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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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2013

Open to Public
Inspection

For calendar year 2013, or tax year beginning 01-01-2013, and ending 12-31-2013

Name of foundation CHARLOTTE & HARRY KATZ FOUNDATION INC		A Employer identification number 11-3295712	
Number and street (or P O box number if mail is not delivered to street address) 76-19 171ST STREET		B Telephone number (see instructions) (718) 591-4921	
C If exemption application is pending, check here ► <input type="checkbox"/>			
D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>			
E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>			
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>			
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 508,936	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	(Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue					
1	Contributions, gifts, grants, etc, received (attach schedule)				
2	Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	46	46		
4	Dividends and interest from securities.	19,291	19,287		
5a	Gross rents				
b	Net rental income or (loss) _____				
6a	Net gain or (loss) from sale of assets not on line 10	65,458			
b	Gross sales price for all assets on line 6a 1,355,988				
7	Capital gain net income (from Part IV, line 2) . . .				
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	84,795	19,333		
Operating and Administrative Expenses					
13	Compensation of officers, directors, trustees, etc				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)	4,000	2,000	2,000	
c	Other professional fees (attach schedule)				
17	Interest	62			
18	Taxes (attach schedule) (see instructions)	2,238	2,238		
19	Depreciation (attach schedule) and depletion . . .				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	5,713	5,613	100	
24	Total operating and administrative expenses.				
	Add lines 13 through 23	12,013	9,851	2,100	
25	Contributions, gifts, grants paid	87,329		87,329	
26	Total expenses and disbursements. Add lines 24 and 25	99,342	9,851	89,429	
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-14,547			
b	Net investment income (if negative, enter -0-) . . .		9,482		
c	Adjusted net income (if negative, enter -0-) . . .				

	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing		194,474	256,024	256,024
	2 Savings and temporary cash investments				
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments—U S and state government obligations (attach schedule)				
	b Investments—corporate stock (attach schedule)	339,543	263,446	252,912	
	c Investments—corporate bonds (attach schedule)				
	11 Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	12 Investments—mortgage loans				
	13 Investments—other (attach schedule)				
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	15 Other assets (describe ►)				
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)		534,017	519,470	508,936
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ►)				
	23 Total liabilities (add lines 17 through 22)			0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg , and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds		534,017	519,470	
	30 Total net assets or fund balances (see page 17 of the instructions)		534,017	519,470	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)		534,017	519,470	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	534,017
2	Enter amount from Part I, line 27a	2	-14,547
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	519,470
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	519,470

Part IV Capital Gains and Losses for Tax on Investment Income

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	95,599	555,281	0 172163
2011	63,628	610,599	0 104206
2010	95,386	449,557	0 212178
2009	87,264	505,099	0 172766
2008	109,370	475,712	0 229908
2 Total of line 1, column (d).			2 0 891223
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .			3 0 178244
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.			4 310,520
5 Multiply line 4 by line 3.			5 55,340
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 91
7 Add lines 5 and 6.			7 55,441
8 Enter qualifying distributions from Part XII, line 4.			8 89,423

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		1	95
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	95
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	95
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed <input type="checkbox"/>	9	95
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid <input type="checkbox"/>	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

		Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b	No
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c	Did the foundation file Form 1120-POL for this year?	1c	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/>		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

Website address ► N/A

14 The books are in care of ► CHARLOTTE KATZ Telephone no ► (718) 591-4921
 Located at ► 76-19 171ST STREET FLUSHING NY ZIP +4 ► 11366

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ► 15 and enter the amount of tax-exempt interest received or accrued during the year ►

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Yes No
 See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ►

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1b
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1c
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)		2b
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)		3b
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? 	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>		5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>		6b No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees and Contractors**

1. List all officers, directors, trustees, foundation managers and their compensation (see instructions)

1. List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HARRY KATZ 	PRESIDENT 1 00	0	0	
76-19 171ST STREET FLUSHING, NY 11366				
CHARLOTTE KATZ 	VICE PRESIDE 5 00	0	0	
76-19 171ST STREET FLUSHING, NY 11366				

2. Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE".

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		►

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	►

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes	
a	Average monthly fair market value of securities.	1a 90,000
b	Average of monthly cash balances.	1b 225,249
c	Fair market value of all other assets (see instructions).	1c 0
d	Total (add lines 1a, b, and c).	1d 315,249
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e
2	Acquisition indebtedness applicable to line 1 assets.	2
3	Subtract line 2 from line 1d.	3 315,249
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4 4,729
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5 310,520
6	Minimum investment return. Enter 5% of line 5.	6 15,526

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1 15,526
2a	Tax on investment income for 2013 from Part VI, line 5.	2a 95
b	Income tax for 2013 (This does not include the tax from Part VI).	2b
c	Add lines 2a and 2b.	2c 95
3	Distributable amount before adjustments Subtract line 2c from line 1.	3 15,431
4	Recoveries of amounts treated as qualifying distributions.	4
5	Add lines 3 and 4.	5 15,431
6	Deduction from distributable amount (see instructions).	6
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7 15,431

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes	
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a 89,429
b	Program-related investments—total from Part IX-B.	1b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2
3	Amounts set aside for specific charitable projects that satisfy the	
a	Suitability test (prior IRS approval required).	3a
b	Cash distribution test (attach the required schedule).	3b
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4 89,429
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5 95
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6 89,334

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				15,431
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.	85,865			
b From 2009.	62,071			
c From 2010.	73,307			
d From 2011.	34,967			
e From 2012.	67,835			
f Total of lines 3a through e.	324,045			
4 Qualifying distributions for 2013 from Part XII, line 4 ► \$ _____ 89,429				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				15,431
e Remaining amount distributed out of corpus	73,998			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:	398,043			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	85,865			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	312,178			
10 Analysis of line 9				
a Excess from 2009.	62,071			
b Excess from 2010.	73,307			
c Excess from 2011.	34,967			
d Excess from 2012.	67,835			
e Excess from 2013.	73,998			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
b	85% of line 2a.			
c	Qualifying distributions from Part XII, line 4 for each year listed.			
d	Amounts included in line 2c not used directly for active conduct of exempt activities.			
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c.			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter			
(1)	Value of all assets.			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i).			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).			
(3)	Largest amount of support from an exempt organization			
(4)	Gross investment income			

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE ATTACHED LIST 76-19 171ST STREET FLUSHING, NY 11366			CHARITABLE CONTRIBUTIONS	87,329
Total			► 3a	87,329
b Approved for future payment				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities.			14	46	
5 Net rental income or (loss) from real estate			14	19,291	
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property.					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	-13,248	78,706
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e).				6,089	78,706
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)				13	84,795

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

TY 2013 Accounting Fees Schedule

Name: CHARLOTTE & HARRY KATZ FOUNDATION
INC

EIN: 11-3295712

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
	4,000	2,000		2,000

TY 2013 Compensation Explanation

Name: CHARLOTTE & HARRY KATZ FOUNDATION
INC
EIN: 11-3295712

Person Name	Explanation
HARRY KATZ	
CHARLOTTE KATZ	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: CHARLOTTE & HARRY KATZ FOUNDATION
INC
EIN: 11-3295712

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
3200SH CRIUS	2012-11	PURCHASE	2013-03		30,662	32,000			-1,338	
5 204SH CAPITAL INCOME BLDR FUND	2012-03	PURCHASE	2013-05		298	274			24	
1000SH CAESARS ENTERTAINMENT	2013-09	PURCHASE	2013-11		16,245	19,750			-3,505	
3000SH WESTPORT INNOVATIONS	2013-09	PURCHASE	2013-11		67,486	76,170			-8,684	
5000SH UTS QUARTET MERGER	2013-10	PURCHASE	2013-11		50,234	50,000			234	
2000SH GOLDMAN SACHS	2013-11	PURCHASE	2013-11		40,024	40,000			24	
10,000SH GOLDMAN SACHS	2013-11	PURCHASE	2013-12		199,997	200,000			-3	
100SH UTS CAMBRIDGE CAPITAL	2013-12	PURCHASE	2013-12		1,000	1,000				
716 47SH CAPITAL INCOME	2013-04	PURCHASE	2013-05		41,082	43,543			-2,461	
100SH HF2 FINANCIAL	2013-03	PURCHASE	2013-03		1,002	1,002				
24 65SH CAPITAL INCOME BLDR	2012-06	PURCHASE	2013-05		1,413	1,297			116	
50000SH ROCKWELL MEDIA	2013-05	PURCHASE	2013-09		227,130	152,502			74,628	
2000SH SILICA HOLDINGS	2013-06	PURCHASE	2013-06		40,147	39,202			945	
14000SH HIMAX	2013-05	PURCHASE	2013-09		105,433	73,502			31,931	
9050SH KKR INCOME	2013-07	PURCHASE	2013-09		165,125	181,002			-15,877	
9000SH CAESARS	2013-09	PURCHASE	2013-10		187,013	177,752			9,261	
2000SH WESTPORT	2013-09	PURCHASE	2013-10		51,207	50,781			426	
35000SH STEMCELLS INC	2013-10	PURCHASE	2013-10		49,793	50,751			-958	
100,000SH CEL SCI	2013-10	PURCHASE	2013-10		80,697	100,002			-19,305	

TY 2013 Investments Corporate Stock Schedule

Name: CHARLOTTE & HARRY KATZ FOUNDATION INC
EIN: 11-3295712

Name of Stock	End of Year Book Value	End of Year Fair Market Value
716SH CAPITAL INCOME BLDRS		
29,600SH CRIUS ENERGY TRUST		
26,400SH CRIUS STOCK	263,446	252,912

TY 2013 Other Expenses Schedule

Name: CHARLOTTE & HARRY KATZ FOUNDATION
INC

EIN: 11-3295712

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
NYS DEPT OF LAW	100			100
BANK CHARGES	400	400		
SPO CHARGE	5,213	5,213		

TY 2013 Taxes Schedule

Name: CHARLOTTE & HARRY KATZ FOUNDATION
INC

EIN: 11-3295712

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID TO CANADA	2,238	2,238		

Charlotte & Harry Katz Foundation, Inc.

Transaction Detail By Account

January through December 2013

11-3295712

Type	Date	Num	Name	Original Amount	Balance
Contributions					
Check	1/11/2013	3550	Aish	100.00	100.00
Check	2/3/2013	6121	Torah Center of Hillcrest	110.00	210.00
Check	2/3/2013	6122	Keren Aniyim	88.00	298.00
Check	2/3/2013	6123	Congregation K'hal Adas Yereim of Hillcrest	1,000.00	1,298.00
Check	2/3/2013	6124	Siach Yitzchok	100.00	1,398.00
Check	2/3/2013	6125	Agudath Israel of the Five Towns	400.00	1,798.00
Check	2/3/2013	6126	Ohel Children's Home and Family Services	36.00	1,834.00
Check	2/4/2013	2618	Sharsheret	500.00	2,334.00
Check	2/4/2013	2619	Young Israel of Hillcrest	135.00	2,469.00
Check	2/12/2013	3535	Am Friends of Laniado Hospital	500.00	2,969.00
Check	2/13/2013	3409	Tomchei Shabbos	45.00	3,014.00
Check	2/13/2013	3410	Tomchei Shabbos	1,000.00	4,014.00
Check	2/25/2013	3411	Congregation Oseh Tzedokoh Ve'chesed	100.00	4,114.00
Check	2/27/2013	3412	Congregation Netzach Israel	6,000.00	10,114.00
Check	2/27/2013	3413	Chesed L'Abraham Inc	4,000.00	14,114.00
Check	2/27/2013	3414	Emunah	25.00	14,139.00
Check	3/1/2013	3415	Gateways Organization	20,000.00	34,139.00
Check	3/17/2013	3416	Congregation K'hal Adas Yereim of Hillcrest	800.00	34,939.00
Check	3/17/2013	3417	Congregation Netzach Israel	3,500.00	38,439.00
Check	3/20/2013	3418	Zichron Yehuda Fund	25.00	38,464.00
Check	3/20/2013	3419	Congregation Benei Yaakov	180.00	38,644.00
Check	3/29/2013	3547	Gateways Charitable Organization	150.00	38,794.00
Check	4/12/2013	3420	The Beis	625.00	39,419.00
Check	4/14/2013	3421	Walk2Israel	180.00	39,599.00
Check	5/5/2013	3546	Congregation Yeshias Israel	2,000.00	41,599.00
Check	5/13/2013	3425	Camp Simchah	36.00	41,635.00
Check	5/15/2013	3422	Congregation Ohr Levanah	500.00	42,135.00
Check	6/10/2013	3427	Skulener Hachnossas Kallah Fund	3,000.00	45,135.00
Check	6/12/2013	3428	Tomchei Shabbos	500.00	45,635.00
Check	6/12/2013	3548	Skulener Hachnossas Kallah Fund	1,000.00	46,635.00
Check	6/15/2013	3424	Congregation Ohr Levanah	2,700.00	49,335.00
Check	6/27/2013	3549	Mesifta Tiferes Yerushalayim	100.00	49,435.00
Check	6/28/2013	3466	Congregation K'hal Adas Yereim of Hillcrest	36.00	49,471.00
Check	7/6/2013	3429	Hatzolah	180.00	49,651.00
Check	7/9/2013	3207	Chasdei Chashie L'Kallah	65.00	49,716.00
Check	7/15/2013	3467	Monticello Fire Department	18.00	49,734.00
Check	7/19/2013	3465	Congregation Yeshias Israel	10,000.00	59,734.00
Check	7/19/2013	3468	Young Israel of Hillcrest	525.00	60,259.00
Check	8/5/2013	3469	Congregation Ohr Levanah	18.00	60,277.00
Check	8/23/2013	3464	Congregation Chesed L'Abraham, Inc	17,000.00	77,277.00
Check	8/31/2013	3470	Emunah	525.00	77,802.00
Check	8/31/2013	3471	Catskill Regional Medical Center Foundation	18.00	77,820.00
Check	9/3/2013	6127	Aleh Foundation	36.00	77,856.00
Check	9/3/2013	6128	American Cancer Society	18.00	77,874.00
Check	9/3/2013	6129	American Association for Bikur Cholim Hospital	18.00	77,892.00
Check	9/3/2013	6130	American Friends of Beit Issie Shapiro	18.00	77,910.00
Check	9/3/2013	6131	American Friends of Magen David Adom	18.00	77,928.00
Check	9/3/2013	6132	American Friends of Shalva	18.00	77,946.00
Check	9/3/2013	6133	Friends of Yad Sarah	18.00	77,964.00
Check	9/3/2013	6134	Bayit Lepletot - Bridal Dowry Fund	18.00	77,982.00
Check	9/3/2013	6135	Boys Town Jerusalem Foundation	18.00	78,000.00
Check	9/3/2013	6136	Chevra Tsedoko Vochesed	18.00	78,018.00
Check	9/3/2013	6137	Child Life Society	18.00	78,036.00
Check	9/3/2013	6138	Chmol	18.00	78,054.00
Check	9/3/2013	6139	Congregation Chevra Oneg Shabbos	18.00	78,072.00
Check	9/3/2013	6140	Count Me In-Fdn for Disabled Children	18.00	78,090.00
Check	9/3/2013	6141	Deena Motechin Jacobs Gemilas Chesed Fund	18.00	78,108.00
Check	9/3/2013	6142	Diskin Orphan Home of Israel	18.00	78,126.00
Check	9/3/2013	6143	Erna Lindenfeld Hachnossas Kallah	18.00	78,144.00
Check	9/3/2013	6144	Ezer Halbosho - Clothing Fund for Children	18.00	78,162.00
Check	9/3/2013	6145	Education Opportunity Fund	100.00	78,262.00
Check	9/3/2013	6146	Ezra L'Marpeh	18.00	78,280.00
Check	9/3/2013	6147	Great Free Kitchen in Jerusalem	18.00	78,298.00
Check	9/3/2013	6148	Hebrew Free Burial Association	18.00	78,316.00
Check	9/3/2013	6149	Israel Children's Cancer Foundation	18.00	78,334.00
Check	9/3/2013	6150	Israel Special Kids Fund	18.00	78,352.00
Check	9/3/2013	6151	Ezer Mizion	18.00	78,370.00

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Cash Basis

Charlotte & Harry Katz Foundation, Inc.
Transaction Detail By Account
January through December 2013

11-3295712

Type	Date	Num	Name	Original Amount	Balance
Check	9/3/2013	6152	Jewish Institute for the Blind - Jerusale	18 00	78,388 00
Check	9/3/2013	6153	Just One Life	18 00	78,406 00
Check	9/3/2013	6154	Keren-Or	18 00	78,424 00
Check	9/3/2013	6155	Lekovod Shabbos	18 00	78,442 00
Check	9/3/2013	6156	March of Dimes	18 00	78,460 00
Check	9/3/2013	6157	Meir Panim Soup Kitchens in Israel	18 00	78,478 00
Check	9/3/2013	6158	Mesamche Lev	18 00	78,496 00
Check	9/3/2013	6159	Mifal	18 00	78,514 00
Check	9/3/2013	6160	National Breast Cancer Research Center	18 00	78,532 00
Check	9/3/2013	6161	National Jewish Health	18 00	78,550 00
Check	9/3/2013	6162	Noam Shabbos	18.00	78,568 00
Check	9/3/2013	6163	Ohel Children's Home and Family Services	18 00	78,586 00
Check	9/3/2013	6164	One Israel Fund	18 00	78,604 00
Check	9/3/2013	6165	Ozer Dalim	18 00	78,622 00
Check	9/3/2013	6166	Jewish Children's Fund	18 00	78,640 00
Check	9/3/2013	6167	Refuah Yeshuah	18 00	78,658 00
Check	9/3/2013	6168	Satmar Bikur Cholim	18 00	78,676 00
Check	9/3/2013	6169	The Widow & Orphan Aid Fund	36 00	78,712 00
Check	9/3/2013	6170	Tiferet Shlomo Orphan Home	18 00	78,730 00
Check	9/3/2013	6171	Tikvah Layeled	36 00	78,766 00
Check	9/3/2013	6172	United Tiberias Institutions	18 00	78,784 00
Check	9/3/2013	6173	Zaka Rescue and Recovery	18 00	78,802 00
Check	9/3/2013	6174	Zion Orphan Home for Boys	18 00	78,820 00
Check	10/24/2013	3474	Jewish Community Center of Hillcrest	18 00	78,838 00
Check	10/24/2013	3475	Erna Lindenfeld Hachnossas Kallah	18 00	78,856 00
Check	10/24/2013	3476	Torah Center of Hillcrest	500 00	79,356 00
Check	10/24/2013	3478	The Friendship Circle	54 00	79,410 00
Check	10/31/2013	3473	Rofeh Cholim Cancer Society	100 00	79,510 00
Check	11/4/2013	3479	Congregation K'hal Adas Yereim of Hillcre	500 00	80,010 00
Check	11/5/2013	3481	Emunah	18 00	80,028 00
Check	11/15/2013	3482	Kehillas Bais Yehuda Tzvi	100 00	80,128 00
Check	11/15/2013	3483	Torah Center of Hillcrest	250 00	80,378 00
Check	11/21/2013	2625	American Friends of Jaffa Institute	500 00	80,878 00
Check	11/21/2013	2628	Yeshiva Madreigas HaAdam	100 00	80,978 00
Check	11/24/2013	3480	Congregation K'hal Adas Yereim of Hillcre	36 00	81,014 00
Check	11/26/2013	3484	Chabad of the Five Towns	35 00	81,049 00
Check	11/27/2013	3485	Yeshiva Benei Torah	500 00	81,549 00
Check	12/7/2013	2627	Young Israel of Forest Hills	500 00	82,049 00
Check	12/10/2013	3486	Cong Benai Yaakov	180 00	82,229 00
Check	12/12/2013	2626	Cong Siach Yitzchak	100 00	82,329 00
Check	12/28/2013	2630	Congregation Yeshias Israel	5,000 00	87,329 00
Total Contributions					87,329.00
TOTAL					87,329.00