC	575.61	645.60	69.99
2/27/2013	8/15/2013	3	NISOURCE INC	82.23	91.52	9.29
2/27/2013	8/19/2013	26	NISOURCE INC	712.66	773.21	60.55
2/27/2013	8/20/2013	1	NISOURCE INC	27.41	29.90	2.49
9/13/2012	2/4/2013	54	OCCIDENTAL PETROLEUM CORP	4,882.46	4,736.78	(145.68)
4/10/2012	2/4/2013	146	OCCIDENTAL PETROLEUM CORP	13,076.20	12,806.86	(269.34)
4/10/2012	6/21/2013	51	OCCIDENTAL PETROLEUM CORP	4,567.71	4,583.67	15.96
6/4/2013	12/5/2013	9	OCCIDENTAL PETROLEUM CORP	850.74	840.62	(10.12)
6/3/2013	12/5/2013	2	OCCIDENTAL PETROLEUM CORP	187.38	186.80	(0.58)
6/3/2013	12/5/2013	1	OCCIDENTAL PETROLEUM CORP	93.69	93.36	(0.33)

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basls</u>	<u>Proceeds</u>	<u>Short Term</u> <u>Long Term</u>
6/3/2013	12/5/2013	6	OCCIDENTAL PETROLEUM CORP	562.14	564.00	1.86
6/5/2013	12/5/2013	7	OCCIDENTAL PETROLEUM CORP	654.74	658.01	3.27
6/5/2013	12/6/2013	5	OCCIDENTAL PETROLEUM CORP	467.67	469.28	1.61
5/31/2013	12/6/2013	10	OCCIDENTAL PETROLEUM CORP	933.29	938.57	5.28
5/31/2013	12/6/2013	9	OCCIDENTAL PETROLEUM CORP	839.96	833.39	(6.57)
5/31/2013	12/6/2013	8	OCCIDENTAL PETROLEUM CORP	746.63	744.68	(1.95)
5/31/2013	12/9/2013	1	OCCIDENTAL PETROLEUM CORP	93.33	92.88	(0.45)
5/31/2013	12/9/2013	4	OCCIDENTAL PETROLEUM CORP	370.78	371.51	0.73
5/31/2013	12/9/2013	3	OCCIDENTAL PETROLEUM CORP	278.09	278.61	0.53
5/31/2013	12/9/2013	3	OCCIDENTAL PETROLEUM CORP	278.09	279.37	1.29
4/10/2012	12/9/2013	8	OCCIDENTAL PETROLEUM CORP	716.50	745.00	28.50
4/10/2012	12/9/2013	1	OCCIDENTAL PETROLEUM CORP	89.56	93.54	3.98
4/10/2012	12/9/2013	1	OCCIDENTAL PETROLEUM CORP	89.56	93.56	4.00
4/10/2012	12/9/2013	6	OCCIDENTAL PETROLEUM CORP	537.38	559.49	22.11
4/10/2012	12/9/2013	4	OCCIDENTAL PETROLEUM CORP	358.25	372.77	14.52
4/10/2012	12/9/2013	5	OCCIDENTAL PETROLEUM CORP	447.81	466.73	18.92
4/1/2013	7/1/2013	10	ONYX PHARMACEUTICALS INC	912.18	1,311.27	399.10
4/1/2013	7/1/2013	6	ONYX PHARMACEUTICALS INC	547.31	786.85	239.55
4/2/2013	7/1/2013	4	ONYX PHARMACEUTICALS INC	359.87	524.57	164.70
3/28/2013	7/1/2013	1	ONYX PHARMACEUTICALS INC	89.88	131.14	41.26
3/28/2013	7/1/2013	5	ONYX PHARMACEUTICALS INC	446.17	655.70	209.53
3/28/2013	7/1/2013	15	ONYX PHARMACEUTICALS INC	1,338.51	1,976.38	637.87
3/28/2013	7/1/2013	5	ONYX PHARMACEUTICALS INC	446.17	656.60	210.43
3/28/2013	7/31/2013	3	ONYX PHARMACEUTICALS INC	267.70	397.27	129.57
3/28/2013	7/31/2013	1	ONYX PHARMACEUTICALS INC	89.23	131.98	42.75
4/3/2013	7/31/2013	14	ONYX PHARMACEUTICALS INC	1,233.13	1,845.81	612.68
4/4/2013	7/31/2013	2	ONYX PHARMACEUTICALS INC	173.03	263.69	90.66
4/4/2013	7/31/2013	1	ONYX PHARMACEUTICALS INC	86.51	131.97	45.46
4/4/2013	7/31/2013	1	ONYX PHARMACEUTICALS INC	86.51	131.85	45.34
4/4/2013	8/1/2013	22	ONYX PHARMACEUTICALS INC	1,903.30	2,933.30	1,030.00
4/4/2013	8/1/2013	5	ONYX PHARMACEUTICALS INC	431.60	672.39	240.79
4/12/2012	1/16/2013	16	ORACLE CORPORATION	457.97	555.32	97.35
4/12/2012	1/16/2013	18	ORACLE CORPORATION	515.22	626.46	111.24
4/12/2012	1/16/2013	37	ORACLE CORPORATION	1,059.06	1,287.78	228.72
4/12/2012	1/16/2013	6	ORACLE CORPORATION	171.74	208.94	37.20
4/12/2012	1/16/2013	8	ORACLE CORPORATION	228.99	278.00	49.01
4/12/2012	1/17/2013	24	ORACLE CORPORATION	686.96	832.31	145.35
4/12/2012	1/17/2013	1	ORACLE CORPORATION	28.62	34.73	6.11
4/12/2012	1/17/2013	143	ORACLE CORPORATION	4,093.12	4,964.91	871.79
4/12/2012	2/4/2013	33	ORACLE CORPORATION	944.57	1,171.14	226.57
4/10/2012	2/4/2013	367	ORACLE CORPORATION	10,377.22	13,024.54	2,647.32
4/10/2012	2/4/2013	80	PACCAR INC	3,478.50	3,779.11	300.61
10/23/2013	12/13/2013	39	PENTAIR INC	2,532.09	2,734.65	202.56
10/23/2013	12/16/2013	22	PENTAIR INC	1,428.36	1,571.56	143.20
8/3/2012	2/4/2013	10	PEPSICO INC	728.20	725.68	(2.52)
7/30/2012	2/4/2013	3	PEPSICO INC	218.36	217.71	(0.65)
7/31/2012	2/4/2013	17	PEPSICO INC	1,237.22	1,233.66	(3.56)
7/30/2012	2/4/2013	7	PEPSICO INC	509.35	507.98	(1.37)
7/31/2012	2/4/2013	10	PEPSICO INC	727.58	725.68	(1.90)
7/31/2012	2/4/2013	1	PEPSICO INC	72.73	72.57	(0.16)
7/31/2012	2/4/2013	35	PEPSICO INC	2,544.80	2,539.89	(4.91)
7/30/2012	2/4/2013	17	PEPSICO INC	1,235.91	1,233.67	(2.24)
11/25/2013	12/19/2013	14	PERRIGO CO	2,185.07	2,131.01	(54.06)
11/27/2013	12/19/2013	5	PERRIGO CO	780.29	761.08	(19.21)
11/26/2013	12/19/2013	16	PERRIGO CO	2,496.72	2,435.44	(61.28)
11/27/2013	12/19/2013	6	PERRIGO CO	935.00	913.29	(21.71)
11/25/2013	12/19/2013	9	PERRIGO CO	1,399.22	1,369.94	(29.28)
11/19/2013	12/19/2013	96	PERRIGO CO	14,620.03	14,612.64	(7.39)
11/19/2013	12/19/2013	14	PERRIGO CO	2,125.29	2,131.00	5.71
4/10/2012	2/4/2013	985	PFIZER INC	21,817.35	26,952.84	5,135.49
4/10/2012	2/7/2013	2	PFIZER INC	44.30	54.09	9.79
4/10/2012	2/7/2013	14	PFIZER INC	310.09	377.55	67.46
4/10/2012	2/8/2013	6	PFIZER INC	132.90	160.72	27.82
4/10/2012	2/8/2013	19	PFIZER INC	420.84	508.96	88.12
4/10/2012	2/8/2013	15	PFIZER INC	332.24	402.84	70.60

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open</u> <u>Date</u>	<u>Close</u> <u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Cost</u> <u>Basis</u>	<u>Proceeds</u>	<u>Gain Or (Loss)</u>	
						<u>Short Term</u>	<u>Long Term</u>
4/10/2012	2/8/2013	45	PFIZER INC	996.73	1,208.51	211.78	
4/10/2012	2/8/2013	1	PFIZER INC	22.15	26.92	4.77	
4/10/2012	2/8/2013	3	PFIZER INC	66.45	80.79	14.34	
4/10/2012	2/11/2013	17	PFIZER INC	376.54	460.27	83.73	
4/10/2012	2/11/2013	51	PFIZER INC	1,129.63	1,380.82	251.19	
4/10/2012	2/11/2013	3	PFIZER INC	66.45	81.50	15.05	
4/10/2012	2/11/2013	8	PFIZER INC	177.20	217.35	40.15	
4/10/2012	2/11/2013	2	PFIZER INC	44.30	54.27	9.97	
4/10/2012	2/11/2013	7	PFIZER INC	155.05	189.96	34.91	
4/10/2012	2/11/2013	1	PFIZER INC	22.15	27.13	4.98	
4/10/2012	2/11/2013	4	PFIZER INC	88.60	108.53	19.93	
4/10/2012	2/12/2013	14	PFIZER INC	310.09	378.86	68.77	
4/10/2012	2/12/2013	38	PFIZER INC	841.68	1,028.34	186.66	
4/10/2012	2/12/2013	2	PFIZER INC	44.30	54.10	9.80	
4/10/2012	2/12/2013	4	PFIZER INC	88.60	108.21	19.61	
4/10/2012	2/12/2013	3	PFIZER INC	66.45	81.35	14.90	
4/10/2012	2/12/2013	9	PFIZER INC	199.35	244.07	44.72	
4/10/2012	2/12/2013	7	PFIZER INC	155.05	188.93	33.88	
4/10/2012	2/12/2013	21	PFIZER INC	465.14	566.81	101.67	
4/10/2012	2/12/2013	2	PFIZER INC	44.30	54.11	9.81	
4/10/2012	2/12/2013	7	PFIZER INC	155.05	189.43	34.38	
4/10/2012	2/13/2013	7	PFIZER INC	155.05	188.93	33.88	
4/10/2012	2/13/2013	21	PFIZER INC	465.14	566.82	101.68	
4/10/2012	2/13/2013	19	PFIZER INC	420.84	510.93	90.09	
4/10/2012	2/13/2013	54	PFIZER INC	1,196.08	1,452.11	256.03	
4/10/2012	3/12/2013	407	PFIZER INC	9,014.89	11,430.50	2,415.61	
4/10/2012	3/13/2013	55	PFIZER INC	1,218.23	1,541.13	322.90	
4/10/2012	3/13/2013	86	PFIZER INC	1,904.87	2,407.67	502.80	
4/10/2012	3/13/2013	1	PFIZER INC	22.15	28.04	5.89	
4/10/2012	3/14/2013	114	PFIZER INC	2,525.05	3,196.03	670.98	
4/10/2012	3/14/2013	15	PFIZER INC	332.24	419.23	86.99	
4/10/2012	3/14/2013	23	PFIZER INC	509.44	641.00	131.56	
4/10/2012	3/15/2013	42	PFIZER INC	930.28	1,173.40	243.12	
4/10/2012	3/15/2013	46	PFIZER INC	1,018.88	1,279.23	260.35	
4/10/2012	3/18/2013	62	PFIZER INC	1,373.28	1,740.62	367.34	
4/10/2012	3/19/2013	43	PFIZER INC	952.43	1,201.70	249.27	
4/10/2012	3/19/2013	44	PFIZER INC	974.58	1,233.31	258.73	
4/10/2012	3/20/2013	79	PFIZER INC	1,749.82	2,227.67	477.85	
4/10/2012	3/20/2013	57	PFIZER INC	1,262.53	1,609.96	347.43	
4/10/2012	3/21/2013	114	PFIZER INC	2,525.05	3,210.24	685.19	
4/10/2012	3/22/2013	57	PFIZER INC	1,262.53	1,609.46	346.93	
4/10/2012	3/22/2013	26	PFIZER INC	575.89	735.52	159.63	
4/10/2012	3/22/2013	30	PFIZER INC	664.49	849.18	184.69	
1/15/2013	2/4/2013	82	PHILIP MORRIS INTERNATIONAL INC	7,304.19	7,141.54	(162.65)	
4/10/2012	2/4/2013	43	PHILIP MORRIS INTERNATIONAL INC	3,739.90	3,744.96	5.06	
4/10/2012	7/12/2013	20	PHILIP MORRIS INTERNATIONAL INC	1,739.49	1,787.06		47.57
4/10/2012	7/12/2013	3	PHILIP MORRIS INTERNATIONAL INC	260.92	269.83		8.91
4/10/2012	7/15/2013	47	PHILIP MORRIS INTERNATIONAL INC	4,087.80	4,218.93		131.13
12/14/2012	2/4/2013	85	PHILLIPS 66	4,541.95	5,270.73	728.78	
12/12/2012	2/4/2013	11	PHILLIPS 66	586.73	682.09	95.36	
12/12/2012	2/4/2013	29	PHILLIPS 66	1,545.05	1,798.25	253.20	
12/12/2012	7/2/2013	10	PHILLIPS 66	532.78	570.52	37.74	
12/12/2012	7/2/2013	33	PHILLIPS 66	1,756.93	1,882.72	125.79	
12/14/2012	7/2/2013	1	PHILLIPS 66	52.59	57.05	4.46	
10/17/2012	7/2/2013	26	PHILLIPS 66	1,215.51	1,483.35	267.84	
4/19/2012	2/4/2013	27	PIONEER NATURAL RESOURCES INC	2,858.36	3,224.53	366.17	
4/10/2012	2/4/2013	18	PIONEER NATURAL RESOURCES INC	1,891.68	2,149.69	258.01	
4/10/2012	2/26/2013	25	PIONEER NATURAL RESOURCES INC	2,627.33	3,023.68	396.35	
4/10/2012	2/26/2013	65	PIONEER NATURAL RESOURCES INC	6,831.05	7,883.91	1,052.86	
4/10/2012	2/26/2013	2	PIONEER NATURAL RESOURCES INC	210.19	244.92	34.73	
4/10/2012	2/26/2013	12	PIONEER NATURAL RESOURCES INC	1,261.12	1,466.83	205.71	
4/10/2012	2/26/2013	8	PIONEER NATURAL RESOURCES INC	840.75	988.13	147.39	
4/10/2012	2/26/2013	14	PIONEER NATURAL RESOURCES INC	1,471.30	1,730.43	259.13	
1/18/2013	2/7/2013	90	PPG INDUSTRIES INC	12,735.06	12,249.67	(485.39)	
1/1/1970	1/15/2013	114	PROCTER & GAMBLE CO	150.26	7,954.41		7,804.15

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>	
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u> <u>Long Term</u>	
1/1/1970	2/4/2013	125	PROCTER & GAMBLE CO	164.75	9,418.03		9,253.28
4/10/2012	2/4/2013	150	PRUDENTIAL FINL INC	8,940.30	8,698.31	(241.99)	
4/10/2012	3/4/2013	18	PRUDENTIAL FINL INC	1,072.84	993.75	(79.09)	
4/10/2012	3/4/2013	2	PRUDENTIAL FINL INC	119.20	109.84	(9.36)	
4/10/2012	3/4/2013	10	PRUDENTIAL FINL INC	596.02	552.94	(43.08)	
4/10/2012	3/4/2013	9	PRUDENTIAL FINL INC	536.42	492.65	(43.77)	
4/10/2012	3/5/2013	12	PRUDENTIAL FINL INC	715.22	683.63	(31.59)	
4/10/2012	3/5/2013	5	PRUDENTIAL FINL INC	298.01	281.44	(16.57)	
4/10/2012	3/5/2013	11	PRUDENTIAL FINL INC	655.62	628.94	(26.68)	
4/10/2012	3/5/2013	6	PRUDENTIAL FINL INC	357.61	341.90	(15.71)	
4/10/2012	3/5/2013	7	PRUDENTIAL FINL INC	417.21	400.50	(16.71)	
4/10/2012	3/5/2013	1	PRUDENTIAL FINL INC	59.60	56.73	(2.87)	
4/10/2012	3/6/2013	3	PRUDENTIAL FINL INC	178.81	171.83	(6.98)	
4/10/2012	3/6/2013	4	PRUDENTIAL FINL INC	238.41	228.07	(10.34)	
4/10/2012	3/6/2013	1	PRUDENTIAL FINL INC	59.60	56.96	(2.64)	
4/10/2012	3/6/2013	6	PRUDENTIAL FINL INC	357.61	341.52	(16.09)	
4/10/2012	4/8/2013	2	PRUDENTIAL FINL INC	119.20	110.84	(8.36)	
4/10/2012	4/8/2013	174	PRUDENTIAL FINL INC	10,370.75	9,660.94	(709.81)	
4/10/2012	4/8/2013	16	PRUDENTIAL FINL INC	953.63	886.35	(67.28)	
4/10/2012	4/8/2013	1	PRUDENTIAL FINL INC	59.60	55.40	(4.20)	
4/10/2012	4/8/2013	11	PRUDENTIAL FINL INC	655.62	609.55	(46.07)	
6/5/2012	4/8/2013	91	PRUDENTIAL FINL INC	4,179.45	5,042.65	863.20	
6/5/2012	4/9/2013	16	PRUDENTIAL FINL INC	734.85	894.71	159.86	
5/1/2013	10/11/2013	17831.669	PRUDENTIAL TOTAL RETURN BD F CL Z	263,730.38	250,000.00	(13,730.38)	
5/1/2013	11/14/2013	36258.933	PRUDENTIAL TOTAL RETURN BD F CL Z	536,269.62	509,075.42	(27,194.20)	
4/10/2012	2/4/2013	245	QUALCOMM INC	16,243.16	16,265.19	22.03	
4/10/2012	3/6/2013	118	QUALCOMM INC	7,823.24	7,859.71	36.47	
4/10/2012	3/6/2013	28	QUALCOMM INC	1,856.36	1,868.62	12.26	
4/10/2012	3/7/2013	34	QUALCOMM INC	2,254.15	2,262.97	8.82	
4/10/2012	3/8/2013	34	QUALCOMM INC	2,254.15	2,273.83	19.68	
4/10/2012	5/14/2013	3	QUALCOMM INC	198.90	195.64		(3.26)
4/10/2012	5/15/2013	3	QUALCOMM INC	198.90	196.22		(2.68)
4/10/2012	5/16/2013	2	QUALCOMM INC	132.60	131.46		(1.14)
4/10/2012	5/16/2013	4	QUALCOMM INC	265.19	263.70		(1.49)
4/10/2012	5/16/2013	3	QUALCOMM INC	198.90	197.12		(1.78)
4/10/2012	5/17/2013	6	QUALCOMM INC	397.79	394.73		(3.06)
4/10/2012	5/20/2013	6	QUALCOMM INC	397.79	398.04		0.25
4/10/2012	5/21/2013	3	QUALCOMM INC	198.90	197.55		(1.35)
4/10/2012	5/22/2013	5	QUALCOMM INC	331.49	326.15		(5.34)
4/10/2012	5/24/2013	42	QUALCOMM INC	2,784.54	2,694.59		(89.95)
4/5/2012	5/10/2013	14577.259	RS INTERNATIONAL GROWTH FUND	250,000.00	287,172.00		37,172.00
8/8/2012	5/10/2013	23909.145	RS INTERNATIONAL GROWTH FUND	400,000.00	471,010.16	71,010.16	
11/5/2012	2/4/2013	18	SCHLUMBERGER LIMITED	1,260.27	1,428.38	168.11	
11/5/2012	2/4/2013	18	SCHLUMBERGER LIMITED	1,259.83	1,428.38	168.55	
11/5/2012	2/4/2013	22	SCHLUMBERGER LIMITED	1,538.50	1,745.79	207.29	
4/10/2012	2/4/2013	117	SCHLUMBERGER LIMITED	7,831.22	9,284.43	1,453.21	
4/11/2012	6/27/2013	1855	SPDR GOLD TRUST	298,707.68	220,720.75		(77,986.93)
4/5/2012	8/5/2013	3180	SPDR GOLD TRUST	503,624.55	400,675.56		(102,948.99)
10/25/2013	11/25/2013	1	STRYKER CORP	74.68	74.88	0.20	
10/28/2013	11/25/2013	11	STRYKER CORP	820.02	823.68	3.66	
10/29/2013	11/25/2013	1	STRYKER CORP	74.47	74.88	0.41	
10/30/2013	11/25/2013	10	STRYKER CORP	744.43	748.80	4.37	
10/25/2013	11/25/2013	9	STRYKER CORP	669.78	673.92	4.14	
10/29/2013	11/25/2013	8	STRYKER CORP	594.63	599.04	4.41	
10/25/2013	11/25/2013	5	STRYKER CORP	371.50	374.40	2.90	
10/29/2013	11/25/2013	2	STRYKER CORP	148.53	149.76	1.23	
11/4/2013	11/25/2013	2	STRYKER CORP	148.50	149.76	1.26	
11/5/2013	11/25/2013	3	STRYKER CORP	222.49	224.64	2.15	
10/31/2013	11/25/2013	3	STRYKER CORP	222.48	224.64	2.16	
10/30/2013	11/25/2013	1	STRYKER CORP	74.12	74.88	0.76	
11/5/2013	11/25/2013	2	STRYKER CORP	148.21	149.74	1.53	
11/5/2013	11/26/2013	6	STRYKER CORP	444.64	449.24	4.60	
10/31/2013	11/26/2013	2	STRYKER CORP	148.10	149.75	1.65	
10/30/2013	11/26/2013	6	STRYKER CORP	444.07	449.24	5.17	
11/1/2013	11/26/2013	2	STRYKER CORP	147.95	149.75	1.80	

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u> <u>Long Term</u>
11/1/2013	11/26/2013	6	STRYKER CORP	443.77	449.24	5.47
10/31/2013	11/26/2013	7	STRYKER CORP	517.48	524.10	6.63
10/31/2013	11/26/2013	3	STRYKER CORP	221.78	224.72	2.95
11/6/2013	11/26/2013	5	STRYKER CORP	369.52	374.53	5.01
11/6/2013	11/26/2013	12	STRYKER CORP	885.83	898.86	13.03
11/1/2013	11/26/2013	1	STRYKER CORP	73.64	74.91	1.27
11/1/2013	11/26/2013	11	STRYKER CORP	808.89	823.95	15.07
11/1/2013	11/27/2013	1	STRYKER CORP	73.54	74.76	1.23
11/7/2013	11/27/2013	8	STRYKER CORP	587.29	598.10	10.81
11/7/2013	11/27/2013	5	STRYKER CORP	365.25	373.81	8.56
11/7/2013	11/27/2013	5	STRYKER CORP	364.95	373.82	8.87
9/21/2012	2/4/2013	14	TARGET CORPORATION CMN	920.18	848.75	(71.43)
9/21/2012	2/4/2013	46	TARGET CORPORATION CMN	3,010.26	2,788.77	(221.49)
9/21/2012	2/4/2013	37	TARGET CORPORATION CMN	2,419.43	2,243.14	(176.29)
9/20/2012	2/4/2013	11	TARGET CORPORATION CMN	718.73	666.88	(51.85)
9/21/2012	2/4/2013	1	TARGET CORPORATION CMN	65.34	60.63	(4.71)
9/20/2012	2/4/2013	22	TARGET CORPORATION CMN	1,437.11	1,333.76	(103.35)
9/19/2012	2/4/2013	10	TARGET CORPORATION CMN	651.56	606.25	(45.31)
9/19/2012	2/4/2013	9	TARGET CORPORATION CMN	586.12	545.62	(40.50)
4/24/2013	8/14/2013	5	TARGET CORPORATION CMN	348.37	351.95	3.58
4/24/2013	8/14/2013	5	TARGET CORPORATION CMN	348.37	350.77	2.40
4/24/2013	8/14/2013	4	TARGET CORPORATION CMN	278.70	279.91	1.21
4/24/2013	8/14/2013	16	TARGET CORPORATION CMN	1,114.78	1,120.48	5.70
4/24/2013	8/15/2013	2	TARGET CORPORATION CMN	139.35	137.99	(1.36)
4/24/2013	8/15/2013	1	TARGET CORPORATION CMN	69.67	69.09	(0.58)
4/24/2013	8/15/2013	5	TARGET CORPORATION CMN	348.37	344.65	(3.72)
4/24/2013	8/15/2013	1	TARGET CORPORATION CMN	69.67	69.04	(0.63)
4/23/2013	8/15/2013	6	TARGET CORPORATION CMN	417.97	414.25	(3.72)
4/23/2013	8/15/2013	28	TARGET CORPORATION CMN	1,950.51	1,938.41	(12.10)
4/23/2013	10/2/2013	8	TARGET CORPORATION CMN	557.29	507.97	(49.32)
4/24/2013	10/2/2013	7	TARGET CORPORATION CMN	487.40	444.48	(42.92)
4/22/2013	10/2/2013	4	TARGET CORPORATION CMN	276.43	253.99	(22.44)
4/22/2013	10/2/2013	19	TARGET CORPORATION CMN	1,308.65	1,206.44	(102.21)
9/19/2012	10/2/2013	19	TARGET CORPORATION CMN	1,237.35	1,206.44	(30.91)
9/20/2012	10/2/2013	1	TARGET CORPORATION CMN	64.81	63.50	(1.31)
9/19/2012	10/2/2013	3	TARGET CORPORATION CMN	194.28	190.49	(3.79)
9/19/2012	10/2/2013	10	TARGET CORPORATION CMN	646.00	634.97	(11.03)
9/18/2012	10/2/2013	1	TARGET CORPORATION CMN	64.20	63.50	(0.70)
9/18/2012	10/2/2013	7	TARGET CORPORATION CMN	448.50	444.48	(4.02)
9/18/2012	10/2/2013	49	TARGET CORPORATION CMN	3,138.89	3,111.31	(27.58)
9/18/2012	10/31/2013	5	TARGET CORPORATION CMN	320.30	327.94	7.64
10/26/2012	10/31/2013	2	TARGET CORPORATION CMN	127.91	131.17	3.26
10/26/2012	10/31/2013	5	TARGET CORPORATION CMN	319.78	324.43	4.65
10/26/2012	10/31/2013	3	TARGET CORPORATION CMN	191.87	196.80	4.93
10/26/2012	10/31/2013	12	TARGET CORPORATION CMN	767.48	780.33	12.85
10/26/2012	10/31/2013	2	TARGET CORPORATION CMN	127.24	130.05	2.81
10/26/2012	10/31/2013	1	TARGET CORPORATION CMN	63.62	65.79	2.17
10/26/2012	11/1/2013	6	TARGET CORPORATION CMN	381.73	388.03	6.30
10/25/2012	11/1/2013	1	TARGET CORPORATION CMN	63.17	64.67	1.50
10/25/2012	11/4/2013	11	TARGET CORPORATION CMN	694.83	716.44	21.61
10/25/2012	11/4/2013	4	TARGET CORPORATION CMN	251.91	260.52	8.61
10/25/2012	11/5/2013	10	TARGET CORPORATION CMN	629.77	647.69	17.92
10/25/2012	11/6/2013	5	TARGET CORPORATION CMN	314.88	328.23	13.35
10/25/2012	11/6/2013	1	TARGET CORPORATION CMN	62.93	65.65	2.72
10/25/2012	11/6/2013	17	TARGET CORPORATION CMN	1,069.89	1,111.85	41.96
10/25/2012	11/7/2013	10	TARGET CORPORATION CMN	629.35	654.48	25.13
10/24/2012	11/7/2013	7	TARGET CORPORATION CMN	439.67	458.13	18.46
10/24/2012	11/8/2013	6	TARGET CORPORATION CMN	376.86	389.01	12.15
10/24/2012	11/8/2013	14	TARGET CORPORATION CMN	879.34	908.55	29.21
10/24/2012	11/11/2013	9	TARGET CORPORATION CMN	565.29	590.80	25.51
10/24/2012	11/11/2013	4	TARGET CORPORATION CMN	251.24	262.61	11.37
10/24/2012	11/11/2013	2	TARGET CORPORATION CMN	125.62	131.01	5.39
10/24/2012	11/12/2013	2	TARGET CORPORATION CMN	125.62	130.83	5.21
10/24/2012	11/12/2013	6	TARGET CORPORATION CMN	376.86	392.34	15.48
10/24/2012	11/12/2013	3	TARGET CORPORATION CMN	188.43	196.62	8.19

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u> <u>Long Term</u>
10/24/2012	11/12/2013	6	TARGET CORPORATION CMN	376.86	392.40	15.54
10/24/2012	11/13/2013	10	TARGET CORPORATION CMN	628.10	665.91	37.81
10/24/2012	11/13/2013	16	TARGET CORPORATION CMN	1,004.96	1,062.16	57.20
10/24/2012	11/13/2013	3	TARGET CORPORATION CMN	188.43	199.92	11.49
10/24/2012	11/14/2013	11	TARGET CORPORATION CMN	690.91	732.82	41.91
10/24/2012	11/14/2013	1	TARGET CORPORATION CMN	62.79	66.62	3.83
10/24/2012	11/14/2013	4	TARGET CORPORATION CMN	251.16	266.48	15.32
10/24/2012	11/14/2013	5	TARGET CORPORATION CMN	313.95	332.36	18.41
10/24/2012	11/15/2013	1	TARGET CORPORATION CMN	62.79	66.47	3.68
10/24/2012	11/15/2013	1	TARGET CORPORATION CMN	62.79	66.71	3.92
4/10/2012	9/19/2013	4	TD AMERITRADE HOLDING CORPORAT CMN	74.81	106.09	31.28
4/10/2012	9/19/2013	1	TD AMERITRADE HOLDING CORPORAT CMN	18.70	26.51	7.81
4/10/2012	9/19/2013	58	TD AMERITRADE HOLDING CORPORAT CMN	1,084.76	1,539.34	454.58
4/10/2012	9/19/2013	89	TD AMERITRADE HOLDING CORPORAT CMN	1,664.54	2,361.17	696.63
4/10/2012	9/19/2013	20	TD AMERITRADE HOLDING CORPORAT CMN	374.05	529.89	155.84
4/10/2012	9/19/2013	27	TD AMERITRADE HOLDING CORPORAT CMN	504.97	714.82	209.85
4/10/2012	9/19/2013	17	TD AMERITRADE HOLDING CORPORAT CMN	317.95	449.47	131.52
4/10/2012	9/20/2013	7	TD AMERITRADE HOLDING CORPORAT CMN	130.92	186.99	56.07
4/10/2012	9/20/2013	13	TD AMERITRADE HOLDING CORPORAT CMN	243.14	346.83	103.69
4/10/2012	9/20/2013	108	TD AMERITRADE HOLDING CORPORAT CMN	2,019.89	2,874.97	855.08
4/10/2012	10/2/2013	293	TD AMERITRADE HOLDING CORPORAT CMN	5,479.89	7,660.97	2,181.08
3/7/2013	5/14/2013	10	TEXAS INSTRS INC COM	351.75	365.19	13.44
3/7/2013	5/15/2013	6	TEXAS INSTRS INC COM	211.05	220.37	9.32
3/7/2013	5/15/2013	2	TEXAS INSTRS INC COM	70.34	73.46	3.12
3/7/2013	5/15/2013	8	TEXAS INSTRS INC COM	281.34	293.86	12.52
3/7/2013	5/15/2013	8	TEXAS INSTRS INC COM	281.34	294.15	12.81
3/7/2013	5/16/2013	4	TEXAS INSTRS INC COM	140.67	147.35	6.68
3/7/2013	5/16/2013	10	TEXAS INSTRS INC COM	351.68	368.38	16.70
3/7/2013	5/16/2013	11	TEXAS INSTRS INC COM	386.84	402.64	15.80
3/7/2013	5/16/2013	12	TEXAS INSTRS INC COM	422.01	440.39	18.38
3/7/2013	5/17/2013	23	TEXAS INSTRS INC COM	808.86	842.46	33.60
3/6/2013	5/17/2013	10	TEXAS INSTRS INC COM	351.02	366.28	15.26
3/6/2013	5/20/2013	30	TEXAS INSTRS INC COM	1,053.07	1,101.42	48.35
3/6/2013	5/21/2013	15	TEXAS INSTRS INC COM	526.53	549.92	23.39
3/6/2013	5/22/2013	25	TEXAS INSTRS INC COM	877.56	897.02	19.46
3/6/2013	5/23/2013	86	TEXAS INSTRS INC COM	3,018.80	3,087.54	68.74
3/6/2013	5/23/2013	55	TEXAS INSTRS INC COM	1,929.42	1,974.59	45.17
3/6/2013	5/23/2013	14	TEXAS INSTRS INC COM	490.77	502.62	11.85
3/6/2013	5/23/2013	7	TEXAS INSTRS INC COM	245.23	251.31	6.08
3/6/2013	5/23/2013	5	TEXAS INSTRS INC COM	175.16	179.67	4.51
3/8/2013	5/23/2013	61	TEXAS INSTRS INC COM	2,135.48	2,192.01	56.53
7/27/2012	2/7/2013	14	TIME WARNER CABLE INC COM CL A	1,183.91	1,227.14	43.23
7/27/2012	2/7/2013	119	TIME WARNER CABLE INC COM CL A	10,063.24	10,434.31	371.08
7/27/2012	2/8/2013	25	TIME WARNER CABLE INC COM CL A	2,114.13	2,188.90	74.78
9/26/2012	2/4/2013	247	TIME WARNER INC NEW COM NEW	11,060.83	12,473.24	1,412.41
4/10/2012	2/4/2013	178	TIME WARNER INC NEW COM NEW	6,301.79	8,988.81	2,687.02
3/26/2013	7/12/2013	14	TIME WARNER INC NEW COM NEW	796.60	858.63	62.03
3/25/2013	7/12/2013	22	TIME WARNER INC NEW COM NEW	1,244.15	1,349.28	105.13
3/25/2013	7/12/2013	3	TIME WARNER INC NEW COM NEW	168.94	183.99	15.05
4/10/2012	7/12/2013	41	TIME WARNER INC NEW COM NEW	1,451.54	2,514.56	1,063.02
4/10/2012	7/12/2013	11	TIME WARNER INC NEW COM NEW	389.44	676.65	287.21
4/10/2012	7/15/2013	48	TIME WARNER INC NEW COM NEW	1,699.36	2,949.07	1,249.71
4/10/2012	7/15/2013	6	TIME WARNER INC NEW COM NEW	212.42	369.31	156.89
4/10/2012	7/16/2013	50	TIME WARNER INC NEW COM NEW	1,770.17	3,059.70	1,289.53
6/15/2012	2/4/2013	107	TYCO INTL LTD SHS ISIN#CH0100383485	3,145.28	3,214.21	68.93
4/10/2012	2/4/2013	65	TYCO INTL LTD SHS ISIN#CH0100383485	1,902.00	1,952.55	50.55
4/10/2012	8/14/2013	109	TYCO INTL LTD SHS ISIN#CH0100383485	3,189.51	3,757.89	568.38
4/10/2012	8/15/2013	206	TYCO INTL LTD SHS ISIN#CH0100383485	6,027.89	7,035.05	1,007.16
4/10/2012	8/15/2013	58	TYCO INTL LTD SHS ISIN#CH0100383485	1,697.17	1,982.89	285.72
4/10/2012	8/16/2013	97	TYCO INTL LTD SHS ISIN#CH0100383485	2,838.37	3,314.70	476.33
4/10/2012	2/4/2013	200	UNITED TECHNOLOGIES CORP	15,713.18	17,950.59	2,237.41
4/10/2012	2/4/2013	200	UNITEDHEALTH GROUP INC	11,455.18	11,127.77	(327.41)
9/3/2013	11/19/2013	56	UNITEDHEALTH GROUP INC	4,067.53	4,002.99	(64.54)
9/3/2013	11/19/2013	27	UNITEDHEALTH GROUP INC	1,947.51	1,930.01	(17.50)
2/4/2013	8/5/2013	8000	VANGUARD INTL EQTY IDX EMR MKT ETF	356,375.20	315,834.50	(40,540.70)

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u> <u>Long Term</u>
4/5/2012	8/5/2013	8332	VANGUARD INTL EQTY IDX EMR MKT ETF	360,129.04	328,941.63	(31,187.41)
5/25/1994	2/4/2013	200	VERIZON COMMUNICATIONS INC COM	9,020.59	8,878.80	(141.79)
5/25/1994	8/9/2013	155	VERIZON COMMUNICATIONS INC COM	6,990.96	7,620.88	629.92
5/25/1994	9/3/2013	105	VERIZON COMMUNICATIONS INC COM	4,735.81	4,805.49	69.68
4/10/2012	3/28/2013	2	VERTEX PHARMACEUTICALS INC	73.36	109.93	36.57
4/10/2012	3/28/2013	5	VERTEX PHARMACEUTICALS INC	183.40	275.53	92.13
4/10/2012	3/28/2013	28	VERTEX PHARMACEUTICALS INC	1,027.03	1,538.99	511.96
4/10/2012	3/28/2013	5	VERTEX PHARMACEUTICALS INC	183.40	274.89	91.49
4/10/2012	3/28/2013	10	VERTEX PHARMACEUTICALS INC	366.80	548.29	181.49
4/10/2012	4/1/2013	6	VERTEX PHARMACEUTICALS INC	220.08	327.70	107.62
4/10/2012	4/1/2013	17	VERTEX PHARMACEUTICALS INC	623.56	914.80	291.24
4/10/2012	4/1/2013	11	VERTEX PHARMACEUTICALS INC	403.48	596.07	192.59
4/10/2012	4/2/2013	5	VERTEX PHARMACEUTICALS INC	183.40	268.75	85.35
4/10/2012	4/2/2013	14	VERTEX PHARMACEUTICALS INC	513.52	764.91	251.39
4/10/2012	4/3/2013	46	VERTEX PHARMACEUTICALS INC	1,687.27	2,434.25	746.98
4/10/2012	4/4/2013	17	VERTEX PHARMACEUTICALS INC	623.56	895.85	272.29
4/10/2012	4/4/2013	2	VERTEX PHARMACEUTICALS INC	73.36	105.42	32.06
3/14/2013	5/7/2013	30	VMWARE INC. CL A	2,549.27	2,300.72	(248.55)
3/14/2013	5/7/2013	4	VMWARE INC. CL A	337.59	306.76	(30.83)
3/14/2013	5/30/2013	31	VMWARE INC. CL A	2,616.36	2,215.76	(400.60)
3/15/2013	5/30/2013	82	VMWARE INC. CL A	6,915.20	5,861.03	(1,054.17)
4/10/2012	2/4/2013	175	WALTER INDS INC COM	10,166.40	6,488.85	(3,677.55)
4/10/2012	7/22/2013	7	WALTER INDS INC COM	406.66	95.29	(311.37)
4/10/2012	7/22/2013	2	WALTER INDS INC COM	116.19	27.05	(89.14)
4/10/2012	7/22/2013	7	WALTER INDS INC COM	406.66	93.75	(312.91)
4/10/2012	7/22/2013	4	WALTER INDS INC COM	232.37	54.26	(178.11)
4/10/2012	7/22/2013	1	WALTER INDS INC COM	58.09	13.49	(44.60)
4/10/2012	7/23/2013	25	WALTER INDS INC COM	1,452.34	356.66	(1,095.68)
9/7/2012	7/23/2013	1	WALTER INDS INC COM	34.53	13.90	(20.63)
9/7/2012	7/23/2013	3	WALTER INDS INC COM	103.58	42.07	(61.51)
9/7/2012	7/23/2013	6	WALTER INDS INC COM	207.16	86.69	(120.47)
9/7/2012	7/23/2013	15	WALTER INDS INC COM	517.91	214.83	(303.08)
9/7/2012	7/23/2013	12	WALTER INDS INC COM	414.33	166.55	(247.78)
9/7/2012	7/23/2013	9	WALTER INDS INC COM	310.75	129.20	(181.55)
9/7/2012	7/23/2013	3	WALTER INDS INC COM	103.58	43.13	(60.45)
9/7/2012	7/24/2013	7	WALTER INDS INC COM	241.69	85.60	(156.09)
9/7/2012	7/24/2013	26	WALTER INDS INC COM	897.71	312.67	(585.04)
9/7/2012	7/25/2013	4	WALTER INDS INC COM	138.11	46.26	(91.85)
9/7/2012	8/7/2013	100	WALTER INDS INC COM	3,452.73	1,014.37	(2,438.36)
4/10/2012	2/4/2013	700	WELLS FARGO & CO NEW	23,100.35	24,320.45	1,220.10
4/10/2012	3/4/2013	21	WELLS FARGO & CO NEW	693.01	744.13	51.12
4/10/2012	3/4/2013	8	WELLS FARGO & CO NEW	264.00	283.78	19.78
4/10/2012	3/4/2013	15	WELLS FARGO & CO NEW	495.01	535.93	40.92
4/10/2012	3/4/2013	14	WELLS FARGO & CO NEW	462.01	498.47	36.46
4/10/2012	3/5/2013	8	WELLS FARGO & CO NEW	264.00	288.80	24.80
4/10/2012	3/5/2013	8	WELLS FARGO & CO NEW	264.00	289.72	25.72
4/10/2012	3/5/2013	5	WELLS FARGO & CO NEW	165.00	180.16	15.16
4/10/2012	3/5/2013	17	WELLS FARGO & CO NEW	561.01	610.97	49.96
4/10/2012	3/5/2013	13	WELLS FARGO & CO NEW	429.01	466.43	37.42
4/10/2012	3/6/2013	5	WELLS FARGO & CO NEW	165.00	179.86	14.86
4/10/2012	3/6/2013	28	WELLS FARGO & CO NEW	924.01	1,006.97	82.96
4/10/2012	3/12/2013	40	WELLS FARGO & CO NEW	1,320.02	1,469.10	149.08
4/10/2012	3/12/2013	77	WELLS FARGO & CO NEW	2,541.04	2,824.98	283.94
4/10/2012	3/13/2013	27	WELLS FARGO & CO NEW	891.01	987.49	96.48
4/10/2012	3/13/2013	22	WELLS FARGO & CO NEW	726.01	808.38	82.37
12/21/2012	6/7/2013	15	WILLIAMS COMPANIES INC. (THE) CMN	489.01	528.29	39.28
12/21/2012	6/7/2013	3	WILLIAMS COMPANIES INC. (THE) CMN	97.80	105.64	7.84
12/21/2012	6/10/2013	6	WILLIAMS COMPANIES INC. (THE) CMN	195.60	209.82	14.22
12/21/2012	6/10/2013	5	WILLIAMS COMPANIES INC. (THE) CMN	163.00	175.95	12.95
12/21/2012	6/10/2013	9	WILLIAMS COMPANIES INC. (THE) CMN	293.40	315.31	21.91
12/21/2012	6/10/2013	6	WILLIAMS COMPANIES INC. (THE) CMN	195.60	210.20	14.60
5/8/2013	8/2/2013	42	XILINX INC	1,619.03	1,939.87	320.84
5/8/2013	8/5/2013	12	XILINX INC	462.58	552.93	90.35
5/8/2013	8/5/2013	9	XILINX INC	346.93	414.88	67.95
5/8/2013	8/5/2013	24	XILINX INC	921.78	1,106.34	184.56

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/13

<u>Open Date</u>	<u>Close Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Cost Basis</u>	<u>Proceeds</u>	<u>Gain Or (Loss)</u>	
						<u>Short Term</u>	<u>Long Term</u>
5/8/2013	8/6/2013	53	XILINX INC	2,035.61	2,430.92	395.31	
5/8/2013	8/6/2013	24	XILINX INC	921.78	1,095.53	173.75	
5/8/2013	8/7/2013	3	XILINX INC	115.22	137.02	21.80	
5/8/2013	8/7/2013	13	XILINX INC	499.30	593.47	94.17	
5/7/2013	8/7/2013	12	XILINX INC	458.34	547.82	89.48	
5/7/2013	8/7/2013	6	XILINX INC	229.17	273.76	44.59	
5/7/2013	8/8/2013	25	XILINX INC	954.88	1,137.61	182.73	
5/7/2013	8/8/2013	1	XILINX INC	38.20	45.54	7.34	
5/7/2013	8/9/2013	9	XILINX INC	343.76	408.23	64.47	
5/7/2013	8/12/2013	1	XILINX INC	38.20	45.50	7.30	
5/7/2013	8/12/2013	4	XILINX INC	152.78	181.47	28.69	
5/7/2013	8/12/2013	8	XILINX INC	305.56	362.97	57.41	
5/7/2013	8/13/2013	1	XILINX INC	38.20	45.08	6.88	
5/8/2013	8/13/2013	2	XILINX INC	76.35	90.17	13.82	
5/8/2013	8/13/2013	2	XILINX INC	76.35	90.40	14.05	
5/8/2013	8/13/2013	3	XILINX INC	114.52	135.63	21.11	
5/8/2013	8/13/2013	3	XILINX INC	114.52	135.73	21.21	
5/8/2013	8/14/2013	1	XILINX INC	38.17	45.29	7.12	
5/8/2013	8/14/2013	2	XILINX INC	76.35	90.46	14.11	
5/8/2013	8/14/2013	2	XILINX INC	76.35	90.21	13.86	
4/10/2012	2/4/2013	190	YUM BRANDS INC	13,026.53	12,336.43	(690.10)	
						227,595.44	669,144.34
						(183,742.76)	(227,540.78)
				<u>10,875,640.85</u>	<u>11,361,096.92</u>	<u>43,852.68</u>	<u>441,603.56</u>

TOTAL REALIZED GAIN 485,456.24

STATEMENT C (3)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A65243007
12/31/13

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>	
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u>	<u>Long Term</u>
1/1/1970	2/14/2013	851	AT&T INC	5,890.65	29,861.43		23,970.78
4/10/2012	2/14/2013	870	ALTRIA GROUP INC COM	27,014.72	29,972.82	2,958.10	
4/10/2012	2/14/2013	740	ASTRAZENECA PLC ADS	32,549.35	33,629.35	1,080.00	
4/10/2012	2/14/2013	280	BOEING CO	19,841.53	21,041.52	1,199.99	
1/1/1970	2/14/2013	240	CHEVRON CORP	1,587.06	27,857.37		26,270.31
9/14/2012	2/14/2013	1000	CISCO SYSTEMS INC	19,560.90	20,874.53	1,313.63	
4/10/2012	2/14/2013	500	CONOCOPHILLIPS	28,007.09	28,926.85	919.76	
4/10/2012	2/14/2013	250	DIAGEO PLC SPRD ADR NEW	23,753.68	29,951.85	6,198.17	
4/10/2012	2/14/2013	320	DOMINION RES INC	16,089.35	17,392.31	1,302.96	
12/4/1935	2/14/2013	209	DUPONT E I DE NEMOURS & CO	8,422.70	9,893.90		1,471.20
12/6/1935	2/14/2013	171	DUPONT E I DE NEMOURS & CO	6,891.30	8,095.00		1,203.70
4/10/2012	2/14/2013	1630	GENERAL ELECTRIC CO COM	30,570.81	37,852.97	7,282.16	
4/10/2012	2/14/2013	370	GENUINE PARTS CO	22,374.79	26,098.03	3,723.24	
4/10/2012	2/14/2013	760	HEALTH CARE PPTY INVS INC COM	28,590.74	35,886.92	7,296.18	
4/10/2012	2/14/2013	600	HSBC HLDG PLC SPNS ADR	25,431.06	33,858.26	8,427.20	
4/10/2012	2/14/2013	520	HEALTH CARE REIT INC	26,767.68	32,613.19	5,845.51	
5/3/2011	2/14/2013	560	HEINZ H J CO COM	29,023.33	40,576.69		11,553.36
4/10/2012	2/14/2013	520	INTEL CORP	14,282.32	11,020.63	(3,261.69)	
1/1/1970	2/14/2013	400	JPMORGAN CHASE & CO	4,959.76	19,583.56		14,623.80
4/10/2012	2/14/2013	530	JOHNSON & JOHNSON COM	34,094.69	40,237.70	6,143.01	
4/10/2012	2/14/2013	390	KIMBERLY CLARK CORP COM	28,686.61	35,226.47	6,539.86	
4/10/2012	2/14/2013	350	KRAFT FOODS GROUP INC COM	13,669.19	16,579.26	2,910.07	
4/10/2012	2/14/2013	900	LILLY ELI & CO COM	35,643.51	48,026.97	12,383.46	
4/10/2012	2/14/2013	710	MERCK & CO INC COM	27,411.89	29,066.74	1,654.85	
4/10/2012	2/14/2013	760	MICROSOFT CORP	23,081.12	21,273.90	(1,807.22)	
4/10/2012	2/14/2013	910	MONDELEZ INTERNATIONAL INC COM	21,895.72	24,396.64	2,500.92	
4/10/2012	2/14/2013	430	NEXTERA ENERGY INC	26,764.58	31,052.27	4,287.69	
4/10/2012	2/14/2013	260	PHILIP MORRIS INTERNATIONAL INC	22,632.48	23,488.68	856.20	
4/20/2012	2/14/2013	460	RAYTHEON CO COM NEW	24,522.69	24,823.06	300.37	
4/10/2012	2/14/2013	310	ROYAL DUTCH SHELL PLC SPONSORED ADR	20,977.95	20,947.13	(30.82)	
4/10/2012	2/14/2013	270	3M CO	22,823.53	27,816.77	4,993.24	
4/10/2012	2/14/2013	500	TRAVELERS COMPANIES INC THE	29,030.75	40,022.10	10,991.35	
4/10/2012	2/14/2013	940	UNILEVER N V NEW YORK SHS NE	30,647.10	37,211.60	6,564.50	
5/25/1994	2/14/2013	325	VERIZON COMMUNICATIONS INC COM	14,658.47	14,383.14		(275.33)
1/1/1970	2/14/2013	330	VERIZON COMMUNICATIONS INC COM	3,306.73	14,604.41		11,297.68
4/10/2012	2/14/2013	550	VODAFONE GROUP PLC SPONSORED ADR	14,829.76	14,374.91	(454.85)	
1/1/1970	2/15/2013	350	VERIZON COMMUNICATIONS INC COM	3,507.14	15,476.72		11,969.58
1/1/1970	2/19/2013	455	VERIZON COMMUNICATIONS INC COM	4,559.28	20,246.72		15,687.44
4/10/2012	3/22/2013	930	MONDELEZ INTERNATIONAL INC COM	22,376.94	27,633.21	5,256.27	
5/3/2011	6/10/2013	470	HEINZ H J CO COM	24,358.87	34,075.00		9,716.13
4/10/2012	6/26/2013	70	KIMBERLY CLARK CORP COM	5,148.88	6,763.22		1,614.34
						112,928.69	129,378.32
						(5,554.58)	(275.33)
						826,236.70	1,062,713.80
						107,374.11	129,102.99

TOTAL REALIZED GAIN 236,477.10