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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2013

Department of the Treasury  
Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)

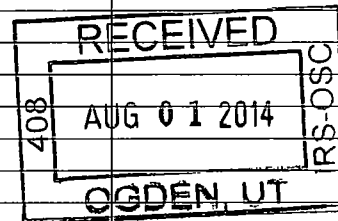
Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation <b>The Corey Foundation</b>		A Employer identification number <b>11-3453820</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>2 Waterview Court</b>	Room/suite	B Telephone number <b>(631) 369-4696</b>
City or town, state or province, country, and ZIP or foreign postal code <b>Riverhead, NY 11901</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 28,144,797.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	658,000.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	633,132.	633,132.		Statement 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,182,122.			
	b Gross sales price for all assets on line 6a	3,148,396.			
	7 Capital gain net income (from Part IV line 2)		1,182,122.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	2,473,254.	1,815,254.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees Stmt 2	2,400.	2,400.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes Stmt 3	29,392.	1,672.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses Stmt 4	28,256.	27,427.		829.
	24 Total operating and administrative expenses. Add lines 13 through 23	60,048.	31,499.		829.
	25 Contributions, gifts, grants paid	1,028,400.			1,028,400.
26 Total expenses and disbursements. Add lines 24 and 25	1,088,448.	31,499.		1,029,229.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	1,384,806.				
b Net investment income (if negative, enter -0-)		1,783,755.			
c Adjusted net income (if negative, enter -0-)			N/A		



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**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	3,528,310.	375,829.	375,829.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges		2,045.	2,045.
	10a Investments - U.S. and state government obligations Stmt 6	349,805.	234,044.	256,748.
	b Investments - corporate stock Stmt 7	2,262,201.	5,844,549.	7,503,170.
	c Investments - corporate bonds Stmt 8	340,444.	349,805.	501,166.
	11 Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other Stmt 9	4,818,444.	4,310,359.	6,558,474.
	14 Land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶			
15 Other assets (describe ▶ Statement 10)	7,550,000.	11,990,000.	12,947,365.	
16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item 1)	18,849,204.	23,106,631.	28,144,797.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ )			
23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.		
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31</b>			
	24 Unrestricted	18,849,204.	23,106,631.	
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 <b>Total net assets or fund balances</b>	18,849,204.	23,106,631.	
31 <b>Total liabilities and net assets/fund balances</b>	18,849,204.	23,106,631.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	18,849,204.
2 Enter amount from Part I, line 27a	2	1,384,806.
3 Other increases not included in line 2 (itemize) ▶ See Statement 5	3	2,872,621.
4 Add lines 1, 2, and 3	4	23,106,631.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	23,106,631.

Form 990-PF (2013)



**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a Nuveen Preferred Income Opportunitites</b>	P	06/15/12	05/07/13
<b>b Nuveen Pfd &amp; Income Term Fund</b>	P	07/23/13	07/16/13
<b>c Barrick Gold Corporation</b>	P	10/20/11	04/17/13
<b>d Nuveen Credit Strategies Income Fund</b>	P	Various	Various
<b>e Capital Gains Dividends</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 98,907.</b>		<b>83,862.</b>	<b>15,045.</b>
<b>b 221,191.</b>		<b>220,615.</b>	<b>576.</b>
<b>c 36,799.</b>		<b>87,880.</b>	<b>&lt;51,081.&gt;</b>
<b>d 2,788,388.</b>		<b>1,573,917.</b>	<b>1,214,471.</b>
<b>e 3,111.</b>			<b>3,111.</b>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			<b>15,045.</b>
<b>b</b>			<b>576.</b>
<b>c</b>			<b>&lt;51,081.&gt;</b>
<b>d</b>			<b>1,214,471.</b>
<b>e</b>			<b>3,111.</b>

<b>2 Capital gain net income or (net capital loss)</b>	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	<b>1,182,122.</b>
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b> If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		<b>3</b>	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,162,700.	21,548,817.	.053957
2011	1,206,369.	21,080,782.	.057226
2010	1,062,740.	20,065,353.	.052964
2009	1,102,595.	17,539,756.	.062863
2008	1,450,285.	17,534,020.	.082713

<b>2 Total of line 1, column (d)</b>	<b>2</b>	<b>.309723</b>
<b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>	<b>3</b>	<b>.061945</b>
<b>4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5</b>	<b>4</b>	<b>26,276,237.</b>
<b>5 Multiply line 4 by line 3</b>	<b>5</b>	<b>1,627,682.</b>
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>	<b>6</b>	<b>17,838.</b>
<b>7 Add lines 5 and 6</b>	<b>7</b>	<b>1,645,520.</b>
<b>8 Enter qualifying distributions from Part XII, line 4</b> If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	<b>8</b>	<b>1,029,229.</b>



**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	35,675.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	35,675.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 <b>Tax based on investment income</b> Subtract line 4 from line 3. If zero or less, enter -0-		5	35,675.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 27,720.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 10,000.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	37,720.
8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>		9	
10 <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>		10	2,045.
11 Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	2,045.	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XIV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2013)



**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► Michael Corey Telephone no ► 212-977-5274  
 Located at ► 2 Waterview Court, Riverhead, NY ZIP+4 ► 11901

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐  
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Yes No  
16 X  
 See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <span style="float: right;">N/A</span> Organizations relying on a current notice regarding disaster assistance check here <span style="float: right;">► <input type="checkbox"/></span>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? <span style="float: right;">N/A</span>	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> If "Yes," list the years: <u>                    </u> , <u>                    </u> , <u>                    </u>		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement - see instructions.) <span style="float: right;">N/A</span>	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► <u>                    </u> , <u>                    </u> , <u>                    </u> , <u>                    </u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) <span style="float: right;">N/A</span>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Form 990-PF (2013)



**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Michael Corey	Trustee			
2 Waterview Court				
Riverhead, NY 11901	6.00	0.	0.	0.
Emilie Corey	Trustee			
2 Waterview Court				
Riverhead, NY 11901	3.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2013)



**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3

0.

Form 990-PF (2013)



**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	23,626,711.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	3,049,672.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	26,676,383.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	26,676,383.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	400,146.
<b>5</b>	<b>Net value of noncharitable-use assets</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	26,276,237.
<b>6</b>	<b>Minimum investment return</b> Enter 5% of line 5	<b>6</b>	1,313,812.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	1,313,812.
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	35,675.
<b>b</b>	Income tax for 2013. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	35,675.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	1,278,137.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	1,278,137.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	1,278,137.

**Part XII****Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	1,029,229.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	1,029,229.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions</b> Subtract line 5 from line 4	<b>6</b>	1,029,229.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2013)



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				1,278,137.
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only			0.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2013:				
<b>a</b> From 2008				
<b>b</b> From 2009				
<b>c</b> From 2010				
<b>d</b> From 2011				
<b>e</b> From 2012				1,115,386.
<b>f</b> Total of lines 3a through e	1,115,386.			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4: ► \$ 1,029,229.				
<b>a</b> Applied to 2012, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2013 distributable amount				1,029,229.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	248,908.			248,908.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	866,478.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	866,478.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2009				
<b>b</b> Excess from 2010				
<b>c</b> Excess from 2011				
<b>d</b> Excess from 2012				866,478.
<b>e</b> Excess from 2013				



**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
American Museum of Natural History 79 Central Park West New York, NY	None	509(a)	Education	1,000.
BRC 30 Delancey Street New York, NY	None	509(a)	Homelessness	10,000.
City Wide Youth Opera PO Box 3647, Grand Central Station New York, NY	None	509(a)	General	1,000.
Coalition for a Livable Westside PO Box 230078 New York, NY	None	509(a)	Civic	1,000.
Council of Senior Centers and Services 49 West 45th Street New York, NY	None	509(a)	Geriatric care	25,000.
Total			See continuation sheet(s) ▶ 3a	1,028,400.
b Approved for future payment				
None				
Total			▶ 3b	0.











**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2013**

Name of the organization

Employer identification number

The Corey Foundation

11-3453820

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)



Name of organization	Employer identification number
<b>The Corey Foundation</b>	<b>11-3453820</b>

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Michael R. & Emilie R. Corey 2 Waterview Court Riverhead, NY 11901	\$ 658,000.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)



Employer identification number

11-3453820

[illegible]



Name of organization	Employer identification number
<b>The Corey Foundation</b>	<b>11-3453820</b>

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee



Form 990-PF	Dividends and Interest from Securities				Statement	1
Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income	
Merrill Lynch #04A43	371,822.	0.	371,822.	371,822.		
Merrill Lynch #17872	264,336.	3,111.	261,225.	261,225.		
Merrill Lynch #17872	85.	0.	85.	85.		
To Part I, line 4	636,243.	3,111.	633,132.	633,132.		

Form 990-PF	Accounting Fees				Statement	2
Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes		
HHG Foundation Services	2,400.	2,400.			0.	
To Form 990-PF, Pg 1, ln 16b	2,400.	2,400.			0.	

Form 990-PF	Taxes				Statement	3
Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes		
Foreign tax	1,672.	1,672.			0.	
2013 estimated tax payment	27,720.	0.			0.	
To Form 990-PF, Pg 1, ln 18	29,392.	1,672.			0.	



Form 990-PF	Other Expenses			Statement	4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
NYS registration fes	750.	0.		750.	
Research expenses	27,127.	27,127.		0.	
Portfolio fees	300.	300.		0.	
Office Expense	79.	0.		79.	
To Form 990-PF, Pg 1, ln 23	28,256.	27,427.		829.	

Form 990-PF	Other Increases in Net Assets or Fund Balances			Statement	5
Description	Amount				
Unrealized gain/loss on investments	2,872,621.				
Total to Form 990-PF, Part III, line 3	2,872,621.				

Form 990-PF	U.S. and State/City Government Obligations			Statement	6
Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value	
Govt Securities (See Attached)	X		234,044.	256,748.	
Total U.S. Government Obligations			234,044.	256,748.	
Total State and Municipal Government Obligations					
Total to Form 990-PF, Part II, line 10a			234,044.	256,748.	



Form 990-PF	Corporate Stock	Statement	7
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Description	Book Value	Fair Market Value
Equities (See Attached)	5,844,549.	7,503,170.
Total to Form 990-PF, Part II, line 10b	5,844,549.	7,503,170.

Form 990-PF	Corporate Bonds	Statement	8
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Description	Book Value	Fair Market Value
Corporate Bonds (See Attached)	349,805.	501,166.
Total to Form 990-PF, Part II, line 10c	349,805.	501,166.

Form 990-PF	Other Investments	Statement	9
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Description	Valuation Method	Book Value	Fair Market Value
Other (See Attached)	FMV	2,675,290.	3,174,690.
Mutual Funds (See Attached)	FMV	1,635,069.	3,383,784.
Total to Form 990-PF, Part II, line 13		4,310,359.	6,558,474.

Form 990-PF	Other Assets	Statement	10
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Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value
Atrivida	3,250,000.	4,000,000.	4,785,501.
Blue Horizon	1,000,000.	2,000,000.	1,721,864.
Atrivida B (Vert/RMBS)	3,000,000.	5,100,000.	5,550,000.
Atrivida Multi Strategy	300,000.	0.	0.
Atrivida NPL	0.	890,000.	890,000.
To Form 990-PF, Part II, line 15	7,550,000.	11,990,000.	12,947,365.



Form 990-PF

Part XV - Line 1a

Statement 11

List of Foundation Managers

Name of Manager

Michael Corey

Emilie Corey



MICHAEL R COREY TTEE

Account Number: 43X-17872

**24-Hour Assistance: (877) 653-4732**  
Access Code: 29-439-17872

November 30, 2013 - December 31, 2013

## YOUR CMA FOR TRUST ASSETS

## CASH/MONEY ACCOUNTS

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est Annual Yield%
CASH	18,843.83	18,843.83		18,843.83		
+ML DIRECT DEPOSIT PROGRAM	46,460.00	46,460.00	1 0000	46,460.00	5	.01
+FDIC INSURED NOT SIPC COVERED						
<b>TOTAL</b>		65,303.83		65,303.83	5	01

## EQUITIES

Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Annual Income	Yield%
CHEVRON CORP	CVX	06/23/11	1,000	97.5400	97,540.00	124.9100	124,910.00	27,370.00		4,000	3.20
		07/27/11	2,000	105.8100	211,620.00	124.9100	249,820.00	38,200.00		8,000	3.20
		04/29/13	3,000	121.0016	363,004.95	124.9100	374,730.00	11,725.05		12,000	3.20
		05/13/13	3,000	122.2500	366,750.00	124.9100	374,730.00	7,980.00		12,000	3.20
<i>Subtotal</i>			9,000		1,038,914.95		1,124,190.00	85,275.05		36,000	3.20
CITIGROUP INC COM NEW	C	07/16/12	2,000	26.8000	53,600.00	52.1100	104,220.00	50,620.00		81	07
		07/16/12	5,000	26.7500	133,750.00	52.1100	260,550.00	126,800.00		201	07
		07/16/12	2,000	26.7500	53,500.00	52.1100	104,220.00	50,720.00		81	07
		07/16/12	100	26.7175	2,671.75	52.1100	5,211.00	2,539.25		4	07
		07/16/12	900	26.7200	24,048.00	52.1100	46,899.00	22,851.00		37	07
<i>Subtotal</i>			10,000		267,569.75		521,100.00	253,530.25		404	07
CSX CORP	CSX	10/25/12	5,000	20.6992	103,496.00	28.7700	143,850.00	40,354.00		3,000	2.08
DUKE ENERGY CORP NEW	DUK	01/14/03	133	30.6821	4,080.72	69.0100	9,178.33	5,097.61		415	4.52
		01/14/03	666	31.0307	20,666.49	69.0100	45,960.66	25,294.17		2,078	4.52
		01/14/03	634	31.1872	19,772.70	69.0100	43,752.34	23,979.64		1,979	4.52
		01/14/03	33	31.2048	1,029.76	69.0100	2,277.33	1,247.57		103	4.52
		01/14/03	1,867	30.8565	57,609.20	69.0100	128,841.67	71,232.47		5,826	4.52
<i>Subtotal</i>			3,333		103,158.87		230,010.33	126,851.46		10,401	4.52

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MICHAEL R COREY TTEE

Account Number: 43X-17872

# YOUR CMA FOR TRUST ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income	Yield%
EXXON MOBIL CORP COM	XOM	07/05/11	1,000	81.3400	81,340.00	101.2000	101,200.00	19,860.00	2,520	2.49
		07/25/11	2,000	84.2900	168,580.00	101.2000	202,400.00	33,820.00	5,040	2.49
		04/29/13	5,000	88.1009	440,504.95	101.2000	506,000.00	65,495.05	12,600	2.49
<b>Subtotal</b>			<b>8,000</b>		<b>690,424.95</b>		<b>809,600.00</b>	<b>119,175.05</b>	<b>20,160</b>	<b>2.49</b>
ISTAR FINANCIAL INC	STAR	12/21/99	2,000	17.8000	35,600.00	14.2700	28,540.00	(7,060.00)		
REITS-DIVERSIFIED		04/19/00	3,000	17.6150	52,845.00	14.2700	42,810.00	(10,035.00)		
<b>Subtotal</b>			<b>5,000</b>		<b>88,445.00</b>		<b>71,350.00</b>	<b>(17,095.00)</b>		
JPMORGAN CHASE & CO	JPM	06/30/11	3,000	40.7900	122,370.00	58.4800	175,440.00	53,070.00	4,561	2.59
		07/15/11	2,000	39.7900	79,580.00	58.4800	116,960.00	37,380.00	3,041	2.59
		05/11/12	1,000	36.7500	36,750.00	58.4800	58,480.00	21,730.00	1,521	2.59
		03/21/13	1,000	48.9500	48,950.00	58.4800	58,480.00	9,530.00	1,521	2.59
		03/21/13	1,000	48.7500	48,750.00	58.4800	58,480.00	9,730.00	1,521	2.59
		03/27/13	1,000	47.9000	47,900.00	58.4800	58,480.00	10,580.00	1,521	2.59
		04/09/13	5,000	48.5000	242,500.00	58.4800	292,400.00	49,900.00	7,601	2.59
		04/11/13	5,000	49.2500	246,250.00	58.4800	292,400.00	46,150.00	7,601	2.59
		04/15/13	5,000	48.0000	240,000.00	58.4800	292,400.00	52,400.00	7,601	2.59
		04/17/13	5,000	46.8288	234,144.18	58.4800	292,400.00	58,255.82	7,601	2.59
		04/17/13	5,000	46.5000	232,500.00	58.4800	292,400.00	59,900.00	7,601	2.59
		04/17/13	5,000	46.7509	233,754.95	58.4800	292,400.00	58,645.05	7,601	2.59
		04/25/13	5,000	49.4009	247,004.95	58.4800	292,400.00	45,395.05	7,601	2.59
		04/26/13	5,000	48.8917	244,458.95	58.4800	292,400.00	47,941.05	7,601	2.59
		04/29/13	5,000	48.9009	244,504.95	58.4800	292,400.00	47,895.05	7,601	2.59
<b>Subtotal</b>			<b>54,000</b>		<b>2,549,417.98</b>		<b>3,157,920.00</b>	<b>608,502.02</b>	<b>82,095</b>	<b>2.59</b>
METLIFE INC COM	MET	10/26/11	5,000	31.9599	159,799.50	53.9200	269,600.00	109,800.50	5,501	2.04
NORFOLK SOUTHERN CORP	NSC	10/31/12	5,000	61.7000	308,500.00	92.8300	464,150.00	155,650.00	10,401	2.24
PFIZER INC	PFE	12/08/04	10,000	27.1200	271,200.00	30.6300	306,300.00	35,100.00	10,401	3.39



MICHAEL R COREY TTEE

Account Number: 43X-17872

24-Hour Assistance: (877) 653-4732  
Access Code: 29-439-17872

November 30, 2013 - December 31, 2013

## YOUR CMA FOR TRUST ASSETS

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
Description	Cost Basis											
SPECTRA ENERGY CORP		SE	01/14/03	200	14.7452		2,949.05	35.6200	7,124.00	4,174.95	244	3.42
			01/14/03	1,000	14.9128		14,912.84	35.6200	35,620.00	20,707.16	1,220	3.42
			01/14/03	950	14.9882		14,238.82	35.6200	33,839.00	19,600.18	1,159	3.42
			01/14/03	50	14.9966		749.83	35.6200	1,781.00	1,031.17	61	3.42
			01/14/03	2,800	14.8290		41,521.36	35.6200	99,736.00	58,214.64	3,416	3.42
Subtotal				5,000			74,371.90		178,100.00	103,728.10	6,100	3.42
WELLS FARGO & CO NEW DEL		WFC	05/06/13	5,000	37.8500		189,250.00	45.4000	227,000.00	37,750.00	6,000	2.54
TOTAL							5,844,548.90		7,503,170.33	1,658,621.43	190,463	2.54

## RESEARCH RATINGS

Security	Symbol	BofAML Research	Morningstar	S&P
CSX CORP	CSX	Buy (B17)	Hold	Buy
CHEVRON CORP	CVX	Neutral (A27)	Hold	Buy
CITIGROUP INC COM NEW	C	Buy (B17)	Hold	Buy
DUKE ENERGY CORP NEW	DUK	Underperform (A37)	Hold	Hold
EXXON MOBIL CORP COM	XOM	Buy (A17)	Hold	Buy
MORGAN CHASE & CO	JPM	Buy (B17)	Hold	Buy
METLIFE INC COM	MET	Buy (B17)	Hold	Buy
NORFOLK SOUTHERN CORP	NSC	Neutral (A27)	Hold	Buy
PFIZER INC	PFE	Buy (A17)	Hold	Buy
SPECTRA ENERGY CORP	SE	Buy (B17)	Buy	Buy
WELLS FARGO & CO NEW DEL	WFC	Buy (B17)	Hold	Buy

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO BofAML AND THIRD PARTY RESEARCH RATINGS.

MUTUAL FUNDS/CLOSED END FUNDS/UIT		Quantity	Total		Estimated	Unrealized	Total Client	Cumulative	Estimated
Description			Cost Basis	Market Price					
ISHARES MSCI EAFE		10,000	542,360.00	67,0950	670,950.00	128,590.00	542,360	128,590	17,031
									2,53

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MICHAEL R COREY TTEE

Account Number: 43X-17872

## YOUR CMA FOR TRUST ASSETS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
SYMBOL EFA Initial Purchase 07/14/05 Equity 100%								
NUVEEN PREFERRED INCOME OPPORTUNITIES FUND	76,658	711,845.53	8 8700	679,956.46	(31,889.07)	711,845	(31,889)	58,176 8 55
SYMBOL JPC Initial Purchase 06/15/12 Fixed Income 100%								
NUVEEN CREDIT STRATEGIES INCOME FUND	31,226	272,537.90	9.7300	303,828.98	31,291.08	272,537	31,291	20,419 6 72
SYMBOL JQC Initial Purchase. 12/02/09 Fixed Income 100%								
NUVEEN PFD & INCOME TERM FUND	7,976	186,927.77	22.0700	176,030.32	(10,897.45)	186,927	(10,897)	16,173 9 18
SYMBOL JPI Initial Purchase 07/24/13 Fixed Income 100%								
'DR S&P MIDCAP 400 ETF TRUST	1,000	123,360.00	244 2000	244,200.00	120,840.00	123,360	120,840	2,605 1 06
SYMBOL MDY Initial Purchase: 06/27/05 Equity 100%								
SPDR S&P 500 ETF TRUST	4,000	495,670.00	184.6900	738,760.00	243,090.00	495,670	243,090	13,405 1 81
SYMBOL SPY Initial Purchase 09/21/05 Equity 100%								
WISDOMTREE TRUST JAPAN HEDGED EQUITY FD	7,100	342,588.95	50 8400	360,964.00	18,375.05	342,588	18,375	4,381 1 21
SYMBOL DXJ Initial Purchase 04/26/13 Equity 100%								

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MICHAEL R COREY TTEE

Account Number: 43X-17872

24-Hour Assistance: (877) 653-4732  
Access Code: 29-439-17872

November 30, 2013 - December 31, 2013

YOUR CMA FOR TRUST ASSETS

MUTUAL FUNDS/CLOSED END FUNDS/UIT		(continued)										
Description	Quantity	Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income	Current Yield%			
Subtotal (Fixed Income)				1,159,815.76								
Subtotal (Equities)				2,014,874.00								
TOTAL		2,675,290.15		3,174,689.76	499,399.61		499,400	132,190	4.16			
<b>Total Client Investment:</b> Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.												
<b>Cumulative Investment Return:</b> Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.												
<b>Market Timing:</b> Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.												
<b>Initial Purchase:</b> Date of your initial investment in this fund.												

Notes

♦ Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale"

YOUR CMA FOR TRUST TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS			Income		Income	
Date	Transaction Type	Quantity	Description	Year To Date	Income	Year To Date
12/31	Bank Interest		BANK DEPOSIT INTEREST	26		
	Subtotal (Taxable Interest)			26		84.50
12/02	* Dividend		NUVEEN PREFERRED INCOME OPPORTUNITIES FUND	4,852.45		

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# Fiscal Statement

THE COREY FOUNDATION

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
512,733	CASH		20,791 54	20,791 54			1
	ML BANK DEPOSIT PROGRAM	12/31/13	312,733 00	312,733 00			
	<b>Total Cash and Money Funds</b>		<b>333,524.54</b>	<b>333,524.54</b>			<b>156.31</b>
Government Securities							
230,000	GNM P606455X 05 50%2033 AMORTIZED FACTOR 0 214040660 AMORTIZED VALUE 49,229	03/21/03	49,913 95	55,361 21	5,447 26	225 63	2,708 01
760,000	FHLMC C0 1585 05%2033 AMORTIZED FACTOR 0 091091680 AMORTIZED VALUE 69,229	07/07/03	69,921 98	74,946 73	5,024 75	288 45	3,462 01
591,560	FNMA P725020 06%2033 AMORTIZED FACTOR 0 067704070 AMORTIZED VALUE 40,051	05/07/04	40,413 98	44,943 29	4,529 31	200 25	2,404 01
7,000	GNM P668630X 06%2038 AMORTIZED FACTOR 0 146489280 AMORTIZED VALUE 73,244	07/25/08	73,793 97	81,496 53	7,702 56	366 22	4,395 01
	<b>Total Government Securities</b>		<b>234,043.88</b>	<b>256,747.76</b>	<b>22,703.88</b>	<b>1,080.55</b>	<b>12,969.01</b>





# Fiscal Statement

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
<b>Corporate Bonds</b>							
5,000	DELPHI FINANCIAL GROUP NEW MONEY VAR%MAY 15 2037	09/22/09	86,450 00	123,440 00	36,990 00		9,244 00
300	DELPHI FINANCIAL GROUP	09/28/09	5,255 00	7,406 40	2,151 40		554 00
5,000	DELPHI FINANCIAL GROUP	09/30/09	85,200 00	123,440 00	38,240 00		9,220 00
10,000	DELPHI FINANCIAL GROUP	10/22/09	172,900 00	246,880 00	73,980 00		18,440 00
	<b>Total Corporate Bonds</b>		<b>349,805.00</b>	<b>501,166.40</b>	<b>151,361.40</b>		<b>37,434.00</b>

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Annual Income
<b>Mutual Funds/Closed End Funds/UIT</b>						
137,721	NUVEEN PREFERRED INCOME OPPORTUNITIES FUND	12/22/08	619,738 06	1,221,585 27	601,847 21	104,517 00
200	CALAMOS CONV OPP INC FD	11/25/08	1,338 00	2,652 00	1,314 00	228 00
1,100	NUVEEN QUAL PREF INC INCOME FUND	12/23/08	4,609 00	8,459 00	3,850 00	687 00

PLEASE SEE REVERSE SIDE

 Page 6 of 23  
 Statement Period Year Ending 12/31/13  
 Account No 2SD-04A43



# EMA<sup>SM</sup> Fiscal Statement

THE COREY FOUNDATION

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Annual Income
<b>Mutual Funds/Closed End Funds/UIT</b>						
217,648	NUVEEN CREDIT STRATEGIES INCOME FUND	12/22/08	991,010.54	2,117,715.04	1,126,704.50	142,322.00
761	FLRTY PFD INCOME OPPTY INCOME OPP FD INC	12/22/08	3,035.78	7,670.88	4,635.10	667.00
1,968	BLACKROCK CREDIT ALLCT INCOME TRUST	12/19/08	15,337.47	25,702.08	10,364.61	1,902.00
<b>Total Mutual Funds/Closed End Funds/UIT</b>			<b>1,635,068.85</b>	<b>3,383,784.27</b>	<b>1,748,715.42</b>	<b>250,323.00</b>



**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
East End Arts Council 133 East Main Street Riverhead, NY	None	509(a)	Arts	300.
East End Arts Council 11 West 53rd Street Riverhead, NY	None	509(a)	Arts	10,000.
East End Hospice 481 Country Road 31 Westhampton Beach, NY	None	509(a)	Geriatric care	10,000.
Irish Repertory Theater 132 West 22nd Street New York, NY	None	509(a)	Arts	1,000.
Jazz at Lincoln Center 33 West 60th Street New York, NY	None	509(a)	Arts	10,000.
Kessenich MDA/ALS Center 5 Dakota Drive - Suite 101 Lake Success, NY	None	509(a)	Health care	5,000.
Museum of Modern Art 11 West 53rd Street New York, NY	None	509(a)	Arts	1,000.
New York City Opera 75 Broad Street New York, NY	None	509(a)	Arts	200,000.
New York City Opera 75 Broad Street New York, NY	None	509(a)	Arts	5,000.
New York City Opera 75 Broad Street New York, NY	None	509(a)	Arts	100,000.
<b>Total from continuation sheets</b>				<b>990,400.</b>



**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
New York Youth Symphony 850 7th Avenue New York, NY	None	509(a)	Arts	25,000.
New York Youth Symphony 850 7th Avenue New York, NY	None	509(a)	Arts	10,000.
North Fork Community Theater 12700 Old Sound Avenue Mattituck, NY	None	509(a)	Arts	5,000.
North Fork Community Theater 12700 Old Sound Avenue Mattituck, NY	None	509(a)	Arts	100,000.
Opera America 330 Seventh Avenue New York, NY	None	509(a)	Arts	10,000.
Opera America 330 Seventh Avenue New York, NY	None	509(a)	Arts	10,000.
Peconic Bay Health Center 1300 Roanoke Avenue Riverhead, NY	None	509(a)	Health care	5,000.
Peconic Bay Health Center 1300 Roanoke Avenue Riverhead, NY	None	509(a)	Health care	400,000.
Riverhead Fire Department Riverhead, NY	None	509(a)	Civic	1,000.
Riverhead Volunteer Ambulance Corps PO Box 924 Riverhead, NY	None	509(a)	Civic	1,000.
<b>Total from continuation sheets</b>				



**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Santa Fe Opera P.O. Box 2408 Santa Fe, NM	None	509(a)	Arts	10,000.
The Center for Fiction 17 E 47th St New York, NY	None	509(a)	Arts	600.
The Center for Fiction 17 E 47th St New York, NY	None	509(a)	Arts	5,000.
Thirteen Public TV 825 Eighth Avenue New York, NY	None	509(a)	Arts	1,000.
Westhampton Beach Performing Arts Center 76 Main Street Westhampton Beach, NY	None	509(a)	Arts	30,500.
WQXR Public Radio 160 Varick Street New York, NY	None	509(a)	Arts	5,000.
National Pancreas Foundation 3 Bethesda Metro Center - Suite 700 Bethesda, MD	None	509(a)	Health Care	5,000.
Musica Scara PO Box 974 - Lenox Hill Station New York, NY	None	509(a)	Arts	1,000.
North Fork Community Theater 12700 Old Sound Avenue Mattituck, NY	None	509(a)	Arts	23,000.
<b>Total from continuation sheets</b>				