Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation: RIMERMAN FAMILY FOUNDATION U/A DTD. 2/28/97

A Employer identification number: 11-6484281

Number and street (or P O box number if mail is not delivered to street address): 196 SANDS POINT ROAD — Room/suite:

B Telephone number: 516-883-7220

City or town, state or province, country, and ZIP or foreign postal code: SANDS POINT, NY 11050

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,096,285.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received	53,538.		N/A	
2 Check ▶ [X] if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	5.	5.		
4 Dividends and interest from securities	39,192.	31,058.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	<2,055.>			
b Gross sales price for all assets on line 6a 424,629.				
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 **Total.** Add lines 1 through 11	90,680.	31,063.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees STMT 2	6,230.	3,115.		3,115.
c Other professional fees				
17 Interest	2.	2.		0.
18 Taxes STMT 3	1,064.	85.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications	135.	0.		135.
23 Other expenses STMT 4	13,863.	13,577.		286.
24 **Total operating and administrative expenses.** Add lines 13 through 23	21,294.	16,779.		3,536.
25 Contributions, gifts, grants paid	102,000.			102,000.
26 **Total expenses and disbursements.** Add lines 24 and 25	123,294.	16,779.		105,536.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	<32,614.>			
b **Net investment income** (if negative, enter -0-)		14,284.		
c **Adjusted net income** (if negative, enter -0-)			N/A	

SCANNED AUG 25 2014

RECEIVED AUG 22 2014 OGDEN, UT

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	77,336.	87,167.	87,167.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	1,199,291.	1,156,819.	1,006,469.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ STATEMENT 6)	2,622.	2,649.	2,649.
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,279,249.	1,246,635.	1,096,285.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ **and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds	1,279,249.	1,246,635.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 **Total net assets or fund balances**	1,279,249.	1,246,635.	
	31 **Total liabilities and net assets/fund balances**	1,279,249.	1,246,635.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,279,249.
2 Enter amount from Part I, line 27a	2	<32,614.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,246,635.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,246,635.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	424,629.		426,271.	<2,055.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				<2,055.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** <2,055.>

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	129,013.	1,031,107.	.125121
2011	133,448.	1,058,730.	.126045
2010	117,224.	1,017,855.	.115168
2009	130,216.	942,146.	.138212
2008	116,095.	1,120,528.	.103607

2	**Total** of line 1, column (d)	2	.608153
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.121631
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,059,427.
5	Multiply line 4 by line 3	5	128,859.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	143.
7	Add lines 5 and 6	7	129,002.
8	Enter qualifying distributions from Part XII, line 4	8	105,536.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	286.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	286.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	286.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 500.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	500.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	214.
11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 214. **Refunded** ▶		11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ IRA S. RIMERMAN Telephone no. ▶ 516-883-7220 Located at ▶ 196 SANDS POINT ROAD, SANDS POINT, NY ZIP+4 ▶ 11050			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

323541
10-10-13

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
IRA S. RIMERMAN 196 SANDS POINT ROAD, SANDS POINT, NY 11050	TRUSTEE (*) AS REQUIRED 0.00	0.	0.	0.
IRIS J. RIMERMAN 196 SANDS POINT ROAD, SANDS POINT, NY 11050	TRUSTEE (*) AS REQUIRED 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

323551
10-10-13

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	993,065.
b	Average of monthly cash balances		1b	82,495.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	1,075,560.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	1,075,560.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	16,133.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	1,059,427.
6	**Minimum investment return.** Enter 5% of line 5		6	52,971.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	52,971.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 286.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	286.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	52,685.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	52,685.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	52,685.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	105,536.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	105,536.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	105,536.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

323571
10-10-13

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					52,685.
2 Undistributed income, if any, as of the end of 2013:					
a Enter amount for 2012 only				0.	
b Total for prior years: ____ , ____ , ____			0.		
3 Excess distributions carryover, if any, to 2013:					
a From 2008	61,173.				
b From 2009	83,767.				
c From 2010	67,019.				
d From 2011	81,507.				
e From 2012	78,420.				
f Total of lines 3a through e		371,886.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $	105,536.				
a Applied to 2012, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2013 distributable amount					52,685.
e Remaining amount distributed out of corpus		52,851.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 Enter the net total of each column as indicated below:					
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5		424,737.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7		61,173.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a		363,564.			
10 Analysis of line 9:					
a Excess from 2009	83,767.				
b Excess from 2010	67,019.				
c Excess from 2011	81,507.				
d Excess from 2012	78,420.				
e Excess from 2013	52,851.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2013	Prior 3 years (b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

IRA S. RIMERMAN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV. Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED SCHEDULE				102,000.
Total			▶ 3a	102,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu-sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	5.	
4 Dividends and interest from securities			14	39,192.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	<2,055.>	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		37,142.	0.

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 37,142.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Ira S. Rimerman | 8/10/2014 | President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
HOWARD S. FLEISCHMAN		7/31/14		P01315459
Firm's name ▶ FLEISCHMAN PLLC			Firm's EIN ▶ 26-0000582	
Firm's address ▶ 60 CUTTER MILL RD GREAT NECK, NY 11021			Phone no. (516) 829-3449	

323622
10-10-13

Form **8868** (Rev. January 2014)

Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

▶ Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (*e-file*). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number

Type or print	Name of exempt organization or other filer, see instructions. RIMERMAN FAMILY FOUNDATION U/A DTD. 2/28/97	Employer identification number (EIN) or 11-6484281
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. 196 SANDS POINT ROAD	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. SANDS POINT, NY 11050	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ IRA S. RIMERMAN
196 SANDS POINT ROAD - SANDS POINT, NY 11050

Telephone No. ▶ 516-883-7220 Fax No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2014 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ [X] calendar year 2013 or

▶ [ ] tax year beginning ______ , and ending ______ .

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 500.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 500.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED SCHEDULES - 36960	P		
b	SEE ATTACHED SCHEDULES - 01712	P		
c	SEE ATTACHED SCHEDULES - 38864	P		
d	HILLVIEW GLOBAL ALPHA OFFSHORE	P		
e	POWERSHARE DB COMMODITY INDEX			
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	169,652.		181,457.	<11,805.>
b	92,933.		96,294.	<3,361.>
c	146,103.		138,520.	7,583.
d	11,007.		10,000.	1,007.
e				<413.>
f	4,934.			4,934.
g				
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<11,805.>
b				<3,361.>
c				7,583.
d				1,007.
e				<413.>
f				4,934.
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	<2,055.>
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FIDELITY BROKERAGE SERVICES	29,314.	1,125.	28,189.	22,258.	
FIDELITY BROKERAGE SERVICES	12,408.	3,607.	8,801.	6,679.	
FIDELITY BROKERAGE SERVICES	3,782.	202.	3,580.	3,499.	
FIDELITY BROKERAGE SERVICES	<1,409.>	0.	<1,409.>	<1,409.>	
MORGAN STANLEY SMITH BARNEY	28.	0.	28.	28.	
POWERSHARES DB COMMODITY INDEX	3.	0.	3.	3.	
TO PART I, LINE 4	44,126.	4,934.	39,192.	31,058.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	6,230.	3,115.		3,115.
TO FORM 990-PF, PG 1, LN 16B	6,230.	3,115.		3,115.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	979.	0.		0.
FOREIGN TAXES	85.	85.		0.
TO FORM 990-PF, PG 1, LN 18	1,064.	85.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	250.	0.		250.
CUSTODY FEES/INVESTMENT ADVISORY FEES	13,541.	13,541.		0.
MISCELLANEOUS EXPENSES	72.	36.		36.
TO FORM 990-PF, PG 1, LN 23	13,863.	13,577.		286.

FORM 990-PF	CORPORATE STOCK	STATEMENT 5
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	1,156,819.	1,006,469.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,156,819.	1,006,469.

FORM 990-PF	OTHER ASSETS		STATEMENT 6
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
INTEREST/DIVIDEND/ROYALTY RECEIVABLE	2,622.	2,649.	2,649.
TO FORM 990-PF, PART II, LINE 15	2,622.	2,649.	2,649.

ihort-term transactions for which basis is reported to the IRS –report on Form 8949 with Box A checked and/or Schedule D, Part I

This Label is a Substitute for Boxes **1c & 6**)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc. (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4 Federal Income Tax Withheld**	**13** State	**16** State Tax Withheld
ON/ANCHOR CAPITALENHANCED EQUITY CL I, AMDSX, 00080Y694										
Sale	04/24/13	06/22/12	37.730	336.17	319.57	16.60				
Sale	04/24/13	09/21/12	23 618	210 44	208.31	2.13				
Sale	04/24/13	12/28/12	46.046	410.27	387.71	22.56				
Sale	04/24/13	12/28/12	83.926	747.78	706.66	41.12				
Sale	04/24/13	12/28/12	19.833	176.70	166.99	9.71				
Subtotals				**1,881.36**	**1,789.24**					
VAN ECK UNCONSTRAIN EMERING MRKTS BOND I, EMBUX, 921075255										
Sale	05/08/13	08/09/12	36.368	360.76	325.91	34.85				
Sale	05/08/13	09/21/12	32.373	321.14	294.32	26.82				
Sale	05/08/13	10/23/12	44.790	444.32	413.49	30 83				
Sale	05/08/13	11/21/12	65.135	646.14	603.26	42 88				
Sale	05/08/13	12/21/12	62.807	623.05	587.35	35.70				
S[illegible]	05/08/13	12/21/12	80.904	802 57	756.57	46.00				
S[illegible]	05/08/13	01/23/13	36.630	363.37	353.53	9.84				
Sale	05/08/13	02/21/13	52.408	519.89	508.25	11.64				
Sale	05/08/13	03/21/13	54 452	540.16	523.01	17.15				
Sale	05/08/13	04/23/13	38 165	378 60	372.61	5.99				
Subtotals				**5,000.00**	**4,738.30**					
TOTALS				**6,881.36**	**6,527.54**			**0.00**		
		Box A Short-Term Realized Gain				**353.82**				
		Box A Short-Term Realized Loss				**0.00**				
		Box A Wash Sale Loss Disallowed					**0.00**			

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you this income is taxable and the IRS determines that it has not been reported.

Proceeds from Broker and Barter Exchange Transactions

ong-term transactions for which basis is reported to the IRS --report on Form 8949 with Box D checked and/or Schedule D, Part II

'his Label is a Substitute for Boxes **1c & 6**)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc. (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4** Federal Income Tax Withheld	**13** State	**15** State Tax Withheld
ALPINE GLOBAL INFRASTRUCTURE, AIFRX, 020940847										
Sale	09/23/13	03/23/12	264.971	5,000 00	4,160.04	839.96				
ASTON/ANCHOR CAPITALENHANCED EQUITY CL I, AMDSX, 00080Y694										
Sale	04/02/13	03/19/11	25.352	222.08	236.28	-14.20	14.20			
Sale	04/24/13	03/19/11	33.077	294.72	306.47	-11.75				
Subtotals				**516.80**	**542.75**		**14.20**			
EATON VANCE GLOBAL MACRO ABSLTE RT CL I, EIGMX, 277923728										
Sale	05/08/13	01/31/12	23.830	235.20	235.90	-0.70				
Sale	05/08/13	02/29/12	22.313	220.23	221.32	-1.09				
Sale	05/08/13	03/30/12	24.055	237 42	237.40	0.02				
Sale	05/08/13	04/30/12	20.424	201.58	201.16	0.42				
Sale	12/03/13	04/29/11	24.632	231.79	245.76	-13.97	13.97			
Sale	12/03/13	06/23/11	23.965	225.51	238.06	-12.55	11.54			
Sale	12/03/13	04/30/12	2.968	27.93	28 81	-0.88				
Sale	12/03/13	09/28/12	23.732	223.32	230.89	-7.57				
Sale	12/31/13	05/22/11	24.729	232.95	246.00	-13.05				
Sale	12/31/13	07/21/11	21.860	205.92	217.17	-11.25				
Subtotals				**2,041.85**	**2,102.47**		**25.51**			
TORTOISE ENERGY INFRASTRUCTURE CORP, TYG, 89147L100										
Sale	09/23/13	03/01/12	59.219	2,639.34	2,456.52	182.82				
Sale	09/23/13	09/04/12	38.866	1,732.23	1,555.54	176.69				
Subtotals				**4,371.57**	**4,012.06**					

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you this income is taxable and the IRS determines that it has not been reported.

Long-term transactions for which basis is reported to the IRS --report on Form 8949 with Box D checked and/or Schedule D, Part II
This Label is a Substitute for Boxes **1c & 6**)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc. (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4** Federal Income Tax Withheld	**13** State	**16** State Tax Withheld
TOTALS				11,930.22	10,817.32			0.00		
		Box D Long-Term Realized Gain				1,199.91				
		Box D Long-Term Realized Loss				-87.01				
		Box D Wash Sale Loss Disallowed					39.71			

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Long-term transactions for which basis is not reported to the IRS --report on Form 8949 with Box E checked and/or Schedule D, Part II
This Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, 1d Stock or Other Symbol, CUSIP

Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc. (a)	3 Cost or Other Basis (b)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	16 State Tax Withheld
ASTON/ANCHOR CAPITALENHANCED EQUITY CL I, AMDSX, 00080Y694										
Sale	04/02/13	04/07/10	1,116.201	9,777.92	10,380.67	-602.75	4.17			
Sale	04/24/13	04/07/10	2,541.891	22,648.25	23,639.59	-991.34				
Sale	04/24/13	09/17/10	39.379	350.87	363.47	-12.60				
Sale	04/24/13	12/29/10	435.668	3,881.80	4,051.71	-169.91				
Sale	04/24/13	06/17/11	55.167	491.54	511.40	-19.86				
Sale	04/24/13	08/23/11	49.938	444.95	448.94	-3.99				
Sale	04/24/13	12/29/11	70.346	626.78	604.27	22.51				
Sale	04/24/13	12/29/11	278.984	2,485.75	2,396.47	89.28				
Sale	04/24/13	12/29/11	1,103.810	9,834.95	9,481.73	353.22				
Subtotals				**50,542.81**	**51,878.25**		**4.17**			
EATON VANCE GLOBAL MACRO ABSLTE RT CL I, EIGMX, 277923728										
Sale	05/08/13	05/28/10	5.270	52.01	52.96	-0.95				
Sale	05/08/13	06/30/10	26.310	259.68	264.95	-5.27				
Sale	05/08/13	07/30/10	27.490	271.33	274.87	-3.54				
Sale	05/08/13	08/31/10	27.520	271.62	276.01	-4.39				
Sale	05/08/13	09/30/10	26.760	264.12	268.10	-3.98				
Sale	05/08/13	10/29/10	27.840	274.78	278.16	-3.38				
Sale	05/08/13	11/30/10	27.030	266.79	270.29	-3.50				
Sale	05/08/13	12/31/10	28.167	278.01	280.27	-2.26				
Sale	05/08/13	01/31/11	28.419	280.50	286.90	-6.40				
Sale	05/08/13	02/28/11	25.781	254.46	260.38	-5.92	0.25			

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you this income is taxable and the IRS determines that it has not been reported.

.ong-term transactions for which basis is not reported to the IRS --report on Form 8949 with Box E checked and/or Schedule D, Part II
This Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc. (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4** Federal Income Tax Withheld	**13** State	**16** State Tax Withheld
E N VANCE GLOBAL MACRO ABSLTE RT CL I, EIGMX, 277923728										
Sale	05/08/13	03/31/11	25.642	253.09	258.31	-5.22				
Sale	05/08/13	04/29/11	24 761	244.39	250.99	-6.60	6.60			
Sale	05/08/13	05/31/11	22.751	224.55	230.04	-5.49	5.49			
Sale	05/08/13	06/30/11	22.230	219.41	223.49	-4.08				
Sale	05/08/13	07/29/11	23.036	227.37	231.69	-4.32				
Sale	05/08/13	08/31/11	23.216	229.14	232.39	-3.25				
Sale	05/08/13	10/31/11	23.741	234.32	233.99	0.33				
Sale	12/03/13	05/24/10	987 402	9,291.45	9,577.90	-286.45				
Sale	12/31/13	05/24/10	484.197	4,561.13	4,696.76	-135.63				
Subtotals				**17,858.15**	**18,448.45**		**12.34**			
PIMCO COMMODITY REAL RETURN INST, PCRIX, 722005667										
Sale	06/25/13	05/17/11	900.901	5,000.00	8,297.30	-3,297.30	145.97			
TOISE ENERGY INFRASTRUCTURE CORP, TYG, 89147L100										
Sale	09/23/13	11/30/11	13.915	620.18	527.11	93.07				
TOTALS				**74,121.14**	**79,151.11**			**0.00**		
	Box E Long-Term Realized Gain					**558.41**				
	Box E Long-Term Realized Loss					**-5,588.38**				
	Box E Wash Sale Loss Disallowed						**162.48**			

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

ort-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I

is Label is a Substitute for Boxes **1c** & **6**)

(IRS Form 1099-B box numbers are shown below in **bold type**)

Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc. (a)	3 Cost or Other Basis (b)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
ON VANCE TAX MANAGED BUY WRITE, ETV, 27828Y108										
Sale	06/20/13	01/31/13	5.991	77.29	74.59	2.70				
Sale	06/20/13	02/28/13	6.089	78.55	75.82	2.73				
Sale	06/20/13	03/28/13	6.037	77.88	77.09	0.79				
Sale	06/20/13	04/30/13	6.066	78.26	78.38	-0.12	0.01			
Sale	06/20/13	05/31/13	5.889	75.97	79.68	-3.71	3.71			
Sale	06/25/13	12/31/12	18.287	232.02	210.30	21.72				
Sale	08/01/13	06/08/13	6.352	84.92	84.00	0.92				
Subtotals				**704.89**	**679.88**		**3.72**			
EATON VANCE TAX MANAGED DIVERSIFIED EQUI, ETY, 27828N102										
Sale	07/15/13	01/31/13	14.104	148.91	140.40	8.51				
Sale	07/15/13	02/28/13	14.155	149.45	141 59	7 86				
Sale	07/15/13	03/28/13	14.044	148.27	142.78	5.49				
r	07/15/13	04/30/13	13.996	147 77	143.96	3.81				
Sale	07/15/13	05/31/13	13.538	142.93	145.14	-2.21				
Sale	07/15/13	06/28/13	14.749	155.72	146.28	9.44				
Subtotals				**893.05**	**860.15**					
EATON VANCE TAX MANAGED GLOBAL DIVERSIFI, EXG, 27829F108										
Sale	07/10/13	08/31/12	22.880	213.75	177.98	35.77				
Sale	07/10/13	11/30/12	9.839	91.93	79.69	12.24				
Sale	07/10/13	01/31/13	3 141	29 35	28.32	1.03				
Sale	07/10/13	02/28/13	3.217	30.06	28.80	1.26				

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you this income is taxable and the IRS determines that it has not been reported.

ort-term transactions for which basis **is reported** to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I

is Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in **bold type**)

Description, **1d** Stock or Other Symbol, CUSIP

ction	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc. (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4** Federal Income Tax Withheld	**13** State	**15** State Tax Withheld
N VANCE TAX MANAGED GLOBAL DIVERSIFI, EXG, 27829F108										
ale	07/10/13	03/28/13	3.212	30.01	29.29	0 72				
ale	07/10/13	04/30/13	3.187	29.78	29.77	0.01				
ale	07/10/13	05/31/13	3 102	28.98	30.26	-1.28				
ale	07/10/13	06/28/13	3.422	31.97	30.75	1.22				
ash In Lieu	07/15/13	08/31/12	0.592	5.56	4.61	0.95				
ubtotals				**491.39**	**439.47**					
IBERTY ALL STAR EQUITY FD SBI TENDERED, 530991090										
ender	09/26/13	06/18/13	16 000	95.68	84.80 (f)	10.88				
OYCE GLOBAL VALUE TR INC COM USD0.001, RGT, 78081T104										
ale	11/29/13	10/18/13	99.000	857.33	888.53	-31.20				
PDR S&P 500 ETF TRUST UNIT SER 1 S&P, SPY, 78462F103										
ale	03/15/13	03/12/13	25.000	3,416.62	3,902.48	-485.86				
	05/20/13	05/15/13	21.000	2,869.96	3,466.45	-596.49				
ale	06/28/13	06/25/13	22.000	3,006.63	3,489.45	-482.82				
ale	07/16/13	07/11/13	28.000	3,826.62	4,678.62	-852.00				
ale	08/06/13	08/01/13	15.000	2,049.97	2,561.19	-511.22				
ale	08/06/13	08/08/13	17.000	2,323.30	2,860.15	-536.85				
ale	09/06/13	09/03/13	17.000	2,323.30	2,794.99	-471.69				
Sale	10/08/13	10/03/13	240.000	32,799.59	40,392.93	-7,593.34				
Sale	10/08/13	10/03/13	26.000	3,729.61	4,375.90	-646.29				
Sale	10/08/13	10/03/13	23.000	3,352.86	3,870.99	-518.13				

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you this income is taxable and the IRS determines that it has not been reported.

[illegible]

hort-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I

his Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, 1d Stock or Other Symbol, CUSIP

Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc. (a)	3 Cost or Other Basis (b)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	16 State Tax Withheld
S_R S&P 500 ETF TRUST UNIT SER 1 S&P, SPY, 78462F103										
Sale	10/08/13	10/03/13	58.000	8,720.86	9,761.63	-1,040.77				
Subtotals				**68,419.32**	**82,154.78**					
VANGUARD INDEX FDS VANGUARD TOTAL STK MK, VTI, 922908769										
Sale	10/18/13	10/15/13	54.000	4,735.33	4,798.43	-63.10				
Sale	11/07/13	11/04/13	610.000	53,491.66	55,906.45	-2,414.79				
Subtotals				**58,226.99**	**60,704.88**					
TOTALS				**129,688.65**	**145,812.47**			**0.00**		
		Box A Short-Term Realized Gain				**128.05**				
		Box A Short-Term Realized Loss				**-16,251.87**				
		Box A Wash Sale Loss Disallowed					**3.72**			

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you this income is taxable and the IRS determines that it has not been reported.

ort-term transactions for which basis is not reported to the IRS --report on Form 8949 with Box B checked and/or Schedule D, Part I

is Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in bold type)

Description, 1d Stock or Other Symbol, CUSIP										
ction	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc. (a)	3 Cost or Other Basis (b)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	16 State Tax Withheld
E GLOBAL VALUE TR INC COM USD0.001, RGT, 78081T104										
ash In Lieu	10/24/13	10/24/13	0.000	6.38	0.00	6.38				
OTALS				6.38	0.00			0.00		
	Box B Short-Term Realized Gain					6.38				
	Box B Short-Term Realized Loss					0.00				
	Box B Wash Sale Loss Disallowed						0.00			

his is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you his income is taxable and the IRS determines that it has not been reported.

FORM 1099-B 2013 Proceeds from Broker and Barter Exchange Transactions

Long-term transactions for which basis is reported to the IRS --report on Form 8949 with Box D checked and/or Schedule D, Part II

This Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in bold type)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc. (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4 Federal Income Tax Withheld**	**13** State	**16** State Tax Withheld
EATON VANCE TAX MANAGED BUY WRITE, ETV, 27828Y108										
Sale	06/20/13	05/23/12	211.928	2,734.08	2,262.65	471.43				
Sale	06/25/13	05/23/12	103.072	1,307.75	1,100.45	207.30				
Subtotals				**4,041.83**	**3,363.10**					
EATON VANCE TAX MANAGED DIVERSIFIED EQUI, ETY, 27828N102										
Sale	07/15/13	02/08/12	50.414	532.25	450 41	81 84				
Sale	07/15/13	02/29/12	167.000	1,763.15	1,513.87	249.28				
Sale	09/03/13	02/08/12	84.586	856.96	755.70	101.26				
Sale	09/03/13	04/05/12	183.837	1,862.48	1,640.56	221.92				
Sale	09/03/13	08/31/12	42.577	431.36	380.49	50.87				
Subtotals				**5,446.20**	**4,741.03**					
TOTALS				**9,488.03**	**8,104.13**			**0.00**		
		Box D Long-Term Realized Gain				**1,383.90**				
		Box D Long-Term Realized Loss				**0.00**				
		Box D Wash Sale Loss Disallowed					**0.00**			

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you this income is taxable and the IRS determines that it has not been reported.

ong-term transactions for which basis <u>is not reported</u> to the IRS --report on Form 8949 with Box E checked and/or Schedule D, Part II

his Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc. (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4** Federal Income Tax Withheld	**13** State	**16** State Tax Withheld
N VANCE TAX MANAGED BUY WRITE, ETV, 27828Y108										
Sale	08/25/13	10/19/11	125.641	1,594.09	1,190.66	403.43				
Sale	08/01/13	10/18/11	121.289	1,621.61	1,123.72	497 89				
Sale	08/01/13	10/19/11	119.359	1,595.80	1,119.16	476.64				
Cash In Lieu	08/06/13	10/18/11	0.711	9.54	6.59	2.95				
ubtotals				**4,821.04**	**3,440.13**					
EATON VANCE TAX MANAGED GLOBAL DIVERSIFI, EXG, 27829F108										
Sale	07/10/13	12/15/10	155.000	1,448.16	1,218.39	229.77				
Sale	07/10/13	11/29/11	174.000	1,625.68	1,173.71	451.97				
ubtotals				**3,073.84**	**2,392.10**					
GDL FD CUMULATIVE PFD SER B, GDLPRB, 361570302										
Sale	08/05/13	04/15/11	34.000	1,720.04	1,700 00	20.04				
Sale	12/04/13	04/15/11	64.000	3,222.14	3,200.00	22.14				
Sa	12/17/13	04/15/11	14.000	699.95	700.00	-0.05				
Sa	12/17/13	04/15/11	18.000	899.93	900.00	-0.07				
ubtotals				**6,542.06**	**6,500.00**					
LIBERTY ALL STAR EQUITY FD SBI, USA, 530158104										
Sale	03/11/13	05/19/10	14.000	71.64	58.76	12.88				
Sale	03/11/13	07/26/11	299.000	1,529.96	1,574.55	-44 59				
Sale	05/06/13	05/19/10	314.000	1,613.77	1,317.99	295.78				
Sale	05/13/13	05/19/10	309.000	1,609.95	1,297.00	312.95				
ubtotals				**4,825.32**	**4,248.30**					

his is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you his income is taxable and the IRS determines that it has not been reported.

ong-term transactions for which basis is not reported to the IRS --report on Form 8949 with Box E checked and/or Schedule D, Part II
'his Label is a Substitute for Boxes **1c & 6**)

(IRS Form 1099-B box numbers are shown below in **bold type**)

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc. (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4 Federal Income Tax Withheld**	**13** State	**15** State Tax Withheld
8 Description, **1d** Stock or Other Symbol, CUSIP										
LI...RTY ALL STAR EQUITY FD SBI TENDERED, 530991090										
Tender	09/26/13	05/19/10	88.000	526.24	369.37 (f)	156.87				
NUVEEN MD PREMIUM INC MUNICIPAL FD PFD, NMYPRE, 67061Q602										
Sale	03/18/13	04/13/10	158.000	1,582 19	1,564.20	17.99				
TORTOISE ENERGY CAP CORP MANDATORY REDEE, TYYPRC, 89148J203										
Sale	08/19/13	04/12/12	903.000	9,098.67	9,030.00	68.67				
TOTALS				30,469.36	27,544.10			0.00		
		Box E Long-Term Realized Gain				2,969.97				
		Box E Long-Term Realized Loss				-44.71				
		Box E Wash Sale Loss Disallowed					0.00			

or any transaction listed on Form 1099-B in a section indicating that **"basis is reported to the IRS"**, we are reporting to the IRS: **1c** type of gain or loss (i.e. short-term or long-term), **6** basis reported to R Description, **1d** Stock or other symbol, the CUSIP number, and columns **1a, 1b, 1e, 2a** and **2b, 3, 4, 5, 13, 14** and **16** We are not reporting to the IRS: the Action, the Gain / Loss, and all subtotals n als.

or any transaction listed on Form 1099-B in a section indicating that **"basis is not reported to the IRS"**, we are reporting to the IRS: **6** Noncovered security **8** Description, **1d** Stock or other symbol, the :USIP number, and columns **1a, 1e, 2a** and **2b, 4, 13, 14** and **16** We are not reporting to the IRS: **1c** type of gain or loss (i.e. short-term or long-term), the Action, the Gain / Loss, columns **1b, 3, 5**, and ll subtotals and totals

ılthough Fidelity makes every effort to provide accurate information, please bear in mind that you, the taxpayer, are ultimately responsible for the accuracy of your tax returns

a) Gross proceeds less commissions

b) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.

f) Gain/loss information for this transaction is provided based on information available from the company or other sources regarding the aggregate cash and fair market value of shares and other property received on the date of the merger. Consult your tax advisor for information on how to report this transaction on your return.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you this income is taxable and the IRS determines that it has not been reported.

ihort-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I

This Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc. (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4 Federal Income Tax Withheld**	**13** State	**16** State Tax Withheld
I. RES 1-3 YEAR TREASURY BOND ETF, SHY, 464287457										
Cash In Lieu	09/11/13	11/07/12	0.033	2.78	2.75	0.03				
Cash In Lieu	09/11/13	12/07/12	0.029	2.44	2.48	-0.04				
Cash In Lieu	09/11/13	01/02/13	0.031	2.61	2.58	0.03				
Cash In Lieu	09/11/13	04/05/13	0.016	1.34	1.31	0.03				
Cash In Lieu	09/11/13	05/07/13	0.014	1.18	1.21	-0.03				
Cash In Lieu	09/11/13	06/07/13	0.015	1.26	1.24	0.02				
Cash In Lieu	09/11/13	07/08/13	0.015	1.26	1.25	0.01				
Subtotals				**12.87**	**12.82**					
ISHARES FTSE EPRA/NAREIT GLOBAL REAL EST, IFGL, 464288489										
Sale	01/04/13	10/03/12	22.000	730.49	689.10	41.39				
Sale	05/07/13	06/06/12	14.000	517.29	384.87	132.42				
Sale	05/07/13	10/03/12	18.000	665.08	563.80	101.28				
Subtotals				**1,912.86**	**1,637.77**					
ISHARES INC MSCI GERMANY INDEX FD, EWG, 464286806										
Sale	05/07/13	10/03/12	30.000	779.23	690.29	88.94				
ISHARES INC MSCI HONG KONG INDEX FD, EWH, 464286871										
Sale	06/07/13	10/03/12	227.000	4,356.80	4,207.04	149.76				
Sale	06/07/13	02/06/13	183.000	3,512.31	3,681.96	-169.65				
Subtotals				**7,869.11**	**7,889.00**					
ISHARES TRUST AAA - A RATED CORP BD ETF, QLTA, 46429B291										
Sale	09/06/13	10/03/12	43.000	2,100.73	2,252.94	-152.21				

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you this income is taxable and the IRS determines that it has not been reported.

'ORM 1099-B* 2013 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB NO. 1545-0715

ihort-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I

This Label is a Substitute for Boxes **1c & 6**)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (a)	3 Cost or Other Basis (b)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	16 State Tax Withheld
ISHARES TRUST FLOATING RATE BD ETF, FLOT, 46429B655										
Sale	09/06/13	02/06/13	162.000	8,207.58	8,200.62	6 96				
PIMCO ETF TR ENHANCED SHORT MATURITY STR, MINT, 72201R833										
Sale	09/06/13	01/03/13	0 102	10.32	10.39	-0.07				
Sale	09/06/13	02/06/13	0 078	7 89	7 93	-0.04				
Sale	09/06/13	03/06/13	0.078	7.89	7.93	-0.04				
Sale	09/06/13	04/04/13	0.036	3.66	3.66	0 00				
Sale	09/06/13	08/06/13	0.051	5.16	5.21	-0.05				
Cash In Lieu	09/11/13	10/04/12	0.086	8.70	8.72	-0.02				
Cash In Lieu	09/11/13	11/06/12	0 082	8.30	8.32	-0.02				
Cash In Lieu	09/11/13	12/06/12	0.078	7.90	7.92	-0.02				
Cash In Lieu	09/11/13	04/04/13	0.028	2.84	2.84	0.00				
C[illegible] In Lieu	09/11/13	05/06/13	0.062	6.28	6.29	-0.01				
S[illegible]tals				**68.94**	**69.21**					
POWERSHARES GLOBAL EXCHANGE TRADED FD TR, PCY, 73936T573										
Sale	01/17/13	10/03/12	135.000	4,180.85	4,202.55	-21 70				
SPDR SER TR SPDR SPDR BARCLAYS SHORT TER, SCPB, 78464A474										
Sale	09/06/13	10/03/12	30.000	914.84	926 61	-11 77				
WISDOMTREE TRUST JAPAN HEDGE EQT, DXJ, 97717W851										
Sale	05/07/13	02/06/13	39.000	1,887 75	1,607.97	279.78				

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you this income is taxable and the IRS determines that it has not been reported.

hort-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I

his Label is a Substitute for Boxes **1c & 6**)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc. (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4** Federal Income Tax Withheld	**13** State	**16** State Tax Withheld
S				27,934.76	27,489.78			0.00		
		Box A Short-Term Realized Gain				800.65				
		Box A Short-Term Realized Loss				-355.67				
		Box A Wash Sale Loss Disallowed					0.00			

his is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you his income is taxable and the IRS determines that it has not been reported.

FORM 1099-B 2013 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB NO 1545-0715

Short-term transactions for which basis <u>is not reported</u> to the IRS --report on Form 8949 with Box B checked and/or Schedule D, Part I

This Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc. (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4** Federal Income Tax Withheld	**13** State	**16** State Tax Withheld
ISHARES GOLD TRUST ISHARES ISIN #US46428, IAU, 464285105										
Principal	01/31/13	10/03/12	0.000	0.70	0.75	-0.05				
Principal	02/28/13	10/03/12	0.000	0.63	0.71	-0.08				
Principal	03/31/13	10/03/12	0.000	0.67	0.76	-0.09				
Principal	04/30/13	10/03/12	0.000	0.64	0.78	-0.14				
Principal	05/31/13	10/03/12	0.000	0.61	0.78	-0.17				
Principal	06/30/13	10/03/12	0.000	0.57	0.81	-0.24				
Principal	07/31/13	10/03/12	0.000	0.54	0.73	-0.19				
Principal	08/31/13	10/03/12	0.000	0.57	0.72	-0.15				
Principal	09/30/13	10/03/12	0.000	0.55	0.76	-0.21				
Principal	09/30/13	09/06/13	0.000	0.97	1.05	-0.08				
Principal	10/31/13	09/06/13	0.000	0.99	1.05	-0.06				
Principal	11/30/13	09/06/13	0.000	0.92	1.05	-0.13				
[illegible]bal	12/31/13	09/06/13	0.000	0.93	1.06	-0.13				
Subtotals				**9.29**	**11.01**					
TOTALS				**9.29**	**11.01**			**0.00**		
	Box B Short-Term Realized Gain					**0.00**				
	Box B Short-Term Realized Loss					**-1.72**				
	Box B Wash Sale Loss Disallowed						**0.00**			

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you this income is taxable and the IRS determines that it has not been reported.

ong-term transactions for which basis is reported to the IRS --report on Form 8949 with Box D checked and/or Schedule D, Part II

his Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc. (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4** Federal Income Tax Withheld	**13** State	**16** State Tax Withheld
I…RES 1-3 YEAR TREASURY BOND ETF, SHY, 464287457										
Sale	09/06/13	12/08/11	0.030	2.52	2.56	-0.04	0.02			
Sale	09/06/13	01/05/12	0.016	1.35	1.34	0.01				
Sale	09/06/13	02/07/12	0.091	7.66	7.68	-0.02				
Sale	09/06/13	03/07/12	0.103	8.67	8.66	0.01				
Sale	09/06/13	04/09/12	0.088	7.41	7.45	-0.04				
Sale	09/06/13	05/07/12	0.081	6.82	6.80	0.02				
Sale	09/06/13	06/07/12	0.081	6.82	6.86	-0.04				
Sale	09/06/13	07/09/12	0.076	6.40	6.43	-0.03				
Sale	09/06/13	08/07/12	0.076	6.40	6.39	0.01				
Cash In Lieu	09/11/13	12/08/11	0.016	1.35	1.36	-0.01				
Subtotals				**55.40**	**55.53**		**0.02**			
CLAYMORE EXCHANGE TRADED FD TR GUGGENHEI, GSY, 18383M654										
Sa'	09/06/13	02/02/12	205.000	10,262.12	10,235.24	26.88				
ISHARES BARCLAYS 1-3YEAR TREASURY BD FD, SHY, 464287457										
Sale	02/08/13	06/09/11	0.064	5.40	5.38	0.02				
Sale	02/08/13	08/05/11	0.069	5.82	5.83	-0.01				
Sale	02/08/13	01/04/12	0.112	9.45	9.49	-0.04				
Subtotals				**20.67**	**20.70**					
ISHARES FTSE EPRA/NAREIT GLOBAL REAL EST, IFGL, 464288489										
Sale	06/12/13	06/08/12	214.000	6,794.81	5,883.01	911.80				

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you this income is taxable and the IRS determines that it has not been reported.

FORM 1099-B* 2013 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient. OMB NO. 1545-0715

Long-term transactions for which basis is reported to the IRS --report on Form 8949 with Box D checked and/or Schedule D, Part II

This Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc. (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4 Federal Income Tax Withheld**	**13** State	**16** State Tax Withheld
PIMCO ETF TR ENHANCED SHORT MATURITY STR, MINT, 72201R833										
Sale	06/28/13	11/18/10	0.303	30 67	30.50	0.17				
Sale	06/28/13	11/22/10	0.274	27.73	27 79	-0.06	0.02			
Sale	06/28/13	03/06/12	0.101	10.22	10.20	0 02				
Sale	06/28/13	04/05/12	0 101	10 22	10.21	0 01				
Sale	06/28/13	05/04/12	0.096	9 72	9 71	0 01				
Sale	06/28/13	06/06/12	0 086	8 71	8 69	0 02				
Sale	09/06/13	11/22/10	0.061	6 17	6.21	-0.04	0.03			
Sale	09/06/13	11/29/10	0 061	6 17	6.21	-0.04				
Sale	09/06/13	07/06/12	0.091	9 21	9.21	0.00				
Sale	09/06/13	08/06/12	0.091	9.21	9.22	-0 01				
Cash In Lieu	09/11/13	11/22/10	0.046	4.66	4.69	-0.03				
Cash In Lieu	09/11/13	09/07/12	0.092	9.32	9.33	-0.01				
Subtotals				**142.01**	**141.97**		**0.05**			
POWERSHARES EXCH TRADED FD TST II S&P500, SPLV, 73937B779										
Sale	05/07/13	02/02/12	35.000	1,124.35	908.15	216.20				
Sale	10/03/13	02/02/12	61.000	1,882.68	1,582.77	299.91				
Subtotals				**3,007.03**	**2,490.92**					
SPDR SER TR-SPDR SPDR BARCLAYS SHORT TER, SCPB, 78464A474										
Sale	05/07/13	02/02/12	50.000	1,537.21	1,528.67	8.54				
Sale	09/06/13	02/02/12	139.000	4,238 73	4,249.72	-10.99				
Sale	10/09/13	10/03/12	185.000	5,646.32	5,714.09	-67 77				

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

ong-term transactions for which basis is reported to the IRS --report on Form 8949 with Box D checked and/or Schedule D, Part II

his Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc. (a)	3 Cost or Other Basis (b)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	16 State Tax Withheld
S. SER TR SPDR SPDR BARCLAYS SHORT TER, SCPB, 78464A474										
Subtotals				**11,422.26**	**11,492.48**					
VANGUARD BD INDEX FDINC TOTAL BD MARKET, BND, 921937835										
Sale	05/07/13	02/07/12	1.220	102.07	102.22	-0.15				
Sale	05/07/13	03/07/12	1.171	97.97	98.06	-0.09				
Sale	05/07/13	04/09/12	0.230	19.24	19.11	0.13				
Sale	05/07/13	04/09/12	0.230	19.24	19.11	0.13				
Sale	05/07/13	04/09/12	1.210	101.23	100.49	0 74				
Sale	06/25/13	11/07/11	0.962	76.94	80.72	-3.78				
Sale	06/25/13	05/07/12	1.143	91 42	95.94	-4 52				
Sale	06/25/13	06/07/12	1 119	89.50	94.10	-4 60	3.91			
Sale	09/06/13	06/13/11	0 868	68.94	71.04	-2 10				
Sale	09/06/13	06/07/12	0.950	75.45	82.00	-6.55	5.92			
Sale	09/06/13	07/09/12	1.071	85.06	90.62	-5 56				
S.	09/06/13	08/07/12	1.070	84.98	90.81	-5.83				
Sale	10/03/13	06/05/10	0.901	72.64	72 11	0.53				
Sale	10/03/13	06/07/12	0 858	69 18	75.13	-5.95				
Sale	10/03/13	09/10/12	1.053	84 90	89.32	-4 42	2.36			
Subtotals				**1,138.76**	**1,180.78**		**12.19**			
VANGUARD SPECIALIZEDPORTFOLIOS DIV APPRE, VIG, 921908844										
Sale	05/07/13	03/30/12	0.607	41.15	35 17	5.98				

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you this income is taxable and the IRS determines that it has not been reported.

ong-term transactions for which basis is not reported to the IRS --report on Form 8949 with Box E checked and/or Schedule D, Part II

his Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, 1d Stock or Other Symbol, CUSIP

Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (a)	3 Cost or Other Basis (b)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	16 State Tax Withheld
RES 1-3 YEAR TREASURY BOND ETF, SHY, 464287457										
Sale	09/06/13	04/08/10	71.358	6,005.00	5,941.76	63.24				
Cash In Lieu	09/11/13	04/08/10	0 558	46.96	46 46	0.50				
Subtotals				**6,051.96**	**5,988.22**					
ISHARES BARCLAYS TREAS INFLATION PROTECT, TIP, 464287176										
Sale	02/06/13	04/08/10	33.923	4,091.42	3,518.58	572.84				
Sale	02/06/13	10/07/11	0.243	29.31	28.06	1.25				
Sale	02/06/13	11/07/11	0.498	60.06	58.49	1.57				
Sale	02/06/13	12/07/11	0.336	40.52	39.21	1.31				
Subtotals				**4,221.31**	**3,644.34**					
ISHARES BARCLAYS 1-3YEAR TREASURY BD FD, SHY, 464287457										
Sale	02/06/13	04/08/10	50 343	4,247 44	4,191.90	55.54				
Sale	02/06/13	10/07/11	0 142	11.98	11.97	0.01				
S[illegible]	02/06/13	11/07/11	0 140	11 81	11.80	0.01				
S[illegible]	02/06/13	12/07/11	0.130	10.97	11.01	-0.04	0 01			
Subtotals				**4,282.20**	**4,226.68**		**0.01**			
ISHARES BARCLAYS 7-10 YEAR TREASURY BOND, IEF, 464287440										
Sale	01/04/13	05/31/11	8.000	851 06	773.36	77.70				
ISHARES GOLD TRUST ISHARES ISIN #US46428, IAU, 464285105										
Principal	10/31/13	10/03/12	0.000	0.56	0.77	-0.21				
Principal	11/30/13	10/03/12	0 000	0 53	0.76	-0.23				
Principal	12/31/13	10/03/12	0.000	0.53	0.77	-0.24				

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you this income is taxable and the IRS determines that it has not been reported.

FORM 1099-B* **2013 Proceeds from Broker and Barter Exchange Transactions** Copy B for Recipient OMB NO. 1545-0715

Long-term transactions for which basis is reported to the IRS --report on Form 8949 with Box D checked and/or Schedule D, Part II
This Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc. (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4 Federal Income Tax Withheld**	**13** State	**16** State Tax Withheld
TOTALS				**32,884.21**	**31,535.80**			**0.00**		
		Box D Long-Term Realized Gain				**1,471.14**				
		Box D Long-Term Realized Loss				**-122.73**				
		Box D Wash Sale Loss Disallowed					**12.26**			

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you this income is taxable and the IRS determines that it has not been reported.

ɔng-term transactions for which basis **is not reported** to the IRS --report on Form 8949 with Box E checked and/or Schedule D, Part II

ıis Label is a Substitute for Boxes **1c & 6**)

(IRS Form 1099-B box numbers are shown below in **bold type**)

ǀ Description, **1d** Stock or Other Symbol, CUSIP

ʌction	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4** Federal Income Tax Withheld	**13** State	**16** State Tax Withheld
:RSHARES QQQ TR UNIT SER 1, QQQ, 73935A104										
ʒale	02/06/13	10/05/11	60 000	4,029 19	3,181 92	847.27				
ʒPDR GOLD TR GOLD SHS, GLD, 78463V107										
ᵓrincipal	01/07/13	04/08/10	0.000	2.10	1.47	0.63				
ʒale	02/20/13	04/08/10	21.000	3,199 62	2,336.20	863.42				
ᵓrincipal	02/21/13	04/08/10	0.000	1.03	0.75	0.28				
ᵓrincipal	03/12/13	04/08/10	0.000	1.00	0.72	0.28				
ᵓrincipal	04/09/13	04/08/10	0.000	1.03	0.75	0.28				
ᵓrincipal	05/10/13	04/08/10	0.000	1.06	0.85	0.21				
ᵓrincipal	06/17/13	04/08/10	0 000	0.93	0.77	0 16				
ᵓrincipal	07/10/13	04/08/10	0.000	0 91	0.83	0.08				
ᵓrincipal	08/15/13	04/08/10	0.000	0.84	0.73	0 11				
ʒale	09/06/13	04/08/10	19.000	2,548.08	2,108.31	439.77				
ʒı ᵗals				**5,756.60**	**4,451.38**					
ʒPDR SER TR SPDR SPDR BARCLAYS SHORT TER, SCPB, 78464A474										
ʒale	09/06/13	02/01/11	482.000	14,698.33	14,667.26	31.07				
VANGUARD BD INDEX FDINC TOTAL BD MARKET, BND, 921937835										
Sale	05/07/13	05/31/11	9.899	828 19	809.63	18.56				
Sale	05/07/13	08/05/11	1 380	115.45	114.51	0.94				
Sale	05/07/13	09/08/11	1.323	110 69	110 78	-0.09				
Sale	05/07/13	10/07/11	1.337	111.86	111.38	0.48				
Sale	05/07/13	11/07/11	1.239	103.66	103.89	-0.23	0 18			

Ꞁhis is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you this income is taxable and the IRS determines that it has not been reported.

:ORM 1099-B* **2013 Proceeds from Broker and Barter Exchange Transactions** Copy B for Recipient OMB NO. 1545-0715

.ong-term transactions for which basis is not reported to the IRS --report on Form 8949 with Box E checked and/or Schedule D, Part II
This Label is a Substitute for Boxes **1c & 6**)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4 Federal Income Tax Withheld**	**13** State	**16** State Ta Withhel
ISHARES GOLD TRUST ISHARES ISIN #US46428, IAU, 464285105										
Subtotals				**1.62**	**2.30**					
PIMCO ETF TR ENHANCED SHORT MATURITY STR, MINT, 72201R833										
Sale	06/28/13	06/02/10	8 392	849.48	840.63	8 85				
Sale	06/28/13	09/07/10	0 085	8.60	8 53	0 07				
Sale	06/28/13	12/14/10	0 394	39 88	39 62	0 26				
Sale	06/28/13	01/04/11	0.094	9 51	9 43	0 08				
Sale	06/28/13	02/04/11	0.260	26.32	26.22	0.10				
Sale	06/28/13	03/04/11	0.224	22 67	22.62	0.05				
Sale	06/28/13	04/06/11	0 198	20 04	19 95	0.09				
Sale	06/28/13	05/05/11	0.294	29 76	29.75	0 01				
Sale	06/28/13	06/06/11	0.268	27.13	27.10	0.03				
Sale	06/28/13	07/07/11	0.200	20.24	20.24	0.00				
	06/28/13	08/04/11	0 134	13.56	13 55	0.01				
Sale	06/28/13	09/07/11	0.236	23.89	23.82	0.07				
Sale	06/28/13	11/04/11	0 260	26.32	26 10	0.22				
Sale	09/06/13	06/02/10	89.608	9,069.06	8,976.03	93.03				
Sale	09/06/13	07/06/10	0 056	5 67	5.58	0 09				
Sale	09/06/13	10/06/11	0 224	22 67	22.40	0.27				
Sale	09/06/13	12/06/11	0 289	29.25	28.92	0.33				
Sale	09/06/13	12/13/11	0 174	17 61	17 38	0.23				
Subtotals				**10,261.66**	**10,157.87**					

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you this income is taxable and the IRS determines that it has not been reported.

FORM 1099-B* **2013 Proceeds from Broker and Barter Exchange Transactions** Copy B for Recipient OMB NO. 1545-0715

Long-term transactions for which basis __is not reported__ to the IRS --report on Form 8949 with Box E checked and/or Schedule D, Part II

This Label is a Substitute for Boxes **1c & 6**)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc. (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4** Federal Income Tax Withheld	**13** State	**15** State Tax Withheld
VANGUARD BD INDEX FDINC TOTAL BD MARKET, BND, 921937835										
Sale	05/07/13	12/07/11	1.210	101.23	100.85	0.38				
Sale	05/07/13	12/30/11	0.905	75 71	75.55	0.16				
Sale	05/07/13	12/30/11	1 246	104.24	103.95	0 29				
Sale	05/07/13	12/30/11	1 400	117 13	116.81	0.32				
Sale	06/25/13	05/31/11	55 776	4,460 86	4,561 87	-101 01	1 57			
Sale	09/06/13	04/08/10	4 144	329 13	327 07	2 06				
Sale	09/06/13	05/07/10	0 752	59 73	60.08	-0.35	0 35			
Sale	09/06/13	06/02/10	85 857	6,819 07	6,894.03	-74.96	0.13			
Sale	09/06/13	12/07/10	1.000	79.42	81 42	-2 00				
Sale	09/06/13	12/31/10	0.563	44 72	44.97	-0.25				
Sale	09/06/13	12/31/10	1 093	86.81	87.33	-0 52				
Sale	09/06/13	12/31/10	1 055	83.79	84 29	-0.50				
	09/06/13	02/01/11	33 000	2,620 98	2,640.46	-19 48				
Sale	09/06/13	02/07/11	1 062	84.35	84.28	0.07				
Sale	09/06/13	03/07/11	1 082	85 94	86 38	-0.44				
Sale	09/06/13	04/07/11	1 170	92 93	93.28	-0.35				
Sale	09/06/13	05/06/11	1 128	89 59	91.39	-1 80				
Sale	09/06/13	05/31/11	17.334	1,376 73	1,417.73	-41 00				
Sale	09/06/13	06/07/11	1 415	112 38	115.50	-3.12				
Sale	09/06/13	07/08/11	1 386	110 08	112 35	-2.27				
Sale	10/03/13	04/08/10	45 188	3,643.54	3,566 47	77 07				
Subtotals				**21,848.21**	**21,896.25**		**2.23**			

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

ong-term transactions for which basis is not reported to the IRS --report on Form 8949 with Box E checked and/or Schedule D, Part II

'his Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc. (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4** Federal Income Tax Withheld	**13** State	**15** State Tax Withheld
V UARD INDEX FDS VANGUARD REIT ETF FOR, VNQ, 922908553										
Sale	02/06/13	09/15/10	6.000	409.86	311.24	98.62				
Sale	02/06/13	02/01/11	59.000	4,030.29	3,289.40	740.89				
Sale	05/07/13	09/15/10	20.000	1,522.86	1,034.29	488.57				
Sale	06/25/13	09/15/10	45.000	2,952.57	2,318.64	633.93				
Sale	10/03/13	09/15/10	28.000	1,842.08	1,437.53	404.55				
Subtotals				**10,757.66**	**8,391.10**					
VANGUARD INDEX FDS VANGUARD TOTAL STK MK, VTI, 922908769										
Sale	01/04/13	02/01/11	12.000	905.07	809.28	95.79				
Sale	05/07/13	02/01/11	10.000	837.13	674.40	162.73				
Subtotals				**1,742.20**	**1,483.68**					
VANGUARD SPECIALIZEDPORTFOLIOS DIV APPRE, VIG, 921908844										
Sale	05/07/13	06/02/10	10.618	719.83	490.67	229.16				
S	05/07/13	12/28/11	0.775	52.54	42.67	9.87				
Subtotals				**772.37**	**533.34**					
TOTALS				**85,274.37**	**79,497.70**			**0.00**		
	Box E Long-Term Realized Gain					**6,025.76**				
	Box E Long-Term Realized Loss					**-249.09**				
	Box E Wash Sale Loss Disallowed						**2.24**			

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you this income is taxable and the IRS determines that it has not been reported.

Rimerman Family Foundation U/A Dated 2/28/97
Contributions Paid
2013

Payee	Description	Amount
Adult Retardates Center Inc.	Cash	$ 500.00
American Jewish World Service	Cash	500.00
Beth Israel Foundation, Inc.	Cash	3,000.00
Citizens United for Research in Epilepsy	Cash	1,000.00
Epilepsy Foundation of Eastern Pennsylvania	Cash	500.00
Family Ties of Westchester	Cash	2,000.00
Fidelity Charitable Gift Fund	Cash	1,000.00
The Film Society of Lincoln Center	Cash	50,000.00
Fox Valley Jewish Neighbors	Cash	2,000.00
Francisvale Home for Smaller Animals	Cash	500.00
Fractured Atlas, Medea at Le Petit Versillis	Cash	8,000.00
Friends of Rodeph Sholom School	Cash	1,000.00
The Inn (Interfaith Nutrition Network)	Cash	500.00
Jewish Federation of Greater Philadelphia	Cash	500.00
Maternity Care Coalition	Cash	500.00
National Walk for Epilepsy	Cash	1,000.00
Partnership for Transparency Fund	Cash	1,000.00
Pediatric Epilepsy Center	Cash	10,000.00
Penn Future	Cash	1,000.00
Peters Place	Cash	500.00
Philabundance	Cash	500.00
Planned Parenhood Federation of America Inc.	Cash	1,500.00
St. Peter Community Food Pantry	Cash	1,500.00
Steel Beam Theatre	Cash	500.00
Syracuse University	Cash	5,000.00
Visiting Nurse Service of New York	Cash	5,000.00
Where There's A Will There's A Cure	Cash	2,000.00
Woodlynde School	Cash	1,000.00
		$102,000.00

Rimerman Family Foundation u/a Dated 02/28/97
EIN: 11-6484281
2013

Security	Shares	Cost Basis	Market Values
Stock			
Alliancebernstein Income Fd Inc.	1244.000	9,967.55	8,869.72
Alpine Global Infrastructure	3200.768	50,632.28	60,526.52
Apollo Tactical Income Fd Inc.	193.000	3,319.30	3,474.00
Blackrock Credit All Inc Tr IV	1005.000	12,855.82	13,125.30
Blackrock Enhanced Equity Divid Tr	959.201	6,883.77	7,616.05
Blackrock Global Opportunities Equity Tr	126.000	1,636.72	1,884.96
CITIGROUP INC	703.000	297,018.40	36,633.33
Doubleline Income Solutions Fd	159.000	3,188.02	3,353.31
Doubleline Total Return Bond Fd	4898.753	54,954.99	52,808.55
Eaton Vance Global Macro Abslte	6255.237	62,048.66	58,924.33
Eaton Vance Ltd. Duration Income Fd	433.000	6,409.15	6,624.90
Eaton Vance Risk Managed Diversified Equity Income Fd	822.743	8,383.78	9,272.31
Eaton Vance Tax Managed Diversified Equity Income FD	1137.039	9,957.11	12,416.46
EGA Emerging Global Shs Tr	111.000	2,847.15	2,983.68
GDL Fd Cumulative Pfd Ser B	41.000	2,050.00	2,058.61
Gabelli Global Utility & Income Tr	227.000	11,633.21	11,497.55
Ishares Gold Trust	563.000	8,405.23	6,575.84
Ishares MSCI United Kingdom ETF	295.000	5,592.83	6,159.60
Ishares MSCI Germany ETF	437.000	10,952.74	13,879.12
Ishares Russell 2000 ETF	111.000	11,196.36	12,804.96
Ishares Tips Bond ETF	51.021	5,350.57	5,607.20
Ishares 7-10 Year Treasury Bond ETF	57.000	5,510.19	5,656.68
Ishares Trust AAA A Rated Corp Bond	77.000	4,034.33	3,833.83
Kayne Anderson MLP Invt C o.	291.000	7,275.00	7,343.09
Liberty All-Star Equity Fd	372.000	1,573.20	2,220.84
Mainstay Epoch Global Equity Yld	13191.480	203,904.98	257,233.86
MFS Charter Income Trust Sh Ben Int	368.000	3,220.84	3,330.40
MFS Gov't Mkts Income Tr Sh Ben Int	194.000	1,198.88	1,111.62
Nexpoint Cr Strategies Fund	233.000	1,713.16	2,194.86
Nuveen Equity Prem. Advantage Closed End Fd	306.387	3,356.70	3,872.73
Nuveen Equity Prem. & Growth Fd.	360.892	4,485.56	5,095.79
Pimco Commodity Real Return Inst.	5015.351	43,141.22	27,534.27
Pimco ETF Tr 0-5 Yr High Yld Corp Bd	73.000	7,610.98	7,764.28
Powershares DB Commodity Index Tracking Fd	284.000	7,046.93	7,287.44
Powershares Exch Traded Fd TST II S&P 500	185.000	5,408.75	6,134.60
Powershares QQQ Tr	147.000	10,220.77	12,930.12
Putnam Premier Inc Tr Sh Ben Int	886.000	4,735.95	4,819.84
Royce Value Tr Inc	698.000	8,927.54	11,174.98

Rimerman Family Foundation u/a Dated 02/28/97
EIN: 11-6484281
2013

Security	Shares	Cost Basis	Market Values
SPDR S&P 500 ETF Trust	-317.000	(55,835.40)	-58,546.73
SPDR S&P 500 ETF Trust Unit Ser 1	68.000	10,888.21	12,558.92
SPDR Ser Tr	311.000	9,572.56	9,544.59
Special Opportunities Fd Com	613.000	8,341.88	10,699.91
Special Opportunities Fd Pfd	57.000	2,850.00	3,522.60
Tortoise Energy Infrastructure	2609.972	82,770.78	124,417.36
Tri Continental Corp.	616.000	9,062.10	12,307.68
Van Eck Unconstrain Emerging Mrkts Bond	10355.532	93,984.14	88,850.46
Vanguard Bd Index Fd Inc Total Bd	217.000	17,185.57	17,370.85
Vanguard FTSE Developed Market ETF	194.000	7,472.03	8,085.92
Vanguard Index Fds Vanguard REIT	57.000	2,925.84	3,679.92
Vanguard Index Fds Vanguard Total Stk	87.000	6,189.08	8,345.04
Vanguard Intl Equity Index Fds FTSE Emerging Mkts	72.000	2,855.16	2,962.08
Vanguard Intl Equity Index Fds Global	68.000	3,855.18	3,704.64
Vanguard Specialized Portfolios Div Appreciation Index	195.583	12,117.74	14,715.66
Wisdom Tree Tr Brazilian Real Fd	311.000	5,725.32	5,380.30
Wisdom Tree Tr Japan Hedge Eqt	247.000	10,239.69	12,557.48
Wisdom Tree Asia Local Debt Fd	120.000	5,704.20	5,737.20
Zweig Total Return Fund Inc.	1000.000	12,266.53	13,940.00
TOTAL Stock		1,156,819.23	1,006,469.41

STATE OF NEW YORK
County of New York, *s:*

THE ANNUAL RETURN OF THE RIMERMAN FAMILY FOUNDATION U/A DTD. 2/28/97 FOUNDATION For the CALENDAR year ended DECEMBER 31, 2013 is available at its principal office located at 196 SANDS POINT ROAD, SANDS POINT, NY 11050 for inspection during regular business hours by any citizen who requests it within 180 days hereof. Principal Manager of the Foundation is IRA RIMERMAN.
2253625 m20

Linda Su, being duly sworn, says that she is the PRINCIPAL CLERK of the Publisher of the **NEW YORK LAW JOURNAL**, a Daily Newspaper; that the Advertisement hereto annexed has been published in the said **NEW YORK LAW JOURNAL** *one time* on the 20thday of March, *2014*.

TO WIT : MARCH 20, 2014

SWORN BEFORE ME, this *20th* day
Of March, 2014.

Cynthia Byrd

Cynthia Byrd
Notary Public, State of New York
No. 01BY6056945
Qualified in Kings County
Commission Expires April 09, 2015