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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation NATIONAL GRID FOUNDATION		A Employer identification number 11-3466416	
% NATIONAL GRID FOUNDATION		B Telephone number (see instructions) (516) 545-6100	
Number and street (or P O box number if mail is not delivered to street address) 175 EAST OLD COUNTRY ROAD Suite		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code HICKSVILLE, NY 11801		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 35,281,901	J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	476,703	849,867		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	2,112,951			
	b Gross sales price for all assets on line 6a 20,593,196				
	7 Capital gain net income (from Part IV, line 2) . . .		2,712,360		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	0	58,438		
	12 Total. Add lines 1 through 11	2,589,654	3,620,665		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	166,375			166,375
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	220,214	43,177		230,214
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	131,661	5,070		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	75,595	3,562		
	24 Total operating and administrative expenses. Add lines 13 through 23	593,845	51,809		396,589
	25 Contributions, gifts, grants paid	1,213,200			1,213,200
	26 Total expenses and disbursements. Add lines 24 and 25	1,807,045	51,809		1,609,789
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	782,609			
	b Net investment income (if negative, enter -0-)		3,568,856		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	435,160	354,026	354,026
	3	Accounts receivable ▶ <u>34,575</u>			
		Less allowance for doubtful accounts ▶ _____	45,122	34,575	34,575
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,641,081	487,717	487,717
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____			
Liabilities		Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	29,093,139	34,392,427	34,392,427
	14	Land, buildings, and equipment basis ▶ _____			
		Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)	16,409	13,156	13,156
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	31,230,911	35,281,901	35,281,901
	17	Accounts payable and accrued expenses	7,172	32,187	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	176,704	287,022	
	23	Total liabilities (add lines 17 through 22)	183,876	319,209	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	31,047,035	34,962,692	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	31,047,035	34,962,692	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	31,230,911	35,281,901	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	31,047,035
2	Enter amount from Part I, line 27a	2	782,609
3	Other increases not included in line 2 (itemize) ▶ _____	3	3,133,048
4	Add lines 1, 2, and 3	4	34,962,692
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	34,962,692

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE ATTACHMENT 17			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 20,593,196		18,727,243	1,865,953
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			1,865,953
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,712,360
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,673,591	30,648,946	0 054605
2011	1,197,885	29,753,150	0 040261
2010	1,283,234	24,190,377	0 053047
2009	776,648	21,342,637	0 03639
2008	1,418,122	24,320,427	0 05831

2 Total of line 1, column (d).	2	0 242613
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 048523
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	33,193,829
5 Multiply line 4 by line 3.	5	1,610,664
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	35,689
7 Add lines 5 and 6.	7	1,646,353
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	1,609,789

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	71,377
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3 Add lines 1 and 2.	3	71,377
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	71,377
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	25,501
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	70,000
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	95,501
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	24,124
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 24,124 Refunded	11	

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☐		Yes	No
	1a		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i> ☐	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> ☐	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions): ☐ NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶http //nationalgridfoundation com/	13	Yes	
14	The books are in care of ▶NATIONAL GRID FOUNDATION Telephone no ▶(516) 545-6100 Located at ▶175 EAST OLD COUNTRY ROAD HICKSVILLE NY ZIP +4 ▶11801			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

YesNo

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

YesNo

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

YesNo

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

YesNo

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

YesNo

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

Yes

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

YesNo

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

YesNo

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

YesNo

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000.

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
PROFESSIONAL TEMPORARY INC	CONSULTING SERVICE	138,320
1100 FRANKLIN AVENUE SUITE 106		
GARDEN CITY,NY 11530		
Total number of others receiving over \$ 50,000 for professional services.		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	33,363,311
b	Average of monthly cash balances.	1b	336,008
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	33,699,319
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	33,699,319
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	505,490
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	33,193,829
6	Minimum investment return. Enter 5% of line 5.	6	1,659,691

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,659,691
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	71,377
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	71,377
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,588,314
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,588,314
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,588,314

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,609,789
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,609,789
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,609,789
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,588,314
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 2011 , 2010 , 2009		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.	222,003			
b From 2009.	0			
c From 2010.	93,555			
d From 2011.				
e From 2012.	167,754			
f Total of lines 3a through e.	483,312			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 1,609,789				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				1,588,314
e Remaining amount distributed out of corpus	21,475			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	504,787			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions.		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions.			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).	222,003			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a.	282,784			
10 Analysis of line 9				
a Excess from 2009.	0			
b Excess from 2010.	93,555			
c Excess from 2011.				
d Excess from 2012.	167,754			
e Excess from 2013.	21,475			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NA

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Robert G Keller President
175 Old Country Rd
Hicksville, NY 11801
(516) 545-6100

b

The form in which applications should be submitted and information and materials they should include

APPLICATIONS ARE ACCEPTED THROUGH THE ON-LINE APPLICATION SYSTEM VIA CYBERGRANTS

c

Any submission deadlines

THE FOUNDATION ACCEPTS APPLICATIONS THROUGH OCTOBER 31 OF EACH CALENDAR YEAR. HOWEVER IF NECESSARY,

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

GENERALLY GRANTS ARE AWARDED ON A COMPETITIVE BASIS FROM INSTITUTIONS EITHER LOCATED IN, OR PROVIDING SERVICES WITHIN THE NATIONAL GRID FOUNDATION GRANT MAKING AREA. FURTHER, THE NATIONAL GRID FOUNDATION TYPICALLY PROVIDES GRANTS TO SUPPORT ORGANIZATIONS THAT ENGAGE IN ENVIRONMENTAL AND EDUCATIONAL PROGRAMMING AS WELL AS EMERGENCY FUEL NEUTRAL HEATING FUNDS WITHIN ITS GRANT MAKING TERRITORY ACROSS THE NORTHEAST. ON OCCASION, THE BOARD OF DIRECTORS MAY CHOOSE TO SUPPORT ORGANIZATIONS AND EFFORTS THAT FALL OUTSIDE THESE PARAMETERS.

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2013)

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALBERT C WILTSHIRE	VICE CHAIRMAN 0 3	0	0	0
175 EAST OLD COUNTRY ROAD HICKSVILLE,NY 11801				
ROBERT B CATELL	DIRECTOR 0 3	0	0	0
175 EAST OLD COUNTRY ROAD HICKSVILLE,NY 11801				
CARMEN FIELDS	DIRECTOR 0 23	0	0	0
175 EAST OLD COUNTRY ROAD HICKSVILLE,NY 11801				
BASIL A PATERSON	CHAIRMAN 0 42	0	0	0
175 EAST OLD COUNTRY ROAD HICKSVILLE,NY 11801				
DONALD H ELLIOTT	VICE CHAIRMAN 0 38	0	0	0
175 EAST OLD COUNTRY ROAD HICKSVILLE,NY 11801				
EILEEN R COHEN	TREASURER 1 0	0	0	0
175 EAST OLD COUNTRY ROAD HICKSVILLE,NY 11801				
REV GARY V SIMPSON	DIRECTOR 0 23	0	0	0
175 EAST OLD COUNTRY ROAD HICKSVILLE,NY 11801				
STEPHEN W MCCAFFREY	CHIEF COUNSEL 3 3	0		
175 EAST OLD COUNTRY ROAD HICKSVILLE,NY 11801				
GEORGE MAYHEW	DIRECTOR 0 23	0	0	0
175 EAST OLD COUNTRY ROAD HICKSVILLE,NY 11801				
ROBERT G KELLER	PRESIDENT 40 0	166,375	0	0
175 EAST OLD COUNTRY ROAD HICKSVILLE,NY 11801				
JEAN T TESORIERO	SECRETARY & VICE PRESIDENT 40 0	0	0	0
175 EAST OLD COUNTRY ROAD HICKSVILLE,NY 11801				
Melanie W Littlejohn	Director 0 23	0	0	0
175 EAST OLD COUNTRY ROAD HICKSVILLE,NY 11801				

**TY 2013 All Other Program
Related Investments Schedule**

Name: NATIONAL GRID FOUNDATION

EIN: 11-3466416

Category	Amount
NONE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: NATIONAL GRID FOUNDATION

EIN: 11-3466416

TY 2013 Investments Corporate Stock Schedule

Name: NATIONAL GRID FOUNDATION

EIN: 11-3466416

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUITIES	487,717	487,717

TY 2013 Investments - Land Schedule**Name:** NATIONAL GRID FOUNDATION**EIN:** 11-3466416

TY 2013 Investments - Other Schedule**Name:** NATIONAL GRID FOUNDATION**EIN:** 11-3466416

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
LIMITED PARTNERSHIPS		1,890,386	1,890,386
COMMINGLED FUNDS		10,997,092	10,997,092
FUND OF FUNDS		3,232,630	3,232,630
FIXED INCOME FUNDS		3,377,137	3,377,137
MUTUAL FUNDS		14,895,182	14,895,182

TY 2013 Land, Etc. Schedule**Name:** NATIONAL GRID FOUNDATION**EIN:** 11-3466416

TY 2013 Other Assets Schedule

Name: NATIONAL GRID FOUNDATION

EIN: 11-3466416

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INTEREST RECEIVABLE	16,409	13,156	13,156

TY 2013 Other Expenses Schedule

Name: NATIONAL GRID FOUNDATION

EIN: 11-3466416

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GENERAL & ADMINISTRATIVE EXP	75,595			
OTHER EXP FROM PARTNERSHIPS	0	3,562		

TY 2013 Other Income Schedule

Name: NATIONAL GRID FOUNDATION

EIN: 11-3466416

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
other income from partnerships	0	58,438	

TY 2013 Other Increases Schedule

Name: NATIONAL GRID FOUNDATION

EIN: 11-3466416

Description	Amount
UNREALIZED (LOSSES) GAINS	3,133,048

TY 2013 Other Liabilities Schedule**Name:** NATIONAL GRID FOUNDATION**EIN:** 11-3466416

Description	Beginning of Year - Book Value	End of Year - Book Value
PAYABLE TO NATIONAL GRID CORP	86,371	85,028
EXCISE TAX PAYABLE	13,900	62,900
DEFERRED EXCISE TAX PAYABLE	76,433	139,094

TY 2013 Taxes Schedule**Name:** NATIONAL GRID FOUNDATION**EIN:** 11-3466416

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	69,000			
DEFERRED EXCISE TAX	62,661			
FOREIGN TAXES PAID-PARTNERSHIP	0	5,070		

Schedule B -Paid Grants 2013

National Grid Foundation 2013 Grants Awarded							
Type	Organization	Address	Region	Category	Foundation Status	Grant Purpose	Amount Awarded
One-Time	American Littoral Society	28 West 9th Road Broad Channel NY 11693	NYC	Environment	PC	2013 New York State Beach Cleanup	15 000 00
One-Time	Amenca s Youth Teenage Unemployment Reduction Network Inc	156 Main Street Brooklyn MA 02301	NE	Education	PC	Brooklyn STEP Program	9 000 00
One-Time	Audubon Society of Rhode Island	12 Sanderson Road Smithfield RI 02917	NE	Environment	PC	School Yard Science for the Urban Ecosystem	25 000 00
One-Time	Bedford Stuyvesant Restoration Corporation	1368 Fulton Street Brooklyn NY 11216-5372	NYC	Education	PC	Career Awareness and Job Readiness Training Program	13 000 00
One-Time	Black Ministerial Alliance of Greater Boston Inc	7 Palmer Street Boston MA 02119-2427	NE	Education	PC	Victory Generation Out-of-School Time Program	9 700 00
One-Time	Boston Partners in Education Inc	44 Farnsworth Street Boston MA 02210	NE	Education	PC	Math Rules! Program	9 500 00
One-Time	Brooklyn Bar Association Volunteer Lawyers Project Inc	123 Remsen Street 2nd Floor Brooklyn NY 11201	NYC	Education	PC	National Grid Foundation Senior Legal Education and Assistance Program	12 000 00
One-Time	Brooklyn Music School Inc	126 Saint Felix Street Brooklyn NY 11217-1423	NYC	Education	PC	Arts Reaching Youth After School Program	9 500 00
One-Time	Brooklyn Public Library	10 Grand Army Plaza Brooklyn NY 11238	NYC	Education	PC	Summer Reading 2013	25 000 00
One-Time	Capital Repertory Company	111 North Pearl Street Albany NY 12207	NYS	Education	PC	On the Go Program	9 500 00
One-Time	Catholic Charities of the Diocese of Albany	40 N Main Avenue Albany NY 12203-1481	NYS	Fuel Fund	PC	Emergency Energy Assistance Fund	75 000 00
One-Time	Charles River Conservancy Inc	4 Brattle Street Cambridge MA 02138	NE	Education	PC	High School Volunteer Program	10 000 00
One-Time	Citizens Committee for New York City Inc	77 Water Street Suite 202 New York NY 10005	NYC	Environment	PC	National Grid Foundation DIY (Do It Yourself) Green Blocks Workshops	25 000 00
One-Time	Cold Spring Harbor Laboratory	1 Bungtown Road Cold Spring Harbor NY 11724-0100	LI	Education	PC	DNA Learning Center National Grid Foundation Advanced Genetics Program	15 000 00
One-Time	Community Environmental Center	43-10 11th Street Long Island City NY 11101	NYC	Environment	PC	EcoHouse Program	13 000 00
One-Time	Coro New York Leadership Center	42 Broadway Suite 1827-35 New York NY 10004	NYC	Education	PC	Exploring Leadership and the National Grid Foundation Brooklyn Youth Advisory Council	20 000 00
One-Time	Cradle of Aviation Museum (Museums at Mitchel)	One Davis Avenue Garden City NY 11530	LI	Education	PC	Teaching Renewable Energy Education (TREE) Program	20 000 00
One-Time	Cristo Rey Brooklyn High School	2-12 Aberdeen Street Brooklyn NY 11207	NYC	Education	PC	National Grid Foundation Summer Training Institute 2013	30 000 00
One-Time	Elizabeth Pierce Olmsted MD Center for the Visually Impaired	1170 Main Street Buffalo NY 14209	NYS	Education	PC	Statler Center for Careers in Hospitality Service	15 000 00
One-Time	Friends of The Children-Boston Inc	555 Amory Street Boston MA 02130	NE	Education	PC	Social Works Program	9 600 00
One-Time	Friends of the Cold Spring Harbor Fish Hatchery Inc	1660 Route 25A Cold Spring Harbor NY 11724-1500	LI	Education	PC	National Grid Foundation Welcome Schools and Community Scholarship Program	7 500 00
One-Time	Girls Incorporated of Greater Haverhill	7 William Street Haverhill MA 01830	NE	Education	PC	SMART (Science Math and Relevant Technology) Days Program	9 800 00
One-Time	Homespace Corporation	1030 Ellicott Street Buffalo NY 14209-2127	NYS	Education	PC	Independent Living Education Program	5 000 00
One-Time	Hull Lifesaving Museum Inc	1117 Nantasket Avenue Hull MA 02045	NE	Education	PC	Maritime Apprentice Program	9 800 00
One-Time	Junior Achievement USA	8 Stanley Circle Latham NY 12110-2606	NYS	Education	PC	Work Readiness Education for Those Most in Need Program	9 000 00
One-Time	Kingsborough Community College (Research FND of the City of NY)	2001 Oriental Boulevard Brooklyn NY 11235-2333	NYC	Environment	PC	Post-Sandy Beach Erosion (STEM Students Research) Project	8 000 00
One-Time	Madison Square Boys and Girls Club Inc	317 Madison Avenue Suite 1110 New York NY 10017	NYC	Education	PC	Explorers Academy	9 800 00
One-Time	Medgar Evers Educational Foundation Inc	1638 Bedford Avenue Brooklyn New York 11225	NYC	Education	PC	GreenDependence Scholars Program	10 000 00
One-Time	Mercy Works Incorporated	1221 S Salina Street Syracuse NY 13202	NYS	Education	PC	Synergy Leadership Training Program	15 000 00
One-Time	Molloy College	1000 Hempstead Avenue Rockville Centre NY 11571	LI	Education	PC	National Grid Foundation Teaching Green Institute 2013	35 000 00
One-Time	Nativity School of Worcester Inc	67 Lincoln Street Worcester MA 01605	NE	Education	PC	Office of Graduate Support College Access Program	5 000 00
One-Time	Northeast Sustainable Energy Association Inc	50 Miles Street Greenfield MA 01301	NE	Environment	PC	NESEA Net-Zero Energy Student Design Competition	10 000 00
One-Time	On Point for College Inc	1654 W Onondaga Street Syracuse NY 13204-3310	NYS	Education	PC	Summer Orientation Program	15 000 00
One-Time	Providence College	River Avenue at Eaton Street Providence RI 02918	NE	Education	PC	Hoops for the Stars Program	30 000 00
One-Time	Queen Botanical Garden Society Inc	43-50 Main Street Flushing NY 11355-4742	NYC	Environment	PC	Trees and Me & Dr George Washington Carver in Far Rockaway Programs	15 000 00
One-Time	Read To Succeed Inc	201 Hillside Road Suite 101 Cranston RI 02920-5602	NE	Education	PC	2013 Read to Succeed Summer Program	9 800 00
One-Time	Rensselaer Polytechnic Institute	110 Eighth Street Troy NY 12180	NYS	Education	PC	Shoot for the Stars Program	10 000 00
One-Time	Save The Harbor Save The Bay Inc	212 Northern Avenue Suite 304 West Boston MA 02210	NE	Environment	PC	2013 Youth Environmental Education Programs	9 500 00
One-Time	Say Yes To Education Inc	320 Park Avenue 21st Floor New York NY 10022	NYC	Education	PC	Young Authors Series	20 000 00
One-Time	SeniorNet	790 Park Avenue Huntington NY 11743	LI	Education	PC	SeniorNet at FSL LI Computer Learning Center Program	9 700 00
One-Time	Siena College	515 Loudon Road Loudonville NY 12211-1462	NYS	Education	PC	Hoops for the Stars Program	20 000 00
One-Time	St Francis College	180 Remsen Street Brooklyn NY 11201	NYC	Education	PC	Project Access Program	15 000 00
One-Time	St John s University	8000 Utopia Parkway Jamaica NY 11439	NYC	Education	PC	Hoops for the Stars Program	30 000 00
One-Time	Stony Brook Foundation Inc	230 Administration at SUNY Stony Brook NY 11794	LI	Education	PC	GreenDependence Scholars Program	10 000 00
One-Time	Syracuse University	820 Cornstock Avenue Syracuse NY 13244	NYS	Education	PC	Hoops for the Stars Program	30 000 00
One-Time	The Brooklyn Historical Society	128 Pierrepont Street Brooklyn NY 11201	NYC	Education	PC	In Pursuit of Freedom Professional Development and Free School Tours	15 000 00
One-Time	The Concord Baptist Church of Christ	833 Gardner C Taylor Boulevard Brooklyn NY 11216	NYC	Education	PC	Freedom School Summer Program 2013	25 000 00
One-Time	The Hope Program Inc	One Smith Street Brooklyn NY 11201	NYC	Education	PC	Technical Skills Program	20 000 00
One-Time	The Interfaith Community Dire Emergency Fund	One Cathedral Square Providence RI 02803	NE	Fuel Fund	PC	"Keep the Heat On" Fund	70 000 00

One-Time	Thornton w Burgess Society	6 Discovery Hill Road Sandwich MA 02537	NE	Environment	PC	Project L I F E (Lessons in Field Ecology) Program	9 000 00
One-Time	Trinity Institution - Homer Perkins Center Inc	15 Trinity Place Albany NY 12202	NYS	Education	PC	Crafts Arts Recreation and Education (CARE) Program	25 000 00
One-Time	United Way of Long Island Inc	819 Grand Boulevard Deer Park NY 11729	LI	Fuel Fund	PC	Project Warmth	150 000 00
One-Time	United Way of Massachusetts Bay Inc	245 Summer Street Boston MA 02210-1121	NE	Fuel Fund	PC	Emergency Home Energy Assistance Program	90 000 00
One-Time	University of Rhode Island Foundation	79 Upper College Road Kingston RI 02881	NE	Education	PC	Hoops for the Stars Program	15 000 00
One-Time	Vanderbilt Museum	180 Little Neck Road Centerport NY 11721	LI	Education	PC	Discovering the Universe Program	13 000 00
One-Time	Young Men s Christian Association of Long Island Inc	200 West Main Street Bay Shore NY 11706	LI	Education	PC	YMCA After School Program	9 000 00
One-Time	Young Men s Christian Association of Worcester Mass	766 Main Street Worcester MA 01610-3161	NE	Education	PC	Minority Achievers Program	15 000 00
One-Time	Young Men s Christian Association of the Capital District	465 New Kamer Road Suite 2 Albany NY 12205	NYS	Education	PC	Albany City Schools After School Program	20 000 00
One-Time	Zephyr Education Foundation Inc	54 Widgeon Road Falmouth MA 02540	NE	Education	PC	Marine Science and Technology Education Program	9 500 00
						GRANT TOTAL	\$ 1,213,200 00

Schedule A - Realized Gains 2013

Asset Category Name	Transaction Code	Effective Date	Security ID	Security Description 1	Shares/Par	Trade Date Base Amount	Investment Base Amount	Investment Base Gain/Loss	Investment I Gain/Loss	Investment C Gain/Loss	Settle Base Amount
CASH & CASH EQUIVALENTS	S	1/14/2013	996087094	BNY MELLON CASH RESERVE	-200,000 0000	200,000 00	-200,000 00	0 00	0 00	0 00	200,000 00
CASH & CASH EQUIVALENTS	S	3/15/2013	996087094	BNY MELLON CASH RESERVE	-305 1000	305 10	-305 10	0 00	0 00	0 00	305 10
CASH & CASH EQUIVALENTS	S	4/18/2013	996087094	BNY MELLON CASH RESERVE	-5,187 5000	5,187 50	-5,187 50	0 00	0 00	0 00	5,187 50
CASH & CASH EQUIVALENTS	S	5/17/2013	996087094	BNY MELLON CASH RESERVE	-25,000 0000	25,000 00	-25,000 00	0 00	0 00	0 00	25,000 00
CASH & CASH EQUIVALENTS	S	6/4/2013	996087094	BNY MELLON CASH RESERVE	-15,000 0000	15,000 00	-15,000 00	0 00	0 00	0 00	15,000 00
CASH & CASH EQUIVALENTS	S	6/18/2013	996087094	BNY MELLON CASH RESERVE	-30,000 0000	30,000 00	-30,000 00	0 00	0 00	0 00	30,000 00
CASH & CASH EQUIVALENTS	S	7/3/2013	996087094	BNY MELLON CASH RESERVE	-21 3300	21 33	-21 33	0 00	0 00	0 00	21 33
CASH & CASH EQUIVALENTS	S	7/5/2013	996087094	BNY MELLON CASH RESERVE	-2 1000	2 10	-2 10	0 00	0 00	0 00	2 10
CASH & CASH EQUIVALENTS	S	7/18/2013	996087094	BNY MELLON CASH RESERVE	-12 7300	12 73	-12 73	0 00	0 00	0 00	12 73
CASH & CASH EQUIVALENTS	S	11/4/2013	996087094	BNY MELLON CASH RESERVE	-118 8600	118 86	-118 86	0 00	0 00	0 00	118 86
CASH & CASH EQUIVALENTS	S	11/12/2013	996087094	BNY MELLON CASH RESERVE	-94 7700	94 77	-94 77	0 00	0 00	0 00	94 77
CASH & CASH EQUIVALENTS	S	12/17/2013	996087094	BNY MELLON CASH RESERVE	-280 9200	280 92	-280 92	0 00	0 00	0 00	280 92
CASH & CASH EQUIVALENTS	S	1/3/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-6,323 3700	6,323 37	-6,323 37	0 00	0 00	0 00	6,323 37
CASH & CASH EQUIVALENTS	S	1/7/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-416 6700	416 67	-416 67	0 00	0 00	0 00	416 67
CASH & CASH EQUIVALENTS	S	1/9/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-10,558 8900	10,558 89	-10,558 89	0 00	0 00	0 00	10,558 89
CASH & CASH EQUIVALENTS	S	1/10/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-6,574 8100	6,574 81	-6,574 81	0 00	0 00	0 00	6,574 81
CASH & CASH EQUIVALENTS	S	1/11/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,382 1800	1,382 18	-1,382 18	0 00	0 00	0 00	1,382 18
CASH & CASH EQUIVALENTS	S	1/14/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-7,620 1800	7,620 18	-7,620 18	0 00	0 00	0 00	7,620 18
CASH & CASH EQUIVALENTS	S	1/16/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-391 8500	391 85	-391 85	0 00	0 00	0 00	391 85
CASH & CASH EQUIVALENTS	S	1/16/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-6,737 5000	6,737 50	-6,737 50	0 00	0 00	0 00	6,737 50
CASH & CASH EQUIVALENTS	S	1/17/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-5,327 7300	5,327 73	-5,327 73	0 00	0 00	0 00	5,327 73
CASH & CASH EQUIVALENTS	S	1/17/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-2,466 6900	2,466 69	-2,466 69	0 00	0 00	0 00	2,466 69
CASH & CASH EQUIVALENTS	S	1/18/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-11,154 5500	11,154 55	-11,154 55	0 00	0 00	0 00	11,154 55
CASH & CASH EQUIVALENTS	S	1/22/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-5,206 5100	5,206 51	-5,206 51	0 00	0 00	0 00	5,206 51
CASH & CASH EQUIVALENTS	S	1/23/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-480 7700	480 77	-480 77	0 00	0 00	0 00	480 77
CASH & CASH EQUIVALENTS	S	1/24/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-2,000 5500	2,000 55	-2,000 55	0 00	0 00	0 00	2,000 55
CASH & CASH EQUIVALENTS	S	1/24/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-3,190 6600	3,190 66	-3,190 66	0 00	0 00	0 00	3,190 66
CASH & CASH EQUIVALENTS	S	1/24/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-21,685 1400	21,685 14	-21,685 14	0 00	0 00	0 00	21,685 14
CASH & CASH EQUIVALENTS	S	1/25/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-750 0000	750 00	-750 00	0 00	0 00	0 00	750 00
CASH & CASH EQUIVALENTS	S	1/28/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-11,168 3100	11,168 31	-11,168 31	0 00	0 00	0 00	11,168 31
CASH & CASH EQUIVALENTS	S	1/29/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-39,164 8900	39,164 89	-39,164 89	0 00	0 00	0 00	39,164 89
CASH & CASH EQUIVALENTS	S	1/30/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,720 0000	1,720 00	-1,720 00	0 00	0 00	0 00	1,720 00
CASH & CASH EQUIVALENTS	S	1/30/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-12,045 8900	12,045 89	-12,045 89	0 00	0 00	0 00	12,045 89
CASH & CASH EQUIVALENTS	S	2/4/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-13,385 1700	13,385 17	-13,385 17	0 00	0 00	0 00	13,385 17
CASH & CASH EQUIVALENTS	S	2/5/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-416 6700	416 67	-416 67	0 00	0 00	0 00	416 67
CASH & CASH EQUIVALENTS	S	2/5/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-6,455 4900	6,455 49	-6,455 49	0 00	0 00	0 00	6,455 49
CASH & CASH EQUIVALENTS	S	2/7/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-3,318 0500	3,318 05	-3,318 05	0 00	0 00	0 00	3,318 05
CASH & CASH EQUIVALENTS	S	2/7/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-9,028 7300	9,028 73	-9,028 73	0 00	0 00	0 00	9,028 73
CASH & CASH EQUIVALENTS	S	2/8/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,374 7100	1,374 71	-1,374 71	0 00	0 00	0 00	1,374 71
CASH & CASH EQUIVALENTS	S	2/12/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-4,517 9100	4,517 91	-4,517 91	0 00	0 00	0 00	4,517 91
CASH & CASH EQUIVALENTS	S	2/12/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-16,759 7700	16,759 77	-16,759 77	0 00	0 00	0 00	16,759 77
CASH & CASH EQUIVALENTS	S	2/13/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-16,370 5600	16,370 56	-16,370 56	0 00	0 00	0 00	16,370 56
CASH & CASH EQUIVALENTS	S	2/13/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-39,057 1600	39,057 16	-39,057 16	0 00	0 00	0 00	39,057 16
CASH & CASH EQUIVALENTS	S	2/14/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-21,449 0400	21,449 04	-21,449 04	0 00	0 00	0 00	21,449 04
CASH & CASH EQUIVALENTS	S	2/15/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-3,613 8900	3,613 89	-3,613 89	0 00	0 00	0 00	3,613 89
CASH & CASH EQUIVALENTS	S	2/19/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-944 2700	944 27	-944 27	0 00	0 00	0 00	944 27
CASH & CASH EQUIVALENTS	S	2/19/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-76,780 7900	76,780 79	-76,780 79	0 00	0 00	0 00	76,780 79
CASH & CASH EQUIVALENTS	S	2/19/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,889 9900	1,889 99	-1,889 99	0 00	0 00	0 00	1,889 99
CASH & CASH EQUIVALENTS	S	2/20/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,995 2100	1,995 21	-1,995 21	0 00	0 00	0 00	1,995 21
CASH & CASH EQUIVALENTS	S	2/21/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-344 8400	344 84	-344 84	0 00	0 00	0 00	344 84
CASH & CASH EQUIVALENTS	S	2/21/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-65,304 8800	65,304 88	-65,304 88	0 00	0 00	0 00	65,304 88
CASH & CASH EQUIVALENTS	S	2/25/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-499 6000	499 60	-499 60	0 00	0 00	0 00	499 60
CASH & CASH EQUIVALENTS	S	2/26/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-15,609 6000	15,609 60	-15,609 60	0 00	0 00	0 00	15,609 60
CASH & CASH EQUIVALENTS	S	2/26/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-2,203 4400	2,203 44	-2,203 44	0 00	0 00	0 00	2,203 44
CASH & CASH EQUIVALENTS	S	2/28/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-418 9400	418 94	-418 94	0 00	0 00	0 00	418 94
CASH & CASH EQUIVALENTS	S	3/1/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-252 8800	252 88	-252 88	0 00	0 00	0 00	252 88
CASH & CASH EQUIVALENTS	S	3/4/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,928 4500	1,928 45	-1,928 45	0 00	0 00	0 00	1,928 45

CASH & CASH EQUIVALENTS	S	3/5/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,187 3600	1,187 36	-1,187 36	0 00	0 00	0 00	1,187 36
CASH & CASH EQUIVALENTS	S	3/6/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-416 6700	416 67	-416 67	0 00	0 00	0 00	416 67
CASH & CASH EQUIVALENTS	S	3/7/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-777 9400	777 94	-777 94	0 00	0 00	0 00	777 94
CASH & CASH EQUIVALENTS	S	3/11/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-53,956 0300	53,956 03	-53,956 03	0 00	0 00	0 00	53,956 03
CASH & CASH EQUIVALENTS	S	3/13/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-15,361 9100	15,361 91	-15,361 91	0 00	0 00	0 00	15,361 91
CASH & CASH EQUIVALENTS	S	3/14/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-28,820 2100	28,820 21	-28,820 21	0 00	0 00	0 00	28,820 21
CASH & CASH EQUIVALENTS	S	3/18/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-18,319 2500	18,319 25	-18,319 25	0 00	0 00	0 00	18,319 25
CASH & CASH EQUIVALENTS	S	3/19/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-5,080 7600	5,080 76	-5,080 76	0 00	0 00	0 00	5,080 76
CASH & CASH EQUIVALENTS	S	3/20/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-165,877 9700	165,877 97	-165,877 97	0 00	0 00	0 00	165,877 97
CASH & CASH EQUIVALENTS	S	3/21/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-188 0200	188 02	-188 02	0 00	0 00	0 00	188 02
CASH & CASH EQUIVALENTS	S	3/22/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-7,019 9800	7,019 98	-7,019 98	0 00	0 00	0 00	7,019 98
CASH & CASH EQUIVALENTS	S	3/25/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-107 3600	107 36	-107 36	0 00	0 00	0 00	107 36
CASH & CASH EQUIVALENTS	S	3/27/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-9,650 5600	9,650 56	-9,650 56	0 00	0 00	0 00	9,650 56
CASH & CASH EQUIVALENTS	S	3/28/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-189,244 5500	189,244 55	-189,244 55	0 00	0 00	0 00	189,244 55
CASH & CASH EQUIVALENTS	S	4/2/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-267 0000	267 00	-267 00	0 00	0 00	0 00	267 00
CASH & CASH EQUIVALENTS	S	4/2/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-358 4800	358 48	-358 48	0 00	0 00	0 00	358 48
CASH & CASH EQUIVALENTS	S	4/3/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-416 6700	416 67	-416 67	0 00	0 00	0 00	416 67
CASH & CASH EQUIVALENTS	S	4/3/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-13,789 2600	13,789 26	-13,789 26	0 00	0 00	0 00	13,789 26
CASH & CASH EQUIVALENTS	S	4/10/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-6,455 5700	6,455 57	-6,455 57	0 00	0 00	0 00	6,455 57
CASH & CASH EQUIVALENTS	S	4/10/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-943 4300	943 43	-943 43	0 00	0 00	0 00	943 43
CASH & CASH EQUIVALENTS	S	4/12/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-5,052 5000	5,052 50	-5,052 50	0 00	0 00	0 00	5,052 50
CASH & CASH EQUIVALENTS	S	4/16/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-182 5000	182 50	-182 50	0 00	0 00	0 00	182 50
CASH & CASH EQUIVALENTS	S	4/16/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-2,537 2400	2,537 24	-2,537 24	0 00	0 00	0 00	2,537 24
CASH & CASH EQUIVALENTS	S	4/17/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-14,908 3300	14,908 33	-14,908 33	0 00	0 00	0 00	14,908 33
CASH & CASH EQUIVALENTS	S	4/18/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-9,600 4100	9,600 41	-9,600 41	0 00	0 00	0 00	9,600 41
CASH & CASH EQUIVALENTS	S	4/23/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-401 8200	401 82	-401 82	0 00	0 00	0 00	401 82
CASH & CASH EQUIVALENTS	S	4/25/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-33 2800	33 28	-33 28	0 00	0 00	0 00	33 28
CASH & CASH EQUIVALENTS	S	4/29/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,344 0000	1,344 00	-1,344 00	0 00	0 00	0 00	1,344 00
CASH & CASH EQUIVALENTS	S	4/29/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-5,781 9600	5,781 96	-5,781 96	0 00	0 00	0 00	5,781 96
CASH & CASH EQUIVALENTS	S	4/30/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-564 2900	564 29	-564 29	0 00	0 00	0 00	564 29
CASH & CASH EQUIVALENTS	S	4/30/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-18,500 0000	18,500 00	-18,500 00	0 00	0 00	0 00	18,500 00
CASH & CASH EQUIVALENTS	S	5/3/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-416 6700	416 67	-416 67	0 00	0 00	0 00	416 67
CASH & CASH EQUIVALENTS	S	5/6/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-13,326 6900	13,326 69	-13,326 69	0 00	0 00	0 00	13,326 69
CASH & CASH EQUIVALENTS	S	5/6/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,487 4800	1,487 48	-1,487 48	0 00	0 00	0 00	1,487 48
CASH & CASH EQUIVALENTS	S	5/8/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-6,727 5900	6,727 59	-6,727 59	0 00	0 00	0 00	6,727 59
CASH & CASH EQUIVALENTS	S	5/14/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-7,000 0000	7,000 00	-7,000 00	0 00	0 00	0 00	7,000 00
CASH & CASH EQUIVALENTS	S	5/14/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-23,201 3700	23,201 37	-23,201 37	0 00	0 00	0 00	23,201 37
CASH & CASH EQUIVALENTS	S	5/15/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-10,175 6000	10,175 60	-10,175 60	0 00	0 00	0 00	10,175 60
CASH & CASH EQUIVALENTS	S	5/20/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-147,768 8000	147,768 80	-147,768 80	0 00	0 00	0 00	147,768 80
CASH & CASH EQUIVALENTS	S	5/20/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-3,691 9400	3,691 94	-3,691 94	0 00	0 00	0 00	3,691 94
CASH & CASH EQUIVALENTS	S	5/21/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-5,980 3300	5,980 33	-5,980 33	0 00	0 00	0 00	5,980 33
CASH & CASH EQUIVALENTS	S	5/22/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-24,299 6400	24,299 64	-24,299 64	0 00	0 00	0 00	24,299 64
CASH & CASH EQUIVALENTS	S	5/23/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-10,303 9000	10,303 90	-10,303 90	0 00	0 00	0 00	10,303 90
CASH & CASH EQUIVALENTS	S	5/24/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-24,909 7400	24,909 74	-24,909 74	0 00	0 00	0 00	24,909 74
CASH & CASH EQUIVALENTS	S	5/29/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-27,853 6500	27,853 65	-27,853 65	0 00	0 00	0 00	27,853 65
CASH & CASH EQUIVALENTS	S	5/30/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-462 8900	462 89	-462 89	0 00	0 00	0 00	462 89
CASH & CASH EQUIVALENTS	S	5/31/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-6,305 6300	6,305 63	-6,305 63	0 00	0 00	0 00	6,305 63
CASH & CASH EQUIVALENTS	S	6/5/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-416 6700	416 67	-416 67	0 00	0 00	0 00	416 67
CASH & CASH EQUIVALENTS	S	6/5/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-10,280 9700	10,280 97	-10,280 97	0 00	0 00	0 00	10,280 97
CASH & CASH EQUIVALENTS	S	6/7/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-22,241 9500	22,241 95	-22,241 95	0 00	0 00	0 00	22,241 95
CASH & CASH EQUIVALENTS	S	6/11/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-11,377 8300	11,377 83	-11,377 83	0 00	0 00	0 00	11,377 83
CASH & CASH EQUIVALENTS	S	6/13/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-115,290 7100	115,290 71	-115,290 71	0 00	0 00	0 00	115,290 71
CASH & CASH EQUIVALENTS	S	6/14/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-5,000 0000	5,000 00	-5,000 00	0 00	0 00	0 00	5,000 00
CASH & CASH EQUIVALENTS	S	6/17/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-776 9600	776 96	-776 96	0 00	0 00	0 00	776 96
CASH & CASH EQUIVALENTS	S	6/21/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-5,274 6700	5,274 67	-5,274 67	0 00	0 00	0 00	5,274 67
CASH & CASH EQUIVALENTS	S	6/24/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-16,550 8200	16,550 82	-16,550 82	0 00	0 00	0 00	16,550 82
CASH & CASH EQUIVALENTS	S	6/24/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-104,500 0000	104,500 00	-104,500 00	0 00	0 00	0 00	104,500 00
CASH & CASH EQUIVALENTS	S	6/25/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-545 8900	545 89	-545 89	0 00	0 00	0 00	545 89
CASH & CASH EQUIVALENTS	S	6/26/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,101 2100	1,101 21	-1,101 21	0 00	0 00	0 00	1,101 21
CASH & CASH EQUIVALENTS	S	6/26/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-4,769 1600	4,769 16	-4,769 16	0 00	0 00	0 00	4,769 16
CASH & CASH EQUIVALENTS	S	6/27/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-523 3000	523 30	-523 30	0 00	0 00	0 00	523 30
CASH & CASH EQUIVALENTS	S	6/27/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-10,046 8100	10,046 81	-10,046 81	0 00	0 00	0 00	10,046 81

CASH & CASH EQUIVALENTS	S	7/3/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-416 6700	416 67	-416 67	0 00	0 00	0 00	416 67
CASH & CASH EQUIVALENTS	S	7/8/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-267 8600	267 86	-267 86	0 00	0 00	0 00	267 86
CASH & CASH EQUIVALENTS	S	7/8/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-5,619 0300	5,619 03	-5,619 03	0 00	0 00	0 00	5,619 03
CASH & CASH EQUIVALENTS	S	7/9/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-233 5200	233 52	-233 52	0 00	0 00	0 00	233 52
CASH & CASH EQUIVALENTS	S	7/9/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-10,950 0000	10,950 00	-10,950 00	0 00	0 00	0 00	10,950 00
CASH & CASH EQUIVALENTS	S	7/12/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-45,134 7200	45,134 72	-45,134 72	0 00	0 00	0 00	45,134 72
CASH & CASH EQUIVALENTS	S	7/12/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,188 8100	1,188 81	-1,188 81	0 00	0 00	0 00	1,188 81
CASH & CASH EQUIVALENTS	S	7/15/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-761 9400	761 94	-761 94	0 00	0 00	0 00	761 94
CASH & CASH EQUIVALENTS	S	7/16/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-408 5300	408 53	-408 53	0 00	0 00	0 00	408 53
CASH & CASH EQUIVALENTS	S	7/16/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-24 4400	24 44	-24 44	0 00	0 00	0 00	24 44
CASH & CASH EQUIVALENTS	S	7/17/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-587 7100	587 71	-587 71	0 00	0 00	0 00	587 71
CASH & CASH EQUIVALENTS	S	7/18/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,567 6900	1,567 69	-1,567 69	0 00	0 00	0 00	1,567 69
CASH & CASH EQUIVALENTS	S	7/19/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-45,030 2400	45,030 24	-45,030 24	0 00	0 00	0 00	45,030 24
CASH & CASH EQUIVALENTS	S	7/19/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,179 6300	1,179 63	-1,179 63	0 00	0 00	0 00	1,179 63
CASH & CASH EQUIVALENTS	S	7/23/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-12,575 7200	12,575 72	-12,575 72	0 00	0 00	0 00	12,575 72
CASH & CASH EQUIVALENTS	S	7/24/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,052 8400	1,052 84	-1,052 84	0 00	0 00	0 00	1,052 84
CASH & CASH EQUIVALENTS	S	7/29/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-5,113 6600	5,113 66	-5,113 66	0 00	0 00	0 00	5,113 66
CASH & CASH EQUIVALENTS	S	7/29/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-591 8300	591 83	-591 83	0 00	0 00	0 00	591 83
CASH & CASH EQUIVALENTS	S	7/30/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-329 1100	329 11	-329 11	0 00	0 00	0 00	329 11
CASH & CASH EQUIVALENTS	S	7/31/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-221 5600	221 56	-221 56	0 00	0 00	0 00	221 56
CASH & CASH EQUIVALENTS	S	7/31/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-4,975 3700	4,975 37	-4,975 37	0 00	0 00	0 00	4,975 37
CASH & CASH EQUIVALENTS	S	7/31/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,254 5100	1,254 51	-1,254 51	0 00	0 00	0 00	1,254 51
CASH & CASH EQUIVALENTS	S	8/1/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,024 2100	1,024 21	-1,024 21	0 00	0 00	0 00	1,024 21
CASH & CASH EQUIVALENTS	S	8/1/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-7,725 2000	7,725 20	-7,725 20	0 00	0 00	0 00	7,725 20
CASH & CASH EQUIVALENTS	S	8/5/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-5,489 7700	5,489 77	-5,489 77	0 00	0 00	0 00	5,489 77
CASH & CASH EQUIVALENTS	S	8/6/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-416 6700	416 67	-416 67	0 00	0 00	0 00	416 67
CASH & CASH EQUIVALENTS	S	8/7/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-4,436 0400	4,436 04	-4,436 04	0 00	0 00	0 00	4,436 04
CASH & CASH EQUIVALENTS	S	8/8/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-415 4800	415 48	-415 48	0 00	0 00	0 00	415 48
CASH & CASH EQUIVALENTS	S	8/9/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-552 7400	552 74	-552 74	0 00	0 00	0 00	552 74
CASH & CASH EQUIVALENTS	S	8/9/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-817 8900	817 89	-817 89	0 00	0 00	0 00	817 89
CASH & CASH EQUIVALENTS	S	8/12/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-10,000 0000	10,000 00	-10,000 00	0 00	0 00	0 00	10,000 00
CASH & CASH EQUIVALENTS	S	8/12/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-100,000 0000	100,000 00	-100,000 00	0 00	0 00	0 00	100,000 00
CASH & CASH EQUIVALENTS	S	8/12/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,908 5800	1,908 58	-1,908 58	0 00	0 00	0 00	1,908 58
CASH & CASH EQUIVALENTS	S	8/13/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-5,000 0000	5,000 00	-5,000 00	0 00	0 00	0 00	5,000 00
CASH & CASH EQUIVALENTS	S	8/14/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-840 0400	840 04	-840 04	0 00	0 00	0 00	840 04
CASH & CASH EQUIVALENTS	S	8/15/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-6 9500	6 95	-6 95	0 00	0 00	0 00	6 95
CASH & CASH EQUIVALENTS	S	8/16/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-602 5200	602 52	-602 52	0 00	0 00	0 00	602 52
CASH & CASH EQUIVALENTS	S	8/20/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-17,361 9800	17,361 98	-17,361 98	0 00	0 00	0 00	17,361 98
CASH & CASH EQUIVALENTS	S	8/20/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-752 5400	752 54	-752 54	0 00	0 00	0 00	752 54
CASH & CASH EQUIVALENTS	S	8/22/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-30,000 0000	30,000 00	-30,000 00	0 00	0 00	0 00	30,000 00
CASH & CASH EQUIVALENTS	S	8/22/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-2,231 6800	2,231 68	-2,231 68	0 00	0 00	0 00	2,231 68
CASH & CASH EQUIVALENTS	S	8/22/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,415 4700	1,415 47	-1,415 47	0 00	0 00	0 00	1,415 47
CASH & CASH EQUIVALENTS	S	8/23/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-5,856 6800	5,856 68	-5,856 68	0 00	0 00	0 00	5,856 68
CASH & CASH EQUIVALENTS	S	8/23/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-707 7800	707 78	-707 78	0 00	0 00	0 00	707 78
CASH & CASH EQUIVALENTS	S	8/26/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-505 9600	505 96	-505 96	0 00	0 00	0 00	505 96
CASH & CASH EQUIVALENTS	S	8/26/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-25,200 0000	25,200 00	-25,200 00	0 00	0 00	0 00	25,200 00
CASH & CASH EQUIVALENTS	S	8/29/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,112 3400	1,112 34	-1,112 34	0 00	0 00	0 00	1,112 34
CASH & CASH EQUIVALENTS	S	8/30/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-10,426 3500	10,426 35	-10,426 35	0 00	0 00	0 00	10,426 35
CASH & CASH EQUIVALENTS	S	8/30/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,481 9500	1,481 95	-1,481 95	0 00	0 00	0 00	1,481 95
CASH & CASH EQUIVALENTS	S	9/3/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-672 3100	672 31	-672 31	0 00	0 00	0 00	672 31
CASH & CASH EQUIVALENTS	S	9/4/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-15,854 2900	15,854 29	-15,854 29	0 00	0 00	0 00	15,854 29
CASH & CASH EQUIVALENTS	S	9/5/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-15,032 6000	15,032 60	-15,032 60	0 00	0 00	0 00	15,032 60
CASH & CASH EQUIVALENTS	S	9/5/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,441 5600	1,441 56	-1,441 56	0 00	0 00	0 00	1,441 56
CASH & CASH EQUIVALENTS	S	9/6/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-416 6700	416 67	-416 67	0 00	0 00	0 00	416 67
CASH & CASH EQUIVALENTS	S	9/6/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-16,400 4300	16,400 43	-16,400 43	0 00	0 00	0 00	16,400 43
CASH & CASH EQUIVALENTS	S	9/9/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-324 7000	324 70	-324 70	0 00	0 00	0 00	324 70
CASH & CASH EQUIVALENTS	S	9/10/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-15,000 0000	15,000 00	-15,000 00	0 00	0 00	0 00	15,000 00
CASH & CASH EQUIVALENTS	S	9/10/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-113,168 3200	113,168 32	-113,168 32	0 00	0 00	0 00	113,168 32
CASH & CASH EQUIVALENTS	S	9/12/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,152 1500	1,152 15	-1,152 15	0 00	0 00	0 00	1,152 15
CASH & CASH EQUIVALENTS	S	9/13/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-5,000 0000	5,000 00	-5,000 00	0 00	0 00	0 00	5,000 00
CASH & CASH EQUIVALENTS	S	9/18/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-963 0100	963 01	-963 01	0 00	0 00	0 00	963 01
CASH & CASH EQUIVALENTS	S	9/19/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-6,065 6900	6,065 69	-6,065 69	0 00	0 00	0 00	6,065 69

CASH & CASH EQUIVALENTS	S	9/20/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-2,055 0000	2,055 00	-2,055 00	0 00	0 00	0 00	2,055 00
CASH & CASH EQUIVALENTS	S	9/20/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-25,000 0000	25,000 00	-25,000 00	0 00	0 00	0 00	25,000 00
CASH & CASH EQUIVALENTS	S	9/23/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,426 8100	1,426 81	-1,426 81	0 00	0 00	0 00	1,426 81
CASH & CASH EQUIVALENTS	S	9/25/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-7,131 0100	7,131 01	-7,131 01	0 00	0 00	0 00	7,131 01
CASH & CASH EQUIVALENTS	S	9/27/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-132,903 8300	132,903 83	-132,903 83	0 00	0 00	0 00	132,903 83
CASH & CASH EQUIVALENTS	S	9/27/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-42,323 3300	42,323 33	-42,323 33	0 00	0 00	0 00	42,323 33
CASH & CASH EQUIVALENTS	S	9/30/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-22,990 9900	22,990 99	-22,990 99	0 00	0 00	0 00	22,990 99
CASH & CASH EQUIVALENTS	S	10/1/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,654 2200	1,654 22	-1,654 22	0 00	0 00	0 00	1,654 22
CASH & CASH EQUIVALENTS	S	10/2/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-42,980 7700	42,980 77	-42,980 77	0 00	0 00	0 00	42,980 77
CASH & CASH EQUIVALENTS	S	10/3/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,144 7400	1,144 74	-1,144 74	0 00	0 00	0 00	1,144 74
CASH & CASH EQUIVALENTS	S	10/4/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-416 6700	416 67	-416 67	0 00	0 00	0 00	416 67
CASH & CASH EQUIVALENTS	S	10/4/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-2,320 9400	2,320 94	-2,320 94	0 00	0 00	0 00	2,320 94
CASH & CASH EQUIVALENTS	S	10/7/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-26,199 7500	26,199 75	-26,199 75	0 00	0 00	0 00	26,199 75
CASH & CASH EQUIVALENTS	S	10/7/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-3,505 9500	3,505 95	-3,505 95	0 00	0 00	0 00	3,505 95
CASH & CASH EQUIVALENTS	S	10/8/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-347 3700	347 37	-347 37	0 00	0 00	0 00	347 37
CASH & CASH EQUIVALENTS	S	10/10/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-48,252 5000	48,252 50	-48,252 50	0 00	0 00	0 00	48,252 50
CASH & CASH EQUIVALENTS	S	10/10/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-108,622 0200	108,622 02	-108,622 02	0 00	0 00	0 00	108,622 02
CASH & CASH EQUIVALENTS	S	10/15/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-558 4800	558 48	-558 48	0 00	0 00	0 00	558 48
CASH & CASH EQUIVALENTS	S	10/16/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-11,510 8500	11,510 85	-11,510 85	0 00	0 00	0 00	11,510 85
CASH & CASH EQUIVALENTS	S	10/21/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-19,368 7500	19,368 75	-19,368 75	0 00	0 00	0 00	19,368 75
CASH & CASH EQUIVALENTS	S	10/22/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-84,132 4800	84,132 48	-84,132 48	0 00	0 00	0 00	84,132 48
CASH & CASH EQUIVALENTS	S	10/24/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-29,782 1000	29,782 10	-29,782 10	0 00	0 00	0 00	29,782 10
CASH & CASH EQUIVALENTS	S	10/28/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-315 6000	315 60	-315 60	0 00	0 00	0 00	315 60
CASH & CASH EQUIVALENTS	S	10/29/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-1,213 8100	1,213 81	-1,213 81	0 00	0 00	0 00	1,213 81
CASH & CASH EQUIVALENTS	S	10/29/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-10,487 5500	10,487 55	-10,487 55	0 00	0 00	0 00	10,487 55
CASH & CASH EQUIVALENTS	S	10/30/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-5,763 4500	5,763 45	-5,763 45	0 00	0 00	0 00	5,763 45
CASH & CASH EQUIVALENTS	S	10/31/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-18 5100	18 51	-18 51	0 00	0 00	0 00	18 51
CASH & CASH EQUIVALENTS	S	11/1/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-4,348 1600	4,348 16	-4,348 16	0 00	0 00	0 00	4,348 16
CASH & CASH EQUIVALENTS	S	11/4/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-6,484 1300	6,484 13	-6,484 13	0 00	0 00	0 00	6,484 13
CASH & CASH EQUIVALENTS	S	11/5/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-9,427 4600	9,427 46	-9,427 46	0 00	0 00	0 00	9,427 46
CASH & CASH EQUIVALENTS	S	11/5/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-269 8400	269 84	-269 84	0 00	0 00	0 00	269 84
CASH & CASH EQUIVALENTS	S	11/6/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-5,281 7600	5,281 76	-5,281 76	0 00	0 00	0 00	5,281 76
CASH & CASH EQUIVALENTS	S	11/8/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-7,408 0500	7,408 05	-7,408 05	0 00	0 00	0 00	7,408 05
CASH & CASH EQUIVALENTS	S	11/12/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-655 2300	655 23	-655 23	0 00	0 00	0 00	655 23
CASH & CASH EQUIVALENTS	S	11/13/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-6,946 8900	6,946 89	-6,946 89	0 00	0 00	0 00	6,946 89
CASH & CASH EQUIVALENTS	S	11/13/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-27,009 9700	27,009 97	-27,009 97	0 00	0 00	0 00	27,009 97
CASH & CASH EQUIVALENTS	S	11/14/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-26,613 3400	26,613 34	-26,613 34	0 00	0 00	0 00	26,613 34
CASH & CASH EQUIVALENTS	S	11/15/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-2,239 4700	2,239 47	-2,239 47	0 00	0 00	0 00	2,239 47
CASH & CASH EQUIVALENTS	S	11/18/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-2,649 3800	2,649 38	-2,649 38	0 00	0 00	0 00	2,649 38
CASH & CASH EQUIVALENTS	S	11/20/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-301,420 0400	301,420 04	-301,420 04	0 00	0 00	0 00	301,420 04
CASH & CASH EQUIVALENTS	S	11/22/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-25,000 0000	25,000 00	-25,000 00	0 00	0 00	0 00	25,000 00
CASH & CASH EQUIVALENTS	S	11/25/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-2,176 7400	2,176 74	-2,176 74	0 00	0 00	0 00	2,176 74
CASH & CASH EQUIVALENTS	S	11/26/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-26,038 4700	26,038 47	-26,038 47	0 00	0 00	0 00	26,038 47
CASH & CASH EQUIVALENTS	S	11/29/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-592 5600	592 56	-592 56	0 00	0 00	0 00	592 56
CASH & CASH EQUIVALENTS	S	11/29/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-26,458 8900	26,458 89	-26,458 89	0 00	0 00	0 00	26,458 89
CASH & CASH EQUIVALENTS	S	12/3/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-4,283 8900	4,283 89	-4,283 89	0 00	0 00	0 00	4,283 89
CASH & CASH EQUIVALENTS	S	12/4/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-172,115 8800	172,115 88	-172,115 88	0 00	0 00	0 00	172,115 88
CASH & CASH EQUIVALENTS	S	12/5/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-537 6800	537 68	-537 68	0 00	0 00	0 00	537 68
CASH & CASH EQUIVALENTS	S	12/5/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-244,627 8800	244,627 88	-244,627 88	0 00	0 00	0 00	244,627 88
CASH & CASH EQUIVALENTS	S	12/9/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-18,765 4300	18,765 43	-18,765 43	0 00	0 00	0 00	18,765 43
CASH & CASH EQUIVALENTS	S	12/10/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-14,319 7800	14,319 78	-14,319 78	0 00	0 00	0 00	14,319 78
CASH & CASH EQUIVALENTS	S	12/11/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-22,501 9400	22,501 94	-22,501 94	0 00	0 00	0 00	22,501 94
CASH & CASH EQUIVALENTS	S	12/13/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-3,000 0000	3,000 00	-3,000 00	0 00	0 00	0 00	3,000 00
CASH & CASH EQUIVALENTS	S	12/16/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-4,038 8300	4,038 83	-4,038 83	0 00	0 00	0 00	4,038 83
CASH & CASH EQUIVALENTS	S	12/20/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-105 8800	105 88	-105 88	0 00	0 00	0 00	105 88
CASH & CASH EQUIVALENTS	S	12/20/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-579,616 4200	579,616 42	-579,616 42	0 00	0 00	0 00	579,616 42
CASH & CASH EQUIVALENTS	S	12/26/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-39,785 4600	39,785 46	-39,785 46	0 00	0 00	0 00	39,785 46
CASH & CASH EQUIVALENTS	S	12/27/2013	996191052	DREYFUS INS RSRV PRI MONE 6546	-5,150 7500	5,150 75	-5,150 75	0 00	0 00	0 00	5,150 75
					4,725,628 29	-4,725,628 29	0 00	0 00	0 00	4,725,628 29	
FIXED INCOME SECURITIES	S	12/17/2013	693390700	PIMCO TOTAL RETURN FUND	-9,285 0510	100,000 00	-105,078 09	-5,078 09	-5,078 09	0 00	100,000 00
FIXED INCOME SECURITIES	S	12/3/2013	957663305	WESTERN ASSET CORE BOND FUND	-397,903 8500	4,727,097 74	-4,394,731 16	332,366 58	332,366 58	0 00	4,727,097 74

					4,827,097 74	-4,499,809 25	327,288 49	327,288 49	0 00	4,827,097 74
CONVERTIBLE OR EXCHANGEABLES	7/2/2013	008252AL2	AFFILIATED MANAGERS GROUP INC	-35,000 0000	46,201 58	-31,527 35	14,674 23	14,674 23	0 00	46,201 58
CONVERTIBLE OR EXCHANGEABLES	7/25/2013	015271505	ALEXANDRIA REAL ESTATE	-125 0000	3,203 59	-3,366 41	-162 82	-162 82	0 00	3,203 59
CONVERTIBLE OR EXCHANGEABLES	7/29/2013	015271505	ALEXANDRIA REAL ESTATE	-485 0000	12,343 03	-13,036 24	-693 21	-693 21	0 00	12,343 03
CONVERTIBLE OR EXCHANGEABLES	1/10/2013	018581AD0	ALLIANCE DATA SYSTEMS CORP	-20,000 0000	39,488 00	-19,088 54	20,399 46	20,399 46	0 00	39,488 00
CONVERTIBLE OR EXCHANGEABLES	8/14/2013	018581AC2	ALLIANCE DATA SYSTEMS CORP	-5,000 0000	21,640 55	-16,443 75	5,196 80	5,196 80	0 00	21,640 55
CONVERTIBLE OR EXCHANGEABLES	9/11/2013	020520AA0	ALON USA ENERGY INC 144A	-15,000 0000	15,450 00	-15,000 00	450 00	450 00	0 00	15,450 00
CONVERTIBLE OR EXCHANGEABLES	5/8/2013	576203AJ2	ALPHA APPALACHIA HOLDINGS INC	-45,000 0000	44,460 00	-42,172 89	2,287 11	2,287 11	0 00	44,460 00
CONVERTIBLE OR EXCHANGEABLES	5/30/2013	02076XAE2	ALPHA NATURAL RESOURCES INC	-5,000 0000	5,333 45	-5,000 00	333 45	333 45	0 00	5,333 45
CONVERTIBLE OR EXCHANGEABLES	6/13/2013	02076XAE2	ALPHA NATURAL RESOURCES INC	-5,000 0000	5,002 21	-5,000 00	2 21	2 21	0 00	5,002 21
CONVERTIBLE OR EXCHANGEABLES	6/14/2013	02076XAE2	ALPHA NATURAL RESOURCES INC	-5,000 0000	5,027 00	-5,000 00	27 00	27 00	0 00	5,027 00
CONVERTIBLE OR EXCHANGEABLES	7/25/2013	02076XAE2	ALPHA NATURAL RESOURCES INC	-10,000 0000	8,934 27	-10,000 00	-1,065 73	-1,065 73	0 00	8,934 27
CONVERTIBLE OR EXCHANGEABLES	5/24/2013	02208RAE6	ALTRA HOLDINGS INC	-5,000 0000	6,212 13	-5,067 68	1,144 45	1,144 45	0 00	6,212 13
CONVERTIBLE OR EXCHANGEABLES	6/20/2013	02208RAE6	ALTRA HOLDINGS INC	-5,000 0000	5,822 03	-5,000 00	822 03	822 03	0 00	5,822 03
CONVERTIBLE OR EXCHANGEABLES	6/21/2013	02208RAE6	ALTRA HOLDINGS INC	-7,000 0000	8,205 34	-7,000 00	1,205 34	1,205 34	0 00	8,205 34
CONVERTIBLE OR EXCHANGEABLES	9/25/2013	00169X203	AMG CAP TR I TR PFD SECS	-130 0000	8,304 25	-5,191 68	3,112 57	3,112 57	0 00	8,304 25
CONVERTIBLE OR EXCHANGEABLES	6/18/2013	03512Q206	ANGLOGOLD ASHANTI HOLDINGS FIN	-70 0000	1,333 82	-2,736 72	-1,402 90	-1,402 90	0 00	1,333 82
CONVERTIBLE OR EXCHANGEABLES	5/17/2013	037411808	APACHE CORP	-100 0000	4,608 69	-5,319 88	-711 19	-711 19	0 00	4,608 69
CONVERTIBLE OR EXCHANGEABLES	5/20/2013	037411808	APACHE CORP	-200 0000	9,413 16	-10,000 00	-586 84	-586 84	0 00	9,413 16
CONVERTIBLE OR EXCHANGEABLES	10/18/2013	L0302D178	ARCELORMITTAL	-280 0000	6,708 68	-7,000 00	-291 32	-291 32	0 00	6,708 68
CONVERTIBLE OR EXCHANGEABLES	5/15/2013	04010LAG8	ARES CAPITAL CORP	-35,000 0000	37,572 50	-34,659 09	2,913 41	2,913 41	0 00	37,572 50
CONVERTIBLE OR EXCHANGEABLES	10/1/2013	04010LAK9	ARES CAPITAL CORP 144A	-45,000 0000	44,606 25	-44,348 14	258 11	258 11	0 00	44,606 25
CONVERTIBLE OR EXCHANGEABLES	5/15/2013	04010LAH6	ARES CAPITAL CORP 144A	-10,000 0000	10,625 00	-9,932 89	692 11	692 11	0 00	10,625 00
CONVERTIBLE OR EXCHANGEABLES	8/15/2013	05334DAA5	AUXILIUM PHARMACEUTICALS INC	-10,000 0000	10,277 32	-10,050 21	227 11	227 11	0 00	10,277 32
CONVERTIBLE OR EXCHANGEABLES	8/26/2013	05334DAA5	AUXILIUM PHARMACEUTICALS INC	-20,000 0000	20,578 80	-19,246 98	1,331 82	1,331 82	0 00	20,578 80
CONVERTIBLE OR EXCHANGEABLES	5/30/2013	060505682	BANK OF AMERICA CORP	-20 0000	24,173 95	-20,469 35	3,704 60	3,704 60	0 00	24,173 95
CONVERTIBLE OR EXCHANGEABLES	6/3/2013	060505682	BANK OF AMERICA CORP	-20 0000	23,150 56	-19,975 80	3,174 76	3,174 76	0 00	23,150 56
CONVERTIBLE OR EXCHANGEABLES	6/7/2013	060505682	BANK OF AMERICA CORP	-5 0000	5,792 19	-4,875 20	916 99	916 99	0 00	5,792 19
CONVERTIBLE OR EXCHANGEABLES	12/12/2013	060505682	BANK OF AMERICA CORP	-35 0000	36,975 85	-30,401 40	6,574 45	6,574 45	0 00	36,975 85
CONVERTIBLE OR EXCHANGEABLES	1/17/2013	09061GAD3	BIOMARIN PHARMACEUTICAL INC	-5,000 0000	13,422 91	-9,174 84	4,248 07	4,248 07	0 00	13,422 91
CONVERTIBLE OR EXCHANGEABLES	6/3/2013	09061GAD3	BIOMARIN PHARMACEUTICAL INC	-5,000 0000	14,268 75	-8,986 93	5,281 82	5,281 82	0 00	14,268 75
CONVERTIBLE OR EXCHANGEABLES	7/16/2013	09064AAB7	BIOMED REALTY LP	-10,000 0000	12,362 99	-11,665 52	697 47	697 47	0 00	12,362 99
CONVERTIBLE OR EXCHANGEABLES	2/5/2013	G16962204	BUNGE LIMITED PERPETUAL PREF	-80 0000	8,735 25	-8,388 75	346 50	346 50	0 00	8,735 25
CONVERTIBLE OR EXCHANGEABLES	10/11/2013	127387AF5	CADENCE DESIGN SYSTEMS INC	-25,000 0000	25,015 63	-25,031 25	-15 62	-15 62	0 00	25,015 63
CONVERTIBLE OR EXCHANGEABLES	6/5/2013	16115QAC4	CHART INDUSTRIES INC	-5,000 0000	7,584 58	-5,530 02	2,054 56	2,054 56	0 00	7,584 58
CONVERTIBLE OR EXCHANGEABLES	7/19/2013	16115QAC4	CHART INDUSTRIES INC	-5,000 0000	7,970 77	-5,475 39	2,495 38	2,495 38	0 00	7,970 77
CONVERTIBLE OR EXCHANGEABLES	2/4/2013	165167CB1	CHESAPEAKE ENERGY CORP	-10,000 0000	8,675 00	-9,327 67	-652 67	-652 67	0 00	8,675 00
CONVERTIBLE OR EXCHANGEABLES	2/11/2013	165167BZ9	CHESAPEAKE ENERGY CORP	-5,000 0000	4,817 47	-4,371 41	446 06	446 06	0 00	4,817 47
CONVERTIBLE OR EXCHANGEABLES	2/12/2013	165167BZ9	CHESAPEAKE ENERGY CORP	-15,000 0000	14,381 25	-12,886 08	1,495 17	1,495 17	0 00	14,381 25
CONVERTIBLE OR EXCHANGEABLES	11/12/2013	165167BZ9	CHESAPEAKE ENERGY CORP	-10,000 0000	10,162 50	-9,922 92	239 58	239 58	0 00	10,162 50
CONVERTIBLE OR EXCHANGEABLES	12/5/2013	165167BZ9	CHESAPEAKE ENERGY CORP	-10,000 0000	10,212 50	-9,923 09	289 41	289 41	0 00	10,212 50
CONVERTIBLE OR EXCHANGEABLES	12/12/2013	165167BZ9	CHESAPEAKE ENERGY CORP	-25,000 0000	25,437 50	-21,869 04	3,568 46	3,568 46	0 00	25,437 50
CONVERTIBLE OR EXCHANGEABLES	2/4/2013	165167BW6	CHESAPEAKE ENERGY CORP	-5,000 0000	4,975 00	-5,874 48	-899 48	-899 48	0 00	4,975 00
CONVERTIBLE OR EXCHANGEABLES	2/5/2013	165167BW6	CHESAPEAKE ENERGY CORP	-15,000 0000	14,973 30	-15,808 45	-835 15	-835 15	0 00	14,973 30
CONVERTIBLE OR EXCHANGEABLES	2/5/2013	165167BW6	CHESAPEAKE ENERGY CORP	-5,000 0000	4,987 50	-4,567 55	419 95	419 95	0 00	4,987 50
CONVERTIBLE OR EXCHANGEABLES	2/6/2013	165167BW6	CHESAPEAKE ENERGY CORP	-20,000 0000	19,925 00	-18,270 61	1,654 39	1,654 39	0 00	19,925 00
CONVERTIBLE OR EXCHANGEABLES	5/30/2013	165167826	CHESAPEAKE ENERGY CORP	-45 0000	4,049 92	-3,841 88	208 04	208 04	0 00	4,049 92
CONVERTIBLE OR EXCHANGEABLES	12/16/2013	165167826	CHESAPEAKE ENERGY CORP	-55 0000	5,328 03	-4,695 62	632 41	632 41	0 00	5,328 03
CONVERTIBLE OR EXCHANGEABLES	2/11/2013	171779AE1	CIENA CORP	-5,000 0000	4,484 01	-4,247 30	236 71	236 71	0 00	4,484 01
CONVERTIBLE OR EXCHANGEABLES	5/13/2013	171779AE1	CIENA CORP	-5,000 0000	4,675 00	-4,633 36	41 64	41 64	0 00	4,675 00
CONVERTIBLE OR EXCHANGEABLES	5/13/2013	171779AF8	CIENA CORP	-25,000 0000	27,587 15	-27,818 79	-231 64	-231 64	0 00	27,587 15
CONVERTIBLE OR EXCHANGEABLES	10/14/2013	171779AK7	CIENA CORP	-5,000 0000	7,798 92	-5,949 52	1,849 40	1,849 40	0 00	7,798 92
CONVERTIBLE OR EXCHANGEABLES	9/30/2013	18538TAG4	CLEARWIRE COMMUNICATIONS LLC/C	-25,000 0000	27,750 00	-27,868 75	-118 75	-118 75	0 00	27,750 00
CONVERTIBLE OR EXCHANGEABLES	10/14/2013	18538TAG4	CLEARWIRE COMMUNICATIONS LLC/C	-35,000 0000	39,200 00	-38,937 50	262 50	262 50	0 00	39,200 00
CONVERTIBLE OR EXCHANGEABLES	3/19/2013	18683K408	CLIFFS NATURAL RESOURCES INC	-300 0000	5,790 89	-7,500 00	-1,709 11	-1,709 11	0 00	5,790 89
CONVERTIBLE OR EXCHANGEABLES	3/20/2013	18683K408	CLIFFS NATURAL RESOURCES INC	-470 0000	9,225 56	-11,750 00	-2,524 44	-2,524 44	0 00	9,225 56
CONVERTIBLE OR EXCHANGEABLES	7/9/2013	18683K408	CLIFFS NATURAL RESOURCES INC	-175 0000	3,130 92	-4,375 00	-1,244 08	-1,244 08	0 00	3,130 92
CONVERTIBLE OR EXCHANGEABLES	7/10/2013	18683K408	CLIFFS NATURAL RESOURCES INC	-180 0000	3,247 23	-4,500 00	-1,252 77	-1,252 77	0 00	3,247 23
CONVERTIBLE OR EXCHANGEABLES	10/30/2013	19075FAA4	COBALT INTERNATIONAL ENERGY IN	-10,000 0000	10,287 50	-11,115 99	-828 49	-828 49	0 00	10,287 50
CONVERTIBLE OR EXCHANGEABLES	11/22/2013	206708AB5	CONCUR TECHNOLOGIES INC 144A	-5,000 0000	5,609 83	-5,000 00	609 83	609 83	0 00	5,609 83
CONVERTIBLE OR EXCHANGEABLES	10/11/2013	22943FAC4	CTRIPO COM INTERNATIONAL L 144A	-5,000 0000	5,271 88	-5,000 00	271 88	271 88	0 00	5,271 88

CONVERTIBLE OR EXCHANGEABLES	10/11/2013	22943FAC4	CTRIPO COM INTERNATIONAL L 144A	-5,000 0000	5,262 50	-5,000 00	262 50	262 50	0 00	5,262 50
CONVERTIBLE OR EXCHANGEABLES	10/11/2013	22943FAC4	CTRIPO COM INTERNATIONAL L 144A	-10,000 0000	10,475 00	-10,000 00	475 00	475 00	0 00	10,475 00
CONVERTIBLE OR EXCHANGEABLES	10/11/2013	22943FAC4	CTRIPO COM INTERNATIONAL L 144A	-15,000 0000	15,952 37	-15,000 00	952 37	952 37	0 00	15,952 37
CONVERTIBLE OR EXCHANGEABLES	12/19/2013	229678AE7	CUBIST PHARMACEUTICALS IN 144A	-25,000 0000	27,906 25	-27,378 87	527 38	527 38	0 00	27,906 25
CONVERTIBLE OR EXCHANGEABLES	9/27/2013	229678AD9	CUBIST PHARMACEUTICALS INC	-15,000 0000	34,491 98	-27,472 70	7,019 28	7,019 28	0 00	34,491 98
CONVERTIBLE OR EXCHANGEABLES	10/23/2013	229678AD9	CUBIST PHARMACEUTICALS INC	-5,000 0000	11,217 59	-8,013 20	3,204 39	3,204 39	0 00	11,217 59
CONVERTIBLE OR EXCHANGEABLES	9/23/2013	235851AF9	DANAHER CORP	-15,000 0000	30,246 14	-20,662 35	9,583 79	9,583 79	0 00	30,246 14
CONVERTIBLE OR EXCHANGEABLES	7/5/2013	242309AB8	DEALERTRACK TECHNOLOGIES INC	-10,000 0000	11,831 25	-10,593 75	1,237 50	1,237 50	0 00	11,831 25
CONVERTIBLE OR EXCHANGEABLES	6/18/2013	24823QAC1	DENDREON CORP	-10,000 0000	7,150 00	-8,580 24	-1,430 24	-1,430 24	0 00	7,150 00
CONVERTIBLE OR EXCHANGEABLES	6/14/2013	25389JAC0	DIGITAL REALTY TRUST LP 144A	-5,000 0000	7,742 69	-7,291 17	451 52	451 52	0 00	7,742 69
CONVERTIBLE OR EXCHANGEABLES	5/15/2013	23331ABB4	DR HORTON INC	-5,000 0000	10,590 75	-8,856 25	1,734 50	1,734 50	0 00	10,590 75
CONVERTIBLE OR EXCHANGEABLES	10/25/2013	268648AM4	EMC CORP/MASSACHUSETTS	-10,000 0000	14,875 06	-17,974 89	-3,099 83	-3,099 83	0 00	14,875 06
CONVERTIBLE OR EXCHANGEABLES	5/2/2013	29264FAB2	ENDO PHARMACEUTICALS HOLDINGS	-10,000 0000	13,544 17	-14,220 49	-676 32	-676 32	0 00	13,544 17
CONVERTIBLE OR EXCHANGEABLES	7/26/2013	29264FAB2	ENDO PHARMACEUTICALS HOLDINGS	-5,000 0000	6,796 07	-5,556 25	1,239 82	1,239 82	0 00	6,796 07
CONVERTIBLE OR EXCHANGEABLES	11/4/2013	29274U309	ENERGY XXI 5 62500 SERIES	-50 0000	15,739 37	-19,024 35	-3,284 98	-3,284 98	0 00	15,739 37
CONVERTIBLE OR EXCHANGEABLES	6/18/2013	30225VAC1	EXTRA SPACE STORAGE LP 144A	-5,000 0000	4,931 25	-4,925 00	6 25	6 25	0 00	4,931 25
CONVERTIBLE OR EXCHANGEABLES	6/18/2013	30225VAC1	EXTRA SPACE STORAGE LP 144A	-5,000 0000	4,931 25	-4,925 00	6 25	6 25	0 00	4,931 25
CONVERTIBLE OR EXCHANGEABLES	12/16/2013	30225VAC1	EXTRA SPACE STORAGE LP 144A	-5,000 0000	4,949 35	-4,931 16	18 19	18 19	0 00	4,949 35
CONVERTIBLE OR EXCHANGEABLES	12/17/2013	30225VAC1	EXTRA SPACE STORAGE LP 144A	-15,000 0000	14,834 37	-14,779 39	54 98	54 98	0 00	14,834 37
CONVERTIBLE OR EXCHANGEABLES	2/1/2013	345370CN8	FORD MOTOR CO	-5,000 0000	7,999 00	-5,000 00	2,999 00	2,999 00	0 00	7,999 00
CONVERTIBLE OR EXCHANGEABLES	5/2/2013	345370CN8	FORD MOTOR CO	-5,000 0000	8,139 40	-5,000 00	3,139 40	3,139 40	0 00	8,139 40
CONVERTIBLE OR EXCHANGEABLES	7/24/2013	345370CN8	FORD MOTOR CO	-5,000 0000	10,000 79	-5,000 00	5,000 79	5,000 79	0 00	10,000 79
CONVERTIBLE OR EXCHANGEABLES	5/29/2013	302693AA4	FXCM INC 144A	-15,000 0000	15,403 13	-15,000 00	403 13	403 13	0 00	15,403 13
CONVERTIBLE OR EXCHANGEABLES	5/29/2013	302693AA4	FXCM INC 144A	-15,000 0000	15,365 63	-15,000 00	365 63	365 63	0 00	15,365 63
CONVERTIBLE OR EXCHANGEABLES	6/14/2013	367905AE6	GAYLORD ENTERTAINMENT CO	-5,000 0000	8,246 97	-5,697 09	2,549 88	2,549 88	0 00	8,246 97
CONVERTIBLE OR EXCHANGEABLES	9/3/2013	369300AD0	GENERAL CABLE CORP	-40,000 0000	39,950 00	-39,969 50	-19 50	-19 50	0 00	39,950 00
CONVERTIBLE OR EXCHANGEABLES	10/4/2013	37045V209	GENERAL MOTORS CO	-800 0000	40,031 30	-40,608 45	-577 15	-577 15	0 00	40,031 30
CONVERTIBLE OR EXCHANGEABLES	8/2/2013	371559204	GENESSEE & WYOMING INC	-100 0000	12,357 20	-11,120 63	1,236 57	1,236 57	0 00	12,357 20
CONVERTIBLE OR EXCHANGEABLES	4/22/2013	375558AH6	GILEAD SCIENCES INC	-15,000 0000	38,885 21	-19,828 00	19,057 21	19,057 21	0 00	38,885 21
CONVERTIBLE OR EXCHANGEABLES	5/10/2013	375558AN3	GILEAD SCIENCES INC	-60,000 0000	139,494 12	-67,817 62	71,676 50	71,676 50	0 00	139,494 12
CONVERTIBLE OR EXCHANGEABLES	12/18/2013	375558AP8	GILEAD SCIENCES INC	-15,000 0000	47,127 00	-36,224 35	10,902 65	10,902 65	0 00	47,127 00
CONVERTIBLE OR EXCHANGEABLES	5/3/2013	382410AC2	GOODRICH PETROLEUM CORP	-5,000 0000	4,984 40	-4,557 17	427 23	427 23	0 00	4,984 40
CONVERTIBLE OR EXCHANGEABLES	12/16/2013	382550309	GOODYEAR TIRE & RUBBER CO/THE	-70 0000	4,437 92	-2,977 60	1,460 32	1,460 32	0 00	4,437 92
CONVERTIBLE OR EXCHANGEABLES	2/5/2013	393222AB0	GREEN PLAINS RENEWABLE ENERGY	-10,000 0000	9,512 50	-10,637 50	-1,125 00	-1,125 00	0 00	9,512 50
CONVERTIBLE OR EXCHANGEABLES	5/23/2013	42217KAR7	HEALTH CARE REIT INC	-5,000 0000	7,304 60	-5,959 61	1,344 99	1,344 99	0 00	7,304 60
CONVERTIBLE OR EXCHANGEABLES	5/13/2013	421924408	HEALTHSOUTH CORP	-10 0000	12,431 12	-8,207 46	4,223 66	4,223 66	0 00	12,431 12
CONVERTIBLE OR EXCHANGEABLES	5/9/2013	422368AA8	HEARTWARE INTERNATIONAL INC	-10,000 0000	12,550 00	-10,062 19	2,487 81	2,487 81	0 00	12,550 00
CONVERTIBLE OR EXCHANGEABLES	6/17/2013	422368AA8	HEARTWARE INTERNATIONAL INC	-5,000 0000	6,129 89	-5,000 00	1,129 89	1,129 89	0 00	6,129 89
CONVERTIBLE OR EXCHANGEABLES	2/27/2013	42330PAG2	HELIX ENERGY SOLUTIONS	-5,000 0000	6,195 96	-5,762 91	433 05	433 05	0 00	6,195 96
CONVERTIBLE OR EXCHANGEABLES	2/5/2013	42805TAA3	HERTZ GLOBAL HOLDINGS INC	-5,000 0000	11,297 19	-6,906 55	4,390 64	4,390 64	0 00	11,297 19
CONVERTIBLE OR EXCHANGEABLES	10/11/2013	42805TAA3	HERTZ GLOBAL HOLDINGS INC	-10,000 0000	28,350 00	-26,430 23	1,919 77	1,919 77	0 00	28,350 00
CONVERTIBLE OR EXCHANGEABLES	5/14/2013	436440AC5	HOLOGIC INC	-5,000 0000	5,228 15	-4,808 29	419 86	419 86	0 00	5,228 15
CONVERTIBLE OR EXCHANGEABLES	10/28/2013	436440AB7	HOLOGIC INC	-5,000 0000	5,866 30	-5,960 89	-94 59	-94 59	0 00	5,866 30
CONVERTIBLE OR EXCHANGEABLES	10/29/2013	436440AB7	HOLOGIC INC	-5,000 0000	5,862 37	-5,960 17	-97 80	-97 80	0 00	5,862 37
CONVERTIBLE OR EXCHANGEABLES	5/13/2013	440543AM8	HORNBECK OFFSHORE SERV 144A	-10,000 0000	12,432 41	-10,693 85	1,738 56	1,738 56	0 00	12,432 41
CONVERTIBLE OR EXCHANGEABLES	8/29/2013	440543AE6	HORNBECK OFFSHORE SERVICES INC	-5,000 0000	5,790 05	-5,692 44	97 61	97 61	0 00	5,790 05
CONVERTIBLE OR EXCHANGEABLES	9/11/2013	440543AE6	HORNBECK OFFSHORE SERVICES INC	-10,000 0000	11,775 24	-10,722 78	1,052 46	1,052 46	0 00	11,775 24
CONVERTIBLE OR EXCHANGEABLES	9/12/2013	440543AE6	HORNBECK OFFSHORE SERVICES INC	-10,000 0000	11,757 85	-10,045 48	1,712 37	1,712 37	0 00	11,757 85
CONVERTIBLE OR EXCHANGEABLES	9/23/2013	451055AD9	ICONIX BRAND GROUP INC 144A	-5,000 0000	6,032 52	-5,000 00	1,032 52	1,032 52	0 00	6,032 52
CONVERTIBLE OR EXCHANGEABLES	5/3/2013	45337CAJ1	INCYTE CORP LTD	-5,000 0000	12,853 30	-10,690 68	2,162 62	2,162 62	0 00	12,853 30
CONVERTIBLE OR EXCHANGEABLES	12/19/2013	45337CAJ1	INCYTE CORP LTD	-5,000 0000	28,081 25	-5,041 30	23,039 95	23,039 95	0 00	28,081 25
CONVERTIBLE OR EXCHANGEABLES	10/14/2013	459902AQ5	INTERNATIONAL GAME TECHNOLOGY	-45,000 0000	48,892 50	-48,111 88	780 62	780 62	0 00	48,892 50
CONVERTIBLE OR EXCHANGEABLES	4/16/2013	464337AF1	ISIS PHARMACEUTICALS INC	-5,000 0000	6,788 25	-5,112 38	1,675 87	1,675 87	0 00	6,788 25
CONVERTIBLE OR EXCHANGEABLES	6/25/2013	464337AF1	ISIS PHARMACEUTICALS INC	-10,000 0000	17,392 79	-9,432 43	7,960 36	7,960 36	0 00	17,392 79
CONVERTIBLE OR EXCHANGEABLES	12/6/2013	471109AJ7	JARDEN CORP 144A	-10,000 0000	11,534 43	-10,808 85	725 58	725 58	0 00	11,534 43
CONVERTIBLE OR EXCHANGEABLES	8/16/2013	46612JAE1	JDS UNIPHASE CORP 144A	-5,000 0000	5,162 50	-5,000 00	162 50	162 50	0 00	5,162 50
CONVERTIBLE OR EXCHANGEABLES	8/16/2013	46612JAE1	JDS UNIPHASE CORP 144A	-10,000 0000	10,375 00	-10,000 00	375 00	375 00	0 00	10,375 00
CONVERTIBLE OR EXCHANGEABLES	8/16/2013	46612JAE1	JDS UNIPHASE CORP 144A	-15,000 0000	15,412 50	-15,000 00	412 50	412 50	0 00	15,412 50
CONVERTIBLE OR EXCHANGEABLES	8/16/2013	46612JAE1	JDS UNIPHASE CORP 144A	-5,000 0000	5,181 25	-5,000 00	181 25	181 25	0 00	5,181 25
CONVERTIBLE OR EXCHANGEABLES	5/16/2013	46612JAD3	JDS UNIPHASE CORP	-25,000 0000	25,000 00	-24,992 61	7 39	7 39	0 00	25,000 00
CONVERTIBLE OR EXCHANGEABLES	8/16/2013	46612JAE1	JDS UNIPHASE CORP 144A	-5,000 0000	5,187 50	-5,000 00	187 50	187 50	0 00	5,187 50
CONVERTIBLE OR EXCHANGEABLES	5/15/2013	442488BN1	K HOVNANIAN ENTERPRISES INC	-5,000 0000	7,088 09	-5,000 00	2,088 09	2,088 09	0 00	7,088 09

CONVERTIBLE OR EXCHANGEABLES	3/7/2013	521863AL4	LEAP WIRELESS INTERNATIONAL IN	-15,000 0000	14,990 63	-14,530 40	460 23	460 23	0 00	14,990 63
CONVERTIBLE OR EXCHANGEABLES	10/11/2013	521863AL4	LEAP WIRELESS INTERNATIONAL IN	-30,000 0000	30,525 00	-30,637 50	-112 50	-112 50	0 00	30,525 00
CONVERTIBLE OR EXCHANGEABLES	2/1/2013	526057BG8	LENNAR CORP	-5,000 0000	9,518 75	-7,798 93	1,719 82	1,719 82	0 00	9,518 75
CONVERTIBLE OR EXCHANGEABLES	1/25/2013	530715AR2	LIBERTY MEDIA LLC	-5,000 0000	5,031 67	-4,396 22	635 45	635 45	0 00	5,031 67
CONVERTIBLE OR EXCHANGEABLES	2/26/2013	535678AC0	LINEAR TECHNOLOGY CORP	-10,000 0000	10,712 20	-10,222 70	489 50	489 50	0 00	10,712 20
CONVERTIBLE OR EXCHANGEABLES	12/19/2013	58501NAA9	MEDIVATION INC	-5,000 0000	7,227 63	-6,481 98	745 65	745 65	0 00	7,227 63
CONVERTIBLE OR EXCHANGEABLES	4/23/2013	59001KAA8	MERITOR INC	-5,000 0000	5,193 75	-4,507 74	686 01	686 01	0 00	5,193 75
CONVERTIBLE OR EXCHANGEABLES	6/17/2013	59001KAA8	MERITOR INC	-5,000 0000	6,137 50	-4,510 79	1,626 71	1,626 71	0 00	6,137 50
CONVERTIBLE OR EXCHANGEABLES	10/17/2013	552848AE3	MGIC INVESTMENT CORP	-45,000 0000	62,042 22	-51,476 75	10,565 47	10,565 47	0 00	62,042 22
CONVERTIBLE OR EXCHANGEABLES	11/22/2013	55303QAE0	MGM RESORTS INTERNATIONAL	-25,000 0000	30,095 00	-25,551 85	4,543 15	4,543 15	0 00	30,095 00
CONVERTIBLE OR EXCHANGEABLES	5/9/2013	595017AB0	MICROCHIP TECHNOLOGY INC	-20,000 0000	28,903 76	-27,146 30	1,757 46	1,757 46	0 00	28,903 76
CONVERTIBLE OR EXCHANGEABLES	5/10/2013	595017AB0	MICROCHIP TECHNOLOGY INC	-5,000 0000	7,250 51	-6,496 62	753 89	753 89	0 00	7,250 51
CONVERTIBLE OR EXCHANGEABLES	3/13/2013	595112AQ6	MICRON TECHNOLOGY INC	-5,000 0000	5,592 50	-5,124 72	467 78	467 78	0 00	5,592 50
CONVERTIBLE OR EXCHANGEABLES	3/18/2013	595112AQ6	MICRON TECHNOLOGY INC	-10,000 0000	10,975 00	-9,680 02	1,294 98	1,294 98	0 00	10,975 00
CONVERTIBLE OR EXCHANGEABLES	6/12/2013	595112AQ6	MICRON TECHNOLOGY INC	-5,000 0000	6,920 40	-6,190 86	729 54	729 54	0 00	6,920 40
CONVERTIBLE OR EXCHANGEABLES	6/27/2013	595112AQ6	MICRON TECHNOLOGY INC	-5,000 0000	7,731 65	-6,190 86	1,540 79	1,540 79	0 00	7,731 65
CONVERTIBLE OR EXCHANGEABLES	7/17/2013	595112AQ6	MICRON TECHNOLOGY INC	-5,000 0000	7,175 00	-6,190 86	984 14	984 14	0 00	7,175 00
CONVERTIBLE OR EXCHANGEABLES	9/1/2013	595112AQ6	MICRON TECHNOLOGY INC	-40,000 0000	68,596 88	-39,404 58	29,192 30	29,192 30	0 00	68,596 88
CONVERTIBLE OR EXCHANGEABLES	12/18/2013	595112AX1	MICRON TECHNOLOGY INC	-20,000 0000	44,342 12	-36,730 38	7,611 74	7,611 74	0 00	44,342 12
CONVERTIBLE OR EXCHANGEABLES	2/7/2013	595112AS2	MICRON TECHNOLOGY INC 144A	-25,000 0000	25,609 38	-25,000 00	609 38	609 38	0 00	25,609 38
CONVERTIBLE OR EXCHANGEABLES	7/23/2013	60871RAA8	MOLSON COORS BREWING CO	-25,000 0000	25,420 25	-25,611 41	-191 16	-191 16	0 00	25,420 25
CONVERTIBLE OR EXCHANGEABLES	6/27/2013	608753AF6	MOLYCORP INC	-5,000 0000	3,631 25	-5,237 36	-1,606 11	-1,606 11	0 00	3,631 25
CONVERTIBLE OR EXCHANGEABLES	9/16/2013	608753AF6	MOLYCORP INC	-5,000 0000	3,978 34	-4,989 18	-1,010 84	-1,010 84	0 00	3,978 34
CONVERTIBLE OR EXCHANGEABLES	9/18/2013	608753AF6	MOLYCORP INC	-5,000 0000	4,100 00	-4,989 19	-889 19	-889 19	0 00	4,100 00
CONVERTIBLE OR EXCHANGEABLES	9/19/2013	608753AF6	MOLYCORP INC	-10,000 0000	8,200 00	-7,758 29	441 71	441 71	0 00	8,200 00
CONVERTIBLE OR EXCHANGEABLES	5/10/2013	608753AA7	MOLYCORP INC DEL	-15,000 0000	9,450 00	-15,641 32	-6,191 32	-6,191 32	0 00	9,450 00
CONVERTIBLE OR EXCHANGEABLES	3/15/2013	631158AD4	NASH FINCH CO	-35,000 0000	16,313 85	-18,914 76	-2,600 91	-2,600 91	0 00	16,313 85
CONVERTIBLE OR EXCHANGEABLES	10/11/2013	63934EAP3	NAVISTAR INTERNATIONAL CO 144A	-25,000 0000	25,120 53	-24,875 37	245 16	245 16	0 00	25,120 53
CONVERTIBLE OR EXCHANGEABLES	3/5/2013	63934EAL2	NAVISTAR INTERNATIONAL CORP	-15,000 0000	13,991 54	-14,338 66	-347 12	-347 12	0 00	13,991 54
CONVERTIBLE OR EXCHANGEABLES	11/13/2013	63934EAL2	NAVISTAR INTERNATIONAL CORP	-10,000 0000	10,406 89	-10,022 55	384 34	384 34	0 00	10,406 89
CONVERTIBLE OR EXCHANGEABLES	11/14/2013	63934EAL2	NAVISTAR INTERNATIONAL CORP	-15,000 0000	15,600 00	-15,025 63	574 37	574 37	0 00	15,600 00
CONVERTIBLE OR EXCHANGEABLES	11/27/2013	63934EAL2	NAVISTAR INTERNATIONAL CORP	-10,000 0000	10,407 27	-9,981 05	426 22	426 22	0 00	10,407 27
CONVERTIBLE OR EXCHANGEABLES	2/11/2013	64110DAB0	NETAPP INC	-5,000 0000	5,729 85	-6,404 67	-674 82	-674 82	0 00	5,729 85
CONVERTIBLE OR EXCHANGEABLES	2/12/2013	64110DAB0	NETAPP INC	-5,000 0000	5,763 70	-6,404 67	-640 97	-640 97	0 00	5,763 70
CONVERTIBLE OR EXCHANGEABLES	4/8/2013	64110DAB0	NETAPP INC	-15,000 0000	16,217 15	-18,984 20	-2,767 05	-2,767 05	0 00	16,217 15
CONVERTIBLE OR EXCHANGEABLES	9/23/2013	651639AJ5	NEWMONT MINING CORP	-40,000 0000	44,627 08	-54,450 28	-9,823 20	-9,823 20	0 00	44,627 08
CONVERTIBLE OR EXCHANGEABLES	5/10/2013	65339F507	NEXTERA ENERGY INC	-265 0000	15,686 08	-14,323 90	1,362 18	1,362 18	0 00	15,686 08
CONVERTIBLE OR EXCHANGEABLES	2/11/2013	67020YAB6	NUANCE COMMUNICATIONS INC	-5,000 0000	5,966 97	-7,341 46	-1,374 49	-1,374 49	0 00	5,966 97
CONVERTIBLE OR EXCHANGEABLES	2/28/2013	67020YAB6	NUANCE COMMUNICATIONS INC	-5,000 0000	5,800 00	-7,323 54	-1,523 54	-1,523 54	0 00	5,800 00
CONVERTIBLE OR EXCHANGEABLES	3/8/2013	67020YAB6	NUANCE COMMUNICATIONS INC	-5,000 0000	5,890 14	-5,350 00	540 14	540 14	0 00	5,890 14
CONVERTIBLE OR EXCHANGEABLES	9/27/2013	67020YAB6	NUANCE COMMUNICATIONS INC	-5,000 0000	5,700 95	-6,012 59	-311 64	-311 64	0 00	5,700 95
CONVERTIBLE OR EXCHANGEABLES	9/26/2013	67020YAF7	NUANCE COMMUNICATIONS INC	-10,000 0000	10,145 39	-10,000 00	145 39	145 39	0 00	10,145 39
CONVERTIBLE OR EXCHANGEABLES	10/3/2013	681904AL2	OMNICARE INC	-10,000 0000	10,625 00	-9,579 30	1,045 70	1,045 70	0 00	10,625 00
CONVERTIBLE OR EXCHANGEABLES	10/15/2013	681904AQ1	OMNICARE INC	-23,000 0000	22,208 71	-20,934 94	1,273 77	1,273 77	0 00	22,208 71
CONVERTIBLE OR EXCHANGEABLES	10/22/2013	682189AG0	ON SEMICONDUCTOR CORP	-25,000 0000	25,062 50	-21,772 87	3,289 63	3,289 63	0 00	25,062 50
CONVERTIBLE OR EXCHANGEABLES	9/30/2013	682189AH8	ON SEMICONDUCTOR CORP	-15,000 0000	16,218 86	-17,500 66	-1,281 80	-1,281 80	0 00	16,218 86
CONVERTIBLE OR EXCHANGEABLES	10/4/2013	682189AH8	ON SEMICONDUCTOR CORP	-10,000 0000	10,825 00	-11,537 58	-712 58	-712 58	0 00	10,825 00
CONVERTIBLE OR EXCHANGEABLES	11/4/2013	682189AH8	ON SEMICONDUCTOR CORP	-20,000 0000	21,872 14	-22,996 04	-1,123 90	-1,123 90	0 00	21,872 14
CONVERTIBLE OR EXCHANGEABLES	5/22/2013	683399AB5	ONYX PHARMACEUTICALS INC	-5,000 0000	12,285 13	-9,390 84	2,894 29	2,894 29	0 00	12,285 13
CONVERTIBLE OR EXCHANGEABLES	8/26/2013	683399AB5	ONYX PHARMACEUTICALS INC	-5,000 0000	15,919 45	-15,103 57	815 88	815 88	0 00	15,919 45
CONVERTIBLE OR EXCHANGEABLES	9/13/2013	683399AB5	ONYX PHARMACEUTICALS INC	-5,000 0000	15,916 25	-9,390 83	6,525 42	6,525 42	0 00	15,916 25
CONVERTIBLE OR EXCHANGEABLES	4/12/2013	69073TAQ6	OWENS-BROCKWAY GLASS CONTAIN	-15,000 0000	15,243 75	-14,934 79	308 96	308 96	0 00	15,243 75
CONVERTIBLE OR EXCHANGEABLES	6/17/2013	69073TAQ6	OWENS-BROCKWAY GLASS CONTAIN	-45,000 0000	45,928 13	-43,006 10	2,922 03	2,922 03	0 00	45,928 13
CONVERTIBLE OR EXCHANGEABLES	4/25/2013	70932AAA1	PENNYMAC CORP 144A	-5,000 0000	5,050 00	-5,000 00	50 00	50 00	0 00	5,050 00
CONVERTIBLE OR EXCHANGEABLES	4/26/2013	70932AAA1	PENNYMAC CORP 144A	-5,000 0000	5,062 50	-5,000 00	62 50	62 50	0 00	5,062 50
CONVERTIBLE OR EXCHANGEABLES	4/26/2013	70932AAA1	PENNYMAC CORP 144A	-15,000 0000	15,159 38	-15,000 00	159 38	159 38	0 00	15,159 38
CONVERTIBLE OR EXCHANGEABLES	11/22/2013	737446203	POST HOLDINGS INC 144A	-115 0000	13,339 76	-11,629 47	1,710 29	1,710 29	0 00	13,339 76
CONVERTIBLE OR EXCHANGEABLES	7/19/2013	741503AQ9	PRICELINE GROUP INC	-70,000 0000	84,875 00	-78,406 30	6,468 70	6,468 70	0 00	84,875 00
CONVERTIBLE OR EXCHANGEABLES	11/15/2013	741503AQ9	PRICELINE GROUP INC	-30,000 0000	41,250 00	-30,583 81	10,666 19	10,666 19	0 00	41,250 00
CONVERTIBLE OR EXCHANGEABLES	2/15/2013	741503AM8	PRICELINE COM INC	-30,000 0000	69,713 22	-66,401 03	3,312 19	3,312 19	0 00	69,713 22
CONVERTIBLE OR EXCHANGEABLES	12/2/2013	741503AR7	PRICELINE GROUP INC 144A	-15,000 0000	17,231 25	-14,857 88	2,373 37	2,373 37	0 00	17,231 25
CONVERTIBLE OR EXCHANGEABLES	9/23/2013	74340XAT8	PROLOGIS LP	-45,000 0000	51,548 85	-47,654 03	3,894 82	3,894 82	0 00	51,548 85

CONVERTIBLE OR EXCHANGEABLES	2/27/2013	750236AN1	RADIAN GROUP INC	-5,000 0000	5,250 00	-5,000 00	250 00	250 00	0 00	5,250 00
CONVERTIBLE OR EXCHANGEABLES	2/27/2013	750236AN1	RADIAN GROUP INC	-10,000 0000	10,400 00	-10,000 00	400 00	400 00	0 00	10,400 00
CONVERTIBLE OR EXCHANGEABLES	2/27/2013	750236AN1	RADIAN GROUP INC	-5,000 0000	5,225 00	-5,000 00	225 00	225 00	0 00	5,225 00
CONVERTIBLE OR EXCHANGEABLES	9/16/2013	750236AK7	RADIAN GROUP INC	-10,000 0000	13,991 50	-9,736 66	4,254 84	4,254 84	0 00	13,991 50
CONVERTIBLE OR EXCHANGEABLES	8/13/2013	750917AD8	RAMBUS INC 144A	-15,000 0000	15,225 00	-15,000 00	225 00	225 00	0 00	15,225 00
CONVERTIBLE OR EXCHANGEABLES	8/13/2013	750917AD8	RAMBUS INC 144A	-10,000 0000	10,137 50	-10,000 00	137 50	137 50	0 00	10,137 50
CONVERTIBLE OR EXCHANGEABLES	7/16/2013	75508AAC0	RAYONIER TRS HOLDINGS INC	-5,000 0000	8,571 81	-7,684 35	887 46	887 46	0 00	8,571 81
CONVERTIBLE OR EXCHANGEABLES	7/17/2013	75508AAC0	RAYONIER TRS HOLDINGS INC	-5,000 0000	8,626 38	-7,673 28	953 10	953 10	0 00	8,626 38
CONVERTIBLE OR EXCHANGEABLES	10/18/2013	780287AA6	ROYAL GOLD INC	-15,000 0000	14,362 50	-15,335 51	-973 01	-973 01	0 00	14,362 50
CONVERTIBLE OR EXCHANGEABLES	4/12/2013	74973WAB3	RTI INTERNATIONAL METALS INC	-10,000 0000	10,350 00	-10,000 00	350 00	350 00	0 00	10,350 00
CONVERTIBLE OR EXCHANGEABLES	4/12/2013	74973WAB3	RTI INTERNATIONAL METALS INC	-5,000 0000	5,187 50	-5,000 00	187 50	187 50	0 00	5,187 50
CONVERTIBLE OR EXCHANGEABLES	11/5/2013	74973WAB3	RTI INTERNATIONAL METALS INC	-5,000 0000	5,410 71	-5,000 00	410 71	410 71	0 00	5,410 71
CONVERTIBLE OR EXCHANGEABLES	11/8/2013	74973WAB3	RTI INTERNATIONAL METALS INC	-20,000 0000	21,652 20	-20,000 00	1,652 20	1,652 20	0 00	21,652 20
CONVERTIBLE OR EXCHANGEABLES	2/1/2013	783764AQ6	RYLAND GROUP	-15,000 0000	21,283 80	-15,965 84	5,317 96	5,317 96	0 00	21,283 80
CONVERTIBLE OR EXCHANGEABLES	2/4/2013	783764AQ6	RYLAND GROUP	-5,000 0000	7,089 80	-5,080 12	2,009 68	2,009 68	0 00	7,089 80
CONVERTIBLE OR EXCHANGEABLES	5/15/2013	783764AQ6	RYLAND GROUP	-5,000 0000	8,438 15	-5,000 00	3,438 15	3,438 15	0 00	8,438 15
CONVERTIBLE OR EXCHANGEABLES	10/11/2013	783764AS2	RYLAND GROUP INC/THE	-25,000 0000	22,773 43	-25,000 00	-2,226 57	-2,226 57	0 00	22,773 43
CONVERTIBLE OR EXCHANGEABLES	4/15/2013	79377WAL2	SAKS INC	-5,000 0000	5,010 00	-5,170 71	-160 71	-160 71	0 00	5,010 00
CONVERTIBLE OR EXCHANGEABLES	5/9/2013	79466LAB0	SALESFORCE COM INC	-20,000 0000	40,966 92	-33,636 34	7,330 58	7,330 58	0 00	40,966 92
CONVERTIBLE OR EXCHANGEABLES	6/13/2013	79466LAC8	SALESFORCE COM INC 144A	-95,000 0000	90,250 00	-96,899 69	-6,649 69	-6,649 69	0 00	90,250 00
CONVERTIBLE OR EXCHANGEABLES	5/2/2013	795435AC0	SALIX PHARMACEUTICALS LTD	-20,000 0000	26,650 00	-23,955 12	2,694 88	2,694 88	0 00	26,650 00
CONVERTIBLE OR EXCHANGEABLES	10/24/2013	80004CAE1	SANDISK CORP 144A	-10,000 0000	10,212 50	-10,000 00	212 50	212 50	0 00	10,212 50
CONVERTIBLE OR EXCHANGEABLES	10/24/2013	80004CAE1	SANDISK CORP 144A	-10,000 0000	10,225 00	-10,000 00	225 00	225 00	0 00	10,225 00
CONVERTIBLE OR EXCHANGEABLES	1/24/2013	80004CAD3	SANDISK CORP	-10,000 0000	12,291 57	-11,469 32	822 25	822 25	0 00	12,291 57
CONVERTIBLE OR EXCHANGEABLES	1/25/2013	80004CAD3	SANDISK CORP	-10,000 0000	12,300 20	-11,207 03	1,093 17	1,093 17	0 00	12,300 20
CONVERTIBLE OR EXCHANGEABLES	5/10/2013	80004CAD3	SANDISK CORP	-15,000 0000	20,040 18	-17,609 43	2,430 75	2,430 75	0 00	20,040 18
CONVERTIBLE OR EXCHANGEABLES	4/30/2013	78388JAN6	SBA COMMUNICATIONS CORP	-5,000 0000	8,820 10	-5,474 54	3,345 56	3,345 56	0 00	8,820 10
CONVERTIBLE OR EXCHANGEABLES	10/23/2013	78388JAM8	SBA COMMUNICATIONS CORP	-5,000 0000	14,107 44	-6,203 95	7,903 49	7,903 49	0 00	14,107 44
CONVERTIBLE OR EXCHANGEABLES	5/15/2013	82568PAA4	SHUTTERFLY INC 144A	-5,000 0000	5,281 25	-5,237 50	43 75	43 75	0 00	5,281 25
CONVERTIBLE OR EXCHANGEABLES	10/16/2013	83416TAA8	SOLARCITY CORP	-5,000 0000	5,318 75	-5,000 00	318 75	318 75	0 00	5,318 75
CONVERTIBLE OR EXCHANGEABLES	10/16/2013	83416TAA8	SOLARCITY CORP	-5,000 0000	5,312 50	-5,000 00	312 50	312 50	0 00	5,312 50
CONVERTIBLE OR EXCHANGEABLES	11/13/2013	83416TAA8	SOLARCITY CORP	-5,000 0000	5,806 84	-5,000 00	806 84	806 84	0 00	5,806 84
CONVERTIBLE OR EXCHANGEABLES	8/21/2013	84649PAK1	SPANSION LLC 144A	-5,000 0000	4,950 00	-5,000 00	-50 00	-50 00	0 00	4,950 00
CONVERTIBLE OR EXCHANGEABLES	8/21/2013	84649PAK1	SPANSION LLC 144A	-5,000 0000	5,012 50	-5,000 00	12 50	12 50	0 00	5,012 50
CONVERTIBLE OR EXCHANGEABLES	8/21/2013	84649PAK1	SPANSION LLC 144A	-10,000 0000	9,900 00	-10,000 00	-100 00	-100 00	0 00	9,900 00
CONVERTIBLE OR EXCHANGEABLES	8/21/2013	84649PAK1	SPANSION LLC 144A	-5,000 0000	4,937 50	-5,000 00	-62 50	-62 50	0 00	4,937 50
CONVERTIBLE OR EXCHANGEABLES	10/4/2013	84649PAK1	SPANSION LLC 144A	-5,000 0000	5,223 92	-5,000 00	223 92	223 92	0 00	5,223 92
CONVERTIBLE OR EXCHANGEABLES	10/10/2013	84649PAK1	SPANSION LLC 144A	-5,000 0000	5,332 16	-5,000 00	332 16	332 16	0 00	5,332 16
CONVERTIBLE OR EXCHANGEABLES	11/4/2013	84649PAK1	SPANSION LLC 144A	-15,000 0000	15,468 75	-15,000 00	468 75	468 75	0 00	15,468 75
CONVERTIBLE OR EXCHANGEABLES	9/23/2013	854502309	STANLEY BLACK & DECKER INC	-160 0000	21,608 72	-17,451 82	4,156 90	4,156 90	0 00	21,608 72
CONVERTIBLE OR EXCHANGEABLES	2/26/2013	861642AL0	STONE ENERGY CORP	-15,000 0000	13,620 60	-15,000 00	-1,379 40	-1,379 40	0 00	13,620 60
CONVERTIBLE OR EXCHANGEABLES	9/23/2013	861642AN6	STONE ENERGY CORP	-10,000 0000	10,805 67	-9,834 70	970 97	970 97	0 00	10,805 67
CONVERTIBLE OR EXCHANGEABLES	9/26/2013	861642AN6	STONE ENERGY CORP	-20,000 0000	21,825 00	-19,574 51	2,250 49	2,250 49	0 00	21,825 00
CONVERTIBLE OR EXCHANGEABLES	5/23/2013	867652AE9	SUNPOWER CORP	-10,000 0000	11,491 10	-11,112 50	378 60	378 60	0 00	11,491 10
CONVERTIBLE OR EXCHANGEABLES	5/24/2013	867652AE9	SUNPOWER CORP	-5,000 0000	5,832 60	-5,508 54	324 06	324 06	0 00	5,832 60
CONVERTIBLE OR EXCHANGEABLES	5/24/2013	867652AE9	SUNPOWER CORP	-10,000 0000	11,646 40	-9,785 83	1,860 57	1,860 57	0 00	11,646 40
CONVERTIBLE OR EXCHANGEABLES	11/14/2013	867652AE9	SUNPOWER CORP	-20,000 0000	30,197 82	-19,047 10	11,150 72	11,150 72	0 00	30,197 82
CONVERTIBLE OR EXCHANGEABLES	8/28/2013	880770AE2	TERADYNE INC	-5,000 0000	14,004 41	-15,926 50	-1,922 09	-1,922 09	0 00	14,004 41
CONVERTIBLE OR EXCHANGEABLES	5/17/2013	88160RAA9	TESLA MOTORS INC	-5,000 0000	5,187 50	-5,000 00	187 50	187 50	0 00	5,187 50
CONVERTIBLE OR EXCHANGEABLES	5/17/2013	88160RAA9	TESLA MOTORS INC	-5,000 0000	5,256 25	-5,000 00	256 25	256 25	0 00	5,256 25
CONVERTIBLE OR EXCHANGEABLES	5/17/2013	88160RAA9	TESLA MOTORS INC	-5,000 0000	5,212 50	-5,000 00	212 50	212 50	0 00	5,212 50
CONVERTIBLE OR EXCHANGEABLES	5/17/2013	88160RAA9	TESLA MOTORS INC	-5,000 0000	5,187 50	-5,000 00	187 50	187 50	0 00	5,187 50
CONVERTIBLE OR EXCHANGEABLES	6/18/2013	88160RAA9	TESLA MOTORS INC	-5,000 0000	5,452 34	-5,000 00	452 34	452 34	0 00	5,452 34
CONVERTIBLE OR EXCHANGEABLES	6/27/2013	88160RAA9	TESLA MOTORS INC	-15,000 0000	17,021 91	-15,000 00	2,021 91	2,021 91	0 00	17,021 91
CONVERTIBLE OR EXCHANGEABLES	6/11/2013	88338TAA2	THERAVANCE INC	-5,000 0000	7,055 75	-5,987 69	1,068 06	1,068 06	0 00	7,055 75
CONVERTIBLE OR EXCHANGEABLES	9/10/2013	88632QAB9	TIBCO SOFTWARE INC	-10,000 0000	10,125 00	-10,249 94	-124 94	-124 94	0 00	10,125 00
CONVERTIBLE OR EXCHANGEABLES	11/15/2013	88632QAB9	TIBCO SOFTWARE INC	-5,000 0000	5,036 07	-5,124 67	-88 60	-88 60	0 00	5,036 07
CONVERTIBLE OR EXCHANGEABLES	11/18/2013	88632QAB9	TIBCO SOFTWARE INC	-5,000 0000	5,037 50	-5,109 18	-71 68	-71 68	0 00	5,037 50
CONVERTIBLE OR EXCHANGEABLES	12/3/2013	88632QAB9	TIBCO SOFTWARE INC	-10,000 0000	9,993 75	-9,915 39	78 36	78 36	0 00	9,993 75
CONVERTIBLE OR EXCHANGEABLES	12/4/2013	88632QAB9	TIBCO SOFTWARE INC	-30,000 0000	29,970 00	-29,189 85	780 15	780 15	0 00	29,970 00
CONVERTIBLE OR EXCHANGEABLES	1/3/2013	87264MAH2	TRW AUTOMOBILE INC	-5,000 0000	9,776 07	-8,418 51	1,357 56	1,357 56	0 00	9,776 07
CONVERTIBLE OR EXCHANGEABLES	2/22/2013	87264MAH2	TRW AUTOMOBILE INC	-5,000 0000	10,107 40	-8,364 56	1,742 84	1,742 84	0 00	10,107 40

CONVERTIBLE OR EXCHANGEABLES		3/11/2013	91136H306	UNITED RENTALS TRUST I	-175 0000	11,568 71	-3,259 37	8,309 34	8,309 34	0 00	11,568 71
CONVERTIBLE OR EXCHANGEABLES		3/12/2013	91136H306	UNITED RENTALS TRUST I	-103 0000	6,741 49	-1,918 38	4,823 11	4,823 11	0 00	6,741 49
CONVERTIBLE OR EXCHANGEABLES		4/11/2013	92343EAD4	VERISIGN INC	-5,000 0000	7,379 40	-6,509 36	870 04	870 04	0 00	7,379 40
CONVERTIBLE OR EXCHANGEABLES		7/10/2013	92343EAD4	VERISIGN INC	-15,000 0000	21,401 61	-18,728 37	2,673 24	2,673 24	0 00	21,401 61
CONVERTIBLE OR EXCHANGEABLES		5/17/2013	92532FAN0	VERTEX PHARMACEUTICALS INC	-5,000 0000	8,052 13	-5,829 19	2,222 94	2,222 94	0 00	8,052 13
CONVERTIBLE OR EXCHANGEABLES		7/25/2013	928645AB6	VOLCANO CORP	-10,000 0000	9,425 00	-10,000 00	-675 00	-675 00	0 00	9,425 00
CONVERTIBLE OR EXCHANGEABLES		6/13/2013	949746804	WELLS FARGO & CO	-55 0000	66,931 63	-59,326 30	7,605 33	7,605 33	0 00	66,931 63
CONVERTIBLE OR EXCHANGEABLES		8/28/2013	95082PAH8	WESCO INTERNATIONAL INC	-5,000 0000	13,204 60	-10,288 65	2,915 95	2,915 95	0 00	13,204 60
CONVERTIBLE OR EXCHANGEABLES		6/19/2013	962166872	WEYERHAEUSER CO	-75 0000	3,750 00	-3,762 75	-12 75	-12 75	0 00	3,750 00
CONVERTIBLE OR EXCHANGEABLES		5/8/2013	97650W207	WINTRUST FINANCIAL CORP	-50 0000	2,681 99	-2,850 49	-168 50	-168 50	0 00	2,681 99
CONVERTIBLE OR EXCHANGEABLES		10/4/2013	98138HAA9	WORKDAY INC 144A	-10,000 0000	11,876 60	-10,044 73	1,831 87	1,831 87	0 00	11,876 60
CONVERTIBLE OR EXCHANGEABLES		12/2/2013	984332AE6	YAHOO INC	-20,000 0000	20,058 34	-20,000 00	58 34	58 34	0 00	20,058 34
CONVERTIBLE OR EXCHANGEABLES		12/16/2013	98474TAA5	YANDEX NV 144A	-45,000 0000	46,640 48	-45,000 00	1,640 48	1,640 48	0 00	46,640 48
CONVERTIBLE OR EXCHANGEABLES		6/15/2013	594918AE4	MICROSOFT CORP	-40,000 0000	40,000 00	-40,325 00	-325 00	-325 00	0 00	40,000 00
						4,082,754 79	-3,621,957 31	460,797 48	460,797 48	0 00	4,082,754 79
EQUITY	S	3/20/2013	G1151C101	ACCENTURE PLC	-201 0000	15,374 49	-15,748 35	-373 86	-373 86	0 00	15,374 49
EQUITY	S	3/11/2013	G1151C101	ACCENTURE PLC	-201 0000	15,748 35	-12,192 73	3,555 62	3,555 62	0 00	15,748 35
EQUITY	S	2/14/2013	023135106	AMAZON COM INC	-6 0000	1,604 71	-1,141 39	463 32	463 32	0 00	1,604 71
EQUITY	S	2/25/2013	023135106	AMAZON COM INC	-4 0000	1,044 10	-760 93	283 17	283 17	0 00	1,044 10
EQUITY	S	2/26/2013	023135106	AMAZON COM INC	-4 0000	1,046 45	-760 92	285 53	285 53	0 00	1,046 45
EQUITY	S	2/26/2013	023135106	AMAZON COM INC	-14 0000	3,617 58	-2,663 24	954 34	954 34	0 00	3,617 58
EQUITY	S	3/20/2013	025816109	AMERICAN EXPRESS CO	-236 0000	15,576 00	-15,269 20	306 80	306 80	0 00	15,576 00
EQUITY	S	3/11/2013	025816109	AMERICAN EXPRESS CO	-236 0000	15,269 20	-14,678 10	591 10	591 10	0 00	15,269 20
EQUITY	S	3/20/2013	026874784	AMERICAN INTERNATIONAL GROUP I	-213 0000	8,157 90	-8,430 54	-272 64	-272 64	0 00	8,157 90
EQUITY	S	3/11/2013	026874784	AMERICAN INTERNATIONAL GROUP I	-213 0000	8,430 54	-6,922 50	1,508 04	1,508 04	0 00	8,430 54
EQUITY	S	2/22/2013	03027X100	AMERICAN TOWER CORP	-5 0000	372 10	-365 85	6 25	6 25	0 00	372 10
EQUITY	S	2/22/2013	03027X100	AMERICAN TOWER CORP	-24 0000	1,786 12	-1,756 09	30 03	30 03	0 00	1,786 12
EQUITY	S	2/25/2013	03027X100	AMERICAN TOWER CORP	-13 0000	975 02	-951 21	23 81	23 81	0 00	975 02
EQUITY	S	2/25/2013	03027X100	AMERICAN TOWER CORP	-9 0000	674 37	-658 53	15 84	15 84	0 00	674 37
EQUITY	S	2/25/2013	03027X100	AMERICAN TOWER CORP	-7 0000	525 21	-512 19	13 02	13 02	0 00	525 21
EQUITY	S	3/20/2013	03027X100	AMERICAN TOWER CORP	-355 0000	26,738 60	-27,384 70	-646 10	-646 10	0 00	26,738 60
EQUITY	S	3/11/2013	03027X100	AMERICAN TOWER CORP	-355 0000	27,384 70	-25,975 49	1,409 21	1,409 21	0 00	27,384 70
EQUITY	S	1/16/2013	037833100	APPLE INC	-17 0000	8,614 36	-5,505 05	3,109 31	3,109 31	0 00	8,614 36
EQUITY	S	1/16/2013	037833100	APPLE INC	-2 0000	1,016 33	-647 65	368 68	368 68	0 00	1,016 33
EQUITY	S	1/23/2013	037833100	APPLE INC	-56 0000	28,591 40	-18,134 29	10,457 11	10,457 11	0 00	28,591 40
EQUITY	S	3/20/2013	053332102	AUTOZONE INC	-104 0000	41,184 00	-40,066 00	1,118 00	1,118 00	0 00	41,184 00
EQUITY	S	3/11/2013	053332102	AUTOZONE INC	-104 0000	40,066 00	-37,916 49	2,149 51	2,149 51	0 00	40,066 00
EQUITY	S	10/4/2013	054303102	AVON PRODUCTS INC	-40 0000	809 58	-829 97	-20 39	-20 39	0 00	809 58
EQUITY	S	7/18/2013	058498106	BALL CORP	-30 0000	1,353 12	-1,106 78	246 34	246 34	0 00	1,353 12
EQUITY	S	7/19/2013	058498106	BALL CORP	-10 0000	451 33	-258 70	192 63	192 63	0 00	451 33
EQUITY	S	7/22/2013	058498106	BALL CORP	-10 0000	455 85	-258 70	197 15	197 15	0 00	455 85
EQUITY	S	7/23/2013	058498106	BALL CORP	-10 0000	456 93	-257 15	199 78	199 78	0 00	456 93
EQUITY	S	7/24/2013	058498106	BALL CORP	-10 0000	454 25	-257 15	197 10	197 10	0 00	454 25
EQUITY	S	7/25/2013	058498106	BALL CORP	-10 0000	454 49	-257 15	197 34	197 34	0 00	454 49
EQUITY	S	7/26/2013	058498106	BALL CORP	-10 0000	449 16	-257 15	192 01	192 01	0 00	449 16
EQUITY	S	10/4/2013	058498106	BALL CORP	-30 0000	1,357 77	-771 45	586 32	586 32	0 00	1,357 77
EQUITY	S	10/4/2013	073730103	BEAM INC	-30 0000	2,034 86	-1,425 62	609 24	609 24	0 00	2,034 86
EQUITY	S	10/4/2013	089302103	BIG LOTS INC	-40 0000	1,465 97	-1,401 60	64 37	64 37	0 00	1,465 97
EQUITY	S	3/20/2013	09062X103	BIOGEN IDEC INC	-344 0000	61,266 40	-59,298 72	1,967 68	1,967 68	0 00	61,266 40
EQUITY	S	3/11/2013	09062X103	BIOGEN IDEC INC	-344 0000	59,298 72	-39,756 44	19,542 28	19,542 28	0 00	59,298 72
EQUITY	S	7/23/2013	101121101	BOSTON PROPERTIES INC	-28 0000	3,109 55	-3,145 51	-35 96	-35 96	0 00	3,109 55
EQUITY	S	6/20/2013	101121101	BOSTON PROPERTIES INC	-0 0560	5 97	-6 29	-0 32	-0 32	0 00	5 97
EQUITY	S	3/20/2013	110122108	BRISTOL-MYERS SQUIBB CO	-1,599 0000	63,752 13	-59,802 60	3,949 53	3,949 53	0 00	63,752 13
EQUITY	S	3/11/2013	110122108	BRISTOL-MYERS SQUIBB CO	-1,599 0000	59,802 60	-55,605 93	4,196 67	4,196 67	0 00	59,802 60
EQUITY	S	1/17/2013	124857202	CBS CORP	-6 0000	250 14	-216 81	33 33	33 33	0 00	250 14
EQUITY	S	1/17/2013	124857202	CBS CORP	-4 0000	166 41	-144 54	21 87	21 87	0 00	166 41
EQUITY	S	1/28/2013	124857202	CBS CORP	-82 0000	3,470 48	-2,963 10	507 38	507 38	0 00	3,470 48
EQUITY	S	3/20/2013	124857202	CBS CORP	-948 0000	43,873 44	-43,608 00	265 44	265 44	0 00	43,873 44
EQUITY	S	3/11/2013	124857202	CBS CORP	-948 0000	43,608 00	-34,256 29	9,351 71	9,351 71	0 00	43,608 00
EQUITY	S	3/20/2013	151020104	CELGENE CORP	-100 0000	11,232 00	-11,136 00	96 00	96 00	0 00	11,232 00
EQUITY	S	3/11/2013	151020104	CELGENE CORP	-100 0000	11,136 00	-9,688 89	1,447 11	1,447 11	0 00	11,136 00

EQUITY	S	2/27/2013	808513105	CHARLES SCHWAB CORP/THE	-84 0000	1,369 01	-1,427 33	-58 32	-58 32	0 00	1,369 01
EQUITY	S	2/27/2013	808513105	CHARLES SCHWAB CORP/THE	-93 0000	1,524 96	-1,580 26	-55 30	-55 30	0 00	1,524 96
EQUITY	S	2/27/2013	808513105	CHARLES SCHWAB CORP/THE	-34 0000	553 48	-577 73	-24 25	-24 25	0 00	553 48
EQUITY	S	2/28/2013	808513105	CHARLES SCHWAB CORP/THE	-62 0000	1,007 17	-1,053 51	-46 34	-46 34	0 00	1,007 17
EQUITY	S	2/28/2013	808513105	CHARLES SCHWAB CORP/THE	-63 0000	1,030 36	-1,070 50	-40 14	-40 14	0 00	1,030 36
EQUITY	S	2/28/2013	808513105	CHARLES SCHWAB CORP/THE	-50 0000	816 57	-849 61	-33 04	-33 04	0 00	816 57
EQUITY	S	2/28/2013	808513105	CHARLES SCHWAB CORP/THE	-49 0000	800 19	-832 61	-32 42	-32 42	0 00	800 19
EQUITY	S	3/20/2013	169656105	CHIPOTLE MEXICAN GRILL INC	-46 0000	14,831 32	-14,804 64	26 68	26 68	0 00	14,831 32
EQUITY	S	3/11/2013	169656105	CHIPOTLE MEXICAN GRILL INC	-46 0000	14,804 64	-12,785 80	2,018 84	2,018 84	0 00	14,804 64
EQUITY	S	1/3/2013	172967424	CITIGROUP INC	-70 0000	2,900 59	-2,585 52	315 07	315 07	0 00	2,900 59
EQUITY	S	1/18/2013	172967424	CITIGROUP INC	-120 0000	4,961 51	-4,401 39	560 12	560 12	0 00	4,961 51
EQUITY	S	2/11/2013	172967424	CITIGROUP INC	-648 0000	27,927 39	-22,945 57	4,981 82	4,981 82	0 00	27,927 39
EQUITY	S	2/22/2013	172967424	CITIGROUP INC	-96 0000	4,090 99	-3,802 09	288 90	288 90	0 00	4,090 99
EQUITY	S	3/20/2013	172967424	CITIGROUP INC	-920 0000	42,402 80	-42,945 60	-542 80	-542 80	0 00	42,402 80
EQUITY	S	1/2/2013	172967424	CITIGROUP INC	-0 6420	25 19	-23 71	1 48	1 48	0 00	25 19
EQUITY	S	3/11/2013	172967424	CITIGROUP INC	-920 0000	42,945 60	-36,436 74	6,508 86	6,508 86	0 00	42,945 60
EQUITY	S	3/20/2013	20030N101	COMCAST CORP	-758 0000	31,062 84	-31,078 00	-15 16	-15 16	0 00	31,062 84
EQUITY	S	3/11/2013	20030N101	COMCAST CORP	-758 0000	31,078 00	-28,861 78	2,216 22	2,216 22	0 00	31,078 00
EQUITY	S	6/10/2013	9999999A5	COMMIT TO PUR MUTUAL FD	-400,000 0000	400,000 00	-400,000 00	0 00	0 00	0 00	400,000 00
EQUITY	S	6/10/2013	9999999A5	COMMIT TO PUR MUTUAL FD	-300,000 0000	300,000 00	-300,000 00	0 00	0 00	0 00	300,000 00
EQUITY	S	11/20/2013	9999999A5	COMMIT TO PUR MUTUAL FD	-300,000 0000	300,000 00	-300,000 00	0 00	0 00	0 00	300,000 00
EQUITY	S	12/4/2013	9999999A5	COMMIT TO PUR MUTUAL FD	-4,900,000 0000	4,900,000 00	-4,900,000 00	0 00	0 00	0 00	4,900,000 00
EQUITY	S	10/4/2013	205944101	CON-WAY INC	-40 0000	1,748 36	-1,432 84	315 52	315 52	0 00	1,748 36
EQUITY	S	7/18/2013	228368106	CROWN HOLDINGS INC	-20 0000	882 89	-688 30	194 59	194 59	0 00	882 89
EQUITY	S	7/19/2013	228368106	CROWN HOLDINGS INC	-20 0000	886 52	-681 57	204 95	204 95	0 00	886 52
EQUITY	S	7/22/2013	228368106	CROWN HOLDINGS INC	-10 0000	444 62	-339 39	105 23	105 23	0 00	444 62
EQUITY	S	10/4/2013	228368106	CROWN HOLDINGS INC	-40 0000	1,682 77	-1,191 78	490 99	490 99	0 00	1,682 77
EQUITY	S	2/22/2013	231021106	CUMMINS INC	-18 0000	2,030 76	-1,815 39	215 37	215 37	0 00	2,030 76
EQUITY	S	2/22/2013	231021106	CUMMINS INC	-2 0000	225 39	-201 71	23 68	23 68	0 00	225 39
EQUITY	S	2/25/2013	231021106	CUMMINS INC	-18 0000	2,056 90	-1,815 39	241 51	241 51	0 00	2,056 90
EQUITY	S	3/20/2013	231021106	CUMMINS INC	-130 0000	15,112 50	-15,551 90	-439 40	-439 40	0 00	15,112 50
EQUITY	S	3/11/2013	231021106	CUMMINS INC	-130 0000	15,551 90	-13,111 16	2,440 74	2,440 74	0 00	15,551 90
EQUITY	S	3/20/2013	235851102	DANAHER CORP	-262 0000	16,063 22	-16,228 28	-165 06	-165 06	0 00	16,063 22
EQUITY	S	3/11/2013	235851102	DANAHER CORP	-262 0000	16,228 28	-12,874 93	3,353 35	3,353 35	0 00	16,228 28
EQUITY	S	6/7/2013	233203819	DFA US SMALL CAP VALUE PORTFOL	-3,206 1560	100,000 00	-72,805 80	27,194 20	27,194 20	0 00	100,000 00
EQUITY	S	3/14/2013	256219106	DODGE & COX STOCK FUND	-11,116 4700	1,511,061 77	-1,025,509 79	485,551 98	485,551 98	0 00	1,511,061 77
EQUITY	S	2/4/2013	256677105	DOLLAR GENERAL CORP	-3 0000	137 87	-150 16	-12 29	-12 29	0 00	137 87
EQUITY	S	2/4/2013	256677105	DOLLAR GENERAL CORP	-6 0000	275 93	-300 31	-24 38	-24 38	0 00	275 93
EQUITY	S	2/4/2013	256677105	DOLLAR GENERAL CORP	-3 0000	136 93	-150 16	-13 23	-13 23	0 00	136 93
EQUITY	S	2/4/2013	256677105	DOLLAR GENERAL CORP	-43 0000	1,962 90	-2,152 23	-189 33	-189 33	0 00	1,962 90
EQUITY	S	2/5/2013	256677105	DOLLAR GENERAL CORP	-29 0000	1,311 84	-1,451 50	-139 66	-139 66	0 00	1,311 84
EQUITY	S	2/5/2013	256677105	DOLLAR GENERAL CORP	-7 0000	318 76	-350 36	-31 60	-31 60	0 00	318 76
EQUITY	S	2/5/2013	256677105	DOLLAR GENERAL CORP	-67 0000	3,030 34	-3,353 47	-323 13	-323 13	0 00	3,030 34
EQUITY	S	2/6/2013	256677105	DOLLAR GENERAL CORP	-4 0000	183 80	-200 21	-16 41	-16 41	0 00	183 80
EQUITY	S	2/6/2013	256677105	DOLLAR GENERAL CORP	-4 0000	183 94	-200 21	-16 27	-16 27	0 00	183 94
EQUITY	S	2/6/2013	256677105	DOLLAR GENERAL CORP	-50 0000	2,297 54	-2,502 59	-205 05	-205 05	0 00	2,297 54
EQUITY	S	2/7/2013	256677105	DOLLAR GENERAL CORP	-3 0000	136 19	-150 16	-13 97	-13 97	0 00	136 19
EQUITY	S	2/7/2013	256677105	DOLLAR GENERAL CORP	-21 0000	951 91	-1,051 09	-99 18	-99 18	0 00	951 91
EQUITY	S	2/8/2013	256677105	DOLLAR GENERAL CORP	-2 0000	91 78	-100 10	-8 32	-8 32	0 00	91 78
EQUITY	S	2/12/2013	256677105	DOLLAR GENERAL CORP	-34 0000	1,529 97	-1,701 76	-171 79	-171 79	0 00	1,529 97
EQUITY	S	2/13/2013	256677105	DOLLAR GENERAL CORP	-5 0000	221 72	-250 26	-28 54	-28 54	0 00	221 72
EQUITY	S	2/13/2013	256677105	DOLLAR GENERAL CORP	-5 0000	221 29	-250 26	-28 97	-28 97	0 00	221 29
EQUITY	S	2/13/2013	256677105	DOLLAR GENERAL CORP	-24 0000	1,062 35	-1,201 24	-138 89	-138 89	0 00	1,062 35
EQUITY	S	2/13/2013	256677105	DOLLAR GENERAL CORP	-8 0000	353 99	-400 42	-46 43	-46 43	0 00	353 99
EQUITY	S	2/14/2013	256677105	DOLLAR GENERAL CORP	-20 0000	900 79	-1,001 04	-100 25	-100 25	0 00	900 79
EQUITY	S	2/14/2013	256677105	DOLLAR GENERAL CORP	-11 0000	491 41	-550 57	-59 16	-59 16	0 00	491 41
EQUITY	S	2/14/2013	256677105	DOLLAR GENERAL CORP	-42 0000	1,876 14	-2,102 17	-226 03	-226 03	0 00	1,876 14
EQUITY	S	2/14/2013	256677105	DOLLAR GENERAL CORP	-3 0000	136 16	-150 16	-14 00	-14 00	0 00	136 16
EQUITY	S	10/4/2013	25746U109	DOMINION RESOURCES INC/VA	-40 0000	2,473 15	-2,289 20	183 95	183 95	0 00	2,473 15
EQUITY	S	7/17/2013	260543103	DOW CHEMICAL CO/THE	-40 0000	1,385 35	-1,407 43	-22 08	-22 08	0 00	1,385 35
EQUITY	S	7/18/2013	260543103	DOW CHEMICAL CO/THE	-10 0000	348 01	-334 06	13 95	13 95	0 00	348 01
EQUITY	S	10/4/2013	260543103	DOW CHEMICAL CO/THE	-30 0000	1,180 47	-996 05	184 42	184 42	0 00	1,180 47

EQUITY	S	3/14/2013	265504100	DUNKIN' BRANDS GROUP INC	-472 0000	17,576 88	-18,016 24	-439 36	-439 36	0 00	17,576 88
EQUITY	S	3/11/2013	265504100	DUNKIN' BRANDS GROUP INC	-472 0000	18,016 24	-8,968 00	9,048 24	9,048 24	0 00	18,016 24
EQUITY	S	3/20/2013	278642103	EBAY INC	-1,040 0000	54,506 40	-55,255 20	-748 80	-748 80	0 00	54,506 40
EQUITY	S	3/11/2013	278642103	EBAY INC	-1,040 0000	55,255 20	-54,803 38	451 82	451 82	0 00	55,255 20
EQUITY	S	2/22/2013	29444U502	EQUINIX INC	-11 0000	2,299 60	-2,148 91	150 69	150 69	0 00	2,299 60
EQUITY	S	3/14/2013	29444U502	EQUINIX INC	-121 0000	26,177 76	-26,266 68	-88 92	-88 92	0 00	26,177 76
EQUITY	S	3/11/2013	29444U502	EQUINIX INC	-121 0000	26,266 68	-23,638 05	2,628 63	2,628 63	0 00	26,266 68
EQUITY	S	1/17/2013	518439104	ESTEE LAUDER COS INC/THE	-8 0000	514 86	-297 93	216 93	216 93	0 00	514 86
EQUITY	S	1/17/2013	518439104	ESTEE LAUDER COS INC/THE	-13 0000	836 08	-484 14	351 94	351 94	0 00	836 08
EQUITY	S	1/17/2013	518439104	ESTEE LAUDER COS INC/THE	-6 0000	386 75	-223 45	163 30	163 30	0 00	386 75
EQUITY	S	1/18/2013	518439104	ESTEE LAUDER COS INC/THE	-2 0000	127 02	-74 48	52 54	52 54	0 00	127 02
EQUITY	S	1/18/2013	518439104	ESTEE LAUDER COS INC/THE	-26 0000	1,631 79	-968 28	663 51	663 51	0 00	1,631 79
EQUITY	S	1/31/2013	518439104	ESTEE LAUDER COS INC/THE	-2 0000	122 41	-74 48	47 93	47 93	0 00	122 41
EQUITY	S	1/31/2013	518439104	ESTEE LAUDER COS INC/THE	-10 0000	609 58	-372 42	237 16	237 16	0 00	609 58
EQUITY	S	2/1/2013	518439104	ESTEE LAUDER COS INC/THE	-2 0000	123 96	-74 48	49 48	49 48	0 00	123 96
EQUITY	S	2/1/2013	518439104	ESTEE LAUDER COS INC/THE	-101 0000	6,229 00	-3,761 40	2,467 60	2,467 60	0 00	6,229 00
EQUITY	S	1/8/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-14 0000	755 28	-808 87	-53 59	-53 59	0 00	755 28
EQUITY	S	1/9/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-11 0000	604 06	-635 54	-31 48	-31 48	0 00	604 06
EQUITY	S	1/9/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-12 0000	657 70	-693 32	-35 62	-35 62	0 00	657 70
EQUITY	S	1/9/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-6 0000	328 65	-346 66	-18 01	-18 01	0 00	328 65
EQUITY	S	1/24/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-4 0000	213 43	-231 11	-17 68	-17 68	0 00	213 43
EQUITY	S	1/24/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-13 0000	699 81	-751 09	-51 28	-51 28	0 00	699 81
EQUITY	S	1/24/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-15 0000	803 28	-866 65	-63 37	-63 37	0 00	803 28
EQUITY	S	1/24/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-36 0000	1,931 07	-2,079 96	-148 89	-148 89	0 00	1,931 07
EQUITY	S	1/25/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-59 0000	3,189 62	-3,408 82	-219 20	-219 20	0 00	3,189 62
EQUITY	S	1/25/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-41 0000	2,215 12	-2,368 84	-153 72	-153 72	0 00	2,215 12
EQUITY	S	1/25/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-11 0000	589 84	-635 54	-45 70	-45 70	0 00	589 84
EQUITY	S	1/25/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-25 0000	1,337 55	-1,444 41	-106 86	-106 86	0 00	1,337 55
EQUITY	S	1/28/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-2 0000	107 57	-115 55	-7 98	-7 98	0 00	107 57
EQUITY	S	1/28/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-4 0000	215 21	-231 11	-15 90	-15 90	0 00	215 21
EQUITY	S	1/28/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-24 0000	1,291 29	-1,386 64	-95 35	-95 35	0 00	1,291 29
EQUITY	S	1/28/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-6 0000	322 43	-346 66	-24 23	-24 23	0 00	322 43
EQUITY	S	1/29/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-29 0000	1,560 84	-1,675 52	-114 68	-114 68	0 00	1,560 84
EQUITY	S	1/29/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-51 0000	2,749 21	-2,946 60	-197 39	-197 39	0 00	2,749 21
EQUITY	S	1/30/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-8 0000	434 10	-462 21	-28 11	-28 11	0 00	434 10
EQUITY	S	1/30/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-8 0000	428 83	-462 21	-33 38	-33 38	0 00	428 83
EQUITY	S	1/31/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-2 0000	107 65	-115 55	-7 90	-7 90	0 00	107 65
EQUITY	S	1/31/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-27 0000	1,445 80	-1,559 97	-114 17	-114 17	0 00	1,445 80
EQUITY	S	2/1/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-64 0000	3,423 11	-3,697 70	-274 59	-274 59	0 00	3,423 11
EQUITY	S	2/1/2013	30219G108	EXPRESS SCRIPTS HOLDING CO	-22 0000	1,178 36	-1,271 08	-92 72	-92 72	0 00	1,178 36
EQUITY	S	7/5/2013	316773100	FIFTH THIRD BANCORP	-270 0000	4,982 60	-4,430 00	552 60	552 60	0 00	4,982 60
EQUITY	S	7/8/2013	316773100	FIFTH THIRD BANCORP	-200 0000	3,709 83	-3,262 21	447 62	447 62	0 00	3,709 83
EQUITY	S	7/8/2013	316773100	FIFTH THIRD BANCORP	-525 0000	9,718 37	-8,516 74	1,201 63	1,201 63	0 00	9,718 37
EQUITY	S	8/19/2013	316773100	FIFTH THIRD BANCORP	-255 0000	4,850 95	-4,136 71	714 24	714 24	0 00	4,850 95
EQUITY	S	8/19/2013	316773100	FIFTH THIRD BANCORP	-100 0000	1,907 45	-1,622 24	285 21	285 21	0 00	1,907 45
EQUITY	S	8/19/2013	316773100	FIFTH THIRD BANCORP	-100 0000	1,906 96	-1,606 74	300 22	300 22	0 00	1,906 96
EQUITY	S	8/28/2013	316773100	FIFTH THIRD BANCORP	-450 0000	8,276 70	-7,148 79	1,127 91	1,127 91	0 00	8,276 70
EQUITY	S	7/17/2013	316773100	FIFTH THIRD BANCORP	-0 6460	12 73	-10 48	2 25	2 25	0 00	12 73
EQUITY	S	10/4/2013	302491303	FMC CORP	-10 0000	716 38	-316 58	399 80	399 80	0 00	716 38
EQUITY	S	3/14/2013	344849104	FOOT LOCKER INC	-212 0000	7,025 52	-6,951 48	74 04	74 04	0 00	7,025 52
EQUITY	S	3/11/2013	344849104	FOOT LOCKER INC	-212 0000	6,951 48	-7,115 54	-164 06	-164 06	0 00	6,951 48
EQUITY	S	2/19/2013	34964C106	FORTUNE BRANDS HOME & SECURITY	-10 0000	339 61	-124 29	215 32	215 32	0 00	339 61
EQUITY	S	2/20/2013	34964C106	FORTUNE BRANDS HOME & SECURITY	-10 0000	337 97	-124 12	213 85	213 85	0 00	337 97
EQUITY	S	7/16/2013	34964C106	FORTUNE BRANDS HOME & SECURITY	-10 0000	416 45	-123 00	293 45	293 45	0 00	416 45
EQUITY	S	7/17/2013	34964C106	FORTUNE BRANDS HOME & SECURITY	-10 0000	410 30	-123 00	287 30	287 30	0 00	410 30
EQUITY	S	7/18/2013	34964C106	FORTUNE BRANDS HOME & SECURITY	-10 0000	410 68	-122 86	287 82	287 82	0 00	410 68
EQUITY	S	7/18/2013	34964C106	FORTUNE BRANDS HOME & SECURITY	-10 0000	413 01	-122 87	290 14	290 14	0 00	413 01
EQUITY	S	10/4/2013	34964C106	FORTUNE BRANDS HOME & SECURITY	-20 0000	845 58	-245 28	600 30	600 30	0 00	845 58
EQUITY	S	1/22/2013	34964C106	FORTUNE BRANDS HOME & SECURITY	-20 0000	630 31	-253 36	376 95	376 95	0 00	630 31
EQUITY	S	1/23/2013	34964C106	FORTUNE BRANDS HOME & SECURITY	-30 0000	957 23	-378 43	578 80	578 80	0 00	957 23
EQUITY	S	2/13/2013	34964C106	FORTUNE BRANDS HOME & SECURITY	-20 0000	699 62	-250 87	448 75	448 75	0 00	699 62
EQUITY	S	2/13/2013	34964C106	FORTUNE BRANDS HOME & SECURITY	-10 0000	345 93	-124 89	221 04	221 04	0 00	345 93

EQUITY	S	12/12/2013	37045V100	GENERAL MOTORS CO	-920 0000	36,922 81	-27,087 20	9,835 61	9,835 61	0 00	36,922 81
EQUITY	S	12/12/2013	37045V100	GENERAL MOTORS CO	-0 3120	12 52	-8 36	4 16	4 16	0 00	12 52
EQUITY	S	3/20/2013	375558103	GILEAD SCIENCES INC	-1,612 0000	71,750 12	-73,233 16	-1,483 04	-1,483 04	0 00	71,750 12
EQUITY	S	3/1/2013	375558103	GILEAD SCIENCES INC	-1,612 0000	73,233 16	-55,822 58	17,410 58	17,410 58	0 00	73,233 16
EQUITY	S	3/14/2013	36191G107	GNC HOLDINGS INC	-375 0000	15,160 91	-15,161 25	-0 34	-0 34	0 00	15,160 91
EQUITY	S	3/1/2013	36191G107	GNC HOLDINGS INC	-375 0000	15,161 25	-13,939 21	1,222 04	1,222 04	0 00	15,161 25
EQUITY	S	3/20/2013	38259P508	GOOGLE INC	-59 0000	48,034 26	-49,059 68	-1,025 42	-1,025 42	0 00	48,034 26
EQUITY	S	3/1/2013	38259P508	GOOGLE INC	-59 0000	49,059 68	-42,842 68	6,217 00	6,217 00	0 00	49,059 68
EQUITY	S	12/16/2013	40537Q209	HALCON RESOURCES CORP	-147 0000	555 19	-695 40	-140 21	-140 21	0 00	555 19
EQUITY	S	9/13/2013	40537Q209	HALCON RESOURCES CORP	-0 9440	4 43	-4 49	-0 06	-0 06	0 00	4 43
EQUITY	S	12/9/2013	40537Q209	HALCON RESOURCES CORP	-0 2240	0 88	-1 07	-0 19	-0 19	0 00	0 88
EQUITY	S	4/9/2013	416515104	HARTFORD FINANCIAL SERVICES GR	-0 7200	17 94	-18 60	-0 66	-0 66	0 00	17 94
EQUITY	S	3/20/2013	437076102	HOME DEPOT INC/THE	-429 0000	29,549 52	-30,617 73	-1,068 21	-1,068 21	0 00	29,549 52
EQUITY	S	3/1/2013	437076102	HOME DEPOT INC/THE	-429 0000	30,617 73	-20,280 32	10,337 41	10,337 41	0 00	30,617 73
EQUITY	S	7/18/2013	460146103	INTERNATIONAL PAPER CO	-30 0000	1,440 89	-998 69	442 20	442 20	0 00	1,440 89
EQUITY	S	7/22/2013	460146103	INTERNATIONAL PAPER CO	-10 0000	474 56	-331 97	142 59	142 59	0 00	474 56
EQUITY	S	3/20/2013	461202103	INTUIT INC	-193 0000	12,577 81	-13,075 75	-497 94	-497 94	0 00	12,577 81
EQUITY	S	3/1/2013	461202103	INTUIT INC	-193 0000	13,075 75	-12,090 25	985 50	985 50	0 00	13,075 75
EQUITY	S	3/1/2013	46120E602	INTUITIVE SURGICAL INC	-2 0000	1,109 11	-1,009 09	100 02	100 02	0 00	1,109 11
EQUITY	S	3/1/2013	46120E602	INTUITIVE SURGICAL INC	-6 0000	3,317 20	-3,027 26	289 94	289 94	0 00	3,317 20
EQUITY	S	3/5/2013	46120E602	INTUITIVE SURGICAL INC	-7 0000	3,709 80	-3,531 81	177 99	177 99	0 00	3,709 80
EQUITY	S	3/6/2013	46120E602	INTUITIVE SURGICAL INC	-4 0000	2,121 08	-2,018 17	102 91	102 91	0 00	2,121 08
EQUITY	S	3/6/2013	46120E602	INTUITIVE SURGICAL INC	-2 0000	1,053 25	-1,009 09	44 16	44 16	0 00	1,053 25
EQUITY	S	3/20/2013	46120E602	INTUITIVE SURGICAL INC	-35 0000	17,388 35	-18,012 05	-623 70	-623 70	0 00	17,388 35
EQUITY	S	3/1/2013	46120E602	INTUITIVE SURGICAL INC	-35 0000	18,012 05	-17,659 03	353 02	353 02	0 00	18,012 05
EQUITY	S	5/1/2013	708160106	JC PENNEY CO INC	-90 0000	1,450 81	-2,511 00	-1,060 19	-1,060 19	0 00	1,450 81
EQUITY	S	5/2/2013	708160106	JC PENNEY CO INC	-40 0000	662 70	-1,116 00	-453 30	-453 30	0 00	662 70
EQUITY	S	5/2/2013	708160106	JC PENNEY CO INC	-80 0000	1,336 17	-2,148 96	-812 79	-812 79	0 00	1,336 17
EQUITY	S	5/3/2013	708160106	JC PENNEY CO INC	-120 0000	2,065 70	-3,127 45	-1,061 75	-1,061 75	0 00	2,065 70
EQUITY	S	5/3/2013	708160106	JC PENNEY CO INC	-20 0000	341 18	-496 83	-155 65	-155 65	0 00	341 18
EQUITY	S	5/6/2013	708160106	JC PENNEY CO INC	-10 0000	172 51	-248 03	-75 52	-75 52	0 00	172 51
EQUITY	S	5/6/2013	708160106	JC PENNEY CO INC	-20 0000	340 99	-494 92	-153 93	-153 93	0 00	340 99
EQUITY	S	5/7/2013	708160106	JC PENNEY CO INC	-10 0000	167 07	-235 66	-68 59	-68 59	0 00	167 07
EQUITY	S	5/7/2013	708160106	JC PENNEY CO INC	-10 0000	164 83	-234 36	-69 53	-69 53	0 00	164 83
EQUITY	S	5/7/2013	708160106	JC PENNEY CO INC	-61 0000	996 05	-1,316 59	-320 54	-320 54	0 00	996 05
EQUITY	S	10/4/2013	49456B101	KINDER MORGAN INC/DELAWARE	-30 0000	1,057 48	-1,112 34	-54 86	-54 86	0 00	1,057 48
EQUITY	S	3/20/2013	49456B101	KINDER MORGAN INC/DELAWARE	-581 0000	21,479 57	-21,711 97	-232 40	-232 40	0 00	21,479 57
EQUITY	S	3/1/2013	49456B101	KINDER MORGAN INC/DELAWARE	-581 0000	21,711 97	-20,414 10	1,297 87	1,297 87	0 00	21,711 97
EQUITY	S	10/4/2013	500467402	KONINKLIJKE AHOLD NV	-70 0000	1,225 67	-942 20	283 47	283 47	0 00	1,225 67
EQUITY	S	3/14/2013	530555101	LIBERTY GLOBAL INC	-118 0000	8,314 09	-8,465 32	-151 23	-151 23	0 00	8,314 09
EQUITY	S	3/14/2013	530555101	LIBERTY GLOBAL INC	-257 0000	18,110 38	-18,437 18	-326 80	-326 80	0 00	18,110 38
EQUITY	S	3/1/2013	530555101	LIBERTY GLOBAL INC	-375 0000	26,902 50	-23,269 22	3,633 28	3,633 28	0 00	26,902 50
EQUITY	S	1/10/2013	53578A108	LINKEDIN CORP	-6 0000	708 36	-563 95	144 41	144 41	0 00	708 36
EQUITY	S	1/10/2013	53578A108	LINKEDIN CORP	-5 0000	591 37	-469 96	121 41	121 41	0 00	591 37
EQUITY	S	1/10/2013	53578A108	LINKEDIN CORP	-2 0000	236 43	-187 98	48 45	48 45	0 00	236 43
EQUITY	S	1/10/2013	53578A108	LINKEDIN CORP	-9 0000	1,061 94	-845 93	216 01	216 01	0 00	1,061 94
EQUITY	S	1/1/2013	53578A108	LINKEDIN CORP	-15 0000	1,789 23	-1,409 88	379 35	379 35	0 00	1,789 23
EQUITY	S	1/1/2013	53578A108	LINKEDIN CORP	-24 0000	2,848 95	-2,255 82	593 13	593 13	0 00	2,848 95
EQUITY	S	3/20/2013	548661107	LOWE'S COS INC	-1,132 0000	43,480 12	-44,498 92	-1,018 80	-1,018 80	0 00	43,480 12
EQUITY	S	3/1/2013	548661107	LOWE'S COS INC	-1,132 0000	44,498 92	-41,287 23	3,211 69	3,211 69	0 00	44,498 92
EQUITY	S	1/10/2013	532716107	LTD BRANDS INC	-2 0000	87 97	-92 57	-4 60	-4 60	0 00	87 97
EQUITY	S	1/10/2013	532716107	LTD BRANDS INC	-118 0000	5,195 29	-5,461 63	-266 34	-266 34	0 00	5,195 29
EQUITY	S	1/10/2013	532716107	LTD BRANDS INC	-7 0000	308 17	-324 00	-15 83	-15 83	0 00	308 17
EQUITY	S	2/1/2013	532716107	LTD BRANDS INC	-161 0000	7,716 55	-7,451 89	264 66	264 66	0 00	7,716 55
EQUITY	S	1/2/2013	550021109	LULULEMON ATHLETICA INC	-21 0000	1,554 05	-1,319 55	234 50	234 50	0 00	1,554 05
EQUITY	S	1/3/2013	550021109	LULULEMON ATHLETICA INC	-3 0000	225 01	-188 51	36 50	36 50	0 00	225 01
EQUITY	S	1/3/2013	550021109	LULULEMON ATHLETICA INC	-14 0000	1,056 23	-879 70	176 53	176 53	0 00	1,056 23
EQUITY	S	1/4/2013	550021109	LULULEMON ATHLETICA INC	-5 0000	356 12	-314 18	41 94	41 94	0 00	356 12
EQUITY	S	2/1/2013	550021109	LULULEMON ATHLETICA INC	-76 0000	5,187 64	-4,813 29	374 35	374 35	0 00	5,187 64
EQUITY	S	2/22/2013	550021109	LULULEMON ATHLETICA INC	-27 0000	1,802 86	-1,709 98	92 88	92 88	0 00	1,802 86
EQUITY	S	2/22/2013	550021109	LULULEMON ATHLETICA INC	-21 0000	1,398 05	-1,329 99	68 06	68 06	0 00	1,398 05
EQUITY	S	3/14/2013	550021109	LULULEMON ATHLETICA INC	-164 0000	11,279 66	-11,466 88	-187 22	-187 22	0 00	11,279 66

EQUITY	S	3/11/2013	550021109	LULULEMON ATHLETICA INC	-164 0000	11,466 88	-10,386 56	1,080 32	1,080 32	0 00	11,466 88
EQUITY	S	1/28/2013	N53745100	LYONDELLBASELL INDUSTRIES NV	-56 0000	3,425 80	-2,904 02	521 78	521 78	0 00	3,425 80
EQUITY	S	2/4/2013	N53745100	LYONDELLBASELL INDUSTRIES NV	-27 0000	1,659 89	-1,400 15	259 74	259 74	0 00	1,659 89
EQUITY	S	2/5/2013	N53745100	LYONDELLBASELL INDUSTRIES NV	-2 0000	124 30	-103 72	20 58	20 58	0 00	124 30
EQUITY	S	2/5/2013	N53745100	LYONDELLBASELL INDUSTRIES NV	-21 0000	1,289 70	-1,089 01	200 69	200 69	0 00	1,289 70
EQUITY	S	2/5/2013	N53745100	LYONDELLBASELL INDUSTRIES NV	-2 0000	124 16	-103 72	20 44	20 44	0 00	124 16
EQUITY	S	2/5/2013	N53745100	LYONDELLBASELL INDUSTRIES NV	-20 0000	1,230 15	-1,037 15	193 00	193 00	0 00	1,230 15
EQUITY	S	2/5/2013	N53745100	LYONDELLBASELL INDUSTRIES NV	-3 0000	184 25	-155 57	28 68	28 68	0 00	184 25
EQUITY	S	2/6/2013	N53745100	LYONDELLBASELL INDUSTRIES NV	-8 0000	487 75	-414 86	72 89	72 89	0 00	487 75
EQUITY	S	2/22/2013	N53745100	LYONDELLBASELL INDUSTRIES NV	-28 0000	1,650 66	-1,452 01	198 65	198 65	0 00	1,650 66
EQUITY	S	3/20/2013	N53745100	LYONDELLBASELL INDUSTRIES NV	-525 0000	34,345 50	-33,390 00	955 50	955 50	0 00	34,345 50
EQUITY	S	3/11/2013	N53745100	LYONDELLBASELL INDUSTRIES NV	-525 0000	33,390 00	-27,225 19	6,164 81	6,164 81	0 00	33,390 00
EQUITY	S	1/22/2013	574599106	MASCO CORP	-10 0000	175 38	-136 10	39 28	39 28	0 00	175 38
EQUITY	S	1/23/2013	574599106	MASCO CORP	-10 0000	175 69	-136 10	39 59	39 59	0 00	175 69
EQUITY	S	1/24/2013	574599106	MASCO CORP	-10 0000	176 91	-136 10	40 81	40 81	0 00	176 91
EQUITY	S	1/24/2013	574599106	MASCO CORP	-20 0000	353 68	-272 20	81 48	81 48	0 00	353 68
EQUITY	S	7/2/2013	574599106	MASCO CORP	-10 0000	198 58	-136 10	62 48	62 48	0 00	198 58
EQUITY	S	7/11/2013	574599106	MASCO CORP	-30 0000	625 17	-408 30	216 87	216 87	0 00	625 17
EQUITY	S	7/12/2013	574599106	MASCO CORP	-50 0000	1,037 17	-680 50	356 67	356 67	0 00	1,037 17
EQUITY	S	7/15/2013	574599106	MASCO CORP	-20 0000	415 32	-272 20	143 12	143 12	0 00	415 32
EQUITY	S	7/16/2013	574599106	MASCO CORP	-10 0000	205 62	-132 66	72 96	72 96	0 00	205 62
EQUITY	S	7/16/2013	574599106	MASCO CORP	-10 0000	206 69	-130 38	76 31	76 31	0 00	206 69
EQUITY	S	7/17/2013	574599106	MASCO CORP	-20 0000	409 85	-260 70	149 15	149 15	0 00	409 85
EQUITY	S	7/18/2013	574599106	MASCO CORP	-10 0000	205 41	-130 31	75 10	75 10	0 00	205 41
EQUITY	S	7/19/2013	574599106	MASCO CORP	-40 0000	829 59	-513 31	316 28	316 28	0 00	829 59
EQUITY	S	7/19/2013	574599106	MASCO CORP	-10 0000	208 18	-117 12	91 06	91 06	0 00	208 18
EQUITY	S	10/4/2013	574599106	MASCO CORP	-224 0000	4,656 89	-2,413 95	2,242 94	2,242 94	0 00	4,656 89
EQUITY	S	3/20/2013	580135101	MCDONALD'S CORP	-300 0000	29,631 00	-29,613 00	18 00	18 00	0 00	29,631 00
EQUITY	S	3/11/2013	580135101	MCDONALD'S CORP	-300 0000	29,613 00	-23,722 33	5,890 67	5,890 67	0 00	29,613 00
EQUITY	S	9/13/2013	59156R108	METLIFE INC	-200 0000	9,756 61	-12,097 94	-2,341 33	-2,341 33	0 00	9,756 61
EQUITY	S	9/20/2013	59156R108	METLIFE INC	-100 0000	4,744 17	-5,731 80	-987 63	-987 63	0 00	4,744 17
EQUITY	S	9/20/2013	59156R108	METLIFE INC	-100 0000	4,756 32	-4,499 63	256 69	256 69	0 00	4,756 32
EQUITY	S	9/23/2013	59156R108	METLIFE INC	-150 0000	7,117 21	-6,542 94	574 27	574 27	0 00	7,117 21
EQUITY	S	9/23/2013	59156R108	METLIFE INC	-0 5400	25 61	-23 46	2 15	2 15	0 00	25 61
EQUITY	S	3/20/2013	61166W101	MONSANTO CO	-399 0000	41,144 88	-41,236 65	-91 77	-91 77	0 00	41,144 88
EQUITY	S	3/11/2013	61166W101	MONSANTO CO	-399 0000	41,236 65	-20,386 77	20,849 88	20,849 88	0 00	41,236 65
EQUITY	S	3/20/2013	620076307	MOTOROLA SOLUTIONS INC	-128 0000	7,987 20	-8,032 00	-44 80	-44 80	0 00	7,987 20
EQUITY	S	3/11/2013	620076307	MOTOROLA SOLUTIONS INC	-128 0000	8,032 00	-7,391 14	640 86	640 86	0 00	8,032 00
EQUITY	S	1/28/2013	637071101	NATIONAL OILWELL VARCO INC	-18 0000	1,322 16	-1,332 41	-10 25	-10 25	0 00	1,322 16
EQUITY	S	2/5/2013	637071101	NATIONAL OILWELL VARCO INC	-2 0000	137 20	-148 05	-10 85	-10 85	0 00	137 20
EQUITY	S	2/5/2013	637071101	NATIONAL OILWELL VARCO INC	-86 0000	5,915 40	-6,365 96	-450 56	-450 56	0 00	5,915 40
EQUITY	S	2/6/2013	637071101	NATIONAL OILWELL VARCO INC	-112 0000	7,442 85	-8,290 56	-847 71	-847 71	0 00	7,442 85
EQUITY	S	2/7/2013	637071101	NATIONAL OILWELL VARCO INC	-31 0000	2,110 33	-2,294 71	-184 38	-184 38	0 00	2,110 33
EQUITY	S	2/7/2013	637071101	NATIONAL OILWELL VARCO INC	-172 0000	11,576 72	-12,731 92	-1,155 20	-1,155 20	0 00	11,576 72
EQUITY	S	7/11/2013	64110D104	NETAPP INC	-57 0000	2,225 50	-2,138 08	87 42	87 42	0 00	2,225 50
EQUITY	S	6/6/2013	64110D104	NETAPP INC	-0 8540	32 25	-32 03	0 22	0 22	0 00	32 25
EQUITY	S	2/6/2013	N63218106	NIELSEN N V	-0 3200	10 29	-10 48	-0 19	-0 19	0 00	10 29
EQUITY	S	2/11/2013	N63218106	NIELSEN N V	-200 0000	6,455 05	-6,550 00	-94 95	-94 95	0 00	6,455 05
EQUITY	S	2/12/2013	N63218106	NIELSEN N V	-100 0000	3,344 47	-3,275 00	69 47	69 47	0 00	3,344 47
EQUITY	S	2/15/2013	N63218106	NIELSEN N V	-62 0000	2,010 53	-2,030 50	-19 97	-19 97	0 00	2,010 53
EQUITY	S	3/20/2013	654106103	NIKE INC	-532 0000	29,169 56	-29,095 08	74 48	74 48	0 00	29,169 56
EQUITY	S	3/11/2013	654106103	NIKE INC	-532 0000	29,095 08	-19,457 56	9,637 52	9,637 52	0 00	29,095 08
EQUITY	S	11/22/2013	681919106	OMNICOM GROUP INC	-151 0000	10,830 84	-9,688 43	1,142 41	1,142 41	0 00	10,830 84
EQUITY	S	6/27/2013	681919106	OMNICOM GROUP INC	-0 0120	0 77	-0 77	0 00	0 00	0 00	0 77
EQUITY	S	1/22/2013	690742101	OWENS CORNING	-20 0000	822 67	-541 40	281 27	281 27	0 00	822 67
EQUITY	S	1/23/2013	690742101	OWENS CORNING	-10 0000	411 64	-265 00	146 64	146 64	0 00	411 64
EQUITY	S	1/24/2013	690742101	OWENS CORNING	-10 0000	414 30	-246 90	167 40	167 40	0 00	414 30
EQUITY	S	1/25/2013	690742101	OWENS CORNING	-10 0000	413 99	-246 90	167 09	167 09	0 00	413 99
EQUITY	S	2/13/2013	690742101	OWENS CORNING	-10 0000	431 38	-246 90	184 48	184 48	0 00	431 38
EQUITY	S	2/14/2013	690742101	OWENS CORNING	-10 0000	432 23	-246 90	185 33	185 33	0 00	432 23
EQUITY	S	2/15/2013	690742101	OWENS CORNING	-20 0000	855 49	-493 80	361 69	361 69	0 00	855 49
EQUITY	S	7/18/2013	690768403	OWENS-ILLINOIS INC	-20 0000	579 74	-654 80	-75 06	-75 06	0 00	579 74

EQUITY	S	7/19/2013	690768403	OWENS-ILLINOIS INC	-30 0000	878 30	-982 20	-103 90	-103 90	0 00	878 30
EQUITY	S	10/4/2013	690768403	OWENS-ILLINOIS INC	-50 0000	1,493 47	-1,637 00	-143 53	-143 53	0 00	1,493 47
EQUITY	S	2/25/2013	695156109	PACKAGING CORP OF AMERICA	-10 0000	413 20	-231 73	181 47	181 47	0 00	413 20
EQUITY	S	2/26/2013	695156109	PACKAGING CORP OF AMERICA	-10 0000	415 36	-231 73	183 63	183 63	0 00	415 36
EQUITY	S	2/26/2013	695156109	PACKAGING CORP OF AMERICA	-10 0000	415 67	-231 72	183 95	183 95	0 00	415 67
EQUITY	S	6/10/2013	695156109	PACKAGING CORP OF AMERICA	-20 0000	982 88	-445 71	537 17	537 17	0 00	982 88
EQUITY	S	6/11/2013	695156109	PACKAGING CORP OF AMERICA	-20 0000	982 64	-445 71	536 93	536 93	0 00	982 64
EQUITY	S	6/13/2013	695156109	PACKAGING CORP OF AMERICA	-10 0000	485 15	-222 85	262 30	262 30	0 00	485 15
EQUITY	S	6/14/2013	695156109	PACKAGING CORP OF AMERICA	-10 0000	490 10	-222 85	267 25	267 25	0 00	490 10
EQUITY	S	6/17/2013	695156109	PACKAGING CORP OF AMERICA	-10 0000	496 55	-222 85	273 70	273 70	0 00	496 55
EQUITY	S	6/17/2013	695156109	PACKAGING CORP OF AMERICA	-10 0000	496 13	-222 85	273 28	273 28	0 00	496 13
EQUITY	S	6/18/2013	695156109	PACKAGING CORP OF AMERICA	-10 0000	495 82	-222 85	272 97	272 97	0 00	495 82
EQUITY	S	6/25/2013	695156109	PACKAGING CORP OF AMERICA	-10 0000	480 66	-222 85	257 81	257 81	0 00	480 66
EQUITY	S	6/26/2013	695156109	PACKAGING CORP OF AMERICA	-20 0000	973 51	-445 71	527 80	527 80	0 00	973 51
EQUITY	S	6/27/2013	695156109	PACKAGING CORP OF AMERICA	-10 0000	490 44	-222 85	267 59	267 59	0 00	490 44
EQUITY	S	6/28/2013	695156109	PACKAGING CORP OF AMERICA	-10 0000	491 49	-222 85	268 64	268 64	0 00	491 49
EQUITY	S	6/28/2013	695156109	PACKAGING CORP OF AMERICA	-10 0000	489 53	-222 86	266 67	266 67	0 00	489 53
EQUITY	S	7/1/2013	695156109	PACKAGING CORP OF AMERICA	-10 0000	498 97	-222 85	276 12	276 12	0 00	498 97
EQUITY	S	7/5/2013	695156109	PACKAGING CORP OF AMERICA	-29 0000	1,445 03	-646 28	798 75	798 75	0 00	1,445 03
EQUITY	S	3/20/2013	H6169Q108	PENTAIR LTD	-693 0000	37,068 57	-35,821 17	1,247 40	1,247 40	0 00	37,068 57
EQUITY	S	3/1/2013	H6169Q108	PENTAIR LTD	-693 0000	35,821 17	-33,239 99	2,581 18	2,581 18	0 00	35,821 17
EQUITY	S	1/24/2013	714290103	PERRIGO CO	-2 0000	205 98	-218 30	-12 32	-12 32	0 00	205 98
EQUITY	S	1/24/2013	714290103	PERRIGO CO	-61 0000	6,188 92	-6,658 25	-469 33	-469 33	0 00	6,188 92
EQUITY	S	5/21/2013	723787107	PIONEER NATURAL RESOURCES CO	-70 0000	9,781 22	-9,712 50	68 72	68 72	0 00	9,781 22
EQUITY	S	6/26/2013	723787107	PIONEER NATURAL RESOURCES CO	-68 0000	10,042 09	-9,435 00	607 09	607 09	0 00	10,042 09
EQUITY	S	5/16/2013	723787107	PIONEER NATURAL RESOURCES CO	-0 1400	17 19	-19 43	-2 24	-2 24	0 00	17 19
EQUITY	S	1/10/2013	740189105	PRECISION CASTPARTS CORP	-3 0000	564 29	-436 53	127 76	127 76	0 00	564 29
EQUITY	S	1/10/2013	740189105	PRECISION CASTPARTS CORP	-5 0000	941 11	-727 55	213 56	213 56	0 00	941 11
EQUITY	S	3/20/2013	740189105	PRECISION CASTPARTS CORP	-231 0000	45,093 51	-44,026 29	1,067 22	1,067 22	0 00	45,093 51
EQUITY	S	3/1/2013	740189105	PRECISION CASTPARTS CORP	-231 0000	44,026 29	-35,875 17	8,151 12	8,151 12	0 00	44,026 29
EQUITY	S	3/20/2013	741503403	THE PRICELINE GROUP INC/THE	-47 0000	32,356 68	-33,883 24	-1,526 56	-1,526 56	0 00	32,356 68
EQUITY	S	3/11/2013	741503403	THE PRICELINE GROUP INC/THE	-47 0000	33,883 24	-19,402 00	14,481 24	14,481 24	0 00	33,883 24
EQUITY	S	1/4/2013	747525103	QUALCOMM INC	-17 0000	1,082 24	-995 51	86 73	86 73	0 00	1,082 24
EQUITY	S	1/28/2013	747525103	QUALCOMM INC	-12 0000	764 48	-702 71	61 77	61 77	0 00	764 48
EQUITY	S	1/28/2013	747525103	QUALCOMM INC	-14 0000	891 91	-819 83	72 08	72 08	0 00	891 91
EQUITY	S	1/28/2013	747525103	QUALCOMM INC	-54 0000	3,444 14	-3,162 20	281 94	281 94	0 00	3,444 14
EQUITY	S	1/29/2013	747525103	QUALCOMM INC	-5 0000	317 68	-292 80	24 88	24 88	0 00	317 68
EQUITY	S	1/29/2013	747525103	QUALCOMM INC	-78 0000	4,957 65	-4,567 62	390 03	390 03	0 00	4,957 65
EQUITY	S	1/30/2013	747525103	QUALCOMM INC	-20 0000	1,269 70	-1,171 19	98 51	98 51	0 00	1,269 70
EQUITY	S	1/30/2013	747525103	QUALCOMM INC	-95 0000	6,037 79	-5,563 13	474 66	474 66	0 00	6,037 79
EQUITY	S	1/30/2013	747525103	QUALCOMM INC	-45 0000	2,863 45	-2,635 17	228 28	228 28	0 00	2,863 45
EQUITY	S	2/8/2013	747525103	QUALCOMM INC	-20 0000	1,341 21	-1,171 19	170 02	170 02	0 00	1,341 21
EQUITY	S	2/13/2013	747525103	QUALCOMM INC	-55 0000	3,594 78	-3,220 76	374 02	374 02	0 00	3,594 78
EQUITY	S	2/15/2013	747525103	QUALCOMM INC	-45 0000	2,936 05	-2,635 17	300 88	300 88	0 00	2,936 05
EQUITY	S	3/20/2013	747525103	QUALCOMM INC	-227 0000	14,920 71	-15,129 55	-208 84	-208 84	0 00	14,920 71
EQUITY	S	3/1/2013	747525103	QUALCOMM INC	-227 0000	15,129 55	-13,292 95	1,836 60	1,836 60	0 00	15,129 55
EQUITY	S	10/4/2013	755111507	RAYTHEON CO	-20 0000	1,483 37	-1,048 17	435 20	435 20	0 00	1,483 37
EQUITY	S	10/28/2013	755111507	RAYTHEON CO	-10 0000	811 08	-524 08	287 00	287 00	0 00	811 08
EQUITY	S	10/29/2013	755111507	RAYTHEON CO	-10 0000	810 99	-524 09	286 90	286 90	0 00	810 99
EQUITY	S	10/4/2013	760759100	REPUBLIC SERVICES INC	-60 0000	1,965 56	-1,800 00	165 56	165 56	0 00	1,965 56
EQUITY	S	10/4/2013	G7665A101	ROWAN COS PLC	-40 0000	1,482 37	-1,301 36	181 01	181 01	0 00	1,482 37
EQUITY	S	4/2/2013	786514208	SAFEWAY INC	-30 0000	765 80	-716 70	49 10	49 10	0 00	765 80
EQUITY	S	4/3/2013	786514208	SAFEWAY INC	-20 0000	508 35	-458 72	49 63	49 63	0 00	508 35
EQUITY	S	4/3/2013	786514208	SAFEWAY INC	-20 0000	503 28	-458 03	45 25	45 25	0 00	503 28
EQUITY	S	4/4/2013	786514208	SAFEWAY INC	-30 0000	756 66	-684 19	72 47	72 47	0 00	756 66
EQUITY	S	10/4/2013	786514208	SAFEWAY INC	-40 0000	1,268 04	-917 64	350 40	350 40	0 00	1,268 04
EQUITY	S	10/7/2013	786514208	SAFEWAY INC	-10 0000	314 81	-227 08	87 73	87 73	0 00	314 81
EQUITY	S	10/7/2013	786514208	SAFEWAY INC	-20 0000	631 08	-454 01	177 07	177 07	0 00	631 08
EQUITY	S	10/7/2013	786514208	SAFEWAY INC	-20 0000	633 21	-454 01	179 20	179 20	0 00	633 21
EQUITY	S	10/8/2013	786514208	SAFEWAY INC	-10 0000	315 91	-227 01	88 90	88 90	0 00	315 91
EQUITY	S	10/10/2013	786514208	SAFEWAY INC	-20 0000	630 00	-453 07	176 93	176 93	0 00	630 00
EQUITY	S	10/10/2013	786514208	SAFEWAY INC	-40 0000	1,264 25	-889 06	375 19	375 19	0 00	1,264 25

EQUITY	S	10/11/2013	786514208	SAFEWAY INC	-30 0000	1,007 73	-649 39	358 34	358 34	0 00	1,007 73
EQUITY	S	3/20/2013	806857108	SCHLUMBERGER LTD	-584 0000	43,093 36	-45,528 64	-2,435 28	-2,435 28	0 00	43,093 36
EQUITY	S	3/11/2013	806857108	SCHLUMBERGER LTD	-584 0000	45,528 64	-40,779 34	4,749 30	4,749 30	0 00	45,528 64
EQUITY	S	7/16/2013	81211K100	SEALED AIR CORP	-10 0000	274 68	-155 75	118 93	118 93	0 00	274 68
EQUITY	S	7/16/2013	81211K100	SEALED AIR CORP	-20 0000	549 37	-311 51	237 86	237 86	0 00	549 37
EQUITY	S	7/17/2013	81211K100	SEALED AIR CORP	-10 0000	275 08	-154 46	120 62	120 62	0 00	275 08
EQUITY	S	8/9/2013	81211K100	SEALED AIR CORP	-10 0000	301 24	-154 46	146 78	146 78	0 00	301 24
EQUITY	S	8/12/2013	81211K100	SEALED AIR CORP	-20 0000	602 32	-308 92	293 40	293 40	0 00	602 32
EQUITY	S	8/13/2013	81211K100	SEALED AIR CORP	-10 0000	302 03	-154 13	147 90	147 90	0 00	302 03
EQUITY	S	8/14/2013	81211K100	SEALED AIR CORP	-10 0000	302 91	-154 12	148 79	148 79	0 00	302 91
EQUITY	S	8/16/2013	81211K100	SEALED AIR CORP	-10 0000	291 72	-153 74	137 98	137 98	0 00	291 72
EQUITY	S	8/20/2013	81211K100	SEALED AIR CORP	-10 0000	288 43	-153 74	134 69	134 69	0 00	288 43
EQUITY	S	8/21/2013	81211K100	SEALED AIR CORP	-10 0000	289 48	-153 73	135 75	135 75	0 00	289 48
EQUITY	S	7/3/2013	832248108	SMITHFIELD FOODS INC	-0 0640	2 10	-2 10	0 00	0 00	0 00	2 10
EQUITY	S	9/30/2013	832248108	SMITHFIELD FOODS INC	-146 0000	4,964 00	-4,791 72	172 28	172 28	0 00	4,964 00
EQUITY	S	10/4/2013	784635104	SPX CORP	-10 0000	845 28	-752 54	92 74	92 74	0 00	845 28
EQUITY	S	3/20/2013	855244109	STARBUCKS CORP	-375 0000	21,536 25	-22,001 25	-465 00	-465 00	0 00	21,536 25
EQUITY	S	3/11/2013	855244109	STARBUCKS CORP	-375 0000	22,001 25	-12,730 14	9,271 11	9,271 11	0 00	22,001 25
EQUITY	S	3/20/2013	85590A401	STARWOOD HOTELS & RESORTS WOR	-751 0000	46,907 46	-46,982 56	-75 10	-75 10	0 00	46,907 46
EQUITY	S	3/11/2013	85590A401	STARWOOD HOTELS & RESORTS WOR	-751 0000	46,982 56	-42,567 80	4,414 76	4,414 76	0 00	46,982 56
EQUITY	S	7/2/2013	88338T104	THERAVANCE INC	-0 5480	21 33	-21 05	0 28	0 28	0 00	21 33
EQUITY	S	1/3/2013	872540109	TJX COS INC	-27 0000	1,202 88	-635 99	566 89	566 89	0 00	1,202 88
EQUITY	S	1/3/2013	872540109	TJX COS INC	-12 0000	534 96	-282 66	252 30	252 30	0 00	534 96
EQUITY	S	1/4/2013	872540109	TJX COS INC	-33 0000	1,471 32	-777 33	693 99	693 99	0 00	1,471 32
EQUITY	S	1/4/2013	872540109	TJX COS INC	-61 0000	2,715 38	-1,436 88	1,278 50	1,278 50	0 00	2,715 38
EQUITY	S	1/7/2013	872540109	TJX COS INC	-5 0000	222 36	-117 78	104 58	104 58	0 00	222 36
EQUITY	S	1/7/2013	872540109	TJX COS INC	-7 0000	311 00	-164 89	146 11	146 11	0 00	311 00
EQUITY	S	1/7/2013	872540109	TJX COS INC	-16 0000	709 81	-376 89	332 92	332 92	0 00	709 81
EQUITY	S	1/7/2013	872540109	TJX COS INC	-22 0000	976 20	-518 22	457 98	457 98	0 00	976 20
EQUITY	S	10/4/2013	872540109	TJX COS INC	-30 0000	1,690 77	-558 75	1,132 02	1,132 02	0 00	1,690 77
EQUITY	S	3/20/2013	872540109	TJX COS INC	-641 0000	29,094 99	-28,787 31	307 68	307 68	0 00	29,094 99
EQUITY	S	3/11/2013	872540109	TJX COS INC	-641 0000	28,787 31	-15,098 96	13,688 35	13,688 35	0 00	28,787 31
EQUITY	S	3/20/2013	907818108	UNION PACIFIC CORP	-215 0000	29,912 95	-29,820 50	92 45	92 45	0 00	29,912 95
EQUITY	S	3/11/2013	907818108	UNION PACIFIC CORP	-215 0000	29,820 50	-20,268 75	9,551 75	9,551 75	0 00	29,820 50
EQUITY	S	3/14/2013	911363109	UNITED RENTALS INC	-143 0000	7,856 24	-7,836 40	19 84	19 84	0 00	7,856 24
EQUITY	S	5/31/2013	911363109	UNITED RENTALS INC	-67 0000	3,829 83	-1,023 86	2,805 97	2,805 97	0 00	3,829 83
EQUITY	S	2/26/2013	911363109	UNITED RENTALS INC	-0 0340	1 72	-0 52	1 20	1 20	0 00	1 72
EQUITY	S	3/11/2013	911363109	UNITED RENTALS INC	-143 0000	7,836 40	-6,633 28	1,203 12	1,203 12	0 00	7,836 40
EQUITY	S	1/18/2013	902973304	US BANCORP	-78 0000	2,543 13	-1,971 48	571 65	571 65	0 00	2,543 13
EQUITY	S	1/18/2013	902973304	US BANCORP	-12 0000	391 83	-303 31	88 52	88 52	0 00	391 83
EQUITY	S	1/22/2013	902973304	US BANCORP	-14 0000	461 18	-353 86	107 32	107 32	0 00	461 18
EQUITY	S	1/22/2013	902973304	US BANCORP	-23 0000	757 04	-581 33	175 71	175 71	0 00	757 04
EQUITY	S	1/23/2013	902973304	US BANCORP	-24 0000	792 52	-606 61	185 91	185 91	0 00	792 52
EQUITY	S	1/23/2013	902973304	US BANCORP	-16 0000	526 86	-404 41	122 45	122 45	0 00	526 86
EQUITY	S	1/24/2013	902973304	US BANCORP	-174 0000	5,756 02	-4,397 93	1,358 09	1,358 09	0 00	5,756 02
EQUITY	S	1/24/2013	902973304	US BANCORP	-3 0000	99 77	-75 83	23 94	23 94	0 00	99 77
EQUITY	S	1/25/2013	902973304	US BANCORP	-9 0000	298 26	-227 48	70 78	70 78	0 00	298 26
EQUITY	S	1/28/2013	902973304	US BANCORP	-31 0000	1,026 38	-783 53	242 85	242 85	0 00	1,026 38
EQUITY	S	1/28/2013	902973304	US BANCORP	-12 0000	397 65	-303 31	94 34	94 34	0 00	397 65
EQUITY	S	1/28/2013	902973304	US BANCORP	-31 0000	1,028 55	-783 53	245 02	245 02	0 00	1,028 55
EQUITY	S	1/28/2013	902973304	US BANCORP	-5 0000	165 54	-126 38	39 16	39 16	0 00	165 54
EQUITY	S	10/4/2013	903293405	USG CORP	-30 0000	836 68	-774 00	62 68	62 68	0 00	836 68
EQUITY	S	6/11/2013	92532F100	VERTEX PHARMACEUTICALS INC	-0 3970	33 01	-20 12	12 89	12 89	0 00	33 01
EQUITY	S	6/26/2013	92532F100	VERTEX PHARMACEUTICALS INC	-0 0900	7 06	-4 56	2 50	2 50	0 00	7 06
EQUITY	S	11/12/2013	92532F100	VERTEX PHARMACEUTICALS INC	-103 0000	6,193 10	-5,165 15	1,027 95	1,027 95	0 00	6,193 10
EQUITY	S	1/18/2013	92826C839	VISA INC	-13 0000	2,045 91	-1,125 35	920 56	920 56	0 00	2,045 91
EQUITY	S	2/6/2013	92826C839	VISA INC	-2 0000	320 02	-173 13	146 89	146 89	0 00	320 02
EQUITY	S	2/6/2013	92826C839	VISA INC	-3 0000	479 95	-259 70	220 25	220 25	0 00	479 95
EQUITY	S	2/6/2013	92826C839	VISA INC	-3 0000	479 86	-259 70	220 16	220 16	0 00	479 86
EQUITY	S	3/20/2013	92826C839	VISA INC	-257 0000	40,947 81	-41,292 19	-344 38	-344 38	0 00	40,947 81
EQUITY	S	3/11/2013	92826C839	VISA INC	-257 0000	41,292 19	-22,247 38	19,044 81	19,044 81	0 00	41,292 19
EQUITY	S	1/29/2013	928563402	VMWARE INC	-29 0000	2,285 08	-2,731 42	-446 34	-446 34	0 00	2,285 08

EQUITY	S	1/29/2013	928563402	VMWARE INC	-2 0000	157 45	-188 37	-30 92	-30 92	0 00	157 45
EQUITY	S	1/29/2013	928563402	VMWARE INC	-52 0000	4,049 17	-4,897 73	-848 56	-848 56	0 00	4,049 17
EQUITY	S	1/29/2013	928563402	VMWARE INC	-35 0000	2,705 99	-3,296 55	-590 56	-590 56	0 00	2,705 99
EQUITY	S	1/29/2013	928563402	VMWARE INC	-5 0000	389 15	-470 93	-81 78	-81 78	0 00	389 15
EQUITY	S	1/30/2013	928563402	VMWARE INC	-14 0000	1,099 60	-1,318 62	-219 02	-219 02	0 00	1,099 60
EQUITY	S	1/30/2013	928563402	VMWARE INC	-78 0000	6,078 86	-7,346 59	-1,267 73	-1,267 73	0 00	6,078 86
EQUITY	S	1/30/2013	928563402	VMWARE INC	-2 0000	158 60	-188 37	-29 77	-29 77	0 00	158 60
EQUITY	S	3/20/2013	931142103	WAL-MART STORES INC	-401 0000	29,268 99	-29,285 03	-16 04	-16 04	0 00	29,268 99
EQUITY	S	3/11/2013	931142103	WAL-MART STORES INC	-401 0000	29,285 03	-28,360 99	924 04	924 04	0 00	29,285 03
EQUITY	S	1/18/2013	949746101	WELLS FARGO & CO	-79 0000	2,741 86	-2,300 38	441 48	441 48	0 00	2,741 86
EQUITY	S	1/22/2013	949746101	WELLS FARGO & CO	-104 0000	3,633 31	-3,028 35	604 96	604 96	0 00	3,633 31
EQUITY	S	3/20/2013	949746101	WELLS FARGO & CO	-1,242 0000	46,500 48	-45,333 00	1,167 48	1,167 48	0 00	46,500 48
EQUITY	S	3/11/2013	949746101	WELLS FARGO & CO	-1,242 0000	45,333 00	-36,165 49	9,167 51	9,167 51	0 00	45,333 00
EQUITY	S	4/8/2013	969457100	WILLIAMS COS INC/THE	-20 0000	741 89	-332 81	409 08	409 08	0 00	741 89
EQUITY	S	4/8/2013	969457100	WILLIAMS COS INC/THE	-10 0000	370 52	-158 59	211 93	211 93	0 00	370 52
EQUITY	S	4/8/2013	969457100	WILLIAMS COS INC/THE	-20 0000	743 22	-317 17	426 05	426 05	0 00	743 22
EQUITY	S	7/18/2013	969457100	WILLIAMS COS INC/THE	-20 0000	678 08	-317 17	360 91	360 91	0 00	678 08
EQUITY	S	7/19/2013	969457100	WILLIAMS COS INC/THE	-10 0000	343 08	-158 59	184 49	184 49	0 00	343 08
EQUITY	S	7/19/2013	969457100	WILLIAMS COS INC/THE	-10 0000	343 52	-158 59	184 93	184 93	0 00	343 52
EQUITY	S	7/22/2013	969457100	WILLIAMS COS INC/THE	-10 0000	344 15	-158 59	185 56	185 56	0 00	344 15
EQUITY	S	9/9/2013	969457100	WILLIAMS COS INC/THE	-30 0000	1,067 85	-475 76	592 09	592 09	0 00	1,067 85
EQUITY	S	9/10/2013	969457100	WILLIAMS COS INC/THE	-20 0000	722 61	-317 17	405 44	405 44	0 00	722 61
EQUITY	S	9/10/2013	969457100	WILLIAMS COS INC/THE	-40 0000	1,436 33	-634 35	801 98	801 98	0 00	1,436 33
EQUITY	S	10/4/2013	969457100	WILLIAMS COS INC/THE	-20 0000	719 98	-317 17	402 81	402 81	0 00	719 98
EQUITY	S	12/4/2013	969457100	WILLIAMS COS INC/THE	-10 0000	366 71	-158 59	208 12	208 12	0 00	366 71
EQUITY	S	12/4/2013	969457100	WILLIAMS COS INC/THE	-20 0000	733 97	-317 17	416 80	416 80	0 00	733 97
EQUITY	S	12/4/2013	969457100	WILLIAMS COS INC/THE	-20 0000	736 02	-317 17	418 85	418 85	0 00	736 02
EQUITY	S	12/5/2013	969457100	WILLIAMS COS INC/THE	-70 0000	2,538 49	-1,110 11	1,428 38	1,428 38	0 00	2,538 49
EQUITY	S	12/6/2013	969457100	WILLIAMS COS INC/THE	-20 0000	722 98	-317 17	405 81	405 81	0 00	722 98
EQUITY	S	12/9/2013	969457100	WILLIAMS COS INC/THE	-10 0000	358 58	-158 59	199 99	199 99	0 00	358 58
EQUITY	S	12/10/2013	969457100	WILLIAMS COS INC/THE	-10 0000	354 81	-158 59	196 22	196 22	0 00	354 81
EQUITY	S	12/11/2013	969457100	WILLIAMS COS INC/THE	-10 0000	344 39	-158 58	185 81	185 81	0 00	344 39
EQUITY	S	3/20/2013	384802104	WW GRAINGER INC	-160 0000	36,201 60	-36,960 00	-758 40	-758 40	0 00	36,201 60
EQUITY	S	3/11/2013	384802104	WW GRAINGER INC	-160 0000	36,960 00	-32,066 43	4,893 57	4,893 57	0 00	36,960 00
EQUITY	S	3/20/2013	983134107	WYNN RESORTS LTD	-292 0000	35,717 44	-34,266 20	1,451 24	1,451 24	0 00	35,717 44
EQUITY	S	3/11/2013	983134107	WYNN RESORTS LTD	-292 0000	34,266 20	-27,965 07	6,301 13	6,301 13	0 00	34,266 20
EQUITY	S	10/4/2013	98419M100	XYLEM INC/NY	-50 0000	1,399 97	-1,617 74	-217 77	-217 77	0 00	1,399 97
EQUITY	S	1/30/2013	988498101	YUM! BRANDS INC	-2 0000	128 37	-105 58	22 79	22 79	0 00	128 37
EQUITY	S	1/30/2013	988498101	YUM! BRANDS INC	-2 0000	128 19	-105 58	22 61	22 61	0 00	128 19
EQUITY	S	1/30/2013	988498101	YUM! BRANDS INC	-34 0000	2,154 33	-1,794 90	359 43	359 43	0 00	2,154 33
EQUITY	S	2/4/2013	988498101	YUM! BRANDS INC	-3 0000	194 44	-158 37	36 07	36 07	0 00	194 44
EQUITY	S	2/4/2013	988498101	YUM! BRANDS INC	-28 0000	1,818 91	-1,478 16	340 75	340 75	0 00	1,818 91
EQUITY	S	2/25/2013	988498101	YUM! BRANDS INC	-11 0000	714 99	-612 44	102 55	102 55	0 00	714 99
EQUITY	S	2/26/2013	988498101	YUM! BRANDS INC	-4 0000	260 77	-222 70	38 07	38 07	0 00	260 77
EQUITY	S	2/26/2013	988498101	YUM! BRANDS INC	-33 0000	2,136 80	-1,837 31	299 49	299 49	0 00	2,136 80
EQUITY	S	2/26/2013	988498101	YUM! BRANDS INC	-8 0000	519 67	-445 41	74 26	74 26	0 00	519 67
EQUITY	S	3/20/2013	988498101	YUM! BRANDS INC	-112 0000	7,778 40	-7,584 64	193 76	193 76	0 00	7,778 40
EQUITY	S	1/2/2013	253393102	DICK'S SPORTING GOODS INC	-124 0000	5,617 06	-6,404 48	-787 42	-787 42	0 00	5,617 06
EQUITY	S	3/11/2013	988498101	YUM! BRANDS INC	-112 0000	7,584 64	-6,235 72	1,348 92	1,348 92	0 00	7,584 64
						12,784,303 43	-11,671,713 46	1,112,589 97	1,112,589 97	0 00	12,784,303 43
OTHER	S	6/7/2013	56063N600	MAINSTAY CONVERTIBLE FUND	-12,492 1920	200,000 00	-211,401 94	-11,401 94	-11,401 94	0 00	200,000 00
OTHER	S	10/9/2013	56063N600	MAINSTAY CONVERTIBLE FUND	-8,875 7400	150,000 00	-134,234 64	15,765 36	15,765 36	0 00	150,000 00
						350,000 00	-345,636 58	4,363 42	4,363 42	0 00	350,000 00
						26,769,784 25	-24,864,744 89	1,905,039 36	1,905,039 36	0 00	26,769,784 25
						22,044,155 96	-20,139,116 60	1,905,039 36	1,905,039 36	0 00	22,044,155 96

Asset Category Name	Transaction Code	Effective Date	Security ID	Security Description 1	Shares/Par	Trade Date	Base Amount	Investment Base Amount	Investment Base Gain/Loss	Investment I Gain/Loss	Investment C Gain/Loss	Settle Base Amount
CORPORATE ACTION DIVIDEND	SW	3/15/2013	741503AP1	PRICELINE COM INC 144A	-100,000 0000		0 00	-108,990 11	-108,990 11	-108,990 11	0 00	0 00
	SW	5/1/2013	88632QAA1	TIBCO SOFTWARE INC 144A	-60,000 0000		0 00	-59,566 91	-59,566 91	-59,566 91	0 00	0 00
	SW	11/7/2013	94973VBD8	WELLPOINT INC 144A	-45,000 0000		0 00	-46,074 10	-46,074 10	-46,074 10	0 00	0 00
	SW	6/12/2013	682134AA9	OMNICOM GROUP INC	-40,000 0000		0 00	-45,050 00	-45,050 00	-45,050 00	0 00	0 00
	SW	3/14/2013	04010LAF0	ARES CAPITAL CORP 144A	-35,000 0000		0 00	-34,637 39	-34,637 39	-34,637 39	0 00	0 00
	SW	5/20/2013	10112RAG9	BOSTON PROPERTIES LP	-30,000 0000		0 00	-33,853 34	-33,853 34	-33,853 34	0 00	0 00
	SW	5/16/2013	723787AH0	PIONEER NATURAL RESOURCES CO	-15,000 0000		0 00	-25,662 94	-25,662 94	-25,662 94	0 00	0 00
	SW	1/10/2013	220480AA5	CORSICANTO LTD 144A	-15,000 0000		0 00	-25,082 54	-25,082 54	-25,082 54	0 00	0 00
	SW	4/1/2013	416515708	HARTFORD FINANCIAL SERVICES GR	-900 0000		0 00	-22,500 00	-22,500 00	-22,500 00	0 00	0 00
	SW	6/3/2013	64110DAB0	NETAPP INC	-15,000 0000		0 00	-16,339 33	-16,339 33	-16,339 33	0 00	0 00
	SW	6/27/2013	832248AR9	SMITHFIELD FOODS INC	-15,000 0000		0 00	-15,000 00	-15,000 00	-15,000 00	0 00	0 00
	SW	7/2/2013	88338TAA2	THERAVANCE INC	-10,000 0000		0 00	-11,150 00	-11,150 00	-11,150 00	0 00	0 00
	SW	2/1/2013	65410B201	NIELSEN HOLDINGS BV	-200 0000		0 00	-10,876 84	-10,876 84	-10,876 84	0 00	0 00
	SW	3/27/2013	242309AA0	DEALERTRACK HOLDINGS INC 144A	-10,000 0000		0 00	-10,593 75	-10,593 75	-10,593 75	0 00	0 00
	SW	8/23/2013	681904AN8	OMNICARE INC	-10,000 0000		0 00	-10,000 00	-10,000 00	-10,000 00	0 00	0 00
	SW	7/24/2013	00912XAA2	AIR LEASE CORP 144A	-5,000 0000		0 00	-6,118 65	-6,118 65	-6,118 65	0 00	0 00
	ST	9/4/2013	40537Q209	HALCON RESOURCES CORP	61 9440		0 00	294 85	0 00	0 00	0 00	0 00
	ST	11/13/2013	40537Q209	HALCON RESOURCES CORP	86 2240		0 00	406 11	0 00	0 00	0 00	0 00
	SD	5/20/2013	101121101	BOSTON PROPERTIES INC	4 6760		0 00	525 30	525 30	525 30	0 00	0 00
	SD	5/20/2013	101121101	BOSTON PROPERTIES INC	4 6760		0 00	525 30	525 30	525 30	0 00	0 00
	SD	6/3/2013	64110D104	NETAPP INC	19 2840		0 00	723 37	723 37	723 37	0 00	0 00
	SD	6/3/2013	64110D104	NETAPP INC	19 2850		0 00	723 37	723 37	723 37	0 00	0 00
	SD	6/3/2013	64110D104	NETAPP INC	19 2850		0 00	723 37	723 37	723 37	0 00	0 00
	SD	5/20/2013	101121101	BOSTON PROPERTIES INC	9 3520		0 00	1,050 60	1,050 60	1,050 60	0 00	0 00
	SD	5/20/2013	101121101	BOSTON PROPERTIES INC	9 3520		0 00	1,050 60	1,050 60	1,050 60	0 00	0 00
	SD	2/1/2013	N63218106	NIELSEN N V	45 2900		0 00	1,483 25	1,483 25	1,483 25	0 00	0 00
	SD	6/27/2013	832248108	SMITHFIELD FOODS INC	48 6880		0 00	1,597 94	1,597 94	1,597 94	0 00	0 00
	SD	6/27/2013	832248108	SMITHFIELD FOODS INC	48 6880		0 00	1,597 94	1,597 94	1,597 94	0 00	0 00
	SD	6/27/2013	832248108	SMITHFIELD FOODS INC	48 6880		0 00	1,597 94	1,597 94	1,597 94	0 00	0 00
	SD	2/1/2013	N63218106	NIELSEN N V	135 8700		0 00	4,449 74	4,449 74	4,449 74	0 00	0 00
	SD	3/14/2013	04010LAG8	ARES CAPITAL CORP	5,000 0000		0 00	4,820 85	4,820 85	4,820 85	0 00	0 00
	SD	3/14/2013	04010LAG8	ARES CAPITAL CORP	5,000 0000		0 00	4,869 24	4,869 24	4,869 24	0 00	0 00
	SD	5/1/2013	88632QAB9	TIBCO SOFTWARE INC	5,000 0000		0 00	5,000 00	5,000 00	5,000 00	0 00	0 00
	SD	3/15/2013	741503AQ9	PRICELINE GROUP INC	5,000 0000		0 00	5,068 03	5,068 03	5,068 03	0 00	0 00
	SD	5/1/2013	88632QAB9	TIBCO SOFTWARE INC	5,000 0000		0 00	5,109 59	5,109 59	5,109 59	0 00	0 00
	SD	3/27/2013	242309AB8	DEALERTRACK TECHNOLOGIES INC	5,000 0000		0 00	5,250 00	5,250 00	5,250 00	0 00	0 00
	SD	3/27/2013	242309AB8	DEALERTRACK TECHNOLOGIES INC	5,000 0000		0 00	5,343 75	5,343 75	5,343 75	0 00	0 00
	SD	2/1/2013	N63218106	NIELSEN N V	181 1600		0 00	5,932 99	5,932 99	5,932 99	0 00	0 00
	SD	7/24/2013	00912XAK0	AIR LEASE CORP	5,000 0000		0 00	6,118 65	6,118 65	6,118 65	0 00	0 00
	SD	7/2/2013	88338T104	THERAVANCE INC	193 2740		0 00	7,423 65	7,423 65	7,423 65	0 00	0 00
	SD	7/2/2013	88338T104	THERAVANCE INC	193 2740		0 00	7,423 65	7,423 65	7,423 65	0 00	0 00
	SD	1/10/2013	220480AC1	CORSICANTO LTD	5,000 0000		0 00	7,498 23	7,498 23	7,498 23	0 00	0 00
	SD	1/10/2013	220480AC1	CORSICANTO LTD	5,000 0000		0 00	7,990 98	7,990 98	7,990 98	0 00	0 00
	SD	5/1/2013	88632QAB9	TIBCO SOFTWARE INC	10,000 0000		0 00	9,515 99	9,515 99	9,515 99	0 00	0 00
	SD	1/10/2013	220480AC1	CORSICANTO LTD	5,000 0000		0 00	9,593 33	9,593 33	9,593 33	0 00	0 00
	SD	6/12/2013	681919106	OMNICOM GROUP INC	151 0120		0 00	9,689 20	9,689 20	9,689 20	0 00	0 00
	SD	3/14/2013	04010LAG8	ARES CAPITAL CORP	10,000 0000		0 00	9,719 45	9,719 45	9,719 45	0 00	0 00
	SD	11/7/2013	94973VBG1	WELLPOINT INC	10,000 0000		0 00	10,447 55	10,447 55	10,447 55	0 00	0 00
	SD	3/14/2013	04010LAG8	ARES CAPITAL CORP	15,000 0000		0 00	15,227 85	15,227 85	15,227 85	0 00	0 00
	SD	5/1/2013	88632QAB9	TIBCO SOFTWARE INC	15,000 0000		0 00	15,375 40	15,375 40	15,375 40	0 00	0 00
	SD	11/7/2013	94973VBG1	WELLPOINT INC	15,000 0000		0 00	15,626 55	15,626 55	15,626 55	0 00	0 00
	SD	5/16/2013	723787107	PIONEER NATURAL RESOURCES CO	138 1400		0 00	19,166 93	19,166 93	19,166 93	0 00	0 00
	SD	3/15/2013	741503AQ9	PRICELINE GROUP INC	20,000 0000		0 00	19,915 33	19,915 33	19,915 33	0 00	0 00
	SD	11/7/2013	94973VBG1	WELLPOINT INC	20,000 0000		0 00	20,000 00	20,000 00	20,000 00	0 00	0 00
	SD	8/23/2013	681904AQ1	OMNICARE INC	23,000 0000		0 00	20,930 00	20,930 00	20,930 00	0 00	0 00
	SD	4/1/2013	416515104	HARTFORD FINANCIAL SERVICES GR	828 7200		0 00	21,414 12	21,414 12	21,414 12	0 00	0 00
	SD	5/1/2013	88632QAB9	TIBCO SOFTWARE INC	25,000 0000		0 00	24,565 93	24,565 93	24,565 93	0 00	0 00
	SD	3/15/2013	741503AQ9	PRICELINE GROUP INC	75,000 0000		0 00	84,006 75	84,006 75	84,006 75	0 00	0 00
							0 00	-81,702 23	-82,403 19	-82,403 19	0 00	0 00

CD	12/16/2013	233203819	DFA US SMALL CAP VALUE PORTFOL	0 0000	118 14	0 00	118 14	118 14	0 00	118 14
CD	6/12/2013	682134AA9	OMNICOM GROUP INC	0 0000	40,000 00	0 00	40,000 00	40,000 00	0 00	40,000 00
CD	10/29/2013	170388102	CHOICEPOINT INC COM	0 0000	4 62	0 00	4 62	4 62	0 00	4 62
CD	9/23/2013	125581108	CIT GROUP INC	0 0000	1,042 02	0 00	1,042 02	1,042 02	0 00	1,042 02
CD	6/17/2013	10112RAG9	BOSTON PROPERTIES LP	0 0000	30,000 00	0 00	30,000 00	30,000 00	0 00	30,000 00
CD	6/3/2013	64110DAB0	NETAPP INC	0 0000	15,000 00	0 00	15,000 00	15,000 00	0 00	15,000 00
CD	5/16/2013	723787AH0	PIONEER NATURAL RESOURCES CO	0 0000	15,000 00	0 00	15,000 00	15,000 00	0 00	15,000 00
CD	7/1/2013	832248AR9	SMITHFIELD FOODS INC	0 0000	15,000 00	0 00	15,000 00	15,000 00	0 00	15,000 00
CD	1/9/2013	NAB02V076	COUNTRYWIDE HOME LOANS INC	0 0000	2,627 38	0 00	2,627 38	2,627 38	0 00	2,627 38
CD	1/15/2013	247025109	DELL COMPUTER CORP COM	0 0000	6 52	0 00	6 52	6 52	0 00	6 52
CD	1/15/2013	247025109	DELL COMPUTER CORP COM	0 0000	3 06	0 00	3 06	3 06	0 00	3 06
CD	10/16/2013	256669102	DOLLAR GEN CORP COM	0 0000	90 01	0 00	90 01	90 01	0 00	90 01
CD	10/15/2013	285661104	ELECTRONIC DATA SYS CORP NEW	0 0000	22 54	0 00	22 54	22 54	0 00	22 54
CD	9/12/2013	459902102	INTERNATIONAL GAME TECHNOLOGY	0 0000	57 02	0 00	57 02	57 02	0 00	57 02
CD	1/10/2013	NA99V1G93	IPO CLASS ACTION CUSIP	0 0000	135 95	0 00	135 95	135 95	0 00	135 95
CD	1/10/2013	NA99V1G93	IPO CLASS ACTION CUSIP	0 0000	145 95	0 00	145 95	145 95	0 00	145 95
CD	1/11/2013	NA99V1G93	IPO CLASS ACTION CUSIP	0 0000	568 05	0 00	568 05	568 05	0 00	568 05
CD	12/6/2013	524908100	LEHMAN BROTHERS HOLDINGS INC	0 0000	369 43	0 00	369 43	369 43	0 00	369 43
CD	12/16/2013	233203819	DFA US SMALL CAP VALUE PORTFOL	0 0000	20,884 82	0 00	20,884 82	20,884 82	0 00	20,884 82
CD	12/13/2013	693390700	PIMCO TOTAL RETURN FUND	0 0000	54,834 18	0 00	54,834 18	54,834 18	0 00	54,834 18
CD	12/17/2013	779556109	T ROWE PRICE MID-CAP GROWTH FU	0 0000	25,887 50	0 00	25,887 50	25,887 50	0 00	25,887 50
CD	12/17/2013	55262C100	MBIA INC	0 0000	1,331 83	0 00	1,331 83	1,331 83	0 00	1,331 83
CD	11/1/2013	58155Q103	MCKESSON CORP	0 0000	32 25	0 00	32 25	32 25	0 00	32 25
CD	11/1/2013	58155Q103	MCKESSON CORP	0 0000	90 29	0 00	90 29	90 29	0 00	90 29
CD	3/14/2013	G60642108	MF GLOBAL LTD SHS	0 0000	552 32	0 00	552 32	552 32	0 00	552 32
CD	12/26/2013	620076307	MOTOROLA SOLUTIONS INC	0 0000	155 53	0 00	155 53	155 53	0 00	155 53
CD	12/26/2013	620076307	MOTOROLA SOLUTIONS INC	0 0000	309 56	0 00	309 56	309 56	0 00	309 56
CD	8/29/2013	635405103	NATIONAL CITY CORP COM	0 0000	2,979 45	0 00	2,979 45	2,979 45	0 00	2,979 45
CD	12/27/2013	71713U102	PHARMACIA CORP COM	0 0000	147 75	0 00	147 75	147 75	0 00	147 75
CD	12/27/2013	75281Y206	RANGER SMALL CAP FUND	0 0000	3,286 05	0 00	3,286 05	3,286 05	0 00	3,286 05
CD	12/6/2013	56063N600	MAINSTAY CONVERTIBLE FUND	0 0000	46,471 38	0 00	46,471 38	46,471 38	0 00	46,471 38
CD	12/13/2013	693390700	PIMCO TOTAL RETURN FUND	0 0000	10,480 31	0 00	10,480 31	10,480 31	0 00	10,480 31
CD	12/17/2013	779556109	T ROWE PRICE MID-CAP GROWTH FU	0 0000	799 38	0 00	799 38	799 38	0 00	799 38
CD	7/17/2013	902120104	TYCO INTL LTD COM	0 0000	65 37	0 00	65 37	65 37	0 00	65 37
CD	8/28/2013	939322996	WASHINGTON MUTUAL INC COM	0 0000	444 09	0 00	444 09	444 09	0 00	444 09
CD	11/8/2013	939322103	WASHINGTON MUTUAL INC	0 0000	97 70	0 00	97 70	97 70	0 00	97 70
CAL	3/8/2013	530715AR2	LIBERTY MEDIA LLC	-10,000 0000	10,000 00	-8,725 31	1,274 69	1,274 69	0 00	10,000 00
					299,040 45	-8,725 31	290,315 14	290,315 14	0 00	299,040 45
					299,040 45	-90,427 54	207,911 95	207,911 95	0 00	299,040 45
Total Realized Gains					<u>20,593,196 41</u>		<u>1,865,953 21</u>			