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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation

A Employer identification number

ALTMAN FOUNDATION

13-1623879

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

521 FIFTH AVE

B Telephone number

(212) 682-0970

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10175

C If exemption application is pending, check here ☐

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

\$ 258,641,797. (Part I, column (d) must be on cash basis.)

J Accounting method

☐ Cash☒ Accrual☐ Other (specify) _____F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1	Contributions, gifts, grants, etc., received			N/A	
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	61,733.	61,733.		STATEMENT 1
4	Dividends and interest from securities	1,852,455.	3,335,318.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	11,810,581.			
b	Gross sales price for all assets on line 6a	23,249,020.			
7	Capital gain net income (from Part IV, line 2)		12,691,533.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	875,261.	274,832.		STATEMENT 3
12	Total. Add lines 1 through 11	14,600,030.	16,363,416.		
13	Compensation of officers, directors, trustees, etc.	294,312.	14,716.		279,596.
14	Other employee salaries and wages	923,250.	263,215.		660,035.
15	Pension plans, employee benefits	258,287.	58,957.		318,042.
16a	Legal fees	8,636.	1,971.		6,665.
b	Accounting fees				28,662.
c	Other professional fees	2,604,084.	2,845,172.		106,143.
17	Interest		21,161.		
18	Taxes	284,526.	108,948.		0.
19	Depreciation and depletion	19,194.	4,381.		
20	Occupancy	386,600.	88,248.		299,211.
21	Travel, conferences, and meetings	116,612.	18,606.		98,006.
22	Printing and publications				
23	Other expenses	197,935.	165,069.		164,247.
24	Total operating and administrative expenses. Add lines 13 through 23	5,093,436.	3,590,444.		1,960,607.
25	Contributions, gifts, grants paid	11,112,194.			11,109,819.
26	Total expenses and disbursements. Add lines 24 and 25	16,205,630.	3,590,444.		13,070,426.
27	Subtract line 26 from line 12	<1,605,600.>			
a	Excess of revenue over expenses and disbursements		12,772,972.		
b	Net investment income (if negative, enter -0-)				
c	Adjusted net income (if negative, enter -0-)			N/A	

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LHA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

	Beginning of year (a) Book Value	End of year	
		(b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing	159.	402.	402.
2 Savings and temporary cash investments	19,762,839.	12,635,910.	12,635,910.
3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶ Less allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges	85,826.	19,499.	19,499.
10a Investments - U S and state government obligations			
b Investments - corporate stock STMT 9	21,567,121.	30,328,166.	30,328,166.
c Investments - corporate bonds	7,028,419.		
11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other STMT 10	191,427,221.	212,555,773.	212,555,773.
14 Land, buildings, and equipment basis ▶ 643,711. Less accumulated depreciation STMT 11 ▶ 613,812.	47,440.	29,899.	29,899.
15 Other assets (describe ▶ STATEMENT 12)	2,889,400.	3,072,148.	3,072,148.
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item 1)	242,808,425.	258,641,797.	258,641,797.
Liabilities			
17 Accounts payable and accrued expenses	205,864.	227,340.	
18 Grants payable	2,553,700.	2,535,000.	
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶ STATEMENT 13)	1,239,048.	1,563,769.	
23 Total liabilities (add lines 17 through 22)	3,998,612.	4,326,109.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted	238,809,813.	254,315,688.	
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	238,809,813.	254,315,688.	
31 Total liabilities and net assets/fund balances	242,808,425.	258,641,797.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	238,809,813.
2 Enter amount from Part I, line 27a	2	<1,605,600.>
3 Other increases not included in line 2 (itemize) ▶ CHANGE IN UNREALIZED APPRECIATION	3	17,460,688.
4 Add lines 1, 2, and 3	4	254,664,901.
5 Decreases not included in line 2 (itemize) ▶ DEFERRED FEDERAL EXCISE TAX	5	349,213.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	254,315,688.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a SEE ATTACHMENT B		P	VARIOUS	VARIOUS
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 38,362,727.		25,671,194.	12,691,533.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			12,691,533.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	12,691,533.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	14,224,232.	231,401,219.	.061470
2011	12,189,255.	235,902,561.	.051671
2010	14,472,416.	227,441,658.	.063631
2009	13,880,804.	213,651,868.	.064969
2008	13,880,129.	259,196,614.	.053551

2 Total of line 1, column (d)	2	.295292
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.059058
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	242,863,635.
5 Multiply line 4 by line 3	5	14,343,041.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	127,730.
7 Add lines 5 and 6	7	14,470,771.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	13,572,079.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	255,459.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	255,459.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	255,459.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	173,124.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	145,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	318,124.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	62,665.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> 62,665. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.ALTMANFOUNDATION.ORG</u>	13	X	
14	The books are in care of ► <u>THE FOUNDATION</u> Telephone no ► <u>(212) 682-0970</u> Located at ► <u>521 FIFTH AVE, NEW YORK, NY</u> ZIP+4 ► <u>10175</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► _____	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

SEE STATEMENT 15

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		294,312.	44,490.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEREMY TENNENBAUM	CHIEF FINANCIAL OFFICER			
521 FIFTH AVENUE, NEW YORK, NY 10175	35.00	200,850.	46,011.	0.
MARGARET MCALLISTER	PROGRAM OFFICER			
521 FIFTH AVENUE, NEW YORK, NY 10175	35.00	132,750.	41,968.	0.
DEBORAH THOMPSON-VELAZQUEZ	SENIOR PROGRAM OFFICER			
521 FIFTH AVENUE, NEW YORK, NY 10175	35.00	138,000.	21,548.	0.
GARY STEHR	CONTROLLER			
521 FIFTH AVENUE, NEW YORK, NY 10175	35.00	130,000.	20,873.	0.
RACHAEL PINE	PROGRAM OFFICER			
521 FIFTH AVENUE, NEW YORK, NY 10175	35.00	133,900.	12,247.	0.

Total number of other employees paid over \$50,000

3

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BRIGADE LEVERAGED CAPITAL STRUCTURES OFFSHORE 399 PARK AVENUE, SUITE 1600, NEW YORK, NY 10022	INVESTMENT MANAGEMENT	257,796.
CONVEXITY CAPITAL MANAGEMENT LP - 200 CLERENDON STREET, 57TH FLOOR, BOSTON, MA	INVESTMENT MANAGEMENT	249,549.
SILCHESTER INTERNATIONAL 780 THIRD AVE, 42ND FL, NEW YORK, NY 10017	INVESTMENT MANAGEMENT	179,085.
ARCHIPELAGO HOLDINGS, LTD. - C/O CODAN SERVICES LTD, HAMILTON, HM 11, BERMUDA	INVESTMENT MANAGEMENT	159,045.
DOUBLE EAGLE OFFSHORE CAPITAL ACE FUND LTD. - 909 LAKE CAROLYN PKWY, SUITE 1825, IRVING, TX	INVESTMENT MANAGEMENT	152,255.
Total number of others receiving over \$50,000 for professional services		10

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 SEE STATEMENT 16	500,000.
2	
3 All other program-related investments See instructions	
Total. Add lines 1 through 3	500,000.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	232,126,431.
b	Average of monthly cash balances	1b	14,435,635.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	246,562,066.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	246,562,066.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,698,431.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	242,863,635.
6	Minimum investment return. Enter 5% of line 5	6	12,143,182.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	12,143,182.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	255,459.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	255,459.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	11,887,723.
4	Recoveries of amounts treated as qualifying distributions	4	347,782.
5	Add lines 3 and 4	5	12,235,505.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	12,235,505.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	13,070,426.
b	Program-related investments - total from Part IX-B	1b	500,000.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	1,653.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	13,572,079.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	13,572,079.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				12,235,505.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009	3,122,896.			
c From 2010	3,131,094.			
d From 2011	105,716.			
e From 2012	2,831,893.			
f Total of lines 3a through e	9,191,599.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 13,572,079.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				12,235,505.
e Remaining amount distributed out of corpus	1,336,574.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	10,528,173.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	10,528,173.			
10 Analysis of line 9				
a Excess from 2009	3,122,896.			
b Excess from 2010	3,131,094.			
c Excess from 2011	105,716.			
d Excess from 2012	2,831,893.			
e Excess from 2013	1,336,574.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 18	N/A	VARIOUS - SEE STATEMENT 18	VARIOUS - SEE STATEMENT 18	11,109,819.
Total				▶ 3a 11,109,819.
b Approved for future payment				
SEE STATEMENT 19	N/A	PC	VARIOUS - SEE STATEMENT 19	2,535,000.
Total				▶ 3b 2,535,000.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CHECKING ACCOUNT	61,567.	61,567.	
MONEY MARKET FUNDS	166.	166.	
TOTAL TO PART I, LINE 3	61,733.	61,733.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS AND INTEREST ON K-1'S	1,019,346.	0.	1,019,346.	2,502,209.	
DIVIDENDS ON SECURITIES	833,109.	0.	833,109.	833,109.	
TO PART I, LINE 4	1,852,455.	0.	1,852,455.	3,335,318.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PARTNERSHIP INCOME	836,323.	235,894.	
NEW YORK CITY HISTORICAL PROPERTIES			
FUND PRI INTEREST	7,412.	7,412.	
MISCELLANEOUS INCOME	31,526.	31,526.	
TOTAL TO FORM 990-PF, PART I, LINE 11	875,261.	274,832.	

FORM 990-PF	LEGAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PATTERSON, BELKNAP, WEBB & TYLER	8,636.	1,971.		6,665.	
TO FM 990-PF, PG 1, LN 16A	8,636.	1,971.		6,665.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
O'CONNOR DAVIES, LLP	0.	0.		28,662.	
TO FORM 990-PF, PG 1, LN 16B	0.	0.		28,662.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ABERDEEN ASSET MGMT	33,218.	0.		0.	
AG NET LEASE REALTY	107,185.	724.		0.	
ARCHIPELAGO	159,045.	159,045.		0.	
BRANDYWINE GLOBAL	32,034.	4,998.		0.	
BRIGADE LEVERAGED CAPITAL	257,796.	257,796.		0.	
CAPITAL INTERNATIONAL EMERGING MARKETS	30,942.	30,942.		0.	
CAPITAL INTERNATIONAL EMERGING MARKETS TOTAL					
OPPORTUNITIES FD	41,860.	41,860.		0.	
COMMONFUND INTERNATIONAL PARTNERS V	9,667.	28,011.		0.	
COMMONFUND INTERNATIONAL PARTNERS VI	65,000.	196,130.		0.	
COMMONFUND NATURAL RESOURCES IX	18,750.	28,425.		0.	
COMMONFUND NATURAL RESOURCES VII	41,250.	120,454.		0.	
COMMONFUND PRIVATE EQUITY PARTNERS V	9,000.	35,184.		0.	

COMMONFUND PRIVATE EQUITY PARTNERS VI	25,417.	85,793.	0.
COMMONFUND PRIVATE EQUITY PARTNERS VII	65,000.	209,786.	0.
COMMONFUND REALTY INVESTORS	0.	581.	0.
COMMONFUND VENTURE PARTNERS VI	6,300.	36,721.	0.
COMMONFUND VENTURE PARTNERS VII	20,667.	91,762.	0.
CONVEXITY CAPITAL	249,549.	249,549.	0.
DOUBLE EAGLE	152,255.	152,255.	0.
FLAG INT'L PTN II	50,000.	50,000.	0.
GS VINTAGE	144,299.	144,299.	0.
HARVEST FUND	2,949.	2,949.	0.
INVESCO NON-US PARTNERSHIP FUND III	<8,303.>	7,422.	0.
INVESCO PARTNERSHIP FUND III	<6,761.>	31,303.	0.
INVESCO US BUYOUT OUT & EXPANSION CAPITAL PARTNERSHIP FUND III	<3,931.>	13,067.	0.
JPM GLOBAL EMG MARKETS	31,273.	6,077.	0.
KENNEDY CAPITAL	67,895.	67,895.	0.
LONE CASCADE	99,883.	105,129.	0.
MORGAN STANLEY LARGE GROWTH	89,338.	89,338.	0.
MREP 2008 DCIF	37,500.	48,381.	0.
MREP V	50,000.	23,071.	0.
NORTHPOINT	6,634.	6,634.	0.
OTHER CUSTODY FEES	7,627.	7,627.	0.
PIMCO COMMODITY REAL RETURN	37,015.	37,015.	0.
PINE GROVE	108,284.	108,284.	0.
ROCKEFELLER ACCESS FUND	38,381.	97,777.	0.
ROCKEFELLER SPECIAL GLOBAL	23,794.	39,766.	0.
RREEF AMERICA REIT	15,224.	15,224.	0.
SILCHESTER INTERNATIONAL	179,085.	649.	0.
SMITH BARNEY	68,438.	68,438.	0.
WILSHIRE	116,500.	116,500.	0.
OTHER PROFESSIONAL FEES	30,643.	6,995.	24,736.
OUTSIDE PROFESSIONAL FEES	93,382.	21,316.	81,407.
TO FORM 990-PF, PG 1, LN 16C	<u>2,604,084.</u>	<u>2,845,172.</u>	<u>106,143.</u>

FORM 990-PF	TAXES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES WITHHELD/PAID	21,202.	108,948.		0.	
FEDERAL EXCISE TAX	263,074.	0.		0.	
UNRELATED BUSINESS INCOME TAX	250.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	284,526.	108,948.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE	24,596.	5,616.		18,980.	
OFFICE SUPPLIES	9,617.	2,196.		12,057.	
POSTAGE & MESSENGER	1,244.	284.		960.	
EQUIPMENT RENTAL & MAINTENANCE	7,763.	1,772.		6,483.	
MEMBERSHIPS & SUBSCRIPTIONS	52,146.	11,903.		40,243.	
NYS FILING FEE	1,500.	342.		1,158.	
OFFICE MAINTENANCE	22,609.	5,161.		18,108.	
GRANT ADMIN	25,000.	0.		25,000.	
COMPUTER MAINTENANCE	51,248.	11,698.		39,550.	
BANK FEES	1,764.	402.		1,362.	
OTHER PARTNERSHIP EXPENSES	0.	125,593.		0.	
OTHER FEES	448.	102.		346.	
TO FORM 990-PF, PG 1, LN 23	197,935.	165,069.		164,247.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	9
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
ATTACHMENT A-2			30,328,166.	30,328,166.
TOTAL TO FORM 990-PF, PART II, LINE 10B			30,328,166.	30,328,166.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
NET UNSETTLED SECURITY TRADES - ATTACHMENT A-1	FMV	8,050,000.	8,050,000.
PRIVATE EQUITY - ATTACHMENT A-1	FMV	48,201,590.	48,201,590.
INTERNATIONAL EQUITY - ATTACHMENT A-1	FMV	33,313,608.	33,313,608.
FIXED INCOME - ATTACHMENT A-1	FMV	13,015,518.	13,015,518.
DOMESTIC EQUITY FUNDS - ATTACHMENT A-1	FMV	11,723,848.	11,723,848.
REAL ASSET FUNDS - ATTACHMENT A-1	FMV	11,929,484.	11,929,484.
REAL ESTATE - ATTACHMENT A-1	FMV	10,465,214.	10,465,214.
EQUITY ORIENTED HEDGE - ATTACHMENT A-1	FMV	34,105,085.	34,105,085.
FIXED INCOME SUBSTITUTE - ATTACHMENT A-1	FMV	41,751,426.	41,751,426.
TOTAL TO FORM 990-PF, PART II, LINE 13		212,555,773.	212,555,773.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	11
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LEASEHOLD IMPROVEMENTS	281,238.	252,992.	28,246.
FURNITURE & FIXTURES	276,717.	276,717.	0.
COMPUTER	18,972.	18,972.	0.
COMPUTER EQUIPMENT	6,090.	6,090.	0.
COMPUTER EQUIPMENT	5,150.	5,150.	0.
PHONE SYSTEM	17,907.	17,907.	0.
COMPUTER SYSTEM	24,283.	24,283.	0.
SOFTWARE PACKAGE	3,771.	3,771.	0.
PHONE SYSTEM	2,593.	2,593.	0.
SERVER	5,337.	5,337.	0.
ARCHITECT FEES	1,653.	0.	1,653.
TOTAL TO FM 990-PF, PART II, LN 14	643,711.	613,812.	29,899.

FORM 990-PF	OTHER ASSETS		STATEMENT 12
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROGRAM RELATED INVESTMENTS	2,300,000.	2,452,218.	2,452,218.
DEFERRED PENSION ASSET	589,400.	619,930.	619,930.
TO FORM 990-PF, PART II, LINE 15	2,889,400.	3,072,148.	3,072,148.

FORM 990-PF	OTHER LIABILITIES		STATEMENT 13
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
FEDERAL EXCISE TAX PAYABLE	1,985.	92,003.	
DEFERRED FEDERAL EXCISE TAX	778,534.	1,127,747.	
DEFERRED PENSION LIABILITY	458,529.	344,019.	
TOTAL TO FORM 990-PF, PART II, LINE 22	1,239,048.	1,563,769.	

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 14

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JAMES M. BURKE, ESQ. 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	TRUSTEE 1.00	0.	0.	0.
BEVERLY CHASE 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	TRUSTEE 1.00	0.	0.	0.
WILLIAM GOODLOE 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	TRUSTEE 1.00	0.	0.	0.
SHARON B. KING 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	ASST SECY 1.00	0.	0.	0.
JANE B O'CONNELL 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	PRESIDENT 35.00	0.	0.	0.
KAREN L ROSA 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	EXEC DIR/VP 35.00	294,312.	44,490.	0.
SANDRA LAMB 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	TRUSTEE 1.00	0.	0.	0.
JULIA V SHEA 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	SECRETARY 1.00	0.	0.	0.
JOHN W TOWNSEND IV 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	VICE PRESIDENT 1.00	0.	0.	0.
PATRICIA VOLLAND 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	TRUSTEE 1.00	0.	0.	0.
WILFRED FINNEGAN 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	TRUSTEE 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		294,312.	44,490.	0.

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 15

GRANTEE'S NAME

NEW YORK STATE HEALTH FOUNDATION

GRANTEE'S ADDRESS1385 BROADWAY, 23RD FLOOR
NEW YORK, NY 10018GRANT AMOUNT

115,000.

DATE OF GRANT

11/19/13

AMOUNT EXPENDED

95,000.

PURPOSE OF GRANTTO HELP SUPPORT THE NEW YORK STATE HEALTH FOUNDATION'S MULTI-AGENCY HEALTH
INSURANCE OUTREACH AND ENROLLMENT INITIATIVE, WITH A SUB-GRANT TO A
COMMUNITY-BASED NONPROFIT SELECTED THROUGH ITS REQUEST FOR PROPOSALSDATES OF REPORTS BY GRANTEE

A NARRATIVE AND INTERIM FINANCIAL REPORT DATED MAY 2014

ANY DIVERSION BY GRANTEE

TO THE KNOWLEDGE OF THE FOUNDATION, THE GRANTEE HAS NOT MADE ANY DIVERSIONS

RESULTS OF VERIFICATION

NO INDEPENDENT VERIFICATION OF THESE REPORTS WAS WARRANTED

FORM 990-PF	SUMMARY OF PROGRAM-RELATED INVESTMENTS	STATEMENT	16
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DESCRIPTION

TO INVEST WITH A REVOLVING LOAN FUND FOR HISTORIC
 PRESERVATION WHICH PROVIDES FINANCIAL AND PROJECT MANAGEMENT
 ASSISTANCE TO OWNERS OF HISTORIC PROPERTIES WITHIN THE FIVE
 BOROUGHES OF NEW YORK CITY.

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 1

500,000.

ALTMAN FOUNDATION

GUIDELINES

GENERAL INFORMATION

SPECIAL NOTE

Applying for foundation support is a time-consuming process on both sides. Before deciding to apply to the Foundation, please review our operating principles, funding limitations, and the objectives and results we seek as set out in this document. Thank you.

MISSION

To support programs and institutions that enrich the quality of life in New York City, with a particular focus on initiatives that help individuals, families, and communities benefit from the services and opportunities that will enable them to achieve their full potential.

VALUES

In carrying out our mission and making choices about how to invest our grantmaking dollars, we are guided in our selection process by our results-based orientation and by several overarching values that cut across program-area lines. These values are access, system-wide improvement, prevention and early intervention, self-sufficiency, the provision of high-quality services, and a focus on vulnerable populations.

The Altman Foundation:

- Seeks to increase meaningful **access** for New Yorkers to quality programs, institutions, and resources, both public and private;
- Encourages **system-wide improvement** and change while at the same time maintaining our tradition of direct service and the opportunity to test models in action;
- Prefers to support **preventive and early intervention strategies**;
- Supports efforts that help New Yorkers build and preserve **self-sufficiency**;
- Encourages innovation and funds programs and organizations that provide **high-quality services** based on best practices in their field and that exercise systematic efforts to track credible and meaningful results; and
- Has an interest in supporting programs that serve **vulnerable populations**, in particular children and youth from underserved communities, immigrants, and the elderly.

OPERATING PRINCIPLES

We define ourselves as investors and are interested in creating the highest possible levels of human gain for the grant dollars we have available. In that mode, we review proposals with the following questions in mind:

- What are the results from the project—meaning outcomes for participants—that provide the return on our investment?
- What are the chances those results will be achieved?
- Is this the best use of our money, given all alternatives before us?

To carry out our mission and achieve human gain, we make three types of investments:

1. Investments in Direct Service

In this area we support programs and projects that create human gain for people in our areas of focus. Historically, most of the grants in our portfolio have fallen into this category.

2. Investments in Capacity Building

At times we believe that making an investment in an organization so that it can achieve and sustain stronger gains for those served is the best investment we can make. In general, these investments are reserved for organizations with which we are already working.

3. Investments in Systems Change

At times we believe that the most pressing need is to make an improvement in the larger context of factors and forces that affect programs and organizations. The Foundation will allocate limited grant dollars to strategic policy, advocacy, and applied research initiatives that advance the Foundation's grantmaking priorities.

In addition, the Altman Foundation:

- Promotes connections among nonprofits, government agencies, and foundations, and other organizations doing complementary work in order to advance best practices and build knowledge within a given field;
- Seeks to leverage its limited resources by investing in issues, programs, or initiatives that have the potential to attract other funding sources, both public and private; and
- Initiates a limited number of program-related investments that provide low-cost loans to high-performing nonprofits with strong business models and the capacity to leverage other capital sources to advance effective programs or initiatives.

FUNDING LIMITATIONS

Please note the following:

September 2011: As this year goes forward, we will continue our efforts to sustain high-performing current grantees seeking renewed funding. We have few if any funds available for new, non-Foundation-initiated grantmaking.

However, if after reviewing our guidelines and grants lists, you believe that your work and the results you can already document achieving are directly and measurably in line with the Foundation's priorities, we want to know about what you are doing via a Letter of Inquiry. After receiving your letter, we will follow up with you if we need more information.

This does not apply to current Altman grantees, who should prepare full applications using the forms on this website as usual.

General Limitations

- We fund only organizations that are recognized by the Internal Revenue Service as having 501(c)(3) status and that are not private foundations.
- The Foundation does not make grants to individuals for any purpose.
- Grant requests from organizations and programs outside of the State of New York cannot be considered for funding. In addition, Altman Foundation grants focus almost without exception on organizations and programs within the five boroughs of New York City.
- As a general rule, the Foundation does not fund the following:
 - Bricks and mortar, other capital projects, or the purchase of capital equipment
 - Galas, benefits, and other fundraising events
 - Conferences or symposia that are not connected to current Altman grants
 - Concerts, theater or media performances, or art exhibitions that are not connected to current Altman grants
 - Instructional programming or artist-in-residence programs within the public schools or in correctional facilities
 - Budget deficits
 - Disease-specific projects, with the exception of initiatives aligned with our guidelines
 - Public universities or ongoing programs of private colleges and universities
 - Operating expenses and ongoing services of either public or voluntary hospitals

PROGRAM AREAS

EDUCATION

Given the importance of education in building strong individuals, families, and communities, and the primacy of literacy in enabling people to succeed, the Foundation invests in organizations, programs, and projects outside of the public schools that achieve results aligned with the two objectives outlined below. **Please note that the "Results Sought" reflect the specific outcomes of interest to us.**

Objective 1) Increase access for disadvantaged children and youth to high-quality academic opportunities.

Results Sought:

- A. Significantly improve the academic performance of disadvantaged students
- B. Increase the number of disadvantaged students enrolled and retained in independent and other high-quality schools
- C. Increase the number of disadvantaged students enrolled and retained in college

Objective 2) Promote excellence in education for disadvantaged children and youth in non-public in-school, after school, early childhood, and other settings, with a particular emphasis on initiatives that expand and/or enhance multiple programs across a system.

Results Sought:

- A. Significantly improve the social, emotional, cognitive and academic development of children
- B. Measurably improve the caliber and diversity of faculty and staff
- C. Increase the involvement of parents in their children's education
- D. Increase the number of families participating in effective literacy activities, particularly early literacy activities

HEALTH

Recognizing the critical importance of health and mental health care to individuals, families, and communities, the Foundation invests in programs or projects that achieve results aligned with the objectives outlined below. **Please note that the "Results Sought" reflect the specific outcomes of highest interest to us at this time.**

Objective 1) Expand access to health care for underserved, uninsured, or vulnerable populations or communities.

Results Sought:

- A. Increase in number of New Yorkers with adequate health insurance, particularly public and/or publicly subsidized health insurance
- B. Decrease in system- or community-wide barriers to health care or particular health services due to, e.g., immigration status, economic means, or provider capacity
- C. System- or community-wide increase in number of New Yorkers able to seek, navigate, and manage their health care and health insurance, either alone or with the help of a caregiver

(Priority will be given to projects aimed at systems-change or that are systemic or community-wide in scale or approach.)

Objective 2) Expand and strengthen quality out-of-hospital health care for the most underserved and vulnerable populations.

Results Sought:

- A. Increase in New Yorkers benefitting from primary care from a "Patient-Centered Medical Home" or comparable out-patient community-based provider
- B. Increase in New Yorkers benefitting from coordinated care, care management, and/or multi-disciplinary services and supports provided in an accessible community- or home-based setting, with a focus on programs targeting individuals living in poverty, with multiple chronic or behavioral health conditions, and/or who are transportation-challenged, including frail elders
- C. Increase in New Yorkers receiving services and supports aimed at reducing unnecessary hospital admissions, readmissions, or emergency room use, and successfully transitioning patients into out-of-hospital community-based care and care management

(Priority will be given to programs capable of being sustained with earned or public sector revenue, producing outcomes of long-term value in a short time, and/or of reducing cost to the system while maintaining or improving quality of care to the individual.)

Objective 3) Promote development and expansion of the workforce needed to support delivery of primary, community-based, and at-home health care and care management for the most vulnerable populations.

Results Sought:

- A. Increase in number of health care support workers with improved skills, enhanced job quality, and expanded pathways to advancement in the transforming health service sector

- B. Increase in number of workers newly trained for and successfully placed in entry-level and/or paraprofessional positions related to care coordination, care management, and community outreach

STRENGTHENING COMMUNITIES

The Foundation has an historic interest in ensuring that individuals and families living in the city have access to the services and resources they need to pursue and sustain successful lives. The Foundation invests in organizations, programs, and projects that achieve results aligned with the two objectives outlined below. **Please note that the "Results Sought" reflect the specific outcomes of interest to us.**

Objective 1) Build and preserve economic security and independence among low-income individuals and families.

Results Sought:

- A. Increase the number of low-income New Yorkers who are placed in and retain jobs that support economic independence
- B. Increase the number of low-income New Yorkers enrolled in public benefits that complement work
- C. Enable vulnerable populations (e.g., the elderly) to remain in their own homes and communities, with a focus on systemic efforts to address barriers to independence

Objective 2) Promote and sustain the availability of, and equitable access to, essential community resources needed to support stable, healthy communities, with an emphasis on systemic efforts.

Results Sought:

- A. Preserve and/or increase the quality and amount of affordable housing
- B. Preserve and/or increase the quality and availability of well-maintained parks and open spaces, and other essential community resources

ARTS & CULTURE

The Foundation has had a longstanding interest in the arts, reflecting not only Benjamin Altman's personal commitment, but also the Foundation's recognition of the value of the arts in enriching the lives of New Yorkers and the city as a whole. The Foundation invests in organizations, programs, and projects that achieve results aligned with the three objectives outlined below. As a general rule, the programs we support take place outside of the public schools. **Please note that the "Results Sought" reflect the specific outcomes of interest to us.**

Objective 1) Promote positive youth development through arts and cultural programming.

Results Sought:

- A. Significantly increase the mastery of program-specific skills and other developmentally appropriate life skills
- B. Measurably advance the academic progress of students
- C. Successfully transition participants to high-quality secondary, post-secondary, or professional opportunities

Objective 2) Promote the acquisition of preprofessional arts skills among underserved youth.

Results Sought:

- A. Enroll and retain promising students from underserved communities in the highest-quality preprofessional training in specific arts disciplines
- B. Measurably increase the mastery of program-specific skills against a curriculum-based standard
- C. Successfully transition participants to high-quality secondary, post-secondary, or professional opportunities

Objective 3) Promote access to the arts and cultural activities for underserved populations.

Results Sought:

- A. Increase sustained engagement and participation of underserved populations in high-quality arts and cultural programming provided by established and meaningful organizations and institutions

SERVICES TO NOT-FOR-PROFITS

The Foundation provides support to organizations that achieve the following:

Results Sought:

- A. Significantly enhance the ability of key umbrella organizations to help their member or constituent agencies address critical community issues in the Foundation's areas of interest
- B. Measurably improve organizational effectiveness or program quality of community-based or other organizations working in the Foundation's areas of interest

August 2014

Altman Foundation
 EIN# 13-1623879
 Part XV Supplementary Information
 Line 3a Grants and Contributions Paid During the Year
 December 31, 2013

Grantee Name	Address	Foundation status of recipient	Project Title	Amount
ALIGN: the Alliance for a Greater New York	50 Broadway, 29th Floor New York, NY 10004	PC	To provide operating support for the New York Caring Across Generations Initiative	\$ 20,000
Alliance of Resident Theatres/New York, Inc.	520 Eighth Avenue, Suite 319 New York, NY 10018	PC	To help support the preparation and execution of The Campaign for the A.R.T./New York Theatres, a campaign to secure affordable performance space for New York City's Off and Off Off Broadway theatres over the next 99 years (over two years)	75,000
Alvin Ailey Dance Foundation, Inc.	The Joan Weill Center for Dance 405 West 55th Street New York, NY 10019-4402	PC	To help support the Ailey School Scholarship Program and the Ailey Athletic Boys Program (over three years)	30,000
Alzheimer's Association, New York City Chapter	360 Lexington Avenue, 4th Floor New York, NY 10017	PC	Divided as follows: \$100,000 over two years to help support the Professional Home Care Workers Dementia Training Program and \$50,000 to provide a one-time grant for capacity building support	100,000
Amigos del Museo del Barrio, Inc.	1230 Fifth Avenue New York, NY 10029-4496	PC	Divided as follows: \$75,000 to help support El Museo del Barrio's non-school Family Programs and Cultural Celebrations; and \$35,000 for capacity building during the period of executive transition	110,000
ArtsConnection, Inc.	520 Eighth Avenue, Suite 321 New York, NY 10018	PC	To help support the Take 5 and the Teen Reviewers and Critics (TRaC) programs	25,000
Association of Fundraising Professionals New York City Chapter	355 Lexington Avenue, 15th Floor New York, NY 10017	PC	Over six months to help support the Real-Time Grantmaking professional development practicum of Fundraising Day in New York 2013	2,500
Ballet Hispanico of New York, Inc.	167 West 89th Street New York, NY 10024	PC	To help support the need-based scholarship program at the School of Dance and the recruitment and retention of students from underserved communities and/or low-income families	25,000
Ballet Tech Foundation, Inc.	The Lawrence A. Wien Center for Dance & Theater 890 Broadway New York, NY 10003	PC	To help support Ballet Tech's program of pre-professional dance training for underserved youth	35,000
Barnard College	3009 Broadway New York, NY 10027-6598	PC	To be used over fourteen months to help support the academic success and persistence of students from underserved communities at particularly vulnerable stages in the academic pipeline and in fields in which they are traditionally underrepresented	50,000

Grantee Name	Address	Foundation status of recipient	Project Title	Amount
BoardAssist	575 Madison Avenue, 10th Floor New York, NY 10022	PC	To help nonprofit organizations in New York City identify and recruit engaged and productive new board members	25,000
Bottom Line	26 Court Street, Suite 1303 Brooklyn, NY 11242	PC	To provide support for expanding the College Success Program for New York City youth	50,000
Bowery Residents' Committee, Inc.	131 West 25th Street, 12th Floor New York, NY 10012	PC	To help support the Horizons Workforce Development Program	50,000
Breakthrough New York, Inc.	55 Exchange Place, Suite 503 New York, NY 10005	PC	Divided as follows: \$35,000 to help support Breakthrough New York's college access programming and \$15,000 to help support strategic planning	50,000
Bronx Children's Museum	P O. Box 1381 Bronx, NY 10451-9998	PC	To help provide capacity building funds to continue the development of this new children's museum in the Bronx	50,000
Bronx River Alliance, Inc	One Bronx River Parkway Bronx, NY 10462	PC	To help support the Greenway Program (over two years)	75,000
Brooklyn Academy of Music, Inc.	Peter Jay Sharp Building 30 Lafayette Avenue Brooklyn, NY 11217-1486	PC	to provide capacity building support for BAM's Education programming expansion in the Richard B. Fisher Building (over two years)	100,000
Brooklyn Botanic Garden Corporation	1000 Washington Avenue Brooklyn, NY 11225-1099	PC	To help support the Garden Apprentice Program for middle and high school youth (over two years)	50,000
Brooklyn Friends School	375 Pearl Street Brooklyn, NY 11201	PC	To help support the Horizons academic enrichment program at Brooklyn Friends School	35,000
Brooklyn Kindergarten Society	57 Willoughby Street, 4th Floor Brooklyn, NY 11201	PC	To help accelerate the development of literacy and math skills for at-risk preschoolers in Brooklyn (Over two years)	75,000
Brooklyn Public Library	Grand Army Plaza Brooklyn, NY 11238-5619	PC	To help support the First Five Years early literacy program and specifically Ready, Set, Kindergarten	100,000
Brooklyn Youth Chorus Academy, Inc.	179 Pacific Street Brooklyn, NY 11201-6213	PC	To help support the Choral Music Education and Performance Program, and provide a capacity building grant to help develop their Artistic and Audience Development Initiative (over two years)	75,000
Calvary Hospital, Inc.	1740 Eastchester Road Bronx, NY 10461	PC	To support expansion of Calvary's capacity to offer the full continuum of out-of-hospital palliative and hospice care across all five boroughs, with a particular focus on Manhattan and the partnership with the Mary Manning Walsh home (over two years)	75,000
Catholic Charities Archdiocese of New York	1011 First Avenue New York, NY 10022-4134	PC	Divided as follows. \$100,000 to provide general operating support and \$50,000 to support capacity building work with federated agencies	150,000

Grantee Name	Address	Foundation status of recipient	Project Title	Amount
Catholic Charities Brooklyn and Queens	191 Joralemon Street, 3rd Floor Brooklyn, NY 11201	PC	To help support the Parish & Community program's efforts to strengthen client services, case management, and community outreach, along with its capacity to report on the results of these services	75,000
Center for Children's Initiatives	322 Eighth Avenue, 4th Floor New York, NY 10001	PC	to help support training and consultation to early childhood programs to implement the Devereux Early Childhood Assessment System and promote the social/emotional development of young children	25,000
Center for Family Life in Sunset Park	345 43rd Street Brooklyn, NY 11232	PC	To help support the Center's Life Lines Community Arts project for underserved youth	50,000
Center for New York City Neighborhoods, Inc	17 Battery Place South, Suite 723 New York, NY 10004	PC	To help support foreclosure prevention services for homeowners throughout New York City (over two years)	100,000
Children's Defense Fund - New York	15 Maiden Lane, Suite 1200 New York, NY 10038	PC	To help support access by children and families to health insurance and enrollment assistance under New York's Health Benefits Exchange, and a preliminary investigation into models for improved school-based health care in NYC	50,000
Citizen Schools, Inc	308 Congress Street Boston, MA 02210	PC	To help support a network of afterschool programs in New York City (over two years)	100,000
Citizens' Committee for Children of New York, Inc.	105 East 22nd Street New York, NY 10010	PC	To help support the Campaign for Children	20,000
Citizens' Committee for Children of New York, Inc.	105 East 22nd Street New York, NY 10010	PC	To provide general operating support for CCC's work on behalf of children and families (over two years)	50,000
Citizens Union Foundation, Inc.	299 Broadway, Suite 700 New York, NY 10007-1976	PC	To help support Gotham Gazette	5,000
City Futures, Inc.	120 Wall Street, 20th Floor New York, NY 10005	PC	To be used over fifteen months to help increase the Center for an Urban Future's capacity for research and advocacy in the field of workforce development	55,000
Civic Consulting NYC	c/o Hara Sherman, Goulston & Storrs 885 Third Avenue, 18th Floor New York, NY 10022	PC	To help aggregate and coordinate the provision of high-capacity corporate pro bono support to the City of New York in economic development, environmental sustainability, education, affordable housing, public safety, and transportation	50,000
Classroom, Inc.	245 Fifth Avenue, 20th Floor New York, NY 10016	PC	To help support Classroom Inc.'s school day, afterschool, and summer programming in six inner-city Archdiocese of New York schools and to strengthen the assessment and documentation of program impact	85,000

Grantee Name	Address	Foundation status of recipient	Project Title	Amount
Community Health Care Association of New York State	111 Broadway, Suite 1402 New York, NY 10006	PC	To help support NYC-based Federally Qualified Health Centers in their efforts to facilitate enrollment of uninsured patients into public or commercial health insurance through the NYS Health Benefit Exchange(over 18 months)	180,000
Cool Culture, Inc	80 Hanson Place, Suite 604 Brooklyn, NY 11217	PC	To help support Literacy Through Culture, which offers professional development to early childhood educators and free access to the arts for families from low-income communities	45,000
Cooper-Hewitt, National Design Museum, Smithsonian Institution	2 East 91st Street New York, NY 10128-0669	PC	to help support DesignPrep, the Cooper Hewitt's design education and career preparation program for underserved New York City high school students (over two years)	75,000
Cornelia Connelly Center for Education	Holy Child Middle School 220 East 4th Street New York, NY 10009	PC	To help support the Holy Child Middle School for low-income girls (over two years)	50,000
Cristo Rey New York High School	112 East 106th Street New York, NY 10029	PC	To provide general support	100,000
De La Salle Academy	202 West 97th Street New York, NY 10025	PC	To provide an administrative capacity building grant as the school moves to a new home (over three years)	50,000
Downtown Community Television Center, Inc.	87 Lafayette Street New York, NY 10013-4410	PC	To help support PRO-TV, which provides professional media training to inner-city youth (over two years)	45,000
Early Steps, Inc.	540 East 76th Street New York, NY 10021	PC	To help increase the number of minority students in independent schools at the primary grade level	35,000
East Harlem Tutorial Program, Inc	2050 Second Avenue New York, NY 10029	PC	To provide general support for EHTP's continuum of out-of-school time and college success programming for underserved children and youth (over two years.)	85,000
Episcopal Social Services	305 Seventh Avenue, 2nd Floor New York, NY 10001-6008	PC	to provide capacity-building support for ESS's merger with Safe Space	50,000
Federation of Protestant Welfare Agencies, Inc.	281 Park Avenue South New York, NY 10010	PC	To help support revitalization and strengthening of the vision, execution, and impact of policy programs and member services, while also supporting strategic capacity building efforts	75,000
Figure Skating in Harlem, Inc	361 West 125th Street, 4th Floor New York, NY 10027	PC	To provide general support for this sports and academic program (over two years)	50,000
Food Bank for New York City	39 Broadway, 10th Floor New York, NY 10006	PC	To help support the New York City Earned Income Tax Credit Program	125,000
Fund for the City of New York, Inc.	121 Sixth Avenue New York, NY 10013-1590	PC	To help support the Student Success Network, a project of the Partner Program of the Fund for the City of New York	50,000

Grantee Name	Address	Foundation status of recipient	Project Title	Amount
Fund for the City of New York, Inc.	121 Sixth Avenue New York, NY 10013-1590	PC	to help support the Child Care and Early Education Fund, a collaborative fund dedicated to improving early care and education in New York City	100,000
George Jackson Academy	104 Saint Mark's Place New York, NY 10009	PC	To renew general support for this model school for minority boys (over two years)	100,000
Goddard Riverside Community Center	593 Columbus Avenue New York, NY 10024	PC	\$300,000 over two years, divided as follows: \$125,000 each year for college access services and training; and an additional \$50,000 in the first year to update the data systems Options uses for course registration and performance management	125,000
Good Shepherd Services	305 Seventh Avenue, 9th Floor New York, NY 10001	PC	To be used over seventeen months to help support their afterschool and summer programs in East New York and Bedford Stuyvesant, Brooklyn	125,000
Graduate NYC ¹	16 Court Street, 3rd Floor Brooklyn, NY 11201	PC	To help support the operations and development of NYC College Line, a project of Graduate NYC ¹	50,000
Groundswell Community Mural Project, Inc.	540 President Street #1A Brooklyn, NY 11215	PC	To help support afterschool and summer programming for underserved youth and its partnership with College Access: Research and Action	30,000
Harlem Academy	1330 Fifth Avenue New York, NY 10026	PC	To provide general support for this academically rigorous independent school (over two years)	60,000
Harlem Educational Activities Fund, Inc	2090 Seventh Avenue, 10th Floor New York, NY 10027	PC	To help support HEAF's continuum of out-of-school-time educational and youth development programs for high-potential, underserved students beginning in middle school through to completion of college	40,000
Harlem RBI, Inc.	333 East 100th Street, Ground Floor New York, NY 10029	PC	To help support program and organizational development activities related to program codification, evaluation, and human capital management (over two years)	100,000
Hospital for Special Surgery	535 East 70th Street New York, NY 10021	PC	To improve quality of care and clinical efficiency in pediatric orthopedic services for medically underserved, low-income pediatric patients with the most complex orthopedic conditions	50,000
Human Services Council of New York City, Inc.	130 East 59th Street New York, NY 10022	PC	To help support, coordinate, and document relief and recovery efforts in the wake of Hurricane Sandy and help develop effective disaster planning and preparedness for the future	50,000
Hunts Point Alliance for Children	P O. Box 740190 Bronx, NY 10474	PC	To help support HPAC's arts and academics youth development programming, including the addition of a Young Writers Program for high school students	50,000

Grantee Name	Address	Foundation status of recipient	Project Title	Amount
iMentor	30 Broad Street, 9th Floor New York, NY 10004	PC	To match the third year of a Social Innovation Fund grant and support the continued expansion of the College Transition program (formerly College Success) in NYC and a six-year independent evaluation of iMentor's impact on college readiness	100,000
Independent Sector	1602 'L' Street, NW Suite 900 Washington, DC 20036	PC	To help support the 2013 Annual Conference, to be held in New York City from September 29 - October 1 Divided as follows \$2,500 towards the general expenses of the conference, and \$2,500 for scholarships for nonprofit organizations from New York City.	5,000
Inner-City Scholarship Fund, Inc	1011 First Avenue, Suite 1400 New York, NY 10022-4134	PC	To help sustain the Library Connections program by supporting cross-curricular projects in alumni schools and convening meetings for school alumni to share best practices and ideas (over two years)	30,000
Inner-City Scholarship Fund, Inc.	1011 First Avenue, Suite 1400 New York, NY 10022-4134	PC	To help support the Job Opportunities Program (JOP), which provides job-readiness workshops, college-preparation mentorships, and summer internships for highly motivated low-income high school juniors and seniors from inner-city Catholic high schools	35,000
International Center of Photography	1114 Avenue of the Americas New York, NY 10036	PC	To help support need-based scholarships and the retention and advancement of scholarship recipients in the Teen Academy and advanced-level Imagemakers programs	40,000
Jacob A. Riis Neighborhood Settlement House, Inc.	10-25 41st Avenue Long Island City, NY 11101	PC	To assist with capacity building during an executive transition	35,000
Jazz at Lincoln Center, Inc.	3 Columbus Circle, 12th Floor New York, NY 10019	PC	To help support and expand the Middle School Jazz Academy for young people from underserved communities in New York City	75,000
Jazz at Lincoln Center, Inc.	3 Columbus Circle, 12th Floor New York, NY 10019	PC	To provide general support for the Middle School Jazz Academy	5,000
Jewish Association for Services for the Aged	247 West 37th Street New York, NY 10018	PC	To help support business planning and changes in program, business processes, and infrastructure necessary for survival and growth in an era of managed care	50,000
Jewish Community Council of Greater Coney Island, Inc	3001 West 37th Street Brooklyn, NY 11224-1479	PC	To provide general support for the Jewish Community Council of Greater Coney Island	50,000
Jewish Home Lifecare Manhattan	120 West 106th Street New York, NY 10025	PC	To help support the Genatric Career Development program for at-risk high-school youth	60,000
JobsFirstNYC	11 Park Place, Suite 1602 New York, NY 10007	PC	As general support to help this workforce development intermediary improve the employment opportunities available to young adults who are out of work and out of school	50,000

Grantee Name	Address	Foundation status of recipient	Project Title	Amount
Lawyers Alliance for New York	171 Madison Avenue, 6th Floor New York, NY 10016	PC	To help provide business and transactional legal services for 15 or more nonprofit organizations working in areas of mutual interest to the Foundation and Lawyers Alliance	25,000
Learning Leaders, Inc.	80 Maiden Lane, 11th Floor New York, NY 10038-4729	PC	To help support Phase II of the capacity building project to develop and implement monitoring and evaluation metrics to improve program planning, delivery, and results	25,000
Legal Outreach, Inc	36-14 35th Street Long Island City, NY 11106	PC	To help support the College Bound Program (over two years)	100,000
Lincoln Center for the Performing Arts, Inc.	70 Lincoln Center Plaza New York, NY 10023-6583	PC	A special one-time contribution to help support outreach activities in New York City	10,000
Lincoln Center for the Performing Arts, Inc.	70 Lincoln Center Plaza New York, NY 10023-6583	PC	To help launch the Lincoln Center HD in Libraries pilot project	50,000
Lincoln Square Neighborhood Center	250 West 65th Street New York, NY 10023	PC	To provide capacity building support to help strengthen the after school program	50,000
Local Initiatives Support Corporation	501 Seventh Avenue, 7th Floor New York, NY 10018	PC	To help support the First Responder Initiative to help New York City community development corporations stabilize current activities and assets	50,000
Madison Square Boys & Girls Club, Inc.	317 Madison Avenue, Suite 1110 New York, NY 10017	PC	To help strengthen the Explorers Academies and to continue to implement at one clubhouse summer small-group instruction in literacy and math	150,000
Make the Road New York	301 Grove Street Brooklyn, NY 11237	PC	To monitor trends and changes in health care utilization and coverage following launch of New York State's health insurance marketplace	40,000
Mama Foundation for the Arts, Inc.	149 West 126th Street New York, NY 10027	PC	As a final grant to help support the Gospel for Teens program (over two years)	20,000
Mark Morris Dance Group/Disalced Inc.	3 Lafayette Avenue Brooklyn, NY 11217	PC	To help support the Dance for Parkinson's Disease program	20,000
Medicare Rights Center, Inc	520 Eighth Avenue North Wing, 3rd Floor New York, NY 10018	PC	To help support the Community Partners Program in adding new partners, developing 'advanced' partnerships, and expanding the content areas for training and education	100,000
Metropolitan Waterfront Alliance, Inc.	241 Water Street, 3rd Floor New York, NY 10038	PC	To help expand MWA's communication capacity and increase its effectiveness	30,000
Mind-Builders Creative Arts Company, Inc.	333 East 206th Street Bronx, NY 10467	PC	To provide this arts education program with a capacity building support for youth development training and further development of its evaluation practices	50,000

Grantee Name	Address	Foundation status of recipient	Project Title	Amount
Montefiore Medical Center	111 East 210th Street Bronx, NY 10467-2490	PC	To help create, pilot in NYC, and advocate for the adoption of 'medical home' quality standards tailored to School-Based Health Centers and their unique position and function in the broader primary care system (over two years)	120,000
Mount Sinai School of Medicine of New York University	Center to Advance Palliative Care 1255 Fifth Avenue, Suite C-2 New York, NY 10029-6574	PC	To help support policy and education initiatives by the Center to Advance Palliative Care (over two years)	50,000
Neighborhood Housing Services of New York City, Inc.	307 West 36th Street, 12th Floor New York, NY 10018	PC	To help support Homeownership Promotion and Preservation Programs and Services (over two years)	75,000
Neighborhood Trust Financial Partners	1112 St Nicholas Avenue New York, NY 10032	PC	To help support the Getting Ahead Program and further development of the work-based financial literacy program	50,000
New Heights Youth, Inc.	2576 Broadway, PMB 213 New York, NY 10025	PC	To help support the College Bound Program	40,000
New York City Coalition Against Hunger, Inc.	50 Broad Street, Suite 1520 New York, NY 10004	PC	To help support ongoing anti-hunger advocacy and year two of the Coalition's Food Secure 2018 Campaign, with a focus on the administrative and executive action agenda.	50,000
New York City Employment and Training Coalition, Inc.	121 Avenue of the Americas 6th Floor New York, NY 10013	PC	To help NYCETC augment its communications and marketing capacity and, in turn, improve the reach, frequency, and effectiveness of programs and convenings for its network of workforce development organizations	25,000
New York Hall of Science	47-01 111th Street Flushing Meadows Corona Park, NY 11368-2950	PC	To support an effort to deepen ties with local schools, educators, and parents, while continuing community outreach initiatives aimed at increasing participation in NYSCI programs by families in surrounding multi-ethnic communities	50,000
New York Interschool Association, Inc.	260 West 78th Street, Suite 706 New York, NY 10024	PC	To help support the Faculty Diversity Search program to recruit and retain minority teachers in independent schools	45,000
New York State Health Foundation	1385 Broadway, 23rd Floor New York, NY 10018	PF	To help support the New York State Health Foundation's multi-agency health insurance outreach and enrollment initiative, with a sub-grant to a community-based nonprofit selected through its Request for Proposals (over sixteen months)	115,000
New Yorkers for Parks	The Arthur Ross Center for Parks and Open Spaces 55 Broad Street, 23rd Floor New York, NY 10004	PC	Over six months to help New Yorkers for Parks commission an independent analysis of the maintenance costs for Flushing Meadows Corona Park	10,000

Grantee Name	Address	Foundation status of recipient	Project Title	Amount
New Yorkers for Parks	The Arthur Ross Center for Parks and Open Spaces 55 Broad Street, 23rd Floor New York, NY 10004	PC	To help support research, advocacy, and education to help ensure that parks and open spaces across the five boroughs are well-funded, well- maintained, and safe for the enjoyment of all New Yorkers (over two years)	60,000
Nonprofit Coordinating Committee of New York, Inc.	135 West 36th Street, 15th Floor New York, NY 10018	PC	To help support NPCC's work on behalf of the local nonprofit sector (over two years)	40,000
NPower NY, Inc	3 MetroTech Center, Mezzanine Level Brooklyn, NY 11201	PC	To help support the Technology Service Corps workforce development program for disconnected youth in New York as it seeks to improve its services and outcomes (over sixteen months)	50,000
Paraprofessional Healthcare Institute, Inc.	400 East Fordham Road, 13th Floor Bronx, NY 10458	PC	To help support the New York Policy Program and its efforts to strengthen the home-care workforce and thereby improve care for the elderly and disabled (over two years)	100,000
Peter Westbrook Foundation, Inc	G P O Box 7554 New York, NY 10116	PC	To help support the Academic Enrichment Program	35,000
Philanthropy New York, Inc.	79 Fifth Avenue, Fourth Floor New York, NY 10003-3076	PC	For general support	17,350
Phipps Community Development Corporation	902 Broadway, 13th Floor New York, NY 10010-6033	PC	To help support the Education and Learning Initiative, designed to strengthen academic services across the Youth Services Division	50,000
Prep for Prep	328 West 71st Street New York, NY 10023	PC	To help build the capacity of Prep's Alumni Affairs office to serve and draw upon the growing body of Prep graduates (over two years)	100,000
Primary Care Development Corporation	22 Cortland Street, 12th Floor New York, NY 10007	PC	To support the development of a care coordination model for use by primary care providers; pilot the model with clinics and practices serving low- income Brooklyn residents; and disseminate results (over two years)	78,700
Publicolor, Inc	149 Madison Avenue, Suite 1201 New York, NY 10016	PC	To further develop the capacity to define and measure outcomes within Publicolor programs	20,000
Publicolor, Inc.	149 Madison Avenue, Suite 1201 New York, NY 10016	PC	To help support the COLOR Club, Next Steps, and Summer Design Studio programs (over two years)	35,000
Queens Council on the Arts, Inc	37-11 35th Avenue Astoria, NY 11101	PC	To help support the High School to Art School (HS2AS) program	20,000
Queens Museum of Art	New York City Building Flushing Meadows Corona Park Queens, NY 11368-3398	PC	To help support Art and Literacy for New New Yorkers, plan for the nation's first-ever public library in an art museum, and implement Collaborative Action Research assessment results to improve teaching practice and enhance student impact (over 3 yrs)	100,000
Reach Out And Read of Greater New York, Inc	30 East 33rd Street, 6th Floor New York, NY 10016	PC	To provide general support for this early literacy program	50,000
Reading Excellence and Discovery Foundation, Inc dba Read Alliance	80 Maiden Lane, 11th Floor New York, NY 10038	PC	To provide general support for Read's early literacy work in New York City	100,000

Grantee Name	Address	Foundation status of recipient	Project Title	Amount
Reading Excellence and Discovery Foundation, Inc dba Read Alliance	80 Maiden Lane, 11th Floor New York, NY 10038	PC	To provide capacity building support for board training and development and securing a full-time development director (over eighteen months)	30,000
Regis High School	55 East 84th Street New York, NY 10028-0884	PC	As a final grant to help support REACH's comprehensive recruiting and admissions program	50,000
ReServe Elder Service, Inc.	1440 Broadway, Suite 1601 New York, NY 10018	PC	To help bring the skills and experience of retirees to non-profit organizations	50,000
Riverdale Neighborhood House, Inc.	5521 Mosholu Avenue Bronx, NY 10471-2409	PC	To help support the Youth Internship Program	25,000
Rocking the Boat, Inc.	812 Edgewater Road Bronx, NY 10474	PC	To provide general support for this youth development organization (over two years)	50,000
Row New York, Inc	10-27 46th Avenue, Suite 101 Long Island City, NY 11101	PC	To provide general operating support for rowing and academic programs in New York City (over two years)	40,000
SeaChange Capital Partners, Inc	1385 Broadway, 23rd Floor New York, NY 10018	PC	over six months to help support the creation of the New York Pooled PRI Fund, a collaborative vehicle to facilitate additional program-related investment in New York City nonprofits.	50,000
Sponsors for Educational Opportunity, Inc.	55 Exchange Place New York, NY 10005	PC	To help support SEO's 'OpportunityX2' expansion initiative (over three years)	125,000
St. Aloysius Education Clinic	219 West 132nd Street New York, NY 10027	PC	To help support the Clinic's year-round academic enrichment programs for underserved children and youth	30,000
Staten Island Children's Museum	1000 Richmond Terrace Staten Island, NY 10301-1181	PC	To help support the Museum Intern and Ambassador Program	25,000
StreetSquash, Inc.	40 West 116th Street New York, NY 10026	PC	To help support the College Access and Beyond Program (over two years)	25,000
Student/Sponsor Partnership, Inc	424 Madison Avenue, Suite 1002 New York, NY 10017	PC	To help support the School Coordinators at SSP partner schools	50,000
Summer on the Hill	at Horace Mann School 4662 Tibbett Avenue Riverdale, NY 10471	PC	To provide academic enrichment and counseling for promising underserved students from Manhattan and the Bronx	35,000
Sunnyside Community Services, Inc	43-31 39th Street Sunnyside, NY 11104	PC	To be used over eight months to plan and build the infrastructure needed to launch a Spanish-language track within the Sunnyside Community Services Home Health Aide training program	25,000
Support Center for Nonprofit Management, Inc.	42 Broadway, 20th Floor New York, NY 10004	PC	To help support Executive Transition Management and turnaround and restructuring services for the New York City nonprofit sector	50,000
TapFound Inc. dba Taproot Foundation	40 Worth Street, Suite 601 New York, NY 10013	PC	As a final grant to help support Taproot-New York's service to local nonprofits	25,000

Grantee Name	Address	Foundation status of recipient	Project Title	Amount
Teachers Collège, Columbia University	525 West 120th Street Box 125 New York, NY 10027-6696	PC	To help increase the number of New York City independent school teachers of color and Catholic school educators in the Klingenstein Center's intensive Two-Summers Master's program, and provide online coaching for program alumni (over two years)	60,000
The After-School Corporation	1440 Broadway, 16th Floor New York, NY 10018	PC	To help support the New York City Summer Learning Task Force	33,000
The Association for Neighborhood & Housing Development, Inc	50 Broad Street, Suite 1125 New York, NY 10004	PC	Divided as follows: \$75,000 to help launch the planning phase of the Change Capital Fund, a new initiative of the Neighborhood Opportunities Fund, and \$25,000 to help provide transition support for the Initiative for Neighborhood and Community Organizing	100,000
The Association for Neighborhood & Housing Development, Inc.	50 Broad Street, Suite 1125 New York, NY 10004	PC	To help support activities to promote the creation and preservation of affordable housing in New York City (over two years)	50,000
The B E L L Foundation, Inc	1361 Amsterdam Avenue, Suite 320 New York, NY 10027	PC	\$100,000 a year to help support BELL Summer in New York City, and \$50,000 a year to help support program improvements and capacity building in New York City and provide matching funds for a federal Social Innovation Fund grant for FY13 & FY14 (over 2 yrs)	150,000
The Boys' Club of New York	287 East 10th Street New York, NY 10009	PC	to help support the Independent School Placement Program (over two years)	60,000
The Bridge Inc	248 West 108th Street New York, NY 10025	PC	To support continued capacity building by Coordinated Behavioral Care, Inc. as needed to operate and grow its four-borough Health Home program consistent with NYS requirements. (over six months)	35,000
The Center for Arts Education, Inc.	520 Eighth Avenue North Tower, 9th Floor New York, NY 10018	PC	To help support CAE's public engagement and advocacy initiative to build support for and expand arts education in the schools	50,000
The Children's Storefront	70 East 129th Street New York, NY 10035	PC	To help support the High School Placement and Alumni Relations Initiative	50,000
The CityKids Foundation, Inc.	57 Leonard Street New York, NY 10013	PC	To help support the CityKids performing arts program for inner-city youth	40,000
The Cooper Union for the Advancement of Science and Art	30 Cooper Square New York, NY 10003-7120	PC	To help support the Saturday and Outreach Programs for New York City public high school students	50,000
The East Harlem School at Exodus House	309 East 103 Street New York, NY 10029	PC	to provide general operating support and help with the design and execution of a professional development and retention strategy for faculty (over three years)	75,000
The Foundation Center	79 Fifth Avenue New York, NY 10003-3076	PC	For general support for the Center's work in New York City	5,000

Grantee Name	Address	Foundation status of recipient	Project Title	Amount
The Fresh Air Fund	633 Third Avenue New York, NY 10017	PC	As a final grant to help support the College Connections program	35,000
The Fund for Public Advocacy, Inc.	Office of the New York City Public Advocate One Centre Street, 15th Floor New York, NY 10007	PC	To help support the 2013 GED Campaign to Finish	50,000
The Fund for Public Schools, Inc	52 Chambers Street, Room 305 New York, NY 10007	PC	To help support years two and three of NYC Summer Quest, a three-year multifaceted approach to improving, expanding, and sustaining summer learning opportunities for NYC public school students (over two years)	250,000
The Gilder Lehrman Institute of American History	49 West 45th Street, 6th Floor New York, NY 10036	PC	to help provide intensive professional development for inner-city Catholic middle- and high-school teachers through the Teaching Literacy Through History program (over two years)	75,000
The Grace Opportunity Project	86 Fourth Avenue New York, NY 10003	PC	To provide general operating support for the GO Project's year-round educational programming for students in grades K-8	75,000
The HOPE Program Inc	One Smith Street Brooklyn, NY 11201	PC	To help support the job placement program (over two years)	35,000
The Institute for Family Health	16 East 16th Street New York, NY 10003	PC	To develop, implement, and launch an evaluation of a messaging campaign designed to increase patient engagement in its community-based primary care and preventive health services (over eighteen months)	100,000
The Mayor's Fund to Advance New York City	253 Broadway, 8th Floor New York, NY 10007	PC	To help support the WorkAdvance program in New York City and help provide the local match for a Social Innovation Fund grant (over two years)	125,000
The Mental Health Association of New York City, Inc	50 Broadway, 19th Floor New York, NY 10004	PC	To support a project of the Geriatric Mental Health Alliance to advance the integration of mental health care into managed care-supported services for older adults (over eighteen months)	120,000
The Metropolitan Museum of Art	1000 Fifth Avenue New York, NY 10028-0198	PC	To help bring high-quality arts and cultural activities to underserved K-12 NYC students by training educators across disciplines, integrating new training strategies, and documenting changes in classroom practice (over three years)	50,000
The Museum of the City of New York, Inc.	1220 Fifth Avenue New York, NY 10029	PC	To provide capacity-building support to leverage prior investments in digital technology (over two years)	100,000
The New York Academy of Medicine	1216 Fifth Avenue New York, NY 10029-5293	PC	To develop recommendations and stimulate system changes to improve support for older adults in NYC immediately before, during, and following a disaster event	100,000

Grantee Name	Address	Foundation status of recipient	Project Title	Amount
The New York Botanical Garden	200th Street & Southern Blvd. Bronx, NY 10458-5126	PC	To help support the Children's Education program (over three years)	100,000
The New York Community Trust	909 Third Avenue, 22nd Floor New York, NY 10022	PC	To help support the Fund for New Citizens's Capacity-Building Initiative for Immigrant Organizations	50,000
The New York Community Trust	909 Third Avenue, 22nd Floor New York, NY 10022	PC	To help support the New York City Workforce Development Fund	75,000
The New York Community Trust	909 Third Avenue, 22nd Floor New York, NY 10022	PC	To help support the Hive Digital Media Learning Fund	50,000
The New York Opportunity Network, Inc dba The Opportunity Network	55 Exchange Place, Suite 503 New York, NY 10005	PC	To help provide low-income public high school students access to career development training, professional networks, and competitive colleges (over two years)	60,000
The New York Public Library	Stephen A. Schwarzman Building Fifth Avenue & 42nd Street New York, NY 10018-2788	PC	To provide general support for activities reaching New York City's most underserved communities (over three years)	100,000
The New York-Presbyterian Hospital	177 Fort Washington Avenue New York, NY 10032	PC	To integrate three existing community care programs in order to maximize continuity of care across community, hospital, and primary care settings for patients with multiple chronic and behavioral health conditions (over eighteen months)	125,000
The New York-Presbyterian Hospital	177 Fort Washington Avenue New York, NY 10032	PC	To help support the Lang Youth Medical Program	50,000
The Parent-Child Home Program, Inc.	1415 Kellum Place, Suite 101 Garden City, NY 11530-1690	PC	To help support The Parent-Child Home Program in New York City and pilot the program with family child care providers	65,000
The Posse Foundation, Inc	14 Wall Street, Suite 8A-60 New York, NY 10005	PC	To help support the Posse New York STEM Posse Program (over two years)	50,000
The Queens Borough Public Library	89-11 Merrick Boulevard Jamaica, NY 11432	PC	To help support English for Speakers of Other Languages, the Family Literacy Program and the Youth Workforce Development Program (over three years)	150,000
The Urban Dove, Inc	Church Street Station P.O. Box 305 New York, NY 10008-0305	PC	To renew support for the College All- Stars program	50,000
The Young People's Chorus of New York City, Inc.	1995 Broadway, Suite 305 New York, NY 10023	PC	To help support the after-school choral music education and performance program, and enhancements in program and organizational infrastructure.	30,000
Third Street Music School Settlement	235 East 11th Street New York, NY 10003	PC	To help support increased student engagement in the Comprehensive Training in Music program, improved access to music training for students with the greatest need, and development of a 'core faculty model'	45,000

Grantee Name	Address	Foundation status of recipient	Project Title	Amount
United Hospital Fund of New York	1411 Broadway, 12th Floor New York, NY 10118-3496	PC	To help support the Innovation Initiative, with a focus on the emergence of accountable care models in New York City	100,000
United Jewish Appeal-Federation of Jewish Philanthropies of New York, Inc	130 East 59th Street New York, NY 10022	PC	To help support the participation of community-based agencies in the first year of a three-year Community Health Initiative	150,000
United Neighborhood Houses of New York, Inc.	70 West 36th Street, 5th Floor New York, NY 10018-8007	PC	For general support of UNH's efforts to strengthen the capacity of its members and improve the human services fields in which they operate (over two years)	110,000
Volunteer Consulting Group, Inc	55 West 39th Street , #12N New York, NY 10018	PC	over eighteen months to provide capacity-building support	35,000
Whitney Museum of American Art	945 Madison Avenue at 75th Street New York, NY 10021	PC	To provide capacity building support to help develop and pilot community-based educational programming for the Whitney's new downtown site	25,000
Workforce Professionals Training Institute	11 Park Place, Suite 701 New York, NY 10007	PC	To help support the development, implementation, and evaluation of WPTI's core training programs for workforce development organizations and staff (over two years)	50,000
Year Up, Inc	55 Exchange Place, Suite 403 New York, NY 10005	PC	To help support Year Up NYC, a professional apprenticeship and job training program for disconnected young adults	100,000
YMCA of Greater New York	5 West 63rd Street, 6th Floor New York, NY 10023	PC	To help establish the first Y Roads Center to improve education and employment opportunities for out-of-school and out-of work youth in south Jamaica, Queens (over two years)	75,000
Youth Development Institute	121 Avenue of the Americas, 6th Floor New York, NY 10013-1590	PC	To help support the Career Internship Network	35,000

TOTAL BOARD LEVEL GRANTS

10,971,550

MATCHING GRANTS PAID - STATEMENT 18A

138,269

TOTAL PART XV, LINE 3a

\$ 11,109,819

ALTMAN FOUNDATION**EIN# 13-1623879****Matching Grants Paid****December 31, 2013**

Payee *	Address	Amount
A Better Chance, Inc	253 West 35th Street New York, NY 10001	\$ 450
American Ballet Theater	890 Broadway New York, NY 10003	6,000
ASPCA	424 East 92nd ST New York, NY 10128	150
Binghamton University Foundation	PO Box 6005 Binghamton, NY 13902-6005	750
Brooklyn Academy of Music, Inc	30 Lafayette Avenue Brooklyn, NY 11217	2,250
Canterbury Choral Society, Inc	2 East 90th St New York, NY 10128	1,500
Cat Assistance	PO Box 539 Ardsley, NY 10502	250
Catholic Charities of the Archdiocese of New York	1011 First Avenue New York, NY 10022	11,250
Catholic Communal Fund	1011 First Avenue New York, NY 10022	15,000
Catholic Guardian Society & Home Bureau	1011 First Avenue New York, NY 10022	3,750
Columbia University	West 113st New York, NY 10025	1,875
Convent of the Sacred Heart	1 East 91st Street New York, NY 10128	750
Dancewave	45 Fourth Avenue Brooklyn, NY 11217	450
DEBRA of America	16 East 41st New York, NY 10017	150
Fifth Avenue Presbyterian Church	7 West 55 street New York, NY 10019	6,000
Handcrafting Justice, Inc	25-30 21st Avenue Astoria, NY 11105	3,000
Harvest Home Farmer's Market	104-106 E 126 th Street Unit 4 New York, NY 10035	3,000
Hewlett East Rockaway Jewish Center	295 Main Street East Rockaway, NY 11518	600
LSA Family Health Service	333 E 115th St New York, NY 10029-2210	600
Mark Morris Dance Company	3 Lafayette Avenue Brooklyn, NY 11217	1,500
Mount Sinai Medical Center	3 East 101 st Street, 1st Fl New York, NY 10029	4,500
Mount Sinai School Of Medicine	1 Gustave L Levy Place New York, NY 10029	3,500
New York Academy of Medicine	1216 5th avenue New York, NY 11204	1,500
New York Public Radio	160 Varick Street New York, NY 10013	5,250
North Shore Animal League	750 Port Washington Blvd Port Washington, NY 11050	750
Part of the Solution	2759 Webster Avenue Bronx, NY 10458	750
Planned Parenthood of NYC	26 Bleecker Street New York, NY 10012	4,500
Point Breeze Fire Dept , Inc	1 Firemen's Plaza Breezy Point, NY 11697	600
Resurrection Church	910 Boston Post Rd Rye, NY 10580	300
Rockaway Point Volunteer FD	Emergency Service, Inc PO Box 33 Fort Tilden, NY 11695	1,200
Ruff House Rescue	PO Box 365 Oceanside , NY 11572	1,500
Sponsors for Educational Opportunity	55 Exchange Place New York, NY 10005	6,000
St Thomas Church	1 West 53rd St New York, NY 10019	9,044
The Brearley School	610 East 83rd St New York, NY 10028	600
The Community Fund of Bronxville, Eastchester, Tuckahoe, Inc	15 Park Place Bronxville, NY 10708	750
The NY Women's Foundation	434 W 33rd St New York, NY 10001	1,500
The Reformed Church of Bronxville	180 Pondfield Road Bronxville, NY 10708	5,250
United Nations International School	24-50 F D R Drive New York, NY 10010	6,000
Vera Institute of Justice	233 Broadway New York, NY 10279	7,500
Visions/Services for the Blind and Visually Impaired	500 Greenwich Street New York, NY 10013	750
Visiting Nurse Service of New York	107 East 70th Street New York, NY 10021	9,750
Winifred Masterson Burke Foundation	785 Mamaroneck Avenue White Plains, NY 10605	7,500
Total Matching Grants		\$ 138,269

* all recipients were public charities, (PC)

Altman Foundation
EIN 13-1623879
Part XV Supplementary Information
Line 3b Grants Approved for Future Payments
December 31, 2013

Recipient and Purpose	Grant Amount	Year Awarded	Amount Payable as of 12/31/2013
Harlem Academy 1330 Fifth Avenue New York, NY 10026 <i>To provide general support for this academically rigorous independent school (over two years)</i>	\$120,000	2013	\$60,000
Rocking the Boat, Inc. 812 Edgewater Road Bronx, NY 10474 <i>To provide general support for this youth development organization (over two years)</i>	\$100,000	2013	\$50,000
YMCA of Greater New York 5 West 63rd Street, 6th Floor New York, NY 10023 <i>To help establish the first Y Roads Center to improve education and employment opportunities for out-of-school and out-of work youth in south Jamaica, Queens (over two years)</i>	\$150,000	2013	\$75,000
Neighborhood Housing Services of New York City, Inc. 307 West 36th Street, 12th Floor New York, NY 10018 <i>To help support Homeownership Promotion and Preservation Programs and Services (over two years)</i>	\$150,000	2013	\$75,000
Alzheimer's Association, New York City Chapter 360 Lexington Avenue, 4th Floor New York, NY 10017 <i>Divided as follows: \$100,000 over two years to help support the Professional Home Care Workers Dementia Training Program and \$50,000 to provide a one-time grant for capacity building support</i>	\$150,000	2013	\$50,000
Brooklyn Botanic Garden Corporation 1000 Washington Avenue Brooklyn, NY 11225-1099 <i>To help support the Garden Apprentice Program for middle and high school youth (over two years)</i>	\$100,000	2013	\$50,000

Recipient and Purpose	Grant Amount	Year Awarded	Amount Payable as of 12/31/2013
Alliance of Resident Theatres/New York, Inc. 520 Eighth Avenue, Suite 319 New York, NY 10018 <i>To help support the preparation and execution of The Campaign for the A.R.T./New York Theatres, a campaign to secure affordable performance space for New York City's Off and Off Off Broadway theatres over the next 99 years (over two years)</i>	\$150,000	2013	\$75,000
Prep for Prep 328 West 71st Street New York, NY 10023 <i>To help build the capacity of Prep's Alumni Affairs office to serve and draw upon the growing body of Prep graduates (over two years)</i>	\$175,000	2013	\$75,000
United Neighborhood Houses of New York, Inc. 70 West 36th Street, 5th Floor New York, NY 10018-8007 <i>For general support of UNH's efforts to strengthen the capacity of its members and improve the human services fields in which they operate (over two years)</i>	\$220,000	2013	\$110,000
The Metropolitan Museum of Art 1000 Fifth Avenue New York, NY 10028-0198 <i>To help bring high-quality arts and cultural activities to underserved K-12 NYC students by training educators across disciplines, integrating new training strategies, and documenting changes in classroom practice (over three years)</i>	\$150,000	2013	\$100,000
Figure Skating in Harlem, Inc. 361 West 125th Street, 4th Floor New York, NY 10027 <i>To provide general support for this sports and academic program (over two years)</i>	\$100,000	2013	\$50,000
The New York Opportunity Network, Inc. dba The Opportunity Network 55 Exchange Place, Suite 503 New York, NY 10005 <i>To help provide low-income public high school students access to career development training, professional networks, and competitive colleges (over two years)</i>	\$120,000	2013	\$60,000

Recipient and Purpose	Grant Amount	Year Awarded	Amount Payable as of 12/31/2013
Workforce Professionals Training Institute 11 Park Place, Suite 701 New York, NY 10007 <i>To help support the development, implementation, and evaluation of WPTI's core training programs for workforce development organizations and staff (over two years)</i>	\$100,000	2013	\$50,000
George Jackson Academy 104 Saint Mark's Place New York, NY 10009 <i>To renew general support for this model school for minority boys (over two years)</i>	\$180,000	2013	\$80,000
De La Salle Academy 202 West 97th Street New York, NY 10025 <i>To provide an administrative capacity building grant as the school moves to a new home (over three years)</i>	\$150,000	2013	\$100,000
The Mayor's Fund to Advance New York City 253 Broadway, 8th Floor New York, NY 10007 <i>To help support the WorkAdvance program in New York City and help provide the local match for a Social Innovation Fund grant (over two years)</i>	\$150,000	2013	\$25,000
Calvary Hospital, Inc. 1740 Eastchester Road Bronx, NY 10461 <i>To support expansion of Calvary's capacity to offer the full continuum of out-of-hospital palliative and hospice care across all five boroughs, with a particular focus on Manhattan and the partnership with the Mary Manning Walsh home (over two years)</i>	\$125,000	2013	\$50,000
Montefiore Medical Center 111 East 210th Street Bronx, NY 10467-2490 <i>To help create, pilot in NYC, and advocate for the adoption of 'medical home' quality standards tailored to School-Based Health Centers and their unique position and function in the broader primary care system (over two years)</i>	\$250,000	2013	\$130,000
Publicolor, Inc. 149 Madison Avenue, Suite 1201 New York, NY 10016 <i>To help support the COLOR Club, Next Steps, and Summer Design Studio programs (over two years)</i>	\$70,000	2013	\$35,000

Recipient and Purpose	Grant Amount	Year Awarded	Amount Payable as of 12/31/2013
The Boys' Club of New York 287 East 10th Street New York, NY 10009 <i>To help support the Independent School Placement Program (over two years)</i>	\$120,000	2013	\$60,000
Citizen Schools, Inc. 308 Congress Street Boston, MA 02210 <i>To help support a network of afterschool programs in New York City (over two years)</i>	\$200,000	2013	\$100,000
Legal Outreach, Inc. 36-14 35th Street Long Island City, NY 11106 <i>To help support the College Bound Program (over two years)</i>	\$200,000	2013	\$100,000
The Gilder Lehrman Institute of American History 49 West 45th Street, 6th Floor New York, NY 10036 <i>To help provide intensive professional development for inner- city Catholic middle- and high-school teachers through the Teaching Literacy Through History program (over two years)</i>	\$125,000	2013	\$50,000
Cooper-Hewitt, National Design Museum, Smithsonian Institution 2 East 91st Street New York, NY 10128-0669 <i>To help support DesignPrep, the Cooper-Hewitt's design education and career preparation program for underserved New York City high school students (over two years)</i>	\$150,000	2013	\$75,000
The Posse Foundation, Inc. 14 Wall Street, Suite 8A-60 New York, NY 10005 <i>To help support the Posse New York STEM Posse Program (over two years)</i>	\$100,000	2013	\$50,000
East Harlem Tutorial Program, Inc. 2050 Second Avenue New York, NY 10029 <i>To provide general support for EHTP's continuum of out-of- school time and college success programming for underserved children and youth (over two years.)</i>	\$170,000	2013	\$85,000

Recipient and Purpose	Grant Amount	Year Awarded	Amount Payable as of 12/31/2013
Sponsors for Educational Opportunity, Inc. 55 Exchange Place New York, NY 10005 <i>To help support SEO's 'OpportunityX2' expansion initiative (over three years)</i>	\$325,000	2013	\$200,000
The Museum of the City of New York, Inc. 1220 Fifth Avenue New York, NY 10029 <i>To provide capacity-building support to leverage prior investments in digital technology (over two years)</i>	\$200,000	2013	\$100,000
Brooklyn Academy of Music, Inc. Peter Jay Sharp Building 30 Lafayette Avenue Brooklyn, NY 11217-1486 <i>To provide capacity building support for BAM's Education programming expansion in the Richard B. Fisher Building (over two years)</i>	\$175,000	2013	\$75,000
Center for Children's Initiatives 322 Eighth Avenue, 4th Floor New York, NY 10001 <i>To help support training and consultation to early childhood programs to implement the Devereux Early Childhood Assessment System and promote the social/emotional development of young children</i>	\$50,000	2013	\$25,000
Bank Street College of Education 610 West 112th Street New York, NY 10025 <i>To help support the Liberty LEADS college preparation and leadership development program for inner-city youth (over two years)</i>	\$200,000.00	2012	\$100,000
Queens Museum of Art New York City Building, Flushing Meadows, Corona Park Queens, 11368-3393 <i>To help support Art and Literacy for New New Yorkers, plan for the nation's first-ever public library in an art museum, and implement Collaborative Action Research assessment results to improve teaching practice and enhance student impact (over three yrs)</i>	\$325,000.00	2012	\$100,000
The New York Public Library Stephen A. Schwarzman Building Fifth Avenue & 42nd Street New York, NY 10018-2788 <i>To provide general support for activities reaching New York City's most underserved communities (over three years)</i>	\$300,000.00	2012	\$100,000

Recipient and Purpose	Grant Amount	Year Awarded	Amount Payable as of 12/31/2013
New York Interscholar Association, Inc. 260 West 78th Street, Suite 706 New York, NY 10024 <i>To help initiate a philanthropy education program for select New York City independent, charter and inner-city Catholic schools (over two years)</i>	\$30,000.00	2011	\$15,000

TOTAL PART XV, LINE 3b	\$2,535,000
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Altman Foundation
Form 990PF: Part II - Line 13
Schedule of Other Investments
For the Year Ended December 31, 2013

EIN# 13-1623879

Private Equity

Common Fund International V	\$ 1,715,136
Common Fund International VI	8,481,638
Common Fund Private Equity V	1,791,131
Common Fund Private Equity VI	3,941,915
Common Fund Private Equity VII	7,747,951
Common Fund Venture Partners VI	1,486,478
Common Fund Venture Partners VII	3,951,615
FLAG International Partnership II	4,649,757
Goldman-Sachs Vintage Fund V	4,968,562
Invesco Non-US Partnership Fund III	299,408
Invesco Partnership Fund III	1,419,377
Invesco US Buyout Out & Expansion Capital Partnership Fund III	1,141,331
Rockefeller 2006 Global Opportunities	2,010,271
Rockefeller Access Fund 01	4,597,020

Total Private Equity **\$ 48,201,590**

International Equity

Capital International Emerging Markets	\$ 5,623,130
JPM Global Emg Markets	6,648,446
Silchester International Equity	21,042,032

Total International Equity **\$ 33,313,608**

Fixed Income

Brandywine Global Investment	\$ 7,612,033
Aberdeen Structured Product Opportunistic Fund	5,403,485

Total Fixed Income **\$ 13,015,518**

Domestic Equity

Lone Cascade **\$ 11,723,848**

Real Asset

Common Fund Natural Resources Fund VII	\$ 6,522,434
Common Fund Natural Resources Fund IX	792,575
PIMCO Commodity Real Return	4,614,475

Total Real Asset **\$ 11,929,484**

Real Estate

Common Fund Realty Investors	\$ 4,475
Metropolitan Real Estate Partners V	3,186,993
Metropolitan Real Estate Partners 2008 Distressed Co-Investment Fund	3,680,703
REEFF America REIT II	3,593,043

Total Real Estate **\$ 10,465,214**

Equity Oriented Hedge

Archipelago Holdings	\$ 17,177,025
Double Eagle Offshore Capital Ace Fund	16,928,060

Total Equity Oriented Hedge **\$ 34,105,085**

Fixed Income Substitute

AG Net Lease Realty II	\$ 4,996,200
Brigade Leveraged Capital	14,150,929
Convexity Capital Offshore	13,352,550
Pine Grove Offshore Fund	9,251,747

Total Fixed Income Substitute **\$ 41,751,426**

Net Unsettled Securities Trades **\$ 8,050,000**

Total Other Investments **\$ 212,555,773**

Form 990PF: Part II - Line 10b

Schedule of Portfolio Holdings - Common Stock

December 31, 2013

Share/Par Value	Security/Description	Market Value
4,000 00	3M Company (MMM)	561,000
2,417 00	ADR ARM HLDS PLC SPONSORED ISIN US0420681068	132,307
304 00	ADR BAIDU INC SPONSORED ADR	54,076
1,961 00	ADR NASPERS LTD SPONSORED ADR REPSTG CL N SHS	205,207
647 00	ADR QIHOO 360 TECHNOLOGY CO LTD ADR	53,086
3,330 00	ADTRAN INC COM	89,943
3,193 00	AMAZON COM INC COM	1,273,336
2,176 00	AMERICAN INTERNATIONAL GROUP INC COM	111,085
2,000 00	AMGEN INC (AMGN)	228,160
1,610 00	ANALOGIC CORP COM PAR \$0 05	142,582
6,390 00	ANGIODYNAMICS INC COM STK	109,844
1,067 00	APPLE INC COM STK	598,668
2,624 00	ARCH CAPITAL GROUP COM STK	156,627
6,000 00	AT&T INC	210,960
516 00	ATHENAHEALTH INC COM MON STOCK	69,402
3,470 00	BARRETT BILL CORP COM STK	92,927
4,800 00	BERKSHIRE HATHAWAY CL-B NEW (BRICK)	569,088
820 00	BIO RAD LABS INC CL A	101,360
8 00	BLACKLIGHT PWR INC OC-CAP STK	1,045
605 00	BLACKROCK INC COM STK	191,464
7,800 00	BOSTON PRIVATE FINL HLDGS INC COM	98,436
2,400 00	BRINKS CO COM STOCK	81,936
4,800 00	BRISTOL MYERS SQUIBB CO (BMY)	255,120
4,000 00	BROOKFIELD ASSET MGNT CL A LTD (BAM)	155,320
1,330 00	CAL MAINE FOODS INC COM NEW STK	80,106
6,000 00	CALPINE CORP NEW (CPN)	117,060
829 00	CHARTER COMMUNICATIONS INC DEL CL A NEW CL A NEW	113,374
2,680 00	CHILDRENS PL INC NEW COM	152,680
6,000 00	CITIGROUP INC NEW (C)	312,660
10,150 00	CLOUD PEAK ENERGY INC COM	182,700
7,800 00	COMSTOCK RES INC COM NEW COM NEW	142,662
12,000 00	CORNING INC (GLW)	213,840
1,303 00	CRESTWOOD MIDSTREAM PARTNERS LP NEW UNITLTD PARTNERSHIP INT LP	32,432
3,000 00	DOW CHEMICAL CO (DOW)	133,200
6,800 00	DU PONT EI DE NEMOURS & CO (DD)	441,796
5,000 00	EBAY INC (EBAY)	274,325
6,000 00	EMC CORP MASS (EMC)	150,900
10,000 00	ENCANA CORP (ECA)	180,500
1,550 00	EXTERRAN HLDGS INC COM STK	53,010
5,000 00	EXXON MOBIL CORP (XOM)	506,000
14,238 00	FACEBOOK INC CL A CL A	778,249
1,660 00	FARO TECHNOLOGIES INC COM	96,778
5,910 00	FINISH LINE INC CL A	166,485
1,797 00	FIRST SOLAR INC COM	98,188
6,600 00	FIRSTMERIT CORP COM	146,718
4,000 00	FREEPORT MCMORAN CP&GLD (FCX)	150,960
1,450 00	GASLOG LTD	24,781
16,000 00	GENERAL ELECTRIC CO (GE)	448,480
714 00	GOOGLE INC CL A CL A	800,187
23,451 00	GROUPON INC	276,018
3,080 00	HAEMONETICS CORP MASS COM	129,760
12,830 00	HARMONIC INC COM	94,685
2,780 00	HAYNES INTL INC COM NEW COM NEW	153,567
1,460 00	HELEN TROY LTD COM STK	72,285
1,360 00	HILL ROM HLDGS INC COM STK	56,222
396 00	HOLLY ENERGY PARTNERS L P	12,803
3,400 00	HOME DEPOT INC (HD) 2	279,956
6,031 00	ILLUMINA INC COM	667,149
2,220 00	INNOPHOS HLDGS INC COM STK	107,892
10,000 00	INTEL CORP (INTC)	259,550
5,000 00	INTERNATIONAL PAPER CO (IP)	245,150
884 00	INTUITIVE SURGICAL INC COM NEW STK	339,527
3,600 00	KIMBERLY CLARK CORP (KMB)	376,056
300 00	KINDER MORGAN INC DEL COM	10,800
1,333 00	KINDER MORGAN MGMT LLC SHS COM STK	100,855

Altman Foundation
Form 990PF: Part II - Line 10b
Schedule of Portfolio Holdings - Common Stock
December 31, 2013

EIN#: 13-1623879

Share/Par Value	Security/Description	Market Value
4,720 00	LASALLE HOTEL PPTYS COM SH BEN INT	145,659
352 00	LIBERTY MEDIA CORP CL A CL A	51,550
762 00	LINKEDIN CORP CL A	165,224
4,490 00	LSB INDS INC COM	184,180
5,330 00	MASIMO CORP COM STK	155,796
608 00	MASTERCARD INC CL A	507,960
2,768 00	MCGRAW HILL FINANCIAL INC	216,458
6,000 00	MDU RES GROUP INC (MDU)	183,300
4,770 00	MDU RES GROUP INC COM	145,724
4,725 00	MEAD JOHNSON NUTRITION COM	395,766
5,910 00	MICROSEMI CORP COM	147,455
16,000 00	MICROSOFT CORP (MSFT)	598,560
5,270 00	MISTRAS GROUP INC COM	110,038
511 00	MLP ACCESS MIDSTREAM PARTNERS L P UNIT	28,912
600 00	MLP BUCKEYE PARTNERS L P UNIT LTD PARTNERSHIP INTS	42,606
1,064 00	MLP EL PASO PIPELINE PARTNERS L P COM UNIT LTD PARTNERSHIP INT	38,304
550 00	MLP ENBRIDGE ENERGY PARTNERS L P COM	16,429
1,914 00	MLP ENERGY TRANSFER EQUITY L P COM UNIT LTD PARTNERSHIP	156,450
2,850 00	MLP ENERGY TRANSFER PARTNERS L P UNIT LTD PARTNERSHIP INT	163,163
4,133 00	MLP ENTERPRISE PRODS PARTNERS L P COM UNIT	274,018
200 00	MLP EQT MIDSTREAM PARTNERS L P UNIT LTD PARTNERSHIP INT	11,758
250 00	MLP EV ENERGY PARTNERS L P COM UNITS REPSTG LTD PARTNER INT	8,483
1,277 00	MLP EXTERRAN PARTNERS L P COM UNITS REPSTG LTD PARTNER INT	38,604
950 00	MLP GENESIS ENERGY L P UNIT LTD PARTNERSHIP INT	49,942
1,948 00	MLP MAGELLAN MIDSTREAM PARTNERS LP COM UNIT REPSTG LTD PARTNER INT	123,250
800 00	MLP MARKWEST ENERGY PARTNERS L P UNIT LTD PARTNERSHIP INT	52,904
825 00	MLP MEM PRODTN PARTNERS LP COM UNIT REPSTG LTD PARTNER INTS	18,101
500 00	MLP MIDCOAST ENERGY PARTNERS L P COM UNIT LTD PARTNER INT	9,775
1,795 00	MLP PLAINS GP HLDGS L P SHS CL A REPSTG LTD PARTNER INT PLAINS GP HLDGS L P	48,052
1,073 00	MLP QEP MIDSTREAM PARTNERS LP COM UNIT REPSTG LTD PARTNERSHIP INT LP	24,915
205 00	MLP SEADRILL PARTNERS LLC LP	6,355
275 00	MLP SPECTRA ENERGY PARTNERS LP COM	12,471
967 00	MLP SPRAGUE RES LP COM UNIT REPSTG LTD PARTNER INT	17,638
650 00	MLP TARGA RES PARTNERS LP COM UNIT LTD PARTNERSHIP INT	33,995
1,214 00	MLP TEEKAY LNG PARTNERS L P	51,850
1,387 00	MLP TEEKAY OFFSHORE PARTNERS LP COM STK UNIT	45,896
581 00	MLP TESORO LOGISTICS LP COM UNIT LTD PARTNERSHIP INT	30,410
500 00	MLP WILLIAMS PARTNERS L P COM UNIT LTD PARTNERSHIP INT	25,430
4,064 00	MONSANTO CO NEW COM	473,659
6,651 00	MOTOROLA SOLUTIONS INC	448,943
4,549 00	MSCI INC COMMON	198,882
321 00	NETFLIX INC COM STK	118,183
2,160 00	NETGEAR INC COM	71,150
561 00	NGL ENERGY PARTNERS LP COM UNIT REPSTG LTD PARTNER INT	19,355
4,500 00	NISOURCE INC (NI)	147,960
10,710 00	NORTHFIELD BANCORP INC DEL COM USD0 01	141,372
7,000 00	NUCOR CORPORATION (NUE)	373,660
9,610 00	OLD NATL BANCORP IND COM	147,706
800 00	ONEOK INC COM STK	49,744
3,740 00	OPLINK COMMUNICATIONS INC COM NEW	69,564
2,630 00	OSI SYS INC COM	139,679
5,000 00	PFIZER INC (PFE)	153,150
3,100 00	PLAINS ALL AMERN PIPELN UNIT LTD PARTNERSHIP INT	160,487
2,360 00	POST PPTYS INC REIT	106,743
2,630 00	POWELL INDS INC COM	176,184
5,000 00	PROCTER & GAMBLE (PG)	407,050
10,404 00	PROGRESSIVE CORP OH COM	283,717
2,470 00	PTC INC COM	87,413
1,780 00	RANGE RES CORP COM	150,072
3,580 00	REORG/ARTHROCARE CORP CASH MERGER 05-30-2014	144,059
2,590 00	REORG/ATMI INC CASH MERGER EFF 04-30-2014	78,244
1,000 00	REORG/CROSSTEX ENERGY NAME CHANGE ENLINK MIDSTREAM 2U11AT1 EFF 03-10-2014	27,600
1,429 00	REORG/GREEN MTN NAME CHANGE KEURIG GR 2U1TA21 EFF 03-11-2014	108,004
5,320 00	REORG/INVESTORS PLAN OF REORG INVESTORSBANCORP INC 2U1LAE1 05-08-2014	136,086
3,010 00	REORG/JOS A BANK CLOTHIERS INC CASH MERGER EFF 06-19-2014	164,737

ATTACHMENT A-2

Page 2 of 3

Altman Foundation
Form 990PF: Part II - Line 10b
Schedule of Portfolio Holdings - Common Stock
December 31, 2013

EIN#: 13-1623879

Share/Par Value	Security/Description	Market Value
3,000 00	ROCHE HOLDINGS ADR (RHHBY)	210,600
5,890 00	ROLLINS INC COM	178,408
308 00	ROSE ROCK MIDSTREAM L P REPSTG LTD PARTNER INT	11,920
3,000 00	ROYAL DUTCH SHELL PLC CL B (RDS'B)	225,330
9,313 00	SALESFORCE COM INC COM STK	513,984
3,000 00	SCHLUMBERGER LTD (SLB)	270,330
3,010 00	SILGAN HLDGS INC COM	144,540
12,778 00	SIRIUS XM HLDGS INC COM	44,595
2,770 00	SM ENERGY CO COM	230,215
3,503 00	STARBUCKS CORP COM	274,600
3,290 00	STERIS CORP COM	158,085
155 00	SUMMIT MIDSTREAM PARTNERS LP LTD PARTNERSHIP INT	5,681
7,010 00	SYKES ENTERPRISES INC COM	152,888
1,500 00	TESLA MTRS INC COM	225,570
532 00	THE PRICELINE GROUP INC	618,397
3,010 00	THERMON GROUP HLDGS INC	82,263
3,160 00	TRIMBLE NAV LTD COM	109,652
4,908 00	TWITTER INC COM	312,394
2,690 00	UMB FINL CORP COM STK	172,913
3,800 00	UNITED PARCEL SERVICE INC CL-B (UPS)	399,304
300 00	USA COMPRESSION PARTNERS LP LTD PARTNER INTS INTS	8,040
3,397 00	VALEANT PHARMACEUTICALS INTERNATIONAL INC COMMON STOCK	398,808
3,152 00	VERISK ANALYTICS INC CL A CL A	207,149
2,066 00	VISA INC COM CL A STK	460,057
5,400 00	WAL MART STORES INC (WMT)	424,926
4,400 00	WEST PHARMACEUTICAL SVCS INC COM	215,864
1,601 00	WESTERN REFNG LOGISTICS LP REPSTG LTD PARTNERSHIP INT	41,418
1,600 00	WILLIAMS CO INC COM	61,712
2,630 00	WINNEBAGO INDS INC COM	72,194
5,440 00	WOLVERINE WORLD WIDE INC COM	184,742
4,310 00	WOODWARD INC COM	196,579
1,355 00	WORKDAY INC CL A COM USD0 001	112,682
2,897 00	YANDEX N V COM USD0 01 CL 'A	125,006
		30,294,949
	add accrued income	7,424
	add pending sales	46,369
	Less pending purchases	(20,576)
		30,328,166

Altman Foundation Form 990PF: Part IV Capital Gains and Losses for Tax on Investment Income December 31, 2013			EIN # 13-1623879
Description	Gross sales price	Cost or other basis	Gain (loss)
MORGAN STANLEY ASSET MANAGEMENT — MARKETABLE SECURITIES	2,858,505	2,827,101	31,404
NORTHPOINTE — MARKETABLE SECURITIES	539,631	458,845	80,786
KENNEDY — MARKETABLE SECURITIES	7,158,622	6,153,667	1,004,955
MORGAN STANLEY SMITH BARNEY — MARKETABLE SECURITIES	2,870,102	2,034,841	835,261
ABERDEEN STRUCTURED PRODUCT OPPORTUNISTIC FUND	-	82,920	(82,920)
AG NET LEASE REALTY FUND II, LP	5,455	-	5,455
BRIGADE LEVERAGED CAPITAL	4,000,937	2,537,180	1,463,757
CAPITAL INTERNATIONAL EMERGING MARKETS	6,500,799	8,039,911	(1,539,112)
CAPITAL INTERNATIONAL ETOP	-	139,542	(139,542)
CONVEXYITY CAPITAL OFFSHORE	6,000,000	2,376,573	3,623,427
FLAG INTERNATIONAL PARTNERSHIP II	434,803	-	434,803
GOLDMAN—SACHS VINTAGE FUND V	472,582	-	472,582
PIMCO	75,374	-	75,374
REEFF AMERICA REIT II	-	442,599	(442,599)
COMMONFUND CAPITAL INTERNATIONAL PARTNERS V, LP	195,228	-	195,228
COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS VII LP	559,177	-	559,177
COMMONFUND CAPITAL VENTURE PARTNERS VII LP	445,904	-	445,904
COMMONFUND CAPITAL NATURAL RESOURCES PARTNERS VII	431,990	-	431,990
COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS VI LP	478,212	-	478,212
COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS V LP	363,152	-	363,152
COMMONFUND CAPITAL VENTURE PARTNERS VI LP	711,363	-	711,363
COMMONFUND CAPITAL INTERNATIONAL PARTNERS VI LP	273,790	-	273,790
INVESCO NON—US PARTNERSHIP FUND III LP	-	163,243	(163,243)
INVESCO VENTURE PARTNERSHIP FUND III LP	164,403	-	164,403
INVESCO US BUYOUT & EXPANSION CAPITAL PARTNERSHIP	297,696	-	297,696
SILCHESTER INTERNATIONAL INVESTORS INTERNATIONAL	1,054,911	-	1,054,911
METROPOLITAN REAL ESTATE PARTNERS V LP	151,121	-	151,121
ROCKEFELLER ACCESS FUND 06—I LLC	303,173	-	303,173
JP MORGAN GLOBAL EMERGING MARKETS FUND LLC	-	408,318	(408,318)
2006 SPECIAL GLOBAL OPPORTUNITIES LLC	-	5,800	(5,800)
BRANDYWINE GLOBAL INVESTMENT MGMT, LLC	109,821	-	109,821
METROPOLITAN REAL ESTATE PARTNERS 2008	261,531	-	261,531
LONE CASCADE, LP	1,644,218	-	1,644,218
BUCKEYE PARTNERS L P UNIT LTD	-	12	(12)
EL PASO PIPELINE PARTNERS L P	5	-	5
ENBRIDGE ENERGY PARTNERS L P	-	154	(154)
ENERGY TRANSFER EQUITY L P	-	2	(2)
ENERGY TRANSFER PARTNERS L P	1	-	1
EOT MIDSTREAM PARTNERS LP	-	8	(8)
EVEP PARTNERS LP	1	-	1
EXTERRAN PARTNERS L P	-	450	(450)
HOLLY ENERGY PARTNERS	-	6	(6)
KINDER MORGAN	-	3	(3)
MARK WEST ENERGY	-	1	(1)
PLAINS ALL AMERN PIPELN UNIT LTD	24	-	24
SPECTRA ENERGY PARTNERS LP	-	13	(13)
TEEKAY LNG	196	-	196
USA COMPRESSION PARTNERS	-	5	(5)
	38,362,727	25,671,194	12,691,533
		Attachment B	Page 1 of 1

2013 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	LEASEHOLD											
2	IMPROVEMENTS	010100	SL	15.00	17	281,238.			281,238.	234,243.		18,749.
3	FURNITURE & FIXTURES	010100	SL	10.00	17	276,717.			276,717.	276,717.		0.
5	COMPUTER	070101	SL	5.00	17	18,972.			18,972.	18,972.		0.
6	COMPUTER EQUIPMENT	070102	SL	5.00	17	6,090.			6,090.	6,090.		0.
7	COMPUTER EQUIPMENT	090104	SL	5.00	17	5,150.			5,150.	5,150.		0.
8	PHONE SYSTEM	123105	SL	5.00	17	17,907.			17,907.	17,907.		0.
9	COMPUTER SYSTEM	070106	SL	5.00	17	24,283.			24,283.	24,283.		0.
10	SOFTWARE PACKAGE	070106	SL	5.00	17	3,771.			3,771.	3,771.		0.
11	PHONE SYSTEM	110107	SL	5.00	17	2,593.			2,593.	2,593.		0.
12	SERVER	052208	SL	5.00	17	5,337.			5,337.	4,892.		445.
13	ARCHITECT FEES		SL	5.00	19B	1,653.			1,653.			0.
	* TOTAL 990-PF PG 1					643,711.		0.	643,711.	594,618.	0.	19,194.
	DEPR											

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

► **Information about Form 8868 and its instructions is at www.irs.gov/form8868**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions.	Enter filer's identifying number
File by the due date for filing your return. See instructions.	ALTMAN FOUNDATION	Employer identification number (EIN) or 13-1623879
	Number, street, and room or suite no. If a P.O. box, see instructions. 521 FIFTH AVE	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10175	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THE FOUNDATION

- The books are in the care of ► **521 FIFTH AVE - NEW YORK, NY 10175**

Telephone No. ► **(212) 682-0970**

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
 ► ☒ calendar year **2013** or
 ► ☐ tax year beginning , and ending .

- If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	318,124.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	173,124.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	145,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	ALTMAN FOUNDATION	13-1623879
	Number, street, and room or suite no. If a P O box, see instructions.	Social security number (SSN)
	521 FIFTH AVE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10175	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

THE FOUNDATION

- The books are in the care of **521 FIFTH AVE - NEW YORK, NY 10175**

Telephone No **(212) 682-0970**

Fax No.

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014.**

5 For calendar year **2013**, or other tax year beginning , and ending .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS NEEDED TO COMPILE THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	318,124.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	318,124.
c	Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature **Karen A. McPartz** Title **CPA**

Date **8/4/14**

Form 8868 (Rev. 1-2014)