Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation: THE IRVING SIEGEL CHARITABLE FOUNDATION

A Employer identification number: 11-6465350

Number and street (or P O box number if mail is not delivered to street address): C/O STAKS ENTERPRISE INC. 326 BROADWAY

Room/suite

B Telephone number (see instructions): (516) 433-6450

City or town, state or province, country, and ZIP or foreign postal code: BETHPAGE, NY 11714-3021

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 433,462.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) ________ (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	11,464.	11,464.		ATCH 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	35,578.			
	b Gross sales price for all assets on line 6a 132,071.				
	7 Capital gain net income (from Part IV, line 2)		35,578.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) ATCH 2	92.	92.		
	12 **Total.** Add lines 1 through 11	47,134.	47,134.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) *	1,731.	866.		865.
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 4	310.	75.		235.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	2,041.	941.		1,100.
	25 Contributions, gifts, grants paid	71,750.			71,750.
	26 Total expenses and disbursements Add lines 24 and 25	73,791.	941.		72,850.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-26,657.			
	b **Net investment income** (if negative, enter -0-)		46,193.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash - non-interest-bearing	16,393.	14,425.	14,425.
	2	Savings and temporary cash investments	22,769.	6,573.	6,573.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 5	358,443.	349,950.	412,464.
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	397,605.	370,948.	433,462.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	397,605.	370,948.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	397,605.	370,948.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	397,605.	370,948.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	397,605.
2	Enter amount from Part I, line 27a	2	-26,657.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	370,948.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	370,948.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7	2	35,578.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	80,230.	443,000.	0.181106
2011	44,812.	498,762.	0.089846
2010	28,785.	515,075.	0.055885
2009	22,818.	410,422.	0.055596
2008	38,987.	524,543.	0.074326

2	**Total** of line 1, column (d)	2	0.456759
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.091352
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	429,413.
5	Multiply line 4 by line 3	5	39,228.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	462.
7	Add lines 5 and 6	7	39,690.
8	Enter qualifying distributions from Part XII, line 4	8	72,850.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ______________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	462.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	462.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	462.
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	1,000.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	1,001.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	539.
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 539. **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c	N/A	
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation.*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ SHELDON DANUFF Telephone no ▶ (516)433-6450

Located at ▶ C/O STAKS ENT., INC.- 326 BROADWAY BETHPAGE, NY ZIP+4 ▶ 11714-3021

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ▶			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** X (No)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 6		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	408,994.
b	Average of monthly cash balances	1b	26,958.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	435,952.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	435,952.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	6,539.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	429,413.
6	**Minimum investment return.** Enter 5% of line 5	6	21,471.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	21,471.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	462.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	462.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	21,009.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	21,009.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	21,009.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	72,850.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	72,850.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	462.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	72,388.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					21,009.
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only					
b Total for prior years 20 11 ,20 10 ,20 09					
3 Excess distributions carryover, if any, to 2013					
a From 2008	13,254.				
b From 2009	2,570.				
c From 2010	3,254.				
d From 2011	20,086.				
e From 2012	58,380.				
f Total of lines 3a through e		97,544.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 72,850.					
a Applied to 2012, but not more than line 2a					
b Applied to undistributed income of prior years (Election required - see instructions)					
c Treated as distributions out of corpus (Election required - see instructions)					
d Applied to 2013 distributable amount					21,009.
e Remaining amount distributed out of corpus		51,841.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		149,385.			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount - see instructions					
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions					
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		13,254.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		136,131.			
10 Analysis of line 9					
a Excess from 2009	2,570.				
b Excess from 2010	3,254.				
c Excess from 2011	20,086.				
d Excess from 2012	58,380.				
e Excess from 2013	51,841.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

IRVING SIEGEL

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 7

b The form in which applications should be submitted and information and materials they should include

RESUME, BUDGET AND DESCRIPTION OF PROGRAM INCLUDING MISSION STATEMENT

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 8				
Total . . . . ▶ **3a**				71,750.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	11,464.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	35,578.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 9				92.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				47,134.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . . 13 47,134.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ [signature] 10/29/14 ▶ Trustee

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MARLA ESAN	[signature]	10/29/14		P00367254
Firm's name ▶ MARCUM LLP			Firm's EIN ▶ 11-1986323	
Firm's address ▶ 10 MELVILLE PARK RD MELVILLE, NY 11747			Phone no 631 414-4000	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS					634.	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					2,476.	
		GS AC# 25949 ST GAIN/LOSS - SEE ATT B-1					VAR	VAR
28,596.		25,000.					3,596.	
		GS AC# 25949 LT GAIN/LOSS - SEE ATT B-1					VAR	VAR
56,465.		43,501.					12,964.	
		GS AC# 609957 LT GAIN/LOSS - SEE ATT B-2					VAR	VAR
43,900.		27,992.					15,908.	
TOTAL GAIN(LOSS)							35,578.	

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDEND INCOME: GS		11,452.	11,452.
INTEREST INCOME: GS		12.	12.
	TOTAL	11,464.	11,464.

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
MISCELLANEOUS INCOME	92.	92.
TOTALS	92.	92.

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
ADVISORY FEES		1,731.	866.	865.
	TOTALS	1,731.	866.	865.

ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
BANK CHARGES	75.	75.	
NY ANNUAL FILING FEES	100.		100.
PUBLICATION EXPENSE	135.		135.
TOTALS	310.	75.	235.

ATTACHMENT 5

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
GS HIGH YIELD BOND FUND- CL A	120,020.	120,020.	86,700.
GS INTL SMALL CAP FUND	123,927.	98,899.	157,502.
GS REAL ESTATE CL A	8,086.	8,381.	16,466.
GS LARGE CAP CORE	106,410.	122,650.	151,796.
TOTALS	358,443.	349,950.	412,464.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 6

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION
IRVING SIEGEL (DEC'D) C/O STAKS ENTERPRISE INC. 326 BROADWAY BETHPAGE, NY 11714-3021	TRUSTEE 2.00
DIANE SIEGEL C/O STAKS ENTERPRISE INC. 326 BROADWAY BETHPAGE, NY 11714-3021	TRUSTEE 2.00
JOEL ZYCHICK C/O STAKS ENTERPRISE INC. 326 BROADWAY BETHPAGE, NY 11714-3021	TRUSTEE 2.00

ATTACHMENT 7

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

DIANE SIEGEL, C/O STAKS ENT. INC
326 BROADWAY, BETHPAGE NY 11714

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 8

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SEE ATTACHED SCHEDULE	NONE 501(C)(3)	SEE SCHEDULE ATTACHED	71,750.
		TOTAL CONTRIBUTIONS PAID	71,750.

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 9

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
MISCELLANEOUS INCOME				92.	
TOTALS				92.	

SCHEDULE D (Form 1041)
Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092
2013

Name of estate or trust: THE IRVING SIEGEL CHARITABLE FOUNDATION
Employer identification number: 11-6465350

Note: *Form 5227 filers need to complete **only** Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked	28,596.	25,000.		3,596.
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked				

SHORT-TERM CAPITAL GAIN DIVIDENDS ATTACHMENT 1		634.
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	
6 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet	6	( )
7 **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back ▶	7	4,230.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked				
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked	100,365.	71,493.		28,872.
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked				

LONG-TERM CAPITAL GAIN DIVIDENDS ATTACHMENT 2		2,476.
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	12	
13 Capital gain distributions	13	
14 Gain from Form 4797, Part I	14	
15 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet	15	( )
16 **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back ▶	16	31,348.

Part III Summary of Parts I and II

Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
17	**Net short-term gain or (loss)**	17			4,230.
18	**Net long-term gain or (loss):**				
a	Total for year	18a			31,348.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b			
c	28% rate gain	18c			
19	**Total net gain or (loss).** Combine lines 17 and 18a ▶	19			35,578.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary*

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of.
a The loss on line 19, column (3) **or** b $3,000 . . . 20 ( )

Note: *If the loss on line 19, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if*

- *Either line 18b, col (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			21			
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22					
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23					
24	Add lines 22 and 23	24					
25	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	25					
26	Subtract line 25 from line 24 If zero or less, enter -0-			26			
27	Subtract line 26 from line 21 If zero or less, enter -0-			27			
28	Enter the **smaller** of the amount on line 21 or $2,450			28			
29	Enter the **smaller** of the amount on line 27 or line 28			29			
30	Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at 0% ▶					30	
31	Enter the smaller of line 21 or line 26			31			
32	Subtract line 30 from line 26			32			
33	Enter the **smaller** of line 21 or $11,950			33			
34	Add lines 27 and 30			34			
35	Subtract line 34 from line 33 If zero or less, enter -0-			35			
36	Enter the **smaller** of line 32 or line 35			36			
37	Multiply line 36 by 15% ▶					37	
38	Enter the amount from line 31			38			
39	Add lines 30 and 36			39			
40	Subtract line 39 from line 38 If zero or less, enter -0-			40			
41	Multiply line 40 by 20% ▶					41	
42	Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			42			
43	Add lines 37, 41, and 42			43			
44	Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			44			
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶					45	

Form **8949**

Department of the Treasury Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949.*

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
THE IRVING SIEGEL CHARITABLE FOUNDATION	11-6465350

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

- [X] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- [ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- [ ] **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
GS AC# 25949 ST GAIN/LOSS - SEE AT	VAR	VAR	28,596.	25,000.			3,596.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			28,596.	25,000.			3,596.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	Social security number or taxpayer identification number
THE IRVING SIEGEL CHARITABLE FOUNDATION	11-6465350

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☒ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
GS AC# 25949 LT GAIN/LOSS - SEE ATT	VAR	VAR	56,465.	43,501.			12,964.
GS AC# 609957 LT GAIN/LOSS - SEE ATT	VAR	VAR	43,900.	27,992.			15,908.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			100,365.	71,493.			28,872.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

JSA
3X2616 2 000

FEDERAL CAPITAL GAIN DIVIDENDS

SHORT-TERM CAPITAL GAIN DIVIDENDS

ATTACHMENT 1

GS AC# 60995	634.	
TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS		634.

LONG-TERM CAPITAL GAIN DIVIDENDS

ATTACHMENT 2

15% RATE CAPITAL GAIN DIVIDENDS		
GS AC# 60995	2,476.	
TOTAL 15% RATE CAPITAL GAIN DIVIDENDS		2,476.
TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS		2,476.

THE IRVING SIEGEL CHARITABLE FOUNDATION
SCHEDULE OF REALIZED GAINS/LOSSES
FOR THE YEAR ENDED 12/31/2013
EIN: 11-6465350

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
GS INTERNATIONAL SMALL CAP FUND INSTITUTIONAL SHARES	26-Mar-04	28-Jun-13	364.277	6,200.00	(4,411.07)	1,788.93
GS INTERNATIONAL SMALL CAP FUND INSTITUTIONAL SHARES	26-Mar-04	26-Sep-13	1,037.34	20,000.00	(12,561.40)	7,438.60
GS INTERNATIONAL SMALL CAP FUND INSTITUTIONAL SHARES	26-Mar-04	13-Nov-13	910.026	17,700.00	(11,019.66)	6,680.34
TOTAL LONG TERM REALIZED GAINS				43,900.00	(27,992.13)	15,907.87

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THE IRVING SIEGEL CHARITABLE FOUNDATION
SCHEDULE OF REALIZED GAINS/LOSSES
FOR THE YEAR ENDED DECEMBER 31 2013

ACCT 5949

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss	
NEWELL RUBBERMAID INC CMN	08/05/11	01/02/13	11 00	248 85	144 71	104 14	Long-Term
LEXMARK INTERNATIONAL INC CMN CLASS A	07/26/11	01/04/13	7 00	183 56	238 27	(54 71)	Long-Term
AMERIPRISE FINANCIAL, INC CMN	04/15/10	01/08/13	9 00	576 24	432 36	143 88	Long-Term
NEWELL RUBBERMAID INC CMN	08/05/11	01/08/13	3 00	66 55	39 47	27 08	Long-Term
NEWELL RUBBERMAID INC CMN	11/04/11	01/08/13	24 00	532 35	380 33	152 02	Long-Term
LEAR CORPORATION CMN	06/07/11	01/10/13	2 00	96 02	100 04	(4 02)	Long-Term
LEAR CORPORATION CMN	04/08/11	01/10/13	1 00	48 01	48 06	(0 05)	Long-Term
LEAR CORPORATION CMN	04/08/11	01/10/13	2 00	96 01	95 43	0 58	Long-Term
HALLIBURTON COMPANY CMN	04/15/10	01/14/13	6 00	216 07	194 52	21 55	Long-Term
LEAR CORPORATION CMN	06/07/11	01/15/13	2 00	96 31	100 04	(3 73)	Long-Term
LEAR CORPORATION CMN	08/08/11	01/15/13	6 00	288 93	244 48	44 45	Long-Term
COMCAST CORPORATION CMN CLASS A NON VOTIN	04/15/10	01/16/13	7 00	263 99	125 86	138 13	Long-Term
LOWES COMPANIES INC CMN	04/08/11	01/18/13	2 00	73 51	53 62	19 89	Long-Term
LOWES COMPANIES INC CMN	01/20/11	01/18/13	1 00	36 75	25 16	11 59	Long-Term
TEXAS INSTRUMENTS INC CMN	03/21/11	01/18/13	1 00	33 31	33 73	(0 42)	Long-Term
CORRECTIONS CORP OF AMERICA CMN	05/16/11	01/18/13	1 00	37 63	23 64	13 99	Long-Term
LOWES COMPANIES INC CMN	02/09/11	01/18/13	6 00	220 54	148 02	72 52	Long-Term
TEXAS INSTRUMENTS INC CMN	02/16/11	01/18/13	12 00	399 68	431 48	(31 80)	Long-Term
CORRECTIONS CORP OF AMERICA CMN	05/10/11	01/18/13	7 00	263 39	179 53	83 86	Long-Term
LOWES COMPANIES INC CMN	04/08/11	01/28/13	4 00	153 27	107 25	46 02	Long-Term
AMERIPRISE FINANCIAL, INC CMN	06/03/10	01/30/13	2 00	132 80	80 49	52 31	Long-Term
AMERIPRISE FINANCIAL, INC CMN	04/15/10	01/30/13	2 00	132 80	96 08	36 72	Long-Term
CORRECTIONS CORP OF AMERICA CMN	05/16/11	02/01/13	6 00	229 96	141 81	88 15	Long-Term
LEAR CORPORATION CMN	12/13/11	02/04/13	6 00	296 82	228 53	68 29	Long-Term
LEAR CORPORATION CMN	10/28/11	02/04/13	7 00	346 29	330 49	15 80	Long-Term
DEVON ENERGY CORPORATION (NEW) CMN	08/13/10	02/11/13	3 00	178 51	191 29	(12 78)	Long-Term
CISCO SYSTEMS, INC CMN	04/15/10	02/12/13	28 00	588 10	757 96	(169 86)	Long-Term
AMERIPRISE FINANCIAL, INC CMN	06/03/10	02/13/13	2 00	135 14	80 49	54 65	Long-Term
CVS HEALTH CORP CMN	10/13/11	02/13/13	2 00	102 00	69 22	32 78	Long-Term
CVS HEALTH CORP CMN	09/07/11	02/13/13	7 00	356 99	256 73	100 26	Long-Term
LEXMARK INTERNATIONAL INC CMN CLASS A	07/26/11	02/21/13	7 00	156 62	238 27	(81 65)	Long-Term
LEXMARK INTERNATIONAL INC CMN CLASS A	10/25/11	02/21/13	5 00	111 87	147 27	(35 40)	Long-Term
LEXMARK INTERNATIONAL INC CMN CLASS A	08/03/11	02/21/13	3 00	67 12	101 73	(34 61)	Long-Term
COMCAST CORPORATION CMN CLASS A NON VOTIN	04/15/10	02/27/13	42 00	1,616 98	755 16	861 82	Long-Term
INTL BUSINESS MACHINES CORP CMN	04/15/10	02/28/13	3 00	604 57	391 74	212 83	Long-Term
CITIGROUP INC CMN	01/20/12	02/28/13	5 00	211 10	145 62	65 48	Long-Term
INTEL CORPORATION CMN	05/18/11	03/01/13	9 00	189 08	214 36	(25 28)	Long-Term
THE TRAVELERS COMPANIES, INC CMN	04/15/10	03/01/13	2 00	160 83	104 72	56 11	Long-Term
CONOCOPHILLIPS CMN	04/23/10	03/01/13	7 00	404 85	308 68	96 17	Long-Term
CONOCOPHILLIPS CMN	04/15/10	03/01/13	26 00	1,503 73	1,146 08	357 65	Long-Term
INTEL CORPORATION CMN	02/03/11	03/01/13	8 00	168 07	172 88	(4 81)	Long-Term
INTEL CORPORATION CMN	10/20/11	03/01/13	9 00	189 08	212 60	(23 52)	Long-Term
CONOCOPHILLIPS CMN	07/19/11	03/01/13	3 00	173 51	174 26	(0 75)	Long-Term

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CONOCOPHILLIPS CMN	06/02/10	03/01/13	4 00	231 35	160 86	70 49	Long-Term
MERCK & CO , INC CMN	04/23/10	03/04/13	14 00	604 04	476 92	127 12	Long-Term
MERCK & CO , INC CMN	02/11/11	03/04/13	8 00	345 17	264 38	80 79	Long-Term
MERCK & CO , INC CMN	04/15/10	03/04/13	4 00	172 58	145 88	26 70	Long-Term
COMCAST CORPORATION CMN CLASS A NON VOTIN	04/15/10	03/14/13	23 00	894 39	413 54	480 85	Long-Term
BOEING COMPANY CMN	08/09/11	03/19/13	3 00	256 51	182 73	73 78	Long-Term
AUTOZONE, INC CMN	04/15/10	03/21/13	1 00	392 03	179 54	212 49	Long-Term
PARKER-HANNIFIN CORP CMN	02/23/12	03/27/13	6 00	535 34	539 86	(4 52)	Long-Term
BAXTER INTERNATIONAL INC CMN	03/16/11	04/03/13	7 00	500 61	354 02	146 59	Long-Term
WAL MART STORES INC CMN	04/15/10	04/03/13	6 00	458 70	324 54	134 16	Long-Term
INTL BUSINESS MACHINES CORP CMN	04/15/10	04/03/13	1 00	213 42	130 58	82 84	Long-Term
BOEING COMPANY CMN	08/09/11	04/08/13	1 00	85 75	60 91	24 84	Long-Term
HONEYWELL INTL INC CMN	04/15/10	04/08/13	4 00	292 31	185 64	106 67	Long-Term
BOEING COMPANY CMN	08/25/11	04/08/13	2 00	171 51	122 15	49 36	Long-Term
WAL MART STORES INC CMN	04/15/10	04/08/13	2 00	152.65	108 18	44 47	Long-Term
HALLIBURTON COMPANY CMN	04/15/10	04/08/13	7 00	268 03	226 94	41 09	Long-Term
WAL MART STORES INC CMN	05/18/10	04/11/13	7 00	545 62	380 35	165 27	Long-Term
WAL MART STORES INC CMN	04/15/10	04/11/13	5 00	389 73	270 45	119 28	Long-Term
VIACOM INC CMN CLASS B	03/03/11	04/16/13	12 00	789 39	552 02	237 37	Long-Term
VIACOM INC CMN CLASS B	08/03/11	04/16/13	4 00	263 13	186 09	77 04	Long-Term
CVS HEALTH CORP CMN	10/13/11	04/17/13	15 00	857 32	519 16	338 16	Long-Term
E I DU PONT DE NEMOURS AND CO CMN	03/07/12	04/19/13	22 00	1,076 77	1,104 40	(27 63)	Long-Term
THE TRAVELERS COMPANIES, INC CMN	04/15/10	04/19/13	16 00	1,355 80	837 76	518 04	Long-Term
LOWES COMPANIES INC CMN	09/19/11	04/29/13	5 00	189 44	100 59	88 85	Long-Term
LOWES COMPANIES INC CMN	05/16/11	04/29/13	10 00	378 86	253 43	125 43	Long-Term
INTL BUSINESS MACHINES CORP CMN	07/29/10	05/01/13	1 00	199 98	128 09	71 89	Long-Term
INTL BUSINESS MACHINES CORP CMN	08/10/10	05/01/13	3 00	599 95	394 47	205 48	Long-Term
INTL BUSINESS MACHINES CORP CMN	05/12/10	05/01/13	3 00	599 95	394 24	205 71	Long-Term
AMERIPRISE FINANCIAL, INC CMN	06/03/10	05/02/13	1 00	73 48	40 24	33 24	Long-Term
AMERIPRISE FINANCIAL, INC CMN	08/08/11	05/02/13	2 00	146 96	88 33	58 63	Long-Term
AMERIPRISE FINANCIAL, INC CMN	09/21/11	05/02/13	4.00	293 92	174 22	119 70	Long-Term
AMERIPRISE FINANCIAL, INC CMN	10/03/11	05/02/13	9 00	661 33	350 06	311 27	Long-Term
CORRECTIONS CORP OF AMERICA CMN	05/16/11	05/02/13	-	0 04	-	0 04	Long-Term
LOWES COMPANIES INC CMN	09/19/11	05/09/13	8 00	333 47	160 94	172 53	Long-Term
HONEYWELL INTL INC CMN	04/15/10	05/10/13	2 00	155 15	92 82	62 33	Long-Term
MCKESSON CORPORATION CMN	03/15/12	05/10/13	2 00	230 65	174 65	56 00	Long-Term
JOHNSON & JOHNSON CMN	04/15/10	05/10/13	8 00	684 29	522 56	161 73	Long-Term
CITIGROUP INC CMN	01/20/12	05/10/13	7 00	340 07	203 87	136 20	Long-Term
BOEING COMPANY CMN	08/25/11	05/10/13	1 00	94.36	61 07	33 29	Long-Term
GOOGLE INC CMN CLASS A	04/15/10	05/13/13	1 00	881 46	595 72	285 74	Long-Term
MCKESSON CORPORATION CMN	03/15/12	05/15/13	3 00	350 91	261 98	88 93	Long-Term
CHEVRON CORPORATION CMN	04/15/10	05/20/13	4 00	494 10	324 28	169 82	Long-Term
BOEING COMPANY CMN	09/09/11	05/20/13	5 00	493 94	312 09	181 85	Long-Term
BOEING COMPANY CMN	09/09/11	05/29/13	1 00	99 48	62 42	37 06	Long-Term

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BOEING COMPANY CMN	09/22/11	05/29/13	7 00	696 37	410 28	286 09	Long-Term
BOEING COMPANY CMN	04/25/12	05/29/13	3 00	298 45	226 86	71 59	Long-Term
JANUS CAPITAL GROUP INC CMN	07/18/11	05/29/13	60 00	501 59	521 54	(19 95)	Long-Term
JANUS CAPITAL GROUP INC CMN	08/03/11	05/29/13	18 00	150 48	141 48	9 00	Long-Term
JANUS CAPITAL GROUP INC CMN	01/26/12	05/29/13	24 00	200 64	185 22	15 42	Long-Term
CAPITAL ONE FINANCIAL CORP CMN	03/15/12	05/30/13	15 00	941 16	782 35	158 81	Long-Term
CISCO SYSTEMS, INC CMN	04/15/10	06/05/13	15 00	365 23	406 05	(40 82)	Long-Term
CATERPILLAR INC (DELAWARE) CMN	03/28/12	06/13/13	10 00	851 61	1,039 98	(188 37)	Long-Term
CATERPILLAR INC (DELAWARE) CMN	05/02/12	06/13/13	1 00	85 16	100 97	(15 81)	Long-Term
JOHNSON & JOHNSON CMN	04/15/10	06/21/13	6 00	499 84	391 92	107 92	Long-Term
ORACLE CORPORATION CMN	08/10/10	06/25/13	7 00	213 33	168 79	44 54	Long-Term
CHEVRON CORPORATION CMN	04/15/10	06/25/13	6 00	703 38	486 42	216 96	Long-Term
HALLIBURTON COMPANY CMN	08/03/11	06/25/13	7 00	288 81	366 13	(77 32)	Long-Term
JOHNSON & JOHNSON CMN	04/15/10	06/25/13	3 00	255 50	195 96	59 54	Long-Term
ORACLE CORPORATION CMN	07/29/10	06/25/13	9 00	274 28	217 59	56 69	Long-Term
HALLIBURTON COMPANY CMN	12/06/11	06/25/13	14 00	577 61	493 82	83 79	Long-Term
ZOETIS INC CMN CLASS A	04/15/10	06/27/13	0 88	27 34	-	27 34	Long-Term
WALTER ENERGY INC CMN	02/08/12	06/27/13	7 00	72 45	527 30	(454 85)	Long-Term
WALTER ENERGY INC CMN	04/11/12	06/27/13	2 00	20 70	123 67	(102 97)	Long-Term
WALTER ENERGY INC CMN	03/09/12	06/27/13	3 00	31 05	186 39	(155 34)	Long-Term
ORACLE CORPORATION CMN	08/10/10	07/10/13	1 00	31 31	24 11	7 20	Long-Term
ORACLE CORPORATION CMN	08/05/11	07/10/13	6 00	187 85	169 86	17 99	Long-Term
ORACLE CORPORATION CMN	06/02/11	07/10/13	9 00	281 77	291 79	(10 02)	Long-Term
ORACLE CORPORATION CMN	08/13/10	07/10/13	3 00	93 92	68 05	25 87	Long-Term
CVS HEALTH CORP CMN	11/04/11	07/12/13	6 00	364 99	227 98	137 01	Long-Term
CAREFUSION CORPORATION CMN	02/09/12	07/12/13	6 00	233 17	148 24	84 93	Long-Term
WAL MART STORES INC CMN	02/22/11	07/17/13	1 00	77 47	53 44	24 03	Long-Term
WAL MART STORES INC CMN	02/14/11	07/17/13	4 00	309 88	219 92	89 96	Long-Term
WAL MART STORES INC CMN	12/16/10	07/17/13	3 00	232 41	163 62	68 79	Long-Term
VIACOM INC CMN CLASS B	04/18/12	07/22/13	1 00	73 59	47 34	26 25	Long-Term
COMCAST CORPORATION CMN CLASS A NON VOTIN	04/15/10	07/24/13	7 00	301 72	125 86	175 86	Long-Term
WAL MART STORES INC CMN	02/22/11	07/26/13	4 00	309 79	213 76	96 03	Long-Term
WAL MART STORES INC CMN	05/18/11	07/26/13	2.00	154 89	110 43	44 46	Long-Term
RAYTHEON CO CMN	09/08/10	07/26/13	1 00	69 56	45 20	24 36	Long-Term
RAYTHEON CO CMN	04/15/10	07/26/13	26 00	1,808 64	1,517 10	291 54	Long-Term
SYSCO CORPORATION CMN	04/07/11	07/31/13	12 00	414 72	343 27	71 45	Long-Term
SYSCO CORPORATION CMN	03/08/11	07/31/13	28 00	967 67	776 89	190 78	Long-Term
CAREFUSION CORPORATION CMN	02/15/12	08/01/13	5 00	194 46	127 83	66 63	Long-Term
CAREFUSION CORPORATION CMN	02/09/12	08/01/13	25 00	972 28	617 67	354 61	Long-Term
CISCO SYSTEMS, INC CMN	04/15/10	08/08/13	22 00	576 84	595 54	(18 70)	Long-Term
WAL MART STORES INC CMN	05/18/11	08/08/13	3 00	230 95	165 65	65 30	Long-Term
CISCO SYSTEMS, INC CMN	08/11/11	08/13/13	5 00	131 57	80 30	51 27	Long-Term
MCKESSON CORPORATION CMN	04/12/12	08/13/13	2 00	245 93	183 67	62 26	Long-Term
CISCO SYSTEMS, INC CMN	06/02/11	08/13/13	12 00	315 76	198 11	117 65	Long-Term
MCKESSON CORPORATION CMN	03/15/12	08/13/13	8 00	983 75	698 62	285 13	Long-Term
CISCO SYSTEMS, INC CMN	04/15/10	08/13/13	10 00	263 14	270 70	(7 56)	Long-Term
CVS HEALTH CORP CMN	02/03/12	08/21/13	4 00	234 66	174 24	60 42	Long-Term
SYSCO CORPORATION CMN	06/08/12	08/21/13	15 00	481 58	429 34	52 24	Long-Term
CVS HEALTH CORP CMN	02/08/12	08/21/13	4 00	234 66	174 42	60 24	Long-Term
CVS HEALTH CORP CMN	11/04/11	08/21/13	3 00	175 99	113 99	62 00	Long-Term
CITIGROUP INC CMN	01/20/12	08/23/13	6 00	298 55	174 75	123 80	Long-Term
UNITEDHEALTH GROUP INCORPORATE CMN	07/25/12	08/29/13	1 00	72 27	52 36	19 91	Long-Term

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GILEAD SCIENCES CMN	04/15/10	09/04/13	11 00	673 51	251 35	422 16	Long-Term
MCKESSON CORPORATION CMN	04/12/12	09/04/13	1 00	122 97	91 84	31 13	Long-Term
THE MOSAIC COMPANY CMN	01/12/12	09/05/13	4 00	166 98	216 75	(49 77)	Long-Term
SYSCO CORPORATION CMN	08/13/12	09/05/13	8 00	256 72	239 41	17 31	Long-Term
AMERICAN EAGLE OUTFITTERS INC (NEW)	05/21/12	09/05/13	16 00	237 51	323 85	(86 34)	Long-Term
SYSCO CORPORATION CMN	06/08/12	09/05/13	1 00	32 09	28 62	3 47	Long-Term
QUALCOMM INC CMN	03/09/12	09/12/13	7 00	483 06	447 58	35 48	Long-Term
WAL MART STORES INC CMN	10/12/11	09/19/13	2 00	151 72	111 50	40 22	Long-Term
WAL MART STORES INC CMN	05/18/11	09/19/13	2 00	151 72	110 43	41 29	Long-Term
TEXAS INSTRUMENTS INC CMN	03/21/11	09/27/13	6 00	240 97	202 38	38 59	Long-Term
WAL MART STORES INC CMN	10/12/11	10/07/13	9 00	647 72	501 76	145 96	Long-Term
WAL MART STORES INC CMN	11/15/11	10/07/13	1 00	71 97	57 62	14 35	Long-Term
MCKESSON CORPORATION CMN	05/29/12	10/18/13	1 00	140 99	87 94	53 05	Long-Term
MCKESSON CORPORATION CMN	04/12/12	10/18/13	3 00	422 98	275 51	147 47	Long-Term
BAXTER INTERNATIONAL INC CMN	03/16/11	10/23/13	5 00	326 12	252 87	73 25	Long-Term
PFIZER INC CMN	04/15/10	10/24/13	33 00	1,015 20	561 00	454 20	Long-Term
SYSCO CORPORATION CMN	08/13/12	10/24/13	10 00	326.90	299 26	27 64	Long-Term
CVS HEALTH CORP CMN	03/28/12	10/30/13	2 00	124 80	89 23	35 57	Long-Term
CVS HEALTH CORP CMN	02/08/12	10/30/13	4 00	249 61	174 42	75 19	Long-Term
AUTOZONE, INC CMN	04/15/10	11/26/13	1 00	461 92	179 54	282 38	Long-Term
CAREFUSION CORPORATION CMN	02/15/12	12/11/13	8 00	310 21	204 52	105 69	Long-Term
THE MOSAIC COMPANY CMN	01/31/12	12/11/13	6 00	271 25	334 43	(63 18)	Long-Term
CAREFUSION CORPORATION CMN	05/10/12	12/11/13	14 00	542 88	363.34	179 54	Long-Term
THE MOSAIC COMPANY CMN	01/12/12	12/11/13	6 00	271 24	325 12	(53 88)	Long-Term
THE MOSAIC COMPANY CMN	03/30/12	12/11/13	5 00	241 58	279 02	(37 44)	Long-Term
TOTAL LONG TERM REALIZED G/L				$ 56,465 07	$ 43,501 49	$ 12,963 58	
PARKER-HANNIFIN CORP CMN	02/23/12	01/02/13	3 00	260 29	269 93	(9 64)	Short-Term
THE MOSAIC COMPANY CMN	01/12/12	01/02/13	4 00	229 91	216 75	13 16	Short-Term
BANK OF AMERICA CORP CMN	09/07/12	01/02/13	12 00	143 72	104 52	39 20	Short-Term
CITIGROUP INC CMN	01/20/12	01/02/13	8 00	328 18	232 99	95 19	Short-Term
ADT CORPORATION (THE) CMN	06/15/12	01/18/13	9 00	432 59	297 83	134 76	Short-Term
PARKER-HANNIFIN CORP CMN	02/23/12	01/28/13	4 00	375 13	359 91	15 22	Short-Term
LEXMARK INTERNATIONAL INC. CMN CLASS A	08/28/12	02/21/13	8 00	178 99	178 04	0 95	Short-Term
ROCKWELL COLLINS, INC CMN	07/27/12	03/01/13	11 00	659 59	548 84	110 75	Short-Term
INTEL CORPORATION CMN	07/18/12	03/01/13	23 00	483 21	600 37	(117 16)	Short-Term
ADT CORPORATION (THE) CMN	10/02/12	03/21/13	7 00	348 79	263 49	85 30	Short-Term
ADT CORPORATION (THE) CMN	06/15/12	03/21/13	2 00	99 66	65.94	33 72	Short-Term
ADT CORPORATION (THE) CMN	08/03/12	03/21/13	1 00	49 83	54 50	(4 67)	Short-Term
PARKER-HANNIFIN CORP CMN	10/19/12	03/27/13	4 00	356 90	313 51	43 39	Short-Term
PARKER-HANNIFIN CORP CMN	04/24/12	03/27/13	6 00	535 35	520 30	15 05	Short-Term
ADT CORPORATION (THE) CMN	10/02/12	04/02/13	3 00	140 32	112 93	27 39	Short-Term
ADT CORPORATION (THE) CMN	10/16/12	04/02/13	10 00	467 70	367 00	100 70	Short-Term
BMC SOFTWARE, INC CMN	08/06/12	04/03/13	6 00	273 55	243 16	30 39	Short-Term
HASBRO, INC CMN	10/22/12	04/04/13	13 00	564 79	509 48	55 31	Short-Term
VIACOM INC CMN CLASS B	04/18/12	04/16/13	1 00	65 79	47 34	18 45	Short-Term
E I DU PONT DE NEMOURS AND CO CMN	04/24/12	04/19/13	8 00	391 55	420 30	(28 75)	Short-Term
BMC SOFTWARE, INC CMN	08/06/12	04/24/13	23 00	1,040 67	932 10	108 57	Short-Term
BMC SOFTWARE, INC CMN	11/01/12	04/24/13	6 00	271 48	245 69	25 79	Short-Term
AMERIPRISE FINANCIAL, INC CMN	11/01/12	05/02/13	3 00	220 44	178 56	41 88	Short-Term
CORRECTIONS CORP OF AMERICA CMN	03/20/13	05/02/13	-	0 03	-	0 03	Short-Term
CORRECTIONS CORP OF AMERICA CMN	05/14/12	05/02/13	-	0 08	-	0 08	Short-Term
CORRECTIONS CORP OF AMERICA CMN	06/14/12	05/02/13	-	0 06	-	0 06	Short-Term
CORRECTIONS CORP OF AMERICA CMN	02/08/13	05/02/13	-	0 07	-	0 07	Short-Term
LOWES COMPANIES INC CMN	05/22/12	05/09/13	10 00	416 83	259 20	157 63	Short-Term
PEPSICO INC CMN	11/21/12	05/14/13	17 00	1,414 57	1,179 24	235 33	Short-Term

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FOR THE YEAR ENDED 12/31/2013
EIN 11-6465350

CORRECTIONS CORP OF AMERICA CMN	05/20/13	05/20/13	0 86	33 31	-	33 31	Short-Term
JANUS CAPITAL GROUP INC CMN	08/10/12	05/29/13	10 00	83 60	89 19	(5 59)	Short-Term
CAPITAL ONE FINANCIAL CORP CMN	07/19/12	05/30/13	7 00	439 21	404 60	34 61	Short-Term
JOHNSON & JOHNSON CMN	09/13/12	06/25/13	2 00	170 34	137 13	33 21	Short-Term
HALLIBURTON COMPANY CMN	08/09/12	06/25/13	6 00	247 55	210 99	36 56	Short-Term
WALTER ENERGY INC CMN	10/04/12	06/27/13	3 00	31 05	98 63	(67 58)	Short-Term
WALTER ENERGY INC CMN	08/09/12	06/27/13	4 00	41 40	157 43	(116 03)	Short-Term
ORACLE CORPORATION CMN	10/05/12	07/10/13	13 00	407 00	409 11	(2 11)	Short-Term
ORACLE CORPORATION CMN	07/18/12	07/10/13	12 00	375 69	365 88	9 81	Short-Term
JOHNSON & JOHNSON CMN	09/13/12	07/11/13	2 00	178 39	137 13	41 26	Short-Term
JOHNSON & JOHNSON CMN	10/04/12	07/11/13	3 00	267 59	208 21	59 38	Short-Term
JOHNSON & JOHNSON CMN	10/17/12	07/11/13	11 00	981 15	774 94	206 21	Short-Term
UNITEDHEALTH GROUP INCORPORATE CMN	07/25/12	07/12/13	5 00	342 56	261 80	80 76	Short-Term
BIG LOTS INC CMN	10/03/12	07/19/13	7 00	252 12	207 99	44 13	Short-Term
MICROSOFT CORPORATION CMN	10/23/12	07/19/13	12 00	388 46	337 19	51 27	Short-Term
JUNIPER NETWORKS, INC CMN	06/07/13	08/09/13	40 00	838 22	753 85	84 37	Short-Term
BIG LOTS INC CMN	10/03/12	08/09/13	17 00	608 81	505 11	103 70	Short-Term
BIG LOTS INC CMN	12/07/12	08/09/13	5 00	179 06	141 36	37 70	Short-Term
BIG LOTS INC CMN	05/30/13	08/09/13	5 00	179 06	177 05	2 01	Short-Term
BIG LOTS INC CMN	12/05/12	08/09/13	5 00	179 06	150 48	28 58	Short-Term
BIG LOTS INC CMN	04/10/13	08/09/13	8 00	286 50	284 78	1 72	Short-Term
BANK OF AMERICA CORP CMN	09/07/12	08/23/13	21 00	305 23	182 92	122 31	Short-Term
MICROSOFT CORPORATION CMN	10/23/12	08/27/13	7 00	234 94	196 70	38 24	Short-Term
MICROSOFT CORPORATION CMN	10/23/12	08/29/13	12 00	399 55	337 19	62 36	Short-Term
MICROSOFT CORPORATION CMN	03/01/13	09/04/13	12 00	373 92	335 28	38 64	Short-Term
MICROSOFT CORPORATION CMN	10/23/12	09/04/13	11 00	342 75	309 09	33 66	Short-Term
MORGAN STANLEY CMN	04/26/13	09/16/13	14 00	404 48	299 85	104 63	Short-Term
GENERAL MOTORS COMPANY CMN	01/18/13	09/17/13	5 00	181 86	145 12	36 74	Short-Term
GENERAL MOTORS COMPANY CMN	12/19/12	09/17/13	23 00	836 52	636 56	199 96	Short-Term
GENERAL MOTORS COMPANY CMN	01/10/13	09/17/13	10 00	363 71	304 21	59 50	Short-Term
MORGAN STANLEY CMN	04/26/13	09/19/13	23 00	664 56	492 62	171 94	Short-Term
HASBRO, INC CMN	01/07/13	09/25/13	4 00	193 01	141 28	51 73	Short-Term
HASBRO, INC CMN	10/22/12	09/25/13	3 00	144 76	117 57	27 19	Short-Term
HASBRO, INC CMN	12/11/12	09/25/13	10 00	482 52	377 90	104 62	Short-Term
JOY GLOBAL INC CMN	06/21/13	10/30/13	4 00	229 72	201 88	27 84	Short-Term
GENERAL MOTORS COMPANY CMN	03/19/13	10/30/13	3 00	110 61	84 41	26 20	Short-Term
GENERAL MOTORS COMPANY CMN	01/18/13	10/30/13	1 00	36 87	29 02	7 85	Short-Term
GENERAL MOTORS COMPANY CMN	02/13/13	10/30/13	6 00	221 21	172 96	48 25	Short-Term
APACHE CORP CMN	05/09/13	11/08/13	11 00	976 71	897 77	78 94	Short-Term
HASBRO, INC CMN	01/07/13	11/08/13	5 00	251 71	176 59	75 12	Short-Term
HASBRO, INC CMN	08/15/13	11/08/13	2 00	100 69	90 00	10 69	Short-Term
HASBRO, INC CMN	01/28/13	11/08/13	8 00	402 74	297 01	105 73	Short-Term
GENERAL MOTORS COMPANY CMN	03/19/13	11/11/13	9 00	330 74	253 22	77 52	Short-Term
HEWLETT-PACKARD CO CMN	01/02/13	11/14/13	13 00	325 60	195 04	130 56	Short-Term
JOY GLOBAL INC CMN	06/21/13	11/15/13	8 00	447 67	403 76	43 91	Short-Term
ANADARKO PETROLEUM CORP CMN	04/19/13	12/13/13	5 00	373 32	405 54	(32 22)	Short-Term
ANADARKO PETROLEUM CORP CMN	03/05/13	12/13/13	15 00	1,119 97	1,239 24	(119 27)	Short-Term
ANADARKO PETROLEUM CORP CMN	04/16/13	12/13/13	7 00	522 65	565 26	(42 61)	Short-Term
ANADARKO PETROLEUM CORP CMN	03/20/13	12/13/13	3 00	223 99	258 92	(34 93)	Short-Term
ANADARKO PETROLEUM CORP CMN	10/31/13	12/13/13	2 00	149 33	190 84	(41 51)	Short-Term
GENERAL MOTORS COMPANY CMN	05/28/13	12/18/13	5 00	204 09	170 67	33 42	Short-Term
GENERAL MOTORS COMPANY CMN	07/25/13	12/18/13	15 00	612 26	554 92	57 34	Short-Term
CARPENTER TECHNOLOGY INC CMN	04/12/13	12/24/13	13 00	768 45	602 51	165 94	Short-Term
TOTAL SHORT TERM G/L				$ 28,596 34	$ 25,000 62	$ 3,595 72	
GRAND TOTAL				85,061 41	68,502 11	16,559 30	

SCHEDULE OF REALIZED GAINS/LOSSES

THE IRVING SIEGEL CHARITABLE FOUNDATION

EIN 11-6465350

For The Year Ended 12/31/2013

Contributions,Gifts Grants Paid During the Year

Form 990-PF, Part XV, Line 3a

RECIPIENT: NAME AND ADDRESS	RELATIONSHIP TO ANY FOUNDATION MANAGER/SUSTANTIAL CONTRIBUTOR	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT	AMOUNT
ASCENT Funding Organization, Manhasset, New York 11030	NONE	PC	Autism education, cure and research	$ 1,000 00
AUTISM SPEAKS Princeton, New Jersey 08540	NONE	PC	Autism education, cure and research	1,000 00
Perez Art Museum Miami, Florida 33132	NONE	PC	Arts, culture and Leisure programs	8,500 00
CHABAH OF SOUTHAMPTON Southampton, New York 11968	NONE	PC	Religious grant-general operating budget	1,000 00
Sloan Memorial Kettering Hospital New York, New York 10065	NONE	PC	Cancer research and education	20,000 00
Mental Health Assoc of Nassau County West Hempstead, NY 11550	NONE	PC	Mental health advocacy education and programs	1,400 00
University of Miami Hospital Miami, FL 33136	NONE	PC	General operating support	10,000 00
NYU Langone Medical Center New York, NY 10016	NONE	PC	General operating support	5,000 00
Prayer in Pink Susan G Komen Hagerstown, MD 21741	NONE	PC	Breast cancer support and awareness	200 00
Sid Jacobson JCC Greenvale, New York 11548	NONE	PC	Community Center	4,450 00
New World Symphony Miami, FL 33139	NONE	PC	Orchestral academy	5,000 00
Miami Music Project Miami, FL 33137	NONE	PC	Empowering child with music and performance	5,000 00
Northside Center for Child Development New York, New York 10029	NONE	PC	Child development programs for inner city youth	4,000 00
Southampton Historical Museum Southampton, NY 11968	NONE	PC	Arts, culture and Leisure programs	200 00
Mount Sinai Hospital New York, New York 10029	NONE	PC	General operating support	5,000 00
TOTAL CONTRIBUTIONS				$ 71,750 00

Form **8868**

(Rev January 2014)

Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

▶ Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . . ▶ X
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
File by the due date for filing your return See instructions	THE IRVING SIEGEL CHARITABLE FOUNDATION	11-6465350
	Number, street, and room or suite no If a P O box, see instructions: C/O STAKS ENTERPRISE INC. 326 BROADWAY	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions: BETHPAGE, NY 11714-3021	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ SHELDON DANUFF

Telephone No ▶ 516 433-6450 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . ▶ ☐ If it is for part of the group, check this box . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 14, to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ X calendar year 20 13 or

▶ ☐ tax year beginning ______, 20__, and ending ______, 20__

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 1,001.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 1.
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 1,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions. Form **8868** (Rev 1-2014)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions THE IRVING SIEGEL CHARITABLE FOUNDATION	Employer identification number (EIN) or 11-6465350
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions C/O STAKS ENTERPRISE INC.	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions BETHPAGE, NY 11714-3021	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ SHELDON DANUFF

Telephone No ▶ 516 433-6450 Fax No. ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ [ ] If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/17 , 20 14

5 For calendar year 2013 , or other tax year beginning ______ , 20 ___ , and ending ______ , 20 ___

6 If the tax year entered in line 5 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension ALL INFORMATION REQUIRED TO FILE A COMPLETE AND ACCURATE RETURN IS NOT YET AVAILABLE.

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 1,000.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 1,000.
c	**Balance Due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$ 0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Title ▶ Date ▶