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Form **990-PF**

**Return of Private Foundation**

OMB No 1545-0052

**2013**

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
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Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation <b>THE YALE FISHMAN FAMILY FOUNDATION</b>		<b>A</b> Employer identification number 11-3579520
Number and street (or P O box number if mail is not delivered to street address) <b>930 BROADWAY</b>	Room/suite	<b>B</b> Telephone number (see instructions) (516) 374-0350
City or town, state or province, country, and ZIP or foreign postal code <b>WOODMERE, NY 11598</b>		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply	<input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change	<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change
<b>H</b> Check type of organization		<b>D</b> 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 3,723,731.</b>		
<b>J</b> Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual		
<input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)		

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>1</b>	Contributions, gifts, grants, etc., received (attach schedule)	342,450.			
<b>2</b>	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
<b>3</b>	Interest on savings and temporary cash investments	22,453.	17,103.		ATCH 1
<b>4</b>	Dividends and interest from securities	23,057.	22,995.		ATCH 2
<b>5a</b>	Gross rents				
<b>b</b>	Net rental income or (loss)				
<b>6a</b>	Net gain or (loss) from sale of assets not on line 10	366,037.			
<b>b</b>	Gross sales price for all assets on line 6a	55,909,668.			
<b>7</b>	Capital gain net income (from Part IV, line 2)		366,037.		
<b>8</b>	Net short-term capital gain				
<b>9</b>	Income modifications				
<b>10a</b>	Gross sales less returns and allowances				
<b>b</b>	Less Cost of goods sold				
<b>c</b>	Gross profit or (loss) (attach schedule)				
<b>11</b>	Other income (attach schedule) <b>ATCH 3</b>	943,855.			
<b>12</b>	<b>Total</b> Add lines 1 through 11	1,697,852.	406,135.		
<b>13</b>	Compensation of officers, directors, trustees, etc.	0			
<b>14</b>	Other employee salaries and wages				
<b>15</b>	Pension plans, employee benefits				
<b>16a</b>	Legal fees (attach schedule)				
<b>b</b>	Accounting fees (attach schedule)	1,800.			
<b>c</b>	Other professional fees (attach schedule)				
<b>17</b>	Interest				
<b>18</b>	Taxes (attach schedule) (see instructions) <b>ATCH 4</b>	560.			
<b>19</b>	Depreciation (attach schedule) and depletion				
<b>20</b>	Occupancy				
<b>21</b>	Travel, conferences, and meetings				
<b>22</b>	Printing and publications				
<b>23</b>	Other expenses (attach schedule) <b>ATCH 5</b>	396.			
<b>24</b>	<b>Total operating and administrative expenses.</b> Add lines 13 through 23	2,756.			
<b>25</b>	Contributions, gifts, grants paid	129,205.			129,205.
<b>26</b>	<b>Total expenses and disbursements</b> Add lines 24 and 25	131,961.	0	0	129,205.
<b>27</b>	Subtract line 26 from line 12				
<b>a</b>	Excess of revenue over expenses and disbursements	1,565,891.			
<b>b</b>	Net investment income (if negative, enter -0-)		406,135.		
<b>c</b>	Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		1,208,843.	1,501,157.	1,501,157.
	2	Savings and temporary cash investments				
	3	Accounts receivable				
		Less allowance for doubtful accounts				
	4	Pledges receivable				
		Less allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule)				
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule)					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) ATCH 6			1,002,734.	2,270,629.	2,222,574.
14	Land, buildings, and equipment basis					
	Less accumulated depreciation (attach schedule)					
15	Other assets (describe ATCH 7)			7,488.		
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)			2,219,065.	3,771,786.	3,723,731.
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ATCH 8)				3,333.
23	Total liabilities (add lines 17 through 22)			0	3,333.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		2,219,065.	3,768,453.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, <input type="checkbox"/> check here and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)			2,219,065.	3,768,453.	
31	Total liabilities and net assets/fund balances (see instructions)			2,219,065.	3,771,786.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,219,065.
2	Enter amount from Part I, line 27a	2	1,565,891.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	3,784,956.
5	Decreases not included in line 2 (itemize) ATCH 9	5	16,503.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,768,453.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	366,037.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	63,086.	1,091,411.	0.057802
2011	101,737.	912,323.	0.111514
2010	92,672.	712,839.	0.130004
2009	23,511.	181,684.	0.129406
2008	150,389.	530,620.	0.283421
2 Total of line 1, column (d)			2 0.712147
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.142429
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 3,130,090.
5 Multiply line 4 by line 3			5 445,816.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 4,061.
7 Add lines 5 and 6			7 449,877.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 129,205.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Tax under section 511', 'Add lines 1 and 2', 'Tax due', and 'Overpayment'. Total tax due is 7,941.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political influence, political expenditures, and substantial contributors. Includes a 'Yes/No' column.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . . 11 X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . . 12 X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . . 13 X
Website address N/A
14 The books are in care of YALE FISHMAN Telephone no 516-374-0350
Located at 930 BROADWAY WOODMERE, NY ZIP+4 11598
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . 15
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . 16 Yes No X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . Yes X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . Yes X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . Yes X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . Yes X No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . Yes X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . Yes X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . 1b
Organizations relying on a current notice regarding disaster assistance check here . . . . .
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . . 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . . Yes X No
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . . 2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . Yes X No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) . . . . . 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . . 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? . . . . . 4b X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  **5b**

Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No **6b** X

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total number of other employees paid over \$50,000**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	1,920,354.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	1,257,402.
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	3,177,756.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	3,177,756.
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	47,666.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	3,130,090.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	156,505.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	156,505.
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	8,123.
<b>b</b>	Income tax for 2013 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	8,123.
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1	<b>3</b>	148,382.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	148,382.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	<b>7</b>	148,382.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	<b>1a</b>	129,205.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	129,205.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	129,205.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7 . . . . .				148,382.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only . . . . .				
b Total for prior years 20 <u>11</u> , 20 <u>10</u> , 20 <u>09</u> . . . . .				
3 Excess distributions carryover, if any, to 2013				
a From 2008 . . . . .	123,858.			
b From 2009 . . . . .	14,427.			
c From 2010 . . . . .	57,030.			
d From 2011 . . . . .	56,181.			
e From 2012 . . . . .	8,825.			
f Total of lines 3a through e . . . . .	260,321.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>129,205.</u>				
a Applied to 2012, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required - see instructions). . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2013 distributable amount . . . . .				129,205.
e Remaining amount distributed out of corpus . . . . .				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) )	19,177.			19,177.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	241,144.			
b Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .	104,681.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	136,463.			
10 Analysis of line 9				
a Excess from 2009 . . . . .	14,427.			
b Excess from 2010 . . . . .	57,030.			
c Excess from 2011 . . . . .	56,181.			
d Excess from 2012 . . . . .	8,825.			
e Excess from 2013 . . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
(3) Largest amount of support from an exempt organization. . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><b>a</b> Paid during the year</p> <p>ATCH 12</p>				
<b>Total</b> .....				129,205.
<b>b</b> Approved for future payment				
<b>Total</b> .....				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include categories like Program service revenue, Membership dues, Interest on savings, Dividends, Net rental income, etc.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of
(1) Cash
(2) Other assets
b Other transactions
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains N/A.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here: Signature of YALE FISHMAN, Date 11/17/14, Title. May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

Paid Preparer Use Only: Print preparer's name S. CAROL CAMP, Preparer's signature, Date 11/17/14, Firm's name EISNERAMPER LLP, Firm's address 750 THIRD AVENUE NEW YORK, NY, Firm's EIN 13-1639826, Phone no 212-949-8700, PTIN P01363905

# Schedule of Contributors

**2013**

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

Name of the organization THE YALE FISHMAN FAMILY FOUNDATION	Employer identification number 11-3579520
--	--

**Organization type (check one)**

**Filers of:**

**Section:**

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization THE YALE FISHMAN FAMILY FOUNDATION

Employer identification number  
11-3579520

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	YALE FISHMAN CHARITABLE LEAD TRUST 930 BROADWAY WOODMERE, NY 11598	\$ 42,450.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	YALE FISHMAN CHARITABLE LEAD TRUST 930 BROADWAY WOODMERE, NY 11598	\$ 300,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization THE YALE FISHMAN FAMILY FOUNDATION

Employer identification number

11-3579520

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----

Name of organization THE YALE FISHMAN FAMILY FOUNDATION

Employer identification number

11-3579520

**Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.**

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions) ▶ \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
385.		TD AMERITRADE 46986- CG DISTRIBUTION					385.	
54832769.		TD AMERITRADE 46986- SEE ATTACHED STATEM 56736767.					403,905.	
65,390.		TD AMERITRADE 41937- SEE ATTACHED STATEM 62,872.					2,518.	
480,585.		TD AMERITRADE 41937- SEE ATTACHED STATEM 524,033.					-43,448.	
530,539.		4,600 SHS ICAHN ENTERPRISES LP DEPOSITAR 539,623.					2,677.	
TOTAL GAIN (LOSS) .....							<u>366,037.</u>	

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
CHASE CHECKING	2.	2.
CHASE SAVINGS	797.	797.
ISRAELI BOND 41401	6,005.	6,005.
ISRAELI BOND 15830	3,620.	3,620.
TD AMERITRADE # 46986	2,899.	2,899.
TD AMERITRADE # 46986 ACCRUED INT. PAID	-1,014.	-1,014.
TD AMERITRADE # 41937	4,077.	4,077.
TD AMERITRADE # 41937- OID	559.	559.
TD AMERITRADE # 41937- OTHER PERIODIC	339.	339.
TD AMERITRADE # 41937 ACCRUED INT. PAID	-181.	-181.
TD AMERITRADE # 41937 TAX EXEMPT INT.	5,661.	
TD AMERITRADE # 41937 TAX EXEMPT INT. PD	-311.	
TOTAL	<u>22,453.</u>	<u>17,103.</u>

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
TD AMERITRADE # 46986	22,267.	22,267.
TD AMERITRADE # 41937	728.	728.
TD AMERITRADE # 419370 NON-DIVIDEND DIST	62.	
TOTAL	<u>23,057.</u>	<u>22,995.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
INCOME FROM SALE OF BLMIS CLAIM- MADOFF	941,455.
TD AMERITRADE # 46986	2,400.
TOTALS	<u>943,855.</u>

ATTACHMENT 4

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
FOREIGN TAXES	560.
TOTALS	<u>560.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
ADVERTISING EXPENSE	286.
BANK CHARGES	110.
TOTALS	<u>396.</u>

ATTACHMENT 6

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
STATE OF ISRAEL BONDS	300,000.	300,000.
INVESTMENT IN SECURITIES	1,970,629.	1,922,574.
TOTALS	<u>2,270,629.</u>	<u>2,222,574.</u>

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 7

ENDING  
BOOK VALUE

DESCRIPTION

BACKUP WITHHOLDING RECEIVABLE  
LOAN RECEIVABLE

TOTALS

\_\_\_\_\_  
\_\_\_\_\_

ATTACHMENT 8

FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
LOAN PAYABLE	3,333.
TOTALS	<u>3,333.</u>

ATTACHMENT 9

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED LOSSES	16,503.
TOTAL	<u>16,503.</u>

ATTACHMENT 10

FORM 990PF - COMPUTATION OF PENALTIES AND INTEREST

END OF FISCAL/CALENDAR YEAR .....	12/31/2013
DATE RETURN IS DUE IF ON EXTENSION .....	11/17/2014
DATE RETURN WILL BE RECEIVED BY THE IRS .....	11/17/2014
NUMBER OF DAYS RETURN IS LATE .....	
NUMBER OF MONTHS RETURN IS LATE .....	7
LATE FILING PENALTY .....	
LATE PAYMENT PENALTY .....	269.
INTEREST .....	
TOTAL PENALTIES AND INTEREST .....	269.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
YALE FISHMAN 8 MURIEL AVENUE LAWRENCE, NY 11559	DIRECTOR 2.00	0	0	0
JOSEPH FISHMAN 59 BRIARWOOD LAWRENCE, NY 11559	DIRECTOR 1.00	0	0	0
REBECCA SILVERSTEIN 254 FOUNTAIN ROAD ENGLEWOOD, NJ 07631	DIRECTOR 1.00	0	0	0
<u>GRAND TOTALS</u>		<u>0</u>	<u>0</u>	<u>0</u>

THE YALE FISHMAN FAMILY FOUNDATION

11-3579520

FORM 990BF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR  
AND  
FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS PURPOSE OF GRANT OR CONTRIBUTION AMOUNT

SEE STATEMENT ATTACHED  
C/O THE YALE FISHMAN FAMILY FOUNDATION  
930 BROADWAY  
WOODMERE, NY 11598  
NO RELATIONSHIP  
501 (C) (3)  
RELIGIOUS/CHARITABLE  
129,205

TOTAL CONTRIBUTIONS PAID 129,205

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 13

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
INCOME FROM SALE OF BLMIS CLAIM- MADOFF		941,455.			
NON DIVIDEND DISTRIBUTION		62.			
TAX EXEMPT INTEREST INCOME		5,350.			
TD AMERITRADE # 46986- OTHER INCOME		2,400.			
TOTALS		<u>949,267.</u>			

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ Attach to Form 1041, Form 5227, or Form 990-T.  
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.  
▶ Information about Schedule D and its separate instructions is at [www.irs.gov/form1041](http://www.irs.gov/form1041).

OMB No 1545-0092

**2013**

Name of estate or trust <b>THE YALE FISHMAN FAMILY FOUNDATION</b>	Employer identification number <b>11-3579520</b>
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Note: Form 5227 filers need to complete **only** Parts I and II

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
<b>1a</b> Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
<b>1b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box A</b> checked . . . . .	54,832,769.	56,736,767.	2,307,903.	403,905.
<b>2</b> Totals for all transactions reported on Form(s) 8949 with <b>Box B</b> checked . . . . .	1,076,514.	1,126,528.	11,761.	-38,253.
<b>3</b> Totals for all transactions reported on Form(s) 8949 with <b>Box C</b> checked . . . . .				
<b>4</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .				<b>4</b>
<b>5</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .				<b>5</b>
<b>6</b> Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet . . . . .				<b>6</b> ( )
<b>7</b> <b>Net short-term capital gain or (loss)</b> Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back . . . . . ▶				<b>7</b> 365,652.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
<b>8a</b> Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
<b>8b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box D</b> checked . . . . .	385.			385.
<b>9</b> Totals for all transactions reported on Form(s) 8949 with <b>Box E</b> checked . . . . .				
<b>10</b> Totals for all transactions reported on Form(s) 8949 with <b>Box F</b> checked . . . . .				
<b>11</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .				<b>11</b>
<b>12</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .				<b>12</b>
<b>13</b> Capital gain distributions . . . . .				<b>13</b>
<b>14</b> Gain from Form 4797, Part I . . . . .				<b>14</b>
<b>15</b> Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet . . . . .				<b>15</b> ( )
<b>16</b> <b>Net long-term capital gain or (loss)</b> . Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back . . . . . ▶				<b>16</b> 385.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

JSA  
3F1210 1 000

<b>Part III Summary of Parts I and II</b>		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
<b>Caution: Read the instructions before completing this part.</b>				
<b>17</b>	<b>Net short-term gain or (loss)</b> . . . . .	<b>17</b>		365,652.
<b>18</b>	<b>Net long-term gain or (loss):</b>			
a	Total for year . . . . .	<b>18a</b>		385.
b	Unrecaptured section 1250 gain (see line 18 of the wrksh.) . . . . .	<b>18b</b>		
c	28% rate gain . . . . .	<b>18c</b>		
<b>19</b>	<b>Total net gain or (loss).</b> Combine lines 17 and 18a. . . . . ▶	<b>19</b>		366,037.

**Note:** If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

<b>Part IV Capital Loss Limitation</b>	
<b>20</b>	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of a The loss on line 19, column (3) or b \$3,000 . . . . . <b>20</b> ( )

**Note:** If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero  
**Caution:** Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if  
 • Either line 18b, col (2) or line 18c, col (2) is more than zero, or  
 • Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

**Form 990-T trusts.** Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero

<b>21</b>	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). . . . .	<b>21</b>		
<b>22</b>	Enter the smaller of line 18a or 19 in column (2) but not less than zero. . . . . <b>22</b>			
<b>23</b>	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . . . <b>23</b>			
<b>24</b>	Add lines 22 and 23 . . . . . <b>24</b>			
<b>25</b>	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . ▶ <b>25</b>			
<b>26</b>	Subtract line 25 from line 24. If zero or less, enter -0- . . . . . <b>26</b>			
<b>27</b>	Subtract line 26 from line 21. If zero or less, enter -0- . . . . . <b>27</b>			
<b>28</b>	Enter the smaller of the amount on line 21 or \$2,450 . . . . . <b>28</b>			
<b>29</b>	Enter the smaller of the amount on line 27 or line 28 . . . . . <b>29</b>			
<b>30</b>	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% . . . . . ▶ <b>30</b>			
<b>31</b>	Enter the smaller of line 21 or line 26 . . . . . <b>31</b>			
<b>32</b>	Subtract line 30 from line 26 . . . . . <b>32</b>			
<b>33</b>	Enter the smaller of line 21 or \$11,950 . . . . . <b>33</b>			
<b>34</b>	Add lines 27 and 30 . . . . . <b>34</b>			
<b>35</b>	Subtract line 34 from line 33. If zero or less, enter -0- . . . . . <b>35</b>			
<b>36</b>	Enter the smaller of line 32 or line 35 . . . . . <b>36</b>			
<b>37</b>	Multiply line 36 by 15% . . . . . ▶ <b>37</b>			
<b>38</b>	Enter the amount from line 31 . . . . . <b>38</b>			
<b>39</b>	Add lines 30 and 36 . . . . . <b>39</b>			
<b>40</b>	Subtract line 39 from line 38. If zero or less, enter -0- . . . . . <b>40</b>			
<b>41</b>	Multiply line 40 by 20% . . . . . ▶ <b>41</b>			
<b>42</b>	Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . . <b>42</b>			
<b>43</b>	Add lines 37, 41, and 42 . . . . . <b>43</b>			
<b>44</b>	Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . . <b>44</b>			
<b>45</b>	<b>Tax on all taxable income.</b> Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . . ▶ <b>45</b>			

**Sales and Other Dispositions of Capital Assets**

Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).

**2013**

Department of the Treasury  
Internal Revenue Service

File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D

Attachment  
Sequence No **12A**

Name(s) shown on return

THE YALE FISHMAN FAMILY FOUNDATION

Social security number or taxpayer identification number

11-3579520

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part I Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	TD AMERITRADE 46986- SEE ATTACHED STATE			54832769.	56736767.	W	2307903.	403,905.
<b>2 Totals.</b>	Add the amounts in columns (d), (e), (g), and (h) (subtr- act negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked).			54832769.	56736767.		2,307,903.	403,905.

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.



Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)

Social security number or taxpayer identification number

THE YALE FISHMAN FAMILY FOUNDATION

11-3579520

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part II Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions)

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- (E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	TD AMERITRADE 46986- C DISTRIBUTION			385.				385.
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶				385.				385.

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

1:34 PM  
05/08/14  
Accrual Basis

**Yale Fishman Family Foundation**  
**General Ledger**

**As of December 31, 2013**

<u>Date</u>	<u>Name</u>	<u>Amount</u>
<b>Charitable Donation</b>		
01/03/2013	Meir Panim	36 00
01/17/2013	Rambam Mesivta	600 00
01/21/2013	Ezras Cholim	100 00
01/21/2013	Chayalei Beit David	50 00
01/24/2013	TAG	100 00
02/01/2013	Shor Yoshuv	3,600 00
02/01/2013	Yeshivas Mir Yerushalayim	1,710 00
02/01/2013	Adelphia	500 00
02/01/2013	Beth Midrash Gavoha	3,600 00
02/01/2013	Young Israel of Lawrence Cedarhurst	500 00
02/01/2013	Friends of the IDF	690 00
02/04/2013	Keren Chaim	1,000 00
02/06/2013	Gateways	500 00
02/06/2013	Congregation Beth Shalom	380 00
02/06/2013	Kollel Zichron Ephraim	500 00
02/14/2013	Cong Chesed V'Emes	6,000 00
02/19/2013	Priority One	180 00
02/19/2013	JEM-Jewish Expenence of Madison	100 00
02/20/2013	Sheves Achim	50 00
02/22/2013	Israel Chessed Fund	500 00
02/26/2013	Leon Leif Children's Fund	100 00
02/27/2013	Beth Shalom	200 00
02/28/2013	Ohr Chaim Yeshiva	100 00
03/04/2013	Davis Memorial Fund	500 00
03/04/2013	American Friends of Leket Israel	180 00
03/04/2013	Ezras Yisrael	50 00
03/05/2013	Sheves Achim	50 00
03/06/2013	Shor Yoshuv	180 00
03/11/2013	Sheves Achim	50 00
03/21/2013	karen Metzula	250 00
04/09/2013	Yad Chaya	400 00
04/09/2013	cong Basis Avrohom Zev of Lawrence	800 00
04/09/2013	project Xtreme	180 00
04/18/2013	Beth Shalom	820 00
04/18/2013	Davis Memorial Fund	54 00
04/18/2013	Cong Oseh Tzedoko Vochesed (COTV)	50 00
04/22/2013	Chofetz Chaim Hentage Foundation	100 00
04/24/2013	Tiferes Devorah L' Callah	500 00
04/24/2013	Kupath Rambahn	54 00
04/25/2013	Michkane Meir	100 00
04/30/2013	Keren YNY	360 00
04/30/2013	Ezras Yisrael	18 00
04/30/2013	Rabbi Avroham Tanzman	18 00
04/30/2013	Atereth Avrohom	18 00
05/01/2013	Ezart Israel	18 00

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05/08/14  
Accrual Basis

Yale Fishman Family Foundation  
General Ledger

As of December 31, 2013

Date	Name	Amount
05/06/2013	American Friends of Yeshiva Shiloh	150 00
05/07/2013	Alan Yair	180 00
05/09/2013	Tzedaka U' Chesed	18 00
05/09/2013	Tzedaka U' Chesed	18 00
05/13/2013	Torah Educational Network	18 00
05/22/2013	American Diabetes Association	500 00
05/23/2013	Friends of the IDF	500 00
05/23/2013	Aish Ha Torah	500 00
05/28/2013	Tzedaka U' Chesed	50 00
05/28/2013	Mesod Botoshen	50 00
06/04/2013	Tzedaka U' Chesed	25 00
06/04/2013	Tzedaka U' Chesed	25 00
06/04/2013	Keren Haari	25 00
06/05/2013	Cong Chesed V'Emes	360 00
06/05/2013	Siach Yitzchok	500 00
06/05/2013	Priority One	360 00
06/10/2013	Keren YNY	360 00
06/10/2013	Shor Yoshuv	2,500 00
06/10/2013	Tosfos Yom Tov	2,500 00
06/10/2013	Ner Israel Service League	180 00
06/10/2013	Young Israel of Lawrence Cedarhurst	2,555 00
06/10/2013	Yeshiva Beth hatalmud	250 00
06/11/2013	We Care	100 00
06/11/2013	Agudas Yisroel of West Lawrence	50 00
06/11/2013	Beis Ahron Torah Center	50 00
06/11/2013	Beis Ahron Torah Center	180 00
06/12/2013	Beth Shalom	1,260 00
06/13/2013	Tzedaka U' Chesed	18 00
07/01/2013	Cong Chesed V'Emes	360 00
07/01/2013	Chofetz Chaim Heritage Foundation	180 00
07/01/2013	Yeshiva Gedolah of Passaic	180 00
07/05/2013	Shor Yoshuv	900 00
07/08/2013	Derech Ayson Rabbinical Seminary	180 00
07/10/2013	Sheves Achim	36 00
07/10/2013	Bais Avi	36 00
07/11/2013	Tomchei Shabbos Yad Yeshaya	250 00
07/17/2013	cong Basis Avrohom Zev of Lawrence	800 00
07/22/2013	American Friends of Beis Maoz	100 00
07/22/2013	Keren YNY	1,000 00
07/23/2013	Zichron Kelen	500 00
07/29/2013	?	100 00
07/31/2013	Keren Orah	5,000 00
08/02/2013	Ohr Moshe	100 00
08/05/2013	Cong Chesed V'Emes	360 00
08/05/2013	Yeshiva of South Shore	2,000 00
08/06/2013	Tzedaka U' Chesed	100 00

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05/08/14  
Accrual Basis

**Yale Fishman Family Foundation**  
**General Ledger**

As of December 31, 2013

Date	Name	Amount
08/08/2013	Tomchei Shabbos Yad Yeshaya	250 00
08/08/2013	Michlol Institute	100 00
08/12/2013	Israel Children's Cancer Foundation	250 00
08/12/2013	Cong Chesed V'Emes	1,900 00
08/13/2013	Ohel Shalom	50 00
08/13/2013	Vaad L'Hachnasas Orchim	50 00
08/14/2013	Tomchei Temimim	50 00
09/02/2013	Cong Chesed V'Emes	360 00
09/02/2013	Torah Temima	50 00
09/02/2013	EOM	7,500 00
09/02/2013	Yad Chaya	7,500 00
09/02/2013	Shor Yoshuv	5,000 00
09/02/2013	Yeshiva Chaim V'Shalom Munkacs	180 00
09/02/2013	Avigdor's Helping Hand	500 00
09/02/2013	Simcha LaYeled	1,000 00
09/02/2013	Tiferes Achim	100 00
09/03/2013	Keren YNY	3,300 00
09/03/2013	Keren Hachessed	400 00
09/03/2013	Cong Chesed V'Emes	3,300 00
09/03/2013	Gomlei Chessed Fund of YGZM	500 00
09/10/2013	Keren Chaim	1,000 00
09/11/2013	Mesamche Lev	360 02
09/11/2013	Mitzvah Tank	360 00
09/11/2013	American Friends of Meir Panim	360 00
09/12/2013	American Friends of Kollel Even Yisroel	180 00
09/12/2013	Congregation Beth Solomon	500 00
09/12/2013	Levi Yitzchak Library	100 00
09/17/2013	Project Extreme	180 00
09/30/2013	Cong Chesed V'Emes	360 00
10/01/2013	Tzedaka U' Chesed	200 00
10/01/2013	Ezrat Yisrael	100 00
10/01/2013	Ezrat Yisrael	150 00
10/10/2013	Cong Beis Naftoli	1,000 00
10/10/2013	Cong Chesed V'Emes	500 00
10/14/2013	Yeshivas Simchas Chaim	250 00
10/14/2013	Young Israel of Lawrence Cedarhurst	15 00
10/14/2013	Yeshivas Nefesh Dovid	0 00
10/14/2013	Tomchei Shabbos Yad Yeshaya	180 00
10/15/2013	American Friends of Nefesh Dovid	500 00
10/16/2013	Cong Chesed V'Emes	1,000 00
10/21/2013	Zichron Kelen	500 00
10/23/2013	Bikur Cholim of FR & FT	36 00
10/23/2013	Tzedaka U' Chesed	180 00
10/25/2013	Machon Beth Aryeh	180 00
10/25/2013	Ohr Moshe	180 00
10/29/2013	Bet-EI	500 00

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05/08/14  
Accrual Basis

**Yale Fishman Family Foundation**  
**General Ledger**

**As of December 31, 2013**

<u>Date</u>	<u>Name</u>	<u>Amount</u>
10/29/2013	Ohel	380 00
10/29/2013	Yeshivas Mir Yerushalayim	5,000 00
10/29/2013	Bnos Bais Yaakov of Far Rockaway	200 00
10/29/2013	Kollel Avodas Levi	5,000 00
10/29/2013	Chemdat Meir	50 00
10/29/2013	Meir Gross	50 00
10/29/2013	David Cohen	50 00
11/04/2013	Cong Chesed V'Emes	360 00
11/04/2013	Chanicher Hayeshivot	50 00
11/04/2013	Ezrat Yisrael	50 00
11/05/2013	Agudath Israel of Amenca	54 00
11/05/2013	Vaad L'Hachnasas Orchim	50 00
11/05/2013	Gur Aryeh	50 00
11/06/2013	Aliyos Shlomo	180 00
11/07/2013	Yeshiva Hamiasher	180 00
11/08/2013	American Friends of Beth Mordechai	1,800 00
11/18/2013	Toldos Aharon	200 00
11/18/2013	AMerican Friends of Even Yisroel	1,000 00
11/20/2013	Shor Yoshuv	500 00
11/20/2013	Young Israel of Lawrence Cedarhurst	500 00
11/20/2013	Mesivta Yeshiva Chaim Berlin	600 00
11/25/2013	The Central Israel Fund	100 00
11/25/2013	Hatzolas Nefoshos	150 00
12/03/2013	Keren YNY	500 00
12/03/2013	Cong Chesed V'Emes	500 00
12/03/2013	Cong Chesed V'Emes	500 00
12/04/2013	Cong Chesed V'Emes	360 00
12/04/2013	Sheves Achim	50 00
12/04/2013	Camp HASC	180 00
12/04/2013	Davis Memorial Fund	100 00
12/04/2013	Congregation Mikveh South Shore, Inc	250 00
12/05/2013	Dystonia Medical Research Foundation	500 00
12/10/2013	American Friends of Das Yosef, Inc	500 00
12/16/2013	Yeshiva Shefa Chaim	100 00
12/16/2013	Zichron Menachem	250 00
12/18/2013	Project Extreme	100 00
12/18/2013	Cong Chesed V'Emes	140 00
12/18/2013	Yeshiva of South Shore	1,000 00
12/23/2013	Simcha LaYeled	1,000 00
12/24/2013	The JWE	10,000 00
12/24/2013	Kollel Zichron Ephraim	500 00
12/25/2013	Yad Chaya	3,600 00
Total Chantable Donation		<u>129,205 02</u>

TD AMERITRADE CLEARING INC

## Proceeds Not Reported to the IRS

Account 922041937

2013

**SHORT-TERM TRANSACTIONS** NOT reported on Form 1099-BReport on Form 999, Part I with Box C checked  
Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
PROSHARES ULTRASHORT LEHMAN 20YR TREAS / CUSIP: 743476201 / Symbol: TBT							
01/31/13	64,000	4,318.90	01/15/13	4,097.69	.	218.22	Sale
06/28/13	95,000	7,088.40	VARIOUS	6,005.78	..	1,080.64	Sale
07/23/13	191,000	14,087.53	04/04/13	11,680.59		2,108.94	Sale
	Security total	25,460.83		22,684.03	0.00	3,406.60	
SPDR BARCLAYS HIGH YIELD BOND ETF / CUSIP: 78484A417 / Symbol: JNK							
07/23/13	625,000	25,440.23	VARIOUS	25,371.18	.	69.07	Sale
TCW FUNDS EMERG MKTS INC FD CL N / CUSIP: 87234N351 / Symbol: TGINX							
07/23/13	1,287.475	14,458.34	VARIOUS	15,416.51	-	-958.17	Sale
	Totals:	65,389.40		62,671.78	0.00	2,517.70	

**UNDETERMINED-TERM TRANSACTIONS** NOT reported on Form 1099-BConsult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term.  
Report on Form 999, Use either Part I with Box C checked or Part II with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
BANK OF AMERICA CORP SR MTN CLBL QTRLY VAR / CUSIP: 06048VYC4 / Symbol:							
07/23/13	25,000,000	24,715.00	N/A 4/18/13	25,010.00		-295.00	Sale
COLTON CALIF JT UNI SCH DIST G/O UNLTD CALLABLE 0% / CUSIP: 197036JK3 / Symbol:							
05/09/13	100,000,000	23,283.00	N/A 3/1/13	20,825.00		2,458.00	Sale
EMERY CALIF UNI SCH DIST G/O BDS 0% CALLABLE / CUSIP: 291118GJ8 / Symbol:							
07/23/13	110,000,000	16,299.70	N/A 2/1/13	20,384.20		-4,084.50	Sale
ESCALON CALIF UNI SCH DIST G/O UNLTD MULTI CPN 0%-5% / CUSIP: 298065CL7 / Symbol:							
07/23/13	40,000,000	15,323.60	N/A 3/6/13	19,004.80		-3,681.12	Sale
GOLDMAN SACHS GROUP INCSR UNSECURED QRTLY VARIABLE / CUSIP: 38141GEG5 / Symbol:							
07/23/13	20,000,000	19,855.00	N/A 1/15/13	19,552.20		102.80	Sale

# Loss commissions

TD AMERITRADE CLEARING INC.

Account 922041937.

Proceeds Not Reported to the IRS

2013

(continued)

UNDETERMINED-TERM TRANSACTIONS NOT reported on Form 1099-B

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term. Report on Form 8949. Use either Part I with Box C checked or Part II with Box F checked.

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss (-)	Additional information
JEFFERSON CALIF SCH DISTGO BDS 0% CALLABLE / CUSIP: 472430CG0 / Symbol 07/25/13	125,000 000	14,980 00	N/A 5/22/13	18,490.00		-3,910.00	Sale
KERMAN CALIF UNI SCH DISTGO BONDS UNLTD 0% CALLABLE / CUSIP: 492178BS4 / Symbol 01/17/13	45,000,000	21,388 40	N/A 1/2/13	20,197.90		1,190.50	Sale
MET PIER & EXPOSITION AUTH ILPROJ BDS 0% / CUSIP: 592250AQ7 / Symbol 06/28/13	125,000 000	15,223 75	N/A 1/23/13	19,911.25		-4,687.50	Sale
MET PIER & EXPOSITION AUTH ILPROJ BDS 0% CALLABLE / CUSIP: 592250AR5 / Symbol 07/25/13	130,000,000	12,234 70	N/A 6/28/13	14,986.00		-2,751.30	Sale
MORGAN STANLEY DEAN WITTERSR UNSECURED VARIABLE / CUSIP: 61745EE72 / Symbol 07/26/13	25,000 000	24,952 50	N/A 1/29/13	24,835.00		117.50	Sale
NEW YORK NY CITY MUN WTR AUTHREV BDS CALLABLE / CUSIP: 64972FPC4 / Symbol 07/23/13	20,000,000	20,865 00	N/A 6/20/13	20,737.60		127.40	Sale
NEW YORK ST DORM AUTH ST PERST PER INCOME TAX REV BD CLBL / CUSIP: 649902LZ4 / Symbol 07/23/13	20,000 000	20 885 00	N/A 6/24/13	21,170.00		-305.00	Sale
PATTERSON CALIF JT UN: SCH DIGO BDS 0% / CUSIP: 703373EY2 / Symbol 03/11/13	50,000 000	19,551 00	N/A 2/19/13	19,345.00		206.00	Sale
PEMEX PROJ FDG MASTER TRSR UNSECURED / CUSIP: 706451BD2 / Symbol 07/18/13	21,000 000	26 418 82	N/A 12/11/12	27,840.12		-1,421.20	Sale
PUERTO RICO SALES TAX FINGSALES TAX REV BDS 0% MAW / CUSIP: 74529JAL9 / Symbol 07/09/13	105,000 000	14,503 40	N/A 2/6/13	17,374.90		-2,791.50	Sale
PUERTO RICO SALES TAX FINGSALES TAX REV BDS 0% CLBL MAW / CUSIP: 74529JAP0 / Symbol 07/25/13	250,000 000	16,742 50	N/A 1/17/13	20,177.50		-3,435.00	Sale
PUERTO RICO SALES TAX FINGSALES TAX REV BDS 0% MAW / CUSIP: 74529JL06 / Symbol 07/25/13	70,000 000	13,783 50	N/A 7/19/13	14,916.50		-1,133.00	Sale

# Less commissions

TD AMERITRADE CLEARING INC

Proceeds Not Reported to the IRS  
(continued)

Account 922041837

2013

UNDETERMINED-TERM TRANSACTIONS NOT reported on Form 1099-B

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term. Report on Form 8949. Use either Part I with Box C checked or Part II with Box F checked.

Description / CUSIP / Symbol	Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information	
RICHLAND CALIF SCH DISTGO SCH DIST BDS 0% / CUSIP: 783507EY2 / Symbol:	07/25/13	75,000.000	16,102.25	N/A	2/25/13 19,342.75	-	-3,240.50	Sale	
RIPON CALIF UNI SCH DISTGO BDS 0% CALLABLE / CUSIP: 767520GC7 / Symbol:	08/03/13	65,000.000	19,930.70	N/A	3/20/13 19,307.85	-	622.85	Sale	
SAN FRANCISCO CITY & CNTY CASPECIAL TAX BDS 0% / CUSIP: 78772ABS5 / Symbol:	02/12/13	75,000.000	20,249.00	N/A	1/31/13 19,768.75	-	471.25	Sale	
SOLANO CALIF CMNTY COLLEGE DIGO BDS 0% CALLABLE / CUSIP: 83412PBZA / Symbol:	07/25/13	70,000.000	16,144.60	N/A	6/5/13 18,966.70	-	-2,822.10	Sale	
UNION BK CALIF N A SAN FRANCISCO CMDTY LNKD 0% / CUSIP: 90521ADX3 / Symbol:	07/23/13	25,000.000	23,955.00	N/A	5/14/13 23,310.00	-	655.00	Sale	
VICTOR VALLEY CALIF JT UN HIGHGO UNLTD CALLSINK 0% / CUSIP: 926055HR8 / Symbol:	07/25/13	185,000.000	15,471.05	N/A	2/11/13 19,701.10	-	-4,230.05	Sale	
VIKELAND SCH DIST CALIFGO BDS 0% / CUSIP: 927418BJ1 / Symbol:	07/25/13	100,000.000	13,942.00	N/A	2/7/13 18,232.00	-	-4,290.00	Sale	
WESTMINSTER CALIF SCH DISTGO UNLTD STEP CPN 0%-5 35% / CUSIP: 960621CA8 / Symbol:	07/25/13	60,000.000	19,998.60	N/A	3/14/13 19,454.20	-	-2,455.40	Sale	
WILLIAM S HART CA UNION HIGHGO BDS 0% CALLABLE / CUSIP: 969268CNR / Symbol:	07/25/13	70,000.000	16,918.00	N/A	2/7/13 20,792.30	-	-3,876.30	Sale	
Totals:			489,585.37			0.00	524,033.62	0.00	
								-43,448.25	

# Less commissions

TD AMERITRADE CLEARING INC

Account 864546986

Supplemental Information

2013

**SUMMARY OF PROCEEDS, GAINS & LOSSES AND TAX WITHHELD**

Refer to the 1099-B and PROCEEDS NOT REPORTED to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term*	Category	Detail Schedule	Gross Proceeds #	Cost Basis†	Wash Sale Loss Disallowed	Net Gain/Loss
Short	A (basis reported to the IRS)	Form 1099-B	54,832,768.59	56,736,767.32	2,307,903.26	-1,903,998.73
Long	D (basis reported to the IRS)	Form 1099-B	0.00	0.00	0.00	0.00
		<b>Total Categories A, B, D, E</b>	<b>54,832,768.59</b>	<b>56,736,767.32</b>	<b>2,307,903.26</b>	<b>-1,903,998.73</b>

**Withholding from Proceeds**

Federal income tax withheld	0.00
State tax withheld	0.00

\*Amounts of undetermined-term must be reviewed to establish the correct acquisition date and whether the gains/losses are short-term or long-term.

# Less commissions.

†Cost basis totals include only amounts that were available to us.

**TD AMERITRADE CLEARING INC**

**Proceeds from Broker and Barter Exchange Transactions**

Account 864546986

OMB No. 1545-0715

2013 1099-B\*

**Ic - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol	1e - Quantity or exchange	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional Information
These columns are not reported to the IRS							
AFLAC INC PFD 5.5% DIV QTRLY CALLABLE / CUSIP: 001055300 / Symbol: AFSD	1,000.000	26,349.60	09/19/12	25,000.00	...	1,349.60	Sale
AMAZON.COM INC COM / CUSIP: 023135106 / Symbol: AMZN	250.000	73,824.74	VARIOUS	72,578.05	0.00	1,246.69	Total of 2 lots
07/11/13	75.000	22,886.11	07/09/13	21,865.88	...	1,020.23	Sale
07/26/13	500.000	152,560.93	VARIOUS	149,302.12	125.86	3,258.81	Total of 3 lots
08/08/13	250.000	73,808.71	VARIOUS	74,246.85	438.14	-438.14	Sale
08/21/13	500.000	143,292.67	VARIOUS	146,930.02	3,746.67	-3,637.35	Total of 2 lots
08/26/13	250.000	72,368.77	VARIOUS	74,210.96	1,889.58	-1,842.19	Sale
08/28/13	300.000	84,603.83	VARIOUS	88,023.98	3,420.15	-3,420.15	Total of 2 lots
09/03/13	300.000	87,041.51	08/27/13	87,582.02	...	-540.51	Sale
09/09/13	75.000	22,319.87	08/27/13	22,223.86	...	96.01	Sale
10/04/13	25.000	7,895.92	08/27/13	7,344.07	...	551.85	Sale
10/18/13	25.000	8,263.35	08/27/13	7,291.28	...	972.07	Sale
11/14/13	20.000	7,318.07	08/27/13	5,861.45	...	1,456.62	Sale
11/29/13	70.000	27,363.41	VARIOUS	25,919.32	0.00	1,444.09	Total of 2 lots
12/11/13	200.000	76,942.86	VARIOUS	76,458.08	...	484.78	Sale
12/23/13	125.000	50,563.96	VARIOUS	49,510.74	0.00	1,053.22	Total of 2 lots
12/27/13	200.000	80,811.09	VARIOUS	79,448.17	...	1,362.92	Sale
	Security total:	991,865.80		988,796.85	9,620.40	3,068.95	
AMERICAN CAPITAL AGENCY CORP COM / CUSIP: 02503X105 / Symbol: AGNC	1,000.000	32,579.57	02/06/13	31,529.50	...	1,050.07	Sale
02/20/13							
AMERICAN CAP MTG INVT CORP COM / CUSIP: 02504A104 / Symbol: MTGE	1,000.000	25,989.51	02/06/13	26,109.70	...	-120.19	Sale
02/20/13							
AMERICAN INTL GROUP INC COM / CUSIP: 026874784 / Symbol: AIG	1,000.000	39,924.40	03/22/13	37,903.33	...	2,021.07	Sale
04/08/13	500.000	20,124.59	03/22/13	18,951.67	...	1,172.92	Sale
04/10/13	500.000	20,145.04	03/25/13	19,002.50	...	1,142.54	Sale
04/12/13	500.000	20,324.59	03/25/13	19,002.50	...	1,322.09	Sale
04/23/13	1,000.000	45,535.20	05/23/13	43,475.00	...	2,060.20	Sale

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 \*\* For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.  
 # Less commissions.

TD AMERITRADE CLEARING INC

Account 864546986

**Proceeds from Broker and Barter Exchange Transactions**

2013 1099-B\*

(continued)

OMB No. 1545-0715

**6 - COVERED tax lot for which cost basis is reported to the IRS\*\***

**Ic - SHORT-TERM TRANSACTIONS**  
Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol	1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of <sup>a</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(c)	Additional Information
AMERICAN INTL GROUP INC COM / CUSIP: 026874784 / Symbol: AIG (cont'd)								
	05/30/13	1,000,000	46,144.29	05/23/13	44,224.90	...	1,919.39	Sale
	06/04/13	2,000,000	90,269.07	VARIOUS	89,835.96	0.00	433.11	Total of 2 lots
	06/05/13	1,000,000	45,104.31	06/03/13	44,560.00	...	544.31	Sale
	06/07/13	1,000,000	45,194.31	06/04/13	44,173.84	...	1,020.47	Sale
	06/10/13	500,000	22,964.65	06/05/13	22,097.00	...	867.65	Sale
	06/13/13	500,000	22,645.10	06/05/13	22,097.00	...	548.10	Sale
	06/14/13	500,000	22,955.10	06/13/13	22,247.50	...	707.60	Sale
	07/01/13	1,000,000	45,248.41	VARIOUS	44,122.50	...	1,125.91	Sale
	07/05/13	1,500,000	67,464.26	VARIOUS	65,492.25	0.00	1,972.01	Total of 2 lots
	07/12/13	250,000	11,682.37	07/03/13	11,153.63	...	528.74	Sale
	08/02/13	500,000	24,289.62	VARIOUS	22,832.76	...	1,456.86	Sale
	08/08/13	500,000	24,194.57	07/19/13	23,358.29	...	836.28	Sale
	08/21/13	1,500,000	70,493.77	VARIOUS	70,257.10	374.58	236.67	Sale
	08/22/13	1,000,000	47,424.21	VARIOUS	46,122.33	0.00	1,301.88	Total of 2 lots
	08/29/13	1,500,000	70,568.83	VARIOUS	69,740.75	0.00	828.08	Total of 2 lots
	09/03/13	500,000	23,874.58	08/27/13	23,149.95	...	724.63	Sale
	09/09/13	250,000	12,200.03	08/27/13	11,574.98	...	625.05	Sale
	10/10/13	1,750,000	85,664.00	VARIOUS	83,762.71	46.73	1,901.29	Total of 2 lots
	10/14/13	250,000	12,469.81	10/09/13	11,961.68	...	508.13	Sale
	10/18/13	150,000	7,799.74	10/09/13	7,176.99	...	622.75	Sale
	11/07/13	2,000,000	94,956.34	VARIOUS	98,212.08	3,255.74	-3,255.74	Sale
	11/08/13	1,000,000	48,605.15	11/06/13	49,697.07	1,127.29	-1,091.92	Sale
	11/14/13	1,000,000	48,896.14	11/07/13	48,883.17	260.02	12.97	Sale
	11/22/13	1,000,000	49,485.13	11/12/13	49,353.19	...	131.94	Sale
	12/04/13	750,000	36,888.15	12/03/13	36,638.40	...	249.75	Sale
	12/06/13	1,750,000	86,414.07	VARIOUS	84,953.62	0.00	1,460.45	Total of 2 lots
	12/18/13	1,000,000	49,886.90	VARIOUS	49,016.13	0.00	870.77	Total of 2 lots
	12/19/13	1,000,000	50,575.21	12/17/13	49,539.27	...	1,035.94	Sale
		Security total:	1,410,411.94		1,384,570.05	5,064.36	25,841.89	

These columns are not reported to the IRS

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# Less commissions.

TD AMERITRADE CLEARING INC

Account 864546986

2013 1099-B\*

(continued)

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

**1c - SHORT-TERM TRANSACTIONS** 6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

**8 - Description / CUSIP / 1d - Symbol**

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of <sup>†</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
ANADARKO PETROL CORP COM / CUSIP: 032511107 / Symbol: APC							
01/03/13	750.000	57,626.29	12/31/12	54,458.68	...	3,167.61	Sale
02/28/13	500.000	39,754.15	02/20/13	40,950.00	...	-1,195.85	Sale
05/28/13	600.000	54,745.64	VARIOUS	52,575.00	0.00	2,170.64	Total of 2 lots
06/04/13	400.000	35,210.78	05/23/13	35,050.00	...	160.78	Sale
06/07/13	1,400.000	123,891.87	VARIOUS	122,721.14	0.00	1,170.73	Total of 2 lots
07/01/13	500.000	43,679.28	VARIOUS	42,677.38	1.09	1,001.90	Sale
07/05/13	250.000	21,877.11	06/20/13	21,003.81	...	873.30	Sale
07/11/13	250.000	22,409.60	06/20/13	21,004.90	...	1,404.70	Sale
07/30/13	250.000	21,987.14	07/24/13	22,481.23	494.09	-494.09	Sale
08/08/13	1,300.000	116,941.95	VARIOUS	116,854.45	211.40	87.50	Total of 2 lots
08/16/13	700.000	63,658.89	08/06/13	62,404.29	...	1,254.60	Sale
08/26/13	350.000	32,311.70	VARIOUS	30,809.47	0.00	1,502.23	Total of 2 lots
08/28/13	250.000	23,192.09	08/15/13	22,119.44	...	1,072.65	Sale
10/14/13	250.000	23,904.73	VARIOUS	22,925.21	...	979.52	Sale
11/05/13	500.000	46,645.23	VARIOUS	47,197.56	552.33	-552.33	Sale
11/07/13	500.000	45,460.25	11/05/13	47,395.43	1,935.18	-1,935.18	Sale
11/12/13	500.000	44,940.21	11/05/13	48,619.23	3,679.02	-3,679.02	Sale
11/14/13	500.000	45,527.69	VARIOUS	46,120.74	593.05	-593.05	Total of 2 lots
11/22/13	500.000	45,992.69	11/07/13	49,330.97	3,338.28	-3,338.28	Sale
11/25/13	500.000	45,170.21	11/25/13	45,847.00	676.79	-676.79	Sale
12/11/13	500.000	42,125.46	VARIOUS	42,575.83	450.37	-450.37	Total of 2 lots
12/13/13	1,500.000	115,005.39	VARIOUS	127,115.11	12,851.67	-12,109.72	Total of 2 lots
12/16/13	1,800.000	142,193.05	VARIOUS	150,480.56	9,809.53	-8,287.51	Total of 3 lots
12/27/13	700.000	55,639.13	VARIOUS	61,215.99	5,576.86	-5,576.86	Total of 2 lots
	Security total:	1,309,890.53		1,333,933.42	40,169.66	-24,042.89	
APACHE CORP COM / CUSIP: 037411105 / Symbol: APA							
02/27/13	2,500.000	185,691.09	VARIOUS	185,388.03	1,061.78	303.06	Sale
03/01/13	1,000.000	73,803.44	03/01/13	73,498.56	278.79	304.88	Sale
03/06/13	4,000.000	293,867.57	VARIOUS	294,333.72	1,454.85	-466.15	Total of 4 lots

These columns are not reported to the IRS

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 # Less commissions.

TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B\*

Account 864546986

OMB No. 1545-0715

**1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of <sup>a</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional Information
APACHE CORP COM / CUSIP: 037411105 / Symbol: APA (cont'd)							
06/03/13	1,000,000	83,231.61	VARIOUS	82,105.00	0.00	1,126.61	Total of 2 lots
06/05/13	500,000	42,824.30	06/04/13	41,989.70	...	834.60	Sale
06/28/13	500,000	41,909.32	06/04/13	41,984.50	75.18	-75.18	Sale
07/05/13	1,900,000	156,038.12	VARIOUS	155,372.92	702.54	665.20	Total of 2 lots
07/11/13	600,000	49,038.14	07/03/13	48,634.90	...	403.24	Sale
07/15/13	500,000	41,286.78	07/10/13	40,522.45	...	764.33	Sale
07/19/13	250,000	21,125.88	07/10/13	20,261.23	...	864.65	Sale
07/24/13	750,000	61,707.95	VARIOUS	62,831.59	1,431.46	-1,123.64	Total of 2 lots
07/25/13	500,000	41,174.78	07/24/13	41,171.79	...	2.99	Sale
07/30/13	250,000	20,049.90	07/24/13	20,585.89	535.99	-535.99	Sale
08/08/13	800,000	66,134.89	VARIOUS	67,335.57	1,210.12	-1,200.68	Total of 2 lots
08/19/13	2,100,000	158,368.13	VARIOUS	179,057.72	20,689.59	-20,689.59	Total of 3 lots
08/21/13	1,800,000	124,057.00	VARIOUS	133,493.91	10,481.67	-9,436.91	Sale
08/22/13	300,000	23,508.68	08/20/13	26,669.27	...	-3,160.59	Sale
08/23/13	350,000	27,959.55	08/20/13	28,775.63	...	-816.08	Sale
08/30/13	300,000	25,242.86	08/20/13	25,753.30	...	-510.44	Sale
11/04/13	500,000	45,103.73	VARIOUS	44,290.31	7.09	813.42	Total of 2 lots
11/13/13	500,000	45,280.71	11/11/13	44,916.97	...	363.74	Sale
11/14/13	800,000	73,540.16	VARIOUS	71,687.40	0.00	1,852.76	Total of 2 lots
11/22/13	200,000	18,865.69	11/12/13	17,851.60	...	1,014.09	Sale
12/11/13	900,000	78,300.76	VARIOUS	78,842.31	366.32	-\$41.55	Total of 3 lots
12/17/13	211,000	18,095.15	12/11/13	18,484.14	...	-388.99	Sale
12/27/13	989,000	85,378.95	VARIOUS	85,705.14	0.00	-326.19	Total of 2 lots
	Security total:	1,901,585.14		1,931,543.55	38,295.38	-29,958.41	

These columns are not reported to the IRS

APOLLO RESIDENTIAL MORTGAGE COM / CUSIP: 03763V102 / Symbol: AMTG

02/08/13

1,000,000

VARIOUS

22,098.85

22,789.50

0.00

-690.65

Total of 5 lots

APPLE INC COM / CUSIP: 037833100 / Symbol: AAPL

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 # Less commissions.

TD AMERITRADE CLEARING INC

Account 864546986

2013 1099-B\*

OMB No. 1545-0715

**Proceeds from Broker and Barter Exchange Transactions**

(continued)

**1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

8 - Description / CUSIP / 1d - Symbol	1e - Quantity	2a - Proceeds of <sup>#</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(c)	Additional Information
APPLE INC COM / CUSIP: 037833100 / Symbol: AAPL (cont'd)							
01/16/13	600.000	302,155.62	VARIOUS	310,542.54	8,386.92	-8,386.92	Total of 2 lots
01/22/13	200.000	100,464.55	VARIOUS	100,101.62	0.00	362.93	Total of 3 lots
01/23/13	200.000	102,514.72	VARIOUS	102,284.41	392.80	230.31	Total of 2 lots
01/24/13	200.000	90,264.01	01/15/13	97,824.78	7,560.77	-7,560.77	Sale
01/31/13	250.000	114,389.98	VARIOUS	131,104.73	16,714.75	-16,714.75	Sale
03/05/13	1,000.000	433,645.28	VARIOUS	473,729.72	40,084.44	-40,084.44	Sale
03/08/13	2,500.000	1,084,700.72	VARIOUS	1,165,748.05	81,781.11	-81,047.33	Total of 3 lots
03/11/13	2,250.000	984,069.08	VARIOUS	1,048,117.90	67,027.75	-64,048.82	Total of 3 lots
03/14/13	2,500.000	1,083,206.72	VARIOUS	1,108,681.48	30,904.72	-25,474.76	Total of 4 lots
03/15/13	500.000	220,040.07	03/13/13	215,038.62	...	5,001.45	Sale
03/18/13	1,000.000	449,986.96	VARIOUS	493,078.38	48,347.86	-43,091.42	Total of 3 lots
03/21/13	2,000.000	910,177.80	VARIOUS	940,035.18	29,857.38	-29,857.38	Total of 2 lots
04/23/13	1,000.000	403,723.01	VARIOUS	447,730.56	44,007.55	-44,007.55	Total of 2 lots
04/25/13	500.000	204,546.44	VARIOUS	216,120.06	11,573.62	-11,573.62	Total of 2 lots
04/29/13	1,000.000	426,393.69	VARIOUS	475,677.19	49,283.50	-49,283.50	Total of 3 lots
05/02/13	500.000	223,745.23	VARIOUS	233,483.46	9,738.23	-9,738.23	Total of 2 lots
05/03/13	125.000	56,603.73	05/01/13	57,525.73	922.00	-922.00	Sale
05/05/13	250.000	114,574.96	VARIOUS	117,904.60	3,329.64	-3,329.64	Sale
05/08/13	500.000	232,037.33	VARIOUS	248,250.61	16,213.28	-16,213.28	Total of 2 lots
05/09/13	250.000	114,637.97	VARIOUS	131,286.14	16,648.17	-16,648.17	Total of 3 lots
05/10/13	2,900.000	1,311,013.00	VARIOUS	1,421,368.33	110,355.33	-110,355.33	Total of 6 lots
05/13/13	500.000	228,506.83	05/10/13	235,331.62	6,824.79	-6,824.79	Sale
05/20/13	3,750.000	1,650,714.92	VARIOUS	1,802,806.60	153,151.09	-152,091.68	Total of 8 lots
05/28/13	250.000	112,388.29	05/17/13	114,510.75	2,272.03	-2,122.46	Sale
05/29/13	250.000	111,195.59	05/23/13	115,787.11	4,591.52	-4,591.52	Sale
06/03/13	200.000	90,119.96	VARIOUS	107,571.54	17,451.58	-17,451.58	Total of 2 lots
06/04/13	250.000	113,253.02	VARIOUS	129,760.04	16,507.02	-16,507.02	Sale

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 # Less commissions.

TD AMERITRADE CLEARING INC

Account 864546986

Proceeds from Broker and Barter Exchange Transactions

(continued)

OMB No. 1545-0715

Ic - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of <sup>e</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
APPLE INC COM / CUSIP: 037833100 / Symbol: AAPL (cont'd)							
06/07/13	250,000	110,399.00	06/03/13	124,326.33	13,927.33	-13,927.33	Sale
08/10/13	250,000	112,010.80	06/05/13	116,352.87	4,342.07	-4,342.07	Sale
06/11/13	250,000	110,270.83	06/10/13	116,903.75	6,632.92	-6,632.92	Sale
08/13/13	200,000	87,084.78	06/11/13	89,694.74	2,609.96	-2,609.96	Sale
08/17/13	250,000	108,580.86	VARIOUS	111,885.25	3,304.39	-3,304.39	Sale
06/25/13	650,000	260,321.97	VARIOUS	320,575.70	56,457.21	-60,253.73	Sale
07/09/13	1,000,000	416,838.00	VARIOUS	442,626.09	9,129.12	-25,788.09	Total of 5 lots
07/11/13	50,000	21,313.62	07/09/13	21,379.86	66.24	-66.24	Sale
07/15/13	50,000	21,513.12	07/09/13	21,047.97	...	465.15	Sale
07/22/13	100,000	42,873.75	07/09/13	47,603.70	4,729.95	-4,729.95	Sale
07/24/13	350,000	153,464.32	VARIOUS	185,099.25	16,752.03	-31,634.93	Total of 2 lots
07/26/13	50,000	22,029.61	07/23/13	25,385.39	...	-3,355.78	Sale
07/30/13	50,000	22,508.10	07/23/13	25,386.15	2,878.05	-2,878.05	Sale
08/08/13	50,000	23,086.14	07/23/13	25,400.97	2,314.83	-2,314.83	Sale
08/12/13	100,000	46,170.29	VARIOUS	52,159.42	5,989.13	-5,989.13	Sale
08/13/13	100,000	47,390.47	VARIOUS	48,818.05	2,314.60	-1,427.58	Total of 3 lots
08/14/13	50,000	25,114.57	08/09/13	24,044.59	...	1,069.98	Sale
08/19/13	100,000	51,200.20	VARIOUS	57,223.44	6,998.30	-6,023.24	Sale
09/03/13	100,000	49,450.25	VARIOUS	60,206.68	10,756.43	-10,756.43	Sale
09/09/13	100,000	50,457.25	08/27/13	54,231.28	3,774.03	-3,774.03	Sale
09/10/13	350,000	176,641.67	VARIOUS	202,514.29	25,872.62	-25,872.62	Total of 2 lots
09/11/13	1,000,000	469,317.28	VARIOUS	541,633.88	72,316.60	-72,316.60	Total of 3 lots
09/12/13	500,000	236,815.92	09/11/13	275,530.14	38,714.22	-38,714.22	Sale
09/13/13	750,000	350,171.92	VARIOUS	408,238.23	58,066.31	-58,066.31	Total of 2 lots
09/19/13	250,000	118,743.18	09/12/13	129,111.31	10,368.13	-10,368.13	Sale
09/23/13	125,000	61,993.92	09/13/13	72,209.91	10,215.99	-10,215.99	Sale
10/01/13	150,000	72,773.88	VARIOUS	90,756.57	17,982.69	-17,982.69	Sale
10/04/13	200,000	96,441.32	VARIOUS	107,868.16	11,426.84	-11,426.84	Sale
10/07/13	200,000	98,381.65	VARIOUS	100,508.42	2,126.76	-2,126.76	Total of 2 lots
10/10/13	100,000	48,998.39	10/03/13	54,768.37	5,769.98	-5,769.98	Sale
10/11/13	100,000	49,254.24	10/03/13	56,461.30	7,207.06	-7,207.06	Sale
10/18/13	50,000	25,400.10	10/09/13	29,256.14	3,856.04	-3,856.04	Sale

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 # Less commissions.

TD AMERITRADE CLEARING INC

Account 864546986

2013 1099-B\*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of <sup>#</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
APPL INC COM / CUSIP: 037833100 / Symbol: AAPL (cont'd)							
10/22/13	100.000	52,040.10	10/09/13	61,648.81	9,608.71	-9,608.71	Sale
10/23/13	100.000	52,312.08	VARIOUS	59,679.55	7,367.47	-7,367.47	Sale
10/24/13	250.000	132,456.19	VARIOUS	136,204.38	3,748.19	-3,748.19	Total of 2 lots
10/28/13	350.000	186,078.42	VARIOUS	203,489.98	17,411.56	-17,411.56	Total of 5 lots
10/29/13	150.000	79,062.13	10/28/13	88,827.61	9,765.48	-9,765.48	Sale
10/30/13	100.000	52,333.08	VARIOUS	58,848.71	6,515.63	-6,515.63	Sale
10/31/13	100.000	52,665.18	10/29/13	54,445.15	1,779.97	-1,779.97	Sale
11/04/13	300.000	157,183.08	VARIOUS	165,627.53	8,444.45	-8,444.45	Total of 4 lots
11/07/13	300.000	155,959.58	VARIOUS	176,696.82	20,737.24	-20,737.24	Total of 2 lots
11/08/13	450.000	232,810.73	VARIOUS	246,719.59	13,908.86	-13,908.86	Total of 2 lots
11/12/13	200.000	104,232.38	11/07/13	117,237.51	13,005.13	-13,005.13	Sale
11/13/13	250.000	130,368.74	VARIOUS	143,991.66	13,622.92	-13,622.92	Total of 2 lots
11/14/13	150.000	79,178.17	VARIOUS	79,958.22	1,022.05	-780.05	Total of 2 lots
11/19/13	750.000	391,224.05	VARIOUS	425,382.44	34,158.39	-34,158.39	Total of 3 lots
11/21/13	900.000	465,601.14	VARIOUS	505,081.41	39,480.27	-39,480.27	Total of 3 lots
11/25/13	250.000	130,841.47	11/21/13	148,095.64	17,254.17	-17,254.17	Sale
11/26/13	150.000	80,006.59	VARIOUS	88,098.09	8,091.50	-8,091.50	Total of 2 lots
11/27/13	50.000	27,212.03	11/22/13	28,255.91	1,043.88	-1,043.88	Sale
11/29/13	350.000	192,537.80	VARIOUS	200,255.54	8,163.08	-7,717.74	Total of 3 lots
12/04/13	400.000	226,442.40	VARIOUS	244,590.63	18,263.16	-18,148.23	Total of 2 lots
12/05/13	300.000	171,564.89	VARIOUS	177,356.71	5,910.35	-5,791.82	Total of 5 lots
12/06/13	250.000	141,128.57	VARIOUS	153,444.06	12,315.49	-12,315.49	Sale
12/09/13	750.000	426,483.17	VARIOUS	452,366.74	26,098.61	-25,883.57	Total of 4 lots
12/10/13	500.000	282,669.10	VARIOUS	300,862.46	18,403.78	-18,193.36	Total of 3 lots
12/11/13	100.000	57,072.00	12/10/13	58,299.38	1,518.03	-1,227.38	Sale
12/12/13	500.000	281,158.40	VARIOUS	300,362.81	19,288.61	-19,204.41	Total of 2 lots

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 # Less commissions.

TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions

Account 864546986

2013 1099-B\*

(continued)

OMB No. 1545-0715

**8 - SHORT-TERM TRANSACTIONS** 6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

						These columns are not reported to the IRS	
8 - Description / CUSIP / 1d - Symbol	1e - Quantity	2a - Proceeds of <sup>a</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional Information
APPLE INC COM / CUSIP: 037833100 / Symbol: AAPL (cont'd)	250,000	138,615.60	VARIOUS	146,743.13	8,127.53	-8,127.53	Total of 2 lots
12/13/13							
12/16/13	400,000	224,430.77	VARIOUS	240,512.56	16,081.79	-16,081.79	Total of 2 lots
12/17/13	200,000	110,958.95	VARIOUS	120,384.40	9,425.45	-9,425.45	Total of 2 lots
12/18/13	1,100,000	600,544.11	VARIOUS	658,936.11	58,392.00	-58,392.00	Total of 6 lots
12/20/13	500,000	275,417.45	VARIOUS	298,663.43	23,245.98	-23,245.98	Total of 2 lots
12/23/13	300,000	170,151.68	VARIOUS	176,582.05	7,339.00	-6,430.37	Total of 3 lots
12/27/13	500,000	281,029.63	VARIOUS	300,267.71	19,239.97	-19,238.08	Total of 2 lots
12/31/13	500,000	280,245.50	VARIOUS	302,705.73	22,460.23	-22,460.23	Total of 4 lots
Security total:		21,278,096.05		23,001,232.41	1,712,122.29	-1,723,136.36	
APPLIED MATERIALS INC COM / CUSIP: 038222105 / Symbol: AMAT	1,000,000	13,159.80	02/07/13	13,390.00	...	-230.20	Sale
02/07/13							
ARCHER DANIELS MIDLAND CO COM / CUSIP: 039483102 / Symbol: ADM	1,000,000	32,629.36	02/14/13	31,097.40	...	1,531.96	Sale
02/20/13							
02/27/13	3,000,000	95,605.85	02/26/13	94,799.70	...	806.15	Sale
Security total:		128,235.21		125,897.10	0.00	2,338.11	
ARLINGTON ASSET INV CORP CLASS A COM / CUSIP: 041356205 / Symbol: AI	1,000,000	24,165.45	VARIOUS	23,669.50	0.00	495.95	Total of 2 lots
02/07/13							
BP PLC SPONS ADR / CUSIP: 055622104 / Symbol: BP	500,000	21,204.63	07/08/13	20,631.62	...	573.01	Sale
07/11/13							
07/18/13	500,000	21,480.12	07/08/13	20,631.61	...	848.51	Sale
08/21/13	1,500,000	60,759.93	VARIOUS	63,083.07	2,323.14	-2,323.14	Total of 2 lots
08/26/13	500,000	20,704.68	08/20/13	20,825.45	...	-120.77	Sale
10/14/13	1,000,000	42,555.25	08/20/13	42,914.49	725.39	-359.24	Sale
10/22/13	500,000	21,774.67	10/07/13	21,147.00	...	627.67	Sale
10/29/13	250,000	11,382.38	10/07/13	10,915.35	...	467.03	Sale
11/04/13	125,000	5,858.63	VARIOUS	5,457.68	0.00	400.95	Total of 2 lots

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TD AMERITRADE CLEARING INC

Account 864546986

2013 1099-B\*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions  
(continued)

**1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\***  
Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol	1e - Quantity	2a - Proceeds of <sup>o</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(c)	Additional Information
BP PLC SPONS ADR / CUSIP: 055622104 / Symbol: BP (cont'd)	125,000	5,841.20	10/07/13	5,499.36	...	341.84	Sale
Security total:		211,561.49		211,105.63	3,048.53	455.86	
BAIDU INC ADR / CUSIP: 056752108 / Symbol: BIDU							
01/02/13	200,000	20,823.74	12/27/12	19,809.98	...	1,013.76	Sale
01/03/13	200,000	20,799.54	12/27/12	19,809.98	...	989.56	Sale
03/12/13	5,000,000	441,040.08	VARIOUS	480,490.48	21,820.45	-39,450.40	Total of 2 lots
04/10/13	1,000,000	88,789.25	VARIOUS	91,754.43	2,092.90	-2,965.18	Total of 3 lots
04/15/13	500,000	44,594.00	03/19/13	43,485.51	...	1,108.49	Sale
05/06/13	500,000	42,544.04	04/26/13	44,097.90	1,553.86	-1,553.86	Sale
05/17/13	500,000	46,859.00	05/13/13	48,463.86	...	-1,604.86	Sale
07/05/13	500,000	45,394.96	07/03/13	44,793.60	...	601.36	Sale
07/09/13	200,000	18,414.67	07/03/13	17,906.00	...	508.67	Sale
07/11/13	200,000	19,124.18	VARIOUS	17,906.00	0.00	1,218.18	Total of 2 lots
07/15/13	50,000	5,095.46	07/03/13	4,476.50	...	618.96	Sale
07/22/13	250,000	27,912.26	VARIOUS	26,636.52	...	1,275.74	Sale
07/25/13	200,000	26,016.56	07/19/13	22,160.02	...	3,856.54	Sale
10/04/13	25,000	3,987.96	07/19/13	2,770.01	...	1,217.95	Sale
10/25/13	75,000	12,174.86	VARIOUS	10,665.25	...	1,509.61	Sale
11/14/13	250,000	39,062.92	VARIOUS	38,796.77	247.98	266.15	Sale
11/18/13	200,000	33,129.92	11/12/13	30,387.41	...	2,742.51	Sale
12/02/13	50,000	8,429.36	11/12/13	7,638.18	...	791.18	Sale
12/27/13	250,000	43,385.87	12/17/13	42,254.00	...	1,131.87	Sale
Security total:		987,578.63		1,014,302.40	25,715.19	-26,723.77	
BARNES & NOBLE INC COM / CUSIP: 067774109 / Symbol: BKS							
05/28/13	3,000,000	68,199.01	VARIOUS	64,890.40	0.00	3,308.61	Total of 2 lots
05/31/13	1,000,000	22,734.70	05/23/13	21,811.50	...	923.20	Sale
Security total:		90,933.71		86,701.90	0.00	4,231.81	
BEST BUY CO INC COM / CUSIP: 086516101 / Symbol: BBY							
11/20/13	750,000	28,969.87	11/19/13	29,928.75	255.70	-958.88	Sale
12/27/13	250,000	10,046.07	11/19/13	10,227.55	...	-181.48	Sale
Security total:		39,015.94		40,156.30	255.70	-1,140.36	

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TD AMERITRADE CLEARING INC

Account 864546986

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2013 1099-B\*

**Ic - SHORT-TERM TRANSACTIONS** 6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

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BOEING CO COM / CUSIP: 097023105 / Symbol: BA							
01/03/13	1,000,000	77,008.28	12/31/12	74,339.89	...	2,668.39	Sale
01/17/13	2,000,000	145,996.72	VARIOUS	149,727.40	3,730.68	-3,730.68	Sale
02/01/13	1,000,000	74,973.42	01/29/13	76,541.54	...	-1,568.12	Sale
02/05/13	1,000,000	76,458.38	01/29/13	75,189.14	...	1,269.24	Sale
04/08/13	1,000,000	86,794.15	VARIOUS	85,455.00	0.00	1,339.15	Total of 2 lots
04/12/13	500,000	44,164.01	03/22/13	42,987.50	...	1,776.51	Sale
04/16/13	500,000	44,089.01	03/22/13	42,387.50	...	1,701.51	Sale
06/07/13	500,000	50,789.11	06/04/13	49,837.50	...	951.61	Sale
06/13/13	250,000	25,537.30	06/04/13	24,918.75	...	618.55	Sale
06/27/13	500,000	51,544.15	VARIOUS	50,316.00	...	1,228.15	Sale
07/05/13	250,000	25,719.80	06/20/13	25,217.25	...	502.55	Sale
07/08/13	150,000	15,752.37	06/25/13	14,817.00	...	935.37	Sale
07/30/13	800,000	83,843.58	VARIOUS	83,974.30	650.87	-130.72	Total of 2 lots
08/02/13	250,000	26,762.54	VARIOUS	26,398.75	0.00	363.79	Total of 2 lots
08/08/13	500,000	53,464.56	VARIOUS	53,382.29	235.68	82.27	Sale
08/13/13	500,000	52,444.08	08/07/13	53,110.46	666.38	-666.38	Sale
08/19/13	500,000	52,499.47	VARIOUS	53,320.47	821.00	-821.00	Total of 2 lots
08/26/13	200,000	21,206.77	08/15/13	20,881.78	...	324.99	Sale
08/29/13	2,000,000	208,508.75	VARIOUS	209,062.82	964.00	-554.07	Total of 3 lots
09/03/13	500,000	52,654.28	08/27/13	51,989.08	...	665.20	Sale
09/04/13	250,000	26,494.56	08/27/13	26,089.53	...	405.03	Sale
09/10/13	125,000	13,509.89	08/27/13	13,055.89	...	454.00	Sale
10/04/13	175,000	20,375.32	VARIOUS	18,815.25	...	1,560.07	Sale
10/07/13	200,000	23,386.69	10/03/13	23,041.98	...	344.71	Sale
10/10/13	200,000	23,520.79	10/07/13	23,238.30	...	282.49	Sale
10/23/13	175,000	22,607.03	VARIOUS	20,333.51	0.00	2,273.52	Total of 2 lots
11/18/13	200,000	27,705.51	VARIOUS	26,126.58	...	1,578.93	Sale
11/26/13	500,000	66,854.83	VARIOUS	67,108.75	609.04	-253.92	Sale
11/27/13	250,000	33,970.40	11/25/13	33,848.24	244.36	122.16	Sale
12/06/13	500,000	67,299.82	VARIOUS	68,385.06	35.85	914.76	Sale
12/17/13	250,000	34,125.43	12/04/13	32,762.33	...	1,363.10	Sale
12/20/13	1,000,000	136,573.72	VARIOUS	135,210.53	...	1,363.19	Sale
12/27/13	300,000	41,476.57	12/18/13	40,150.85	...	1,325.72	Sale

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 \*\*For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.  
 # Less commissions.

**TD AMERITRADE CLEARING INC**

Account 864546986

2013 1099-B\*

(continued)

OMB No. 1545-0715

**Proceeds from Broker and Barter Exchange Transactions**

**Ic - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / td - Symbol	1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of <sup>#</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
Security total:			1,808,111.29		1,789,421.22	7,957.86	18,690.07	
CVS CAREMARK CORPORATION COM / CUSIP: 126650100 / Symbol: CVS								
05/24/13		1,250,000	73,944.21	VARIOUS	73,375.71	0.00	568.50	Total of 2 lots
05/28/13		500,000	30,164.97	05/23/13	28,941.62	...	1,223.35	Sale
06/03/13		750,000	43,883.73	VARIOUS	43,412.42	0.00	471.31	Total of 2 lots
06/07/13		500,000	29,149.99	06/03/13	28,454.50	...	695.49	Sale
06/10/13		500,000	29,404.58	VARIOUS	28,718.07	0.00	686.51	Total of 2 lots
06/14/13		500,000	29,614.98	06/04/13	28,715.81	...	899.17	Sale
07/11/13		250,000	15,147.26	06/13/13	14,647.25	...	500.01	Sale
10/10/13		350,000	20,511.99	VARIOUS	20,297.82	...	214.17	Sale
10/14/13		300,000	17,868.71	10/03/13	16,951.70	...	917.01	Sale
10/23/13		250,000	15,282.86	10/03/13	14,126.41	...	1,156.45	Sale
11/25/13		100,000	6,684.91	10/03/13	5,650.57	...	1,034.34	Sale
Security total:			311,658.19		303,291.88	0.00	8,366.31	
CVS INVESTMENTS INC COM / CUSIP: 12673A108 / Symbol: CVS								
02/07/13		1,000,000	12,399.82	02/06/13	12,969.50	...	-569.68	Sale
CATERPILLAR INC COM / CUSIP: 149123101 / Symbol: CAT								
02/27/13		220,000	20,150.96	VARIOUS	19,846.39	...	304.57	Sale
02/28/13		500,000	46,264.01	02/26/13	44,829.95	...	1,434.06	Sale
05/03/13		3,000,000	258,549.64	VARIOUS	258,996.13	2,203.21	-446.49	Total of 4 lots
05/06/13		500,000	43,734.52	04/30/13	42,412.47	...	1,322.05	Sale
05/07/13		500,000	44,554.15	04/30/13	42,407.21	...	2,146.94	Sale
05/28/13		1,000,000	86,505.04	VARIOUS	87,722.71	1,217.67	-1,217.67	Total of 3 lots
06/03/13		1,000,000	85,854.50	VARIOUS	87,398.56	1,544.06	-1,544.06	Total of 2 lots
06/04/13		400,000	34,370.80	06/03/13	34,975.14	604.34	-604.34	Sale
06/07/13		900,000	76,320.96	VARIOUS	78,024.28	1,735.07	-1,703.32	Total of 2 lots
06/11/13		1,000,000	83,803.84	VARIOUS	85,600.22	1,796.38	-1,796.38	Sale
07/09/13		1,150,000	97,663.58	VARIOUS	97,834.74	1,234.57	-171.16	Total of 4 lots
07/24/13		800,000	66,449.84	VARIOUS	68,637.54	2,187.70	-2,187.70	Sale
08/02/13		300,000	25,215.56	07/24/13	25,138.76	24.17	76.80	Sale

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 # Less commissions.

TD AMERITRADE CLEARING INC

Account 864546986

**Proceeds from Broker and Barter Exchange Transactions**  
(continued)

2013 1099-B\*

OMB No. 1545-0715

**1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\***

Report on Form 8949, Part I, with Box A checked

**8 - Description / CUSIP / 1d - Symbol**

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of <sup>b</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional Information
CATERPILLAR INC COM / CUSIP: 149123101 / Symbol: CAT (cont'd)							
08/08/13	500.000	42,184.29	VARIOUS	43,633.20	1,448.91	-1,448.91	Total of 2 lots
08/12/13	250.000	21,494.80	08/07/13	20,516.91	...	977.89	Sale
08/13/13	125.000	10,868.69	08/07/13	10,456.45	...	412.24	Sale
09/03/13	1,000.000	82,334.56	VARIOUS	84,593.31	2,258.75	-2,258.75	Sale
09/09/13	500.000	42,829.65	VARIOUS	43,398.28	730.55	-568.63	Total of 2 lots
09/10/13	250.000	21,687.15	08/30/13	20,844.64	...	842.51	Sale
10/04/13	500.000	42,134.31	VARIOUS	41,741.27	...	393.04	Sale
10/10/13	500.000	42,244.31	10/03/13	42,523.84	427.03	-279.53	Sale
10/11/13	300.000	25,458.85	10/04/13	25,248.71	36.20	210.14	Sale
10/14/13	450.000	38,634.43	VARIOUS	37,751.82	2.29	882.61	Total of 2 lots
11/04/13	500.000	41,905.77	VARIOUS	41,891.37	265.24	14.40	Sale
11/20/13	500.000	41,311.37	VARIOUS	42,556.43	1,245.06	-1,245.06	Total of 2 lots
11/22/13	500.000	41,070.33	11/01/13	41,796.50	726.17	-726.17	Sale
11/25/13	1,000.000	84,350.51	VARIOUS	83,982.56	847.53	367.95	Total of 3 lots
11/27/13	500.000	42,316.32	VARIOUS	41,677.99	0.00	638.33	Total of 2 lots
12/06/13	250.000	21,381.63	VARIOUS	20,958.26	0.00	423.37	Total of 2 lots
12/16/13	250.000	21,803.37	11/21/13	20,870.11	...	933.26	Sale
	Security total:	1,633,447.74		1,638,265.75	20,534.90	-4,818.01	
CHEVRON CORP COM / CUSIP: 166764100 / Symbol: CVX							
07/09/13	200.000	24,574.57	06/06/13	24,085.03	...	489.54	Sale
07/15/13	150.000	18,729.69	08/06/13	18,063.39	...	666.30	Sale
07/26/13	250.000	31,842.19	VARIOUS	30,724.55	...	1,117.64	Sale
08/21/13	1,000.000	118,115.13	VARIOUS	123,295.61	5,068.96	-5,180.48	Total of 2 lots
08/22/13	500.000	59,038.97	08/20/13	59,707.81	...	-668.84	Sale
08/28/13	250.000	30,487.21	08/20/13	31,112.05	...	-624.84	Sale
10/10/13	500.000	57,259.00	VARIOUS	60,772.00	3,513.00	-3,513.00	Sale
10/18/13	250.000	29,914.47	10/09/13	31,430.02	...	-1,515.55	Sale
11/20/13	125.000	15,304.49	10/09/13	14,993.99	...	310.50	Sale
12/02/13	125.000	15,360.86	10/09/13	14,993.99	...	366.87	Sale
	Security total:	400,626.58		409,178.44	8,581.96	-8,551.86	

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 # Less commissions.

TD AMERITRADE CLEARING INC

Account 864546986

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2013 1099-B\*

(continued)

6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol	1e - Quantity	2a - Proceeds of <sup>#</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
CHIPTLE MEXICAN GRILL COM / CUSIP: 169656105 / Symbol: CMG	300,000	108,784.56	05/07/13	110,353.97	...	-1,569.41	Sale
CISCO SYSTEMS INC COM / CUSIP: 17275R102 / Symbol: CSCO	2,000,000	42,199.25	02/01/13	41,465.00	...	734.25	Sale
	1,500,000	32,927.12	VARIOUS	31,924.00	0.00	1,003.12	Total of 2 lots
Security total:		75,126.37		73,389.00	0.00	1,737.37	
CITIGROUP INC COM / CUSIP: 172967424 / Symbol: C	2,000,000	103,118.20	VARIOUS	101,347.11	180.90	1,771.09	Total of 2 lots
	1,000,000	53,454.16	05/23/13	49,613.73	...	3,840.43	Sale
	1,000,000	50,089.67	VARIOUS	50,314.90	225.23	-225.23	Total of 2 lots
	500,000	26,215.04	06/21/13	23,182.79	...	3,032.25	Sale
	500,000	25,899.54	07/16/13	26,072.39	172.85	-172.85	Sale
	2,500,000	125,313.81	VARIOUS	129,374.57	4,060.76	-4,060.76	Total of 2 lots
	1,500,000	74,965.19	08/20/13	73,291.00	...	1,674.19	Sale
	500,000	25,140.06	08/20/13	24,742.39	...	397.67	Sale
	700,000	34,101.27	08/20/13	35,660.33	1,559.06	-1,559.06	Sale
	1,000,000	49,694.13	VARIOUS	49,496.87	543.28	197.26	Sale
	400,000	20,454.68	08/27/13	20,235.34	...	219.34	Sale
	1,000,000	48,815.15	VARIOUS	50,018.42	1,203.27	-1,203.27	Sale
	1,500,000	73,570.21	VARIOUS	74,553.94	1,186.68	-983.73	Sale
	1,000,000	48,954.19	VARIOUS	49,929.87	988.37	-975.68	Total of 2 lots
	500,000	24,755.81	10/03/13	24,468.51	...	287.30	Sale
	500,000	25,179.61	10/09/13	24,147.72	...	1,031.89	Sale
	2,000,000	99,836.26	VARIOUS	98,922.58	...	913.68	Sale
	500,000	25,665.75	VARIOUS	24,311.95	0.00	1,353.80	Total of 2 lots
	250,000	12,791.42	VARIOUS	12,155.98	0.00	635.44	Total of 2 lots
	250,000	13,098.53	VARIOUS	12,155.97	0.00	942.56	Total of 2 lots
	1,500,000	77,454.80	12/17/13	76,204.00	...	1,250.80	Sale
	1,000,000	51,896.09	12/17/13	50,823.90	...	1,072.19	Sale
Security total:		1,090,463.57		1,081,024.26	10,120.40	9,439.31	

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TD AMERITRADE CLEARING INC

Account 864546986

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B\*

(continued)

OMB No. 1545-0715

**1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(c)	Additional Information
CLIFFS NATURAL RESOURCES INC COM / CUSIP: 18683K101 / Symbol: CLF							
04/15/13	1,000,000	18,644.58	03/11/13	23,931.90	5,287.32	-5,287.32	Sale
04/25/13	3,000,000	63,873.00	VARIOUS	67,576.75	2,074.93	-3,703.75	Total of 3 lots
	Security total:	82,517.58		91,508.65	7,362.25	-8,991.07	
COACH INC COM / CUSIP: 189754104 / Symbol: COH							
02/27/13	1,000,000	48,444.01	02/26/13	46,299.90	...	2,144.11	Sale
DELTA AIR LINES INC COM / CUSIP: 247361702 / Symbol: DAL							
01/24/13	1,000,000	13,929.88	01/11/13	13,199.97	...	729.91	Sale
06/10/13	2,000,000	37,182.04	VARIOUS	34,823.33	0.00	2,358.71	Total of 2 lots
07/01/13	500,000	9,759.88	06/06/13	8,705.84	...	1,054.04	Sale
07/25/13	1,000,000	20,995.63	VARIOUS	18,796.58	...	2,199.05	Sale
07/26/13	1,000,000	21,855.15	VARIOUS	20,181.50	0.00	1,673.65	Total of 2 lots
09/10/13	250,000	5,680.15	07/19/13	5,045.38	...	634.77	Sale
10/31/13	150,000	3,981.48	07/19/13	3,027.22	...	954.26	Sale
	Security total:	113,384.21		103,779.82	0.00	9,604.39	
DEVON ENERGY CORPORATION COMMON / CUSIP: 25179M103 / Symbol: DVN							
01/11/13	500,000	26,984.39	12/31/12	25,779.94	...	1,204.45	Sale
02/28/13	1,000,000	54,406.84	VARIOUS	53,269.90	0.00	1,136.94	Total of 2 lots
	Security total:	-81,391.23		79,049.84	0.00	2,341.39	
DISH NETWORK CORP CL A COM / CUSIP: 25470M109 / Symbol: DISH							
06/07/13	500,000	19,411.65	VARIOUS	19,322.00	0.00	89.65	Total of 2 lots
06/17/13	500,000	19,689.65	05/20/13	19,322.00	...	367.65	Sale
06/27/13	500,000	20,689.63	06/04/13	19,193.08	...	1,496.55	Sale
07/01/13	250,000	10,805.06	06/04/13	9,596.53	...	1,208.53	Sale
07/08/13	150,000	6,428.53	06/04/13	5,756.81	...	671.72	Sale
09/11/13	300,000	14,103.78	VARIOUS	12,971.48	...	1,132.30	Sale
11/07/13	150,000	7,340.02	07/19/13	6,850.49	...	489.53	Sale
11/22/13	150,000	7,807.88	07/19/13	6,850.48	...	957.40	Sale
	Security total:	106,276.20		99,862.87	0.00	6,413.33	

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TD AMERITRADE CLEARING INC

Account 864546986

2013 1099-B\*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

**Ic - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / Id - Symbol

1a - Date of Sale or exchange  
1e - Quantity  
2a - Proceeds of<sup>g</sup> stocks, bonds, etc.  
1b - Date of acquisition  
3 - Cost or other basis  
5 - Wash sale loss disallowed  
Gain or loss(-)  
Additional Information

These columns are not reported to the IRS

EBAY INC COM / CUSIP: 278642103 / Symbol: EBAY

11/07/13	500.000	26,810.58	10/24/13	26,037.50	...	773.08	Sale
11/20/13	1,000.000	50,405.12	VARIOUS	52,434.45	2,029.33	-2,029.33	Sale
11/22/13	500.000	25,160.61	11/12/13	27,231.89	2,071.28	-2,071.28	Sale
11/25/13	1,000.000	49,035.24	11/20/13	53,769.67	4,734.43	-4,734.43	Sale
12/02/13	1,000.000	52,566.08	11/25/13	53,747.37	...	-1,181.29	Sale
	Security total:	203,977.63		213,220.88	8,835.04	-9,243.25	

EVERCORE PARTNERS INC COM / CUSIP: 29977A105 / Symbol: EVR

04/24/13	1,000.000	36,651.20	VARIOUS	39,525.80	1,415.29	-2,874.60	Total of 2 lots
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FACEBOOK INC COM / CUSIP: 30303M102 / Symbol: FB

04/12/13	1,000.000	27,614.38	03/22/13	25,812.40	...	1,801.98	Sale
05/03/13	1,000.000	28,705.35	03/22/13	25,812.40	...	2,892.95	Sale
05/17/13	1,000.000	26,445.40	05/01/13	27,444.40	...	-999.00	Sale
10/01/13	250.000	12,577.31	08/26/13	10,375.00	...	2,202.31	Sale
11/05/13	500.000	24,735.56	VARIOUS	22,353.50	...	2,382.06	Sale
11/13/13	1,250.000	59,503.59	VARIOUS	59,973.25	470.68	-469.66	Total of 2 lots
11/14/13	1,500.000	73,811.76	VARIOUS	71,019.73	0.00	2,792.03	Total of 2 lots
11/19/13	500.000	23,270.64	11/12/13	23,402.00	131.36	-131.36	Sale
11/22/13	2,500.000	116,281.12	VARIOUS	118,577.36	2,404.88	-2,296.24	Total of 3 lots
11/27/13	750.000	34,765.39	11/20/13	35,715.61	...	-950.22	Sale
11/29/13	500.000	23,480.64	VARIOUS	23,247.86	...	232.78	Sale
12/04/13	500.000	24,006.83	VARIOUS	22,750.98	0.00	1,255.85	Total of 2 lots
12/10/13	250.000	12,613.28	11/25/13	11,408.33	...	1,204.95	Sale
	Security total:	487,811.25		477,892.82	3,006.92	9,918.43	

FLEETCOR TECHNOLOGIES INC COM / CUSIP: 339041105 / Symbol: FLT

04/24/13	250.000	19,212.31	03/25/13	19,015.00	...	197.31	Sale
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FORD MOTOR COM / CUSIP: 345370860 / Symbol: F

02/08/13	2,000.000	26,140.04	VARIOUS	26,719.82	179.82	-579.78	Total of 2 lots
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TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions  
(continued)

Account 864546986

2013 1099-B\*

OMB No. 1545-0715

**1c - SHORT-TERM TRANSACTIONS** 6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol	1e - Quantity	2a - Proceeds of <sup>o</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional Information
FREEMPORT MCMORAN COPPER & GOLD COM / CUSIP: 35671D857 / Symbol: FCX							
03/06/13	500,000	16,234.68	02/27/13	16,089.95	...	144.73	Sale
05/28/13	3,500,000	106,991.63	VARIOUS	112,019.75	5,028.12	-5,028.12	Sale
05/30/13	3,000,000	95,334.33	VARIOUS	97,406.02	2,435.38	-2,071.69	Total of 2 lots
06/07/13	1,000,000	30,844.46	05/29/13	31,281.88	437.42	-437.42	Sale
06/13/13	1,000,000	29,305.48	05/29/13	32,110.26	2,804.78	-2,804.78	Sale
06/17/13	1,000,000	29,645.48	05/29/13	31,989.07	246.20	-2,343.59	Sale
07/15/13	1,000,000	27,944.61	06/11/13	31,166.00	3,221.39	-3,221.39	Sale
07/25/13	2,000,000	57,474.19	VARIOUS	64,976.90	5,766.41	-7,502.71	Sale
08/08/13	750,000	22,727.85	07/24/13	24,961.14	...	-2,233.29	Sale
08/12/13	500,000	15,895.22	07/24/13	16,064.45	...	-169.23	Sale
10/30/13	125,000	4,705.92	07/24/13	3,929.48	...	776.44	Sale
12/02/13	125,000	4,293.42	07/24/13	3,956.19	...	337.23	Sale
	Security total:	441,397.27		465,951.09	19,939.70	-24,553.82	
FUSION-IO INC COM / CUSIP: 36112J107 / Symbol: FIO							
05/30/13	2,000,000	28,874.49	05/24/13	27,943.13	...	931.36	Sale
10/31/13	1,000,000	10,766.81	05/24/13	13,971.57	...	-3,204.76	Sale
	Security total:	39,641.30		41,914.70	0.00	-2,273.40	
GAP INC COM / CUSIP: 364760108 / Symbol: GPS							
01/03/13	1,000,000	32,959.27	12/20/12	31,599.90	...	1,359.37	Sale
GOLDCORP INC COM / CUSIP: 380956409 / Symbol: GG							
01/24/13	500,000	18,326.38	12/13/12	18,417.34	...	-90.96	Sale
05/06/13	1,000,000	28,865.35	03/25/13	33,305.00	...	-4,439.65	Sale
09/18/13	2,000,000	52,134.09	VARIOUS	53,506.57	1,372.48	-1,372.48	Sale
09/19/13	1,000,000	27,664.61	09/12/13	26,191.57	...	1,473.04	Sale
10/04/13	1,000,000	25,014.66	09/12/13	27,439.51	2,424.85	-2,424.85	Sale
10/17/13	1,000,000	24,834.56	10/01/13	26,275.53	...	-1,440.97	Sale
12/31/13	1,500,000	32,530.58	VARIOUS	36,470.35	4,291.80	-3,939.77	Sale
	Security total:	209,370.23		221,605.87	8,089.13	-12,235.64	
GOLDMAN SACHS GROUP INC COM / CUSIP: 38141G104 / Symbol: GS							
03/05/13	250,000	38,464.13	02/27/13	37,382.48	...	1,081.65	Sale
03/11/13	1,000,000	153,601.65	03/08/13	152,864.90	...	736.75	Sale

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 # Less commissions.

TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions  
(continued)

Account 864546986

2013 1099-B\*

OMB No. 1545-0715

**Ic - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / Id - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of <sup>#</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional Information
GOLDMAN SACHS GROUP INC COM / CUSIP: 38141G104 / Symbol: GS (cont'd)							
04/10/13	500,000	74,613.32	03/22/13	73,445.00	...	1,168.32	Sale
04/25/13	750,000	108,508.09	VARIOUS	107,511.10	0.00	996.99	Total of 2 lots
05/02/13	2,000,000	287,071.56	VARIOUS	285,018.77	0.00	2,052.79	Total of 2 lots
06/07/13	200,000	32,927.44	VARIOUS	31,940.00	0.00	987.44	Total of 2 lots
06/10/13	200,000	33,342.61	06/05/13	31,940.00	...	1,402.61	Sale
06/11/13	800,000	132,394.96	VARIOUS	131,228.43	0.00	1,166.53	Total of 3 lots
07/01/13	500,000	76,720.66	VARIOUS	80,794.45	4,073.79	-4,073.79	Total of 2 lots
07/09/13	850,000	132,665.68	VARIOUS	135,574.43	4,400.31	-2,908.75	Total of 3 lots
07/12/13	150,000	23,870.23	07/03/13	23,958.74	431.94	-88.51	Sale
07/15/13	100,000	16,295.81	07/03/13	16,245.68	9.31	50.13	Sale
07/18/13	150,000	24,635.09	VARIOUS	23,676.96	...	958.13	Sale
07/31/13	100,000	16,524.72	07/17/13	16,355.40	...	169.32	Sale
08/22/13	500,000	79,307.84	VARIOUS	80,942.93	1,635.09	-1,635.09	Total of 2 lots
08/26/13	500,000	80,098.80	08/20/13	81,250.58	1,208.54	-1,151.78	Sale
08/29/13	700,000	107,987.21	VARIOUS	111,421.54	3,434.33	-3,434.33	Total of 3 lots
09/03/13	1,150,000	178,937.49	VARIOUS	181,248.45	3,255.07	-2,310.96	Total of 3 lots
09/09/13	175,000	27,672.53	08/30/13	26,760.38	...	912.15	Sale
09/10/13	100,000	16,465.71	08/30/13	15,253.38	...	1,212.33	Sale
10/01/13	650,000	103,706.02	VARIOUS	107,818.49	4,702.81	-4,112.47	Total of 2 lots
10/02/13	300,000	47,631.47	09/30/13	50,805.20	3,173.73	-3,173.73	Sale
10/04/13	1,000,000	156,599.81	VARIOUS	168,110.05	11,510.24	-11,510.24	Total of 2 lots
10/07/13	500,000	77,571.42	VARIOUS	83,717.08	6,145.66	-6,145.66	Total of 2 lots
10/10/13	650,000	101,763.21	VARIOUS	108,354.29	6,591.08	-6,591.08	Total of 3 lots
10/11/13	100,000	15,946.82	10/09/13	16,411.49	464.67	-464.67	Sale
10/14/13	500,000	79,227.74	VARIOUS	82,411.63	3,183.89	-3,183.89	Total of 3 lots
10/16/13	200,000	32,308.45	10/14/13	33,176.31	867.86	-867.86	Sale
10/23/13	300,000	47,171.17	VARIOUS	48,867.58	1,696.41	-1,696.41	Total of 2 lots
10/24/13	200,000	32,032.50	10/23/13	31,555.43	...	477.07	Sale

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 # Less commissions.

TD AMERITRADE CLEARING INC

Account 864546986

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B\*

(continued)

OMB No. 1545-0715

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

These columns are not reported to the IRS

8 - Description / CUSIP / 1d - Symbol	1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of <sup>a</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
GOLDMAN SACHS GROUP INC COM / CUSIP: 38141G104 / Symbol: GS (cont'd)								
	10/25/13	100.000	16,075.92	10/23/13	16,162.31	86.49	-86.49	Sale
	10/29/13	200.000	32,558.79	10/23/13	32,704.81	225.36	-146.02	Sale
	11/07/13	250.000	40,960.91	VARIOUS	40,684.24	...	276.67	Sale
	11/18/13	125.000	20,658.14	11/05/13	20,356.13	...	302.01	Sale
	11/25/13	125.000	21,280.62	11/05/13	20,418.09	...	862.53	Sale
	Security total:		2,467,598.42		2,506,366.73	57,096.58	-38,768.31	
GOODYEAR TIRE & RUBBER CO COM / CUSIP: 382550101 / Symbol: GT								
	02/08/13	2,000.000	27,319.58	02/07/13	27,360.00	...	-40.42	Sale
GOOGLE INC CL A / CUSIP: 38259F508 / Symbol: GOOG								
	01/22/13	150.000	105,588.65	01/18/13	105,430.50	...	158.15	Sale
	01/23/13	150.000	110,562.52	01/18/13	105,429.90	...	5,132.62	Sale
	07/25/13	100.000	89,271.54	07/25/13	89,409.50	137.96	-137.96	Sale
	07/30/13	25.000	22,093.61	07/25/13	22,352.38	258.77	-258.77	Sale
	08/01/13	25.000	22,539.38	VARIOUS	22,352.37	0.00	187.01	Total of 2 lots
	08/22/13	100.000	87,160.37	VARIOUS	88,728.29	1,567.92	-1,567.92	Total of 2 lots
	08/29/13	200.000	171,578.83	VARIOUS	173,893.07	2,314.24	-2,314.24	Total of 4 lots
	09/03/13	100.000	86,289.57	VARIOUS	87,229.80	940.23	-940.23	Total of 2 lots
	09/24/13	25.000	22,181.89	08/27/13	21,631.59	...	550.30	Sale
	10/01/13	75.000	66,446.84	VARIOUS	67,140.99	694.15	-694.15	Sale
	10/04/13	125.000	109,236.84	VARIOUS	111,187.38	289.71	-1,950.54	Total of 2 lots
	10/17/13	50.000	48,044.16	10/03/13	44,538.37	...	3,505.79	Sale
	10/22/13	15.000	15,064.78	10/03/13	13,341.50	...	1,723.28	Sale
	11/14/13	5.000	5,184.45	10/03/13	4,447.17	...	737.28	Sale
	12/11/13	5.000	5,406.91	10/03/13	4,447.16	...	959.75	Sale
	Security total:		966,650.34		961,559.97	6,202.98	5,090.37	
HALLIBURTON CO COM / CUSIP: 406216101 / Symbol: HAL								
	01/03/13	1,000.000	36,305.73	VARIOUS	33,998.09	0.00	2,307.64	Total of 3 lots
	04/10/13	1,500.000	60,925.22	VARIOUS	59,403.75	0.00	1,521.47	Total of 2 lots
	04/12/13	750.000	30,395.56	VARIOUS	29,407.25	...	988.31	Sale

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 # Less commissions.

TD AMERITRADE CLEARING INC

Account 864546986

2013 1099-B\*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

**1c - SHORT-TERM TRANSACTIONS** 6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol	1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of* stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(c)	Additional information
HALLIBURTON CO COM / CUSIP: 408216101 / Symbol: HAL (cont'd)		750,000	30,550.26	VARIOUS	28,818.00	0.00	1,732.26	Total of 2 lots
	04/24/13							
	05/28/13	2,000,000	86,973.68	VARIOUS	88,345.89	1,837.06	-1,372.21	Sale
	05/31/13	2,000,000	84,993.52	05/23/13	88,261.86	3,268.34	-3,268.34	Sale
	06/03/13	2,000,000	84,438.53	05/31/13	88,073.34	3,634.81	-3,634.81	Sale
	06/04/13	3,000,000	128,272.76	06/03/13	129,454.51	1,918.36	-1,181.75	Sale
	06/14/13	1,000,000	43,104.34	06/11/13	42,292.40	...	811.94	Sale
	07/08/13	600,000	26,425.16	VARIOUS	26,487.26	23.27	-62.10	Total of 2 lots
	07/19/13	200,000	9,015.04	06/11/13	8,862.42	...	152.62	Sale
	08/13/13	250,000	11,692.32	VARIOUS	11,148.94	...	543.38	Sale
	09/10/13	125,000	6,280.02	07/19/13	5,719.65	...	560.37	Sale
	11/12/13	100,000	5,484.90	07/19/13	4,575.00	...	909.90	Sale
	12/05/13	1,000,000	50,475.22	VARIOUS	52,560.96	2,204.16	-2,085.74	Sale
	12/11/13	500,000	24,576.07	12/04/13	26,629.69	2,053.62	-2,053.62	Sale
	12/16/13	500,000	24,921.81	12/04/13	26,287.47	1,365.66	-1,365.66	Sale
	12/23/13	1,000,000	51,088.80	VARIOUS	53,490.78	2,401.98	-2,401.98	Total of 2 lots
	12/27/13	1,500,000	76,810.80	VARIOUS	77,027.68	833.48	-216.88	Total of 2 lots
		Security total:	872,729.74		880,844.94	19,540.74	-8,115.20	
HARTFORD FINANCIAL SERVICES COM / CUSIP: 416515104 / Symbol: HIG		750,000	27,310.60	12/05/13	26,694.43	...	616.17	Sale
	12/09/13							
HUTCHINSON TECHNOLOGY INC COM / CUSIP: 448407106 / Symbol: HTCH		296,000	1,741.66	05/24/13	1,718.84	...	22.82	Sale
	05/30/13							
INTL BUSINESS MACHINES COM / CUSIP: 459200101 / Symbol: IBM		750,000	133,036.18	VARIOUS	131,897.25	...	1,138.93	Sale
	12/18/13							
INTERNATIONAL PAPER CO COM / CUSIP: 460146103 / Symbol: IP		1,000,000	45,784.30	VARIOUS	47,109.05	1,324.75	-1,324.75	Sale
	06/04/13							
	06/07/13	1,500,000	68,438.80	06/03/13	70,144.60	...	-1,705.80	Sale
		Security total:	114,223.10		117,253.65	1,324.75	-3,030.55	

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TD AMERITRADE CLEARING INC

Account 864546986

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B\*

(continued)

OMB No. 1545-0715

Ic - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol	1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional Information
JP MORGAN CHASE & CO COM / CUSIP: 46625H100 / Symbol: JPM								
	11/08/13	1,000,000	53,245.07	VARIOUS	52,007.00	...	1,238.07	Sale
	11/12/13	250,000	13,478.51	11/01/13	12,926.00	...	552.51	Sale
	11/19/13	150,000	8,481.50	11/01/13	7,755.60	...	725.90	Sale
	12/02/13	100,000	5,749.90	11/01/13	5,170.40	...	579.50	Sale
		Security total:	80,954.98		77,859.00	0.00	3,095.98	
JOY GLOBAL INC COM / CUSIP: 481165108 / Symbol: JOY								
	02/11/13	500,000	30,700.33	VARIOUS	31,235.00	0.00	-534.67	Total of 2 lots
	04/10/13	1,500,000	85,569.58	03/20/13	89,178.75	2,406.11	-3,609.17	Sale
	05/03/13	500,000	28,669.40	03/20/13	29,726.25	...	-1,056.85	Sale
	05/07/13	1,000,000	60,079.69	VARIOUS	61,000.21	0.00	-920.52	Total of 2 lots
	09/09/13	750,000	39,220.13	VARIOUS	37,480.50	0.00	1,739.63	Total of 2 lots
	09/10/13	125,000	6,807.51	08/29/13	6,246.75	...	560.76	Sale
	11/19/13	625,000	35,039.77	VARIOUS	34,568.97	290.40	470.80	Sale
	11/26/13	1,000,000	55,081.67	VARIOUS	57,087.36	155.78	-2,005.69	Total of 4 lots
		Security total:	341,168.08		346,523.79	2,852.29	-5,355.71	
KANSAS CITY SOUTHERN COM / CUSIP: 485170302 / Symbol: KSU								
	12/11/13	800,000	93,322.56	VARIOUS	95,294.76	1,972.20	-1,972.20	Total of 3 lots
	12/12/13	750,000	87,811.75	VARIOUS	89,843.06	2,031.31	-2,031.31	Total of 5 lots
	12/18/13	500,000	59,850.45	12/11/13	58,920.99	...	929.46	Sale
	12/27/13	250,000	30,537.96	12/11/13	30,125.54	...	412.42	Sale
		Security total:	271,522.72		274,184.35	4,003.51	-2,661.63	
KRISPY KREME DOUGHNUTS COM / CUSIP: 501014104 / Symbol: KKD								
	03/14/13	2,000,000	29,854.53	03/14/13	30,165.00	...	-310.47	Sale
LENNAR CORP COM / CUSIP: 526057104 / Symbol: LEN								
	05/07/13	1,000,000	42,135.24	VARIOUS	42,505.00	0.00	-369.76	Total of 3 lots
	08/08/13	1,500,000	48,699.15	07/24/13	51,169.85	2,470.70	-2,470.70	Sale
	08/13/13	500,000	16,040.22	08/06/13	17,493.57	1,453.35	-1,453.35	Sale
	08/22/13	1,000,000	33,515.41	08/07/13	34,111.13	297.86	-595.72	Sale

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TD AMERITRADE CLEARING INC

Account 864546986

2013 1099-B\*

(continued)

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

**1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
LENNAR CORP COM / CUSIP: 526057104 / Symbol: LEN (cont'd)							
09/11/13	500,000	17,409.71	VARIOUS	17,605.85	0.00	-196.14	Total of 2 lots
10/04/13	250,000	8,787.59	08/20/13	8,225.18	...	562.41	Sale
11/18/13	1,500,000	52,455.18	VARIOUS	53,119.44	1,295.91	-664.26	Total of 2 lots
11/20/13	750,000	26,208.79	VARIOUS	26,230.05	596.52	-21.26	Sale
11/26/13	750,000	26,853.11	11/08/13	25,404.07	...	1,449.04	Sale
	Security total:	272,104.40		275,864.14	6,114.34	-3,759.74	
LULULEMON ATHLETICA INC COM / CUSIP: 550021109 / Symbol: LULU							
08/22/13	1,000,000	70,633.77	VARIOUS	71,835.70	1,838.11	-1,201.93	Sale
08/26/13	500,000	36,164.42	08/20/13	34,670.00	...	1,494.42	Sale
09/12/13	2,000,000	132,114.69	VARIOUS	134,277.83	4,461.87	-2,163.14	Total of 2 lots
09/13/13	500,000	33,504.46	09/12/13	34,092.49	1,189.81	-588.03	Sale
09/16/13	250,000	17,322.19	09/12/13	17,175.32	...	146.87	Sale
10/04/13	175,000	13,519.65	VARIOUS	11,894.06	0.00	1,625.59	Total of 2 lots
10/31/13	1,000,000	69,174.79	VARIOUS	71,469.19	2,385.06	-2,294.40	Sale
11/04/13	1,000,000	69,066.33	VARIOUS	73,525.40	4,459.07	-4,459.07	Total of 3 lots
11/14/13	500,000	33,010.42	11/01/13	36,176.03	3,165.61	-3,165.61	Sale
11/21/13	500,000	34,722.89	11/01/13	36,068.31	1,345.42	-1,345.42	Sale
11/22/13	500,000	34,635.44	11/18/13	36,649.61	2,026.68	-2,014.17	Sale
12/16/13	750,000	43,849.29	VARIOUS	47,596.50	2,481.77	-3,747.21	Total of 2 lots
12/19/13	750,000	44,320.97	12/13/13	48,275.37	...	-3,954.40	Sale
	Security total:	632,039.31		653,705.81	23,353.40	-21,666.50	
MCDONALDS CORP COM / CUSIP: 580135101 / Symbol: MCD							
04/16/13	500,000	51,183.90	03/14/13	49,655.00	...	1,528.90	Sale
METLIFE INC COM / CUSIP: 59156R106 / Symbol: MET							
01/03/13	500,000	17,524.61	12/27/12	16,178.90	...	1,345.71	Sale
03/08/13	2,000,000	76,981.47	VARIOUS	73,119.80	0.00	3,861.67	Total of 2 lots
06/13/13	2,000,000	89,635.48	VARIOUS	89,122.86	0.00	512.62	Total of 3 lots
06/18/13	500,000	22,689.65	06/11/13	22,280.71	...	408.94	Sale

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TD AMERITRADE CLEARING INC

Account 864546986

Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

Ic - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

These columns are not reported to the IRS

8 - Description / CUSIP / 1d - Symbol	1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
METLIFE INC COM / CUSIP: 59156R108 / Symbol: MET (cont'd)		1,000,000	46,904.26	VARIOUS	44,561.43	0.00	2,342.83	Total of 2 lots
	07/02/13							
	11/08/13	500,000	24,860.56	10/31/13	23,842.00	...	1,018.56	Sale
	11/14/13	250,000	12,863.35	10/31/13	11,921.00	...	942.35	Sale
	11/20/13	125,000	6,574.67	10/31/13	5,960.50	...	614.17	Sale
	12/02/13	125,000	6,610.88	10/31/13	5,960.50	...	650.38	Sale
	Security total:		304,644.93		292,947.70	0.00	11,697.23	
MICROSOFT CORP COM / CUSIP: 594918104 / Symbol: MSFT		1,000,000	27,919.47	12/31/12	26,439.89	...	1,479.58	Sale
	01/24/13							
	07/29/13	700,000	22,065.66	07/19/13	22,348.51	282.83	-282.83	Sale
	08/12/13	500,000	16,340.21	07/19/13	15,959.50	...	380.71	Sale
	08/23/13	400,000	13,872.75	07/19/13	12,888.81	...	983.94	Sale
	11/07/13	200,000	7,565.88	07/19/13	6,464.61	...	1,101.27	Sale
	11/21/13	600,000	22,429.66	VARIOUS	21,418.17	...	1,011.49	Sale
	12/04/13	300,000	11,668.82	11/18/13	11,215.17	...	453.65	Sale
	Security total:		121,862.47		116,734.66	282.83	5,127.81	
MICRON TECHNOLOGY INC COM / CUSIP: 595112103 / Symbol: MU		1,000,000	22,115.71	12/03/13	21,782.57	...	333.14	Sale
	12/09/13	2,000,000	46,420.01	VARIOUS	43,616.78	0.00	2,803.23	Total of 2 lots
	12/27/13	1,500,000	32,455.58	VARIOUS	32,832.40	...	-376.82	Sale
	Security total:		100,991.30		98,231.75	0.00	2,759.55	
MOLYCORP INC COM / CUSIP: 608753109 / Symbol: MCP		1,500,000	16,879.63	12/21/12	14,669.85	...	2,209.78	Sale
	01/02/13	5,000,000	43,749.15	VARIOUS	44,139.45	710.11	-390.30	Total of 3 lots
	01/25/13	40,000,000	316,130.81	VARIOUS	313,462.03	12,434.24	2,668.78	Total of 7 lots
	02/05/13	13,000,000	98,798.28	VARIOUS	105,394.45	7,076.24	-6,596.17	Total of 2 lots
	03/11/13	14,500,000	92,413.10	VARIOUS	101,781.34	3,518.08	-9,368.24	Total of 3 lots
	05/17/13	10,000,000	66,893.50	05/14/13	71,805.00	...	-4,911.50	Sale
	Security total:		634,864.47		651,252.12	23,738.67	-16,387.65	

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TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions

Account 864546986

2013 1099-B\*

(continued)

OMB No. 1545-0715

**1c - SHORT-TERM TRANSACTIONS** 6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

**8 - Description / CUSIP / 1d - Symbol**

1a - Date of Sale or exchange	1a - Quantity	2a - Proceeds of <sup>#</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional Information
THE MOSAIC CO. COM / CUSIP: 61945C103 / Symbol: MOS							
12/16/13	1,500,000	66,114.99	VARIOUS	70,675.00	4,560.01	-4,560.01	Sale
12/27/13	1,500,000	70,644.92	VARIOUS	71,465.01	...	-820.09	Sale
	Security total:	136,759.91		142,140.01	4,560.01	-5,380.10	
MYRIAD GENETICS INC COM / CUSIP: 62855J104 / Symbol: MYGN							
11/20/13	500,000	14,214.27	VARIOUS	13,501.85	0.00	712.42	Total of 2 lots
11/27/13	250,000	7,265.96	VARIOUS	6,750.93	0.00	515.03	Total of 2 lots
	Security total:	21,480.23		20,252.78	0.00	1,227.45	
NATIONAL-OILWELL VARCO INC COM / CUSIP: 637071101 / Symbol: NOV							
02/07/13	500,000	33,499.49	02/07/13	33,510.00	...	-10.51	Sale
NETFLIX.COM INC COM / CUSIP: 64110L106 / Symbol: NFLX							
05/07/13	250,000	51,891.86	05/06/13	52,387.25	396.31	-495.39	Sale
05/28/13	200,000	43,518.26	05/23/13	45,541.31	...	-2,023.05	Sale
07/26/13	250,000	60,554.19	07/26/13	60,609.98	...	-55.79	Sale
07/29/13	100,000	24,363.67	07/26/13	24,243.99	...	119.68	Sale
08/12/13	50,000	12,725.28	07/26/13	12,121.99	...	603.29	Sale
08/19/13	50,000	13,084.78	07/26/13	12,122.00	...	962.78	Sale
09/09/13	25,000	7,313.65	07/26/13	6,060.99	...	1,252.66	Sale
10/01/13	75,000	24,022.52	VARIOUS	21,198.12	...	2,824.40	Sale
10/04/13	50,000	16,039.79	09/23/13	15,137.11	...	902.68	Sale
10/23/13	75,000	24,223.32	VARIOUS	23,013.37	...	1,209.95	Sale
11/04/13	50,000	16,794.75	10/22/13	15,752.50	...	1,042.25	Sale
11/26/13	25,000	8,826.40	10/22/13	7,876.25	...	950.15	Sale
	Security total:	303,358.47		296,064.86	396.31	7,293.61	
NEWMONT MINING CORP COM / CUSIP: 651639106 / Symbol: NEM							
07/11/13	1,000,000	28,214.58	VARIOUS	27,474.92	0.00	739.66	Total of 2 lots
07/22/13	500,000	15,309.78	07/08/13	13,734.95	...	1,574.83	Sale
07/31/13	250,000	7,547.39	07/08/13	6,867.48	...	679.91	Sale
08/12/13	750,000	22,869.68	VARIOUS	20,205.76	...	2,663.92	Sale
08/19/13	200,000	6,471.08	08/06/13	5,335.31	...	1,135.77	Sale

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 # Less commissions.

TD AMERITRADE CLEARING INC

Account 864546986

Proceeds from Broker and Barter Exchange Transactions

(continued)

2013 1099-B\*

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 9949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of <sup>†</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional Information
NEWMONT MINING CORP COM / CUSIP: 651639106 / Symbol: NEM (cont'd)							
10/10/13	2,000,000	52,380.11	VARIOUS	57,940.06	5,559.95	-5,559.95	Total of 3 lots
10/22/13	1,000,000	28,374.60	VARIOUS	28,499.08	832.34	-124.48	Sale
11/07/13	1,000,000	27,735.61	10/09/13	28,579.75	1,130.66	-844.14	Sale
11/21/13	500,000	12,926.27	VARIOUS	13,761.98	835.71	-835.71	Sale
	Security total:	201,829.10		202,399.29	8,358.66	-570.19	
NIKE INC CL B / CUSIP: 654106103 / Symbol: NKE							
04/23/13	500,000	30,924.35	03/25/13	29,750.00	...	1,174.35	Sale
05/28/13	500,000	31,869.49	05/20/13	32,658.90	...	-789.41	Sale
	Security total:	62,793.84		62,408.90	0.00	384.94	
PEABODY ENERGY CORP COM / CUSIP: 704549104 / Symbol: BTU							
02/06/13	4,000,000	96,678.23	VARIOUS	99,210.00	1,853.16	-2,531.77	Sale
03/11/13	500,000	10,839.80	03/08/13	11,589.34	...	-749.54	Sale
03/18/13	1,000,000	22,376.48	VARIOUS	23,178.67	0.00	-802.19	Total of 2 lots
	Security total:	129,894.51		133,978.01	1,853.16	-4,083.50	
PENNEY J C CO INC COM / CUSIP: 708160106 / Symbol: JCP							
11/20/13	2,500,000	23,670.83	11/19/13	22,278.33	...	1,392.50	Sale
12/02/13	500,000	5,040.96	11/19/13	4,455.67	...	585.29	Sale
	Security total:	28,711.79		26,734.00	0.00	1,977.79	
POTASH CORP SASK INC COM / CUSIP: 73755L107 / Symbol: POT							
03/11/13	2,000,000	81,893.36	VARIOUS	84,375.20	2,381.84	-2,381.84	Sale
03/12/13	1,000,000	41,534.16	02/26/13	39,888.12	...	1,666.04	Sale
04/25/13	1,500,000	60,989.18	03/25/13	60,803.62	...	185.56	Sale
07/30/13	3,500,000	102,990.80	VARIOUS	129,181.33	26,228.11	-26,190.53	Total of 2 lots
08/09/13	500,000	15,539.72	07/30/13	19,190.71	...	-3,650.99	Sale
09/10/13	750,000	24,602.07	VARIOUS	28,558.85	...	-3,956.78	Sale
11/05/13	750,000	24,205.65	09/07/13	28,101.08	3,895.43	-3,895.43	Sale
11/07/13	500,000	16,230.71	10/24/13	16,597.10	...	-366.39	Sale
12/27/13	500,000	16,535.76	10/24/13	17,893.33	...	-1,357.57	Sale
	Security total:	384,621.41		424,569.34	32,505.38	-39,947.93	

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TD AMERITRADE CLEARING INC

Account 864546986

2013 1099-B\*

OMB No. 1545-0715

**Proceeds from Broker and Barter Exchange Transactions**

(continued)

**Ic - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of <sup>a</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(c)	Additional Information
PROCTER GAMBLE CO COM / CUSIP: 742718109 / Symbol: PG	1,000,000	81,809.11	VARIOUS	78,965.00	0.00	2,844.11	Total of 2 lots
05/24/13							
PRUDENTIAL FINANCIAL INC COM / CUSIP: 744320102 / Symbol: PRU	900,000	51,825.15	VARIOUS	50,578.55	0.00	1,246.60	Total of 2 lots
02/20/13							
03/05/13	1,000,000	56,653.84	VARIOUS	54,997.94	0.00	1,655.90	Total of 3 lots
06/07/13	250,000	17,757.19	06/05/13	16,928.75	...	828.44	Sale
06/10/13	500,000	36,024.87	06/05/13	33,857.50	...	2,167.37	Sale
06/13/13	250,000	17,767.19	06/05/13	16,928.75	...	838.44	Sale
06/17/13	300,000	21,618.92	06/13/13	21,229.50	...	389.42	Sale
06/27/13	1,000,000	72,403.84	VARIOUS	70,726.39	...	1,677.45	Sale
07/05/13	600,000	44,939.99	VARIOUS	42,370.59	0.00	2,569.40	Total of 3 lots
07/26/13	250,000	19,754.66	VARIOUS	18,745.61	0.00	1,009.05	Total of 2 lots
09/03/13	750,000	57,249.75	VARIOUS	57,772.09	941.60	-522.34	Sale
09/04/13	250,000	19,502.41	08/30/13	18,940.01	...	562.40	Sale
09/09/13	250,000	19,532.24	08/30/13	19,168.48	...	363.76	Sale
10/07/13	500,000	38,844.51	VARIOUS	38,070.41	0.00	774.10	Total of 2 lots
10/10/13	1,000,000	78,074.64	VARIOUS	77,391.50	...	683.14	Sale
10/11/13	500,000	39,599.31	10/09/13	38,152.45	...	1,446.86	Sale
10/16/13	250,000	20,464.73	VARIOUS	19,076.23	0.00	1,388.50	Total of 2 lots
10/30/13	125,000	10,335.82	10/09/13	9,538.11	...	797.71	Sale
11/07/13	75,000	6,386.64	10/09/13	5,722.87	...	663.77	Sale
11/22/13	50,000	4,488.43	10/09/13	3,815.24	...	673.19	Sale
	Security total:	633,224.13		614,010.97	941.60	19,213.16	
PULTE GROUP INC COM / CUSIP: 745867101 / Symbol: PHM	1,000,000	20,309.55	VARIOUS	19,500.00	0.00	809.55	Total of 2 lots
01/17/13							
QUALCOMM INC COM / CUSIP: 747525103 / Symbol: QCOM	2,000,000	126,161.80	VARIOUS	130,032.53	3,870.73	-3,870.73	Total of 2 lots
06/03/13							
06/04/13	1,475,000	93,213.37	06/03/13	93,714.35	330.44	-500.98	Sale
07/10/13	1,025,000	62,068.94	VARIOUS	65,736.49	3,647.29	-3,667.55	Sale

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TD AMERITRADE CLEARING INC

Account 864546986

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B\*

(continued)

OMB No. 1545-0715

1c - SHORT-TERM TRANSACTIONS 6 - COVERED (tax lot for which cost basis is reported to the IRS)\*\*

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol	1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of* stocks, bonds, etc. QCOM (cont'd)	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(c)	Additional Information
QUALCOMM INC COM / CUSIP: 747525103 / Symbol: QCOM	07/15/13	500.000	30,474.96	07/10/13	32,848.30	...	-2,373.34	Sale
	07/30/13	250.000	16,214.75	VARIOUS	15,242.36	0.00	972.39	Total of 2 lots
	08/13/13	125.000	8,387.36	07/10/13	7,590.59	...	796.77	Sale
	11/18/13	100.000	7,189.87	07/10/13	6,071.77	...	1,118.10	Sale
	11/26/13	275.000	20,144.89	VARIOUS	19,492.35	...	652.54	Sale
	12/05/13	750.000	54,996.29	VARIOUS	54,551.47	...	444.82	Sale
	12/06/13	750.000	55,367.78	VARIOUS	54,912.98	0.00	454.80	Total of 2 lots
		Security total:	474,220.01		480,193.19	7,848.46	-5,973.18	
REGENERON PHARMACEUTICALS INC COM / CUSIP: 75886F107 / Symbol: REGN	11/22/13	100.000	29,150.74	11/08/13	28,154.75	...	995.99	Sale
	12/18/13	300.000	78,588.93	VARIOUS	82,967.10	...	-4,378.17	Sale
		Security total:	107,739.67		111,121.85	0.00	-3,382.18	
RITE AID CORP COM / CUSIP: 767754104 / Symbol: RAD	09/19/13	3,000.000	13,255.06	08/30/13	10,500.00	...	2,755.06	Sale
	10/04/13	1,000.000	5,185.90	08/30/13	3,500.00	...	1,685.90	Sale
	10/23/13	750.000	3,828.18	08/30/13	2,625.00	...	1,203.18	Sale
		Security total:	22,269.14		16,625.00	0.00	5,644.14	
RYDER SYSTEM INC COM / CUSIP: 763549108 / Symbol: R	06/11/13	1,000.000	61,824.92	VARIOUS	63,444.74	1,619.82	-1,619.82	Sale
	07/15/13	500.000	30,457.57	VARIOUS	31,965.69	1,508.12	-1,508.12	Total of 4 lots
	08/22/13	700.000	41,161.35	VARIOUS	44,169.80	3,008.45	-3,008.45	Sale
	08/29/13	800.000	45,531.16	VARIOUS	49,244.70	3,713.54	-3,713.54	Sale
	09/03/13	1,800.000	101,855.23	VARIOUS	111,900.09	5,604.26	-10,044.86	Total of 2 lots
	09/10/13	500.000	29,316.98	08/30/13	31,177.71	...	-1,860.73	Sale
	10/18/13	250.000	15,384.76	08/30/13	14,385.33	...	999.43	Sale
	10/23/13	125.000	7,968.66	08/30/13	7,192.20	...	776.46	Sale
	11/21/13	100.000	6,906.88	08/30/13	6,351.55	...	555.33	Sale
	12/02/13	25.000	1,746.50	08/30/13	1,587.94	...	158.56	Sale
		Security total:	342,154.01		361,419.75	15,454.19	-19,265.74	

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TD AMERITRADE CLEARING INC

Account 864546986

2013 1099-B\*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

**1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\***

Report on Form 8949, Part I, with Box A checked

**8 - Description / CUSIP / 1d - Symbol**

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional Information
RYLAND GROUP INC COM / CUSIP: 783764103 / Symbol: RYL							
12/09/13	1,250,000	48,695.15	12/03/13	47,791.35	...	903.80	Sale
12/16/13	1,250,000	48,620.37	VARIOUS	47,589.65	0.00	1,030.72	Total of 2 lots
Security total:		97,315.52		95,381.00	0.00	1,934.52	
SANDISK CORP COM / CUSIP: 80004C101 / Symbol: SNDK							
12/09/13	750,000	51,805.84	12/03/13	50,906.43	...	899.41	Sale
12/23/13	750,000	52,832.66	12/05/13	50,471.24	...	2,361.42	Sale
Security total:		104,638.50		101,377.67	0.00	3,260.83	
SCHLUMBERGER LTD COM / CUSIP: 806857108 / Symbol: SLB							
01/02/13	2,000,000	141,572.04	VARIOUS	137,765.56	0.00	3,806.48	Total of 2 lots
01/11/13	500,000	36,624.17	12/27/12	34,374.95	...	2,249.22	Sale
04/08/13	1,000,000	74,806.67	VARIOUS	73,648.50	0.00	1,158.17	Total of 2 lots
04/10/13	250,000	19,284.81	03/22/13	18,468.75	...	796.06	Sale
05/03/13	1,050,000	79,100.17	VARIOUS	77,382.95	0.00	1,717.22	Total of 6 lots
05/07/13	700,000	53,706.54	04/15/13	51,261.60	...	2,444.94	Sale
06/11/13	1,000,000	71,913.84	06/11/13	72,452.63	53.88	-538.79	Sale
07/05/13	500,000	36,935.36	VARIOUS	36,226.32	0.00	709.04	Total of 2 lots
07/09/13	250,000	19,032.41	06/11/13	18,113.16	...	919.25	Sale
07/19/13	150,000	12,257.69	06/11/13	10,867.89	...	1,389.80	Sale
08/13/13	500,000	41,084.48	VARIOUS	40,481.38	316.02	603.10	Sale
08/28/13	250,000	20,798.38	VARIOUS	20,486.74	...	311.64	Sale
10/07/13	250,000	22,397.19	08/09/13	20,318.06	...	2,079.13	Sale
10/18/13	150,000	14,055.77	10/07/13	13,460.96	...	594.81	Sale
12/27/13	100,000	8,967.94	10/07/13	8,973.97	6.03	-6.03	Sale
Security total:		652,517.46		634,283.42	375.93	18,234.04	
SKYWORKS SOLUTIONS INC COM / CUSIP: 83088M102 / Symbol: SWKS							
11/21/13	750,000	19,915.73	11/19/13	19,314.50	...	601.23	Sale
12/05/13	700,000	19,736.21	11/19/13	18,026.87	...	1,709.34	Sale
Security total:		39,651.94		37,341.37	0.00	2,310.57	

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 # Less commissions.

TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions

Account 864546986

2013 1099-B\*

(continued)

OMB No. 1545-0715

Ic - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange

1b - Date of acquisition

2a - Proceeds of # stocks, bonds, etc.

3 - Cost or other basis

5 - Wash sale loss disallowed

Gain or loss(-) Additional Information

These columns are not reported to the IRS

8 - Description / CUSIP / 1d - Symbol	1a - Date of Sale or exchange	1b - Date of acquisition	2a - Proceeds of # stocks, bonds, etc.	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-) Additional Information
SPRINT NEXTEL CORP CSH&ST \$5.64 & .261:1 7/11/13 / CUSIP: 852061100 / Symbol: VARIOUS	07/11/13	VARIOUS	50,828.92	50,828.92	...	...
SPRINT CORP COM / CUSIP: 85207U105 / Symbol: S						
07/12/13		VARIOUS	6,048.38	5,404.77	...	643.61 Sale
07/30/13		05/20/13	4.14	4.43	...	-0.29 Cash In lieu
12/02/13		06/10/13	12,400.93	8,776.66	...	3,624.27 Sale
Security total:			18,453.45	14,185.86	0.00	4,267.59
TRW AUTOMOTIVE HOLDINGS CORP COM / CUSIP: 87264S106 / Symbol: TRW						
04/24/13		03/25/13	14,002.18	13,905.00	...	97.18 Sale
TARGET CORP COM / CUSIP: 87612E106 / Symbol: TGT						
12/27/13		VARIOUS	75,174.69	76,119.84	...	-945.15 Sale
TESORO CORPORATION COM / CUSIP: 881609101 / Symbol: TSO						
05/02/13		VARIOUS	67,807.23	68,259.65	619.12	-452.42 Sale
05/03/13		04/26/13	27,304.43	27,092.04	...	212.39 Sale
05/06/13		VARIOUS	57,435.97	51,704.56	0.00	5,731.41 Total of 2 lots
05/31/13		05/23/13	61,964.02	60,955.00	...	1,009.02 Sale
09/03/13		VARIOUS	71,140.26	71,713.03	728.06	-572.77 Sale
09/18/13		VARIOUS	45,125.31	47,146.01	2,020.70	-2,020.70 Total of 2 lots
10/10/13		VARIOUS	126,931.00	135,289.21	9,505.97	-8,358.21 Total of 4 lots
10/11/13		VARIOUS	79,073.36	80,288.58	2,419.17	-1,215.22 Total of 3 lots
10/23/13		VARIOUS	7,553.39	6,643.17	0.00	910.22 Total of 2 lots
10/31/13		VARIOUS	24,760.61	23,565.93	38.90	1,194.68 Sale
11/13/13		10/25/13	13,195.80	12,321.02	...	874.78 Sale
11/14/13		10/25/13	8,163.35	7,458.46	...	704.89 Sale
11/19/13		VARIOUS	16,976.24	16,115.02	...	861.22 Sale
11/25/13		11/19/13	17,404.69	16,823.30	...	581.39 Sale
12/23/13		12/17/13	28,870.99	28,903.85	32.86	-32.86 Sale
Security total:			653,706.65	654,278.83	15,364.78	-572.18

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TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B\*

Account 864546986

OMB No. 1545-0715

**Ic - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\***

Report on Form 8949, Part I, with Box A checked

**8 - Description / CUSIP / 1d - Symbol**

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional Information
TESLA MOTORS INC COM / CUSIP: 88160R101 / Symbol: TSLA							
05/17/13	2,000,000	187,735.78	VARIOUS	170,539.95	0.00	17,195.83	Total of 2 lots
09/03/13	250,000	43,151.74	08/29/13	41,588.94	...	1,562.80	Sale
09/19/13	125,000	22,342.24	08/30/13	20,608.63	...	1,733.61	Sale
10/04/13	250,000	44,964.86	VARIOUS	44,641.07	1,550.02	323.79	Sale
10/07/13	100,000	18,355.93	10/03/13	17,148.90	...	1,207.03	Sale
10/23/13	250,000	40,937.03	VARIOUS	44,340.86	3,403.83	-3,403.83	Sale
11/04/13	200,000	34,715.39	VARIOUS	36,695.31	2,027.27	-1,979.92	Sale
11/07/13	500,000	72,494.73	VARIOUS	83,875.18	11,380.45	-11,380.45	Sale
11/11/13	150,000	21,399.50	11/08/13	24,459.05	3,059.55	-3,059.55	Sale
11/18/13	350,000	44,053.23	VARIOUS	53,671.31	9,618.08	-9,618.08	Sale
11/19/13	350,000	44,008.08	11/18/13	53,758.34	9,750.26	-9,750.26	Sale
11/22/13	200,000	24,103.60	11/18/13	28,477.04	...	-4,373.44	Sale
11/26/13	400,000	48,096.66	VARIOUS	58,873.87	0.00	-10,777.21	Total of 2 lots
	Security total:	646,358.77		678,678.45	40,789.46	-32,319.68	
TEVA PHARMACEUTICAL INDS LTD ADR / CUSIP: 881624209 / Symbol: TEVA							
01/04/13	1,250,000	47,701.57	VARIOUS	47,796.73	108.64	-97.16	Sale
01/17/13	250,000	9,536.46	12/20/12	9,555.98	19.52	-19.52	Sale
01/24/13	1,000,000	38,029.24	VARIOUS	37,608.60	...	420.64	Sale
02/28/13	1,000,000	37,314.26	02/26/13	37,469.94	155.68	-155.68	Sale
03/06/13	1,000,000	38,509.13	02/26/13	37,532.20	...	976.93	Sale
05/07/13	1,000,000	38,715.18	VARIOUS	40,198.38	1,483.20	-1,483.20	Total of 2 lots
06/04/13	1,500,000	57,490.49	VARIOUS	59,353.20	1,991.21	-1,862.71	Sale
06/06/13	4,000,000	154,072.71	VARIOUS	154,215.61	1,543.04	-142.90	Sale
07/12/13	1,000,000	39,404.41	06/14/13	40,737.04	1,332.63	-1,332.63	Sale
07/22/13	500,000	20,276.70	VARIOUS	19,848.54	0.00	428.16	Total of 4 lots
08/09/13	750,000	29,867.73	VARIOUS	29,489.34	...	378.39	Sale
08/14/13	250,000	9,994.85	08/06/13	9,581.25	...	413.60	Sale
10/07/13	1,000,000	38,565.14	VARIOUS	38,044.50	0.00	520.64	Total of 2 lots
10/08/13	1,000,000	39,154.31	VARIOUS	37,789.50	...	1,364.81	Sale
10/11/13	250,000	10,244.82	10/01/13	9,453.75	...	791.07	Sale
10/31/13	3,500,000	131,526.20	VARIOUS	136,259.40	4,733.20	-4,733.20	Total of 3 lots
11/04/13	1,000,000	36,626.36	10/30/13	38,702.50	2,076.14	-2,076.14	Sale

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TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions  
(continued)

Account 864546986

2013 1099-B\*

OMB No. 1545-0715

**1c - SHORT-TERM TRANSACTIONS** 6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

**8 - Description / CUSIP / 1d - Symbol**

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(c)	Additional Information
TEVA PHARMACEUTICAL INDS LTD ADR / CUSIP: 881624209 / Symbol: TEVA (cont'd)							
11/08/13	1,500,000	56,155.23	VARIOUS	59,456.97	3,301.74	-3,301.74	Total of 2 lots
11/13/13	1,000,000	38,106.31	VARIOUS	38,348.22	0.00	-241.91	Total of 2 lots
11/14/13	500,000	19,241.16	11/04/13	19,672.04	...	-430.88	Sale
11/20/13	1,250,000	49,913.70	VARIOUS	48,799.83	0.00	1,113.87	Total of 2 lots
11/26/13	250,000	10,168.42	11/08/13	9,437.86	...	730.56	Sale
	Security total:	950,614.38		959,353.38	16,745.00	-8,739.00	
TOLL BROTHERS INC COM / CUSIP: 889478103 / Symbol: TOL							
12/18/13	1,000,000	34,333.90	VARIOUS	33,003.00	0.00	1,330.90	Total of 4 lots
TOTAL S A SPONSORED ADR / CUSIP: 89151E109 / Symbol: TOT							
05/03/13	500,000	25,104.48	03/22/13	24,862.12	...	242.36	Sale
05/07/13	1,000,000	50,514.86	VARIOUS	49,340.97	...	1,173.89	Sale
06/07/13	2,000,000	100,033.25	VARIOUS	100,166.91	348.89	-133.66	Sale
06/17/13	500,000	25,700.05	06/06/13	25,069.14	...	630.91	Sale
07/11/13	1,300,000	65,175.88	VARIOUS	63,903.60	7.07	1,272.28	Total of 2 lots
07/15/13	300,000	15,240.85	07/10/13	14,723.26	...	517.59	Sale
07/30/13	200,000	10,654.81	VARIOUS	9,817.40	0.00	837.41	Total of 2 lots
10/07/13	300,000	17,463.99	VARIOUS	15,618.02	...	1,845.97	Sale
10/14/13	250,000	14,789.99	10/07/13	14,501.54	...	288.45	Sale
11/22/13	150,000	9,045.36	10/07/13	8,700.92	...	344.44	Sale
11/25/13	100,000	6,032.90	10/07/13	5,800.62	...	232.28	Sale
	Security total:	339,756.42		332,504.50	355.96	7,251.92	
TWITTER INC COM / CUSIP: 90184L102 / Symbol: TWTR							
12/13/13	300,000	16,765.70	VARIOUS	11,023.80	...	5,741.90	Sale
US BANCORP COM (NEW) / CUSIP: 902973304 / Symbol: USB							
01/11/13	500,000	16,729.62	12/27/12	15,814.95	...	914.67	Sale
UNDER ARMOUR INC COM CLASS A / CUSIP: 904311107 / Symbol: UA							
01/14/13	1,000,000	48,699.00	01/11/13	47,239.90	...	1,459.10	Sale

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TD AMERITRADE CLEARING INC

Account 864546986

2013 1099-B\*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of <sup>e</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional Information
UNDER ARMOUR INC COM CLASS A / CUSIP: 904311107 / Symbol: UA (cont'd)							
01/22/13	4,000.000	190,223.58	VARIOUS	184,795.00	0.00	5,428.58	Total of 5 lots
01/25/13	10,000.000	471,189.44	01/24/13	455,987.24	...	15,202.20	Sale
03/21/13	4,000.000	196,470.59	03/20/13	195,925.00	...	545.59	Sale
03/22/13	1,000.000	50,163.97	03/22/13	49,355.00	...	808.97	Sale
07/01/13	1,500.000	91,195.03	VARIOUS	89,756.90	0.00	1,438.13	Total of 3 lots
07/05/13	500.000	30,396.47	VARIOUS	28,972.00	0.00	1,424.47	Total of 2 lots
07/08/13	250.000	15,424.98	06/20/13	14,486.00	...	938.98	Sale
07/30/13	125.000	8,428.73	06/20/13	7,243.00	...	1,185.73	Sale
08/08/13	75.000	5,203.73	06/20/13	4,345.80	...	857.93	Sale
10/01/13	1,050.000	86,056.75	VARIOUS	79,044.50	...	7,012.25	Sale
10/04/13	500.000	40,444.34	09/23/13	38,132.30	...	2,312.04	Sale
10/07/13	500.000	39,869.35	10/03/13	40,104.95	235.60	-235.60	Sale
10/10/13	1,000.000	79,128.99	VARIOUS	80,319.71	1,190.72	-1,190.72	Total of 4 lots
10/15/13	500.000	40,394.79	10/09/13	38,794.24	...	1,600.55	Sale
10/16/13	250.000	20,469.67	10/09/13	19,529.49	...	940.18	Sale
10/23/13	250.000	20,892.13	10/09/13	19,548.45	...	1,343.68	Sale
10/24/13	500.000	40,134.80	VARIOUS	40,241.85	684.98	-107.05	Sale
10/25/13	500.000	39,384.63	VARIOUS	39,657.85	479.80	-273.22	Total of 2 lots
11/07/13	500.000	38,855.82	10/24/13	40,234.80	1,378.98	-1,378.98	Sale
11/08/13	3,000.000	233,752.17	VARIOUS	236,797.35	4,681.72	-3,045.18	Total of 3 lots
11/13/13	750.000	61,298.98	VARIOUS	58,006.52	0.00	3,292.46	Total of 2 lots
11/14/13	150.000	12,477.30	11/07/13	11,720.04	...	757.26	Sale
12/27/13	100.000	8,554.87	11/07/13	7,669.00	...	885.87	Sale
	Security total:	1,869,110.11		1,827,906.89	8,651.80	41,203.22	
UNITED STATES STEEL CORP COM / CUSIP: 912909108 / Symbol: X							
02/27/13	2,000.000	41,574.26	02/26/13	40,919.80	...	654.46	Sale
02/28/13	1,000.000	20,864.63	02/26/13	20,459.90	...	404.73	Sale
04/25/13	3,000.000	52,644.12	03/11/13	62,314.70	9,670.58	-9,670.58	Sale
05/03/13	2,500.000	44,221.46	VARIOUS	49,911.56	5,690.10	-5,690.10	Total of 2 lots
05/08/13	3,500.000	66,988.84	VARIOUS	67,813.36	0.00	-824.52	Total of 2 lots

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TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions  
(continued)

Account 864546986

2013 1099-B\*

OMB No. 1545-0715

**Ic - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol	1e - Quantity	2a - Proceeds of <sup>#</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-) Additional Information
Security total:		226,293.31		241,419.32	15,360.68	-15,126.01
VALERO ENERGY CORP NEW COM / CUSIP: 91913Y100 / Symbol: VLO						
05/03/13	1,500.000	55,390.25	05/02/13	55,205.00	...	185.25 Sale
05/06/13	1,500.000	57,048.43	VARIOUS	54,154.44	0.00	2,893.99 Total of 3 lots
09/18/13	1,500.000	51,790.62	VARIOUS	52,428.75	427.08	-638.13 Total of 2 lots
10/11/13	1,000.000	36,496.85	VARIOUS	35,149.72	0.00	1,347.13 Total of 3 lots
10/21/13	250.000	9,887.35	09/12/13	8,834.26	...	1,053.09 Sale
11/07/13	100.000	3,993.93	09/12/13	3,533.70	...	460.23 Sale
11/12/13	650.000	26,983.60	VARIOUS	25,296.88	...	1,686.72 Sale
11/14/13	750.000	32,343.68	11/11/13	29,994.50	...	2,349.18 Sale
11/22/13	250.000	11,026.05	11/11/13	9,998.17	...	1,027.88 Sale
Security total:		284,960.76		274,595.42	427.08	10,365.34
VERIZON COMMUNICATIONS COM / CUSIP: 92343V104 / Symbol: VZ						
05/28/13	1,500.000	77,618.64	VARIOUS	78,865.62	1,478.24	-1,246.98 Sale
05/29/13	1,000.000	49,465.13	05/23/13	52,761.47	...	-3,296.34 Sale
Security total:		127,083.77		131,627.09	1,478.24	-4,543.32
WALTER ENERGY INC COM / CUSIP: 93317Q105 / Symbol: WLT						
05/03/13	2,500.000	43,344.27	VARIOUS	71,225.39	27,706.20	-27,881.12 Sale
05/06/13	2,500.000	43,871.51	04/24/13	72,507.67	...	-28,636.16 Sale
09/19/13	1,250.000	18,882.55	VARIOUS	18,090.71	...	791.84 Sale
10/15/13	750.000	11,882.54	VARIOUS	10,487.42	0.00	1,395.12 Total of 2 lots
11/04/13	250.000	4,705.91	09/13/13	3,495.81	...	1,210.10 Sale
Security total:		122,686.78		175,807.00	27,706.20	-53,120.22
WELLS FARGO & CO COM / CUSIP: 949746101 / Symbol: WFC						
05/07/13	1,000.000	38,174.24	03/20/13	37,705.00	...	469.24 Sale
07/01/13	600.000	24,949.16	06/11/13	24,601.44	...	347.72 Sale
07/05/13	400.000	16,698.98	06/11/13	16,400.96	...	298.02 Sale
07/08/13	600.000	25,729.53	VARIOUS	24,601.44	0.00	1,128.09 Total of 3 lots
08/08/13	200.000	8,694.86	06/11/13	8,200.48	...	494.38 Sale

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 \*\*For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.  
 # Less commissions.

TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions

Account 864546986

OMB No. 1545-0715

2013 1099-B\*

(continued)

Ic - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional Information
WELLS FARGO & CO COM / CUSIP: 949746101 / Symbol: WFC (cont'd)							
10/04/13	1,000,000	41,235.08	VARIOUS	42,565.48	1,374.87	-1,330.40	Total of 2 lots
10/07/13	500,000	20,360.14	09/30/13	20,691.61	331.47	-331.47	Sale
10/11/13	1,000,000	41,146.78	09/30/13	42,758.11	1,611.33	-1,611.33	Sale
10/16/13	750,000	31,849.44	VARIOUS	31,208.11	0.00	641.33	Total of 2 lots
10/30/13	258,000	11,137.53	10/11/13	11,032.03	...	105.50	Sale
11/19/13	300,000	13,129.86	10/11/13	12,827.20	...	302.66	Sale
12/06/13	500,000	22,021.86	VARIOUS	21,661.47	...	360.39	Sale
12/18/13	800,000	35,667.45	12/03/13	34,931.12	...	736.33	Sale
	Security total:	330,794.91		329,184.45	3,317.67	1,610.46	
WELLS FARGO & CO PFD PERP/CALL 5.25% QTRLY PMT / CUSIP: 949746655 / Symbol: WFC PRP							
03/20/13	2,000,000	49,593.88	03/15/13	50,000.00	...	-406.12	Sale
WENDY'S COMPANY COM / CUSIP: 95058W100 / Symbol: WEN							
09/09/13	2,500,000	19,869.90	08/30/13	19,075.00	...	794.90	Sale
09/12/13	1,250,000	10,696.06	09/30/13	9,537.50	...	1,158.56	Sale
10/07/13	6,250,000	51,976.54	VARIOUS	50,590.51	0.00	1,386.03	Total of 2 lots
10/11/13	2,500,000	21,299.52	VARIOUS	20,526.51	0.00	773.01	Total of 2 lots
10/31/13	1,250,000	10,908.36	VARIOUS	10,262.75	0.00	645.61	Total of 2 lots
	Security total:	114,750.38		109,992.27	0.00	4,758.11	
WEYERHAEUSER CO COM / CUSIP: 962166104 / Symbol: WY							
07/30/13	1,000,000	28,284.60	05/30/13	29,922.40	1,637.80	-1,637.80	Sale
08/08/13	3,000,000	82,043.87	VARIOUS	87,998.20	5,954.33	-5,954.33	Sale
08/13/13	1,500,000	40,224.89	08/07/13	45,333.72	...	-5,108.83	Sale
09/09/13	750,000	21,782.20	08/07/13	22,224.61	...	-442.41	Sale
10/18/13	500,000	15,119.73	08/07/13	14,273.80	...	845.93	Sale
12/27/13	250,000	7,815.89	08/07/13	7,136.90	...	678.99	Sale
	Security total:	195,271.18		206,889.63	7,592.13	-11,618.45	
WHOLE FOODS MKT INC COM / CUSIP: 966837106 / Symbol: WFM							
02/27/13	2,000,000	167,945.39	VARIOUS	166,287.14	3.31	1,658.25	Total of 6 lots
04/12/13	500,000	43,594.52	04/03/13	41,719.60	...	1,874.92	Sale

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 # Less commissions.

TD AMERITRADE CLEARING INC

Account 864546986

2013 1099-B\*

(continued)

OMB No. 1545-0715

**1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of <sup>a</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(c)	Additional Information
WFOODS MKT INC COM / CUSIP: 966837106 / Symbol: WFM (cont'd)							
04/16/13	500.000	43,844.01	04/03/13	41,714.50	...	2,129.51	Sale
07/05/13	400.000	21,043.03	06/11/13	20,497.60	...	545.43	Sale
07/08/13	250.000	13,357.29	06/11/13	12,811.00	...	546.29	Sale
07/12/13	150.000	8,388.87	06/11/13	7,886.60	...	702.27	Sale
10/08/13	500.000	29,814.48	VARIOUS	28,027.79	...	1,786.69	Sale
10/11/13	250.000	15,284.98	VARIOUS	14,805.18	...	479.80	Sale
11/13/13	280.000	16,490.54	VARIOUS	16,539.65	78.83	-49.11	Sale
11/14/13	470.000	27,781.96	11/07/13	27,214.88	...	567.08	Sale
12/05/13	2,000.000	111,501.18	VARIOUS	115,861.70	4,360.52	-4,360.52	Total of 4 lots
12/17/13	1,000.000	56,895.10	12/04/13	57,557.50	1,048.48	-662.40	Sale
12/19/13	750.000	44,043.48	12/09/13	42,892.02	...	1,151.46	Sale
Security total:							
		599,984.83		593,615.16	5,491.14	6,369.67	
WILLIAMS COS INC COM / CUSIP: 969457100 / Symbol: WMB							
02/07/13	500.000	17,869.74	02/07/13	17,885.00	...	-15.26	Sale
YAHOO! INC COM / CUSIP: 984332106 / Symbol: YHOO							
04/08/13	2,000.000	46,854.15	03/18/13	44,044.60	...	2,809.55	Sale
04/15/13	1,500.000	37,074.16	03/18/13	33,198.75	...	3,875.41	Sale
04/24/13	500.000	12,395.22	03/18/13	11,066.25	...	1,328.97	Sale
12/12/13	4,000.000	158,879.62	VARIOUS	157,734.91	156.74	1,144.71	Total of 2 lots
12/16/13	750.000	29,980.47	12/11/13	29,458.95	...	521.52	Sale
Security total:							
		285,183.62		275,503.46	156.74	9,680.16	
YELP INC COM / CUSIP: 985817105 / Symbol: YELP							
11/14/13	250.000	16,750.20	11/08/13	15,951.33	...	798.87	Sale
11/15/13	250.000	17,345.94	11/08/13	15,951.34	...	1,394.60	Sale
11/26/13	750.000	45,632.70	VARIOUS	44,748.95	740.43	883.75	Sale
12/02/13	250.000	14,813.34	11/25/13	15,139.24	...	-325.90	Sale
Security total:							
		94,542.18		91,790.86	740.43	2,751.32	
TRANSOCEAN LTD ORD / CUSIP: H8817H100 / Symbol: RIG							
05/28/13	2,500.000	131,513.80	VARIOUS	132,045.31	1,267.56	-531.51	Total of 3 lots

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 # Less commissions.

TD AMERITRADE CLEARING INC

Account 864546986

2013 1099-B\*

OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of <sup>e</sup> stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
TRANSOCEAN LTD ORD / CUSIP: H8817H100 / Symbol: RIG (cont'd)	1,000.000	51,107.90	VARIOUS	51,168.37	66.52	-60.47	Total of 2 lots
06/04/13							
06/07/13	500.000	25,339.57	VARIOUS	25,578.16	238.59	-238.59	Total of 2 lots
06/13/13	700.000	33,944.47	06/03/13	35,517.72	1,573.25	-1,573.25	Sale
07/01/13	500.000	24,204.62	VARIOUS	25,386.89	1,182.27	-1,182.27	Sale
07/15/13	1,000.000	49,694.23	VARIOUS	51,876.55	2,520.17	-2,182.32	Sale
08/12/13	1,000.000	47,554.27	VARIOUS	50,169.41	2,615.14	-2,615.14	Sale
10/01/13	1,250.000	56,157.31	VARIOUS	63,301.19	7,143.88	-7,143.88	Total of 2 lots
10/04/13	750.000	34,224.48	VARIOUS	36,094.73	...	-1,870.25	Sale
10/22/13	500.000	24,595.07	10/01/13	24,974.41	...	-379.34	Sale
11/07/13	400.000	20,782.16	VARIOUS	20,031.99	0.00	750.17	Total of 2 lots
12/27/13	100.000	4,893.01	10/01/13	5,045.18	...	-152.17	Sale
Security total:		504,010.89		521,189.91	16,607.38	-17,179.02	
<b>Totals:</b>		<b>54,832,768.59</b>		<b>56,736,767.32</b>	<b>2,307,903.26</b>	<b>-1,903,998.73</b>	

These columns are not reported to the IRS

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## Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return**  
▶ **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns Enter filer's identifying number, see instructions

<b>Type or print</b>  <small>File by the due date for filing your return. See instructions.</small>	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	THE YALE FISHMAN FAMILY FOUNDATION	11-3579520
	Number, street, and room or suite no. If a P O box, see instructions	Social security number (SSN)
	930 BROADWAY	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	WOODMERE, NY 11598	

Enter the Return code for the return that this application is for (file a separate application for each return)  0  4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ YALE FISHMAN

Telephone No ▶ 516 374-0350 FAX No ▶ \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for

- ▶  calendar year 2013 or
- ▶  tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_

2 If the tax year entered in line 1 is for less than 12 months, check reason  Initial return  Final return  Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$ 0
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$ 451.
c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$ 0

**Caution** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box . . . . .  **X**
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

**Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)**

<b>Type or print</b>  File by the due date for filing your return See instructions	Enter filer's identifying number, see instructions	
	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	THE YALE FISHMAN FAMILY FOUNDATION	11-3579520
	Number, street, and room or suite no If a P O box, see instructions	Social security number (SSN)
930 BROADWAY		
City, town or post office, state, and ZIP code For a foreign address, see instructions		
WOODMERE, NY 11598		

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . . **014**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of YALE FISHMAN  
 Telephone No 516 374-0350 Fax No
- If the organization does not have an office or place of business in the United States, check this box . . . . .
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)  If this is for the whole group, check this box . . . . .  If it is for part of the group, check this box . . . . .  and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/17, 2014

5 For calendar year 2013, or other tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_

6 If the tax year entered in line 5 is for less than 12 months, check reason  Initial return  Final return  
 Change in accounting period

7 State in detail why you need the extension AWAITING INFORMATION FROM THIRD PARTY SOURCES NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN

<b>8a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	<b>8a</b> \$	0
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	<b>8b</b> \$	451.
<b>c Balance Due.</b> Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	<b>8c</b> \$	0

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature  Title  Date